

Assessment of human health impact from exposure to multiple air pollutants in China based on satellite observations

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2 **air pollutants in China based on satellite observations**

3

4 **Abstract**

5 Assessment of human health impact caused by air pollution is crucial for
6 evaluating environmental hazards. In this paper, concentrations of six air pollutants
7 (PM₁₀, PM_{2.5}, NO₂, SO₂, O₃, and CO) were first derived from satellite observations, and
8 then the overall human health risks in China caused by multiple air pollutants were
9 assessed using an aggregated health risks index. Unlike traditional approach for human
10 health risks assessment, which relied on the in-situ air pollution measurements, the
11 spatial distribution of aggregated human health risks in China were obtained using
12 satellite observations in this research. It was indicated that the remote sensing data have
13 advantages over in-situ data in accessing human health impact caused by air pollution.

14 **Key words:** multiple air pollutants; human health; assessment; distribution; remote
15 sensing

16

17 **1 Introduction**

18 Air pollution is a significant health hazard worldwide. Studies have shown that air
19 pollution attributed to about 1.2% of annual total deaths globally (Chen et al., 2005),
20 and accounted for more than two million premature deaths each year (WHO, 2005).
21 Among these air pollution related deaths, nearly half of them occurred in developing
22 countries (WHO, 2005). In China, air pollution causes more than one million premature
23 deaths and 76 million disability-adjusted life years every year, and the corresponding
24 disease burden increased by 33% in past 20 years (Murray et al., 2013). Moreover, with
25 the accelerating industrialization, air pollution in China has been becoming a serious
26 regional environmental issue, which not only endangered human health, but also
27 restricted economic development (Chan and Yao, 2008). Therefore, studies on the
28 human health impact caused by air pollution in China are of great significance in

29 providing more detailed information for evaluating environmental damages.

30 In many previous studies, assessing the impact of air pollutants on human health
31 relied typically on in-situ measurements of air pollution. These studies usually used
32 observations over air pollution monitoring stations to find the relationship between
33 human health and the exposure to particulate matter (PM) (Janssen et al., 2002; Brauer
34 et al.,2003; Putaud et al., 2010; Turner et al., 2011), nitrogen dioxides (Wheeler et al.,
35 2008; Raaschou-Nielsen et al., 2013), sulfur dioxide (Kan et al., 2010; Chen et al.,
36 2012), carbon dioxide (Raub et al., 2000; Bernstein et al., 2004) and ozone (Wang et al.,
37 2003; Jerrett et al., 2009). However, it is well known that air pollution monitoring
38 stations are usually located in areas where air pollution is serious, hence, using the
39 ground based monitoring data alone is likely to misrepresent the regional
40 concentrations of air pollutants and leads to overestimate human health impact as a
41 result of the high-biased regional air pollutants concentrations from solely in-situ
42 measurements. Although some interpolation methods have been applied to calculate
43 concentrations of air pollutants from measurements over the irregularly distributed
44 stations in epidemiological studies (Jerrett et al., 2005; Smith et al., 2010; Lipsett et al.,
45 2011), these methods are constrained by physiochemical models and may not give
46 physically consistent results, especially over areas with complex terrain (Hertel et al.,
47 2001). In addition, previous studies mainly focused on human health impact from a
48 single pollutant (Brauer et al.,2003; Wheeler et al., 2008 ; Anderson et al, 2012;
49 Dergham et al., 2015), which is inadequate to reflect overall air pollution damages to
50 human health.

51 To account for the realistic spatial variability of air pollutants, we used the
52 technology of remote sensing in this research. Remote sensing is the science of
53 obtaining the information about objects or areas, typically from aircrafts or satellites.
54 The technology of remote sensing has a wide range of applications in many different
55 fields, due to its advantages in large spatial and temporal coverage (Campbell, 2002). In
56 this paper, concentrations of air pollutants were derived from remote sensing data.
57 Therefore, concentrations of air pollutants over areas with no ground observations are

58 also available. To link air pollution and corresponding human health impact, we first
59 obtained the spatial distribution of human health risks related to individual pollutant,
60 and then an aggregated human health risks index is used to access the overall impact by
61 exposure to multiple air pollutants. This index reflects the combined health impact from
62 various air pollutants by linking concentrations of each individual air pollutant with the
63 human mortality to determine the contribution of each air pollutant to human health.
64 Concentrations of five air pollutants, i.e., PM₁₀, PM_{2.5}, NO₂, SO₂, O₃ and CO, and their
65 corresponding human health risks are included in this index.

66 In this paper, concentrations of five air pollutants were first calculated with satellite
67 observations, i.e., concentrations of PM₁₀, PM_{2.5} were derived from ozone monitoring
68 instrument (OMI) Aerosol Optical Depth (AOD) product, concentrations of SO₂, NO₂
69 and O₃ were derived from OMI Level-2 product, and concentrations of CO were derived
70 from SCIAMACHY Level-2 product. Secondly, human health risks of these five air
71 pollutants were then evaluated with an aggregated risks index (ARI), and the spatial
72 distribution of the ARI was analyzed. Finally, the derived concentrations of these five
73 air pollutants from satellite observations were validated with the ground-based
74 measurements, and the advantages of using satellite observations in accessing human
75 health risk from air pollutants were discussed.

76

77 **2 Data and methods**

78 **2.1 Study area**

79 China is located in the east of Asia and the west of Pacific, its climate is
80 significantly affected by both continent and ocean. It blows mainly southeast winds in
81 summer and northwest winds in winter (Ding et al., 1995). With the rapid process of
82 industrialization, air pollution has become one of the top environmental concerns in
83 China (Chan and Yao, 2008). Coal dominated energy and the increasing number of
84 motor vehicles have led to the deterioration of air quality. More serious air pollution has
85 been developed over cities and industrial zones as a result of overlaying of different

86 types of air pollutants. The studies by World Bank have shown that, among the largest
87 500 cities in China, only 1% of them are able to reach the air quality standards
88 recommended by World Health Organization (WHO), and seven Chinese cities were
89 listed in the top ten most polluted cities in the world (Zhang and Crooks, 2013).
90 Although the Chinese government has been actively taking financial and administrative
91 measures to combat air pollution, air pollution control in China still face huge
92 challenges and tremendous pressure.

93 **2.2 Methods**

94 **2.2.1 Aggregate health risks index**

95 Particular matters, sulfur dioxide, nitrogen oxide, ozone and carbon monoxide,
96 which pose the biggest threat to human beings, are the major air pollutants observed in
97 China (Chen et al., 2004). The studies on the exposure-response relationships and
98 human health endpoints in China have also indicated the associations with these air
99 pollutants (Shang et al., 2013). Therefore, PM₁₀, PM_{2.5}, NO₂, SO₂, O₃ and CO was
100 selected as the major air pollutants to assess the human health impact over China in this
101 study.

102 To account for the overall human health impact caused by exposures to multiple air
103 pollutants, and to reflect the linear exposure-response relationship between air
104 pollution and health risks, an aggregate risks index (ARI) (Cairncross et al., 2007) was
105 adopted to assess human health risks. This index is based on the exposure-response
106 relationship and relative risks (RR) of the increased mortality related to exposure to
107 each individual air pollutant, and it is believed that this index can help us to understand
108 the overall health impact of multiple air pollutants.. According to the definition of ARI, ,
109 total human health risks by exposure to several air pollutants are the sum of the risks
110 associated with each air pollutant, and are given as (Cairncross et al., 2007):

$$111 \quad ARI = \sum_{i=1}^n PSI_i = \sum_{i=1}^n a_i \times C_i \quad (1)$$

112 where PSI_i is defined to reflect the contribution of individual pollutant to the total
113 health risks, n is the number of pollutants, C_i is corresponding air pollutant

114 concentrations, and a_i is an coefficient which is directly proportional to the
 115 incremental risk values.

116 Among the six air pollutants, PM₁₀ concentrations could be treated as the most
 117 significant factor and therefore can be used as a predictor of mortality when
 118 calculating a_i (Pyta, 2008), i.e., a_i values were determined in terms of RR value
 119 relative to that of PM₁₀. For each pollutant, a_i is defined as:

$$120 \quad a_i = \frac{a_{PM10} \times (RR_i - 1)}{RR_{PM10} - 1} \quad (2)$$

121 where RR_i is the relative risk of mortality for the increase of 10 $\mu\text{g}/\text{m}^3$ in air pollution
 122 concentrations. a_{PM10} is a constant with a value of 0.080, and it is determined by the
 123 health endpoints (Sicard et al., 2011). Since mortality was the most significant health
 124 endpoint to all air pollutants, it was considered as the only health endpoint in this index.
 125 The RR values of mortality for general population are adopted from previous
 126 researches (WHO, 2000; Cairncross et al., 2007), and are shown in Table 1.

127 **Table 1: RR of mortality per 10 $\mu\text{g}/\text{m}^3$ increase in pollutant concentrations**

	PM10	PM2.5	NO2	SO2	O3	CO
RR	1.0074	1.015	1.003	1.004	1.0051	1.04
(95% CI)	1.0062-1.0086	1.011-1.019	1.0018-1.0034	1.003-1.005	1.0028-1.0066	1.03-1.05

128 2.2.2 Satellite data collection and processing

129 In this study, daily OMI Level-2 Near-UV Aerosol Optical Depth-OMAERUV
 130 products (http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/omaeruv_v003.shtml)
 131 over the time period of 2010 was used to estimate PM10 and PM2.5 concentrations.
 132 The products are produced at the spatial resolution of $0.125^\circ \times 0.125^\circ$, and are consisted
 133 of AOD, aerosol absorption optical depth (AAOD), and single scattering albedo (SSA)
 134 at 354, 388 and 500 nm. The OMI AOD product has been validated against AERONET
 135 measurements, it is indicated that correlation coefficient between OMI AOD products
 136 and AERONET observations is in the range 0.79-0.92, and intercept is in the range
 137 0.63-0.92 (NASA, 2012). Since the inversion in UV channel could be affected by
 138 Rayleigh scattering easily, the accuracy is relatively low in low AOD areas and the

139 accuracy is high in high AOD areas (Li et al., 2014; Torres et al., 2007). Therefore,
140 OMAERUV products are the most appropriate to derive PM in China, where AOD is
141 relatively high in most areas. Monthly average AOD is derived from the daily AOD
142 products.

143 Monthly average Level-2 OMI NO₂ data products
144 (<http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI>) contain parameters such as
145 total troposphere NO₂ columns, slant column density, fitting root mean square (RMS).
146 The total troposphere NO₂ columns, which were derived from satellite observations
147 based on slant column retrievals with the differential optical absorption spectroscopy
148 (DOAS) technique, are used in our studies to calculate ground NO₂ concentrations over
149 the time period of 2010 in China. These data have a spatial resolution of 0.125°×0.125°,
150 and their valid range is from 0 to 20 (10¹⁵ molecule/cm²). The fitting error in the NO₂
151 slant column is estimated to be 0.3-1x10¹⁵ cm⁻², before the row anomaly (RA)
152 (NASA, 2012; Celarier et al., 2011). The monthly average of total troposphere NO₂
153 columns is derived from valid daily total column by excluding missing data or bad
154 quality data.

155 The ground SO₂ concentrations used in our studies for the time period of 2010 are
156 from monthly average of the Level 2 OMI SO₂ total columns data
157 (<http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI>). They are derived with DOAS
158 technique with a spatial resolution of 0.125°×0.125°. The estimated noise standard
159 deviation of SO₂ is 1.2-1.5 DU in the tropics (NASA, 2012; Yang et al., 2007).

160 The monthly average ground O₃ concentration is derived from daily total column
161 ozone which is from the OMI Level 2 Total Column Ozone Product
162 (<http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI>) over the period of 2010. It has a
163 spatial resolution of 0.125°×0.125° and in Dobson Units. This OMI tropospheric
164 column ozone product is also derived with DOAS fitting technique that essentially uses
165 the OMI visible radiance values between 331.1 and 336.1 nm. The total ozone data in
166 OMI products have a root-mean squared error of 1-2% (NASA, 2012; Yang et al.,
167 2007).

168 Monthly average Level-2 SCIAMACHY CO products with the spatial resolution

169 of $0.125^\circ \times 0.125^\circ$ are used in this study to derive the ground CO concentrations
170 (<http://www.sciamachy.org/products/index.php?species=CO>). This product is derived
171 with DOAS fitting technique based on the solar spectral reflectance in the channel 8,
172 which is set in the range of 2265 nm to 2380 nm, and with a valid range is from 0 to 10
173 (10^{18} molecule/cm²). The bias of derived total columns is less than 20% (De Laat et al.,
174 2012; <http://www.sciamachy.org/validation>).

175 **2.2.3 Estimating ground level concentrations of air pollutants from remote** 176 **sensing data**

177 **Estimating concentrations of PM₁₀ and PM_{2.5}**

178 Since ground PM₁₀ concentrations are defined as the surface concentrations of the
179 particles with a diameter less than 10 μm , while AOD retrieved from satellite
180 observations corresponds to total column concentrations of particles with all sizes
181 under ambient relative humidity, the direct correlation between satellite-based AOD
182 and the ground concentrations of PM₁₀ is usually relatively low. In addition, due to the
183 hygroscopic growth of aerosols, relative humidity has to be taken into account in order
184 to estimate accurately the ground PM₁₀ concentrations from satellite observations data.

185 The relationship between PM₁₀ and extinction coefficient under dry condition
186 could be expressed as (Koelemeijer et al., 2006; Wang et al., 2010):

$$187 \quad \sigma_{a,dry} = \frac{3Q_{ext}}{4\gamma_{eff}\rho} PM_{10} = \alpha_{ext,10} \cdot PM_{10} \quad (3)$$

188 where $\sigma_{a,dry}$ is the extinction coefficient under dry condition, Q_{ext} is the
189 size-distribution integrated extinction efficiency, γ_{eff} is the effective radius, ρ is the
190 aerosol mass density, and $\alpha_{ext,10}$ is the mass extinction efficiency of the aerosol
191 mixtures.

192 The effect of humidity on light extinction was given as follows (Lin et al., 2015):

$$193 \quad f(RH) = \frac{\sigma_a}{\sigma_{a,dry}} = \left(\frac{1-RH}{1-RH_0} \right)^{-\gamma} \quad (4)$$

194 where γ is the Hanel growth coefficient, which is dependent on the aerosol property.
195 RH_0 is set at 40% (Lin et al., 2015), RH is the relative humidity.

196 The variation of aerosol extinction coefficient (σ_z) with the height can be
 197 described as an exponential function (Lin et al., 2015):

$$198 \quad \sigma_z = \sigma_a \times e^{-\frac{z}{H}} \quad (5)$$

199 Where H is the aerosol scaling height, and z is the height, σ_a is the extinction
 200 coefficient on the ground.

201 Since AOD is an integral of aerosol extinction coefficient in the total column, we
 202 could get (Wang et al., 2015):

$$203 \quad \text{AOD} = \int_0^\infty \sigma_z dz = \int_0^\infty \sigma_a \times e^{-\frac{z}{H}} dz = H \times \sigma_a \quad (6)$$

204 Therefore, $\sigma_a = \text{AOD}/H$, where H is the aerosol scaling height.

205 Combine equation (3) (4) (5) (6), the relationship between RH and concentrations
 206 of PM_{10} can be written as:

$$207 \quad \text{PM}_{10} = \frac{1}{\alpha_{\text{ext},10}} \cdot \frac{\sigma_a}{f(\text{RH})} = \frac{1}{\alpha_{\text{ext},10}} \cdot \frac{\frac{\text{AOD}}{H}}{\left(\frac{1-\text{RH}}{1-\text{RH}_0}\right)^{-\gamma}} \quad (7)$$

208 where $\alpha_{\text{ext},10}$ is the mass extinction efficiency (MEE) of mixed aerosol mixtures. γ is
 209 the Hanel growth coefficient, which is depend on aerosol property, AOD is the aerosol
 210 optical depth from OMI products, H is the aerosol scaling height, which was estimated
 211 from 98 solar radiation observation stations in China over the time period of 2010 by
 212 using Kriging interpolation. RH was obtained from 183 meteorological stations in
 213 China over the time period of 2010 by using Kriging interpolation as well.

214 And the relationship between PM_{10} and $\text{PM}_{2.5}$ could be written as (Lin et al.,
 215 2015) :

$$216 \quad \text{PM}_{2.5} = F \cdot \text{PM}_{10} = \frac{\frac{\text{AOD}}{H}}{\left(\frac{\alpha_{\text{ext},10}}{F'}\right)\left(\frac{1-\text{RH}}{1-\text{RH}_0}\right)^{-\gamma'}} \quad (8)$$

217 where γ' is the integrated humidity effect, $\alpha_{\text{ext},10}'$ is the reference mass extinction
 218 efficiency of mixed aerosols and F' is the reference fine mode fraction, F is the scale
 219 coefficient.

220 **Estimating concentrations of NO_2 , SO_2 and CO**

221 The main sources of NO_2 , SO_2 and CO are emissions from fossil fuels combustion
 222 and biomass burning, therefore, NO_2 , SO_2 and CO in the air are mainly distributed in
 223 the troposphere and below the planetary boundary layer (PBL), and columns above the

224 top of PBL could be ignored (Boersma et al, 2008). Therefore, it is assumed that mixing
 225 volume ratios of both NO₂, SO₂ and CO are consistent from the ground to the top of the
 226 mixing layer, and concentrations are zero above the height of mixing layer (Boersma et
 227 al., 2009). Based on this assumption, total troposphere columns of both NO₂, SO₂ and
 228 CO are the integral of the concentrations with respect to the height (Ding et al., 2011):

$$229 \quad VCD_{NO_2} = \int_0^{h_{PBL}} r_{NO_2} \rho_a(h) dh = \int_0^{h_{PBL}} r_{NO_2} \rho_{0a} e^{1-\frac{h}{H}} dh \quad (9)$$

$$230 \quad VCD_{SO_2} = \int_0^{h_{PBL}} r_{SO_2} \rho_a(h) dh = \int_0^{h_{PBL}} r_{SO_2} \rho_{0a} e^{1-\frac{h}{H}} dh \quad (10)$$

$$231 \quad VCD_{CO} = \int_0^{h_{PBL}} r_{CO} \rho_a(h) dh = \int_0^{h_{PBL}} r_{CO} \rho_{0a} e^{1-\frac{h}{H}} dh \quad (11)$$

232 where r_{NO_2} and r_{SO_2} are the mixing volume ratio of NO₂ and the mixing volume ratio
 233 of SO₂, VCD_{NO_2} and VCD_{SO_2} are the total NO₂ column and the total SO₂ column, ρ_a
 234 is the number density of molecules, ρ_{0a} is the air density near the ground, h_{PBL} is the
 235 height of mixing layer, and H is the aerosol scale height.

236 According to equation (9), equation (10) and equation (11), we could obtain the
 237 mixing volume ratio of NO₂ and SO₂ (Ding et al., 2011):

$$238 \quad r_{NO_2} = \frac{VCD_{NO_2}}{\rho_{0a}} \left(\frac{1}{H} + \frac{1}{h_{PBL}} \right) \quad (12)$$

$$239 \quad r_{SO_2} = \frac{VCD_{SO_2}}{\rho_{0a}} \left(\frac{1}{H} + \frac{1}{h_{PBL}} \right) \quad (13)$$

$$240 \quad r_{CO} = \frac{VCD_{CO}}{\rho_{0a}} \left(\frac{1}{H} + \frac{1}{h_{PBL}} \right) \quad (14)$$

241 VCD_{NO_2} , VCD_{SO_2} and VCD_{CO} were obtained from satellite observations, h_{PBL} was
 242 obtained from 98 solar radiation observation stations in China over the time period of
 243 2010 by using Kriging interpolation. H is the aerosol scaling height, which is defined as
 244 the height at which the aerosol extinction coefficient is reduced to 1/e of the ground
 245 value. It is considered as the equivalent depth of the optically active aerosol layer of the
 246 atmosphere and could be approximated by the boundary layer height (Koelemeijer et al.,
 247 2006). The spatial distribution of monthly average temperature was from 183
 248 meteorological stations in China by using Kriging interpolation, and then ρ_{0a} was
 249 calculated according to the relationship between air density and temperature (Bartman
 250 et al., 1956). According to equation (12), equation (13) and equation (14), we obtained
 251 the surface mixing volume ratio of NO₂, SO₂ and CO, then we could convert the units

252 of NO₂, SO₂ and CO from mixing volume ratio to mass concentrations easily.

253 **Estimating concentrations of O₃**

254 Retrieval of ground O₃ from satellite remote sensing remains a difficult task. To get
255 ground mass concentrations of O₃, the column density was firstly converted to number
256 density according to equation (15) (Sheng-bo et al., 2010):

$$257 \quad N_h = \int_0^h n_i dh \quad (15)$$

258 where N is the column density, n_i is the number density of molecules, h represents the
259 height, h_i is the height of i -th layer.

260 According to equation (15), we could obtain:

$$261 \quad N_{h_{i-1}} - N_{h_i} = \int_{h_{i-1}}^{h_i} n_i dh = \bar{n}_{i-1} h_{i-1} \quad (16)$$

262 According to the definition of Dobson Units (University of Cambridge, 2010):

$$263 \quad VCD_{O_3} = \frac{V}{S} = \frac{N}{N_A} V_m \quad (17)$$

264 where N_A is the Avogadro's number, and V_m is the molar volume of gas, VCD_{O_3} is the
265 columns that from satellite observations.

266 According to equation (16) and equation (17) (Sheng-bo et al., 2010):

$$267 \quad n_{i-1} = \frac{N_A VCD_{O_3}}{V_m h_{i-1}} \quad (18)$$

268 Finally, we could obtain the surface number density of molecules, and could
269 convert the units of O₃ from number density to mass concentrations.

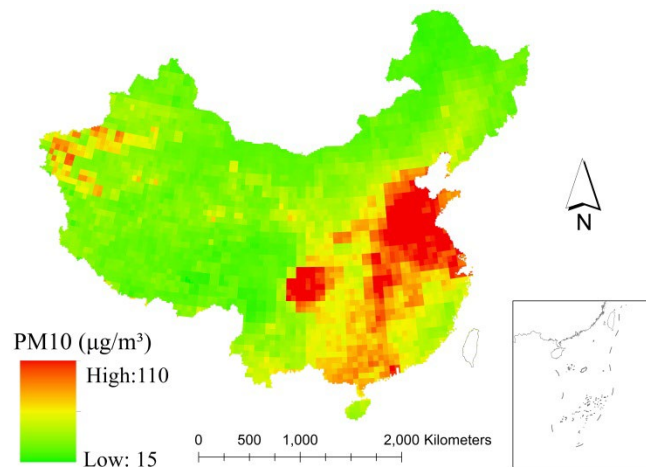
270 **3 Results**

271 **3.1 Spatial distribution of air pollutants in China**

272 **Spatial distribution of PM₁₀ in China**

273 The PM₁₀ spatial distribution in 2010 is derived from Equation (7), and is given in
274 Fig. 1. The spatial resolution of the map is 0.125°×0.125°. It is seen that the highest
275 PM₁₀ concentrations, with an average PM₁₀ concentration greater than 85 μg/m³, are
276 located at Northern China and the Sichuan Basin as a result of heavy industry. In the
277 middle and lower reaches of Yangtze River, Inner Mongolia, Shaanxi, Shanxi and some

278 provinces in north China, PM₁₀ concentrations are about 70 μg/m³. The lowest PM₁₀
279 concentrations appeared over Tibetan Plateau, Fujian and Heilongjiang, due to the fact
280 that there is less human destruction of the nature environments in Tibet, and forest
281 coverage rates are higher in Heilongjiang and Fujian.



282
283 **Fig. 1.** Spatial distribution of PM₁₀ in China in 2010
284

285 **Spatial distribution of PM_{2.5} in China**

286 Since PM_{2.5} concentrations are well correlated with concentrations of PM₁₀, the
287 spatial distribution of PM_{2.5} shows similar patter as the distribution of PM₁₀, as given in
288 Fig. 2. The spatial resolution of this map is also 0.125°×0.125°. In general, the worst
289 places for PM_{2.5} pollution are also in Northern China and Sichuan Basin, and the annual
290 average PM_{2.5} concentrations can exceed 65 μg/m³. This is consistent with the fact that
291 the heavy industry is concentrated in these two regions. It is also seen that the high
292 PM_{2.5} pollution appears in lower reaches of Yangtze River and southern China, where
293 are the numerous mega-cities and fast expansions located. The lowest PM_{2.5}
294 concentrations in 2010, with average concentrations about 15 μg/m³, are located in
295 Tibetan Plateau due to their pristine environment.

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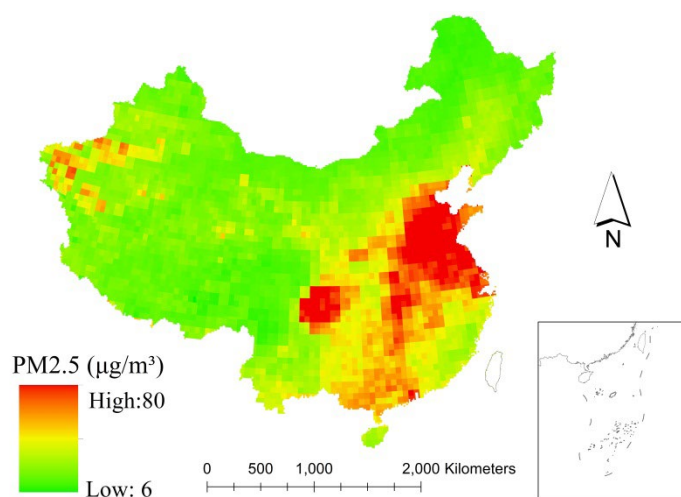
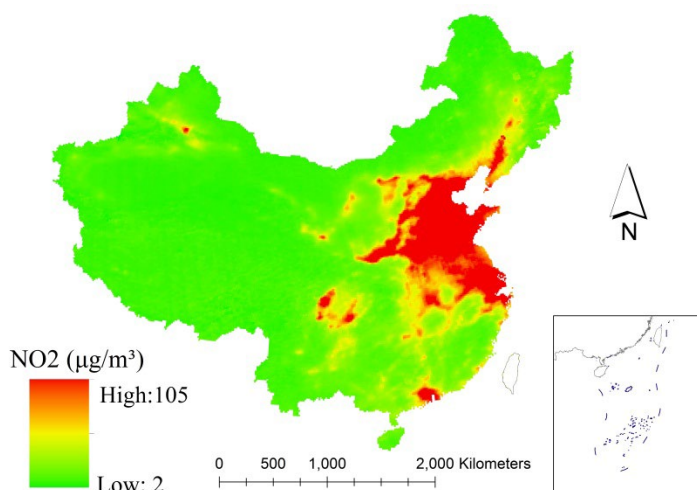


Fig. 2. Spatial distribution of PM_{2.5} in China in 2010

Spatial distribution of NO₂ in China

The main sources of troposphere NO₂ are emissions from fossil fuels combustion and biomass burning, and the vehicle exhaust emissions also account for a large proportion of the total emissions (Liang et al. 1998). Fig. 3 is the spatial distribution of NO₂ in China in 2010 with a resolution of 0.125° × 0.125°. As shown in Fig. 3, NO₂ pollution is more serious over large cities. The most polluted cities, with average NO₂ concentrations about 45 µg/m³ in 2010, are mainly located in large cities over Northern and Eastern China, such as Shenyang, Chongqing, where many heavy industries are located, and Beijing, Tianjin, Shanghai, Guangzhou, where the number of vehicles is large and expanding. NO₂ pollution is much less in western and southern regions of China, especially in mountainous and plateau areas.

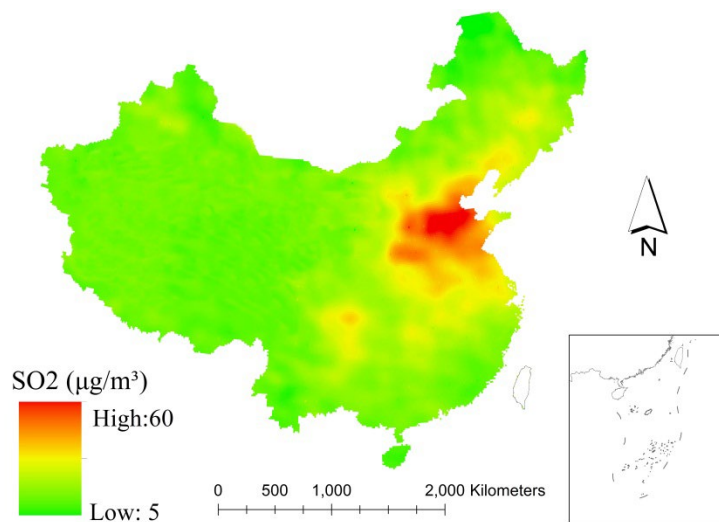


313 **Fig. 3.** Spatial distribution of NO₂ in China in 2010

314

315 **Spatial distribution of SO₂ in China**

316 Coal combustion in industrial facilities and power plants has become the main
317 sources of SO₂ emissions in China (Henriksson et al. 2011). According to equation (13),
318 we obtained the spatial distribution of SO₂ in China with a resolution of 0.125°
319 ×0.125° , as shown in Fig. 4. The heavy industries are mainly located in Northern
320 China and Northeastern China, therefore, the SO₂ pollution is most serious in these
321 areas, where the concentrations can exceed 50 μg/m³. In southern China, such as Fujian,
322 Guangdong, Guangxi and Yunnan, SO₂ concentrations are relatively lower, with a value
323 of about 25 μg/m³. In Western regions, such as Xinjiang, Tibetan Plateau, average SO₂
324 concentrations are less than 10μg/m³ due to less SO₂ pollution sources.



325

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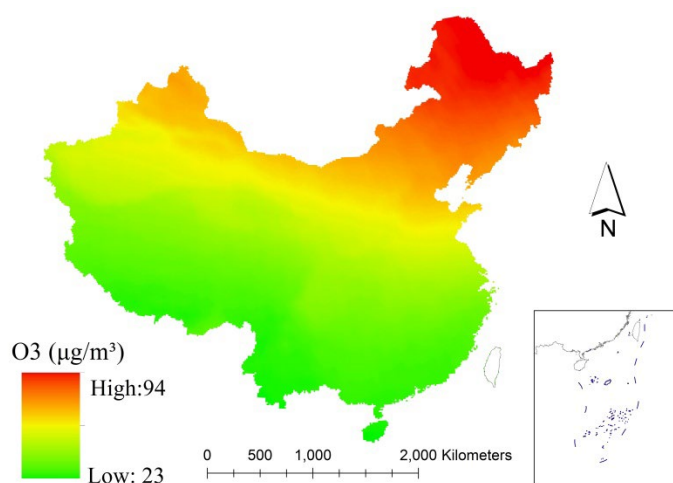
327 **Fig. 4.** Spatial distribution of SO₂ in China in 2010

328

329 **Spatial distribution of O₃ in China**

330 According to equation (18), we obtained the spatial distribution of O₃ in China with
331 a resolution of 0.125° ×0.125° , as shown in Fig. 5. The O₃ concentrations generally
332 follow latitude distribution, i.e., increase with the increasing latitude in China. The
333 highest O₃ concentrations are mainly concentrated in Northeastern China, where the
334 average O₃ concentrations are about 60 μg/m³. It is indicated that the Tibetan Plateau
and its surrounding regions show relative lower values of O₃ concentrations, about 25

335 $\mu\text{g}/\text{m}^3$. The topography features and thermal effects are the main reasons for low O_3
336 concentrations over Tibetan Plateau (Zou, 1996). Due to the influence of the
337 Mongolian High and Aleutian Low, high ozone horizontal fluxes transport along the
338 Mongolia and Northeastern China, which cause the highest concentration of ozone in
339 Northeastern China (Ma et al., 2002; Jie et al., 2009). Ozone is related to
340 photochemical reactions, and also the emission levels of NO_x and VOCs. Although
341 the net ozone photochemical production in Northern and Eastern China is high, ozone
342 could not accumulate due to the effects of East Asian Monsoon (Jie et al., 2009).



343
344 **Fig. 5.** Spatial distribution of O_3 in China in 2010
345

346 **Spatial distribution of CO in China**

347 The main sources of CO in the troposphere are the burning of biomass burning
348 (Novelli et al., 1994). Therefore, the distribution of CO is highly consistent with the
349 distribution of industrial areas and cities. The spatial distribution of CO in China with a
350 resolution of $0.125^\circ \times 0.125^\circ$ is shown in Figure 6. In China, the high concentrations
351 of CO mainly distributed in Northern China and Sichuan Basin, in which many big
352 cities and industries districts located, and the average concentrations of CO in 2010 are
353 about $25\mu\text{g}/\text{m}^3$. The lowest CO concentrations in 2010, with average concentrations
354 less than $10\mu\text{g}/\text{m}^3$, are located in Tibetan Plateau. This is because the number of
355 manmade emissions is less.

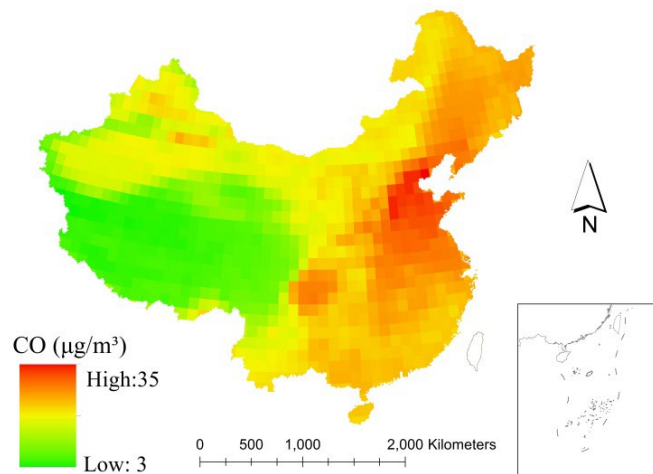


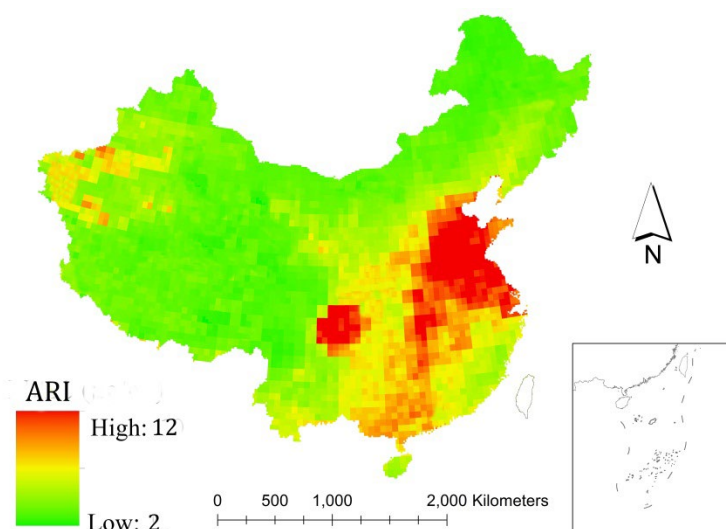
Fig. 6. Spatial distribution of CO in China in 2010

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3.2 Aggregate human health risks in China

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To account for the human health impact from the exposure to multiple air pollutants, we adopted an aggregate risks index in this paper, and obtained the spatial distribution of ARI values in China, which is shown in Fig. 7. According to the classification standard of the ARI values (Sicard et al., 2011), it is considered as the highest health risks when the ARI values exceed 10. It is seen that areas with the highest risks are mainly located in Northern China and Sichuan Basin, where PM_{10} , $\text{PM}_{2.5}$, NO_2 , SO_2 pollution is all very serious. In Central and Southern China, the ARI values are between 7 and 9, indicating very high human health risks in these areas. This mainly caused by the large number of cities and industries, which results in a large number of vehicles and a high population density in these areas. In Tibetan Plateau, the ARI values are the lowest, less than 5, i.e., air pollution has the lowest impact on human health in these regions.



372
373 **Fig. 7.** Spatial distribution of ARI in China in 2010

374 **4 Discussion**

375 **4.1 Validation of satellite-derived air pollution concentrations**

376 The precision and accuracy of satellite-derived air pollutant concentrations
 377 determines the uncertainties in estimation of the human health impact from air
 378 pollution. To estimate the accuracy of the satellite derived air pollutant concentrations,
 379 the measured average PM₁₀, NO₂ and SO₂ concentrations in 138 Chinese cities, the
 380 average CO concentrations in 23 Chinese cities, and the average O₃ concentrations in
 381 12 Chinese cities in 2010 were collected as truth data. Note that, air pollutant
 382 concentrations in each city were the mean value of all the monitoring stations in both
 383 urban and suburban areas. However, since PM_{2.5} and O₃ concentrations were not
 384 included in the air quality standard in China until February 2012, the measured of
 385 ground-level O₃ concentrations are only available in 12 pilot cities, but no surface
 386 measurement PM_{2.5} concentrations are available in 2010. Therefore, validations are
 387 only carried out for PM₁₀, NO₂, SO₂, CO and O₃. Since satellite data are processed on a
 388 monthly basis, validation of monthly average satellite derived air pollutants
 389 concentrations was carried out, as shown from Table 2 to Table 6. In general, a good
 390 agreement exists between the ground measured monthly average concentrations of each
 391 pollutant and satellite derived monthly average concentrations, with a very low root
 392 mean square error (RMSE) and relative error (RE).

393 Table 2 Comparison of ground measured monthly average PM₁₀ and
 394 satellite derived monthly average PM₁₀

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
R2	0.52	0.62	0.69	0.74	0.72	0.76	0.79	0.71	0.65	0.62	0.58	0.64
RMSE	10.78	10.21	9.25	8.46	7.25	7.55	7.69	8.56	7.84	8.33	9.14	7.42
RE(%)	11.2	10.78	12.14	9.33	8.90	8.12	7.59	9.21	10.69	11.26	10.79	9.41

395
 396 Table 3 Comparison of ground measured monthly average NO₂ and
 397 satellite derived monthly average NO₂

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
R2	0.49	0.52	0.54	0.51	0.68	0.71	0.76	0.56	0.70	0.58	0.60	0.44
RMSE	13.77	11.39	11.56	11.73	10.20	9.86	8.30	10.92	9.94	10.65	11.20	12.69
RE(%)	11.59	11.04	10.88	10.85	10.54	9.33	8.67	10.31	9.52	10.22	9.92	12.10

398
 399 Table 4 Comparison of ground measured monthly average SO₂ and
 400 satellite derived monthly average SO₂

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
R2	0.55	0.60	0.61	0.58	0.69	0.75	0.76	0.64	0.62	0.56	0.63	0.57
RMSE	9.21	8.67	8.03	9.56	7.80	6.65	6.93	7.97	8.21	10.61	8.30	10.64
RE(%)	12.92	11.78	11.60	10.24	9.57	8.33	8.52	9.62	9.50	11.38	10.87	11.05

401
 402 Table 5 Comparison of ground measured monthly average O₃ and
 403 satellite derived monthly average O₃

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
R2	0.52	0.50	0.60	0.66	0.70	0.72	0.77	0.69	0.64	0.71	0.73	0.63
RMSE	12.45	12.50	10.89	11.65	10.78	9.46	8.53	10.58	10.97	11.21	8.90	11.15
RE(%)	13.86	10.63	11.40	11.05	9.65	9.28	8.67	9.90	10.32	9.98	10.62	11.33

404
 405 Table 6 Comparison of ground measured monthly average CO and
 406 satellite derived monthly average CO

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
R2	0.51	0.49	0.60	0.62	0.62	0.69	0.70	0.68	0.64	0.59	0.61	0.63
RMSE	9.14	12.50	9.89	9.77	11.53	8.84	8.75	9.10	10.55	9.37	10.02	9.64
RE(%)	14.24	12.35	10.87	11.32	10.98	9.31	8.53	8.80	9.25	11.33	10.48	12.67

407
 408 Validation of annual average satellite derived air pollutants concentrations is given in
 409 Figure 8. In general, a good liner relationship exists between satellite-derived air
 410 pollutant concentrations and ground-based concentrations. The correlation coefficient
 411 between surface measurement PM₁₀, NO₂, SO₂, O₃, CO concentrations and

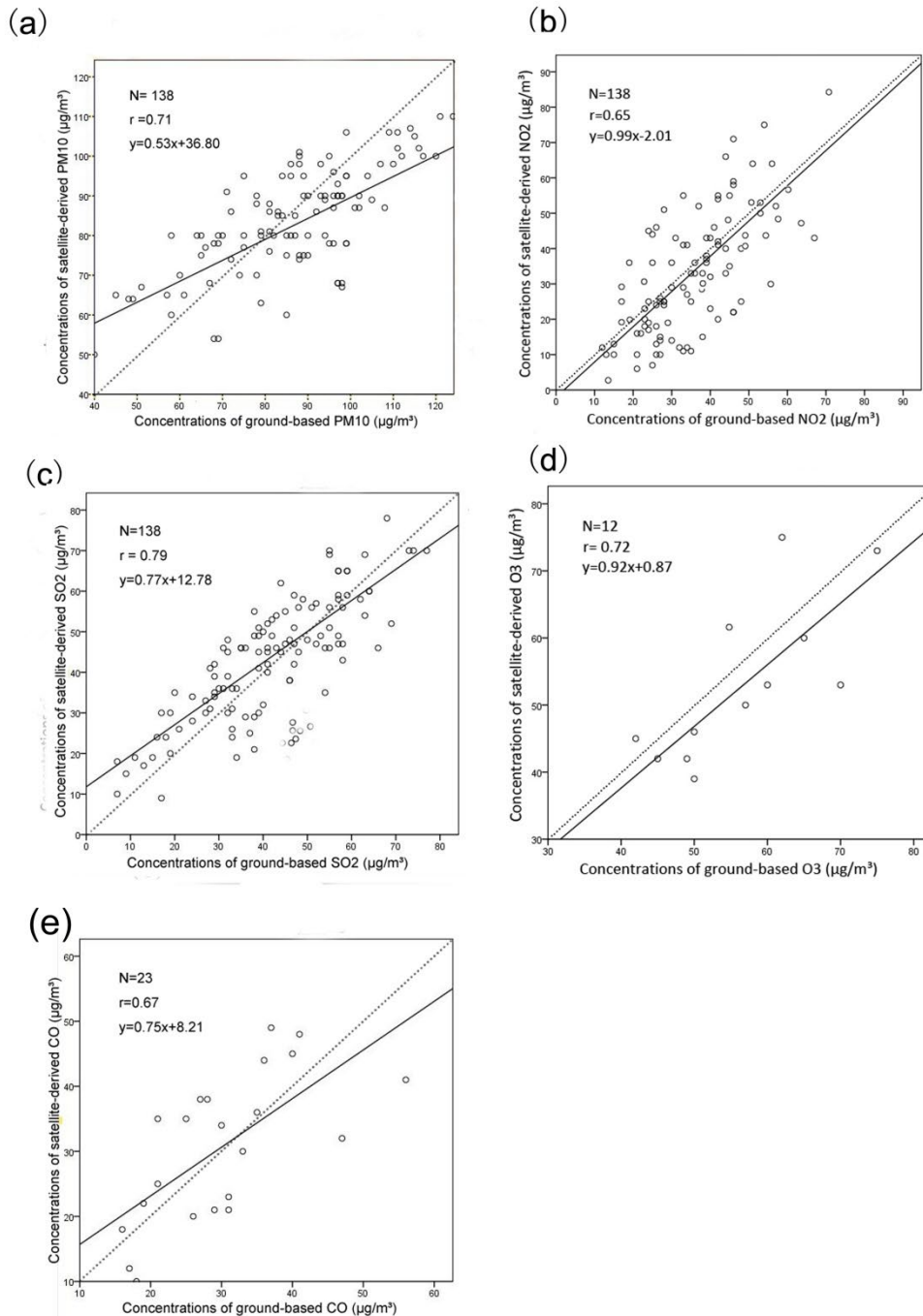
412 corresponding satellite derived concentrations can be as high as 0.71, 0.65, 0.79, 0.72
413 and 0.67 respectively. The root mean square error (RMSE) is about 8.31, 12.77, 7.94,
414 10.23 and 9.45, and the average relative error (RE) is about 9.32%, 12.33%, 9.76%,
415 11.56% and 10.50%, respectively. High correlation and low estimated standard error
416 indicates the applicability and reliability of air pollutant concentrations derived from
417 satellite observations. The bias of satellite derived concentrations of PM₁₀, NO₂, SO₂,
418 O₃ and CO is about 11 µg/m³, 9 µg/m³, 9 µg/m³, 7 µg/m³, 11 µg/m³, respectively.

419 According to the rule of transferred bias, bias of PM_{2.5} could be written as :

$$420 \quad m_{PM_{2.5}} = k \cdot m_{PM_{10}} \quad (19)$$

421 where $m_{PM_{2.5}}$, $m_{PM_{10}}$ is the bias of satellite derived PM_{2.5} and PM₁₀, k is the scale
422 coefficient which could be defined by the relationship between PM₁₀ and PM_{2.5} in
423 Equation (8) . And we could obtain the transferred bias for PM_{2.5}, is about 15µg/m³,
424 and the overall bias for ARI values is estimated to be about 1.0.

425



426

427

Fig.8. Comparison of ground based air pollutant concentrations and

428

satellite derived air pollutant concentrations

429

4.2 Advantages of satellite observations in estimating human health impact

430

The human health impact caused by air pollution is usually over-estimated by using

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the in-situ air pollution data (Wilson et al., 1997; Brauer et al., 2003), since air

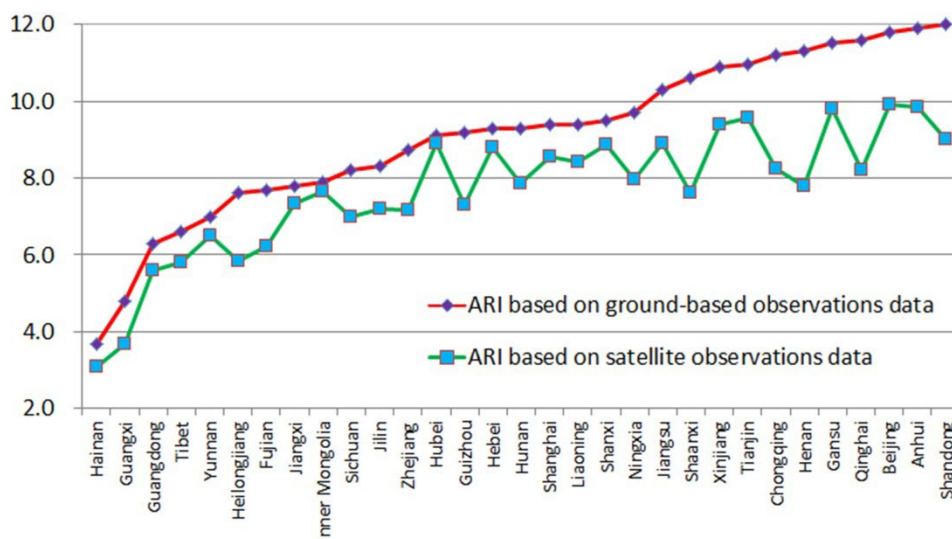
432

monitoring stations are mainly located in the areas where concentrations of air

433

pollutants are generally higher. By using the ground based monitoring data to represent

434 the concentrations in the whole region, human health impact is most likely
 435 overestimated. Although some interpolation methods are applied to the ground-based
 436 observation of air pollution in the human health impact assessment, the interpolation
 437 methods are constrained by physiochemical models, and the accuracy is not
 438 satisfactory (Hertel et al., 2001). In our study, concentrations of air pollutants were all
 439 derived from satellite observations data, which could provide much better spatial
 440 coverage. By analyzing the distribution of air pollutants and corresponding human
 441 health impact, the spatial distribution of ARI values were obtained and were used to
 442 measure aggregate human health risks.



443
 444 **Fig. 9.** Comparison of ARI values based on in-situ data with that based on satellite
 445 observations data at a provincial scale
 446

447 Compared with the assessment based on in-situ air pollution data, the human health
 448 risks based on satellite observations data are apparently lower, as shown in Fig. 9. We
 449 found that the ARI values based on satellite observations data are all lower than those
 450 based on in-situ data at a provincial scale. According to the results based on in-situ data,
 451 average ARI values are greater than 10 in eleven provinces, which mean human health
 452 risks are extremely high. While average ARI values are all lower than 10 when using
 453 the satellite observations data. That indicates human health risks based on in situ
 454 measurements alone may lead to extragate human health impact by air pollutants. Air
 455 monitor stations are mainly clustered in areas of poor air quality. The in-situ

456 observations are spatially less representative, while satellite observations provide better
457 spatial coverage. Therefore, human health risks assessment based on remote sensing
458 data is more suitable.

459 Single pollutant analysis of health impact is inadequate to reflect the overall air
460 pollution damages to human health (Brauer et al., 2003; Chen et al., 2005). The human
461 health impact of air pollution is caused by the exposure to multiple air pollutants,
462 therefore, the major contributors to the health problems should be all considered. In our
463 research, we linked air pollution and outcomes of human mortality using an aggregate
464 risks index. The aggregate risks index has been proven to be an effective way to
465 integrate the human health impact of all air pollutants, and to determine the
466 contribution of each air pollutant to human health (Chen et al., 2013).

467 **5 Conclusion**

468 In this paper, the overall human health impact caused by exposure to multiple air
469 pollutants in China was assessed based on data derived from satellite observations.
470 First of all, concentrations of ground PM₁₀, PM_{2.5}, SO₂, NO₂ and O₃ were derived from
471 the corresponding OMI Level 2 product, and concentrations of ground CO were
472 derived from SCIAMACHY data in this paper. Secondly, to study the relative
473 contribution of each air pollutant to human health risks, a novel index (ARI) was
474 adopted to assess the aggregated human health risks in China related to multiple air
475 pollutants. Finally, the spatial distribution of human health risks in China was
476 obtained by analyzing the distribution of concentration of each air pollutant and
477 corresponding relative risks of mortality. It is found that human health impact assessed
478 with satellite observations is generally lower than that obtained from in-situ data. In
479 addition, it is indicated that remote sensing observations have advantages over in-situ
480 data in assessing human health impact caused by air pollution, as a result of better
481 spatial coverage, therefore, better understanding of the spatial distribution of human
482 health impact. As for China, areas with the highest risks caused by air pollution are
483 mainly located in Taklimakan Desert regions, Northern China, Sichuan Basin and the
484 middle of Inner Mongolia. Followed by Northeastern and Southern China, very high

485 human health risks exist in these areas. In Tibetan Plateau and some mountainous areas
486 over central China, air pollution has the lowest impact on human health.

487 Studies on the human health impact caused by exposure to air pollutants are
488 important in environmental damages assessment, as well as in health insurance industry.
489 However, assessing the human health impact accurately by exposure to multiple air
490 pollutants is comprehensive and complex. In this study, the impact caused by the
491 combined contamination of several air pollutants is not discussed. In addition, how to
492 combine air pollution monitoring stations data with emissions data, and integrate the
493 corresponding health impact will be another challenge, which may be tackled in the
494 future. Finally, it should be noted that remote sensing data can only monitor outdoor air
495 pollution, thus, the methods proposed in this paper are not suitable for assessing human
496 health impact caused by indoor air pollution.

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