

1      **Vertical Structure of Turbulence in the Lower Atmospheric Boundary**  
2      **Layer above a Deciduous Forest in Complex Terrain**  
3

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12

## ABSTRACT

13 It is well known that parameterizations developed using observations from flat terrain have  
14 difficulty over complex terrain, which motivates a better understanding of turbulence  
15 exchanges occurring in these areas. In this work we addressed the question of how the  
16 vertical variability of turbulence features evolves over the lowest few hundred meters of the  
17 convective and nocturnal boundary layer above a forested ridge as a function of cloud cover  
18 and mean wind. We used one year of observations obtained from a WindCube V2.1 lidar  
19 installed in eastern Tennessee in the Southeast U.S. coupled with observations from a 60-m  
20 micrometeorological tower. The wind lidar has 20-m range gates spanning from 40 m to 300  
21 m above ground. We used the lidar's high-frequency observations to derive turbulent kinetic  
22 energy (TKE), vertical velocity variance ( $\sigma_w^2$ ), vertical velocity skewness (S), and kurtosis  
23 (K). We observed the largest decrease in the diurnal wind speed on clear, windy days. Under  
24 clear sky conditions, increasing TKE and  $\sigma_w^2$  yielded positive S throughout the lower  
25 convective boundary layer. Under cloudy regimes, the distribution of TKE was height-  
26 independent and corresponded with smaller  $\sigma_w^2$  and near-zero S. Our results provide insights  
27 into turbulence processes over forested complex terrain and support the refinement of  
28 turbulence parameterizations used in forecasting models.

29

30 **1. Introduction**

31 It has been well-established within the scientific community that the current  
32 approaches for representing turbulent exchange processes that were developed using  
33 observations from flat, homogenous terrain struggle in areas with complex terrain, diverse  
34 land cover types, or both (e.g., Wulfmeyer et al., 2011; Fernando et al., 2015). Despite much  
35 progress in mountain meteorology over the past few decades (Whiteman, 2000), large gaps  
36 remain in our knowledge of the multi-scale flow interactions occurring over complex terrain.  
37 Most of the research and forecast challenges arise because of somewhat limited observations  
38 over complex terrain, resulting in the weather phenomena in these areas remaining poorly  
39 understood. Furthermore, the proper characterizations of turbulent exchanges within these  
40 areas is an essential component for the surface-layer (SL) and atmospheric boundary layer  
41 (ABL) parameterization schemes forming the basis for numerical weather prediction (NWP)  
42 models. These models are critical for the prediction of a myriad of atmospheric phenomena  
43 that include wind gusts, cold air pools, convective- and orographically-induced clouds and  
44 precipitation, and other phenomena (e.g., Raupach and Finnigan, 1997; Adler et al., 2021).

45 Other studies have provided evidence of the impact of gentle topography on flow features  
46 through the use of observations and simulations (e.g., Finnigan and Belcher, 2004; Patton and  
47 Katul, 2009). However, the complexities in the kinematics within the ABL over mountainous  
48 regions, in particular near ridges and varying land cover types, pose challenges for the  
49 depiction of the aforementioned phenomena as well as for other applications. These  
50 applications include the monitoring and assimilating of trace gas mixing ratios into  
51 atmospheric transport models, the determination of regionally-representative measurements  
52 by exploiting both the local- and regional-scale variability of passive tracers and non-reactive  
53 aerosols, etc. (e.g., Lee et al., 2015, 2018; Pal et al., 2017).

54 Traditionally, SL exchange in NWP models have been represented using Monin-  
55 Obukhov Similarity Theory (MOST) (Monin and Obukhov, 1954), despite its well-  
56 documented limitations (e.g., Businger et al., 1971; Salesky and Chamecki, 2012; Sun et al.,  
57 2020). As both the horizontal and vertical resolution of NWP models continues to increase,  
58 and NWP models are better able to resolve increasingly fine-scale complexities in terrain and  
59 land cover, improved characterizations of turbulent processes over these areas becomes  
60 increasingly relevant. Studies of turbulent processes in regions of complex terrain allow the  
61 assessment of alternative MOST parameterizations, including the hockey-stick transition  
62 hypothesis (e.g., Sun et al., 2012; Van de Wiel et al., 2012; Grisogono et al., 2020; Lee et al.,  
63 2025) and SL parameterizations using Richardson-based scaling techniques (e.g., Dyer, 1974;  
64 Sorbjan and Grachev, 2010; Lee and Buban, 2020; Lee et al., 2021, 2023; Greene et al.,  
65 2022; Lee and Meyers, 2023). Additionally, ridgeline turbulence features are subjected to  
66 multi-scale flows and associated dynamical processes which include spatially-coherent  
67 turbulence structures, mountain wave and rotor-induced circulations, and synoptic-scale  
68 flows (Whiteman, 2000; De Wekker and Kossman, 2015; Rotach et al., 2015; Wharton et  
69 al., 2017; Lehner and Rotach, 2018) which are oftentimes poorly represented in NWP  
70 models. Therefore, empirical insights into the spatial and temporal variability in turbulence  
71 over complex topography, obtained on a routine basis, remain sparse yet are crucial for  
72 improving parameterization schemes to resolve sub-grid processes of the coupled mountain-  
73 valley-plain atmosphere (e.g., Pal et al., 2016; Pal and Lee, 2019). Knowledge of turbulence  
74 characteristics within forests in complex terrain has routinely come from tower-based point  
75 observations at single or multiple heights (e.g., Baldocchi and Meyers, 1988a,b; Baldocchi  
76 and Meyers, 1989). Additionally lidar-derived high-resolution measurements have been used  
77 in recent decades to derive ABL turbulence characteristics (e.g., vertical velocity variance,  
78  $\sigma_w^2$ , and skewness,  $S$ , of the vertical velocity) (e.g., Hogan et al., 2009). A focus of many

79 previous studies has been to contrast turbulence characteristics under clear-sky days with  
80 turbulence characteristics on days with cloud-topped ABLs (e.g., Ansmann et al., 2010; Berg  
81 et al., 2017; Lareau et al., 2018; Dewani et al., 2023). When differentiating by cloud fraction,  
82 Lareau et al. (2018) found that ABL  $\sigma_w^2$  was largest on days with cloud fractions between 0.3  
83 and 0.5 but smallest on clear-sky days, whereas ABL  $S$  was smallest on days with cloud  
84 fractions exceeding 0.5 and largest on days with low cloud fractions. In contrast to the  
85 findings by Lareau et al. (2018), Dewani et al. (2023) found that the largest  $\sigma_w^2$  typically  
86 occurred on clear-sky days and that  $\sigma_w^2$  decreased as ABL moisture content increased.

87 The aforementioned studies relied upon traditional surface-based wind and aerosol  
88 lidars, which are well-suited for sampling the full ABL depth and characterizing turbulent  
89 mixing processes therein (e.g., Pal et al., 2010). However, wind and aerosol lidars, as well as  
90 other surface-based remote sensing instruments (e.g., atmospheric emitted radiance  
91 interferometers and microwave radiometers), are unable to sample within the lowest  $\sim 100$  m  
92 of the ABL due to the partial overlap of the lidar transceiver system (e.g., Wagner et al.,  
93 2022). For this reason, other sampling approaches are required to provide better vertical  
94 sampling of turbulence near the land surface. Doing so is essential for advancing theories of  
95 turbulent exchange between the land surface and the atmosphere. Whereas sonic  
96 anemometers installed on micrometeorological towers are one approach to obtain information  
97 about near-surface turbulence characteristics, few towers are of sufficient height to fully  
98 resolve this vertical gap between the land surface and  $\sim 100$  m above ground level (AGL).  
99 Recently, ground-based lidars have shown promise for deriving near-surface wind in addition  
100 to turbulence characteristics (e.g., Kumer et al., 2016; Wharton et al., 2017). Furthermore, by  
101 being merged with nearby turbulence observations obtained from micrometeorological  
102 towers, lidars can obtain details about the turbulence characteristics and structure within the  
103 lowest few hundred meters of the ABL over ridgetops (e.g., Wharton et al., 2017).

104 In this work, we used observations obtained from a wind lidar installed in eastern  
105 Tennessee in the Southeast U.S. coupled with observations from a nearby 60-m  
106 micrometeorological tower to examine:

107 1. how the vertical variability of turbulence features evolves above a low forested ridge  
108 as a function of cloud cover and as a function of different mean wind speeds in the  
109 lowest part of the convective boundary layer (CBL) and nocturnal boundary layer  
110 (NBL)

111 2. how turbulence features (i.e., turbulent kinetic energy, vertical velocity variance,  
112 skewness, and kurtosis) vary across subsets of meteorological conditions (i.e.,  
113 different radiative and wind regimes)  
114 3. differences in the impact of a well-mixed CBL versus a stratified NBL regime on  
115 ridgetop turbulence characteristics  
116 4. the impact of different flow regimes (i.e., northeasterly versus southwesterly) on  
117 ridgetop turbulence characteristics.

118

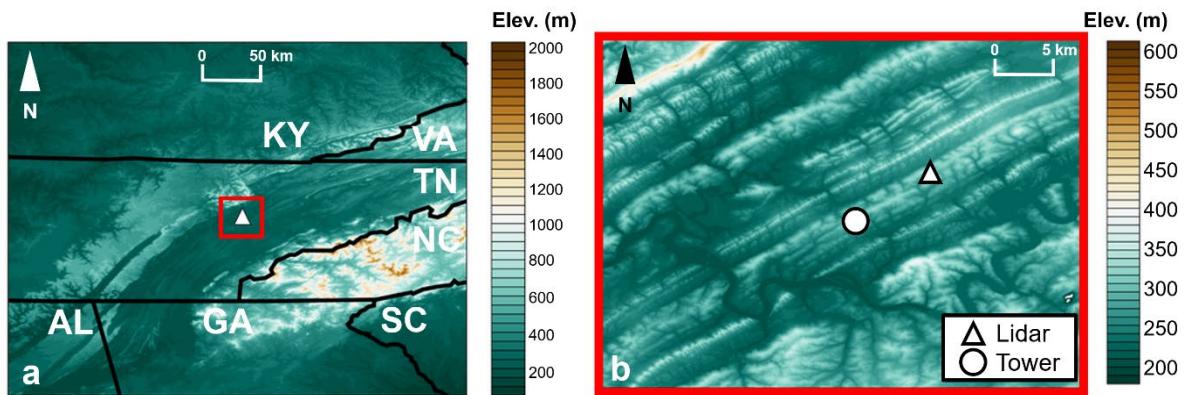
## 119 2. Methods

120 *2.1. Site description*

121 We used observations obtained from Chestnut Ridge located in eastern Tennessee in  
122 the Southeast U.S. (Fig. 1a). A WindCube V2.1 wind lidar was installed at the location  
123 shown in Fig. 1b in May 2023 at 35.9618°N, 84.2865°W, 343 m above mean sea level (MSL)  
124 and has been in continuous operation since its installation. In this study, however, we focused  
125 on the first full year of measurements, i.e. those obtained between 1 June 2023 and 31 May  
126 2024. Within a 5 km × 5 km area surrounding the site, the mean height of the topography is  
127  $274 \pm 26$  m. The ridge where the lidar is located is approximately 150 m above the  
128 surrounding valley and is one of several ridges that is located within the Tennessee Valley,  
129 which is oriented southeast to northeast. The Tennessee Valley is bounded by the  
130 Cumberland Mountains, which are about 1000 m MSL, to the north and west, and the Smoky  
131 Mountains (with an elevation up to ~ 2000 m MSL) to the south and east.

132 The wind lidar measurements were complemented by long-term observations from a  
133 60-m micrometeorological tower also located along Chestnut Ridge (at 35.9311°N,  
134 84.3323°W, 371 m MSL) approximately 5 km to the southwest of the lidar. The tower  
135 includes 30-min means of wind speed and direction; air temperature; relative humidity;  
136 pressure; incoming and outgoing photosynthetically active radiation; incoming and outgoing  
137 shortwave and longwave radiation; ground heat flux; and soil temperature and soil moisture.  
138 30-min mean heat, water vapor, carbon dioxide fluxes, and turbulence statistics are computed  
139 from 10-Hz measurements. Most of the on-site measurements commenced in 2005 when the  
140 tower was installed, and details regarding the site and the on-site measurements are  
141 documented in previous studies (Wilson and Meyers, 2007, 2012, 2014; Lee et al., 2025).  
142 Incoming and outgoing shortwave and longwave radiation were obtained from a  
143 Kipp&Zonen CNR1 radiometer installed 36 m AGL, whereas a propeller anemometer  
144 installed at 43 m AGL was used to measure wind speed (WS) and wind direction (WD) at a

145 1-Hz sampling frequency and averaged to 30 minutes. Measurements from an RM Young  
 146 81000V three-dimensional sonic anemometer installed 43 m were used to obtain the  $u$   
 147 (horizontal),  $v$  (meridional), and  $w$  (vertical) wind components at 10 Hz. The measurements  
 148 were used to calculate 30-min mean  $TKE$  and  $\sigma_w^2$  and, along with the  $WS$  and  $WD$   
 149 measurements from the propeller anemometer, were compared against the lidar observations  
 150 to provide confidence in the fidelity of the wind lidar measurements discussed in Section 2.2.



151  
 152 **Fig. 1.** (a) The location of the study site (white triangle). The red box in panel (a) denotes the  
 153 location of the map in panel (b). The white triangle and white circle in panel (b) indicates the  
 154 location of the lidar and micrometeorological tower, respectively.  
 155

## 156 2.2. Wind Lidar Derived Turbulence Quantities

157 The WindCube V2.1 has a pulsed Doppler heterodyne laser and uses 20-m range  
 158 gates spanning from 40 to 300 m AGL for a total of 14 range gates, which is comparable to  
 159 the dynamic range that has been used in previous studies to examine turbulence  
 160 characteristics and structures within the lower ABL (e.g., Wharton et al., 2017; Liao et al.,  
 161 2020). The lidar's lowest range gate is located at approximately 1.5 times the adjacent canopy  
 162 height ( $h_c$ ), which was estimated to be around  $25 \pm 3$  m in previous work (Wilson et al.,  
 163 2012; Lee et al., 2025). The lidar has a 1-Hz sampling rate and a manufacturer-stated radial  
 164 wind speed range of  $-23 \text{ m s}^{-1}$  to  $+23 \text{ m s}^{-1}$ , wind speed accuracy of  $0.1 \text{ m s}^{-1}$ , and wind  
 165 direction accuracy of  $2^\circ$ . The manufacturer-stated speed uncertainty is 1.4–2.6% between 40  
 166 and 80 m, 0.6–1.4% between 80 and 120 m, and 0.6–0.8% between 120 and 135 m.

167 The Doppler beam swinging (DBS) technique (e.g., Strauch et al., 1984; Wharton et  
 168 al., 2017; Robey and Lundquist, 2022) is used to obtain wind and turbulence characteristics  
 169 over the lowest 300 m of the atmosphere. Five scans are used within the DBS technique,  
 170 whereby four beams are emitted  $28^\circ$  off-zenith in each of the four cardinal wind directions  
 171 (i.e., north, east, south, and west), and a fifth beam is emitted in the vertical direction (i.e.,  $0^\circ$   
 172 zenith angle). To ensure a high-quality dataset from the wind lidar, we removed values when

173 the carrier-to-noise ratio (*CNR*) was less than -23 following previous work (e.g., Wharton et  
 174 al., 2017). We used the 1-Hz observations obtained from the lidar to calculate select  
 175 turbulence statistics, i.e.,  $\sigma_w^2$ , *TKE*, *S*, and *K*, on 30-min timesteps. *TKE* was computed using  
 176 the high-frequency measurements of the *u*, *v*, and *w* wind components derived from the lidar  
 177 using the following equation after rotating the wind components into the standard  
 178 meteorological convention whereby  $u > 0$  m s<sup>-1</sup> and  $v > 0$  m s<sup>-1</sup> indicate southerly and  
 179 westerly winds, respectively, and  $w > 0$  m s<sup>-1</sup> indicates upward vertical velocities. Upon  
 180 introducing these corrections, we computed *TKE* as

$$TKE = 0.5(\sigma_u^2 + \sigma_v^2 + \sigma_w^2) \quad (1)$$

181 In the above equation,  $\sigma_u^2$ ,  $\sigma_v^2$ , and  $\sigma_w^2$  are the variances in the *u*-, *v*-, and *w*- wind  
 182 components, respectively. The skewness (*S*) and kurtosis (*K*) were computed as a function of  
 183 the vertical *w* perturbation (*w'*) and the standard deviation in the vertical wind velocity ( $\sigma_w$ ):

$$S = \left( \frac{\overline{w'^3}}{\overline{w'^2}} \right)^{3/2} \quad (2)$$

$$K = \left( \frac{\overline{w'}}{\sigma_w} \right)^4 \quad (3)$$

184 The quantity *S* represents the degree of symmetry / asymmetry in the *w* distribution.  
 185 Physically, *S* is interpreted as the vertical transport of  $\overline{w'^2}$ ; thus positive (negative) *S*  
 186 indicates an upward (downward) transport of *TKE* and  $\overline{w'^2}$  (e.g., Hogan et al., 2009). The *K*  
 187 profiles are used as an indicator of turbulence intermittency and degree of mixing at different  
 188 sampling heights (e.g., Pal et al., 2010; McNicholas and Turner, 2014).

189 As discussed in Wharton et al. (2017), the turbulence quantities derived from the wind  
 190 lidar represent a volume-averaged scan because of the divergence in the lidar beam in the  
 191 zenith direction, rather than a point turbulence measurement that would be derived using a  
 192 sonic anemometer. Furthermore, cross-contamination in the wind components can occur,  
 193 affecting  $\sigma_u^2$ ,  $\sigma_v^2$ , and  $\sigma_w^2$  (e.g., Sathe and Mann, 2013; Newman et al., 2016; Wharton et al.,  
 194 2017) and thus further motivating the need for comparison against turbulence observations  
 195 derived from a micrometeorological tower which we do in Section 3.1.

196 After calculating *TKE*,  $\sigma_w^2$ , *S*, and *K*, we performed additional filtering of these  
 197 datasets by removing physically-unrealistic values, i.e.  $TKE > 10$  m<sup>2</sup> s<sup>-2</sup> and  $\sigma_w^2 > 5$  m<sup>2</sup> s<sup>-2</sup>,  
 198 following the procedure outlined in Lee et al. (2023). The percent data completion for *TKE*,  
 199  $\sigma_w^2$ , *S*, and *K* exceeded 90%, as shown in Appendix A, but decreased as a function of height

200 due to clouds and fog. Consequently, the highest lidar range gate, i.e. at 300 m AGL, had a  
201 percent data completion of 52% for  $TKE$  and  $\sim 70\%$  for  $\sigma_w^2$ ,  $S$ , and  $K$ .

202

203 *2.3. Classification of Meteorological Regimes*

204 *2.3.1. Daytime Radiative and Wind Regimes*

205 To distinguish among different meteorological regimes at the study site during the  
206 daytime, we used the 30-min mean observations of shortwave radiation obtained from the 60-  
207 m micrometeorological tower near the lidar. The shortwave radiation observations enabled us  
208 to classify different radiative regimes. We identified different radiative regimes by computing  
209 the clearness index (Fig. 2a). As described and implemented in previous work to help classify  
210 different meteorological regimes (e.g., Pal et al., 2014; Lee et al., 2024) the  $C_{index}$ , is  
211 calculated as

$$C_{index} = \frac{\sum SW_o}{\sum SW_t} \quad (4)$$

212 In the above equation,  $\sum SW_o$  is the daily total sum of incoming shortwave radiation ( $SW_{in}$ )  
213 which we measured using the Kipp&Zonen CNR1 radiometer installed the Chestnut Ridge  
214 tower.  $\sum SW_t$ , computed following the procedure described in Whiteman and Allwine (1986),  
215 is the sum of the total theoretical maximum incoming solar radiation that could be received  
216 on a given day and varies as a function of latitude, longitude, and both by time of day and day  
217 of year (e.g., Whiteman and Allwine, 1986; Whiteman et al., 1999).

218 We distinguished among different  $WS$  regimes by computing the mean daytime (i.e.,  
219  $SW_{in} > 0 \text{ W m}^{-2}$ , typically spanning from about 0750 LST to 1730 LST in the winter to about  
220 620 LST to 2100 LST in the summer) wind speed (i.e.,  $\overline{WS}_{day}$ ) from the RM Young  
221 propeller at the micrometeorological tower. The  $\overline{WS}_{day}$  ranged from  $0.06 \text{ m s}^{-1}$  to  $7.2 \text{ m s}^{-1}$   
222 during the one-year study period and had a median of  $2.13 \text{ m s}^{-1}$  (Fig. 2b).

223 After computing the  $C_{index}$  and  $\overline{WS}_{day}$ , we used the percentiles shown in Fig. 2a and Fig.  
224 2b to distinguish among four distinct meteorological conditions. Clear (cloudy) days were  
225 identified as those with  $C_{index} > 66^{\text{th}}$  percentile ( $C_{index} < 33^{\text{rd}}$  percentile), and days with weak  
226 (strong) winds as those with  $\overline{WS}_{day} < 33^{\text{rd}}$  percentile ( $\overline{WS}_{day} > 66^{\text{th}}$  percentile). Sensitivity  
227 tests, shown in Appendix B, indicated that our conclusions were unaffected by our choice of  
228 percentile. The four different meteorological regimes were as follows, with the number of  
229 days ( $N$ ) within each these classifications is shown in parentheses:

230 I. Clear and weak winds:  $C_{index} > 66^{\text{th}}$  percentile,  $\overline{WS_{day}} < 33^{\text{rd}}$  percentile ( $N = 49$   
 231 days)

232 II. Clear and strong winds:  $C_{index} > 66^{\text{th}}$  percentile,  $\overline{WS_{day}} > 66^{\text{th}}$  percentile ( $N = 37$   
 233 days)

234 III. Cloudy and weak winds:  $C_{index} < 33^{\text{rd}}$  percentile,  $\overline{WS_{day}} < 33^{\text{rd}}$  percentile ( $N = 37$   
 235 days)

236 IV. Cloudy and strong winds:  $C_{index} < 33^{\text{rd}}$  percentile,  $\overline{WS_{day}} > 66^{\text{th}}$  percentile ( $N = 38$   
 237 days)

238 After distinguishing among these meteorological regimes, we computed composites  
 239 of the mean cycles during the daytime only which we defined as between 0700 LST and 1900  
 240 LST for each sampling height. When determining the *WD* means, we first converted each  
 241 observed *WD* into its *u* and *v* components, determined the mean *u* and *v*, and computed *WD*  
 242 using these means.

243 We further investigated wind and turbulence characteristics within each of the four  
 244 aforementioned regimes by determining the *w* frequency distribution and, to further place our  
 245 results into the context of previous studies, by computing the mean profiles of the wind and  
 246 turbulence quantities.

247

### 248 2.3.2. Nighttime Radiative and Wind Regimes

249 To distinguish among different meteorological regimes at the study site during the  
 250 nighttime, we again used radiation observations from the 60-m micrometeorological tower. In  
 251 this instance, we utilized the longwave radiation observations under the premise that more  
 252 negative values of net radiation ( $R_{net}$ ) during the nighttime correspond to clear skies due to  
 253 emitted longwave radiation. We defined nighttime hours as those between 0000 and 0400  
 254 LST to ensure our results were unaffected by processes occurring during the early-morning or  
 255 early evening transition periods around sunrise and sunset, respectively. Across all days in  
 256 the study period, the median nighttime  $R_{net}$  was  $-60 \text{ W m}^{-2}$  (Fig. 2c). The median nighttime  
 257 wind speed (i.e.,  $\overline{WS_{night}}$ ) was  $2.9 \text{ m s}^{-1}$  and ranged from  $0.2 \text{ m s}^{-1}$  to  $9.8 \text{ m s}^{-1}$  (Fig. 2d).  
 258 Similar to the daytime meteorological conditions, we distinguished among four different  
 259 regimes during the nighttime which we defined as follows and that are distributed throughout  
 260 the year. As in Section 2.3.1., the number of days within each these classifications is shown  
 261 in parentheses:

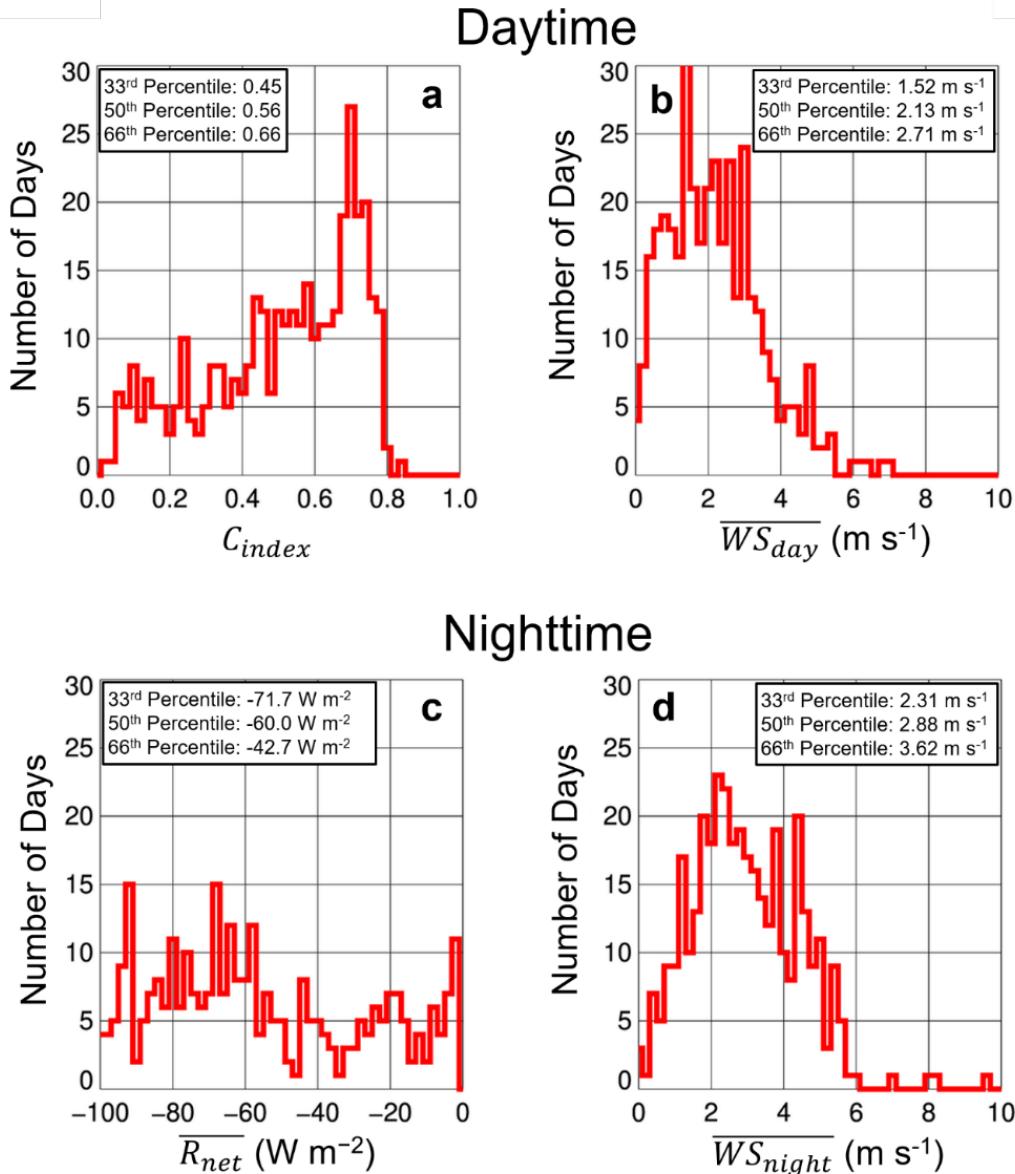
262 I. Clear and weak winds:  $R_{net} < 33^{\text{rd}} \text{ percentile}$ ,  $\overline{WS_{night}} < 33^{\text{rd}} \text{ percentile}$  ( $N = 33$   
263 days)

264 II. Clear and strong winds:  $R_{net} < 33^{\text{rd}} \text{ percentile}$ ,  $\overline{WS_{night}} > 66^{\text{th}} \text{ percentile}$  ( $N = 34$   
265 days)

266 III. Cloudy and weak winds:  $R_{net} > 66^{\text{th}} \text{ percentile}$ ,  $\overline{WS_{night}} < 33^{\text{rd}} \text{ percentile}$  ( $N = 38$   
267 days)

268 IV. Cloudy and strong winds:  $R_{net} > 66^{\text{th}} \text{ percentile}$ ,  $\overline{WS_{night}} > 66^{\text{th}} \text{ percentile}$  ( $N = 34$   
269 days)

270 As with the different classifications of daytime radiative and wind regimes, we found  
271 that our conclusions for the nighttime regimes were largely unaffected by our choice of  
272 percentile. This conclusion was based upon sensitivity tests conducted (not shown) across  
273 different percentiles. As we did for the daytime cases, to further place our results into the  
274 context of previous studies, we determined the  $w$  frequency distributions during these  
275 different regimes and  $\overline{WS}$ ,  $\overline{WD}$ ,  $\overline{TKE}$ ,  $\overline{\sigma_w^2}$ ,  $\overline{S}$ , and  $\overline{K}$  vertical profiles between 0000 and 0400  
276 LST.



277  
278 **Fig. 2.** Histogram of the daytime (i.e.,  $SW_{in} > 0 \text{ W m}^{-2}$ ) (a)  $C_{index}$  and (b)  $\overline{WS}_{day}$ , also when  
279  $SW_{in} > 0 \text{ W m}^{-2}$ . A binsize of 0.02 and 0.2  $\text{m s}^{-1}$  is used in panels (a) and (b), respectively.  
280 Panels (c) and (d) show the histogram of  $\overline{R}_{net}$  during the nighttime (i.e., 0000–0400 LST) and  
281  $\overline{WS}_{night}$ . A binsize of 2  $\text{W m}^{-2}$  and 0.2  $\text{m s}^{-1}$  is used in panels (c) and (d), respectively. As for  
282 panels (a) and (b), the 33<sup>rd</sup>, 50<sup>th</sup>, and 66<sup>th</sup> percentiles are shown in the upper portion of panels  
283 (c) and (d).  
284

### 285 2.3.3. Wind Direction Regimes

286 To fulfill the fourth objective of this work enumerated in Section 1, we evaluated how  
287 turbulence characteristics varied as a function of  $WD$  by selecting days with near-constant  
288  $WD$ . To this end, we classified a day as having constant  $WD$  if at least 90% of the 30-min  
289 observations on the given day were from the same direction (i.e., northeast, southeast,  
290 southwest, or northwest, which we defined as  $0^\circ \leq WD < 90^\circ$ ,  $90^\circ \leq WD < 180^\circ$ ,  $180^\circ \leq WD$   
291  $< 270^\circ$ , and  $270^\circ \leq WD < 360^\circ$ , respectively). During the one-year study period, based on

292 this selection criteria, 25 days had constant northeasterly winds, and 45 days had constant  
293 southwesterly winds. Three of the days had constant northwesterly winds, whereas  
294 southeasterly winds were not observed for at least 90% of the 30-min observations on any  
295 day during the study period. Because of the small number of cases with northwesterly winds,  
296 we restricted our analyses to days only with constant northeasterly winds and days with  
297 constant southwesterly winds.

298

### 299 3. Results

#### 300 3.1. *Intercomparison between Lidar- and Tower-Derived Wind and Turbulence Observations*

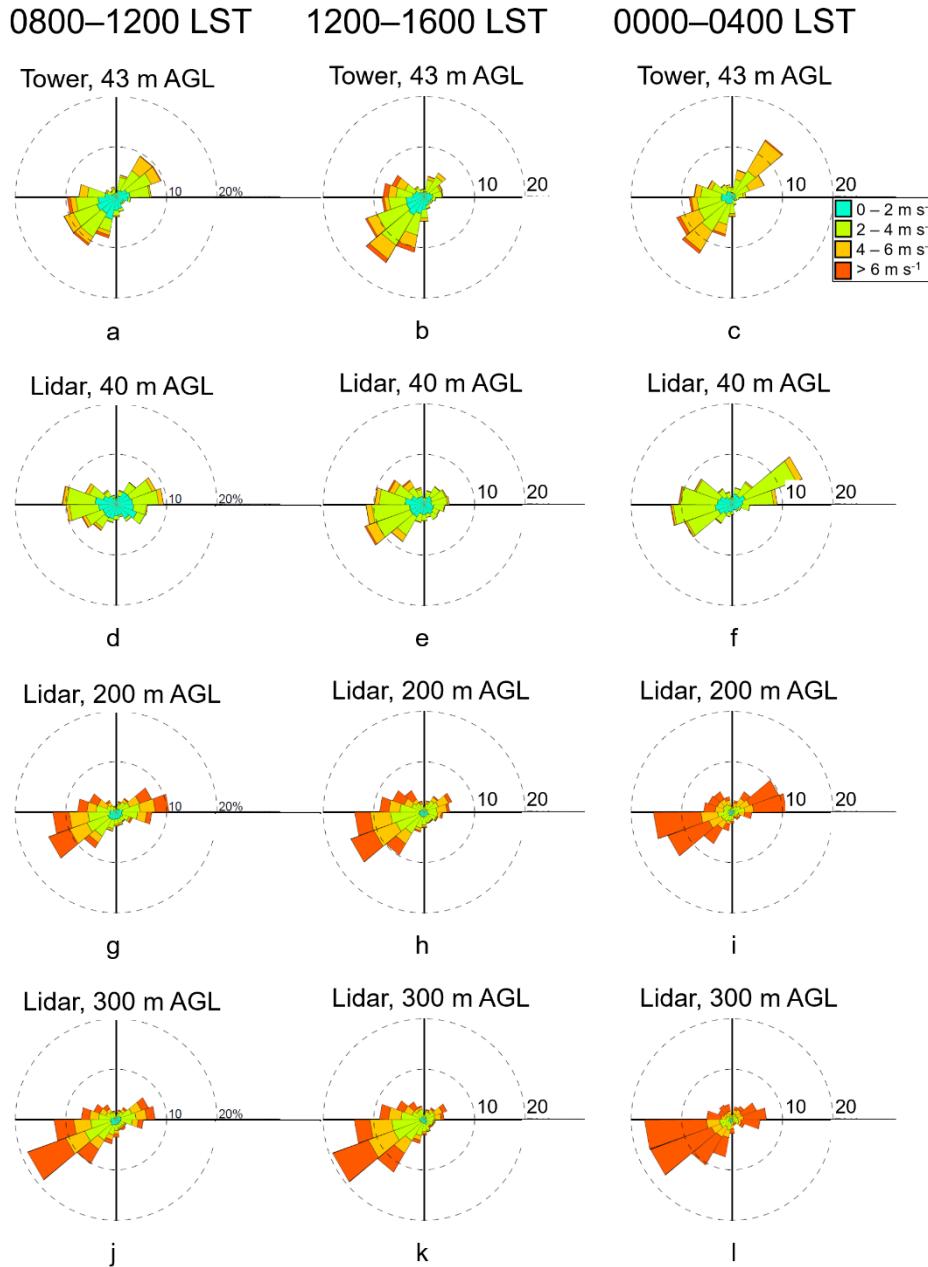
##### 301 3.1.1. *Wind Speed and Wind Direction Intercomparison*

302 To help provide us with confidence in the fidelity of the observations from the wind  
303 lidar, we used wind roses to compare the wind speeds and wind directions obtained from the  
304 propeller anemometer installed on the micrometeorological tower at Chestnut Ridge with the  
305 observations from the wind lidar. The morning (i.e., 0800–1200 LST) and nighttime (i.e.,  
306 0000–0400 LST) measurements from the tower's above-canopy measurements and from the  
307 lidar's lowest range gate (i.e., 40 m AGL) exhibited a bimodal distribution yielding dominant  
308 southwesterly and northeasterly winds which is consistent with previous work from the study  
309 region (e.g., Lee et al., 2025). During both the morning and nighttime, southwesterly winds  
310 and northeasterly winds were nearly equally prevalent at the micrometeorological tower (Fig.  
311 3a, Fig. 3c). When assessing the seasonal variability in the wind speeds, we found that the  
312 warm season had slightly weaker mean winds and a larger percentage of daytime  
313 southwesterly flows than during the cool season (not shown).

314 Examination of the wind speeds and wind directions obtained from the wind lidar  
315 indicated that, although the lidar-retrieved winds at 40 m AGL exhibited a bimodal  
316 distribution, there was a stronger westerly and east-northeasterly wind component at this  
317 height (Fig. 3d, Fig. 3f). During the afternoon (i.e., 1200–1600 LST), easterly winds were  
318 less frequent at the tower than at the lidar's lowest range gate, with southwesterly and  
319 westerly winds being much more dominant (Fig. 3b, Fig. 3d). The period from 0800–1200  
320 LST is the period when the site experiences morning transition and a growing CBL regime  
321 and associated changes in both horizontal wind speed and direction take place on regular  
322 basis. For instance, as will be shown in Section 3.2, this is the period associated with a winds  
323 speed decrease (i.e., a shift from the NBL to the CBL) and changes from a stratified NBL to a  
324 well-mixed CBL regime (i.e., diverse wind directions to similar wind direction at all levels).  
325 Consequently, higher discrepancies between lidar and tower observations were also observed

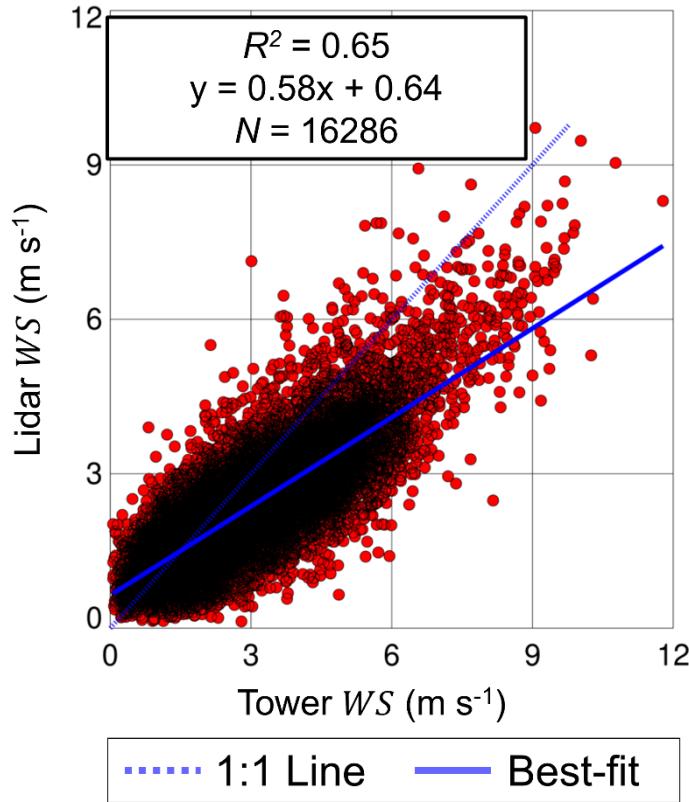
326 during this transition period (e.g., the tower showing the presence of more southwesterly to  
327 northeasterly components whereas the lidar showed more easterly and westerly components  
328 (cf. Fig. 3a). Overall, there was good agreement between the lidar-derived and tower-derived  
329 *WS*, but the lidar underestimates *WS* compared with those from the tower, particularly for  
330 higher *WS* (Fig. 4). As a result, the  $R^2$  for the relationship between these quantities, of 0.65,  
331 was lower than studies that have been conducted at sites in flat terrain, whereby  $R^2$  was found  
332 to be  $\sim 1$  (e.g., Knoop et al., 2021).

333 When evaluating the wind roses for the lidar's upper sampling heights (here, 200 m  
334 AGL and 300 m AGL), we found that, irrespective of time of day, southwesterly winds were  
335 more common than winds with an easterly component. These southwesterly winds occurred  
336 more frequently at 300 m AGL than at 200 m AGL (Fig. 3g – 3l). Overall, the differences in  
337 the wind direction that we find between the micrometeorological tower and wind lidar  
338 highlight that, even though the two sampling locations are located only about 5 km apart  
339 along the same mountain ridge, finescale differences in local topography surrounding the two  
340 sites may be responsible for the observed differences in wind speed and wind direction.



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**Fig. 3.** (a) The wind rose for winds measured 43 m AGL at the 60-m micrometeorological tower between 0800 and 1200 LST. Same for (b) and (c) but for winds sampled between 1200 and 1600 LST and between 0000 and 0400 LST, respectively. Panels (d) – (f) show winds sampled 40 m AGL from the wind lidar between 0800 and 1200 LST, 1200 and 1600 LST and between 0000 and 0400 LST, respectively. Same for panels (g) – (i) and panels (j) – (l), but for 200 m AGL and 300 m AGL, respectively. A bin size of  $20^\circ$  is used in all panels. Turquoise, light green, orange, and red correspond with winds  $< 2 \text{ m s}^{-1}$ ,  $2\text{--}4 \text{ m s}^{-1}$ ,  $4\text{--}6 \text{ m s}^{-1}$ , and  $> 6 \text{ m s}^{-1}$ , respectively. Note that the spatial separation between the micrometeorological tower and the wind lidar is about 5 km.



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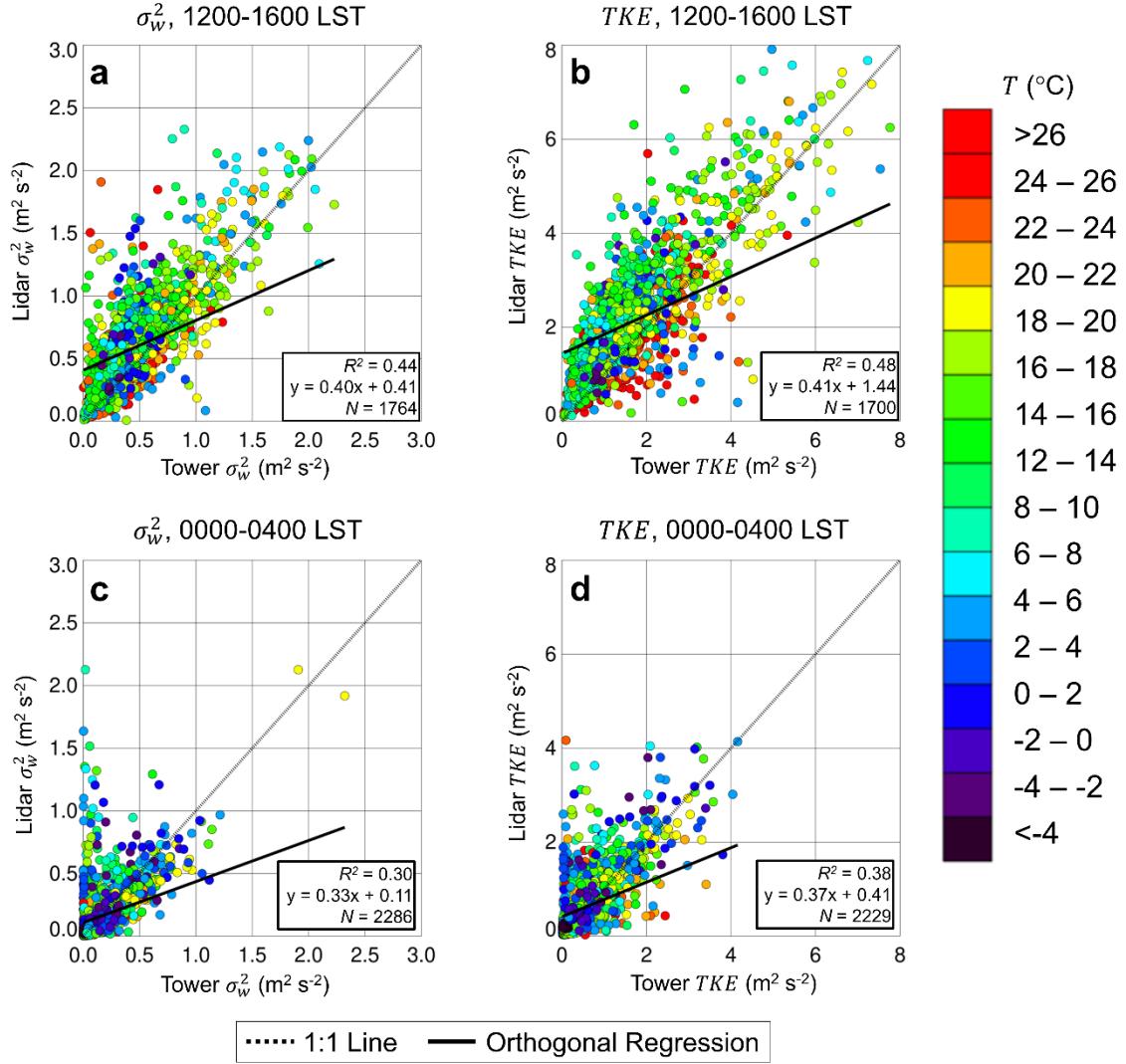
352 **Fig. 4.** The relationship between the lidar-derived  $WS$  (at 40 m AGL) and tower-derived  $WS$   
 353 (at 43 m AGL). The  $R^2$  the best-fit equation (where  $y$  and  $x$  correspond with the lidar values  
 354 and tower values, respectively), and  $N$  are shown in a box at the upper right. The dotted and  
 355 solid blue lines indicate unity and the line of best fit, respectively.

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### 357 3.1.2. Turbulence Intercomparison

358 To obtain additional confidence in the measurements from the wind lidar, we  
 359 evaluated the relationship between the  $\sigma_w^2$  and  $TKE$  obtained from the sonic anemometer  
 360 installed on the micrometeorological tower and  $\sigma_w^2$  and  $TKE$  derived from the lowest range  
 361 gate of the wind lidar using an orthogonal (i.e., Deming) regression. We found that the slope  
 362 of the line of best fit ( $m_b$ ) between lidar-derived and tower-derived quantities during the  
 363 afternoon (i.e., 1200–1600 LST, where LST = UTC – 5) for  $\sigma_w^2$  and  $TKE$  was 0.40 and 0.41,  
 364 respectively (Fig. 5a, Fig. 5b). During the nighttime (i.e., 0000–0400 LST)  $m_b$  between lidar-  
 365 derived and tower-derived  $\sigma_w^2$  ( $TKE$ ) was lower than during the afternoon as  $m_b$  was 0.33  
 366 (0.37) (Fig. 5c, Fig. 5d). Furthermore,  $R^2$  was lower during the nighttime than during the  
 367 afternoon for both  $\sigma_w^2$  and  $TKE$ . Analogous results (not shown) were found when conducting  
 368 these evaluations as a function of different wind direction regimes to distinguish between  
 369 times when the wind lidar was upwind (downwind) from the micrometeorological tower  
 370 which correspond with northeasterly (southwesterly) winds. Furthermore, there was no clear

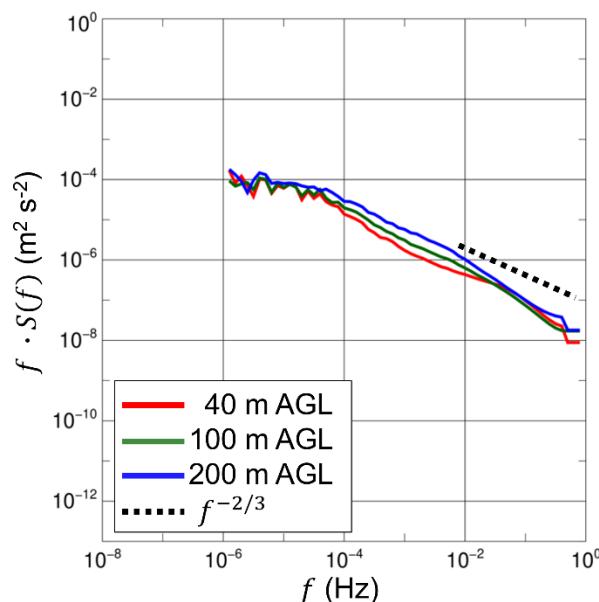
371 relationship between the magnitude of observed differences in the tower- and lidar-derived  
 372 turbulence characteristics and observed temperature at the micrometeorological tower (cf.  
 373 Fig. 5).



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 375 **Fig. 5.** (a) Wind lidar  $\sigma_w^2$  versus the micrometeorological tower  $\sigma_w^2$  and (b) lidar TKE versus  
 376 the micrometeorological tower TKE between 1 June 2023 and 31 May 2024 between 1200  
 377 and 1600 LST. Same for panels (c) and (d), but for 1200–1600 LST and 0000–0400 LST,  
 378 respectively. The dotted and solid black lines indicate unity and the line of best fit computed  
 379 using orthogonal regression, respectively. The  $R^2$  the best-fit equation, computed using an  
 380 orthogonal regression (where  $y$  and  $x$  correspond with the lidar-derived and tower-derived  
 381 values, respectively), and  $N$  are shown in a box on the lower right of each subpanel. Each  
 382 point is color-coded by air temperature ( $T$ , see legend to the right of the figure). Note that the  
 383 tower-derived TKE and  $\sigma_w^2$  were sampled at 43 m AGL, whereas the lidar-derived TKE and  
 384  $\sigma_w^2$  were sampled at 40 m AGL.  
 385

386 *3.1.3. Power Spectra*

387 To further enhance our confidence in the fidelity in the lidar's observations and in the  
 388 turbulence quantities derived from it, we computed the vertical velocity power spectra for  
 389 select sampling heights (i.e., 40 m AGL, 100 m AGL, and 200 m AGL) following for  
 390 example Brugger et al. (2016). As shown in Fig. 6, the slope at the different sampling heights  
 391 is comparable with the theoretical slope of the inertial subrange (i.e.,  $f^{-2/3}$ ). Furthermore,  
 392 there exists height dependence to the maximum in the power spectrum, which occurs at the  
 393 lowest sampling frequencies and is consistent with findings that have been reported within  
 394 previous studies that have been conducted over flat terrain including for example  
 395 northwestern Minnesota (Kaimal et al., 1976) and Germany's Lower Rhine region (Maurer et  
 396 al., 2016).



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398 **Fig. 6.** The binned energy density spectra ( $S$ ) of  $w$  as a function of frequency ( $f$ ) obtained  
 399 from the wind lidar at 40 m AGL (red line), 100 m AGL (green line), and 200 m AGL (blue  
 400 line) over the entire 1-year period of interest. Note that both the x- and y-axes have a  
 401 logarithmic scale. The black dotted line shows  $f^{-2/3}$ .

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403 *3.2. Wind and Turbulence Characteristics Across All Days*404 *3.2.1. Diurnal Evolution*

405 When averaged across all days within the study period, the mean  $WS$  was larger  
 406 during the nighttime than during the daytime for all sampling heights except for the lowest  
 407 sampling height (i.e., at 40 m AGL) where there was on average a small ( $\sim 0.5 \text{ m s}^{-1}$ ) increase

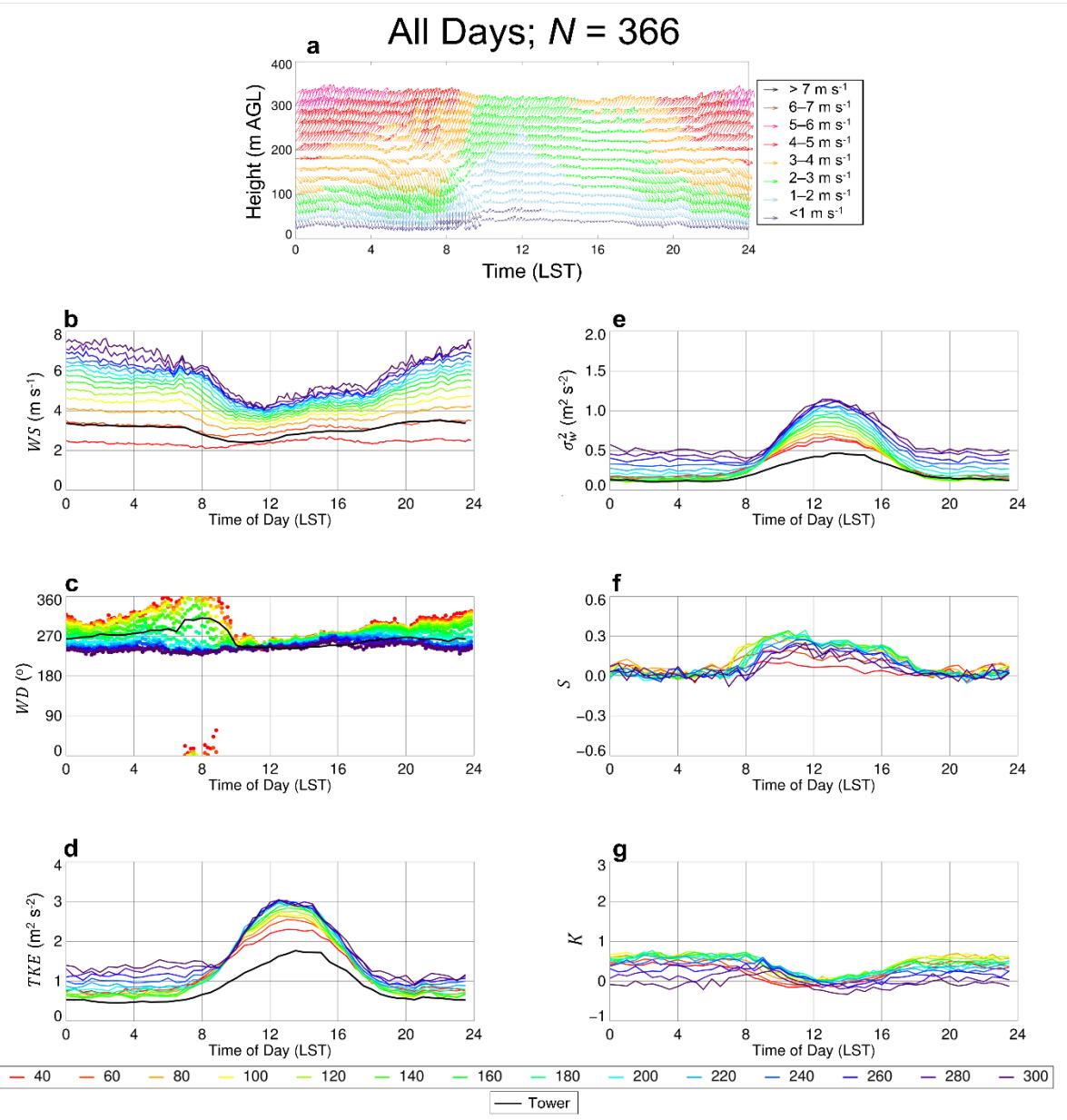
408 during the daytime (Fig. 7a, 7b). The daytime decrease in mean  $WS$  was largest at the  
409 uppermost sampling heights. For example, at 300 m AGL, the mean  $WS$  was around  $7 \text{ m s}^{-1}$   
410 throughout much of the nighttime, but decreased to a minimum of  $\sim 4 \text{ m s}^{-1}$  between 1100  
411 and 1200 LST. The larger  $WS$  during the nighttime than during the daytime at the majority of  
412 sampling heights is a finding consistent with previous studies at other forested ridgetops  
413 located in the eastern U.S. (e.g., Lee et al., 2015). During the nighttime, there is a decoupling  
414 between the surface layer and overlying residual layer, whereas during the daytime this  
415 difference is reduced due to turbulent mixing within the daytime CBL. Despite the generally  
416 larger  $WS$  during the nighttime than during the daytime, we note a  $WS$  increase between  
417 approximately 1000 LST and 1600 LST which is a finding that has been well-documented in  
418 flat terrain (e.g., Barthelmie et al., 1996; Zhang and Zheng, 2004; He et al., 2013) and arises  
419 due to the downward transport of higher momentum air from aloft caused by vertical mixing  
420 within the CBL (e.g., Dai and Deser, 1999). During the nighttime, there is a decoupling  
421 between the near-surface winds and winds within the overlying residual layer that results in a  
422 larger near-surface vertical gradient in the surface wind speeds that is consistent with  
423 previous studies (e.g., He et al., 2013).

424 The composites of the mean  $WD$  revealed that near-surface wind directions were from  
425 the northwest during the nighttime but became westerly during the daytime, whereas mean  
426 wind directions 300 m AGL were from the west and exhibited little time-of-day dependence  
427 (Fig. 7a, 7c). As a result,  $WD$  during the nighttime showed considerably more variability with  
428 height than  $WD$  during the daytime. The vertical  $WD$  gradients were smallest between around  
429 1000 LST and 1600 LST. This period, combined with the smallest vertical  $WS$  gradients, is  
430 indicative of a well-coupled and well-mixed ridgeline CBL. Clearly visible NBL stratification  
431 features (i.e., varying  $WS$  of  $2\text{--}8 \text{ m s}^{-1}$  across the different sampling heights) were associated  
432 with the northerly/northwesterly to southerly/southwesterly shift in wind from the lower to  
433 upper heights sampled by the lidar. Furthermore, after the early morning transition period, all  
434 the sampling heights exhibited a westerly wind which most likely indicates the dominant  
435 impact of gently-varying topography on the wind fields in the lower altitudes. In contrast, the  
436 upper sampling heights were relatively remained unaffected by the local topography, which  
437 suggests regional flow features over the lidar at its uppermost sampling heights during the  
438 nighttime that are aligned with the mean synoptic flow over the region.

439 Examination of the composites of the mean turbulence characteristics, averaged over  
440 the entire study period, revealed that  $TKE$  sampled 40 m AGL (300 m AGL) ranged from  $\sim$

441 1.0 m<sup>2</sup> s<sup>-2</sup> (1.25 m<sup>2</sup> s<sup>-2</sup>) to 2.5 m<sup>2</sup> s<sup>-2</sup> (3.0 m<sup>2</sup> s<sup>-2</sup>) during this same time period (Fig. 7d),  
442 whereas  $\sigma_w^2$  sampled 40 m AGL (300 m AGL) ranged from 0.25 m<sup>2</sup> s<sup>-2</sup> (0.50 m<sup>2</sup> s<sup>-2</sup>) during  
443 the nighttime to a maximum of 0.75 m<sup>2</sup> s<sup>-2</sup> (1.25 m<sup>2</sup> s<sup>-2</sup>) during the early afternoon (Fig. 7e).  
444 These findings are characteristic of a well-mixed daytime CBL and stably-stratified NBL.  
445 The combined analyses of *TKE* and  $\sigma_w^2$  during the entire diurnal cycle reveal a clear pattern  
446 yielding their higher values in the upper levels compared to lower levels during both day and  
447 night except the early morning transition period. However, the associated vertical gradients  
448 were found to be strong during the nighttime than during daytime. Nocturnal gradients could  
449 be explained by the flow regimes whereas daytime gradients can be attributed to the CBL  
450 surface forcing and associated thermal regimes.

451 The composites of *S* were near 0 during the nighttime at all sampling heights and  
452 increased during the daytime. The smallest increases occurred at 40 m AGL where daytime  
453 values were  $\sim 0.05$  (Fig. 7f). In contrast, the largest increases occurred at the upper sampling  
454 heights where daytime values were  $\sim 0.3$  implying a larger proportion of positive vertical  
455 velocities than negative vertical velocities, and thus upward transport of *TKE* and  $\overline{w'^2}$ , at  
456 these sampling heights. Furthermore, the composites of *K* was larger during the nighttime  
457 than during the daytime, with a nighttime maximum of 1 and daytime minimum of 0,  
458 respectively, for the majority of the sampling heights (Fig. 7g). This daytime decrease  
459 suggests that the distribution of the vertical velocities becomes less peaked and thus less  
460 intermittent, and more uniform, during the daytime (e.g., McNicholas and Turner, 2014). The  
461 daytime kurtosis decrease is consistent with previous studies that have used wind lidars to  
462 sample turbulence characteristics, including the kurtosis evolution, over flat homogeneous  
463 terrain as documented by a study by Berg et al. (2017) using observations from the U.S.  
464 Department of Energy's Atmospheric Radiation Measurement site in Oklahoma.



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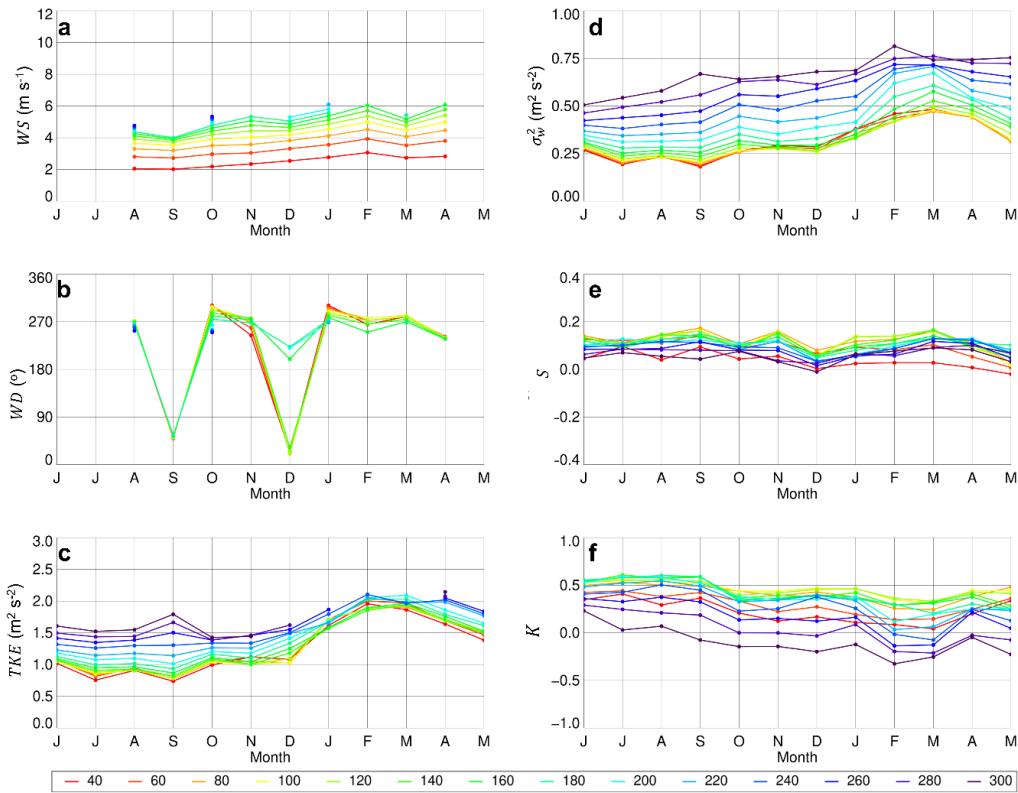
**Fig. 7.** (a) Wind vectors as a function of time and height, colored by wind speed. (b) The mean diurnal time series of (b)  $WS$  observed using the wind lidar over the one-year period of interest. Same for (c), (d), (e), (f), and (g), but for  $WD$ ,  $TKE$ ,  $\sigma_w^2$ ,  $S$ , and  $K$ , respectively. Sampling heights are indicated in the legend at the bottom of the figure. Corresponding values from the micrometeorological tower are shown in panels (b – e), and are indicated with a black line.  $N$  is shown at the top of the figure.

### 3.2.2. Seasonal Evolution

When examining the evolution of turbulence characteristics averaged over the entire diurnal cycle (i.e., 0000–2400 LST) on monthly to seasonal timescales, we found that the mean monthly  $WS$  was larger during the cool season than during the warm season, as mean  $WS$  at 40 m AGL ranged from a minimum of  $\sim 2$  m s<sup>-1</sup> in July to  $\sim 3$  m s<sup>-1</sup> in February (Fig. 8a). Consistent with Fig. 3, the mean monthly  $WD$  was generally from the west (Fig. 8b).

479 September and December, however, were the exceptions as we observed mean flows from  
 480 the northeast during these respective months. We found similar results (not shown) to these  
 481 when differentiating by time of day.

482 With larger mean monthly  $WS$ , the mean monthly  $TKE$  was also larger during the cool  
 483 season than during the warm season, ranging from  $\sim 1 \text{ m}^2 \text{ s}^{-2}$  at 40 m AGL in June through  
 484 September to  $\sim 2 \text{ m}^2 \text{ s}^{-2}$  in February (Fig. 8c). Consistent with the seasonal cycle of mean  
 485 monthly  $TKE$ , mean monthly  $\sigma_w^2$  ranged from  $\sim 0.25 \text{ m}^2 \text{ s}^{-2}$  at 40 m AGL to  $\sim 0.50 \text{ m}^2 \text{ s}^{-2}$   
 486 during this same time period (Fig. 8d). Mean monthly  $S$  ranged between 0 and 0.2 across all  
 487 sampling heights and showed little seasonal variability (Fig. 8e), whereas mean monthly  $K$   
 488 was slightly larger during the warm season than during the cool season (Fig. 8f). We also  
 489 note that, because we are showing the mean values of the turbulence statistics within each  
 490 month at each sampling height, we are not fully encapsulating the within-month variability in  
 491 these values which is nontrivial and evident by large standard deviations in the turbulence  
 492 statistics (not shown) and which may be responsible for the apparent discontinuity in for  
 493 example the mean monthly  $\sigma_w^2$  at the uppermost sampling heights (cf. Fig. 8d).



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 495 **Fig. 8.** The mean monthly (a)  $WS$  observed from the wind lidar over the one-year period of  
 496 interest and computed between 0000 and 2400 LST. Same for (b), (c), (d), (e), and (f), but for  
 497  $WD$ ,  $TKE$ ,  $\sigma_w^2$ ,  $S$ , and  $K$ , respectively. Sampling heights are indicated in the legend at the  
 498 bottom of the figure. Note that only time periods with  $> 75\%$  valid data (i.e., following the

499 removal of instances with low CNR, cf. Section 2) are plotted, resulting in periods data which  
500 are most apparent in panels (a) and (b).

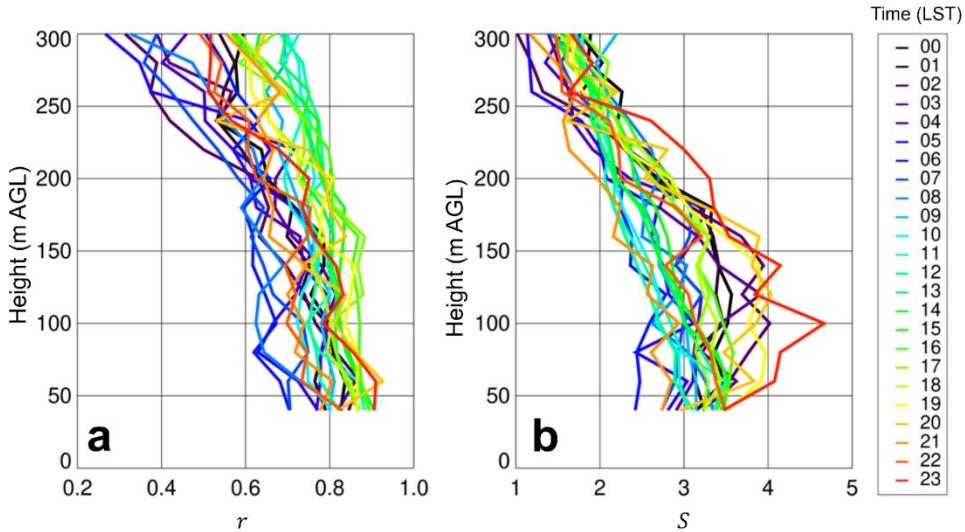
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### 502 3.2.3. Relationship between $\sigma_w^2$ and TKE

503 To examine further the turbulence characteristics across all days in the study period,  
504 we quantified the relationship between the lidar-derived  $\sigma_w^2$  and lidar-derived TKE as a  
505 function of height above ground level to determine the relative contribution of  $\sigma_w^2$  to the total  
506 TKE at each of the sampling heights. To this end, we computed the Pearson correlation  
507 coefficient ( $r$ ) and the slope of the line of best fit ( $S$ ) between lidar-derived  $\sigma_w^2$  and lidar-  
508 derived TKE. The Pearson correlation coefficient has been shown to be useful in helping to  
509 better understand the evolution of within- and above-canopy turbulence characteristics (e.g.,  
510 Lee et al., 2025). We found that  $r$  was largest nearest the surface and decreased with height.  
511 Near-surface  $r$  was  $\sim 0.7$  during the middle of the night but  $\sim 0.9$  during the afternoon (Fig.  
512 9a). At the uppermost sampling heights, the diurnal differences were more pronounced, with  
513 nighttime  $r$  ranging from  $\sim 0.3$ – $0.5$  but daytime values ranging from  $\sim 0.6$ – $0.8$ . Furthermore,  
514 we found that the slope of the line of best fit between  $\sigma_w^2$  and TKE as a function of height  
515 above ground level was largest between the surface and about 150 m AGL but generally  
516 decreased above this height irrespective of time of day (Fig. 9b).

517 The comparatively large daytime values of  $r$  indicate  $\sigma_u^2$  and  $\sigma_v^2$  are well correlated  
518 with  $\sigma_w^2$ , whereas the smaller values of  $r$  indicate that the horizontal wind variances (i.e.,  $\sigma_u^2$   
519 and  $\sigma_v^2$ ) have a larger contribution to TKE production at the upper sampling heights during  
520 the nighttime. The observed vertical variability in  $r$  (i.e., higher value in the lower altitudes  
521 than in the upper altitudes) strongly suggest the dominant impact of horizontal (vertical)  
522 components of wind field in TKE in the upper (lower) altitudes.

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**Fig. 9.** (a) The Pearson correlation coefficient (i.e.,  $r$ ) and (b) slope of the line of best fit (i.e.,  $S$ ) between  $\sigma_w^2$  and  $TKE$  as a function of height above ground level. Colors indicate the time of day in LST, and are shown to the right of panel (b).

529 3.3. *Turbulence Characteristics under Different Meteorological Conditions During the  
530 Daytime*

531 3.3.1. *Vertical Velocity Distribution*

532 Discussion so far has focused on the evolution of SL turbulence characteristics  
533 irrespective of ambient meteorological regimes. When examining these turbulence  
534 characteristics as a function of regime following the procedure outlined in Section 2, we  
535 found that the  $w$  distributions exhibited positive skewness on the composites of clear days,  
536 both for the subsets of days with relatively weak wind speeds and for the subset of days with  
537 relatively strong wind speeds across all sampling heights and during both the morning (Table  
538 1) and afternoon (Table 2). These results cumulatively suggest that this is an updraft-  
539 dominated turbulence regime when updrafts tend to be narrower and more intense than the  
540 broader, weaker downdrafts (i.e., Regimes I and II, shown in Tables 1 and 2, and which have  
541 positive  $S$  implying strong, narrow updrafts surrounded by weak, extensive downward  
542 motion). We also note the percentages of both scenarios ( $w > 0 \text{ m s}^{-1}$  and  $w < 0 \text{ m s}^{-1}$ ) at all  
543 three heights across different regimes (see Table 2 and 3). In contrast, the  $w$  distributions had  
544 negative  $S$  on the composites of cloudy days that was likely caused by cloud-top long-wave  
545 radiative cooling (e.g., LeMone, 1990; Moeng and Rotunno, 1990; Hogan et al., 2009;  
546 Behrendt et al., 2015), including both the subset with weak wind speeds and the subset of  
547 days with relatively strong wind speeds. For brevity, we explored the relationship between  $S$   
548 and the  $C_{index}$  and found a positive relationship between the vertical velocity skewness and

549  $C_{index}$  at all sampling heights, with the relationship being strongest at 100 m AGL ( $R^2 = 0.22$ ,  
 550  $S=0.46C_{index}$ , Fig. 10). These results help us to distinguish bottom up from top down sources  
 551 of turbulence because vertical transport of  $\overline{w'^2}$  by turbulence itself (i.e.,  $w'$ ) is reflected  
 552 within the  $S$  values, and  $S$  increase as function of  $C_{index}$ . Furthermore, we note that  $K$  was  
 553 much larger across all sampling heights during both the morning and the afternoon on the  
 554 subsets of cloudy days than on the subsets of clear days.

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**Table 1:** The mean ( $\bar{w}$ ),  $w$  standard deviation ( $\sigma$ ),  $w$  skewness ( $S$ ),  $w$  kurtosis ( $K$ ),  
 percentage  $w > 0 \text{ m s}^{-1}$ , and percent of  $w < 0 \text{ m s}^{-1}$  between 0800 and 1200 LST. Regime I, II,  
 III, and IV correspond with cases that are clear with weak winds, clear and strong winds,  
 cloudy and weak winds, and cloudy and strong winds, respectively.

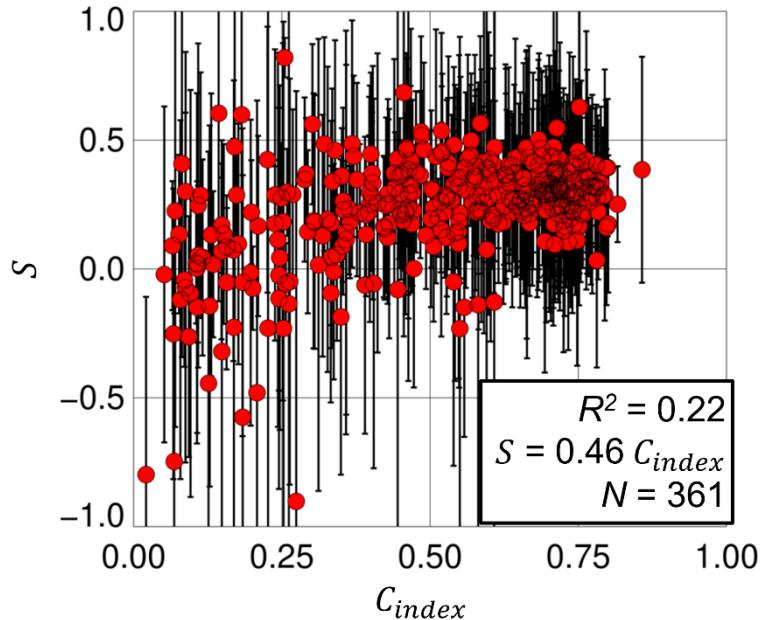
Sampling Height	Regime	$\bar{w} (\text{m s}^{-1})$	$\sigma w (\text{m s}^{-1})$	$S$	$K$	$\% w > 0 \text{ m s}^{-1}$	$\% w < 0 \text{ m s}^{-1}$
40 m AGL	I	0.11	0.63	0.16	0.45	56.0	44.0
	II	0.07	0.87	0.10	0.53	51.9	48.1
	III	0.04	0.62	-1.12	7.31	50.5	49.5
	IV	-0.11	0.86	-0.59	2.29	46.4	53.6
200 m AGL	I	0.13	0.92	0.55	0.99	51.3	48.7
	II	0.08	1.04	0.53	1.29	49.6	50.4
	III	-0.07	0.67	-1.29	12.32	44.7	55.3
	IV	-0.10	0.85	-0.34	4.15	43.3	56.7
300 m AGL	I	0.13	0.97	0.57	1.30	51.9	48.1
	II	0.08	1.08	0.57	1.70	49.8	50.2
	III	-0.06	0.71	-1.49	15.29	46.5	53.5
	IV	-0.10	0.89	-0.32	4.37	44.1	55.9

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**Table 2:** Same as Table 1, but for times between 1200 and 1600 LST.

Sampling Height	Regime	$\bar{w} (\text{m s}^{-1})$	$\sigma w (\text{m s}^{-1})$	$S$	$K$	$\% w > 0 \text{ m s}^{-1}$	$\% w < 0 \text{ m s}^{-1}$
40 m AGL	I	0.06	0.66	0.12	0.38	52.8	47.2
	II	0.05	0.98	0.03	0.44	51.3	48.7
	III	-0.14	0.75	-1.50	7.08	46.7	53.3
	IV	-0.10	0.92	-0.59	2.33	46.9	53.1
200 m AGL	I	0.06	1.04	0.38	0.39	49.0	51.0
	II	0.12	1.24	0.36	0.42	50.6	49.4
	III	-0.16	0.79	-1.57	9.56	40.0	60.0
	IV	-0.13	1.02	-0.55	3.73	43.7	56.3
300 m AGL	I	0.08	1.19	0.37	0.29	49.2	50.8
	II	0.11	1.43	0.44	0.47	49.4	50.6
	III	-0.12	0.78	-1.20	9.71	43.5	56.5
	IV	-0.13	1.10	-0.55	3.63	44.9	55.1

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564 **Fig. 10.** (a) The relationship between the mean daytime  $S$  (red dots; averaged over 0800–  
 565 1600 LST at 100 m AGL), and the  $C_{index}$  obtained from the nearby micrometeorological  
 566 tower. The error bars represent  $\pm 1$  standard deviation in  $S$  over the averaging period. The  $R^2$   
 567 the best-fit equation, and  $N$  are shown in a box on the lower right.

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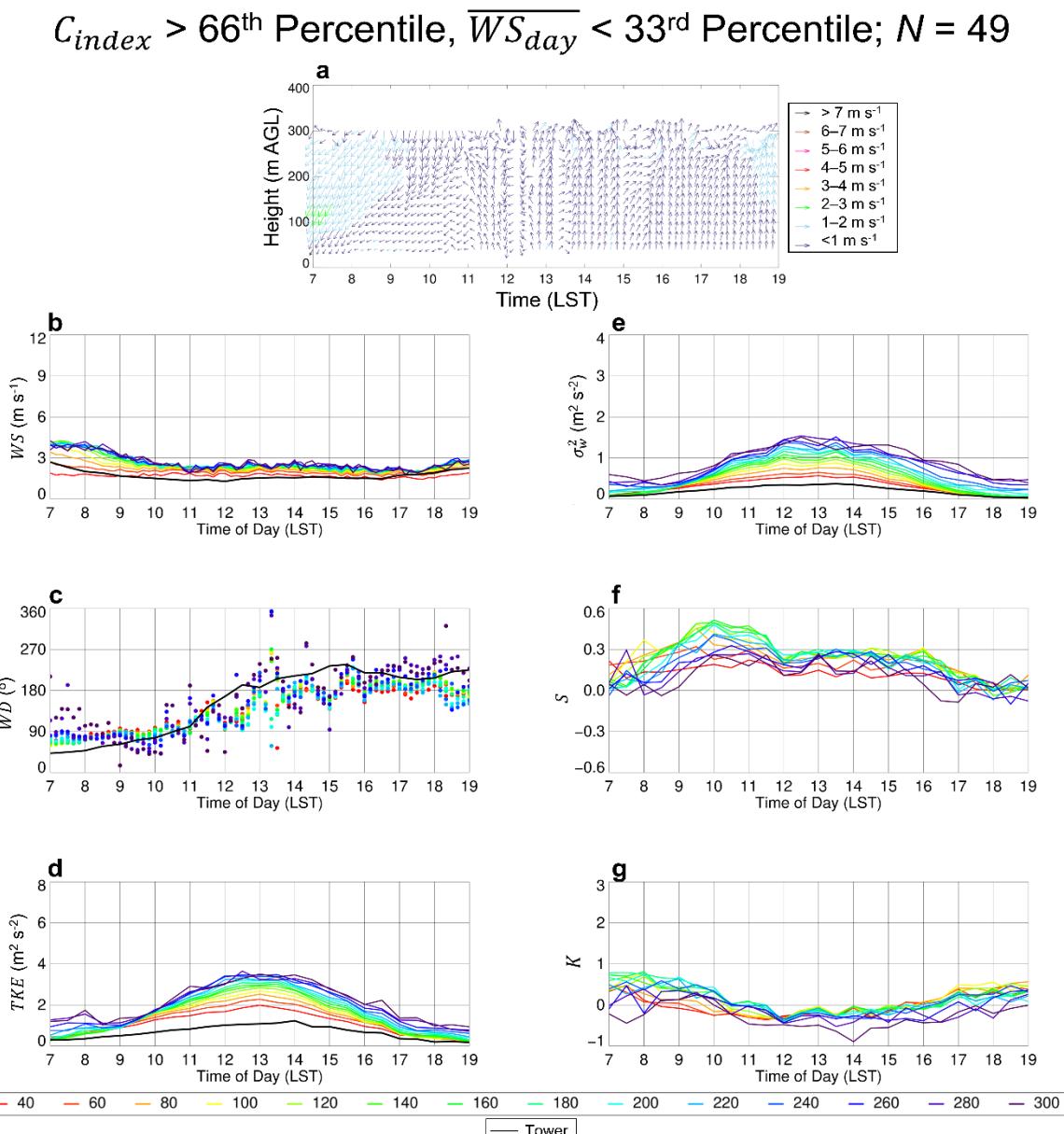
### 569 3.3.2. Mean Diurnal Cycles

#### 570 3.3.2.1. Clear Days

571 When we examined the mean diurnal cycles of  $WS$  observed from the wind lidar on  
 572 the composite of days in which the  $C_{index} > 66^{\text{th}}$  percentile and  $\overline{WS_{day}} < 33^{\text{rd}}$  percentile (i.e.,  
 573 clear days with weak wind speeds), we found a small  $WS$  decrease during the daytime. The  
 574 largest values occurred between  $\sim 0700$  and  $0800$  LST and ranged from  $2 \text{ m s}^{-1}$  at  $40 \text{ m AGL}$   
 575 to  $4 \text{ m s}^{-1}$  at  $300 \text{ m AGL}$  (Fig. 11a, 11b).  $WD$  exhibited a clockwise shift during the daytime;  
 576 between  $0700$  LST and  $1000$  LST, winds were easterly at all sampling heights, but between  
 577  $1000$  LST and  $1200$  LST ranged from southerly to southwesterly (Fig. 11a, 11c).  
 578 Corresponding with the lower  $WS$  during the afternoon, there was greater  $WD$  variability at  
 579 the different sampling heights; near-surface winds were typically southerly, whereas the  
 580 lidar's uppermost sampling heights winds had a larger southwesterly wind component.

581 Examination of the evolution of both  $TKE$  and  $\sigma_w^2$  for the composites of clear days  
 582 with weak wind speeds revealed a broad maximum during the afternoon across all sampling  
 583 heights. At  $40 \text{ m AGL}$  ( $300 \text{ m AGL}$ ), the maximum values of  $TKE$  were  $\sim 2.0 \text{ m}^2 \text{ s}^{-2}$  ( $\sim 3.5$

584  $\text{m}^2 \text{s}^{-2}$ ) at 40 m AGL (300 m AGL) (Fig. 11d), whereas maximum values of  $\sigma_w^2$  were  $\sim 0.5 \text{ m}^2 \text{s}^{-2}$  ( $1.5 \text{ m}^2 \text{s}^{-2}$ ) (Fig. 11e). Mean  $S$  was typically positive during the daytime for all sampling heights, with maximum values occurring between  $\sim 0900$  and  $1100$  LST at 140 – 180 m AGL (Fig. 11f) thus indicating the strongest upward transport of  $TKE$  and  $\overline{w'^2}$  at these sampling heights. Mean  $K$  was typically  $> 0$  at all sampling heights between  $\sim 0700$  and  $0900$  LST but decreased and became  $< 0$  between  $\sim 1000$  LST and  $1600$  LST (Fig. 11g) which is suggestive of a decrease in turbulence intermittency here that is consistent with the mean diurnal cycles of  $K$  that were previously shown.



592  
593 **Fig. 11.** (a) Wind vectors as a function of time and height, colored by wind speed. (b) The  
594 mean diurnal time series, between 0700 LST and 1900 LST, of (a)  $WS$  observed from the  
595 wind lidar for the composite of days in which the  $C_{index} > 66^{\text{th}}$  percentile and  $\overline{WS}_{day} < 33^{\text{rd}}$   
596 percentile (i.e., clear days with weak winds). Same for (c), (d), (e), (f), and (g), but for  $WD$ ,

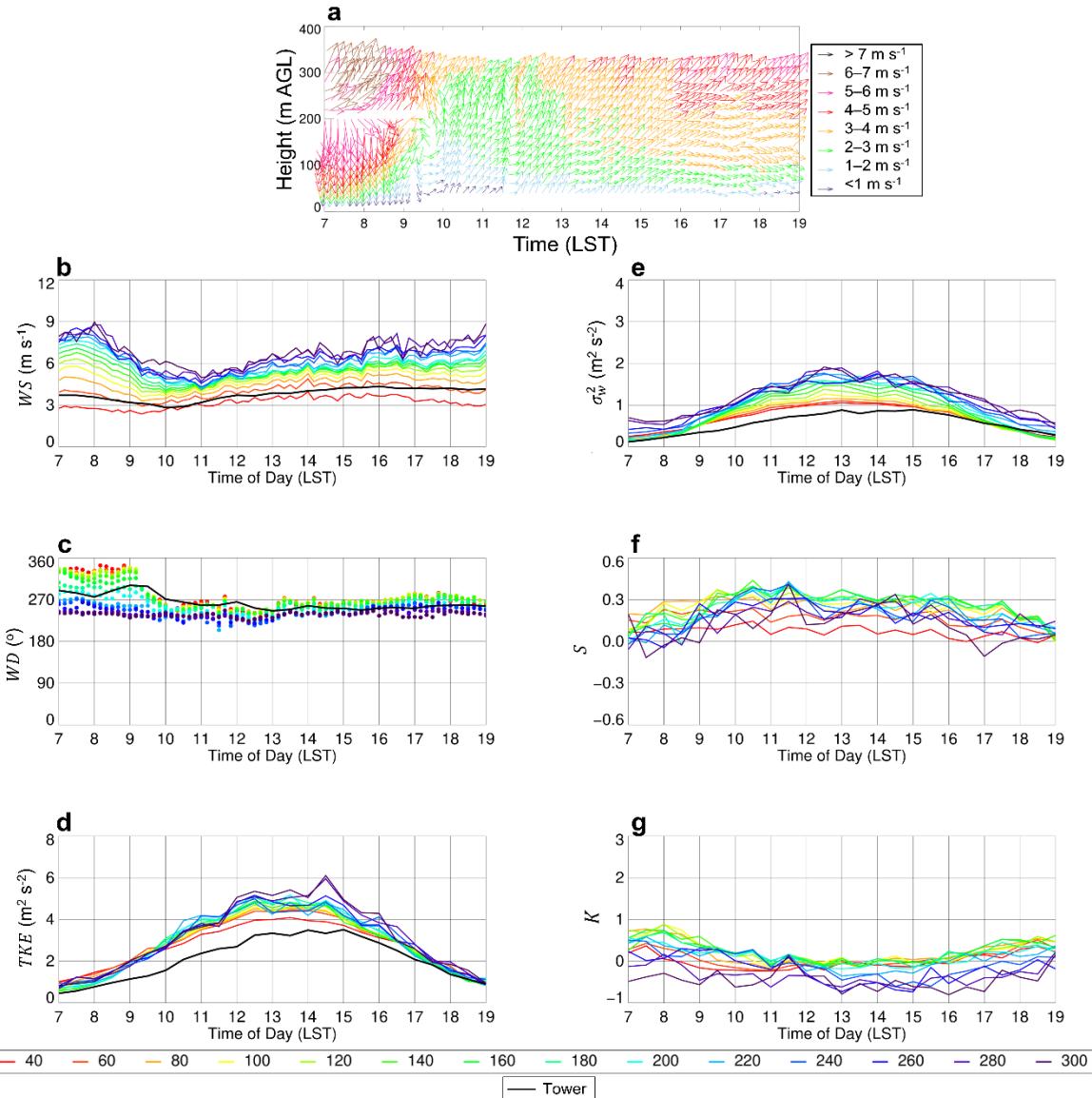
597  $TKE$ ,  $\sigma_w^2$ ,  $S$ , and  $K$ , respectively. The sampling heights are indicated in the legend at the  
598 bottom of the figure. The corresponding values from the micrometeorological tower are  
599 shown in panels (b – e) and are indicated by the black line.

600

601       Analogous to the subset of clear days with weak winds, the subset of clear days with  
602 strong winds also exhibited a  $WS$  decrease during the morning. The minimum  $WS$  was  
603 observed between  $\sim 1000$  LST and  $1100$  LST, after which  $WS$  increased across all sampling  
604 heights (Fig. 12a, 12b). Unlike what was observed in the composites for days with weak  
605 winds, there was greater  $WD$  variability at all sampling heights between  $\sim 0700$  LST and  
606  $0900$  LST, with winds backing from the north-northwest at the lowest sampling heights to  
607 west-southwest at  $300$  m AGL (Fig. 12a, 12c) which is opposite to the pattern found on clear  
608 days (cf. Fig. 11). This difference disappeared during the mid-morning, and winds showed  
609 only minimal backing for the remainder of the day, as west-southwesterly winds were most  
610 dominant.

611       Maximum  $TKE$  in the composites for clear days with strong winds ranged from  $\sim 4$   
612  $\text{m}^2 \text{s}^{-2}$  at  $40$  m AGL to  $\sim 6 \text{ m}^2 \text{s}^{-2}$  at  $300$  m AGL during the early afternoon (Fig. 12d) due to  
613 considerably larger values of  $\sigma_u^2$  and  $\sigma_v^2$  on these subsets of days (not shown). However,  $\sigma_w^2$   
614 was only slightly larger on the composites for clear days with strong winds, as maximum  $\sigma_w^2$   
615 ranged from  $\sim 1 \text{ m}^2 \text{s}^{-2}$  at  $40$  m AGL to  $\sim 2 \text{ m}^2 \text{s}^{-2}$  at  $300$  m AGL (Fig. 12e). The  $S$  and  $K$   
616 composites were fairly similar. Accompanying the morning wind direction shift was an  
617 increase in  $S$  and decrease in  $K$  after which these values remained fairly constant throughout  
618 the daytime (Fig. 12f, 12g).

$C_{index} > 66^{\text{th}} \text{ Percentile}$ ,  $\overline{WS_{day}} > 66^{\text{th}} \text{ Percentile}$ ;  $N = 37$



**Fig. 12.** Same as Fig. 11 but composite for days in which the  $C_{index} > 66^{\text{th}}$  percentile and  $\overline{WS_{day}} > 66^{\text{th}}$  percentile (i.e., clear days with strong winds).

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### 3.3.2.2. Cloudy Days

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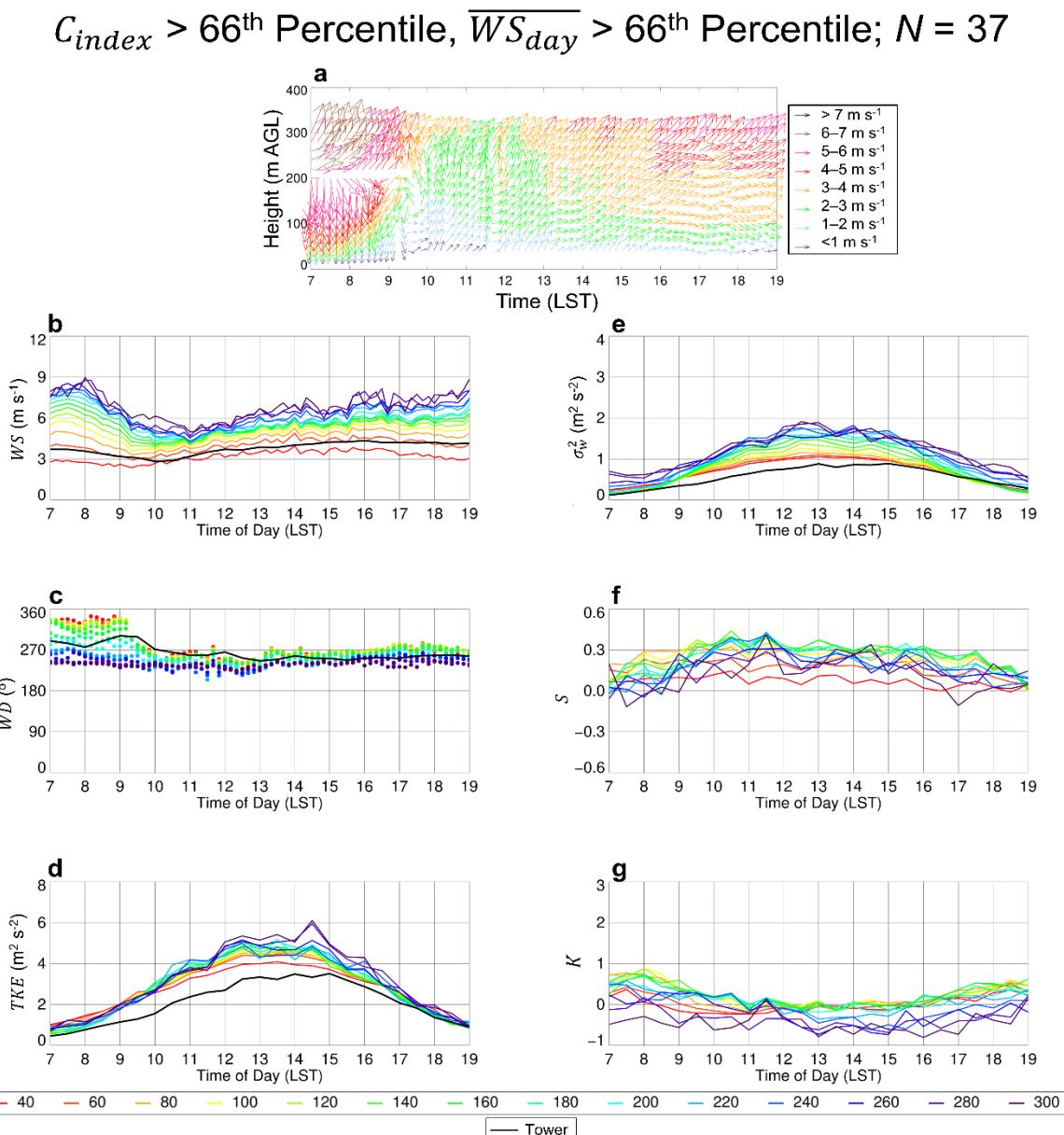
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630

Whereas maximum  $WS$  of  $\sim 4 \text{ m s}^{-1}$  at 300 m AGL occurred on cloudy days with weak wind speeds, cloudy days with strong wind speeds had a mean maximum  $WS$  of  $\sim 10 \text{ m s}^{-1}$  at 300 m AGL between  $\sim 1500$  and  $1700$  LST (Fig. 13a, 13b). Similar to the cases with clear skies, however, was that there was a clockwise wind shift during the daytime in the  $WD$  composites for cloudy skies and weak winds. Between  $\sim 0700$  LST and  $1100$  LST, southeasterly winds occurred at all sampling heights (Fig. 13a, 13c). Furthermore, during this period, the winds veered with height, as easterly flows were observed near the surface but

631 southerly flows were observed at 300 m AGL. The composites of  $WD$  during the afternoon,  
 632 however, exhibited little variability with height.

633 When we examined the turbulence characteristics on the subset of cloudy days and  
 634 weak wind speeds, we found limited diurnal variability in both  $TKE$  (Fig. 13d) and  $\sigma_w^2$  (Fig.  
 635 13e) due to the lack of strong turbulent mixing on this subset of days. Furthermore, vertical  
 636 gradients in  $TKE$  and  $\sigma_w^2$  were minimal, with maximum values of  $\sim 0.5 \text{ m}^2 \text{ s}^{-2}$  and  $2 \text{ m}^2 \text{ s}^{-2}$ ,  
 637 respectively. Similar to  $\sigma_w^2$  and  $TKE$ , the  $S$  composites (Fig. 13f) and  $K$  composites (Fig.  
 638 13g) showed little diurnal variability and vertical variability; mean values of  $S$  ( $K$ ) were  
 639 around 0 (0.5) for all sampling heights.

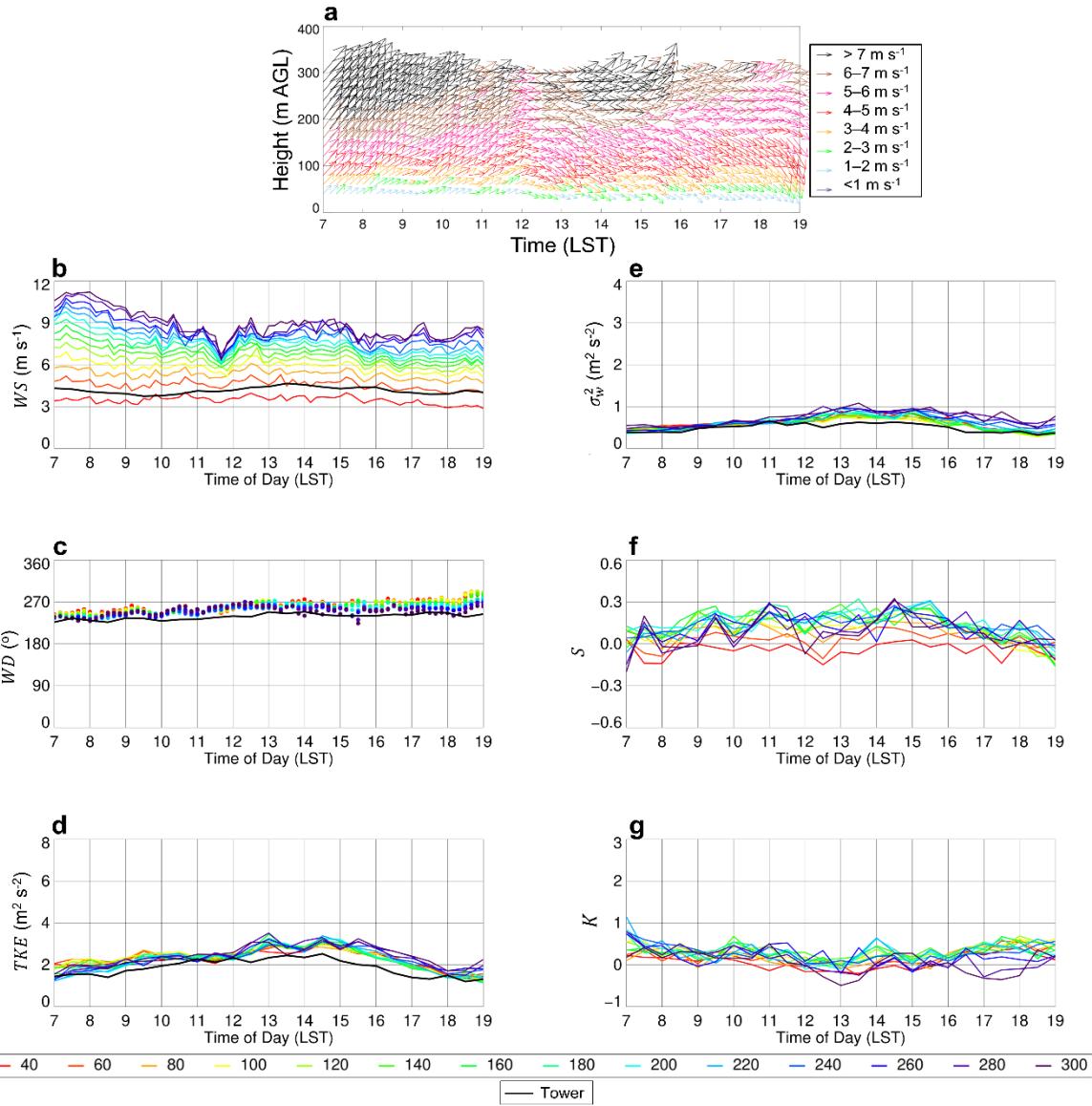


640  
 641 **Fig. 13.** Same as Fig. 11 but composite for days in which the  $C_{index} < 33^{\text{rd}}$  percentile and  
 642  $\overline{WS_{day}} < 33^{\text{rd}}$  percentile (i.e., cloudy days with weak winds).  
 643

644 The regimes with the cloudy skies and strong winds had the largest mean *WS* of any  
645 of the four regimes (Fig. 14a, 14b). Near-surface *WS* were  $\sim 3 \text{ m s}^{-1}$  and exhibited little  
646 diurnal variability, whereas mean *WS* at the uppermost sampling heights were  $\sim 11 \text{ m s}^{-1}$   
647 between 0700 and 0800 LST but decreased to  $\sim 9 \text{ m s}^{-1}$  between 0800 and 1000 LST and  
648 showed relatively little variability for the remainder of the day. The *WD* composite showed  
649 westerly winds throughout the diurnal cycle and minimum gradients with height (Fig. 14a,  
650 14c).

651 The *TKE* composites showed a small increase during the daytime for the regimes with  
652 cloudy skies and strong winds, with values ranging from  $\sim 2 \text{ m}^2 \text{ s}^{-2}$  post-sunrise to  $\sim 3 \text{ m}^2 \text{ s}^{-2}$   
653 around noon (Fig. 14d). The  $\sigma_w^2$  mean diurnal cycles had maximum values between  $\sim 1200$   
654 LST and 1400 LST. During this time period,  $\sigma_w^2$  ranged from  $\sim 0.5 \text{ m}^2 \text{ s}^{-2}$  at 40 m AGL to  $\sim$   
655  $1.0 \text{ m}^2 \text{ s}^{-2}$  at 300 m AGL (Fig. 14e). Additionally, the  $\sigma_w^2$  composites exhibited more vertical  
656 variability than *TKE*. The *S* composites (Fig. 14f) showed a small increase, which was more  
657 pronounced at the lidar's uppermost sampling heights than near the surface. Similar to the  
658 cloudy regimes with weak wind speeds, the *K* composites on the subsets of cases with strong  
659 winds and cloudy skies showed little diurnal variability, and the mean values were similar  
660 among the different sampling heights (Fig. 14g).

$C_{index} < 33^{\text{rd}}$  Percentile,  $\overline{WS}_{day} > 66^{\text{th}}$  Percentile;  $N = 38$



**Fig. 14.** Same as Fig. 11 but composite for days in which the  $C_{index} < 33^{\text{rd}}$  percentile and  $\overline{WS}_{day} > 66^{\text{th}}$  percentile (i.e., cloudy days with strong winds).

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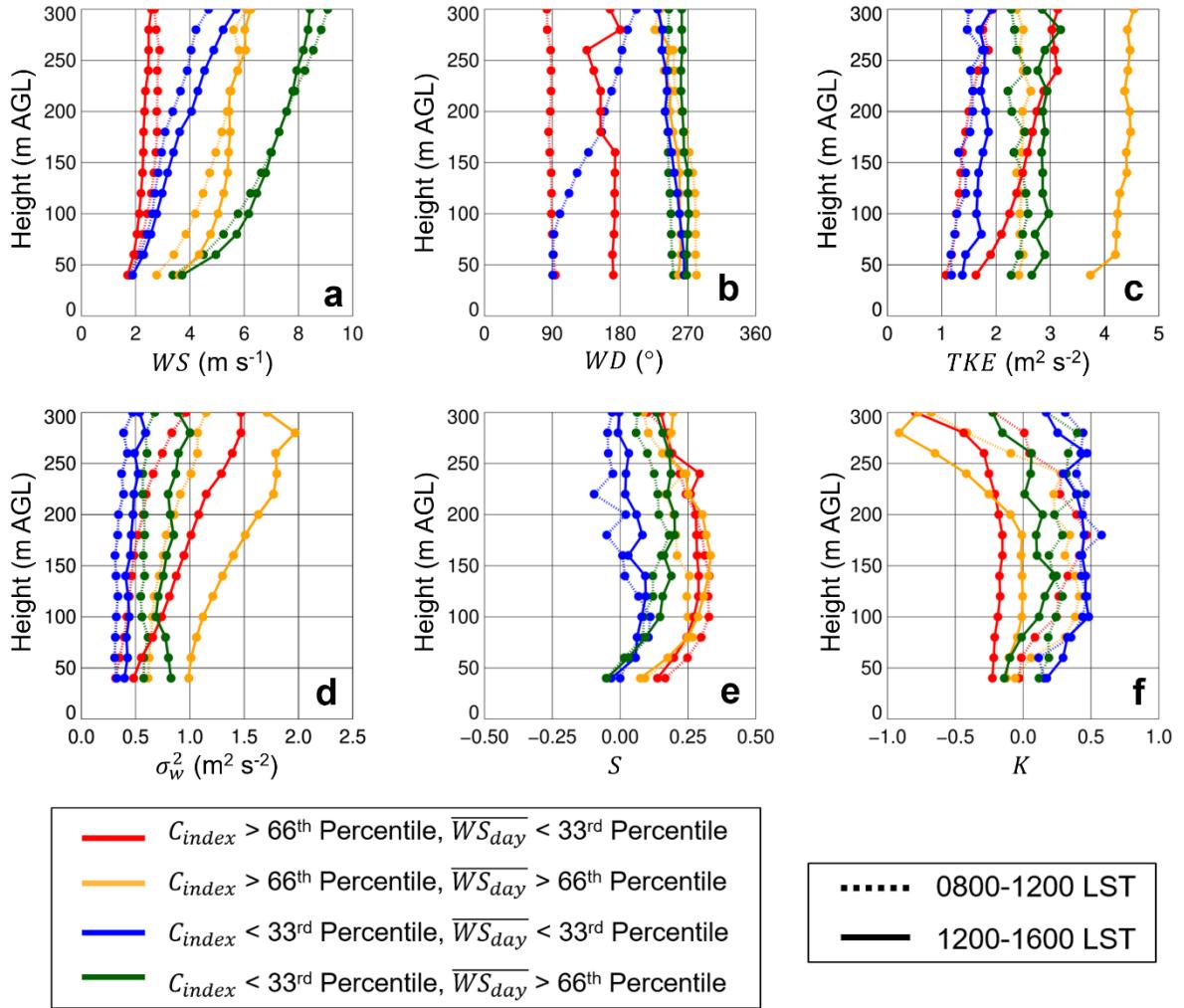
### 665 3.3.3. Composite Profiles

666 In the previous section, we examined the diurnal evolution of the near-surface  
667 turbulence characteristics under different radiative and wind regimes that we identified  
668 during the daytime. We found that  $\overline{WS}$  was larger on the subset of cloudy days than on the  
669 subset of clear days, possibly due to smoother flows within this subset of cases. The  $\overline{WS}$   
670 increased from  $\sim 4 \text{ m s}^{-1}$  at 40 m AGL, both during the morning and afternoon, to  $\sim 9 \text{ m s}^{-1}$   
671 and  $8 \text{ m s}^{-1}$  during the morning and afternoon, respectively, at 300 m AGL (Fig. 15a). For the  
672 majority of the wind and radiative regimes,  $\overline{WD}$  was from the west, but there were exceptions  
673 (Fig. 15b). During the mornings with cloudy skies and light winds, winds originated from the

674 east below 100 m but veered southward with an increase in height. Winds were also from the  
675 east during clear skies and light winds, but these cases exhibited no veering with height.  
676 Unlike the other afternoons, wind directions on the subset of days were generally southerly  
677 during the afternoon but otherwise the composite mean vertical profiles were quite similar  
678 between the morning (0800–1200 LST) and afternoon (1200–1600 LST).

679 The radiative regime did not affect the  $\overline{TKE}$  during the morning, as the profiles on  
680 clear days with weak winds were comparable with those on cloudy days with weak winds,  
681 with observed values of  $\overline{TKE}$  around  $1.5 \text{ m}^2 \text{ s}^{-2}$ . Mornings with strong winds had  $\overline{TKE}$  around  
682  $2.5 \text{ m}^2 \text{ s}^{-2}$ , irrespective of sky conditions (Fig. 15c). Afternoon profiles had larger variability  
683 than the morning. The smallest  $\overline{TKE}$  values occurred on cloudy days with weak winds,  
684 ranging from  $1.5$  to  $2.0 \text{ m}^2 \text{ s}^{-2}$ , whereas  $\overline{TKE}$  was oftentimes  $> 4.0 \text{ m}^2 \text{ s}^{-2}$  on the afternoons  
685 with clear skies and strong winds. Examination of  $\overline{\sigma_w^2}$  indicated that  $\sigma_w^2$  was largest on the  
686 subsets of clear days, whereby  $\overline{\sigma_w^2}$  increased from  $\sim 0.5 \text{ m}^2 \text{ s}^{-2}$  ( $\sim 1.0 \text{ m}^2 \text{ s}^{-2}$ ) at 40 m AGL to  $\sim$   
687  $1.5 \text{ m}^2 \text{ s}^{-2}$  ( $\sim 2.0 \text{ m}^2 \text{ s}^{-2}$ ) at 300 m AGL on the subset of days with weak (strong) winds (Fig.  
688 15d). On the remaining subsets of wind and radiative regimes,  $\overline{\sigma_w^2}$  remained below  $1 \text{ m}^2 \text{ s}^{-2}$   
689 during both the morning and afternoon.  $\bar{S}$  exhibited only small differences between the  
690 morning and afternoon across all wind and radiative regimes (Fig. 15e).

691 All regimes had a positive  $\bar{S}$  bias that was most positive on the subsets of regimes  
692 with clear skies than on cloudy days, whereby the observed  $\bar{S}$  was around 0.25 and indicating  
693 the strongest upward transport of  $TKE$  and  $\overline{w'^2}$  (e.g., Hogan et al., 2009) within these  
694 turbulent regimes.  $\bar{K}$  was positive during the morning across all radiative and wind regime  
695 but became negative in the afternoon under clear sky conditions (Fig. 15f). The most negative  
696  $\bar{K}$  occurred during the afternoon under regimes with clear skies and weak winds over the  
697 lowest 200 m which is suggestive of more turbulence intermittency within this particular  
698 meteorological regime (e.g., McNicholas and Turner, 2014).



**Fig. 15.** The mean vertical profiles of (a)  $WS$ , (b)  $WD$ , (c)  $TKE$ , (d)  $\sigma_w^2$ , (e)  $S$ , and (f)  $K$  during the morning (i.e., 0800–1200 LST, dashed line) and afternoon (i.e., 1200–1600 LST, solid line).

#### 3.4. Turbulence Characteristics under Different Meteorological Conditions During the Nighttime

Discussion has so far focused on the evolution of near-surface turbulence characteristics within the daytime CBL under different radiative and wind regimes but has not yet addressed the turbulence characteristics observed within the NBL. To this end, in the present section, we quantify the near-surface turbulence characteristics under different radiative and wind regimes during the nighttime (i.e., 0000–0400 LST).

##### 3.4.1. Vertical Velocity Distribution

When examining the normalized  $w$  distributions from the different sampling heights obtained from the wind lidar under the different radiative and wind regimes during the nighttime, consistent with our findings for daytime conditions, we observed larger skewness

716 on cloudy days than clear days (Table 3). At 40 m AGL,  $S$  was -0.08 (0.13) on the subset of  
 717 clear days with weak winds (strong winds), whereas  $S$  was -2.48 (-1.03) on the subset of  
 718 cloudy days with weak winds (strong winds) resulting in a larger percentage of positive  
 719 vertical velocities compared to negative vertical velocities. Also consistent with our findings  
 720 for daytime conditions was that  $K$  was larger on the subsets of cases with cloudy skies than  
 721 on the subsets of cases with clear skies.

722

723 **Table 3.** Same as Table 1 but for times between 0000 and 0400 LST.

Sampling Height	Regime	$\bar{w}$ (m s <sup>-1</sup> )	$\sigma_w$ (m s <sup>-1</sup> )	$S$	$K$	% $w > 0$ m s <sup>-1</sup>	% $w < 0$ m s <sup>-1</sup>
40 m AGL	I	0.05	0.20	-0.08	4.68	63.8	36.2
	II	0.03	0.48	0.13	2.14	52.8	47.2
	III	-0.07	0.56	-2.48	15.45	49.8	50.2
	IV	-0.10	0.72	-1.03	5.33	47.1	52.9
<hr/>							
200 m AGL	I	0.01	0.33	-0.07	4.65	52.6	47.4
	II	0.00	0.36	0.11	4.56	49.8	50.2
	III	-0.21	0.73	-3.44	19.09	40.8	59.2
	IV	-0.15	0.69	-1.09	9.57	40.7	59.3
<hr/>							
300 m AGL	I	0.02	0.47	0.06	6.99	52.1	47.9
	II	0.01	0.49	-0.09	4.88	52.1	47.9
	III	-0.22	0.80	-3.19	18.79	41.9	58.1
	IV	-0.20	0.74	-1.12	8.22	38.7	61.3

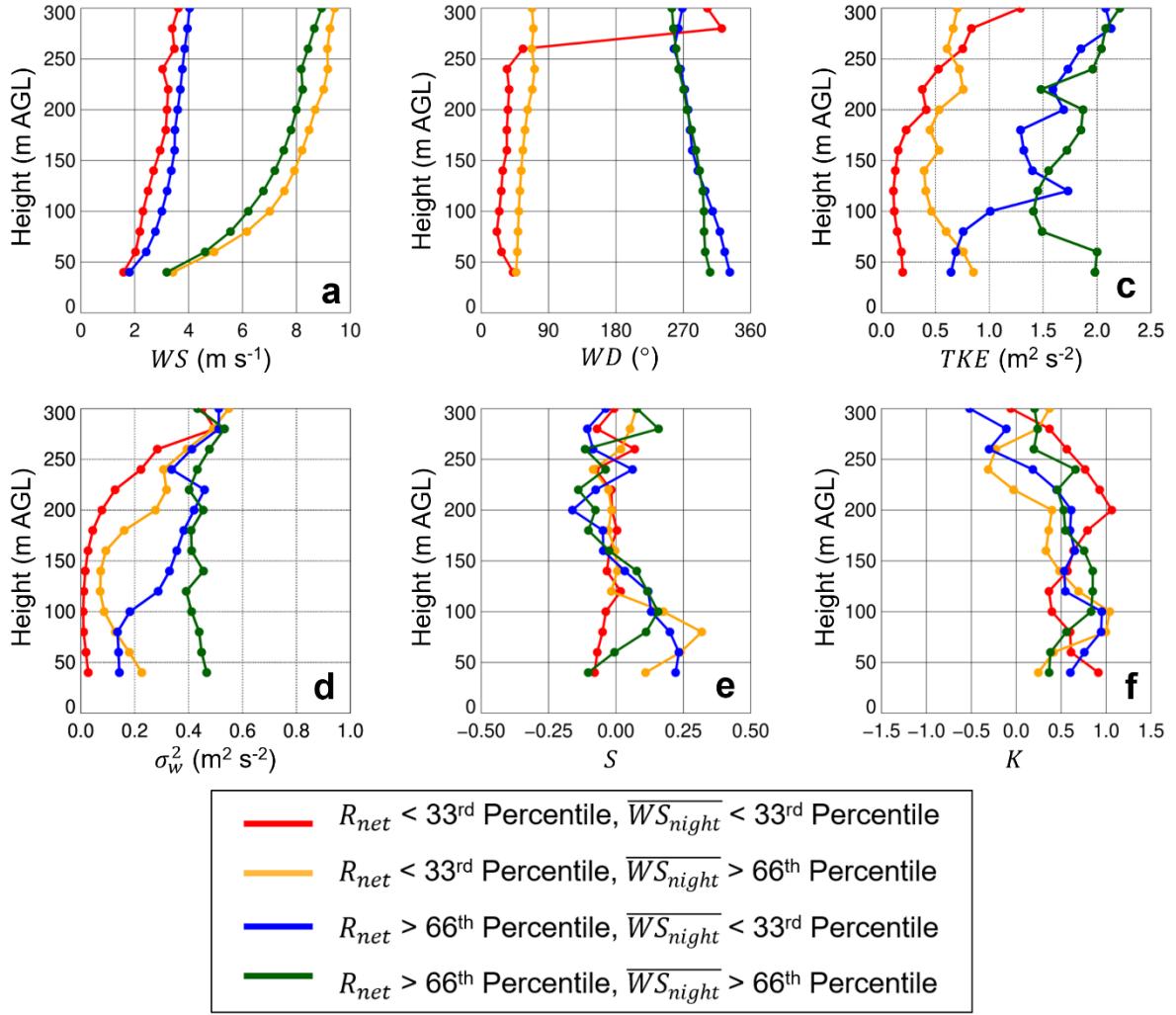
724

### 725 3.4.2. Composite Profiles

726  $\bar{WS}$  exhibited the largest increase with height in the lowest 100 m of the lidar profile  
 727 during the nighttime (i.e., 0000–0400 LST). Furthermore,  $\bar{WS}$  was largest on nights with  
 728 clear skies. On these nights,  $\bar{WS}$  was  $> 8$  m s<sup>-1</sup> above  $\sim 150$  m AGL (Fig. 16a).  $\bar{WD}$  was  
 729 typically from the northeast under instances with clear skies and independent of wind speed  
 730 regime (Fig. 16b). In contrast, instances with cloudy skies were characterized by  
 731 northwesterly near-surface flows and winds backing to the west with height.

732 Examination of the  $\bar{TKE}$  and  $\bar{\sigma_w^2}$  profiles revealed that these quantities were largest  
 733 under cloudy skies with strong winds, whereby  $\bar{TKE}$  and  $\bar{\sigma_w^2}$  were  $1.5 – 2.0$  m<sup>2</sup> s<sup>-2</sup> and  $\sim 0.4$   
 734 m<sup>2</sup> s<sup>-2</sup>, respectively, throughout the profile (Fig. 16c, Fig. 16d). Conversely, on the subset of  
 735 clear nights with weak wind speeds,  $\bar{\sigma_w^2}$  and  $\bar{TKE}$  were  $< 0.1$  m<sup>2</sup> s<sup>-2</sup> and  $\sim 0.2$  m<sup>2</sup> s<sup>-2</sup>,  
 736 respectively, between the surface and  $\sim 200$  m AGL.  $\bar{S}$  was slightly positive in the lowest  $\sim$   
 737 100 m for all scenarios except for those with clear skies and weak winds. In those scenarios,

738  $\bar{S}$  was  $< 0$  throughout the profile implying the expected downward transport of  $\bar{w'^2}$  and  $TKE$ .  
739 (Fig. 16e).  $\bar{K}$  was  $\sim 0.5$  throughout the profiles and did not exhibit large differences as a  
740 function of radiative or wind regime, but was lower at the uppermost sampling heights in all  
741 of the scenarios (Fig. 16f), implying a larger degree of turbulence intermittency as a function  
742 of height across all of the scenarios.



743  
744 **Fig. 16.** The mean vertical profiles of (a)  $WS$ , (b)  $WD$ , (c)  $TKE$ , (d)  $\sigma_w^2$ , (e)  $S$ , and (f)  $K$   
745 during the nighttime (i.e., 0000–0400 LST).  
746

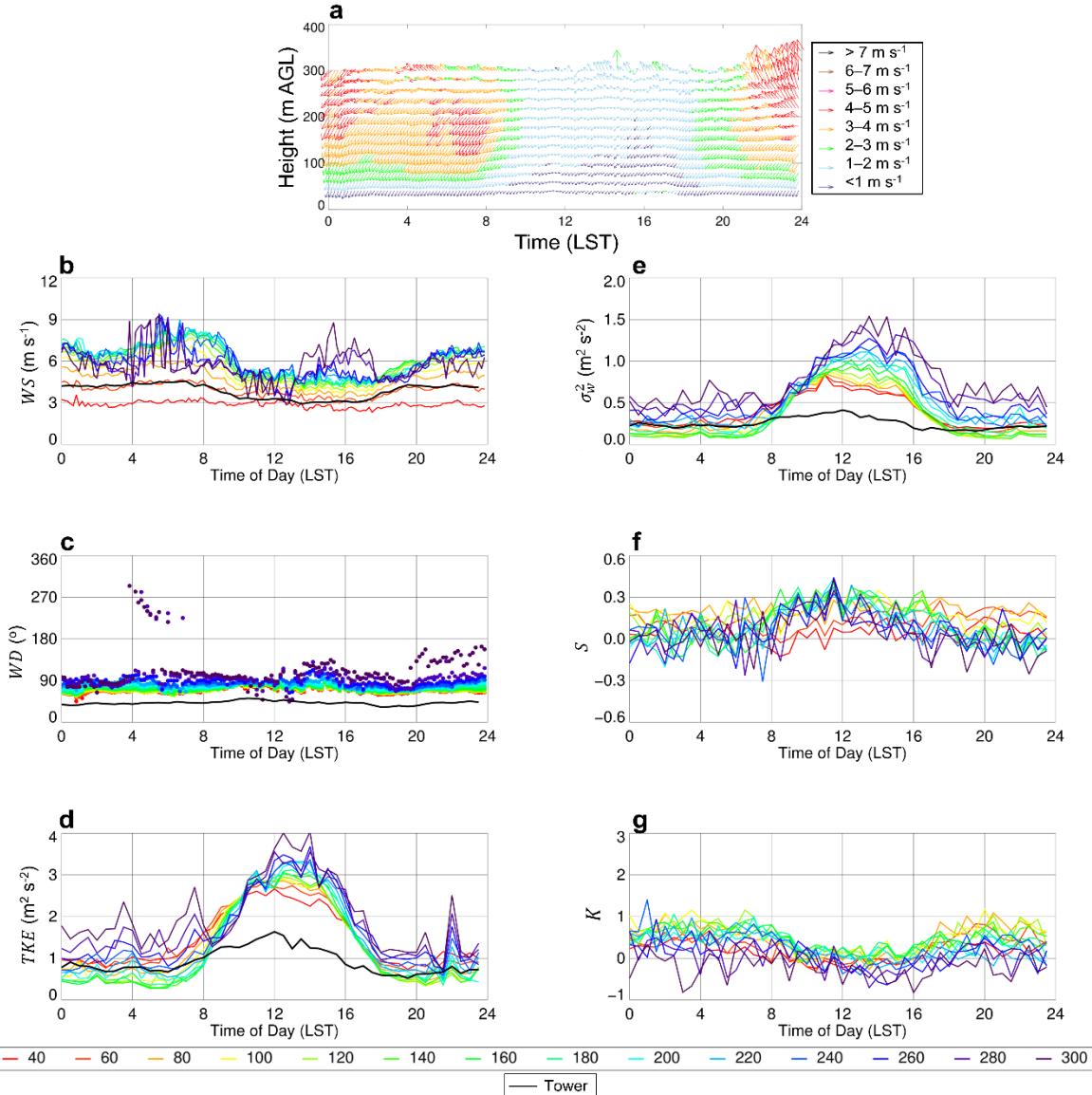
### 747 3.5. Turbulence Characteristics as a Function of Wind Direction

748 To fulfill the fourth objective of this work, we examined the turbulent characteristics  
749 as a function of constant wind directions, following the approach enumerated in Section 2.3.  
750 Days with constant northeasterly flows, which oriented down the Tennessee Valley (cf.  
751 Section 2.1.), exhibited veering winds with height, as northeasterly flows were present in the  
752 lowest sampling heights in the observations from the wind lidar, whereas easterly flows were  
753 observed at the uppermost sampling heights (Fig. 17). In contrast to the days with constant

754 northeasterly winds, days with constant southwesterly winds, which were those in which the  
755 flow was oriented up the Tennessee Valley (cf. Section 2.1.), were characterized by  $WD$   
756 exhibited about  $25^\circ$  of backing with height between about 0000 LST and 0900 LST, after  
757 which  $WD$  was nearly constant with height (Fig. 18).

758 Whereas  $WS$ ,  $TKE$ ,  $\sigma_w^2$ ,  $S$ , and  $K$  exhibited similar characteristics on the composites  
759 of days with near-constant northeasterly winds and on the composites of days with near-  
760 constant southwesterly winds, the former exhibited greater hour-to-hour variability than the  
761 latter. The hour-to-hour variability was particularly evident during the nighttime at the  
762 uppermost sampling heights on days with constant northeasterly flows, whereby down-valley  
763 drainage flows may induce transient turbulent bursts during these times that result in  $TKE$   
764 nearing  $2 \text{ m}^2 \text{ s}^{-2}$ . Further investigation of these turbulent bursts will be subject of further  
765 study.

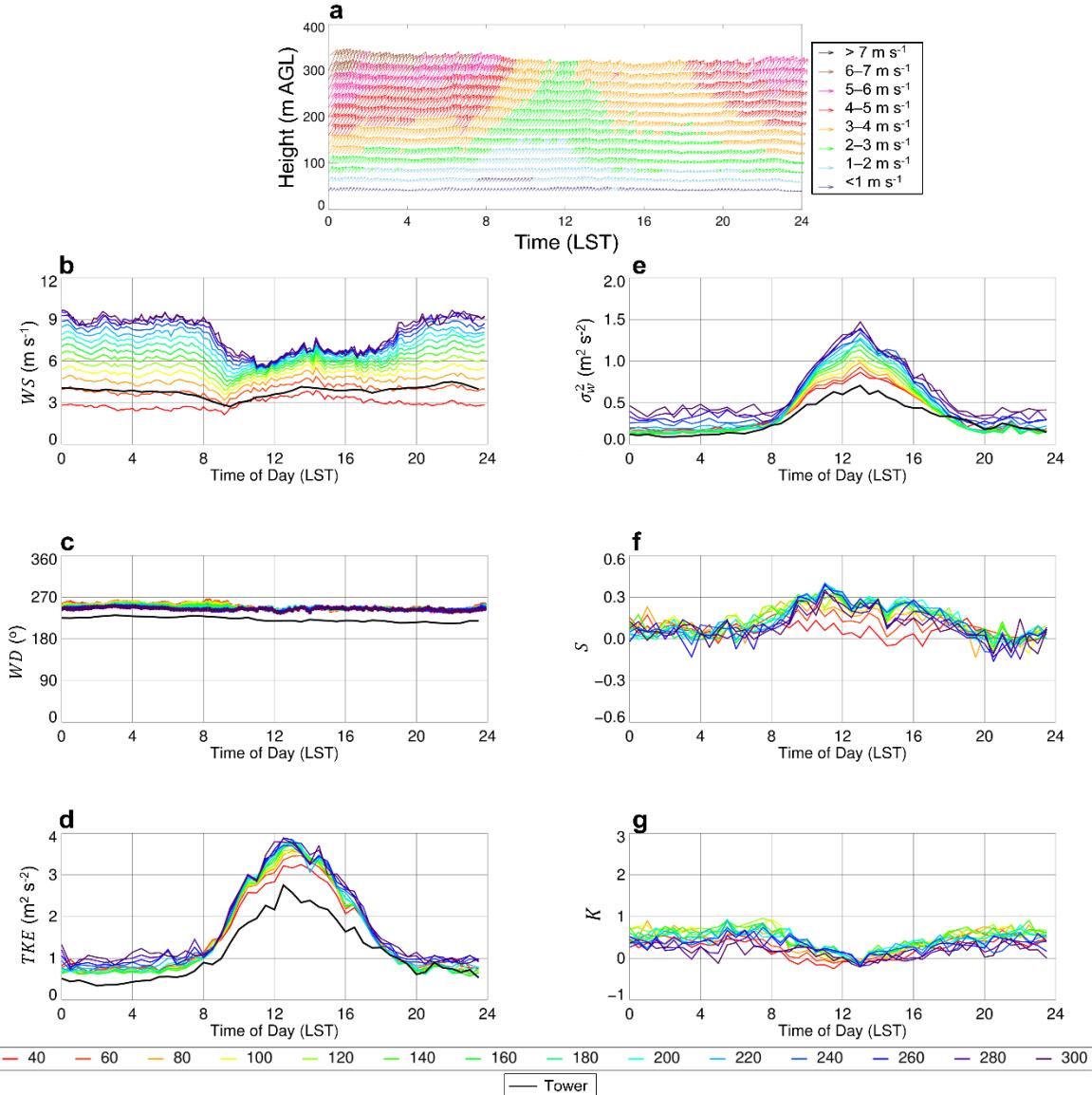
## Days with Northeasterly Winds; $N = 25$



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**Fig. 17.** (a) Wind vectors as a function of time and height, colored by wind speed. (b) The mean diurnal time series of  $WS$  observed from the wind lidar for the composite of days with near-constant northeasterly winds. Same for (c), (d), (e), (f), and (g), but for  $WD$ ,  $TKE$ ,  $\sigma_w^2$ ,  $S$ , and  $K$ , respectively. The sampling heights are indicated in the legend at the bottom of the figure. The corresponding values from the micrometeorological tower are shown in panels (b – e) and are indicated by the black line.

## Days with Southwesterly Winds; $N = 45$



773

774 **Fig. 18.** Same as Fig. 17 but for the composite of days with southwesterly winds.

775

#### 776 4. Summary and conclusions

777 In this study, we addressed the question of how the vertical variability of turbulence  
 778 characteristics evolves in the lowest few hundred meters of the atmosphere over a deciduous  
 779 ridgeline forest across different radiative and wind regimes during the daytime convective  
 780 boundary layer and nocturnal boundary layer. We found that the wind speed, as well as the  
 781 TKE and  $\sigma_w^2$ , obtained from the lowest sampling height of the wind lidar at  $\sim 1.5h_c$ , showed  
 782 reasonably good agreement with observations obtained from analogous sampling heights at  
 783 the nearby micrometeorological tower. This finding provided confidence in our choice to use  
 784 the micrometeorological tower's measurements to study varying meteorological regimes in

785 the study region, in addition to helping provide us with fidelity in the wind speed and, in  
786 particular, the turbulence measurements derived from the wind lidar. We quantified the  
787 turbulence characteristics within the different radiative and wind regimes by computing the  
788 composites of the mean diurnal cycles,  $\bar{w}$  frequency distributions, and the mean vertical  
789 profiles of the wind and turbulence characteristics. We found that the largest decrease in the  
790 diurnal wind speed occurred on clear, windy days. Under clear sky conditions, increasing  
791  $TKE$  and  $\sigma_w^2$  yield positive  $S$  throughout the lower part of afternoon ABL. Under cloudy  
792 conditions we found a mostly height-independent distribution of  $TKE$  which were associated  
793 with lower  $\sigma_w^2$  and near-zero  $S$ .

794 To the best of our knowledge, this study is the first of its kind to document vertical  
795 profiles of turbulence statistics, as well as higher-order statistical moments, in the lowest few  
796 hundred meters of the atmosphere above a forested ridgeline and how the quantities varied  
797 under different forcings: surface heating under clear skies versus cloudy skies whereby the  
798 forcing is driven by radiative cooling at the cloud top. The high resolution observations  
799 available from the wind lidar used in this study allowed for turbulent characteristics to be  
800 examined at higher vertical resolution than has been previously done in other studies using  
801 traditional profiling systems. The observations can further be used to provide the boundary  
802 conditions for high-resolution NWP models over complex terrain and aid in their evaluation  
803 to allow for the refinement of turbulence and SL parameterizations.

804

## 805 **Data availability**

806 The observations from the wind lidar and from the micrometeorological tower that were used  
807 in this study are available upon request from the corresponding author. The digital elevation  
808 model used to aid in the generation of Fig. 1 was obtained from the Parameter-elevation  
809 Regressions on Independent Slopes Model (PRISM) climate group at the Northwest Alliance  
810 for Computational Science and Engineering and can be accessed from  
811 <https://prism.oregonstate.edu/downloads/>.

812

## 813 **Acknowledgments**

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815 Atmospheric Turbulence and Diffusion Division in Oak Ridge, Tennessee who have helped  
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819 manuscript, as well as the anonymous reviewer from the NOAA Air Resources Laboratory  
820 for suggested edits to an earlier version of the manuscript. Lastly, we note that the results and  
821 conclusions obtained from this work, as well as any views that we have expressed herein, are  
822 those of the authors and may not necessarily reflect those of NOAA or the Department of  
823 Commerce.

824

## 825 Appendix A.

826 As shown in Table A1, the percent of data completion, and of high-quality data, from  
827 the wind lidar during the 1-year study period decreased as a function of height. The lowest  
828 range gate (i.e., at 40 m AGL) had > 90% completion for  $TKE$ ,  $\sigma_w^2$ ,  $S$ , and  $K$ . In contrast, the  
829 uppermost range gate (i.e., at 300 m AGL) had a data completion of ~ 50% for  $TKE$  and ~  
830 70% for  $\sigma_w^2$ ,  $S$ , and  $K$ .

831

832 **Table A1.** Percent data completion of  $TKE$ ,  $\sigma_w^2$ ,  $S$ , and  $K$  at each sampling height from the  
833 wind lidar during the one-year study period and after filtering periods with  $CNR < -23$  in  
834 addition to either missing or physically-unrealistic values.

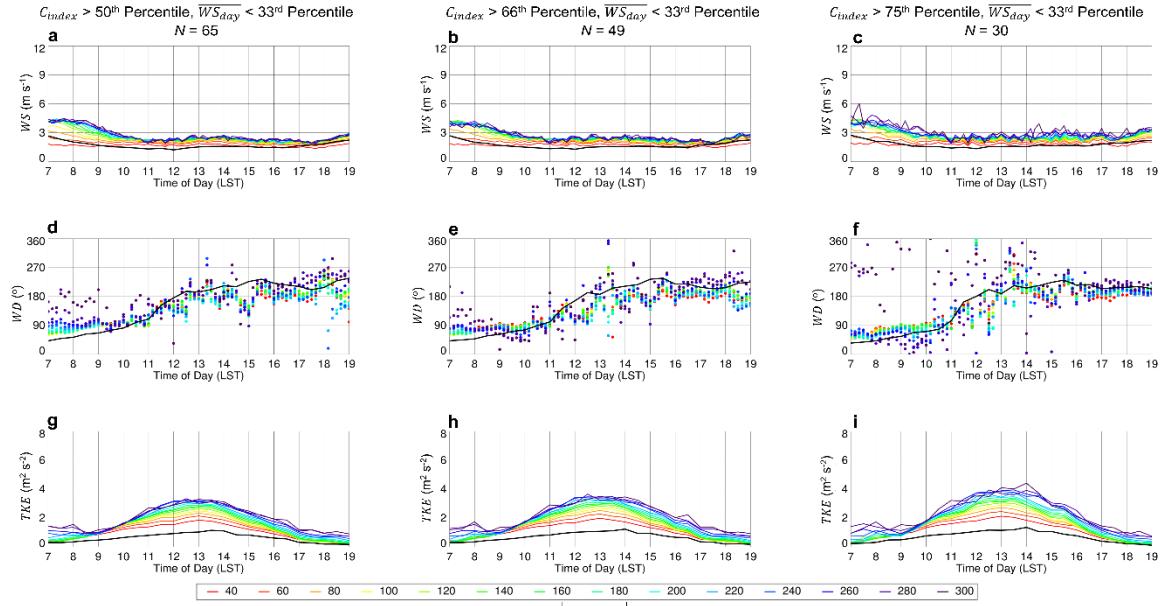
Height (m AGL)	% Complete $TKE$	% Complete $\sigma_w^2$	% Complete $S$	% Complete $K$
40	92.9	95.5	95.6	95.5
60	93.2	95.4	95.4	95.3
80	92.9	95.1	95.1	95.1
100	92.3	94.4	94.5	94.5
120	91.6	93.9	94.0	93.9
140	90.5	93.0	93.2	93.1
160	89.3	92.2	92.3	92.3
180	87.5	91.2	91.4	91.4
200	84.7	90.0	90.3	90.3
220	80.6	88.2	88.5	88.5
240	74.8	85.4	85.9	85.9
260	67.5	81.4	82.0	82.0
280	59.5	76.1	77.0	77.0
300	51.9	69.7	70.7	70.7

835

## 836 Appendix B.

837 To have confidence that the conclusions from this study were unaffected by our  
838 choice of different thresholds, we tested a range of these. When we evaluated the sensitivity  
839 of our results to varying  $C_{index}$  thresholds under weak winds (i.e., those < 33<sup>rd</sup> percentile), we  
840 found a  $WS$  decrease and a clockwise  $WD$  change during the daytime that was irrespective of

841 our choice for  $C_{index}$  (Fig. A1). There was more scatter present in the mean  $WD$  for this  
 842 subset of cases likely due to a smaller number of cases on days with the  $C_{index} > 75^{\text{th}}$   
 843 percentile. Furthermore, the  $TKE$  diurnal cycles showed consistency under varying  $C_{index}$   
 844 thresholds, whereas the maximum daytime values were expectedly when the  $C_{index}$  was  
 845 largest.



846  
 847 **Fig. A1.** The mean diurnal time series, between 0700 LST and 1900 LST, of  $WS$  observed  
 848 from the wind lidar for the composite of days in which the  $\overline{WS}_{day} < 33^{\text{rd}}$  percentile and (a)  
 849  $C_{index} > 50^{\text{th}}$  percentile, (b)  $> 66^{\text{th}}$  percentile, and (c)  $> 75^{\text{th}}$  percentile. Same for (d) – (f) and  
 850 for (g) – (i) but for  $WD$  and  $TKE$ , respectively. The sampling heights are indicated in the  
 851 legend at the bottom of the figure. The corresponding values from the micrometeorological  
 852 tower are indicated by the black line, and the number of cases ( $N$ ) used in the composites is  
 853 shown at the top of the figure.  
 854

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