

1 **A newly developed APCC SCoPS and its prediction of East Asia**
2 **seasonal climate variability**

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Abstract

49 The Asia Pacific Economic Cooperation (APEC) Climate Center (APCC) in-
50 house model (Seamless Coupled Prediction System: SCoPS) has been newly developed
51 for operational seasonal forecasting. SCoPS has generated ensemble retrospective
52 forecasts for the period 1982–2013 and real-time forecasts for the period 2014–current.
53 In this study, the seasonal prediction skill of the SCoPS hindcast ensemble was
54 validated compared to those of the previous operation model (APEC Climate Center
55 Community Climate System Model version 3: APCC CCSM3). This study validated the
56 spatial and temporal prediction skills of hindcast climatology, large-scale features, and
57 the seasonal climate variability from both systems. A special focus was the fidelity of
58 the systems to reproduce and forecast phenomena that are closely related to the East
59 Asian monsoon system. Overall, both CCSM3 and SCoPS exhibit realistic
60 representations of the basic climate, although systematic biases are found for surface
61 temperature and precipitation. The averaged temporal anomaly correlation coefficient
62 for sea surface temperature, 2-m temperature, and precipitation from SCoPS is higher
63 than those from CCSM3. Notably, SCoPS well captures the northward migrated
64 rainband related to the East Asian summer monsoon. The SCoPS simulation also shows
65 useful skill in predicting the wintertime Arctic Oscillation. Consequently, SCoPS is
66 more skillful than CCSM3 in predicting seasonal climate variability, including the
67 ENSO and the Arctic Oscillation. Further, it is clear that the seasonal climate forecast
68 with SCoPS will be useful for simulating the East Asian monsoon system.

69 Key words: APCC in-house model, SCoPS, Seasonal prediction, East Asian monsoon

70 **1. Introduction**

71 It has been demonstrated that a fully coupled general circulation model is the
72 ultimate tool for subseasonal to seasonal climate prediction. Dynamical prediction
73 systems have been continuously progressed for operational medium-range weather and
74 seasonal prediction (e.g., Molteni et al. 1996; Kusunoki et al. 2001; Saha et al. 2006,
75 2014; Arribas et al. 2011; Molteni et al. 2011; MacLachlan et al. 2015; Lee et al. 2014).
76 These dynamical prediction models in operational centers are almost fully coupled
77 climate system models that include comprehensive dynamics and physics of the
78 atmosphere, land surface, ocean, and sea ice interactions. Many studies have
79 demonstrated the importance of model resolution and atmospheric physics as well as the
80 model system on various simulated climate variations. For example, Yao et al. (2016)
81 suggested that coupled model results with higher resolution lead to improved prediction
82 skill on produced climate variations over the western equatorial Indian Ocean. Ham et al.
83 (2014) investigated the effects of an improved coupled system on the simulated seasonal
84 climate over East Asia.

85 For this reason, operational coupled seasonal forecast systems, including the
86 Climate Forecast System from the National Centers for Environmental Prediction
87 (NCEP CFS) (Saha et al. 2014), European Centre for Medium-Range Weather Forecasts
88 (ECMWF), United Kingdom Meteorological Office (UKMO), and Meteo-France
89 (MacLachlan et al. 2015), as well as many other research groups, are continuously
90 updating their seasonal prediction systems with improved physics and increased
91 resolution. The horizontal resolution of the ECMWF Integrated Forecast System has
92 increased from T159 (System 3; Anderson et al. 2007) to T255 (System 4; Molteni et al.
93 2011) (from approximately 125 km to 80 km) with model version updating. The UKMO

94 has also increased the atmospheric resolution of the seasonal prediction system to
95 N216L85 (approximately 60 km) in Global Seasonal Forecasting System version 5
96 (GloSea5) (MacLachlan et al. 2015).

97 A number of studies mentioned the importance of initialization processes for the
98 prediction skill in the coupled system. For example, Kug et al. (2010) have developed a
99 new method that conducting empirical singular vectors for initial perturbation in an
100 ensemble prediction system. Ham and Rienecker (2012) suggested an improvement in
101 the El Niño-Southern Oscillation (ENSO) prediction using the ensemble generation
102 method in their 20-year reforecast simulation. Koster et al. (2010) mentioned that there
103 is room for improvement in prediction skills for precipitation and surface temperature in
104 land surface initialization. Recently, the importance of initializations of land surface or
105 sea ice content is noted at sub-seasonal to seasonal scales. Prodhomme et al. (2016)
106 showed that realistic initialization of land surface plays a role of improved prediction
107 skill. Dirkson et al. (2017) suggested that accurate initialization of sea ice thickness can
108 improve the seasonal prediction skill for Arctic sea ice area and concentration.

109 Since 2007, the Asia-Pacific Economic Cooperation (APEC) Climate Center
110 (APCC) has issued global temperature and precipitation prediction information for
111 every following 3–6 month period via the website (<http://www.apcc21.org>). These
112 deterministic and probabilistic forecasts have been produced by the well-validated
113 multi-model ensemble (MME) prediction (Min et al. 2014). Since 2012, the APCC has
114 provided seasonal prediction data as one provider to the MME prediction system using
115 the Community Climate System Model version 3 (CCSM3) with sea surface
116 temperature (SST) nudging from the Global Ocean Data Assimilation System (GODAS)
117 (APCC CCSM3; Jeong et al. 2008). Recently, the prediction skill of CCSM3 has met

118 the limitations of the old version of the model system with low resolution and simple
119 initialization. To enhance the quality and application of climate forecast information, the
120 APCC has developed an in-house prediction model with a research group from the
121 University of Hawaii, USA. The newly developed high-resolution climate prediction
122 model, termed the Seamless Coupled Prediction System (SCoPS), is a fully coupled
123 ocean, atmosphere, land, and sea ice component model with coupled atmosphere-ocean
124 initialization.

125 Since various validations on historical reforecasts (i.e., hindcast) can provide a
126 useful guideline for understanding its characteristic, it is very important to further
127 improve the prediction system. In this paper, the newly developed seasonal prediction
128 model (SCoPS) is described and evaluated alongside previous operation model (APCC
129 CCSM3) with a basic validation of the prediction system to reproduce the seasonal
130 climate variability. We also present analysis of the performance of SCoPS for the East
131 Asian monsoon system. The paper is divided into the following sections: a brief
132 description of the APCC CCSM3 and SCoPS framework for hindcast experiments is
133 provided in section 2; section 3 examines hindcast climatology and prediction skills,
134 which are closely related to the East Asian climate; and section 4 summarizes the results
135 and provides major conclusions.

136

137 **2. Model description**

138 *a. APCC CCSM3*

139 CCSM3 has been designed to produce simulations with reasonable fidelity over a
140 wide range of resolutions and with a variety of atmospheric dynamical frameworks. It is
141 a community model system for climate simulation, which includes the Community

142 Atmosphere Model version 3 (CAM3; Collins et al. 2004, 2006), the Community Land
143 Surface Model version 3 (CLM3; Oleson et al. 2004; Dickinson et al. 2006), and the
144 Community Sea Ice Model version 5 (CSIM5; Briegleb et al. 2004). The ocean
145 component is based on the Parallel Ocean Program (POP) version 1.4.3 (Smith and
146 Gent 2002). Based on generally realistic initial conditions, SST-nudging, an empirical
147 method for data assimilation, is used for initialization in APCC. Further information on
148 the APCC CCSM3 is given in Collins et al. (2006), Jeong et al. (2008), and Kim et el.
149 (2017).

150

151 *b. SCoPS*

152 The International Pacific Research Center (IPRC) and University of Hawaii (UH)
153 modeling group have developed a new coupled atmosphere-ocean model (POEM)
154 which is based on the POP v2.0 model for the oceanic component, the Ocean-
155 Atmosphere-Sea Ice-Soil (OASIS v3.0) coupler, and the ECMWF-Hamburg
156 Atmospheric Model (ECHAM v4.6) as the atmospheric component (Xiang et al. 2012).
157 A research group at University of Hawaii developed the original version of the in-house
158 prediction model for APCC under the “Agreement between the APEC Climate center
159 and the University of Hawaii on the APCC international research project for
160 development of APCC seamless prediction system”. Based on the POEM system,
161 SCoPS has been newly developed as a fully coupled climate model for seamless
162 prediction of weather and climate (APCC project report 2015). SCoPS consists of the
163 ECHAM version 5.3 (Roeckner et al. 2003, Hagemann et al. 2006) and the Sea Ice
164 Model version 4.1 (Hunk and Lipscomb 2010). The ocean component is based on the
165 Parallel Ocean Program (POP) version 1.4.3 (Smith and Gent 2002). Compared with the

166 POEM model (Xiang et al. 2012) as well as the previous operational model, APCC
167 CCSM3, SCoPS has some distinct improvements: a newly developed coupled
168 atmosphere-ocean initialization, implanting a sea ice model, updated model physics and
169 coupler versions, and an increase in the atmosphere and ocean model resolutions.

170 Triangular truncation of the atmosphere component occurs at wavenumber 159
171 (480 zonal grid and 240 meridional grids in post-processing). A hybrid coordinate
172 system is used in the vertical direction with top to 10 hPa: a sigma system at the lowest
173 model level gradually transforms into a pressure system in the lower stratosphere. The
174 surface temperature is used as a boundary condition to determine the vertical profile
175 within the five-layer soil model assuming vanishing heat fluxes at the bottom (10-m
176 depth). The ocean component configuration is 320 (zonal) \times 384 (meridional) grid
177 points (meridionally about 0.3° in the near equatorial region) and 40 vertical levels. A
178 solar absorption component based on specified monthly mean surface chlorophyll
179 concentrations (Ohlmann 2003) is imbedded. The CICE v4.1 model details can be found
180 in the study by Hunk and Lipscomb (2010). These model components are coupled by an
181 OASIS3-MCT coupler interface (Larson et al. 2005). Atmosphere, ocean, and ice
182 models exchange 36 variables including SST, surface fluxes, and ice components daily.

183 High quality climate forecasting relies on and requires improvement of climate
184 models and use of advanced data assimilation methods that make full use of observation
185 data. A synthesized atmosphere-ocean initialization scheme has been newly developed
186 in this system, combining atmospheric 3-dimensional nudging and ocean 3-dimensional
187 initialization using Ensemble Adjustment Kalman Filter methods (EAKF, Zhang et al.
188 2007; Anderson 2001). To generate perturbed initial conditions for the ensemble
189 hindcasts and forecasts, three major steps are taken: 1) generation of model-compatible

190 data set from analysis datasets; 2) nudging the model-compatible 3-D reanalysis data
191 into the model; and 3) generation of perturbed ensemble initial conditions.

192

193 *c. Hindcast simulation*

194 Both systems have reproduced reforecast simulations for evaluating and calibrating
195 the model simulation. APCC CCSM3 seasonal reforecasts have 10 ensemble members
196 using the time-lagged method for a 1-month lead 6-month forecast. For a first-guess
197 data of January 1, 1982, the atmosphere model is integrated for the period from 1971 to
198 1981 (11 years) using GODAS SST (Behringer et al. 1998). Using reproducing fluxes in
199 an atmospheric simulation, the POP ocean model is executed for the same period. For
200 the period 1982 to 2013, the initial condition for January 1, 1982 is nudged on day 1, 6,
201 11, 16, 21, and the last 5 days of every month using the GODAS vertical ocean
202 temperature. Further details on the APCC CCSM3 reforecast are given in Jeong et al.
203 (2008).

204 SCoPS has generated ensemble retrospective forecasts for the period 1982–2013
205 and real-time forecasts for the period 2014–current. Reforecast simulations commenced
206 at fixed calendar dates — the 1st and 5th of each month — with 5 ensemble members
207 perturbed following Gaussian distribution and integrated up to 7 months for a 1-month
208 lead 6-month forecast. The ensemble initial conditions for January 1, 1982 are from the
209 results from a 100-year free run SCoPS simulation. The initial data is assimilated every
210 day from January 2, 1982 to December 31, 2013 using NCEP CFS reanalysis data (Saha
211 et al. 2010) and World Ocean Database subsurface profile data including mechanical
212 bathythermograph data (MBT), expendable bathythermograph data (XBT), profiling
213 float data (PFL), ocean station data (OSD), conductivity-temperature-depth data (CTD),

drifting buoy data (DRB), and Moored buoy data (MRB) (Boyer et al. 2013). In this system, the observed temperature (T) and salinity (S) are not only used to correct themselves but also to correct each other since the conservation of the T-S balance has been shown to be an important factor in successful data assimilation (Zhang et al. 2007). Vertically, only the profile data above 400 m is used since the deeper ocean is not expected to affect the seasonal forecast skill. Spatially, the observational data from the band between 50° S–50° N is used. Meanwhile, in real-time seasonal forecasting for the period 2014–current, the real-time combined ocean vertical profile dataset for temperature and salinity from the international Argo project is used for ocean initialization.

224

225 *d. Evaluation*

It is very well known that tropical large-scale circulations, such as Hadley, Walker, and monsoon are the most important driving source of general circulation at low latitudes, and their interannual variations largely impact climate characteristics in various regions. Tanaka et al. (2004) attempted to divide the divergent field in the upper troposphere into represented circulations such as Hadley, Walker, or global monsoon using the 200-hPa level seasonal velocity potential. They mentioned that the 200-hPa velocity potential very well represents overall characteristics such as intensity and variation in tropical circulations because they are each driven by different dynamical causes. Tanaka et al. (2004) defined the Hadley circulation as the axisymmetric part of the circulation, which represents the zonal mean field of the velocity potential. The monsoon circulation is defined as part of the seasonal variation of the deviation field. For this reason, the seasonal-mean is subtracted from the deviation field to define the

238 monsoon circulation. More detailed definitions and analysis from field observations can
239 be found in Tanaka et al. (2004). In this study, global monsoon circulation information
240 using upper-level velocity potential from reanalysis and predicted results were evaluated
241 following the methodology of Tanaka et al. (2004).

242 For other validations, SST data was obtained from the monthly National Oceanic
243 and Atmospheric Administration (NOAA) Optimum Interpolation (OI) SST V2
244 (Reynolds et al. 2002). The air temperature at 2 m (T2m), mean sea level pressure (SLP),
245 wind vector, and geopotential height data were obtained from the NCEP reanalysis 2
246 (RA2) and ERA-Interim reanalysis products (Kanamitsu et al. 2002; Dee et al. 2011)
247 from 1982. The Global Precipitation Climatology Project (GPCP) version 2.1 combined
248 precipitation dataset (Adler et al. 2003) and Asian Precipitation — Highly-Resolved
249 Observational Data Integration Towards Evaluation of the Water Resources
250 (APHRODITE) datasets (Yatagai et al. 2012) were used.

251

252 **3. Results**

253 *a. Systematic biases*

254 Figure 1 shows the spatial distribution of 1-month lead 3-month mean forecast
255 biases of surface temperature, obtained from CCSM3 and SCoPS for the seasons of
256 June-July-August (JJA) and December-January-February (DJF). CCSM3 and SCoPS
257 represent the observed temperature patterns generally well in both seasons. However,
258 the CCSM3 simulation shows slight warm or cold biases over the Eurasia region and
259 significant warm biases over South America. In the SCoPS simulation, systematic
260 biases in surface temperature prediction are significant, especially warm biases over
261 North and South America and cold biases over the Eurasian region. Pattern correlation

262 coefficients from both models are quite high, around 0.9 for both seasons. These biases
263 pattern of 1-month lead-time forecast is almost same to those of 4-month lead-time
264 forecast, although systematic biases get stronger as the lead time increases (not shown).

265 Figure 2 shows the spatial distribution of precipitation biases of model prediction in
266 JJA and DJF. The GPCP observations show the peaks of the mean precipitation pattern
267 over the intertropical convergence zone (ITCZ) on the Pacific as well as the western
268 Pacific, South China Sea, and equatorial Indian Ocean (not shown here). The CCSM3
269 and SCoPS hindcast climatology generally well captures the observed wet regions,
270 although there are different notable biases in the two models. In JJA, the predicted
271 precipitation in CCSM3 tends to be overestimated over the equatorial central Pacific
272 and parts of the Indian Ocean. Dry biases are also found in the Atlantic ITCZ, western
273 Pacific, parts of the Indian Ocean, and the northeastern Pacific. Conversely, the SCoPS
274 simulation generally tends to overestimate precipitation over the central Pacific ITCZ,
275 the Atlantic ITCZ, and maritime continental regions. Some dry biases are also found in
276 the central equatorial Pacific. In DJF, the CCSM3 hindcast shows wet biases over the
277 eastern Pacific, northern central Pacific, and western Indian Ocean, and dry biases are
278 exhibited over the eastern Indian Ocean. Conversely, the SCoPS simulation shows
279 overestimated rainfall over the central Pacific ITCZ in the winter Northern Hemisphere.
280 Pattern correlation coefficients from SCoPS are higher than those from CCSM3
281 throughout both seasons.

282 To examine seasonal prediction skill, the anomaly temporal correlation coefficient
283 (TCC) of the sea surface temperature and precipitation between reanalysis data and 1-
284 month lead hindcast anomalies are calculated for JJA and DJF (Figs. 3 and 4). The TCC
285 for the sea surface temperature anomaly for each hindcast simulation compared to

286 NCEP RA2 data are shown in Fig. 3. Generally, the greatest prediction skill for sea
287 surface temperature is in the tropics, especially in regions related to the ENSO, with the
288 northern Pacific and equatorial Atlantic also showing high skill in both models. The
289 SCoPS JJA prediction with 1-month lead shows higher prediction skill over the western
290 Pacific, equatorial Pacific, and Indian Ocean than CCSM3. For DJF prediction, SCoPS
291 shows higher skill in the northern Pacific and Indian Ocean than CCSM3. Although the
292 TCC of temperature indicates the greatest skill over the tropical Pacific, it is quite low
293 in most of the other areas. An impressive feature of SCoPS is that it maintains a higher
294 TCC skill over the western northern Pacific and Indian Ocean than CCSM3 for both
295 seasons.

296 Figure 4 shows the TCC of precipitation for JJA and DJF prediction with a 1-month
297 lead. The prediction skill for precipitation is greater over the tropics than the extra-
298 tropics and greater over ocean than land as known from other studies (Kim et al. 2012;
299 Peng et al. 2011). These patterns from the seasonal prediction skill of CCSM3 and
300 SCoPS are not much different from those of other seasonal prediction systems (e.g.,
301 Wang et al. 2009; Kim et al. 2012; Lee et al. 2014). In both season predictions, it is
302 clear that the skill of SCoPS is higher than that of CCSM3 over the Indian Ocean and
303 northern western Pacific, although some regions have lower skill.

304 Figure 5 shows the seasonal prediction skill as the averaged temporal correlation
305 coefficient of the sea surface temperature, 2-m temperature, and precipitation anomalies.
306 TCC is calculated for 1- to 4-month lead 3-month hindcasts (JJA, DJF) globally and for
307 the East Asian region. The SST prediction skill is higher than the 2-m temperature and
308 precipitation for JJA and DJF. The results indicate that the prediction skill generally
309 decreases to the forecast lead time. Also, the prediction skill from SCoPS for all

310 variables is significantly higher than CCSM3 for the 1-month lead for both seasons and
311 both regions, although some variables show lower skill for a long lead time. In
312 particular, the SST prediction skill from SCoPS is about 0.5 for the East Asian region.

313 Climate variability as well as climatology is also important factor to assess the
314 seasonal prediction skill. Many studies have analyzed the signal to noise (SN) ratio to
315 assess the predictability of seasonal prediction system with lead-time (Peng et al. 2011;
316 Peng et al. 2014). Due to the APCC seasonal forecast system is for 3-month or longer
317 target season, SN ratio for a fixed target season of JJA from CCSM3 and SCoPS with 1
318 and 4 month lead-time are shown in figures 6 and 7. Here, ‘signal’ indicates standard
319 deviations of the ensemble mean, and ‘noise’ indicates standard deviations of ensemble
320 members about ensemble mean. In other words, the SN ratio is computed as the ratio of
321 variance of ensemble means, and variance of individual forecasts from the ensemble
322 mean forecast. Larger (small) SN ratio indicates higher (lower) predictability.

323 Shown in Fig. 6 is the SN ratio for SST, precipitation, and 200 hPa geopotential
324 heights from CCSM3 and SCoPS with 1 month lead-time. For SST, SN ratio from both
325 systems shows highest in the eastern equatorial tropical Pacific related to the ENSO.
326 CCSM3 show high SN ratio in high latitude region in southern hemisphere, while
327 SCoPS show that in northern Pacific, Greanland, as well as Atlantics. For SN ratio for
328 precipitation prediction with 1-month lead forecast is largest in the tropics and decreases
329 in the extratropical latitudes for both systems. For 200 hPa geopotential height, the high
330 SN ratio is also concentration in Tropics for both models, but SCoPS show higher SN
331 ratio in broaden region than CCSM3. Also, the reason of low SN ratio in extrtropics is
332 large standard deviation of individual forecasts from the ensemble mean forecast (i.e.,
333 noise) (not shown). This finding about ‘noise’ in extratropics is consistent with Peng et

334 al. (2011).

335 SN ratio for atmospheric variables from CCSM3 and SCoPS with 4 month lead-
336 time is shown in figure 7. Compared to the results with 1 month lead-time in Fig. 6, SN
337 ratio for all variables shows decrease to the lead-time. For structure of SN ratio for SST,
338 precipitation from CCSM3 and SCoPS are not much differ each other. However, for SN
339 ratio of 200 hPa geopotential height, SCoPS is still higher than CCSM3 in tropics.
340 These results indicate that large-scale circulation related to the height from SCoPS is
341 more reliable than that from CCSM3 with long lead-time, although both systems have
342 quite big uncertainty in precipitation. Also, SST forecasts from both systems quite well
343 stay high signal with 4-month lead-time, it is due to the SST characteristic with slowing
344 vary.

345 It is well known that the ENSO is the main driver of interannual variability in the
346 tropics. A good representation of it and its teleconnections are very important for good
347 climate prediction skill. Figure 8 shows the results of a comparison between the lead
348 time dependence of the SST TCC and RMSE in the Niño 3.4 and Niño 3 regions, with
349 the OISST observational dataset for hindcasts initialized in May and November. Overall,
350 the skill of the Niño indices is generally good, although the skill tends to decrease with
351 lead time. Both SCoPS and CCSM3 exhibit higher skill for the November-initialized
352 hindcast than the May-initialized hindcast. SCoPS shows slightly higher skill than
353 CCSM3 until the 5-month lead time over the Niño 3.4 and Niño 3 regions for the
354 hindcast initialized in November. However, the skill of SCoPS May-initialized hindcast
355 is not much more different than CCSM3 for both indices. However, the RMSE of the
356 SST from SCoPS for the Niño 3.4 region in the run initialized in May is worse than that
357 from CCSM3 (Fig. 8c), due to the fact that there are cold biases in the tropical Pacific in

358 the SCoPS prediction.

359

360 *b. East Asian summer climate variability*

361 First, the velocity potential and divergent wind at 200 hPa averaged for JJA are
362 plotted to examine the summer monsoon variability (Figs. 9a, b, c). In the observed
363 velocity potential distributions (Fig. 9a), a positive peak with a value of nearly 20 ($\times 10^6$
364 $\text{m}^2 \text{s}^{-1}$) is located northwest of the Philippines in JJA. The minimum is seen over the
365 southern Atlantic Ocean, with a value of -10 ($\times 10^6 \text{ m}^2 \text{s}^{-1}$). Hereafter, the velocity
366 potential “units” of measurement are assumed to be $10^6 \text{ m}^2 \text{s}^{-1}$ for simplicity. A strong
367 divergent wind related to the Hadley circulation is shown from the northern to southern
368 Hemisphere. The combined Hadley, Walker, and monsoon circulation shows a strong
369 convection located in the Philippines. Both 1-month lead hindcast simulations generally
370 represent the 200-hPa velocity potential pattern well, and the positive and negative
371 peaks are also captured. However, the SCoPS simulation tends to overestimate its
372 intensity, while the CCSM3 run shows a weak intensity over the peak regions in
373 summer (Figs. 9b, c).

374 To extract the monsoon variability, following Tanaka et al. (2004) deviation from
375 the zonal and annual mean of velocity potential is calculated (Figs. 9d, e, f). In JJA, the
376 observations show a dominant positive (negative) peak located over East Asia (Pacific
377 and Atlantic oceans). This is a feature of the Northern Hemisphere summer, which
378 includes an upper air divergence over East Asia and an upper air convergence over the
379 Pacific and Atlantic oceans related to the East Asian summer monsoon. A convection
380 center located near the Philippines in the mean velocity potential field (Fig. 9a) can be
381 explained by a superposition between one over land associated with the monsoon

382 circulation (Fig. 9d) and another near the equator associated with the Walker circulation
383 (not shown). CCSM3 underestimates the upper air divergence over East Asia and splits
384 the peak into two over the eastern Pacific, while SCoPS results are closer to the
385 observations than those from the CCSM3 hindcast (Fig. 9f). Based on the results, we
386 conclude that the overestimated mean velocity potential in the SCoPS simulation (Fig.
387 9c) is due to the enhanced Hadley circulation (not shown), and the underestimated mean
388 velocity potential in CCSM3 (Fig. 9b) is due to the weak simulated monsoon circulation
389 (Fig. 9e). Also, it is sure that large-scale circulation features from SCoPS can expect to
390 more realistic variability related to the monsoon than that from CCSM3.

391 Figure 10 shows the climatological mean precipitation and the 850-hPa zonal wind
392 over the East Asian region during summer (June–August) in observations (GPCP and
393 APHRODITE for precipitation; ERA-Interim reanalysis for zonal wind) and hindcasts
394 from CCSM3 and SCoPS. Note that horizontal resolution of GPCP is $2.5^\circ \times 2.5^\circ$, while
395 that of APHRODITE is $0.25^\circ \times 0.25^\circ$ with land-only data. In the climatology for JJA,
396 two major areas of strong precipitation are observed. One is the main precipitation band
397 related to the ITCZ over the tropics, and the other one is the extending rainband from
398 southern China to Japan, which is related to the East Asian summer monsoon (EASM)
399 (Figs. 10a, b). Local monsoon precipitation maxima are in the oceanic convergence
400 regions over the northeastern Arabian Sea and the Bay of Bengal, and west of the
401 Philippines.

402 CCSM3 reproduces the features well; however, precipitation over the northwestern
403 Pacific is underestimated, and precipitation over the Indian Ocean and western
404 equatorial Pacific tends to be overestimated (Fig. 10c). Related to this, the low-level
405 monsoon flow pattern is shifted to the precipitation region. The precipitation from

406 SCoPS shows a slight overestimation. Narrow and strong bands of precipitation are
407 indicated over the western areas of India, Indochina, and the Philippines in the high-
408 resolution APHRODITE data. This extremely localized pattern is known to be due to
409 convection generated by narrow mountain areas (Xie et al. 2006; Lee et al. 2013; Ham
410 et al. 2016). The observed pattern is very well represented in the SCoPS hindcast, due to
411 its higher horizontal resolution as compared to CCSM3. Moreover, the SCoPS
412 simulation represents the area over China, Korea, and Japan remarkably well, where the
413 seasonal prediction captures the zonally elongated rainband associated with the
414 Changma front (Fig. 10d).

415 Figure 11 shows latitude-time cross sections for the summer precipitation cycle and
416 850-hPa zonal winds on two longitudes ($70\text{--}80^\circ\text{E}$ and $120\text{--}130^\circ\text{E}$), which are related
417 to the Indian and East Asian monsoon. Because precipitation from CCSM3 and SCoPS
418 is usually focused on the 1-month lead 3-month prediction skill in operational seasonal
419 forecasts, four hindcast datasets from runs initialized in February, May, August, and
420 November were merged to validate the represented annual cycle of precipitation and
421 winds. Both hindcasts generally represent the seasonal propagation of precipitation in
422 the Indian ($70\text{--}80^\circ\text{E}$) and East Asian monsoon regions ($120\text{--}130^\circ\text{E}$), compared to the
423 GPCP and reanalysis data. For example, the northward rainband related to the Indian
424 monsoon (April to July) is generally well represented. However, the CCSM3 simulation
425 exhibits a weaker peak in the northward propagated rainband as well as strong
426 precipitation over the subtropics and tropics, compared to observations. In the SCoPS
427 simulation, the peak of the northward precipitation band and the low-level wind are
428 captured, although slightly overestimated. However, note that the GPCP observation
429 does not represent orographic heavy rainfall well due to its low resolution. For the East

430 Asian monsoon region, a split rainband is shown during June to August, with one arm
431 over South China Sea, related to the ITCZ, and another over the subtropics, which is
432 related to the Changma front. Both models exhibit the rain peak over the ITCZ well;
433 however, CCSM3 shows exaggerated precipitation over the equatorial rainband, even in
434 winter. In the SCoPS annual cycle, the two peak rain seasons are represented quite well,
435 but slightly overestimated. Remarkably, the northward migrated rainband related to the
436 Changma during May to August is also captured by SCoPS.

437 In Fig. 12, the capability of CCSM3 and SCoPS in simulating the spatial pattern
438 and interannual variability of the Asian summer monsoon is examined using the
439 monsoon index developed by Lee et al. (2014). The EASM index is defined as the zonal
440 wind anomaly at 850 hPa, averaged over the region between 5–10° N and 130–150° E
441 minus the average over 25–30° N and 110–130° E. The JJA-mean monsoon indices
442 from the ensemble reforecasts initialized in May were used. The correlation coefficient
443 of the EASM index between the reanalysis and the SCoPS prediction (0.743) is higher
444 than the CCSM3 prediction (0.519). Based on the results, SCoPS shows a credible
445 representation of monsoon circulation for this region, with useful levels of skill for the
446 East Asian summer monsoon prediction.

447

448 *c. East Asian winter climate variability*

449 The East Asian winter monsoon (EAWM) is the dominant climate feature over East
450 Asia during the boreal winter. It leads to significant impacts on the weather and climate
451 over the East Asian regions (Chen et al. 2005; Zhou et al. 2007; Li and Yang 2010;
452 Jiang et al. 2013). The EAWM consists of subsystems such as the Siberian high,
453 Aleutian low, East Asian trough, low-level northerly wind, and high-level East Asian jet

454 stream. It is well known that a strong EAWM is characterized by a strong Siberian high,
455 intensified East Asian jet stream, a deepened East Asian trough, strong northerly wind
456 over East Asia, and frequent cold surges (Ding and Sikka 2006; Park et al. 2011; Jiang
457 et al. 2013). Many climate forecast models show reasonable skill in the East Asian
458 summer monsoon prediction. However, the EAWM prediction skill on climate forecast
459 systems is still not fully known, although a few studies have examined the predictability
460 of the EAWM in various climate prediction models (Kim et al. 2012; Jiang et al. 2013).
461 In this study, the climatological characteristics and interannual variation of the EAWM
462 were compared with observations and reanalysis data to confirm the seasonal prediction
463 skills. Also, the prediction skill for the Arctic Oscillation (AO), which is known to be a
464 dominant feature of winter climate variability in East Asia, was evaluated for the
465 CCSM3 and SCoPS hindcasts initialized in November.

466 The northern hemisphere winter (DJF) variation in velocity potential for the
467 climatological mean with 200-hPa divergent winds is shown in Fig. 13. In the observed
468 distributions, the positive peak shows its full weakness as a value of 12 units and it is
469 located to the equatorial western Pacific (Fig. 13a). The location of the negative peak is
470 near western Africa. The center related to the Australian monsoon is located to the north
471 of Australia. Both hindcast simulations represent the positive and negative peaks of
472 velocity potential at 200 hPa well (Figs. 13b, c). The SCoPS simulation plots resemble
473 observations more than the CCSM3 simulation because the divergent wind from
474 CCSM3 is stronger than that from SCoPS. Also, the pattern correlation of upper-level
475 velocity potential fields from SCoPS (0.85) is higher than that from CCSM3 (0.57).

476 Following Tanaka et al. (2004), the deviation from the zonal and annual mean of
477 the velocity potential is calculated for the northern hemisphere winter monsoon

478 circulation (Figs. 13d, e, f). In the observations, there are negative peaks over East Asia
479 and positive peaks over the Pacific. A reversal in the pattern between summer and
480 winter explains the monsoon circulation quite well (See also Figs. 9). The SCoPS
481 simulation captures the observed peaks related to the East Asian winter monsoon feature,
482 while the CCSM simulation shows a divided peak over the Australia region. Also, the
483 SCoPS simulation is closer to the observations in terms of intensity than the CCSM3
484 hindcast. The pattern correlation of monsoon circulation fields from SCoPS (0.88) is
485 also significantly higher than that from CCSM3 (0.28).

486 In the lower troposphere, the characteristics of the EAWM are the contrast between
487 the Siberian high and the Aleutian low. These systems lead to strong northwesterlies
488 over the eastern marginal regions of the Siberian high (Fig. 14a). This monsoon system
489 is also related to the East Asian trough along the Korea and Japan regions in the middle
490 troposphere and the maximization of the jet stream over southeastern Japan in the upper
491 troposphere (Fig. 14d). The CCSM3 and SCoPS hindcasts represent the climatological
492 features related to the EAWM well (Figs. 14b, c, e, f). However, the CCSM3 hindcast
493 shows a stronger Siberian high and Aleutian low, stronger cyclonic circulation in the
494 trough region, and stronger jet stream than observations. The SCoPS hindcast shows
495 some biases, including a weak Siberian high and Aleutian low; however, the maximum
496 jet stream in the upper troposphere and the trough in the middle troposphere are better
497 captured than in CCSM3. In addition, the hindcasts have biases in simulating the
498 divergent maritime continental winds compared to observations, with easterlies from
499 CCSM3 and westerlies from SCoPS. The 500-hPa geopotential height in the CCSM3
500 simulation is higher than observed except for northeastern China, resulting in a weaker
501 than observed East Asian trough. On the other hand, the SCoPS hindcast shows a lower

502 geopotential height than observed except along Korea and Japan, resulting in a weaker
503 than observed trough. SCoPS generally predicts a weaker zonal wind along the westerly
504 jet stream than observed.

505 To confirm the prediction skill of the models for interannual variation, the
506 dynamical EAWM index is shown in Fig. 15. This index was proposed by Li and Yang
507 (2010) to measure the interannual variability of the EAWM and is defined as the
508 domain-averaged 200-hPa zonal wind shear. Compared to previous indices, this EAWM
509 index accounts for several factors influencing the monsoon (e.g., the Arctic Oscillation
510 and ENSO) and better elucidates the physical processes associated with the EAWM (Li
511 and Yang 2010; Wang and Chen 2010; Wang et al. 2010). SCoPS realistically represent
512 the observed variation in most years, with a correlation coefficient of 0.459. However,
513 CCSM3 shows poorer prediction skill than SCoPS, with a correlation coefficient of
514 0.245.

515 The Arctic Oscillation (AO) is important climate variability with EAWM in East
516 Asia, especially during boreal winter. Its intensity and variability play a significant role
517 to surface temperature, precipitation, and large-scale circulation for extratropical region
518 in northern hemisphere. However, the prediction skill of the AO variation on a seasonal
519 timescale is still poor in dynamical forecast systems (Johansson 2007; Kim et al. 2012;
520 MacLachlan et al. 2015). In this study, the represented AO in CCSM3 and SCoPS were
521 compared with the NCEP reanalysis data. Following the definition of AO by Thompson
522 and Wallace (1998), the AO index was calculated as the principal component (PC) of
523 the first empirical orthogonal function (EOF) mode for monthly mean SLP anomalies
524 during boreal winter (DJF).

525 Figure 16 shows the results of comparison of the PC time series from RA2, CCSM3,

526 and SCoPS, for hindcast simulations with November initialization. Results from the all
527 ensemble prediction are indicated in red (SCoPS) and blue (CCSM3) shading areas. To
528 compare the prediction skill, the ensemble-averaged AO indices from both models and
529 reanalysis were plotted by solid lines. Both PC time series capture the interannual
530 variation shown in reanalysis data. The anomaly correlation coefficient between the
531 observed and predicted AO index is 0.58 for SCoPS but only 0.23 for CCSM3.
532 Especially, the SCoPS simulation captured the variation in strong positive/negative
533 phase of AO for the recent period of 2009–2012.

534 Figure 17 shows the SLP patterns regressed onto the leading PC from reanalysis
535 data and both hindcasts. It was used for individual EOF analysis from each model
536 ensemble member and a composite map of those regression patterns was plotted. The
537 pattern from RA2 has a dipole structure over the Arctic, northeastern Pacific, and
538 Atlantic Ocean (Fig. 17a). CCSM3 represents the negative regression pattern over
539 Arctic well. However, the positive patterns over Pacific and Atlantic Ocean were totally
540 not captured. Although SCoPS shows a significant weak AO negative pattern over the
541 Arctic and the center of the positive regression anomaly over the Atlantic Ocean is
542 parted, the positive center remains over the northeastern Pacific as in the observation.
543 The reasonable prediction skill of the AO in SCoPS gives an expectation of good
544 reliability for extratropical winter surface temperature predictions over East Asia.

545

546 **4. Summary and conclusion**

547 In this paper, a new APCC in-house model, namely SCoPS, is introduced. SCoPS is
548 a state-of-the-art seasonal prediction system based on a fully-coupled climate model,
549 coupling atmosphere, ocean, and sea ice with integrated atmosphere-ocean initialization

550 processes. The SCoPS initialized data for 10-member ensembles are assimilated by
551 NCEP CFS data and several subsurface profile data. The ensemble hindcast runs are
552 conducted with SCoPS for 32-year runs (1982–2013).

553 This study evaluated the systematic biases of hindcast climatology, large-scale
554 features, and the basic performance of seasonal forecasting for major climate variability
555 from CCSM3 and SCoPS. A special focus was placed on the fidelity of the systems to
556 reproduce and forecast phenomena that are closely related to the East Asian monsoon
557 system. In particular, to validate the large-scale circulation related to the East Asian
558 monsoon system, the global divergent field in the upper troposphere was used following
559 Tanaka et al. (2004).

560 Overall both CCSM3 and SCoPS exhibit realistic representations of the basic
561 climate state, although systematic biases were found for sea surface temperature, 2-m
562 temperature, and precipitation. To examine the seasonal prediction skill, the temporal
563 correlation coefficients of sea surface temperature and precipitation between
564 observation and the anomalies of each model were also validated for summer and winter.
565 Generally, the sea surface temperature has its greatest prediction skill in the tropics,
566 especially in the ENSO region. Both models also exhibit high skill over the northern
567 Pacific and equatorial Atlantic. SCoPS shows high prediction skill over almost all
568 regions compared to CCSM3. The averaged temporal anomaly correlation coefficient
569 for sea surface temperature, 2-m temperature, and precipitation from SCoPS is also
570 higher than those from CCSM3. However, the RMSE for SST from SCoPS with 1-
571 month lead for DJF in the Niño 3 and Niño 3.4 regions is worse than that from CCSM3.
572 This is because there are cold biases over the tropical Pacific in SCoPS.

573 Notably, SCoPS captures the northward migrated rainband related to the East Asian

574 summer monsoon system. Further, SCoPS shows a higher correlation coefficient
575 between the observed and predicted monsoon indices than CCSM3 for both summer
576 and winter seasons. The SCoPS simulation shows useful skill in predicting the Arctic
577 Oscillation. Consequently, SCoPS is more skillful than CCSM3 in predicting the
578 seasonal climate variability, including the ENSO, East Asian summer and winter
579 monsoon, and the Arctic Oscillation.

580 Based on these results, the SCoPS seasonal forecast results are provided to the
581 APCC multi-model ensemble (MME) system as a new APCC operational model, which
582 is changed from CCSM3 since November 2017. Validation of real-time forecast skill is
583 an ongoing work-in-progress. Other climate variabilities including ENSO, Indian Niño,
584 Atlantic Niño, Pacific-North America pattern will be evaluated. Moreover, an
585 operational subseasonal forecast system is on the drawing board.

586

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602

603

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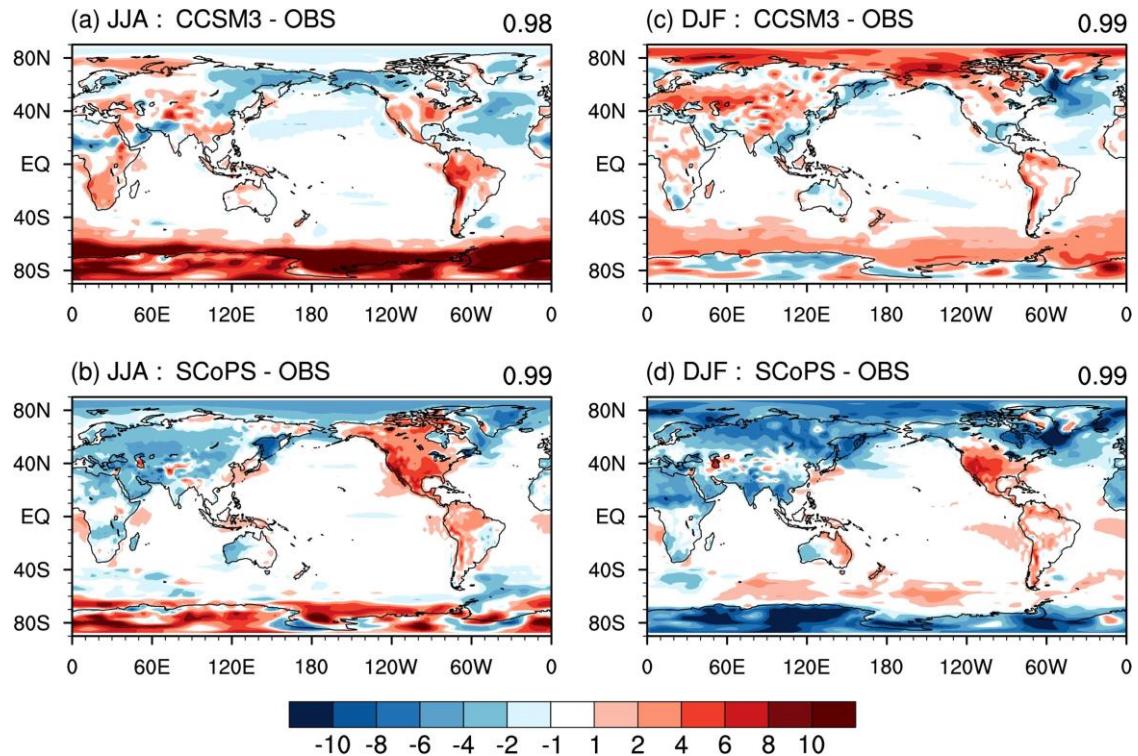
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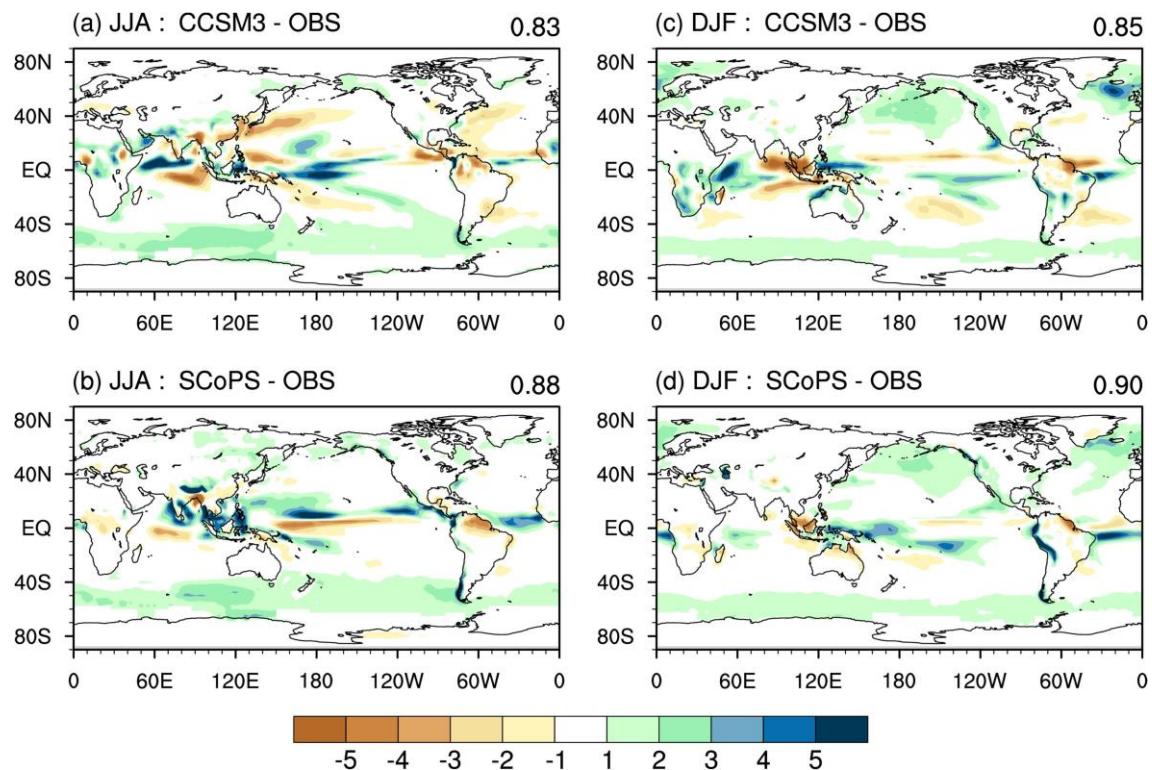
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790 **Fig. 1.** Spatial distribution of climatological summer (left) and winter (right)
791 of the surface temperature biases (model minus observation) for (a), (c)
792 CCSM3 and (b), (d) SCoPS. Top-right value indicates the pattern correlation
793 coefficient between observation and each prediction.

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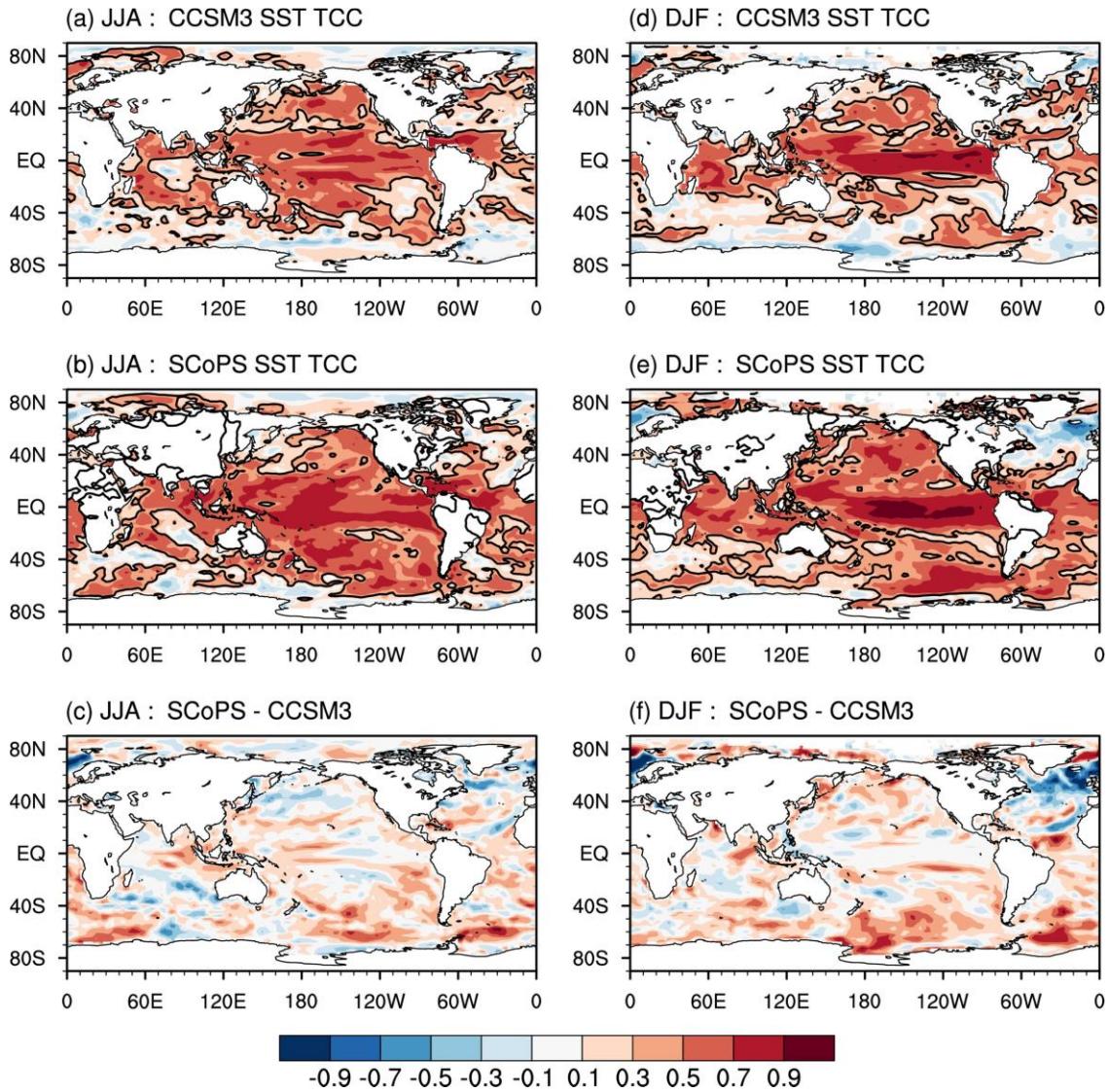


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799 **Fig. 2.** Same as Fig. 1, but for precipitation.

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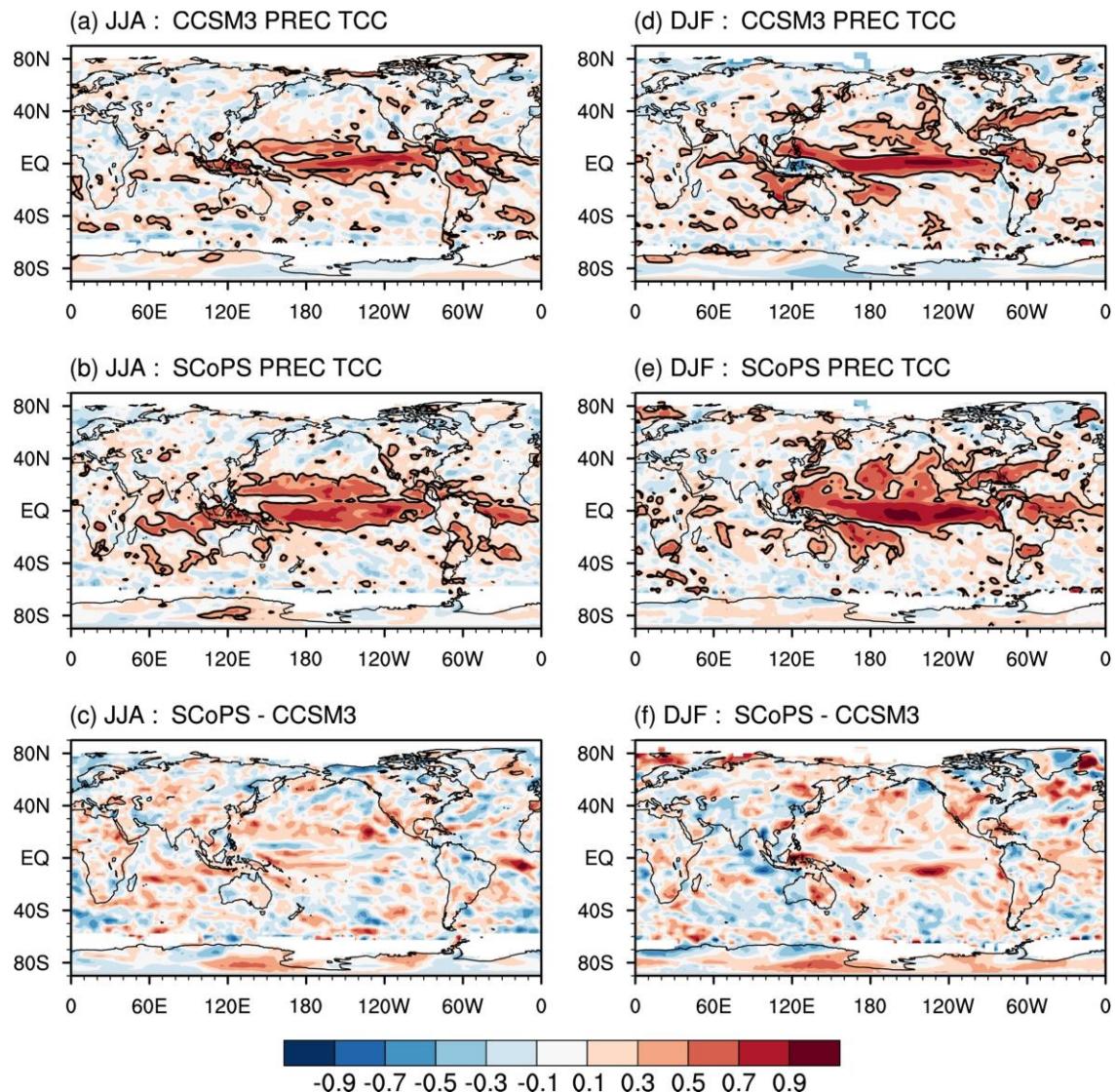


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Fig. 3. Prediction skill of the sea surface temperature between observation and (a) CCSM3 for JJA and (b) SCoPS hindcast with 1-month lead 3-month mean hindcast for JJA. (c) The difference between (a) and (b). Prediction skill of the sea surface temperature between observation and (d) CCSM3 for DJF and (e) SCoPS hindcast with 1-month lead 3-month mean hindcast for DJF. (f) The difference between (d) and (e). Black thick lines in (a) to (e) indicates the area statistically significant at the 95% level.

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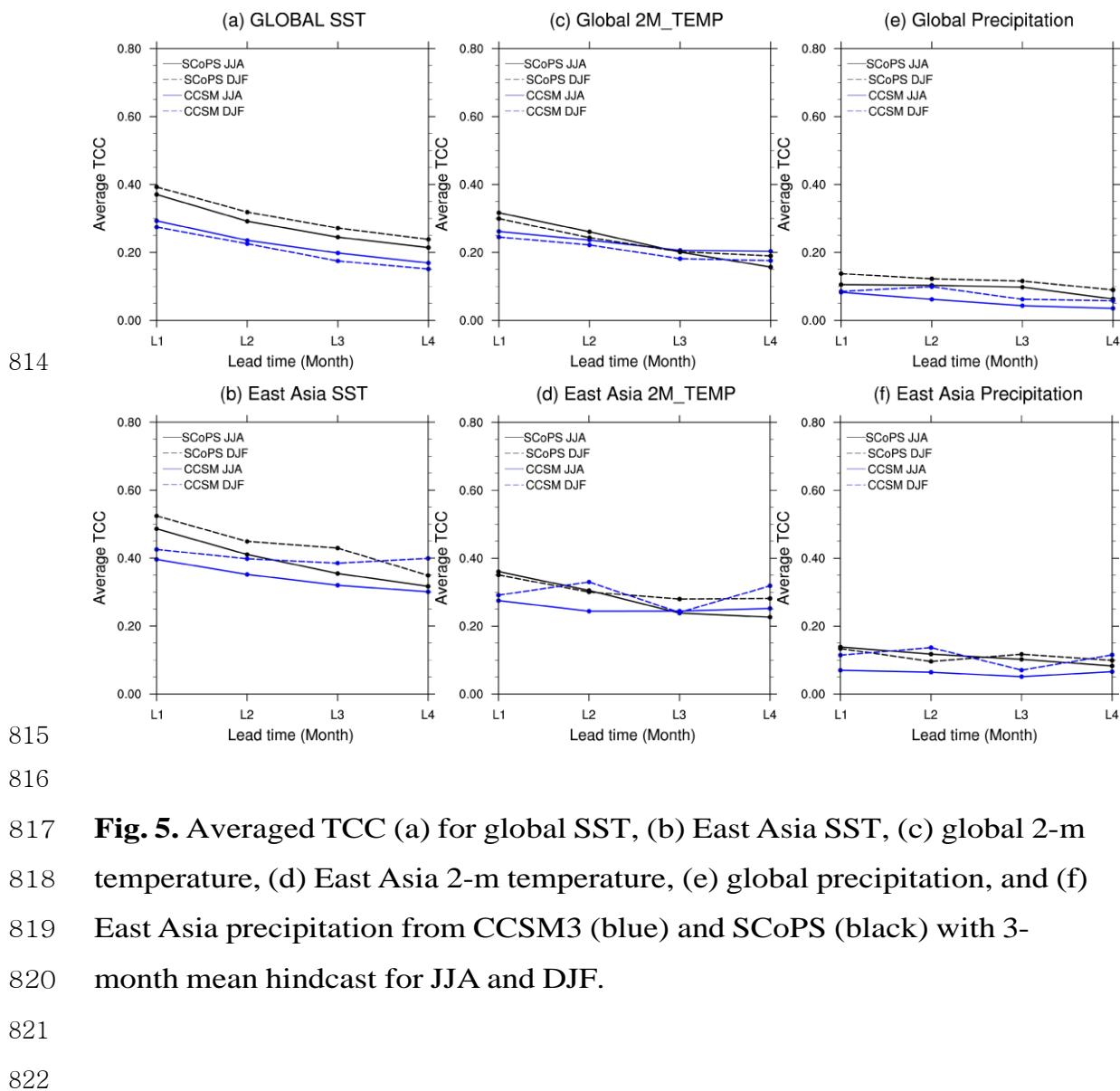
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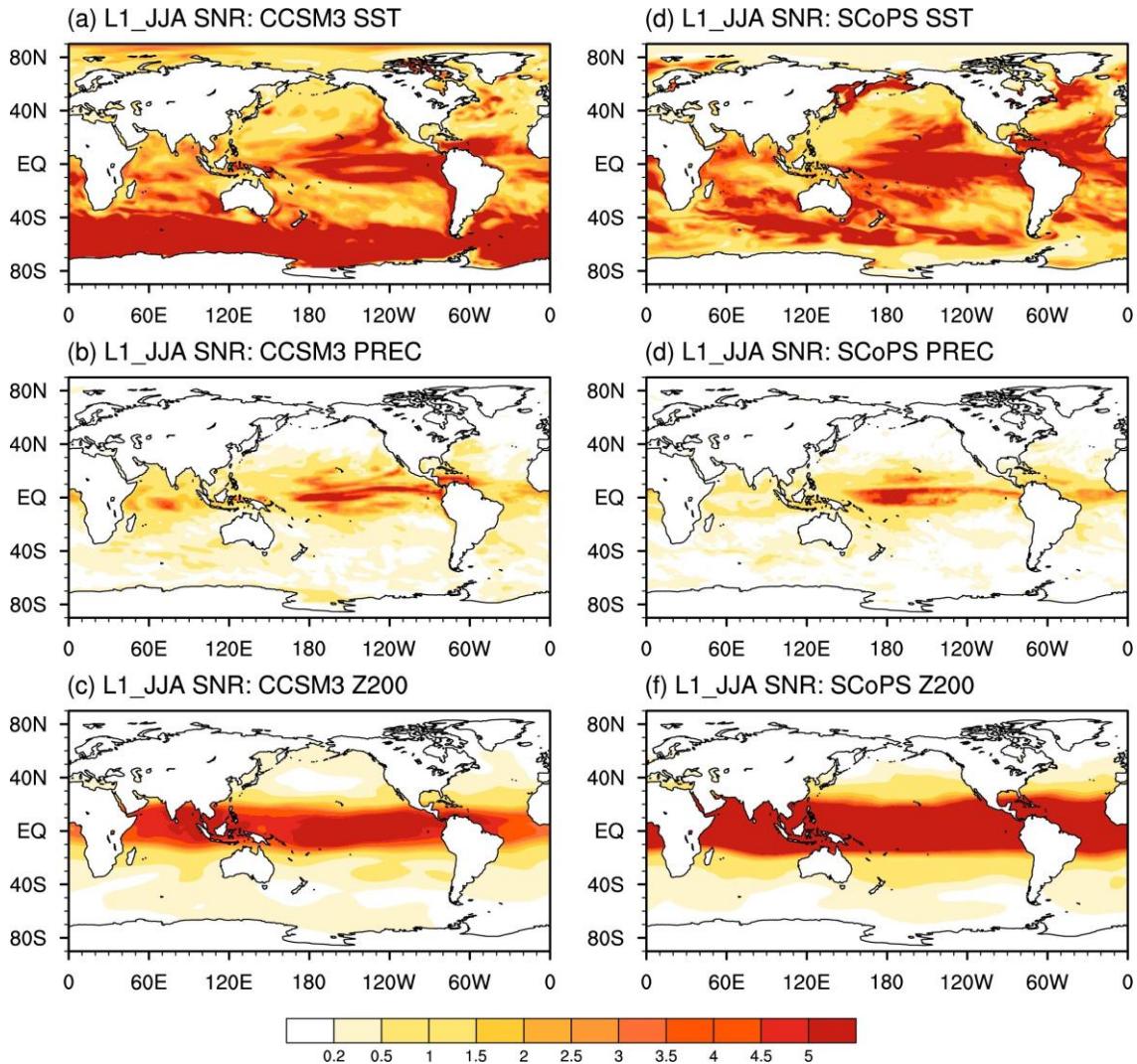
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812 **Fig. 4.** Same as Fig. 3, but for precipitation.

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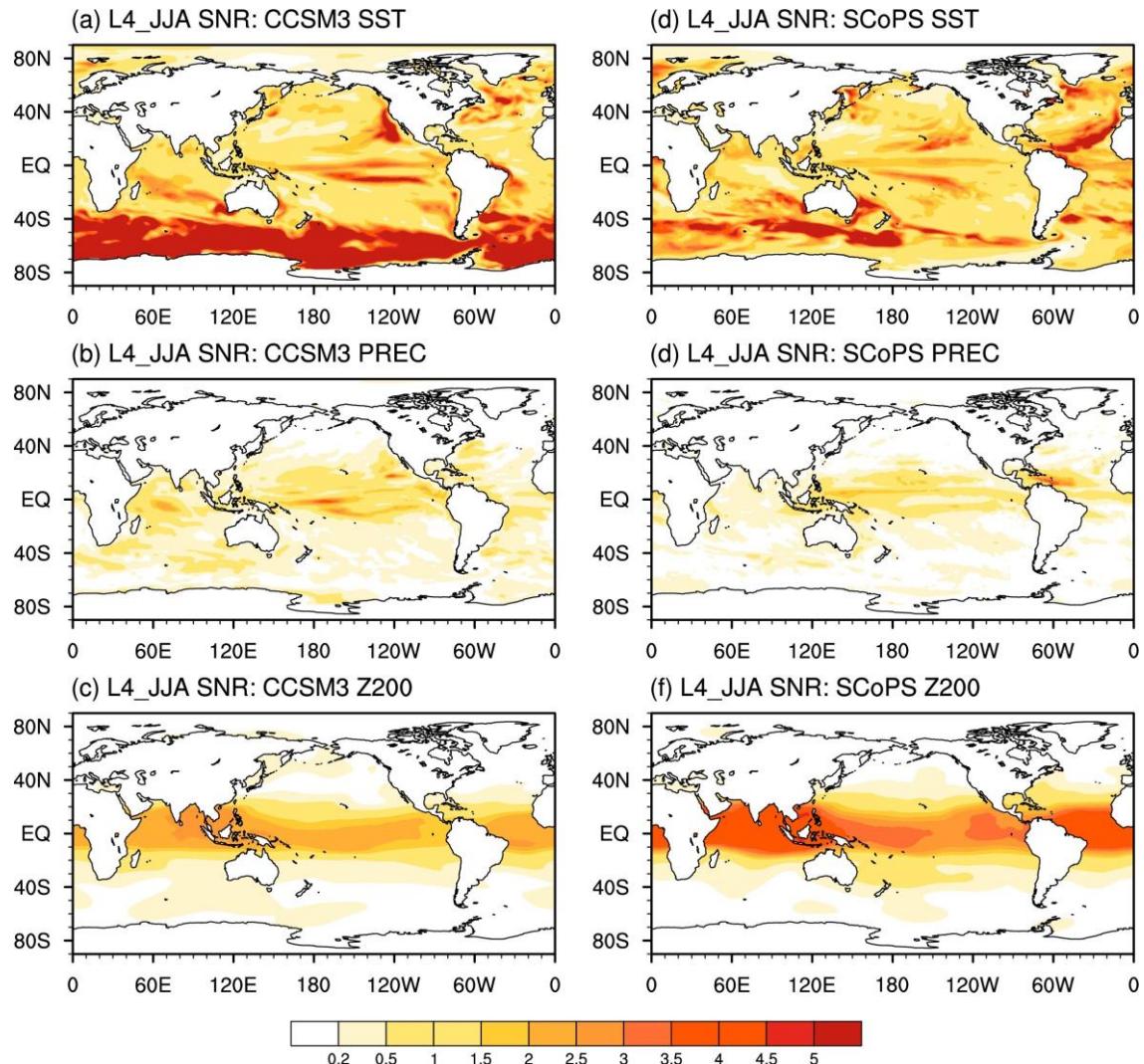
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826 **Fig. 6.** Signal-to-Noise (SN) ratio for (a), (d) SSTs, (b), (e) rainfall, and (c),
 827 (f) 200 hPa geopotential heights from CCSM3 and SCoPS for 1-month lead
 828 time. The SN ratio is computed as the ratio of standard deviation of
 829 ensemble means, and standard deviation of individual forecasts from the
 830 ensemble mean forecast. Larger (small) SN ratio is indicative of higher
 831 (lower) predictability.

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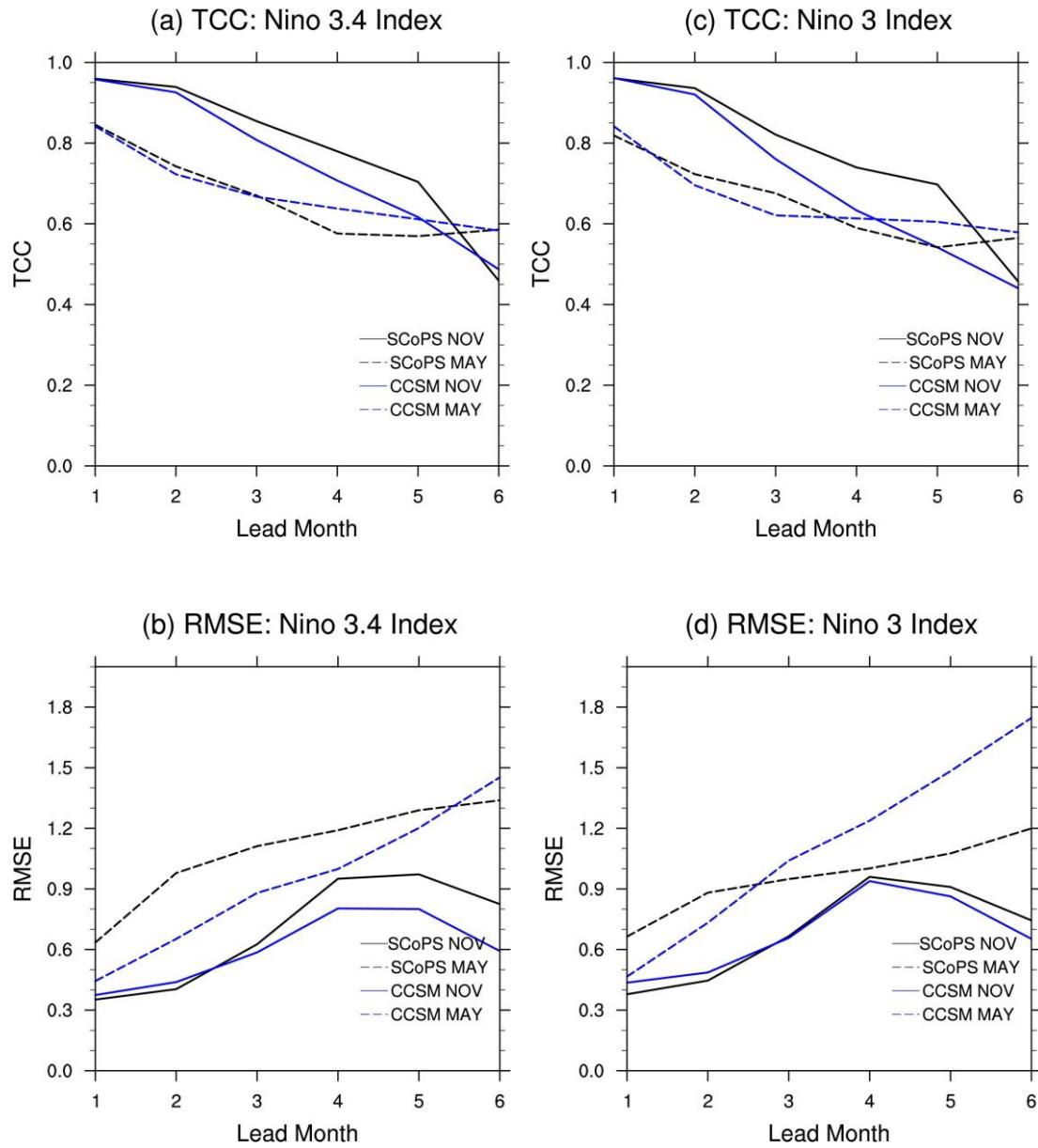


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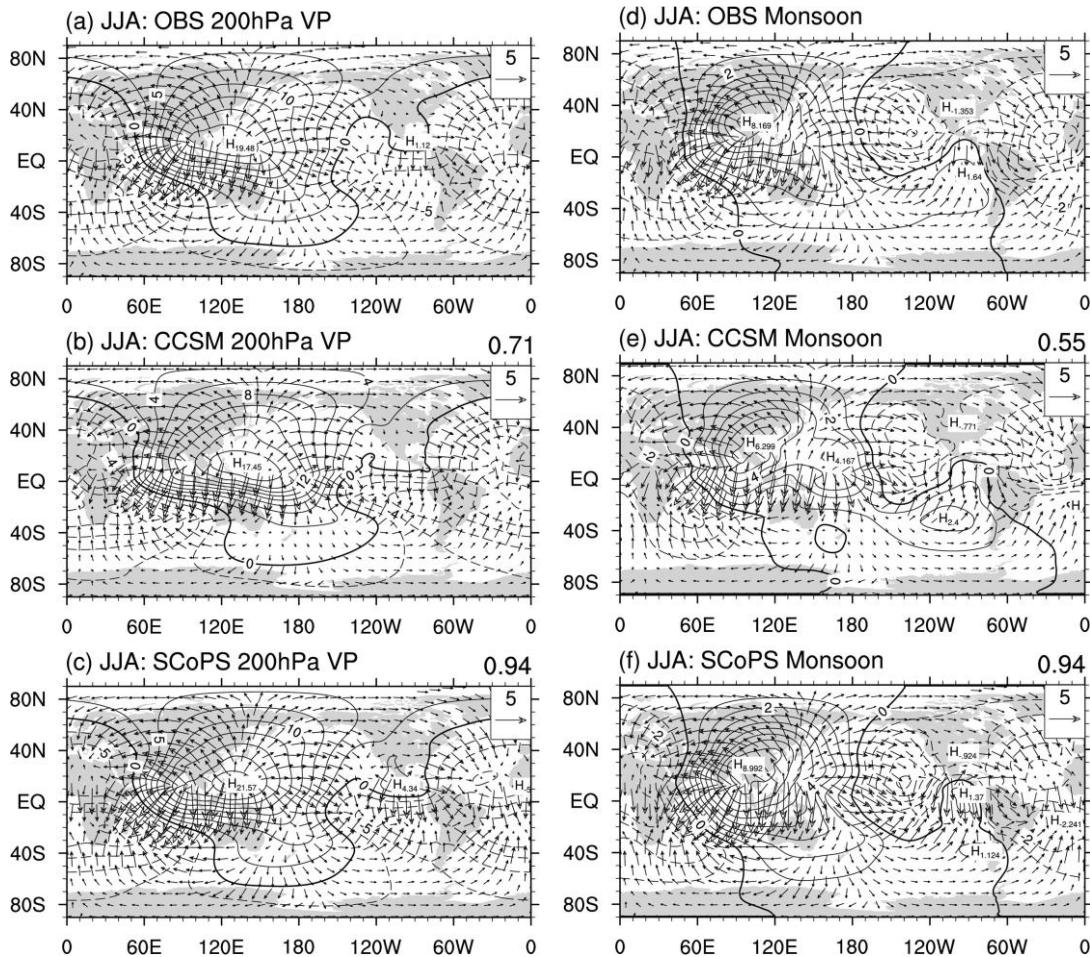
836 **Fig. 7.** Same as Fig. 6, but for 4-month lead time.

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843 **Fig. 8.** (a) Temporal correlation coefficient of Niño 3.4 indices, (b) root mean
844 square error of Niño 3.4 indices, (c) temporal correlation coefficient of Niño 3
845 indices, and (d) root mean square error of Niño 3 indices from CCSM3 (blue),
846 SCoPS with May-initialized hindcast (black dashed lines), and SCoPS with
847 November-initialized (black solid lines) hindcast.

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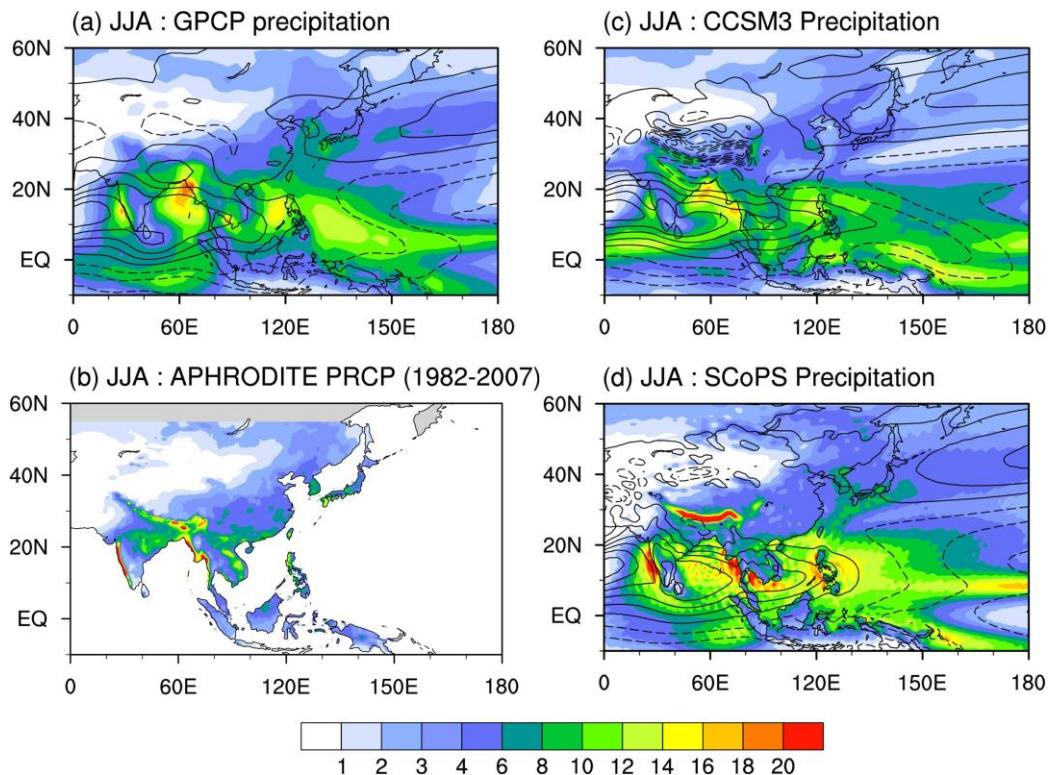
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853 **Fig. 9.** Seasonal mean velocity potential and divergent wind at 200 hPa for
854 the (a) reanalysis data, (b) CCSM3, and (c) SCoPS hindcast period (1982–
855 2013) with 1-month lead time for JJA. The monsoon circulations, which are
856 defined by the seasonal variation of the velocity potential are plotted with
857 divergent wind for the (d) reanalysis data, (e) CCSM3, and (f) SCoPS
858 hindcast. The units are $10^6 \text{ m}^2 \text{ s}^{-1}$.

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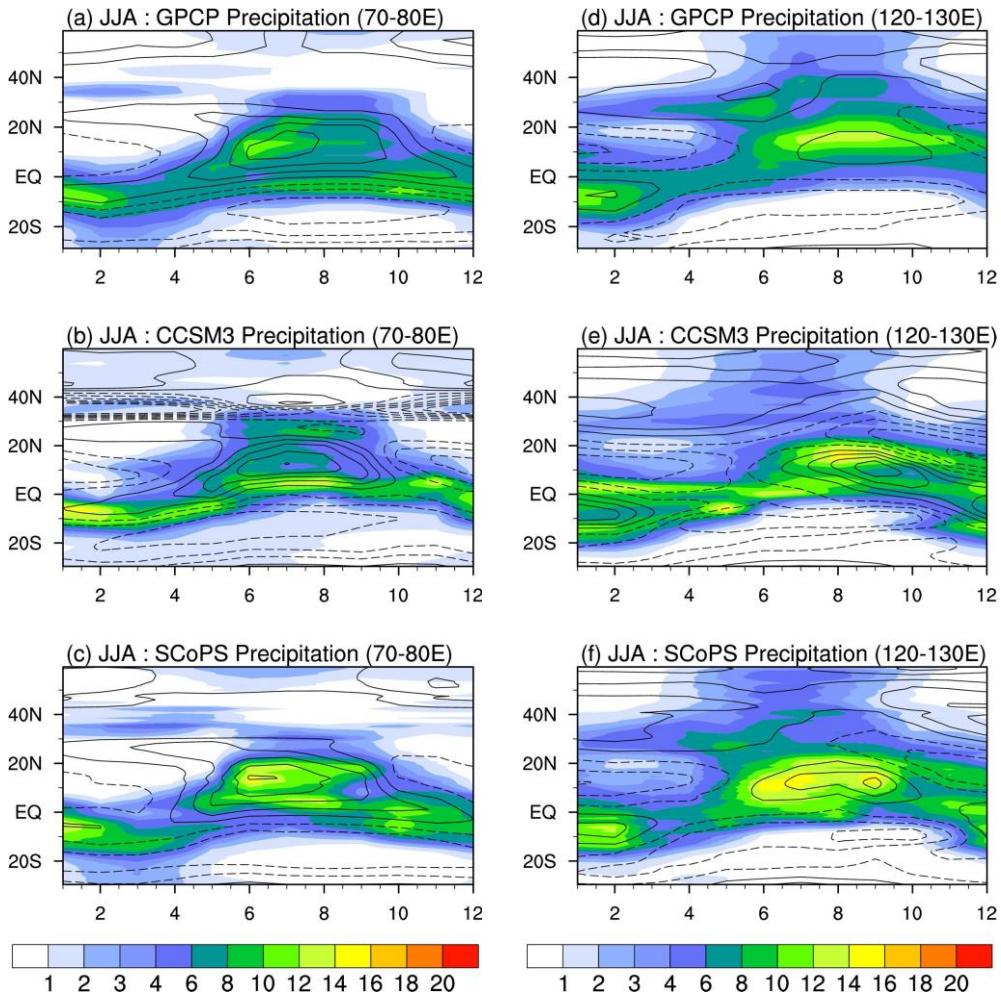
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863 **Fig. 10.** Climatological mean precipitation (shaded) and zonal wind at 850
 864 hPa (contour) from (a) GPCP and ERA-interim, (b) APHRODITE
 865 precipitation, (c) CCSM3, and (d) SCoPS during June to August, averaged
 866 over 32 years (1982–2013). Initial month for both hindcasts is May (1-
 867 month lead time).

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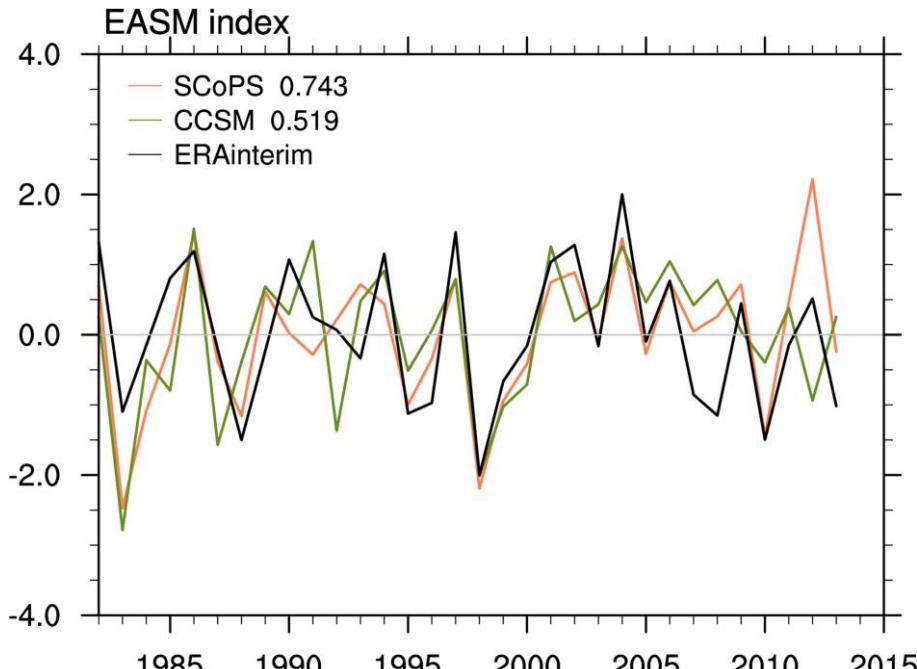
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872 **Fig. 11.** Latitude-time cross section of climatological mean precipitation and
 873 850-hPa zonal wind from (a) GPCP and ERA-interim over the Indian region
 874 (70–80 E°), (b) CCSM3 over the Indian region, (c) SCoPS over the Indian
 875 region, (d) GPCP and ERA-interim over the East Asian region (120–130 E°),
 876 (e) CCSM3 over the East Asian region, and (f) SCoPS over the East Asian
 877 region.

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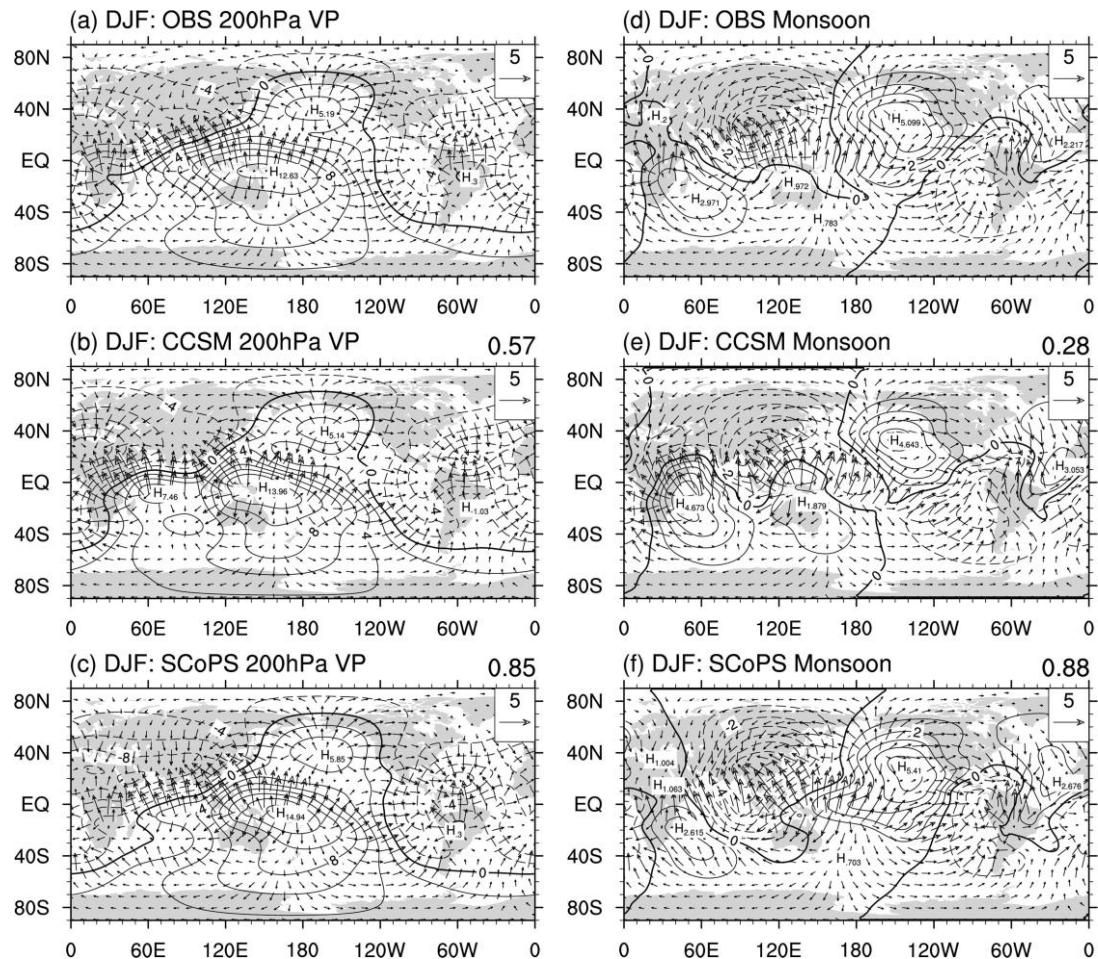
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883 **Fig. 12.** The summer (JJA) EASM (East Asian Summer Monsoon) indices
 884 with correlation coefficients from reanalysis data, CCSM3, and SCoPS
 885 hindcasts. EASM is defined as the zonal wind anomaly at 850 hPa, averaged
 886 over the region of 5–10 °N and 130–150 °E minus that over 25–30 °N and
 887 110–130 °E by Lee et al. (2014).

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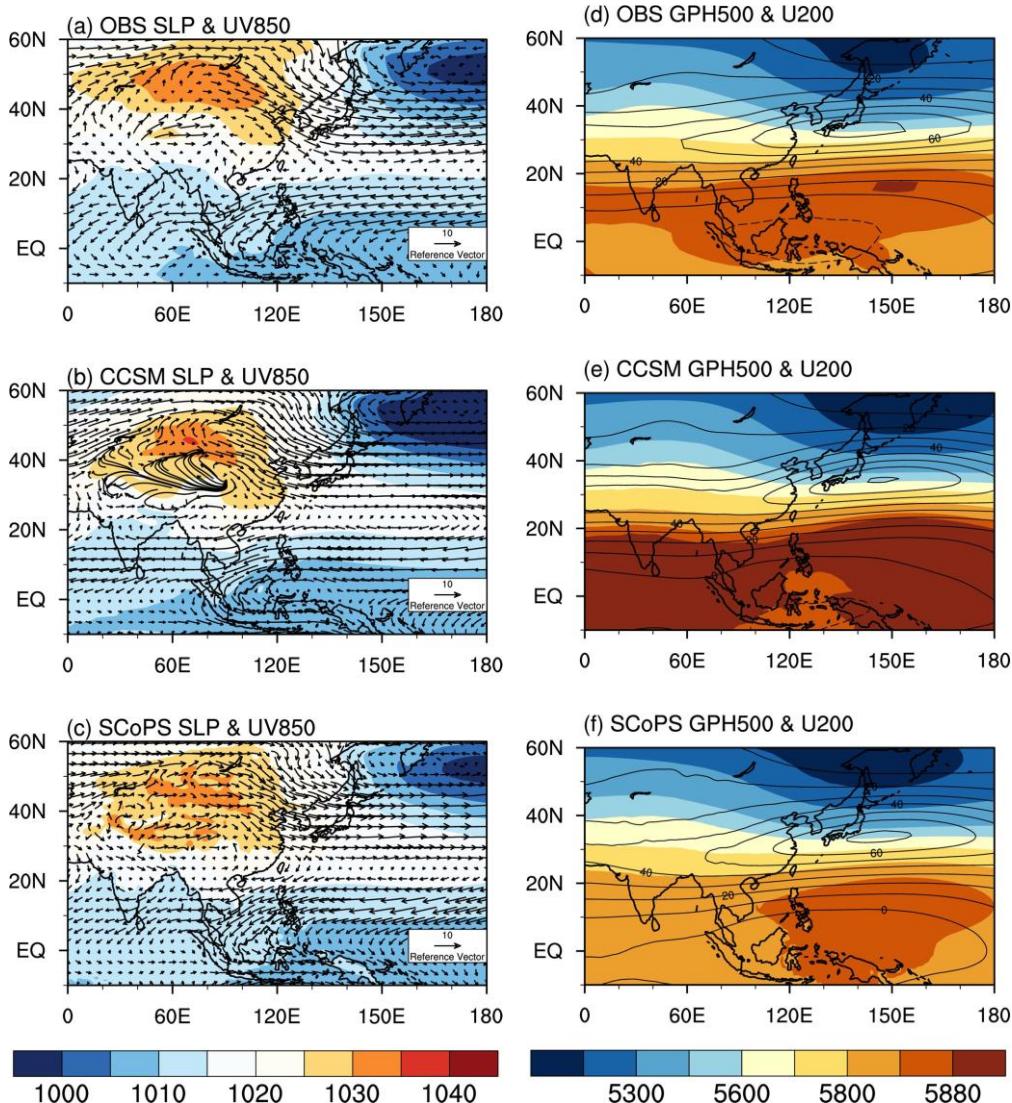
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892 **Fig. 13.** Same as Fig. 9, but for hindcast with starting November.

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898 **Fig. 14.** Climatological mean sea level pressure (left; shaded), wind vector
 899 at 850 hPa (left; contour), geopotential height (right; shaded), and zonal
 900 wind at 200 hPa (right; contour) from reanalysis data (top), CCSM3
 901 (middle), and SCoPS (bottom) during December to February, averaged over
 902 32 years (1982–2013). Initial month for both hindcasts is November (1-
 903 month lead time).

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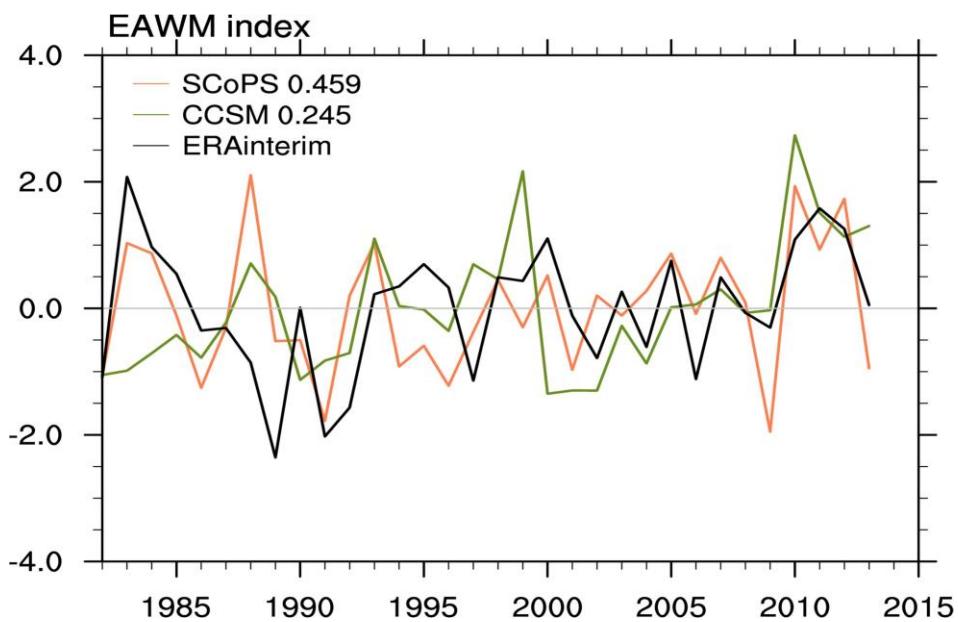
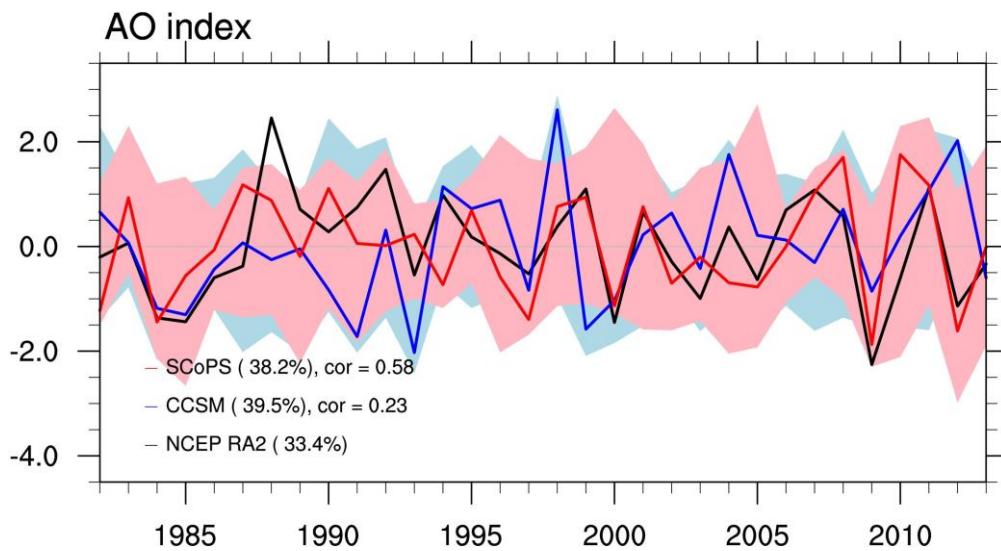


Fig. 15. Normalized EAWM indices from reanalysis (black), CCSM3 (olive), SCoPS (coral). EAWM is defined as the index from Li and Yang (2010).

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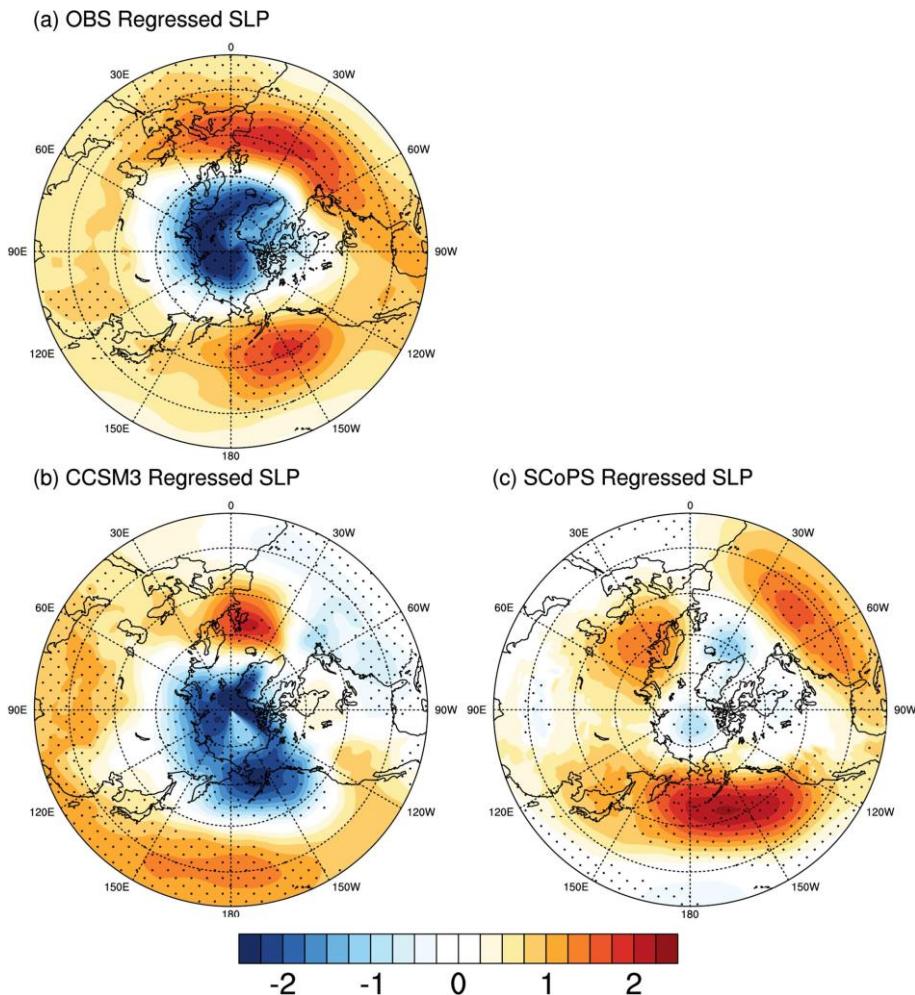
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918 **Fig. 16.** Ensemble-averaged AO index from reanalysis (black), CCSM3
 919 (blue), and SCoPS (red). Filled areas indicate the results from all ensemble
 920 simulation for CCSM3 (blue) and SCoPS (red). Percentages in left bottom
 921 string indicate explained variance (averaged explained variance from each
 922 ensemble member) from the pattern.

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928 **Fig. 17.** DJF mean sea level pressure anomaly regressed onto the leading PC
929 for 1982–2013 from (a) reanalysis data, (b) CCSM3, and (c) SCoPS
930 simulations with 1-month lead time.

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