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9 **Estimating the annual spawning run-size and population size of the Southern Distinct**
10 **Population Segment of Green Sturgeon *Acipenser medirostris***
11
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52

53 <A>Abstract

54

55 The Southern Distinct Population Segment of Green Sturgeon spawns in the Sacramento River,
56 California and is listed as a Threatened Species by the Federal Endangered Species Act. We
57 estimated the spawning run and population size in 2010 – 2015 using Dual Frequency
58 Identification Sonar (DIDSON) sampling, underwater video camera species identification, and
59 acoustic tag detections. Spawning run-size varied from 336 to 1,236 individuals. We estimated
60 total population size to be 17,548 individuals (95% confidence interval = 12,614 – 22,482). We
61 estimated the number of adults to be 2,106 (1,246 – 2,966), the number of juveniles to be 4,387
62 (2,595 – 6,179) and subadults to be 11,055 (6,540 – 15,571). This study provides the first
63 estimate of Sacramento River Green Sturgeon run-size and initiates a time series of abundance
64 that can inform Endangered Species Act recovery processes. Furthermore, these absolute
65 abundance estimates provide a context for evaluating the significance of impacts, such as
66 bycatch in coastal fisheries or entrainment in water diversions, where the number of impacted
67 individuals is known.

68

69 <A>Introduction

70

71 Green Sturgeon *Acipenser medirostris* are anadromous fish which spawn in three major river
72 systems in California and Oregon (NMFS 2006). The species is separated into two distinct
73 population segments (Israel et al. 2004), which are managed separately by the National Marine
74 Fisheries Service. The Northern Distinct Population Segment (NDPS) consists of individuals that
75 spawn in the Rogue River in southern Oregon and the Klamath River in northern California
76 while individuals in the Southern Distinct Population Segment (SDPS) spawn in the Central
77 Valley, California. The SDPS was designated as a Threatened Species by the National Marine
78 Fisheries Service in 2006 (NMFS 2006). The NDPS was designated a Species of Concern
79 (NMFS 2006) but the concern for NDPS abundance was buffered by the presence of two
80 separate spawning stocks. Loss of spawning habitat is considered a detriment to a sustained
81 population of Green Sturgeon in the Central Valley, California (Adams et al. 2007).

82
83 The amount of historical habitat available to Green Sturgeon varies by population. The NDPS
84 currently has access to 100% of historically accessible habitat. Spawning in the NDPS
85 consistently occurs in the main stems of the Rogue and Klamath rivers; however, spawning has
86 also been documented in the Trinity and Salmon rivers, tributaries of the Klamath River (Benson
87 et al. 2006). In contrast, the SDPS consists of individuals that spawn almost entirely within a 160
88 km (100-mile) segment of the Sacramento River below Keswick Dam, which forms a barrier to
89 passage (Adams et al. 2007). In addition, SDPS spawning was documented in the Feather River
90 during June, 2011 (Seesholtz et al. 2015) indicating that Green Sturgeons can spawn in major
91 Sacramento River tributaries. It is probable that the SDPS historically spawned in currently
92 inaccessible portions of rivers above dams in the American, Feather and Yuba rivers. Today,
93 flow regulation and habitat fragmentation likely constrain their current spawning distribution
94 (Mora et al. 2009).

95
96 NMFS (2006) identified a lack of information describing the total number of individuals in each
97 of the populations as a potential risk factor for both populations. At that time, no direct estimates
98 of population abundance of either DPS existed and status designations were prompted by a
99 decline in other indicators of abundance. These indicators include 1) indirect abundance
100 estimates based on the proportion of Green Sturgeon caught with White Sturgeon (*Acipenser*
101 *transmontanus*) by the California Department of Fish and Wildlife 2) annual catch in the Yurok

102 tribal Green Sturgeon fishery on the Klamath River, and 3) catch per unit effort estimates from a
103 commercial Columbia River sturgeon fishery. White Sturgeon coexist with Green Sturgeon in
104 the Sacramento River but White Sturgeon are much more abundant (Moyle 2002). While there
105 is a body of knowledge about the life history and potential demographic structure of the species
106 (Beamesderfer et al. 2007), DPS-specific estimates of adult abundances necessary to facilitate
107 future status assessments have yet to be produced. Thus, the objectives of this study were to
108 estimate the number of annually migrating SDPS Green Sturgeon and to estimate the SDPS
109 population size. We also produce estimates of sub-adult life stages that may be useful for
110 evaluating impacts on those life stages where the number of impacted individuals is known.
111 Estimates of adult abundance will allow the status of SDPS Green Sturgeon to be evaluated
112 relative to recovery criteria.

113

114 <A>Methods

115

116 Study Site

117

118 The Sacramento River is the largest river in California, draining the northern 71,000 km² of the
119 Central Valley. Our study took place within a 155 km reach between the Anderson-Cottonwood
120 Irrigation District Dam at river kilometer (rkm) 570 and the Highway 32 overcrossing (rkm 415)
121 during the months of June and July of 2010 through 2015 (Figure 1). We calculated rkm as the
122 distance upstream from the Golden Gate Bridge.

123

124 Our sample sites consisted of the 125 locations deeper than 5 m described in Thomas *et al.*
125 (2014), identified based on a meso-habitat survey by the U.S. Bureau of Reclamation beginning
126 in January of 2008 and completed May 2010. In the Rogue River, NDPS Green Sturgeon
127 congregate in locations greater than 5 m deep (Erickson et al. 2002). Thus, Thomas *et al.* (2014)
128 and our study chose a 5 m depth criterion to identify potential congregating locations within the
129 Sacramento River. The Bureau of Reclamation survey identified 125 discrete habitat units
130 fulfilling this criterion, a portion of which were occupied by Green Sturgeon carrying acoustic
131 tags (Thomas et al. 2014). A subset of these surveyed sites were confirmed as spawning
132 locations by Poytress *et al.* (2013).

133

134 Run-Size Estimate

135

136 <C>*Estimating abundance with DIDSON*.— We modified the presence-absence and abundance
137 estimation methods described by Mora *et al.* (2015) to annually estimate the abundance of
138 migrating Green Sturgeon in the Sacramento River. Our modification was that we first censused
139 the sample sites to determine the presence or absence of sturgeon using Dual Frequency
140 Identification Sonar (DIDSON) [Sound Metrics, Bellevue WA]. DIDSON is an acoustic camera
141 that operates like a medical ultrasound, allowing researchers to see video-like images of
142 ensonified fish, submerged objects, and substrate. The presence-absence surveys were initiated
143 during the first week of June, generally lasted two weeks and systematically occurred moving
144 upstream from the most downstream sample site. We then estimated the abundance of sturgeon
145 at each of the occupied locations over one to three days. Depending on the year, the DIDSON
146 surveys were either performed by one or two teams working concurrently. However, video
147 camera sampling (See *Estimating species proportion* below) was always performed by a single
148 team. Our other modification from the methods of Mora *et al.* (2015) allowed us to account for
149 some of the potential bias inherent in the movement of individual sturgeon during the sample
150 period (See *Estimating migration patterns with telemetry* below).

151

152 <C>*Estimating species proportion*.— Both Green Sturgeon and White Sturgeon spawn in the
153 Sacramento River (Kohlhorst 1976). Even though migration studies suggest their spawning
154 habitats are separated in time and space (Miller 1972, Shaffter 1997, Heublein *et al.* 2008), we
155 wanted to be sure that the detected sturgeon were the target species as these two species are
156 indistinguishable in DIDSON images. We used underwater video camera transects to estimate
157 the relative proportions of Green and White Sturgeon at locations of detected sturgeon presence
158 to correct for this potential bias. To gather visual sturgeon detections for species identification,
159 we towed an underwater video camera (Splash Cam Deep Blue Pro, Ocean Systems, Inc.,
160 Everett, WA) attached to a 10 kg sounding weight at locations where sturgeon densities were
161 sufficiently high enough to ensure detections (Groves and Garcia 1998). The standard definition
162 (720p) video feed from the camera was recorded onto DVD (2010, 2011) or digital video tape
163 (2012 – 2015) for later analysis, and viewed real-time aboard the survey boat to avoid collisions

164 with sturgeon. During 2012 – 2015 we fitted the towed cameras assembly with a high definition
165 (1080p) underwater video camera (GoPro Hero2, GoPro, Inc., San Mateo, CA) to record a
166 greater field of view and image quality compared to the standard definition image from the Deep
167 Blue Pro. These species proportion surveys occurred the week after the abundance surveys.

168

169 We reviewed the video files, tallied the number of sturgeon detections and assigned them as
170 Green Sturgeon, White Sturgeon, or Undetermined Species. Our criteria for identifying sturgeon
171 species are listed in order of decreasing precedence in Table 1 (Moyle 2002).

172

173 For each year of the survey, we estimated the proportion of detected sturgeons that were Green
174 Sturgeon as a binomial proportion (\hat{P}_G) of the number of sturgeon-camera interactions identified
175 as Green Sturgeon (N_G) to the number of sturgeon-camera interactions identified to species (N_c).

176 For each year, we pooled all samples within the study area. A binomial distribution is the
177 distribution of the number of success resulting from n independent trials all experiencing the
178 same probability of success p . Thus, for each year we assumed that the proportion of green
179 sturgeon (p) was uniform within the study area and stable throughout the sample period.

180 Furthermore, we assume that the results of each trial (each sturgeon-camera interaction [n]) are
181 spatially and temporally independent of each other. We calculated \hat{P}_G as:

182

183

184 EQ(1)
$$\hat{P}_G = \frac{N_G}{N_c}$$

185

186

187 EQ(2)
$$\hat{V}(\hat{P}_G) = \frac{\hat{P}_G(1 - \hat{P}_G)}{N_c}$$

188 <C>Estimating migration patterns with telemetry data.– Individual Green Sturgeon migrate into
189 and out of the survey area at varying times during each spawning year, so during any given
190 survey the entire spawning run may not be in the survey area. Mora *et al.* (2015) described

191 assumptions of our abundance estimation technique that, when violated, will impart bias to the
192 final estimate. They recommended using individual based information describing migration
193 patterns to correct for these potential sources of bias. To account for the effects of this bias on
194 our abundance estimates, we relied on detections of acoustically tagged Green Sturgeon in the
195 study area. Tagged individuals ($n = 288$) (Heublein et al. 2008, Vogel 2008, Lindley et al. 2011,
196 Thomas et al. 2014) were detected by an array of ultra-sonic tag detecting hydrophones
197 maintained by the Biotelemetry Laboratory of the University of California, Davis (UCD). We
198 utilized these apparent migration patterns to estimate the quantity of two groups of individuals
199 not detected during our DIDSON surveys: 1) the proportion of annual migrants that exited the
200 study area prior to our abundance estimate, and 2) the daily average proportion of individuals
201 migrating between units during our study period in June and July of each year. Here we assume
202 that the mechanisms that influence migration are experienced and acted upon uniformly for all
203 individuals in the study area. That is, p from the binomial distribution example above is the same
204 for all individuals. Further, we assume that each migrant makes the decision to migrate
205 independently of others, n from the binomial example above. There may be reasons to suspect
206 that migration has a behavioral component and thus may be a contagious dependent process
207 (Lindley et al. 2011), however we lack the mechanisms to assess how this violation biases our
208 estimate of migration timing.

209
210 *<C>Proportion of annual migrants that had exited the study area.*— To estimate the proportion of
211 annual migrants that had exited the study area prior to our abundance estimate, we summarized
212 individual Green Sturgeon detections by week and coded them as either present or having
213 already exited the study site. This was determined for individuals not tagged in the same
214 spawning year as being summarized with the exception of 2011 when only two previously
215 tagged fish entered the study area. For the year 2011, we included the exit dates of 22 individuals
216 tagged during that spawning year (Thomas et al. 2014). For all years, the estimate of proportion
217 of individuals that had exited the study system before our abundance estimate occurred was
218 calculated as a binomial proportion (\hat{P}_p) of the number of individuals that had exited the study
219 system by the week of our abundance surveys (N_s) to the number of total annual migrants
220 detected on the hydrophone array that year within the study area (N_M):

221

222 EQ(3)

$$\hat{P}_P = \frac{N_S}{N_M}$$

223

224 with variance:

225

EQ(4)

$$\hat{V}(\hat{P}_P) = \frac{\hat{P}_P(1 - \hat{P}_P)}{N_M}$$

226

227 We then utilized the total number of detected sturgeon from the DIDSON transects (\hat{T} , from
228 Mora *et al.* 2015, equation 5.) to estimate the total number of individuals that had exited our
229 study system before our abundance surveys (\hat{N}_E) as:

230

EQ(5)

$$\hat{N}_E = \left(\frac{\hat{T}}{1 - \hat{P}_P} \right) \hat{P}_P$$

231

232 The variance of \hat{N}_E was calculated using the Delta Method as in Mora *et al.* (2015):

233

EQ(6)

$$V(\hat{N}_E) = [(\hat{P}_P)^2 \cdot \hat{V}(\hat{T})] + [(\hat{T})^2 \cdot \hat{V}(\hat{P}_P)] + [\hat{V}(\hat{P}_P) \cdot \hat{V}(\hat{T})]$$

234

235 Equations 5 and 6 result in an annual estimate of the total number of annual migrants that had
236 exited the study area prior to our sampling, and the estimated variances of these totals.

237

238 *<C>Number of individuals migrating between habitat units.*— To estimate the daily average
239 number of individuals migrating between habitat units in the study area during June and July of
240 each year, we queried the UCD Laboratory database for Green Sturgeon detections occurring
241 during these months, between the hours of 0700 hours and 1900 hours (the daily time period of
242 sampling) and only at hydrophones not located directly in the sample sites. We estimated a daily
243 quantity (\hat{P}_I) as a binomial proportion of the number of unique individuals detected, and assumed
244 to be migrating between units (N_D), to those present in the study area and not detected during
245 that day and thus assumed to be within the habitat units (N_M).

246

247 EQ(7) $\hat{P}_I = \frac{N_D}{N_M}$

248

249 with variance:

250

EQ(8) $\hat{V}(\hat{P}_I) = \frac{\hat{P}_I(1 - \hat{P}_I)}{N_M}$

251

252

253 To estimate the annual average proportion of individuals that were moving between units during
254 our sample period, we calculated the average (\bar{P}_I), of the daily estimates \hat{P}_I as:

255

EQ(9) $\bar{P}_I = \sum_i^n \frac{\hat{P}_I}{n}$

256

257

258 With variance:

EQ(10) $V(\bar{P}_I) = \sum_i^n \frac{\hat{V}(\hat{P}_I)}{n^2}$

259

260 Then for each year, we calculated the total number of individuals that were transiting between
261 sample sites during our abundance surveys (\hat{N}_T) as:

262

EQ(11) $\hat{N}_T = \left(\frac{\hat{T}}{1 - \bar{P}_I} \right) \bar{P}_I$

263

264 The variance of \hat{N}_T was calculated using the Delta Method as in Mora et al. (2015):

265

EQ(12) $V(\hat{N}_T) = [(\hat{P}_I)^2 \cdot \hat{V}(\hat{T})] + [(\hat{T})^2 \cdot \hat{V}(\hat{P}_I)] + [\hat{V}(\hat{P}_I) \cdot \hat{V}(\hat{T})]$

266

267

268 Equations 11 and 12 result in annual estimates of the total number of individuals migrating
269 between units during our annual sample periods and the estimated variances of these totals.

270

271 The means and variances of these three estimated annual quantities ($\hat{T}, \hat{N}_E, \hat{N}_T$) were then
272 summed to represent the total number of Green Sturgeon that migrated during each year and the
273 estimated variances of those totals.

274

275 Population Estimate

276

277 To estimate the number of mature adults in the SDPS we first had to estimate two quantities: the
278 mean and variance of run-sizes over a six-year period and the distribution of interannual
279 spawning frequencies.

280

281 Green Sturgeon are iteroparous and individuals do not make spawning migrations every year. To
282 estimate the distribution of temporal intervals between spawning migrations from repeat
283 spawners we again turned to the detection record of acoustically tagged Green Sturgeon. The
284 detection database was queried for all Green Sturgeon performing a spawning migration.
285 Individuals were considered to have completed a spawning migration in a given year if they were
286 detected by a tag detecting monitor in our study area that year. We then calculated the interval, in
287 years, between spawning migrations for 41 individuals that had spawned more than once. The
288 identified distribution was used as an estimate of SDPS spawning periodicity. The mean, \bar{S}_{GS} and
289 variance of this distribution is $V(\bar{S}_{GS})$ were calculated using the standard estimators for a sample
290 mean and variance.

291

EQ(13)

$$\bar{S}_{GS} = \frac{1}{n} \sum_i^n x_i$$

292

293

EQ(14)

$$V(\bar{S}_{GS}) = \frac{1}{n-1} \sum_i^n (x_i - \bar{x})^2$$

294

295 We then estimated the average run-size of SDPS Green Sturgeon by calculating the six-year
296 geometric mean of our run-size estimates using the following equations. The average run-size
297 (\bar{T}_G) was calculated as:

298

EQ(15)

$$\bar{T}_G = \sqrt[6]{\prod_i^6 \hat{T}}$$

299

300

301 with variance:

302

EQ(16)

$$V(\bar{T}_G) = \sum_i^6 \frac{\hat{V}(\hat{T})}{6^2}$$

303

304

305 We estimated the total number of adults in the SDPS (\hat{N}_A) by multiplying the average run-size
306 (\bar{T}_G) by the estimated average spawning periodicity (\hat{S}_{GS}).

307

EQ(17)

$$\hat{N}_A = \hat{S}_{GS} \bar{T}_G$$

308

309 The variance of \hat{N}_A was calculated using the Delta Method as in Mora *et al.* (2015):

310

EQ(18)

$$V[\hat{N}_A] = (\hat{S}_{GS})^2 \hat{V}[\bar{T}_G] + (\bar{T}_G)^2 \hat{V}[\hat{S}_{GS}] + \hat{V}(\bar{T}_G) \hat{V}(\hat{S}_{GS})$$

311

312 Beamesderfer and Simpson (2007) determined that given multiple assumptions about population
313 characteristics, the SDPS Green Sturgeon population would have an expected life stage
314 distribution of 25% juveniles, 63% sub-adults and 12% adults. The juvenile life history stage was
315 defined by Beamesderfer and Simpson (2007) as “fish during freshwater rearing prior to
316 migration to the ocean (generally one to three years of age and 0 – 60 cm in length).” Adults
317 were defined by the authors as “fish larger than the median size and age of female maturation
318 (approximately 165 cm and 20 years of age).” The sub-adult life history stage refers to

319 individuals between these two age classes. Combining the proportions provided by Beamesderfer
320 and Simpson (2007) with our estimate of the number of adults in the SDPS, we estimated the
321 number of individuals in the juvenile and sub-adult life history classes.

322

323 <A>Results

324

325 Abundance sampling occurred over one to three days from mid-June to early July each year
326 (Table 2). The number of days required to sample the occupied habitat units varied between
327 years due to the number of cumulatively occupied units and the varying number of sampling
328 teams. During 2010, 2011 and 2012 two crews worked together to sample different units
329 concurrently; however, in 2013 through 2015 sampling was performed by one crew.

330

331 Table 2 displays the estimates of the total number of sturgeon present considering only the
332 DIDSON transect estimate of abundance. As estimates of run-size for each year, these values are
333 uncorrected for the bias imparted due to species proportion, migration timing and individual
334 movement between sample sites during our surveys (Mora et al. 2015). We detected an average
335 of 346 sturgeon each year ranging from 220 in 2011 to 526 in 2014.

336

337 Annual estimates of the proportion of Green Sturgeon in our study area calculated from video
338 camera transects ranged from 0.98 to 1 (Table 3). Of the 699 sturgeon observed on video, 390
339 were identifiable to species and of those, only two were White Sturgeon. These two White
340 Sturgeon observations occurred during one year and were captured on the same day in the same
341 location on the same video camera transect. We classified sturgeon as Unidentifiable usually due
342 to a blurred image resulting from the combination of distance and turbidity or unidentifiable due
343 to limited viewing time after the fish was startled and quickly swam away. Otherwise, it is
344 apparent that the majority of sturgeon detected in our study area were Green Sturgeon.

345

346 The estimated proportion of annual migrants that had left the study area before our abundance
347 surveys were performed averaged .33 and ranged from 0.00 to 0.57 (Table 4). 2013 was an
348 outlier with 0 individuals leaving the study area before our abundance surveys.

349

350 The estimated proportion of Green Sturgeon in transit between sample sites during DIDSON
351 surveys averaged .013 and ranged from 0.004 to 0.017 (Table 5).

352

353 The estimates of annual run-size accounting for the proportion of sturgeon transiting between
354 sites or out of the study area are shown in Table 6. These values represent the total number of
355 adult Green Sturgeon that entered our study area each year. These values do not include the
356 number of migrants that entered tributaries of the Sacramento River such as those documented
357 by Seesholtz *et al.* (2015). The average run-size was calculated to be 571 with the 95%
358 confidence limits of 529 and 613.

359

360 The detections of 42 repeat migrations of 41 individuals displayed a spawning interval of two to
361 six years. The mean spawning periodicity was 3.69 years with a variance of 0.56 (Figure 2).

362

363 We directly estimated the number of adults in the SDPS to be 2,106 within the 95% confidence
364 limits of 1,246 and 2,966. Applying the life history proportions of Beamesderfer and Simpson
365 (2007), we estimated there to be 4,387 juveniles within the 95% confidence limits of 2,595 and
366 6,179, and 11,055 sub-adult within the 95% confidence limits of 6,540 and 15,571, for a total
367 population estimate of 17,548 SDPS Green Sturgeon within the 95% confidence limits of 12,614
368 and 22,482 individuals.

369

370 <A>Discussion

371

372 We estimate that during each year of the study there were between 1,246 and 2,966 SDPS Green
373 Sturgeon in the reproductive portion of the population. We regard this as a fairly reliable
374 estimate of SDPS Green Sturgeon population size because it overcomes two issues that
375 hampered earlier estimates: a limited sample region (Israel and May 2010), and estimating the
376 abundance of Green Sturgeon based on the ratio of Green to White sturgeon numbers in a White
377 Sturgeon sampling study (U.S Fish and Wildlife Service 1995, Adams *et al.* 2007). Israel and
378 May (2010) used genetic techniques to estimate effective population size during the years 2002-
379 2006. Their study sampled out-migrating juveniles at Red Bluff Diversion Dam, potentially
380 omitting the contribution of individuals spawned downstream of this location. Their estimates of

381 effective population size contributing to their samples ranged from 10-28 spawners. These
382 results are not surprising given two facts. First, effective population size is often smaller than
383 census population size. Second, their sampling occurred during a time when Red Bluff Diversion
384 Dam operated as a temporal barrier to Green Sturgeon spawning, likely reducing the numbers of
385 spawners upstream of this point and thus reducing the spawners contributing to their sample
386 (Heublein et al. 2008). U.S. Fish and Wildlife Service (1995) estimated the number of adult (>
387 101.6 cm) Green Sturgeon present in the Sacramento-San Joaquin Estuary for eight years
388 throughout the interval between 1967 and 1990. A direct estimate using capture-recapture
389 estimation was not possible as no recaptures of individual occurred during their sampling. Those
390 authors estimated the mean number of Green Sturgeon adults to be 983 resulting in a doubling
391 goal of 1,966 individuals. The results of our study suggest that the doubling goal set by the
392 Central Valley Project Improvement Act has been met. Our study, if anything, likely
393 underestimates the SDPS abundance because it did not include the recently documented
394 spawners in the Feather River, as determined from a collection of thirteen eggs from Green
395 Sturgeon (Seesholtz et al. 2015). Future population estimates of adult SDPS Green Sturgeon
396 should coordinate DIDSON sampling in the mainstem Sacramento with concurrent sampling in
397 other Central Valley tributaries.

398

399 Our estimates of juvenile, subadult, and total SDPS green sturgeon numbers are less reliable
400 because they are based on the ratios in Beamesderfer and Simpson's (2007) modeling study
401 which combines data from the NDPS and SDPS. Their estimate of percentage of juvenile
402 sturgeon is particularly uncertain because so little is known about this life stage. Additionally,
403 their model requires four assumptions that are admittedly rarely met:

404 *“constant recruitment, population equilibrium, stable size and age structure, and a
405 lack of density dependence”* (Beamesderfer et al. 2007).

406 However, this study provides a rough estimate of total abundance suitable for assessing impacts
407 of take, such as those that are observed in coastal trawl fisheries and at large water diversions.

408

409 The Demographic Recovery Criteria, under development by NMFS as part of the SDPS Green
410 Sturgeon Recovery Plan, contain quantitative targets of population size used to determine if
411 significant threats to the recovery of a population are alleviated. The draft criterion requires an

412 estimated adult population of 3,000 individuals (J. Heublein, NMFS Green Sturgeon Recovery
413 Coordinator, personal communication). Our results show that the population is not far below the
414 desired 3000 spawning adults in the population. The Demographic Recovery Criteria states that
415 'each annual spawning run must be comprised of a combined total, from all spawning locations,
416 of at least 500 adult fish.' That recovery target was met during four of six years of our survey;
417 however the criterion provides no guidance on the interpretation of confidence intervals. For
418 example the 2011 estimate of 334 adult spawners has a 95% confidence interval spanning 273 –
419 395 adults, clearly not reaching the 500 adult fish criteria. A less clear result occurred in 2012
420 when 597 adult spawners were estimated to have migrated into the study area. The 95%
421 confidence intervals of that estimate span 499 – 695 adults, almost entirely within the desired
422 draft criteria. The draft Demographic Recovery Criteria could be clarified to specify if just the
423 point estimate of adult run-size and population size, the entire confidence interval, or just a
424 majority of the confidence interval is used to satisfy the recovery criteria.

425
426 It is clear that further implementation of DIDSON based surveys that measure the abundance and
427 distribution of Green Sturgeon during their spawning period will provide information crucial to
428 the evaluation of SDPS Green Sturgeon status. Two of the five draft Demographic Recovery
429 criteria describe criterion based on either abundance (annual run-size, total population size) or
430 distribution (successful spawning in at least two rivers within their historical range). Spawning
431 has been recently detected in the Feather River (Seesholtz et al. 2015) and future coordinated
432 DIDSON surveys of the Feather and Sacramento Rivers is planned.

433
434 This study provides additional evidence that sturgeon in the study area during June and July are
435 almost entirely Green Sturgeon. The only exception to this expectation was the two White
436 Sturgeon detected in 2013. Given the findings of Miller (1972) and Shaffter (1997), this pattern
437 was not surprising; however, we had expected a larger proportion of the detected sturgeon to be
438 White Sturgeon based on self-reporting by recreational fishermen to the California Department
439 of Fish and Wildlife. Other evidence provides support for Green Sturgeon prevalence. For
440 example, all sturgeon larvae and juveniles that were captured in a screw trap operated at Red
441 Bluff Diversion Dam were identified as Green Sturgeon (Poytress et al. 2014). In addition, initial

442 results of Green and White Sturgeon migration studies by the UCD Biotelemetry Laboratory
443 support our findings (E. Miller, UCD, personal communication).

444

445 The high run-size estimate from 2014 stands out as an obvious outlier. The sampling for the
446 2014 estimate occurred roughly two weeks later in the spawning season than the other annual
447 estimates. Otherwise, all aspects of the study design were the same during 2014 as they were
448 during previous years. For 2014, two components of the estimate of run-size were the greatest
449 for any year of our study: the total number of sturgeon detected via DIDSON transects and the
450 proportion of individuals that had left the study system before our DIDSON sampling began.
451 These two factors clearly combined to inflate the estimate of run-size but we consider their
452 estimated values as valid because measurements from all years were performed uniformly. It is
453 worth noting that the 2014 and 2015 spawning seasons occurred during a major drought in
454 California although it is unknown how environmental factors, such as reduced flow, influence
455 run-size and Green Sturgeon spawning migrations. As our study continues and our time series
456 expands, we plan to investigate these questions.

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458 Finally, because our model is reliant on individual based migration information, it is crucial that
459 tagging of individuals with long lasting acoustic tags continue to be conducted to inform
460 population monitoring efforts into the future. Population monitoring of the SDPS of Green
461 Sturgeon is crucial to understand the status of the species. DIDSON sampling and acoustic
462 tagging appear to be the most efficient and least invasive methods to track the SDPS Green
463 Sturgeon status. It would be important to know, for example, if the greater numbers of adults
464 observed in 2014 represents a reproductive cohort or a response to environmental changes.

465

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467

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Indicator	Green Sturgeon	White Sturgeon
Dorsal Scutes	8-11	11-14
Lateral Scutes	23-30	38-48
Post-Dorsal Scute Present	Yes	No
Ventral Green Stripe	Yes	No

Lateral Green Stripe Present

Yes

No

553 Table 1. Criteria used to identify sturgeon to species. If none of the criteria were discernable, we
554 assigned “Undetermined Species”.

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Year	Sample Dates	N	$\pm 95\%$ CI
2010	6/17	245	63

2011	6/16	220	41
2012	6/14, 6/15	329	56
2013	6/10, 6/11, 6/12	338	61
2014	6/30, 7/1, 7/2	526	64
2015	6/24, 6/25, 6/26	423	59

573 Table 2: The dates when the abundance estimating surveys occurred and the estimated total
574 number of sturgeon resulting from the DIDSON transects, uncorrected for bias due to violations
575 of assumptions.

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Year	N (Green)	N (White)	Unknown	P (Green)	Variance
2010	76	0	47	1.00	0.0000
2011	39	0	40	1.00	0.0000
2012	50	0	57	1.00	0.0000
2013	88	2	87	0.98	0.0002
2014	100	0	64	1.00	0.0000
2015	37	0	26	1.00	0.0000

593 Table 3: The number of Green and White Sturgeon detected on video camera and the mean and
 594 variance of the estimated species proportions.

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Year	N (Migrants)	N (Exited)	Proportion Not In River	Variance
2010	9	5	0.56	0.027
2011	24	8	0.33	0.009
2012	18	8	0.44	0.014
2013	14	0	0.00	0.000
2014	14	8	0.57	0.017
2015	32	14	0.44	0.008

612 Table 4: The number of sturgeons implanted with acoustic tags that were detected as leaving our
 613 study area each year before the initiation of our abundance surveys.

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Year	Proportion In Transit	Variance
2010	0.004	4.07E-06
2011	0.02	1.37E-05
2012	0.015	7.72E-06
2013	0.013	1.41E-05
2014	0.017	1.66E-05
2015	0.01	4.14E-06

631 Table 5: The estimated average daily proportion of tagged sturgeon migrating between sample
632 sites during the month of June and July.

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Year	N	$\pm 95\%$
	CI	
2010	552	109
2011	334	61
2012	597	98
2013	335	61
2014	1236	157
2015	756	98

650 Table 6: The estimated number of Green Sturgeon that migrated into the study area between
651 2010 and 2015.

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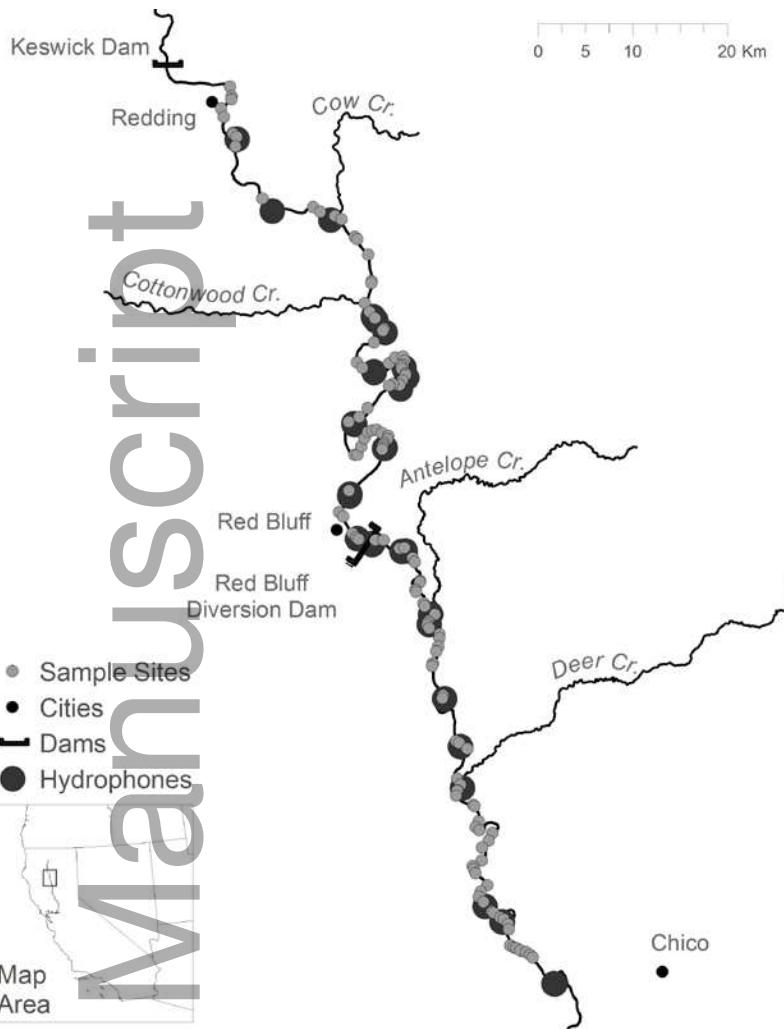
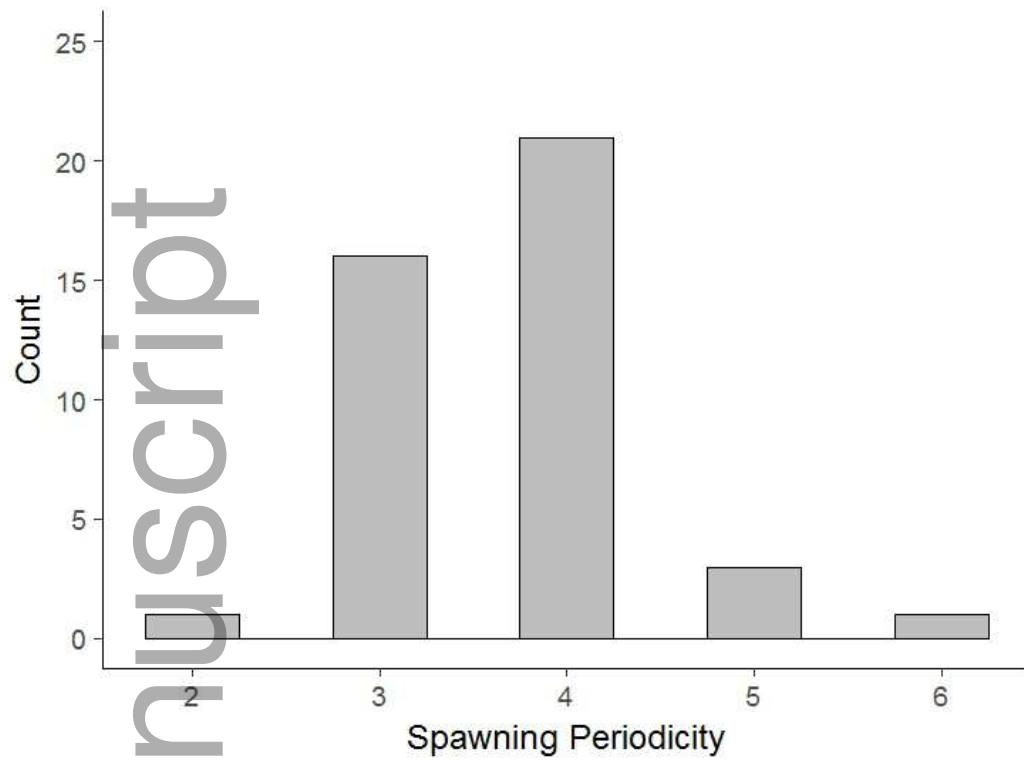


Figure 1: The Sacramento River showing the sample sites as light grey dots and tag detecting monitors as black dots.



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672 Figure 2: Histogram of spawning periodicity of acoustically tagged Green Sturgeon.

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689 Supplemental Material

690 GreenSturgeon.wmv

691 Video showing a typical Green Sturgeon ID. Visible are a lateral green stripe, number of dorsal

692 scutes and the presence of a post-dorsal scute.

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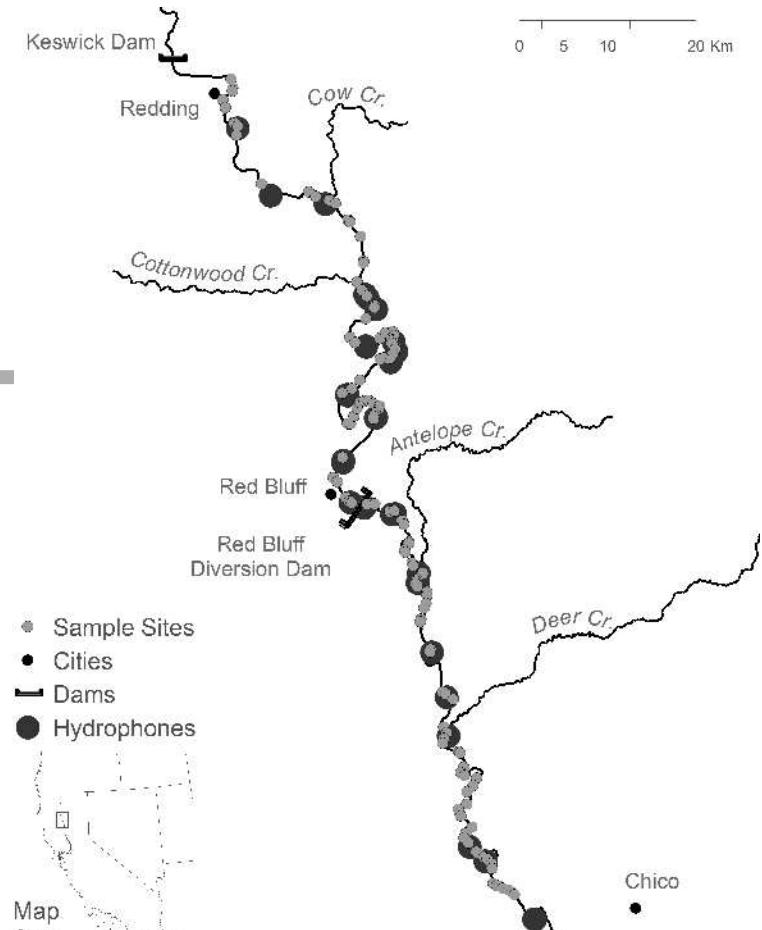
694 WhiteSturgeon.wmv

695 Video showing one of two identified White Sturgeon. Visible are the lack of a lateral green

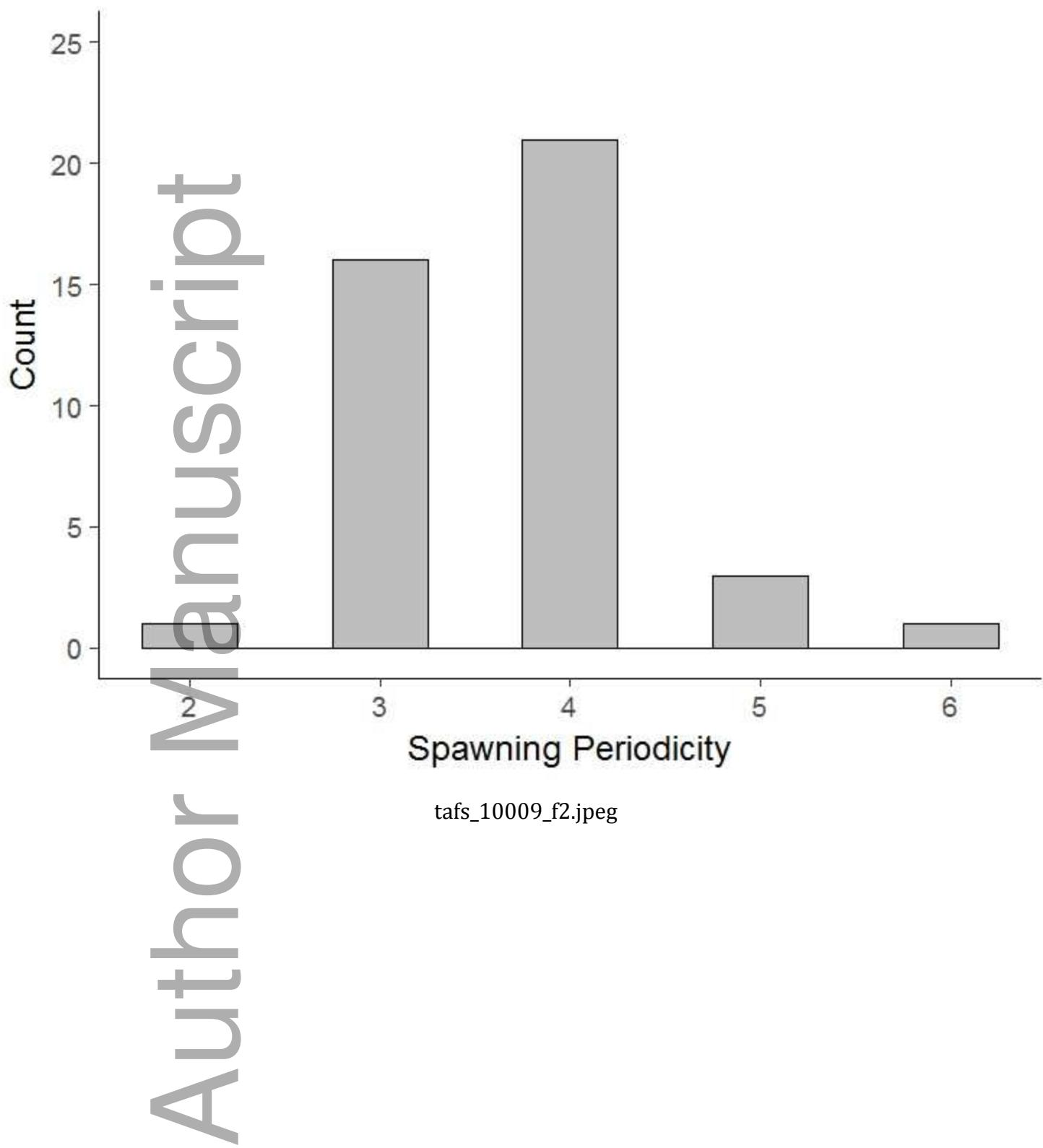
696 stripe, number of dorsal scutes and the lack of a post-dorsal scute.

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