

1 Evaluating Resiliency for American Lobster and its
2 Fishery in a Changing Environment

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23 **1.0 Abstract**

24 The application of individual-based modeling frameworks has expanded over the last few decades,
25 but their use is still limited in global fisheries management practices. These probabilistic models
26 allow simulation of complex species' life history and fishery-dependent processes. This research
27 sees the advancement and implementation of the Individual-Based Lobster Simulator, an
28 individual-based modelling framework, to simulate American lobster (*Homarus americanus*)
29 stock dynamics in the Gulf of Maine and Georges Bank region under a suite of climate change and
30 management scenarios. With impacts of warming waters directly considered, the stock was
31 projected out to equilibria under scenarios of status quo management and alternative future
32 management schema. This analysis allowed for evaluation of the effectiveness and overall impact
33 of alternative regulatory measures on the stock for a given climate change scenario. We conclude
34 that regulatory changes were generally effective at maintaining spawning biomass and legal
35 biomass under climate scenarios but were less effective at maintaining recruitment and landed
36 catch. Furthermore, a given management action had less effectiveness at maintaining stock levels
37 under increased levels of climate change.

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39 *Keywords:* Individual-Based Modeling, Management Strategies, Projections, Scenario
40 Exploration, Environmental Effects

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46 **2.0 Introduction**

47 The American lobster (*Homarus americanus*) is a high-value crustacean in the northwest
48 Atlantic (NMFS 2024) shown to be sensitive to the region's intense climate change (Mills et al.
49 2013; Pershing et al. 2015; Kleisner et al. 2017), as ocean temperatures have been found to impact
50 lobster life history, recruitment, suitable habitat, migration timing, molting phenology, and natural
51 mortality (Mills et al. 2013; Boudreau et al. 2015; Staples et al. 2019; Goode et al. 2019; Tanaka
52 et al. 2019; Hodgdon et al. 2021; Hodgdon et al. 2022A; Hodgdon et al. 2022B). Given the
53 significance of this fishery to coastal economies and its historical significance toward cultural
54 identity (GMRI 2012), it has become increasingly important to address the impacts that climate
55 change has on the population and the subsequent fishery in the Gulf of Maine/Georges Bank
56 (GOM/GBK) stock area.

57 The American lobster fishery in the GOM/GBK stock area has remained predominantly a
58 small-crew, owner-operator fishery for over 150 years, with vessels setting baited traps (known as
59 pots) on the seafloor (Corson 2004; GMRI 2012). Before an official top-down management
60 schema was established, conservation efforts were placed on the fishery by the fishers themselves,
61 who lobbied state legislatures to implement rules such as the minimum legal size and the prohibited
62 harvest of egg-bearing females (Acheson and Gardner 2010; Acheson and Gardner 2011).

63 A minimum legal size of lobster was put in place to ensure sexual maturity and breeding
64 of a portion of the population before being landed in the fishery (Acheson & Reidman 1982).
65 Lobsters mature at different sizes, with size at 50% maturity (L_{50}) estimated at 90.81 mm carapace
66 length (CL) (ASMFC 2015; Mazur et al. 2019B). The minimum legal size has varied over time,
67 but, since 1989, has been 83 mm CL in nearshore GOM waters and, since 2008, 89 mm CL in

68 offshore GBK waters (ASMFC 2020). Many of these small lobsters that are caught in pots, but not
69 landed, survive the process (Mazur et al. 2019A), resulting in a low handling mortality.

70 To further ensure the sustainability of the GOM/GBK lobster stock, fishers do not harvest
71 egg-bearing females (called berried females) (Acheson & Reidman 1982; Mazur et al. 2019A;
72 Mazur & Johnson 2020). When intercepted by the fishery, these females are notched with a small
73 "v" on their tail to signal other fishers that may catch them in the near future (~2 molting events
74 of the individual) that they are breeding females and should not be landed (despite the presence of
75 eggs at harvest or not). This practice has proven to be effective (Acheson & Reidman 1982;
76 Acheson & Gardner 2011); however, even though fishers generally agree with the methodology
77 (Acheson & Gardner 2011; Mazur & Johnson 2020), v-notching compliance rates of fishers today
78 are not well known due to perceived decreasing benefits from the conservation measure (Mazur &
79 Johnson 2020).

80 These conservation measures were designed to protect a proportion of the breeding
81 component of the stock and, in turn, ensure high levels of recruitment to sustain the population.
82 However, the measures themselves are based on what is currently understood about lobster life
83 history, which has been altered and is likely to continue changing under climate change. Thus,
84 there is a strong need to understand how this lucrative fishery will be impacted as warming waters
85 continue to alter the northwest Atlantic large marine ecosystem (LME) (ASMFC 2020). To
86 appropriately address these questions, a modeling or simulation framework must consider climate
87 impacts on the population and management and regulatory impacts on the fishery simultaneously.
88 Such a framework must be flexible to appropriately consider the complex conservation measures
89 and life history characteristics of the species, including non-continuous growth and size-based life
90 history and fishery processes. For U.S. American lobster, one framework used to address such

91 questions has been the Individual-based Lobster Simulator (IBLS), which was recently used to
92 simulate changes to the population and fishery dynamics for the stock under climate-driven life
93 history changes and alternative minimum legal sizes (Mazur et al. 2019A, Hunt et al., 2023).

94 Using the IBLS, this study examined the cumulative impact of three potential scenarios of
95 future climate change on the life history of GOM/GBK American lobster, and how the stock and
96 associated fishery were impacted. The utility of management changes via altered regulations on
97 the fishery are also assessed under these future climate scenarios to examine the interplay of
98 climate effects and management effects on the stock and fishery dynamics. Through this effort, we
99 aimed to provide insight into how the GOM/GBK lobster population may change under anticipated
100 climate scenarios, and whether prospective management actions may be of use towards mitigating
101 adverse effects on the stock and fishery it supports.

102

103 **3.0 Methods**

104 **3.1 Basecase Stock Projections with the Individual-Based Lobster Simulator**

105 The IBLS was initially developed by Chen et al. (2005), and has been expanded over
106 several iterations (Chang 2015; Mazur et al. 2019B; Hodgdon et al. 2022A). This probabilistic
107 model can capture complex size-dependent life history and fishery processes, and has been used
108 to test the performance of the models used for the American lobster stock assessment conducted
109 by the Atlantic States Marine Fisheries Commission (ASMFC) (Chen et al. 2005; Zhang et al.
110 2011). The American lobster stock assessment model, like other American lobster population
111 models (e.g., Theberge et al. 2024), is deterministic and estimates parameters on the aggregate
112 population. These types of models are inherently more rigid than probabilistic models like the
113 IBLS, which allows for variability in processes among individuals (nevertheless, both types of

114 models are informative to the successful management of the species). The IBLS simulates a stock
115 of American lobster by implementing both life history and fishery processes on individuals using
116 random Bernoulli trials in each seasonal timestep (Chen et al. 2005; Chang 2015; Mazur et al.
117 2019B; Hodgdon et al. 2022A). Each Bernoulli trial is informed by previous research on the
118 species and the stock assessments conducted by the ASMFC (ASMFC 2015; ASMFC 2020).
119 Mazur et al. (2019B) gives a full description of the model and this paper presents a brief overview.
120

121 The IBLS has seasonal timesteps, operating on four, 3-month long periods per year: Winter
122 (January-March), Spring (April-June), Summer (July-September), and Fall (October-December).
123 At the start of each timestep, every lobster is an independent integer size (the size it was at the end
124 of the previous timestep) between 53 and 223 mm carapace length (*CL*) to match the range of sizes
125 used in the ASMFC American lobster stock assessment (ASMFC 2015; ASMFC 2020). Each
126 lobster then passes through the set of random Bernoulli trials (Figure 1), where probability of the
127 outcome of each trial is sex and size-dependent. At the end of the timestep, each lobster is either
128 dead from natural mortality or handling mortality, landed in the fishery, or alive. Lobsters that
129 survive grow by molting, where they shed their shell and regrow a larger one (Herrick 1911). The
130 frequency of molting occurs once to twice a year (in the Summer or Fall) and is dependent on
131 lobster size (Herrick 1911; Aiken & Waddy 1976; Comeau & Savoie 2001). How much a lobster
132 grows during a molting event is also size-dependent. In the IBLS, the probability a lobster grows
133 in a timestep is molt probability and how much it grows is determined by the molt increment
134 distribution (see section 3.3). After a potential molting event, the lobster then enters the next
135 timestep at the size it is at the end of the previous timestep and continues through this process until
136 it either dies from natural mortality or is landed in the fishery. At the end of the simulation, each
lobster has a life history record of its sex, size changes, maturity status, and death disposition,

137 which allow for stock-wide estimates of recruitment abundance, spawning stock biomass (SSB),
138 landed catch, and legal biomass (biomass of lobsters that have the potential to be legally harvested)
139 to be calculated for each timestep (Mazur et al. 2019B; Hodgdon et al. 2022A). Recruitment is an
140 input to the IBLS using data from previous stock assessments (ASMFC 2020). A yearly
141 recruitment value is proportioned across seasons (with Summer receiving $\frac{2}{3}$ of the yearly
142 recruitment and Fall receiving $\frac{1}{3}$) and equally split between sexes. Each recruited individual starts
143 at a random integer size between 53 and 58 mm CL to match the first 5 mm size bin used by the
144 ASMFC in stock assessments (ASMFC 2015; ASMFC 2020).

145 The IBLS can be used to project indefinitely under static conditions to determine stability
146 points called equilibria. Projections of each individual lobster are conducted through the flowchart
147 in Figure 1 and probabilities of the Bernoulli trials can be kept static or changed in the projection
148 period. In the basecase projections, these probabilities remained constant for both life history and
149 fishery-based Bernoulli trials. Forecasted recruitment is estimated with an updated weak stock-
150 recruit relationship (Mazur et al. 2019B). Here, a moving average of SSB (biomass of all mature
151 lobsters; male and female) is generated across the historical series so that recruitment in year X
152 can be matched with an average SSB across years $X-3$, $X-4$, and $X-5$, based on the variable lag time
153 between spawning and model recruitment (Hodgdon et al. 2022B). These three-year SSB averages
154 are binned (roughly equal splitting of data into five bins; e.g., if there were fifty SSB averages,
155 then each bin would represent ten SSB averages, with bin 1 containing the lowest ten SSB averages
156 and bin 5 containing the highest ten SSB averages) and a normal distribution of recruitment is
157 created for each bin using the recruitment values associated with the SSB averages in each bin. In
158 each projection year, recruitment is randomly selected from the normal distribution of recruitment
159 associated with the bin of the forecasted SSB value. A short-coming of this stock-recruit

160 relationship was that it could never generate more recruits than previously observed regardless of
161 how much SSB might be increased in the future. As a result, any climate scenario that decreased
162 recruitment or increased natural mortality could only result in a decrease in stock sizes, regardless
163 of any management actions. To address this, we developed the ‘rising-bin schema’. In this rising-
164 bin schema, recruitment is drawn from distributions associated with extrapolated bins (beyond bin
165 five) which were determined using logistic regression. A logistic function was fit to the midpoints
166 of SSB bins 2, 3, and 4 (these bins having defined bounds of SSB other than zero or infinity). This
167 logistic function was then modelled over SSB values larger than those historically observed.
168 Where the function intersected with bin five (~33000 mt and higher) became the midpoint of the
169 new bin five (~33000 mt to ~73000 mt). The SSB range of this new bin five was then used as the
170 range of SSB for bins six, seven, and so on. The solutions of the logistic function at these new bin
171 midpoints revealed the mean recruitment value used to estimate the recruitment distributions
172 associated with these new bins. The standard deviation of recruitment in the old bin five was used
173 for the new bin five and for all subsequent bins. This rising-bin schema approach (Figure 2) allows
174 recruitment to rise with substantial increases in projected SSB beyond those previously observed,
175 while also limiting the total number of recruits in these extreme scenarios. In this study, results for
176 all projections are presented for both this rising-bin schema and the original schema where
177 recruitment was limited to historically observed ranges, hereafter called the ‘set-bin schema’.
178 Neither of these schemas are deemed more likely than the other as it is unknown whether the
179 ecosystem has the capacity to sustain lobster recruitment at levels higher than previously observed.
180

181 **3.2 Determining Changes to the Population and Fishery Under Climate Change**

182 To constrain the scope of climate impact and management action scenarios, a team of
183 experts from academia, federal and state agencies, and industry were convened throughout a multi-
184 year process to determine a list of what aspects of American lobster life history are anticipated to
185 be most impacted under climate change, and specifically how these characteristics may change
186 with warming temperatures in the northwest Atlantic. Additionally, a list was generated of what
187 management changes would be most likely considered in the future, both independently and in
188 conjunction with the changes to lobster life history seen from climate impacts. From these lists, a
189 substantial follow-up survey-based assessment was conducted on knowledgeable parties across
190 multiple organizations and states within the New England (U.S.) area: ASMFC, the Maine
191 Department of Marine Resources (MEDMR), the Massachusetts Division of Marine Fisheries
192 (MADMF), and New York State Department of Environmental Conservation (NYSDEC). The
193 aim of this survey was to rank the aforementioned lists and prioritize simulations with changes to
194 key parameters based on future plausibility. The final life history parameters identified as most
195 impacted by climate change were natural mortality, molting probability, molt increment
196 probability, size-at-maturity, and recruitment. The list of final management parameters most likely
197 to be impacted by future fishery regulation changes were those to minimum legal size and fishing
198 effort (see section 3.4).

199 After an exhaustive sensitivity test of the IBLs to these life history and management
200 parameters, this work was again disseminated to various stakeholders during the American Lobster
201 Initiative Regional Lobster Research and Outreach Summit, a multi-day workshop in early 2023
202 hosted by Maine Sea Grant (NSG 2023). Feedback from this workshop allowed for the formulation
203 of biologically realistic levels of cumulative impacts of climate change at different levels to the
204 life history parameters and reasonable levels of change to future management regulations.

205

206 **3.3 Projections Under Future Climate Change**

207 In addition to a basecase projection (which excludes future climate impacts), future climate
208 change projections were conducted under three different levels denoted as “Low”, “Moderate”,
209 and “Extreme”. These levels were not meant to represent specific climate-based projections, but
210 rather cover a range of potential future regimes for the GOM/GBK stock region, with “Low”
211 representing minimal climate change impacts on lobster life history and “Extreme” representing
212 very high levels of impact.

213 Under climate change, natural mortality is anticipated to increase based on increased shell
214 disease prevalence (Groner et al. 2018). In their work, Groner et al. (2018) assumes a threefold
215 increase in natural mortality if shell disease prevalence becomes very high. Thus, natural mortality
216 in the projections (M_P) was calculated as:

$$M_P = M_T \times M_{P_Scalar} \quad (1)$$

217 where M_T is natural mortality in the terminal year of the hindcasted period and M_{P_Scalar} represents
218 the climate change scalar that varies with each climate scenario: $M_{P_Scalar} = 1.5$ (Low), $M_{P_Scalar} =$
219 2.0 (Moderate), and $M_{P_Scalar} = 3.0$ (Extreme). It is important to note that this natural mortality is
220 the probability of individual lobsters dying and is not equivalent to the natural mortality rate
221 commonly used in stock assessments.

222 For climate impacts on growth, molting probability and molt increment distribution were
223 both altered in the IBLs projections following the alterations proposed in Hodgdon et al. (2022A),
224 where molting probability increases and molt increment distribution decreases under climate

225 change. As such, lobsters molt more frequently, but grow less per molting event. Molting
226 probability in the IBLS (P_M) is estimated as:

$$P_M = \frac{P_{M_Scalar} + SAS}{k_{CL}} \quad (2)$$

$$k_{CL} = e^{-8.08127 + 0.076535 \times CL} \quad (3)$$

227 where SAS is a measure of timesteps (seasons) a lobster has spent at its current size, CL is the
228 current carapace length of the lobster in mm, and k_{CL} the longest possible time a lobster of size CL
229 can remain at size CL before molting. Thus, SAS can vary from 1 to k_{CL} seasons (note that for
230 mature individuals, SAS varies from 2 to k_{CL} seasons, ensuring no mature individuals can
231 experience a molting event in sequential seasons). P_{M_Scalar} artificially shifts the time spent at
232 current size, increasing the overall frequency of P_M . P_{M_Scalar} was assigned values of 1, 2, or 3
233 across the Low, Moderate and Extreme scenarios, respectively. For example, in the basecase, the
234 probability of a lobster of size S molting in a given timestep if it has gone T timesteps without
235 molting becomes the probability of a lobster of size S in a given timestep molting if it has gone
236 $T+1$ timesteps without molting in the Low future climate scenario. Additionally, double molt
237 probability (DM_P ; the probability of small lobsters molting twice in one year) and molting
238 mortality (MM ; natural mortality related to a molting event) both increase by a factor of 1.5 under
239 a Low climate scenario, 2.0 under a Moderate climate scenario, and 3.0 under an Extreme climate
240 scenario. Increased shell disease prevalence due to warmer temperatures impacts natural mortality
241 (Groner et al. 2018), but also impacts MM directly when the disease causes partial fusion between
242 the new and old shells (Stevens 2009). Throughout all scenarios, lobsters are limited to molting
243 only during the Summer and Fall (and not during Winter and Spring). Molt increment distribution
244 (P_I) was shifted via the equations below:

$$P_I = N(1.2236 + (0.1294 \times CL) - P_{I_Scalar}, \sigma^2) \quad \text{if male; } CL < 95 \quad (4)$$

$$P_I = N(1.2236 + (0.1294 \times 95) - P_{I_Scalar}, \sigma^2) \quad \text{if male; } CL \geq 95 \quad (5)$$

$$P_I = N(1.2288 + (0.1285 \times CL) - P_{I_Scalar}, \sigma^2) \quad \text{if female; } CL < 82 \quad (6)$$

$$P_I = N(1.2288 + (0.1285 \times 82) - P_{I_Scalar}, \sigma^2) \quad \text{if female; } CL \geq 82 \quad (7)$$

245 where N is the normal distribution truncated by upper and lower bound probabilities of 0.975 and
 246 0.025, respectively, and σ is the standard deviation of the normal distribution equal to 2.1 (ASMFC
 247 2006; Hodgdon et al. 2022A). P_{I_Scalar} artificially shifts the number of mm CL a lobster will grow
 248 if it molts, decreasing the overall mm CL across the climate scenarios as: $P_{I_Scalar} = 1$ (Low),
 249 $P_{I_Scalar} = 2$ (Moderate), and $P_{I_Scalar} = 3$ (Extreme). Lobster size-at-maturity is expected to decrease
 250 under climate change as a function of temperature (LeBris et al. 2017; Hodgdon et al. 2022A).
 251 Probabilistic size-at-maturity in the IBLS (P_{SAM}) is estimated as:

$$P_{SAM} = \frac{1}{1 + e^{-0.3 \times (CL - (L_{50} - P_{SAM_Scalar}))}} \quad (8)$$

252 where L_{50} is the size (CL) at 50% sexual maturity. In stock assessment, this number is traditionally
 253 90.81 mm CL (ASMFC 2015). Following LeBris et al. (2017)'s findings that lobster L_{50} would
 254 decrease 2.8 mm CL per degree Celsius rise in environmental bottom temperature, paired with
 255 projections of GOM/GBK bottom temperature rising as much as four degrees Celsius in the next
 256 100 years (IPCC 2019; Brickman et al. 2021; Hodgdon et al. 2022A), P_{SAM_Scalar} shifts L_{50} down
 257 by 5.6 mm CL in a Low climate scenario, 11.2 mm CL in a Moderate climate scenario, and 16.8
 258 mm CL in an Extreme climate scenario.

259 Projected recruitment (R_P) in the IBLS is calculated as:

$$R_P = N(R_{SSB_{Bin}}, \sigma^2) \times R_{P_{Scalar}} \quad (9)$$

260 where N represents the normal distribution truncated by upper and lower bound probabilities of
 261 0.975 and 0.025, respectively, and σ is the standard deviation of the normal distribution. R_{SSB_Bin}
 262 and σ vary by SSB_{Bin} , which in turn varies between a set-bin schema and a rising-bin schema for
 263 projected recruitment (see section 3.1). In the set-bin schema, SSB_{Bin} is one of five bins of historical
 264 SSB (less than ~11000 mt, ~11000 to ~15000 mt, ~15000 to ~26000 mt, ~26000 to ~33000 mt,
 265 and larger than ~33000 mt) determined by the number of data points between the bounds. The
 266 associated historical recruitment values for the values of SSB within each bin are used to create
 267 the normal distribution in Equation 9. Any future value of recruitment is pulled from the historical
 268 recruitment normal distribution associated with the SSB_{Bin} that the projected SSB value lies in
 269 (Figure 2). This represents a weak stock-recruit relationship, proposed for American lobster by
 270 Mazur et al. (2019B) and updated with new data and information on recruitment lags from
 271 Hodgdon et al. (2022B). In the rising-bin schema, the methodology for determining projected
 272 recruitment is identical to that in the set-bin schema when SSB is under ~73000 mt. However,
 273 when SSB exceeds this threshold, recruitment is drawn from a set of extrapolated bins (as opposed
 274 to still being drawn from bin five as it is in the set-bin schema), discussed in section 3.1 and
 275 summarized in Figure 2. $R_{P_{Scalar}}$ decreases the overall recruitment across the climate scenarios,
 276 effectively changing the relative steepness of the stock/recruit relation and potentially acting as a
 277 proxy for increasing pre-recruit mortality, as: $R_{P_{Scalar}} = 0.75$ (Low), $R_{P_{Scalar}} = 0.50$ (Moderate),
 278 and $R_{P_{Scalar}} = 0.25$ (Extreme). Forecasted recruitment is particularly difficult to predict due to a
 279 myriad of factors including environmental influences (Okamura et al. 2024). The $R_{P_{Scalar}}$ values
 280 used in this study allowed for a wide range of potential future recruitment scenarios to be explored
 281 while maintaining biological realism (when these $R_{P_{Scalar}}$ values were applied to terminal year

282 recruitment, the resulting scaled values were all within historically observed ranges). A summary
283 of all of the scalars above and their values across projection scenarios can be found in Table 1.

284

285 **3.4 Projections Under Alternative Management Actions**

286 Projections were conducted under a suite of management changes that were enacted upon
287 basecase projections and all climate scenario projections (Low, Moderate, and Extreme) and using
288 both schemas for determining future recruitment (set-bin schema and rising-bin schema) to
289 determine the interaction of climate change and management feedback to the fishery and stock.

290 These management actions included changes to both minimum legal size and fishing effort.

291 Minimum legal size (representing the smallest size of lobster legally allowed to be landed)
292 at the start of the projection period is set at 83.09 mm *CL*. This value represents a terminal year
293 (2018) average for the regulation across the GOM region (83 mm *CL*) and GBK region (89 mm
294 *CL*) weighted by the landings from each region in the terminal year (ASMFC 2020). A vast
295 majority (>95%) of these landings come from the GOM (ASMFC 2020). Thus, changes to
296 minimum legal size in the projection period were only performed for GOM and no changes were
297 made to GBK. From the stakeholder outreach (see section 3.2), reasonable values that would
298 encompass a range of possible future changes to minimum legal size in the GOM region would be
299 88 mm *CL* and 93 mm *CL*.

300 Fishing effort is modeled in the IBLs as the encounter rate or the probability of a lobster
301 being caught in the fishery (caught in a trap and brought up to the boat), but not necessarily landed.
302 Like most parameters in the IBLs, encounter rate is size, sex, and season dependent. Future
303 encounter rate (ER_P) in the IBLs is estimated as:

$$ER_T = \frac{C_T}{P_T \times S_T} \quad (10)$$

$$F_T = -\ln(1 - ER_T) \quad (11)$$

$$F_P = F_T \times F_{P_Scalar} \quad (12)$$

$$ER_P = 1 - e^{-F_P} \quad (13)$$

304 where ER_T is the encounter rate in the terminal year of the hindcasted period, C_T is the size, sex,
 305 and season specific catch in the terminal hindcasted year, P_T is the size, sex, and season specific
 306 population biomass in the terminal hindcasted year, and S_T is the size, sex, and season specific gear
 307 selectivity x legal selectivity x conservation selectivity in the hindcasted terminal year. F_T is the
 308 size, sex, and season specific fishing mortality in the terminal hindcasted year and F_P is the size,
 309 sex, and season specific fishing mortality in the projection period. F_P is proportional to F_T via the
 310 F_{P_Scalar} . From the stakeholder outreach (see section 3.2), reasonable values that would encompass
 311 a range of possible future effort decreases were determined to be 25% ($F_{P_Scalar} = 0.75$) and 50%
 312 ($F_{P_Scalar} = 0.5$). After the F_{P_Scalar} is applied, F_P is transformed back into an encounter rate in the
 313 projection period, ER_P . This methodology was more appropriate than applying a scalar directly to
 314 the encounter rate so that a given scalar of X directly represented (and was not just proportional
 315 to) a reduction in fishing mortality of $(1-X)\%$. These changes to fishing mortality would be
 316 reflective of changes to regulations that would directly impact effort such as trap limits. However,
 317 fishing mortality and effort are not a 1:1 relationship and a moderate reduction in fishing mortality
 318 may require more drastic changes in effort to achieve, especially for this particular fishery which
 319 may currently be oversaturated with traps (Acheson & Acheson 2010). At sizes smaller than the
 320 minimum legal size, C_T is equal to zero (because lobsters smaller than this size cannot be legally
 321 landed). For this size range, the ER_T at the minimum legal size is instead used (this same tactic is

322 used for all sizes greater than the maximum legal size). These two changes to future encounter
323 rates and future minimum legal sizes were done alone and in combination, the latter representing
324 management changes that would impact minimum legal size and encounter rate (fishing effort)
325 together. It should be noted that because fishing mortality occurs before growth and maturation
326 processes within a time step (Figure 1), that the true effect on the stock of altering the minimum
327 legal size may be slightly underestimated (i.e., SSB may be slightly underestimated and landed
328 catch may be slightly overestimated).

329

330 **3.5 Projection Methodologies**

331 A total of 44 projection scenarios were completed (Table 2), with each scenario run for ten
332 iterations in order to generate standard deviations of projected series. Each projection scenario was
333 run for 100 years. Due to IBLS limitations, changes to life history and/or management occurred
334 instantaneously due to the inability of the model to gradually apply these changes. These changes
335 occurred 10 years into the projection period. To test the sensitivity of the model to the location of
336 these changes, additional tests were completed for 20 and 30 years into the projection period. This
337 did not affect the equilibria or overall long-term projection results (see supplemental material;
338 Figure S1). These blocks, before and after the changes were made, can be considered life history
339 regimes that may also incur changes to management practices. For all scenarios outlined in Table
340 2, hindcasted and projected time series of recruitment abundance, SSB, landed catch, and legal
341 biomass were generated. Additionally, sex-specific equilibria for these same time series were
342 produced, representing the median of the final ten years of the projected time series (and across
343 the ten model iterations for each projection scenario).

344

345 **4.0 Results**

346 **4.1 Climate Projections Under Status Quo Management**

347 GOM/GBK American lobster recruitment abundance, SSB, landed catch, and legal
348 biomass all rose substantially from the 1980s through 2018 (Figure 3). Under the set-bin schema,
349 basecase projections for recruitment showed a relatively stable timeseries. All future values of
350 recruitment appear to have been drawn from the fifth (highest) bin. This steady period of relatively
351 high recruitment led to high and stable SSB, landed catch, and legal biomass over the projected
352 period (Figures 3 and 4). Each subsequent climate scenario (Low, Moderate, and Extreme)
353 negatively impacted recruitment and in the tenth year of the projection, recruitment quickly
354 reached a new, lower equilibrium related to the level of climate change (Figures 3 and 4). This
355 decrease correspondingly impacted both legal biomass and landed catch.

356 Landed catch in the projection period was particularly related to recruitment trends
357 (Figures 3 and 4), with a given percent decrease in recruitment during a future climate regime
358 resulting in at least that same proportion decrease of overall catch. This decreasing trend in catch
359 across climate scenarios is also related to the number of legal-sized females being unavailable to
360 the fishery due to decreasing L_{50} and conservation measures. This increase in the proportion of
361 smaller mature females also skews the sex distribution of legal biomass to be more female-
362 dominant under more intense climate change scenarios (Figure 4). Additionally, this increased
363 protection of females due to decreasing L_{50} results in less severe decreases to legal biomass seen
364 across the future climate scenarios than those seen for landed catch (Figures 3 and 4). This
365 disconnect was also partially due to M throughout the year, where an overall larger number of
366 lobsters died due to M between the end of the year and the subsequent fishing season.

367 Interestingly, SSB seemed to be positively impacted under some of the future climate
368 scenarios (Figures 3 and 4). In the Low and Moderate climate scenarios, SSB equilibria were
369 higher than in the basecase. This is because of the lowered L_{50} , which made a larger subset of the
370 population that is unavailable to the fishery, sexually mature (and female-dominant). This is also
371 the reason for the large spike in SSB seen in the tenth year of the projections (Figure 3); this spike
372 represents a significant number of lobsters that already existed (i.e., not new lobsters), immediately
373 becoming sexually mature (this phenomenon is also seen in the recruitment projections and is a
374 consequence of the limitations of the model and not considered biologically realistic). This trend
375 of increasing equilibria does not continue into the Extreme climate scenario, however (Figure 4).
376 Here, M has become high enough to counteract the positive effect on SSB felt by the decreased
377 L_{50} . Furthermore, most sexually mature females in this future climate scenario are very small in
378 comparison to other climate scenarios, decreasing the overall SSB (even though spawning stock
379 abundance could still be relatively high).

380 Under the rising-bin schema, there existed similar patterns across climate scenarios for
381 recruitment, SSB, landed catch, and legal biomass (Figures 3 and 4). However, due to recruitment
382 not being constrained to historical levels, SSB increased to much higher levels in both the Low
383 and Moderate climate scenarios. In these instances, recruitment, landed catch, and legal biomass
384 all subsequently rose (with legal biomass equilibria in these scenarios now above that for the
385 basecase). This same pattern was seen to a lesser degree in the Extreme climate scenario. Sex ratios
386 of recruitment, SSB, landed catch, and legal biomass remained relatively consistent between the
387 set-bin schema and the rising-bin schema for all future climate scenarios.

388

389 **4.2 Climate Projections Under Changes to Future Management Schema**

390 Equilibria for each management scenario are presented in Figures 5, 6, and 7. For time
391 series plots of these management scenarios, see the supplemental material (Figures S2, S3, and
392 S4). Each of the six future changes to management conserved more SSB in long-term projections
393 in comparison to basecase projections (Figures 5, 6, and 7). As was expected, increases to the
394 minimum legal size and decreases to fishing effort both had a positive relationship with SSB.
395 These two management scenarios together (MLS 88 ER 1 and MLS 93 ER 2) had a larger,
396 combined effect on SSB than either management action alone. These relationships were held
397 through all three future climate scenarios (Figures 5, 6, and 7), but the proportion increase in SSB
398 under each scenario changed, with more climate change resulting in less effective management
399 actions. These relationships were also held in both the set-bin and rising-bin schemas. However,
400 because SSB was always greater than the basecase across these management scenarios and
401 recruitment in the set-bin schema could never be larger than values observed in the basecase,
402 projected recruitment across all management scenarios in the set-bin schema were
403 indistinguishable from recruitment under scenarios with status quo management (Figures 5, 6, and
404 7). In the rising-bin schema, however, recruitment was not as constrained. Thus, under the Low
405 future climate scenario, recruitment was able to rise above basecase values. However, under both
406 the Moderate and Extreme future climate scenarios, recruitment was more negatively impacted by
407 the R_P _Scalar and did not rise above basecase projections under any changes to future management.
408 Management actions of reducing fishing effort had a larger impact on recruitment levels than did
409 raising the minimum legal size.

410 Landed catch, being heavily correlated with recruitment (see section 4.1), had similar
411 trends in the set-bin schema, where projected catch under all management scenarios did not rise
412 above basecase levels. However, also similar to recruitment, landed catch was able to rise above

413 basecase levels in the rising-bin schema under a Low future climate scenario. This same trend did
414 not occur in either the Moderate or Extreme future climate scenario. Furthermore, there does
415 appear to be a disconnect between landed catch and legal biomass. More legal biomass is the result
416 of more conservative management schema, but the proportion of this legal biomass that is caught
417 is also negatively correlated with the same conservative management schema, keeping landed
418 catch at relatively similar levels across different regulatory actions. Thus, SSB and legal biomass
419 appear to be more influenced by management actions, whereas recruitment and landed catch
420 appear to be more influenced by climate change.

421 Clear relationships emerged between management actions and the sex ratios of SSB, landed
422 catch, and legal biomass. Under status quo management, SSB is female-dominant. However, the
423 proportion of SSB that is female had a positive relationship with changes to minimum legal size,
424 but a negative relationship with fishing effort. When effort was drastically reduced (ER 2 and MLS
425 93 ER 2), SSB even became male-dominant (Figures 5, 6, and 7). Landed catch was male-
426 dominant across all management scenarios, and legal biomass was female-dominant across all
427 management scenarios (Figures 5, 6, and 7). However, more conservative management actions
428 usually led to a broadening of these ratios, where landed catch became even more male-dominant
429 and legal biomass became even more female-dominant.

430

431 **5.0 Discussion**

432 Numerous studies qualify and quantify the relationship of specific American lobster life
433 history characteristics to rising temperatures (Mills et al. 2013; Boudreau et al. 2015; Staples et al.
434 2019; Goode et al. 2019; Tanaka et al. 2019; Hodgdon et al. 2021; Hodgdon et al. 2022A; Hodgdon
435 et al. 2022B). This study expands upon work done by Hunt et al. (2023) by simulating and

436 projecting the GOM/GBK American lobster stock using cumulative effects of climate change on
437 a suite of lobster life history characteristics. The future of American lobster in the northwest
438 Atlantic is highly dependent on future climate conditions.

439 American lobster recruit survival is adversely affected by climate change via extreme
440 warming (Casey et al. 2022; Hodgdon et al. 2022B) and ocean acidification (Menu-Courey et al.
441 2019). This relationship formed the assumption in this study that overall recruitment would decline
442 under more intense levels of climate change in the GOM/GBK stock region. Without management
443 interventions, projected catch is directly impacted by these changes in recruitment, and in turn, is
444 adversely affected by warming waters. This is because catch compositions of lobster are highly
445 skewed towards the minimum legal size (Acheson & Reidman 1982; ASMFC 2020) and, thus,
446 there is a strong correlation of catch levels and population size of the individuals nearest minimum
447 legal size. When considering differences between this study's set-bin and rising-bin schemas for
448 estimating recruitment, Low levels of climate change on the stock could lead to higher recruitment
449 and catches if the stock-recruit relationship continues and does not plateau at recently observed
450 recruitment levels. However, under Moderate and Extreme levels of climate change, it becomes
451 more likely that recruitment and catches will decline regardless of whether the stock-recruit
452 relationship continues.

453 Management actions considered in this study consisted of minimum legal size increases
454 and actions that would reduce fishing effort. These measures are meant to preserve the breeding
455 population of the stock and address growth overfishing (Fogarty & Gendron 2004; Mazur et al.
456 2019A; ASMFC 2020; Hunt et al. 2023). This feedback in the IBLs projections was apparent,
457 with more conservative measures raising the overall SSB compared to status quo management
458 schema (Figures 5, 6, and 7). Reducing fishing effort had an inverse relationship with the

459 proportion of females comprising SSB, with extreme reductions in effort shifting the sex ratio to
460 male-dominant at equilibrium. This change may be because female lobsters are limited in their
461 growth after reaching sexual maturity, dedicating more energy towards reproduction and away
462 from molting (Attard & Hudon 1987; Koepper et al. 2021). Thus, under highly reduced effort,
463 male lobsters have a greater capacity to reach larger sizes (and grow beyond the maximum legal
464 size), individually contributing more to SSB. This phenomenon is not apparent in management
465 scenarios that only increase the minimum legal size. Increasing the minimum legal size shifts the
466 catch composition to larger individuals. Relatively fast-growing males are still caught in lobster
467 pots (and subsequently landed), there is just a greater delay between model recruitment and
468 reaching minimum legal size. Unlike SSB, landed catch was male-dominant across all
469 management scenarios and legal biomass was female-dominant across all management scenarios.
470 This is most likely due to female lobsters having more protections than males under status quo
471 management (Theberge et al. 2024). Thus, even though more conservative management scenarios
472 promote increases in both male and female legal biomasses, the positive effects on female biomass
473 are compounded. Furthermore, more conservative management scenarios result in a widening of
474 the disparity between males and females in both legal biomass and landed catch. Thus, highly
475 conservative management measures, reductions in effort or increases to the minimum legal size,
476 will result in catches of lobster that are exceedingly male-dominant and will also significantly
477 increase the relative biomass of females that cannot legally be landed. In general, management
478 changes had much larger observable impacts on SSB and those increases in SSB did not always
479 have a proportional impact on catch or recruitment due to climate change impacts on growth and
480 natural mortality.

481 The current American lobster stock assessment does not implement a stock-recruit
482 relationship (ASMFC 2020; Hodgdon et al. 2022B). It is generally difficult to estimate an explicit
483 stock-recruit relationship for many crustaceans, lobster included, because there are a myriad of
484 environmental factors influencing recruitment trends (Aiken & Waddy 1986; Casey et al. 2022;
485 McManus et al. 2023; McManus 2024). This disconnect is what originally led to the development
486 and implementation of the weak stock-recruit relationship in the IBLS (Mazur et al. 2019B). It is
487 understood that post-larval recruitment dynamics may be strongly mediated by environmental
488 factors (Carloni et al. 2018; Hodgdon et al. 2022B; Carloni et al. 2024; Shank et al. 2024), which
489 presented a problem for the projections. The weak stock-recruit relationship dictates that
490 recruitment is only impacted by relatively large changes to SSB (i.e., when SSB moves from one
491 bin to another; see section 3.3). The terminal SSB bin in the set-bin schema was anything larger
492 than 33000 mt. Projected SSB varied substantially with changes in management in the projected
493 period, but never fell below this threshold (Figures 5, 6, and 7), ensuring an unchanging
494 recruitment pattern over the same period. Due to this disconnect, all projections with the set-bin
495 schema under all alternative management scenarios are to be interpreted as how these regulatory
496 actions would impact the population under the current recruitment regime. This interpretation
497 seems more biologically plausible for management actions that do not drastically alter SSB. In
498 very conservative management scenarios, SSB has the potential to increase more than 25 fold
499 above current levels (Figure 5). It is unlikely that this substantial of an increase in SSB of lobster
500 would have a negligible impact on recruitment (and subsequent catch). However, under less
501 conservative management scenarios, where spawning biomass may only increase marginally, the
502 argument that recruitment and subsequent catch goes unimpacted is more reasonable given: (1)
503 that the management actions used in this study are designed to conserve SSB (Fogarty & Gendron

504 2004; Mazur et al. 2019A; ASMFC 2020; Hunt et al. 2023), (2) there is a known complex
505 relationship between SSB and post-larval recruitment (Carloni et al. 2018; Hodgdon et al. 2022B;
506 Carloni et al. 2024; Shank et al. 2024), and (3) that catches of lobster are correlated with abundance
507 of the smallest individuals of the legal sizes (Acheson & Reidman 1982; ASMFC 2020).

508 Future trends of recruitment in the GOM/GBK stock area represent a large source of
509 uncertainty in this study, hence the utilization of both the rising- and set-bin schemas. The set-bin
510 schema represents a suite of future scenarios in which recruitment has already reached a potential
511 upper limit for the stock area and future increases to SSB are offset by declining recruitment (Casey
512 et al. 2022) and female fecundity (Goldstein et al. 2022). However, there's uncertainty about
513 whether future GOM/GBK recruitment will react to thermal changes in the same way as
514 recruitment has in Southern New England (Shank et al. 2024). The rising-bin schema was intended
515 to capture a more optimistic suite of future recruits per spawner scenarios where these negative
516 effects are lessened or non-existent compared to the set-bin schema. Both schemas are presented
517 to represent a more realistic range of potential future recruitment, but neither schema alone
518 represents the bounds for what future recruitment could be for this stock. The rising-bin schema
519 used in this study is perhaps more biologically realistic, allowing recruitment patterns to fluctuate
520 at these very high levels of projected SSB. This claim is substantiated by the recent GOM/GBK
521 stock assessment, where a state-space trajectory of stock-recruit steepness has remained constant
522 in recent years, with no sign of approaching an upper limit (ASMFC 2020), which indicates that
523 recruitment in the GOM/GBK stock could reach higher levels (although not confirming that it
524 actually will). If the rising-bin schema is more biologically plausible, more conservative
525 management actions should lead to greater recruitment and overall catch. It is important to note
526 that, in the rising-bin schema, increases to minimum legal size always led to increased catch

527 compared to status quo management under the same level of climate change, an outcome not seen
528 with reductions in effort. Benefits of more conservative management actions are only observed
529 when considered within a given future climate regime (i.e., when compared to the status quo
530 management schema in the same future climate scenario). The more climate change impacts the
531 GOM/GBK ecosystem, the less effective management actions will become at conserving SSB and
532 keeping recruitment and catches high. This is most likely due to the increasing proportion of
533 smaller individuals comprising the SSB under more intense warming scenarios (i.e., the more
534 waters warm, the less big old fecund females, or BOFFs, in the stock). Broadly, the effectiveness
535 of future management decisions on the lobster fishery may decline as the GOM/GBK stock area
536 continues to warm, a phenomenon not unique to the lobster fishery (Noble et al. 2015; Mason et
537 al. 2023).

538 The future of the American lobster fishery is predicted to be severely impacted by the
539 region's intense climate change (Mills et al. 2013; Boudreau et al. 2015; Staples et al. 2019; Goode
540 et al. 2019; Tanaka et al. 2019; Hodgdon et al. 2021; Hodgdon et al. 2022A; Hodgdon et al.
541 2022B). However, the cumulative results of this study should not be interpreted as the range of all
542 possible futures for this stock and fishery. The IBLS framework allows for consideration of a
543 myriad of potential population threats brought about by a warming ecosystem, such as increased
544 predation and shell disease prevalence, via its ability to simulate multitudes of potential future
545 natural mortalities and growth rates. However, it is limited in its capability to appropriately
546 consider sub-stock dynamics, such as migration and recruitment into deeper offshore habitats
547 which could result in lowered reproductive success for this fishery (Goode et al. 2019; Casey et
548 al. 2022). There are also numerous unknowns associated with recruitment growth and
549 survivability, as well as the link recruitment has with the spawning stock (Goldstein et al. 2025),

550 a key limitation surrounding recruitment in this study. Additionally, there are a plethora of
551 unknown factors that could influence this stock under each of the warming scenarios tested in this
552 study and careful consideration should be given to these uncertainties when interpreting results in
553 the context of management. These simulations are based on the observed influences of temperature
554 on lobster life history (e.g., Southern New England stock dynamics) and do not consider emergent
555 and unique GOM/GBK stock responses to climate change (Shank et al. 2024).

556 Stocks like GOM/GBK American lobster that are projected to be significantly impacted by
557 rising temperatures and other effects of climate change are at risk of less effective status quo
558 management under these new potential futures (Noble et al. 2015; Mason et al. 2023). This
559 relationship between management actions and climate change necessitates adaptive management
560 practices such as the use of dynamic reference points (Subbey et al. 2024; Hodgdon et al. 2022B).
561 For American lobster, environmental considerations are already present in some aspects of the
562 stock assessment process including in the design of regime-based reference points (ASMFC 2020).
563 Nevertheless, the incorporation of truly dynamic, environmentally explicit, reference points have
564 the potential to greatly improve future management of the GOM/GBK lobster stock because
565 management will need to continually adjust (Hodgdon et al. 2022B).

566 This study has shown that the future of the American lobster fishery is dictated by the
567 warming trends in the GOM/GBK stock area. In the absence of management actions, the
568 equilibrium of projected recruitment and catches declines with more intense climate change. It is
569 important to note, however, that unforeseen impacts of climate change have the potential to
570 mitigate or worsen these effects on the stock and fishery. Furthermore, in the absence of a stock-
571 recruit relationship that allows increasing recruitment rates, conservative regulatory actions have
572 negligible impacts on recruitment trends and catch rates. Conversely, in the presence of a stock-

573 recruit relationship that allows increasing recruitment rates with larger SSB values, conservative
574 regulatory actions do have the potential to sustain and even increase recruitment levels and catch
575 sizes. However, the effectiveness of these management actions on the SSB of the stock appears to
576 decline with greater impacts of climate change. Thus, with higher levels of climate change in the
577 GOM/GBK stock area, more conservative management actions may be necessary to sustain stock
578 levels and catches. This poses a problem for managers that need to make decisions for the future
579 of the fishery. Given the uncertainties surrounding trends in future recruitment, results from both
580 the rising-bin and set-bin schemas should be considered when developing future management
581 actions. Furthermore, to determine the most appropriate management actions given future climate
582 change, a full management strategy evaluation (MSE) should be conducted under varying
583 recruitment levels and climate impacts. The IBLs could act as this MSE framework, but changes
584 would need to be made to include climate effects in ways other than set climate scenarios and to
585 consider more realistic feedback loops between management and climate. Future research towards
586 these MSE frameworks is becoming increasingly important as climate change in the region
587 continues to warm at accelerated rates where management actions are increasingly less capable of
588 sustaining high catch rates.

589

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601

602 **6.2 Competing Interests Statement**

603 The authors declare there are no competing interests.

604

605 **6.3 Author Contributions Statement**

606 CH: Conceptualization, Data Curation, Formal Analysis, Funding Acquisition, Methodology,
607 Software, Visualization, Writing – original draft, Writing – review & editing.

608 NH: Conceptualization, Formal Analysis, Software, Writing – review & editing.

609 CM: Conceptualization, Methodology, Writing – review & editing, Supervision.

610 BS: Conceptualization, Methodology, Writing – review & editing, Supervision.

611 YC: Conceptualization, Funding Acquisition, Methodology, Writing – review & editing,
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613

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618

619 **6.5 Data Availability Statement**

620 All data and code used in this study can be obtained on the following public GitHub repository:

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622

623 **7.0 References**

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823 **Tables**

824 **Table 1.** A summary of all scalars applied to life history traits in the IBLS under the basecase and
 825 under each of the three future climate scenarios: Low, Moderate, and Extreme. M_P_Scalar is applied
 826 to natural mortality, P_M_Scalar is applied to molting probability, DM_P_Scalar is applied to double molt
 827 probability, MM_Scalar is applied to molting mortality, P_I_Scalar is applied to molt increment
 828 distribution, P_{SAM_Scalar} is applied to probabilistic size-at-maturity, and R_P_Scalar is applied to
 829 projected recruitment. Refer to section 3.3 and equations 1 through 9 for how each scalar is
 830 utilized.

	Basecase	Low	Moderate	Extreme
M_P_Scalar	1.00	1.50	2.00	3.00
P_M_Scalar	0.00	1.00	2.00	3.00
DM_P_Scalar	1.00	1.50	2.00	3.00
MM_Scalar	1.00	1.50	2.00	3.00
P_I_Scalar	0.00	1.00	2.00	3.00
P_{SAM_Scalar}	0.00	5.60	11.20	16.80
R_P_Scalar	1.00	0.75	0.50	0.25

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842 **Table 2.** All projections done for this study. Basecase projections of the IBLS represent no future
 843 climate impacts on lobster life history and no changes to future management regulations.
 844 Projections for each future climate scenario, Low, Moderate, and Extreme, were done in the
 845 absence of changes to future management and under six different future management scenarios,
 846 representing changes to minimum legal size (MLS), encounter rate (ER), or both for a total of 44
 847 projections (22 projection scenarios each run with a set-bin schema and with a rising-bin schema
 848 for recruitment). *CL* is carapace length and mm is millimeters. Note that ER is decreased by
 849 applying a scalar to F, not ER directly. The rightmost column lists the names of the future
 850 management scenarios used in Figures 5-7.

Basecase	Low	Moderate	Extreme	Name:
No Management Changes	No Management Changes	No Management Changes	No Management Changes	-
	Decrease ER by decreasing F 25%	Decrease ER by decreasing F 25%	Decrease ER by decreasing F 25%	<i>ER 1</i>
	Decrease ER by decreasing F 50%	Decrease ER by decreasing F 50%	Decrease ER by decreasing F 50%	<i>ER 2</i>
	Raise MLS to 88 mm <i>CL</i>	Raise MLS to 88 mm <i>CL</i>	Raise MLS to 88 mm <i>CL</i>	<i>MLS 88</i>
	Raise MLS to 93 mm <i>CL</i>	Raise MLS to 93 mm <i>CL</i>	Raise MLS to 93 mm <i>CL</i>	<i>MLS 93</i>
	Raise MLS to 88 mm <i>CL</i> and decrease ER by decreasing F 25%	Raise MLS to 88 mm <i>CL</i> and decrease ER by decreasing F 25%	Raise MLS to 88 mm <i>CL</i> and decrease ER by decreasing F 25%	<i>MLS 88 ER 1</i>
	Raise MLS to 93 mm <i>CL</i> and decrease ER by decreasing F 50%	Raise MLS to 93 mm <i>CL</i> and decrease ER by decreasing F 50%	Raise MLS to 93 mm <i>CL</i> and decrease ER by decreasing F 50%	<i>MLS 93 ER 2</i>

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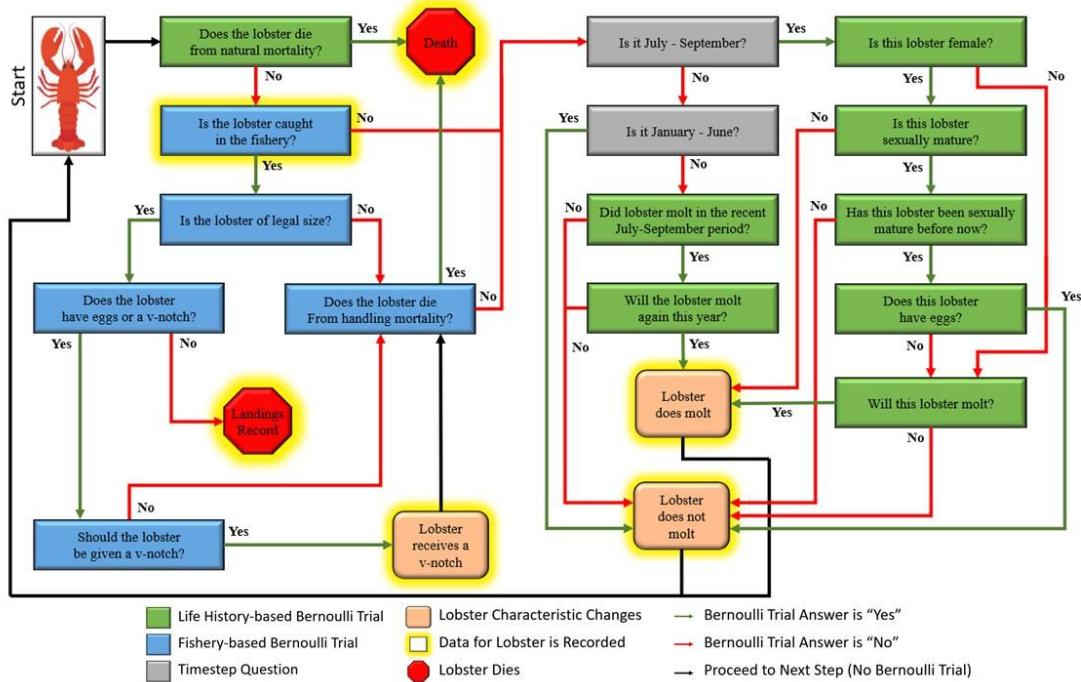
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856 **Figures**

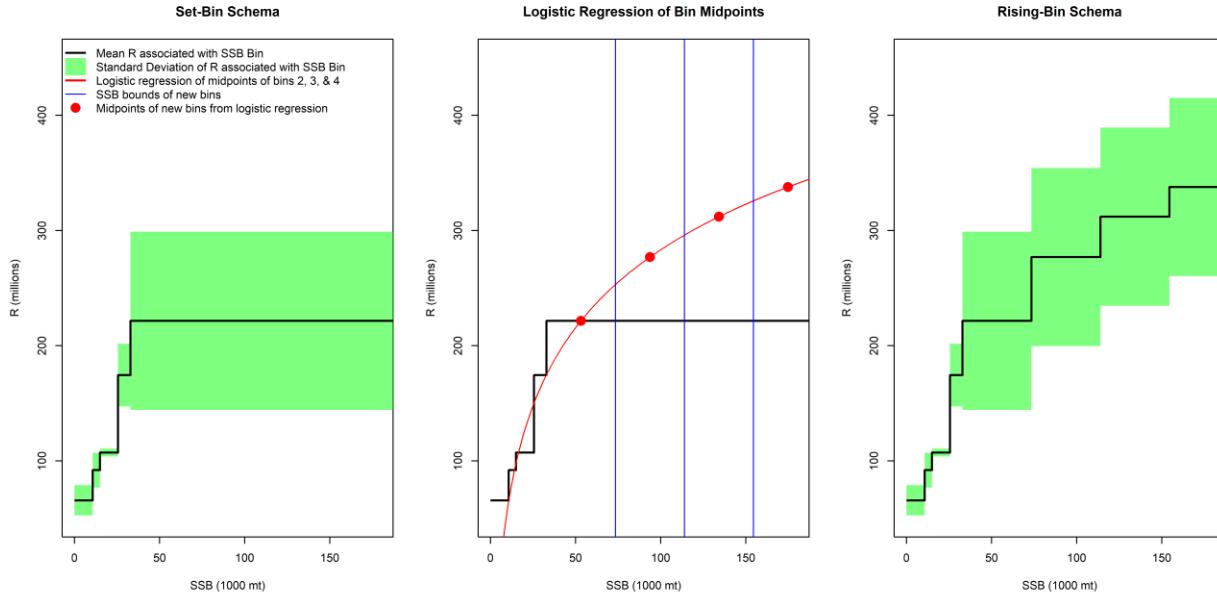


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859 **Figure 1.** Flowchart of the Individual-based Lobster Simulator (IBLS). Each individual American
 860 lobster starts their simulated life and proceeds through each random Bernoulli trial represented as
 861 a either a green box (Bernoulli trial pertaining to life history), a blue box (Bernoulli trial pertaining
 862 to the fishery), or a gray box (Bernoulli trial pertaining to seasonality). If the outcome of the
 863 Bernoulli trial is “yes/true”, the green arrow is followed to the next trial. If the outcome of the
 864 Bernoulli trial is “no/false”, the red arrow is followed to the next trial. An orange box designates
 865 an action done on the lobster (either molting or receiving a v-notch). From these boxes, there is
 866 only one outcome, and the black line is followed to the next Bernoulli trial. Red octagons represent
 867 the end of the iterations and yellow outlines on boxes represent when information is recorded on
 868 the life history record of the individual lobster.

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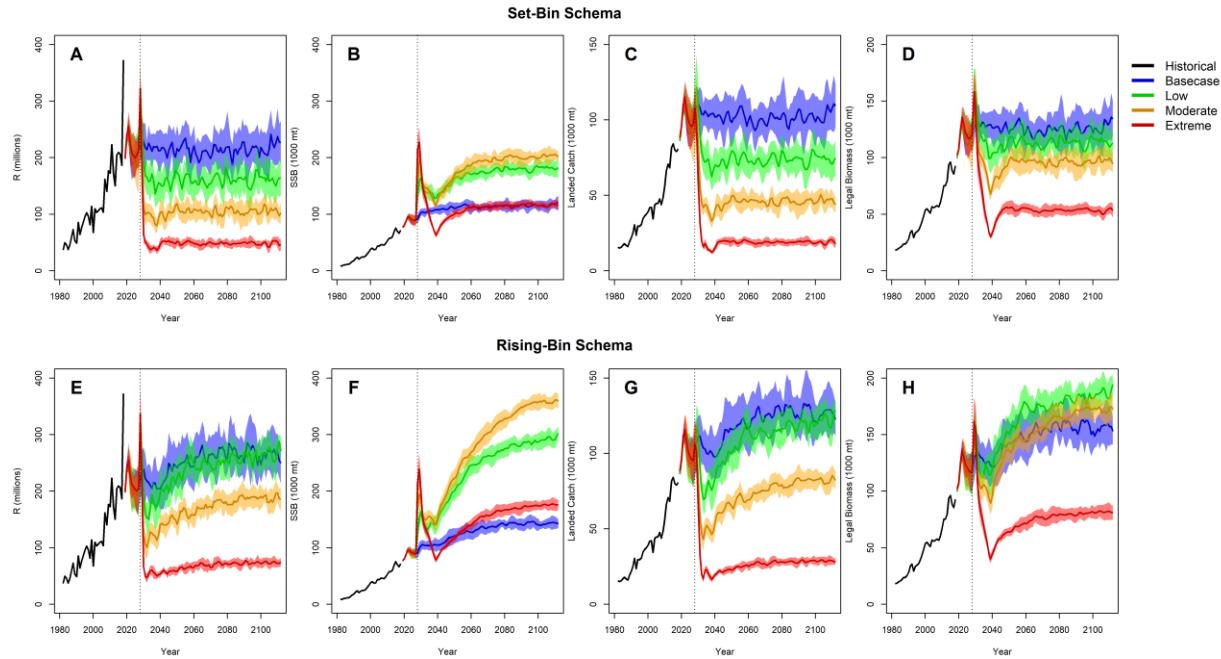


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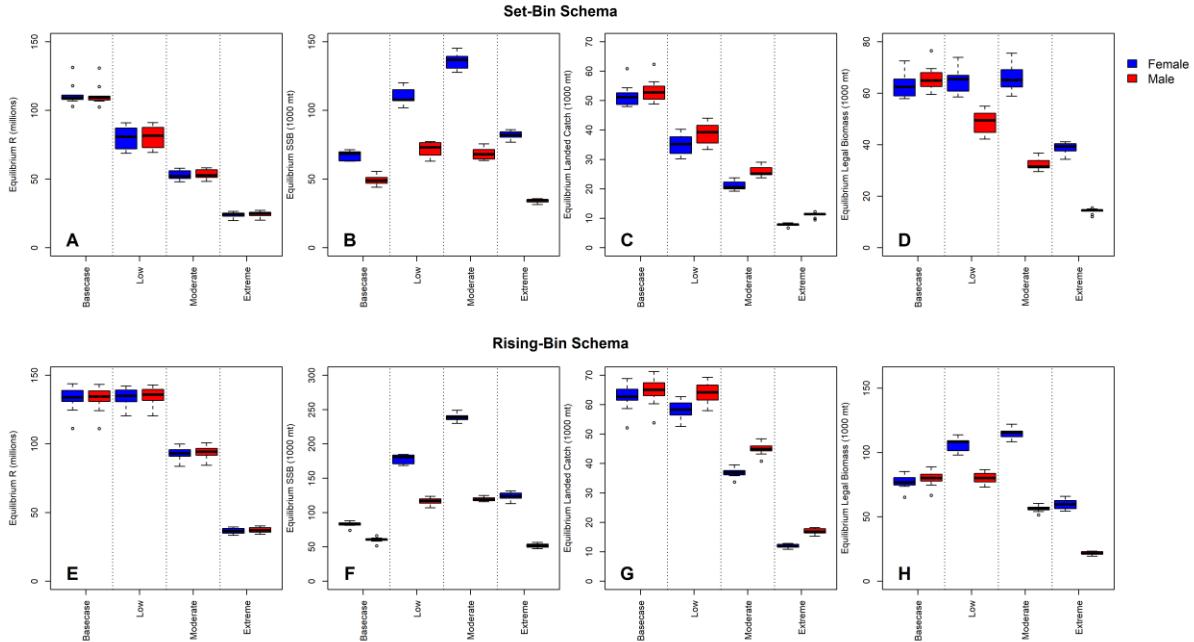
Figure 2. A comparison of the set-bin schema and the rising-bin schema used to project recruitment in the IBLS. Each plot represents recruitment (R) in millions of individuals on the y axis and spawning stock biomass (SSB) in thousands of metric tons (mt) on the x axis. The left plot depicts the set-bin schema, where the black line is the mean R associated with each SSB bin and thus follows the weak stock-recruit function through five bins. Here, the fifth and final bin is ~33000 mt SSB and higher. Green bounds represent the standard deviation of R within each bin. The middle plot depicts the logistic regression (red line) fit to the midpoints of bins two, three, and four. The leftmost red dot shows where the regression intersects with bin five from the set-bin schema, which now represents the midpoint of the new bin five in the rising-bin schema. The leftmost blue line represents the SSB value associated with the upper bound of this new bin five used in the rising-bin schema. The range of SSB in this new bin five is the range used for each subsequent bin in the rising-bin schema and each red dot on the regression depicts the solution of the logistic regression at each new bin midpoint. The left plot depicts the rising-bin schema, where the black line is the mean R associated with each SSB bin and thus follows the weak stock-recruit function through the first five bins. After bin five, each new bin is generated using the bounds (blue lines) and mean R values (red dots) from the middle plot. Green bounds represent the standard deviation of bin five from the set-bin schema is used as the standard deviation of bins five and higher in the rising-bin schema.

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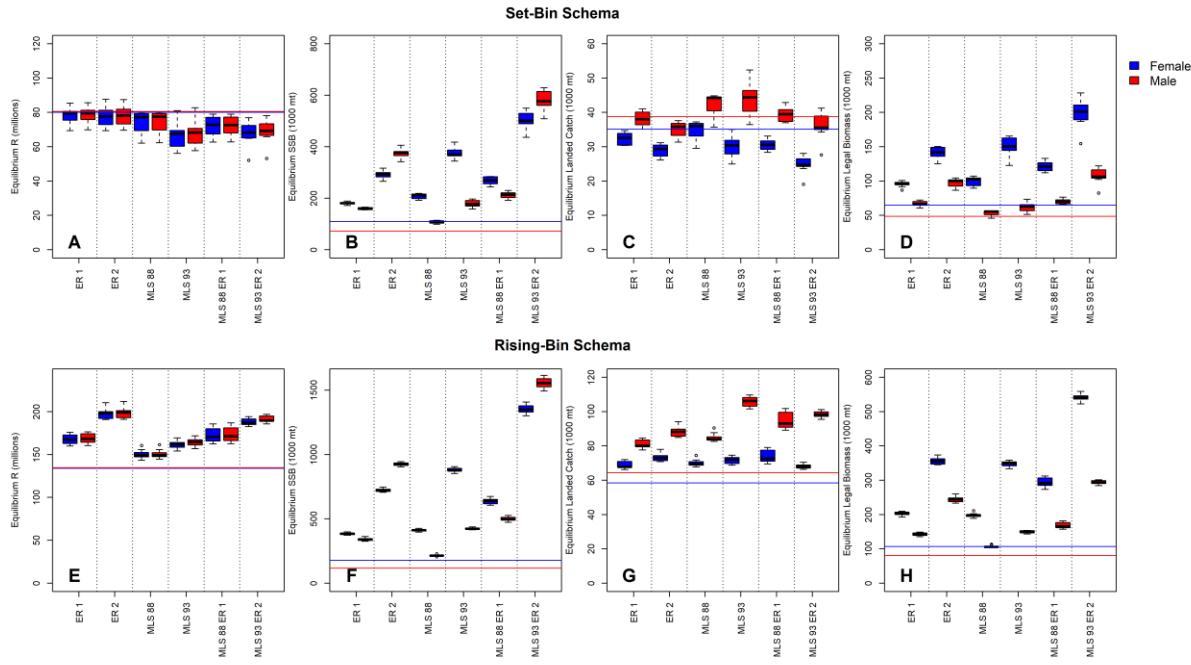
Figure 3. Projections under status quo management. Historical and projected recruitment abundance (R) in millions of individuals (A & E), spawning stock biomass (SSB) in thousands of metric tons (B & F), landed catch in thousands of metric tons (C & G), and legal biomass in thousands of metric tons (D & H). Results are presented for the historical period (black), and the projected period under four future climate scenarios: Basecase (blue), Low (green), Moderate (orange), and Extreme (red). Projections were conducted under both the set-bin schema (top; A-D) and the rising-bin schema (bottom; E-H) under status-quo management. Colored bands represent the standard deviation of the projected series under each future climate scenario. The dotted vertical line represents the projection year when the life history changes brought about by climate change occurred (2028).

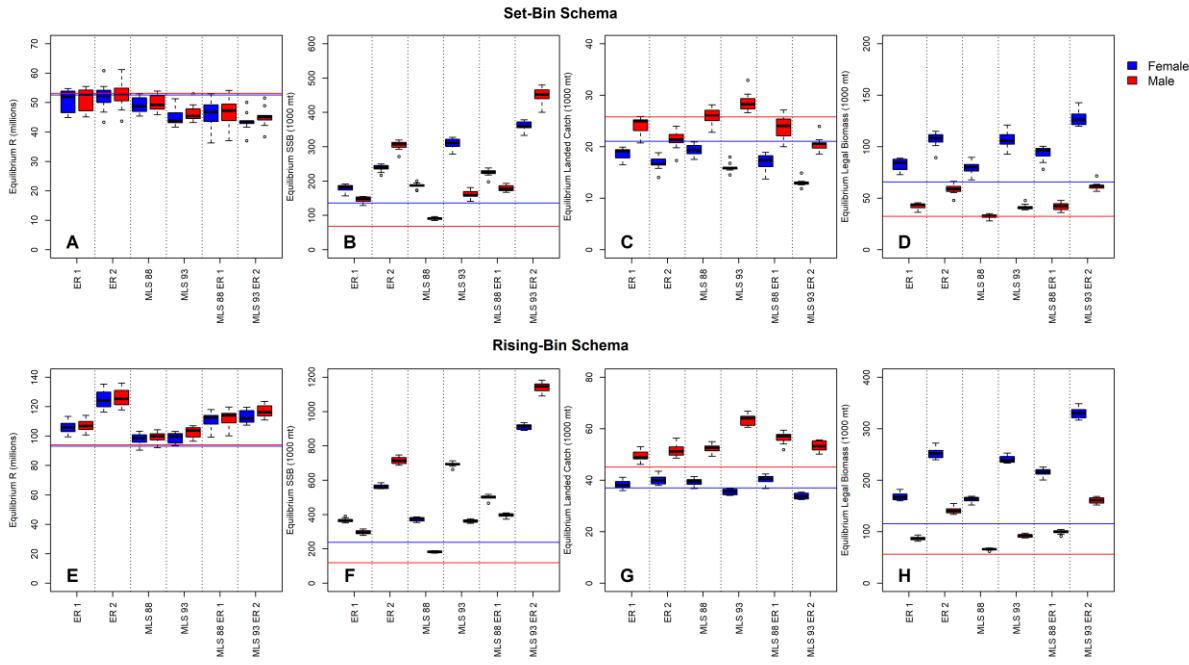


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906 **Figure 4.** Equilibria under status quo management. Sex-specific equilibrium recruitment
907 abundance (R) in millions of individuals (A & E), spawning stock biomass (SSB) in thousands of
908 metric tons (B & F), landed catch in thousands of metric tons (C & G), and legal biomass in
909 thousands of metric tons (D & H). Equilibria are the median values across the final ten years of
910 the projection period (and across the ten model iterations) under the four future climate scenarios:
911 Basecase, Low, Moderate, and Extreme, each of which was projected under status-quo
912 management. Each box displays the sex-specific estimated equilibrium (solid line), the
913 interquartile range of the estimated equilibrium (colored box), minimum and maximum values of
914 the series in the last ten years of the projection period across the ten model iterations excluding
915 outliers (whiskers), and any outliers in the last ten years of the projection period across the ten
916 model iterations (points). Note any changes of scale between the set-bin schema (top; A-D) and
917 the rising-bin schema (bottom; E-H).
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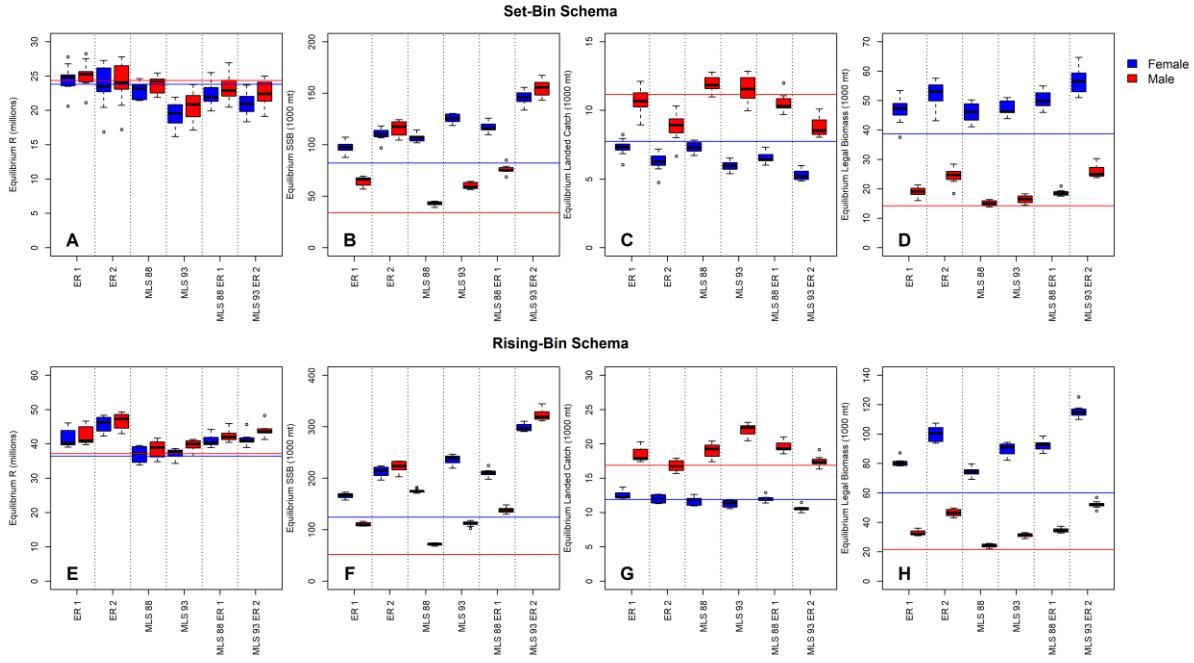
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921 **Figure 5.** Impacts of management relative to status-quo management for the Low future climate
922 scenario. Sex-specific equilibrium recruitment abundance (R) in millions of individuals (A & E),
923 spawning stock biomass (SSB) in thousands of metric tons (B & F), landed catch in thousands of
924 metric tons (C & G), and legal biomass in thousands of metric tons (D & H). Equilibria are the
925 median values across the final ten years of the projection period (and across the ten model
926 iterations) for the management schema described in Table 2 under the Low future climate scenario.
927 Each box displays the sex-specific estimated equilibrium (solid line), the interquartile range of the
928 estimated equilibrium (colored box), minimum and maximum values of the series in the last ten
929 years of the projection period across the ten model iterations excluding outliers (whiskers), and
930 any outliers in the last ten years of the projection period across the ten model iterations (points).
931 Note any changes of scale between the set-bin schema (top; A-D) and the rising-bin schema
932 (bottom; E-H). The blue and red lines in each plot are the female and male equilibria, respectively,
933 of the Low future climate scenario under the status quo management schema (see Figure 4).
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937 **Figure 6.** Impacts of management relative to status-quo management for the Moderate future
938 climate scenario. Sex-specific equilibrium recruitment abundance (R) in millions of individuals
939 (A & E), spawning stock biomass (SSB) in thousands of metric tons (B & F), landed catch in
940 thousands of metric tons (C & G), and legal biomass in thousands of metric tons (D & H).
941 Equilibria are the median values across the final ten years of the projection period (and across the
942 ten model iterations) for the management schema described in Table 2 under the Moderate future
943 climate scenario. Each box displays the sex-specific estimated equilibrium (solid line), the
944 interquartile range of the estimated equilibrium (colored box), minimum and maximum values of
945 the series in the last ten years of the projection period across the ten model iterations excluding
946 outliers (whiskers), and any outliers in the last ten years of the projection period across the ten
947 model iterations (points). Note any changes of scale between the set-bin schema (top; A-D) and
948 the rising-bin schema (bottom; E-H). The blue and red lines in each plot are the female and male
949 equilibria, respectively, of the Moderate future climate scenario under the status quo management
950 schema (see Figure 4).
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954 **Figure 7.** Impacts of management relative to status-quo management for the Extreme future
955 climate scenario. Sex-specific equilibrium recruitment abundance (R) in millions of individuals
956 (A & E), spawning stock biomass (SSB) in thousands of metric tons (B & F), landed catch in
957 thousands of metric tons (C & G), and legal biomass in thousands of metric tons (D & H).
958 Equilibria are the median values across the final ten years of the projection period (and across the
959 ten model iterations) for the management schema described in Table 2 under the Extreme future
960 climate scenario. Each box displays the sex-specific estimated equilibrium (solid line), the
961 interquartile range of the estimated equilibrium (colored box), minimum and maximum values of
962 the series in the last ten years of the projection period across the ten model iterations excluding
963 outliers (whiskers), and any outliers in the last ten years of the projection period across the ten
964 model iterations (points). Note any changes of scale between the set-bin schema (top; A-D) and
965 the rising-bin schema (bottom; E-H). The blue and red lines in each plot are the female and male
966 equilibria, respectively, of the Extreme future climate scenario under the status quo management
967 schema (see Figure 4).
968