

1 Pacific cod or tikhookeanskaya treska (*Gadus macrocephalus*) in the Chukchi Sea during recent  
2 warm years: Distribution by life stage and age-0 diet and condition.

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23

24

25 ABSTRACT

26

27 Many fish species have moved poleward with ocean warming, and species distribution shifts can  
28 occur because of adult fish movement, or juveniles can recruit to new areas. In the Bering Sea,  
29 recent studies document a dramatic northward shift in the distribution of *Gadus macrocephalus*  
30 (Pacific cod in English and tikhookeanskaya treska in Russian) during a period of ocean  
31 warming, but it is unknown whether the current northward distribution shift continues into the

32 Chukchi Sea. Here, we use catch data from multiple gear types to present larval, age-0, and  
33 older Pacific cod distributions from before (2010 and 2012) and during (2017, 2018, and 2019)  
34 recent Chukchi Sea warming events. We also report on the habitat, diet, and condition of age-0  
35 Pacific cod, which were present in the eastern Chukchi Sea in recent warm years (2017 and  
36 2019), but were absent in a cold year (2012). We hypothesize that age-0 recruitment to the  
37 eastern Chukchi Sea is associated with recent warm temperatures and increased northward  
38 transport through the Bering Strait in the spring. Age-0 fish were present in both benthic and  
39 pelagic habitats and diets reflected prey resources at these capture locations. Age-1 Pacific cod  
40 were observed in the western Chukchi Sea in 2018 and 2019, indicating possible overwinter  
41 survival of age-0 fish, although there was little evidence that they survive and/or remain in the  
42 Chukchi Sea to age-2. Observed low lipid accumulation in age-0 Pacific cod from the Chukchi  
43 Sea suggests juvenile overwinter mortality may be relatively high compared to more boreal  
44 regions (e.g. Gulf of Alaska). Adult Pacific cod were also observed in the Chukchi Sea during  
45 2018 and 2019. Although densities in the western Chukchi Sea were very low compared to the  
46 Bering Sea, the adults are the first known (to us) records from the Chukchi Sea. The increased  
47 presence of multiple age-classes of Pacific cod in the Chukchi Sea suggests poleward shifts in  
48 both nursery areas and adult summer habitat beyond the Bering Sea, but the quantity and quality  
49 (e.g. summer productivity and overwintering potential) of these habitats will require continued  
50 surveys.

51

52 **Keywords**

53 Pacific cod, *Gadus macrocephalus*, Chukchi Sea, larvae, juvenile, adult, transport, condition

54

## 55 **1. Introduction**

56

57 The ranges of many marine fish species have moved poleward in response to recent warming  
58 temperatures (Mueter and Litzow, 2008; Nye et al., 2009; Kotwicki and Lauth, 2013; Wildes et  
59 al., this issue), which is impacting fisheries and ecosystems (Mueter and Litzow, 2008; Figueira  
60 and Booth, 2010; Hollowed et al., 2013). Distribution shifts caused by temperature often vary by  
61 ontogenetic stage (Morley et al., 2017; Barbeaux and Hollowed, 2018), because species may  
62 expand their range by multiple mechanisms, including movement of subadults and adults (Nye et

63 al., 2009; Hill et al., 2016), or juveniles recruiting to new areas and remaining there as they grow  
64 (Rindorf and Lewy, 2006; Nye et al., 2009; Figueira and Booth, 2010).

65

66 Currents on the eastern Bering Sea (EBS; Fig. 1) shelf generally move from the south to the  
67 north to the Bering Strait (Stabeno et al., 2016). Net flow though the Bering Strait is from the  
68 Bering Sea into the Chukchi Sea (Woodgate, 2018) and currents continue northwards through the  
69 Chukchi Sea (Stabeno et al., 2018). Summer temperatures in the Bering and Chukchi Seas have  
70 increased in recent years (Stabeno and Bell, 2019; Danielson et al., 2020; Woodgate and Peralta-  
71 Ferriz, 2021). In the EBS, sea-ice coverage during winter and spring causes an area of cold (<2  
72 °C) bottom water known as the cold pool, which persists through the summer (Wyllie-Echeverria  
73 and Wooster, 1998; Stabeno et al., 2001). The annual spatial extent of the cold pool varies with  
74 annual sea-ice extent and can extend far into the southeastern Bering Sea in cold years, or be  
75 limited to areas of the northern Bering Sea (NBS) in warm years (Overland et al., 2012; Stabeno  
76 et al., 2012). In recent decades, the Bering Sea has alternated between multi-year periods of  
77 cold and warm summer ocean bottom temperatures (Overland et al., 2012; Stabeno et al., 2012;  
78 Baker et al. 2020a), including a cold period from 2007 through 2013, and a warm period which  
79 began in 2014 (Stabeno and Bell, 2019). Temperatures of the Bering Sea inflow entering the  
80 Chukchi Sea during the summer have increased in recent years (Woodgate and Peralta-Ferriz,  
81 2021), and temperatures on the Chukchi Sea shelf were historically high from 2014 – 2018  
82 (Danielson et al., 2020).

83

84 The summer distribution of *Gadus macrocephalus* (Pacific cod in English or tikhookeanskaya  
85 treska in Russian, hereafter referred to as “cod”) shifted northward in the EBS during the recent  
86 warm period (Thompson, 2018; Stevenson and Lauth, 2019; Baker, 2021), likely in response to  
87 the spatial reduction of the cold pool in the EBS, and warmer summer bottom temperatures in the  
88 NBS (Stevenson and Lauth, 2019). Sub-adult and adult cod abundance increased by more than  
89 900% in the NBS between 2010 and 2017 (Stevenson and Lauth, 2019). The size range of cod  
90 inhabiting the NBS has also changed: in 2010, the surveyed population was comprised of  
91 juvenile fish from 10 to about 35 cm fork length (FL), and also larger adults > 60 cm FL, with  
92 few fish in the intermediate size range. However, in 2017, there was a continuous length  
93 distribution of cod from juveniles through adults (Stevenson and Lauth, 2019). In 2017, cod

94 densities in the NBS were elevated near the Bering Strait (Stevenson and Lauth, 2019), which is  
95 at the southern border of the Chukchi Sea (Fig. 1), indicating that the population distribution may  
96 have continued into the unsampled southern Chukchi Sea. However, cod distribution and  
97 abundance have not been examined in the Chukchi Sea during the recent warm period, and the  
98 life stages and size distributions of any cod recently present in the Chukchi Sea are also  
99 unknown.

100

101 Juvenile cod have been documented in the Chukchi Sea (Barber et al., 1997; Mecklenburg et al.,  
102 2011, 2018; Logerwell et al., 2015) and Beaufort Sea (Andriashov, 1937; Rand and Logerwell,  
103 2010), however, relatively few records exist and habitat of juvenile cod in the Chukchi Sea has  
104 not been examined (Mecklenburg et al., 2011). In the Chukchi Sea, the largest reported cod were  
105 33 cm (Logerwell et al., 2015), 31 cm (Barber et al., 1997), and 17.6 and 8.7 cm total length  
106 (TL) (Mecklenburg et al., 2011), which are below the smallest known size of maturity for cod in  
107 the EBS or Gulf of Alaska (Stark, 2007). In the EBS, age-0 cod inhabit nearshore benthic  
108 habitat, or pelagic habitat in offshore deeper areas (Hurst et al., 2015). Because size and  
109 energetic storage are important factors contributing to the overwintering survival of juvenile  
110 marine fishes (Sogard, 1997; Hurst, 2007), it is unclear whether small boreal gadids such as cod  
111 can survive long periods of cold in low productivity habitats typical of the Chukchi Sea.

112

113 The juvenile cod observed in the Chukchi Sea may be sourced from larvae advected northward  
114 from the Bering Sea. Cod spawn in the EBS from March to mid-April as far north as the  
115 continental shelf break at about 60°N latitude (Neidetcher et al., 2014) and eggs likely remain at  
116 their spawned location because they are demersal (Thomson, 1963; Fadeev, 2005). Larvae  
117 become more buoyant at hatch (Laurel et al., 2010) and are typically in surface waters where  
118 they have been reported in the EBS from April through June (Matarese et al., 2003) and in the  
119 western Bering Sea (WBS) in June (Bulatov, 1986). Ocean currents during the larval period may  
120 carry larvae from the NBS to the Chukchi Sea through the Bering Strait. A mooring (A3)  
121 located just north of the Bering Strait (Fig. 1), provides hourly time series of ocean temperatures  
122 and currents from which estimates of the northward transport through the Bering Strait have been  
123 made (Woodgate, 2018).

124

125 The objectives of this study were to 1) investigate thermal and ocean transport conditions which  
126 could affect cod larvae transported between the NBS and Chukchi Sea; 2) describe cod  
127 distribution in the Chukchi Sea by life stage before (2010 and 2012) and during (2017, 2018,  
128 2019) the recent period of warm summer ocean temperatures in the Chukchi Sea; and 3)  
129 understand the potential survival trajectories of age-0 cod in the Chukchi sea by comparing their  
130 habitat, size, diet and condition to juveniles collected farther south, in the Gulf of Alaska (GOA).

131

## 132 **2. Methods**

133

### 134 *2.1. Bering Strait temperature and transport*

135

136 Monthly averaged near-bottom temperatures in April through June from 1998 through 2019  
137 measured at a subsurface mooring were used to investigate the thermal exposure of any cod  
138 larvae possibly in the Bering Strait during the larval period (Mooring A3 in Woodgate et al.,  
139 2015; Woodgate, 2018; Woodgate and Peralta-Ferriz, 2021). This mooring is located ~35 km  
140 north of the Bering Strait proper, at a point where water temperatures are considered to be a  
141 meaningful average of the water temperatures in the eastern and western sides of the Bering  
142 Strait (Woodgate, 2018). These measurements are made near bottom and represent the bottom  
143 layer (~30-40 m) of the water column. In April – June, sea surface temperatures are ~ 1 to 2 °C  
144 warmer than the near-bottom temperatures in the annual mean (Woodgate and Peralta-Ferriz,  
145 2021; Woodgate, 2018, Fig. 14). Thus, depending on where they reside in the water column,  
146 larvae in April – June may be exposed to warmer (~1 to 2 °C) temperatures than considered here.

147

148 Estimates of water volume transport from the NBS to the Chukchi Sea during the larval period  
149 were obtained to investigate possible inter-annual differences in northward larval transport  
150 through the Bering Strait. Monthly-averaged northward transport estimates during April – June  
151 from 2000 – 2019 were calculated from the A3 mooring data (see Woodgate, 2018 for method),  
152 and an average transport value for April – June was calculated for each year.

153

### 154 *2.2. Larval distributions*

155

156 Larval Pacific cod were sampled in the Bering and Chukchi Seas during research cruises as part  
157 of the Arctic Shelf Growth, Advection, Respiration and Deposition (ASGARD) Rate  
158 Measurements Project, the Distributed Biological Observatory (DBO), and the Arctic Integrated  
159 Ecosystem Survey (AIES) funded by the North Pacific Research Board (NPRB) Arctic Integrated  
160 Ecosystem Research Program (AIERP; Baker et al. 2020b, 2022a) in June 2017, June 2018,  
161 August – September 2017, and August – September 2018 (Fig. 2) using a paired 60-cm diameter  
162 bongo net (505- $\mu$ m mesh) towed obliquely from the surface to 10 m off the bottom (see Deary et  
163 al., 2021 for a description of the sampling design for each survey). Samples were preserved at  
164 sea in 5% formalin buffered with sodium borate and seawater and identified to the lowest  
165 taxonomic level at the Plankton Sorting and Identification Center in Szczecin,  
166 Poland. Taxonomic verifications took place at the National Oceanic and Atmospheric  
167 Administration, Alaska Fisheries Science Center in Seattle, WA, USA. Flowmeters (General  
168 Oceanics) attached to each net were used to calculate volume filtered for each net tow, enabling  
169 calculating catch per unit effort (CPUE) defined as  $(\log[x+1])$  where log is the natural log, and x  
170 is the number of individuals  $10\text{ m}^{-2}$  (See Matarese et al. 2003). Hydrographic data were collected  
171 using a lowered conductivity-temperature-depth (CTD) profiler (SeaBird Electronics 911  
172 plus) immediately prior to net deployments. Temperature ( $^{\circ}\text{C}$ ) measurements were averaged over  
173 the entire water column (deepest CTD cast was  $\sim$ 60 m) and nearest neighbor interpolated using  
174 the “gstat” package in R (version 4.1.2; R Core Team 2021).

175

### 176 *2.3. Juvenile and adult distributions*

177

178 Cod juveniles and adults were caught in several trawl types used for multi-species surveys in the  
179 eastern Chukchi Sea (ECS) and western Chukchi Sea (WCS) in cold years (2010 and 2012) and  
180 recent warm years (2017 – 2019; Table 1 and Fig. 2).

181

#### 182 *2.3.1. Surface trawl*

183

184 A Nordic 264 Rope Trawl (NETS Systems) was deployed at nearshore stations during AIES  
185 surveys in the ECS in 2017 and 2019 (Table 1, Fig. 2). The rope trawl was 184 m long with non-  
186 uniform hexagonal mesh in the wings and body (maximum mesh size = 162 cm) and a 1.2 cm

187 mesh liner in the codend. Tows were made at or near the surface for 30 minutes at 0.77 – 1.54  
188  $\text{ms}^{-1}$  (1.5 – 3 nautical miles hour $^{-1}$ ), and had typical trawl mouth openings of 20 m horizontally  
189 and 19 m vertically. All sampling was performed during daylight hours. CPUE was calculated  
190 as the number of fish divided by the surface area swept by the trawl. Surface area swept by the  
191 trawl was calculated as the width of the trawl opening multiplied by the distance fished.  
192 Distance fished was measured by Global Positioning System (GPS).

193

194 *2.3.2. Midwater trawl*

195

196 A modified-Marinovich midwater trawl (~34.5 m long, 12 m headrope, 6.4 to 1.8 cm mesh) with  
197 a 0.3 cm mesh codend liner was deployed during AIES surveys in 2017 and 2019 in the ECS to  
198 conduct targeted midwater hauls (Table 1, Fig. 2; De Robertis et al., 2017). Trawling location  
199 and depth were determined based on identification of strong scattering layers in shipboard  
200 acoustic data. CPUE of the midwater trawl was calculated as number of fish per trawl tow  
201 divided by the volume filtered by the trawl. Volume filtered was calculated as the trawl mouth  
202 opening multiplied by the distance fished. Distance fished was measured by GPS position. Net  
203 opening was measured using observation from a net sonar (Simrad FS70) placed on the  
204 headrope. For all hauls, the vertical net opening averaged 7.85 m (5.1 - 10.6 m range) and  
205 horizontal opening averaged 7.49 m (5 - 9.1 m range). Average headrope depth of midwater  
206 trawls was 32.1 m, ranging from 11.4 to 227.9 m, with an average ship speed during the tow of 1  
207 – 1.5  $\text{m s}^{-1}$ . Bottom depths of trawl locations ranged from 23 to 1130 m.

208

209 *2.3.3. Small-mesh benthic trawl*

210

211 A small-mesh benthic trawl was deployed in the ECS during the Arctic Ecosystem Integrated  
212 Survey (Arctic EIS) in 2012, and during AIES surveys in 2017, and 2019 (Table 1, Fig. 2). The  
213 trawl was a 3.05-m plumb staff beam trawl with a 7 mm mesh and 4 mm mesh codend liner  
214 (Gunderson and Ellis, 1986). In 2012, a tickler chain preceded the footrope (Gunderson and  
215 Ellis, 1986; Kotwicki et al., 2017). In 2017 and 2019, the tickler chain was removed, and the  
216 trawl was modified with a footrope of 10.2 cm rubber discs over a steel chain as in Abookire and  
217 Rose (2005). Mean trawl durations and ranges (minutes) were 2.9 (range = 2.8 – 7.4), 5.4 (range

218 = 4.0 – 9.1), and 6.0 (range = 2.8 – 8.9) in 2012, 2017, and 2019, respectively. Targeted towing  
219 speed was  $0.77 \text{ ms}^{-1}$  (1.5 nautical miles hour $^{-1}$ ). CPUE was calculated as the number of cod in  
220 the trawl tow divided by the area swept by the trawl. Area swept by the trawl was the effective  
221 width of the trawl multiplied by the distance fished by the trawl. Effective trawl width of the  
222 trawl was assumed to be 2.26 m in 2012 (Gunderson and Ellis, 1986; Kotwicki et al., 2017), and  
223 2.1 m in 2017 and 2019 (Abookire and Rose, 2005). Distance fished was measured as the  
224 distance between the locations that the trawl began and stopped contact with the bottom. Bottom  
225 contact was determined by HOBO G acceleration data logger (Onset Corp.) placed in a  
226 waterproof steel housing and hung from the footrope in a manner forcing the data logger to pivot  
227 when it contacted the bottom. Time stamps from the acceleration data logger were used to match  
228 the start and conclusion of trawl bottom contact with location from GPS data.

229

#### 230 *2.3.4. Large-mesh benthic trawls*

231

232 A large-mesh benthic trawl (DT 27.1/24.4 bottom trawl; Zakharov et al., 2013) was deployed in  
233 the WCS in 2010, 2018, and 2019 (Table 1, Fig. 2). Trawl mesh was 8.0 cm in the wings and  
234 body, 6.0 cm in the intermediate, 3.0 cm in the codend, and the codend was equipped with a 10  
235 mm mesh liner. Target trawl speed was  $\sim 1.5 \text{ ms}^{-1}$  (3 nautical miles per hour) for a target  
236 duration of 30 minutes. CPUE was calculated as the number or weight of cod in the tow divided  
237 by the area swept by the trawl. Area swept by the trawl was calculated as the horizontal opening  
238 of the trawl (16.2 m) multiplied by the distance fished by the trawl. Distance fished was the  
239 distance between the locations that the trawl began and stopped contact with the bottom.

240

241 The large-mesh benthic trawl deployed in the ECS in 2012 (Table 1, Fig. 2) as part of the Arctic  
242 EIS survey was an 83-112 Eastern Trawl (Stauffer, 2004). Deployment of the trawl in 2012 is  
243 described by Kotwicki et al. (2017). The trawl horizontal opening was approximately 17 m.  
244 Stretched mesh size was 10.2 cm in the wings and body, 8.9 cm in the intermediate and codend,  
245 and the codend was equipped with a 3.2 cm mesh liner. Target trawl speed was  $\sim 1.5 \text{ ms}^{-1}$  (3  
246 nautical miles per hour) for a target duration of 15 minutes. CPUE was calculated as the number  
247 of cod divided by the area swept of the trawl. Area swept was calculated as the distance fished  
248 multiplied by the width of the trawl opening. Width of the trawl opening was measured with

249 acoustic net mensuration sensors (Marport Deep Sea Technologies, Inc.). Distance fished was  
250 measured as the distance between the locations that the trawl began and stopped contact with the  
251 bottom.

252

253 *2.3.5. Gulf of Alaska small-mesh demersal seine*

254

255 Age-0 juvenile cod were collected in August of 2017 during the annual summer nearshore seine  
256 survey on Kodiak Island to compare condition to those collected in the ECS in 2017. The GOA  
257 survey uses a 36 m demersal bag seine with 1 m wide seine wings at the ends expanding to 2.25  
258 m in the middle. The mesh size was 13 mm within the wings and 5 mm in the bag-end. The seine  
259 wings were attached to 25 m ropes for deployment using a small boat and was set parallel to  
260 shore at a distance of 25 m away and then retrieved by two people standing on the shore,  
261 effectively sampling ~900 m<sup>2</sup> of bottom habitat (see more details in Laurel et al., 2007).

262

263 *2.4. Trawl survey temperatures*

264

265 Bottom temperatures were recorded at each station for the small- and large-mesh benthic trawls  
266 in the ECS using an SBE-39 (Seabird Scientific, Inc.) temperature sensor attached to the trawl  
267 headrope. Bottom temperatures in the WCS were recorded with either an SBE-19 or SBE-25  
268 temperature sensor from CTD cast conducted at the trawl location. Gear temperatures for the  
269 surface and midwater trawls were measured with CTD casts taken with an SBE 911 plus. Near  
270 surface temperatures were used for the surface trawl, and temperatures averaged over the depth  
271 range between the trawl headrope and footrope at the targeted trawl depth were used for the  
272 midwater trawl. CTD casts were co-located with surface trawl tows; however, midwater trawl  
273 tows were opportunistic and temperatures were obtained from the nearest CTD cast (the same  
274 sampling grid as for the small-mesh benthic trawl each year; Fig. 2).

275

276

277 *2.5. Fish length and length-based age classification*

278

279 In the surface, midwater, and small-mesh benthic trawls, cod were measured to the nearest  
280 millimeter at sea. In 2017, TL was measured, and in 2019, one large fish was measured to FL,  
281 and the smaller fish were measured to standard length (SL). For comparison with laboratory data  
282 and other studies of age-0 fish, lengths of juveniles were converted to SL. To compare sizes of  
283 the juvenile cod with larger cod caught with the large-mesh trawls, lengths of juvenile fish were  
284 converted to FL. Lengths of juvenile fish were converted between length types using length data  
285 provided by Oregon State University, the AFSC's Auke Bay Laboratories and RACE Division's  
286 Midwater Assessment and Conservation Engineering program for fish within the same size range  
287 as the observed fish. The conversion factors were  $SL = TL(0.902) + 1.284$  (based on 120  
288 samples up to 110 mm in length) and  $SL = FL(0.952) - 0.663$  (based on 11 samples up to 78 mm  
289 in length). Cod caught in both large-mesh benthic trawls were measured at sea to the nearest cm  
290 FL.

291  
292 The length mode (49 – 103 mm FL) of small juveniles caught in the ECS in the small-mesh  
293 benthic, midwater, and surface trawls was similar to reported lengths of age-0 fish in the EBS  
294 during the summer (Hurst et al., 2012a; Hurst et al., 2015) and these fish will be referred to as  
295 age-0 for this study. The larger length mode of juveniles (100 – 230 mm FL) caught in the large-  
296 mesh benthic trawls in the ECS and WCS were smaller than age-1 fish in the Gulf of Alaska  
297 during the summer (150 – 250 mm TL; Laurel et al., 2016a); however, they are assumed to be  
298 age-1 based on length mode analysis (they were larger than the mode of age-0 fish), and will be  
299 referred to as age-1 fish for this study. There was an overlap in the size ranges of the age-0 and  
300 age-1 fish (100 – 103 mm FL); however, the size range contained only 3% of the fish in this  
301 study. Zero fish between 230 mm and 550 mm FL were caught in this study. The larger cod  
302 (550 – 780 mm FL) caught in this study are greater than the size of 50% maturity for cod from  
303 the EBS and GOA (Stark, 2007) and are referred to as adults for this study.

304

### 305 2.6. Age-0 diets

306

307 Diets of the age-0 cod caught in the ECS in 2017 were analyzed by capture trawl type (small-  
308 mesh benthic, midwater, and surface trawls) to investigate whether the age-0 cod captured in  
309 different parts of the water column used different prey resources. Sample sizes were 40 fish

310 from 10 stations in the small-mesh benthic trawl, 28 fish from 6 stations in the midwater trawl,  
311 and 40 fish from 5 stations in the surface trawl. Fish were frozen at sea. Stomachs were  
312 dissected in the laboratory and stored in 10% formalin to fix stomach contents. Stomach  
313 contents were sorted to lowest practical taxonomic resolution and developmental stage (as  
314 appropriate) and weighed to the nearest 0.01  $\mu$ g, and counted.

315

316 To determine prey importance in the age-0 cod diets in each type of trawl, we used the  
317 percentage of the prey-specific index of relative importance (%PSIRI) (Brown et al., 2012).  
318 %PSIRI is calculated using frequency of occurrence (FO), prey-specific count (%PN<sub>i</sub>), and prey-  
319 specific weight (%PW<sub>i</sub>), which were calculated using the following equations:

320 Frequency of occurrence (%FO):

$$321 \quad \%FO_i = \frac{n_i}{n} .$$

322 Prey-specific count (%PN):

$$323 \quad \%PN_i = \sum_{j=1}^n \%N_{ij}/n_i .$$

324 Prey-specific weight (%PW<sub>i</sub>):

$$325 \quad \%PW_i = \sum_{j=1}^n \%W_{ij}/n_i ,$$

326 where %N<sub>ij</sub> is the proportional count (PN<sub>i</sub>) and %W<sub>ij</sub> is the proportional weight (PW<sub>i</sub>) of prey  
327 category *i* in stomach sample *j*; *n*<sub>*i*</sub> is the number of stomachs containing prey *i*, and *n* is the total  
328 number of stomachs.

329 The %PSIRI was then calculated:

$$330 \quad \%PSIRI_i = \frac{\%FO_i * (\%PN_i + \%PW_i)}{2} .$$

331 %PSIRI was calculated for prey items at the lowest practical taxonomic resolution, and also for  
332 prey items grouped by the following prey habitat types: endobenthic, epibenthic, hyperbenthic,

333 planktonic, or various (see Ferm et al., 2021 for a description, and a list of the habitat type for  
334 each prey taxon in the supplemental materials). Each prey item was assigned a prey habitat type  
335 based on a literature search.

336

337 The symmetric niche overlap coefficient (Pianka, 1973), was calculated to determine whether  
338 there was niche overlap among the diets of cod caught in the different trawl types using:

339

340 
$$O_{kl} = \frac{\sum_i^n p_{il}p_{ik}}{\sqrt{\sum_i^n p_{il}^2 \sum_i^n p_{ik}^2}},$$

341 where  $O_{kl}$  is the resource overlap index between capture trawl type  $k$  and  $l$ , and  $p_{il}$  is the  
342 proportion of resource  $i$  that is used by capture trawl type  $l$ .

343 This resource overlap index produces values from 0 to 1, where 0 indicates that no resources are  
344 shared and 1 indicates complete shared resource utilization between the cod collected in different  
345 trawl types. To test whether the observed diet differences between pairs of trawl types were  
346 significantly different (null hypothesis was there was no difference), we used the niche overlap  
347 methods in the EcoSimR package. The package first created a matrix of prey weights (columns)  
348 by trawl type at each station (rows). This matrix of prey weights was randomly shuffled 2000  
349 times by row using the RA3 (default) algorithm in the EcoSim R package. For each  
350 randomization, the  $O_{kl}$  value was calculated. The actual calculated  $O_{kl}$  values observed for each  
351 trawl pair were compared to the histogram of  $O_{kl}$  values from the 2000 randomized data sets, and  
352 the diet difference between the trawl types was considered statistically significant when the  
353 actual  $O_{kl}$  values were outside the 95% percentile of the histogram of  $O_{kl}$  values from the  
354 randomized data. All data analyses were conducted using R statistical analysis software (R Core  
355 Team, 2020).

356

357 *2.7. Age-0 condition*

358

359 One-hundred and seventeen age-0 cod collected in the ECS in 2017 and 30 age-0 fish from the  
360 annual August GOA beach seine survey in 2017 were saved for condition analyses. Fish from

361 the four different gear types described above, small-mesh benthic (n=45), midwater (n=31),  
362 surface trawls (n=41) and the GOA beach seines (n=30) were frozen immediately at -20 °C and  
363 maintained at -80 °C at the land-based laboratory. Samples were frozen and shipped overnight  
364 from Alaska to the Marine Lipid Ecology Laboratory at Oregon State University's Center for  
365 Marine Ecosystem and Resources Studies facility at the Hatfield Marine Science Center in  
366 Newport, OR, USA. Samples were stored at -80 °C and dissected within 6 months of capture. At  
367 the laboratory, all fish were measured to SL ( $\pm 0.1$  mm) and wet weight (WWT;  $\pm 0.0001$  g). All  
368 of the fish from the Chukchi Sea and 18 of the 30 fish from the GOA were used in the  
369 biochemical analysis. For these fish, intestinal tracts were removed and fish were washed with  
370 filtered seawater, blotted dry, and heads were removed for later otolith analysis. Fish were  
371 bisected along a dorsal ventral plane and half of the tissues were frozen for other analyses while  
372 half of the body tissues were placed in chloroform under nitrogen until extraction, within 1  
373 month of sampling.

374

375 Cod tissues were homogenized in 2:1 chloroform:methanol according to Parrish (1987) using a  
376 modified Folch procedure (Folch et al., 1956). Lipid extracts were derivatized through acid  
377 transesterification using a Hilditch Reagent, H<sub>2</sub>SO<sub>4</sub> in MeOH as described in Budge et al.  
378 (2006). Fatty acid methyl esters (FAMEs) formed in the reaction were analyzed on an HP 7890  
379 GC FID equipped with an autosampler and a DB wax+ GC column (Agilent Technologies, Inc.).  
380 The column length was 30 m with an internal diameter of 0.25 mm and a film thickness of 0.25  
381  $\mu$ m. The column temperature profile was as follows: 65 °C for 0.5 min, hold at 195 °C for  
382 15 min after ramping at 40 °C min<sup>-1</sup>, and hold at 220 °C for 1 min after ramping at 2 °C  
383 min<sup>-1</sup>. The carrier gas was hydrogen, flowing at a rate of 2 ml. min<sup>-1</sup>. Injector temperature was  
384 set at 250 °C and the detector temperature was constant at 250 °C. Peaks were identified using  
385 retention times based upon standards purchased from Supelco (BAME, PUFA 1, 37 component  
386 FAME, PUFA 3). Nu-Check Prep GLC 487 quantitative FA mixed standard was used to develop  
387 correction factors for individual FAs. Chromatograms were integrated using Chem Station  
388 (version A.01.02, Agilent). Total fatty acids were expressed in relation to fish WWT (g) to give  
389 an index of total acyl lipid storage.

390

391    Regressions between  $\log_{10}$  (SL) and  $\log_{10}$  (WWT) as well as  $\log_{10}$  (SL) and fatty acid  
392    concentrations (mg/g) were run as indices of morphometric- and lipid-based condition,  
393    respectively. Residuals from these relationships were compared between the GOA and ECS  
394    using a two-sample t-test.

395

396

397    **3. Results**

398

399    *3.1. Bering Strait temperature and transport*

400

401    Monthly-averaged near-bottom water temperatures in the Bering Strait in April were consistently  
402    cold throughout the time series, ranging from -1.58 to -1.89 °C (Fig. 3). Both temperature and  
403    inter-annual temperature variability increased in May, although May near-bottom temperatures  
404    remained below 0 °C for all years except 2017 (Fig. 3). Inter-annual temperature variability  
405    increased in June, with monthly averaged temperatures ranging from -0.83 to 2.95 °C. Any  
406    larvae transported northward through the Bering Strait in June 2012 would have been exposed to  
407    temperatures of about 0.5 – 1.5 °C (near bottom temperature of -0.5 °C plus 1 – 2 °C warmer in  
408    the water column). June near-bottom temperatures from 2015 to 2019 were among the highest in  
409    the time series. Larvae in June would have been exposed to temperatures of ~ 4 – 5 °C in 2017  
410    and ~2.3 – 3.3 °C in 2019.

411

412    Net northward transport from the NBS to the Chukchi Sea averaged over the larval period  
413    (April-June) increased over the past two decades from ~ 0.7 Sv (1Sv= $10^6 m^3 s^{-1}$ ) in 2000 to ~ 1.5  
414    Sv in 2017, the record maximum (Fig. 3).

415

416    *3.2. Larval distributions and temperatures*

417

418 Pacific cod larvae were present in the NBS near the Bering Strait in June 2017 at sites where  
419 water temperatures ranged from 2.6 to 3.7 °C on average (Fig. 4A) and in the northeastern  
420 Chukchi Sea in August 2018 where temperatures ranged from 1.3 to 2.5 °C (Fig. 4B). Larvae  
421 were absent in August 2017 and June 2018. Larvae caught in June 2017 were 8 – 14 mm SL,  
422 and the single larva caught in the northeastern Chukchi Sea was 8 mm SL.

423

424 *3.3. Age-0 cod in the ECS*

425

426 *3.3.1 Distribution and temperature*

427

428 Age-0 cod ranging in length from 45 to 94 mm SL (49 – 103 mm FL) were caught in the surface,  
429 midwater, and small-mesh benthic trawls in 2017 and 2019 in the ECS (Fig. 5). Age-0 cod were  
430 absent from the ECS in 2012; however, the only trawl type deployed in 2012 capable of catching  
431 small juveniles was the small-mesh benthic trawl. Detailed results are reported by trawl type.

432

433 In 2017, age-0 cod were present in the surface trawl catch at one station near Point Lay, and at  
434 several stations from the vicinity of Cape Lisburne to the southern end of the survey area (Fig.  
435 6A). In 2019, age-0 cod were caught only at the most northerly surface trawl station, between  
436 Point Lay and Cape Lisburne (Fig. 6B). Surface temperatures where age-0 cod were present in  
437 the surface trawl ranged from 5.0 – 6.2 °C in 2017, and surface temperature was 9.3 °C at the one  
438 station with age-0 presence in 2019. In both years, age-0 cod were present at stations near the  
439 median temperatures of all available surface trawl stations (Fig. 7). Bottom temperatures at  
440 stations where age-0 cod were caught in the surface trawl were slightly colder than surface  
441 temperatures (range = 4.1 – 5.6 °C) in 2017; however, bottom temperature was slightly warmer  
442 at the one station with age-0 presence in 2019 (Fig. 7).

443

444 In 2017, age-0 cod were present in the midwater trawl catch at stations from offshore of Point  
445 Lay south to the vicinity of Point Hope (Fig. 6C). In 2019, the observed distribution of age-0  
446 cod shifted north, with absences near Point Hope and Cape Lisburne, and presences north of  
447 Point Lay (Fig. 6D). Age-0 cod were present in the midwater trawl catch at stations with gear  
448 temperatures ranging from 4.6 – 6.7 °C and 2.3 – 10.0 °C in 2017 and 2019, respectively. Age-0

449 cod were almost exclusively caught in the midwater trawl at locations warmer than the median  
450 temperature of all midwater trawls (Fig. 7). At stations with age-0 presence, bottom  
451 temperatures were colder than the midwater gear temperatures, however, generally by less than 1  
452 °C (Fig. 7).

453

454 In 2012, age-0 cod were absent at all 40 stations sampled with the small-mesh benthic trawl (Fig.  
455 8A). In 2017, age-0 cod were present at 11 of 59 sampled stations, from offshore of Point Lay  
456 south to the southern edge of the sampling grid, including at 7 stations which had been sampled  
457 in 2012 (Fig. 8B). CPUEs at stations with fish presence in 2017 ranged from about 1350 –  
458 46,000 age-0s  $\text{km}^{-2}$ . In 2019, age-0 cod were present at 4 of 49 sampled stations, at CPUEs  
459 ranging from about 1700 – 7,100 age-0s  $\text{km}^{-2}$  (Fig. 8C). Age-0 cod were present in bottom  
460 temperatures ranging from 2.5 to 5.9 °C and 4.4 to 9.5 °C in 2017 and 2019, respectively.  
461 Station bottom temperatures during the 2017 and 2019 surveys ranged from below 0 °C in the  
462 northern part of the survey area to near or exceeding 10 °C in the inshore and southern part of the  
463 survey grids each year (Figs. 7 and 8). In the mooring data, June temperatures in the Bering  
464 Strait were colder in 2012 than in 2017 and 2019 (Fig. 3), and summer bottom temperatures were  
465 colder in the northern and offshore stations in 2012 than in 2017 and 2019 (Fig. 8). However,  
466 bottom temperatures at the southern and nearshore stations with age-0 cod presence in 2017 were  
467 generally warmer in 2012 than in 2017 (Fig. 8), and the range of available bottom temperatures  
468 surveyed by the bottom trawl in 2012 included the temperature range where age-0 cod were  
469 present in 2012 and 2019 (Fig. 7).

470

### 471 *3.3.2 Age-0 catch rates by depth*

472

473 Catch rates of age-0 cod by bottom depth varied by gear type in a similar pattern each year (Fig.  
474 9). The highest catch rates in the small-mesh benthic trawl were between 20 and 29 m and 30 to  
475 39 m bottom depth in 2017 and 2019, respectively, and in both years, catch rates were lower at  
476 depths greater than 40 m. In contrast, the highest catch rates in the midwater trawl were at  
477 greater bottom depths; between 40 and 59 m in 2017, and between 40 and 49 m in 2019. Catch  
478 rates in the surface trawl were highest in the 20 – 29 m bottom depth range, however, the surface

479 trawl was fished only at nearshore station and most surface trawls occurred over relatively  
480 shallow bottom depths.

481

482 *3.3.3. Age-0 diet by gear type*

483

484 Age-0 cod collected in all the surface, midwater, and small-mesh benthic trawls in 2017  
485 consumed a variety of prey taxa (Table 2, Fig. 10). Copepods were the most important  
486 (importance measured by % PSIRI) prey taxa for fish collected in all three gears (Fig. 10), with  
487 benthic-caught fish primarily consuming the epibenthic calanoid copepod species *Eurytemora*  
488 *herdmani* (PSIRI = 13.55%), while the surface- and midwater-caught fish primarily consumed  
489 various pelagic calanoid copepods (PSIRI = 70.54% and 26.40% for the surface and midwater  
490 trawls, respectively). The benthic-caught age-0 cod also consumed near equal percentages of a  
491 taxonomically-broad suite of prey items; including benthic prey taxa such as polychaetes,  
492 benthic amphipods, benthic decapods, and benthic cnidarians (anemones). The most important  
493 prey taxa for the pelagic-caught fish, after calanoid copepods, were decapods for the surface  
494 trawl-caught fish, and fish (unidentified Gadidae) and decapods for the midwater trawl-caught  
495 fish. The niche overlap indices for diets of age-0 cod caught in the benthic and pelagic trawls  
496 were low (benthic and midwater = 0.01, benthic and surface = 0.08), indicating little overlap in  
497 diets, although only the difference between the benthic and midwater values was statistically  
498 significant ( $P = 0.0175$ ), and there was somewhat higher overlap for the diets of the two pelagic  
499 trawls (surface and midwater = 0.2). Grouped by general habitat classifications, prey of the  
500 pelagic-caught fish were almost entirely pelagic or unknown, while the benthic-caught fish also  
501 consumed endo-, epi-, and hyper-benthic prey (Fig. 10).

502

503 *3.3.4. Age-0 condition: ECS versus GOA*

504

505 Two measures of condition, length-weight residuals and total fatty acid concentration, were  
506 compared between age-0 cod from the ECS and the nearshore GOA (Fig. 11). Age-0 cod from  
507 the GOA were longer and heavier than age-0 cod from the ECS even though they were collected  
508 in August compared to fish collected in September in the ECS. Fish from the GOA in August  
509 averaged ~80 mm SL and weighed 5 grams while fish from the ECS were ~67 mm SL and 3

510 grams. The residuals from the log-length and log-weight relationship demonstrated that fish  
511 from the GOA were heavier at a given length than fish from the ECS. Total fatty acids per  
512 WWT did not increase with length ( $r^2=0.02$ ). The residuals from the length to total fatty acids  
513 relationship showed that fish from the GOA had a higher concentration of fatty acids per WWT  
514 at a given length than fish from the ECS ( $p<0.001$ ). Both morphometric condition and that based  
515 on length-lipid concentration showed that fish from the GOA were in better condition at the end  
516 of the summer/fall than fish from the ECS.

517

518 *3.4. Age-1 and adults*

519

520 *3.4.1. WCS distributions and temperatures*

521

522 Cod were absent from the trawl sampling in the WCS in 2010 (Fig. 12). Both age-1 juveniles  
523 and adults were present in the WCS in 2018 and 2019 (Fig. 12). In both years, there was a  
524 length mode of juveniles (assumed to be age-1), from 130 – 180 and 100 – 230 mm FL in 2018  
525 and 2019, respectively, and larger adult-sized fish, from 660 – 780 and 550 – 750 mm FL in  
526 2018 and 2019, respectively (Fig. 5). Although there were two juveniles larger than 180 mm FL  
527 in 2019, there was little evidence of a new length mode of fish greater than 180 mm FL in 2019,  
528 and these two fish are also assumed to be age-1. CPUEs of age-1 cod at stations where they  
529 were present ranged from 23 – 133 and 9 – 95 fish  $\text{km}^{-2}$  in 2018 and 2019, respectively. A total  
530 of five adult cod were caught in 2018 and four in 2019. Estimated densities of adult-sized fish,  
531 where they were present, ranged from 12 – 24 and 10 – 22 fish  $\text{km}^{-2}$  in 2018 and 2019,  
532 respectively. CPUEs by weight for the age-1 and adults combined at stations where they were  
533 present, and in units for comparison with previous reports in the Bering Sea were 0.004 – 1.54  
534 and 0.0018 – 1.073  $\text{kg ha}^{-1}$  in 2018 and 2019, respectively.

535

536 Bottom temperatures where cod were present ranged from 1.9 – 4.7  $^{\circ}\text{C}$  and 3.3 – 4.7  $^{\circ}\text{C}$  for age-  
537 1s and adults, respectively in 2018 (Fig. 7), and from 1.4 – 4.9  $^{\circ}\text{C}$  and 0.4 – 4.9  $^{\circ}\text{C}$  for age-1s  
538 and adults, respectively in 2019 (Fig. 7). Although 2010 was a year with cold temperatures in  
539 the Bering Strait in June (Fig. 3), much of the sampled area in the southwestern Chukchi Sea in

540 2010 was within the bottom temperature range that contained cod in 2018 and 2019 (Figs. 7 and  
541 12).

542

543 *3.4.2. ECS distributions and temperatures*

544

545 Age-1 cod were present at three stations in 2012 in the large-mesh benthic trawl in the ECS (Fig.  
546 12). These age-1s ranged in size from 100 – 130 mm FL (Fig. 5), and CPUE from 44 – 106 fish  
547 km<sup>-2</sup>. Adults were absent at all stations in the ECS in 2012.

548

549 Bottom temperatures where age-1 cod were present in 2012 in the large-mesh benthic trawl  
550 sampling ranged from 1.3 – 9.9 °C (Fig. 7). Similar to 2010 in the WCS, 2012 was a year with  
551 cold June water temperature in the Bering Strait, (Fig. 3); however, much of the sampled area in  
552 the shallow southeastern Chukchi Sea in 2012 was as warm as or warmer than areas with age-1  
553 and adult presence in the WCS in 2018 and 2019 (Figs. 7 and 12).

554

555 In addition to the age-0 cod caught in the midwater trawl (section 3.3.1), one much larger (64.7  
556 cm FL) adult was caught using the midwater trawl during a tow that fished near the benthos at  
557 the southern end of the survey area in 2019 (Fig. 6).

558

559 **4. Discussion**

560

561 Age-0 cod were absent from the Chukchi Sea in a cold year (2012) and present in recent warm  
562 years (2017 and 2019) of this study. Increased temperatures could explain the age-0 cod  
563 presence in the Chukchi Sea though several mechanisms, including increased temperature-  
564 dependent larval growth and survival near the Bering Strait, increased springtime transport  
565 through the Bering Strait, and/or more larvae arriving at the Bering Strait due to changes in the  
566 EBS.

567

568 Warmer springtime temperatures in the NBS possibly led to age-0 cod presence in the Chukchi  
569 Sea in recent warm years. In 2012, a cold year, larvae near the Bering Strait in June would have  
570 been exposed to cold (estimated ~0.5 to 1.5 °C) water. The growth of cod larvae is highly

571 temperature-dependent and survival in the laboratory is reduced at 2 °C (Hurst et al., 2010).  
572 Unfed yolksac larvae can survive lower temperatures (e.g., 0 °C), but growth and development  
573 rates are very slow (Laurel et al., 2008) and hatch success is poor (Laurel and Rogers, 2020). It  
574 is therefore unlikely that eggs and larvae have historically occupied these Arctic regions where  
575 juveniles have recently been observed. We note that larvae were observed near the Bering Strait  
576 in June in a warm year (2017), which would have likely contributed to better larval survival and  
577 increased presence of age-0 fish in the Chukchi Sea in 2017 and 2019. Warm June temperatures  
578 at the Bering Strait also occurred in a previous year (2007) when age-0-sized cod were observed  
579 in the Chukchi Sea (Mecklenburg et al., 2011).

580

581 The observed higher springtime transport in recent warm years could also contribute to age-0  
582 presence in the Chukchi Sea. Larvae were present in the NBS in June 2017, and the observed  
583 increased northward springtime transport in 2017 and other recent years could have advected  
584 them into the Chukchi Sea. Walleye pollock (*Gadus chalcogrammus*), a pelagic boreal species  
585 has also been observed in the Chukchi Sea in recent years of high transport (Orlov et al., 2019,  
586 2020, 2021; Levine et al., this issue; Antonov et al., this issue; Emelyanova et al., this issue).  
587 Similar trends have also been noted in pelagic forage fishes in the same years (Baker et al.,  
588 2022b).

589

590 Age-0 cod presence in the Chukchi Sea could also be due to a supply of larvae from the Bering  
591 Sea reaching the Bering Strait in recent years. Pacific cod spawning in the EBS occurred from  
592 March through April in 2005 and 2006 as far north as the shelf break east of St. Matthew Island  
593 (Neidetcker et al., 2014). Larvae originating from this location could be transported by the  
594 Bering Slope Current (Stabeno et al., 2016), and could reach the north side of St. Lawrence  
595 Island in about 4 months (Fig. 12 in Stabeno et al., 2016). Given size at hatch of about 5 mm  
596 (Laurel et al., 2008), growth rates of about 0.5 – 0.1 mm/day for pre-flexion larvae estimated  
597 from laboratory experiments at temperatures of 1-6 °C (Hurst et al., 2010), and four months of  
598 larval transport, these larvae may have reached north of St. Lawrence Island at a size range of  
599 about 11 – 17 mm, which is similar to the observed larval size of about 8 – 14 mm in this area in  
600 June 2017. However, even if the larvae were spawned in March and traveled as fast as historical  
601 currents, with an estimated 20 – 30 day egg incubation period (Laurel et al. 2008) and four

602 months in the Bering Slope Current, they would not arrive until August. It seems likely that if  
603 the larvae observed near the Bering Strait were from the Bering Sea, they either spawned earlier  
604 than previous reports, were spawned north of previous reports, or were transported by increased  
605 current speeds. Another possibility is that changes due to warmer temperatures in the EBS or  
606 NBS caused successful larval delivery to the Chukchi Sea by other mechanisms (or a  
607 combination of mechanisms), such as improving egg survival (Laurel and Rogers, 2020), or  
608 improving larval prey fields (Laurel et al. 2021). It seems especially unlikely that the 8mm SL  
609 larvae observed in the northeastern Chukchi Sea in August 2018 was spawned as far south as the  
610 known spawning areas in the EBS, implying spawning must occur north of previously  
611 documented spawning areas.

612

613 Age-0 fish from other temperate areas have expanded their nursery habitat in recent years in  
614 response to increased larval transport or warmer temperatures. Age-0 nursery areas for Atlantic  
615 cod, *Gadus morhua*, shifted northward in the North Sea during years with increased northward  
616 winds during the larval period and warm ocean temperatures (Rindorf and Lewy, 2006). In the  
617 Barents Sea, age-0 fish from several species including Atlantic cod expanded their geographical  
618 habitat in an unusually warm year, and were also larger than the long-term average (Eriksen et  
619 al., 2020).

620

621 Recent adult cod presence in the Chukchi Sea in 2018, and 2019, and absence in 2010 and 2012  
622 may also be related to warmer temperatures in the NBS and Bering Strait in the spring. Adult  
623 cod avoid the cold pool in the EBS (Kotwicki and Lauth, 2013), and the movement of adult cod  
624 into the NBS between 2010 and 2017 coincides with a reduction in the cold pool in the NBS  
625 (Stevenson and Lauth, 2019; Baker, 2021). Preliminary tagging data suggests that adult cod  
626 move from the EBS into the NBS after sea ice has retreated northward in the spring and summer  
627 (J. Nielsen, Kingfisher Marine Research, and S. McDermott, AFSC, personal communication,  
628 February 23, 2021). Based on these tagging data, it is possible that the early ice retreat in both  
629 2018 and 2019 (Stabeno and Bell, 2019; Siddon et al., 2020) allowed the fish to reach the Bering  
630 Strait early enough in the year to continue northward into the Chukchi Sea by August.

631 Increased temperatures on the Chukchi Sea shelf in the summer are less likely to be the cause of  
632 the increased cod presence in recent years than temperatures in the spring in the NBS and Bering  
633 Strait. Annual summer water temperatures on the ECS shelf have increased since 2014  
634 (Danielson et al., 2020); however, even in the earlier and colder years of this study (2010 and  
635 2012), when age-0 and adult cod were absent, some of the sampled habitat was warm enough  
636 (based on observed presence in 2017 and 2019) to support cod. The entire water column of the  
637 relatively shallow southeastern Chukchi Sea warms in the summer due to both advection and  
638 wind mixing (Grebmeier et al., 2015; Woodgate et al., 2015), and the nearshore areas are in the  
639 Alaska Coastal Current, which is typically warmer than the rest of the shelf from June to at least  
640 October (Woodgate et al., 2010; Woodgate, 2018). Even in the cold years of this study, the  
641 Chukchi Sea appeared warm enough during the summer for age-0 and adult cod to be present.

642

643 Age-0 cod in the Chukchi Sea use both pelagic and demersal habitats, which is similar to their  
644 habitat use in the EBS (Hurst et al., 2015). Diet differences between age-0 fish in pelagic and  
645 benthic habitats imply that age-0 cod remain at a habitat type for, at minimum, a daily feeding  
646 cycle. It should be noted that the age-0 fish from the benthic and midwater trawls that had  
647 significantly different diets were from different geographic areas, and prey field differences  
648 could be responsible for the observed diet differences. Nevertheless, the benthic-caught fish ate  
649 predominately benthic prey items, and the midwater-caught fish ate predominately pelagic prey  
650 items. In the EBS, age-0 cod are pelagic over deeper water and benthic in nearshore shallower  
651 areas, which is possibly related to temperature; the juveniles occupy demersal habitat in inshore  
652 areas with relatively warm bottom temperatures, and occupy warmer pelagic habitat when they  
653 are over deep water with cold benthic habitat (Hurst et al., 2015). Age-0 habitat use in the  
654 Chukchi Sea fits the same general pattern, with the addition that some fish use the pelagic  
655 nearshore habitat. This may mean that, in addition to temperature, fish in nearshore areas may  
656 select their depth in the water column based on some other factor, such as localized prey fields,  
657 or salinity.

658

659 The absence of a length mode of juveniles in the ECS in 2019 larger than that observed in 2018  
660 suggests that the age-1 cod in 2018 may not have survived to age-2. All previous reports of cod

661 from the ECS have been of juvenile-sized fish (Barber et al., 1997; Mecklenburg et al., 2011,  
662 2018; Logerwell et al., 2015). It seems that cod juveniles in the Chukchi Sea either suffer high  
663 mortality rates, or migrate to other areas prior to adulthood.

664

665 The juveniles in the Chukchi Sea may not be able to successfully grow and provision themselves  
666 well enough to survive to become adults. Condition (lipid densities and weight at length) was  
667 lower in the 2017 age-0 cod from the Chukchi Sea than those from the GOA. Lipid densities  
668 were also lower in the age-0 cod in this study than in co-occurring gadids in the Chukchi Sea in  
669 2017 (Copeman et al., this issue). The age-0 cod in the Chukchi Sea in this study inhabited  
670 colder waters (2017, 2 – 6 °C) than age-0 cod during the summer in the EBS (~6 – 12 °C; Hurst  
671 et al. 2015; Hurst et al., 2018) and the Gulf of Alaska (~8 – 11 °C; Abookire et al., 2007; Laurel  
672 et al., 2016a). Further, summer temperatures in the Chukchi Sea are lower than those modeled  
673 for maximum growth (~11.0 to 11.5 °C) and maximum lipid accumulation (10 °C) in controlled  
674 laboratory growth experiments (Laurel et al., 2016b; Hurst et al., 2010; Hurst et al., 2012b;  
675 Copeman et al., 2017). Thus, temperatures during the summer in the Chukchi Sea may be too  
676 low for juvenile cod to achieve sufficient size or energetic thresholds to survive long, low-  
677 productive Arctic winters. Future monitoring of age-0 cod in the Chukchi Sea should include  
678 both growth and condition metrics.

679

680 The abundance of age-0 cod in the ECS is potentially high enough to be ecologically meaningful  
681 if they could survive to adulthood. Only small numbers of juveniles were caught with our small-  
682 mesh benthic trawl, but catch rates in the nearshore areas of the ECS were similar to catch rates  
683 in EBS nursery areas using a similar trawl (Hurst et al., 2015; Table 3); however, they were one  
684 order of magnitude lower than catch rates in GOA nursery areas in high-abundance years (Table  
685 3). An abundance estimate based on our limited number of stations in 2017 should be viewed  
686 with caution, but it provides a general sense of the potential number of cod juveniles in the ECS  
687 in 2017. Benthic trawl catch rates in the ECS were highest from 67 °N to 69 °N inshore of 40 m  
688 bottom depth, an area of approximately 14,500 km<sup>2</sup>. Mean catch rates here were approximately  
689 12,000 fish per km<sup>2</sup>. Assuming the trawl caught all of the fish in the towpath, and our sampling  
690 was representative of the area, mean density multiplied by area would equal approximately 174  
691 million fish present in 2017 within the area from 67°N to 69 °N inshore of 40 m bottom depth.

692 Alternatively, estimating abundance from the mean catch rates and area of the entire survey area  
693 south of 70 °N provides an estimate of approximately 150 million fish. Even if these estimates  
694 are high, there were tens of millions of age-0 cod in the ECS in 2017. If these or future age-0  
695 cod survive to adulthood, and either remain in the Chukchi Sea or successfully migrate to other  
696 spawning areas, it would mean a northward expansion of cod nursery area habitat, which is one  
697 type of poleward distribution shift that has been documented in marine fish due to ocean  
698 warming (Rindorf and Lewy, 2006; Nye et al., 2009; Figueira and Booth, 2010).

699

700 Densities of adult and age-1 cod estimated in this study are very low compared to reports from  
701 the Bering Sea. CPUE by weight for combined age-1 and adult fish at stations where fish were  
702 present ranged from 0.0018 to 1.5 kg/ha in this study. Even in 2010, when cod were considered  
703 “almost completely absent” from the NBS, cod CPUE values at some stations were greater than  
704 10 kg/ha, and CPUE values in the EBS may be greater than 50 kg/ha (Stevenson and Lauth,  
705 2019). These catch rate comparisons are between the DT 27.1/24.4 bottom trawl used in the  
706 WCS in this study, and the 83-112 trawl used in the NBS and EBS, however, catchability  
707 differences seem unlikely to cause the much lower CPUE values observed in the WCS in this  
708 study. In the western Bering Sea (WBS), cod densities have been reported from surveys using  
709 the same large-mesh trawl as used in the WCS in this study (Shuntov et al., 2014). Cod  
710 densities in the WBS were summarized by statistical regions and depth range over the time  
711 period from 2006 through 2012. In regions and depth ranges where cod are present in the WBS,  
712 reported mean densities ranged from 235 to 7,631 kg ha-1, however, these densities assumed that  
713 only 40% of fish encountering the trawl were retained by the trawl (Shuntov et al., 2014). To  
714 make these numbers comparable to the CPUE units used in this study (100% of fish retained),  
715 the values reported by Shuntov et al. (2014) were multiplied by 0.4. These converted mean  
716 CPUE values are 94 to 3,052 kg ha-1 and are much higher than even the peak CPUE values  
717 observed in the Chukchi Sea in this study.

718

719 Although estimated densities were low, the adult cod observed in the WCS (and the one adult  
720 caught in the ECS) in this study are among the first known (to us) adult Pacific cod caught in the  
721 Chukchi Sea. The only other is an adult Pacific cod caught in a subsistence fishing net near  
722 Point Hope, AK, in August 2020, and reported as a novel occurrence to the Alaska Arctic

723 Observatory and Knowledge Hub (AAOKH; Donna Hauser, International Arctic Research  
724 Center, University of Alaska Fairbanks, personal communication, January 29, 2021). The lack  
725 of observed intermediate size ranges of fish between age-1 and adults makes it likely that there is  
726 not a self-recruiting cod population in the Chukchi Sea, and that the adults likely moved  
727 northward from the Bering Sea, similar to how adults moved into the NBS from the EBS  
728 (Stevenson and Lauth, 2019). Only one adult was caught in the ECS during this study, but it was  
729 caught when the pelagic midwater trawl was incidentally fished on the bottom while targeting a  
730 deep acoustic layer. It is possible that adults and larger juveniles were also present at other  
731 sampling stations in the ECS in the recent warm years of this study, but avoided the pelagic and  
732 small-mesh benthic sampling gear. Adult cod are primarily benthic (Fadeev, 2005; Nichol et al.,  
733 2007) and would not be available to the pelagic trawls, and could also likely avoid the small-  
734 mesh benthic trawl due to the small mouth opening and slow fishing speeds (Itaya et al., 2007).  
735 Therefore, the presence of larger juvenile and adult cod in the ECS is unknown and will remain  
736 unknown until the area is surveyed across a range of habitats with gear suited to their capture  
737 (see Emelin et al., this issue).

738  
739 Differences in abundance and distribution between the ECS and WCS for all life stages would be  
740 interesting to study, but trawl type differences in recent years make this difficult. The ECS has  
741 been surveyed with trawls likely to catch age-0 fish, and the WCS has been surveyed with gear  
742 likely to catch larger juveniles and adults.

743  
744 The data presented here show that multiple life stages of Pacific cod were present in the Chukchi  
745 Sea in recent warm years, which suggests that the species is expanding into the Chukchi Sea by  
746 both recruitment of age-0 fish, and adult movement. However, the true extent of this range  
747 expansion, its potential to persist into the future, and the ultimate fate of individuals that move  
748 into the Chukchi Sea, are still unknown. Monitoring surveys designed to estimate abundances  
749 and condition of multiple life stages are required to better understand this poleward distribution  
750 shift, and to assess its impacts to the ecosystem.

751

## 752 **5. Conclusions**

753

754 Age-0 sized Pacific cod were present in the eastern Chukchi Sea during a recent warm period  
755 and absent during a previous cold period. Pacific cod larvae were present south of the Bering  
756 Strait during June in a recent warm year. Increased springtime water temperatures near the  
757 Bering Strait and increased springtime northward transport through the Bering Strait during the  
758 recent warm period may allow larvae from the northern Bering Sea to be transported through the  
759 Bering Strait, and thus the northern Bering Sea is a likely source of the age-0 Pacific cod  
760 observed in the Chukchi Sea.

761

762 Age-1 sized Pacific cod were present in the western Chukchi Sea during the recent warm period  
763 suggesting that some of the age-0 fish in the Chukchi Sea may survive the first winter. However,  
764 condition of the age-0 fish was much lower in the Chukchi Sea than in the Gulf of Alaska. Poor  
765 age-0 condition and absence of any juveniles older than age-1 suggest that Pacific cod are not  
766 currently surviving to adulthood in the Chukchi Sea. Collection gear deployed in the western  
767 Chukchi Sea may not retain age-0 fish, and collection gear deployed in the eastern Chukchi Sea  
768 may not retain age-1 or older demersal fish limiting comparisons between the two areas.

769

770 Adult Pacific cod were present in both the western and eastern Chukchi Sea during the recent  
771 warm period. Absence of intermediate-sized juveniles suggest that these adults moved into the  
772 Chukchi Sea from the Bering Sea.

773

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775

776

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778

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806

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Table 1. Summary of trawling effort and number and presumed life stage of Pacific cod caught during each survey used in this study.

| Year | Months      | Trawl type         | Mouth opening             | Max. mesh (mm) | Min. mesh (mm) | No. stations | Chukchi Sea Region | Raw number (presumed age) |
|------|-------------|--------------------|---------------------------|----------------|----------------|--------------|--------------------|---------------------------|
| 2010 | Sep.        | Large-mesh benthic | 16.2 m horiz.             | 80             | 10             | 38           | Western            | 0                         |
| 2012 | Aug. - Sep. | Large-mesh benthic | 17.0 m horiz.             | 100            | 31             | 71           | Eastern            | 4 (age-1)                 |
| 2012 | Aug. - Sep. | Small-mesh benthic | 2.1 m horiz.              | 7              | 4              | 40           | Eastern            | 0                         |
| 2017 | Aug. - Sep. | Surface            | 18 m horiz. X 24 m vert.  | 1620           | 12             | 17           | Eastern            | 64 (age-0)                |
| 2017 | Aug. - Sep. | Midwater           | 7.5 m horz. X 7.9 m vert. | 64             | 30             | 33           | Eastern            | 152 (age-0)               |
| 2017 | Aug. - Sep. | Small-mesh benthic | 2.1 m horiz.              | 7              | 4              | 60           | Eastern            | 43 (age-0)                |
| 2018 | Aug. - Sep. | Large-mesh benthic | 16.2 m horiz.             | 80             | 10             | 54           | Western            | 52 (age-1), 8 (adult)     |
| 2019 | Aug. - Sep. | Surface            | 18 m horiz. X 24 m vert.  | 1620           | 12             | 10           | Eastern            | 2 (age-0)                 |
| 2019 | Aug. - Sep. | Midwater           | 7.5 m horz. X 7.9 m vert. | 64             | 30             | 42           | Eastern            | 52 (age-0), 1 (adult)     |
| 2019 | Aug. - Sep. | Small-mesh benthic | 2.1 m horiz.              | 7              | 4              | 49           | Eastern            | 7 (age-0)                 |
| 2019 | August      | Large-mesh benthic | 16.2 m horiz.             | 80             | 10             | 79           | Western            | 51 (age-1), 4 (adult)     |

Table 2. Prey-specific relative index of importance (PSIRI) for prey taxa by trawl type for Pacific cod small juveniles collected in the eastern Chukchi Sea in 2017. Only prey items with PSIRI greater than 3 are listed.

| Trawl Type         | Prey Taxa               | Prey Group                              | PSIRI |
|--------------------|-------------------------|---|-------|
| Small-mesh benthic | Polychaeta              | Annelid worm                            | 13.57 |
| Small-mesh benthic | Eurytemora herdmani     | Calanoid copepods, <2.5 mm Total length | 13.55 |
| Small-mesh benthic | Nematoda parasite       | Unidentified                            | 10.55 |
| Small-mesh benthic | Euphausiidae juv/adult  | Euphausiids, j+a                        | 10.00 |
| Small-mesh benthic | Decapoda                | Decapoda                                | 8.83  |
| Small-mesh benthic | Cistenides spp.         | Annelid worm                            | 5.59  |
| Small-mesh benthic | Margarites spp.         | Gastropod                               | 4.41  |
| Small-mesh benthic | Argis spp.              | Carideans                               | 3.21  |
| Small-mesh benthic | Paguridae juv/adult     | Anomuran crab                           | 3.06  |
| Midwater           | Calanoida (<2.5 mm)     | Calanoid copepods, <2.5 mm Total length | 17.68 |
| Midwater           | Actinopterygii          | Fish                                    | 17.48 |
| Midwater           | Caridea                 | Carideans                               | 17.01 |
| Midwater           | Gadiformes              | Fish                                    | 12.89 |
| Midwater           | Cirripedia cypris       | Barnacle                                | 8.72  |
| Midwater           | Centropages abdominalis | Calanoid copepods, >2.5 mm Total length | 8.09  |
| Midwater           | Brachyura megalopa      | Brachyuran crab                         | 6.80  |
| Midwater           | Paguridae zoea          | Anomuran crab                           | 6.04  |
| Surface            | Centropages abdominalis | Calanoid copepods, >2.5 mm Total length | 31.41 |
| Surface            | Calanoida (<2.5 mm)     | Calanoid copepods, <2.5 mm Total length | 31.28 |
| Surface            | Decapoda                | Decapoda                                | 13.45 |
| Surface            | Crustacea               | Crustacean                              | 8.33  |
| Surface            | Pseudocalanus spp.      | Calanoid copepods, <2.5 mm Total length | 7.34  |
| Surface            | Brachyura megalopa      | Brachyuran crab                         | 5.73  |

Table 3. Comparison of Catch per Unit Effort (CPUE) in this and other studies from Alaskan waters using a similar 3-meter beam trawl based on the design of Gunderson and Ellis (1986). Where noted, the trawls were based on the modified design of Abookire and Rose (2005).

| Large Marine Ecosystem | Area                          | Year | Trawl Design               | Mean Pacific cod $\text{km}^{-2}$ | Reference            |
|------------------------|-------------------------------|------|----------------------------|-----------------------------------|----------------------|
| Chukchi Sea            | 20 - 29 m depth range         | 2017 | Abookire and Rose (2005)   | 6,200                             | This study           |
| Chukchi Sea            | 30 - 39 m depth range         | 2017 | Abookire and Rose (2005)   | 2,800                             | This study           |
| Chukchi Sea            | 20 - 29 m depth range         | 2019 | Abookire and Rose (2005)   | 0                                 | This study           |
| Chukchi Sea            | 30 - 39 m depth range         | 2019 | Abookire and Rose (2005)   | 1,800                             | This study           |
| Bering Sea             | Alaska Peninsula < 50 m depth | 2012 | Abookire and Rose (2005)   | 2,200                             | Hurst et al. 2015    |
| Gulf of Alaska         | Kachemak Bay                  | 1994 | Gunderson and Ellis (1986) | 100                               | Abookire et al. 2001 |
| Gulf of Alaska         | Kachemak Bay                  | 1995 | Gunderson and Ellis (1986) | 48,700                            | Abookire et al. 2001 |
| Gulf of Alaska         | Kachemak Bay                  | 1996 | Gunderson and Ellis (1986) | 0                                 | Abookire et al. 2001 |
| Gulf of Alaska         | Kachemak Bay                  | 1997 | Gunderson and Ellis (1986) | 50,300                            | Abookire et al. 2001 |
| Gulf of Alaska         | Kachemak Bay                  | 1998 | Gunderson and Ellis (1986) | 200                               | Abookire et al. 2001 |
| Gulf of Alaska         | Kachemak Bay                  | 1999 | Gunderson and Ellis (1986) | 600                               | Abookire et al. 2001 |

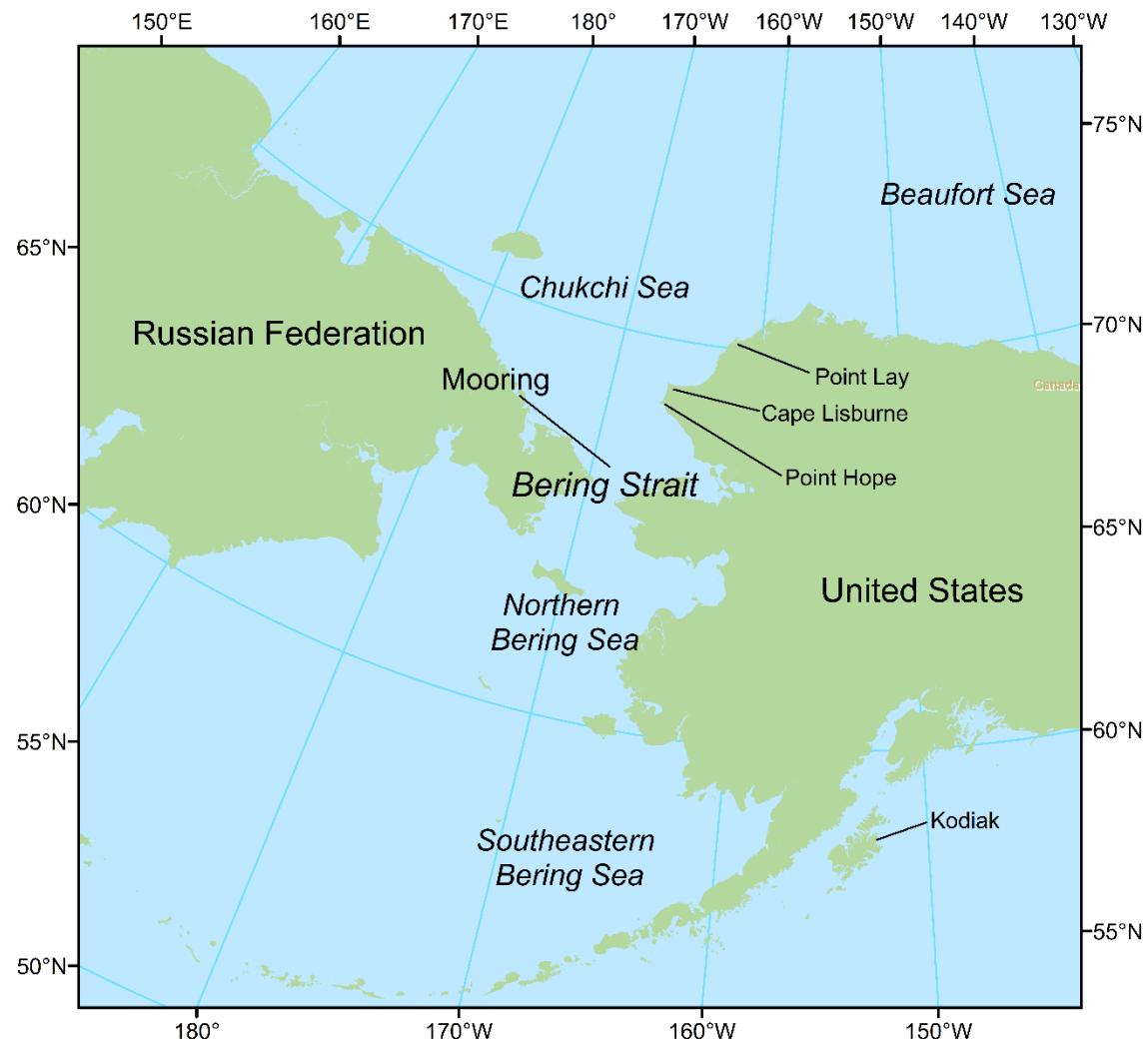


Figure 1. Map of the study area in the Chukchi and northern Bering Seas and the surrounding area.

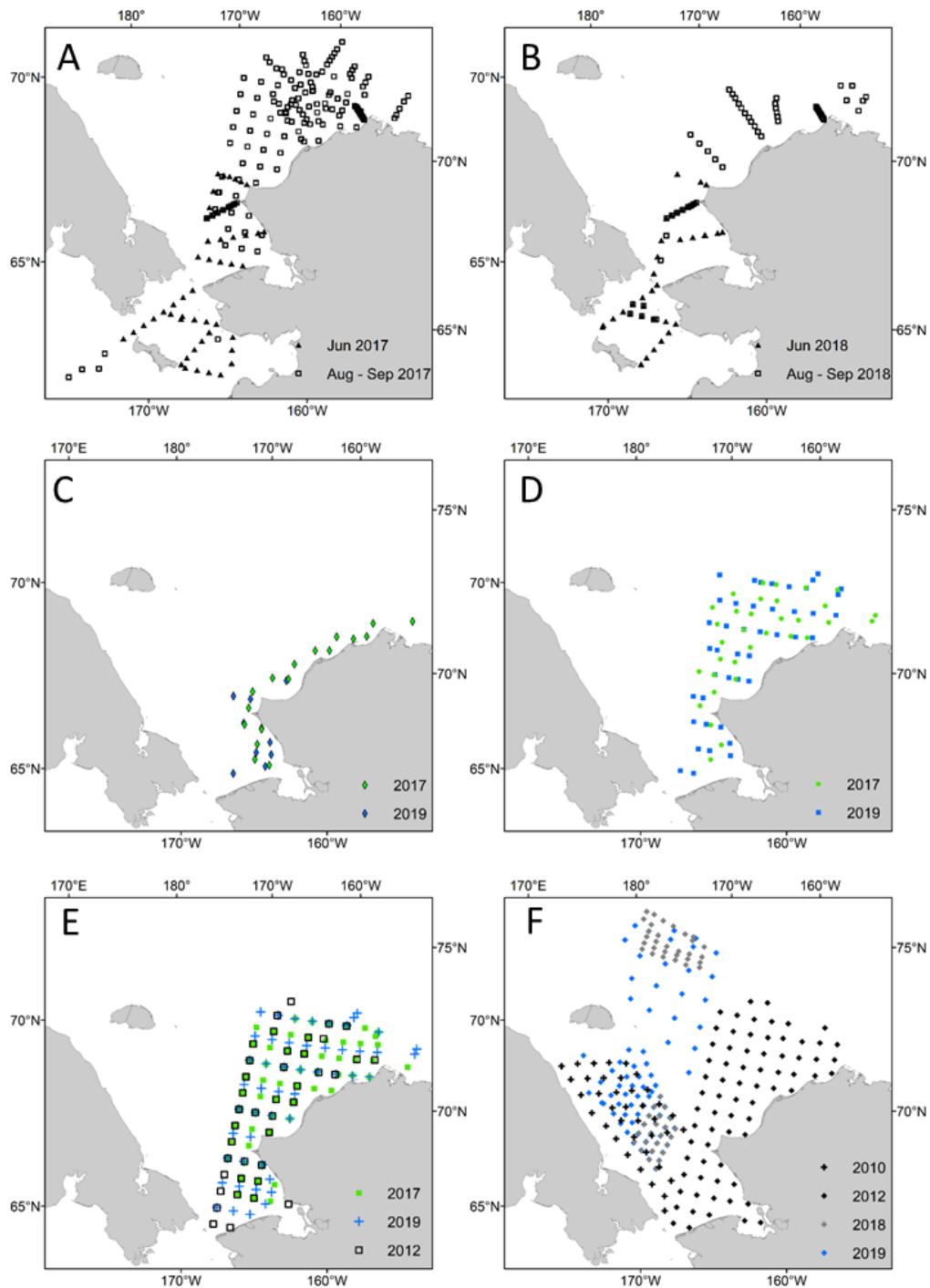


Figure 2. Maps of sampling effort by gear type and year for A) larval nets in 2017, B) larval nets in 2018, C) surface trawl, D) midwater trawl, E) small-mesh benthic trawl, and F) large-mesh benthic trawls.

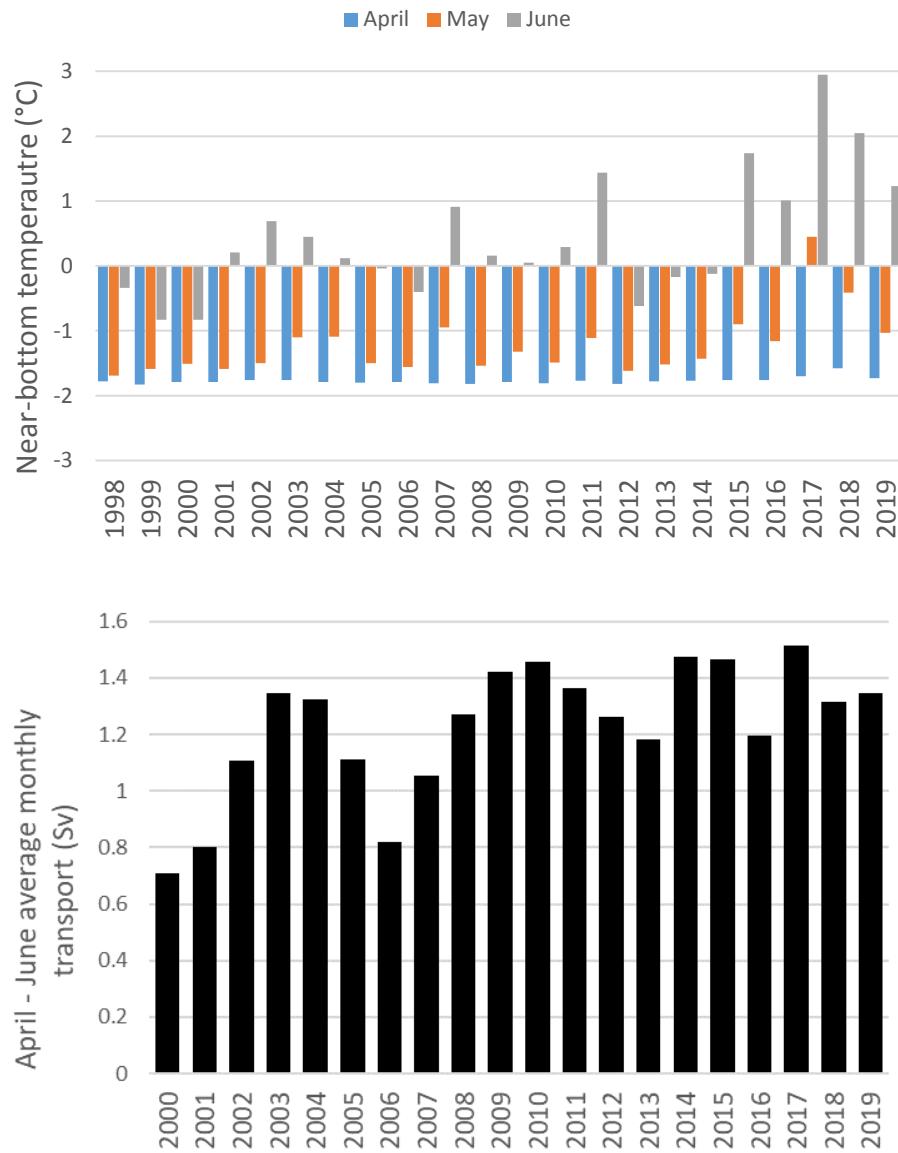


Figure 3. Environmental measurements from the A3 mooring north of the Bering Strait. Monthly-averaged near bottom temperatures in April – June from 1998 through 2019 (Top panel) and mean of average monthly northward transport from April – June in 2000 – 2019 (Bottom panel).

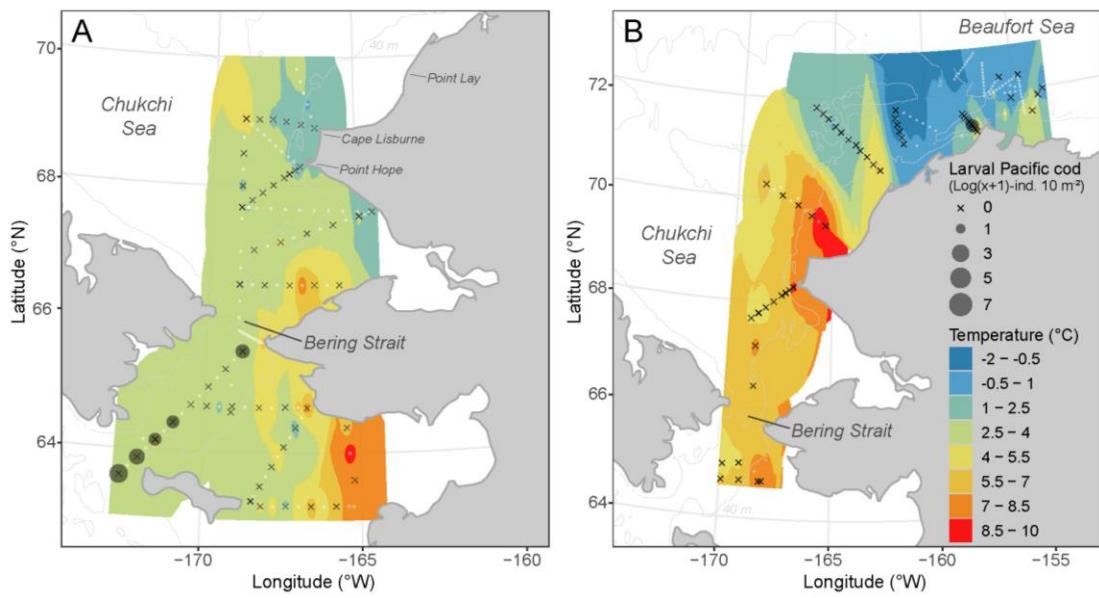


Figure 4. Maps of larval Pacific cod CPUE and interpolated mean water column temperatures in A) June 2017 and B) August 2018. CPUE is in units of  $\log[x+1]$ , where  $x$  is the number of individuals per  $10 \text{ m}^{-2}$ , and shown with black circles. Black x's indicate zero catch and white circles indicate CTD station locations.

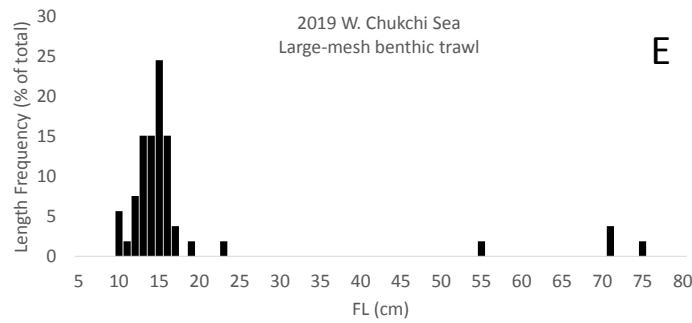
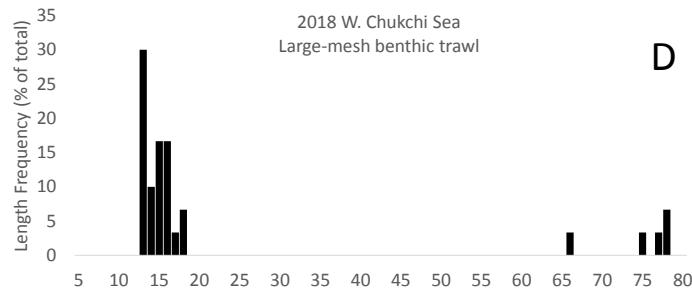
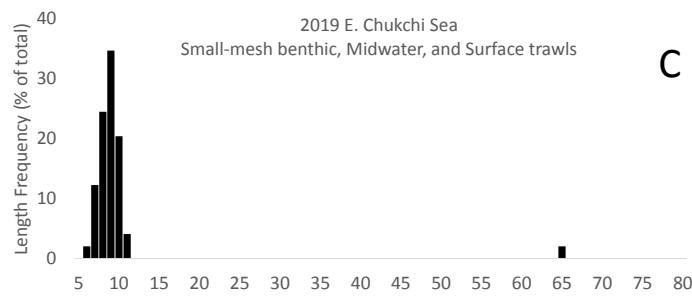
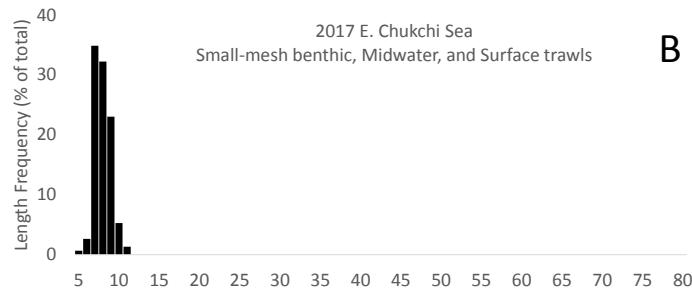
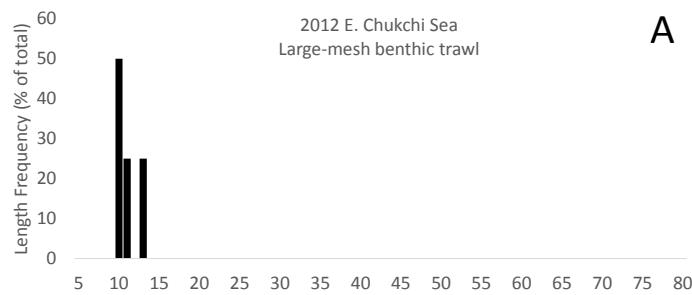


Figure 5. Length frequency distributions for Pacific cod caught in the Chukchi Sea in: A) 2012 in a large-mesh trawl in the eastern Chukchi Sea, B) 2017 in three trawls (small-mesh benthic trawl, midwater trawl, and surface trawl) in the eastern Chukchi Sea, C) 2019 in three trawls (small-mesh benthic, midwater, and surface) in the eastern Chukchi Sea, D) 2018 in a large-mesh benthic trawl in the western Chukchi Sea, and E) 2019 in a large-mesh-benthic trawl in the western Chukchi Sea.

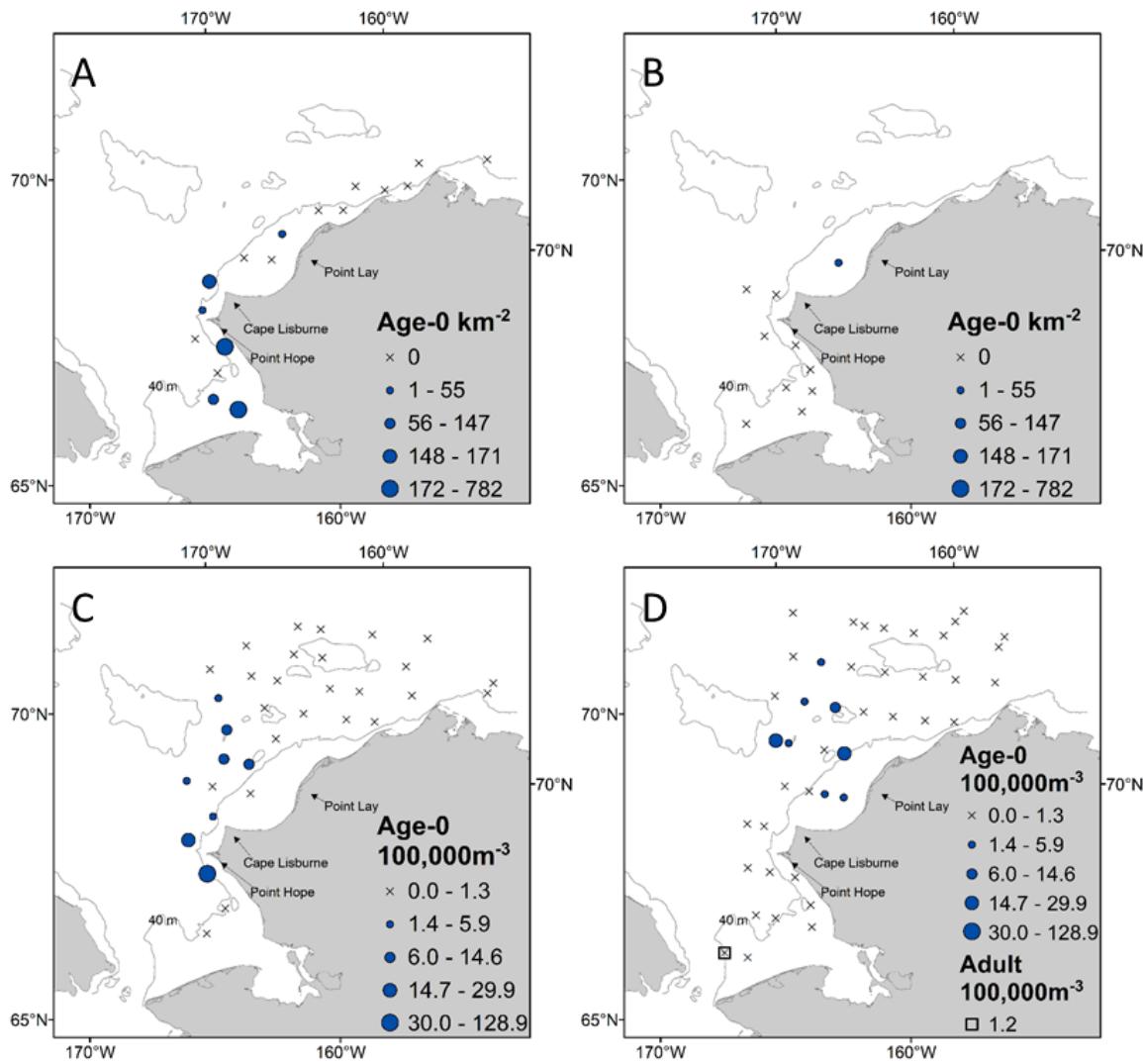


Figure 6. Distribution and catch per unit effort of age-0 Pacific cod caught in: A) the surface trawl in 2017; B) the surface trawl in 2019; C) the midwater trawl in 2017; D) the midwater trawl in 2019.

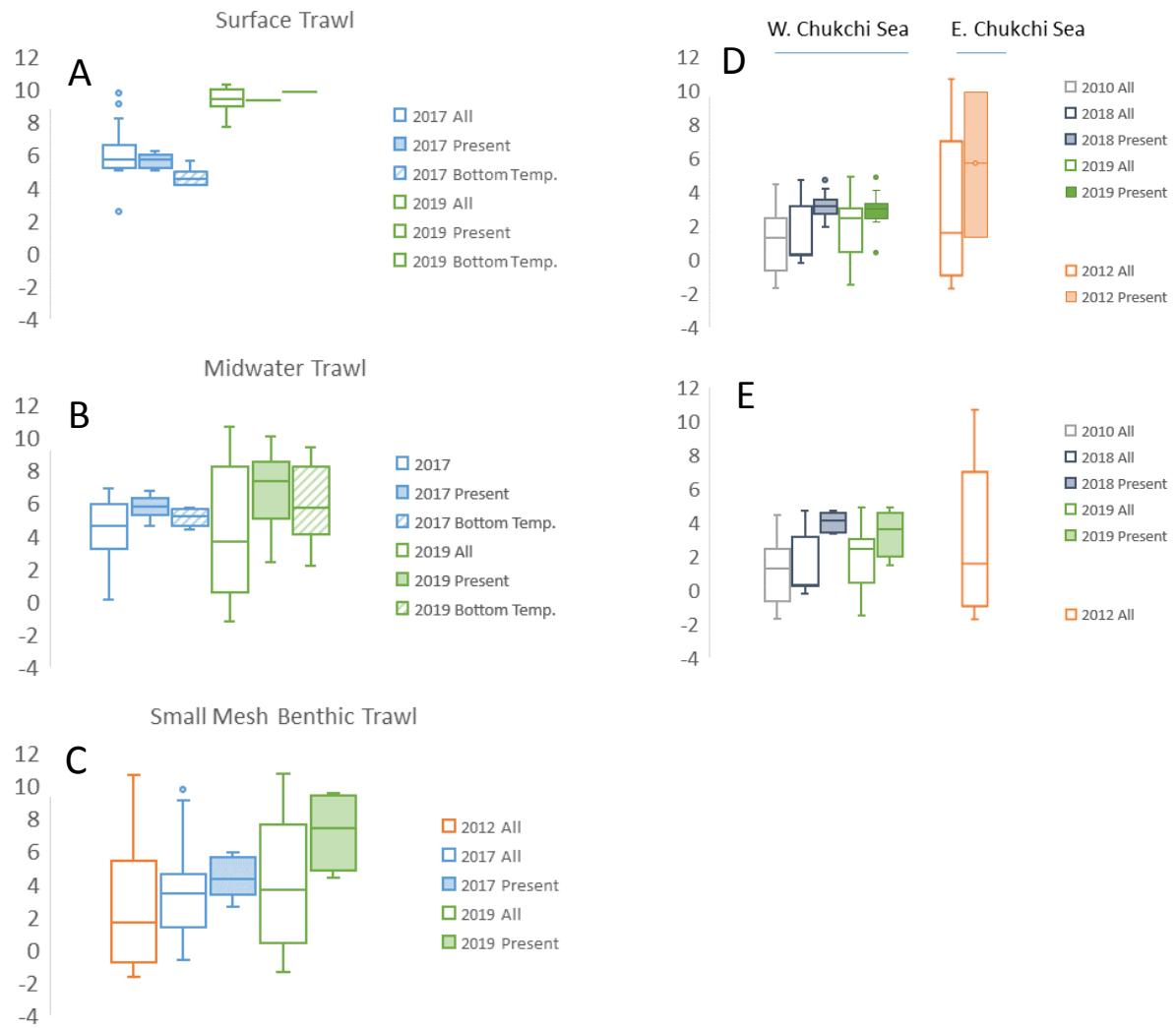


Figure 7. Boxplots of water temperatures at trawl stations in the Chukchi Sea by trawl type and year: A) surface trawl for age-0 Pacific cod sampling, B) midwater trawl for age-0 Pacific cod sampling, C) small-mesh benthic trawl for age-0 Pacific cod sampling, D) Large-mesh benthic trawl for age-1 Pacific cod sampling and E) Large-mesh benthic trawl for adult Pacific cod sampling. Open boxplots represent gear temperatures at all sampled stations. Filled boxplots represent gear temperatures at stations with Pacific cod presence. Striped boxplots represent bottom temperatures at stations where Pacific cod were present in pelagic (surface and midwater) trawls.

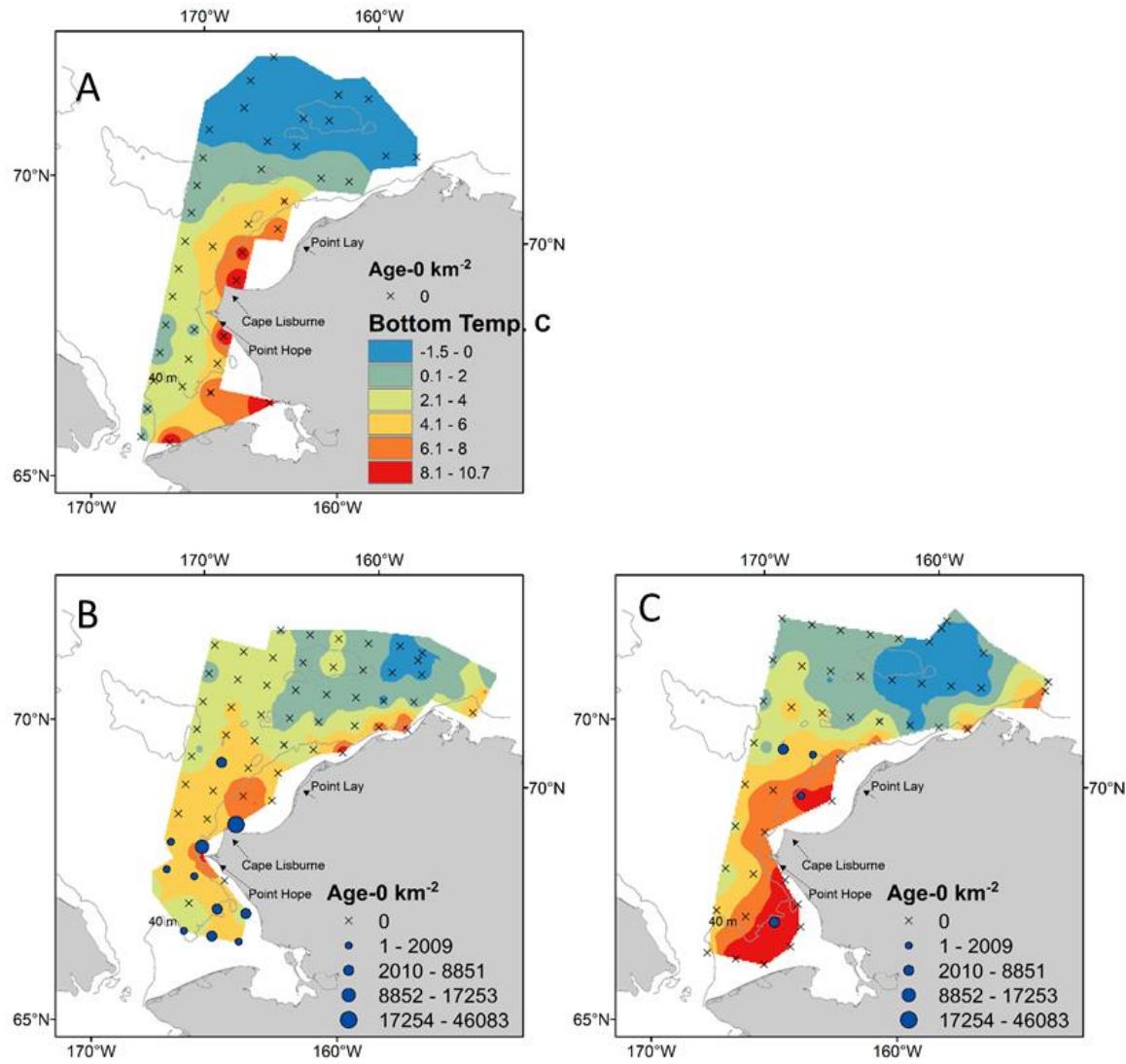


Figure 8. Maps of catch per unit effort of age-0 Pacific cod caught in the small-mesh benthic trawl and interpolated bottom temperatures for three survey years: A) 2012; B) 2017; and C) 2019. Note the colors representing interpolated bottom temperatures are the same for all three plots.

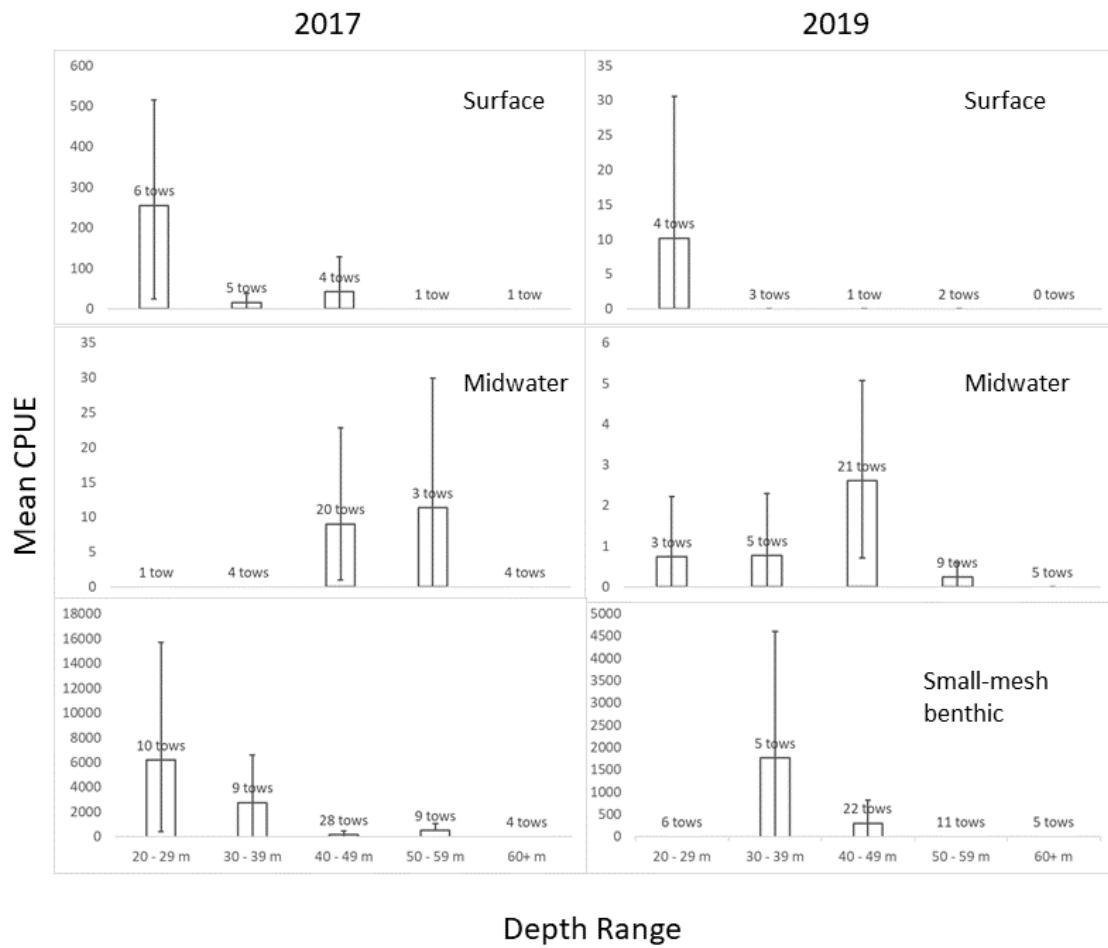


Figure 9. Mean catch per unit effort (CPUE) of age-0 fish by bottom depth range for each gear type in 2017 and 2019. CPUE units are number of fish per  $\text{km}^2$  for the surface and small-mesh benthic trawls, and number of fish per  $100,000 \text{ m}^3$  for the midwater trawl. Error bars represent 95% confidence intervals as determined by the 2.5 and 97.5 percentile values from 2000 bootstrap replicates.

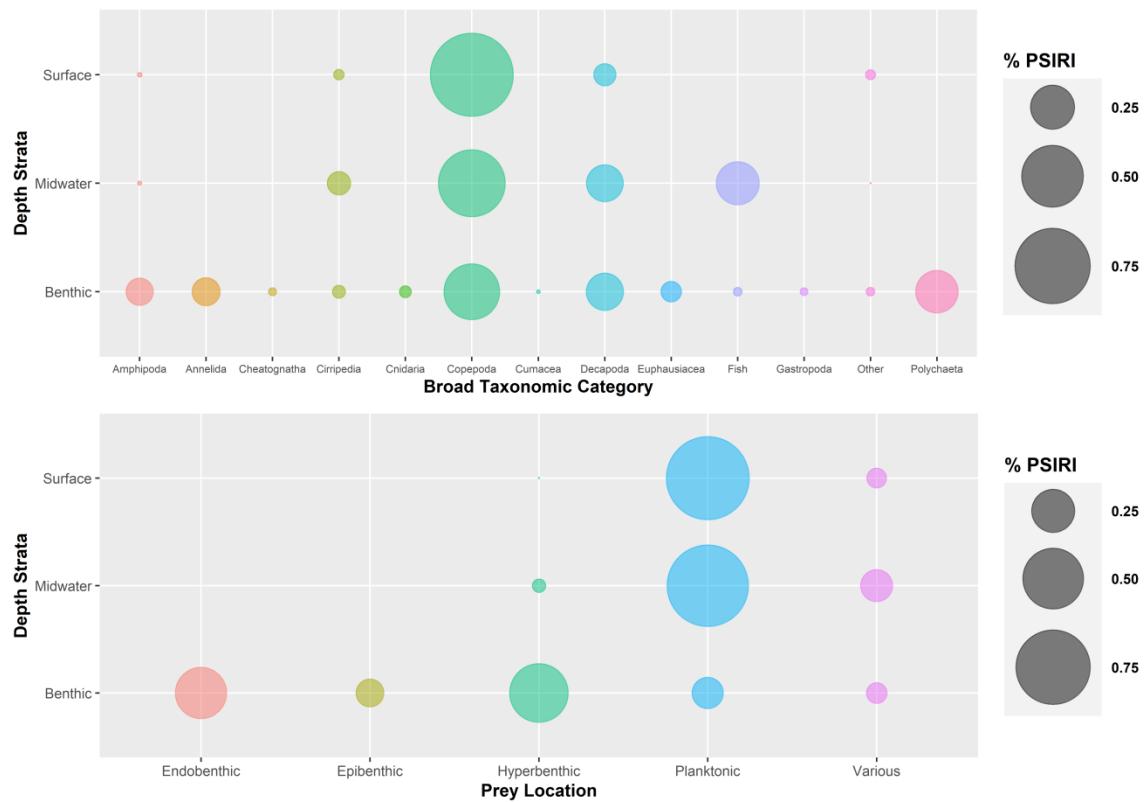


Figure 10. Prey specific relative index of importance (PSIRI) of prey items in the diet of small juvenile Pacific cod caught in 2017. Top panel depicts PSIRI by prey taxonomic groups, and bottom panel depicts PSIRI by prey general habitat classification.

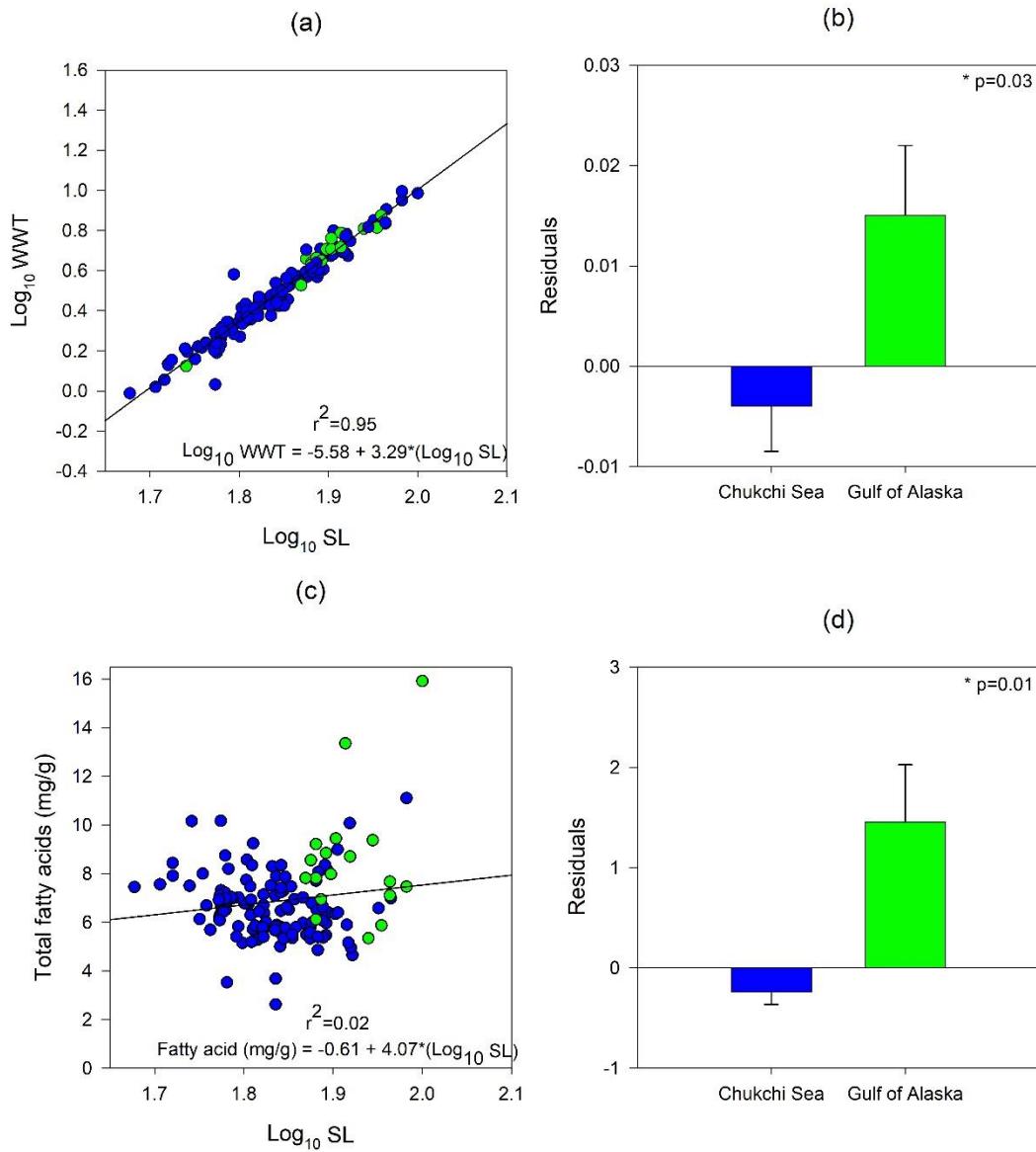


Fig 11. The effect of sampling region on the relationship between age-0 Pacific cod length ( $\text{Log}_{10}$ , SL, mm) and (a) wet weight (( $\text{Log}_{10}$ , WWT, g) as well as (c) lipid density. Residuals from these relationships showed a significant effect of region of capture on condition based on length-weight residuals (b) and a significant effect of region on condition based on fatty acids concentrations (d).

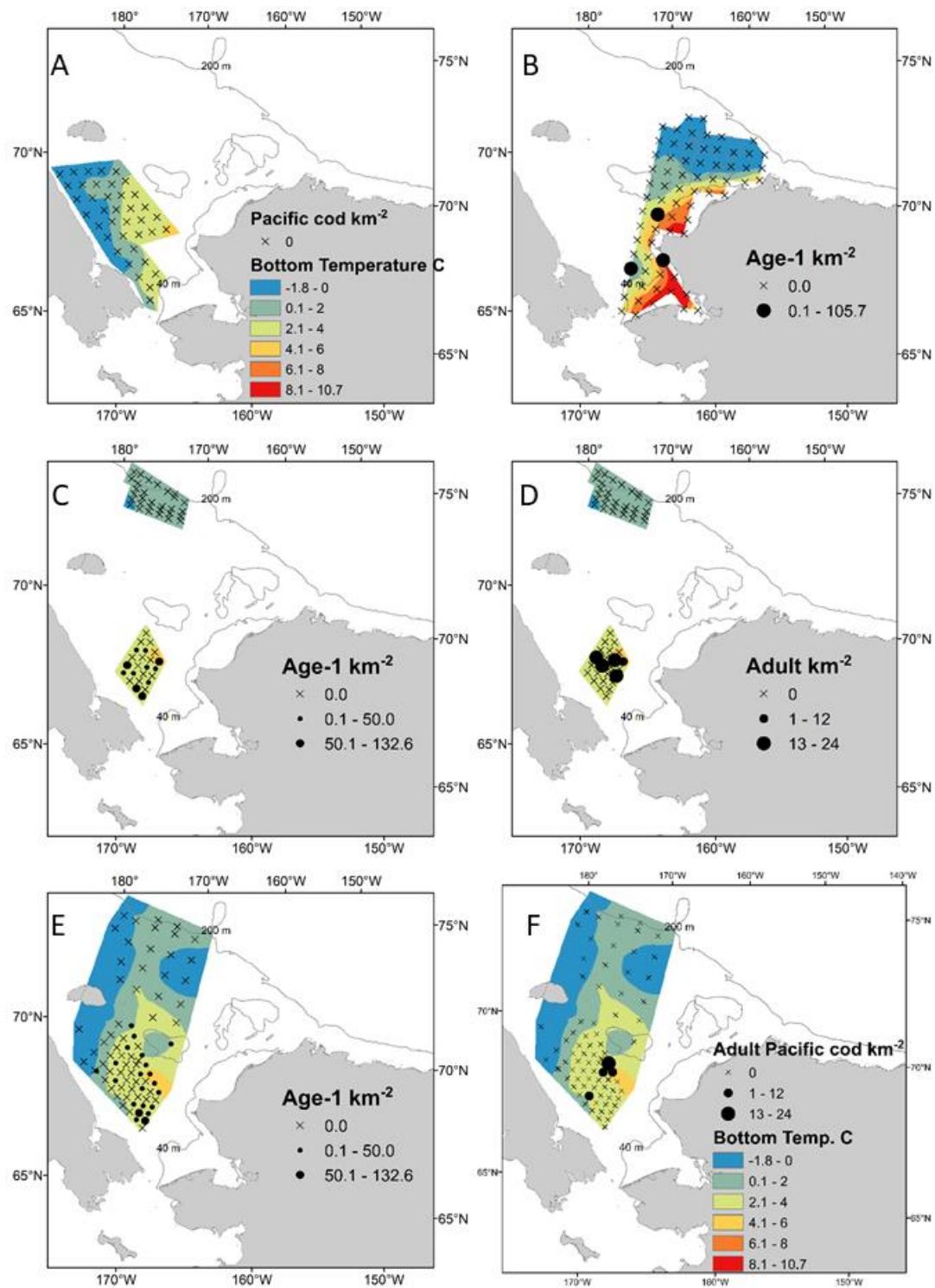


Figure 12. Distribution and catch per unit effort of juvenile (age-1) and adult Pacific cod in large-mesh benthic trawl in the Chukchi Sea: (A) Age-1s and adults in 2010; (B) Age-1s in 2012; (C) Age-1s in 2018; (D) Adults in 2018; (E) Age-1s in 2019; (F) Adults in 2019.