1	The crucial role of genome-wide genetic variation in conservation
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43 Abstract

44 The unprecedented rate of extinction calls for efficient use of genetics to help conserve 45 biodiversity. Several recent genomic and simulation-based studies have argued that the field of 46 conservation biology has placed too much focus on conserving genome-wide genetic variation, 47 and that the field should instead focus on managing the subset of functional genetic variation that 48 is thought to affect fitness. Here, we critically evaluate the feasibility and likely benefits of this 49 approach in conservation. We find that population genetics theory and empirical results show that 50 conserving genome-wide genetic variation is generally the best approach to prevent inbreeding 51 depression and loss of adaptive potential from driving populations towards extinction. Focusing conservation efforts on presumably functional genetic variation will only be feasible occasionally, 52 53 often misleading, and counterproductive when prioritized over genome-wide genetic variation. 54 Given the increasing rate of habitat loss and other environmental changes, failure to recognize the 55 detrimental effects of lost genome-wide genetic variation on long-term population viability will 56 only worsen the biodiversity crisis.

57

58 Introduction

59 Decades of theoretical (1) and empirical (2, 3) research suggest that conserving genome-wide 60 genetic variation improves population viability. Maintaining genetic variation, adaptive potential 61 (see Glossary), and avoiding *inbreeding depression* are central motivations for maintaining large, 62 connected natural populations. Principles of genetics and evolution have therefore played a large role in conservation biology since its inception (4, 5). The genomics revolution has inspired 63 64 biologists to leverage genome analysis to advance conservation beyond what was possible with 65 traditional genetics. Numerous studies have sequenced genomes of non-model organisms of 66 conservation concern to understand population history, *inbreeding* depression, and the genetic 67 basis of adaptation. A particularly exciting area of research has been to determine when and how 68 functional genetic information can advance conservation.

69 Several recent studies suggest that too much emphasis has been placed on genome-wide 70 genetic variation in conservation biology. For example, persistence of small populations for long 71 periods of time despite low genetic variation, and the collapse of the Isle Royale wolf population 72 after the infusion of genetic variation via immigration, have been interpreted as a challenge to the 73 idea that genetic variation generally increases population viability (6-12). Additionally, a weak 74 relationship between conservation status and genetic variation has been used to argue that genomewide (presumably neutral) genetic variation is of little importance to conservation (11). Several authors have thus advocated for an approach that focuses on functional genetic variation that is thought to directly affect fitness (including minimizing deleterious genetic variation) in place of the traditional emphasis on conserving genome-wide genetic variation (6-8, 11).

Here, we evaluate the theoretical and empirical basis of this challenge to the importance of genome-wide genetic variation and show that its premise is inconsistent with population genetic theory and empirical findings. While it is clear that functional genetic information can advance conservation, deemphasizing the maintenance of genome-wide genetic variation would increase the extinction risk of threatened populations.

84

85 1. Is genetic variation predictive of inbreeding and inbreeding depression?

86 Inbreeding depression is thought to be driven mainly by homozygous and *identical-by-descent* 87 deleterious, partially recessive alleles (13), with lethal and small effect deleterious alleles 88 contributing substantially (14). The constant input of new deleterious mutations (15-19) makes 89 inbreeding depression a ubiquitous phenomenon that can push populations toward extinction (2, 90 20-23). One of the foundational predictions of theoretical population genetics is that the rate of loss of heterozygosity (H) per generation ($\Delta \overline{H} = 1/2N_e$) is identical to the rate of increase in mean 91 individual inbreeding (F), which is $\Delta \overline{F} = 1/2N_e$ (24). \overline{H} is therefore expected to be entirely 92 predictive of \overline{F} (24-29). 93

A more difficult, but crucial question is whether genome-wide genetic variation (π) is predictive of inbreeding depression. Deleterious alleles are lost in small populations due to selection and genetic drift (30, 31), but they are also more often expressed in homozygotes in smaller populations due to inbreeding. Selective *purging* of large effect deleterious alleles following inbreeding combined with genetic drift may therefore result in low *inbreeding load* and little inbreeding depression in the most highly inbred populations with the lowest π . However, the presence of purging does not imply that high fitness is maintained in small populations with low π .

101 Population genetics theory predicts that larger populations will have higher neutral (24) 102 and deleterious genetic variation (32, 33). This is illustrated in Fig. 1, where simulated large 103 populations have higher π (24) and higher inbreeding load (32-34) arising from segregating 104 partially recessive deleterious alleles. These simulations assume empirically supported models of 105 fitness and dominance (*h*) effects (*SI Appendix*). Smaller populations have lower π due to genetic drift, and fewer *lethal equivalents* due to genetic drift and purging. However, despite having fewer
lethal equivalents, chronically smaller populations have lower mean fitness due to partially
recessive deleterious alleles being expressed following inbreeding, and some reaching high

109 frequency or fixation (i.e., high *drift load*). Therefore, a negative relationship is expected between

- 110 π and drift load for populations at mutation-drift-selection equilibrium.
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113 Equilibrium levels of π and drift load are not expected in populations with fluctuating population size or immigration rate. A common scenario with high conservation relevance is 114 115 isolated populations that have experienced recent bottlenecks. The simulated data in Fig. 2 shows 116 that genome-wide π declines over time following a bottleneck, as expected from classical theory 117 (24) (Fig. 2A). This pattern is paralleled by lethal equivalents (Fig. 2B) owing to the loss of 118 deleterious alleles via genetic drift and purging of deleterious alleles expressed in homozygotes 119 due to inbreeding (30, 31). However, the deleterious alleles remaining after a bottleneck often go 120 to high frequency or fixation. This results in individuals being homozygous for increasingly more 121 deleterious alleles (higher drift load, Fig. 2C) as π declines inexorably during a sustained 122 bottleneck, the same pattern expected for small populations at equilibrium (Fig. 1). It is notable, 123 though, that π , inbreeding load, and drift load can change at substantially different rates following 124 a bottleneck. For example, drift load can become quite high before π declines substantially 125 following a bottleneck (Fig. 2A, 2C). However, small populations that already have low π are also 126 expected to have low mean fitness due to ever-increasing drift load, which demonstrates that π is a 127 good indicator of drift load and mean fitness. Occasional immigration can be sufficient to maintain 128 high π and low drift load in small populations (Fig. 2). This is one reason why maintaining 129 connectivity is a priority in conservation biology, and why genetic rescue is an effective tool for 130 managing small, isolated populations (30, 35, 36).

Empirical data show that purging does not eliminate the extinction threat posed by inbreeding. Pedigree-based studies have yielded mixed results with regard to purging, with typically only a small portion of inbreeding depression being removed after sustained inbreeding in small populations (37-39). Analyses of 60 genomes from seven ibex species found that species which went through the most severe bottlenecks had more deleterious alleles (40). Alpine ibex, which were once reduced to 100 individuals, had fewer highly deleterious alleles but more mildly 137 deleterious alleles compared to Iberian ibex (bottleneck size 1,000 individuals). Empirical genetic

- 138 data suggest small populations have higher drift load (40-42) which has resulted in lower
- 139 population growth in populations with lower genetic variation (2, 3). In agreement with

140 theoretical expectations outlined above, these data suggest that purging is insufficient to maintain

- 141 high fitness in the face of strong genetic drift and inbreeding. Thus, the presence of genomic
- 142 signatures of purging should not be taken as evidence for the absence of inbreeding depression, or
- 143 for demographic stability of small populations.

144 The relationship between π and fitness is obviously complicated, particularly immediately 145 after a bottleneck (Fig. 2). Populations with the lowest π and highest inbreeding will also have the 146 lowest inbreeding load on average due to reduced deleterious genetic variation via genetic drift 147 and purging. However, these same genetically depauperate populations will typically have lower 148 fitness than larger, genetically diverse populations on average due to ever-increasing drift load 149 (Fig. 1 & 2). The bottom line is that reduced fitness is generally expected in small, isolated, 150 genetically depauperate populations due to inbreeding depression and the accumulation of drift 151 load, and that maintaining genetic variation and population connectivity will increase long term 152 viability.

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154 2. Is genome-wide genetic variation predictive of adaptive potential?

155 The ability of populations to adapt to changing environmental conditions (*adaptive potential*) is 156 fundamental for persisting through environmental change (43, 44). A core insight from theoretical 157 genetics is that adaptation requires additive genetic variance (V_a) for the selected trait(s) (45). A lack of V_a can limit a population's response to selection and eventually lead to extinction (43, 44, 158 46). As with other types of genetic variation, $V_{\rm a}$ is affected by mutation at loci affecting the trait, 159 160 selection, migration, and genetic drift (47). We therefore expect from first principles that larger 161 populations will have higher π and higher V_a than small populations on average (Fig. 1), and thus that π should be correlated with V_a . Despite strong theoretical support, determining the strength 162 163 and importance of this relationship in real populations, especially those of conservation concern, 164 has generated longstanding controversy (48).

Basic population genetic theory shows that population size and connectivity play major roles in determining V_a , and thus adaptive potential. Isolated populations below a certain size should lose V_a due to genetic drift more rapidly than it is replenished via mutation (47). 168 Additionally, recently bottlenecked populations that have lost π will eventually also lose V_a and 169 evolutionary potential in the absence of immigration (Fig. 2). However, while the eventual 170 reduction in V_a in small populations is inevitable, the initial effects of a bottleneck on V_a can be complex. Recently bottlenecked populations may show decreases, stability, or even short-term 171 increases in $V_{\rm a}$ due to the conversion of dominant or epistatic variance into $V_{\rm a}$ as allele frequencies 172 173 change due to genetic drift (49-51). This potential conversion of nonadditive to additive variation 174 in bottlenecked populations is highly stochastic across traits and populations, and is one of the processes that can cloud the relationship between molecular and quantitative trait variation (52). 175 176 Nonetheless, the two important takeaways are: 1) although bottlenecks can complicate the 177 prediction of declining V_a for any given trait in small populations, V_a will be reduced on average, 178 especially for traits with primarily additive inheritance; and 2) eventually, the inexorable decline in 179 π in very small populations means that all small populations will eventually lose V_a and their 180 ability to adapt to environmental change. Adaptive potential in such populations will be severely 181 limited unless $V_{\rm a}$ is replenished by new mutations or migration from differentiated populations 182 (35) (Fig. 2).

The hypothesis that small populations harbor less V_a has been tested empirically in both laboratory and field settings. Most experimental studies show declines in V_a and weaker responses to selection in small populations or following bottlenecks (53-55). On the other hand, field studies often find a weak association between V_a and genome-wide genetic variation when comparing across populations (48, 56); this weak relationship is likely due to a combination of factors, none of which refute the two takeaways described above.

189 As discussed above, empirical results suggest that V_{a} may initially increase after a 190 bottleneck due to the conversion of epistatic and dominance variance to $V_{\rm a}$ (50, 57), and then decline after substantial inbreeding accumulates. Further, V_a is expected to vary among traits and 191 192 populations depending on genetic architecture, mutation rate, and the mode and history of 193 selection. In practice, most studies are unable to account for these factors and are generally only able to assess a few traits per species/population. Estimates of $V_{\rm a}$ for each trait are also typically 194 195 based on a modest number of families. Although the number of traits, populations, and species studied has increased, determining the total V_{a} for fitness in a given population of conservation 196 197 concern is not an attainable goal. Additionally, the vast majority of the best-characterized species 198 with respect to V_a in the wild (i.e., most of the species included in (48, 56) meta-analyses) are

199 common. The species and populations in which the relationship between V_a and genetic variation 200 is expected to be strongest, namely, declining species of conservation concern, tend to be most 201 difficult to characterize.

Arguably the most important point is that the loss of genetic variation in small and/or bottlenecked populations is inevitable and will eventually lead to reduced V_a and reduce adaptive potential, regardless of short-term and stochastic outcomes. Isolated populations that remain small are unlikely to recover substantial V_a due to the slow rate of mutation and the counteracting loss of variation to genetic drift, and the lack of adaptive potential is problematic for long term viability (43, 44, 47).

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209 **3.** What is the relationship between genome-wide genetic variation and population viability?

210 The central question regarding the role of genetic variation in conservation is whether populations 211 with lower π are less likely to persist. Genetic effects on the persistence of a particular population 212 are difficult to predict with certainty because there are many factors involved that are difficult to 213 evaluate, including mating system and demographic history (32, 33), current and future 214 environmental conditions (58), and the extent to which soft selection versus hard selection 215 predominate (59, 60). Additionally, the highly stochastic demography of small populations, which 216 is exacerbated by inbreeding depression (61), means that widely divergent outcomes can be 217 expected across populations with the same environmental, demographic, and genetic starting 218 conditions. However, theoretical empirical studies have yielded broadly applicable insights into 219 the effects of genetic variation and inbreeding on population viability.

220 Population genetics theory predicts that small, isolated populations with low genetic 221 variation are more likely to go extinct due to genetic effects than larger, more genetically diverse 222 populations under empirically supported mutational assumptions (19, 22, 23, 62). De novo 223 mutations following a bottleneck are expected to cause eventual extinction of very small, 224 genetically depauperate populations via *mutational meltdown* (SI Appendix Fig. S1) (19). The 225 average time to extinction is shorter under the more realistic scenario where bottlenecked 226 populations carry deleterious mutations at the outset (Fig. 3). However, the extinction rate depends 227 strongly on bottleneck duration, with longer restrictions conferring increased extinction due to 228 both demographic stochasticity and the constant increase in drift load. Short-lived bottlenecks are 229 one scenario where viability may sometimes be higher for historically smaller, less genetically 230 diverse populations that have fewer deleterious alleles at the outset of the bottleneck due to

historical genetic drift and purging (Fig. 1, 3A, 3B). However, this assumes inbreeding depression
is the only genetic challenge operating, and simultaneous selection caused by environmental
change may reverse this relationship. Longer bottlenecks in isolated populations are expected to
result in very high extinction rates due to mutational meltdown regardless of the abundance of
deleterious alleles at the outset (19) (Fig. 3C).

236 Empirical studies of population dynamics arguably provide the strongest evidence for the 237 broad benefits of increased genetic variation for population viability. Numerous studies have 238 almost universally found that populations with higher genetic variation have increased population 239 growth and viability (63). For example, lower genetic variation was associated with reduced 240 population growth in alpine ibex (3) and increased local extinction in Glanville fritillary butterflies 241 (2). Inbred laboratory lines of animals, which quickly lose genetic variation, often become extinct 242 substantially more rapidly than control lines (64, 65). Additionally, the infusion of genetic 243 variation via natural (66) and facilitated immigration ('genetic rescue') nearly always increases 244 population growth (35, 36, 67, 68) either by masking of deleterious recessive alleles, or by 245 infusing adaptive genetic variation.

246 The collapse of the Isle Royale wolf population after a mainland male immigrated to the 247 small population has been interpreted as a counter-example to the efficacy of genetic rescue (8). 248 However, detailed documentation indicates that results from this unusual system are unsuitable as 249 a general example of the likely demographic outcome of genetic rescue attempts (67, 69, 70). The 250 immigration of only a single male into Isle Royale makes is unusual in the context of managed 251 genetic rescue attempts which typically involve translocation of multiple individuals into a small 252 population, e.g., (71-73). The single migrant male wolf dominated and increased reproduction, 253 resulting in genetic rescue (an increase in population size following outbreeding). However, his 254 extremely high reproduction resulted in very high inbreeding within two generations and the 255 subsequent dramatic population decline (67, 69, 70). This male was likely just an opportunistic, 256 successful migrant from the nearest population. It is unclear whether he carried an exceptional 257 number of deleterious alleles that drove the subsequent decline, or if inbreeding following 258 exceptionally high reproduction of any individual would have led to a similar demographic 259 outcome.

Recovery of some populations from severe bottlenecks, and persistence of some populations despite small N_e and low genetic variation is often cited as a challenge to the idea that low genetic variation and inbreeding reduce population viability (6, 8, 9, 11, 74-77). Soulé (5) [p. 263 178] pointed out the fundamental flaw of this argument, which he referred to as the "fallacy of the 264 accident" nearly 35 years ago: the only observable populations that have experienced bottlenecks 265 are those that survived. The potentially numerous populations that went extinct under similar 266 conditions are unobservable. Counting extant, genetically depauperate populations is therefore an 267 unreliable metric of the extinction risk posed by lost genetic variation and inbreeding. Theoretical 268 population genetics and population ecology both predict that some populations will survive 269 bottlenecks, and some lucky ones will persist for long periods at small population size. However, 270 such cases are likely the rare exception, the lottery winners so-to-speak (5, 67).

271 The most immediate threats to small, genetically depauperate populations are demographic 272 stochasticity and inbreeding depression. However, long term population persistence will in most 273 cases require populations to adapt to environmental change (e.g., climate change, novel diseases, 274 invasive species, etc.) (44, 78). Rapid adaptation to new conditions is possible, but requires 275 sufficient genetic variation and relatively large population size (53, 79). All of the material above 276 highlights the fundamental importance of maintaining large, connected, genetically diverse 277 populations. Long term population viability requires having both manageable genetic load and 278 adaptive potential associated with genome-wide genetic variation.

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4. Simulation-based inferences of the effects of genetic variation and inbreeding on

281 population viability

Simulation-based studies showed long ago that inbreeding depression can substantially increase extinction risk (23, 80). However, our increasing understanding of deleterious mutation parameters (e.g., deleterious mutation rates, and the distribution of fitness effects [DFE]) combined with the availability of sophisticated, user-friendly simulation software (81) will likely advance our understanding of inbreeding depression and purging within the field of conservation.

287 While there is much to learn about deleterious mutation parameters, a lot is known about 288 the most important elements. First, deleterious mutations arise frequently (15, 16, 82-84), and 289 large effect deleterious alleles appear to be a major driver of inbreeding depression (14, 85-87). 290 For example, lethal alleles arose via mutation at a rate of $\sim 3\%$ per diploid genome in *Drosophila* 291 (14). Inbreeding depression appeared to be largely due to highly deleterious alleles originating in a 292 subset of pedigree founders in sheep and mice (86, 87). Lethal and other large effect deleterious 293 alleles are frequently observed in small natural populations, humans, and model organisms (14, 83, 294 85, 88-90). The majority of humans and *Drosophila* likely carry one or more recessive lethal

alleles (85, 89, 90). Deleterious mutations appeared at a rate of U=1.2 /diploid genome/generation in *Drosophila* (15) and U=1.6 in hominids (16). Mutation accumulation studies show that the DFE for deleterious mutations is strongly bimodal, with most mutations having small to moderate effects (e.g. |s|<0.25) and a minority being lethal or semi-lethal (82).

299 Second, the degree of dominance (h) is strongly related to mutation effect size. Direct 300 observation of dominance effects in yeast and Drosophila suggest that nearly neutral deleterious 301 mutations are slightly recessive on average (h slightly less than 0.5), and highly deleterious 302 mutations (e.g., |s| > 0.25) are nearly fully recessive (h very near zero), with h declining 303 exponentially as s increases in size (14, 91, 92). There is still much uncertainty regarding 304 deleterious mutation parameters (see discussion below). However, the best available information 305 suggests that reasonable values of U are >1, the DFE is strongly bimodal, and dominance declines 306 substantially with increasing size of s. These findings guide the simulations presented above 307 (details in SI Appendix).

308 Recently, results from genetically explicit simulations were used to argue that genome-309 wide genetic variation is of little importance to population viability, and that purging is likely to 310 prevent extinction (8, 11, 74). However, these studies excluded large effect deleterious mutations 311 (SI Appendix Fig. S2) and assumed values of U that were between 2.6 and 92.3 times lower than 312 the best estimate of U in Drosophila (Table 1). As a result, these models (8, 11, 74) produce 313 substantially weaker inbreeding depression (<0.05 to approximately 1 lethal equivalent) than 314 observed in real populations, where the median number of lethal equivalents for juvenile survival 315 in captive mammals was 3.1 (93), and 12 for total fitness in wild mammals (23) (SI Appendix Fig. 316 S3). There is substantial uncertainty in deleterious mutation rates, and the DFE, particularly for 317 non-model organisms. However, the discrepancy between the assumed mutation parameters and 318 the resulting inbreeding depression in the aforementioned studies (8, 11, 74) and the best available 319 empirical estimates (Table 1, SI Appendix Fig. S3), yield results that underestimate the importance 320 of genetic variation in conservation, and the efficacy of genetic rescue as a tool in conservation. 321

322 5. Is the relationship between genetic variation and conservation status informative of the323 importance of genetic variation to population viability?

324 It has been suggested that a weak relationship between genetic variation and conservation status

325 (e.g., IUCN Red List) means that genome-wide genetic variation is uninformative of extinction

risk (11). However, this relationship is not universally expected, even though extinction risk isstrongly affected by genome-wide genetic variation.

328 First, a lag is expected between reduced population size and the loss of genetic variation. Most threatened populations initially decline due to non-genetic factors (e.g., habitat loss, disease, 329 330 climate change). Thus, multiple generations are required for a substantial reduction in genetic 331 variation, even after severe bottlenecks (Fig. 2A). Threatened populations that became small due 332 to non-genetic factors may still have high genetic variation due to this lag. Second, failing to 333 control for other factors that influence genetic variation (e.g., Ne, dispersal, generation time, and 334 mutation rate (11)) can obscure the relationship between genetic variation and conservation status. 335 In contrast, a study controlling for phylogeny (a proxy for the aforementioned confounding 336 factors) showed a significant relationship between genetic variation and conservation status (94).

337 Differences among studies in the measures of genetic variation can further obscure true 338 relationships between genetic variation and conservation status. Estimates of genetic variation for 339 different species used in comparative studies vary widely in the number of sampled individuals 340 and populations, and in the regions of the genome analyzed. Some studies estimate species-wide 341 genetic diversity from a single individual (11, 95, 96) and compare different genetic data types 342 across species (6, 96). Using single genomes to estimate species-wide genetic diversity is 343 problematic because the individuals chosen may not be representative of the species as a whole 344 (e.g., captive individuals (95)). Rather, multiple individuals and populations are necessary to 345 accurately reflect a species' distribution of genetic variation (97, 98). Additionally, estimates of 346 genetic diversity are affected by reference genome quality (99), mapping bias (100, 101), the 347 methods used to measure genetic variation (e.g., whole genome sequencing, RNAseq, RADseq), and bioinformatics approaches (98, 99). Thus, sampling, genetic markers, and analyses should be 348 349 standardized when measuring the relationship between genetic variation and conservation status.

Lastly, IUCN Red List status is an imperfect index of extinction risk because it is a subjective measure of population viability. The IUCN Red List is important for monitoring biodiversity, but the guidelines used to categorize threat levels within the Red List are subject to user interpretation, which can lead to inconsistent assessments (102-106). The imperfect relationship between IUCN Red List status and extinction risk means that Red List status is an inappropriate surrogate for extinction risk in assessing the relationship between genome-wide diversity and extinction risk. Together these issues suggest that the weak relationship between

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genetic variation and conservation status has little bearing on the importance of genome-widegenetic variation for extinction risk.

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360 6. What is the role of functional genetic variation in conservation?

The widespread availability of genomic data for non-model organisms has rapidly advanced our understanding of the genetic basis and evolution of fitness-related traits in natural populations, e.g., (107-111). This revolution has raised the question of how to effectively integrate functional genetic information into conservation practice (112-115). It has repeatedly been suggested that genetic assessment and management of threatened populations should be focused on variation at particular loci that affect particular fitness traits (11, 116-118). However, such gene-targeted conservation approaches are always difficult, and prone to failure for several reasons.

368 First, understanding the genetic basis of fitness remains extremely complicated and 369 challenging (112, 114). While some important traits in natural populations are affected by loci 370 with very large effects, most traits are determined by many small-effect loci (119-121). A 371 comprehensive understanding of the genetic basis of such traits is out of reach for non-model 372 organisms (122). To accurately understand the locus-specific effects on a trait and fitness requires 373 information on dominance and pleiotropy, epistasis, genotype-by-environment interactions, and 374 the amount of linkage disequilibrium with other loci influencing the trait or other fitness 375 components (112). These factors are expected to vary among traits and to differ for the same trait 376 among species and potentially among populations within a species, e.g., (107). Therefore, 377 substantial effort is necessary to understand the conservation relevance of a particular genetic 378 variant and predict whether the benefits of gene-targeted conservation actions outweigh potential 379 detrimental effects (112, 114).

380 A classic example of the potential for undesirable outcomes of gene-targeted conservation 381 management is the suggestion that genetic management of captive and wild populations should be 382 designed around maintaining genetic variation at the major histocompatibility complex (MHC) 383 (11, 116, 117, 123). The MHC has been studied in great detail in humans because of its 384 importance in immunity, organ transplantation, and autoimmune disease, but its organization is 385 poorly understood in most other vertebrates. Although there is strong evidence for its adaptive 386 importance, some variants have detrimental effects, and the adaptive effects of other variants 387 appear to be environmentally dependent (124). Detailed examination of the fitness effects of MHC alleles and haplotypes is necessary to determine how much maintaining MHC variation enhancesfitness.

390 Additionally, as highlighted multiple times over the last 35 years (112, 125-129), basing 391 conservation management on a small subset of loci risks increasing the loss of genetic variation 392 elsewhere in the genome. Such efforts would be counterproductive unless the gain in mean fitness 393 associated with gene-targeted management is greater than the loss in fitness associated with lost 394 genome-wide genetic variation (112). This highlights the challenges and pitfalls of gene-targeted 395 conservation. When recommendations for maintaining genome-wide genetic variation versus 396 particular adaptive variants are in conflict, a cost-benefit analysis of the two approaches should be 397 performed and a composite solution identified (112). Recent cases where genomic analyses have 398 revealed that large effect loci play a key role in traits of conservation importance, e.g., (107, 108, 399 110, 130) will be the first to empirically test the efficacy of gene-targeted conservation 400 approaches.

401

402 **Discussion**

403 Genomic data should be used to challenge findings from population genetics theory and previous 404 empirical data that form the basis for genetic management of small populations. Recent genomic 405 studies provide useful fodder to determine how to effectively use genomic data to improve 406 conservation in ways that were previously impossible. Examples are emerging of how 407 understanding functional genetic variation could improve recommendations to conserve imperiled 408 populations (107, 108, 110, 130), making genomic data more useful for conservation than ever 409 before. However, genomic data have not discredited the decades worth of evidence that inbreeding 410 depression, mutational meltdown, and loss of adaptive potential are major threats to conservation.

411 Identifying genetic variants that affect fitness traits undoubtedly advances understanding of 412 the genetic basis of adaptation, and that is important in itself (131). However, placing conservation 413 priority on a small, apparently adaptive portion of the genome ignores what may be the vast 414 majority of variation elsewhere in the genome that will fuel adaptation to unpredictable future 415 conditions (112, 114, 125, 126). This approach is reminiscent of the "adaptationist programme" 416 that Gould & Lewontin (132) criticized >40 years ago for being overly enamored with adaptive 417 explanations for interesting traits ('spandrels') without considering that they might have arisen by 418 accident, and that they are but one part of the whole, complex organism (114). Now, as then, we 419 should avoid the temptation to place undue priority on putatively adaptive loci ('molecular

420 spandrels' (133)) without first considering the rest of the genome. Our inability to predict future 421 changes in genotype-by-environment interactions should lead us to recognize the importance of 422 genome-wide genetic variation (including presently neutral variation), and more importantly, the 423 factors that make it possible – large livable habitats and natural patterns of connectivity among 424 them. Conserving genetic variation across the whole genome is almost certainly the most reliable 425 approach to conserve the genetic variation that matters.

426 We know of no convincing evidence that supports abandoning the focus on genome-wide 427 genetic variation in exchange for a focus on functional variation. The recent simulation studies that 428 have been used to discount the importance of genome-wide genetic variation in conservation (8, 429 11, 74) are based on assumptions that are inconsistent with the preponderance of empirical data on 430 the genetics of inbreeding depression and its effect on population viability (see above). Some 431 small populations may not suffer strong inbreeding depression, and some may not rebound 432 following the introduction of genetic variation. However, as pointed out in the formative years of 433 conservation biology, we must resist the temptation to dismiss the extinction risks associated with 434 lost genetic variation in small populations (5).

435 Although population genetics theory has done a remarkably good job of predicting patterns 436 now observable in genomic data, many questions remain unanswered that will improve the utility 437 of genomic data in conservation. For example, how prevalent is soft selection? The presence of 438 soft selection could help explain some of the instances where populations persist for long periods 439 despite inbreeding (59, 60). How much do U and the distribution of fitness effects for deleterious 440 mutations vary among taxa? U may be rather consistent within some taxonomic groups (e.g., 441 mammals) where the number of genes is strongly conserved (134). Nevertheless, variation among 442 taxa in gene number, mutation rate, and the amount of intergenic DNA that is subject to 443 deleterious mutation is an important consideration for assessing the fitness effects of inbreeding. 444 Lastly, while it is clear that the distribution of mutation fitness effects is bimodal (82), 445 understanding the specific shape of this distribution, and how much this varies among taxa, is 446 important for our understanding of the extinction risks associated with small population size and 447 inbreeding.

Genomic data will undoubtedly continue to be used to revisit and refine insights gained
since genetics was first applied to conservation and to understand the extinction process (4, 5, 46,
135). So far, genomic data have reinforced earlier findings showing that genome-wide genetic
variation is key to population viability. Given the increasing rate of habitat loss and fragmentation,

452 failing to recognize and mitigate the effects of lost genome-wide genetic variation would only

- 453 exacerbate the biodiversity crisis.
- 454

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463 **Data availability**

- 464 Materials to replicate the simulations are available at https://doi.org/10.5281/zenodo.5513957.
- 465

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768 <u>Glossary:</u>

- 769 *Adaptive potential*: The ability of a population to evolve adaptively in response to selection.
- Usually measured as narrow sense heritability (the proportion of phenotypic variance attributed toadditive genetic effects).
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Drift load: The reduction in mean fitness of a population due to homozygosity for deleterious alleles. F: The individual inbreeding coefficient: the identical-by-descent fraction of an individual's genome. Genetic load: The reduction in fitness due to all genetic effects arising from both segregating and fixed deleterious alleles. Genetic rescue: Increase in population growth or reduction in genetic load arising from the immigration of individuals with new alleles. *h*: The dominance coefficient. A derived allele is recessive when h=0 (heterozygous genotypes have the same mean fitness as homozygous wildtypes), and dominant when h=1 (heterozygous genotypes have the same mean fitness as homozygous derived allele genotype), and additive when h=0.5 (heterozygous genotypes have fitness midway between the alternative homozygous genotypes). *H*: Heterozygous fraction of an individual's genome. Hard selection: Where an individual's absolute fitness depends only on its phenotype or genotype and is independent of the phenotypes or genotypes of other individuals in the population. Identical-by-descent: Two segments of DNA are identical-by-descent when they both descend from a single haploid genome in a recent ancestor. Inbreeding: Mating between relatives. *Inbreeding depression*: Reduced fitness of individuals whose parents are related. *Inbreeding load*: A measure of the potential for inbreeding to reduce fitness, measured by the number of *Lethal equivalents*, which is a set of alleles that would on average cause death when homozygous. Mutational meltdown: Extinction of a population due to the synergistic interactions of population decline, genetic drift, and the accumulation of deleterious alleles. π : Nucleotide diversity: expected proportion of nucleotide differences between randomly chosen pairs of haploid genomes in a population. Purging: Increased selective elimination of deleterious, partially recessive alleles that are exposed to purifying selection via inbreeding. *Soft selection:* Selection where an individual's fitness depends on its phenotype or genotype relative to others in the same population.

- 819 Figure Legends
- 820

Figure 1. Relationship of nucleotide diversity (π) with the inbreeding load (lethal equivalents)

822 (A), drift load (B), and additive genetic variance in a quantitative trait (V_a) (C). The data are from 823 the 1,000th generation of 10 simulated populations with 9 different constant effective population 824 sizes (N_e).

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Figure 2. Genetic effects of bottlenecks with and without immigration. Nucleotide diversity (π)

828 (A), number of lethal equivalents (B), drift load (C), and the additive genetic variance in a

quantitative trait (V_a) (**D**) are shown for 100 generations after a simulated bottleneck in isolated

populations (orange) and with 5 immigrants every 2 generations up to generation 50 (blue).

831 Population size was held constant at N_e =1,000 for 1,000 generations before the bottleneck and then

832 at $N_e=25$ starting at generation 0. The thin lines show the results from 25 replicates. The thick lines

833 represent the mean across 25 replicates. Immigrants during the first 50 generations are from a

834 population with N_e =500 that split from the receiving population the generation of the bottleneck.

835 Details of the simulation model and parameters are provided in the *SI Appendix*.

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Figure 3. Population viability during bottlenecks from carrying capacity K=1,000 (left column) and K=500 (right column) to K=100. The bottlenecks were 2 (A), 10 (B), and 50 (C) generations in length. The black line shows the proportion of extant populations. Gray lines show population size for each of 50 replicate simulations in each scenario.

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852 <u>Table legends</u>

- **Table 1.** Deleterious mutation rates used in previous simulation-based analyses of inbreeding
- 855 depression and genetic rescue.