

1 Connectivity of scamp (*Mycteroperca phenax*) populations in the United States Gulf of Mexico  
2 and Atlantic Ocean

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17 ABSTRACT:

18       Connectivity among marine fish populations not only arises through the movement of  
19 adults, but also through larval dispersal facilitated by ocean currents. For species with long  
20 pelagic larval duration and high site fidelity as adults, larval dispersal can be the dominant  
21 mechanism of connectivity among otherwise spatially distinct populations. Therefore, when  
22 assessing the recruitment dynamics or population structure of such species it is essential to  
23 evaluate how larval biology and reproductive ecology interact with oceanographic circulation.  
24 To investigate this for scamp (*Mycteroperca phenax*), a grouper found in the Gulf of Mexico and  
25 Atlantic Ocean, we simulated the dispersal of millions of virtual larvae throughout scamp's range  
26 near the southeastern United States. We found a pattern of local retention, with larvae tending to  
27 settle close to their spawning location. There was also, however, long-distance dispersal that  
28 consistently crossed the boundary between spatial management units. Due to directional patterns  
29 in oceanographic transport, and spatial differences in abundance, almost one-third of the virtual  
30 larvae that settled in the Atlantic came from spawning locations in the Gulf of Mexico, although  
31 most of these settled near the boundary between the regions. These patterns were robust to a  
32 variety of sensitivity analyses, but the magnitude of connectivity between management units  
33 varied. This connectivity has significant implications for stock assessment and fishery  
34 management. It may increase the resilience of Atlantic populations, but it also means the  
35 sustainability of Atlantic populations may rely, in part, on the health of spawning populations in  
36 the Gulf of Mexico.

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38 KEYWORDS: connectivity, larval dispersal, grouper, reef fish, stock structure, fisheries  
39 management

## 1. INTRODUCTION

Movement patterns and site fidelity strongly influence the population connectivity and spatial structure of genetic differentiation in a species. Connectivity requires movement, so species with high movement rates or distances are commonly associated with a high degree of mixing and more homogeneous genetic structure on broad spatial scales (Palumbi et al. 2003, Young et al. 2015, Carr et al. 2017). Species with strong site fidelity, however, are typically characterized by population structures with strong spatial patterns of genetic differentiation (Meylan et al. 1990, Rooker et al. 2008, Campbell et al. 2008, Bonanomi et al. 2016). This is often due to natal site fidelity where an animal either remains at its natal location (philopatry) or returns to reproduce at its natal location after an initial migration away (natal homing). But spatial population structure can also arise through foraging site fidelity to non-natal locations by juveniles or adults (Lowther et al. 2012).

Sometimes, however, diverse taxa, ranging from birds (Milot et al. 2008, Pearce et al. 2008) to fishes with varied life histories, exhibit little genetic differentiation across broad spatial scales despite natal homing (Thorrold et al. 2001), site fidelity (Whitney et al. 2012, Klein et al. 2021), or sedentary behavior of adults (van Herwerden et al. 2009, Berry et al. 2012, Gardner et al. 2015, Antoni & Saillant 2017). This can lead to a perceived mismatch in the scale of demographic connectivity, which considers exchange of individuals between populations, and estimates of gene flow (Weersing & Toonen 2009, Lowe & Allendorf 2010, Selkoe et al. 2016, Legrand et al. 2022). Such is the case for Scamp (*Mycteroperca phenax*), an economically important reef-associated grouper that is found throughout the continental shelf off the southeastern United States (U.S.) – from the northwest Gulf of Mexico to the Atlantic Ocean near North Carolina (Smith 1971, Bullock & Smith 1991, Bacheler & Ballenger 2018).

Scamp form spawning aggregations (<100 individuals) in high relief rocky areas along the edge of the continental shelf (Coleman et al. 2011, SAFMC 2013, Farmer et al. 2017, Gruss et al. 2018, Heyman et al. 2019) that are thought to comprise both resident and transient individuals (Biggs et al. 2021). The details are not well established, but compared to other aggregating grouper, like gag (*Mycteroperca microlepis*), scamp aggregations are thought to be less specific to particular locations or habitat types (Coleman et al. 2011). Some localized movement to and from spawning locations on the edge of the continental shelf is likely, but there is no direct evidence of significant migration. Tag recaptures overwhelmingly find scamp within 20km of their release location with only a handful of documented individuals traveling farther (Wilson & Burns 1996, Coleman et al. 2011, Addis et al. 2013, SEDAR 2020).

Nonetheless, genetic analyses of scamp find no spatial patterns of differentiation or population structure. Instead, a single genetic population spans the continental shelf off the southeast United States, including the Gulf and Atlantic regions (Zatcoff et al. 2004, SEDAR 2020). This has led to speculation about the mechanism of mixing that maintains genetic homogeneity across the scamp population, despite high site fidelity and little post-settlement movement.

One plausible explanation is that long distance larval dispersal by ocean currents facilitates connectivity between disparate and otherwise isolated populations (Zatcoff et al. 2004). Indeed, larval dispersal has long been implicated as a source of population connectivity in fishes (Cowen et al. 2003). For taxa with long pelagic larval durations (PLD), such as grouper (Lindeman et al. 2000), it may serve as the dominant form of connectivity. The dispersal distances required to mix scamp populations in the Gulf of Mexico with those in the Atlantic, however, are orders of magnitude greater than what is commonly assumed to be realized (Cowen

et al. 2006, Abesamis et al. 2017, Almany et al. 2017, D'Aloia et al. 2022). Moreover, the dispersal patterns of reef-fish larvae, including for grouper species, are widely thought to be dominated by self-recruitment, with most larvae settling close to where they spawned (Jones et al. 1999, Jones et al. 2005, Cowen et al. 2006, Buston et al. 2012, Almany et al. 2013, D'Aloia et al. 2015).

In U.S. waters scamp are managed as two separate stocks. One in the Gulf of Mexico, which is managed by the Gulf of Mexico Fishery Management Council and was recently estimated to be not overfished and not subject to overfishing (SEDAR 2022a), and another in the Atlantic, which is managed by the South Atlantic Fishery Management Council and was recently estimated to be overfished but not subject to overfishing (SEDAR 2022b). This two-stock structure is due, in part, to geographical boundaries, as well as a presumed biological separation between populations. In effect, this treats U.S. scamp in the Gulf of Mexico and Atlantic as two independent and homogeneous populations. If, however, sufficient larval dispersal exists to mix scamp populations in the two regions, then it could also have implications for fishery management.

In particular, spatial management decisions, like the placement of stock boundaries and Marine Protected Areas, benefit from understanding which areas provide recruitment to the broader region, and which areas rely on external recruitment (Dubois et al. 2016). These so called “source-sink” dynamics can be quantified by simultaneously considering a variety of connectivity metrics, including some that consider the retention of locally spawned larvae and others that consider the exchange of larvae between areas (Dubois et al. 2016). Moreover, understanding dispersal could influence the way recruitment is modeled during stock assessment. For example, in some instances, statistical models that include connectivity metrics better predict

inter-annual recruitment deviations than conventional spawner-recruit relationships do (Hidalgo et al. 2019).

Therefore, to investigate the scale of scamp connectivity, we used an individual-based model to simulate dispersal and examine the resulting recruitment dynamics. Specifically, we used the open-source particle tracking platform, Connectivity Modeling System (CMS, Paris et al. 2013), which combines reproductive ecology and larval biology with models of oceanic currents, to transport virtual larvae through time and space. Within CMS we modeled scamp life history, released virtual larvae from spawning locations throughout U.S. waters, and tracked their simulated movements from spawning grounds to settlement locations. These simulations can inform the degree of connectivity between fishery management units (Le Corre et al. 2018, Zeng et al. 2018, Le Corre 2020), and we focused on three topics: 1) whether oceanographic conditions in the region favor local retention or long-distance dispersal; 2) which spawning regions are likely to produce significant scamp recruitment; and 3) the degree of trans-boundary connectivity between the scamp stock units in the U.S. Gulf of Mexico and Atlantic Ocean.

## 2. MATERIALS & METHODS

### 2.1 Biophysical modeling framework

We used the Connectivity Modeling System (CMS) to simulate the spawning of virtual eggs from expected scamp spawning locations throughout the U.S. Gulf of Mexico and Atlantic regions. CMS uses the output from oceanographic models to advect individual virtual larvae through time and space and monitors the trajectories of those larvae from spawning to settlement. In total, we conducted eight different simulations, which used the same seasonal and

spatial spawning distributions, but differed in terms of the underlying ocean velocity fields and assumed larval traits and behaviors (Table 1). These eight simulations can be thought of as one base simulation and seven variations that explore two kinds of known uncertainty, that due to ocean circulation and that due to larval biology. In the subsequent sections we describe the configuration of the base simulation we used to model scamp dispersal. Then, we describe how we modified this base simulation to investigate hydrodynamic and biological uncertainties, and the ensemble modeling approach we used to analyze the results and quantify scamp connectivity.

## 2.2 Initial conditions of the base biological model

### *Spawning time*

To determine the timing of simulated spawning in CMS we used a generalized additive model (GAM) to analyze the seasonality of all available reproductive histology data for scamp caught in the U.S. Gulf of Mexico (1972-2017, National Marine Fisheries Service – Southeast Fisheries Science Center) and Atlantic (1976-2018, South Carolina Department of Marine Resources). After removing data without reliable positional information, and years with fewer than 30 samples, these data included 5,093 reproductive samples (Figure S1, Table S1). Of these, 650 were obtained from females within approximately 24 hours of spawning, with spawning observed at depths between 14 and 177 meters. We used a binomial GAM to predict the probability that a sample came from a spawning female ( $\eta$ , Equations 1-3). The covariates we considered included average bottom depth ( $d$ ), local change in bottom depth ( $\delta$ ), distance to the continental shelf-break ( $dist$ , Appendix 1 in the Supplementary Materials), day of year ( $doy$ ), year ( $y$ ), and whether the sample came from a fishery dependent or fishery independent source

(source). We calculated the depth-based covariates from the Coastal Relief Model (National Geophysical Data Center) using a 100-meter radius around each catch location. Scamp are thought to spawn preferentially at high relief rocky areas near the edge of the continental shelf (Coleman et al. 2011, SAFMC 2013, Farmer et al. 2017, Grüss et al. 2018), but unfortunately, habitat, relief and substrate data are not consistently available throughout our full study area. Because these data are only available at select sites, we could not include them explicitly in this model. Instead, we used the change in depth within 100-meters of each sample location as a proxy for local relief.

$$\eta \sim \text{Bernoulli}(\pi), \quad (1)$$

$$E(\eta) = \pi, \text{ and } \text{var}(\eta) = \pi \times (1 - \pi), \quad (2)$$

$$\text{logit}(\pi) = \alpha + s_1(\text{doy}^*) + s_2(\text{dist}^+) + s_3(d^+) + s_4(\log(\text{delta}^+)) + f_1(y) + f_2(\text{source}) \quad (3)$$

where:

$\pi$  is the probability of a spawning female, and  $(1 - \pi)$  is the probability of a male or non-spawning female,

$\text{logit}$  is the logit link function,

$\alpha$  is the model intercept,



$s$  is a smoothed cubic spline function,

$f$  is a categorical function,

$*$  denotes temporal covariates that changed when predicting the spawning season, and

$^{\dagger}$  denotes spatial covariates that changed when predicting the spawning distribution.

For this, and all subsequent GAMs, we used the “mgcv” package (Wood 2011) in R (R Core Team 2023). We estimated smoothing parameters using Restricted Maximum Likelihood (REML) and conducted variable selection with the “select == TRUE” argument, which is the recommended method for GAMs and adds an additional penalty to each term so that they can be removed from the model during the fitting process (Marra & Wood 2011). The final model (Equation 3) retained all variables and explained 45.1 percent of the deviance in spawning with an adjusted  $r^2$  of 0.402. This model predicted a high probability of spawning from March through May, with a peak in April (Figure 1A), which is consistent with previous reports of the scamp spawning season (Harris et al. 2002, Lombardi-Carlson et al. 2012, Farmer et al. 2017).

There is not sufficient histology sampling to estimate a different spawning season for each year (Table S1), so we used this average seasonality to distribute simulated spawning events throughout the year. First, we delineated the spawning season by calculating the middle 95% of the area under the spawning season curve from the model (Figure 1A) and only simulated scamp spawning between day of year 55 (late February) and 165 (mid-June). Then, within this spawning season we simulated spawning every other day and scaled the number of virtual eggs spawned on each day to be proportional to the predicted probability of spawning on that day. In this way, we simulated more spawning in April, when our model and other reports

suggest scamp spawning is higher, than at the beginning or end of the estimated spawning season.

### *Spawning location*

We used a similar approach to scale the spatial distribution of simulated spawning throughout the U.S. Gulf of Mexico and Atlantic. Briefly, at grid of locations spaced at 10 km intervals, we calculated the expected spawning ( $\lambda$ ) as the product of spatial predictions from three statistical models (Equation 4): the probability of scamp presence, the estimated abundance when present, and the probability of spawning when present. Then, we simulated spawning at each grid location, but spawned more virtual larvae at locations where these models predicted higher spawning (Figure 1B).

$$\lambda = \beta \times \mu \times \eta \quad (4)$$

where:

$\lambda$  is the estimated scamp spawning

$\beta$  is the probability of scamp presence

$\mu$  is the estimated scamp abundance, when present

$\eta$  is the probability of scamp spawning, when present (Equation 3)

In effect, this builds a species distribution map and then uses the histology-based spawning model we described above (Equation 3) to adjust where the simulated spawning is concentrated with respect to depth, local change in depth (a proxy for local relief), and proximity

to the edge of the continental shelf. Therefore, if scamp tend to spawn closer to the shelf-edge, or at different depths than the species distribution in general, our modeling approach can readily account for this.

To build the species distribution map we used a common delta-model approach (also known as a hurdle-model) to analyze recent data (2011-2017) from five fishery independent surveys (Appendix 2, Supplementary Materials, for full details). Sampling for these surveys occurs largely from May through August, so it does not perfectly align with the scamp spawning season (March through May). However, these are the best available data to inform the relative abundance of scamp throughout U.S. waters, which is necessary to appropriately scale the magnitude of simulated spawning. Moreover, there is no evidence that scamp travel long distances to spawn, and by including a histology model, our approach accounted for potential inshore-offshore shifts in the spawning distribution compared to the species distribution.

The delta-model approach we used to estimate the species distribution map included two GAMs: a binomial sub-model that predicted the probability of scamp presence ( $\beta$ , Equations 5-7), and a Gaussian sub-model that predicted scamp abundance when present ( $\mu$ , Equation 8). Both sub-models had the same set of covariates, which included all covariates that overlapped across the surveys: average bottom depth (d), local change in bottom depth (delta) as a proxy for local relief, distance to the shelf break (dist, Appendix 1 in the Supplementary Materials), position along the shelf break (pos, Appendix 1 in the Supplementary Materials), percent observed substrate (sub), observed maximum relief (rel), year (y), and survey program (survey).

$$\beta \sim \text{Bernoulli}(\theta) \quad (5)$$

$$E(\beta) = \theta \text{ and } var(\beta) = \theta \times (1 - \theta) \quad (6)$$

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$$\begin{aligned} \logit(\theta) = & \alpha + s_1(dist^+) + s_2(pos^+) + s_3(d^+) + s_4(\log(delta^+)) + s_5(sub) + f_1(y) + \\ & f_2(survey) + f_3(rel) \end{aligned} \quad (7)$$

241

$$\begin{aligned} \sqrt[4]{\mu} = & \alpha + s_1(dist^+) + s_2(pos^+) + s_3(d^+) + s_4(\log(delta^+)) + s_5(sub) + f_1(y) + \\ & f_2(survey) + f_3(rel) \end{aligned} \quad (8)$$

244 where:

245  $\theta$  is the probability of scamp presence, and  $(1 - \theta)$  is the probability of absence,

246 equation (7) uses all visual survey data to predict the probability of scamp presence, and

247 equation (8) uses 4<sup>th</sup> root transformed positive count data and a gaussian error distribution

248 to estimate scamp abundance when present.

249

250 The binomial sub-model explained 25.6% of the deviance in whether a survey observed  
 251 scamp with an adjusted  $r^2$  of 0.238. The gaussian sub-model explained 26.2% of the deviance in  
 252 survey counts (when scamp were present) with an adjusted  $r^2$  of 0.254.

253 We used the spatial predictions of these two sub-models, in combination with the spatial  
 254 predictions from the previously described histology model (Equation 3), to estimate the spatial  
 255 distribution of scamp spawning (Figure 1B). Specifically, we generated a grid of locations  
 256 spaced at 10-kilometer intervals throughout the U.S. Gulf of Mexico and Atlantic and at each

location we used the spatial covariates in each model (e.g. depth, denoted by  $\dagger$ ) to predict the probability of scamp presence ( $\beta$ , Equations 5-7), the scamp abundance when present ( $\mu$ , Equation 8), and the probability of scamp spawning when present ( $\eta$ , Equation 3). The product of these three predictions (Equation 4) estimates the relative amount of scamp spawning at each grid location. These models suggest that scamp are most likely to spawn around 75 meters of depth (Figure S5) and at locations near the edge of the continental shelf (Figure 1B), which is consistent with previous reports of scamp spawning (Coleman et al. 2011, SAFMC 2013, Farmer et al. 2017, Grüss et al. 2018).

Unfortunately, observations of relief and substrate are not available throughout our full study area. Therefore, we could not include these spatial covariates in our spawning model or use them to predict the species distribution. Instead, we used the local change in depth within a 100-meter radius of each location as a proxy for local relief. In addition, we used the survey observations of percent available substrate and maximum relief as controlling variables in our species distribution modeling to better estimate the relationship between scamp abundance and the other spatial covariates in the model (e.g. depth). Therefore, our spatial models likely captured the broad spatial patterns in scamp spawning, but they cannot account for spatial variation at fine scales.

In addition, there is not enough empirical data to estimate annual spawning maps. Instead, we used data from multiple years to inform an average spatial distribution that we applied for all simulation years. This average distribution analyzed recent survey data to estimate the relative abundance of scamp and combined it with histology samples that were collected over a longer time-period. Therefore, the exact spawning locations of scamp and how they might change over time, remain uncertain.

To confine the spatial extent of spawning locations we calculated the middle 95% of the area under the depth marginal effect of all three models combined (Figure S5). As a result, we simulated spawning at grid locations with an average depth between 14 and 279 meters. Then, we scaled the number of virtual eggs spawned at each grid location to be proportional to the predicted probability of spawning at that location (Figure 1B), which was highest near the edge of the continental shelf. This is consistent with previous reports (Coleman et al. 2011, SAFMC 2013, Farmer et al. 2017, Grüss et al. 2018) and the empirical depth range of spawning observed in the histology data (14 to 177 meters, with most spawning between 50 and 100 meters).

#### *Scaling the magnitude of spawning*

In each year, we simulated approximately 100,000 virtual eggs, a number we chose through a resampling analysis of preliminary results (Appendix 3 in the Supplementary Materials). To calculate the number of eggs spawned at each time and place we first used the histology model (Equation 3) to distribute the 100,000 eggs throughout the spawning season (Figure 1A). Then, on each spawning day we used the spatial distribution (Figure 1B) based on all three models combined (Equation 4) to allocate the eggs to each grid location. Finally, we rounded the number of eggs for each spawning event (combination of time and place) to the nearest whole number. After rounding, we simulated 99,102 eggs per year and used the same temporal and spatial distribution for each year of our eight simulations (base and seven sensitivity simulations). In total, we simulated the spawning of 3,765,876 virtual eggs.

We simulated spawning every other day during the spawning season, but for computational efficiency we did not spawn eggs from all locations every time. Instead, we randomly assigned spawning locations to one of five groups and spawned eggs from each group on a different set of dates (Figure S7). For example, at locations in one group we simulated

spawning on days of year 55, 65, 75, etc., but at nearby locations in another group we simulated spawning on days of year 57, 67, 77, etc. In this way, only 20% of the locations simulated spawning on each day, with spawning occurring at individual locations every 10 days. This approach maintained the temporal and spatial resolution needed for robust probabilistic results but reduced the total number of virtual eggs required for each simulation, which is important for computational efficiency.

### *Vertical distribution of eggs and larvae*

We simulated spawning 10 meters above the sea floor, which is consistent with the depth range in which scamp courtship behavior has been observed (Gilmore & Jones 1992, Schobernd & Sedberry 2009). Immediately after spawning the simulated eggs float to the surface, as is consistent with grouper eggs. The exact rate that scamp eggs float is unknown, so we specified that simulated eggs float to the surface over the first 9 hours and then remain in the top 15 meters of the water column until they hatch. We defined this assumption through a series of initial simulations that also confirmed that the resulting connectivity patterns were similar under a wide range of buoyancy assumptions (Appendix 4). After two days, when grouper eggs typically hatch (Roberts & Schleider 1983, Colin et al. 1996), the simulated larvae undergo vertical migration with depths determined probabilistically (Table 2). For the base simulation we used one vertical distribution throughout the entire larval duration (Table 2: “No Ontogenetic Shift”). We informed the assumed vertical distribution of simulated larvae by analyzing the limited empirical data available for grouper larvae caught during the scamp spawning season (Appendix 4 in the Supplementary Materials). Because these data are limited, however, the exact vertical distribution of scamp larvae is uncertain.

### *Settlement*

We only allowed virtual larvae to settle successfully when certain criteria were met. First, we specified the settlement competency period from 33 to 52 days. Because the pelagic larval duration (PLD) of scamp remains unknown, we approximated it using information on congeneric gag grouper (*M. microlepis*) larvae in the study region (Fitzhugh et al. 2005, Adamski et al. 2012), which tend to settle between 33 and 52 days of age (Appendix 5 in the Supplementary Materials). These values are also consistent with the PLD of another closely related species, black grouper (*M. bonaci*, Keener et al. 1988).

Second, we used 30 meters as a boundary for suitable settlement habitat (see below) and only considered virtual larvae to have successfully settled if they reached a depth less than 30 meters when they were between 33 and 52 days old. If a simulated larva reached 33 days old and was not in suitable settlement habitat (i.e., depth <30m), then it continued to move until it either encountered settlement habitat or it reached the maximum PLD (52 days), at which point it stopped and we considered it dead.

Little is known about the settlement preferences of scamp, but larvae are not known to require a specific nursery habitat and juveniles are thought to inhabit reefs between 20m and 30m of depth (Coleman et al. 2011). This is consistent with the few data available for age zero and age one scamp caught in the northeastern Gulf of Mexico, which suggest that young scamp are predominantly caught at depths less than 30 meters (Figure S9). In addition, surveys that sample depths less than 30 meters, like those in the Florida Keys (Keller et al. 2020), tend to see a higher proportion of small scamp than do surveys that operate in deeper waters (Thompson et al. 2020). Therefore, we felt that using a depth-based settlement criteria of 30 meters was appropriate.



To delineate settlement habitat, we extracted the 0- and 30-meter isobaths from the global 30 arc-second bathymetry grid available from GEBCO (General Bathymetric Chart of the Oceans, [www.gebco.net](http://www.gebco.net)) and defined suitable settlement habitat as all areas between these isobaths on the U.S. Gulf and Atlantic continental shelves. This does not account for potential spatiotemporal variation in settlement habitat, which is not well understood for scamp and beyond the scope of this study. It also assumes that scamp larvae can either settle anywhere shallower than 30 meters, or that once near preferred settlement habitat (yet unknown) larvae can direct their swimming to increase the likelihood of finding it. These are reasonable assumptions given the lack of information on scamp settlement and that many fish larvae can alter their behavior to facilitate settlement (Montgomery et al. 2001, Kingsford et al. 2002, Gerlach et al. 2006, Botesch et al. 2016, Teodósio et al. 2016, Faillettaz et al. 2018).

Hereafter we use terms such as settlement, successful larvae, and settlers to refer to virtual larvae that found suitable settlement habitat during the competency period instead of reaching their maximum PLD in deeper waters. Similarly, for fluency, we sometimes use terms like recruit or recruitment interchangeably with settler or settlement, even though our simulations do not model post-settlement processes that influence whether settled larvae ultimately recruit to the population as juveniles.

## 2.3 Exploring known uncertainties

There are several sources of uncertainty that we know will influence the trajectories of simulated larvae, and therefore, our estimates of scamp connectivity. Different hydrodynamic

models produce different current velocity estimates, and several areas of scamp larval ecology are relatively understudied. Therefore, we conducted seven additional simulations that use alternative assumptions for the ocean velocity estimates, pelagic larval duration, settlement habitat, and vertical distribution of larvae. These are analogous to sensitivity analyses often used in stock assessment, or robustness trials often discussed for Management Strategy Evaluation (Punt et al. 2016).

### *Ocean velocity fields*

A large source of uncertainty for simulating larval dispersal is the variability between the estimated velocity fields from different hydrodynamic products (Karnauskas et al. 2022). Therefore, we conducted five simulations, including the base simulation, that assumed the same biological parameterization described above (i.e., spawning distribution, vertical distribution of eggs and larvae, and settlement criteria), but used velocity fields from different hydrodynamic products to transport the simulated larvae.

In total, we used velocity fields from six hydrodynamic products, but one was only used as a nest for others that did not cover our entire study area. These products were obtained from different ocean circulation models as well as implementations with a range of spatial and temporal resolutions, forcings, and data assimilation approaches (summarized in Table 3, details in Appendix 6). Note that we do not prefer any hydrodynamic model over the others. Instead, we gave them equal weight when analyzing our results (see *Ensemble models* below) and only refer to a base simulation for clarity in describing our methods.

Briefly, we used velocity fields from 1) the Hybrid Coordinate Ocean Model (HYCOM) Gulf of Mexico 1/25° analysis (hereafter GOM HYCOM), 2) the HYCOM Gulf of Mexico 1/50°

analysis (hereafter GOM HYCOM HiRes, Le Hénaff & Kourafalou 2016), 3) the HYCOM Intra-American Seas  $1/32^\circ$  analysis (hereafter HYCOM IAS), 4) the Mercator GLORYS12V1  $1/12^\circ$  reanalysis (hereafter Mercator, Lellouche et al. 2021), and 5) the South Atlantic Bight and Gulf of Mexico  $1/25^\circ$  model (hereafter SABGOM, Hyun & He 2010, Xue et al. 2015). Two velocity fields (GOM HYCOM and GOM HYCOM HiRes) do not extend north of  $32^\circ\text{N}$ . Therefore, to cover our entire study area we nested them within 6) the operational  $1/12^\circ$  global HYCOM Global Ocean Forecasting System (hereafter HYCOM GOFS, Chassignet et al. 2007), which provides the boundary conditions for both GOM HYCOM and GOM HYCOM HiRes. For all hydrodynamic products we used velocity fields at daily intervals.

Each product is available for different years and thus, simulations using individual velocity fields do not always overlap (Table 3). However, the purpose of this study is to estimate probabilistic connectivity and recruitment patterns over time, not to produce a hindcast of recruitment in specific years. Therefore, we aimed to capture the interannual variability within each hydrodynamic product by using at least 5 years of velocity fields (except for HYCOM IAS). This ensured that our results were probabilistically robust and allowed us to compare the general connectivity trends from simulations that use non-overlapping years (Karnauskas et al. 2022).

To account for processes not resolved by the resolution of the hydrodynamic products (sub-grid scales) we used the random walk displacement algorithm in CMS. It adds a random component to the motion of virtual larvae and approximates diffusion. The diffusivity values we used to scale the random walk ranged from  $12\text{ m}^2/\text{s}$  to  $20\text{ m}^2/\text{s}$  with the value depending on the horizontal resolution of the ocean velocity field and Okubo's (1971) parameterization. However, SABGOM has lower eddy kinetic energy, and therefore variability, than other models (Figure

S10). So, after unpublished sensitivity explorations by Karnauskas et al. (2022), we used a slightly higher diffusivity value for SABGOM than for other models with the same horizontal resolution to ensure that our results captured a probabilistic distribution of dispersal pathways.

### *Biological traits*

There is also uncertainty in several aspects of scamp larval biology and behavior. Specifically, little is known about the pelagic larval duration of scamp, how the vertical distribution of scamp larvae changes throughout ontogeny, or the preferred settlement habitat of scamp. However, in contrast to the hydrodynamic models, of which none are preferred, the base model (described above) does in fact represent our best assessment of the relevant scamp biology and behavior. To investigate how uncertainty in these traits might influence our results we conducted three additional simulations that vary each trait, one at a time (Table 1). One extended the PLD to 57 days, which is the 97.5% quantile of settlement age for gag larvae in the Atlantic (Adamski et al. 2012). The second incorporated an ontogenetic shift in the vertical distribution of larvae (Table 2: “Ontogenetic Shift”). The third expanded the settlement criteria to 45 meters. All four simulations (base and three biological variations) used the same temporal and spatial spawning distribution as well as the same ocean velocity fields (GOM HYCOM nested within GOFS).

## 2.4 Data analysis

### *Metrics and plots*

To investigate the general patterns of scamp connectivity we used two graphical approaches. First, we visualized connectivity matrices, which aggregate successfully settled

larvae based on where they spawned and where they settled (Figure 2). These plots provide a convenient way to determine whether most larvae are likely to settle close to where they started (i.e., local retention as defined by Botsford et al. 2009) or disperse longer distances. Second, we mapped the spatial distribution of where successfully settled larvae spawned and settled (Figure 3). These maps help to identify important spawning locations that are likely to be strong sources of recruitment to the broader region, and settlement locations that are likely to be recruitment hotspots and collect larvae from widespread spawning locations.

In addition to these general patterns of connectivity, we specifically focus on the connectivity dynamics between the U.S. Gulf of Mexico and Atlantic regions. To delineate the two regions, we used the jurisdictions of the Gulf of Mexico and South Atlantic Fisheries Management Councils. Because the jurisdictions of these management bodies do not apply within state waters (three nautical miles from shore), we approximated a boundary between them in a way that can be applied to any location, including those within state waters. Our boundary (shown in Figure 1B) follows U.S. Highway 1 from Miami, Florida, to Key West, Florida, until it intersects latitude  $24^{\circ} 35' \text{ N}$ , which it follows west until it intersects longitude  $83^{\circ} \text{ W}$ , which it follows south. We considered all spawning and settlement habitat north and west of this line to be in the Gulf of Mexico and all habitat south and east of this line to be in the Atlantic. We also further partitioned the U.S. Atlantic into subregions at latitude  $25.75^{\circ} \text{ N}$  (approximately Miami, Florida) and latitude  $28.5^{\circ} \text{ N}$  (approximately Cape Canaveral, Florida). Using these geographic boundaries, we calculated a set of proportions to describe the trans-regional connectivity patterns in the area, including what percent of recruits settling in the Atlantic came from the Gulf of Mexico.

*Ensemble models*

In general, we report the findings of a hydrodynamic ensemble, a biological ensemble, and an overall ensemble. The hydrodynamic ensemble combines and summarizes the results of five simulations: the base simulation and the four variations that change the underlying ocean velocity field. The biological ensemble combines and summarizes the results of four simulations: the base simulation and the three variations that change the larval biology and behavior. The overall ensemble combines and summarizes the results of all eight simulations together.

For the connectivity matrix plots, which use spatial polygons (Figure S11) to aggregate successful recruits, and the raster maps, we first calculated the result of interest (e.g., number of successfully settled particles spawned in a particular area) for each simulation and normalized it by the total number of virtual larvae spawned in the same simulation (Equation 9). Then, for each raster cell or matrix element, we calculated the arithmetic mean across the simulations in each ensemble (Equation 10). Finally, for plotting, we normalized the mean values so that they are proportional to the maximum.

(9)

$$N_s[i, j] = \frac{\sum n_{s,i,j}}{T_s}$$

where:

$$n_{s,i,j} = \begin{cases} \text{Recruits spawned in polygon } i \text{ and settled in polygon } j \text{ for simulation } s \text{ (Fig. 2)} \\ \text{Recruits spawned from raster cell } [i, j] \text{ for simulation } s \text{ (Fig. 3A)} \\ \text{Recruits settled in raster cell } [i, j] \text{ for simulation } s \text{ (Fig. 3B)} \end{cases}$$

$T_s$  is the total number of larvae spawned in simulation  $s$

(10)

$$\overline{N_E}[i, j] = \frac{1}{k_E} \sum_{s \in E} N_s[i, j]$$

where:

$E$  is an ensemble of simulations,

$k_E$  is the number of simulations in the ensemble

For the proportions (e.g., percentage of Atlantic recruits from Gulf of Mexico spawning areas), we resampled each simulation 1000 times and calculated every proportion for each resample. Then, we pooled the resampled proportions from all the simulations in an ensemble before calculating the ensemble mean and standard deviation. This captures the uncertainty within each simulation as well as across the simulations in an ensemble. We also, however, examined the results for each simulation individually and highlight major differences when relevant.

### 3. RESULTS

On broad spatial scales we found a predominant pattern of local retention (Botsford et al. 2009), with most settled larvae ending near their spawning location (Figure 2). Across all simulations, most potential recruits (i.e., virtual larvae that found settlement habitat) started in the eastern Gulf of Mexico (Figure 3A), with a peak off the west coast of Florida near 28° N. This area was also the most successful settlement area, with the highest number of potential recruits settling off the west coast of Florida near 28° N (Figure 3B). In general, the high degree of local retention persisted across all eight simulations (Figure S12), but the spawning and settlement locations of potential recruits were more spatially distributed across the northern Gulf

of Mexico when the results were summarized over the simulations in the hydrodynamic ensemble than when summarized over the simulations in the biological ensemble (Figures S13 and S14).

Despite this trend of high local retention, we also found that more than one-third of virtual scamp larvae settling in the U.S. Atlantic region came from the Gulf of Mexico (Figure 4). Almost 65% of these larvae, which were transported from the Gulf to the Atlantic, settled in the Florida Keys – technically in the Atlantic, but very close to the boundary between the two regions. To more conservatively estimate the contribution that Gulf of Mexico spawning makes to recruitment in the Atlantic, we also report the results when we only consider those potential recruits that settled farther into the Atlantic (i.e., north of latitude  $25.75^{\circ}$  N, or north of latitude  $28.5^{\circ}$  N). Even then, 17% of the larvae that settled north of latitude  $25.75^{\circ}$  N (Miami), and 10% of the larvae that settled north of latitude  $28.5^{\circ}$  N (Cape Canaveral), started in the Gulf of Mexico (Table 4). There is, however, considerable variation in these proportions, both between simulations and between years within a simulation (Table 4, Figure 5).

The percentage of potential Atlantic recruits (i.e., virtual larvae that settled anywhere in the Atlantic) that came from the Gulf of Mexico is sensitive to the biological parameterization of our simulations (e.g., PLD, settlement criteria, vertical distribution). The differences are greater, however, when we compare simulations that use the same biological parameterization but rely on current velocity estimates from different oceanographic models. For example, the simulation using SABGOM estimated that 19% of potential Atlantic recruits started in the Gulf (year-to-year values range from 12 to 35%, Table 5), while the simulation using the high resolution HYCOM model estimated that 56% of potential Atlantic recruits started in the Gulf (year to year values range from 29 to 74%, Table 5). On the other hand, the values from simulations in the



biological ensemble, which all use the same hydrodynamic model, only range from 29 to 43%. There is still, however, considerable year to year variability within each simulation (Figure 5).

This relationship changes when we focus on larvae that settled farther north in the Atlantic. Specifically, the percentage of potential Atlantic recruits that settled north of latitude 28.5° N (Cape Canaveral) but came from the Gulf is more sensitive to the biological specifications of a simulation than to the hydrodynamic model used. For example, in the base simulation 10% of larvae that settled north of Cape Canaveral started in the Gulf of Mexico. However, when the suitable settlement habitat was extended from depths less than 30 meters to depths less than 45 meters, 28% of the larvae that settled north of Cape Canaveral came from the Gulf of Mexico. By contrast, the percent of potential recruits north of Cape Canaveral that came from the Gulf remained relatively consistent across the simulations in the hydrodynamic ensemble, which all used the same biological parameterization as the base simulation (2-10%; Table 4).

Larvae that leave the Gulf of Mexico and settle in the Atlantic came overwhelmingly from the West Florida Shelf (Figure 6). When we focus only on potential recruits that settle farther into the Atlantic, north of latitude 25.75° N (Miami), the spawning locations of these trans-regional settlers were limited largely to the southern portion of the West Florida Shelf. This southern concentration is even more extreme when we focus only on potential recruits that settle north of latitude 28.5° N (Cape Canaveral).

#### 4. DISCUSSION

Consistent with expectation (Jones et al. 2005, Cowen et al. 2006, Buston et al. 2011, Almany et al. 2013, Vaz et al. 2023), we found that scamp dispersal largely followed a pattern of local retention (Figure 2). Most virtual larvae in our simulations settled close to their spawning sites. However, there was also a consistent pattern of some larvae dispersing away from the natal place. Notably, although most larvae from the West Florida Shelf settled there, some dispersed to the Florida Keys and along the Atlantic shelf, even as far north as North Carolina (Figure 2). Even if not predominant, this pattern of consistent long-distance dispersal has important ramifications for the connectivity dynamics, genetic structure, and fishery management of scamp.

Specifically, we found that approximately one third of potential scamp recruits to the Atlantic came from the Gulf of Mexico (Figure 4). Most of these recruits that left the Gulf of Mexico settled in the Florida Keys, but even when we only considered potential Atlantic recruits settling north of latitude 25.75° N (Miami, Florida), Gulf of Mexico spawning still accounted for 17% of Atlantic recruitment. This external recruitment might increase the resilience of the scamp population in the U.S. Atlantic. In addition, this degree of connectivity appears to provide sufficient trans-regional mixing to minimize spatial patterns in the genetic structure of scamp populations (Zatcoff et al. 2004).

It is important to note that demographic connectivity examines unique events of individuals moving between regions, while genetic connectivity studies unveil patterns that occur over multiple generations and evolutionary timescales (Carr et al. 2017, Legrand et al., 2022, Vaz et al. 2022). Our results suggest a recurring pattern of transport from the Gulf of Mexico to the Atlantic Ocean, which, if consistent over long timescales, can explain the observed genetic structure of scamp populations in the region. Particularly, if we consider the possibility of multi-

generational transport, which has been shown to better predict spatial patterns in genetic differentiation than single-generation transport models or conventional conceptual models like isolation-by-distance (Legrand et al., 2022).

Dispersal over multiple generations can magnify the effects of demographic connectivity and reconcile mismatches in the spatial scale of demographic and genetic connectivity through two mechanisms (Legrand et al., 2022). Filial connectivity traces explicit connections between parents and offspring (i.e., dispersal of single lineages over generations). Coalescent connectivity considers the implicit linkages between areas that have no demographic connectivity between them but share common ancestry because they receive dispersal from the same external area. Both filial and coalescent connectivity are important when considering how demographic connectivity pathways revealed by dispersal modeling may lead to observed patterns of genetic connectivity (Legrand et al., 2022).

For example, even if a particular individual doesn't move from the Gulf to the Atlantic, it may disperse downstream far enough such that when it reproduces as an adult its own larvae are more likely to move between the regions. Specifically, most potential Atlantic recruits from the Gulf of Mexico started on the West Florida Shelf, but there is also dispersal from the Florida Panhandle to the West Florida Shelf. Therefore, the West Florida Shelf may serve as a stepping-stone that facilitates filial connectivity (Legrand et al., 2022) between scamp near the Florida Panhandle and those in the Atlantic. In addition, because there is also dispersal from the Florida Panhandle to Louisiana, there may be coalescent connectivity (Legrand et al., 2022) between scamp in Louisiana and the Atlantic because they share common ancestors (i.e., spawners near the Florida Panhandle). Thus, under the conceptual framework outlined by Legrand et al. (2022),

the connectivity pathways revealed by our simulations could lead to broad spatial mixing among the scamp throughout the Gulf of Mexico and U.S. Atlantic.

That such a large proportion of Atlantic recruitment comes from the Gulf of Mexico, even though our findings generally favor a pattern of local retention, may initially seem incongruous. This phenomenon likely arises, however, by the way that oceanographic transport patterns overlay the scamp spawning distribution. One of the most ubiquitous circulation patterns in the Gulf is the presence of the meandering Loop Current, which enters the Gulf flowing northward from the Yucatan Straits, rotates clockwise, flowing eastward and then southwards along the outer West Florida Shelf (Hetland et al. 1999, Le Hénaff & Kourafalou 2016). The dispersal pathway connecting the Gulf and Atlantic scamp populations passes through the Straits of Florida, a relatively narrow channel between Cuba and the Florida Keys. When entering the Florida Straits, the Loop Current originates the eastward flowing Florida Current, which dominates the Straits circulation, along with locally and remotely generated mesoscale eddies also translating eastward (Le Hénaff & Kourafalou 2016). Upon exiting the Florida Straits, the Florida Current joins the Gulf Stream, which flows northeastward. Thus, prevailing current patterns in our study region facilitate dispersal of potential recruits from the Gulf to the Atlantic, but relatively few simulated larvae were transported upstream in the Florida Straits, from the Atlantic to the Gulf. As a result, larvae transported out of the Gulf of Mexico can find acceptable settlement habitat in the Atlantic, but larvae transported out of the Atlantic within the Gulf Stream are removed from the system entirely. For scamp, this is exacerbated by the relative spawning in the two regions. Because scamp biomass is higher in the Gulf of Mexico than in the Atlantic (SEDAR 2022a, SEDAR 2022b), the amount of spawning is higher as well (Figure 1B). Therefore, more larvae originate in the Gulf of Mexico than in the Atlantic. When combined

with the observed larval dispersal pathways, this distribution leads to a large proportion of potential Atlantic recruits coming from the Gulf of Mexico even though most larvae settled close to their spawning grounds.

This set of conditions may not be geographically widespread, but that does not imply the findings are limited to scamp. Even if these transport dynamics are unique to this region, the results are likely applicable to an extensive set of reef-associated species that span the Gulf of Mexico and U.S. South Atlantic. Indeed, results for red snapper suggest that up to one-third of Atlantic recruits come from spawning areas in the Gulf of Mexico (Karnauskas et al. 2022). We expect the extent of Atlantic recruitment from the Gulf of Mexico to be highest for species that spawn on the West Florida Shelf, have higher biomass in the Gulf of Mexico than in the Atlantic, and have extended pelagic larval durations. By contrast, the effect may be tempered in species with spawning concentrations farther west in the Gulf of Mexico, or species with higher abundance in the Atlantic, such as black sea bass (*Centropristis striata*).

This connectivity between the two regions has important implications for the assessment and management of economically important species. Currently, scamp and other reef-associated fishes near the southeast U.S. are assessed and managed as two distinct stocks, one in the U.S. Gulf of Mexico and one in the Atlantic. For scamp, our results suggest that the two stocks are connected through source-sink recruitment dynamics, with some Atlantic recruits originating in the Gulf of Mexico. Considering these findings, resource managers may wish to revisit the hard separation of jurisdictional regions, or if this is not feasible, recognize that management decisions applied in the Gulf of Mexico will likely influence scamp abundance in the Atlantic.

For stock assessment, these results may inform our understanding of spawner-recruit relationships and how that relationship is modeled. Ideally, the stock assessment could

incorporate an index of connectivity as a covariate in the recruitment model. Doing so could help explain variance in annual recruitment patterns (Hidalgo et al. 2019) and improve short-term forecasts if the terminal year of the assessment lags behind the terminal year of the hydrodynamic models.

Precisely estimating annual connectivity dynamics would be helpful for stock assessment, but it was not our goal. Instead, we probabilistically informed the general dispersal patterns of scamp. Specifically, even though we used ocean velocity estimates for different years, all of our simulations used the same seasonal and spatial spawning distributions. Similarly, we assumed the same spatial settlement criteria and pelagic larval duration for all years. In reality, the spatiotemporal dynamics of spawning and settlement are complex processes influenced by many factors (e.g., temperature). Therefore, they are likely to change from year to year, but we did not have sufficient data to model these spatiotemporal nuances. Future simulations could consider exploring annual variation in both spawning (e.g., Di Stefano et al., 2022) and settlement (e.g., Druon et al., 2015), particularly if they aim to hindcast recruitment estimates for specific years.

Similarly, our results must also be considered at the appropriate spatial scale. Scamp are thought to form transient spawning aggregations in rocky areas with high local relief (Coleman et al. 2011, SAFMC 2013, Farmer et al. 2017, Grüss et al. 2018, Heyman et al. 2019, Biggs et al. 2021). We included these factors, when possible (e.g., local relief from modeled bathymetry), but fine-scale habitat information is not available throughout our study area and the details of scamp's aggregation behavior remain uncertain. Therefore, our spatial models are likely to approximate the broad spatial trends in scamp spawning, but they cannot account for fine scale patterns due to habitat patchiness, local movements, or transient aggregations.

In addition, the spatial resolution of the ocean circulation models in our study area range from 2km to 8km (Table 3) so they cannot resolve flow at finer spatial scales. Consequently, the results of our dispersal simulations can probabilistically inform the general connectivity patterns expected for scamp and help to identify which spawning or settlement regions are likely important. They cannot, however, precisely identify specific spawning or settlement locations, or be used to compare neighboring locations with certainty.

To explore whether our results were robust to alternative assumptions of scamp larval biology and in ocean circulation, we conducted a suite of sensitivity analyses. The goal of these explorations was not to disentangle the relative importance of physical oceanography and larval biology on the ultimate dispersal patterns of scamp, but rather to understand how known uncertainties might influence our findings. Our general conclusions were robust to the choice of hydrodynamic model and assumed larval biology, but there was considerable quantitative variation across the simulation results.

The greatest variation was across simulations in the hydrodynamic ensemble, which used different ocean velocity fields but the same biological parameterization. For example, the simulation that relied on velocity fields from the GOM HYCOM HiRes model estimated that 56% of Atlantic recruits came from the Gulf of Mexico, while the simulation using velocity fields from the SABGOM model estimated that only 19% did (Table 5). This is consistent with results for red snapper (Karnauskas et al. 2022), which also found that SABGOM provided lower estimates of Atlantic recruitment coming from the Gulf of Mexico than other hydrodynamic models. This is most likely due to differences in the hydrodynamic models themselves, as SABGOM presented with lower variability, than other models (Karnauskas et al. 2022). This

variability in the flow field, which is largely due to the formation and passage of mesoscale eddies, is essential to facilitate connectivity between the Gulf and Atlantic regions.

It is hard to completely exclude the possibility of a year effect, however, as we were constrained by the years that ocean velocity estimates were available. Therefore, our SABGOM simulation used an earlier set of years (2003-2010) than the other simulations, which started in or after 2010. This difference in year sets can influence connectivity estimates because of unpredictable changes in the state and position of the Loop Current that lead to considerable year-to-year variability in the circulation patterns in the northeast Gulf of Mexico (Liu et al. 2016). Therefore, the degree that the Loop Current extends north into the Gulf of Mexico and the seasonal timing of these intrusions are likely to change from one year to the next, which will, in turn, influence the annual estimates of connectivity. However, variability in the Loop Current is greatest on time scales of two years or less (Liu et al. 2016), so because we used at least five years for most hydrodynamic models the results are probabilistically robust (Karnauskas et al. 2022). Therefore, we can compare the general patterns across simulations, even those that used different years.

In addition, there was still considerable variation between hydrodynamic models that used largely overlapping years (e.g., Mercator, HYCOM, HYCOM-HiRes). Even within a given simulation year different hydrodynamic models provided different estimates of how many Atlantic recruits came from the Gulf (Table 5). However, it wasn't simply that one hydrodynamic model consistently provided higher estimates than another and they all followed the same trend from one year to the next. Instead, there were also differences in the interannual patterns between simulations that use different velocity fields (Figure 5). This is likely because



the mechanistic factors that control when and how far the Loop Current intrudes into the Gulf of Mexico are not fully understood (Weisberg & Liu 2017, National Academies of Sciences 2018).

There was less variation across the simulations in the biological ensemble, which all used the same hydrodynamic model. This does not, however, imply that variability in current velocity estimates is more important in determining dispersal patterns than variability in larval biology. Indeed, biological assumptions, like the vertical distribution of larvae in the water column, can have profound implications for the ultimate dispersal patterns (Hernández et al. 2023). In our case, the alternative biological assumptions we considered apparently presented smaller variations around the base simulation than the alternative flow representations did. Even still, changing the biological parameterization did influence our simulated recruitment dynamics (Table 4) and connectivity estimates (Table 5, Figure 5). Relative to the base simulation, increasing the PLD and extending the settlement criteria to 45 meters both increased the proportion of potential Atlantic recruits that came from the Gulf of Mexico. Conversely, incorporating ontogenetic vertical migration (OVM) decreased the proportion of potential Atlantic recruits that spawned in the Gulf. This is largely because of a decrease in the proportion of Gulf of Mexico spawned larvae that successfully settled, an increase in the proportion of Atlantic spawned larvae that successfully settled (Table 5), and a corresponding increase in local retention in the Atlantic, particularly near North Carolina (Figure S12). Not surprisingly, the variation that did exist remained consistent from one year to the next. For example, the simulation using a settlement criterion of 45-meters always resulted in the highest estimate of connectivity (Figure 5).

725 *Research recommendations*

726           Our findings highlight several avenues for future exploration. As suggested by Swearer et  
727 al. (2019), empirical observations could help validate our findings and confirm our assumptions.  
728 For example, our simulations estimate that approximately one-third of scamp recruitment to the  
729 U.S. Atlantic comes from the Gulf of Mexico. This result could be explored empirically through  
730 targeted genetic analysis that explores the natal origin of individual scamp in the Atlantic.

731           In addition, a suite of empirical sampling could target areas where our models predict high  
732 scamp spawning. This would both confirm the predictions of our spatial models, which underlie  
733 our dispersal simulations, and help to better understand the spatiotemporal dynamics of scamp  
734 spawning. Histological sampling could further inform the location and character of spawning  
735 sites, as well as whether the seasonality of spawning is consistent throughout scamp's range.  
736 Once spawning locations are identified, tagging studies could help reveal the details of transient  
737 aggregation behavior and the extent of seasonal spawning migrations, both of which remain  
738 uncertain and are likely important for management (Erisman et al. 2015, Heyman et al. 2019,  
739 Biggs et al. 2021).

740           Future connectivity explorations could then incorporate an updated understanding of the  
741 spatiotemporal variability in spawning as more empirical data become available. Additional  
742 dispersal simulations would also benefit from a better understanding of scamp larval ecology.  
743 The vertical distribution of scamp larvae is not well studied, and these assumptions are known to  
744 influence the results of dispersal simulations (Hernández et al. 2023). Therefore, additional  
745 ichthyoplankton sampling with refined taxonomic classification could better inform future  
746 connectivity simulations. Similarly, little is known about scamp's pelagic larval duration or  
747 nursery habitat, so we conducted sensitivity analyses to explore the robustness of our results.

Empirical studies looking at larval ingress or targeting newly settled young scamp could help inform these assumptions for future dispersal simulations.

We explored the sensitivity of our results to several sources of uncertainty, but future studies investigating connectivity between the Gulf of Mexico and Atlantic could explore others. Specifically, if those larvae that settle in the Atlantic after starting in Gulf of Mexico tend to settle later during the pelagic larval duration than other settlers, including larval mortality could influence the degree of connectivity between the two regions. Finally, diverse fish larvae are known to use a variety of environmental cues to orient their swimming (Faillettaz et al. 2015, Cresci et al. 2019, Leis et al. 2021, Berenshtein et al. 2022). Future simulations could also investigate how this potential orientation behavior might influence the expected connectivity between the Gulf of Mexico and US Atlantic.

### *Synthesis*

Taken together, our findings provide strong evidence that episodic but consistent long-distance larval dispersal facilitates the connectivity of scamp populations on a broad spatial scale. Moreover, due to the pattern of directional oceanographic transport, and the spatial differences in abundance, this connectivity leads to the U.S. Atlantic scamp population receiving a high proportion of external potential recruits from the Gulf of Mexico. Although this may increase the resilience of the Atlantic population, it also means the sustainability of the Atlantic population may rely, in part, on the health of spawning populations in the Gulf of Mexico.

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## 7. TABLES

**Table 1: Simulation configurations.** Eight larval dispersal simulations, including a base simulation and seven sensitivity simulations that investigate variation due to uncertainty in the ocean velocity estimates and scamp larval biology. OVM (ontogenetic vertical migration) indicates whether the simulation includes an ontogenetic shift in the vertical distribution of simulated larvae. H indicates simulations included in the hydrodynamic ensemble and B indicates simulations included in the biological ensemble. PLD = pelagic larval duration, HYCOM = Hybrid Coordinate Ocean Model, GOM = Gulf of Mexico, GOFS = Global Ocean Forecasting System, HiRes = high resolution, IAS = Inter-American Seas, SABGOM = South Atlantic Bight and Gulf of Mexico.

Simulation	Hydrodynamic Product	Competency Period (PLD)	OVM	Settlement Criteria	Ensemble
Base	HYCOM GOM + GOFS	33-52 days	N	30	H,B
GOM HiRes	HYCOM GOM HiRes + GOFS	33-52 days	No	30 m	H
IA	HYCOM IAS	33-52 days	N	30	H
Mercator	Mercator	33-52 days	No	30 m	H
SABGOM	SABGOM	33-52 days	N	30	H
PLD 57	HYCOM GOM + GOFS	33-57 days	No	30 m	B
OVM	HYCOM GOM + GOFS	33-52 days	Yes	30	B
45m	HYCOM GOM + GOFS	33-52 days	No	45 m	B

**Table 2: Vertical distribution of simulated larvae.** The percentage of simulated larvae in each depth bin for each of two vertical distribution assumptions. Most simulations assumed a single vertical distribution throughout the entire pelagic larval duration. One sensitivity simulation, however, assumed an ontogenetic shift in the vertical distribution of larvae. CMS uses these distributions, which we calculated by analyzing empirical data (Appendix 4), to specify the vertical distribution of simulated larvae in each timestep. PLD = pelagic larval duration.

Depth Bin	No Ontogenetic Shift	Ontogenetic Shift	
	Entire PLD	Pre-flexion	Post-flexion
0-20 meters	6	6	4
20 to 40 meters	30	28	48
40 to 60 meters	1	8	1
60 to 100 meters	0	0	0

**Table 3: Hydrodynamic product specifications.** Our simulations used ocean velocity fields from six different hydrodynamic products with varying specifications. See Table 1 for how these fields were applied across the simulations – note that the GOFS fields were only used as a nest for other products that did not cover the entire region of interest. Appendix 6 (Supplemental Materials) provides more details for each hydrodynamic product. HYCOM = Hybrid Coordinate Ocean Model, GOM = Gulf of Mexico, HiRes = high resolution, IAS = Inter-American Seas, SABGOM = South Atlantic Bight and Gulf of Mexico, GOFS = Global Ocean Forecasting System.

Hydrodynamic Product	Years	Vert. Resolution (top 100m)	Horiz. Resolution	Type of Product	CMS Diffusivity Coefficient
HYCOM GOM	2012, 2013, 2015-2018	20 layers	1/25° (ca. 4km)	Hindcast	15 m <sup>2</sup> /s
HYCOM GOM HiRes	2011-2017	11 layers	1/50° (ca. 2km)	Hindcast	12 m <sup>2</sup> /s
HYCOM IAS	2010	7 layers	1/32° (ca. 3.5km)	Reanalysis	15 m <sup>2</sup> /s
MERCATOR	2013-2017	22 layers	1/12° (ca. 8km)	Reanalysis	20 m <sup>2</sup> /s
SABGOM	2004-2010	20 layers	1/25° (ca. 4km)	Hindcast	20 m <sup>2</sup> /s
GOFS	Expt. 3.0	2011-2014	1/12° (ca. 8km)	Hindcast	20 m <sup>2</sup> /s
	Expt. 3.1	2015-2018			

**Table 4: All proportions by simulation.** We calculated several proportions to describe the connectivity dynamics between the U.S. Gulf of Mexico (GOM) and Atlantic (ATL). Columns 2-4 describe what percentage of recruits to various portions of the Atlantic came from spawning in the Gulf of Mexico. Columns 5 and 6 describe what percentage of simulated larvae from each region successfully settled anywhere. The last column describes what percentage of successful larvae spawned from Gulf of Mexico spawning areas settled in the Atlantic. H indicates simulations included in the hydrodynamic ensemble and B indicates simulations included in the biological ensemble. GOM = Gulf of Mexico, HiRes = high resolution, IAS = Inter-American Seas, SABGOM = South Atlantic Bight and Gulf of Mexico, PLD = pelagic larval duration, OVM = ontogenetic vertical migration.

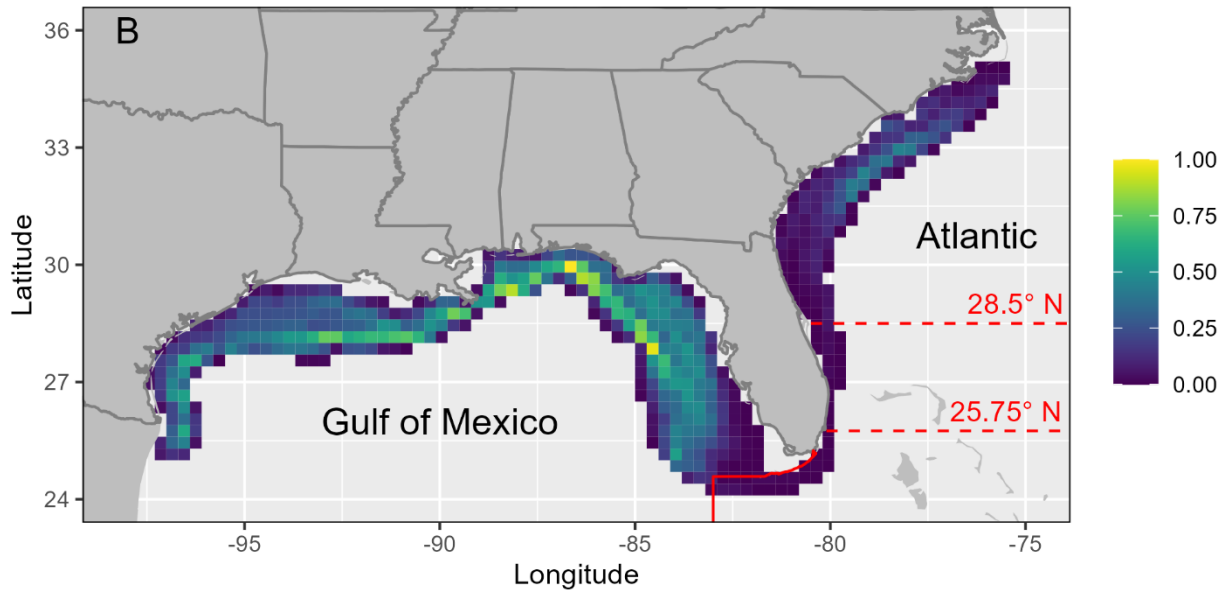
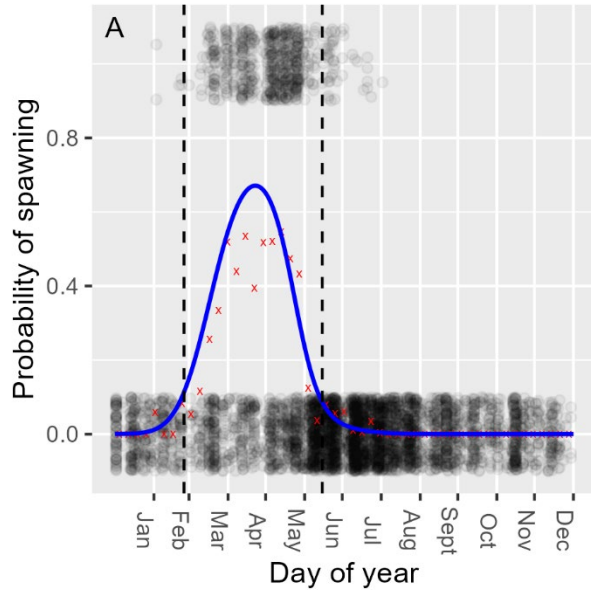
Simulation (Ensemble)	% ATL recruits from GOM	% ATL recruits (N of 25.75) from GOM	% ATL recruits (N of 28.5) from GOM	% ATL Spawn Settled	% GOM Spawn Settled	% GOM to ATL
Base (H, B)	34	19	10	25	26	7
GOM HiRes (H)	56	21	9	39	26	11
IAS (H)	25	9	5	32	27	4
Mercator (H)	55	11	4	31	23	11
SABGOM (H)	19	3	2	27	20	2
PLD 57 (B)	36	22	12	27	27	7
OVM (B)	29	18	10	19	32	9
45m (B)	43	34	28	41	30	7

**Table 5: Percentage of recruits to the U.S. Atlantic that came from Gulf of Mexico spawning locations.** H indicates simulations included in the hydrodynamic ensemble and B indicates simulations included in the biological ensemble. GOM = Gulf of Mexico, HiRes = high resolution, IAS = Inter-American Seas, SABGOM = South Atlantic Bight and Gulf of Mexico, PLD = pelagic larval duration, OVM = ontogenetic vertical migration.

Year	Base (H,B)	GOM HiRes (H)	IAS (H)	Mercator (H)	SABGOM (H)	PLD 57 (B)	OVM (B)	45m (B)
2004	-	-	-	-	35	-	-	-
2005	-	-	-	-	16	-	-	-
2006	-	-	-	-	21	-	-	-
2007	-	-	-	-	26	-	-	-
2008	-	-	-	-	20	-	-	-
2009	-	-	-	-	12	-	-	-
2010	-	-	25	-	12	-	-	-
2011	-	61	-	-	-	-	-	-
2012	-	29	-	-	-	-	-	-
2013	28	65	-	76	-	30	24	-
2014	-	51	-	26	-	-	-	-
2015	25	59	-	31	-	27	23	31
2016	45	47	-	57	-	49	38	55
2017	37	74	-	49	-	38	34	44
2018	25	-	-	-	-	28	18	30
All	34	56	25	55	19	36	29	43

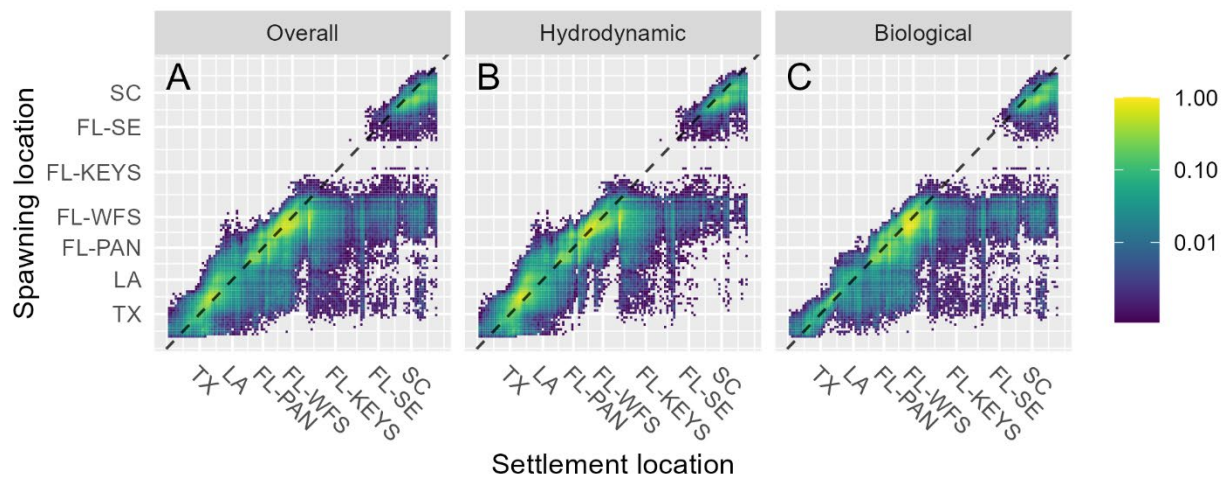


## 1135 8. FIGURES

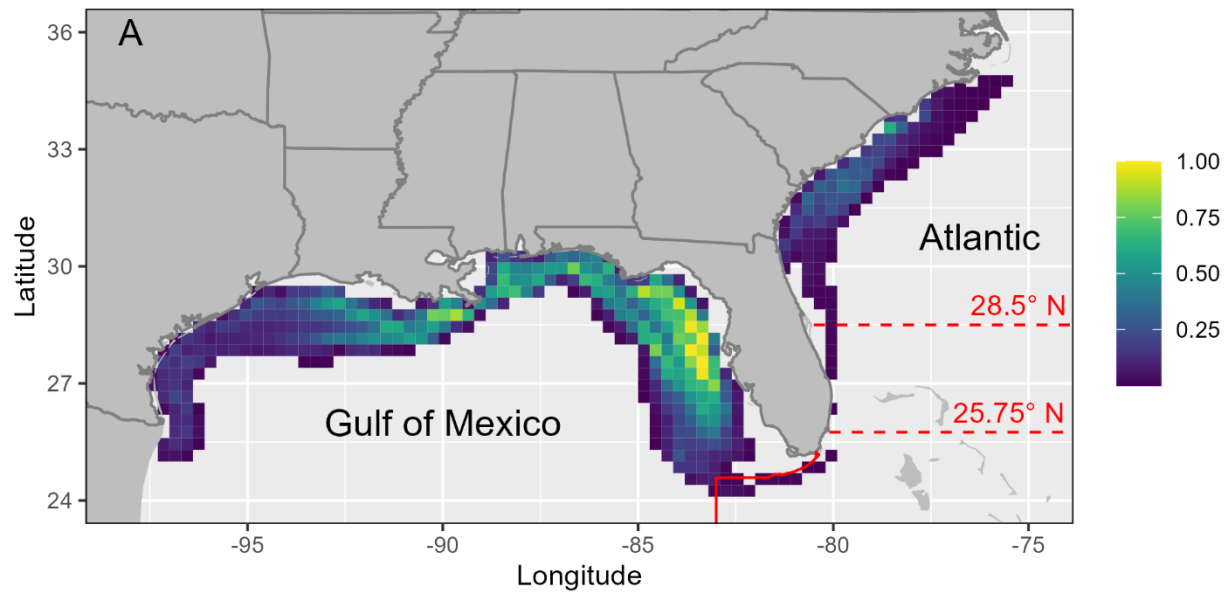


**Figure 1: The seasonal (A) and spatial (B) distribution of scamp spawning, which is proportional to the amount of simulated spawning.** A) GAM predicts that scamp spawning peaks in late April. The dashed lines show the spawning season during which we simulated spawning. The number of larvae simulated on any given day was proportional to the predicted probability of spawning (blue line). The jittered black points show the catch date of all histological samples. Points along the top are females within 24 hours of spawning and points along the bottom are from non-spawning females or males. The red x's show the proportion of samples that came from spawning females in weekly bins. B) A map showing the predicted

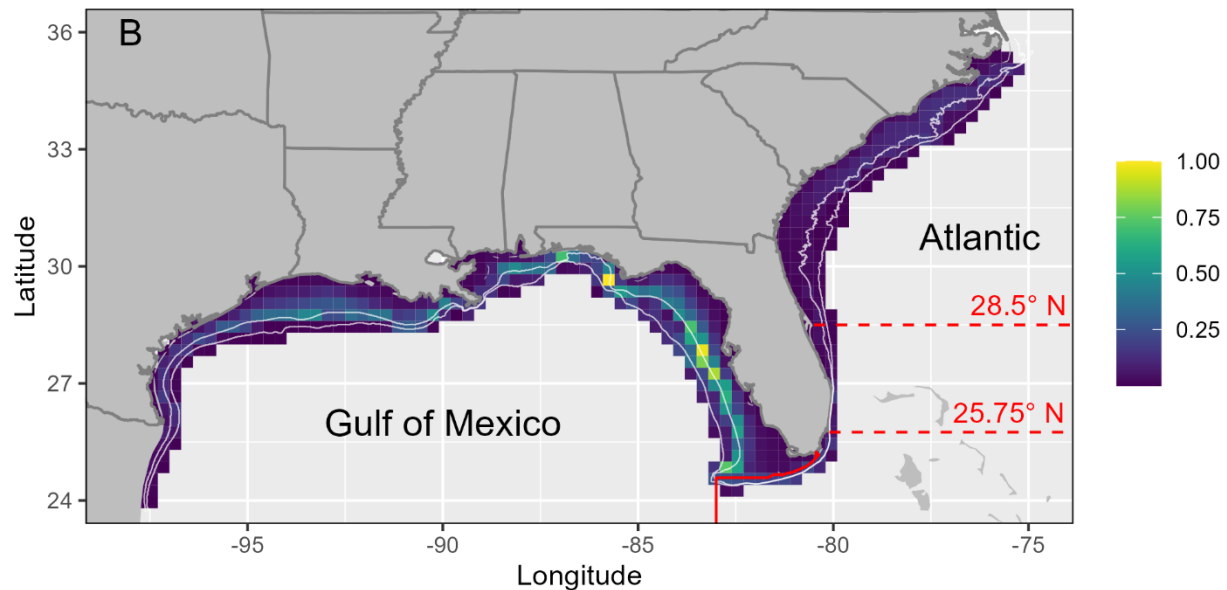
spatial distribution of scamp spawning with values proportional to the maximum. Yellow indicates high estimated spawning and purple indicates low estimated spawning. We used this distribution to scale the amount of spawning simulated from each grid location. The solid red line shows the boundary between the U.S. Gulf of Mexico and South Atlantic management units, and the dashed red lines delineate sub-regions within the Atlantic.



**Figure 2: Connectivity matrices showing the dispersal dynamics of successful simulated scamp larvae summarized over the overall (A), hydrodynamic (B), and biological (C) ensembles.** The vertical axis represents the spawning location, and the horizontal axis represents shows the settlement location of successful virtual larvae. The values (on a log scale) are proportional to the maximum across all panels with yellow indicating high estimated connectivity and purple indicating low estimated connectivity. The black dotted line represents the axis of local retention (i.e., settlement location = spawning location, Botsford et al. 2009). TX = Texas, LA = Louisiana, FL-Pan = the Florida Panhandle, FL-WFS = the West Florida Shelf, FL-Keys = the Florida Keys, FL-SE = the Atlantic coast of Florida, SC = South Carolina. These geographic sub-regions follow the U.S. coastline from west to east and are identified in supplemental figure S7. Supplemental figure S12 shows a similar connectivity matrix for each individual simulation.



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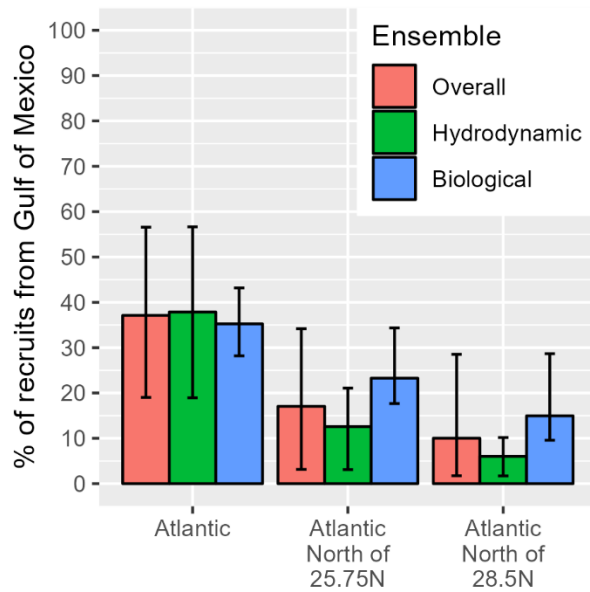
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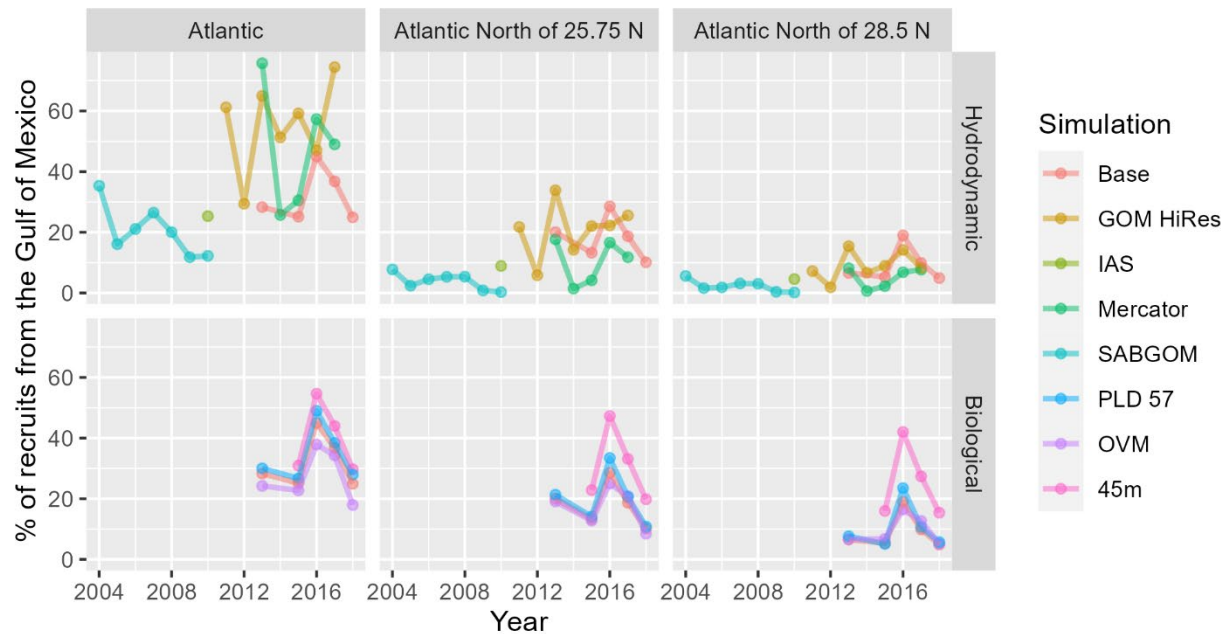
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**Figure 3: Spatial distribution of spawning (A) and settlement (B) locations of successful simulated scamp larvae.** Values are proportional to the maximum, with yellow indicating an area where many successful virtual were spawned or settled, and purple indicating an area where few successful larvae were spawned or settled. These maps show the results of the overall ensemble that pooled all simulations. Supplemental figures (S13 and S14) show the hydrodynamic and biological ensembles individually. The solid red line shows the boundary between the U.S. Gulf of Mexico and Atlantic management units, and the dashed red lines delineate sub-regions within the Atlantic. The white lines (B) represent two alternative assumptions for settlement habitat. For the base simulation, settlement habitat included any

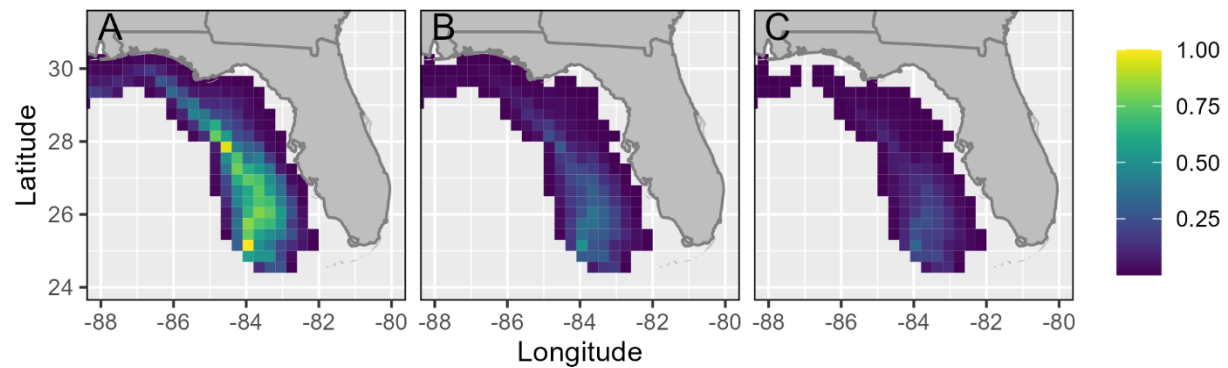
location between the coastline and the 30-meter isobath. For one of the sensitivity analyses settlement habitat was extended to the 45-meter isobath.



**Figure 4: The proportion of recruits to the Atlantic that came from the Gulf of Mexico.** We resampled each simulation 1000 times and calculated the proportion for each resample. Then, we pooled the resampled proportions from the simulations in an ensemble and calculated the ensemble mean (bars) and standard deviation (error bars). This captures the uncertainty within each simulation as well as across the simulations in an ensemble.



**Figure 5: The proportion of recruits to the Atlantic that come from the Gulf of Mexico by simulation and year.** Each simulation is colored differently, with simulations in the hydrodynamic ensemble simulations along the top row and simulations in the biological ensemble along the bottom row. Note that the “Base” simulation is in both ensembles. The lines connecting data points do not represent a regression or trend and are only included to highlight the year-to-year changes within a simulation. Each column shows the proportion based on recruitment to a different portion of the Atlantic.



**Figure 6: Distribution of the spawning locations for Atlantic recruits that came from the Gulf of Mexico.** Values are proportional to the maximum across all panels. Yellow indicates spawning areas that produced a high number of Gulf to Atlantic recruits and purple indicates spawning areas that produced a low number of Gulf to Atlantic recruits. Each panel represents the spawning locations for recruits that started in the Gulf of Mexico and ended in a different portion of the Atlantic: the entire Atlantic (A), the Atlantic north of 25.75° N (B), and the Atlantic north of 28.5° N (C). These maps show the results from the overall ensemble that pools all simulations.