

## First look at the NOAA Aircraft-based Tropospheric Ozone Climatology in Colorado

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1 **Abstract**

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3 The Global Greenhouse Gas Reference Network Aircraft Program at NOAA has sampled  
4 ozone and other atmospheric trace constituents in North America for over a decade  
5 (2005-present). The method to derive tropospheric ozone climatology from the light  
6 aircraft measurements equipped with the 2B Technology instruments is described in  
7 this paper. Since ozone instruments at most of aircraft locations are flown once a  
8 month, this raises the question of whether the sampling frequency allows for deriving  
9 a climatology that can adequately represent ozone seasonal and vertical variability  
10 over various locations. Here we interpret the representativeness of the tropospheric  
11 ozone climatology derived from these under-sampled observations using hindcast  
12 simulations conducted with the Geophysical Fluid Dynamics Laboratory chemistry-  
13 climate model (GFDL-AM3). We first focus on ozone measurements from monthly  
14 aircraft profiles over the Front Range of Colorado and weekly ozonesondes launched in  
15 Boulder, Colorado. The climatology is presented as monthly values separated in 5<sup>th</sup>,  
16 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, 95<sup>th</sup> percentiles, and averaged at three vertical layers: lower (1.6-3  
17 km), middle (3-6 km), and upper (6-8 km) troposphere. The aircraft-based climatology  
18 is compared to the climatology derived from the nearest located ozonesondes  
19 launched from Boulder, Colorado, from GFDL-AM3 co-sampled in time with in-situ  
20 observations, and from GFDL-AM3 continuous 3-hour samples. Based on these analyses,  
21 we recommend the sampling frequency to obtain adequate representation of ozone  
22 climatology in the free troposphere. The 3-hour sampled AM3 model is used as a  
23 benchmark reference for the under-sampled time series. We find that the minimal  
24 number of soundings required per month for the all altitude bins (1.6-3, 3-6, and 6-8  
25 km) to sufficiently match the 95% confidence level of the fully sampled monthly ozone  
26 means vary between 3 to 5 sounding per month, except in August with a minimum of 6  
27 soundings per month. The middle altitude bin required the least number of samplings  
28 per month. We determine the reasonably good agreement between the ozonesondes  
29 and aircraft measurements near Boulder suggest that valuable climatologies could be  
30 developed from the aircraft sites where no ozonesondes exist even though the aircraft  
31 measurements are more limited in number than the ozonesondes. When averaged over  
32 a number of years the aircraft data provide valuable information. More frequent  
33 sampling could tell us more but the measurements given would indicate that they can  
34 provide interesting climatological results.

1  
2 **1. Introduction**  
3

4 The Tropospheric Ozone Aircraft Measurement Program is part of the NOAA Global  
5 Greenhouse Gas Reference Network. It collects information to analyze ozone vertical,  
6 temporal and interannual variability in the troposphere across North America. Ozone  
7 profile data were continuously collected at 7 locations over the last 10 years.  
8 Measurements taken by the in-situ instruments aboard aircraft are aimed to assess  
9 pollution events, boundary layer stability, tropospheric ozone changes, annual ozone  
10 cycles, and provide climatological information for model verification and initialization.  
11 These goals are similar to the former MOZAIC (Measurement of Ozone and Water  
12 Vapour on Airbus in-service Aircraft) and current IAGOS (In-service Aircraft for a Global  
13 observing System) program (Marenco et al., 1998), which collects atmospheric  
14 composition data from regularly calibrated in-situ instruments (TEI-49) aboard large  
15 commercial aircraft in human influenced regions since 1993. While the MOZAIC/IAGOS  
16 programs are linked to large international airport areas, where measurements near the  
17 surface can be prone to the urban pollution influence, ozone data collected by light  
18 commercial aircraft used in this investigation are representative of baseline conditions  
19 away from the large sources of air pollution.  
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21 Ozonesondes have a long record of measurements and data analysis suitable for  
22 comparing ozone vertical distribution and seasonality throughout the troposphere.  
23 Previous studies have compared the distribution and seasonal variability of  
24 ozonesondes, aircraft and models, along with discussions of measurement  
25 uncertainties (Thouret et al., 1998A; 1998B; Logan et al., 1999; Tilmes et al., 2012;  
26 Liu et al., 2013; Zbinden et al., 2013; Eskes et al., 2015). Recently, Lin et al., (2015a)  
27 used hindcast simulations with the GFDL-AM3 chemistry-climate model to interpret the  
28 representativeness of ozone interannual variability derived from weekly ozonesondes  
29 at Boulder and Trinidad Head. They found that the available weekly ozone profile  
30 measurements at these sites were too infrequent to capture the actual interannual  
31 variability of mean mid-tropospheric ozone in April-May when stratospheric ozone  
32 intrusions peak seasonally over the western US. Lin et al., (2015b) further investigated  
33 the representativeness of ozone trends derived from sparse in situ measurements  
34 during April-May over 1995-2008 in the western US free troposphere, originally  
35 reported by Cooper et al., (2010). They found that GFDL-AM3 co-sampled in space and  
36 time with observations reproduces the observed ozone trend ( $0.65 \pm 0.32$  ppb yr<sup>-1</sup>),  
37 whereas the model “true average” with continuous daily sampling indicates an  
38 insignificant trend ( $0.25 \pm 0.32$  ppb yr<sup>-1</sup>). These prior studies indicate that sampling  
39 deficiencies can substantially influence calculated ozone means, interannual  
40 variability, and long-term trends.

41 The main objective of this paper is to evaluate and compare ozone  
42 climatologies between active years of aircraft sampling with ozonesonde data. Since  
43 ozone instruments at most of aircraft locations are flown once a month, this raises the  
44 question of whether the sampling frequency allows for deriving a climatology that can

1 adequately represent the actual ozone seasonal and vertical variability. Therefore, we  
2 use ozonesondes with weekly sampling and the GDFL-AM3 model forced with reanalysis  
3 winds to interpret the representativeness of the ozone climatology derived from  
4 aircraft measurements. Despite mean state biases, the GFDL-AM3 model captures the  
5 salient features of tropospheric ozone over western North America, including the  
6 influences from Asian pollution and stratospheric intrusion events (*Lin et al.*, 2012a,  
7 2012b), as well as their variability on interannual to decadal time scales (*Lin et al.*,  
8 2014, 2015a, 2015b), indicating that the model represents the underlying processes  
9 controlling ozone variability, and is thus a suitable tool for studying the  
10 representativeness of under-sampled observational records.

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## 12 2. Ozone observations and the model

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### 14 2.1 Light aircraft system for ozone measurements

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17 **Figure 1.** Aircraft in-situ instrumentation package.

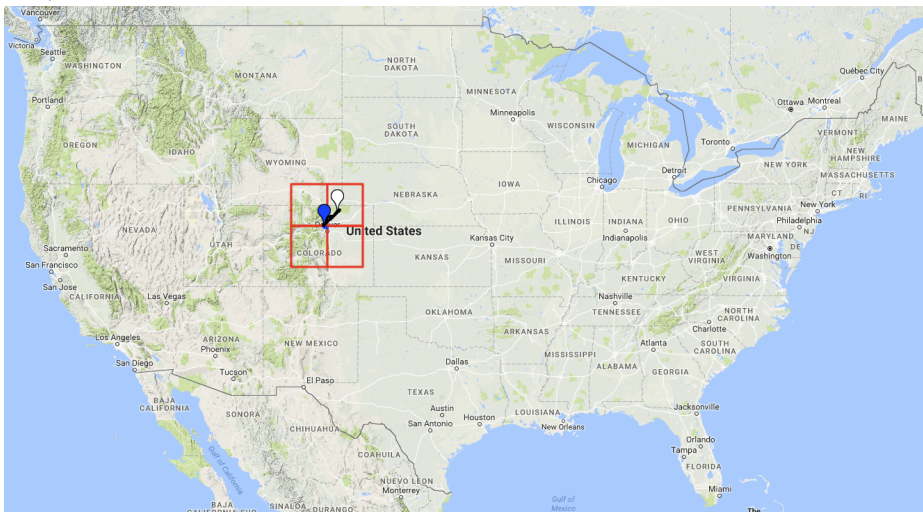
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19 Model 205 Ozone Monitor repackaged in a suitcase for pilot convenience, instrument portability and durability. Additional ports were  
20 added for temperature and relative humidity probe as well as a GPS unit.

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21 Light commercial aircraft, as part of the Global Greenhouse Gas Reference Network's  
22 aircraft program, are equipped with modified compact and lightweight 2B  
23 Technologies, Inc. Model 205 Ozone Monitors. The 2B ozone instruments use an  
24 ultraviolet (UV) absorption method to measure volume ozone mixing ratios following  
25 the beer-lambert law. These instruments are calibrated to the NOAA GMD surface  
26 ozone network standard instrument, which is regularly calibrated against the NIST  
27 Standard located in Gaithersburg, Maryland, USA. The instrument specifications are  
28 listed in **Table 1**. Air, after traveling into the inlet and through a particle filter, is  
29 "zero" referenced between two alternating cells. A mercury lamp illuminates these  
30 cells and a detector measures light not absorbed by ozone. A flowmeter is installed to  
31 maintain instrumental flow at approximately 1L/min. Flow measurements are taken  
32 continuously during flight. If the internal pump were to lose the required flow variable  
33 the data would be flagged for removal. Instrument measurements are taken at 10-

1 second intervals to provide a dynamical vertical resolution. Included with the ozone  
2 instrument, a Vaisala temperature and humidity sensor, and a Garmin GPS receiver are  
3 flown as a package (**Fig. 1**). Information about GPS latitude, longitude and geometric  
4 altitude is collected simultaneously with ozone measurements. More information on  
5 the aircraft program can be found at <http://www.esrl.noaa.gov/gmd/ccgg/aircraft/>.  
6 This study will be focused on aircraft flight data taken from flights based out of a  
7 Briggsdale, Colorado (CAR) ( $40.6347^{\circ}\text{N}$ ,  $104.3269^{\circ}\text{W}$ ). CAR aircraft were flown bi-  
8 weekly to monthly from 2004-2014. Typical flight times range between 15-21 UTC  
9 ( $900\text{-}1500\text{ MDT}$ ) and take place along the Front Range north of Denver, Colorado (**Fig.**  
10 **2**). Ozone samples are collected over flat, mixed plains and agricultural land near  
11 Briggsdale in northeastern Colorado. A quality data filter was applied to each flight  
12 sampling to screen for instrumental errors. These include in-cabin air sampling,  
13 instrument warm up requirements, and uncertainty in measured pressure or  
14 temperature. This ensures that outlier values and periods of data contamination are  
15 removed from the record. All data recorded during flight was collected while the  
16 instrument was functioning correctly (within bounds of temperature, pressure, flow,  
17 etc).



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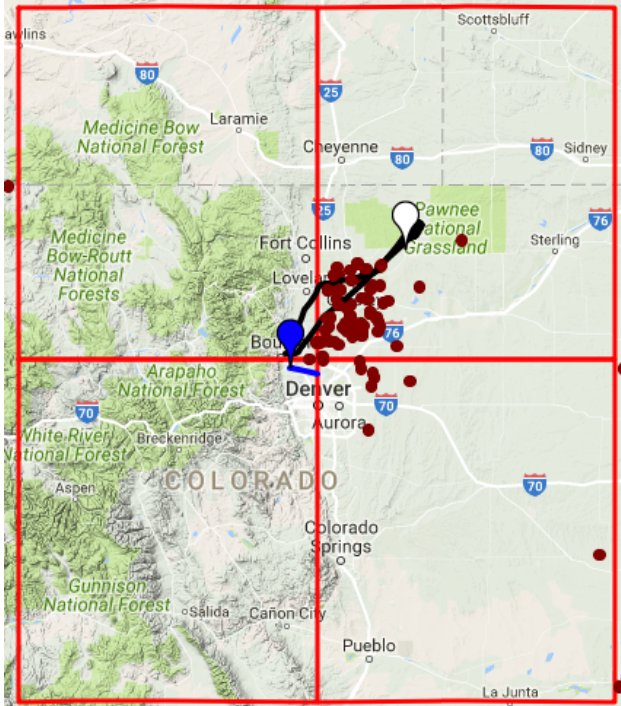


Figure 2. Locations of Observations from Front Range, Colorado. (Top) A large-scaled map of AM3 grid cells (red cells) location covering the Front Range of Colorado and launch location of ozonesondes in south Boulder, Colorado (blue marker) and aircraft at CAR station located in Briggsdale, Colorado (white marker). (Bottom) A regional map provides a typical flight trajectory of CAR aircraft (black) and trajectory of ozonesondes (blue) along the Front Range of Colorado (June 14-15, 2011). Included is the location of oil and gas wells (maroon) within the state of Colorado. These trajectories are analyzed further in Figure 4 where grid cells are labels Cell 1-4 and shown from upper right and counter-clockwise to lower right. Locations of oil and gas wells are courtesy of Colorado Oil and Gas Convergence Commissions.

## 2.2 Ozonesondes

Vertical ozone profiles collected by CAR flights are compared to profiles taken with the electrochemical concentration cell (ECC) ozonesondes launched on balloons east of Marshall Lake in South Boulder, Colorado ( $39.949072^{\circ}\text{N}$ ,  $-105.197083^{\circ}\text{W}$ ). ECC ozonesondes are produced by Environment Scientific ENSCI 2ZV7 and are interfaced with an iMet radiosonde, which measures pressure, temperature, relative humidity, and GPS geopotential altitude along with ozone data from the ozonesonde. Ozonesondes have been occasionally equipped with GPS latitude and longitude measurements since 2004.

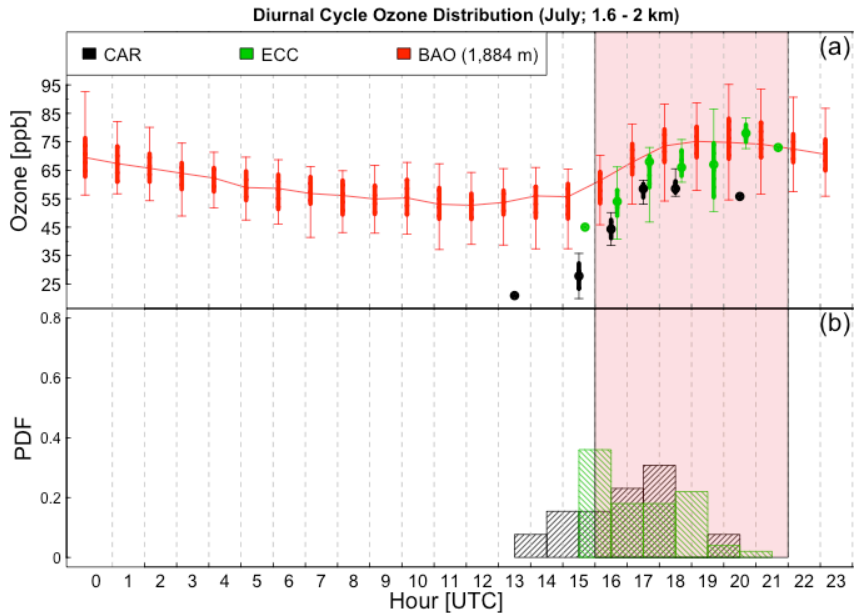
There were changes in ozonesonde operating procedures affecting the Boulder record. August 1997 was the transition from 1% Full Buffer Solution to 2% No Buffer Solution. Also, September 2005 had another transition from 2% No Buffer to the current solution 1% 1/10th Buffering. For more information, refer to NOAA Global Monitoring Division 10-year Summary Report No.28 2004-2013. Previous sets of ozonesonde datasets used to create climatologies (later discussed in Sections 3.2) have been processed in a way to fix some of the inconsistencies due to changes in the operating procedures. The data homogenization paper by Sterling et al., 2017 (in preparation) outlines the corrections used to adjust for the sensor solution changes, which are based on field and laboratory experiments.

1 The CAR aircraft and Boulder ozonesonde data can be downloaded from the  
2 NOAA GMD interactive data viewer <http://www.esrl.noaa.gov/gmd/dv/iadv/>.

3 ECC ozonesondes are launched weekly and have multi-decadal records. They  
4 provide ozone mixing ratios at high vertical resolution. Analyzed ozonesonde data in  
5 this study is based on the ascending portion of measured profiles because it provides  
6 the best vertical resolution and is less prone to measurement errors. Further  
7 information on ECC ozonesonde instrumentation can be found i.e. Komhyr et al.,  
8 (1969); Johnson et al., (2002). Each profile is converted from geopotential altitude to  
9 geometric altitude for comparisons with CAR aircraft measurements.

10 When comparing ozonesondes and aircraft data, it is worth mentioning spatial and  
11 temporal differences that may be found within the planetary boundary layer (PBL)  
12 along the Front Range, Colorado. Data collection at the lowermost altitudes is  
13 affected by geographically diverse sources of anthropogenic and natural emissions of  
14 ozone precursors. Ozone measurements may vary within the PBL depending on the  
15 observational location along the Front Range, for instance, influenced by the daily  
16 buildup of surface ozone in the late summer months (e.g., Pfister et al., 2014). The  
17 site for the ECC ozonesonde launches is located 13 km south of Boulder, Colorado,  
18 whereas CAR aircraft flights originate from Briggsdale, Colorado that is characterized  
19 as a background site with no strong influence of urban pollution (Fig.2). Between these  
20 sites that are roughly 110 km apart there is an abundance of oil and gas wells.  
21 According to the U.S. Energy Information Administration, Top 100 U.S. Oil & Gas Fields  
22 (March 2015), Colorado is within the top 10 largest oil and gas fields in the United  
23 States, residing mostly along the Front Range. Studies have shown oil and gas wells  
24 emit large quantities of raw natural gas, including ethane and propane into ambient  
25 air, which act as a source of ozone precursor pollutants (Gilman, et al., 2013).  
26 Ozonesondes are launched southwest of most oil and gas wells, but during summer  
27 months, high concentrations of ozone are transported towards the ozonesonde site  
28 with prevailing winds detected from the northeast of Boulder (Fig.2 and  
29 **Supplementary Fig. S1**). This high concentration of ozone during summer months may  
30 influence climatological comparisons between ozonesonde and aircraft observations.

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Figure 3. Diurnal distribution of measurements in July. (Top) Diurnal cycle of ozone in July from CAR aircraft measurements at 1.6-2 km altitude (black), ECC ozonesonde (green) at 1.6-2 km altitude, and surface monitoring site at the Boulder Atmospheric Observatory (BAO; 1.8 km altitude) located in Erie, Colorado from years 2012-2014. The box-and-whiskers plots represent the 5<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, and 95<sup>th</sup> percentiles of vertical ozone distribution taken from 2004 to 2014. (Bottom) Probability distribution of the sampling hours of aircraft (black) and ozonesonde measurements (green). The pink shaded area represents the hours used in this investigation.

Other differences may also be attributed by the later ozonesonde launching times compared to aircraft flight times in July (Fig.3). The Boulder Atmospheric Observatory (BAO) in Erie dataset is based on continuous ozone measurements taken by 2B instruments (similar to the aircraft instruments described in Section 2.1) located at 300 meters above the surface. The data is aggregated into hourly averages from July of 2012-2014 and shown in Fig. 3a as a reference for comparisons between ozonesondes and aircraft.

According to the diurnal cycle derived from ozone values averaged between 1.6 to 3 km, ozonesondes on average show higher ozone enhancements than aircraft observations (Fig. 3a). This may reflect the fact that ozonesondes are more frequently launched in later hours than CAR aircraft flights, as illustrated in the probability distribution function (PDF) of observational recording times from 2004 to 2014 time period (Fig. 3b). Late afternoon ozone measurements in July derived from ozonesondes are more consistent with in-situ ozone observations collected at 300 meters on the BAO tower than aircraft measurements. This can be attributed to diurnal changes in the PBL that can extend above 300 meters by early afternoon thus affecting both the BAO measurements and ozonesondes whereas the aircraft measurements are sampled within or above the PBL depending on the time and location. Therefore there might be bias between aircraft and other measurements.

### 2.3 Model



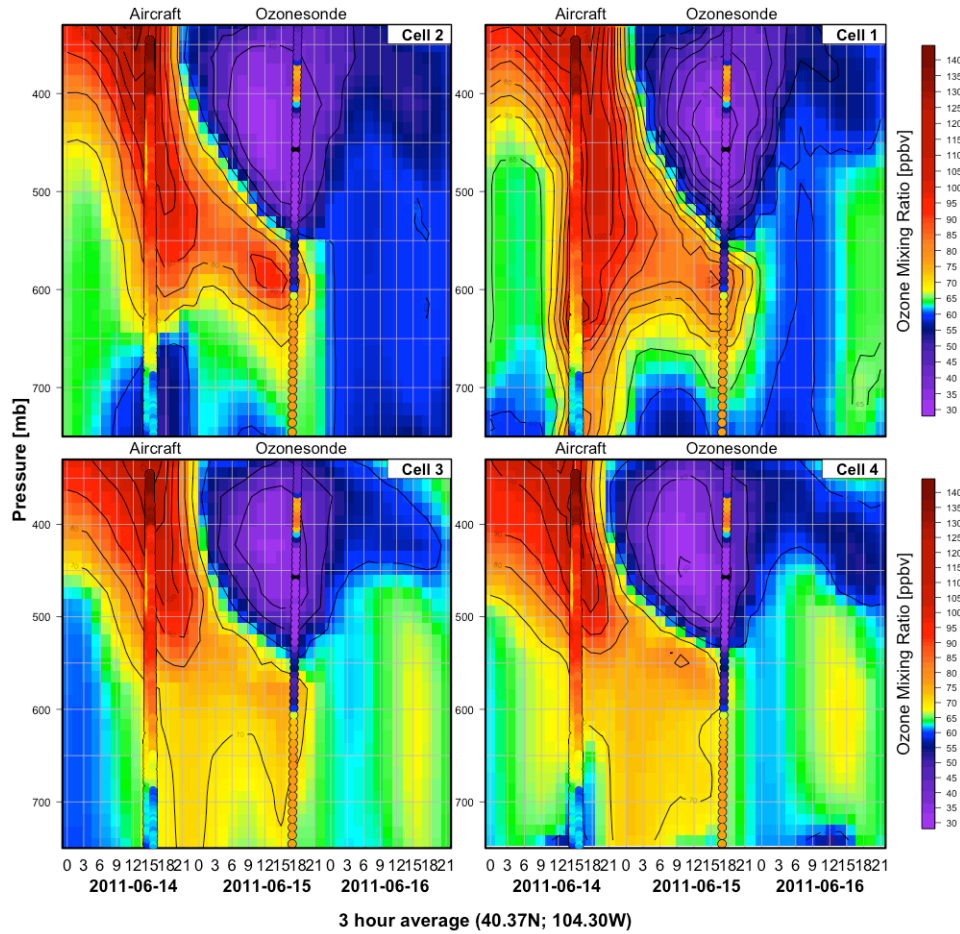
1 A 10-year hindcast simulation with the GFDL-AM3 global atmospheric chemistry-  
2 climate model, nudged to NCEP/NCAR winds, is used in this study to guide observation  
3 comparisons and evaluate any temporal and spatial differences found between CAR  
4 aircraft and ECC ozonesonde samplings. This model has a horizontal cubed-sphere grid  
5 resolution of about 200 x 200 km<sup>2</sup> and vertical resolution of about 70 m near the  
6 earth's surface to 1-1.5 km near the tropopause (Donner et al., 2011; Lin et al.,  
7 2012b; 2014).

8 The AM3 model has been used in comparison with ozonesonde measurements in  
9 California and in analysis of stratospheric intrusions. Lin et al., (2012a) showed that  
10 intrusions of ozone from the stratosphere affect air quality in the western US. The  
11 ability of the GFDL-AM3 model to capture tropospheric ozone variability was also  
12 verified in comparisons with ozonesonde measurements. The model was able to  
13 explain 50-90% of observed daily O<sub>3</sub> variability in Trinidad Head, Point Reyes, Point  
14 Sur, and Joshua Tree ozonesonde sites (see Supplementary Figs.S1-S2 in Lin et al.,  
15 (2015a);<http://www.nature.com/ncomms/2015/150512/ncomms8105/extref/ncomms8105-s1.pdf>). Therefore, this model is suited for the assessment of the  
16 representativeness of ozone variability and trends derived from weekly ozonesonde  
17 and monthly aircraft observations in Colorado.  
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19 One of the limitations of the model is its coarse spatial resolution (~200x200 km<sup>2</sup>).  
20 It is hard to detect the spatial differences between the aircraft and ozonesonde  
21 locations at this resolution. In addition, 3-hour averaged ozone fields archived from  
22 the model are used in this study, but ozonesonde vertical profiling of the troposphere  
23 is usually limited to less than 30 minutes. The ozone comparisons between the model  
24 and ozonesonde profiles might differ in the troposphere due to temporal or spatial  
25 averaging. For instance, the timing or spatial location of the stratospheric intrusions  
26 can vary; they may reach the free troposphere over Boulder an hour after an  
27 ozonesonde launch. Nevertheless, we are using the model to capture seasonal  
28 variability that is representative of the intra-annual variability. At the same time, we  
29 expect the model to capture the ozone variability in association with synoptic-scale  
30 meteorology as the model is relaxed towards assimilated meteorological fields.  
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### 32 **3. Method of comparing datasets**

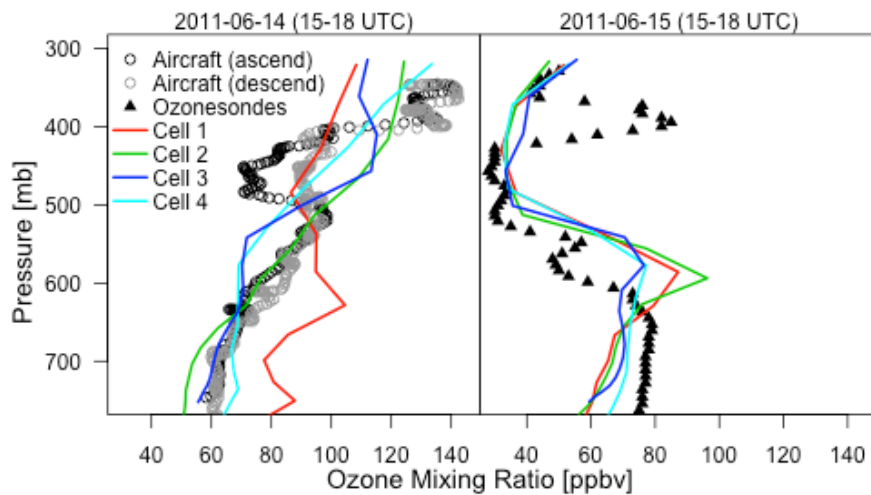
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Figure 4a. Case Study of June 14-15, 2011.

Time series of three hourly averaged tropospheric ozone profiles for June 14 to 16 2011 from the GFDL-AM3 model (color shading) sampled at the four grid boxes closest to the observation locations (confined to coordinates 103.75-106.25°W and 39-41°N). Color-coded circles denote observations from the aircraft profile over the Colorado Front Range on June 14 and Boulder ozonesonde on June 15.



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Figure 4b. Ozone profiles from aircraft (black and grey circles) on June 14 and ozonesonde at Boulder (black triangles) on June 15, along with the model results sampled at the four closest grid cells.

### 3.1 Observations and Model

For comparison with aircraft data, ECC ozonesondes and the GDFL-AM3 model are sampled from years 2004-2014 and for hours 15-21 UTC (900-1500 MDT) of active CAR flight data. This range of hours is found in 98% of all ozonesonde records. The number of aircraft and ozonesonde profiles used for deriving the monthly climatology is shown in **Table 2**. The sample size for each month ranges from 4 to 15 for aircraft flights and 38 to 91 for ozonesondes. The AM3 model provides a continuous record (3-hour averages) of tropospheric ozone profiles during active years of aircraft flights over the Colorado Front Range. For spatial proximity to observations, the model is confined to four grid cells at coordinates (103.75-106.25°W) and (39-41°N). The model uses atmospheric pressure as a vertical parameter and can be compared to observational measurements using a conversion from pressure to altitude by a derivation based on a subset of the International Standard Atmosphere (ISA) model formulated by the International Civil Aviation Organization (ICAO). For illustrative purposes, **Figure 4a** shows time series of the three hourly averaged ozone profiles from the GDFL-AM3 model at 4 grid cells during June 14-15, 2011 (see Figure 2 for location of grid cells relative to the Colorado Front Range). The curtain plots show descent of the enhanced stratospheric ozone air to the troposphere. The four panels show spatial variability of the ozone filament descending over the Front Range. The vertical ozone distributions sampled by aircraft on June 14, 2016 and by ozonesonde on June 15, 2011 are over-plotted in four panels to provide an easy comparison with the modeled results. The model captures the large-scale ozone enhancements at 400-600 hPa observed by the aircraft on June 14 as well as the rapid decrease on the following day observed by the ozonesonde at Boulder. The model missed the small-scale ozone filament at approximately 400 hPa recorded by the sonde.

In order to match spatial variability of the modeled ozone fields with aircraft sampling location, a distance-weighted average from four AM3 grid cells is calculated with respect to GPS coordinates taken on each flight. This method is used because the ozonesonde launch location and CAR station are located near the intercept of four individual grid cells. This model-averaging approach is illustrated in the June 14-15, 2011 case study, briefly discussed above. **Figure 4b** shows detailed comparison of the aircraft and ozonesonde profiles (black symbols in respective left and right panels) with AM3 model co-sampled with observations in time and space. The spatial ozone variability in AM3 model is represented by profiles selected from 4 matching grid cells (profile colors match colors of the cells shown in Figure 2). The large spatial tropospheric ozone variability across Colorado Front Range on June 14, 2011 is captured by the model (left panel of Figure 4). None of the individual cell profiles closely match the aircraft sampled ozone vertical distribution, but the weighted average profile compares well with observations.

1 Since the model, with roughly 0.7-1 km vertical resolution in the middle to lower  
2 troposphere cannot reproduce a fine vertical resolution of observations, we bin both  
3 observations and models into in the following layers: 1.6-3 km, 3-6 km, and 6-8 km.  
4 The lowest altitude (1.6 km ASL) is roughly the elevation along the Colorado Front  
5 Range. These altitude bins are chosen to represent the mixed planetary layer (MPL),  
6 free troposphere, and upper troposphere altitudes. Averaging vertical profiles within  
7 these altitude bins helps to minimize the impact of non-representative tropospheric  
8 variability due to poor temporal sampling of the aircraft record, and the impact from  
9 the ozone filaments that impact the climatologies, predominantly in spring season. We  
10 construct a vertical ozone seasonal climatology at these layers.

11 Within each altitude section, daily median ozone values were aggregated to  
12 produce monthly median climatologies for aircraft, ozonesondes, and the continuously  
13 sampled AM3 “true” model (referred to as AM3-True). The model was also co-sampled  
14 separately to match the dates of each aircraft flight and ozonesonde. These daily  
15 median values were then aggregated to a monthly median climatology. Also included  
16 are standard deviation and standard error associated with monthly variability. Monthly  
17 median values were used because under-sampled aircraft flights and ozonesonde  
18 soundings may produce a wide range of variability that is best described using a  
19 median rather than an arithmetic mean.

20 For further comparisons, vertical profiles were made using 1 km intervals. Within  
21 each altitude interval, daily ozone distribution for both aircraft and ozonesonde  
22 measurements were compared using a 5<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, and 95<sup>th</sup> percentiles. This  
23 same comparison method was used to help differentiate the vertical variability in the  
24 model. In this comparison, the model-based AM3-True and corresponding co-sampled  
25 climatologies were compared to the respective aircraft and ozonesonde climatologies.  
26 This comparison between observation and the model also frames the discussion on  
27 limitations in the observation sampling frequency and its influences on the ozone  
28 climatology development.

### 30 **3.2 Other climatologies**

31 In this study we also create monthly mean climatology based on ozonesondes data  
32 measured at Boulder from 2004-2014. This climatology (further referred as Leonard  
33 climatology) uses mean instead of median ozone values to match the historical  
34 climatology, while it also averages highly resolved ozonesonde profiles into three thick  
35 altitude layers (1.6-3 km, 3-6 km, and 6-8 km). We compare Leonard climatology with  
36 the previously published ozonesonde climatology for the Front Range of Colorado  
37 published in Logan et al., (1999), Tilmes et al., (2012) and Liu et al., (2013).

38 The Logan climatology is available as monthly means of ozonesonde soundings  
39 launched near Boulder, Colorado from 1985 to 1993. Ozone values are given in partial  
40 pressure, but converted to a mixing ratio. Monthly means are provided from 1000 to 10  
41 hPa in 100 hPa intervals, but converted to altitude (km) for comparisons with other  
42 climatologies. This data is available at  
43 <http://www.people.fas.harvard.edu/~logan/research7.html>.

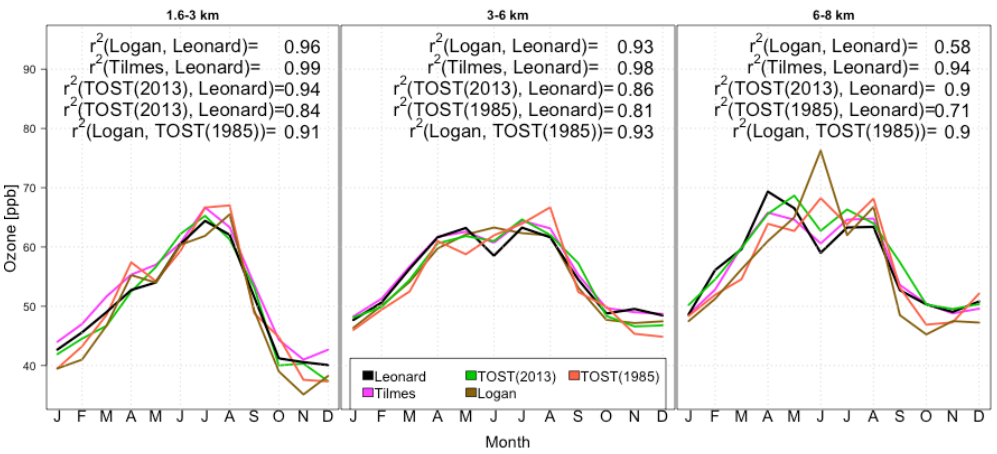
1 The Tilmes climatology is available as monthly means of ozonesonde soundings  
 2 launched near Boulder, Colorado from 1995 to 2009 using altitude grid with 0.5 km  
 3 resolution. Data is available at <http://www.acom.ucar.edu/gctm/download.shtml>.  
 4 The Liu et al., (2013) analyses provide a globally and vertically gridded dataset of  
 5 monthly averaged ozone. While the typical sampling of the ozone by balloon-borne  
 6 sounding at a station is limited to once a week launches, the method described in Liu  
 7 et al., (2013) provides additional data to the gridded ozone record by means of a long-  
 8 range-transport of the ozone air masses driven by meteorological reanalysis. It  
 9 redistributes ozone from various geographical places where it was measured by  
 10 ozonesondes to the remote grid point and effectively increases the number of  
 11 “sampling” days available for the gridded record in each month. Liu et al., (2013)  
 12 dataset will be further called TOST (Trajectory-mapped Ozonesonde dataset for  
 13 Stratosphere and Troposphere). TOST dataset is available as monthly means ozone  
 14 time series from 1985 to 2013. In order to spatially match Boulder ozonesonde record,  
 15 TOST was sampled within the 5x5 degree box based on the longitude and latitude that  
 16 is collocated to represent the Front Range of Colorado. TOST is available at <ftp://es-ee.tor.ec.gc.ca/pub/ftpd/> under “Tropospheric Climatology”. In order to compare  
 17 TOST with the modern-day climatologies (i.e Tilmes and Leonard), the 2004-2013  
 18 subset of time series was averaged into 12 monthly mean values (henceforth called  
 19 TOST (2013)).  
 20 TOST (2013)).

21 To help link any changes between the Logan time period and more recently derived  
 22 climatologies, a historical subset of available monthly mean data from Liu is selected  
 23 between years 1985 and 1993 (further called TOST (1985)). These previously published  
 24 climatologies were combined into 3 altitude layers (1.5-3km, 3-6km and 6-8km) to  
 25 compare with the Leonard climatology.  
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27 **4. Results**

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 29 **4.1 Comparison with previously published climatologies**

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Figure 5. Comparisons of monthly mean ozone climatology at Boulder ozonesonde site derived from the present study for the period 2004-2014 (black) with those from the published literature: Logan et al., (1999) for the period 1985-1993 (brown), Tilmes et al., (2012)

1 for the period 1995-2009 (magenta), Liu et al., (2013) for the period 2004-2012 (green) (called TOST(2013)) and for the period 1985-  
2 1993 (called TOST(1985)) (orange). Note that Liu spatially interpolates ozonesonde profile sampling using forward and backward  
3 trajectories of meteorological reanalysis between 2004-2012 and again between 1985-1993.

4  
5 When comparing the Leonard climatology to past studies, it was found that the best  
6 agreement occurred within the lower (1.6-3 km) and middle (3-6 km) layers of the  
7 troposphere (see Supplemental Table 1). It was also found that the seasonal  
8 distribution in the climatologies may have reformed over the time span of years 1985-  
9 2014.

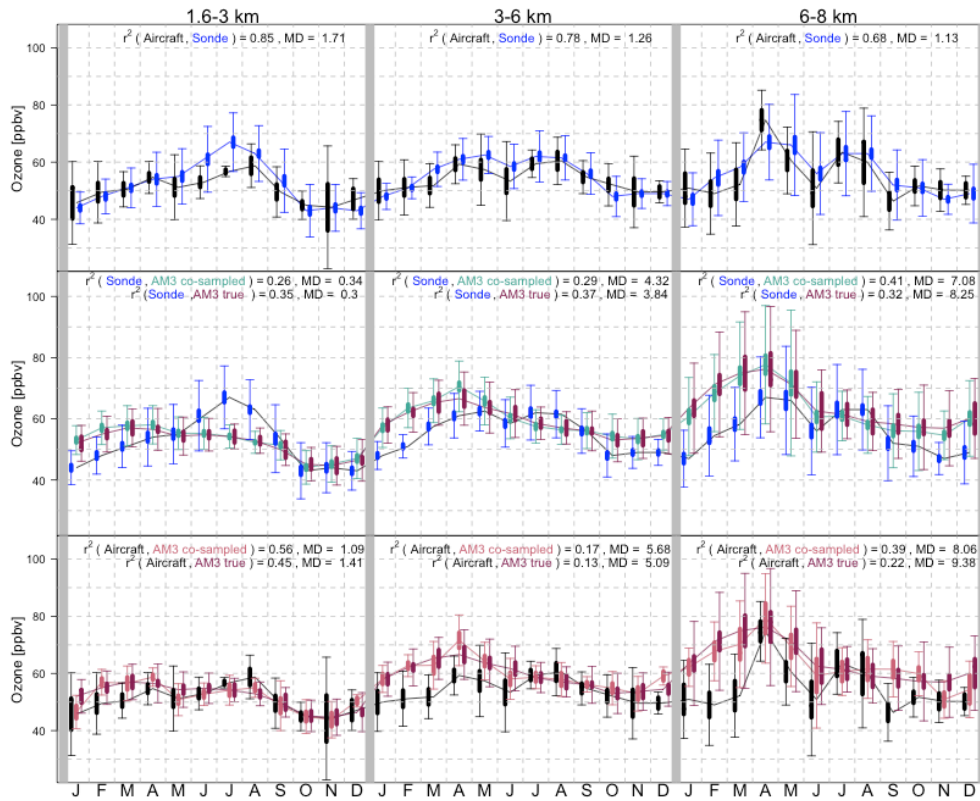
10 Beginning with the lower tropospheric layer (1.6-3 km), we note that all climatologies  
11 are in agreement in regards to the amplitude of the seasonal cycle, while offsets are  
12 found for individual months. In comparison to the more recent climatologies (i.e.  
13 derived over the more recent time-period of Boulder ozonesonde record, after 1995),  
14 the two historical climatologies of TOST(1985) and Logan, contain lower monthly  
15 ozone values throughout the late fall and winter months. These climatologies also  
16 exhibit higher ozone anomalies in April and August, whereas more recent climatologies  
17 find lower ozone levels in April and summer ozone maximum in July.

18 In the middle layer (3-6 km) of the troposphere, all discussed climatologies are  
19 in fairly close agreement. The historical TOST(1985) climatology exhibits the largest  
20 deviations in month-to-month variability compared to the other climatologies,  
21 featuring a high ozone anomaly in April and the lowest ozone levels in winter and early  
22 spring. Even though Logan spans the same time period (1985-1993) as TOST(1985), it  
23 does not follow the same seasonal distribution, instead it resembles the more recent  
24 climatologies. Since Logan and TOST(1985) have large deviations in their respected  
25 seasonal ozone distributions no conclusion can be made about decadal changes in the  
26 3-6 km tropospheric ozone presented in the more recent climatologies in the 3-6 km  
27 layer. Still withstanding, the Leonard climatology is found in reasonable agreement  
28 with all other climatologies.

29 The largest variability between the climatologies is found in the upper layer (6-  
30 8 km) of the troposphere. In this layer, it is more apparent that the shape of the  
31 seasonal cycle may have changed over the last 3 decades. The two historical  
32 climatologies have a comparable seasonal cycle that is different from the three more  
33 recent climatologies. The difference between these climatologies in the upper  
34 troposphere may be attributed to a possible decadal change in the position of the sub-  
35 tropical jet stream relative to Boulder, CO, which could affect stratosphere-to-  
36 troposphere ozone transport (Lin et al., 2012) and atmospheric composition in the  
37 upper troposphere/lower stratosphere (UTLS) layer in the vicinity of the jet (Manney  
38 et al., 2015).

#### 39 40 **4.2. Comparison of ozonesonde and aircraft climatology**

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Figure 6. Monthly ozone climatology along Front Range, Colorado. Shown are monthly median climatologies derived from CAR aircraft observations (black), ECC ozonesonde observations (blue), and simulated results of the continuously daily sampled (AM3-True) (purple) and the GDFL AM3 model co-sampled to aircraft (pink) and ozonesondes (light blue). The model is co-sampled to match the dates of aircraft flights and ozonesondes. The box and arrow plots represent the standard error and standard deviation for each monthly median value. Climatologies are taken at altitudes of 1.6-3 km, 3-6 km, and 6-8 km.

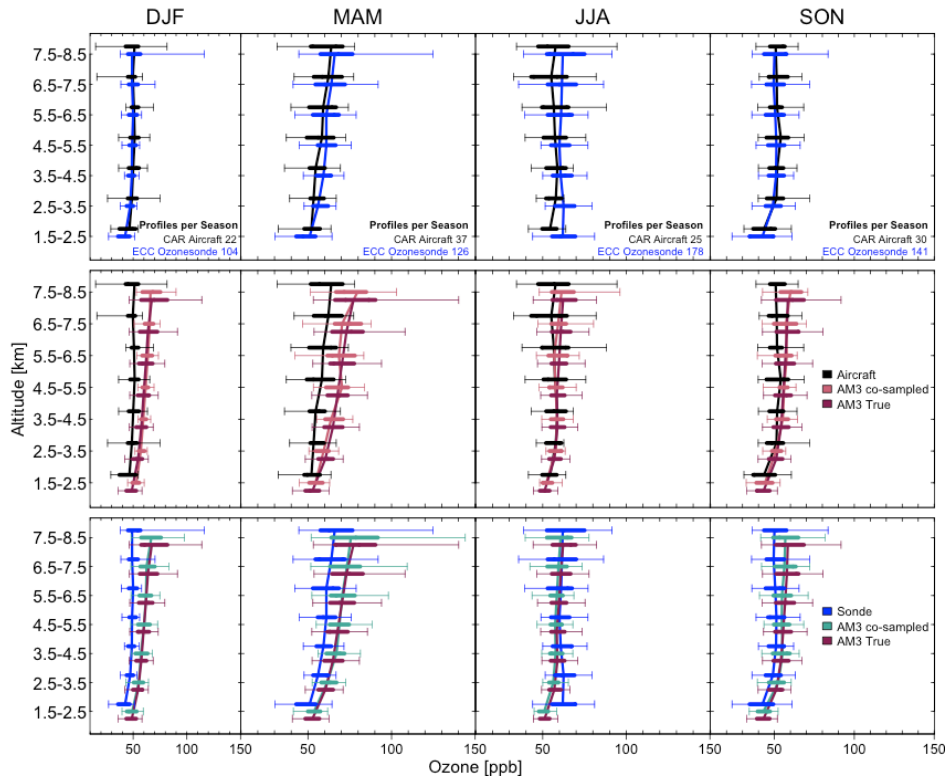


Figure 7. Seasonal median ozone vertical profiles along Front Range of Colorado.

Comparison of seasonal ozone vertical profiles: (top panels) between CAR aircraft (black) and ECC ozonesonde observations (blue); (middle panels) among aircraft data (black), AM3 co-sampled on aircraft launch days (pink), and AM3 “true” average with continuous daily sampling (brown); (bottom panels) among sonde data (blue), AM3 co-sampled on sonde launch days (cyan) and AM3 true average (brown). The box-and-whiskers plots represent the 5<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, and 95<sup>th</sup> percentiles of vertical ozone distribution taken from 2004 to 2014. The number of observational samplings per meteorological season is labeled above.

Since ozonesonde profiles collected in Boulder, CO from 2004 to 2014 provide an adequate climatological representation of ozone variability in the troposphere (i.e. Tilmes et al., 2010; Logan et al., 1999; Liu et al., 2013), the CAR aircraft-based climatology is compared to the ozonesonde climatology (top panels in Fig. 6 and Fig.7). The sonde and aircraft climatologies show similar ozone seasonal cycle that feature the late summer maximum below 3 km altitude and the bimodal maximum (spring and late summer) at 3-6 km and 6-8 km altitudes. The summertime afternoon buildup of ozone may be a cause of higher ozone values recorded by ozonesonde data in July as compared to ozone data from the earlier aircraft flights. Below 3 km altitudes, sonde measurements show 5-10 ppbv greater ozone values than aircraft data from May to September - the ozone pollution season in the boundary layer, consistent with the comparison for July presented in Fig.3. This reflects the fact that ozonesondes are launched at locations closer to urban pollution in Boulder and Denver (Fig.2) and more frequently in later afternoon hours when boundary layer ozone pollution peaks in this region (Fig.3), as discussed in Section 2.2. The monthly correlations between the two datasets range from  $R^2 = 0.85$  at 1.6-3 km altitudes,  $R^2 = 0.78$  at 3-6 km altitudes,  $R^2 = 0.68$  at 6-8 km altitudes (top panels in Fig.6). The vertical profiles of ozone derived from the two datasets are similar for winter (DJF)



1 and fall (SON), but ozonesondes show ~5 ppb greater ozone values for spring (MAM)  
2 and summer (JJA) (top panels in Fig.7), likely for reasons noted above.

### 3 4 **4.3 Model and observation comparisons**

5 The middle and lower panels in Figs.6 and 7 compare sonde and aircraft  
6 measurements with the GFDL-AM3 model co-sampled with observations in time and the  
7 “true average” with continuous daily sampling (see also Supplemental Table 2 for the  
8 differences). AM3 captures the seasonal cycle of ozone measured by aircraft below 3  
9 km altitude ( $r^2= 0.56$  for AM3 co-sampled and  $r^2=0.45$  for AM3-True) and the springtime  
10 maximum of observed ozone at 6-8 km altitude ( $r^2= 0.4$  for co-sampled results). The  
11 simulated monthly variation of ozone is weakly correlated with aircraft measurements  
12 in the middle troposphere ( $r^2=0.13-0.17$ ). With regard to the magnitude, AM3 shows  
13 overall positive biases during the non-summer months. The positive biases are found in  
14 both the AM3 co-sampled results and the model “true average” with continuous  
15 sampling (Fig.6 and Supplemental Table 3). The biases in winter and spring increase  
16 with altitude (Fig.7), indicating that excessive stratosphere-to-troposphere transport  
17 may be responsible for the biases (see more detailed discussions in Lin et al., 2012a).  
18 In the boundary layer (1.6-3 km altitude), the model agrees better with aircraft data  
19 than ozonesonde measurements (left panels in Fig.6). The model is biased low by ~10  
20 ppbv compared to sonde measurements during the summer months, reflecting that the  
21 model has difficulty resolving observed urban pollution due to its coarse resolution  
22 (~200x200 km<sup>2</sup>).

23 The continuously sampled model is included for comparisons with the  
24 observational climatology and vertical profiles. We find that monthly variations of  
25 ozone in sonde measurements correlates better with the model “true average”  
26 ( $R^2=0.35$  to  $0.37$ ) than its respective co-sampled model climatology ( $R^2=0.26$  to  $0.29$ )  
27 within the lower and middle troposphere (1.6-6 km). In the upper troposphere (6-8  
28 km), however, AM3 co-sampled with sonde launch days shows greater seasonal  
29 correlations with observations than the model “true average” ( $R^2=0.41$  vs.  $0.32$ ). The  
30 model co-sampled results are also better correlated with aircraft measurements in the  
31 lower and upper troposphere, implying that sampling deficiency influences the  
32 calculated ozone climatology by aircraft in the lower and upper troposphere and by  
33 ozonesondes in the upper troposphere.

### 34 35 **4.4 Sampling studies**

36 We next evaluate the minimum number of vertical ozone profiles per month that  
37 would be required to obtain representative monthly mean ozone climatology within  
38 each discussed altitude bin. We use the 2004-2014 time series of the daily median  
39 AM3-true ozone simulations as a benchmark for the comparisons. This AM3-true time  
40 series, sub-sectioned within each altitude bin, was sequentially sampled by different  
41 frequencies of daily ozone values per each month throughout each year. Daily median  
42 time series sequentially selected for particular frequencies (e.g. 5 possibilities of 1  
43 profile per weekday time series) were then aggregated by month to find the mean  
44 values for each different samplings time series.

1 The sequentially selected time series is a realistic method for testing how many  
 2 soundings should be launched per week to capture the variability of any daily and  
 3 continuously sampled month. In this method, each sampling frequency time series was  
 4 remade with all possible combinations for number of days per weekday. For instance,  
 5 a 2 days-per-weekday (Monday to Friday) frequency consists of 10 possible  
 6 combination (e.g. Tuesday-Thursday, Monday-Wednesday) time series. These time  
 7 series are aggregated by month to find their respective mean values.

8 We next evaluate these monthly mean values by comparing them to the  
 9 continuously sampled ‘true’ climatology. For each month, the mean values produced  
 10 by each combination of weekday time series is averaged and divided by the ‘true’  
 11 monthly means. Furthermore, this ratio of subsampled and ‘true’ monthly means is  
 12 compared at each altitude bin. If the number of samplings per weekday provided a  
 13 mean value that is within  $\pm$ CI of the true mean value, it is considered adequate for  
 14 that particular month.

Months	1.6-3 km	3-6 km	6-8 km
Jan	4 m.	4 m.	3 m.
Feb	4 m.	5 m.	4 m.
Mar	5 m.	3 m.	3 m.
Apr	4 m.	3 m.	4 m.
May	4 m.	4 m.	5 m.
Jun	4 m.	3 m.	4 m.
Jul	4 m.	4 m.	4 m.
Aug	6 m.	4 m.	4 m.
Sep	4 m.	4 m.	4 m.
Oct	4 m.	4 m.	4 m.
Nov	4 m.	5 m.	5 m.
Dec	4 m.	4 m.	4 m.

16  
 17 Table 3: Minimal number of samplings required for all possibilities of sequentially sampled monthly means  
 18 to average within a 95% confidence interval (CI) of the ‘true’ monthly means. The brackets include the  
 19 minimal number of samplings required for the CI of the sub-sampled monthly means to intercept with the  
 20 CI of the continuously sampled ‘true’ monthly means. Abbreviation is m. (per month).

21  
 22 We find that the minimal number of soundings required for the all altitude bins  
 23 (1.6-3, 3-6, and 6-8 km) vary between 3 to 5 sounding per month to (see **Table 3.**),  
 24 except in August with a minimum of 6 soundings per month. The middle altitude bin  
 25 required the least number of samplings per month.

26  
 27 **5. Conclusion**

1 Observations of ozone from ozonesondes and aircraft were compiled and  
2 analyzed from different sections of the troposphere. Vertical ozone climatology and  
3 distribution profiles were compared along the Colorado Front Range from years 2004-  
4 2014. The GDFL-AM3 model ozone profile dataset was sampled to match each  
5 observation with the best spatial and temporal proximity that is limited to 3 hour and  
6 200 by 200 km<sup>2</sup> resolution of the model. This report provided daily and monthly ozone  
7 values for Boulder, Colorado ozonesondes and CAR station aircraft along with various  
8 percentiles, standard deviations, error, and number of profiles used.

9 Seasonally and regionally aggregated ozonesonde climatologies were found to  
10 be similar to those of Tilmes et al., (2012) and Liu et al., (2013), even though years  
11 used in the present study were different. The climatologies derived from aircraft and  
12 ozonesonde data are similar in representing tropospheric ozone seasonal cycle and  
13 variability in the Colorado Front range area, except in the lower troposphere. Sonde  
14 measurements are shown to have ~10 ppb greater values in lower tropospheric ozone  
15 during summer months in comparison with aircraft measurements likely related to the  
16 greater impact of urban related pollution impacts at the ozonesonde site with its  
17 closer proximity to the Denver metropolitan area.

18 The comparison of median ozone climatologies between observations and  
19 GDFL-AM3 model simulations identified both similarities and differences in the  
20 seasonal cycles. The model captures the observed day-to-day variations of ozone in  
21 the free troposphere associated with tropopause folds (Fig.4) and the prominent  
22 seasonal cycle of ozone in the upper troposphere (Fig.6). The model has difficulty  
23 replicating small-scale differences, e.g., the weak seasonal cycle of ozone at 3-6 km  
24 altitude and the summertime urban pollution measured by ozonesondes at Boulder.  
25 Future work should explore model hindcasts at higher horizontal resolution (e.g.,  
26 50x50 km<sup>2</sup>).

27 The present-day tropospheric ozone climatology was derived using aircraft and  
28 ozonesonde observations along Front Range Colorado. While CAR aircraft do not have  
29 an abundant sampling frequency, they provide vertical and seasonal variability in  
30 tropospheric ozone that is comparable to variability derived from 2004-2014  
31 ozonesonde observations. Using bi-monthly sequential sampling of the AM3 true time  
32 series for analysis of the sampling limitations of aircraft measurements to derive  
33 tropospheric ozone climatology we find that sub-sampled and AM3-true climatologies  
34 agree within their respective 95% CI.

35 The tropospheric ozone climatology can be also derived from yet another NOAA  
36 location with co-located aircraft and ozonesondes monitoring, such as Trinidad Head,  
37 California (not presented in this study). The use of the high resolution AM3 model (i.e.  
38 50x50 km<sup>2</sup> or better) can help to improve the geographical matching criteria with  
39 observations sampled near the ocean. Other NOAA light-aircraft sampling locations  
40 (provided at <http://www.esrl.noaa.gov/gmd/ccgg/aircraft/>) are stand-alone  
41 operations and do not have co-located ozonesonde measurements, and thus these  
42 climatologies are more difficult to validate. The aircraft based climatologies are useful  
43 for validation of the tropospheric/surface ozone predicted by regional and sub-scaled  
44 chemistry models because it represents ozone variability under the influence from

1 local meteorological conditions and chemistry specific to aircraft locations. The  
2 limitation of the aircraft measurements is due to very limited temporal sampling.  
3 However, if the sampling is increased, these datasets could be useful for studies of the  
4 inter- and intra-annual ozone variability across the US. This includes validation of  
5 tropospheric ozone derived by current satellites (i.e. combined product from Aura OMI  
6 and MLS, GOME/SCHIAMACHI, Aura/TES, IASI, etc.) to refine their product for small-  
7 scale pollution events. In the future, tropospheric products from the JPSS/OMPS, and  
8 geostationary TROPOMI and Sentinel series satellites can be validated by a fleet of  
9 small commercial aircraft that can provide information on the temporal and spatial  
10 ozone variability with regards to the small geographical scales.

11         The reasonably good agreement between the ozonesondes and aircraft  
12 measurements near Boulder suggest that valuable climatologies could be developed  
13 from the aircraft sites where no ozonesondes exist even though the aircraft  
14 measurements are more limited in number than the ozonesondes. When averaged over  
15 a number of years the aircraft data provide valuable information. The aircraft  
16 measurements also have measurements from other species that could be useful in  
17 telling something about the source of ozone being measured. More frequent sampling  
18 could tell us more but the measurements given would indicate that they can provide  
19 interesting climatological results.

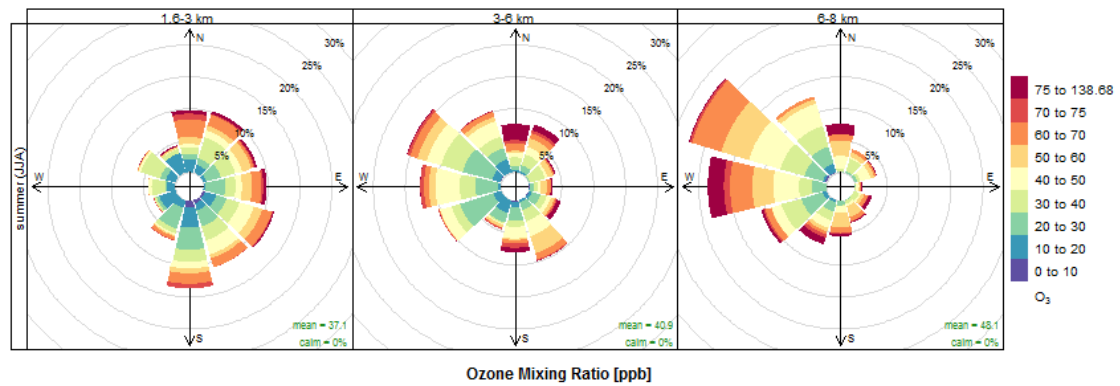
2B Technologies 205	Specifications
Range	1-250 ppmv
Zero Noise	.25 ppbv
Lower limit	2 ppbv
Drift: Zero	Less than 1 ppbv
Drift: Span	Less than 1 % full scale
Response time	10 seconds
Precision	Greater of 1 ppbv or 2%
Flow Rate	1.8 L/min
Calibration	NIST Traceable 0-200 ppbv

**Table 1. Specifications of Model 205 Ozone Monitor.**

Further information can be found at <http://www.twobtech.com/model-205-ozone-monitor.html>.

Month	CAR Aircraft Flights	ECC Ozonesonde Flights
1	7	44
2	11	38
3	9	51
4	15	52
5	15	47
6	11	61
7	8	50
8	7	91
9	11	52
10	12	48
11	7	46
12	4	39
Total	117	619

**Table 2. Summary of observations taken per month during 2004-2014.**



**Supplementary Figure 1. Summer ozone concentration wind rose.** Shown is a collection of summertime wind and ozone measurements collected from ozonesondes in south Boulder, Colorado. Altitudes are sectioned in from 1.6-3km, 3-6km, and 6-8 km. These altitude sections represent the surface and planetary boundary layer (PBL), free troposphere air, and upper troposphere.

Altitude	Tilmes 1995-2009	Liu 2004-2012	Liu 1985-1993	Logan 1985-1993
1.6-3 km	1.24±1.19	0.02±1.49	0.26±3.01	-1.52±2.75
3-6 km	0.54±1.12	-0.08±1.78	-0.71±3.08	-0.7±1.96
6-8 km	1.09±1.02	1.01±2.41	-0.82±4.50	-0.98±6.05

**Supplemental Table 1. Monthly mean climatology difference in mean and standard deviation of previous studies.**

12-month mean climatologies of previous studies are compared using mean differences and variance to the 2004-2014 ozonesonde-derived Leonard climatology within 3 altitude bins. Previous studies compared are Logan et al., (1999), Tilmes et al., (2012) and two separate datasets from Liu et al., (2013).

Altitude	Sonde	AM3 co-sampled	AM3-true
1.6-3 km	1.71±4.36	1.09±3.39	1.41±3.65
3-6 km	1.26±3.01	5.68±4.97	5.09±4.96
6-8 km	1.13±4.62	8.06±6.84	9.38±7.88

**Supplemental Table 2. Monthly median climatology mean difference and standard deviation.**

Within 3 altitude bins, monthly median climatologies for CAR aircraft (called Aircraft) are compared with ozonesondes (called Sonde), the continuously sampled AM3 ‘true’ model, and the model co-sampled to match the dates of each aircraft flight using a 12-month mean difference and standard deviation.

Altitude	AM3 co-sampled with aircraft	AM3 co-sampled with ozonesondes
1.6-3 km	-0.31±2.64	0.65±0.87
3-6 km	0.59±2.46	0.48±1.56
6-8 km	-1.32±3.45	-1.17±1.34

**Supplemental Table 3. Monthly median climatology between model samplings.**

Within 3 altitude bins, monthly median climatologies for the continuously sampled AM3 ‘true’ model is compared to the model co-sampled to match the dates of each aircraft flight and ozonesondes using a 12-month mean difference and standard deviation.

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