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4 **Insolation and Greenhouse Gases Drove Holocene Winter and Spring**
5 **Warming in Arctic Alaska**
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Abstract

Global surface temperature changes and their drivers during the Holocene Epoch remain controversial. Syntheses of proxy data indicate that global mean annual temperature declined from the mid-Holocene until the Pre-industrial Era, a trend linked to decreasing Northern Hemisphere summer insolation. In contrast, global climate models simulate increasing mean annual temperatures driven by retreating ice sheets and increasing greenhouse gas concentrations. This proxy-model disagreement may originate from a warm season bias in Northern Hemisphere proxy reconstructions, highlighting the need for new proxies that quantify cold season temperature, especially in Arctic regions that were devoid of continental ice sheets during Holocene. Here, we present a new 16,000-year winter-spring temperature reconstruction derived from the unsaturation ratio of alkenones (U) in a continuous sedimentary sequence from Lake E5, northern Alaska. We employ a thermodynamic lake model to convert alkenone-inferred lake temperatures into winter-spring air temperature anomalies and we contextualize our proxy reconstruction with climate model output from the region. Our reconstruction shows that winter-spring temperatures warmed rapidly during the deglaciation at 16 and 14 thousand years before present and continued to warm gradually throughout the middle and late Holocene (0.12 - 0.28 °C/thousand years) in concert with regional sea surface temperature and sea ice records. Our results are consistent with climate model simulations and we attribute Holocene warming to rising winter-spring insolation, radiative forcing from rising greenhouse gas concentrations and regional feedbacks. Our reconstructed cold season warming equaled or exceeded summer cooling according to a regional synthesis of terrestrial temperature records, suggesting that seasonal biases in temperature reconstructions may account proxy-model disagreements in Holocene temperature trends from Eastern Beringia.

1. Introduction

Global climate models (GCM) simulate increasing mean annual surface temperature during the Holocene, primarily driven by retreating Northern Hemisphere ice sheets in the early Holocene and increasing greenhouse gas (GHG) concentrations after eight thousand years before present (ka cal BP; Liu et al., 2014). In contrast, syntheses of globally distributed continental and marine proxy reconstructions indicate that the mean surface temperature was warm during the early Holocene Climate Optimum (10 – 6 ka cal BP) and subsequently cooled through the Pre-industrial Era (PI; Marcott et al., 2013). This cooling is inferred to result from the large ($\sim 40 \text{ W m}^{-2}$) reduction in Northern Hemisphere summer insolation (NHSI), due to changes in Earth's orbital geometry. However, orbital forcing caused increases in southern hemisphere summer insolation over the same interval and no appreciable change in globally and annually averaged incoming solar radiation (Laskar et al., 2004). Thus, reconstructed early Holocene warmth and subsequent cooling could only have been realized through strong global climate feedbacks to NHSI (Marcott et al., 2013), such as reduced albedo from expanded boreal forests (Foley et al., 1994). Other studies have proposed that a bias in climate proxies towards boreal summer accounts for the Holocene cooling trend and data-model mismatch (Liu et al., 2014; Meyer et al., 2015; Baker et al., 2017; Marsicek et al., 2018). For example, the removal of summer-sensitive marine records from North Atlantic margins reduced the data-model difference in the global synthesis, but even after correction the proxy data do not indicate a warming trend (Marsicek et al., 2018), leaving this “Holocene temperature conundrum” unresolved.

90
91 Newer paleoclimate records and syntheses have indicated Holocene winter warming,
92 suggesting that better representation of seasonality in paleoclimate reconstructions could
93 partly resolve the proxy data-model disagreement (Meyer et al., 2015; Baker et al., 2017;
94 Marsicek et al., 2018). However, these reconstructions are either limited in duration or
95 predominantly from terrestrial northern mid-latitude regions that are highly sensitive to
96 ice sheet forcing (Clark et al., 1999), making them hard to extrapolate to the global mean.
97 The waning Laurentide Ice Sheet (LIS) was far east of Alaska by the start of the
98 Holocene Epoch (Dyke, 2004) and had a little impact on Holocene temperatures there
99 according to proxy data (Kaufman et al., 2004). Therefore, Alaska is a crucial region for
100 reconstructing seasonally resolved Holocene temperature change in the absence of
101 significant regional ice sheet feedbacks. New cold season temperature reconstructions
102 from such regions are essential for identifying seasonal biases in global proxy data and
103 deconvolving the relative importance of Holocene climate forcing mechanisms and
104 feedbacks.
105
106 Despite the importance of Alaskan cold season climate to resolving Holocene
107 temperature trends and forcings, there are few continental temperature records
108 representing the non-summer seasons from the region (Sundqvist et al., 2014). Pollen
109 assemblages have been used to develop winter temperature reconstructions from lake
110 sediments (Viau et al. 2008; Bartlein et al. 2011), however tundra plant species with large
111 geographic ranges result in “non-analog” assemblages preventing accurate winter
112 temperature estimates (Birks et al., 2011). Oxygen isotope analyses of ice wedges have

emerged as techniques to provide winter temperature reconstructions (Opel et al., 2018), however these archives have rarely provided continuous whole-Holocene records in the Arctic and are less common or accessible than other continental climate archives. Other moisture-sensitive oxygen isotope records have been used as winter climate indicators through their sensitivity to the strength and position of the Aleutian Low (e.g. Anderson et al., 2005; Clegg et al., 2010). However, interpretations of these records remain complex (Kaufman et al., 2016) and none of these records directly record temperature changes.

In this study, we generate a new winter-spring temperature reconstruction from Arctic Alaska using sediment cores from Lake E5 (68.642 °N, 149.458 °W, 798 m a.s.l.). To reconstruct winter-spring temperatures, we utilize alkenones – a series of biomarkers produced by various species of haptophyte algae (Volkman et al., 1980; Marlowe et al., 1984; D’Andrea et al., 2006) occurring globally in oceans (Conte et al., 2006) and in many freshwater lakes (Longo et al., 2018). Our recent work demonstrates that Lake E5 alkenones are produced by the freshwater-dwelling clade of alkenone-producing Isochrysidales haptophytes (Richter et al., 2019), during the spring transitional season (Longo et al., 2016; 2018; Richter et al., 2019). The degree of unsaturation of 37-carbon alkenones records spring lake temperature with high precision (± 1.37 °C) by way of the U index (Brassell et al., 1986) and our site-specific U temperature calibration (Longo et al., 2016). Lake temperature and lake ice phenology in the spring are controlled by winter and spring air temperatures due to the high thermal inertia of lakes and their accumulated winter ice cover (Palecki and Barry, 1986; Assel and Robertson, 1995; Livingstone,

1997; Magnuson et al., 2000; Arp et al., 2013). Accordingly, the alkenone proxy responds strongly to winter lake ice conditions and correlates with air temperatures of the late winter and spring in many freshwater lakes (Longo et al., 2018).

We carried out a series of modeling experiments by forcing a one-dimensional thermodynamic lake model (Hostetler and Bartlein, 1990) calibrated to our study site, with a series of Holocene climate simulations from the Proxy Model Intercomparison Project Phase III (PMIP3; Braconnot et al., 2012). Results from these experiments support the interpretation that alkenones record winter-spring air temperature changes and they quantify the lake temperature-air temperature relationship at Lake E5. We combine our novel winter-spring temperature reconstruction with PMIP3 and transient climate model output from the region to elucidate Holocene winter-spring temperature evolution in Arctic Alaska and identify forcing mechanisms driving Holocene temperature trends.

2. Regional Setting

The North Slope of Alaska lies between 68°N and 71°N and comprises three physiographic regions—the Brooks Range Mountains, Arctic Foothills and Arctic Coastal Plain—that are situated south to north along a gradient of decreasing temperature and elevation (Hobbie and Huryn, 2012). Lake E5 is a kettle lake (Surface Area = 0.109 km²; Maximum Depth = 12.7 m; Mean Depth = 6.33 m) situated in the Arctic foothills on an upland tundra landscape underlain by continuous permafrost (Fig. 1). The catchment was free of glaciers throughout the last glacial period (Hamilton, 1982) and the lake underwent continuous sedimentation dating to 32 ka cal BP offering a rare uninterrupted record of the Last Glacial Maximum (LGM), deglaciation, and Holocene (Eisner and Colinvaux, 1992; Vachula et al., 2019). Lake E5 is oligotrophic and ice-free from mid-June through late September. Mean annual air temperatures at Lake E5 are -8 °C with low winter temperatures of -35 °C and high summer temperatures of 20 °C (Hobbie and Huryn, 2013). Mean annual precipitation for the region is 292 mm yr⁻¹ and mean annual evapotranspiration is 127 mm yr⁻¹ (Dery et al., 2005).

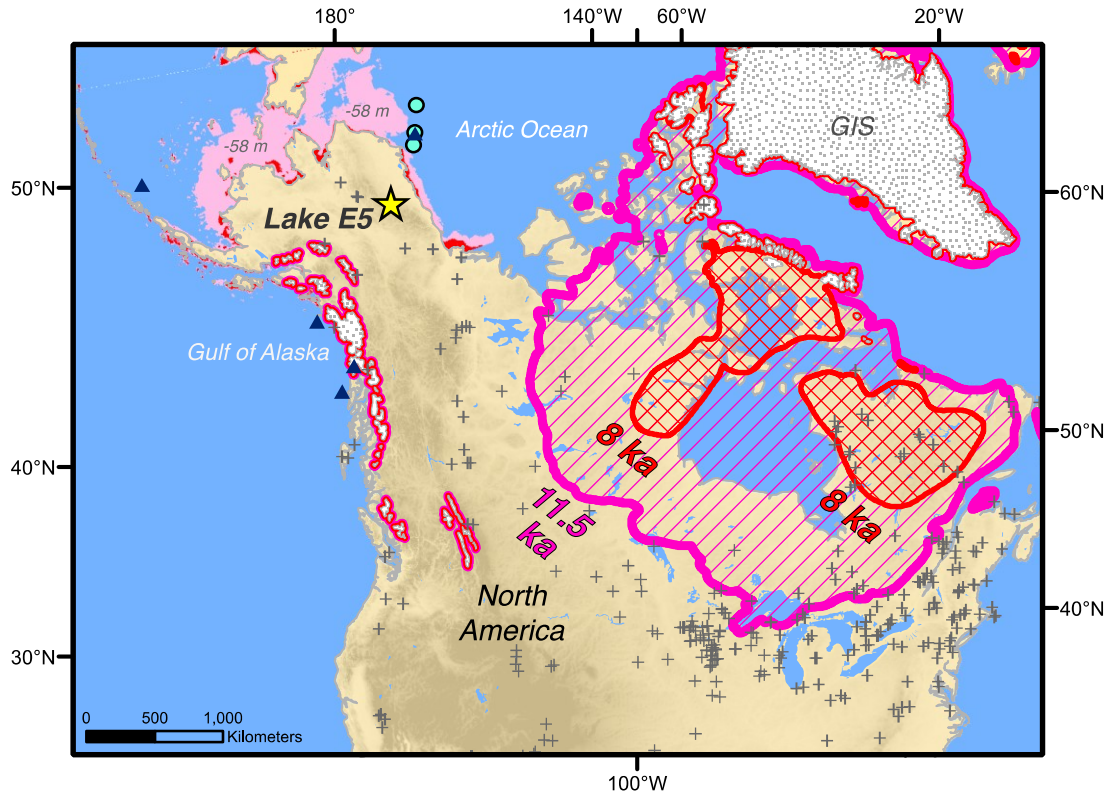


Fig. 1. Study site and early Holocene ice sheet extent. Map of North America showing the extent of the LIS at 11.5 ka cal BP (magenta hatch) and 8 ka cal BP (red cross-hatch; Dyke et al., 2004), and estimated extent of exposed shelf surrounding Alaska at 11.5 ka cal BP (pink shading) and 8 ka cal BP (red shading; Manley et al., 2002), respectively. Lake E5 is marked with the yellow star. "GIS" refers to the Greenland Ice Sheet. Records used in the recent pollen synthesis ("+" symbols; Marsicek et al., 2018) are dominated by mid-latitude sites. Sea surface temperature (navy triangles) and sea-ice (teal circles) reconstructions discussed in the text are indicated.

3. Materials and Methods

3.1. Sediment Core Retrieval, Processing and Chronology

Sediment cores were collected from the deepest part of Lakes E5 in May, 2014 over ~1 m of lake ice cover, using a piston corer for surface cores and a modified Livingstone corer for subsequent cores. Six vertical meters of sediment were collected, the top 4.8 m of which resulted in a continuous sedimentary record. Cores were returned to Brown University, logged visually, and were imaged and logged for Magnetic Susceptibility and X-ray Fluorescence using a Geo-Tek™ multi-sensor core logger. Overlapping cores were aligned based on visually distinct beds and lithological parameters including organic carbon content, magnetic susceptibility, and elemental data. Aligned cores were spliced to create a continuous 4.8 m sedimentary sequence.

1-2 cm thick samples were aliquoted and frozen for radiocarbon dating from the working halves of split and logged cores. For radiocarbon processing, ~ 5 cm³ subsamples were sieved at 500 and 150 µm. From the sieved fractions, terrestrial plant and insect macrofossils were collected under a dissecting microscope, characterized, and treated for ultra-microscale radiocarbon analysis (Shah Walter et al., 2015). The full radiocarbon and ²¹⁰Pb chronology is published by Vachula et al. (2019; Fig. S1) and consists of 16 radiocarbon dates and ²¹⁰Pb dating in the uppermost sediments. Holocene aged sediments consist of brown thickly bedded silts, deglacial sediments consist of black and green laminated silts and clayey silts.

3.2. Alkenone Analysis and U Temperature Determinations

Alkenone analysis was carried out using established methods (Longo et al., 2016). Sediment cores were sampled in 1 cm sections and samples were freeze-dried, homogenized and extracted using an accelerated solvent extraction system (ASE200; Dionex). Neutral lipids were separated from fatty acids by flash column chromatography using Supelco™ Supelclean LC-NH2 powder. A ketone fraction was eluted from the neutral lipids with dichloromethane by way of flash column chromatography with silica gel. Ketone fractions were saponified before analysis by GC-MS to check peak purity and further analyzed by GC-FID for quantification.

GC-MS analysis was performed using an Agilent 6890N GC system coupled to an Agilent 5973N quadrupole mass spectrometer and GC-FID analysis was performed using an Agilent 7890B GC system with the operating conditions outlined by Longo et al. (2016). All GC-MS and GC-FID analyses were performed with a VF-200ms 60m capillary column, allowing for full separation of Group I alkenone distributions (Longo et al., 2013). Alkenone quantification was accomplished using 18-pentatriacontanone as an internal standard and all injections were made within a narrow dynamic range of ~2-20 ng of C₃₇ alkenones on column to reduce analytical uncertainties in alkenone quantification. Analytical error on U-inferred temperatures is ± 0.20 °C (1SD) based on replicate analyses of alkenone standards and sediment core samples. The uncertainty in the temperature calibration (± 1.37 °C) includes this analytical error (Longo et al., 2016).

3.3. Proxy Fundamentals and Interpretation

Alkenones in Lake E5 and nearby Toolik Lake (68.632 °N, 149.602 °W) are produced by the Group I phylotype of Isochrysidales haptophyte algae, which is the dominant group in freshwater environments (Longo et al., 2016; 2018; Richter et al., 2019). The relationship between Group I alkenone unsaturation and temperature is broadly consistent across sites in the Northern Hemisphere (D'Andrea et al., 2011; 2016; Longo et al., 2016; 2018) and has been robustly quantified at Toolik Lake (Longo et al., 2016). An in situ calibration from Lake E5 indicates that Lake E5 and Toolik Lake calibrations are statistically indistinguishable (Fig. S2). Therefore, the published Toolik Lake calibration (Longo et al., 2016) is used for lake water temperature determinations.

Group I Isochrysidales haptophytes are most abundant in the water column of Lake E5 and its neighboring lakes in the spring, during the periods of partial ice cover, isothermal spring mixing, and at the onset of thermal stratification (Richter et al., 2019). We collectively refer to these periods, which occur during the month of June at Lake E5, as the spring transitional season. Geochemical and observational data have shown that alkenone production and deposition occur during this spring transitional season, which is characterized by lake surface temperatures ranging from 2 to 15 °C (Longo et al., 2016; 2018). These springtime sedimentary fluxes of Group I alkenones are also seen in Norway (D'Andrea et al., 2016), whereas southwest Greenland lakes show a slightly later peak flux, shortly after ice out (D'Andrea et al., 2011).

Spring lake temperatures and lake ice break-up are dominantly influenced by the mean temperature of the preceding 1-6 months, through the effects of winter and early spring temperature on lake ice accumulation and the timing of spring thaw (Palecki and Barry, 1986; Robertson et al., 1992; Assel and Roberston, 1995; Livingstone, 1997; Magnuson et al., 2000; Arp et al., 2013). Accordingly, alkenone unsaturation has been shown to correlate with late winter and spring air temperature (Longo et al., 2018). Additionally, surface sediment and sediment trap data from Toolik Lake with accompanying year-round lake ice phenology data, corroborate the interpretation of the proxy as reflecting winter-spring climate, with colder U₃₇-inferred lake temperatures corresponding with longer ice-out periods and later ice-off dates (Fig. S3; Toolik Environmental Data Center Team, 2016). Within our proxy framework, these data suggest that colder temperatures in the winter and spring, which result in more ice accumulation on the lake surface, have lasting effects on spring lake temperature through the thermodynamic requirement of melting a thicker ice cover and the delaying of summer lake surface warming through extended ice cover. The Group I haptophytes have a consistent spring bloom timing and therefore record spring lake temperatures dominated by these seasonal lake ice dynamics.

Changes in alkenone-producing haptophyte species have been known to overprint alkenone-inferred temperatures in lakes (Randlett et al., 2014). The RIK₃₇ index (Longo et al., 2016) was calculated for all samples to evaluate species effects, which were absent from the record (Fig. S4).

3.4. Lake Model Description, Parameterization and Validation

The Hostetler and Bartlein lake model is a one-dimensional energy- and water-balance model that requires inputs for near-surface air temperature, humidity, wind speed, surface incident shortwave and longwave radiation, surface pressure, precipitation, and runoff (Hostetler and Bartlein, 1990, Dee et al., 2018). Meteorological inputs from 1994 – 2015 CE were obtained from the Toolik Environmental Data Center (5 m air temperature, 5 m relative humidity, 5 m wind speed, surface incident shortwave radiation, surface air pressure; Toolik Environmental Data Center Team, 2016) and from the Imnaviat Creek Snotel site (precipitation; SNOTEL, site 968). Toolik Lake is approximately 5 km west of Lake E5 and the Imnaviat Creek site is approximately 7 km east of Lake E5. Downward longwave radiation values are available only for the end of the record (May 2013-December 2014). To extend longwave values back to 1994, a multiple linear regression relationship between this variable, air temperature, and relative humidity was calculated for the 19-month period when all three variables were measured. Toolik EDC meteorological data are hourly and the Snotel data are daily. For missing values in the meteorological dataset, data gaps less than or equal to six hours in duration were filled using linear interpolation. Daily precipitation amounts were divided evenly across the 24-hour period and missing values for precipitation were set to zero.

A series of present-day simulations were used to determine the most appropriate values for several adjustable lake-specific parameters in the lake model. To carry out these simulations, the model was run with a 10-year spin-up period using repeated 2008 data,

followed by the 21-year meteorological dataset. The lake-specific parameters include the neutral drag coefficient, the albedos of snow and melting snow, and the shortwave extinction coefficient. These parameters were calibrated by comparing lake model output from 2008-2014 CE to limnological data obtained from both the Toolik Environmental Data Center (Toolik Environmental Data Center Team, 2016) and the Arctic Long Term Ecological Research program (ARC LTER database; NSF-DEB-1637459), including mean lake temperature, maximum summer lake temperature, break-up date, freeze-up date, and mixing depth. The 2008-2014 CE period was selected for validation because of its comprehensive data coverage in both meteorological data and limnological data. Sensitivity tests indicated that the neutral drag coefficient and albedo terms had the greatest effects on modeled lake temperatures. The neutral drag coefficient was set to 0.002 and the albedos of slush and snow were set to 0.4 and 0.7, respectively. Lake size had only minimal effects (< 0.3 °C difference in average spring lake temperature between Lake E5 and Toolik Lake-sized basins). The fully calibrated lake model was then employed for Lake E5 6 ka experiments.

For visualization of model performance, lake model output at 1.5 m depth was compared with measured lake temperature at 1 – 2.2 m depth, and seasonal ice cover at Toolik Lake (Fig. S5). Observed lake temperature is derived from a fixed sensor in the lake therefore its depth varies between 1 and 2.2 m with lake level fluctuations (Toolik Environmental Data Center Team, 2016).

3.5. GCM Output and Mid-Holocene Lake Model Simulations

GCM outputs from PMIP3 (Braconnot et al., 2012) and three transient simulations – CCSM3 (Liu et al., 2009), LOVECLIM (Timm and Timmermann, 2007), and FAMOUS (Smith and Gregory, 2012; Liu et al., 2014) – were extracted from the four gridcells most proximal to Toolik Lake and Lake E5. 6 ka vs. Pre-Industrial (PI) anomalies were calculated from PMIP3 simulations using the 6 ka and PI outputs. 6 ka vs. Present-Day (PD) anomalies were calculated using the PMIP3 6 ka outputs and modern outputs (1981-2005 CE) from CMIP5 historical simulations. For the transient simulations, anomalies were calculated as the average temperature from 7 – 5 ka cal BP minus the average temperature at 1750 – 1850 CE. The same PI reference period (1750-1850 CE) was applied to calculate alkenone-derived air temperature anomalies.

Mid-Holocene (6 ka) lake model simulations were carried out using climatology outputs from eight GCMs participating in PMIP3 (Braconnot et al., 2012) with the necessary variables for the lake model (BCC-CSM1-1, CCSM4, CNRM-CM5, GISS-E2-R, HadGEM2-ES, IPSL-CM5A-LR, MIROC-ESM, MRI-CGCM3). 6 ka input datasets for the lake model were developed by applying the change in climatology between 6 ka and PD simulated by each PMIP3 model to the modern meteorological (control) inputs. This approach alleviates issues with biases in climate model output for present-day climate, although biases can still impact the magnitude of the modeled change between 6 ka and PD. To generate climatologies, at least 100 years of GCM output was averaged for the 6 ka time period, and 75 years of GCM output (1981-2005 CE) for three ensemble

members) was averaged for the PD from the CMIP5 historical simulations. For most of the meteorological variables, the percentage change between the modern and 6 ka simulations was used to scale the observational data for input to the lake model. For air temperature, the only variable that can have negative values, the temperature anomaly was directly applied to the modern dataset. Likewise, the anomalous wind speed change for the MIROC-ESM and IPSL-CM5A-LR models was directly applied given their small (<2 m/s) climatological values for this variable that yield unrealistically large percentage changes between the 6 ka and PD time periods. Given the dependence of relative humidity on temperature, this variable was scaled by first converting to specific humidity and applying the modeled percentage change in specific humidity, then converting back to relative humidity using the anomalous temperature value.

Each 6 ka lake model simulation was first spun up for 10 years to reach equilibrium as defined by stabilization of lake temperature, and then run over the 21-year meteorological period, ensuring that a full range of inter-annual climate variability was captured in the simulations.

3.6. Simulated Spring Lake Temperature vs. Winter-Spring Air Temperature

For comparison to the alkenone proxy, the average spring lake temperature was extracted from model outputs as the averaged epilimnion + metalimnion temperature 30 days before and after the average ice-off date. These criteria were chosen based on observations of alkenones in Lake E5 and Toolik Lake (Longo et al., 2016; 2018). The

regression of this simulated spring lake temperature vs. DJFMAM air temperature from several 6 ka lake model simulations was used to transform proxy-derived spring lake temperature estimates into DJFMAM air temperatures (Fig. 2). Each point in the ordinary least squares regression represents a lake model simulation forced by a single 6 ka climate model input (abbreviated, respectively, from above: BCC, CCSM, CNRM, GISS, HADGEM, IPSL, MIROC, MRI), the ensemble mean, or the control run with modern meteorological data. Outputs produced by CCSM were not included in the regression because they produced anomalously cold lake temperatures due to anomalously cold June air temperatures relative to the other ensemble members ($-3SD$ from the ensemble mean). These cold June temperatures prevented stratification in the early summer and thus caused a lake stratification regime shift in the lake model, making the resulting spring lake temperatures incomparable with the other simulations. The MIROC output was also excluded from the regressions because it produced anomalously warm spring lake temperatures relative to other simulations and forced the regression to inadequately fit the control simulation. (Exclusion of MIROC resulted in only minor changes in the regression slopes; Fig. S6).

Regressions demonstrate that spring lake temperature and ice-off date are strongly correlated with winter-spring (DJFMAM) air temperature (Figs. 2, S6). We used the regression to transform reconstructed spring lake temperatures at Lake E5 into DJFMAM air temperature estimates, which are displayed as anomalies relative to pre-industrial (PI; Fig. S7). The transfer function assumes that the regression slope is stationary throughout the Holocene. We suggest this assumption is reasonable given the relatively small range

in spring lake temperature change over this period, however a formal test of this assumption is not possible with the available climate model output.

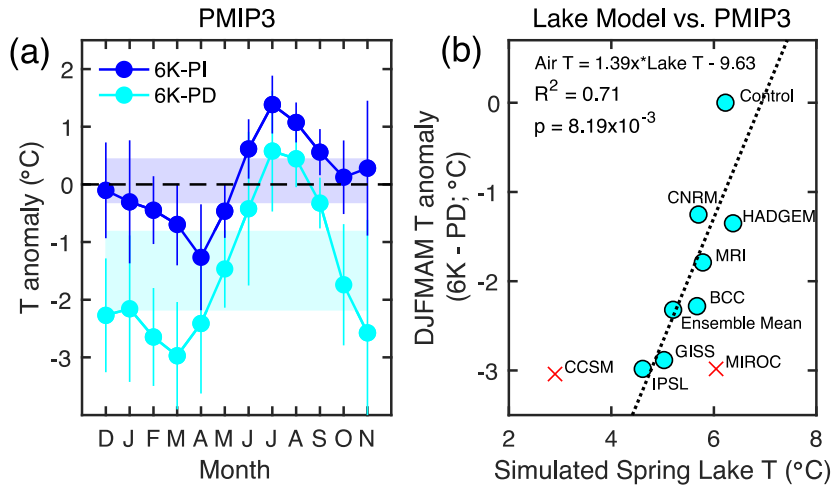


Fig. 2. Simulated mid-Holocene temperatures at Lake E5. (a) PMIP3 ensemble mean surface air temperatures at Lake E5 at 6 ka relative to the PI (pre-industrial) and PD (present day), shown as monthly (points) and mean annual (shaded regions) anomalies. (b) The linear regression of lake model simulated spring lake temperature at 6 ka with PMIP3 simulated winter-spring air temperature anomalies at 6 ka relative to the PD. Points are labeled by the PMIP3 output (ensemble mean or individual models) used to drive lake model simulations. The simulation labeled "control" was forced with the modern meteorological data. Two outlying simulations are marked with a red "x" and discussed in the text.

3.7. Statistical Analyses

Temperature trends for Holocene time series intervals (11.7 ka cal BP – PI and 8 ka cal BP – PI) were determined using ordinary least squares regression and their significance was assessed using an F-test. To incorporate the uncertainties of the U temperature determinations into our analysis of temperature trends, we carried out Monte Carlo simulations. The experiments simulate 1,000 realizations of the alkenone time series drawn at random given the 1SD uncertainty of ± 1.37 °C. Ordinary least squares

404 regressions were carried out for each of the 1,000 simulations and the SD of the resulting
405 regression coefficients is used to provide a comprehensive estimate of the uncertainty in
406 temperature trends. The same Monte Carlo experiments were used to determine the
407 uncertainty of reported mean temperatures over discrete intervals (e.g. Early Holocene, 8
408 – 6 ka cal BP cold period, etc.) and spring lake temperature changes (ΔT) associated with
409 warming events. The statistical significance of rapid warming events was checked using
410 paired, two-tailed T-tests. All uncertainties are reported as $\pm 1SD$ unless noted otherwise.

4. Results and Discussion

4.1. Rapid Deglacial Warming of Spring Lake Temperatures

Alkenones appear in the Lake E5 record at 16.2 ka cal BP and indicate three stages of rapid deglacial warming (Fig. 3). The first warming, from 16.2 – 15.5 ka cal BP represents a ~ 2 °C increase in lake temperature and coincides with regional climate changes including the second phase of alpine glacial retreat in the Brooks Range (Pendleton et al., 2015) and warming in Northwestern Alaska (Kurek et al., 2009). The second warming stage of 2.2 ± 0.5 °C occurred from 14.5 – 13.7 ka cal BP, culminating in the most rapid and sustained temperature increase in the record. This major warming event is associated with contemporaneous records of vegetation change, soil development and thermal degradation of permafrost from the region (Anderson and Brubaker, 1994; Mann et al., 2002; 2010; Abbott et al., 2010). Temperatures then warmed again from 12.0 – 11.6 ka cal BP by ~ 1 °C, marking the onset of Holocene climate (Fig. 3).

Spring lake temperatures at Lake E5 prior to 14.5 ka cal BP averaged 4.00 ± 1.49 °C and were accompanied by the highest C_{37} alkenone concentrations in the record (Figs. 3, S4). These data are consistent with prolonged springtime alkenone production during a cold polymictic stratification regime. We infer a dimictic stratification regime for spring lake temperatures above 5 °C based on modern observations that Lake E5 begins to thermally stratify in the spring when lake temperatures reach 5 – 6 °C (ARC LTER database). Therefore, the prominent warming event from 14.5 – 13.7 ka cal BP likely caused a shift in Lake E5's stratification regime.

434

435 The 14.5 – 13.7 ka cal BP warming in our record corresponds with the Bølling-Allerød
436 winter warming reconstructed from ice wedge oxygen isotopes at Barrow in coastal
437 north-central Alaska (Meyer et al., 2010). Ice wedges derive from winter precipitation
438 and therefore their $\delta^{18}\text{O}$ signatures reflect winter climate and primarily, winter
439 temperature (Meyer et al., 2010; Opel et al., 2018). Our record corroborates findings by
440 Meyer et al. (2010) that the maximal Bølling-Allerød winter warming in the region
441 lagged warming in Greenland by ~1 ka. However, the records diverge during the
442 Younger Dryas (YD), as Lake E5 alkenones do not record the severe winter cooling
443 indicated by the Barrow Ice Wedge System (Meyer et al., 2010). This also contrasts with
444 records from the North Atlantic where YD cooling is thought to be enhanced during
445 winter due to strong sea-ice feedbacks (Denton et al., 2005). The YD is only seen
446 sporadically in terrestrial records from the region (Kokorowski et al., 2008), but is
447 recorded prominently in north-central Alaskan paleoecological records (Mann et al.,
448 2002; 2010) and temperature reconstructions (Meyer et al., 2010; Gaglioti et al., 2017).
449
450 Younger Dryas climate impacts are likely spatially complex in northern Alaska due to sea
451 ice export from the Chukchi and Beaufort Seas driven by Bering Strait resubmergence
452 and deglacial sea level rise (Bradley et al., 2008). Therefore, one potential explanation for
453 the lack of correspondence between YD temperatures reconstructed at Lake E5 vs.
454 records from Barrow and North-central Alaska could be regional heterogeneities in the
455 feedbacks and mitigating factors that determined the magnitude of YD cooling. We must
456 also consider the possibility that the YD signal at Lake E5 was dampened or modified by

aforementioned changes in the stratification regime that occurred around this time. Despite this lack of regional correspondence in YD cooling, deglacial warming events at Lake E5 align well with several other temperature, hydrological and paleoecological reconstructions (e.g. Abbott et al., 2010; Mann et al., 2010; Meyer et al., 2010; Pendleton et al., 2015) lending support to our reconstructed deglacial lake temperatures.

4.2. Holocene Winter-Spring Temperature and Comparison with GCMs

Gradual warming dominates the Holocene spring lake temperature trend in our record (11.7 ka cal BP – PI; 0.087 °C/ka; $p = 1.12 \times 10^{-3}$). The early to mid-Holocene transition (EMHT) at 8 ka cal BP, marked the collapse of the LIS and Earth's full transition to post-glacial boundary conditions (Stager and Mayewski, 1997), therefore we also consider the warming trend that began during this event and persisted throughout the middle and Late Holocene (8 ka cal BP – PI; 0.20 °C/ka; $p = 6.35 \times 10^{-5}$). The post-EMHT amplitude of warming exceeds the Holocene average owing to a local temperature minimum at ~7 ka cal BP driven, in part, by multi-centennial variability. Both trends are robust after accounting for the uncertainty in U temperature determinations (Fig. 3; Table S3).

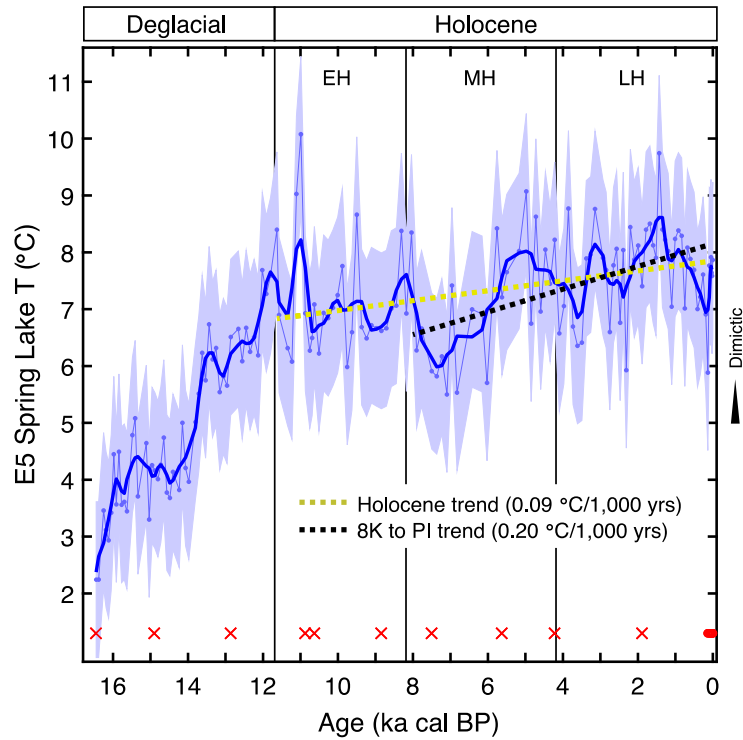


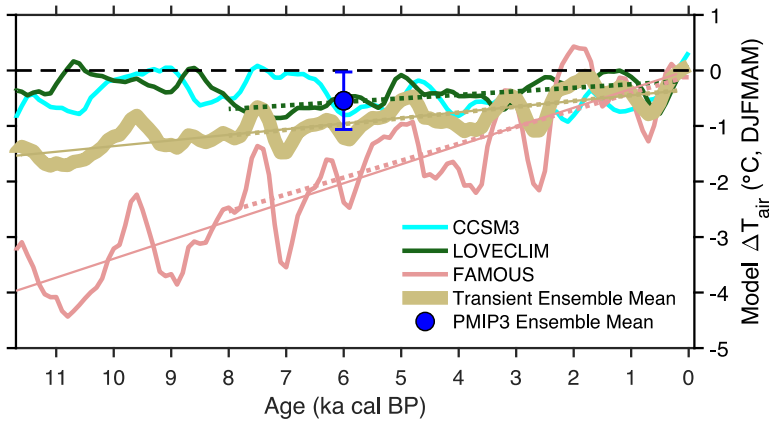
Fig. 3. 16,000-year record of spring lake temperature from Lake E5. The data is overlaid with a smoothing function (LOWESS; span = 7; $\alpha = 0.05$) and the uncertainty in the reconstruction is plotted as the light blue error envelope ($\pm 1SD$). Statistically significant warming trends are shown for the whole Holocene (yellow dashed line) and 8 ka cal BP – PI (black dashed line). Age control points are plotted in red along the bottom (“X” indicates ^{14}C and “o” indicates ^{210}Pb). EH, MH and LH refer to the early, middle and late Holocene, respectively. A dimictic stratification regime is inferred for spring lake temperatures above 5 °C based on modern observations.

Spring lake temperatures scale linearly with winter-spring air temperature according to our 6 ka lake model simulations, and are suppressed relative to air temperature changes. (Fig. 2). We used this relationship to estimate Holocene cold season air temperature and find that the warming trends are 0.12 °C/ka (11.7 ka cal BP – PI) and 0.28 °C/ka (8 ka cal BP – PI). Thus, we estimate that cold season air temperatures warmed by 1.4 °C over the whole Holocene and warmed by 2.2 °C after the EMHT. Although the post-EMHT warming trend may be enhanced by stochastic, high-frequency variability, the pattern of

change is conserved after smoothing the record (Fig. S7), suggesting the acceleration of warming in the mid- to late Holocene is a robust feature of the reconstruction.

The magnitudes and rates of reconstructed winter-spring temperature change at Lake E5 are in general agreement with GCM simulations. An ensemble of GCM output from the Proxy Model Intercomparison Project Phase III (PMIP3; Braconnot et al., 2012) simulates 0.5 °C cooler winter and spring temperatures at 6 ka relative to the PI at Lake E5 (Fig. 4), in accordance with the reconstructed temperature minimum at 8 – 6 ka cal BP (Fig. 3). Furthermore, the transient simulation by the Loch-Vecode-Ecbilt-Clio-Agism Model (LOVECLIM, Timm and Timmermann, 2007) simulates moderately warm early Holocene temperatures at Lake E5 followed by a temperature minimum at 7.1 ka cal BP and subsequent warming (Fig. 4). The transient simulation from Community Climate System Model 3 (CCSM3; Liu et al., 2009) reproduces the mild early Holocene temperatures seen in LOVECLIM and the proxy data, however it also simulates a subtle mid- to late Holocene cooling trend (Fig. 4). A transient simulation from the Fast Met. Office and UK universities Simulator (FAMOUS; Smith and Gregory, 2012) indicates pronounced Holocene warming occurring over both intervals in question (11.7 ka cal BP – PI and 8 ka cal BP – PI; Fig. 4). LOVECLIM, the transient ensemble mean (including LOVECLIM, CCSM3 and FAMOUS) and FAMOUS simulate warming trends of 0.064, 0.10 and 0.31 °C/ka, respectively, from 8 ka cal BP to the PI (Fig. 4; Table 1). Our reconstructed warming of 0.28 °C/ka falls within this range of GCM estimates. Furthermore, the whole Holocene trend derived from proxy data (0.12 °C/ka) is similar to the transient ensemble mean (0.10 °C/ka) for the same interval (Figure 4; Table 1).

515



516

517 **Fig. 4. PMIP3 and Transient simulations of winter-spring air temperature anomalies (vs. PI) at Lake**
518 **E5.** The PMIP3 Ensemble Mean is the average of the 8 simulations used in lake model experiments. The
519 Transient Ensemble Mean is the average of CCSM3, LOVECLIM, and FAMOUS simulations. All transient
520 simulations were resampled and smoothed for comparison with the Lake E5 reconstruction. All significant (p
521 < 0.01) post-EMHT warming trends are show with dashed lines. Significant ($p < 0.01$) warming trends over
522 the entire Holocene are shown with solid lines.
523

524

525 **Table 1.** Summary of Holocene winter-spring temperature trends in proxy data and models.

526

Time Series	11.7 ka – PI	8 ka – PI
Alkenone-inferred (Spring Lake T, °C/ka)	0.087	0.20
Alkenone-inferred (DJFMAM Air T, °C/ka)	0.12	0.28
LOVECLIM (DJFMAM Air T, °C/ka)	NT	0.064
CCSM3 (DJFMAM Air T, °C/ka)	-0.025	-0.049
FAMOUS (DJFMAM Air T, °C/ka)	0.34	0.31
Transient Ensemble Mean (DJFMAM Air T, °C/ka)	0.10	0.11

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NT = no significant trend
All indicated numeric trends are significant ($p < 0.01$)
Positive (negative) trends indicate Holocene warming (cooling)

4.3. Insolation and Greenhouse Gas Forcing of Holocene Winter-Spring Temperature

The distinct structure of Holocene warming recorded at Lake E5 diverges from other cold season temperature reconstructions in Eurasia and North America (Meyer et al., 2015; Baker et al., 2017; Marsicek et al., 2018), and may therefore offer new insight into Arctic cold season climate forcing mechanisms (Fig. 5). The temperature minimum from 8 – 6 ka cal BP at Lake E5 is not observed in these other cold season records (Baker et al., 2017; Marsicek et al., 2018). Notably, it occurs during Holocene minima in winter-spring (DJFMAM) insolation and GHG radiative forcing. These forcings increase by approximately 3.5 W m^{-2} and 0.5 W m^{-2} , respectively, from 8 ka cal BP to the PI and are accompanied by progressive cold season warming at Lake E5. Insolation during the opposite half year (JJASON) provides a much stronger and opposite forcing (-15 W m^{-2}), which is doubled when considering summer insolation alone (JJA; -36 W m^{-2}). Despite its larger amplitude, NHSI did not trigger Holocene cold season cooling according to our reconstruction and GCM simulations.

In addition to orbital and GHG forcing, ice sheet retreat has been identified as a major driver of winter warming during the Holocene in North America, Eurasia, and the North Atlantic (Liu et al., 2014; Baker et al., 2017; Marsicek et al., 2018). Our new reconstruction deviates from these records in the early Holocene, revealing a spatially variable imprint of ice sheet forcing on Holocene cold season climate. At Lake E5, the slightly warmer winter-spring temperatures in the early Holocene (as compared with 8 – 6 ka cal BP) are consistent with a reduced LIS that had retreated into present day north-

central and northeastern Canada, more than 1,000 km east of Lake E5 (Fig. 1, Dyke et al., 2004). We observe periods of warmth and even a slight cooling as retreat continued during the early Holocene, indicating that ice sheet effects were minimal or non-existent at our site (Fig. 5). In contrast, a recent synthesis of pollen records from North America and Europe shows cold season warming from the early to mid-Holocene (Fig. 5; Marsicek et al., 2018), as does a speleothem-based winter temperature reconstruction from Eurasia (Baker et al., 2017). These reconstructions are dominated by sites proximal to the continental ice sheets, within the influence of northerly winds induced by anticyclonic circulation over the ice sheet (Clark et al., 1999), or in the path of downstream atmospheric flow (Baker et al., 2017). We conclude that the pattern of temperature change in Arctic Alaska, including mild winter-spring temperatures in the early Holocene and pronounced warming after the EMHT, was primarily forced by winter-spring insolation and GHG forcing with minimal influences from ice sheet retreat despite its prominent role at other northern mid-latitude sites.

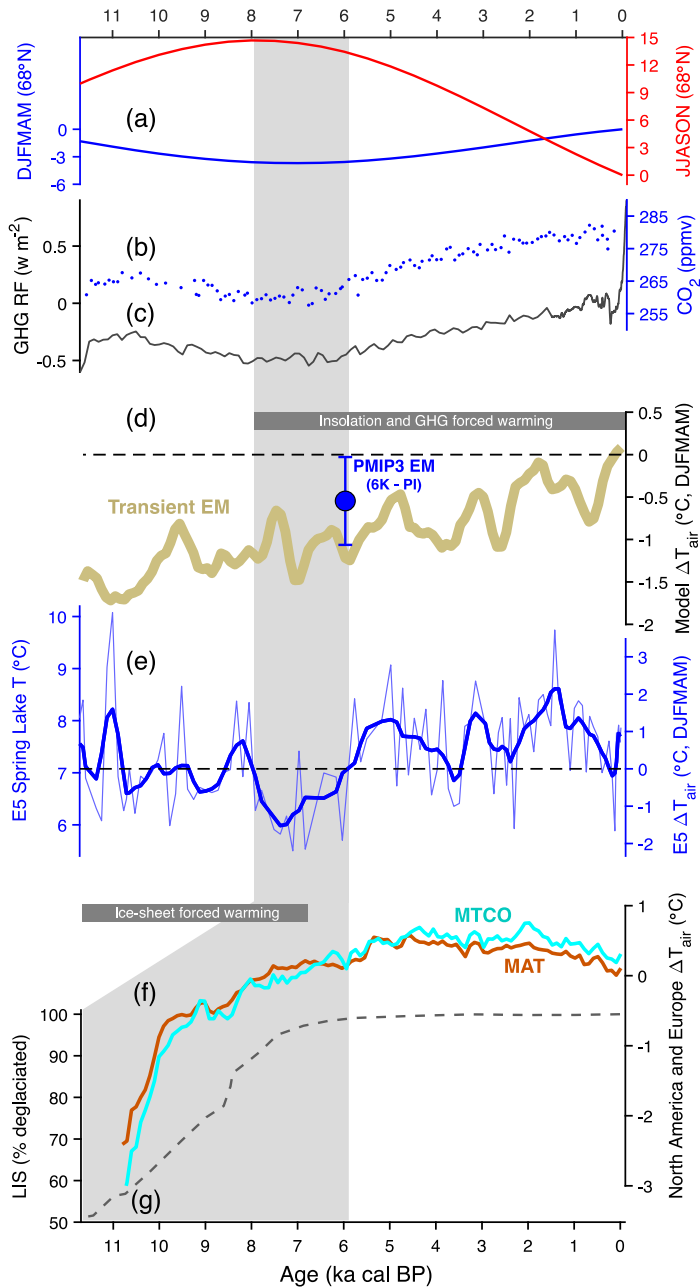


Fig. 5. Holocene cold season temperature in the context of climate forcings. (a) Mean insolation (W m^{-2} , 68°N) for the half year corresponding to the alkenone proxy (DJFMAM) and the opposing half year (JJASON). (b) CO_2 concentrations recorded in Antarctic ice (Monnin et al., 2001). (c) GHG radiative forcing from CO_2 , CH_4 , and NO_2 relative to the PI (Joos and Spahni, 2007). (d) GCM simulated cold season temperature anomalies (vs. PI) at Lake E5 from the 6 ka PMIP3 ensemble mean (blue dot), and the transient ensemble mean (gold). (e) Alkenone-inferred spring lake temperature (left axis) used to estimate DJFMAM air temperature anomalies (vs. PI) at Lake E5 (right axis). (f) Pollen proxy synthesis of mean annual temperature (MAT) and mean temperature of the coldest month (MTCO) from North America and Europe (Marsicek et al., 2018). (g) Time series of LIS deglaciation (Dyke, 2004). Gray shading indicates the Holocene temperature minimum at Lake E5 (d,e), which aligns with minima in DJFMAM insolation and GHG forcing (a-c). For the pollen synthesis, the Gray shading expands (f) to include the entire early Holocene, which was cool at mid-latitudes in North America and Eurasia in response to LIS retreat (g).

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570 Given that orbital forcing during the summer and fall (JJASON) represents a larger
 571 opposing forcing (15 W m^{-2}) than the combination of winter-spring (DJFMAM) insolation
 572 and GHG forcing (4 W m^{-2}) over the last 7 ka, it is crucial to assess whether the mean
 573 annual temperature (MAT) trend could have still been dominated by warming. Our
 574 reconstruction suggests that it was, with Holocene winter-spring warming at Lake E5 (1.4

– 2.2 °C) featuring a greater amplitude than the opposing summer cooling (~ 0.5 – 1 °C) seen in a synthesis of terrestrial records from Alaska (Kaufman et al., 2016) and in a recent summer temperature reconstruction from the Yukon based on precipitation isotopes in syngenetic permafrost (Porter et al., 2019). GCM output generally support this conclusion as PMIP3 simulations suggest MATs at 6 ka did not exceed PI temperatures (Fig. 2). Indeed, new analysis of 6 ka climate simulations from the next phase of the Proxy Model Intercomparison Project (PMIP4), which improves upon PMIP3 by including realistic 6 ka GHG concentrations, shows more pronounced cooling at 6 ka vs. the PI for most parts of the world, including Alaska (Brierley et al., in review, 2020). Transient model simulations are more equivocal on whether mean annual temperature warmed or cooled in Alaska through the Holocene; two models (CCSM3 and LOVECLIM) indicate slight cooling in MAT while one model (FAMOUS) shows strong warming in MAT.

4.4. Regional Holocene Climate and Millennial-Scale Changes

Terrestrial temperature records from Alaska and Yukon (Eastern Beringia) have primarily been interpreted to represent summer temperature changes. Quantitative midge-based summer temperature reconstructions suggest significant spatiotemporal temperature variability in the region, with some records showing early Holocene summer warmth (e.g. Kurek et al., 2009), while others suggest stable Holocene temperatures (Irvine et al., 2012). South-central Alaska differs further with midge reconstructions indicating non-linear responses to orbital forcing and a mid-Holocene thermal maximum (e.g. Clegg et

al., 2011). Pollen records have been used to reconstruct summer, winter and mean annual temperatures quantitatively through the Modern Analog Technique (Viau et al. 2008). However, these reconstructions may be compromised by non-analog pollen assemblages and broad ranges for key species in the transfer functions (Birks et al., 2011). Indeed, the quantitative pollen reconstructions are often at odds with qualitative pollen interpretations from the region (Viau et al., 2008). Taken at face-value, pollen reconstructions of summer temperature also suggest a mid-Holocene thermal maximum. Furthermore when pollen, midge and other geochemical records are combined into an Eastern Beringia composite, they suggest very little temperature change over the Holocene ($<1^{\circ}\text{C}$) due to the averaging out of spatiotemporal variations, but generally indicate a mid-Holocene thermal maximum roughly aligned with summer insolation forcing (Fig. 6b; Kaufman et al., 2016). Although this composite includes a limited number of marine records and records representing the cold seasons, it is dominated by records interpreted to reflect summer temperature over land.

In contrast to the summer- and terrestrial-dominated composite (Kaufman et al., 2016), marine records from the North Pacific and Arctic Ocean show more correspondence with winter-spring temperature changes at Lake E5. The 8 – 6 ka cal BP temperature minimum and subsequent warming trend at Lake E5 is captured in regional sea surface temperature (SST) records from the Chukchi Sea, Bering Sea and Gulf of Alaska (Fig. 6; McKay et al., 2008; Harada et al., 2014; Praetorius and Mix et al., 2014; locations shown in Fig. 1). Notably, these records were interpreted to reflect either mean annual or summer SST, but were likely sensitive to winter climate dynamics governing sea level

pressure and surface ocean and atmospheric circulation in the North Pacific (Trenberth and Hurrell, 1994). Additionally, sea ice reconstructions from the Beaufort and Chukchi Seas broadly indicate periods of reduced sea ice after 6 ka cal BP (Fig. 7; McKay et al., 2008; de Vernal et al., 2013; locations shown in Fig. 1). Ice in the Bering Sea forms during the winter months and is sensitive to winter-spring climate dynamics affecting ice formation and advection (Zhang et al., 2010). Bering Sea ice generally decreased after 7.5 ka cal BP (Fig. 6; Katsuki et al., 2009, Harada et al., 2014), consistent with post-EMHT winter-spring warming. While sea ice concentrations likely responded to the same GHG forcing and winter-spring orbital forcing trends as our proxy record, they also impact terrestrial winter temperature and lake ice dynamics in northern Alaska (Alexeev et al., 2016) and therefore potentially amplified spring lake temperature changes. Overall, the agreement between these marine sites and the Lake E5 record demonstrates a coherent regional pattern of Holocene winter-spring temperature evolution driven by radiative forcing and potentially amplified by Northern Pacific atmosphere-ocean dynamics and sea ice feedbacks.

Although the sea ice records in the Chukchi and Bering Seas indicate reduced ice cover in the mid- to late Holocene, reconstructions from other regions within the Arctic Ocean and Nordic Seas demonstrate opposite features and trends (de Vernal et al., 2013). For example, ice cover expanded during the early Holocene in the Laptev Sea and maintained high levels through the middle and late Holocene (Fahl and Stein, 2012). Multiproxy records from the northern margin of Iceland indicate that sea ice export from the Arctic Ocean through the Fram Strait steadily increased through the mid-Holocene in step with

neoglaciation (Moros et al., 2006; Cabedo-Sanz et al., 2016). Together, the available sea ice records from the Arctic Ocean and adjacent seas suggest sea ice dynamics had unique regional patterns during the Holocene, likely in response to shifts in coupled atmosphere-ocean circulation patterns and relative changes in Fram Strait and Bering Strait throughflow (Darby et al., 2012; de Vernal et al., 2013). Therefore, Bering and Chukchi sea ice impacts on winter-spring temperature trends at Lake E5 were most likely regional in nature and may have been mitigated to some extent by differing pan-arctic sea ice trends during the Holocene.

In addition to the long-term Holocene trends, multi-centennial to millennial variability was a prominent feature of the Lake E5 record. Winter-spring temperatures show prominent local maxima centered on 5.2 and 1.4 ka cal BP (Fig. 6), with smaller events visible at 11, 9.5, 8.3 and 3.2 ka cal BP. Sea ice extent and sea ice drift reconstructions suggest that millennial-scale variability was a feature of Holocene climate in the Beaufort, and Chukchi Seas (Darby et al., 2012; de Vernal et al., 2013). Climate variability associated with changes in the strength and position of the Aleutian Low (AL) is broadly present in moisture sensitive records from southern Alaska (Anderson et al., 2005; Fisher et al., 2008; Kaufman et al., 2016) and has been shown to influence winter climate into interior Alaska (Clegg et al., 2010). While the direct impacts of the AL on Alaskan terrestrial climate are spatially complex (Anderson et al., 2015; Kaufman et al., 2016) there are several lines of evidence that the AL drove millennial-scale climate changes in Alaska (Kaufman et al., 2016). We suggest that millennial-scale winter-spring temperature oscillations in our reconstruction from Lake E5 were driven by a

combination of ocean-atmosphere dynamics associated with the Aleutian Low, and Arctic Ocean circulation changes controlling sea ice dynamics. Solar activity has been linked to higher frequency variability in the region (Hu et al., 2003) and indeed the general trend of increasing total solar irradiance from 8 to 4 ka cal BP (Steinhilber et al., 2012) is in agreement with winter-spring warming at Lake E5. However, higher frequency oscillations in total solar irradiance generally did not correlate with those in the Lake E5 record.

The most pronounced of the submillennial-scale changes observed during the Holocene at Lake E5 was a rapid warming of 1.6 ± 0.6 °C around 5.8 ka cal BP (Table S2) that culminated in the temperature maxima at 5.2 ka cal BP. The event was recorded regionally in records of Chukchi Sea ice extent, Bering Strait through-flow and Gulf of Alaska SST (Fig. 6; McKay et al., 2008; Ortiz et al., 2009; Praetorius et al., 2015; Polyak et al., 2016). It is also a prominent feature of North American and European climate and aligns with mid-Holocene global change (Marsicek et al., 2019). Thus, millennial-scale variability in cold season temperatures at Lake E5 likely had both regional and global origins.

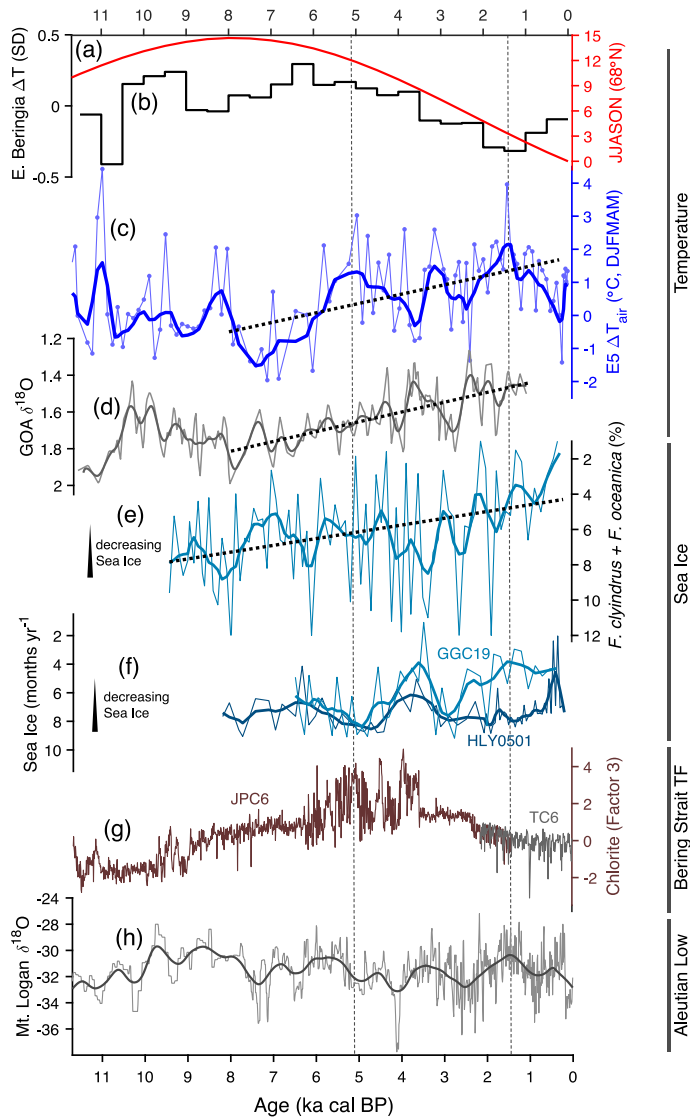


Fig. 6. Holocene climate in Eastern Beringia. (a) Mean insolation (W m^{-2} , 68°N) for the summer and fall (JJASON). (b) Composite of normalized summer and/or mean annual temperature reconstructions from Eastern Beringia (Kaufman et al., 2016). (c) Alkenone-inferred DJFMAM air temperature at Lake E5 shown as anomalies (vs. PI) and with 8 ka - PI trend. (d) Gulf of Alaska $\delta^{18}\text{O}$ records from planktonic foraminifera interpreted as an indicator of mean annual SST (Praetorius and Mix, 2014). The record is shown with LOWESS smoothing and the post-EMHT trend. (e) Relative abundance of sea ice diatoms in core MR06-04-GC33 from the Bering Sea show with whole-record trend (Katsuki et al., 2009; Harada et al., 2014). (f) Dinocyst-based reconstructions of annual sea ice duration from Chuckchi Sea cores (McKay et al., 2008; de Vernal et al., 2013). (g) Proxy for Bering Strait throughflow based on chlorite transport to the Chukchi Sea from the North Pacific (Ortiz et al., 2009). (h). Mt. Logan ice core $\delta^{18}\text{O}$ (Fisher et al., 2008). Vertical dotted lines highlight two millennial-scale winter-spring warm periods at Lake E5, which align with strengthened Bering strait throughflow and reduced Chukchi sea ice and Aleutian Low shifts, respectively.

5. Conclusions

This study provides the first quantitative alkenone-based reconstruction of Late Glacial and Holocene spring lake temperature change from Arctic Eastern Beringia. Our 16,000-year reconstruction from Lake E5 documents a series of rapid deglacial warming events that align with glacial retreat and paleo-ecological change in the region. Abrupt deglacial warming gave way to mild temperatures in the early Holocene and a long-term winter-spring warming trend. This trend occurred throughout the entire Holocene, but accelerated after 8 ka cal BP, corroborating surface temperature trends inferred from GCMs.

Our reconstruction supports the hypothesis that a warm season bias exists in regional and global proxy syntheses. Despite larger seasonal forcing from northern hemisphere summer insolation, winter-spring temperatures warmed considerably during the Holocene, balancing or exceeding summer cooling seen in a regional proxy synthesis from Eastern Beringia (Kaufman et al., 2016). Cold season temperature changes in our record, including early Holocene mild cooling followed by progressive warming since ~8 ka cal BP, mimic the pattern of change in GHG radiative forcing and cold season insolation, highlighting these factors, rather than ice sheet retreat, as the dominant climate drivers.

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Competing Financial Interests

The authors declare no competing financial interests.

Data Availability

Data presented in this manuscript are archived and publicly available at the National Science Foundation Arctic Data Center (doi:10.18739/A2CN6XZ7H).

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