

Beyond microbes: are fauna the next frontier in soil biogeochemical models?

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1 *Abstract*

2 The explicit representation of microbial communities in soil biogeochemical models is
3 improving their projections, promoting new interdisciplinary research, and stimulating novel
4 theoretical developments. However, microbes are the foundation of complicated soil food webs,
5 with highly intricate and non-linear interactions among trophic groups regulating soil
6 biogeochemical cycles. This food web includes fauna, which influence litter decomposition and
7 the structure and activity of the microbial community. Given the early success of microbial-
8 explicit models, should we also consider explicitly representing faunal activity and physiology in
9 soil biogeochemistry models? Here we explore this question, arguing that the direct effects of
10 fauna on litter decomposition are stronger than on soil organic matter dynamics, and that fauna
11 can have strong indirect effects on soil biogeochemical cycles by influencing microbial
12 population dynamics, but the direction and magnitude of these effects remains too unpredictable
13 for models used to predict global biogeochemical patterns. Given glaring gaps in our
14 understanding of fauna-microbe interactions and how these might play out along climatic and
15 land use gradients, we believe it remains early to explicitly represent fauna in these global-scale
16 models. However, their incorporation into models used for conceptual exploration of food-web
17 interactions or into ecosystem-scale models using site-specific data could provide rich theoretical
18 breakthroughs and provide a starting point for improving model projections across scales.

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24 *I. The case for explicit representation of decomposers in models*

25 Soil organic matter (SOM) formation concepts emphasize that plant inputs do not become stable
26 SOM until they first pass through microbial biomass (e.g. Grandy and Neff, 2008; Schmidt et al.
27 2011; Cotrufo et al. 2013). SOM pools derived directly from partially decomposed plant litter
28 (e.g. light fraction or particulate organic matter) typically make up only 5-15% of total SOM
29 (Gregorich et al. 2006; Grandy and Robertson, 2007); the rest is derived from highly processed,
30 unrecognizable plant-derived inputs and dead microbial biomass (i.e. necromass). Reflecting this
31 new understanding, microbial physiological characteristics including carbon use efficiency
32 (CUE) and microbial growth rate (MGR), both potential drivers of necromass production over
33 time, are emphasized in recent conceptual models (Cotrufo et al. 2013), and have now been
34 experimentally shown in the field (Bradford et al. 2013; Kallenbach et al. 2015) and lab
35 (Kallenbach et al. in review) as drivers of SOM formation.

36 New soil biogeochemistry models are capturing the importance of microbes by explicitly
37 representing microbial communities and their direct contributions to SOM formation (Sulman et
38 al. 2014; Wieder et al. 2014; 2015). These models minimize the direct flow of plant inputs to
39 SOM (Fig. 1). Instead, plant inputs shape the size and activity of the microbial biomass, which is
40 the proximal input to SOM. For example, in the MIcrobial MIneral Carbon Stabilization model
41 (MIMICS), the chemistry of litter inputs influences the kinetics, size, CUE and MGR of the
42 microbial decomposer community (Wieder et al. 2104; 2015), and ultimately how much
43 microbial derived C is transferred to SOM pools. These new microbial-explicit models appear to
44 more accurately simulate global SOM stocks and their response to perturbations, and, by more
45 accurately representing SOM formation, provide a basis for the linked development of prediction
46 and theory.

47 Thus, the representation in models of the microorganisms responsible for SOM
48 transformations is showing promise; yet, the decomposer food web is complex and includes soil
49 fauna, which represent an array of functions that can directly and indirectly influence soil
50 biogeochemical processes. These functions include shredding and redistributing litter, altering
51 soil physical properties including aggregation and pore space structure, microbivory, and
52 accelerating nutrient cycling in soil and litter (Verhoef and Brussaard 1990; Brussaard et al.
53 2007; Coleman 2008). Given the promise of microbial-explicit models, and the range of
54 potential effects of fauna on soil processes and SOM, here we consider the advantages and
55 drawbacks of adding fauna to already complex soil biogeochemistry models (Fig. 1).

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57 *II. Fauna – A potential driver of microbial necromass production and SOM dynamics*

58 Soil fauna have multiple effects on litter decomposition, which is the first step in the formation
59 of SOM (Table 1). For example, the litter comminutors, which reduce litter particle size, can
60 increase the surface area of litter while translocating and inoculating plant material with
61 microbial decomposers (Chamberlain et al. 2006, Soong et al. 2016). Gut passage of plant litter
62 by saprotrophic fauna can also modify litter chemistry and has been shown to enhance microbial
63 activity during early stages of decay, likely due to the enrichment of litter with microbes and
64 creation of decomposition “hotspots” (Hanlon and Anderson 1980; Wickings and Grandy 2011).
65 Meanwhile, bioturbators can alter the distribution of organic matter in soil aggregates and alter
66 the dynamics of decomposition (Tonneijck and Jongmans 2008; Yavitt et al. 2015). Previous
67 studies have also shown that litter decomposition and N mineralization are sensitive to changes
68 in the overall structure, diversity, density, and activity of faunal communities (Hattenschwiler et
69 al. 2005; David 2014; Wickings et al. 2012; Soong et al. 2016).

70 However, while litter decomposition is a critical first step in SOM formation, the two
71 processes are distinct with unique controls. Both are broadly controlled by climate and
72 decomposer community activity, but the biochemical recalcitrance of plant litter (i.e., lignin and
73 N concentrations) is a critical factor in litter decomposition but not in SOM dynamics (Rinkes et
74 al. 2013; Kleber et al. 2015). Similarly, although shredding of plant litter by soil meso- and
75 macro-invertebrates is an important control on decomposition rate, its direct downstream effects
76 on SOM dynamics may be relatively diffuse.

77 In contrast to the overriding effect of recalcitrance on plant litter decomposition, the
78 formation of SOM and its persistence in soils largely depends upon the association of microbial-
79 derived compounds with aggregates and mineral surfaces, which protect SOM from further
80 microbial attack (Grandy and Neff, 2008; Dungait et al. 2012; Heckman et al. 2013). By
81 transforming and redistributing plant litter in soil and by promoting soil aggregation (Bossuyt et
82 al. 2005; Chamberlain et al. 2006; Frouz et al. 2009), litter comminutors and bioturbators may
83 have important effects on the factors that control SOM persistence. However, recent evidence
84 suggests that these fauna-driven processes may have less direct impact on soil microbial
85 communities than previously assumed (Coulis et al. 2013; David 2014). Alternatively,
86 microbivores may have the most direct effects on SOM because of their impact on microbial
87 community activity, growth, and turnover. Microbivory, via direct grazing on microbial biomass
88 or consumption of microbially-colonized substrates, is a key feeding strategy exhibited across a
89 wide range of taxonomic groups and size classes of soil organisms including protozoans,
90 nematodes, annelids and arthropods. By feeding on microbial biomass, fauna exploit the soil
91 microbe's ability to degrade recalcitrant organic matter, and thus bypass the typical low
92 nutritional quality of plant residue. Previous studies have found that microbivory can modify the

93 structure, diversity, and activity of soil microbial communities. For instance, in a recent meta-
94 analysis, Trap et al. (2016) illustrate that bacterivory by protozoa and nematodes generally
95 reduces soil microbial biomass, but tends to accelerate microbial activity, thus increasing
96 microbial metabolic quotients. In contrast, Crowther et al. (2012) found that microbial grazing,
97 specifically fungivory, led to enhanced microbial biomass. Other studies have also observed that
98 microbial grazing by soil meso- and macrofauna including oribatid mites and isopods can modify
99 microbial activity (Wickings and Grandy 2011; A'Bear et al. 2014). While the magnitude and
100 direction of effects are not consistent, microbivory by a variety of different organisms can alter
101 microbial activity and biomass, which are the proximal controls over SOM dynamics.

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103 *III. How and when – a primer to represent food webs in models*

104 As a starting point to incorporating fauna into predictive models, explicit representation of food
105 webs must modify the rate of biogeochemical turnover, or the fate of carbon (C) and nitrogen
106 (N) in soils (Schimel and Schaffer 2012) to justify their complexity. This is likely to occur when
107 biotic interactions modify ecosystem responses to environmental perturbations in unexpected
108 directions (Bradford and Fierer 2012). Fauna-microbe interactions exhibit this potential for
109 unexpected, non-linear response to environmental change. For example, the response of fauna to
110 a changing climate might alter microbial communities in opposite directions to the direct effects
111 of climate on microbial communities. We know that abiotic constraints from energy limitation
112 and substrate availability may broadly limit microbial activity and biogeochemical fluxes across
113 soil environments (Mikola and Setälä, 1998). Accordingly, current biogeochemical models
114 project changes in microbial activity with relaxation of these abiotic constraints, resulting in
115 accelerated soil C turnover with environmental warming. If, however, changes in temperature,

116 moisture, or nutrient availability relax these bottom-up constraints on microbial decomposers,
117 one outcome could be that biotic, or top-down controls from food webs dampen the magnitude of
118 ecosystem response, providing a stabilizing effect on ecosystem biogeochemical dynamics
119 (Crowther et al. 2015). These dynamics may not be projected from simpler model structures that
120 ignore food webs.

121 The most straightforward way to begin representing top-down effects in biogeochemical
122 models would be to implicitly represent faunal effects on microbial communities and their
123 activity by modifying static parameters with functions that consider how abiotic factors affect
124 biotic processes and rates of biogeochemical transformations. For example, if warming releases
125 bottom-up limitations on microbial communities, but grazers dampen the observed
126 biogeochemical effects, we could assume a lower temperature sensitivity of soil organic matter
127 turnover (e.g., Q_{10} value) than would be expected from laboratory incubations or cross-site
128 observations. Current microbial-explicit models, including MIMICS, represent microbial
129 biomass pools with defined turnover and biomass-dependent substrate uptake rates. Fauna could
130 be represented in such models by increasing biomass turnover rates under conditions where
131 microbivores are expected to be especially active, including those with ideal combinations of
132 temperature, moisture and substrate quality. Increasing turnover rates would subsequently
133 decrease standing microbial biomass and substrate uptake rates and potentially alleviate
134 stoichiometric constraints (e.g. N limitation) in the model. In another scenario, microarthropod
135 alteration of the chemical quality of plant residues that microbes ultimately transform to mineral-
136 associated SOM (Wickings and Grandy, 2011; Wickings et al. 2012) could be represented by
137 changing the C:N ratio of inputs to soil biogeochemical models (Soong, et al. 2016). Lab and

138 field faunal exclusion experiments across a wide range of ecosystems would further help to
139 parameterize the effects of fauna on microbial activity.

140 Fauna could also be incorporated more explicitly in models by following a food web
141 approach. We could represent fauna as a pool of C and N that feeds upon microbial biomass,
142 similar to how microbes in microbial-explicit models currently feed on litter and SOM pools.
143 Previous studies have observed close associations between microbial biomass and the densities
144 of microbivorous nematodes and protozoans (Ingham et al. 1985; Bardgett et al. 1999; and see
145 Review by Trap et al.(2016)). However, the response of soil microbial communities and
146 processes to microbivory is not always consistent, and can vary with microbivory intensity
147 (Crowther et al. 2012a), microbivore community composition (Ronn et al. 2002) and under
148 different soil conditions (Cheng et al. 2016). Thus, additional field experiments would be
149 necessary to test for generalities in the magnitude and direction of the response of microbial
150 biomass and activity to microbivory, and to quantify the importance of microbivore
151 density/activity relative to other constraints on microbial biomass across space and time.

152 Models serve multiple purposes and can operate at different scales. One essential purpose
153 of models is to develop new theory and concepts, some of which may be used to guide
154 experimental work. Theoretical and conceptual models are often a critical first step to developing
155 the insights into specific biogeochemical pools, processes and drivers in soils necessary to
156 develop predictive models. Indeed, the current development of microbial-explicit soil
157 biogeochemical models is based on a rich foundation of conceptual and theoretical models
158 incorporating microbes into SOM dynamics (e.g. Schimel and Weintraub 2003; Allison et al.
159 2010). These models inspired new research leading to improvements in our understanding of

160 microbes in SOM dynamics and ultimately the incorporation of microbes into models capable of
161 predicting biogeochemical patterns across large spatial and temporal scales (Wieder et al. 2013).

162 To date, there have been a number of insightful models examining nutrient and energy
163 flows through communities and food-webs, and these have put forward critical predictions and
164 concepts describing the specific role of fauna in biogeochemical transformations (Hendrix et al.
165 1986; Hunt et al. 1987; Verhoef and Brussaard 1990; De Ruiter et al. 1995; Adl and Gupta 2006;
166 Osler and Sommerkorn 2007; Carrillo et al. 2016). However, to begin representing food web
167 interactions in microbial-explicit models that predict ecosystem- or global-scale processes, we
168 must be able to better predict how belowground fauna-microbe interactions change across
169 ecosystems. Despite an extensive and ever-growing understanding of how soil faunal
170 communities vary among systems (e.g. Global Soil Biodiversity Initiative), we believe that we
171 do not currently have this capacity, as key aspects of fauna-microbe interactions that are likely to
172 influence biogeochemical processes remain poorly characterized. For example, while most soil
173 fauna clearly depend on microbes to meet their nutritional demands, the exact mode by which
174 microbes are exploited (endosymbiosis, consumption of microbially-degraded plant tissue, or
175 direct microbivory) can vary significantly among faunal taxa. This variation is likely to have
176 important consequences for downstream SOM dynamics, yet our understanding of the exact
177 modes by which fauna exploit microbes is far from complete (but see Lussenhop 1981;
178 Bonkowski et al. 2000; Maraun et al. 2003; Smrz and Norton 2004; Berg et al. 2004; Crowther et
179 al. 2011). Further yet, the importance of different modes of microbial exploitation under
180 different climatic conditions and disturbance levels is virtually unknown. This currently limits
181 our ability to predict the direction and magnitude of microbial and thus biogeochemical
182 responses to faunal activity under variable scenarios of climate and land-use (Figure 2). Thus,

183 there remain many potential mechanisms and corresponding mathematical representations of
184 faunal effects and arguably not enough evidence to prioritize supporting one or two mechanisms
185 above all the others in models.

186 Efforts to include fauna-microbe interactions in soil biogeochemistry models would also
187 benefit from a more thorough understanding of belowground predator-prey population dynamics.
188 Density-dependence, for example, is likely to be a critical feature in faunal regulation of
189 microbial biomass and activity. Previous studies have quantified density-dependent
190 relationships between soil fauna and microbial processes (Aira et al. 2008; Kaneda and Kaneko
191 2008; Crowther and A'Bear 2012; A'Bear et al. 2014) and in their recent review Crowther et al.
192 (2012) contrasted the impacts of high versus low intensity fungivory on soil fungal processes.
193 Yet, compared to our understanding of density dependence in governing aboveground trophic
194 interactions, and the delivery of ecosystem services such as pollination, biological control, and
195 primary productivity, our knowledge of how soil microbial processes respond, both in magnitude
196 and direction, to changes in faunal density across time and space is still incomplete. Thus, many
197 questions remain about the fundamental relationships between microbes and fauna and how they
198 may relate to SOM formation and persistence: Does microbivore population size consistently
199 track that of soil microbial biomass? Which fauna-microbe interaction type (stimulation or
200 suppression) leads to greater accumulation of microbial products in soil? What is the
201 relationship between microbivory rate and substrate use efficiency of saprotrophic microbes?
202 Until such questions are more thoroughly addressed across spatial and temporal scales,
203 incorporating fauna into Earth system models will not improve confidence in model projections.
204 Further, caution should always be used when increasing the structural complexity of models
205 operating at large spatial scales in order to avoid problems with computational constraints and

206 the potential of developing a model that can replicate existing data but inaccurately represents
207 the real world and thus future scenarios (i.e. 'equifinality'; Beven and Freer 2001; Luo et al.
208 2009). However, incorporating an explicit biomass pool for fauna would allow for theoretical
209 exploration of the effects of microbivory and top-down effects of fauna upon soil organic matter
210 dynamics. Incorporating fauna into theoretical models and into ecosystem soil models will
211 enhance dialogue between modelers, ecologists and soil scientists, and provide a basis for
212 extending these efforts to larger scales (Tang and Zhuang 2008).

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215 **Figure legends**

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217 Figure 1. Three simple conceptual representations of different ways microbes and fauna could be
218 represented in models. *Microbial Implicit*. Microbial kinetics and growth efficiencies are
219 typically static parameters embedded in the model (e.g. decay constants or transfer efficiencies
220 between SOM pools) or less frequently scale with environmental parameters, but are not
221 explicitly a function of microbial community characteristics. These models emphasize the
222 importance of enzymatically degraded plant litter in SOM formation. *Microbial-explicit, bottom-*
223 *up*. Microbial processes and/or communities are explicitly represented in the model. Growth
224 efficiencies, growth rates, and decomposition kinetics may vary among communities. These
225 models emphasize the importance of microbial necromass contributions to soil organic matter
226 (SOM formation). *Microbial-explicit, top-down with fauna*. Similar to microbial-explicit,
227 bottom-up models, but microbivory by microarthropods (represented here) and fungal- and
228 bacterial-feeding nematodes provides a constraint on microbial community size and physiology
229 and thus SOM formation. Although fauna can have a range of effects on litter decomposition and
230 SOM formation, here we focus on microbivory because of its potential influence over the size,
231 turnover, and efficiency of the microbial biomass, the proximal input to SOM.

232

233 Figure 2. Potential fauna effects on microbial activity in response to temperature. Microbial
234 activity increases with temperature up to their temperature maximum (here using 35°C only as an
235 example). Fauna may have a narrower temperature range of activity than microbes (here
236 generalized as 10-30°C), and over this range of activity fauna may either decrease or increase

237 microbial activity (represented by hashed lines and shading, highlighting the potential variation
238 in microbial activity due to fauna).

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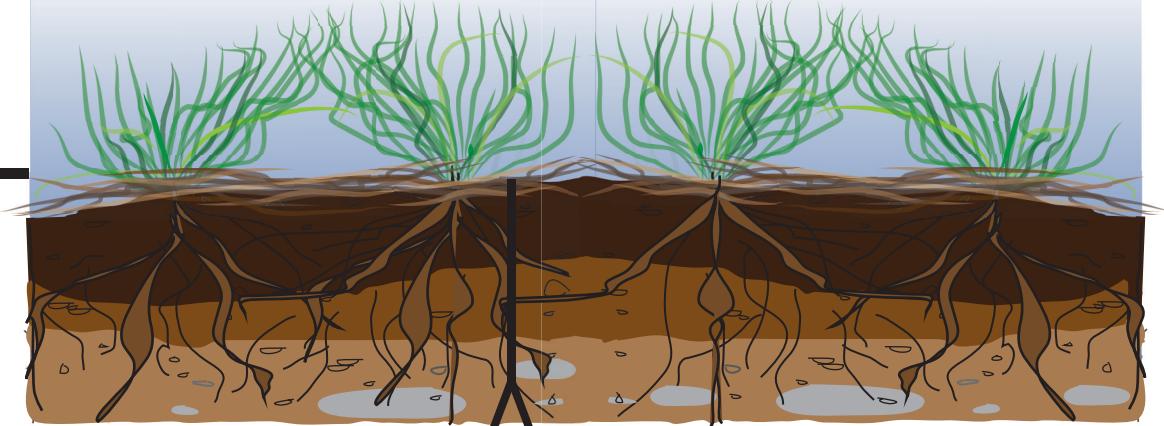
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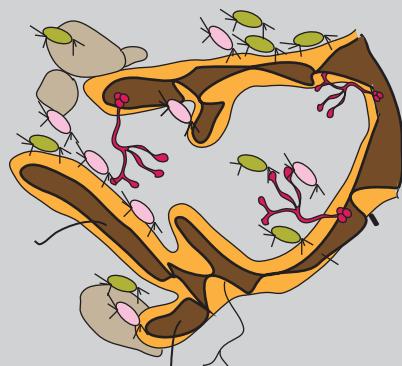
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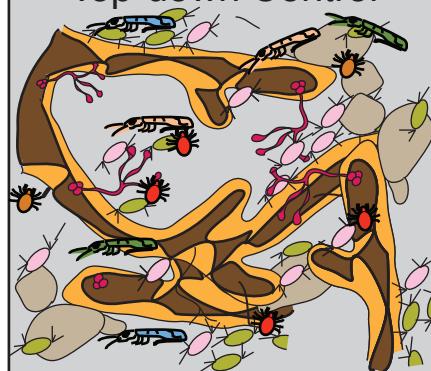


Plant input quantity / quality

Microbial Implicit
Microbial Explicit
Bottom-up Control



Microbial Explicit
Top-down Control



Roots

Soil minerals

Microbes

Fauna

CUE
Kinetics

CUE
MGE
Kinetics
Biomass

CUE
MGE
Kinetics
Biomass

Microbivory
Population Size
Grazing Intensity
Feeding Preference

Stable SOM

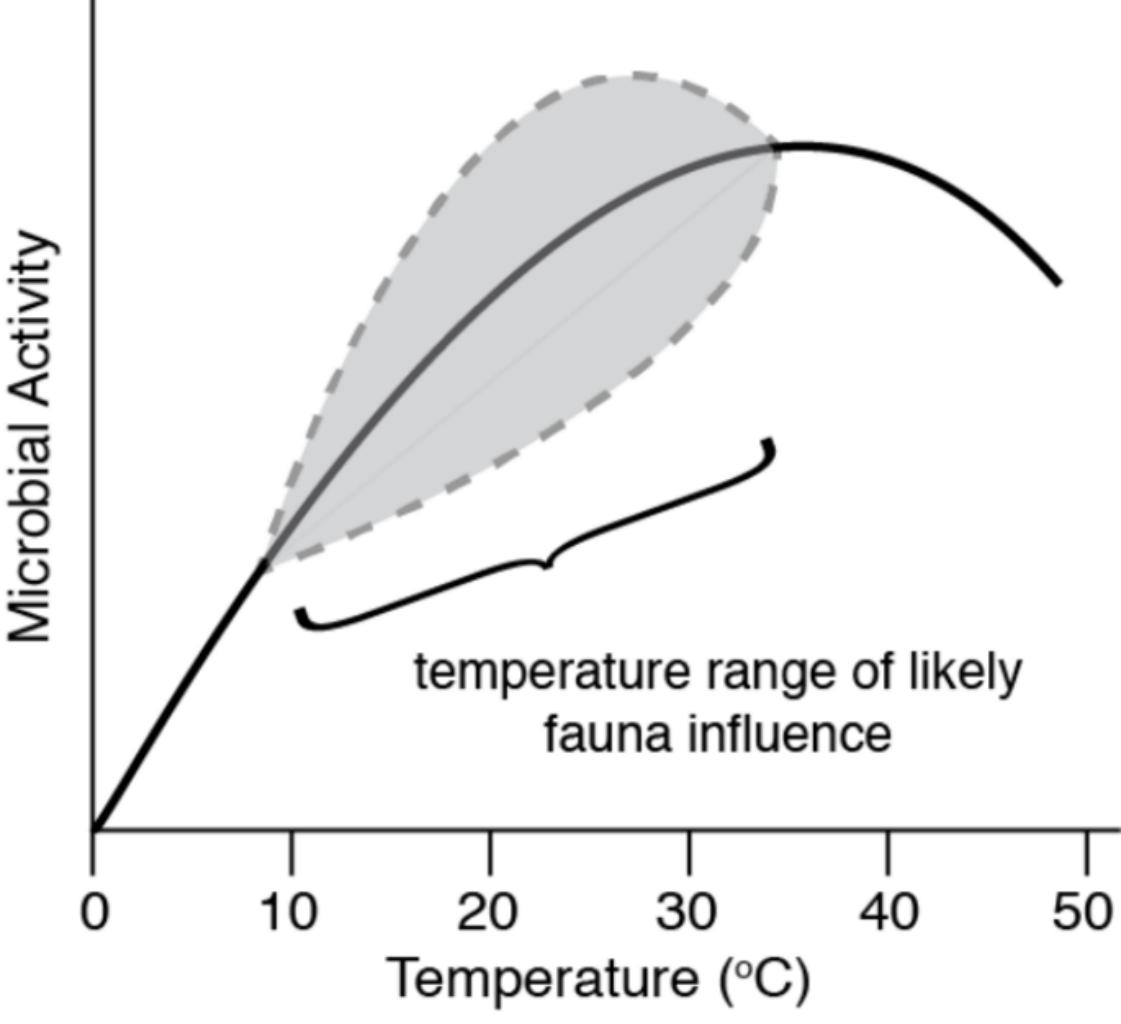


Table 1. Fauna functional groups and their effects on litter decomposition and soil organic matter formation

Faunal Functional Group	Effects on Litter Decomposition	Potential Effects on SOM formation/recycling
Communition	Increased surface area Translocation, redistribution Inoculation Increasing moisture	Altered microbial substrate availability and spatial
Microbivory	Suppression of decomposition through overgrazing Stimulating growth, turnover, activity Stoichiometry, chemical changes Microbial community structure (density-dependent effect?)	Influence on microbial products and enzymes
Bioturbation	Soil O ₂ , moisture mixing Translocation/redistribution Resource heterogeneity Chemical controls on microbial community	Aggregation Soil Physical Properties Resource Heterogeneity pH, other chemical properties