

1 **Modulation of Western North Pacific Tropical Cyclone Activity by**  
2 **the Atlantic Meridional Mode**

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23 Abstract

24 This study examines the year-to-year modulation of the western North Pacific (WNP)  
25 tropical cyclones (TC) activity by the Atlantic Meridional Mode (AMM) using both  
26 observations and the Geophysical Fluid Dynamics Laboratory (GFDL)  
27 Forecast-oriented Low Ocean Resolution Version of CM2.5 (FLOR) global coupled  
28 model. 1. The positive (negative) AMM phase suppresses (enhances) WNP TC  
29 activity in observations. The anomalous occurrence of WNP TCs results mainly from  
30 changes in TC genesis in the southeastern part of the WNP. 2. The observed responses  
31 of WNP TC activity to the AMM are connected to the anomalous zonal vertical wind  
32 shear (ZVWS) caused by AMM-induced changes to the Walker circulation. During  
33 the positive AMM phase, the warming in the North Atlantic induces strong  
34 descending flow in the tropical eastern and central Pacific, which intensifies the  
35 Walker cell in the WNP. The intensified Walker cell is responsible for the suppressed  
36 (enhanced) TC genesis in the eastern (western) part of the WNP by strengthening  
37 (weakening) ZVWS. 3. The observed WNPTC-AMM linkage is examined by the  
38 long-term control and idealized perturbations experiment with FLOR-FA. A suite of  
39 sensitivity experiments strongly corroborate the observed WNPTC-AMM linkage and  
40 underlying physical mechanisms.

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## 45 **1. Introduction**

46 Tropical cyclones (TCs) are among the most destructive and costly natural  
47 disasters (e.g., Rappaport, 2000; Pielke Jr et al., 2008; Zhang et al., 2009).  
48 Understanding and predicting the status of TC occurrence is a topic of intense  
49 scientific interest (e.g., Mitchell, 1932; Gray, 1979; Vitart and Stockdale, 2001;  
50 Klotzback, 2007; Vecchi et al., 2014).

51 Environmental factors affecting the status of TC genesis in the western North  
52 Pacific (WNP) are strongly modulated by the sea surface temperature (SST) modes  
53 such as the El Niño Southern Oscillation (ENSO) (e.g., Chan, 1985; Wu and Lau,  
54 1992; Chan, 2000; Wang and Chan, 2002; Camargo and Sobel, 2005; Zhang et al.,  
55 2012; 2015a; 2015c), the North Pacific Gyre Oscillation (Zhang et al., 2013), the  
56 Pacific Meridional Mode (Zhang et al., 2015b), the Pacific Decadal Oscillation (PDO;  
57 Lee et al., 2012; Liu and Chan, 2012; Girishkumar et al., 2014) and basin-wide Indian  
58 ocean SST changes (Du et al., 2010; Zhan et al., 2010; Zhan et al., 2014). Therefore,  
59 the SST patterns in both Pacific and other ocean basins can alter the occurrence of  
60 WNP TCs through both local forcing and remote teleconnections. A number of  
61 studies have documented the importance of the North Atlantic SST in mediating  
62 Pacific climate including the Walker circulation (England et al., 2014; McGregor et  
63 al., 2014), ENSO (Ham et al., 2013; Yu et al., 2014), and the PDO (Zhang and  
64 Delworth, 2007; Zhang and Zhu, 2015). The SST anomalies in the North Atlantic  
65 have been recently found to exhibit substantial statistical connection with WNP TC  
66 activity (Li et al., 2013; Huo et al., 2015; Yu et al., 2015). More specifically, positive

67 SST anomalies in the North Atlantic tend to suppress TC activity in the WNP (Li et  
68 al., 2013; Huo et al., 2015; Yu et al., 2015). Yu et al. (2015) proposed the Indian  
69 Ocean relay effect for interpreting the link between North Atlantic SST anomalies and  
70 WNP TCs. Huo et al. (2015) analyzed observed statistical relationships between  
71 Atlantic SST and the key dynamic and thermodynamic conditions in the WNP to  
72 interpret the impacts of North Atlantic SST on WNP TCs; yet the underlying physical  
73 mechanisms connecting the Atlantic to these large-scale WNP changes were not  
74 provided in their study. Therefore, while there is mounting evidence supporting the  
75 idea that North Atlantic SST influences the occurrence of WNP TCs, the field is still  
76 attempting to disentangle the underlying physical mechanisms.

77 The Atlantic Meridional Mode (AMM) is a leading mode of the coupled  
78 ocean/atmosphere system in the tropical & subtropical Atlantic (Nobre and Shukla,  
79 1996; Chang et al., 1997; Chiang and Vimont, 2004; Vimont and Kossin, 2007;  
80 Smirnov and Vimont, 2010). The AMM has also been referred to historically as the  
81 Atlantic Dipole or Inter-hemispheric Mode (Servain, 1991; Xie and Philander, 1994;  
82 Carton et al., 1996) or the tropical Atlantic gradient mode (Chiang et al., 2002). The  
83 AMM exhibits variability on a variety of time scales from inter-annual to decadal. On  
84 decadal scales, the AMM is closely linked to the Atlantic Multidecadal Oscillation  
85 (AMO) (Kossin and Vimont, 2007; Vimont and Kossin, 2007; Grossmann and  
86 Klotzbach, 2009). While it has been shown that the AMM modulates hurricane  
87 activity in the North Atlantic (Vimont and Kossin, 2007), here we examine whether,  
88 the extent to which and by what mechanisms changes in the phase and magnitude of

89 AMM can modulate WNP TC activity. By using the long-term control experiments of  
90 the Geophysical Fluid Dynamics Laboratory (GFDL) Forecast-oriented Low Ocean  
91 Resolution Version of CM2.5 (FLOR), we can test the robustness of the observed  
92 linkage between WNP TC activity and AMM and explore the mechanisms behind the  
93 connection. This study will advance our understanding of TC activity in the WNP,  
94 and provide references for the prediction and projection of WNP TC activity.

95 The remainder of this paper is organized as follows. Section 2 presents  
96 methodology and Section 3 discusses the analysis results based on observation and  
97 simulation results. Section 4 includes the discussion and conclusion.

## 98 **2. Data and Methodology**

### 99 **2.1 Data**

100 The TC data are obtained from International Best Track Archive for Climate  
101 Stewardship (IBTrACS; Knapp et al., 2010). We focus on WNP TCs that occur in the  
102 peak season (June to November: JJASON). The key meteorological variables such as  
103 zonal and meridional wind fields, geopotential height, vorticity and relative humidity  
104 are from the Japan Meteorology Agency (JMA) 55 reanalysis data (JRA-55,  
105 Kobayashi et al., 2015). To substantiate the results, the National Centers for  
106 Environmental Prediction and the National Center for Atmospheric Research  
107 (NCEP/NCAR; Kalnay et al., 1996) reanalysis data are also used. The JRA-55 is  
108 available since 1958 on a global basis with a spatial resolution of  $1.25^\circ \times 1.25^\circ$ . It is  
109 based on a new data assimilation system that reduces many of the problems reported  
110 in the first JMA reanalysis (Kobayashi et al., 2015). The zonal vertical wind shear

111 ( $|du/dz|$  or  $|u_z|$ ) is defined as the magnitude of the differences in zonal wind between  
112 200 hPa and 850 hPa level. Monthly estimates of SST are taken from the Met Office  
113 Hadley Centre HadSST3.1.1.0 (Kennedy et al., 2011).

## 114 **2.2 AMM Index**

115 The AMM index is calculated following Chiang and Vimont (2004) by the  
116 singular value decomposition (SVD) of 10m surface wind fields (zonal and  
117 meridional components) and SST. In its calculation, the seasonal cycle and the linear  
118 trend are first removed by applying a three-month running mean to the data, and then  
119 subtracting the linear fit to the cold tongue index (CTI, Deser and Wallace, 1987)  
120 from every single spatial point to remove correlations with El Niño (Chiang and  
121 Vimont, 2004). The CTI is defined as the average SST anomaly over 6°N - 6°S, 180 -  
122 90°W (Deser and Wallace, 1987). The regression of SST and 10m surface wind fields  
123 onto the AMM index (i.e., the PC time series) represents the spatial patterns during  
124 the positive AMM phase (Figure 1). The warming and cooling patterns of SST stride  
125 the equator and are coupled with surface winds (Figure 1). To further validate the  
126 independence of AMM on ENSO, we also show the AMM pattern during neutral  
127 ENSO years (Figure 1b). The El Niño (La Niña) years are defined as those with the  
128 Niño 3 values during June-November larger (smaller) than 1 (-1) standard deviation.

## 129 **2.3 Global Coupled Model**

130 This study employs a newly-developed high-resolution coupled climate model  
131 for experiments, the Geophysical Fluid Dynamics Laboratory (GFDL)  
132 Forecast-oriented Low Ocean Resolution Version of CM2.5 (FLOR) (e.g., Vecchi et

133 al., 2014; Jia et al., 2015; Yang et al., 2015). This model is characterized by  
134 high-resolution land and atmosphere components but relatively low-resolution ocean  
135 component (Vecchi et al., 2014). The atmosphere and land are the same as those of the  
136 GFDL Climate Model version (CM) 2.5 (CM2.5; Delworth et al., 2012) with a spatial  
137 resolution of 50 km  $\times$  50 km. The ocean and sea ice components of FLOR are similar  
138 to those in the CM2.1 (Delworth et al., 2006) with a spatial resolution of 1 $\times$ 1 degree.  
139 The relatively low spatial resolution of the ocean and sea ice components in FLOR  
140 enables large ensembles and better efficiency for seasonal forecasting. This study  
141 utilizes the flux-adjusted version of FLOR (FLOR-FA) for sensitivity experiments in  
142 which climatological adjustments are applied to the model's momentum, enthalpy and  
143 freshwater flux from the atmosphere to the ocean to give the model's SST and surface  
144 wind stress a closer climatology to the observations over 1979-2012 (Vecchi et al.,  
145 2014). For more details about the FLOR model, please refer to Vecchi et al. (2014),  
146 Jia et al. (2015), Yang et al. (2015) and references therein.

#### 147 **2.4 Control simulation**

148 A 3500-year long control simulation was performed with the FLOR-FA by  
149 prescribing radiative forcing representative of 1860. These experiments are referred to  
150 as "Pre-industrial" experiments with FLOR-FA. Here we focus on the first 1000 years  
151 for the analysis of AMM and TCs for the sake of computing efficiency. We compared  
152 the results based on the first 1000 years from those derived from the other 2500 years  
153 and the results are consistent. The 500-year control simulation by prescribing  
154 radiative forcing and land use representative of 1990 with FLOR-FA is also analyzed

155 to characterize the AMM-TC association. Because the AMM-TC association based on  
156 FLOR-FA 1990 is consistent with that on FLOR-FA 1860, this study only shows  
157 results based on FLOR-FA 1860.

## 158 **2.5 Perturbation Experiments**

159 A reference climatological experiment (CLIMO) is prepared by nudging the  
160 SSTs in FLOR to the repeating annual cycle of global climatological SST from the  
161 long-term control experiment of FLOR-FA; the perturbation experiment (PAMM) is  
162 designed by prescribing the annual cycle of climatological SST outside the AMM  
163 region and the overlapping of the annual cycle of climatological SST and SST  
164 anomalies associated with the positive AMM mode inside the AMM region. SST in  
165 both experiments is restored to each target with 5-day time scale. The subtraction of  
166 the control experiment from the perturbation experiment (PAMM minus CLIMO)  
167 produces the net influence of the positive AMM mode. Because GFDL FLOR is a  
168 TC-resolving model, we use a tracker to extract TCs from the simulated output. Both  
169 CLIMO and PAMM experiments spin up for 100 years and further integrated for 60  
170 years.

## 171 **2.6 Tracking algorithm**

172 TCs in FLOR are tracked from 6-hourly model output by using the tracker  
173 developed at GFDL and this tracker has been implemented in Murakami et al. (2015)  
174 and Zhang et al. (2015b,c). The temperature anomaly averaged vertically over 300  
175 and 500 hPa ( $t_a$ ), 10 m wind speed, 850 hPa relative vorticity and sea level pressure  
176 (SLP) are key factors of this tracker. The tracking procedures are as follows.



177 (1) Find local minima of the smoothed SLP field. The location of the cyclone center is  
178 properly adjusted by fitting a biquadratic surface to the SLP and locating the center at  
179 the minima.

180 (2) Closed contours are in an interval of 2 hPa ( $dp$ ) around every single low center.  
181 The  $N^{\text{th}}$  contour is marked as the contiguous region surrounding a low central pressure  
182  $P$  with pressures lower than  $dp \times N + P$ , as detected by a “flood fill” algorithm. Note  
183 that the contours are not required to be circular and a maximum radius of 3,000 km is  
184 searched from each candidate SLP low center.

185 (3) If the above closed contours are found, the low is counted as a TC center. In this  
186 way, the tracker attempts to find all closed contours within a certain distance of the  
187 low center and without entering contours belonging to another low. The maximum  
188 10-m wind inside the set of closed contours is taken as the maximum wind speed at  
189 that time for the storm.

190 (4) Warm cores are detected via similar processes: closed 1K contours are found  
191 surrounding the maximum  $t_a$  within a TC's identified contours, no more than 1 degree  
192 from the low center. This contour must have a radius smaller than 3 degrees in  
193 distance. If such a core is not found, it is not considered as a warm-core low center  
194 and the center is rejected.

195 (5) TC centers are combined into a TC track by taking a low center at time  $T - dt$ ,  
196 extrapolating its motion forward  $dt$ , and then seeking for storms within 750 km. A  
197 deeper low center has higher priority of tracking.

198 (6) The following criteria are required to finalize the TC identifications.

- 199           a. At least 72 hours of total detection lifetime (not necessarily  
200           consecutive).
- 201           b. At least 48 cumulative (not necessarily consecutive) hours with a warm  
202           core.
- 203           c. At least 36 consecutive hours of a warm core with winds greater than  
204           17.5 ms<sup>-1</sup>.
- 205           d. TC genesis should be confined equatorward of 40°N.

206           TC track/genesis density in the WNP is binned into 5° × 5° grid boxes at a  
207           6-hour interval without smoothing.

### 208   **3. Analysis Results**

#### 209   **3.1 Results from Observations**

210           We start with the analysis of AMM which is derived from observed SST data.  
211           The full AMM index exhibits an increasing trend over 1970-2013, but we explore the  
212           detrended time series to focus on the year-to-year variations (Figure 2a). The  
213           detrended AMM index has a significant correlation (-0.41) with WNP TC frequency  
214           for 1970-2013 at 0.01 level of significance, reflecting a tendency for WNP TC  
215           frequency to be suppressed (enhanced) in the positive (negative) AMM phase (Figure  
216           2a). We do not consider autocorrelation in the calculation of the correlation between  
217           the WNP TC frequency and the AMM index because the autocorrelations for WNP  
218           TC frequency and the AMM index are statistically insignificant. The slope of the  
219           linear least square fit line for all years (1970-2013) is almost the same as the one for  
220           only the neutral ENSO years during this period, indicating that ENSO does not  
221           strongly influence the WNPTC-AMM association (Figure 2b).

222 More details of the relationship of the AMM with WNP TC can be seen in the  
223 regression of TC track density onto the detrended AMM index for the period  
224 1970-2013, which shows negative loading in almost the entire WNP (Figure 3a). In  
225 addition, the regression of TC genesis density onto AMM features negative anomalies  
226 in the WNP except the regions from 130°E to 145°E in the southwestern WNP (Figure  
227 3b). Moreover, the regression of basinwide TC genesis anomalies onto AMM is  
228 negative. The spatial regression of both WNP TC density and genesis onto the AMM  
229 index, along with the correlation of basinwide frequency with AMM, indicates a  
230 significant link between AMM and WNP TC activity. The southeastern part of the  
231 WNP has long been considered a key region for WNP TC genesis (Chan, 2000; Wang  
232 and Chan, 2002; Camargo et al., 2007).

233 Previous studies have highlighted the dominant role of zonal vertical wind  
234 shear (ZVWS) induced by remote SST, instead of local SST, in modulating WNP TC  
235 genesis (Wang and Chan, 2002; Chan and Liu, 2004). The regression of TC genesis  
236 density onto AMM shows similarities with that of the genesis potential index (GPI)  
237 (Emanuel and Nolan, 2004; Camargo et al., 2007) (Figures 3b and 4a). Specifically,  
238 the regression of GPI onto the AMM index is characterized by negative anomalies in  
239 the eastern WNP and positive anomalies in the western WNP (Figure 4a). Among the  
240 factors associated with GPI, ZVWS stands out to agree with the spatial patterns of  
241 GPI while 600-hPa relative humidity and 850-hPa relative vorticity are not consistent  
242 with the spatial patterns of GPI (Figure 4b,d). To further assess the underlying  
243 mechanisms, we analyze the Walker circulation, represented by the vertical profile of

244 vertical pressure velocity and zonal wind averaged over 0-20 °N where most of WNP  
245 TCs are formed. The anomalous ZVWS is associated with an anomalous regional  
246 Walker cell in the WNP (120°E-180°E) (Figure 4e). An anomalously ascending  
247 (reduced subsidence) branch in the western WNP (120°E-150°E) is accompanied with  
248 an anomalously descending (reduced ascent) branch in the eastern WNP  
249 (150°E-180°E) (Figure 4e). This anomalous zonal circulation would intensify  
250 ZVWS, and act to suppress TC activity in the eastern WNP during the positive AMM  
251 phase because the climatology of lower level and upper level winds are largely  
252 easterly and westerly respectively in the western part of the WNP (Figure 4e).

253         There are enhanced TC geneses in the western WNP during positive AMM  
254 phases (Figure 3b). Such TC geneses are associated with weakened ZVWS in this  
255 region. The weakened ZVWS is caused by the displaced Walker circulation because  
256 the climatology of lower and upper level winds are largely westerly and easterly  
257 respectively in the western WNP (Figure 4e). The altered Walker circulation tends to  
258 weaken the prevalent upper easterly and it also weakens the lower westerly to some  
259 degree, resulting in weakened ZVWS in the western WNP (Figure 4d,e). A number of  
260 studies have found that Atlantic SST anomalies could act to modulate the Pacific  
261 Walker circulation and trades in the tropical Pacific by displacing the Walker  
262 circulation (Kucharski et al., 2011; Ham et al., 2013; McGregor et al., 2014),  
263 providing a plausible mechanism for the relationships observed in this section.

### 264 **3.2 Control experiments**

265         To investigate the mechanisms connecting the AMM and WNP TCs, we first

266 assess whether the TC-AMM association holds in the long-term control experiment in  
267 a coupled climate model (FLOR-FA 1860). The fundamental structures of the  
268 observed AMM mode (i.e., a dipole mode of SST coupled with surface winds) are  
269 captured in the long-term control experiment of FLOR-FA (Figure 5) as they were in a  
270 related model (GFDL CM2.5) (Doi et al., 2013). Previous studies have found that the  
271 positive and negative SST anomalies related to AMM are not strongly connected to  
272 one another (e.g., Chiang and Vimont, 2004). This is also consistent with the  
273 interpretation of meridional mode as an extratropics-to-tropics linkage (Chiang and  
274 Vimont, 2004; Zhang et al., 2014).

275 Figure 6 depicts the histogram of the correlation coefficients between WNP TC  
276 frequency and the AMM index in every 45-year chunk of the 1000-year control  
277 simulation. The mean of the correlation coefficients is around -0.21 which is  
278 statistically different from 0 at 0.01 level of significance based on the Student's t-test.  
279 (Figure 6), although the simulated WNPTC-AMM association is weaker than the  
280 observed one (the red vertical line in Figure 6).

281 For further analysis, we classify the 1000 years into the positive and negative  
282 AMM years with the magnitude of AMM index averaged over JJASON larger than  
283 one standard deviation. There are significantly fewer WNP TCs during the positive  
284 AMM years compared to the negative AMM years (Figure 7). The anomalies of WNP  
285 TC frequency are defined as the deviation from the average WNP TC frequency over  
286 the 1000 years. The TC frequency difference in the southeastern portion of the WNP  
287 is mainly responsible for the difference in total WNP TC frequency between two

288 AMM phases (Figure 7), consistent with the observed spatial pattern of TC genesis  
289 (Figure 3). The regression of TC track density onto the AMM index is characterized  
290 by negative anomalies in the WNP; on the other hand, the regression of TC genesis  
291 density in the long-term control experiment has characteristic negative anomalies only  
292 in the southeastern portion of the WNP with weak positive anomalies in its  
293 southwestern portion (Figure 8). The positive anomalies in TC genesis in the  
294 southwestern part of WNP in the control experiment is weaker than those in the  
295 observations (Figures 3 and 8).

296 To disentangle the physical mechanisms underlying how AMM modulates WNP  
297 TC activity in the control experiment, we also regress the genesis potential index  
298 (GPI), 600-hPa relative humidity, 850-hPa relative vorticity, ZVWS and vertical  
299 atmospheric velocity onto AMM during strong AMM years as what is shown for  
300 observations. The regression of the GPI pattern onto AMM is consistent with the  
301 pattern of TC genesis (Figures 8b and 9a). Among the key atmospheric variables  
302 relevant to GPI, ZVWS stands out to be linked with GPI because of the similarity in  
303 the regression of ZVWS and GPI onto AMM (Figure 9). Previous studies have  
304 highlighted the key role of ZVWS in modulating TC genesis in the WNP (Wang and  
305 Chan, 2002; Chan and Liu, 2004), supporting our results both in the observations and  
306 long-term control experiment of FLOR-FA .

307 We find that ZVWS is changed mostly by the displacement of the Walker  
308 circulation in the WNP in the observations. Figure 9e shows that an anomalous  
309 Walker cell resides from the eastern part of the WNP to the eastern Pacific (140°E to

310 100°W). To the east of this Walker cell, there is another anomalous Walker cell with  
311 descending branch located around 100°W, which is associated with the warming  
312 during positive AMM phase (Figure 9e). We speculate that the AMM induces a  
313 displacement of the Walker circulation, which is responsible for changes in ZVWS in  
314 the WNP including strengthened ZVWS in the eastern WNP and weakened ZVWS in  
315 the western WNP (Figure 9e). The climatology of zonal wind in control experiment is  
316 similar to that in observations (Figures 4e and 9e). The altered Walker circulation is  
317 responsible for the intensified ZVWS in the eastern WNP by strengthening the  
318 prevalent (climatological) upper level westerly and lower level easterly and for the  
319 diminished ZVWS in the western WNP by weakening the prevalent (climatological)  
320 upper level easterly and lower level westerly (Figures 9e). The anomalous ZVWS  
321 subsequently modulates WNP TC genesis. Such mechanisms are consistent with those  
322 based on observations. A suite of experiments using the state-of-the-art GFDL  
323 FLOR-FA coupled climate model are performed to verify the mechanisms underlying  
324 how AMM regulates WNP TC genesis.

### 325 **3.3 Sensitivity experiments**

326 To verify the mechanisms underlying how the AMM influences TC activity in  
327 the WNP, we have performed a suite of experiments including the control experiment  
328 and the perturbation experiment using FLOR-FA (Jia et al., 2015; Vecchi et al., 2014;  
329 Yang et al., 2015). The control and perturbation experiments are denoted as CLIMO  
330 and PAMM, respectively. The details of both experiments are provided in Section 2.

331 The PAMM experiment produces significantly fewer (3.7 times) WNP TCs than

332 the CLIMO experiment (Table 1). It appears that the suppressed WNP TC genesis in  
333 the PAMM experiment is due to suppressed TC activity in the southeastern part of the  
334 WNP (Table 1). In addition, TC genesis in the northeastern part is also suppressed in  
335 the PAMM phases, even though the PAMM experiment produces more TC genes in  
336 the southwestern part of the WNP (Table 1). Such forced TC responses are further  
337 supported by the differences in the spatial pattern of TC track and genesis density  
338 between PAMM and CLIMO experiments (Figure 10).

339 The forced anomalous TC density responses to PAMM strongly resemble that in  
340 the long-term control experiment (Figure 10a), though there are some weak positive  
341 TC density anomalies from the Philippines to Vietnam in the PAMM experiment. The  
342 subtraction of TC genesis density in the PAMM from CLIMO experiment produces  
343 anomalous negative anomalies in the eastern WNP and positive anomalies in a large  
344 portion of the western WNP, consistent with the observed TC genesis density  
345 anomalies in the observations (Figures 3b and 10b). The negative TC genesis density  
346 anomalies in PAMM are located slightly eastward compared to the observations and  
347 this may be caused by the biases in simulated TC track density with FLOR or CM2.5  
348 (Kim et al., 2014; Vecchi et al., 2014). The differences in the spatial pattern of TC  
349 genesis between PAMM and CLIMO experiments (Figure 10) are consistent with  
350 what shown in Table 1. The PAMM experiment has less TC genes in the  
351 southeastern portion and more TC genes in the southwestern portion of the WNP  
352 than the CLIMO experiment (Figure 10b), consistent with the results based on  
353 observations and the long-term control run with FLOR-FA.



354 To assess the role of key large-scale environmental variables in WNP TC genesis,  
355 we have analyzed the differences in GPI, relative humidity, 850 hPa relative vorticity,  
356 ZVWS and the Walker circulation between PAMM and CLIMO experiments (Figure  
357 11). The sensitivity experiments reproduce the relationship between key variables and  
358 GPI in observations and long-term control experiment (Figures 4, 9 and 11). Negative  
359 GPI anomalies are located in the southeastern WNP while positive GPI anomalies are  
360 located in the southwestern WNP (Figure 11a). The ZVWS differences between  
361 PAMM and CLIMO are characterized by positive anomalies in the southeastern WNP  
362 and negative anomalies in the southwestern WNP (Figure 11d), consistent with the  
363 spatial patterns of GPI (Figure 11a). The anomalous Walker circulation in the PAMM  
364 experiment shows similarity with what shown in the observations: there is an  
365 anomalous ascending branch in the Atlantic and a descending branch in the central  
366 Pacific forced by warming during the positive AMM phases, which act to enhance the  
367 ascending branch in the eastern WNP (Figure 11e). Such displacement of the Walker  
368 circulation leads to the anomalous Walker cell residing from the western to tropical  
369 central Pacific; this is responsible for the enhanced ZVWS in the eastern part of WNP  
370 and the diminished ZVWS in the western part of the WNP during the positive AMM  
371 phase (Figure 11e). The anomalous Walker circulation in PAMM is located in a  
372 slightly different location compared to the observations. For example, the descending  
373 branch of the Walker circulation in the western WNP resides slightly westward  
374 compared with observations (Figures 4e and 10e).

375 The two experiments (CLIMO and PAMM) therefore strongly support the  
376 physical mechanisms underlying the observed AMM-TC association. During the  
377 positive AMM phases, the anomalous SST warming in the North Atlantic forces  
378 changes in the atmospheric Walker circulation, which intensifies ZVWS in the eastern  
379 part of the WNP and suppresses TC genesis there.

380

#### 381 **4. Discussion and conclusion**

382 Several studies have highlighted the important role of the North Atlantic SST in  
383 modulating WNP TC activity (e.g., Huo et al., 2015; Yu et al., 2015). The forcing  
384 impacts of remote tropical SST on TC activity have been identified in the North  
385 Atlantic and WNP (Vecchi and Soden, 2007; Lin and Chan, 2015). This study further  
386 examines the possible year-to-year modulation of WNP TC activity by AMM. Our  
387 research findings are summarized as follows.

388 1. The positive (negative) AMM phase suppresses (enhances) WNP TC activity in the  
389 observations. The anomalous occurrence of WNP TCs results mainly from changes in  
390 TC genesis in the southeastern part of the WNP.

391 2. The observed responses of WNP TC activity to the AMM phase are connected to  
392 the anomalous ZVWS driven by AMM-induced changes to the Walker circulation.  
393 During the positive AMM phase, the warming in the North Atlantic induces strong  
394 descending flow in the tropical eastern and central Pacific, which intensifies the  
395 Walker cell in the eastern WNP. The intensified Walker cell is responsible for the  
396 suppressed TC genesis in the eastern part of the WNP by strengthening ZVWS.

397 3. The observed WNPTC-AMM linkage is supported by the long-term control and  
398 idealized perturbations experiment with FLOR-FA. A suite of sensitivity experiments  
399 strongly corroborate the observed WNPTC-AMM linkage and underlying physical  
400 mechanisms.

401 The WNPTC-AMM linkage will provide useful references for the prediction of  
402 WNP TC frequency by combining with other factors such as PMM, Niño 4 and the  
403 South Oscillation Index (Chan et al., 2001; Fan and Wang, 2009). This study has  
404 identified the important role of AMM in mediating WNP TC activity, confirming the  
405 impacts of the Atlantic SST on WNP TCs (Huo et al., 2015; Yu et al., 2015). AMM  
406 influences WNP TC activity via changing ZVWS in the WNP (especially in the  
407 southeastern and southwestern portions) by altering the Walker circulation. Therefore,  
408 this study has also proposed new physical mechanisms to interpret the linkage  
409 between AMM and WNP TC activity. Such underlying mechanisms are supported by  
410 observations, long-term control experiments and a suite of sensitivity experiments  
411 with the state-of-the-art FLOR-FA coupled climate model.

412

413 TC Frequency in the WNP has sharply decreased since the late 1990s (Tu et al.,  
414 2009; Liu and Chan, 2012; Choi et al., 2015; He et al., 2015; Lin and Chan, 2015).  
415 Such changes have been attributed to suppressed TC genesis in the southeastern part  
416 of the WNP (Liu and Chan, 2012; He et al., 2015; Lin and Chan, 2015; Wu et al.,  
417 2015). The Atlantic SST has been warming since 1950s and the multi-decadal change  
418 of the Atlantic SST (e.g., AMO) plays an important role in the rising SST. Based on

419 our research findings, the suppressed TC activity in the WNP may be caused by the  
420 rising SST in the North Atlantic since the mid-1990s. This will be examined in our  
421 future work. PMM also exerts strong impacts on WNP TC activity (Zhang et al.,  
422 2015b). PMM enhances TC genesis in the WNP, especially in the southeastern WNP  
423 by inducing the Matsuno-Gill responses to the heating relevant to the northwestern  
424 part of the positive PMM pattern. Our ongoing research is examining how concurrent  
425 AMM and PMM influence WNP TC activity.

426         Despite the advancements made in understanding WNP TC activity, there are  
427 several caveats to be addressed. First, this study is limited by the uncertainties and  
428 biases in the simulations of FLOR-FA. Second, due to the inherent complexity  
429 existing in WNP TC activity, AMM is just one factor/mode that modulates WNP TCs.  
430 The concurrent influences of AMM, PMM, ENSO and even East Indian Ocean SST  
431 on WNP TCs should be considered to obtain a more comprehensive understanding.

432

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438

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651 Western North Pacific in the High-Resolution GFDL HiFLOR Coupled Climate  
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653

654 Table 1. TC frequency in the WNP and its sub-regions during peak season (JJASON)  
 655 produced by the control experiment (CLIMO) and the perturbation experiment  
 656 (PAMM). The boldface and “\*” represent results that are significant at the 0.01 level.

<b>TC Count</b>	<b>WNP</b>	<b>SCS</b>	<b>NW</b>	<b>SW</b>	<b>SE</b>	<b>NE</b>
PAMM	19.9	2.4	3.2	11.4	2.4	0.5
CLIMO	23.6	2.8	4.1	9.9	5.5	1.3
Diff	<b>-3.7*</b>	-0.4	<b>-0.9*</b>	<b>1.5*</b>	<b>-3.1*</b>	<b>-0.8*</b>

657  
 658  
 659

660 Figure captions:

661 Figure 1. The regression of SST (unit: °C) and 10m wind fields ( $\text{ms}^{-1}$ ) onto the AMM  
662 index in observations during (a) 1970-2013 and (b) neutral ENSO years in this period.  
663 The blue (red) shading represents negative (positive) SST anomalies.

664 Figure 2 (a) The observed time series of WNP TC frequency (unit: times) and AMM  
665 index, and (b) the fitted lines of AMM and WNP TC frequency during 1970-2013 and  
666 neutral ENSO years in this period.

667 Figure 3. Regression of WNP TC track (a) and genesis (b) density (unit: times) onto  
668 the AMM index in observations. The TC track/genesis density is defined as those  
669 binned into every  $5^\circ \times 5^\circ$  grid box without smoothing. The red lines divides the WNP  
670 into five sub-domains: SCS, NW, SW, NE, and SE in the long-term control  
671 experiment with FLOR.

672 Figure 4. Regression of GPI, 600 hPa relative humidity (unit: percent), 850 relative  
673 vorticity (unit:  $10^{-6}\text{s}^{-1}$ ), zonal vertical wind shear (unit: m/s), and vertical profile (0 -  
674  $20^\circ\text{N}$ ) of zonal wind ( $\text{m}\cdot\text{s}^{-1}$ ) and vertical velocity ( $-100*\omega$ ,  $\text{Pa}\cdot\text{s}^{-1}$ ) onto the detrended  
675 AMM index. The shading represents minus omega ( $-\omega$ ). The red lines divide the WNP  
676 into five subdomains: SCS, NW, SW, NE, and SE. Contours in bottom panel represent  
677 the climatology of zonal wind (unit: m/s).

678 Figure 5. Regression of SST (unit: °C) and 10m wind fields ( $\text{ms}^{-1}$ ) onto the AMM  
679 index in the long-term control experiment with FLOR-FA.

680 Figure 6. The histogram of correlation coefficient between WNP TC frequency (unit:  
681 times) and the AMM index in every 45-year sub-periods. The red bar denotes the  
682 observed TC-AMM association.

683 Figure 7. The anomalous TC frequency in the WNP and its sub-domains (i.e., SCS,  
684 NW, SW, SE and NE as in Figures 3 and 4) during positive (blue) and negative (red)  
685 AMM years. The error bars represent the 0.95 confidence intervals. The symbol '\*'  
686 following the names of the sub-regions (e.g., WNP and SCS) below x-axis indicates  
687 that the differences between negative and positive AMM years are significant at 0.05  
688 level of significance.

689 Figure 8. Regression of TC track and genesis density (unit: times) onto the AMM  
690 index during strong AMM years when the magnitude of the AMM index is larger than  
691 one standard deviation. The red lines divides the WNP into five sub-domains: SCS,  
692 NW, SW, NE, and SE in the long-term control experiment with FLOR.

693 Figure 9. Regression of GPI, 600 hPa relative humidity (unit: percent), 850 relative  
694 vorticity (unit:  $10^{-6}\text{s}^{-1}$ ), zonal vertical wind shear (unit: m/s), and vertical profile (0 -  
695  $20^\circ\text{N}$ ) of zonal wind ( $\text{m}\cdot\text{s}^{-1}$ ) and vertical velocity ( $-100*\omega$ ,  $\text{Pa}\cdot\text{s}^{-1}$ ) onto the AMM  
696 index in the WNP in the long-term control experiment with FLOR. The shading  
697 represents minus omega ( $-\omega$ ). The red lines divides the WNP into five sub-domains:  
698 SCS, NW, SW, NE, and SE in the long-term control experiment with FLOR. Contours  
699 in bottom panel represent the climatology of zonal wind (unit: m/s).

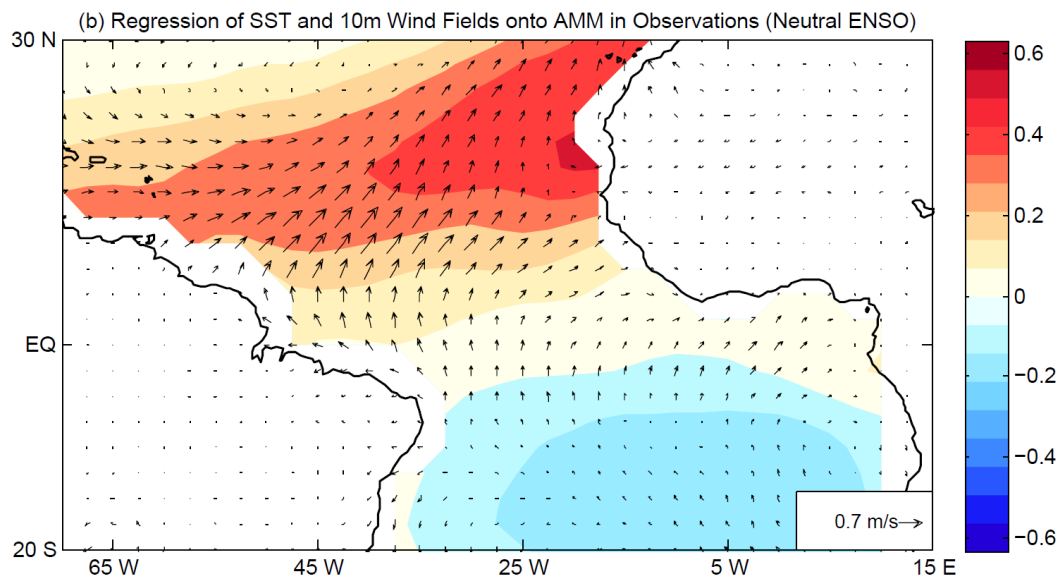
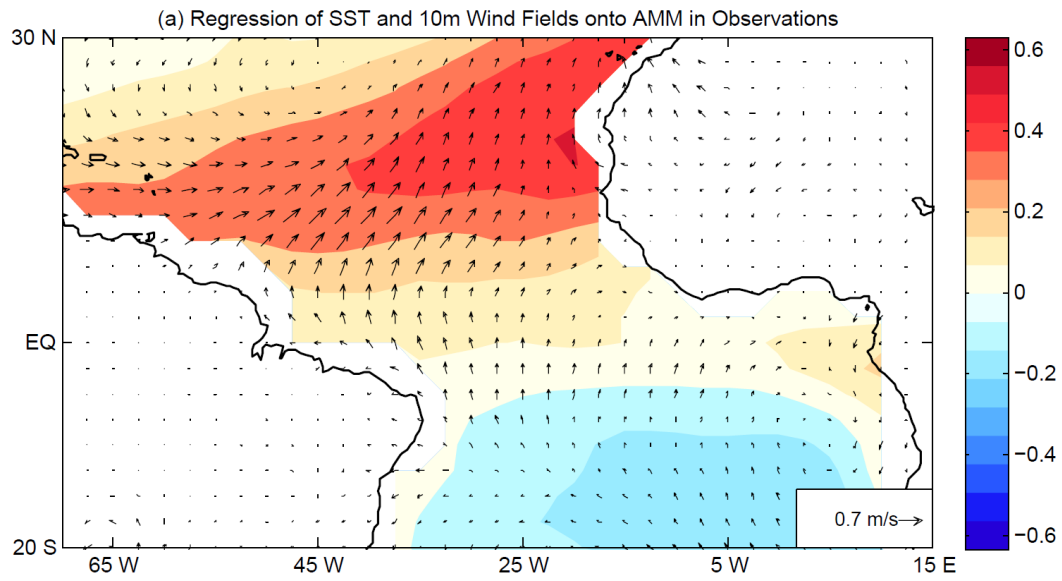
700 Figure 10. The differences in WNP TC track and genesis density between PAMM and  
701 CLIMO experiments. The TC track/genesis density is obtained by binning TCs into  
702 every  $5 \times 5$  grid box without smoothing. The red lines divides the WNP into five  
703 sub-domains: SCS, NW, SW, NE, and SE in the long-term control experiment with

704 FLOR.

705 Figure 11. Differences in GPI, 600 hPa relative humidity (unit: percent), 850 relative  
706 vorticity (unit:  $10^{-6}\text{s}^{-1}$ ), zonal vertical wind shear (unit: m/s), and vertical profile (0 -  
707  $20^{\circ}\text{N}$ ) of zonal wind ( $\text{m}\cdot\text{s}^{-1}$ ) and vertical velocity ( $-100*\omega$ ,  $\text{Pa}\cdot\text{s}^{-1}$ ) between the  
708 PAMM and CLIMO experiments with FLOR-FA. The contours in bottom panel (e)  
709 represent the climatology of zonal wind (unit: m/s) in the CLIMO experiment. The  
710 shading represents minus omega ( $-\omega$ ). The red lines divides the WNP into five  
711 sub-domains: SCS, NW, SW, NE, and SE in the long-term control experiment with  
712 FLOR.

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714



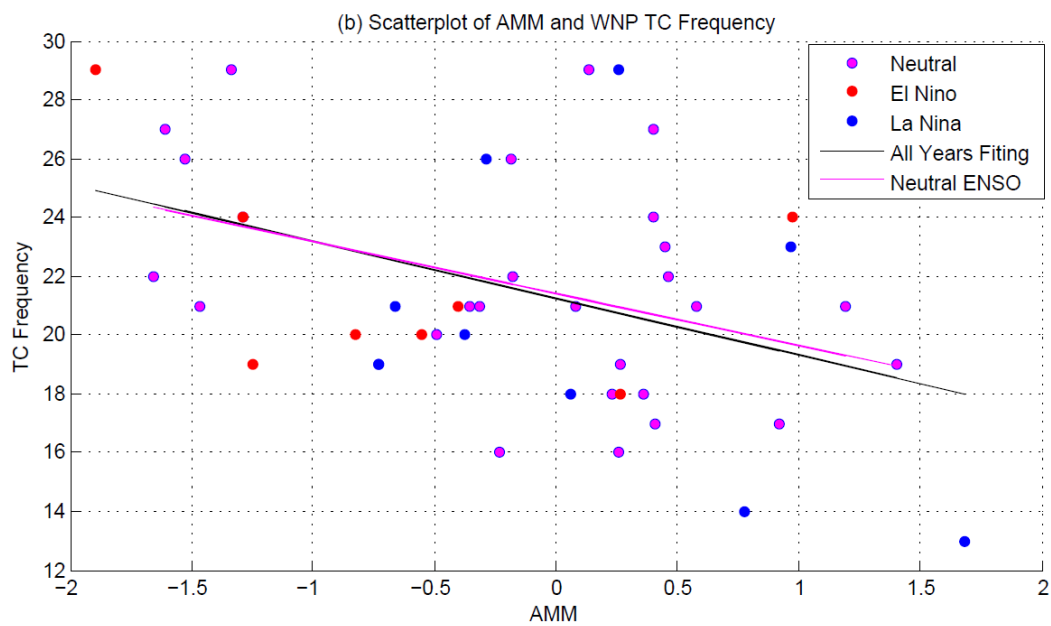
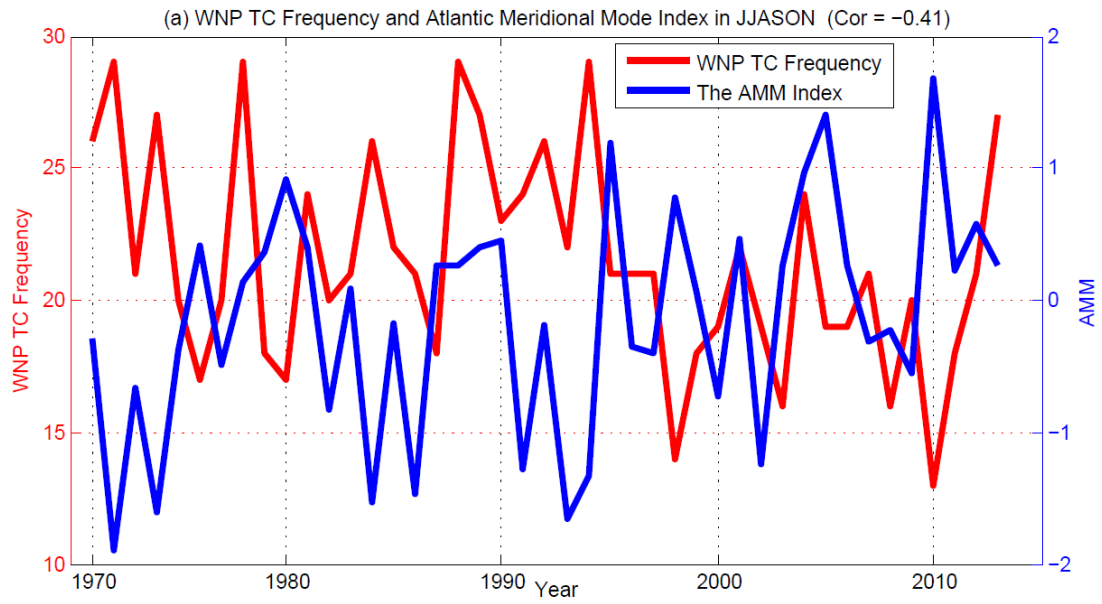
715

716 Figure 1. The regression of SST (unit:  $^{\circ}\text{C}$ ) and 10m wind fields ( $\text{ms}^{-1}$ ) onto the AMM

717 index in observations during (a) 1970-2013 and (b) neutral ENSO years in this period.

718 The blue (red) shading represents negative (positive) SST anomalies.

719



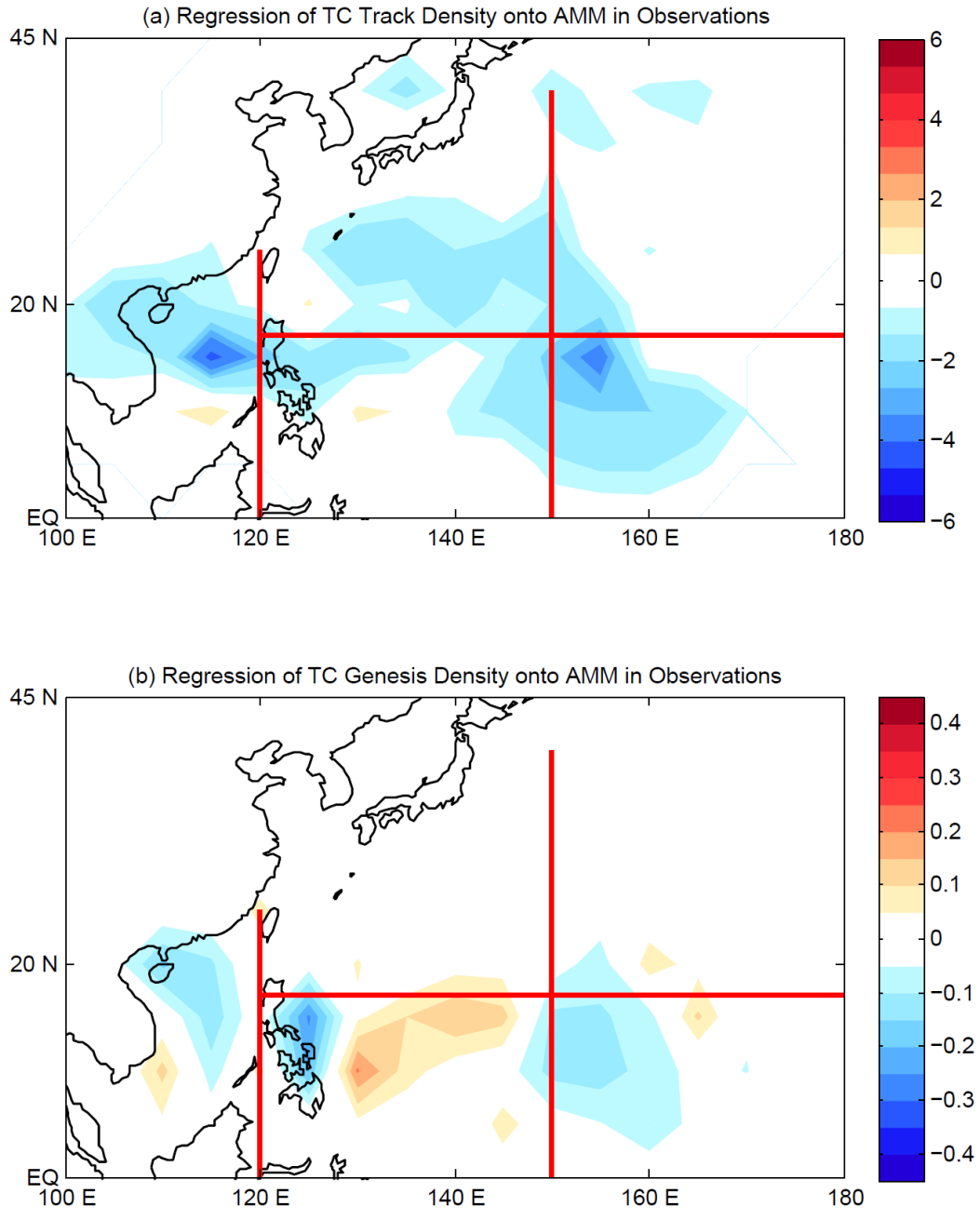
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721 Figure 2 (a) The observed time series of WNP TC frequency (unit: times) and AMM

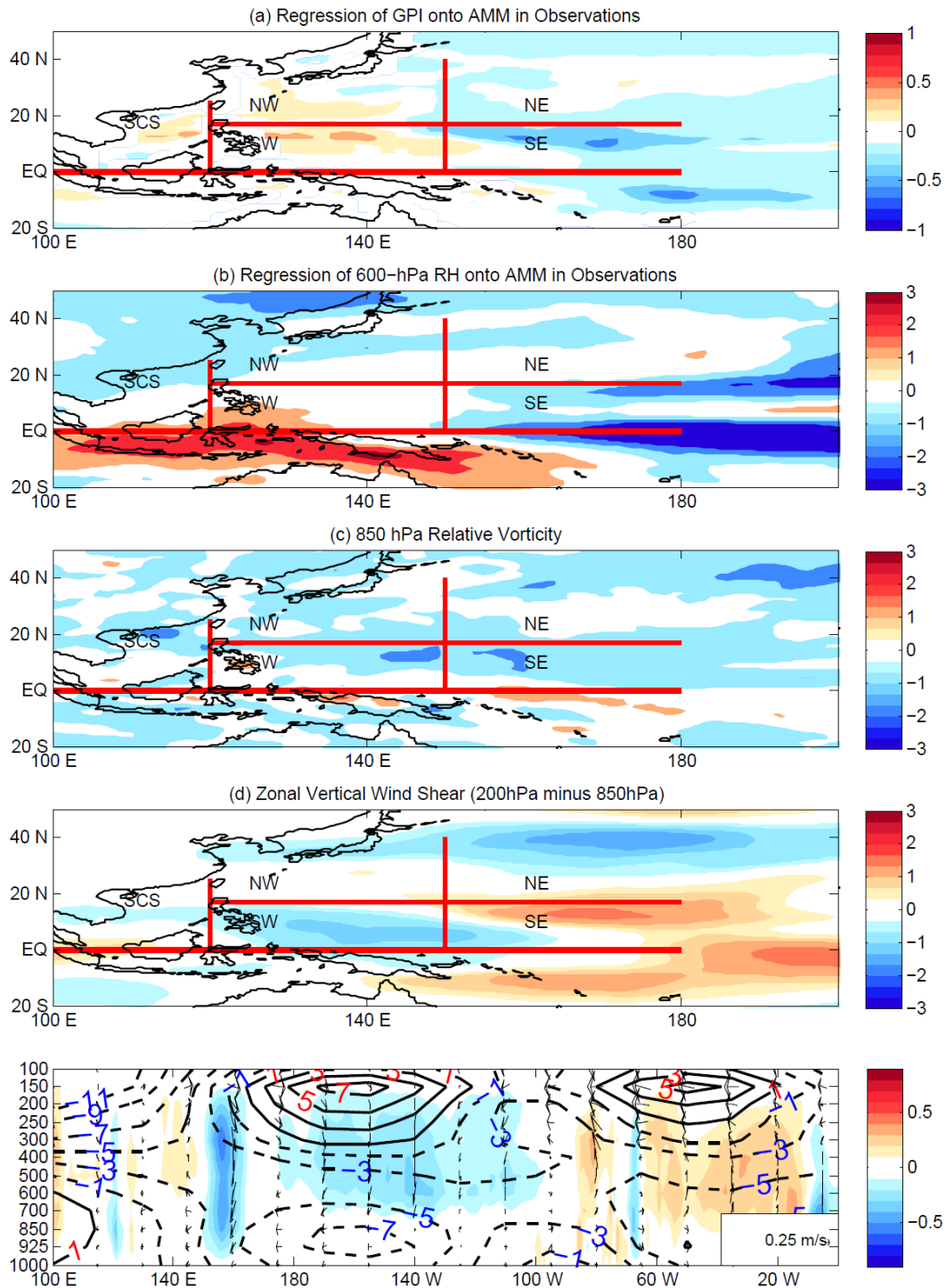
722 index, and (b) the fitted lines of AMM and WNP TC frequency during 1970-2013 and

723 neutral ENSO years in this period.

724



725  
 726 Figure 3. Regression of WNP TC track (a) and genesis (b) density (unit: times) onto  
 727 the AMM index in observations. The TC track/genesis density is defined as those  
 728 binned into every  $5^{\circ} \times 5^{\circ}$  grid box without smoothing. The red lines divides the WNP  
 729 into five sub-domains: SCS, NW, SW, NE, and SE in the long-term control  
 730 experiment with FLOR.  
 731

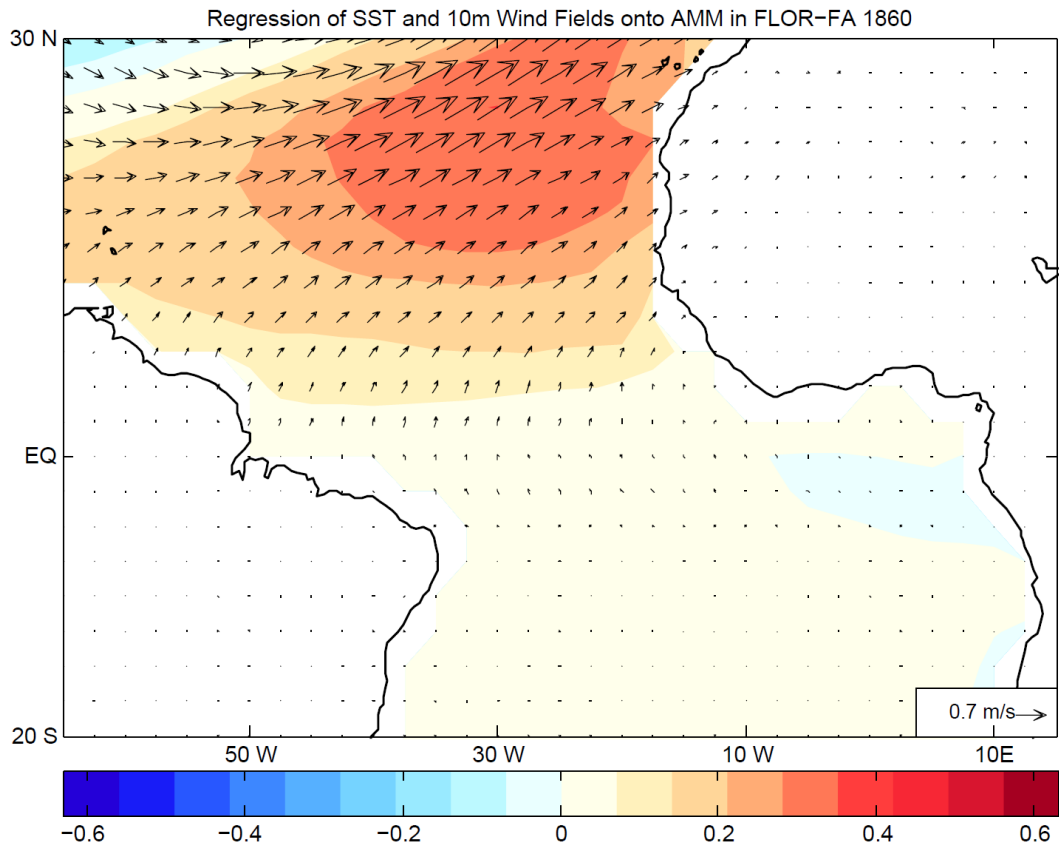


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733

734 Figure 4. Regression of GPI, 600 hPa relative humidity (unit: percent), 850 relative  
 735 vorticity (unit:  $10^{-6}s^{-1}$ ), zonal vertical wind shear (unit: m/s), and vertical profile (0 -  
 736  $20^{\circ}N$ ) of zonal wind ( $m \cdot s^{-1}$ ) and vertical velocity ( $-100 \cdot \omega$ ,  $Pa \cdot s^{-1}$ ) onto the detrended  
 737 AMM index. The shading represents minus omega ( $-\omega$ ). The red lines divide the WNP  
 738 into five subdomains: SCS, NW, SW, NE, and SE. Contours in bottom panel represent  
 739 the climatology of zonal wind (unit: m/s).





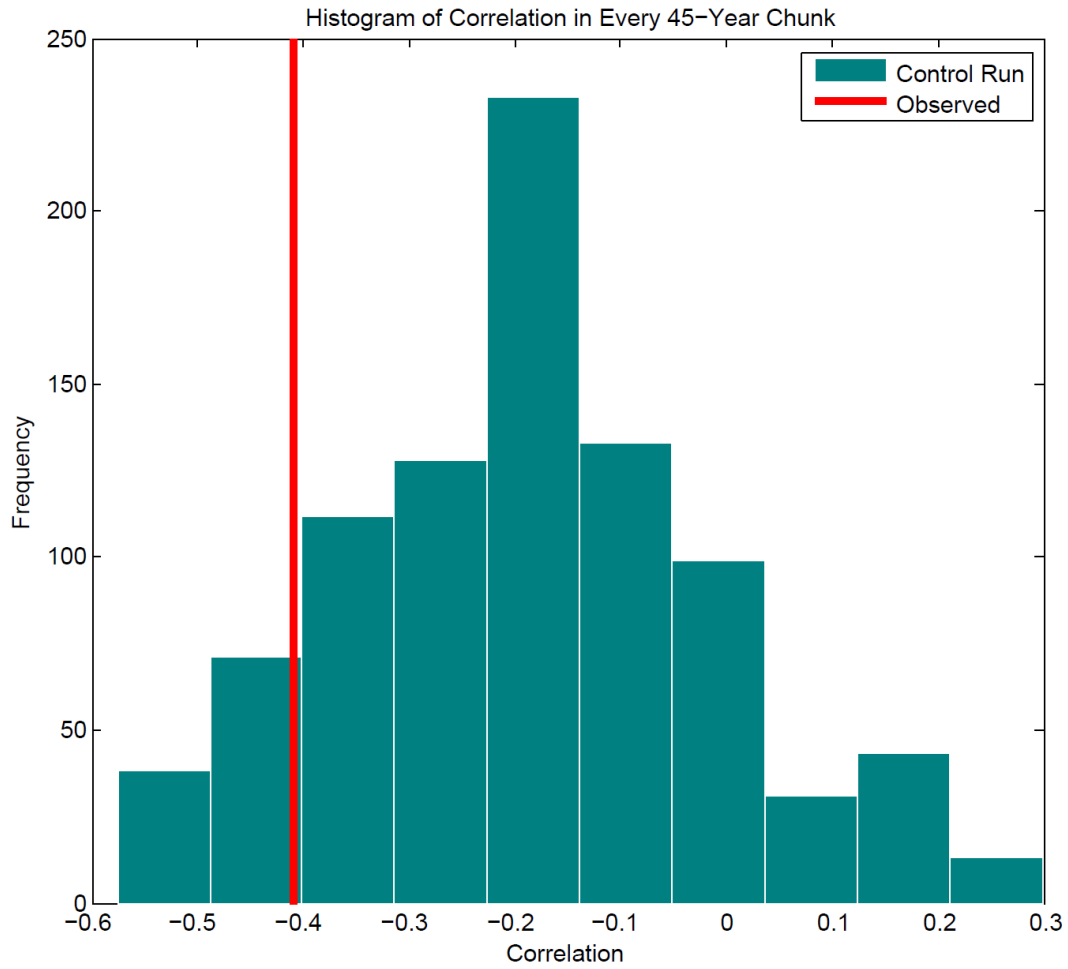
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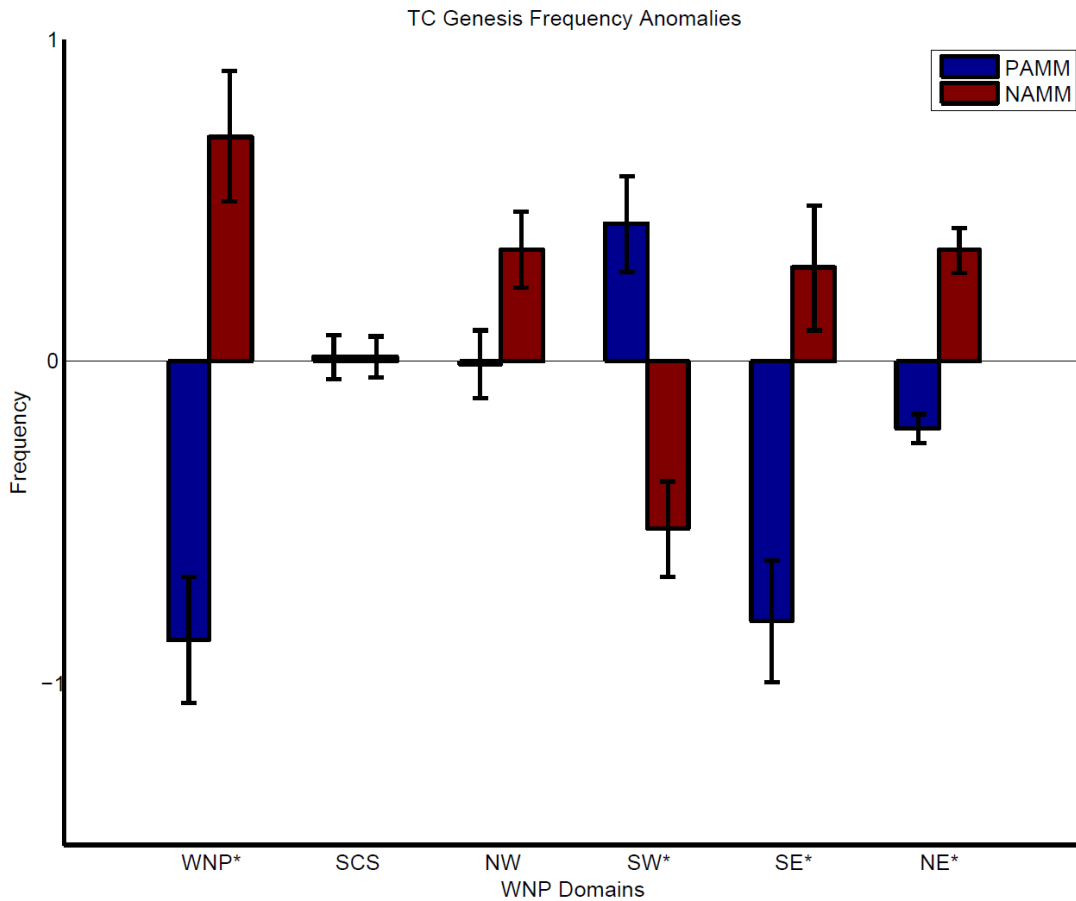
Figure 5. Regression of SST (unit:  $^{\circ}\text{C}$ ) and 10m wind fields ( $\text{ms}^{-1}$ ) onto the AMM index in the long-term control experiment with FLOR-FA.



744

745 Figure 6. The histogram of correlation coefficient between WNP TC frequency (unit:  
 746 times) and the AMM index in every 45-year sub-periods. The red bar denotes the  
 747 observed TC-AMM association.

748

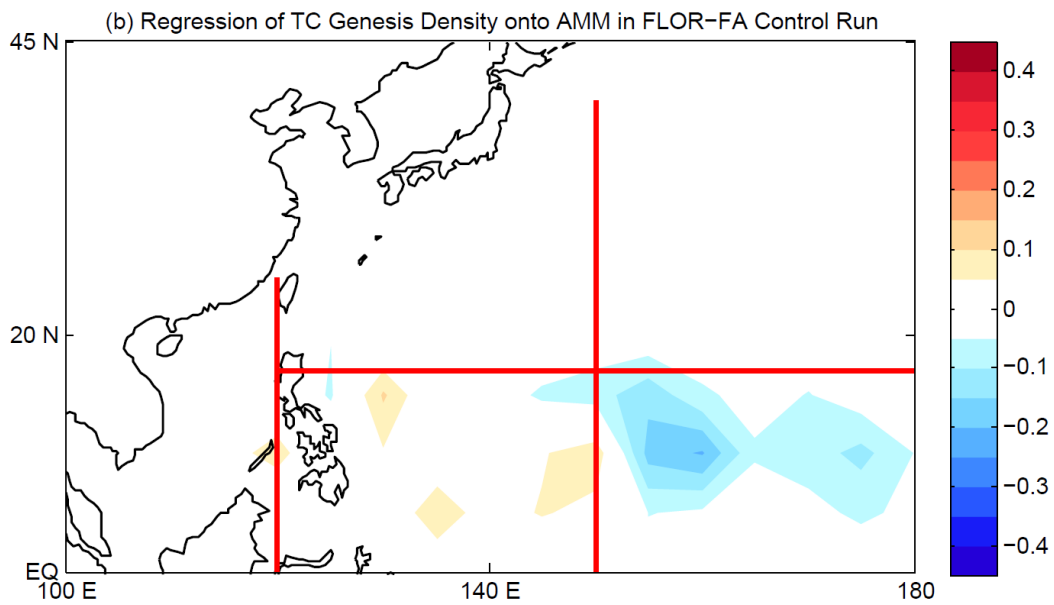
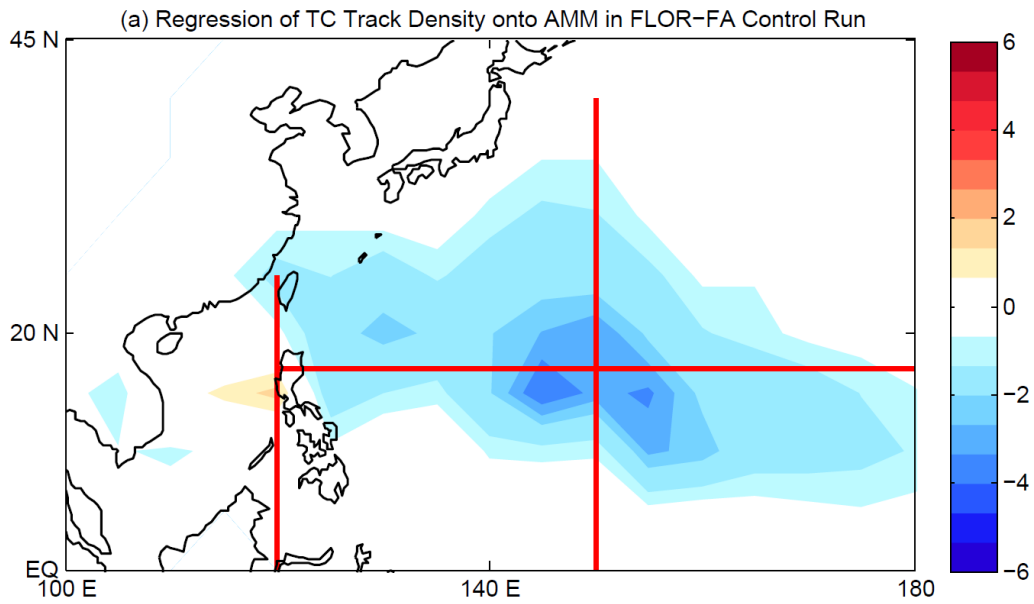


749

750 Figure 7. The anomalous TC frequency in the WNP and its sub-domains (i.e., SCS,  
 751 NW, SW, SE and NE as in Figures 3 and 4) during positive (blue) and negative (red)  
 752 AMM years. The error bars represent the 0.95 confidence intervals. The symbol '\*'  
 753 following the names of the sub-regions (e.g., WNP and SCS) below x-axis indicates  
 754 that the differences between negative and positive AMM years are significant at 0.05  
 755 level of significance.

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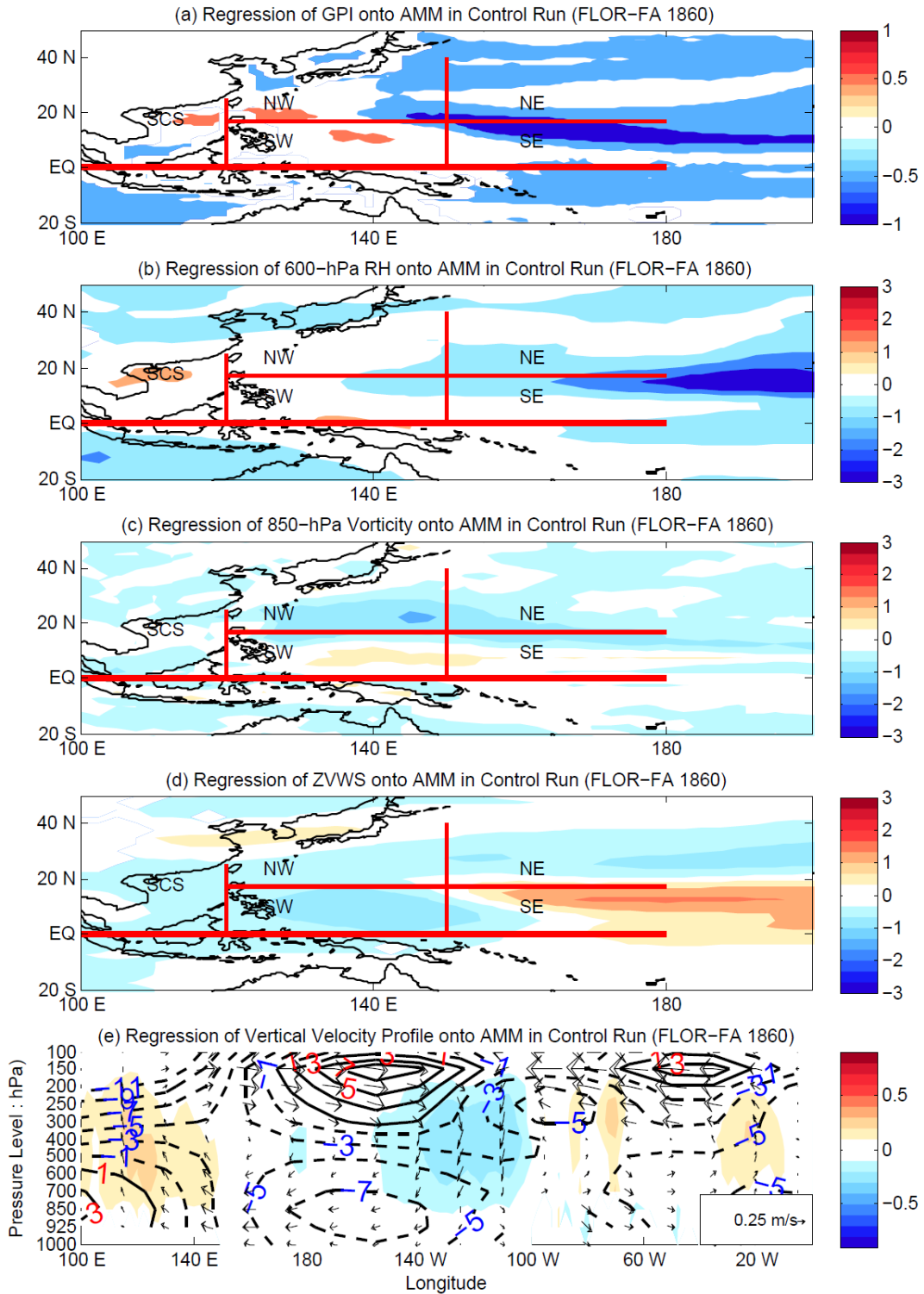
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758

759 Figure 8. Regression of TC track and genesis density (unit: times) onto the AMM  
 760 index during strong AMM years when the magnitude of the AMM index is larger than  
 761 one standard deviation. The red lines divides the WNP into five sub-domains: SCS,  
 762 NW, SW, NE, and SE in the long-term control experiment with FLOR.

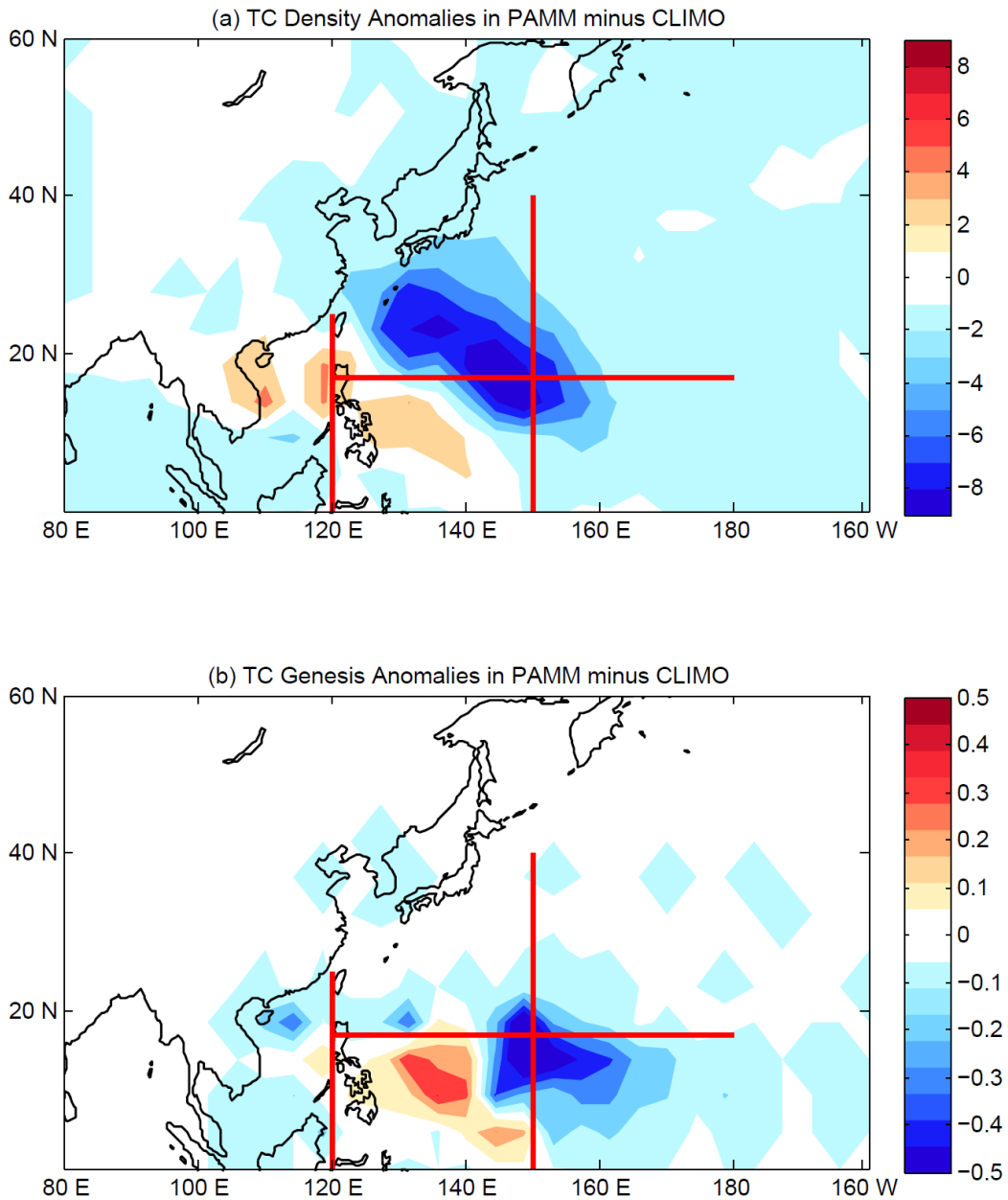
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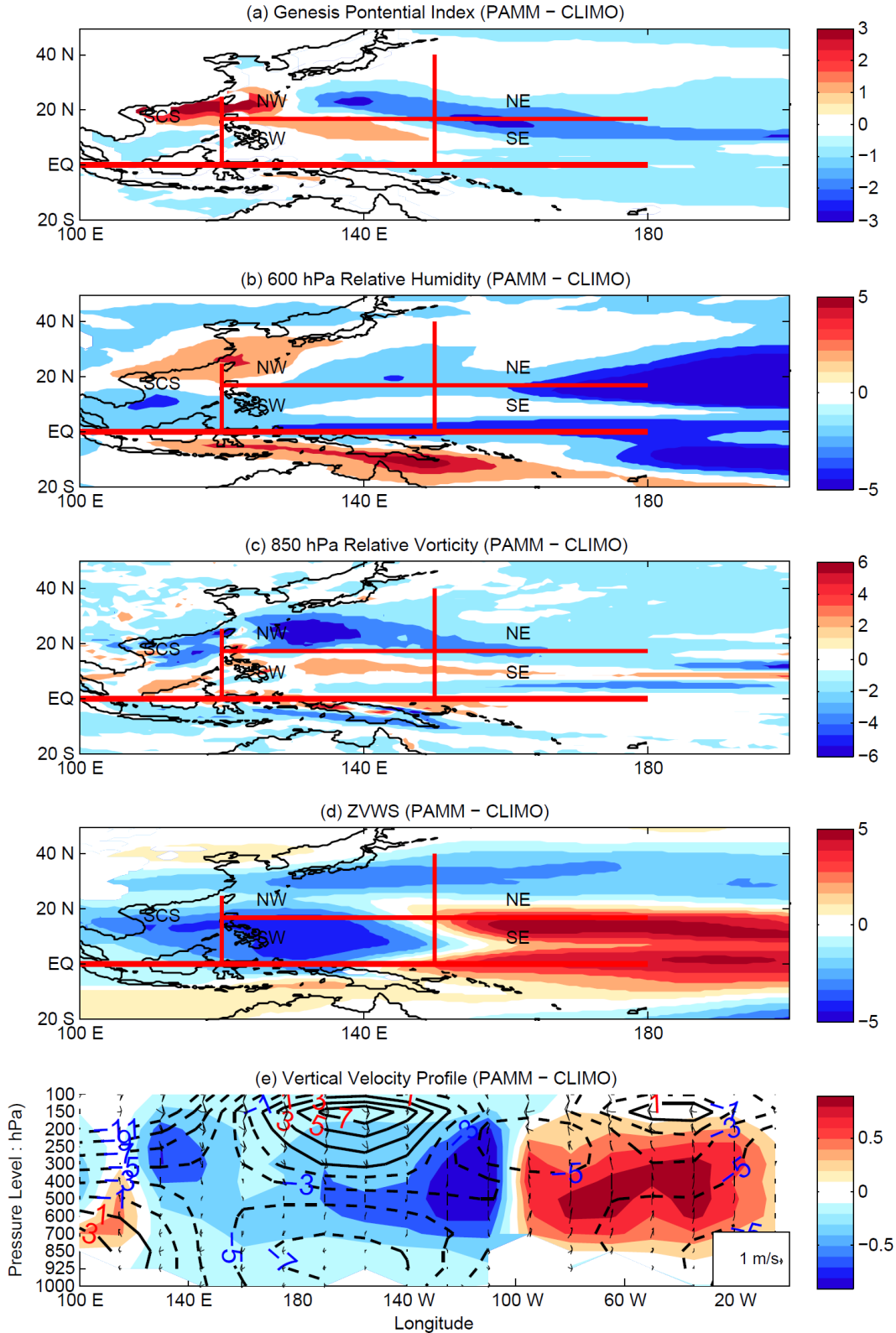
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765 Figure 9. Regression of GPI, 600 hPa relative humidity (unit: percent), 850 relative  
 766 vorticity (unit:  $10^{-6}\text{s}^{-1}$ ), zonal vertical wind shear (unit:  $\text{m/s}$ ), and vertical profile (0 -  
 767  $20^{\circ}\text{N}$ ) of zonal wind ( $\text{m}\cdot\text{s}^{-1}$ ) and vertical velocity ( $-100\cdot\omega$ ,  $\text{Pa}\cdot\text{s}^{-1}$ ) onto the AMM  
 768 index in the WNP in the long-term control experiment with FLOR. The shading

769 represents minus omega ( $-\omega$ ). The red lines divides the WNP into five sub-domains:  
 770 SCS, NW, SW, NE, and SE in the long-term control experiment with FLOR. Contours  
 771 in bottom panel represent the climatology of zonal wind (unit: m/s).  
 772



773  
 774 Figure 10. The differences in WNP TC track and genesis density between PAMM and  
 775 CLIMO experiments. The TC track/genesis density is obtained by binning TCs into  
 776 every  $5 \times 5$  grid box without smoothing. The red lines divides the WNP into five  
 777 sub-domains: SCS, NW, SW, NE, and SE in the long-term control experiment with  
 778 FLOR.  
 779



780

781 Figure 11. Differences in GPI, 600 hPa relative humidity (unit: percent), 850 relative  
 782 vorticity (unit:  $10^{-6}s^{-1}$ ), zonal vertical wind shear (unit: m/s), and vertical profile (0 -  
 783 20°N) of zonal wind ( $m \cdot s^{-1}$ ) and vertical velocity ( $-100 \cdot \omega$ ,  $Pa \cdot s^{-1}$ ) between the  
 784 PAMM and CLIMO experiments with FLOR-FA. The contours in bottom panel (e)  
 785 represent the climatology of zonal wind (unit: m/s) in the CLIMO experiment. The

786 shading represents minus omega ( $-\omega$ ). The red lines divides the WNP into five  
787 sub-domains: SCS, NW, SW, NE, and SE in the long-term control experiment with  
788 FLOR.

789