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3 **The North Atlantic Oscillation as a driver of Northern Hemisphere rapid climate change**  
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15 **Dramatic climate changes have occurred since the 1970s, including rapid loss of Arctic sea ice<sup>1</sup>,**  
16 **large-scale warming<sup>2</sup>, and increased tropical storm activity<sup>3</sup> in the Atlantic. Anthropogenic**  
17 **radiative forcing has likely played a major role in these changes<sup>4</sup>, but the relative influence of**  
18 **anthropogenic forcing and natural variability is not well established. The above changes have**  
19 **also occurred during a period in which the North Atlantic Oscillation has shown marked**  
20 **multidecadal variations<sup>5</sup>. Here we investigate the role of the North Atlantic Oscillation in these**  
21 **rapid changes through its' influence on the Atlantic Meridional Overturning Circulation and**  
22 **ocean heat transport. We use climate models to show that observed multidecadal variations of**  
23 **the North Atlantic Oscillation can induce multidecadal variations in the Atlantic Meridional**  
24 **Overturning Circulation and poleward ocean heat transport in the Atlantic, extending to the**  
25 **Arctic. Our results suggest that these variations have contributed to the rapid loss of Arctic sea,**  
26 **Northern Hemisphere warming, and changing Atlantic tropical storm activity, especially in the**  
27 **late 1990s and early 2000s. These multidecadal variations are superimposed on long-term**  
28 **anthropogenic forcing trends that are the dominant factor in long-term Arctic sea ice loss and**  
29 **hemispheric warming.**  
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32           There has been pronounced multidecadal variability of the North Atlantic Oscillation (NAO)  
33 over the last century<sup>6</sup>, with a negative phase of the NAO from the 1960s into the 1970s (Fig. 1a),  
34 associated with weakened westerly winds and cold ocean surface temperatures in the subpolar North  
35 Atlantic. This was followed by a rapid switch to a positive NAO phase from the 1980s into the early  
36 2000s, along with strengthened westerly winds and rapid warming in the subpolar North Atlantic.  
37 These regional variations were coincident with long-term trends and multidecadal variability in NH  
38 surface air temperature<sup>2</sup> and Arctic sea ice<sup>1</sup>. The relatively cool period of the 1970s was coincident  
39 with enhanced Arctic sea ice<sup>7</sup>, although observations prior to the satellite era are uncertain. This was  
40 followed by a rapid warming of the Northern Hemisphere (NH) and reduction of Arctic sea ice from  
41 the late 1970s through the 2000s. There have been substantial multidecadal variations in Atlantic  
42 tropical storm activity<sup>3</sup>, with a relatively quiescent period from the late 1960s through the 1980s,  
43 followed by enhanced activity after the mid 1990s.

44           Studies have suggested an important role for anthropogenic forcing in driving some of the  
45 above changes<sup>4,8</sup>, and yet the relative roles of natural variability and anthropogenic forcing are  
46 uncertain. In particular, the degree to which natural climate variability contributes to these past  
47 variations is a critical factor in our confidence in projections of future climate.

48           We use simulations with three different climate models to explore the contribution of  
49 multidecadal variations of the NAO to the above changes. The novel experimental design (see  
50 Methods) adds NAO related surface heat flux anomalies into the ocean component of coupled models,  
51 thereby allowing an assessment of the response of the climate system to these NAO variations. Our  
52 results show that observed multidecadal NAO variations induce variations in Atlantic Ocean heat  
53 transport, primarily associated with the Atlantic Meridional Overturning Circulation (AMOC), that can

54 contribute to recently observed rapid Arctic sea ice loss, extratropical NH warming, and decadal-scale  
55 changes in Atlantic tropical storm activity.

56 We use ensembles of simulations that cover the period 1951-2015. In the first suite of  
57 simulations (labeled "HIST") we incorporate historical estimates of changing radiative forcing from  
58 human and natural sources, including greenhouse gases, aerosols, ozone, solar irradiance and  
59 volcanic aerosols. In the second set (labeled "HIST+NAO") we include these same forcings, but add to  
60 the model ocean an additional pattern of air-sea heat flux that corresponds to a positive phase of the  
61 NAO (see Supplementary Figure S1). We multiply the pattern of extra heat flux forcing by the  
62 observed value of the NAO index for that year (Fig. 1a). Differences between the sets of experiments  
63 (HIST+NAO minus HIST) provide an estimate of the impact of the observed time-varying NAO on the  
64 North Atlantic Ocean and global climate system. We use three climate models for robustness.

65 Idealized experiments have revealed a profound influence of the NAO on the AMOC<sup>10,11</sup>. A  
66 positive phase of the NAO is characterized by enhanced westerly winds across the middle latitudes of  
67 the North Atlantic (see schematic in Supplementary Figure S2). During winter these strengthened  
68 winds bring colder, drier air from the North American continent over the warmer ocean<sup>5</sup>, thereby  
69 enhancing air-sea temperature differences that lead to an increased flux of heat from the ocean to the  
70 atmosphere in the Labrador Sea and subpolar region of the North Atlantic. This leads to colder,  
71 denser water in the upper ocean and enhanced deepwater formation in the Labrador Sea region<sup>12</sup>. In  
72 idealized simulations the increased upper ocean density in the western part of the subpolar gyre  
73 creates a zonal density gradient that leads to enhanced northward flow in the upper ocean and a  
74 strengthening of the AMOC after a lag of five to ten years<sup>13</sup>. The strengthened AMOC transports more  
75 heat poleward, leading to high-latitude warming and climatic impacts. The resulting temperature  
76 response is superficially counter-intuitive, with some of the largest surface ocean warming in the

77 subpolar region where the prescribed perturbations act to remove heat from the ocean (compare  
78 Figures 1a and 9a in Delworth and Zeng, 2015). This can only be understood by accounting for the  
79 changing transport of heat by the ocean circulation.

80 Time series of the observed NAO and its simulated impact on the Atlantic are shown in Figure  
81 1. The NAO transitions from a negative phase (weakened westerly winds) in the 1960s to a strong  
82 positive phase (strengthened westerly winds) in the 1980s and 1990s (Fig. 1a), with some return to  
83 more neutral conditions after 2000. The simulated AMOC (Fig. 1b) responds to these NAO variations,  
84 and transitions from a weakened state in the 1960s and early 1970s to a strengthened state in the  
85 1990s, with gradual weakening after 2000. The AMOC changes are mirrored by variations in  
86 northward ocean heat transport in the subpolar North Atlantic (Fig. 1c) as well as heat transport into  
87 the Barents Sea and Arctic (Fig. 1d).

88 The variations in poleward ocean heat transport influence Arctic sea ice<sup>14,15</sup> and large-scale  
89 climate. In particular, enhanced Atlantic heat transport into the Arctic can lead to net basal melting  
90 and a reduction of Arctic ice mass in all seasons, resulting in reduction of winter and summer Arctic  
91 sea ice extent on multidecadal time scales<sup>16</sup>. We show in Figure 2 the time series of changes in  
92 observed and simulated areal extent of Arctic sea ice for both March and September, as well as the  
93 linear trends over 1979-2005. The NAO variations lead to an enhancement of Arctic sea ice extent in  
94 the 1960s and 1970s<sup>7</sup> in response to reduced ocean heat transport into the Arctic, and a reduction in  
95 sea ice extent in the 1980s through 2015 associated with enhanced ocean heat transport into the  
96 Arctic. The rate of simulated sea ice reduction (1979-2005) is greater when the NAO forcing is  
97 included (insets in Figure 2a and 2c). The NAO impacts are similar in both seasons, but their relative  
98 impact as a fraction of the observed change is larger in winter due to the smaller observed trends in  
99 winter (spatial patterns shown in Supplementary Figure S3). These results suggest that NAO-induced

100 ocean heat transport variations may have contributed to the rapid loss of Arctic sea ice in the 1990s  
101 and early 2000s, especially during winter, and therefore augmented the decline due to anthropogenic  
102 radiative forcing. In these simulations the NAO effect was strongest in the 1990s and early 2000s, but  
103 has weakened after approximately 2005, consistent with the decline of the NAO from peak values in  
104 the 1990s.

105         The variations in poleward ocean heat transport and Arctic sea ice also impact surface air  
106 temperature over the NH extratropics<sup>17</sup> (Figure 3, and Supplementary Figure S4). The weakened  
107 AMOC in the 1960s and 1970s led to a simulated extratropical NH cooling of 0.1-0.2K, followed by a  
108 strengthening AMOC leading to a simulated extratropical NH warming of ~0.2K by the year 2000. This  
109 warming is driven by enhanced ocean heat transport, but is also amplified by coupled ocean-  
110 atmosphere-land-ice feedbacks. The associated reductions in ice extent and snow, including on  
111 surrounding continental regions, reduce albedo and increase absorbed shortwave radiation. This  
112 provides an important positive feedback that amplifies the warming signal from the ocean heat  
113 transport increase.

114         This simulated large-scale warming of the NH influences large-scale atmospheric circulation,  
115 including a reduction in the meridional temperature gradient. This leads to a weakening of upper  
116 atmosphere westerly winds in the subtropics over the Atlantic, and a reduction in the vertical shear of  
117 the zonal wind (see Supplementary Figures S5 and S6). Since shear is one of the dominant factors  
118 influencing tropical storm activity<sup>18</sup>, we examine changes in Atlantic tropical storm activity in  
119 response to NAO-induced AMOC variations. Only one of the models (FLOR, see Methods) has sufficient  
120 atmospheric resolution to credibly simulate aspects of Atlantic tropical storms<sup>19</sup>. We show in Figure 4  
121 the changes in simulated tropical storm activity between 1965-1994 (reduced heat transport in  
122 response to the NAO, and larger vertical wind shear) and 1995-2014 (enhanced heat transport and

123 reduced vertical wind shear). The inclusion of the NAO forcing leads to a systematic shift to more  
124 frequent tropical storms in the Atlantic in the latter period. The fraction of attributable risk<sup>20</sup> of the  
125 change in tropical storm activity to the imposed NAO-related flux forcing is 97%. This suggests that  
126 NAO variations could have contributed to the observed increase in Atlantic tropical storm activity in  
127 the post 1995 period relative to earlier decades.

128 Our results demonstrate that multidecadal changes in the NAO can have a broad impact on  
129 climate through their influence on poleward ocean heat transport. These changes include modulating  
130 Atlantic ocean temperature, Arctic sea ice, NH extratropical temperature, and Atlantic tropical storm  
131 activity. This is consistent with model and observational analyses showing substantial Arctic and  
132 Atlantic natural variability on multidecadal time scales, and is also consistent with observational  
133 analyses showing the importance of ocean circulation for surface heat fluxes<sup>21</sup> and North Atlantic  
134 ocean heat content variations<sup>22</sup>. There are a number of uncertainties associated with these results,  
135 including details of the observed NAO flux forcing, the models' response to the NAO, and the response  
136 of the climate system to ocean heat transport variations, including cloud and shortwave radiation  
137 feedbacks. The experiments shown here impose only NAO-related heat flux anomalies. We have  
138 conducted additional simulations that also imposed NAO-related wind stress anomalies, and the  
139 principal results are similar to those shown here (Supplementary Fig. S7). However, wind stress  
140 anomalies are an important factor influencing direct wind-driven AMOC variability, especially at  
141 interannual time scales<sup>23</sup>. The current work has demonstrated that NAO-induced AMOC changes  
142 could have played a substantial role in observed rapid changes in Arctic sea ice (primarily in winter),  
143 extratropical temperature change, and Atlantic tropical storm activity over the last several decades.

144 This study has implications both for the study of past climates and for projections of future  
145 climate. Proxy reconstructions indicate substantial multidecadal variability of the NAO over the past

146 1000 years and longer<sup>24</sup>. Such NAO variations would have likely altered the AMOC and thereby  
147 influenced hemispheric-scale climate as shown in this study, in addition to the direct effect of NAO  
148 variations on atmospheric circulation and climate<sup>5</sup>. In addition, decadal predictions made with two of  
149 the models used in this study, as well as other models<sup>5</sup>, suggest a weakening of the AMOC over the  
150 next decade (Supplementary Figure S8). This is consistent with observed changes in the North  
151 Atlantic<sup>23,25,26</sup>, including the return of the NAO to a more neutral state over the last decade and the  
152 projected response to anthropogenic radiative forcing. In isolation, a weakening AMOC would tend to  
153 moderate the rate of loss of Arctic sea ice<sup>5</sup> and warming over the NH extratropics through reduced  
154 poleward oceanic heat transport. This might also create a tendency towards fewer Atlantic tropical  
155 storms, but this effect in a decadal projection is embedded within the considerable variability in the  
156 number of simulated tropical storms that arise purely from internal variability.

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236

237 Author contributions

238 T.L.D. designed the study, conducted analyses, and wrote the paper. F. Z. conducted the model  
239 simulations, contributed to the analyses. L. Z. conducted analyses of wind shear and decadal  
240 predictions. G.A.V. conducted analyses of changes in tropical storm activity, and contributed ideas for  
241 analyses and interpretation of results. All helped to improve the manuscript.

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243

244 Figures

245

246 Figure 1 Time series of NAO and associated changes in ocean circulation. (a) Time series of the  
247 observed NAO index based on differences in normalized Dec-Mar sea level pressure between Portugal  
248 and Iceland (positive values associated with stronger mid-latitude westerly winds). (b) Response of  
249 the model AMOC to the NAO flux forcing, calculated as the AMOC in the HIST+NAO simulations minus  
250 the AMOC in the HIST simulations. The AMOC index is calculated as the maximum value in depth of  
251 the annual mean streamfunction of the flow at 50°N. Units are Sverdrups (Sv;  $1 \text{ Sv} = 10^6 \text{ m}^3\text{s}^{-1}$ ). The  
252 diamonds indicate the mean response using all three models. The shaded bars denote the 5-95%  
253 confidence interval around the mean response as calculated from resampling the control simulations.  
254 (c) Same as (b) for ocean heat transport at 50°N ). (d) Same as (b) for ocean heat transport into  
255 Barents Sea (calculated as zonal heat transport across a line connecting Norway and Spitsbergen at  
256 approximately 10°E; note the different vertical scales in (c) and (d)).

257

258 Figure 2 Observed and simulated changes in Arctic sea ice extent. (a) Time series of change in March  
259 Arctic sea ice area relative to the 1979-1990 mean (units are  $10^{12} \text{ m}^2$ ; sea ice extent refers to area

260 with sea ice concentration greater than 15%). Blue curve shows the observations (from the National  
261 Snow and Ice Data Center; <http://nsidc.org/data>). The squares show the multi-model mean for  
262 HIST+NAO (black) and HIST (red). The colored bars (green for HIST+NAO, tan for HIST) indicate the  
263 5-95% range around the multi-model mean, where the distribution is estimated based on resampling  
264 control simulations for each. The grey bars indicate where the tan and green bars overlap. The inset  
265 shows the linear trend from 1979 to 2005 of March Arctic sea ice area in observations (black circle),  
266 CM2.1 (circles), FLOR (diamonds), and CM3 (squares). Tan (green) symbols are from HIST  
267 (HIST+NAO). (b) Difference in ice extent calculated as HIST+NAO minus HIST. The bars indicate the 5-  
268 95% range as estimated from resampling the control simulations. (c) Same as (a) for September. (d)  
269 Same as (b) for September.

270  
271 Figure 3 Response of Northern Hemisphere extratropical (23°N-90°N) surface air temperature to  
272 NAO heat flux forcing. The diamonds indicate the multi-model ensemble mean difference (HIST+NAO  
273 minus HIST). The shading indicates the 5-95% limits around that mean as estimated from resampling  
274 the control simulations.

275  
276 Figure 4 Probability distribution functions of changes in Atlantic tropical cyclone activity for the  
277 1995-2014 period relative to the 1965-1994 period. Values along the x-axis indicate percentage  
278 changes in the number of tropical storms for 1995-2014 relative to the number for 1965-1994. The  
279 black curve is based on HIST. The fact that the black curve is approximately symmetric around zero  
280 indicates that the model simulates no systematic change in tropical storm activity in response to  
281 radiative forcing change for the 1995-2014 period relative to the 1965-1994 period. In contrast, the  
282 red curve is from HIST+NAO simulations. The fact that the red curve is to the right of the black curve

283 and is not symmetric around zero indicates an enhanced probability of an increase in tropical cyclone  
284 activity for the 1995-2014 period relative to the earlier period when NAO forcing is included. The  
285 observed change is indicated by the vertical blue line. The PDFs are generated by resampling the  
286 numbers of storms each year from the model simulations (see Supplementary Information for  
287 details).

288

289

## 290 **Methods**

### 291 **Models**

292 We use three climate models and subject them to identical forcings from NAO related surface flux  
293 anomalies. The first model is the Geophysical Fluid Dynamics Laboratory (GFDL) CM2.1 model <sup>28</sup>.

294 This is a fully coupled ocean-atmosphere model, with land and atmospheric resolution of  
295 approximately 200 km in the horizontal, and 24 vertical levels in the atmosphere. The ocean  
296 component has horizontal resolution of approximately 100 km, with finer resolution in the tropics,  
297 and 50 levels in the vertical. This model has been used in a wide variety of studies of climate  
298 variability, predictability and change, and extensive model output from past studies is available at  
299 <http://nomads.gfdl.noaa.gov/CM2.X/> and <http://nomads.gfdl.noaa.gov:8080/DataPortal/cmip5.jsp>.

300 The second model used is called CM2.5\_FLOR<sup>19</sup>, referred to here as "FLOR", where "FLOR" stands for  
301 Forecast oriented Low Ocean Resolution. This model uses atmospheric physics that are very similar  
302 to CM2.1, but has a higher spatial resolution in the atmosphere. The horizontal resolution of the  
303 atmosphere and land model is approximately 50 km (versus 200 km in CM2.1). The number of  
304 vertical levels in the atmosphere has increased from 24 in CM2.1 to 32 in FLOR. The ocean component  
305 of FLOR is similar to that in CM2.1. The third model used is CM3<sup>29</sup>. This has a similar horizontal spatial

306 resolution as CM2.1, but has substantially increased vertical resolution (48 layers), and includes  
307 representations of the indirect effect of aerosols and interactive chemistry. As in the other two  
308 models, the horizontal resolution of the ocean component is approximately 1°.

309

## 310 **Experiments**

311 For each model, simulations over the period 1861-2015 have been conducted in which the model is  
312 driven by changing concentrations of atmospheric greenhouse gases, ozone, and aerosols  
313 (anthropogenic and volcanic), as well as land use changes and solar irradiance changes. For the  
314 period 1861-2005 these are based on observational estimates, while after 2005 they are based on  
315 projections using the Radiative Concentration Pathways scenario 4.5 (RCP4.5; see [http://cmip-](http://cmip-pcmdi.llnl.gov/cmip5/forcing.html)  
316 [pcmdi.llnl.gov/cmip5/forcing.html](http://cmip-pcmdi.llnl.gov/cmip5/forcing.html) for details). For CM3 ozone is directly predicted in the model. For  
317 each model we conduct ensembles of simulations starting from widely separated points in a long  
318 control simulation. Ensemble sizes are 10, 5, and 5 members for CM2.1, FLOR, and CM3 respectively.  
319 We call these experiments "HIST".

320

321 A second ensemble of simulations is performed for each model that is identical to the first ensemble  
322 described above, except that at each time step an extra pattern of surface heat flux is added to the  
323 model ocean. This heat flux has the same spatial signature as the observed NAO, and was derived by  
324 computing a linear regression at each grid point between the time series of seasonal mean (Dec-Mar)  
325 surface heat flux anomalies from the ECMWF-Interim reanalysis<sup>27</sup> and an observed NAO index (Dec-  
326 Mar mean; data obtained from NCAR/UCAR Data Climate Guide at  
327 [https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-station-](https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-station-based)  
328 based). This pattern therefore yields the surface heat flux anomaly pattern associated with a unit

329 change in the observed NAO index (the pattern is shown in Extended Data Figure 1). The flux  
330 anomalies were added only in the months of December-March, the period of maximum deepwater  
331 formation in the North Atlantic. The pattern of heat flux anomalies was applied only over the Atlantic  
332 north of the Equator, up to and including the Greenland and Barents Seas, but excluding the Arctic.  
333 The heat flux anomalies were normalized such that their areal integral is zero. The anomalies  
334 therefore do not add or subtract heat from the system; they merely rearrange the surface fluxes  
335 consistent with the NAO. The heat flux anomalies added each year are calculated as the regression  
336 coefficient multiplied by the observed NAO index that year. We call these experiments "HIST+NAO".  
337 Additional experiments were performed that also imposed NAO related wind stress anomalies in  
338 addition to heat flux anomalies; the primary results of these experiments are consistent with those  
339 using only heat flux anomalies.

340

341 We note that the differences between the HIST+NAO experiments and the HIST experiments are  
342 primarily attributable to the imposed NAO additional NAO flux anomalies, but we note that each  
343 simulation also computes its own internal NAO variability. This internally computed NAO variability  
344 acts in addition to the imposed fluxes, and therefore is a source of noise that complicates the  
345 interpretation of the results. We have resampled the NAO from long control simulations of each  
346 model, and estimate that for these ensemble sizes the 5-95% limits of yearly ensemble mean NAO  
347 differences are -0.99 to +0.99. Since our primary results come from extended periods with absolute  
348 NAO values greater in one, this analysis suggests that such internal variability is modest compared to  
349 the signal from the imposed NAO forcing.

350

351 **Decadal Prediction**

352 Ten-member ensembles of decadal hindcast/prediction experiments have been conducted using the  
353 CM2.1 and FLOR models. In these prediction experiments the models are initialized from the  
354 observed state of the climate system as estimated using GFDL's Ensemble Coupled Data Assimilation  
355 (ECDA) system<sup>30</sup>. These hindcasts/predictions are initialized on January 1 of each year from 1961 to  
356 2015, and the hindcasts/predictions are run for ten years forward in time from that point. The  
357 simulations are forced with estimates of observed changes in radiative forcing from 1861 to 2005,  
358 and by estimates of forcing based on the Radiative Concentration Pathways scenario 4.5 (RCP4.5; see  
359 <http://cmip-pcmdi.llnl.gov/cmip5/forcing.html> for details) from 2006 onwards. These prediction  
360 experiments provide the basis for the AMOC predictions shown in Supplementary Figure S8.

361

### 362 **Data Availability**

363 Source for the observed NAO index: Hurrell, James & National Center for Atmospheric Research Staff  
364 (Eds). Last modified 20 Oct 2015. "The Climate Data Guide: Hurrell North Atlantic Oscillation (NAO)  
365 Index (station-based)." Retrieved from [https://climatedataguide.ucar.edu/climate-data/hurrell-](https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-station-based)  
366 [north-atlantic-oscillation-nao-index-station-based](https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-station-based). ECMWF-interim reanalysis data<sup>27</sup> downloaded  
367 from [http://apps.ecmwf.int/datasets/data/interim\\_full\\_moda/](http://apps.ecmwf.int/datasets/data/interim_full_moda/). Observed sea ice extent obtained  
368 from the National Snow and Ice Data Center (<http://nsidc.org/data>).

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### 370 **Code availability**

371 The source code for the models used in this study is available as follows: CM2.1,  
372 <http://www.gfdl.noaa.gov/accessing-cm2-1p1>; FLOR, [http://www.gfdl.noaa.gov/cm2-5-and-flor-](http://www.gfdl.noaa.gov/cm2-5-and-flor-quickstart)  
373 [quickstart](http://www.gfdl.noaa.gov/cm2-5-and-flor-quickstart); CM3, Atmospheric component, <http://www.gfdl.noaa.gov/am3>.

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