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10 5 Hepatic Burdens of PCB and PCDD/F Congeners in Federally Endangered Shortnose Sturgeon
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12 6 and Atlantic Sturgeon from the Hudson River, New York, USA: Burden Patterns and Potential
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15 7 Consequences in Offspring
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4 31 **Abstract**
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6 32 Sturgeon populations worldwide are threatened with extirpation but little is known about their
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8 33 tendency to bioaccumulate contaminants and their sensitivities to environmental burdens of these
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10 34 contaminants. Shortnose sturgeon and Atlantic sturgeon, two species that are federally
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12 35 endangered in the U.S., co-occur in the Hudson River (HR) where high sediment levels of
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14 36 polychlorinated biphenyls (PCBs), polychlorinated dibenzo-*p*-dioxins (PCDDs) and
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16 37 polychlorinated dibenzo-*p*-furans (PCDFs) occur. Previous controlled laboratory studies showed
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18 38 that young life-stages of both species are sensitive to toxicities at low levels of 2,3,7,8-
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20 39 tetrachlorodibenzo-*p*-dioxin (TCDD) and PCB126 exposure. The objective here was to measure
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22 40 congener-specific hepatic levels of PCBs and PCDD/Fs in HR specimens in order to determine if
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24 41 *in situ* bioaccumulation of these compounds is sufficiently high to have caused the early life-stage
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26 42 toxicities previously observed. Estimates of hepatic burdens of PCBs and PCDD/Fs were
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28 43 obtained from a small number of specimens of each species collected between 2014 and 2016 and
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30 44 specimens of shortnose sturgeon collected over 30 yr earlier and archived in a museum collection.
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32 45 Several significant patterns emerged. Hepatic levels of legacy PCBs and PCDDs were low in
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34 46 specimens of both species but typically higher in shortnose than Atlantic sturgeon, a pattern
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36 47 consistent with their habitat use in the HR. Hepatic burdens in shortnose sturgeon tended to be
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38 48 higher in archived specimens than in more recently collected ones despite expected reduction in
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40 49 archived specimens due to preservation methods. Several inadvertent PCBs congeners were
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42 50 detected at high levels, including PCB11, but their toxicity to natural populations remains
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44 51 unknown. Levels of select PCDFs congeners, 2,3,7,8-TCDF and 2,3,4,7,8 PeCDF, were elevated
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46 52 in some shortnose sturgeon individuals from the HR. Using Relative Potency (ReP) factors
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48 53 derived from white sturgeon, the observed levels of some hepatic PCDFs in HR shortnose
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50 54 sturgeon may have been sufficiently high to impair recruitment of young life-stages in this
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Sturgeon populations worldwide are threatened with extirpation but little is known about their tendency to bioaccumulate contaminants and their sensitivities to environmental burdens of these contaminants. Shortnose sturgeon and Atlantic sturgeon, two species that are federally endangered in the U.S., co-occur in the Hudson River (HR) where high sediment levels of polychlorinated biphenyls (PCBs), polychlorinated dibenzo-*p*-dioxins (PCDDs) and polychlorinated dibenzo-*p*-furans (PCDFs) occur. Previous controlled laboratory studies showed that young life-stages of both species are sensitive to toxicities at low levels of 2,3,7,8-tetrachlorodibenzo-*p*-dioxin (TCDD) and PCB126 exposure. The objective here was to measure congener-specific hepatic levels of PCBs and PCDD/Fs in HR specimens in order to determine if *in situ* bioaccumulation of these compounds is sufficiently high to have caused the early life-stage toxicities previously observed. Estimates of hepatic burdens of PCBs and PCDD/Fs were obtained from a small number of specimens of each species collected between 2014 and 2016 and specimens of shortnose sturgeon collected over 30 yr earlier and archived in a museum collection. Several significant patterns emerged. Hepatic levels of legacy PCBs and PCDDs were low in specimens of both species but typically higher in shortnose than Atlantic sturgeon, a pattern consistent with their habitat use in the HR. Hepatic burdens in shortnose sturgeon tended to be higher in archived specimens than in more recently collected ones despite expected reduction in archived specimens due to preservation methods. Several inadvertent PCBs congeners were detected at high levels, including PCB11, but their toxicity to natural populations remains unknown. Levels of select PCDFs congeners, 2,3,7,8-TCDF and 2,3,4,7,8 PeCDF, were elevated in some shortnose sturgeon individuals from the HR. Using Relative Potency (ReP) factors derived from white sturgeon, the observed levels of some hepatic PCDFs in HR shortnose sturgeon may have been sufficiently high to impair recruitment of young life-stages in this ecosystem.

57 **Introduction**

58 Sturgeons (Acipenseridae) are an ancient taxon distributed throughout the Northern Hemisphere
59 that has suffered severe declines in all 27 extant species. All sturgeons are listed on the IUCN Red
60 List, and abundances of 22 of the 27 taxa continue to decrease (www.iucnredlist.org).
61 Furthermore, 15 taxa are listed as “Critically Endangered,” the most threatened of all listing
62 categories. Several factors have been proposed to explain these declines including overharvest,
63 habitat loss (dam construction and channel dredging), vessel strikes, impaired water quality, and
64 chemical contamination. Many studies have examined the effects of the first four of these factors
65 on declining population abundances, but few have investigated the role that chemical
66 contaminants may have played in these declines (Chambers et al. 2012; Doering 2016).

67 Two species of sturgeons inhabit the Atlantic seaboard of North America – shortnose
68 sturgeon (*Acipenser brevirostrum*) and Atlantic sturgeon (*A. oxyrinchus oxyrinchus*). Both are
69 federal status species which protects them from harvest, restricts disturbance to their habitats, and
70 limits their access to researchers. Shortnose sturgeon was listed in 1973 under the U.S.
71 Endangered Species Act (ESA) as endangered throughout its U.S. range. In 2012, Atlantic
72 sturgeon was also listed as endangered throughout its U.S. distribution except for the Gulf of
73 Maine population which is listed as threatened (Federal Register 2012a, 2012b).

74 Shortnose sturgeon is amphidromous and resident, but with seasonal movements, within its
75 natal estuaries (Altenritter et al. 2018). In the Hudson River (HR), male shortnose sturgeon
76 mature at 3 to 4 yr and females in 6 to 8 yr (Bain 1997). Atlantic sturgeon is anadromous and
77 highly migratory after its 2 to 8 yr estuarine-resident juvenile life-stage (Bain 1997; Caron and
78 Tremay 1999; Fox and Peterson 2019). The distance of its migrations in Atlantic coastal waters
79 may be thousands of kilometers (Erickson et al. 2011; Wirgin et al. 2015; Rothermel et al. 2020)
80 with returns to natal estuaries for spawning commencing at ages 6 to 8 yr in southern populations
81 and 25 to 30 yr in northern ones (Dadswell 2006). Both species spawn demersal eggs in spring to
82 early summer in freshwater (Hager et al. 2020), and larvae occupy bottom habitats. Lifespans of
83 these sturgeons are long (Jaric et al. 2018) and can extend to at least 60 yr in more northerly
84 populations of both species (Gilbert 1989; Dadswell 2006; Dadswell et al. 1984).

85 Some of the largest populations of Atlantic Coast sturgeons occur in estuaries noted for
86 high levels of sediment-borne chemical contaminants. For example, the HR is believed to host the
87 largest population of Atlantic sturgeon coastwide (Waldman et al. 2018; Kazyak et al. 2020) and a

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4 88 robust population of shortnose sturgeon (Bain 1997). The types and sources of contaminants
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6 89 prevalent in the HR include elevated levels of polychlorinated biphenyls (PCBs) released from the
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8 90 1940s to the mid 1970s from two General Electric electrical capacitor manufacturing facilities
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10 91 located 314 and 318 river kilometers (rkm) upstream from the river's mouth (rkm 0) (Figure 1).
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12 92 As a result, a 318-rkm reach of the HR mainstem is designated a federal Superfund site, the largest
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14 93 in the U.S. Trustees of the HR PCBs Superfund site are charged with determining if PCBs have
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16 94 caused injuries to its resources. Hence, there is particular concern regarding the potential injuries
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18 95 from PCBs on HR sturgeon populations but the ESA protected status precludes the collection of
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96 these sturgeons for studies including estimation of contaminant body burdens.
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21 97 The HR ecosystem is also beset with high levels of polychlorinated dibenzo-*p*-dioxins
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23 98 (PCDDs). The main source of PCDDs was the Diamond Alkali manufacturing facility located
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25 99 next to the Passaic River in Newark, New Jersey, several km upstream from Newark Bay.
26 100 Newark Bay is contiguous with the HR via the Kill van Kull and New York Harbor (Figure 1).
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28 101 From 1951 to 1969, this manufacturer produced herbicides and defoliants, including Agent
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30 102 Orange. Some product leached into the Passaic River and was subsequently transported
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32 103 downstream to Newark Bay and into the Hackensack River. As a result, the lower Passaic River
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34 104 and part of Newark Bay are designated as a federal Superfund site. The HR Estuary also contains
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36 105 elevated levels of polychlorinated dibenzofurans (PCDFs) from sources including PCB production
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106 and incineration of municipal wastes.
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39 107 Despite the cessation of the release of these contaminants, they continue as a concern for
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41 108 human and ecosystem health. They are highly lipophilic, persistent, bioaccumulative, and
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43 109 biomagnify in food chains which can result in high burdens in piscivorous fishes. In the HR
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45 110 Estuary, high levels of total PCBs were regularly reported in finfishes that are desired for human
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47 111 consumption (Skinner 2011) and for Atlantic tomcod (*Microgadus tomcod*), a sentinel of
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49 112 ecological health (Courtenay et al. 1999; Fernandez et al. 2004). Far less data exist on burdens of
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51 113 PCDD/Fs, but highly elevated hepatic levels were reported in Atlantic tomcod (Courtenay et al.
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53 114 1999; Fernandez et al. 2004) and in several crustaceans, e.g., blue crab (*Callinectes sapidus*) and
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55 115 American lobster (*Homarus americanus*) (Hauge et al. 1994), from the Passaic River-Hackensack
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57 116 River-Newark Bay complex. Largely because of the endangered status of sturgeons, there are no
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59 117 studies on levels of these contaminants – by class or congeners – in either sturgeon species in the
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61 118 HR Estuary nor on a congener-specific basis in any sturgeon worldwide. To begin filling this data
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119 void, this paper uses all available HR sturgeon samples known to us – N = 13 sturgeon collected
120 from various sources over a three-decade span (1984 to 2016) – and analyzed for PCB and
121 PCDD/F contaminants on a congener-specific basis.

122 Early life-stages of fishes are often exquisitely sensitive to toxicities from PCBs and
123 PCDD/Fs. But, finfish species (Elonen et al. 1988), and even populations within species (Nacci et
124 al. 2010; Wirgin et al. 2011), vary by orders of magnitude in their vulnerabilities to these
125 contaminants. For example, lake trout (*Salvelinus namaycush*) is the most sensitive vertebrate
126 taxon to TCDD known (Walker et al 2011), while other finfishes (e.g., zebrafish *Danio rerio*) are
127 far less sensitive (Henry et al. 1997).

128 PCBs and PCDD/Fs exist in nature as heterogeneous mixtures with varying persistence
129 and toxicities among homologue classes and congeners. Coplanar congeners are structurally most
130 similar to dioxin and are most toxic due to their high affinity to bind with the aryl hydrocarbon
131 receptor (AHR) which is known to activate most of the early life-stage toxicities from these
132 compounds. Unlike in mammals, there are at least two AHRs in fishes (AHR1 and AHR2),
133 including in shortnose sturgeon and Atlantic sturgeon (Roy et al. 2018ab). AHR2 is likely more
134 important in mediating toxicities because of its greater expression among most tissues and efficacy
135 in binding TCDD. The most toxic PCDD congener, 2,3,7,8 tetrachlorodibenzo-*p*-dioxin (TCDD),
136 is used for computing the relative toxicities of coplanar PCB and PCDD/Fs congeners via a Toxic
137 Equivalency Factors (TEFs) computation. Recent studies have detected several PCB congeners in
138 environmental samples that are not part of legacy Aroclor mixtures, but are deemed ‘inadvertent
139 PCBs’ (Vorkamp 2016) originating as byproducts of manufacturing products such as certain
140 pigments and dyes. The toxicities of these compounds are largely unknown (Roy et al. 2019).

141 Recent controlled laboratory studies investigated the sensitivities of early life-stages of
142 several North American sturgeon species to toxicities from PCBs and PCDD/Fs exposures. Early
143 life-stages of shortnose sturgeon and Atlantic sturgeon were sensitive at low doses of PCB126 and
144 TCDD (at > 0.1 parts per billion (ppb) and > 0.01 ppb, respectively) to cytochrome P4501A
145 mRNA expression (Roy et al. 2011) and to whole-organism, early life-stage measures of fish
146 health (Chambers et al. 2012). Similarly, studies of white sturgeon (*A. transmontanus*) and lake
147 sturgeon (*A. fulvescens*) demonstrated these taxa to be among the more sensitive finfishes to
148 PCDD/Fs early life-stage toxicities (Doering et al. 2014; Doering et al. 2015; Doering 2016;
149 Eisner et al. 2016).

1 150 A challenge encountered in such studies on sturgeons is linking the outcomes from
2 151 laboratory exposure experiments to *in situ* effects due to the near absence of tissue-burden data for
3 152 these contaminants in environmentally-exposed specimens due to their endangered status. The
4 153 objective here was to identify and assay the limited number of shortnose sturgeon and Atlantic
5 154 sturgeon tissue samples from *in situ* collections, then quantify the congener-specific hepatic
6 155 burdens of PCBs and PCDD/Fs. These data were then used to determine if the *in situ*
7 156 concentrations of these compounds were sufficiently high to elicit the toxicities observed in young
8 157 life-stages of these sturgeons in previous laboratory studies. Further, the available tissue samples
9 158 from HR sturgeons allowed examination of the patterns of relative hepatic burdens between these
10 159 two sturgeons and over time.

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161 **Methods**

162 U.S. ESA listing of both sturgeon species precluded sacrificing additional specimens for this
163 study. Hence, the sample sizes of each species reflect collections from fatalities associated with
164 bridge construction, a small set of non-destructively sampled fish from a previous study, and
165 museum specimens. Despite this constrained sample size, it was sufficient to assess and relate
166 trends in hepatic contaminant burdens to life history and habitats of these taxa, and to compare
167 burdens in environmentally exposed specimens to those eliciting toxicities in controlled laboratory
168 studies.

169 We analyzed livers from eight shortnose sturgeon and five Atlantic sturgeon from the HR
170 (Table 1). Specimens were obtained from three sources that differed in collection location, time,
171 and method which also affected the status of the specimen for quantifying hepatic contaminant
172 burdens. First, three archived shortnose sturgeon were obtained from the New York State
173 Museum, Albany, New York. These were collected from the HR in the mid 1980s, initially stored
174 in buffered formalin, and subsequently transferred to 95% ethanol. Second, seven live specimens
175 (3 shortnose sturgeon and 4 Atlantic sturgeon) were collected and liver samples were obtained by
176 laparoscopic biopsy. These individuals were collected in the Haverstraw Bay region (rkm 59-63)
177 in September 2014 and April 2016, anesthetized (Matsche 2011), and a plug of liver tissue (\approx 100
178 mg / individual) obtained as described in Matsche (2013). Third, two recently dead shortnose
179 sturgeon and one Atlantic sturgeon were collected in 2015 and 2016 from within 16 km of the
180 Tappan Zee Bridge (rkm 44) by Allee, King, Rosen, and Fleming (AKRF), Inc. These were

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4 181 collected as part of a retrieval program for sturgeon fatalities associated with the construction of
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6 182 the Mario Cuomo Bridge (adjacent to and replacing the Tappan Zee Bridge). All three fish had
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8 183 external injuries consistent with vessel strikes. Overall, the eight shortnose sturgeon ranged from
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10 184 33.3 to 88.3 cm total length (TL), with seven > 46 cm TL and likely adults. The five Atlantic
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12 185 sturgeon ranged from 42.7 to 76.5 cm TL placing four of five in the subadult range (subadults
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14 186 reported by Bain (1997) as 50 to 150 cm TL).

15 187 Congener-specific PCB and PCDD/Fs analyses were conducted by AXYS Analytical
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17 188 Services, Sydney, British Columbia, Canada. Liver samples weights ranged from approximately
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19 189 0.1 g (biopsies) to 25 g (dead and archived specimens). The liver samples were homogenized
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21 190 using dissection scissors to ensure complete extraction. Extraction and chromatographic clean-up
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23 191 procedures were performed in accordance with SGS-AXYS Method MLA-013: Analytical
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25 192 Method for The Determination of: Polybrominated Diphenyl Ethers, PCB Congeners, Chlorinated
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27 193 Pesticides, Technical Toxaphene, Toxaphene Congeners/Parlars and Polychlorinated
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29 194 Dibenzodioxins and Furans using Co-Extraction Techniques. Samples were fortified with
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31 195 isotopically labeled surrogate standards, and Soxhlet extracted in 1:1 Dichloromethane:Hexane for
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33 196 16 hr. The resulting extract was gravimetrically split to reserve a portion as backup. Each
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35 197 resulting extract was spiked with clean-up standards, subsampled for lipid analysis, and then
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37 198 cleaned using a series of chromatographic columns. The clean-up columns generated separate
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39 199 fractions for the PCDD/F and PCB analyses.

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41 200 Dioxin/furan analysis procedures were in accordance with USEPA Method 1613, Revision
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43 201 B, as documented in SGS AXYS Method MLA-017: Analytical Method for the Determination of
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45 202 Polychlorinated Dibenzodioxins and Dibenzofurans by EPA Method 1613B, EPA Method
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47 203 8290/8290A or EPA Method DLM02.2. The fraction for PCDD/Fs analysis was concentrated and
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49 204 spiked with ¹³C-labeled recovery (internal) standards, for a final volume of 20 µL. The injection
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51 205 volumes were 1 µL for the DB-5 column analysis and 2 µL for the DB-225 confirmation analysis.
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53 206 The DB-5 capillary column (60 m, 0.25 mm i.d., 0.1 µm film thickness) was coupled to a high-
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55 207 resolution mass spectrometer (Waters Micromass Autospec Premier, Milford, MA). The mass
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57 208 spectrometer was tuned to a static mass resolution of \geq 10,000 in the electron impact ionization
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59 209 mode and data acquired in the voltage selected recording mode (SIR). A second instrumental
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61 210 analysis was performed using a DB-225 capillary column (30 m, 0.25 mm i.d., 0.15 µm film
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63 211 thickness) coupled to a mass spectrometer (Waters Micromass Autospec Ultima) to confirm and/or
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4 212 quantify 2,3,7,8-TCDF and 1,2,3,7,8,9-HxCDD. This column was necessary to resolve non-toxic
5 congeners that co-elute with 2,3,7,8-TCDF and 1,2,3,7,8,9-HxCDD on a DB-5 column. Analyte
6 concentrations were quantified using MassLynx software (Waters, Milford, MA).

7 215 PCB congener analyses were in accordance with the USEPA Method 1668, Revision A:

8 216 Chlorinated Biphenyl Congeners in Water, Soil, Sediment, and Tissue by HRGC/HRMS as
9 217 documented in SGS AXYS Method MLA-010. The PCB fraction extract was further cleaned using
10 218 Alumina chromatographic columns. The final extract was reduced in volume and spiked with ^{13}C -
11 219 labeled recovery (internal) standards prior to instrumental analysis. PCB extracts were
12 220 concentrated to 20 μL , and 1 μL was injected onto an SPB-Octyl column (30 m, 0.25 mm i.d.,
13 221 0.25 μm film thickness) coupled to a high-resolution mass spectrometer (Waters Micromass
14 222 Ultima). The mass spectrometer was tuned to a static mass resolution of $\geq 10,000$ in the electron
15 223 impact ionization mode and data acquired in the voltage selected recording mode. Analyte
16 224 concentrations were quantified using Micromass OPUSQuan software.

17 225 All samples were analyzed in a single batch with associated QC samples consisting of a
18 226 procedural blank and a lab-generated reference sample known as the Ongoing Precision and
19 227 Recovery (OPR). The QC samples were prepared using canola oil as the matrix. The liver
20 228 samples and the QC samples were prepared alongside each other and were subjected to the same
21 229 analytical procedures. QC samples were evaluated against the analytical method criteria.

22 230 PCDD/Fs and PCBs homologue totals are the sum of concentrations of detected congeners
23 231 at each level of chlorination. Congener peaks that did not meet the method ion abundance ratio
24 232 criteria were excluded from the homologue totals and Toxic Equivalent (TEQ) calculations. TEQs
25 233 were calculated per Van den Berg et al. (1998) for World Health Organization (WHO) fish TEFs.

26 234 Means (\pm SEM), sample sizes, and the number of samples are reported for concentrations
27 235 above the level of analytical detectability for each congener within a class, class totals, and
28 236 summary TEQs. Statistical tests (one-way linear model) were conducted on two null hypotheses,
29 237 H_0 . H_{01} tested for no difference in hepatic burden between time periods of collection (2010's vs.
30 238 1984). H_{02} tested for no difference between species (shortnose sturgeon vs. Atlantic sturgeon).
31 239 For H_{02} , only fish from contemporaneous collections were used (i.e., 2010's). A significance level
32 240 of $p < 0.05$ was used throughout. Further, a summary of trends is provided in the results due to the
33 241 small sample sizes ($N = 5$ for the contemporaneously collected specimens of both species, and $N =$
34 242 3 for the archived shortnose sturgeon specimens) and the further reduction in power of tests due to

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4 243 a substantial fraction of contaminant assays falling below detectability levels. Trends were
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6 244 summarized in three ways: 1) The proportion of congeners within a class where the mean hepatic
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8 245 concentration is significant in one of the two possible directions pertinent to the H_0 , 2) The
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10 246 proportion of mean directionality regardless of meeting the $p < 0.05$ criterion, and 3) The ratio of
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12 247 the grand mean (mean of mean concentrations) of congener concentrations within each
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14 248 contaminant class. This last assessment of trends provides an assessment of the magnitude of
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16 249 deviation from no difference between the groups being contrasted.
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19 251 **Results**

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21 252 Congener-specific hepatic PCB and PCDD/F concentrations (pg/g ww) were successfully obtained
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23 253 for all 13 sturgeon specimens including the three archived shortnose specimens from the mid
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25 254 1980's. A number of hepatic concentrations were below analytical detectability (97 of 267
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27 255 congener-specific assays or 27% of all cases).

28 256 Regarding coplanar PCB congeners (both non-ortho and mono-ortho substituted),
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30 257 significantly greater hepatic concentrations were found in contemporaneously collected (2014 to
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32 258 2016) shortnose sturgeon than in Atlantic sturgeon for 9 of 11 congeners (Table 2). The overall
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34 259 hepatic concentration (ratio of grand means across all individual coplanar PCB congeners) was 5.4
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36 260 \times higher in shortnose sturgeon than Atlantic sturgeon for the 11 congeners tested and 5.8 \times higher
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38 261 for total PCBs (Table 2). Hepatic concentrations of individual coplanar PCB congeners did not
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40 262 significantly differ between archived specimens (1980's) and those collected in the 2010's but 7 of
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42 263 11 congeners (and the total PCB levels) were higher in archived samples. The overall hepatic
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44 264 coplanar PCB congener concentration was 3.4 \times higher in archived versus recent shortnose
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46 265 sturgeon specimens and 1.4 \times higher for total PCBs.

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48 266 Of the three inadvertent PCB congeners (PCB5, PCB11, and PCB52), concentrations of
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50 267 PCB11 and PCB52 were high in both species and significantly higher (5 to nearly 7 \times) in
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52 268 contemporary shortnose than Atlantic sturgeon specimens (Table 2). For example, the mean
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54 269 hepatic levels of PCB11 were 398 pg/g ww and 60 pg/g ww, respectively. Similarly, the mean
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56 270 hepatic levels of PCB52 were 346,250 pg/g ww and 64,300 pg/g ww, respectively. PCB11 and
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58 271 PCB52 were over 4 \times higher in contemporary than archived shortnose sturgeon specimens.

59 272 Concentrations of the two non-ortho substituted coplanar PCB congeners for which levels
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61 273 were detectable (PCB77, PCB81), were 3 to 8 \times higher, respectively, in shortnose sturgeon than in
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4 274 Atlantic sturgeon. The PCB81 value for Atlantic sturgeon may be biased downward as four of
5 five observations were below detectability (Table 2). Concentrations of the two other non-ortho
6 substituted coplanar congeners (PCB126, PCB169) were non-detectable in all specimens but one
7 archived shortnose sturgeon collected near Albany (rkm 232) which had a high PCB126 burden
8 (38.4 pg/g ww). PCB77 was the dominant of the four dioxin-like congeners in livers of recent
9 shortnose sturgeon and Atlantic sturgeon (mean = 1,032 and 349 pg/g ww, respectively) and was
10 comparably high in the archived shortnose sturgeon specimens (mean = 969 pg/g ww).
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17 281 Hepatic burdens of PCDDs were low and variable among all HR groups (Table 3).
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19 282 Hepatic burdens of TCDD, the most toxic PCDD congener, were relatively low and variable with
20 concentrations for individuals ranging from non-detectable to 2.72 pg/g ww (Atlantic sturgeon).
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22 284 The highest group mean (1.71 pg/g ww) was for recent shortnose sturgeon but without significant
23 differences between Atlantic sturgeon and shortnose sturgeon or between contemporary versus
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25 285 archived shortnose sturgeon. The highest mean PCDD concentrations were for OctaCDD in
26 recent and archived shortnose sturgeon (9.3 and 178 pg/g ww, respectively), and in Atlantic
27 sturgeon (8.2 pg/g ww). A tendency was evident for greater levels in archived than recent
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29 288 specimens of shortnose sturgeon (4.8 \times). The concentrations of 1,2,3,4,6,7,8 HeptaCDD were
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31 289 also high, differing significantly between recent and archived shortnose sturgeon (4.9 and 14.2
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33 290 pg/g ww, respectively), and were also high in Atlantic sturgeon (7.4 pg/g ww) (Table 3).
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37 292 Mean hepatic burdens of PCDFs varied widely among the 10 congeners with highest
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39 293 concentrations for the most toxic PCDF congener (2,3,7,8 TCDF) in all three HR sturgeon groups
40 (Table 4). Recently collected shortnose sturgeon tended to have higher mean hepatic levels of
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42 295 2,3,7,8 TCDF (26.2 pg/g ww) than archived ones (20.0 pg/g ww), and contemporary shortnose
43 sturgeon had significantly higher levels than Atlantic sturgeon (11.1 pg/g ww). The hepatic level
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45 296 of 2,3,7,8-TCDF was high (42.4 pg/g ww) in one of two archived shortnose sturgeon specimens
46 collected near Indian Point, New York. Levels of 2,3,4,7,8 PentaCDF were also high in shortnose
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48 298 sturgeon (e.g., 6.3 and 4.9 pg/g ww for recent and archived specimens, respectively) and
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50 299 significantly higher in contemporary shortnose sturgeon than Atlantic sturgeon. Overall, archived
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52 300 specimens tended to have greater hepatic burdens of PCDFs than recent ones (2.9 \times) and shortnose
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54 301 sturgeon had modestly higher PCDFs concentrations than Atlantic sturgeon (1.5 \times).
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57 303 From these data, TCDD TEQs for PCBs and PCDD/Fs were derived for each specimen
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59 304 using TEFs for fishes in Van den Berg et al. (1998), summary statistics calculated, and contrasts
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4 305 performed (Table 5). Mean hepatic TCDD TEQs were higher for PCDD/Fs than PCBs for both
5 species (Table 5). Among recently collected specimens, shortnose sturgeon had significantly
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8 307 higher TCDD TEQs for PCBs than did Atlantic sturgeon (means: 1.6 vs. 0.3). Archived
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10 308 shortnose sturgeon specimens tended to have higher TCDD TEQs for PCBs than recent
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12 309 specimens; indeed, the highest two TCDD TEQs for PCBs (8.45 and 3.30) in shortnose sturgeon
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14 310 were both from archived specimens collected near Indian Point (rkm 69) while the lowest value
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16 311 for shortnose sturgeon (0.386) was also observed in an archived specimen but collected much
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18 312 further upstream (rkm 229) near Albany. The interspecific difference in TCDD TEQs for
19
20 313 PCDD/Fs was marginally significant with values generally higher in shortnose sturgeon than
21
22 314 Atlantic sturgeon (6.58 and 3.48, respectively). The lowest TCDD TEQ for PCDD/Fs (0.799) was
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24 315 in an Atlantic sturgeon collected in 2016 from Haverstraw Bay (rkm 59-63) while the two highest
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26 316 values were in shortnose sturgeon (an archived specimen (9.29) collected near Indian Point and a
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28 317 recent one (9.17) collected at Nyack, New York (rkm 43). Total TCDD TEQs were significantly
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30 318 greater (> 2 ×) in shortnose sturgeon than Atlantic sturgeon (Table 5). Archived and recent
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32 319 specimens of shortnose sturgeon were similar in mean concentration (9.01 vs 8.16, respectively).
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TCDD TEQs were calculated for 2,3,7,8 TCDF and 2,3,4,7,8 PeCDF, and their sum based
on relative potency (ReP) values developed from AHR2 activation in white sturgeon liver explants
(PeCDF=1.4 and TCDF=1.2) reported by Eisner et al. (2016). Among recently collected
specimens, shortnose sturgeon had significantly higher TCDD TEQs for 2,3,7,8 TCDF than did
Atlantic sturgeon (means: 36.65 vs. 15.58) (Table 6). Archived shortnose sturgeon specimens
tended to have lower TCDD TEQs for 2,3,7,8 TCDF than recent specimens but the highest TCDD
TEQ in shortnose sturgeon (59.36) was from an archived specimen collected near Indian Point
(rkm 69) while the lowest one for shortnose sturgeon (5.50) was from an archived specimen
collected near Albany (rkm 229). The values of TCDD TEQs for 2,3,4,7,8 PeCDF were
significantly higher in shortnose sturgeon than Atlantic sturgeon (7.51 vs 2.75, respectively). The
highest TCDD TEQ for 2,3,4,7,8 PeCDF (0.96) was in an archived shortnose sturgeon (11.92)
collected near Indian Point while the lowest one (0.96) was in an Atlantic sturgeon collected in
2016 from Haverstraw Bay (rkm 59-63). TCDD TEQs for the sum of these PCDFs was
significantly greater (> 2 ×) in shortnose sturgeon than Atlantic sturgeon (Table 6).

Discussion

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4 336 Despite the small sample size of sturgeon specimens reported here, which was constrained by the
5 protected status in these taxa in the focal river system, this study provides the first quantification
6 of the congener-specific levels of PCBs and PCDD/Fs in any environmentally exposed sturgeon
7 species worldwide. The results serve as an initial evaluation of the potential effects of these
8 compounds on the success of early life-stages and recruitment to adult populations. Our sources
9 of specimens included a carcass retrieval program, biopsying specimens using laparoscopy, and
10 archived museum specimens. These unique data sources on the bioaccumulation of PCBs and
11 PCDD/Fs by sturgeons in a highly contaminated environment establish a context for prior and
12 future experimental studies of the biological consequences of sturgeon exposure to
13 environmentally relevant levels and classes of contaminants. Further, the results offer important
14 insights about species differences and the role of habitat and life history in risks to contaminants.
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17 347 The intent of this study was to determine if coplanar PCBs and PCDD/Fs levels in
18 348 environmentally exposed HR sturgeons were at levels that induced CYP1A mRNA expression
19 349 (Roy et al. 2011) and early life-stage toxicities in earlier controlled laboratory experiments
20 350 (Chambers et al. 2012). Activation of the aryl hydrocarbon receptor 2 (AHR2) is required to
21 351 mediate most such early life-stage toxicities in fishes including sturgeons (Roy et al. 2018a), and
22 352 CYP1A induction is an indicator of AHR2 activation. The lowest nominal waterborne
23 353 concentrations of PCB126 and TCDD tested by Roy et al. (2011) (0.01 ppb and 0.001 ppb,
24 354 respectively) significantly induced CYP1A mRNA expression in shortnose sturgeon and Atlantic
25 355 sturgeon larvae (Table 7). Furthermore, Chambers et al. (2012) demonstrated that a variety of
26 356 early life-stage toxicities in larval shortnose sturgeon and Atlantic sturgeon significantly increased
27 357 in prevalence at nominal waterborne concentrations from 0.01 to 0.1 ppb PCB126 and from 0.001
28 358 to 0.01 ppb 2,3,7,8 TCDD (Table 7). These studies suggest that early life-stages of both HR
29 359 sturgeons are highly sensitive to PCBs and PCDDs toxicities through AHR2 activation.
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32 360 How do TCDD TEQs for hepatic coplanar PCBs and PCDDs in environmentally exposed,
33 361 wild HR sturgeons compare to TCDD TEQs that elicited significant CYP1A mRNA induction and
34 362 early life-stage toxicities in laboratory experiments? Mean total hepatic TCDD TEQs from
35 363 PCDD/Fs and PCBs in contemporary collections of environmentally exposed sturgeons from the
36 364 HR were 8.2 for shortnose sturgeon and 3.8 for Atlantic sturgeon (Table 5). These are sufficiently
37 365 high in both species to induce the significant CYP1A mRNA expression previously observed (Roy
38 366 et al 2011). The mean hepatic burdens of coplanar PCBs alone expressed as TCDD TEQs (1.6
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4 367 and 0.3 for shortnose sturgeon and Atlantic sturgeon, respectively) may not be sufficiently high to
5 induce significant CYP1A expression in larval sturgeons. Levels of total TCDD TEQs that
6 elicited the sublethal early life-stage toxicities varied between TCDD TEQ=1 for impaired eye
7 development in shortnose sturgeon to TCDD TEQ=100 for impaired eye development in Atlantic
8 sturgeon (Table 7, Chambers et al. 2012). A mean TCDD TEQ=10 significantly decreased larval
9 length and abbreviated larval life span in laboratory experiments on early life-stages of both
10 sturgeon species (Chambers et al. 2012). Thus, hepatic burdens of TCDD TEQs in wild,
11 environmentally exposed sturgeons are potentially sufficient to activate some AHR-mediated
12 sublethal toxicities in sturgeons from the HR. However, the contribution of hepatic coplanar
13 PCBs to the total TCDD TEQs was probably insufficient to induce toxicities on their own. Levels
14 of TCDD TEQs in environmentally exposed early life-stages of HR sturgeon will remain unknown
15 because of strict limitations on their collection and difficulties collecting them at small sizes.
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Two of the PCDFs at relatively high concentrations in HR sturgeons (2,3,7,8 TCDF and 2,3,4,7,8 PeCDF) are included in the WHO listing of TEFs for fishes (Van den Berg et al. 1998) with TEFs of 0.05 and 0.5, respectively. The toxicities to either HR sturgeon species of these PCDFs have not been assessed empirically. The relative potencies (ReP, a measure of activity of individual congeners compared to that of TCDD) of these PCDFs were quantified by Doering (2016) and Eisner et al. (2016) using *in vitro* activation of AHR2 in white sturgeon as their endpoint. They report considerably higher ReP values for these PCDFs in white sturgeon (1.4 and 1.2 for 2,3,7,8 TCDF and 2,3,4,7,8 PeCDF, respectively) than the WHO values summarized by Van den Berg et al. (1998). Applying the RePs for these two PCDFs in white sturgeon to hepatic burdens of the same PCDFs in HR sturgeons results in hepatic TCDD TEQs of 71.3 in one archived shortnose sturgeon and 64.9 in one contemporary shortnose sturgeon. The mean hepatic TCDD TEQs for HR sturgeon based on white sturgeon PCDFs RePs was 33.68 for archived and 44.16 for contemporary shortnose sturgeon, and 18.33 for the Atlantic sturgeon.

The hepatic TCDD TEQs burdens based on these sturgeon-specific RePs for PCDFs appear to be sufficiently high in environmentally exposed HR sturgeons to cause the toxicities observed in laboratory experiments. These burdens are sufficient to activate the AHR2 pathway *in vivo* in both HR sturgeon species (Roy et al. 2011) and to elicit the higher-level developmental defects seen in experiments by Chambers et al. (2012) on larval HR sturgeons at nominal waterborne levels of TCDD TEQs (Table 7).

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4 398 While levels of these PCDFs were high in the livers of adult shortnose sturgeon from the
5 HR, the levels in the young life-stages, which are most vulnerable to their damaging effects, are
6 unknown. The link between hepatic burdens in subadult and adult sturgeons reported here, and
7 the manifestation of toxicities to these PCDF congeners in offspring *in situ*, is likely to lie in the
8 maternal transfer of congeners from female to ova to offspring. Even without direct measure of
9 congener burdens in sturgeons eggs spawned *in situ*, such maternal transfer can be inferred from
10 the reduced levels of hepatic burdens in females compared to males in another HR taxa, Atlantic
11 tomcod (Courtenay et al. 1999) and in white sturgeon (Gundersen et al 2015).
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19 406 The environmental prevalence of inadvertent PCBs is a growing concern. These are
20 generated in the manufacture of dyes, pigments, and inks by processes that include chlorine and
21 high temperatures (Vorkamp 2016; Heine and Tebilcock 2018). For example, PCB11 has been
22 reported in high concentrations in the HR presumably due to effluents from the manufacturers of
23 yellow paint pigments (Litten 2007). Elevated concentrations of PCB11 has raised concerns for its
24 bioaccumulative properties and toxicity (Rodenburg et al. 2015). This has resulted in national and
25 international regulations that allow inadvertent PCBs to be produced in pigments at maximum and
26 mean concentrations of 50 and 25 ppm, respectively. Initial studies on PCB11 toxicity in
27 zebrafish suggest it to be a partial agonist/antagonist of the AHR pathway that may modify the
28 toxicity of co-occurring coplanar congeners (Roy et al. 2019), and that it is neurotoxic in rodents
29 (Sethi et al. 2018). Hepatic PCB11 was detectable in both HR sturgeons and was 5 × higher in
30 shortnose sturgeon than Atlantic sturgeon (means = 398 and 59.9 pg/g ww, respectively). While
31 these concentrations are far lower than those eliciting AHR activity and inhibiting CYP1A
32 expression in zebrafish, they are concentrations that promoted dendritic growth in rat neurons.
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34 413 Levels of PCB11 in HR sturgeons were significantly lower than those in adult tomcod from nine
35 locales in the mainstem HR (mean 1256 pg/g ww) (Wirgin et al. unpublished data). Toxicities of
36 PCB11 and other inadvertent PCBs remains a priority for resource managers of impacted systems.
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39 423 Levels of hepatic total and coplanar PCBs in contemporary collections of HR sturgeons
40 were significantly higher (~ 5 ×) in shortnose sturgeon than in Atlantic sturgeon. All of these
41 levels of PCBs were lower than expected based on those reported in sympatric Atlantic tomcod in
42 the HR (Fernandez et al 2004). Consistent with studies in HR tomcod (Courtenay et al. 1999;
43 Fernandez et al. 2004), PCB77 was the predominant non-ortho substituted coplanar PCB
44 congener, whereas levels of PCB126 and PCB169 were usually non-detectable. PCB126, the
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4 429 congener with the highest TEF, was non-detectable in all but one archived shortnose sturgeon
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6 430 collected near Albany (rkm 229) which had a relatively high concentration (38.4 pg/g ww). In
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8 431 contrast, PCB 126 was detectable and at relatively high levels in juvenile tomcod from nine
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10 432 locales in the mainstem HR (mean: 1,395 pg/g ww; range: 593 to 2,282 pg/g ww) (Fernandez et
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12 433 al. 2004).

13 434 The significantly higher levels of hepatic coplanar PCBs in shortnose sturgeon than
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15 435 Atlantic sturgeon for all congeners except for those below detection levels (PCB126 and PCB169)
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17 436 may be due to three factors. First, sediment-borne PCBs levels are higher at more upstream
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19 437 locales in the HR (Farley and Thomann 1998; Farley et al 2006) where shortnose sturgeon reside
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21 438 compared to locales further downstream inhabited by juvenile and subadult Atlantic sturgeon.
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23 439 Second, shortnose sturgeon have a nearly twofold greater embryonic period duration than Atlantic
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25 440 sturgeon at a common temperature. The difference in duration is likely further exaggerated by the
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27 441 fact that shortnose sturgeon spawning earlier in the year at cooler temperatures and have a
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29 442 substantially greater eggs size. Lastly, for the sizes of sturgeon specimens used here, all but one
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31 443 shortnose sturgeon was likely to have been mature and these adult shortnose sturgeon would likely
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33 444 have been older than similarly sized, juvenile Atlantic sturgeon. Hence, these shortnose sturgeon
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35 445 would have been bioaccumulating PCBs for more years than the Atlantic sturgeon specimens.

36 446 The relatively low levels of PCBs in sturgeons from the HR contrasts with levels in the
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38 447 only other sympatric HR resident fish species, Atlantic tomcod, for which congener-specific
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40 448 hepatic PCB and PCDD/F data are available (Courtenay et al. 1999; Fernandez et al. 2004). For
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42 449 example, the mean total hepatic PCBs in pools of young-of-the-year (YOY) tomcod collected at
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44 450 nine different locales in the mainstem HR (rkm 0 to 82) in 1998 ranged from 7 to 34 ug/g ww
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46 451 (Fernandez et al. 2004 Supporting Information) compared to values in contemporary specimens of
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48 452 shortnose sturgeon (8.6 ug/g ww) and Atlantic sturgeon (1.48 ug/g ww). Non-ortho substituted
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50 453 coplanar PCBs in tomcod were also dominated by PCB77, but at ~ 30 to 90-fold higher levels than
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52 454 in HR sturgeons (mean level of hepatic PCB77 in pooled YOY tomcod from rkm 59 was 31,674
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54 455 pg/g ww (Fernandez et al. 2004) compared to means of 349 pg/g ww and 1,032 pg/g ww of
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56 456 PCB77 in recent shortnose sturgeon and Atlantic sturgeon, respectively). PCB126 with the
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58 457 highest TEF was not detectable in any contemporary sturgeon but was detectable at high levels in
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60 458 YOY tomcod in the HR with hepatic concentrations ranging from 593 pg/g ww (rkm 82) to 2,282
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62 459 pg/g ww (rkm 37).

Although these three species co-occur in the HR, their differences in life histories, temporal use of habitats, and diets may play a role in determining hepatic PCB burdens. Regarding life-history and habitat differences, all three taxa spawn demersal, adhesive eggs. The sturgeons spawn in upriver stretches (mostly upstream of rkm 125) in mid spring (shortnose sturgeon) to late spring-early-summer (Atlantic sturgeon). In contrast, tomcod spawn in early to mid-winter (December-January) and its eggs are retained in the mid-river span (rkm 50 to 90). The seasonal difference in spawning results in a two to four-fold longer embryonic period duration in tomcod (~ 1 month) than in shortnose sturgeon (2 weeks) or Atlantic (1 week) sturgeon. The extent of direct exposure of embryos to contaminated sediments likely scales proportionately with period duration. Sturgeons also differ dramatically from tomcod in lifespan and the proportion of the life spent in early life-stages. First spawning of shortnose sturgeon occurs from 3 to 4 yr (males) and 6 to 8 yr (females) and maximum lifespan is up to 37 yr for the HR population (Greeley 1937, Dadswell et al. 1984) where they remain as river residents throughout their lives. For HR Atlantic sturgeon, maturation occurs at ages of at least 12 and 15 yr (males and females, respectively, Bain (1997) and after up to a decade at sea (Everly and Boreman 1999) with each subsequent spawning season for an individual interspersed by 1 to 5 yr at sea. Maximum lifespan for HR Atlantic sturgeon is at least 30 yr (Gilbert 1989). In contrast, HR tomcod mature in 1 yr and few live longer than 2 yr (McClaren et al. 1988). In the context of life-history scaling, the embryonic period in Atlantic tomcod is a far greater proportion of maximum lifespan (~ 3 to 6%) than is the case for either sturgeon species (< 0.01 % for both sturgeons) by a factor of 300- to 600-fold. Compared to sturgeons, tomcod have a greatly reduced opportunity for contaminant depuration after hatching. Hence, tomcod is far more likely to be influenced by environmental (sediment) exposure experienced during the embryonic period and by maternal transfer of contaminants to offspring. Maternal transfer of PCBs and PCDD/Fs is substantial in fishes, including HR tomcod (Courtenay et al. 1999), but has not been evaluated in HR sturgeons.

Regarding diet differences among the species, sturgeons and tomcod are opportunistic generalist feeders with their diets reflecting the habitat differences of the same life-stages. The diets of early life-stages of both sturgeons are not well known (Dadswell et al. 1984) due to their protected status but some insights into the diets of YOY and yearling HR sturgeon have been obtained from *in situ* specimens using lavage methods (Haley 1998) and from specimens impinged at HR power plants (Carlson and Simpson 1987). The diets of impinged YOY shortnose sturgeon

4 491 collected from mid-HR locations above the salt front were dominated by freshwater taxa including
5 midge larvae (Chironomidae), amphipods, and isopods. Molluscs and oligochaetes were
6 uncommon in YOY shortnose diets despite an oligochaete (*Limnodrilus hoffmeisteri*) being a
7 community dominant in freshwater habitats likely used by sturgeons (Simpson et al. 1985). The
8 diets of tomcod in the HR consist almost exclusively of invertebrate prey including calanoid
9 copepods, gammarids, *Neomysis* sp., polychaetes, and *Monoculodes* (Nittel 1976; Grabe 1978;
10 1980). More information is needed on the amount and type of contaminant in the diets of young
11 1980 sturgeons and tomcod.

12 499 There was no evidence of elevated hepatic PCDDs in either of the two HR sturgeons. For
13 500 example, the mean level of hepatic 2,3,7,8 TCDD was 1.71 pg/g ww in contemporary collections
14 501 of shortnose sturgeon and 0.63 pg/g ww in Atlantic sturgeon. Low levels of PCDDs, including
15 502 TCDD, were expected in sturgeons from the mainstem HR because the predominant source of
16 503 PCDDs for the lower HR Estuary was the Diamond Alkali facility, located adjacent to the lower
17 504 Passaic River in the western reaches of the Estuary where neither sturgeon species is common
18 505 (Wilk et al. 1997). Furthermore, 86% to 98% of total PCDDs in soils in the vicinity of Diamond
19 506 Alkali was 2,3,7,8 TCDD (Umbreit et al. 1986; Wenning et al. 1993). The bioavailability of
20 507 PCDDs to at least one member of the finfish community at the Diamond Alkali site was revealed
21 508 by the near-record levels of hepatic PCDDs (mean 673 TCDD TEQs from PCDD), >80% of
22 509 which was TCDD, in tomcod from Newark Bay and the adjacent Hackensack River (Courtenay et
23 510 al. 1999; Fernandez et al. 2004). Evidence is lacking for transport of PCDDs from the Passaic
24 511 River through to Newark Bay and into the Kill van Kull and the mainstem HR. Thus, low burdens
25 512 of PCDDs in HR sturgeons is consistent with their life history and behavior.

26 513 Levels of some PCDFs, particularly those with potentially high TEFs in sturgeons, were
27 514 greater than expected in shortnose sturgeon and in a single Atlantic sturgeon specimen. The mean
28 515 level of 2,3,7,8 TCDF in contemporary shortnose sturgeon and Atlantic sturgeon was 26.18 pg/g
29 516 ww and 11.13 pg/g ww, respectively. Additionally, the mean level of 2,3,4,7, 8 PeCDF was 6.26
30 517 pg/g ww and 2.29 pg/g ww in contemporary shortnose sturgeon and Atlantic sturgeon,
31 518 respectively. The ratios of PCDDs to PCDFs in Atlantic tomcod were greater in tomcod from
32 519 Newark Bay/Hackensack River than in specimens from the mainstem HR whereas hepatic burdens
33 520 of PCDFs exceeded that of PCDDs in the HR tomcod (Fernandez et al. 2004). The same pattern
34 521 in ratios of PCDDs to PCDFs was observed in sturgeons in this study. Specific sources of PCDFs

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4 522 in the mainstem HR are unknown, but PCDFs have been shown to be a co-contaminant in PCB
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6 523 formulations (O'Keefe et al. 1984).
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10 525 **Conclusions**

11 526 Despite their bottom-dwelling lifestyle, longevity, and occurrence in the tidal HR estuary where
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13 527 levels of sediment-borne PCBs are high, juvenile and adult HR sturgeons bioaccumulated
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15 528 relatively low levels of hepatic PCBs compared to a sympatric species. The low level of these
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17 529 contaminants in sturgeon may be due in part to their diet and life history pattern. Similarly,
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19 530 hepatic burdens of PCDDs in HR sturgeons were low but this is consistent with their absence from
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21 531 locales where sediment levels of PCDDs are high. In contrast, burdens of select PCDFs were
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23 532 unexpectedly high in HR sturgeons and at levels that activated AHR toxicity in another North
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25 533 American sturgeon species. We suggest that laboratory studies be conducted to evaluate the
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27 534 concentrations of PCDFs that result in toxicity in young life-stages of HR sturgeons.
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Figure Legend

Fig. 1

Left Panel-Map of the western Hudson River Estuary including the 17 mile long Diamond Alkali TCDD Superfund site in Newark on the Passaic River and Newark Bay. Right Panel-Map of the 198 mile long Hudson River PCBs Superfund site on the mainstem Hudson River. Locations of sturgeon collection sites on the mainstem river are indicated by river kilometers (Rkm).

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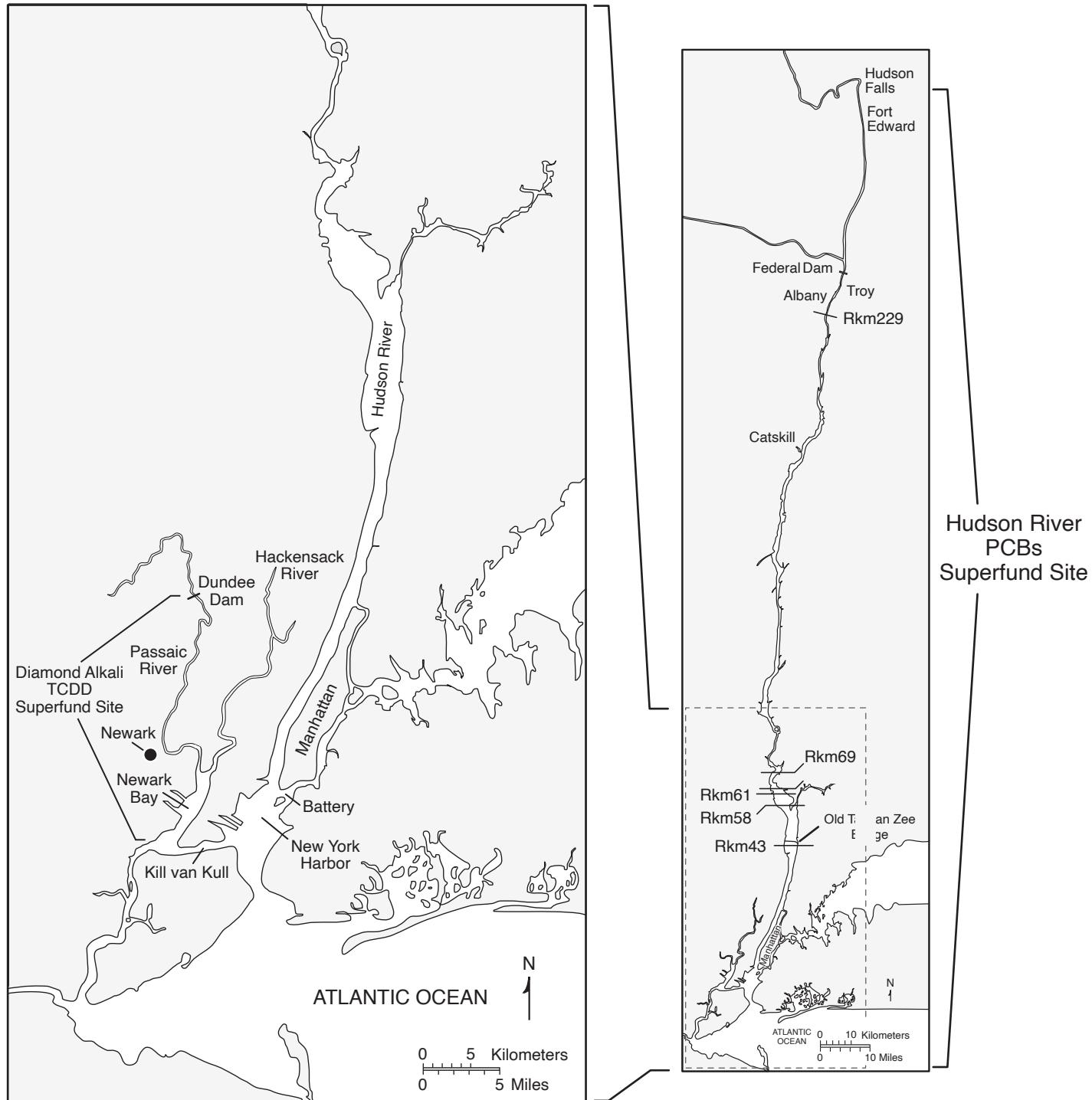
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11 Table 1. Collection details of Hudson River shortnose sturgeon and Atlantic sturgeon used in this study
12 to quantify hepatic PCB and PCDD/F burdens. All fish collected in the 2010's were either live with
13 liver samples taken by biopsy or recent fatalities. Fish from 1984 were museum specimens that had
14 been fixed in formalin and later preserved in ethanol. All locations are in New York. Abbreviations:
15 SS, shortnose sturgeon; AS, Atlantic sturgeon; Rkm, river kilometer (km upstream from the Battery,
southern Manhattan, New York); TL, Total Length; WT, total body weight.

 Formatted Table

Species	Location	Rkm	Date	Collection		Specimen status
				TL (cm)	WT (g)	
SS	Nyack	43	8/13/2015	80.0	3241	fatality
SS	Haverstraw	63	8/28/2016	ND	ND	fatality
SS	Haverstraw	63	9/16/2014	65.5	1070	live
SS	Haverstraw	63	9/16/2014	79.1	3750	live
SS	Haverstraw	63	9/16/2014	88.3	4700	live
SS	Indian Point	69	5/21/1984	46.6	598	preserved
SS	Indian Point	69	3/22/1984	55.6	884	preserved
SS	Albany	229	6/28/1984	33.3	ND	preserved
AS	Nyack	43	8/31/2015	42.7	310	fatality
AS	Haverstraw	58	4/18/2016	74.7	2400	live
AS	Haverstraw	61	4/19/2016	73.4	2700	live
AS	Haverstraw	63	4/18/2016	76.5	2300	live
AS	Haverstraw	63	4/18/2016	63.3	900	live

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23 Table 2. Descriptive statistics, between-group tests, and trends of differences for 11 dioxin-like PCB congeners, 3 inadvertent PCB congeners, and total PCBs
 24 (pg/g-ww) in livers of shortnose sturgeon and Atlantic sturgeon collected from the Hudson River. Type II error is shown for all tests with $p \leq 0.1$. The number
 25 of tests that was significant ($p \leq 0.05$) and in the direction specified in the Summary heading (bottom of table), out of all tests conducted on individual congeners
 26 and total (all) PCB congeners, also includes observations below levels of detectability. 'Trend' is the number of cases for which the means are in the direction
 27 stated in the Summary heading compared to the total number of tests conducted. Trends are also reflected in the overall magnitude of differences between
 28 groups (e.g., archived vs. recent, or shortnose sturgeon vs. Atlantic sturgeon) as quantified by the ratio of the grand means (congeners and total PCB) between
 29 contrasted groups ($N = 2$ a minimum for inclusion). Abbreviations: *nd*, non-detectable; *NS*, not significant ($p > 0.05$); *na*, not available.

	Shortnose sturgeon (recent)			Shortnose sturgeon (archive)			Atlantic sturgeon			Shortnose sturgeon (archive vs. recent)			Shortnose sturgeon vs. Atlantic sturgeon		
	Mean	SEM	N (<i>nd</i>)	Mean	SEM	N (<i>nd</i>)	Mean	SEM	N (<i>nd</i>)	F	df	p	F	df	p
													Coplanar PCBs	Non-Ortho Substituted	Mono-Ortho Substituted
77	1,032	123	5	969	534	3	349	274	5	0.02	1,6	NS	5.17	1,8	0.05
81	71.7	9.48	5	210	147	3	8.7	8.74	5 (4)	1.64	1,6	NS	23.81	1,8	< 0.01
126	0	0	5 (5)	12.8	12.80	3 (2)	0	0	5 (5)	1.88	1,6	NS	-	-	-
169	0	0	5 (5)	0	0	3 (3)	0	0	5 (5)	-	-	-	-	-	-
105	50,180	7,566	5	10,600	.	1	8,076	2,247	5	4.56	1,4	NS	28.46	1,8	< 0.01
114	4,612	767	5	16,143	9,889	3	635	141	5	2.45	1,6	NS	26.02	1,8	< 0.01
118	96,725	10,389	4	33,100	.	1	18,630	9,809	5	7.50	1,3	NS	29.47	1,7	< 0.01
123	1,028	205	5	7,279	4,493	3	265	151	5	3.60	1,6	NS	8.961	1,8	0.02
156/7	37,760	6,941	5	48,097	27,378	3	6,218	1,592	5	0.22	1,6	NS	19.62	1,8	< 0.01
167	1,294	170	5	1,932	969	3	436	327	5	0.74	1,6	NS	5.42	1,8	0.05
189	3,732	644	5	3,283	1,606	3	714	128	5	0.10	1,6	NS	21.11	1,8	< 0.01
5	3.9	2.53	5 (3)	48.3	32.74	3	0.47	0.47	5 (4)	3.39	1,6	NS	1.75	1,8	NS
11	398	128	5	90.5	32.04	3	59.9	25.49	5	3.18	1,6	NS	6.71	1,8	0.03
52	346,250	23,558	4 (0)	85,100	na	1	64,300	31,326	5	24.52	1,3	0.02	47.01	1,7	< 0.01
Total PCBs	8.60 x10 ⁶	1.44 x10 ⁶	5	12.17 x10 ⁶	7.88 x10 ⁶	3	1.48 x10 ⁶	1.26 x10 ⁶	5	0.35	1,6	NS	22.19	1,8	< 0.01

57 Summary: archive > recent shortnose > Atlantic

Significance test:	0 of 13	12 of 13
Trend:	7 of 11	12 of 12
Ratio of grand means:	3.2	5.5

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Table 3. Descriptive statistics, between-group tests, and trends of differences in 7 PCCD congeners (pg/g-ww) in livers of shortnose sturgeon and Atlantic sturgeon collected from the Hudson River. Type II error shown for all tests with $p \leq 0.1$. See Table 2 for interpretation of summary on significance tests, trends, and ratios of grand means. Abbreviations: *nd*, non-detectable; *NS*, not significant ($p > 0.05$).

Shortnose sturgeon (recent)				Shortnose sturgeon (archive)				Atlantic sturgeon				Shortnose sturgeon (recent vs. archive)			Shortnose sturgeon vs. Atlantic sturgeon		
PCCD Congener	Mean	SEM	N (nd)	Mean	SEM	N (nd)	Mean	SEM	N (nd)	F	df	p	F	df	p		
2,3,7,8 TCDD	1.7	0.455	5 (1)	0.99	0.521	3	0.63	0.529	5 (3)	1.0	1,6	NS	2.4	1,8	NS		
1,2,3,7,8 PenTCDD	0.30	0.184	5 (3)	0.50	0.054	3	0.31	0.176	5 (2)	0.65	1,6	NS	0.01	1,8	NS		
1,2,3,4,7,8 HexaCDD	0.22	0.137	5 (3)	1.46	1.302	3 (1)	0.92	0.434	5 (1)	1.7	1,6	NS	2.4	1,8	NS		
1,2,3,6,7,8 HexaCDD	1.8	0.528	5 (1)	1.1	0.582	3	2.5	1.434	5 (1)	0.75	1,6	NS	0.22	1,8	NS		
1,2,3,7,8,9 HexaCDD	0.32	0.194	5 (3)	0.62	0.154	3	0.92	0.608	5 (2)	1.2	1,6	NS	0.91	1,8	NS		
1,2,3,4,6,7,8 HeapTACDD	4.9	0.41	5	14.2	4.00	3	7.4	1.17	5	9.8	1,6	0.02	4.1	1,8	0.08		
OctaCDD	9.3	1.70	5	178	111	3	8.2	1.68	5	4.3	1,6	0.08	0.20	1,8	NS		

Table 4. Descriptive statistics, between-group tests, and trends of differences for 10 PCDF congeners (pg/g-ww) in livers of shortnose sturgeon and Atlantic sturgeon collected from the Hudson River. Type II error shown for all tests with $p \leq 0.1$. See Table 2 for interpretation of summary on significance tests, trends, and ratios of grand means. Abbreviations: *nd*, non-detectable; *NS*, not significant ($p > 0.05$).

Shortnose sturgeon (recent)				Shortnose sturgeon (archive)				Atlantic sturgeon				Shortnose sturgeon (recent vs. archive)			Shortnose sturgeon vs. Atlantic sturgeon		
PCCD Congener	Mean	SEM	N (nd)	Mean	SEM	N (nd)	Mean	SEM	N (nd)	F	df	p	F	df	p		
2,3,7,8 TetraCDF	26.2	4.63	5	20.0	11.54	3	11.1	4.18	5	0.35	1,6	NS	5.8	1,8	0.04		
1,2,3,7,8 PentaCDF	1.2	0.42	5 (1)	1.7	0.89	3	1.4	0.81	5 (1)	0.38	1,6	NS	0.06	1,8	NS		
2,3,4,7,8 PentaCDF	6.3	0.85	5	4.9	2.66	3	2.3	0.80	5	0.39	1,6	NS	11.6	1,8	< 0.01		
1,2,3,4,7,8 HexaCDF	0.25	0.161	5 (3)	0.79	0.300	3	0.94	0.444	5 (1)	3.1	1,6	NS	2.2	1,8	NS		
1,2,3,6,7,8 HexaCDF	1.1	0.53	5 (2)	0.48	0.282	3 (1)	1.0	0.55	5 (1)	0.74	1,6	NS	0.01	1,8	NS		
1,2,3,7,8,9 HexaCDF	0.17	0.168	5 (4)	0	0	3 (3)	0	0	5 (5)	0.56	1,6	NS	1.0	1,8	NS		
2,3,4,6,7,8 HexaCDF	0.18	0.110	5 (3)	0.25	0.142	3 (1)	0.30	0.192	5 (2)	0.16	1,6	NS	0.28	1,8	NS		
1,2,3,4,6,7,8 HepaCDF	0.96	0.258	5 (1)	10.5	8.96	3	2.3	1.21	5 (1)	2.1	1,6	NS	1.2	1,8	NS		
1,2,3,4,7,8,9 HepaCDF	0.05	0.047	5 (4)	0.49	0.215	3	0.08	0.080	5 (4)	7.0	1,6	0.04	0.13	1,8	NS		
OCDF	0.26	0.260	5 (4)	68.5	66.23	3	0.41	0.223	5 (1)	2.0	1,6	NS	0.20	1,8	NS		

Summary:	archive > recent	shortnose > Atlantic
Significance test:	1 of 10	2 of 10
Trend:	6 of 10	4 of 10
Ratio of grand means:	2.9	1.5

23 Table 5. Summary statistics and between-group tests and trends of differences for levels of hepatic PCB Toxic Equivalency Quotients (TEQs), PCDD/Fs TEQs,
 24 and total TCDD TEQs in livers of shortnose sturgeon and Atlantic sturgeon collected from the Hudson River. TEFs values used are those for fishes from Van
 25 den Berg et al. (1998). Type II error shown for all tests with $p \leq 0.1$. Abbreviations: NS, not significant; $p > 0.05$.

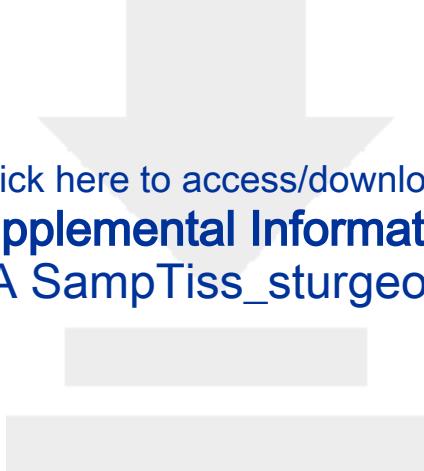
TEQ	Shortnose sturgeon (recent)			Shortnose sturgeon (archive)			Atlantic sturgeon			Shortnose sturgeon (recent vs. archive)			Shortnose sturgeon vs. Atlantic sturgeon		
	Mean	SEM	N	Mean	SEM	N	Mean	SEM	N	F	df	p	F	df	p
PCBs	1.6	0.27	5	4.0	2.36	3	0.3	0.108	5	2.0	1,6	NS	18.9	1,8	< 0.01
PCDD/Fs	6.6	0.95	5	5.0	2.29	3	3.5	1.12	5	0.60	1,6	NS	4.5	1,8	0.07
Total TEQs	8.2	1.19	5	9.0	4.64	3	3.8	1.21	5	0.05	1,6	NS	6.6	1,8	0.03

23 Table 6. Summary statistics and between-group tests and trends of differences for TCDD Toxic Equivalency Quotients (TEQs) of 2,3,7,8 TCDF, 2,3,4,7,8
 24 PeCDF and their sum in shortnose sturgeon and Atlantic sturgeon livers collected from the Hudson River. TEQs are based on RePs developed by Eisner et al.
 25 (2016) in white sturgeon livers for 2,3,7,8 TCDF (1.4) and 2,3,4,7,8 PeCDF (1.2). Type II error shown for all tests with $p \leq 0.1$. Abbreviations: *nd*, non-
 26 detectable; *NS*, not significant, $p > 0.05$.

TEQ	Shortnose sturgeon (recent)			Shortnose sturgeon (archive)			Atlantic sturgeon			Shortnose sturgeon (recent vs. archive)			Shortnose sturgeon vs. Atlantic sturgeon		
	Mean	SEM	N (<i>nd</i>)	Mean	SEM	N (<i>nd</i>)	Mean	SEM	N (<i>nd</i>)	F	df	p	F	df	p
A) 2,3,7,8 TCDF	36.65	4.63	5	27.85	11.54	3	15.58	4.18	5	0.35	1,6	NS	5.8	1,8	0.04
B) 2,3,4,7,8 PeCDF	7.51	0.85	5	5.83	2.66	3	2.75	0.80	5	0.39	1,6	NS	11.6	1,8	< 0.01
Total (A + B)	44.16	5.45	5	33.68	14.20	3	18.33	4.70	5	0.36	1,6	NS	7.0	1,8	0.03

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11 Table 7. Minimal nominal waterborne concentrations (ppb) of PCB126 and 2,3,7,8 TCDD that induced significant
12 early-life toxicities (Chambers et al. 2012) and induction of CYP1A mRNA expression (Roy et al. 2011) in larval
13 shortnose sturgeon and Atlantic sturgeon exposed as embryos under controlled laboratory conditions. Nominal
14 waterborne doses of PCB126 ranged from 0.01 to 1,000 ppb and 2,3,7,8 TCDD from 0.001 to 100 ppb. TEFs were
15 calculated as the ratio between concentrations of 2,3,7,8 TCDD and PCB126 eliciting an effect in each of the
measured responses. Abbreviations: *NE*, no effect; *NA*, not applicable.

	Early life-stage toxicities		Shortnose sturgeon		Atlantic sturgeon	
	PCB 126	2,3,7,8 TCDD	TEF	PCB 126	2,3,7,8 TCDD	TEF
Embryo survival	≥ 10	≥ 1	0.1	≥ 100	≥ 1	0.01
Embryo period duration	NE	NE	NA	NE	NE	NA
Length (larvae)	≥ 1	≥ 0.01	0.01	≥ 0.1	≥ 0.01	0.1
Development (eye)	≥ 0.01	≥ 0.001	0.1	≥ 1	≥ 0.1	0.1
Larval lifespan (unfed)	≥ 0.1	≥ 0.01	0.1	≥ 0.1	≥ 0.01	0.1
CYP1A mRNA Induction	≥ 0.01	≥ 0.001	0.1	≥ 0.01	≥ 0.001	0.1



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