1	Differences in regional oceanography and prey biomass influence the					
2	presence of foraging odontocetes at two Atlantic seamounts					
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25 Abstract

The importance of seamounts as foraging hotspots for cetaceans depend on interactions 26 between ocean flow and topographical features that concentrate prey. However, the 27 oceanographic processes driving these aggregations are still unclear. Here, we analyzed 28 two months of passive acoustic recordings from two remote seamounts in the Northeast 29 Atlantic, Atlantis and Irving, in relation to regional oceanography and estimates of prey 30 biomass. Delphinids and sperm whales were detected in both seamounts with higher 31 foraging activity at night, indicating feeding on diel migrating prey. There were more 32 detections of delphinids and sperm whales at Atlantis than at Irving. These two 33 seamounts lie in different oceanographic settings created by the Azores Current that 34 35 separates colder and less saline water masses in the north (Atlantis seamount) from warmer and more saline waters in the south (Irving seamount). Irving seamount is only 36 affected by transient features like eddies that enhance productivity for short time 37 periods. These conditions translate into more productive waters at Atlantis seamount 38 than at Irving, as shown by predicted prey biomasses that ultimately attract top 39 predators. Comparative studies such as this one can help to explain the main drivers of 40 presence of top predators at seamounts. 41

42

43 **KEYWORDS**:

44 Azores Current, delphinids, foraging ecology, oceanography, odontocetes, passive
45 acoustics, prey, seamount, sperm whale

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48 1. INTRODUCTION

Cetaceans actively seek and associate with areas of high biological productivity 49 (Barlow, Kahru & Mitchell, 2008; Mannocci et al., 2014). In the open-ocean, where 50 biophysical processes driving biological productivity are highly dynamic, seamounts 51 may provide spatially and temporally persistent prey aggregations and create predictable 52 foraging opportunities for cetaceans (Baumann-Pickering, Trickey, Wiggins and 53 Oleson, 2016; Morato et al., 2008,). Seamount density was found to be a predictor of 54 55 habitat suitability of short-beaked common (Delphinus delphis) and spotted (Stenella frontalis) dolphins in the Azores, but not of bottlenose (Tursiops truncatus) and striped 56 (S. coeruleoalba) dolphins (Tobeña, Prieto, Machete & Silva, 2016). Several studies 57 reported significant associations of sperm whales (Physeter macrocephalus) to 58 seamounts (Hann, Smith & Torres, 2016; Skov et al., 2008; Tobeña et al., 2016; 59 Waring, Hamazaki, Sheehan, Wood & Baker, 2001; Wong & Whitehead, 2014) while 60 61 others have not found any significant relationship (Morato et al., 2008; Torres, Smith, Sutton, MacDiarmid & Bannister, 2011). Hann et al. (2016) attributes this discrepancy 62 to differences in the size of the study area, scale, sample size, seamount classification, 63 64 and data resolution.

The importance of seamounts for cetaceans likely depends on local and regional 65 biophysical coupling. The interaction of the abrupt topography with the background 66 67 ocean circulation may generate a range of physical forcing mechanisms (e.g., currents, 68 internal waves, eddies and fronts) that enhance the horizontal flux and aggregation of food particles and plankton over seamounts (Clark et al., 2010; Genin & Dower, 2007). 69 70 Oceanographic processes, such as the formation of eddies, vortices, and Taylor caps around seamounts, may also advect and entrain allochthonous plankton and 71 micronekton feeding in surface waters at night. These organisms may become trapped 72

over shallow seamount summits when trying to descend the next dawn ('topographic 73 74 blockage') (Genin & Dower, 2007; Pusch, Beckmann, Porteiro & Westernhagen, 2004). In addition, seamounts may also provide habitat and refuge for benthic and micronekton 75 76 fish (Genin, 2004). How local and regional oceanographic conditions influence the seamount effect at aggregating prey and attracting predators, including cetaceans, is 77 78 unclear (McFarland & Levin, 2002; Morato, Hoyle, Allain, & Nicol, 2010). Studies on 79 predator distribution and behavior at seamounts subject to different oceanographic conditions are needed to understand the drivers promoting and sustaining predator 80 aggregations (Kvile, Taranto, Pitcher & Morato, 2014). The main reason behind the 81 82 lack of these studies is that seamounts are often in remote locations, which limit the duration of cetacean and oceanographic surveys. One way of overcoming the lack of 83 pelagic cetacean surveys is the use of passive acoustic techniques, which allow the long-84 85 term monitoring of vocalizing animals, independently of weather conditions or remoteness (Baumann-Pickering et al., 2016; Giorli et al., 2015; Hastie, Swift, Slesser, 86 87 Thompson &. Turrell, 2005; Soldevilla, Wiggins, Hildebrand, Oleson, & Ferguson, 2011, Wong & Whitehead, 2014). 88

89 Another important limitation is that data on prey distribution are usually not available at desired spatiotemporal scales. Regular transects with active acoustic sounders can offer 90 a series of abundance indices of prey organisms, especially on the vertical migration of 91 the deep scattering layer. However, there is still key issues in the interpretation of such 92 data. Without sampling of organisms inhabiting the water column, the result may be 93 94 misleading due to differences in target strength of organisms. For instance, gas-bearing siphonophores can produce huge acoustic backscatter that is not representative of the 95 true prey biomass (e.g., Davison, Koslow & Kloser, 2015; Proud, Handegard, Kloser, 96 97 Cox & Brierley, 2018).

A potential useful alternative is emerging with the rapid progress achieved in 98 99 operational oceanography, combining satellite observations and hundreds of thousands in situ data assimilated in numerical models to produce ocean reanalyses (Le Traon et 100 101 al., 2015). Thanks to data assimilation, predicted temperature and currents are now sufficiently accurate to analyze fine scale movements of remote tracked animals (e.g., 102 Abecassis et al., 2013; Gaspar et al., 2006). Relying on these realistic ocean reanalyses 103 and satellite ocean color data for primary production, the Lower and Mid Trophic Level 104 105 (LMTL) component of the model SEAPODYM (Spatial Ecosystem and Population Dynamics Model) offers potential additional explanatory variables (micronekton) to 106 107 study large ocean predator ecology. SEAPODYM-LMTL simulates the biomass of six different functional groups of micronekton in the epipelagic and mesopelagic layers 108 (Lehodey, Murtugudde & Senina, 2010; Lehodey et al., 2015). It has been successfully 109 110 used to predict turtle habitat and movements (Abecassis et al., 2013), population dynamics of different tuna species (Dragon, Senina, Hintzen & Lehodey, 2017; 111 112 Lehodey, Senina & Murtugudde, 2008, Senina, Sibert & Lehodey, 2008; Sibert, Senina, 113 Lehodey, & Hampton, 2012) and cetacean habitats (Lambert, Mannocci, Lehodey, & Ridoux, 2014; Roberts et al., 2016) at large regional and basin scales. 114

In this study, we use passive acoustic records collected at two seamounts in the North Atlantic to investigate how local and regional oceanography influence the distribution and the usage of these seamounts by dolphins and sperm whales. In addition to physical oceanographic variables, we use a high-resolution simulation at 0.08° produced with SEAPODYM-LMTL to explore possible explanations of the variability observed based on foraging behavior.

- 121 **2. METHODS**
- 122 *2.1 <u>Study area</u>*

The Meteor seamount complex is located in the Northeast Atlantic Ocean, ~600 km 123 south of the Azores archipelago and 1,500 km off Northwest Africa. It is a group of 124 seven large banks and a few seamounts extending 550 km from the northernmost to the 125 126 southernmost seamount. The complex lies between two surface currents: the southern branch of the Azores Current that flows southeastwards and the cold Canary Current 127 flowing offshore from the African coast. Exact directions and intensities of these 128 currents vary seasonally (Johnson & Stevens, 2000; Klein & Siedler, 1989; Kraus, 129 130 Kaese, & Hinrichsen, 1990). The Azores Current Front System also has deep-sea currents moving opposite to the surface currents with a complex and dynamic 131 mesoscale circulation *i.e.*, meddies (Alves & de Verdière, 1999; Richardson, 1996). In 132 addition, the Meteor complex is also affected by westward propagating surface 133 intensified eddies (Barbosa-Aguiar, Peliz, Cordeiro Pires, & Le Cann, 2011). 134

In this study, two seamounts from the Meteor complex were investigated: Atlantis, which is the northernmost seamount, and Irving located 316 km southeast of Atlantis (Fig. 1). The Atlantis seamount is approximately 410 km², with summit depths ranging from 250 to 400 m (Bednarz, 1991). Its topography is complex with numerous underwater plateaus and pinnacles (Pusch et al., 2004). Irving seamount rises from a broad plateau and has a flat summit of ~8 km radius at 270-290 m depth (Smith & Sandwell, 1997).

142 2.2 Acoustic recordings and analysis

Two broad-band static hydrophones, specifically Ecological Acoustic Recorders (EAR) (Lammers, Brainard, Au, Mooney & Wong, 2008), were moored at the Atlantis and Irving seamounts for approximately 2 mo between July and September 2015 at 294 m and 264 m depth, respectively (Table 1). The EARs consist of a sensor Technology

SQ26-01 hydrophone with a response sensitivity of -194.17 dB re $1V/\mu$ Pa for Atlantis and -193.14 dB re $1V/\mu$ Pa for Irving and a flat frequency response (±1.5 dB) from 18 Hz to 28 kHz. A Burr-Brown ADS8344 A/D converter was used with a zero-to-peak voltage of 1.25 V. EARs were set up at a sampling rate of 50 kHz in order to reach the maximum frequency band capacity of the system (1-25 kHz) and a duty cycle of 20% (3 min on, 15 min off) so batteries could last for the whole deployment period (Table 1).

Files generated by the EARs were analyzed by manually scanning spectrograms using 153 Adobe Audition 3.0 (Adobe Systems Incorporated, CA, USA). For every 3 min file, 154 detections were entered in a database by logging the start and end time of each one and 155 the type of sound. Although beaked whales were sighted over these seamounts during a 156 157 visual survey in September of 2015 and have been detected in other seamounts further 158 north (Giorli et al., 2015), the sample rate used in this study (50 kHz) with maximum frequencies of 25 kHz, did not allow us to detect either Cuvier's beaked whales (Ziphius 159 160 cavirostris), with clicks centred at 42 kHz (Zimmer, Johnson, Madsen, & Tyack, 161 2005), or Blainville's beaked whales (*Mesoplodon densirostris*), with clicks ranging from 26 to 51 kHz (Johnson, Madsen, Zimmer, Soto, & Tyack, 2006). Sperm whale 162 clicks and delphinid sounds could be successfully detected, and were classified based on 163 descriptions from the literature. Sperm whales produce regularly spaced echolocation 164 clicks below 20 kHz with an interclick interval varying from 0.5 to 1 s, as well as creaks 165 and codas (Goold & Jones, 1995). With the exception of the very distinctive 166 vocalizations produced by Globicephala spp., Orcinus orca or Pseudorca crassidens, 167 168 that were not found in our recordings, sounds produced by most delphinids overlap in frequency characteristics, remaining challenging to identify to the level of species. 169 170 Thus, delphinid vocalizations were classified at family level (excluding the former three 171 genera). Only those delphinid vocalizations attributed to foraging activities, such as

echolocation clicks, burst-pulses, buzzes and bray calls (*e.g.*, Au, 1993; Herzing, 2000;
Janik, 2000; Santos, Ferreira, & Harzen, 1995), were included in the analysis to
investigate usage of these seamounts by foraging dolphins. These foraging vocalizations
represented 87.2% of total vocalizations in Atlantis and 91.2% in Irving.

The expected detection range of the EARs of delphinid foraging sounds is <4 km (Marc O. Lammers, personal communication¹). Detection range of sperm whale echolocation clicks range from 10 km (Watkins, 1980) up to 16 km (Madsen, Wahlberg & Møhl, 2002). Given that the radius of Atlantis and of Irving plateaus is >8 km, we assume that delphinid detections were from animals present over plateau areas, while sperm whale detections could be from whales present above plateaus, slopes of seamounts, or open water.

From the database of logged sounds, the following parameters were calculated for each seamount: the percentage of days with detections, the number of consecutive hours with detections, and percentage of time with detections per hour and day.

186 *2.3 <u>Oceanographic analysis</u>*

187 2.3.1 <u>Regional data</u>

The climatological World Ocean Atlas 2013 188 (WOA13, https://www.nodc.noaa.gov/OC5/WOD13/) (Locarnini et al., 2013, Zweng et al., 2013) 189 was used to investigate if differences in oceanographic settings between Atlantis and 190 Irving were representative of long-term patterns. WOA13 is a compound of historical in 191 situ measures, which are interpolated to produce a high-resolution regional climatology. 192 193 It consists of a long-term set of climatologies (at annual, seasonal, and monthly periods)

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194 for temperature, salinity, oxygen, phosphate, silicate, and nitrate. In this study, we used 195 climatologies built using 102 levels from the surface to 5,500 m depth on a 0.25° 196 latitude/longitude grid (27.7 km x 21.3 km). We only used measurements from August 197 and September, corresponding to the study period, from 1955-2012.

198 Based on the WOA13 climatological data, we calculated the geostrophic currents using 199 profiles of density, which is a function of temperature and salinity. The geostrophic current is an oceanic flow in which the pressure gradient force is balanced by the 200 Coriolis effect. The direction of geostrophic flow is parallel to the isobars, with the high 201 202 pressure to the right of the flow in the Northern Hemisphere. The geostrophic equations 203 are a simplified form of the Navier-Stokes equations in a rotating reference frame. It is 204 assumed that there is no acceleration (steady-state) or viscosity, and that the pressure is 205 hydrostatic.

To investigate if climatological data compared well with data from the study period 206 (August and September 2015), regional maps were produced using Absolute Dynamic 207 208 Topography (ADT) and speed and flow vectors from the MERCATOR-OCEAN operational ocean circulation model PSY2V4R4 (http://marine.copernicus.eu/services-209 210 portfolio/access-to-products/). This physical configuration is based on the tripolar ORCA grid type (Madec & Imbard, 1996) with a horizontal resolution of 9 km at the 211 212 equator. The 50-level vertical discretization retained for the system had 1 m resolution at the surface decreasing to 450 m at the bottom, with 22 levels within the upper 100 m. 213 214 The atmospheric fields forcing NEMO were taken from the ECMWF (European Centre for Medium-Range Weather Forecasts) Integrated Forecast System. A 3 h sampling was 215 216 used to reproduce the diurnal cycle, in order to force the upper layers of the ocean model, with a thickness of 1 m for the uppermost level. MERCATOR forecasting 217 system did not include tides (see Caldeira et al., 2016 for model details and validation). 218

220 Daily numerical data of Sea Surface Temperature (SST), salinity, current speed and 221 Mixed Layer Depth (MLD) used for the statistical analysis and boxplots were extracted from MERCATOR - Ocean GLORYS-12v1 (Global Ocean ReanalYsis and 222 Simulations product) model, centred at the position of the EARs with a grid size of 223 224 0.08° latitude/longitude grid (8.8 km x 6.8 km). MERCATOR – Ocean GLORYS-12v1 model is a reanalysis, thus it assimilates most of the oceanographic data available for 225 226 each day. Observations are assimilated by means of a reduced-order Kalman filter, which estimates a joint probability distribution over the variables for each timeframe 227 resulting in a more accurate algorithm than those based on single measurements alone 228 229 (Kalman, 1960). Track altimeter data (Sea Level Anomaly), satellite SST and Sea Ice Concentration are jointly assimilated with in situ temperature and salinity vertical 230 profiles. Moreover, a three-dimensional variational (3D-VAR) assimilation scheme 231 232 provides a correction for the slowly-evolving large-scale biases in temperature and salinity. This model captures climate signals and trends well and describes mesoscale 233 variability in a realistic manner. A more recent data-model comparison, using a similar 234 approach was partially validated by Drévillon et al. (2008) and evaluated by Caldeira et 235 al. (2016) in the Northeast Atlantic. Weekly potential temperature data were also 236 extracted in order to compare seamounts environment. Potential temperature is the 237 238 temperature a parcel of water would have if it were moved adiabatically (*i.e.*, without loss of heat) to a reference pressure which allows for comparison between waters of 239 240 different depths.

Chlorophyll-A (CHLA) data were extracted from an agglomeration of optical images
collected by different satellites (MODIS and VIIRS). CHLA data were preprocessed by
the Plymouth Marine Laboratory Remote Sensing Group and has a horizontal resolution

of 1 km. Although there is a daily product, the delayed time data used in this study offers better quality because it uses hindcast data for processing. The algorithms used are presented in McClain et al. (1995) and Smyth, Moore, Hirata & Aiken (2006).

The Eddy Kinetic Energy (EKE) was derived using the velocity components of thesurface currents obtained by satellite altimetry and calculated as follows:

$$EKE = \frac{(u^2 + v^2)}{2}$$

These products were processed by SSALTO/DUACS and distributed by AVISO+ (<u>https://www.aviso.altimetry.fr</u>) with support from CNES (Centre National d'Études Spatiales) with a 0.25° spatial resolution and a daily periodicity.

253 *2.4 <u>Prey data</u>*

Prey data were obtained from the SEAPODYM-LMTL model. This model represents 254 six functional groups of migrant and nonmigrant micronekton in three vertical layers -255 epipelagic, upper mesopelagic and lower mesopelagic - defined in relation to the 256 257 euphotic depth (Lehodey et al., 2010, 2015). The boundary between epipelagic and 258 upper mesopelagic layers is defined as 1.5 euphotic depths, while the limit between upper mesopelagic and lower mesopelagic layers is 4.5 euphotic depths, with estimates 259 to a maximum depth of 1,000 m. The model simulates spatial and temporal biomass 260 dynamics of micronekton functional groups based on their diel vertical migration 261 patterns: epipelagic, upper mesopelagic, migrant upper mesopelagic, lower 262 mesopelagic, migrant lower mesopelagic and highly migrant lower mesopelagic. Day 263 264 and night biomass for each layer were calculated by adding the different micronekton functional groups accordingly (Table 2). 265

Micronekton is comprised of myriads of cephalopods, crustaceans, fishes and jellyfish 266 267 species with body sizes ranging from 1 to 20 cm in length (Brodeur, Seki, Pakhomov & Suntsov, 2005). Each functional group is modelled as a single multi-species population, 268 269 with continuous mortality and recruitment controlled by an allometric relationship to water temperature. Organisms are transported by currents according to the time spent in 270 each layer. The model simulation uses 3D temperature and currents provided by the 271 physical operational Mercator model PSY4 (interpolated on a regular grid of 0.08° as 272 273 for the GLORYS12v1 reanalysis). The primary production and euphotic depth computed from ocean color satellite data was processed in CLS (Collecte Localisation 274 275 Satellites) using the Vertically Generalised Production Model (VGPM) (Behrenfeld & 276 Falkowski, 1997). The micronekton model outputs have the same horizontal grid and resolution as the physical model $(0.08^{\circ} \text{ x day})$. The predicted total abundance is linked 277 278 to the energy transfer efficiency coefficient from primary production to the functional 279 groups. While there is a considerable uncertainty on this coefficient and thus the 280 biomass of mesopelagic micronekton (e.g., Proud et al., 2018), the approach used in this 281 study considers only the relative spatial and temporal variability of these biomass distributions. 282

Prey abundances were extracted from the model grid cells surrounding the hydrophone
position according to the presumed detection range of each cetacean group: 4 km for
delphinids and 10 km for sperm whales.

Small oceanic dolphins feed primarily in the first 200 m of the water column with occasional dives to 400 – 500 m (Klatsky, Randall, & Sweeney, 2007). Thus, we only considered the first two vertical layers with three associated functional groups (epipelagic, upper mesopelagic and migrant upper mesopelagic) for delphinids. Sperm whales are known to forage mostly on cephalopods found in water depths ranging from 400 to 1,200 m (Watwood, Miller, Johnson, Madsen & Tyack, 2006). Above seamounts, where depths are below 400 m, only upper mesopelagic groups could be included. Grids surrounding the hydrophone within the detection range of sperm whales were included for the lower mesopelagic micronekton group.

295 *2.5 <u>Statistical analysis</u>*

We used Kruskal–Wallis ANOVA to: 1) compare the consecutive number of hours delphinids and sperm whales were detected at each seamount, 2) test for differences in salinity, SST, current speed, MLD, EKE and CHLA between the two seamounts and 3) test for diel differences in micronekton biomass between the two seamounts.

A Generalized Additive Mixed Model (GAMM) with a binomial distribution and a logit link function was used to investigate diel variations in delphinid and sperm whale detections. As the diel pattern was similar between seamounts, data from the two seamounts were pooled into a single model. Hour of the day was the predictor variable while presence of detections per hour was the response variable. A temporal autocorrelation structure corAR(1) from package "nmle" was also included in the model.

To investigate which oceanographic and prey variables influenced the presence of 307 foraging delphinids and sperm whales, minutes with detections of each cetacean group 308 309 were related with biomass of micronekton functional groups and local oceanographic data. Daily biomass of micronekton functional groups as well as delphinid and sperm 310 whale minutes with detections were calculated for day and night periods (based on 311 312 sunrise and sunset times extracted from the U.S. Naval Observatory Astronomical Applications Department database) to explore how variations of the daily pattern in prey 313 affect predators presence. In the models, period (day and night) was added as an 314

interaction term to allow dissimilar relationships in the two periods (Zuur, 2012). Local 315 316 oceanographic variables were included in the models at a daily scale, the only available resolution. Prior to running the models, we investigated the collinearity between pairs of 317 318 covariates using multi-panel scatterplots (Zuur, 2012) (Supl. Material: Fig. 1, 2, and 3) and selected those with a Pearson's correlation coefficient < 0.7 (Dormann et al., 2013; 319 Sutton, Jenner, & Jenner, 2018; Zuur, 2012). As a first step, a Generalized Additive 320 321 Model (GAM) was built to investigate if explanatory variables had similar effects on the detections at the two seamounts. Results showed considerable differences in several 322 323 variables so separate models were developed for each seamount. Then a model was run 324 using one predictor at a time to check which of the correlated variables explained best 325 the observed response variable by selecting the one with lowest Akaike Information Criteria (AIC) value. In addition, we checked that the covariates resulting from this best 326 327 model had low levels of concurvity, which represent nonlinear dependencies among predictor variables, and could lead towards unstable or imprecise estimates of the 328 covariates' functional effects. We also checked if temporal autocorrelation still existed 329 after running the models by looking at the autocorrelation function of residuals and 330 331 choosing the best model accordingly. Inspection of the data indicated a possible lag 332 between Eddy Kinetic Energy (EKE) and presence of delphinids and sperm whales in Atlantis. To select which temporal lag to use in the final model, we ran models using 333 one lag at a time (0, 7, 14, 21, 28, and 35 d) and selected the one with the lowest AIC 334 335 (Burnham & Anderson, 2002).

GAMs were fit with a Gaussian distribution and an identity link function using restricted maximum likelihood (REML) (Wood, 2011). Predictors with *P*-values >0.05 and estimated degrees of freedom <0.85 were removed (Roberts et al., 2016). Models were checked by looking at Q-Q plots of deviance residuals, histogram of residuals, response *vs.* fitted values and plots of random quantile residuals versus linear predictor.
Sperm whale detections in Irving were not included in the statistical analyses because of
small sample size (detections in only 3.8% of days) and the high number of zeros in the
response resulted in models with poor fit to the data. All statistical analysis were
performed using R software (Rstudio team, 2016. Version 1.0.153).

345 3. RESULTS

346 *3.1 <u>Acoustic detections</u>*

A total of 277.5 h were recorded in Atlantis seamount and 274.3 h in Irving seamount during 58 and 59 d, respectively. Only days with simultaneous recordings in both seamounts were used (244.8 h recorded during 51 d). Two types of natural sounds were identified; dolphin vocalizations (Fam. Delphinidae) that included high, mid-, and low frequency clicks, click trains, buzzes, bray calls (Fig. 2A), and whistles (Fig. 2B) and sperm whale clicks and creaks (Fig. 2C).

Delphinids were detected every day in 14% of the total recording time in Atlantis, and in 73% of days and 6.2% of the recording time in Irving (Fig. 3). Sperm whale clicks were present in 42.3% of the recording days (6% of the total recording time) in Atlantis and in 3.8% of days (0.3% of the total recording time) in Irving (Fig. 4).

357 Delphinids and sperm whales spent more consecutive hours in Atlantis than in Irving 358 (delphinids: KW: 8.715, P=0.003; sperm whales: KW: 11.72, P<0.001) with a mean of 359 7.4 h for delphinids and 5.2 h for sperm whales in Atlantis and 4.3 h for delphinids and 360 3.5 h for sperm whales in Irving.

Hour of the day had a significant effect on delphinid foraging vocalizations (GAMM smoother for hour: edf=2.88, F=25.11, P<0.001) and sperm whale clicks (GAMM smoother for hour: edf=2.24, F=3.88, P<0.05) with more detections at night (~2000h –

364 0800h) than during the day (~0800h - 2000h) (Figs. 3 and 4; Supl. Material: Fig. 4A
365 and B).

366 *3.2 <u>Regional and local oceanography</u>*

367 Data from the WOA13 showed that Atlantis and Irving seamounts were under different oceanographic regimes at a depth of 1,500 m, with Atlantis located in colder and less 368 saline waters relative to Irving (Fig. 5A and B). The frontal system formed between the 369 two seamounts reached 1°C of temperature and 0.15 PSU of salinity. Geostrophic 370 velocities computed along a line separating the two seamounts highlighted these north-371 372 south differences. The Azores current (AzC) affected Atlantis from the surface down to 1,000 m (Fig. 5C), while Irving was not affected at all by the core of the AzC (Fig. 5D). 373 374 In fact, at the surface, the geostrophic current affecting Irving flowed in opposite 375 direction relative to the AzC. Also, geostrophic currents that affected Atlantis were stronger (15 cm/s) than those reaching Irving (5 cm/s). 376

Ocean circulation forecasts for August 2015 showed similar results as the climatological scenarios from the WOA13. The Azores Frontal system separating Atlantis from Irving was evident in the ADT plot (Fig. 5E) and speed and flow vectors (Fig. 5F). Atlantis was strongly affected by the Azores surface current, whereas Irving was affected by a cyclonic eddy travelling westwards.

At the local scale, differences between the two seamounts were also obvious. During the study period (July-September 2015), Atlantis showed lower salinity (KW: 215.25,

- 384 *P*<0.001), lower potential temperature (KW: 49.28, *P*<0.001), higher EKE (KW: 99.27,
- 385 *P*<0.001), and higher CHLA concentration (KW: 22.07, *P*<0.001) than Irving (Fig. 6).
- 386 *3.3 <u>Micronekton model distributions</u>*

During the study period, Atlantis had higher biomass of epipelagic (KW: 38.46, 387 P<0.001), upper mesopelagic (KW: 42.13, P<0.001), and lower mesopelagic biomass 388 (KW: 42.18, P < 0.001) than Irving seamount (Fig. 7). The micronekton biomass in the 389 epipelagic layer was higher at night than during the day (Atlantis: KW: 84.75, P<0.001; 390 Irving: KW: 83.25, P<0.001), with the opposite pattern in the upper and lower 391 mesopelagic layers. At a larger scale, higher biomass of all micronekton functional 392 groups, except the lower mesopelagic, were found in the northern area that includes 393 394 Atlantis seamount. Further south, where Irving is located, there were scattered patches of high biomass (Fig. 8). Higher biomasses of lower mesopelagic, migrant lower 395 mesopelagic and highly migrant lower mesopelagic micronekton were found in the 396 northwest side of Irving seamount (Fig. 8). 397

398 3.4 Delphinid acoustic detections in relation to local oceanography and 399 micronekton prey

The best GAM model explaining the presence of foraging delphinids in Atlantis 400 401 included night epipelagic biomass, MLD and EKE with a 28 d lag (46% of deviance 402 explained) (Supl. Material: Table 1). Minutes with delphinid detections increased with 403 increased night epipelagic biomass and 28-day lag EKE and decreased with deeper 404 MLD (Fig. 9A, B and C; Supl. Material: Table 1). In Irving, the best model included night epipelagic biomass and EKE (31% of deviance explained). Delphinid detections 405 increased with increasing night epipelagic biomass and EKE. (Fig. 9D and E) (Supl. 406 407 Material: Table 1). For sperm whale detections in Atlantis, none of the predictors used in the model were significant. 408

409 **4. DISCUSSION**

Most delphinid vocalizations found in the recordings (64.2% of recordings in Atlantis 410 411 and 79.2% in Irving) contained low frequency pulses, named bray calls, described by Santos et al. (1995). This type of vocalization was found in other areas and attributed to 412 413 bottlenose dolphins engaged in foraging activity (e.g., Hastie, Wilson & Thompson, 2006; Janik 2000; King & Janik, 2015). In addition, bottlenose dolphins were the most 414 frequently sighted cetacean during a two-week visual survey at both seamounts in 415 September (Supl. Material: Table 2). Although sightings were made outside of the 416 417 EARs detection range, night-time recordings of bray calls occurred on the same day that bottlenose dolphins were observed in the area. Therefore, we hypothesize that most of 418 419 delphinid vocalizations were produced by bottlenose dolphins.

420 Delphinid acoustic activity was higher at night than during the day in both seamounts, 421 consistent with vocal patterns of dolphins in coastal habitats, island slopes and other 422 seamounts (e.g., Au et al., 2013; Giorli & Au, 2017; Hodge, Bell, Kumar & Read, 423 2013). Increased acoustic activity of dolphins at night is believed to be related to higher foraging activity on vertically migrating prey (Hastie et al., 2006; Janik, 2000; King & 424 Janik, 2015; Simonis et al., 2017). Results from our models support this hypothesis and 425 explain the acoustic behavior of delphinids foraging at these seamounts. The biomass of 426 micronekton in epipelagic layers at night was the most important predictor of the 427 presence of foraging delphinids in Atlantis and Irving seamounts. Estimates of the 428 night-time biomass in the epipelagic layers in Atlantis and Irving were 2 times higher 429 than those predicted during the day. Dolphins foraging at night likely benefit from 430 431 increased prey biomasses that result from the upward migration of mesopelagic organisms from deeper layers. Our study does not account for differences in prey 432 433 preferences between different species of delphinids. However, only bottlenose dolphins 434 and rough-toothed dolphins (Steno bredanensis) were seen over these seamounts during

a visual survey in the area (Supl. Material: Table 2). Little is known about the rough-435 436 toothed dolphins feeding habits. The few existing reports suggest they feed on a variety of fish and cephalopod species. As a deep-water species frequently associated with 437 438 other species such as bottlenose dolphins, may suggest they share similar prey preferences and may also take advantage of the night availability of micronekton on the 439 surface (Baird et al., 2008; Miyzaki & Perrin, 1994). Atlantic spotted dolphins (Stenella 440 441 frontalis) were also encountered while in transit between seamounts. However, in 442 September this species is known to migrate south to spend winter months off Madeira or the Canary Islands (Silva et al., 2014) and residence time over the seamounts may be 443 444 short.

445 Sperm whales were also detected more often at night in Atlantis and Irving. Au et al. (2013) found the same diel pattern using EARs deployed off two Hawaiian Islands but 446 447 another study that used animal-borne tags did not report significant differences in 448 acoustic activity between day or night (Klinck et al., 2012). These differences could be 449 due to the different methodologies used in these studies. While tags record animal acoustic activity independently of their movements, static hydrophones can only detect 450 animals when they are within the instrument detection range. In our study, more sperm 451 whale detections during the night may mean that animals are closer to seamounts during 452 that time. Seamount slopes are potential foraging sites for sperm whales (Clarke, 2007). 453 Cephalopods swim or drift to seamounts for spawning and feeding and may be easier to 454 catch if concentrated against steep topographies (Clarke, 2007; Kaschner, 2007). 455 456 Oceanic squid species also follow a diel migration feeding at shallow waters on miconekton fish such as Myctophids (Clarke, 2007). Sperm whales may find it easier to 457 catch squid on shallower waters during the night and concentrated against seamount 458 459 slopes.

Delphinids and sperm whales were more frequently detected in Atlantis and spent more 460 461 time foraging at this seamount than at Irving. Differences in usage between these seamounts can be at least partly explained by their different oceanographic regimes and 462 463 mesoscale features which ultimately drive productivity and prey distribution. However, simulated prey biomass was not the only variable explaining foraging activity of 464 delphinids at each seamount, and in the case of sperm whales detected in Atlantis, the 465 models did not show a significant relationship with any of the micronekton variables. 466 467 Furthermore, delphinid foraging activity was associated with different oceanographic variables in each seamount. Together, these results suggest that factors influencing the 468 aggregation of prey and not only prey biomass may influence selection of foraging 469 habitats in these taxa and that oceanographic processes creating favorable foraging 470 471 conditions differs between the two seamounts.

472 The regional and local oceanographic conditions found during the study period were 473 consistent with the long-term climatologies and should represent well the main 474 oceanographic features at that time of the year. Our results clearly show that Atlantis and Irving seamounts are located in areas with distinct water mass properties, mesoscale 475 variability, and primary productivity. Situated to the north but very close to the mean 476 axis of the AzC (~34°N), Atlantis has persistently colder and less saline waters, higher 477 eddy activity, more variable current speeds and higher concentrations of CHLA than 478 Irving, located south of the AzC. These results are supported by previous studies 479 reporting sharp thermal and density gradients across this frontal system, and increased 480 481 primary productivity inside the frontal zone region, probably associated with upwelling processes. In addition, the high instability of the AzC and the interaction with the 482 483 shallow bottom topography also result in more intensive meandering and eddy activity 484 in the Atlantis region (Barbosa-Aguiar et al., 2011), in agreement with the higher EKE

we observed at this seamount. Moreover, cyclonic and anticyclonic eddies dominating 485 the Atlantis region (Barbosa-Aguiar et al., 2011), can enhance productivity through 486 upwelling of nutrients from ocean depths and concentration and retention of plankton 487 488 and nekton (Condie & Condie 2016; McGillicuddy et al., 1998). On the other hand, Irving is less affected by the AzC but is occasionally exposed to cyclonic eddies that 489 generate from the outflow of Mediterranean water (i.e., meddies) and propagate 490 westwards across the Northeastern Atlantic (Bashmachnikov, Neves, Calheiros, & 491 492 Carton, 2015). These transient features could be responsible for temporary enrichments at Irving, by bringing nutrients into the euphotic zone through increased vertical mixing, 493 494 or by aggregating plankton at the surface through horizontal convergence (Condie & Condie, 2016). Cetaceans appear to concentrate at productive fronts and eddies (Davis 495 et al., 2002), which could make Atlantis seamount a more suitable foraging area than 496 497 Irving.

498 Results from GAM models reflect these different processes affecting the two seamounts. In addition to prey biomass, EKE was an important predictor of the presence 499 of foraging dolphins with higher number of detections associated with more intense 500 eddy flows at both seamounts. However, in Atlantis, EKE was a significant predictor 501 when lagged 28 d, while in Irving EKE was significant without a lag. The lagged EKE 502 503 in Atlantis suggests a role of upwelling processes associated with the existence of the AzC front which may be sustained by the numerous passing eddies. Eddies can 504 concentrate and retain phytoplankton that increase productivity with a lagged response 505 506 in predator's presence (Condie & Condie, 2016). In Irving, where no major upwelling processes exist, temporary increases in EKE may be associated with the passage of 507 cyclonic eddies which retain and aggregate prey explaining the absence of lagged 508 509 relationship with dolphin detections. The vertical distribution and structure of prey

patches also seems to influence the foraging behaviour of dolphins through their effect 510 on accessibility. In Atlantis, the GAM model also selected the MLD with a slightly 511 positive effect of shallower MLD on the number of delphinid detections. Another 512 513 positive effect of shallower MLD would be through the retention and concentration of small particles like phytoplankton (Gardner, Chung, Richardson & Walsh, 1995; Wolf 514 & Woods, 1988) and the zooplankton feeding on them. Besides, it has been observed 515 that mesozooplankton density declines with increasing mixing depth (Kunz, 2005). 516 517 Thus, a thin MLD would have a higher concentration of phytoplankton, which in turn would aggregate zooplankton and secondary predators like myctophids. Delphinids may 518 519 use this MLD-induced prey aggregation to feed more efficiently. At Irving seamount, however, MLD was not a significant predictor of delphinid foraging. It could be due to 520 smaller MLD ranges above this seamount caused by the absence of strong currents 521 522 and/or different aggregating processes (currents vs. temporary eddies) affecting this 523 seamount. Nevertheless, these results should be considered with some caution, as the 524 range of MLD was very limited, and the relevance of such variability to foraging 525 dolphins is questionable. Sperm whale detections in Atlantis were not associated with any predictor used in the model. The small temporal scale of this study, together with 526 the few sperm whale detections, may have an influence on our model results. 527

528 Consistent with the expectations from the physical dynamics of the region, 529 comparatively higher biomass of epi, upper meso-, migrant upper meso-, migrant lower 530 meso-, and highly migrant lower mesopelagic micronekton were predicted north of the 531 AzC, where Atlantis is located. The SEAPODYM-MTL also predicted increased 532 biomass of micronekton at Irving, coincidental with the passage of a meddie during the 533 study period (August 2015). This feature was observed to pass near Irving seamount 534 with predicted micronekton aggregation on its edge and trapped by the seamount slope

in the lower mesopelagic layer, *i.e.*, below 400 m depth. However, the GAM model for 535 536 sperm whales at Atlantis did not select the micronekton variable. There may be multiple reasons such as the small temporal scale, few sperm whale detections or large spatial 537 538 scale and resolution of the prey model. Another plausible explanation may be the mismatch between the target prey of sperm whales and those simulated with the 539 micronekton functional groups. The diet of sperm whales caught in commercial whaling 540 in the Azores was mainly composed of squids of the families Histioteuthidae, 541 542 Octopoteuthidae and Architeuthidae, namely Histiotheuthis bonnellii, Taningia danae and Architeuthis dux (Clarke, 2007). Thus, prey items of sperm whales may exceed the 543 544 size range of micronekton organisms in the SEAPODYM-MTL (<20 cm) and occupy water depths beyond the 1,000 m considered in the model. 545

At a smaller scale, the interaction of mesoscale and submesoscale features with 546 seamount topography can generate internal waves, permanent or semi-permanent eddies 547 548 and local upwelling that enrich the productivity of the pelagic water column (Clark et 549 al., 2010; Genin & Dower, 2007). Barbosa-Aguiar et al. (2011) found that bottom 550 topographic features from this area induced variability in eddies and meander activity of the AzC. Therefore, the AzC affecting Atlantis seamount even at depths of 1,000 m 551 could potentially cause nutrient-enriched waters through processes of upwelling and/or 552 enhanced horizontal flux. Since the micronekton model relies on satellite ocean colour 553 554 data for the primary production, local enrichments are integrated to the dynamics of micronekton functional groups. However, the spatial resolution of the ocean circulation 555 model $(0.08^{\circ} \sim 9 \text{ km})$ is likely not enough to properly simulate local effects of the 556 seamount on micronekton biomass. Finally, the micronekton model does not include a 557 representation of the benthos and demersal component on the summit and slopes of the 558

seamount that eventually may be an additional attractive feature for large pelagicpredators.

561 In summary, increased productivity and micronekton biomass in Atlantis relative to Irving probably explains why delphinids and sperm whales preferred to forage in 562 563 Atlantis. Nevertheless, dolphins and sperm whales occasionally foraged in Irving 564 possibly because of the temporarily enriched waters by cyclonic eddies that act as little 565 oasis of productivity in oligotrophic environments (Godø et al., 2012). SEAPODYM-MTL may not represent the fine-scale dolphin prey distribution and accessibility well, 566 567 which may demonstrate why oceanographic variables (EKE and MLD), proxies of vertical distribution and aggregation, are important predictors in the models. 568

This study provides novel information on cetacean presence in the remote area of the Great Meteor seamount complex and adds to the few studies investigating the influence of regional oceanography on predator distribution. Longer-term studies with more sampling locations including abyssal areas are necessary to describe in more detail the mechanisms influencing the abundance and distribution of marine top predators and their prey.

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581 5. ACKNOWLEDGEMENTS

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891 Tables

Table 1. Summary of acoustic data used in this work including dates, sampling rate,

893	duty cycle	e, sensitivity,	depth,	and position	n of EARs.
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	Sample period	Sample	Duty	Sensitivity	Depth (m)	Position	
Location		rate (kHz)	cycle (%)	(dB re 1V/μPa)		Latitude	Longitude
Atlantis	25 July – 21 September	50	20	-194.17	294	34° 8' 37.3"N	-30° 15' 24.1"W
Irving	30 July – 25 September	50	20	-193.14	264	32° 2' 47.8"N	-27° 58' 8.04"W

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Table 2. Distribution of micronekton functional group biomass by day and night foreach layer.

Layer	Day	Night
Epipelagic	Epipelagic	Epipelagic + upper
		migrant mesopelagic +
		highly migrant upper
		mesopelagic
Upper mesopelagic	Upper mesopelagic +	Upper mesopelagic +
	migrant upper mesopelagic	migrant lower mesopelagic
Lower mesopelagic	Lower mesopelagic +	Lower mesopelagic
	migrant lower mesopelagic	
	+ highly migrant lower	
	mesopelagic	

898 **Figures**

Figure 1. Map showing the location of Atlantis and Irving seamounts in relation to theAzores archipelago.

Figure 2. Long-Term Spectral Average (LTSA) plots (above) and spectrograms (below)
of (A) delphinid clicks, whistles and bray calls, (B) delphinid whistles and (C) sperm
whale clicks.

Figure 3. Heatmaps showing delphinid detections (in minutes) in Atlantis (A) and Irving
(B) seamounts for each hour and for each deployment day. Vertical lines indicate time
limits between sunset and sunrise (U.S. Naval Observatory Astronomical Applications
Department database). On the right: the bar-charts show the number of minutes per day
with delphinid detections.

909 Figure 4. Heatmaps showing sperm whale detections (in minutes) in Atlantis (A) and

910 Irving (B) seamounts for each hour and for each deployment day. Vertical lines indicate

911 time limits between sunset and sunrise (U.S. Naval Observatory Astronomical

912 Applications Department database). On the right: the bar-chart showing the number of

913 minutes per day with sperm whale detections.

Figure 5. Maps of temperature (A) and salinity (B) at 1,500 m depth and geostrophic velocities (cm/s) at the surface (C) and at 1,000 m depth (D) for Atlantis and Irving corresponding to August and September climatology from WOA13 data set. Maps of forecasted ADT (E), and speed and flow vectors (F) for mid-August of 2015 in the Great Meteor area. Lines represent the bathymetry around these seamounts.

Figure 6. Boxplots of Salinity (A), Potential temperature (B), Speed current (C), Mixed
layer depth (D), Eddy kinetic energy (E), and Chlorophyll A (F) data from July through
September of 2015.

Figure 7. Boxplots of day and night epipelagic (A), upper mesopelagic (B) and lower
mesopelagic biomass (C) at Atlantis and Irving seamounts for the deployment period
(July-September 2015).

Figure 8. SEAPODYM modelled biomass of epipelagic (A), upper mesopelagic (B),
migrant upper mesopelagic (C), migrant lower mesopelagic (D), highly migrant lower
mesopelagic (E), and lower mesopelagic micronekton (F) averaged across the
deployment period (27th of July to 22nd of September 2015) at a grid resolution of 0.25°
latitude/longitude.

Figure 9. Results from the best GAM with detections of delphinids in Atlantis relative to epipelagic micronekton biomass at night (A) EKE lagged 28 d (B) and MLD (C) and in Irving relative to epipelagic micronekton biomass at night (D) and EKE (E). Solid red line represents the fitted function with 95% confidence bands denoted by blue dashed lines. Grey dots indicate observations.