

1 Research Paper

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3 **Complex water management in modern agriculture: Trends in the water-energy-food**  
4 **nexus over the High Plains Aquifer**

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42    **Abstract**

43    In modern agriculture, the interplay between complex physical, agricultural, and socioeconomic  
44    water use drivers must be fully understood to successfully manage water supplies on extended  
45    timescales. This is particularly evident across large portions of the High Plains Aquifer where  
46    groundwater levels have declined at unsustainable rates despite improvements in both the  
47    efficiency of water use and water productivity in agricultural practices. Improved technology and  
48    land use practices have not mitigated groundwater level declines, thus water management  
49    strategies must adapt accordingly or risk further resource loss. In this study, we analyze the  
50    water-energy-food nexus over the High Plains Aquifer as a framework to isolate the major  
51    drivers that have shaped the history, and will direct the future, of water use in modern  
52    agriculture. Based on this analysis, we conclude that future water management strategies can  
53    benefit from: (1) prioritizing farmer profit to encourage decision-making that aligns with  
54    strategic objectives, (2) management of water as both an input into the water-energy-food nexus  
55    and a key incentive for farmers, (3) adaptive frameworks that allow for short-term objectives  
56    within long-term goals, (4) innovative strategies that fit within restrictive political frameworks,  
57    (5) reduced production risks to aid farmer decision-making, and (6) increasing the political desire  
58    to conserve valuable water resources. This research sets the foundation to address water  
59    management as a function of complex decision-making trends linked to the water-energy-food  
60    nexus. Water management strategy recommendations are made based on the objective of  
61    balancing farmer profit and conserving water resources to ensure future agricultural production.

62

63    Keywords: High Plains Aquifer, water management, irrigation, agriculture, economics, policy

64     **1. Introduction**

65           Crop production across the High Plains Aquifer region (High Plains) in the central United  
66           States has an annual market value greater than \$20 billion—approximately 10 percent of the  
67           entire U.S. crop value (NASS-USDA, 2012). Irrigation is essential to much of this crop  
68           production. Irrigated agriculture across the High Plains accounts for 30 percent of all irrigated  
69           acreage in the U.S. (Dennehy et al., 2002), and 97 percent of High Plains irrigation water is  
70           extracted from the High Plains Aquifer (HPA; Maupin and Barber, 2005). Due to extensive  
71           irrigation, groundwater levels across large sections of the HPA have been declining for decades,  
72           particularly in the southern section where the aquifer is thin and irrigation demand is high  
73           (Haacker et al., 2015; McGuire, 2009; Scanlon et al., 2012). Future decades are forecast to bring  
74           more widespread groundwater declines, effectively depleting broad regions of the HPA if current  
75           practices continue (Haacker et al., 2015). Major reductions in water availability would result in  
76           enormous consequences for food and energy production.

77           At the core of agricultural water management challenges is the water-energy-food nexus.  
78           Acting within this nexus across the HPA are the individuals and institutions that adapt to address  
79           the realities of groundwater depletion. These include creating and adopting new technologies,  
80           developing and planting different cultivars, shifting cropping patterns, implementing new  
81           policies, expanding monitoring, and pushing toward more efficient use of limited resources.  
82           These strategies have been designed around the objectives of increasing crop yields, decreasing  
83           production costs, improving or maintaining soil fertility, and reducing environmental impacts  
84           (Edwards, 1989; Stuart et al., 2015). They can be generalized into two broad focus areas: (1)  
85           water conservation to both use less water and be more efficient in application, and (2) water  
86           productivity to maximize the return on water use. Water conservation research has focused on

87 strategies such as deficit irrigation (Fereres et al., 2007; Geerts and Raes, 2009), irrigation  
88 technologies (Colaizzi et al., 2009; Howell, 2001), rainfed agriculture (Rockström et al., 2010;  
89 Rosegrant et al., 2002), and land management practices (Bossio et al., 2008; 2010). Water  
90 productivity research has focused on improved seed genetics (Hu and Xiong, 2014; Passioura,  
91 2004), variable rate irrigation (Basso et al., 2013; Evans et al., 2013), and intraseason water  
92 management through irrigation scheduling and soil moisture monitoring (Aguilar et al., 2015),  
93 vegetation indices (Basso et al., 2004), and tillage practices (Derpsch et al., 2010). Despite this  
94 increased emphasis toward groundwater conservation among researchers, and new technologies  
95 and strategies that can greatly improve water productivity, groundwater supplies across the HPA  
96 continue to decline at unsustainable rates (Haacker et al., 2015; Scanlon et al., 2012).

97 Historically, water management strategies have targeted water use drivers within three  
98 major domains: (1) physical (e.g., climate, geology), (2) agricultural (e.g., crop type, tillage  
99 practices), and (3) socioeconomic (e.g., groundwater doctrines, market values) (Pimental et al.,  
100 1997). However, water use drivers in modern agriculture are too complicated to be regulated  
101 individually within these separate domains. For example, changes in precipitation patterns have  
102 direct implications on irrigation scheduling and applications (Lorite et al., 2015), improved  
103 technologies allow for innovative and heterogeneous farming practices (Steven and Clark, 2013;  
104 Zhang and Kovacs, 2012), and crop prices respond to changes in global market demands  
105 (Rosegrant, 2008). Furthermore, drivers within these domains each influence short- and long-  
106 term water use decisions in ways that have not been addressed in static water management  
107 strategies (e.g., climate variability, government incentives, and annual crop insurance plans).  
108 Moreover, water use drivers across these domains are inherently linked, making it impossible to

109 implement temporally relevant water management strategies in one domain without impacting  
110 another.

111 There are clear gaps in current water management strategies across the High Plains, as  
112 evidenced by the increase in both crop production and water use despite the reality of  
113 groundwater depletion (NASS-USDA). Nowhere is agricultural water management more  
114 prevalent than in the water-energy-food nexus of the HPA, making the region ideal to learn how  
115 complex management domains influence water use and decision-making. This study provides a  
116 comprehensive overview of the major drivers of water use across the HPA through a novel  
117 synthesis of data and an in-depth review of the relevant literature. We examine drivers in the  
118 physical, agricultural, and socioeconomic domains in contrast to the historical approach.  
119 Furthermore, within each domain, we analyze water use trends and examine how these drivers  
120 interact to influence water use decisions. We then synthesize across domains to present a  
121 framework for maintaining long-term aquifer supplies through improved agricultural water  
122 management strategies across the water-energy-food nexus.

123  
124 **2. Methods**

125 This study synthesizes extensive agricultural databases along with the relevant water  
126 management literature across the HPA. When used, specific processing techniques are discussed  
127 within corresponding sections. Sections 3, 4, and 5 compile individual water use drivers or driver  
128 categories into major domains, where each subsection represents a major driver set or focus area.  
129 Subsections are selected according to the most significant topics for water supply or water use  
130 across the region, as a complete synthesis of these drivers is necessary to formulate water  
131 management suggestions and highlight areas where water resources are exploited. All drivers at  
132 every spatial and temporal scale may not be included, as our subsection lists are representative of

133 and relevant to large scale management schemes. We derive our conclusions based on the trends  
134 found within and across each domain, and we make management suggestions based on the goals  
135 of maintaining farmer profit and achieving long-term aquifer sustainability.

136

### 137 **3. The Physical Domain**

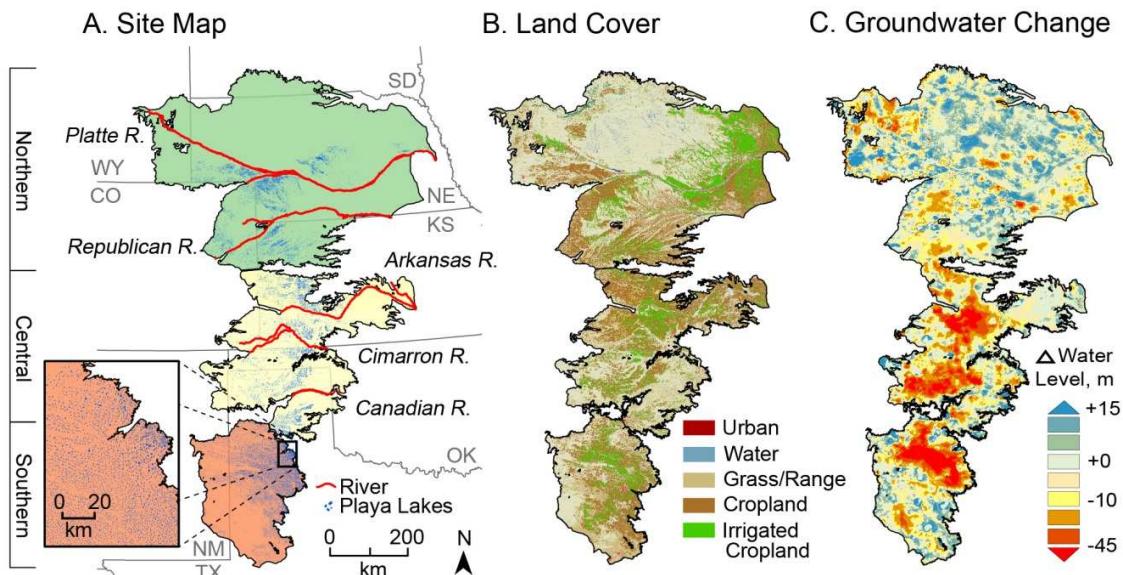
138 The physical domain defines the limits of the water-energy-food nexus. For example,  
139 food production requires both energy and water. If water is limited, so will be the ability to  
140 increase crop yields. Thus, balancing components within the nexus to find the combination  
141 where production is highest and resource expenditures are lowest over time is critical for  
142 sustainable agriculture. A required step to reach this ideal nexus status is to assess total water  
143 availability and supply through time. Here, we analyze the major physical drivers that impact  
144 water availability and supply, and we highlight the trends that have the most influence on long-  
145 term sustainability goals.

146

#### 147 *3.1 Geology, Soil, and Land Cover*

148 The HPA (450,000 km<sup>2</sup>; Qi, 2010) is located in the west-central United States and spans  
149 portions of eight states: South Dakota, Wyoming, Nebraska, Colorado, Kansas, Oklahoma, New  
150 Mexico, and Texas (Figure 1A). Given its size, the HPA is often divided into three geographical  
151 areas, each with unique physical characteristics: the Northern High Plains (NHP; 249,509 km<sup>2</sup>,  
152 Central High Plains (CHP; 127,168 km<sup>2</sup>), and Southern High Plains (SHP; 75,921 km<sup>2</sup>). At  
153 3,750 km<sup>3</sup> of total water volume in 2012 (Haacker et al., 2015), slightly larger than the volume  
154 of Lake Huron, the HPA remains one of the largest known freshwater aquifers in the world. The  
155 total volume of water estimated within the NHP is ~2,940 km<sup>3</sup>, the CHP is ~635 km<sup>3</sup>, and the

156 SHP is ~171 km<sup>3</sup>. However, groundwater is being recharged at rates far below annual  
157 withdrawals in the south and central portions of the aquifer.



158  
159 **Figure 1.** The High Plains and aquifer decline. **A)** Site map of the HPA and its three  
160 main regions. **B)** Land cover across the HPA region, dominated by range and cropland (NLCD,  
161 2011). **C)** Interpolated groundwater level declines compared to predevelopment levels (modified  
162 from Haacker et al., 2015).  
163

164 The High Plains have a semi-arid, temperate climate, with surface elevations that follow  
165 a west-east gradient from ~2,400-m in the west to ~350-m in the east (Dennehy et al., 2002);  
166 local relief is generally very low. Soil characteristics follow a general gradient of high  
167 permeability in the NHP (Dennehy et al., 2002; Gutentag et al., 1984) to low permeability in the  
168 SHP (Dennehy et al., 2002; Reeves Jr., 1970). Native land cover includes short and medium  
169 grass prairies, though large sections of modern land cover have transitioned to cropland (Figure  
170 1B) with the major crop choices of corn, sorghum, winter wheat, soybeans, alfalfa, and cotton  
171 (Dennehy et al., 2002). Crop selections follow a general gradient of water-intensive crops in the  
172 north (e.g., corn, soybeans) to less water-intensive crops in the south (e.g., cotton, winter wheat).  
173 The other major land use type across the region is livestock rangeland (primarily cattle; Dennehy

174 et al., 2002). Collectively between cropland and rangeland, 94% of the High Plains is considered  
175 agricultural land (Figure 1B).

176

177 *3.2 Hydrology and Hydrogeology*

178 Several hydraulically-connected permeable units collectively form the HPA complex  
179 (Gutentag et al., 1984; Knowles et al., 1984); the largest of which is the Ogallala Formation, or  
180 Ogallala Aquifer, a name often used interchangeably with HPA. The Ogallala Aquifer underlies  
181 nearly 77 percent of the HPA area, with most of the remaining area composed of the Brule,  
182 Arikaree, Great Bend Prairie, and Equus Beds aquifers. Hydraulic conductivity and specific yield  
183 across the HPA vary from 1 to 105 m/day and 3 to 35 percent, respectively (Gutentag et al.,  
184 1984), resulting in highly variable groundwater yields across the aquifer. Saturated thickness  
185 ranges from 0 to 300-m but has drastically declined since predevelopment; average saturated  
186 thickness is approximately 60-m. Depth to water is generally from a few to 150-m, and average  
187 depth to water in 2012 was 30-m for the NHP, 44-m for the CHP, and 41-m for the SHP.

188 While groundwater supply in the NHP has been fairly stable since predevelopment, the  
189 CHP and SHP have experienced extensive groundwater depletion due to extensive groundwater  
190 pumping (McGuire, 2009). Peak groundwater level declines have reached more than 45-m in  
191 portions of the CHP and SHP (Figure 1C), while average declines by state for portions of the  
192 HPA are: 14-m in Texas, 9-m in Kansas, 6-m in Oklahoma, 5-m in New Mexico and Colorado,  
193 and (Haacker et al., 2015). Average groundwater declines in the NHP have been less than 0.5-m  
194 in both Nebraska and Wyoming (McGuire, 2009; Scanlon et al., 2012; Haacker et al. 2015),  
195 although areas of extensive groundwater withdrawals are common. Collectively, nearly 410 km<sup>3</sup>

196 of water has been depleted from the HPA since predevelopment (Haacker et al., 2015), which is  
197 approximately the volume of Lake Erie.

198 Total annual surface water flow entering the HPA region is ~2.5 km<sup>3</sup> per year (Dennehy  
199 et al., 2002), though extensive groundwater depletion has resulted in a net loss in annual  
200 streamflow and surface water volume (Nativ, 1992; Scanlon et al., 2012). While major river  
201 systems flow from west to east across the NHP and CHP, the SHP has few streams, and none  
202 flow consistently. Instead, surface water in the SHP is largely drained and stored in thousands of  
203 localized playa lakes that are most concentrated along the eastern margins of the region. These  
204 broad, shallow lakes can span up to 1-km in diameter (Osterkamp and Wood, 1987) and drain an  
205 estimated 90% of the SHP region (Nativ, 1992). Playa lakes exist across the entire High Plains  
206 (~61,000 lakes; Gurdak and Roe, 2010) but are much more prevalent in the SHP (~30,000 lakes;  
207 Osterkamp and Wood, 1987; Figure 1A).

208 Natural recharge in the NHP and CHP occurs primarily as precipitation percolation  
209 through permeable soils and leakage from surface water bodies (Weeks et al., 1988; Dennehy et  
210 al., 2002). Localized recharge in the SHP region largely occurs as percolation beneath playa  
211 lakes where water passes through dissolved or fractured caliche (Osterkamp and Wood, 1987;  
212 Scanlon and Goldsmith, 1997; Wood and Osterkamp, 1987). Areal groundwater recharge across  
213 the High Plains decreases following a gradient from north to south. Secondary recharge across  
214 some portions of the HPA also occurs as irrigation return flow where some of the excess applied  
215 water is returned to the aquifer (McMahon et al., 2006; Scanlon et al., 2005; Whittemore et al.,  
216 2015).

217

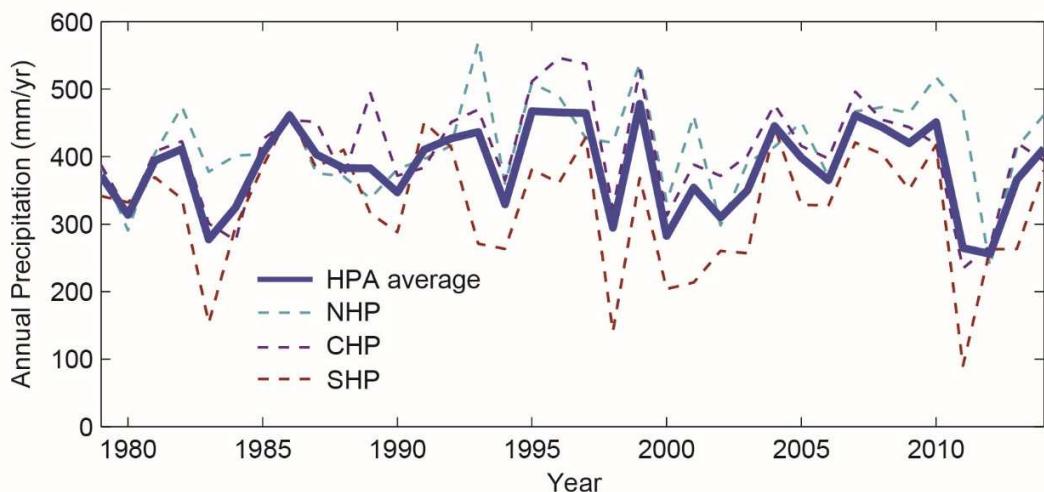
218 *3.3 Regional Climate*

219 The High Plains are located in a wet-dry climate transition zone (Koster et al., 2004) where  
220 soil moisture plays a critical role in modulating the energy and mass transport that impact the  
221 regional water cycle (Berg et al., 2014). This is particularly relevant in areas of high irrigation  
222 where modified soil moisture significantly impacts the regional hydroclimate through adjusted  
223 land-atmosphere interactions (Harding and Snyder 2012a; 2012b; Jódar et al., 2010; Lo and  
224 Famiglietti, 2013; Moore and Rojstaczer 2001; 2002; Pei et al., 2016; Qian et al. 2013). One  
225 major effect of increased soil moisture is on the Great Plains low-level jet (GPLLJ; Walters et  
226 al., 2014; Weaver and Nigam, 2011). The GPLLJ brings moisture into the region from the Gulf  
227 of Mexico and provides the main external moisture source for precipitation over the High Plains  
228 and central United States (Cook et al., 2008; Higgins et al., 1997; Pei et al., 2014; Tuttle and  
229 Davis, 2006; Weaver, 2007). At shorter timescales (event-scale), fluctuations in the GPLLJ  
230 prompt nighttime rainfall maxima during warmer seasons, where greater moisture convergence  
231 results in heavier precipitation (Carbone and Tuttle 2008; Pu and Dickinson 2014; Zhong et al.,  
232 1996).

233 Climate models project a decrease in warm-season precipitation (Cook et al., 2008;  
234 Maloney et al., 2014) and an increase in regional temperatures for the High Plains by the end of  
235 this century (Cook et al., 2008; IPCC, 2007). Historically, the High Plains receives ~50-cm of  
236 average annual precipitation (Crosbie et al., 2013), with a gradient from ~40-cm along the  
237 western border to ~70-cm along the eastern edge (Gutentag et al., 1984). Precipitation is  
238 projected to increase for the NHP and decrease for the SHP, and regional temperatures are  
239 expected to increase by 2 to 5°C (Crosbie et al., 2013; IPCC, 2007). Increased temperatures  
240 would likely favor increased evapotranspiration (Green et al., 2011), and a decrease in

241 precipitation and increase in temperature would both likely exacerbate groundwater supply  
242 declines under current water use scenarios (Crosbie et al., 2013).

243 Extreme drought events have also become more frequent over the past 45 years (NLDAS-  
244 2). The average annual HPA precipitation fell below 305-mm five times since 1998, whereas this  
245 occurred just once from 1979-1998 (Figure 2). While reductions in annual precipitation are most  
246 extreme in the SHP, similar trends have been seen in the NHP and CHP. In particular, SHP  
247 precipitation fell below 100-mm during 2012-2013 regional droughts, and for the first time on  
248 record, precipitation simultaneously fell below 300-mm for both the CHP and NHP regions  
249 during the same drought period.



251 **Figure 2.** Average annual precipitation for the HPA and its three regions (NLDAS-2  
252 forcing file A).

253  
254 Discrepancies in the projected GPLLJ strengthening and subsequent precipitation decreases  
255 suggest changes in future climate regimes over the HPA (Maloney et al., 2014). Areas of the  
256 HPA that are currently limited by water availability will likely be the most affected by these  
257 changes (Ng et al., 2010). However, accurately capturing these patterns remains a challenge for  
258 predictive models even with knowledge of the major climate controls (Hoerling et al., 2014). For

259 example, the 2012 severe Great Plains drought was suggested to be independent of these climate  
260 patterns and likely a result of atmospheric noise alone (Kumar et al., 2013). Future water  
261 management strategies would clearly benefit from improved climate prediction skills.

262

## 263 **4. The Agricultural Domain**

264 Crop yield in the agricultural domain is the primary indicator of resource efficiency  
265 within the water-energy-food nexus, given its dependence on both growing conditions and  
266 agricultural management practices. Generally, increased yields through time indicate improved  
267 technologies or agricultural practices that allow physical resources to be used more efficiently.  
268 However, improved efficiency is not always an indicator of sustainability. Increased crop yields  
269 may be a function of efficient practices, but that does not mean they are always less taxing on  
270 resources within the physical domain (e.g., water, soil). Cross-domain impacts must be  
271 considered to achieve sustainable management strategies in modern agriculture. In this section,  
272 we highlight the major agricultural drivers that impact water use, the primary component limited  
273 by availability and supply in the physical domain.

274

### 275 *4.1 Soil Management*

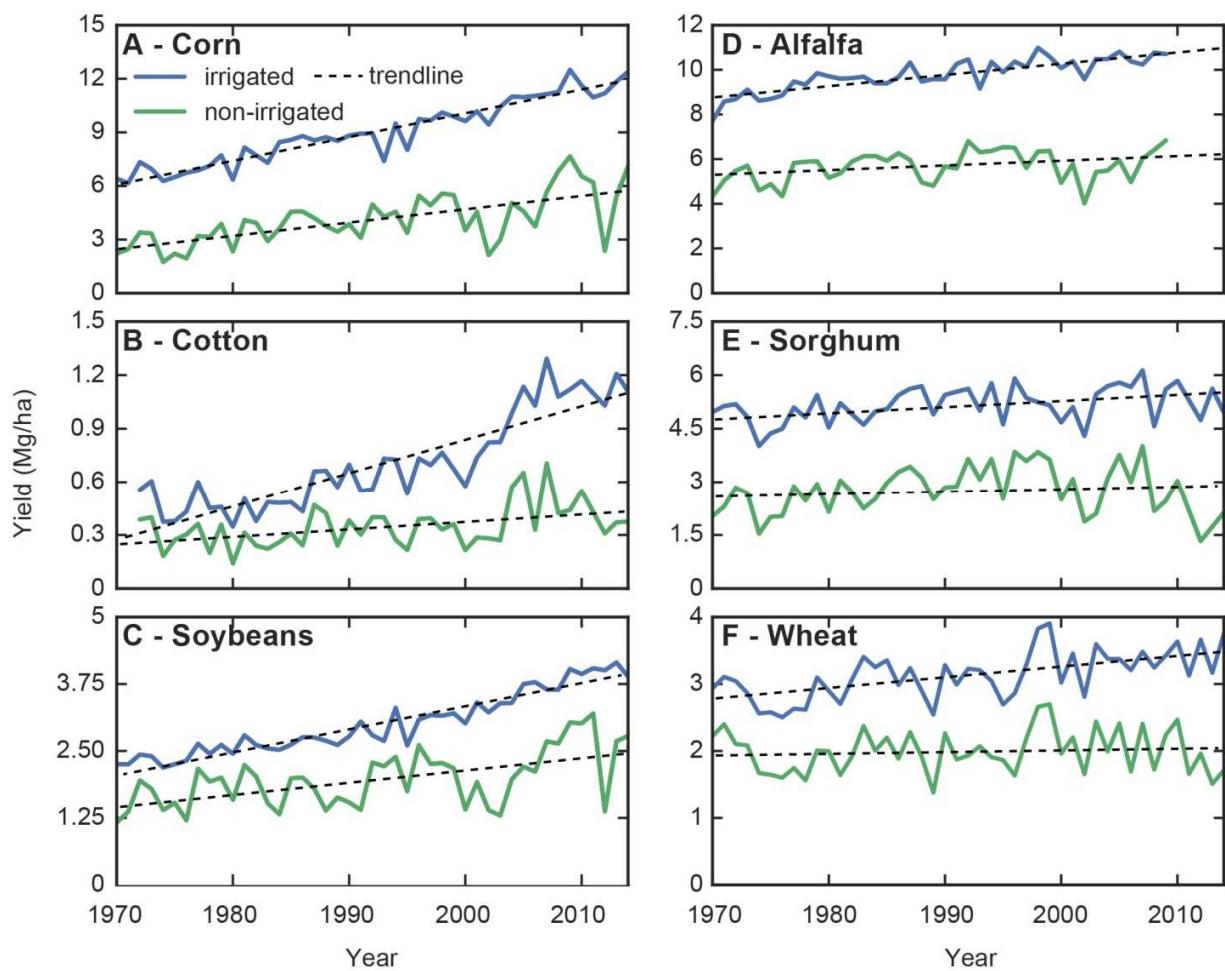
276 Soil management strategies focus on maximizing crop yield, maintaining long-term soil  
277 fertility, and mitigating environmental impacts such as nitrate leaching and greenhouse gas  
278 emissions. Example soil management strategies include conventional tillage versus no-till  
279 farming (e.g., Ghimire et al., 2012; Hobbs et al., 2008), crop rotations (e.g., Johnston, 1986;  
280 Odell et al., 1984), and off-season cover crops (e.g., Allen et al., 2005; Havlin et al., 1990).  
281 Conservation agriculture incorporates these land management strategies to increase soil fertility  
282 by preserving surface organic carbon, protecting soil from water runoff, and reducing soil loss by

283 eliminating bare exposure (Basso et al., 2006; 2014; Hobbs et al., 2008). Managing soils to  
284 improve fertility reduces the demand for additional water applications. However, the potential  
285 for soil management to conserve water does not negate the substantial amount of water used for  
286 irrigation.

287

#### 288 *4.2 Irrigation and Crop Yield*

289 A new synthesis of annual irrigated and non-irrigated yield since 1970 across the HPA  
290 was conducted using data from the National Agricultural Statistics Service (NASS-USDA),  
291 plotted in Figure 3. This synthesis uses annual county-level surveys of yields for the six major  
292 commodities grown across the HPA: corn, soybeans, winter wheat, alfalfa, cotton, and sorghum.  
293 The analysis of these data highlight: the considerable benefit of irrigation across the HPA (with  
294 little difference across subregions), the large increase in yields of corn, soy, and cotton over time  
295 due to improved management and crop genetics, and much larger annual variability in yields  
296 from dryland relative to irrigated production. The linear trends fit to this data from 1970-2014  
297 show that non-irrigated and irrigated yields have increased by 133 and 96 percent for corn, 74  
298 and 330 percent for cotton, 69 and 89 percent for soybeans, 17 and 26 percent for alfalfa, 11 and  
299 13 percent for sorghum, and 4 and 27 percent for wheat, respectively. Today, *non-irrigated* corn  
300 yields are similar to the *irrigated* corn yields of 1970, and irrigated corn yields today are more  
301 than double non-irrigated yields (Figure 3A). Similar trends can be seen in cotton yields,  
302 although the gap between irrigated and non-irrigated yields has been increasing in recent years  
303 (Figure 3B). Alfalfa, sorghum and wheat yields have not rapidly increased since 1970, though  
304 irrigated yields are still approximately double the non-irrigated yields of these crops (Figure 3D-  
305 F).



306

307 **Figure 3.** Irrigated and non-irrigated yields for the main commodities grown across the  
 308 HPA (NASS-USDA). Alfalfa yields were not available after 2009.  
 309

310 In general, we found that irrigation increases yield by a factor of two to four times  
 311 relative to dryland farming, a significantly larger yield increase than can be generated by other  
 312 land management strategies (Colaizzi and Gowda, 2009; Colaizzi and Schneider, 2004). This  
 313 boost in crop yield generates a major economic incentive to irrigate. Today, over 12 million  
 314 acres of irrigated cropland are fed by the HPA for these six commodities (NASS-USDA).  
 315 Irrigation over the HPA is so extensive, and high-yield agriculture is such a major component to  
 316 the regional economy, that widespread transitions to dryland agriculture would cause severe  
 317 economic consequences for the region (Colaizzi et al., 2009).

318

319 *4.3 Crop selection*

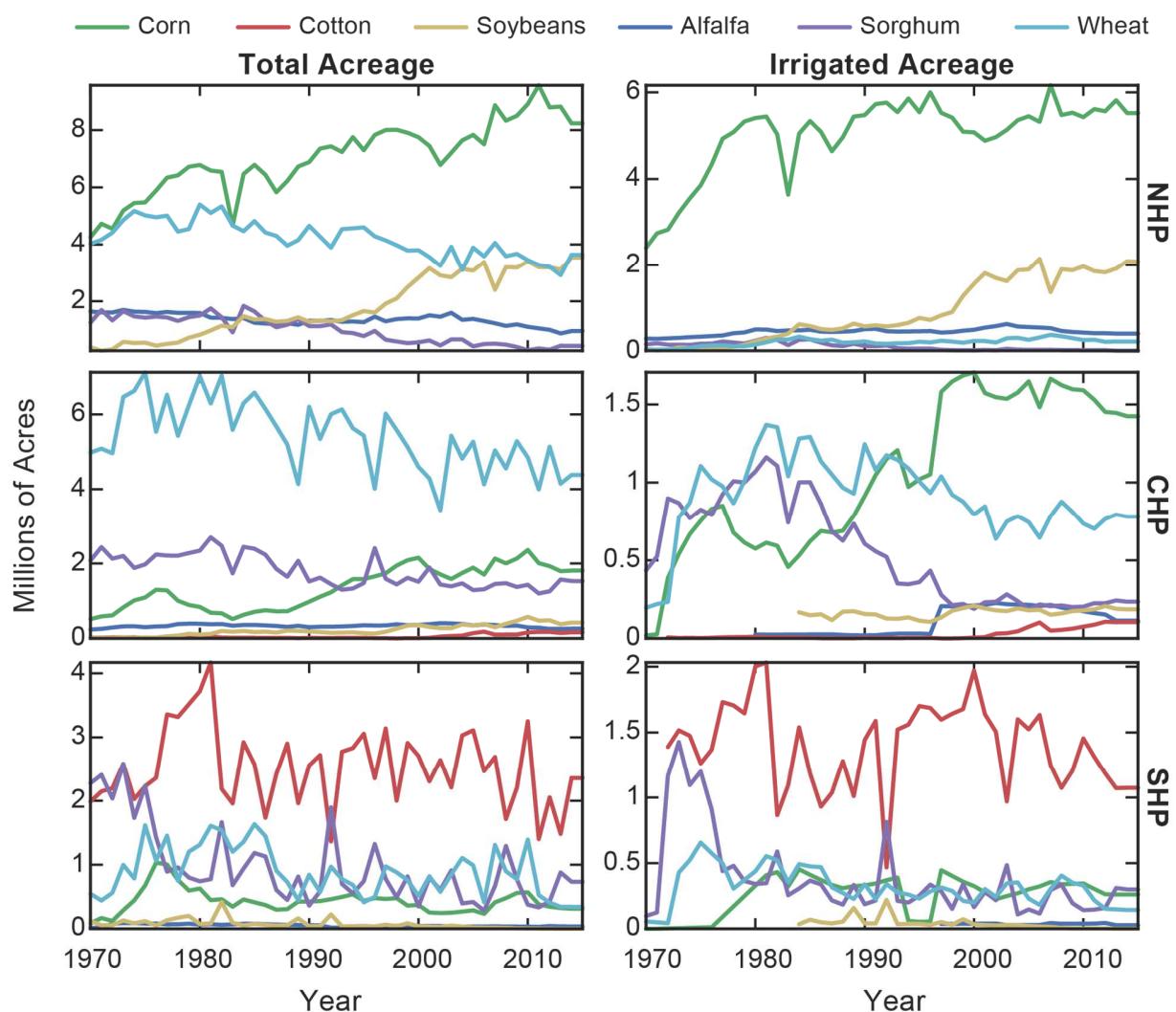
320 Water demand varies by commodity, and in general, the most water-intensive crops  
321 return the greatest short-term profit. For example, cotton demands approximately 69-cm of water  
322 for peak yields while corn requires almost 80-cm (Moore and Rojstaczer, 2001). This has  
323 resulted in both the widespread selection and the irrigation of more water-intensive crops, such  
324 as corn, across the High Plains. To investigate commodity selection trends, we calculated annual  
325 irrigated and total acreages from 1970 to 2014 for the six major commodities (NASS-USDA).  
326 We used a composite of annual county-level surveys, which may in some years only include a  
327 subset of commodities for each county, and the more complete bi-decadal Agricultural Census.  
328 Additionally, the noisier annual survey data were bias corrected to match the 5-year Census data.  
329 Biases in survey data are calculated for each county relative to the Census values as

330  $bias_{year} = (Census_{year} - survey_{year})/survey_{year}$  (eq. 1)

331 and linearly interpolated between Census years. This annual bias was then converted to a  
332 multiplicative correction factor as

333  $correction_{year} = bias_{year} + 1$  (eq. 2)

334 which was then multiplied by the annual survey data for each county. Counties partially within  
335 the HPA were multiplied by the fraction of each county that falls within three HPA subregions.  
336 Adjusted acreages were then summed across the three HPA subregions (Figure 4).



337  
 338 **Figure 4.** Total commodity acreage (left) and irrigated commodity acreage (right) by  
 339 region (NASS-USDA).  
 340

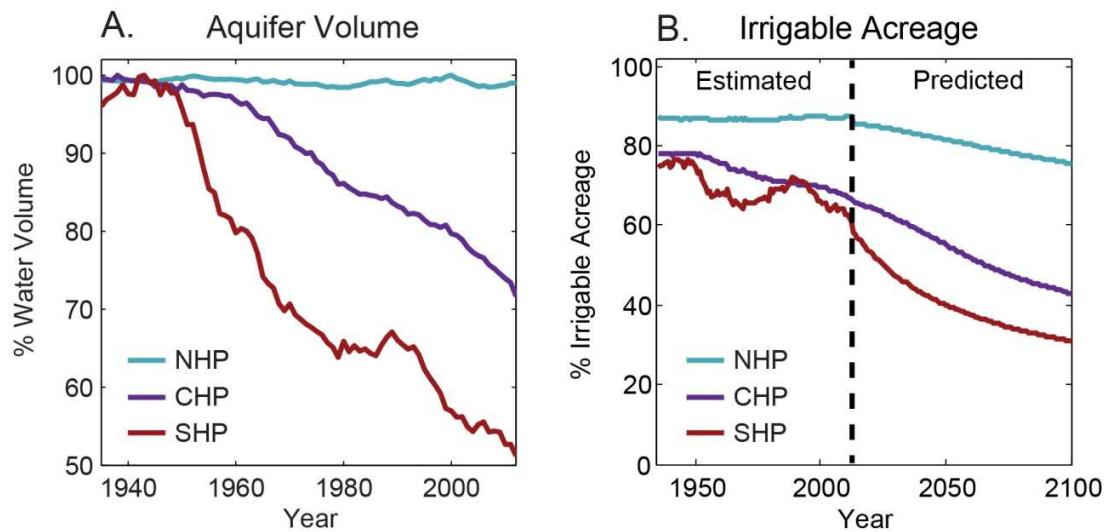
341 By the middle of the 1990s, over 7.5 million acres of corn were irrigated across the HPA  
 342 region compared to just over 2 million acres in 1970. Today, irrigated corn acreage alone is  
 343 greater than all other major commodities combined for the NHP and CHP regions (Figure 4).  
 344 While some areas of the HPA have tried shifting from corn to less water-intensive crops in an  
 345 attempt to conserve water (e.g., Colaizzi et al., 2009), extensively irrigating the crop with the  
 346 greatest economic return is still widely in practice today. For total acreage, corn is the primary  
 347 crop in the NHP, wheat is primary in the CHP, and cotton is primary in the SHP. This trend in

348 dominant crop type follows the same gradient of regional water availability, where the most  
349 water intensive crop is dominant in the north and the least water intensive crop in the south,  
350 further demonstrating how water supply in the physical domain affects decision-making in the  
351 agricultural domain. Across the HPA, irrigated corn now accounts for over 50 percent of all  
352 irrigation; with approximately 70, 75, and 80 percent of the corn being irrigated in the NHP,  
353 CHP, and SHP, respectively.

354

#### 355 *4.4 Groundwater Pumping*

356 Widespread irrigation is the largest contributor to groundwater decline across the HPA.  
357 Steady groundwater level declines across both the CHP and SHP are evidence that irrigation  
358 practices in these regions are unsustainable (Figure 5A). Since the late 1930s, saturated volumes  
359 of the CHP and SHP aquifers have been reduced by ~30 and ~50 percent, respectively. Our  
360 projections based on linear extrapolation of trends in saturated thickness from 1993-2012 (after  
361 Haacker et al., 2015) show that irrigable acreage availability (areas with >10-m saturated  
362 thickness) will fall below 50 percent of the total SHP and CHP area by the years 2025 and 2065,  
363 respectively (Figure 5B). However, irrigation on the NHP has had little impact on the overall  
364 decline of groundwater in the region as a whole. This suggests that water in the NHP can  
365 generally be treated as a renewable resource (Haacker et al., 2015; Scanlon et al., 2012), except  
366 for some portions of the region.



367

368 **Figure 5.** Aquifer decline across the High Plains. **A)** Saturated aquifer volume for each HPA  
 369 region since predevelopment. **B)** Estimated (left) and predicted (right) irrigable acreage based on  
 370 saturated thickness interpolations for each region (modified from Haacker et al., 2015).  
 371

372 Saturated thicknesses across the NHP have historically varied nonlinearly in a given  
 373 location, suggesting that overall irrigable acreage may remain relatively stable into the future.  
 374 However, saturated thicknesses across the CHP and SHP have not evidenced recovery, thus  
 375 declining saturated thickness estimates are representative of declining irrigable acreage  
 376 predictions for these regions. Extending the time frame for trend analysis prior to 1993 would  
 377 allow for more comprehensive predictions of each region, but this dilutes the role of recent  
 378 agricultural practices on declining groundwater levels. The average projected usable lifespan of  
 379 the aquifer based on estimated 2007 storage and depletion rates is around 81-yrs for the SHP and  
 380 238-yrs for the CHP, while the NHP is relatively sustainable under current irrigation trends  
 381 (Scanlon et al., 2012).

382

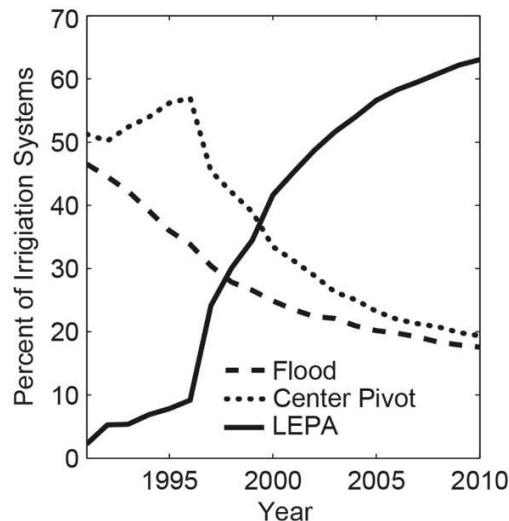
383 *4.5 Efficient Water Use*

384            Irrigation has become more expensive due to groundwater declines and the increased  
385            costs for the energy sources needed to lift groundwater, further supporting the central role of the  
386            water-energy-food nexus in modern agriculture. This increase in cost, in addition to the goal of  
387            conserving water resources, has led to the development and adoption of increasingly efficient  
388            irrigation technologies (i.e., reduction in the percent of water lost to direct evaporation per  
389            amount applied). In theory, improved efficiency of water use increases farmer profit by lowering  
390            production costs.

391            Since the 1980's, a common strategy to improve irrigation efficiency has been to modify  
392            pre-existing central pivot systems with lower-pressure spray applicators (Colaizzi et al., 2004;  
393            Colaizzi et al., 2009; Lyle and Bordovsky, 1983). Low-pressure spray applicators are classified  
394            according to the height of the nozzle, as Low-Elevation Spray Applicators (LESA) or Mid-  
395            Elevation Spray Applicators (MESA). Systems using an applicator sock dragged along the soil or  
396            a sprayer near the soil are referred to as Low Energy Precision Applicators (LEPA), which is  
397            also the common name for this entire low pressure applicator class.

398            We quantified the change in irrigation technologies across Kansas since 1990 (Figure 6)  
399            using water rights data from the Kansas Water Information Management and Analysis System  
400            (WIMAS). Prior to 1990, adoption of LEPA and related technologies was small, remaining  
401            below 5%. While the prevalence of flood irrigation systems steadily declined, farmers were  
402            transitioning to traditional high-pressure center pivot systems until 1997 when an abrupt  
403            inflection in adoption of LEPA-type systems occurred, along with a steady decline in flood and  
404            high pressure center pivot systems. By 2010, LEPA-type systems accounted for almost 65% of  
405            all irrigation systems across the HPA region of Kansas. Irrigation technology selections in

406 Kansas demonstrate the widespread adoption of LEPA technology, trends which are mimicked  
407 across the rest of the HPA states.



408

409 **Figure 6.** Irrigation technology selections across the HPA in Kansas (Kansas Division of Water  
410 Resources).

411

#### 412 *4.6 Water Use Response to Efficient Technologies*

413 Irrigation technology can have a large effect on water use efficiency (Deng et al., 2006).  
414 For example, subsurface drip irrigation can reduce irrigation water use by 35 to 55% (Lamm and  
415 Trooien, 2003). However, groundwater level declines have not been mitigated by the widespread  
416 conversion to more efficient irrigation technologies; instead, total withdrawals have increased.  
417 As improved irrigation efficiency decreases the usage cost for water applications, more acreage  
418 can be irrigated at a lower cost, resulting in increased profit margins for farmers and increased  
419 incentive to irrigate more acres (Pfeiffer and Lin, 2014; Upendram and Peterson, 2007).

420 To demonstrate that efficient irrigation technologies have led to increased water use  
421 across the HPA, we processed data for total irrigated acreage from 1990-1996, seven years prior  
422 to the widespread adoption of LEPA technology, and 1997-2003, seven years directly after

423 LEPA adoption (NASS-USDA). Total irrigated acreage across the HPA increased by ~11.38  
424 million acres after widespread LEPA adoption; by subregion, the NHP, CHP, and SHP increased  
425 by 5.55, 3.63, and 2.22 million acres, respectively (Table 1). Also significant are the trends in  
426 irrigated crop choice that directly follow LEPA adoption. For example, NHP farmers focused on  
427 irrigating a variety of crops rather than isolating corn expansion, CHP farmers expanded water  
428 intensive crops despite regional water level decline, and SHP farmers primarily sought to  
429 improve yields on predominant crops like cotton while also capitalizing on the incentive to grow  
430 water-intensive corn in the relatively dry region. From 1996 through 2015, there has been an 11  
431 percent increase in irrigated acres on the NHP and CHP; in contrast there has been a 25 percent  
432 decrease on the SHP, likely due to the decrease in available irrigable acreage as displayed in  
433 Figure 5.

434

435 *4.7 Other Methods*

436 Past studies have also highlighted how maximizing efficient water use includes more than  
437 just improved irrigation technology. For example, efficient water use also includes processes  
438 such as fertilizer regimes (Ogola et al., 2002), root zone uptake (Clothier and Green, 1994), pre-  
439 existing soil moisture (Panda et al., 2003), and irrigation frequency and intensity (Kang et al.,  
440 2002; Nair et al., 2013). Yields have been highest when irrigation applications were frequent  
441 with low intensity (Behera and Panda, 2009) and when fertilizer applications integrated with  
442 irrigation could offset the additional need for water to maximize yield. Water uptake by plant  
443 roots mostly occurs in the uppermost 45-cm of soil, thus irrigation applications that supply water  
444 beneath this depth generally add to nutrient and water leaching (Panda et al., 2003). Furthermore,  
445 increased irrigation applications, even with efficient technologies, lead directly to increased

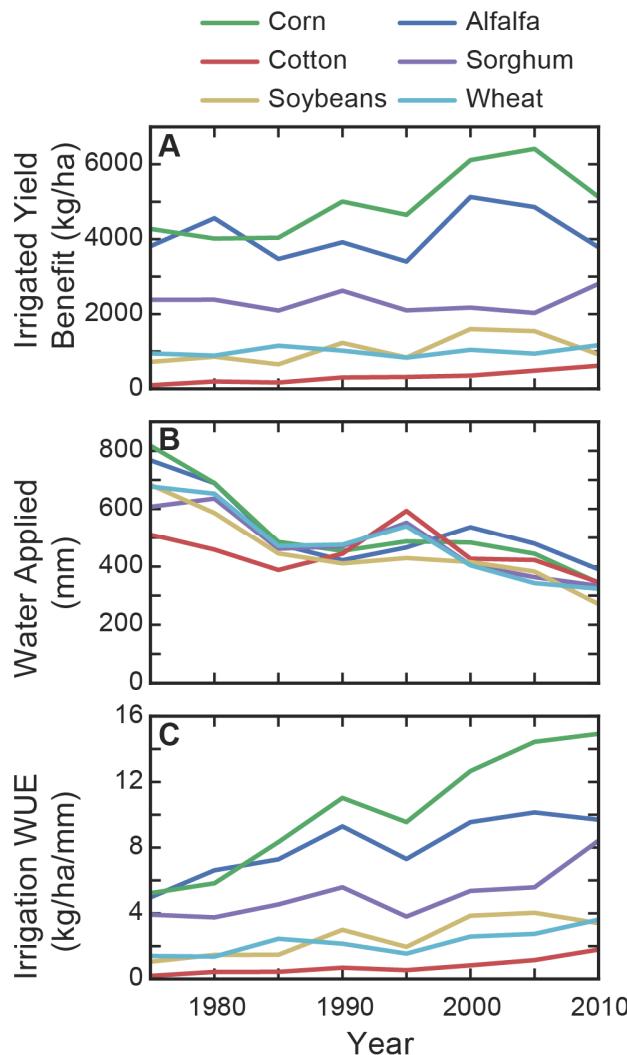
446 water loss due to increased evapotranspiration (Howell et al., 2004; Ogola et al., 2002).  
447 Improved irrigation regimes are a major focus area for water conservation, and further research  
448 is needed that integrates water use with the social drivers behind water management.

449

450 *4.8 Water Productivity*

451 Improved water use efficiency can both limit the total volume of water applied per area  
452 and reduce the total water demanded by the crop system. This movement has been widely linked  
453 with “crop per drop” research where the objective is to maximize crop yield for every drop of  
454 water applied (Brauman et al., 2013). To quantify the amount of crop returned per water amount  
455 of water applied, we conducted a novel synthesis of the benefit of irrigation on yields, irrigated  
456 water applications per commodity, and irrigation water use efficiency (Figure 7). The yield  
457 benefit of irrigation (Figure 7A), or the difference between irrigated and non-irrigated yields,  
458 was calculated for each commodity and averaged across the HPA using the data in Figures 3 and  
459 4. To calculate water applications per commodity, three county-level time series were used: (1)  
460 annual irrigated yields per commodity (Figure 3), (2) annual irrigated acreages per commodity  
461 (Figure 4), and (3) water use per commodity, which was estimated every five years using  
462 Agricultural Census and USGS Water Use data (NASS-USDA, 2012; NWIS-USGS). USGS  
463 Water Use data prior to 1985 are at the state level, so we first disaggregated these to county level  
464 by assuming that relative county-level water use remained the same from 1985 back to 1970.  
465 Second, we used state level data from the 2013 Agricultural Census on water applied per  
466 commodity and assumed that relative water applied per commodity remained the same within  
467 each state across the analysis years. Third, we multiplied commodity acreages in each county by  
468 relative water use to partition total water among commodities. Finally, we divided the

469 commodity water use in each county by county acreages to get water use per commodity. To  
 470 estimate the “crop-per-drop” of irrigation water across the HPA, and how it varies across  
 471 commodities, we divided the irrigated yield benefit (Figure 7A) by the water applied (Figure  
 472 7B), yielding Irrigation Water Use Efficiency (Figure 7C), or the benefit of irrigation per unit of  
 473 applied water, across commodities.



474  
 475 **Figure 7.** Crop yield, irrigation application data, and water use data (NASS-USDA; NWIS-  
 476 USGS) were used to quantify crop yield per water spent in 5 year increments since 1970. **A**  
 477 Yield benefit is calculated as the difference in yield between irrigated and non-irrigated yield. **B**  
 478 The HPA-average annual amount of water applied for each commodity. **C** Irrigation Water Use  
 479 Efficiency (WUE), calculated as the irrigated yield benefit divided by applied water.  
 480

481           The incentive to irrigate is obvious based on the irrigated yield benefit. For example,  
482   irrigated corn yield is approximately 5,000 kg/ha greater than non-irrigated yield (Figure 7A).  
483   However, the productivity of water (i.e., crop yield per drop) has not been well documented  
484   across regions. Due to many factors including more efficient irrigation systems, shifts in  
485   cropping patterns regionally, and changes in irrigation application practices, the amount of water  
486   applied per season has decreased for all common commodities (Figure 7B), and the magnitude of  
487   crop yield gained per amount of water applied has steadily increased in recent decades across the  
488   HPA (Figure 7C), demonstrating that the productivity of irrigation water has steadily improved.  
489   For example, irrigation water use efficiency has nearly tripled in the last 45 years for corn and  
490   more than doubled for alfalfa, sorghum, soybeans, and wheat. This boost in regional productivity  
491   is directly linked to both the improvement in yield benefit (Figure 7A) as well as reduced water  
492   applications. Assuming these positive water productivity trends continue into the future, the  
493   incentive to irrigate will continue to increase, further intensifying resource demands in the water-  
494   energy-food nexus over the HPA.

495

#### 496   *4.9 Emerging Strategies*

497           Emerging research to improve water productivity has largely focused on precision  
498   agriculture, crop choice, and cultivar improvement (Basso et al., 2011; 2013; Ritchie and Basso,  
499   2008). In recent years, the emphasis on cultivar development has increased given the expected  
500   cross-domain implications of climate change such as decreased crop yields due to increased  
501   water stress (Basso et al., 2015; Basso and Ritchie, 2014). New crop cultivars may result in  
502   increased yields despite growth challenges posed by climate change by allowing for some  
503   traditionally water-intensive crops to be grown in regions where water is scarce (Hu and Xiong,

504 2014; Lobell et al., 2014). As more drought-resistant crop cultivars enter the market, growth of  
505 these cultivars in water-deficient areas will likely become more profitable (Benson et al., 2011).

506 Precision agriculture has generated significant interest among researchers and farmers  
507 given its potential to improve long-term production even at the small farm scale, although the  
508 adoption of precision agricultural practices has only grown moderately since their introduction in  
509 the 1990s (Daberkow and McBride, 2003; McBratney et al., 2005). Precision agriculture uses  
510 discretized, site-specific information based on factors including crop choice and soil type to  
511 develop strategies that are unique to that site, such as only applying irrigation to moisture  
512 deficient sections of a field (Basso et al., 2001; Bongiovanni and Lowenberg-Deboer, 2004). One  
513 challenge for large scale increases in water productivity using precision agriculture is that  
514 variable rate technologies are still under development and have not been widely applied in areas  
515 such as the HPA. The implications of precision agriculture on water productivity are likely most  
516 beneficial when considering adaptive, full-field irrigation strategies that respond to low soil  
517 moisture conditions.

518

#### 519 *4.10 Natural Viability*

520 Crop type selection is a natural solution to water conservation. For example, switching  
521 from water-intensive corn to a less water-intensive crop mitigates the need for excess irrigation.  
522 In the northern Texas region of the SHP, switching half of irrigated corn to irrigated cotton could  
523 reduce water withdrawals by 8% (Colaizzi et al., 2009). Growing water-intensive crops in  
524 regions that need supplemental irrigation generates the largest demand for water withdrawal  
525 from the HPA aquifer. Crop selection based on the natural variability of the regional climate is

526 the most effective method of water conservation. However, natural crop selection generally  
527 results in less farmer profit.

528

## 529 **5. The Socioeconomic Domain**

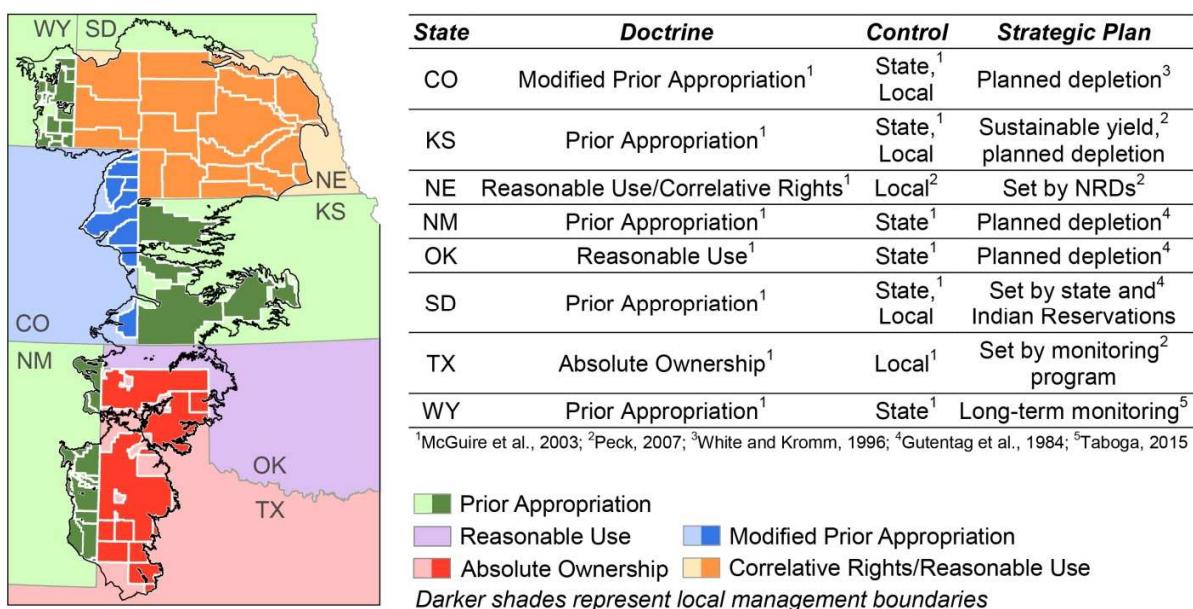
530 The socioeconomic domain both motivates and regulates how water is used within the  
531 water-energy-food nexus. In other words, this domain defines the incentives and social penalties  
532 for water use. Farmers generally aim to maximize profit, meaning the nature and location of  
533 economic incentives within the nexus can be useful indicators of potential water use. At the same  
534 time, legislation and political actions define to what extent, and sometimes at which locations,  
535 water can be used. Understanding how drivers within the socioeconomic domain may impact  
536 cross-domain trends in the physical and agricultural domains is a challenging but critical task in  
537 modern agriculture. We highlight historical socioeconomic and policy trends that provide key  
538 insights into areas where future management strategies can improve within the context of water  
539 conservation.

540

541 *5.1 Historical Water Policy*

542 In the United States, water allocation laws are made at the state level except where  
543 subject to federal rules such as interstate commerce (Peck 2007). Among U.S. states, there are  
544 four predominant doctrines governing water policy: (1) the absolute ownership doctrine: all  
545 water beneath a property owner's land belongs to the landowner, (2) the correlative rights  
546 doctrine: landowners must share underlying water with other owners of land over an aquifer, and  
547 each owner has equal rights to groundwater, (3) the reasonable use doctrine: the landowner can  
548 use underlying water without restriction as long as it is beneficial to the overlying land, and (4)  
549 the prior appropriation doctrine: priority belongs to the most senior claim, often phrased "first in

550 time, first in right.” The dominant legal doctrines governing water rights across the HPA states  
551 are displayed in Figure 8.  
552



553

554 **Figure 8.** Dominant groundwater doctrines, local management boundaries, primary  
555 control levels, and prevailing management plans across the HPA in each state.

556

557 Most HPA states have developed localized management areas to enact further protection  
558 for groundwater after decades of following a state-first control model (Fipps, 1998; Peck, 2007).  
559 HPA states have intensified groundwater management strategies by implementing plan  
560 requirements, regulating case-specific problems, and establishing critical watershed areas in  
561 efforts to address groundwater decline issues not met by pre-existing allocation policies (Ashley  
562 and Smith, 1999; Kaiser and Skillern, 2001; Mace et al., 2006). Despite a more localized and  
563 defined management approach, allocation policies have failed to adequately protect against  
564 groundwater depletion (Kaiser and Skillern, 2001). The control levels of all HPA states are  
565 further summarized in Figure 8.

566                   Surface water connections have also become increasingly prominent in modern  
567 groundwater policy and legislation. For example, in 1999, Kansas sued Nebraska and Colorado  
568 alleging that reduced flow in the Republican River due to large-scale groundwater development  
569 violated the Republican River Compact of 1942. Although groundwater was not explicitly  
570 addressed in the Compact, the US Supreme Court ruled that groundwater use was restricted if it  
571 depleted transboundary streamflow. The resulting restrictions for this region of Nebraska  
572 included a suspension on drilling new water wells, mandatory metering of irrigation wells in the  
573 watershed and certifying irrigation acreages, restrictions of groundwater pumping volumes, and a  
574 framework to use groundwater modeling to assess compliance on five-year running averages  
575 (Kuwayama and Brozović, 2013; Peck, 2007). Future water management can capitalize on  
576 improved observations and numerical models to formulate strategies that integrate surface water  
577 and groundwater as a preemptive step to groundwater conservation.

578

### 579           *5.2 Motivation for Policy Changes*

580                   Historically, water policies in the High Plains states were created during periods of  
581 limited demand on water resources. These initial policies still exist as political frameworks, and  
582 policies have been fit within the structure of these outdated philosophies. Most HPA states  
583 acknowledge that under current policy, it is more realistic to manage groundwater as a  
584 nonrenewable resource or mined commodity, rather than a sustainable and renewable resource  
585 (Waskom et al., 2006). As a result, many areas on the High Plains have implemented “manage  
586 for depletion” regimes where calculated water withdrawals are permitted based on an extraction  
587 formula, rather than targeting aquifer sustainability (McGuire et al., 2003; Peck, 2007; Waskom  
588 et al., 2006). Management strategies across the HPA region are summarized in Figure 8.

589        HPA states have attempted to modify federal, state, or local governance models to fit  
590        within the limiting frameworks of historical policy and mitigate groundwater decline, but the  
591        limitations of these adjustments are frequently debated as groundwater depletion has continued  
592        under both large and small-scale control (Haacker et al., 2015; Kromm and White, 1987; Peck,  
593        2003; Scanlon et al., 2012). A challenge is that large-scale control often overlooks localized  
594        needs, but local management bodies can be reluctant to self-impose overwithdrawal sanctions  
595        (Peterson, 1991). For example, the absolute ownership doctrine in Texas grants the landowner  
596        flexibility in water withdrawals, but little protection exists for neighbors against  
597        overwithdrawals. Localized permit systems discourage overwithdrawals, providing greater state  
598        and local control, but little flexibility is granted to the landowners and extensive government  
599        resources are necessary to administer the complex system of water rights and allocation. Given  
600        these challenges, traditional management strategies emerging from past policies are unlikely to  
601        meet the water demands of the future (NRCS, 2001; 2004).

602

### 603        *5.3 Farmer Profit*

604        Water use across the HPA region is intimately linked to short-term farmer profit. This  
605        concept is demonstrated by irrigating corn, the commodity most likely to return the greatest  
606        profit, in the water-stressed SHP region, the HPA region least suited for the crop, despite the  
607        understood implications of groundwater decline. This suggests that future management strategies  
608        focused on water conservation should also take farmer profit into consideration through various  
609        economic policies; these policies can be broadly sorted into: (1) ***direct policies***, where direct  
610        restrictions are imposed on human behavior (e.g., restrictive water use legislation), and (2)  
611        ***indirect policies***, where economic incentives are used to encourage a change in behavior (e.g.,

612 subsidies for water-conserving practices). An ideal economic policy should be designed to  
613 simultaneously encourage farmer profit protection and water conservation, all while staying  
614 within the pre-existing frameworks of direct policies.

615 Farmer profit is a function of global market demand, production costs, and the variability  
616 or risk involved in crop growth. From the perspective of a farmer, risk and variability linked to  
617 decreased yields are often the biggest concern for decreased revenues (Barry, 1984). In general,  
618 agricultural risk can be divided into: (1) production risk (associated with yield, input costs, and  
619 weather variability), (2) market risk (uncertainty about future market value of the harvest), and  
620 (3) institutional risk (the potential for change in agricultural policies; Babcock and Shogren,  
621 1995; Barret; 1996; Eakin, 2005). By reducing risk and variability through indirect policies,  
622 expected revenue and production costs can be balanced to provide a substantial influence on crop  
623 choice.

624 Crop insurance provides one method to mitigate production risk (Hazell et al., 1986), but  
625 the long-term success of this strategy is often questioned (Duncan and Myers, 2000; Miranda et  
626 al., 1997). Few other risk mitigation methods exist despite the critical link between risk  
627 management and best management practices. For the High Plains, many of the active indirect  
628 policies and risk management strategies are defined in the U.S. Farm Bill, a comprehensive  
629 agricultural bill passed by congress every five years.

630 The U.S. Farm Bill includes market supports that boost the value of particular  
631 commodities, subsidies that provide incentives for best management practices (e.g., switching to  
632 high-efficiency irrigation systems), and crop insurance that decreases the risk of profit loss  
633 during a variable growing season. For example, the 2014 US Farm Bill includes the Stacked  
634 Income Protection Plan (STAX), which allows enrolled cotton farmers to receive payments if

635 regional yields fall below 90% of the expected level, ultimately decreasing the risk for growing  
636 cotton. Another example is the Conservation Reserve Program (CRP), which was first introduced  
637 in the 1985 Farm Bill and has significantly affected the HPA region by encouraging the  
638 retirement of marginal farmland through rental and cost-share payments to farmers (Osborn,  
639 1993). However, despite the long history of the U.S. Farm Bill, only a few studies (e.g., Rao and  
640 Yang, 2010) have examined how indirect policies have influenced water availability.

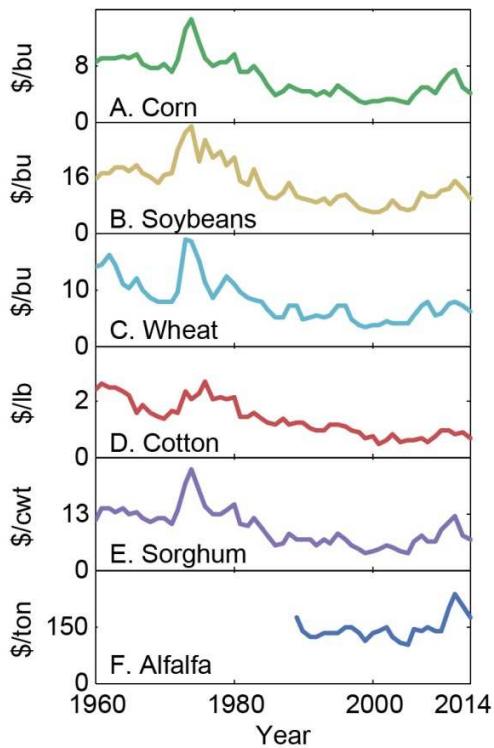
641       Most indirect policies have done little to protect HPA groundwater, given that the  
642 incentive to increase profits is antithetical to water conservation. In fact, current indirect policies  
643 may increase the demand for water use across the HPA. For example, the Renewable Fuel  
644 Standard (RFS) of 2005 required that 7.5 billion gallons of renewable fuel be blended into  
645 gasoline by 2012 (Schnepp and Yacobucci, 2013). This biofuel mandate generated a profitability  
646 incentive to farmers, ultimately increasing the planting of water-intensive biofuel crops (e.g.,  
647 corn). This increased water burden may or may not be reduced in the future as less water-  
648 intensive biofuel crops (e.g., sorghum) become more profitable. Indirect policies concentrated on  
649 water conservation will be more realistic if factors such as irrigable acreage and total water use  
650 are considered (Caswell and Zilberman, 1985). Interdisciplinary research that integrates social  
651 and natural sciences will be necessary to help develop successful future water management  
652 strategies that incorporate indirect policies and still mitigate groundwater decline.

653

#### 654 *5.4 Market Prices*

655       Effective groundwater management strategies must capture spatially and temporally  
656 dynamic drivers, making it difficult for uniform policies to be effective. Market prices, for  
657 example, have strongly fluctuated over the last fifty years (Figure 9). Commodity prices during

658 the 1970s were much higher than the 1990s, but values increased in the early 2000s to those  
659 similar to the early 1980s. More recently, record grain production in 2012 and 2013, coupled  
660 with unusually high grain prices in 2012, generated substantial bumper crops and subsequently a  
661 sharp decline in grain prices prior to the 2014 season, demonstrating that short-term factors can  
662 compromise management strategies even at the seasonal scale (USDA, 2014). Value fluctuations  
663 have direct implications on irrigation demand through revenue incentives, particularly when  
664 water intensive crops have a high market value. These dynamic complexities in management  
665 strategies remain challenging to capture for long timescales; this challenge is intensified by the  
666 unknowns linked in other domains such as climate variance and irrigation technologies.



668 **Figure 9.** 2014 price adjusted market values for common HPA commodities. Commodity  
669 prices are synthesized for HPA states, with the exception of cotton which did not have official  
670 state data for the HPA region (NASS-USDA). Cotton prices are derived from national market  
671 values. Official alfalfa prices are not available prior to 1989 for the HPA states.  
672

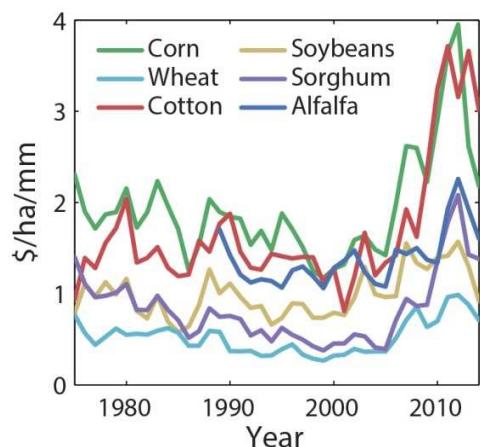
673     *5.5 Irrigation Value*

674         One challenge to cross-commodity analyses is finding an equal metric for comparison.  
675         For example, comparing the irrigation water use efficiency for corn and cotton (Figure 7A)  
676         would suggest that it is much more efficient to grow corn rather than cotton. But without an  
677         economic value for efficiency, it is not an even comparison (i.e., a kilogram of cotton is not  
678         equal to a kilogram of corn). To allow for cross-commodity comparisons, we calculated the  
679         value of irrigation by multiplying irrigation water use efficiency in kg/ha/mm (Figure 7C) by  
680         market value for each commodity converted to \$/kg (Figure 9), linearly interpolating the  
681         irrigation water use efficiency data annually. The result is a time-series of annual irrigation per  
682         commodity (Figure 10). It is no surprise that the irrigation value is high for corn given the large  
683         irrigated yield benefit (Figure 7A) and high water use efficiency associated with the crop (Figure  
684         7C), but the irrigation value of cotton is also high despite the relatively low irrigated yield  
685         benefit (Figure 7A) and low market value (Figure 9) on a per-mass basis. Thus, quantifying the  
686         economic value for irrigation can offer key insights that highlight incentives within the water-  
687         energy-food nexus.

688         Our results indicate that given high irrigation values for both corn and cotton,  
689         restructuring a management plan or subsidy program around the production of irrigated cotton  
690         instead of water-intensive corn may provide an economic opportunity for farmers in regions like  
691         the SHP to switch from corn to the less water-intensive commodity. Another example is the  
692         irrigation value of wheat, which has yield benefits and water use metrics similar to those of  
693         cotton, but its irrigation value is substantially less (Figure 10). This suggests that economic  
694         incentives aligned with the production of irrigated wheat may not be very beneficial to either  
695         farmer revenues or water conservation. By understanding the value of drivers like irrigation

696 value, management plans can be designed to promote both farmer profit and the mitigation of  
697 groundwater loss by anticipating the most economical decisions for farmers.

698



699  
700 **Figure 10.** The value of irrigation per commodity across the HPA. Irrigation value is  
701 calculated as water use efficiency for each commodity (Figure 7C) multiplied by its  
702 corresponding market value in \$/kg (Figure 9).

703

704 *5.6 Adaptive Management and Innovative Strategies*

705 The High Plains would also benefit from adaptive management that is responsive to  
706 short-term drivers (e.g., government subsidies, drought) within a long-term framework. Recently,  
707 sustainable management approaches have defined long-term goals for desired conditions 50-100  
708 years into the future and used backcasting to inform short-term objectives and water use limits  
709 (Gleeson et al., 2012). By adapting short-term regulations to meet long-term goals, water  
710 management can be tailored to regional challenges and newly implemented programs. This  
711 allows for spatially and temporally relevant adjustments that adapt to the regional needs across  
712 the HPA while still maintaining groundwater sustainability as an objective. However,  
713 implementation of these strategies is too recent to evaluate the effectiveness of this approach.

714 Innovative strategies have also been integrated into current policies, but the benefits of  
715 these trials have been mixed. Current attempts have included: (1) heterogeneous tax policies,  
716 where water during dry years is seen as more valuable than during wet years, thus subsidies are  
717 given in exchange for groundwater conservation (e.g., Ashwell and Peterson, 2013), (2)  
718 restrictions on new drilling and pumping, and (3) voluntary restrictions to total water use that are  
719 self-imposed through personal or local initiatives (Mulligan et al., 2014). However, these  
720 strategies have also been shown to increase water use and streamflow depletion because they do  
721 not accurately capture changes in practice by users (Ashwell and Peterson, 2013; Scheierling et  
722 al., 2006; Ward and Pulido-Velazquez, 2008). Innovative strategies designed to mitigate water  
723 use must include the preferences of farmers if groundwater conservation is to be achieved.

724 When combined with innovative methods and regional markets, adaptive management  
725 strategies could significantly alter water use across the High Plains. For example, the Twin Platte  
726 Natural Resources District in Nebraska implemented the first groundwater permit trading market  
727 in the United States in 2014 to maintain streamflow in the Platte River (Young and Brozović,  
728 2016). Because the marginal cost of water reductions varies across users, permit trading  
729 theoretically allows each unit of water pumped out of the system to be used at the lowest overall  
730 cost to the system (Brozović and Young, 2014). This contrasts with uniform quotas on  
731 groundwater pumping across users, which can force some users to make costly reductions while  
732 other low cost solutions are overlooked. Permit markets have the potential to be cost-effective  
733 while maximizing flexibility for water users (Palazzo and Brozović, 2014). A longer  
734 implementation period is needed for full evaluation, but permit trading highlights a cost-effective  
735 groundwater management strategy that promotes farmer profit, includes farmer values, and could  
736 be implemented in other regions of the HPA.

737

738 **6. Discussion and Conclusions**

739 Agricultural water use is depleting the High Plains Aquifer, yet current water  
740 management strategies will not prevent future declines. Increased climate variability will likely  
741 increase the stress on water resources across the High Plains, specifically through changes in  
742 precipitation patterns and drought intensification. We found that irrigation tends to at least  
743 double commodity yield when compared to their non-irrigated counterparts, placing a large  
744 economic incentive on irrigated water use across the semi-arid High Plains region. Additionally,  
745 we found that efficient irrigation technologies can reduce total groundwater conservation, as  
746 irrigated acreages substantially increased after the widespread introduction of efficient irrigation  
747 technologies and groundwater level declines continued at rates similar to those prior to the  
748 efficient systems. Future decades will require significant changes in agricultural practices for the  
749 SHP and CHP regions, as irrigable areas are predicted to decline ~30 to 50 percent by 2100  
750 relative to current irrigable areas. We further quantified irrigation water use efficiency and found  
751 that the amount of crop per unit irrigation, often called crop per drop, has increased through time  
752 for every major commodity. We multiplied these crop per drop values by market prices to  
753 quantify the unit value of irrigation water for each commodity. Based on our results, cotton and  
754 corn have the highest irrigation value, followed by alfalfa, soybeans, sorghum, and lastly wheat.  
755 These new datasets provide a basis to evaluate the influence of major water use drivers across  
756 domains and develop key insights into the water-energy-food nexus for modern agriculture.

757 Based on the trends analyzed in this study, our main conclusion is that future water  
758 management strategies would benefit most from: (1) prioritizing farmer profit as an incentive for  
759 change in practice, (2) managing water as an input in the water-energy-food nexus, (3) focusing

760 on adaptive frameworks, (4) adopting innovative strategies that function within current policies,  
761 (5) reducing production risk, and (6) increasing political desire for resource sustainability.

762 Short-term farmer profit is the primary driver to water use across the High Plains. As  
763 long as there is an economic incentive to irrigate, farmers across the HPA have largely  
764 demonstrated that extensive groundwater extraction will continue regardless of the potential risk  
765 for resource collapse. While aquifer depletion may be inevitable in some locations, water  
766 conservation provides an optimal economic path, giving the region's economy time to diversify  
767 and maximize both crop per drop and profit per drop. Introducing restrictive caps and regulations  
768 can reduce groundwater use, but these efforts also result in decreased crop yields which pose  
769 direct threats of food, fiber, and fuel shortages, as well as local economic hardship. Instead,  
770 future strategies should attempt to shift the economic incentive away from immediate  
771 groundwater extraction by placing incentives in the growth of less water-intensive crops as a way  
772 to encourage sustainable management. This requires development of alternative biofuels,  
773 increased demand for the commodities that generate alternative biofuels, and the implementation  
774 of government programs or market adjustments to make these alternative crops valuable.  
775 Farming practices will follow economic incentives, thus management strategies should  
776 incentivize farmers to profitably reduce water use rather than making it more difficult to  
777 maintain livelihoods through water use restrictions.

778 Water is the limiting component to agricultural production within the water-energy-food  
779 nexus, and past practices on the HPA demonstrate that overlooked water use incentives will be  
780 exploited if not properly accounted for in management strategies. Groundwater sustainability  
781 goals can only be met when water use is balanced as an input within the nexus, where food and  
782 fuel are functions of water use. In budget terms, groundwater sustainability goals can only be

783 met when annual groundwater use is nearly equal to the annual recharge supplied. Given that the  
784 agriculture industry across large portions of the HPA has historically been established using  
785 unsustainable practices, future management strategies must compound multiple water  
786 conservation methods to offset the extensive reliance on groundwater pumping.

787 Adaptive frameworks capture temporally dynamic water use drivers (e.g., extended  
788 droughts, new government incentives, and market price fluctuations) by granting decision-  
789 making freedom in response to changing circumstances. Thus, adaptive management strategies  
790 must incorporate short-term objectives that align with long-term goals to remain relevant at  
791 extended timescales, and must react to changing physical and social drivers that caused past  
792 strategies to become outdated. By allowing for heterogeneous, short-term flexibility in a long-  
793 term framework, strategies can be tailored to dynamic drivers even at the seasonal timescale to  
794 meet long-term goals.

795 Widespread groundwater decline is enabled by pre-existing political frameworks that  
796 govern water law. These frameworks are outdated and often irrelevant on the High Plains, as  
797 evidenced by the shift from regional- to local-scale groundwater management over many areas of  
798 the HPA. Management strategies that follow this traditional political framework will also likely  
799 fail. Traditional frameworks are too restrictive to capture every critical water use driver, allowing  
800 farmers to exploit these overlooked areas and capitalize on the economic incentives they leave  
801 unregulated. Instead, innovative and nontraditional strategies should be designed to fit within  
802 existing legislation, but designed to capitalize on the decision-making behaviors that follow  
803 economic incentives. Innovative strategies do not need to capture every driver; rather, they need  
804 to manage for the decisions that follow the key driver: farmer profit. New strategies that align  
805 farmer profit with reduced water use may prove more effective within the legislative framework.

806        Reduced production risk is another way to encourage farmer behavior by placing an  
807        economic incentive toward ensured revenue. If the risk associated with a change in practice (e.g.,  
808        less irrigation) is reduced, then farmers will be more likely to adopt new practices that align with  
809        water conservation objectives. Reduced risk can come through mechanisms including the  
810        enhanced development of climate resistant cultivars or more effective insurance programs.

811        There must be the political will to promote groundwater conservation. Past strategies can  
812        mitigate groundwater declines to a certain extent, but they cannot fully succeed if there is not the  
813        will to implement them. Given that some portions of the HPA are already managing for  
814        depletion, there appears to be a conflict between these areas and a regional desire for  
815        groundwater sustainability. Management strategies must be constructed with the necessary tools  
816        to succeed, but they must also be implemented in a political framework that promotes and  
817        advocates for successful implementation.

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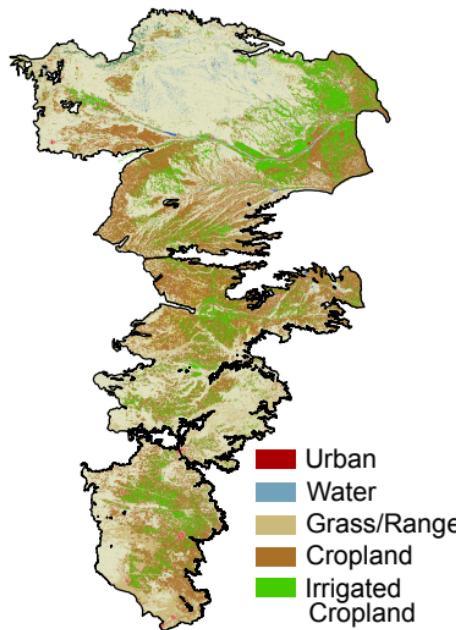
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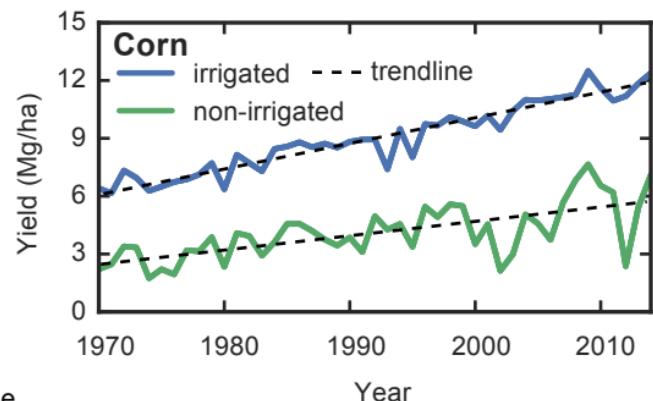
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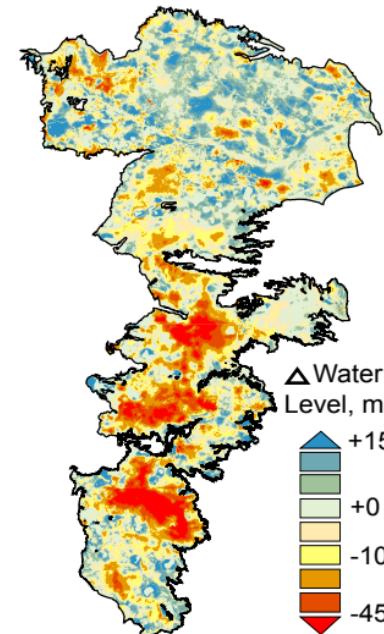
## Coupled Human and Natural System on the High Plains



## Crop Yield Response to Irrigation



## High Plains Aquifer Depletion



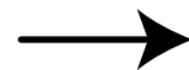
Abundant Groundwater Supply  
Extensive Agriculture  
Favorable Water Use Policies

1



Economic Incentive  
to Irrigate

2



Regional Groundwater Decline

3