

1 **Natural variability of Southern Ocean convection as a driver of**
2 **observed climate trends**

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23 **Observed Southern Ocean surface cooling and sea ice expansion over the**
24 **last several decades are inconsistent with many historical simulations from**
25 **climate models. Here we show that natural multidecadal variability involving**
26 **Southern Ocean convection may have contributed strongly to the observed**
27 **temperature and sea ice trends. These observed trends are consistent with a**
28 **particular phase of natural variability of the Southern Ocean as derived from**
29 **climate model simulations. Ensembles of simulations are conducted starting**
30 **from differing phases of this variability. The observed spatial pattern of trends**
31 **is reproduced in simulations that start from an active phase of Southern Ocean**
32 **convection. Simulations starting from a neutral phase do not reproduce the**
33 **observed changes, similar to the multi-model mean results of CMIP5 models.**
34 **The long time scales associated with this natural variability show potential for**
35 **skillful decadal prediction.**

36 While Arctic sea ice is rapidly decreasing in association with increasing surface
37 air temperature¹, observations clearly show an expansion of Southern Ocean (SO)
38 sea ice extent² during the satellite era (1979-2012) (Fig. 1a). This modest increase is
39 consistent with the observed SO cooling trend (Fig. 1a). The sea surface temperature
40 (SST) and sea ice concentration (SIC) trends are not homogeneous in space^{3,4}, with
41 opposing signs in the Amundsen-Bellingshausen Seas versus the Ross and Weddell
42 Seas (Fig. 1b, c). Several mechanisms have been proposed to explain these trends³⁻

43 ¹⁶. A leading idea involves surface wind changes³⁻¹⁴ driven by a number of factors,
44 including a positive trend of the Southern Annular Mode (SAM) in response to
45 stratospheric ozone depletion, or a deepened Amundsen Sea Low driven by remote
46 tropical Pacific or North Atlantic SST anomalies. In these studies, the wind-driven
47 surface heat flux, upper ocean dynamics and sea-ice drift are key drivers for the
48 observed sea ice and SST dipoles. Another explanation involves surface
49 freshening^{15,16} caused by anthropogenic warming, possibly via global water cycle
50 amplification and/or the melting of Antarctic glaciers and ice sheets. The surface
51 freshening enhances stratification and suppresses convective mixing with the
52 warmer water at depth, producing cold SST anomalies and thus inhibiting the
53 melting of Antarctic sea ice. However, other studies argue that wind anomalies,
54 induced by ozone depletion, favor an overall decrease rather than increase of
55 Antarctic sea ice¹⁷, and that the surface freshening caused by anthropogenic warming
56 is not large enough to trigger sea ice expansion^{18,19}.

57 In this study we examine the possibility that internal variability involving deep
58 ocean convection in the Southern Ocean could be a major contributor to the observed
59 trends, likely in concert with other previously identified factors. To explore this, we
60 use simulations with a newly developed coupled ocean atmosphere sea ice model
61 (SPEAR_AM2 – see Methods for model details). When this model is driven with
62 estimates of changes in past radiative forcing, the model simulation does not

63 reproduce the observed SST and SIC trends around the Antarctic. Instead, the model
64 simulates a steady warming and Antarctic sea ice loss (Fig. 1d, e), as is commonly
65 seen in multi-model mean results of Coupled Model Intercomparison Project phase
66 5 (CMIP5) models²⁰. One possible explanation for the discrepancy between
67 observations and model projections is that natural variability may play a large role
68 in the observed trends^{21,22}. Indeed, the observed sea ice expansion is within the range
69 of natural variability in the control run^{2, 21-22}.

70 We provide additional evidence supporting a strong role for natural variability
71 in the observed trends, likely involving multidecadal modulation of SO convection
72 and deep-water formation. As shown below, various aspects of the observed changes
73 are consistent with a multidecadal weakening of AABW formation. In the 1970s, the
74 open ocean Weddell Polynya^{23,24} (Supplementary Fig. 1) was first seen by satellite.
75 Following 1976, no similar Weddell polynya had been observed before 2016. Ship-
76 based hydrographic observations show the AABW has warmed globally between the
77 1980s and 2000s^{25,26}. Objectively analyzed ocean data also exhibits a warming
78 temperature trend in the SO subsurface and a cooling trend in the surface
79 (Supplementary Fig. 2). Both warming trends are consistent with weakened
80 convection. These observational results suggest a global-scale slowdown of the
81 bottom, southern limb of the meridional overturning circulation (MOC) during
82 1979-2012²⁵. Meanwhile, multidecadal variability of SO SST^{27,28} shown in

83 reanalysis (Fig. 1a) and paleoclimate records^{29,30} highlights the low frequency
84 character of SO climate and places the recent Antarctic sea ice trends into a broader
85 context.

86 **Southern Ocean internal variability in coupled model**

87 In order to compare the observed changes to an estimate of natural variability,
88 we first examine SO natural climate variability from an extended simulation of the
89 SPEAR_AM2 model. We see that the time series of the AABW cell, related to ocean
90 convection (Methods and Supplementary Figs. 3-5), has pronounced multidecadal
91 to centennial-scale fluctuations that begin after an initial 1000 year spin up (Fig. 2a).
92 When convection is strong, the MLD is largest in the open Weddell Sea, near the
93 Maud Rise (65° S, 0°) (Fig. 2b). This convection location resembles the observed
94 1974-1976 Weddell polynya (Supplementary Fig. 1). Some MLD changes are also
95 seen over the Antarctic continental shelves, such as in the west Ross, Weddell Seas
96 and East Antarctic, but the magnitude is much smaller than that in the open ocean
97 (Fig. 2b). The internal low frequency variability over the SO is also found in other
98 models³¹⁻³⁷. The physical mechanisms behind such multidecadal fluctuations have
99 much in common with similar variability found in the Kiel Climate Model³⁵ and the
100 GFDL CM2.1 model^{36,37} (Supplementary Figs. 6 and 7). Briefly, the occurrence of
101 deep convection is caused by the buildup of heat in the subsurface ocean, where the
102 heat comes from the transport of relatively warm water from the north by the

103 subpolar Gyre. The heat buildup in the subsurface eventually destabilizes the water
104 column, leading to deep convection and large heat release from the subsurface ocean.
105 The depletion of the subsurface heat reservoir, combined with surface freshening
106 primarily due to sea ice melting, creates a strong vertical stratification leading to
107 much reduced convection. The multidecadal time scale of SO convection is
108 primarily determined by the rate of subsurface warming and surface freshening. In
109 addition, the deep convection initiates a positive sea-ice-ocean feedback³⁸ in the
110 upper Ross Sea (Supplementary Fig. 8). The brine released as a result of ice
111 formation is transported downward to deeper layers, leading to a strong
112 stratification, a shallower mixed layer, and thus reduced movement of heat from the
113 subsurface to the surface. This leads to surface cooling and an increase of sea ice,
114 forming a positive ice-coverage-heat-storage feedback.

115 Using composite analyses during a convective cycle in the model, we show that
116 this natural variability can produce ~30-yr trends in SST and SIC (Fig. 2c, d) that
117 resemble the observations, with cooling trends in the Ross and Weddell Seas and
118 warming trends over the Amundsen-Bellingshausen Seas (Fig. 2c versus Fig. 1b).
119 Although there are differences in amplitude (modeled amplitude is almost twice that
120 of observations, which may be due to different sensitivities in model and
121 observations), the spatial correlation between the modelled and observed SST trends
122 is 0.65. The SIC trend also broadly agrees with observations, both in spatial pattern

123 and magnitude (Fig. 2d versus Fig. 1c). In contrast, the SLP trend shows a large
124 discrepancy between the internal cycle and observations, in which the observed SLP
125 trend is one order of magnitude larger than that modeled (Fig. 2d versus Fig. 1c).
126 The observed wind trend in the SO is largely associated with anthropogenic
127 forcings³⁹ and remote tropical SST anomalies¹⁰⁻¹², while the SLP trend in the internal
128 cycle primarily reflects the middle and high latitudinal ocean feedback to the
129 atmosphere that is much smaller than the atmosphere forcing (Supplementary Figs.
130 9 and 10). This large wind difference also provides evidence that the SO wind may
131 not be the only factor generating the observed SST/SIC trend patterns. A close
132 inspection reveals that the phase lag of convection between the Weddell/Ross Seas
133 and Amundsen-Bellingshausen Seas during the internal cycle determines the
134 SST/SIC pattern seen in Fig. 2c and 2d (Supplementary Figs. 11 and 12). After
135 convection peaks, the weakening convection over the Weddell and Ross Seas
136 gradually suppresses convective mixing with warm subsurface water, in turn leading
137 to the cooling surface and increasing sea ice. The Amundsen-Bellingshausen Seas,
138 however, respond slowly with several years delay, due to the advection time of
139 salinity anomalies from the Ross Sea (Supplementary Fig. 12c). Once subsurface
140 heating initiates convection, salty water in the upper layer is advected over adjoining
141 marginally stable water columns, and initiates convection in them. The convection
142 over the Amundsen-Bellingshausen Seas first strengthens due to delayed response,

143 then gradually weakens following other basins. The overall 30-yr trend there
144 eventually exhibits weak warming and decreasing sea ice.

145 **Initial condition dependence of transient climate response**

146 The similarity between the SST/SIC trends in observations and those associated
147 with internal variability in the control run suggests that natural internal variability
148 associated with SO convection may play a significant role in the observed trends.
149 We provide further support for this by conducting simulations that are forced by
150 realistic time evolving radiative forcing (Methods). We conduct three sets of
151 simulations: the first set uses ocean initial conditions from an active convective
152 phase of the variability, the second set uses ocean initial conditions from a neutral
153 phase, and the third uses ocean initial conditions from an inactive convective phase,
154 as illustrated in Fig. 2a. The historical simulations initialized from an active state are
155 intended to resemble the period in the 1970s with the Weddell Polynya, and
156 presumably active convection over the SO.

157 When initialized from a strong convective phase of the natural variability, the
158 simulated convection and AABW cell exhibits a decreasing trend over the course of
159 the simulation from the 1970s through to 2012 (Fig. 3a). Remarkably, this simulation
160 captures the principal features of the observed SST/SIC trends, including the overall
161 cooling trend and sea ice expansion, the maximum cooling trend (sea ice increase)
162 over the Ross Sea and a warming trend (sea ice decrease) in the Amundsen-

163 Bellingshausen Seas (Fig. 3b-d). Moreover, the surface-subsurface temperature
164 dipole south of 55°S with cooling in the surface and warming in the subsurface (Fig.
165 3d) is broadly in agreement with observations (Supplementary Fig. 2). Heat budget
166 analysis reveals that the cooling SST trends over the Weddell and Ross Seas are
167 dominated by the declining vertical mixing term (Supplementary Fig. 13), consistent
168 with the AABW cell change.

169 Further examination finds the SLP trend is much larger than that in the internal
170 cycle because of the anthropogenic forcings³⁹ (Fig. 3c versus Fig. 2d), although it is
171 still weak compared to reanalysis (Fig. 3c versus Fig. 1c). This is a common bias in
172 most coupled climate models²⁰ or may be due to the absence of internal tropical
173 teleconnection as a result of ensemble average. The stronger Amundsen Low induces
174 a cyclonic circulation, heating the Antarctic Peninsula by warm-air advection. In
175 addition, the associated negative wind stress curl spins up the local subpolar gyre,
176 causes the relatively warm and salty deep water to upwell⁴⁰, enhances local
177 convective mixing and therefore favors warm SST anomalies over the Amundsen-
178 Bellingshausen Seas. This is why the SST/SIC trend over the Amundsen-
179 Bellingshausen Seas in Fig. 3b and Fig. 3c is much larger than that in the internal
180 cycle (Fig. 2c, d). These two processes are also reflected in the heat budget horizontal
181 advection and vertical mixing terms (Supplementary Fig. 13).

182 In stark contrast, historical simulations that start from either an inactive or
183 neutral phase of the oscillation in SO convection produce totally different responses.
184 The AABW cell shows an upward trend when the model is initialized with inactive
185 convection (Fig. 3e). Accordingly, the SO experiences broad SST warming and sea
186 ice reduction due to the combined effects of anthropogenic forcing and convective
187 warming (Fig. 3e-g). The SO subsurface shows a cooling trend, consistent with the
188 spin up of the AABW cell (Fig. 3h). The SO response started from neutral
189 convection has similar features with the ensemble mean results of the historical runs
190 in SPEAR_AM2 (Fig. 3i-l versus Fig. 1d, e) and CMIP5 models²⁰. This suggests the
191 response here (Fig. 3i-l) is primarily due to anthropogenic forcing. The distinct
192 responses among these three groups of experiments indicate that the SO transient
193 response to global climate change is very sensitive to the initial conditions of deep
194 convection. This highlights the crucial role of SO natural variability in determining
195 the detectability of transient climate response to global warming⁴¹.

196 **Seasonality of sea ice trend**

197 We also examine the seasonality of sea ice trends (Supplementary Fig. 14). Our
198 historical simulations that started with active convection reasonably capture the
199 observed warm season (DJFMAM) sea ice trend (Supplementary Fig. 14a, c, e). The
200 success of the simulation is primarily due to synchronizing the slowly evolving SO
201 convection internal variability into the model. The model performance of sea ice in

202 the cold seasons (JJASON) is not as good as that in the warm season (Supplementary
203 Fig. 14b, d, f). Note that the observed sea ice trend position in JJASON is far away
204 from the coast, shedding light on the importance of surface wind that can cause sea-
205 ice drift⁴². Note also that the wind trend in our historical simulations is only half the
206 magnitude of reanalysis (Fig. 3c versus Fig. 1c). To evaluate the importance of wind
207 trends on the JJASON sea ice trend, we conduct two additional groups of
208 experiments in which we assimilate observed SLP variations into the model. This
209 assimilation constrains the time series of model winds to resemble the time series of
210 observed winds, so that we can assess the impact of the observed winds on the SST
211 and sea ice trends. One group starts from an active convective phase, and the other
212 started from a neutral convective phase (Methods). The simulations initialized from
213 an active convective phase produce a better cold season sea ice trend, especially over
214 the Antarctic Peninsula region (Supplementary Fig. 15a, b), thereby emphasizing the
215 importance of wind trends. In contrast, simulations started from a neutral convective
216 phase produce an overall sea ice retreat, despite the fact that realistic surface winds
217 are imposed on the model via SLP assimilation (Supplementary Fig. 15c, d). A close
218 inspection reveals that the warm SST and decreasing sea ice here are primarily
219 associated with the spin up of AABW cell (Supplementary Fig. 15c). Compared to
220 the weak persistence of neutral convection, the strong and long-lasting westerly wind
221 anomalies become dominant over the SO. The wind mechanically induces upwelling

222 and in turn spins up the entire meridional overturning circulation⁴³. This process is
223 also consistent with the second stage of previous two timescale arguments⁴⁴, in
224 which the equilibrium response of the SO to an increase in surface westerlies is
225 associated with the upwelling of warm water below and thus the SO experiences
226 broad warming anomalies in the surface. These results further highlight the
227 significant role of SO deep convection in modulating transient climate response to
228 wind change.

229 **Discussion and summary**

230 In the present study, we investigated the potential physical drivers responsible
231 for the observed Southern Ocean (SO) SST and sea ice trends in recent decades. This
232 is a critical goal in climate science especially with the importance of the SO for the
233 uptake of heat and carbon from the atmosphere. Observations suggest a weakening
234 of SO convection and deep-water formation between the 1980s and 2000s,
235 coincident with the surface overall cooling trend and increasing sea ice. Here we
236 find that these observed trends are consistent with a particular phase of natural
237 multidecadal variability of SO deep convection as derived from climate model
238 simulations. Ensembles of climate change simulations are conducted starting from
239 different phases of this variability. Simulations started from an active phase of SO
240 convection, such as may have occurred in the 1970s, can reproduce the observed
241 pattern of SST and sea ice trends, particularly during the warm season (DJFMAM).

242 We argue that natural multidecadal variability of SO deep convection could
243 modulate the transient climate response to anthropogenic forcings, and that
244 weakening of SO deep convection is a potential driver for observed SST and sea ice
245 trends over the SO. Our argument here shares some similarities with that from Latif
246 et al 2013²⁸ and Stossel et al 2015⁴⁵.

247 However, we can't conclude that internally generated SO deep convection is the
248 only driver, even in recent observations. The SO deep convection change could work
249 together with various other mechanisms identified in earlier studies³⁻¹⁶, such as wind
250 driven ice transport and cold/warm temperature advection, and anthropogenic
251 surface freshening due to an amplified hydrological cycle and ice sheet melting. As
252 mentioned above, the surface wind trend favors warm SST and decreasing sea ice
253 over the Antarctic Peninsula through warm advection and over the Amundsen-
254 Bellingshausen Seas through enhanced vertical mixing caused by anomalous
255 negative wind stress curl. Our model also shows the long-lasting westerly winds over
256 the SO induce upwelling and a spin up of the AABW cell, which in turn generates
257 the warm SST. The surface freshwater changes due to shifted storm tracks and
258 melting ice sheet in future may slowdown the SO MOC¹⁵, which also can't be
259 excluded. It is also possible that melting of land-based ice sheets, a process usually
260 not included in climate models, could cause surface freshening and the subsequent
261 suppressed convection and SST cooling.

262 We need to consider all of the key factors and their interactions when interpreting
263 the observations, including in detection and attribution studies. In reality, it is very
264 likely that different processes dominate in different periods. The slowly weakening
265 SO deep convection due to internal variability can be disrupted if the enhanced
266 surface westerly winds and associated negative wind stress curl are persistent and
267 strong enough. For example, the Weddell Polynya in 2016 is suggested to be caused
268 by the anomalously strong deepening of Amundsen Sea Low⁴⁶ due to coincidence
269 of strong negative SAM and La Niña-like SST anomalies in the tropics. The opposite
270 is also possible when the internal variability overwhelms the wind effect.

271 In contrast to surface wind changes, variations of SO deep convection, whether
272 from radiative forcing or internal variability, have very long timescales due to the
273 large inertia of the subsurface ocean^{36,47}. The persistence time scale of the natural
274 variability of deep convection in the model used for this study is approximately 20
275 years (Supplementary Fig. S16). To the extent that similar variability exists in the
276 real climate system, this persistence makes the climate impacts associated with this
277 variability potentially predictable, provided that we can properly initialize models
278 using observational estimates of the three-dimensional state of the ocean. This calls
279 for sustained in situ ocean observations in the Southern Ocean, particularly in the
280 subsurface ocean. Understanding the SO deep convection evolution will help us to

281 better predict future changes in Antarctic sea ice and their far-reaching impacts on
282 the global carbon cycle⁴⁸ and Antarctic marine ecosystems^{49,50}.

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416

417 **Author contributions**

418 L.Z. and T.L.D conceived the idea and wrote the paper. L.Z. wrote the first draft,
419 performed the analysis and conducted the sensitivity experiments. T.L.D and W.C.
420 lead the development of SPEAR_AM2 model. X.Y leads the SLP assimilation based
421 on the SPEAR_AM2 model. All authors contributed to the improvement of the
422 manuscript.

423

424 **Competing interests**

425 The authors declare no competing financial interests.

426

427 **Additional information**

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429

430 **Figure Captions:**

431 **Figure 1: Annual SST and sea ice time series and trends.** (a) Time series of
432 Southern Ocean (SO) area mean (50°-70°S) SST (K) anomalies over 1890-2012 and
433 sea ice extent (SIE, 10^{12} m²) anomalies over 1979-2012. These anomalies are with
434 respect to their long term mean values. The SST data are from Hadley Centre Sea
435 Ice and Sea Surface temperature (HadISST; magenta line) and Extended
436 Reconstructed Sea Surface Temperature (ERSST; Red line) version 3. The sea ice
437 data are from HadISST (yellow line) and National Snow and Ice Data Center
438 (NSIDC, blue line). (b) SST trend in HadISST over 1979-2012. (c) Sea ice
439 concentration (SIC) and SLP trends in NSIDC over 1979-2012. (d) SST and (e)
440 SIC/SLP trends in ensemble mean results of SPEAR_AM2 historical run over 1979-
441 2012. Units are $K(30yr)^{-1}$ for the SST trend, $100\%(30yr)^{-1}$ for the SIC trend and
442 $hPa(30yr)^{-1}$ for the SLP trend. Stippling on trends means the trend is significant at
443 the 95% level based on two-sided Student's t-test. Note that the trend pattern is not
444 sensitive to the choice of ending year from 2010 to 2015 (See Methods).

445 **Figure 2: Southern Ocean internal variability in the preindustrial control run.**

446 Analyses of output from a preindustrial control simulation. (a) Time series of the
447 annual mean AABW cell index (Sv) in control run. The AABW index is defined
448 each year as the absolute value of the minimum in the global overturning
449 streamfunction in density space south of 60°S. Red (blue, green) dots show the

450 periods used to initialize additional simulations (described in text) that are
451 characterized by strong (weak, average) convective activity in the Southern Ocean.
452 The purple line overlying the AABW cell index time series denotes years when the
453 Weddell Polynya appears in September, October or November. (b) Composite of
454 September mixed layer depth (MLD) for active convection (red dots in (a)). Map of
455 trends in annual mean (c) SST ($\text{K}(30\text{yr})^{-1}$) and (d) SIC ($100\%(30\text{yr})^{-1}$) and SLP
456 ($\text{hPa}(30\text{yr})^{-1}$) for the 30 years following a maximum in convective activity. Stippling
457 on trend maps indicate that the trend is significant at the 95% level based on two-
458 sided Student's t-test. For the trend patterns, data are 30-yr low pass filtered before
459 composite analysis.

460 **Figure 3: Dependence of transient climate response on the initial state of SO**
461 **convection.** The AABW cell time series (a), annual SST (b), SIC/SLP (c) and zonal
462 mean subsurface temperature (d) trends over 1979-2012 in historical simulations
463 initialized from a period with strong convection. (e-h) and (i-l) are same as (a-d) but
464 for simulations starting from states with weak and neutral convection, respectively.
465 Units are Sv for the AABW cell, $\text{K}(30\text{yr})^{-1}$ for the SST and subsurface temperature
466 trends, $100\%(30\text{yr})^{-1}$ for the SIC trend and $\text{hPa}(30\text{yr})^{-1}$ for the SLP trend. The
467 shading in (a, e, i) denotes the ensemble spread (ensemble mean plus one standard
468 deviation). Stippling on trends means the trend is significant at the 95% level based
469 on two-sided Student's t-test.

470 **Methods**

471 **Observations.** Here we use the Hadley Centre Sea Ice and Sea Surface temperature
472 (HadISST⁵¹) and Extended Reconstructed Sea Surface Temperature (ERSST⁵²)
473 version 3 to calculate the SO area mean (50°-70°S, 0°-360°E) SST time series. The
474 observed linear SST trends over 1979-2012 are based on the HadISST data. The SIE
475 time series and SIC trends over 1979-2012 are calculated from the National Snow
476 and Ice Data Center (NSIDC⁵³) NASA TEAM and HadISST as well. The SIE is
477 defined as the area where SIC is $\geq 15\%$ in the Southern Ocean. The SLP trend is
478 calculated from the Twentieth Century reanalysis version 2 (20CRv2⁵⁴). Similar SLP
479 trend is obtained if we used ERA-Interim reanalysis⁵⁵, albeit with smaller magnitude.
480 Note that the annual trend patterns of SST, SIC and SLP in observations are not
481 sensitive to the choices of ending years such as years 2013, 2014 and 2015. The
482 trends are a little bit lower when the end year is 2016 due to the occurrence of
483 Weddell Polynya at the end of year 2016. We choose year 2012 to better compare
484 with the model historical run in which the realistic time evolving radiative forcing
485 ends at 2012 and we use future projection forcings thereafter. This radiative forcing
486 is designed for Coupled Model Intercomparison Project Phase 5 (CMIP5). The
487 20CRv2 reanalysis also ends in year 2012. We use two-sided Student's t-test to
488 check the significance of linear trends.

489 **SPEAR_AM2 model.** We use one model from a new set of coupled ocean-
490 atmosphere models developed at the Geophysical Fluid Dynamics Laboratory
491 (GFDL). The set is collectively called SPEAR (Seamless system for Prediction and
492 Earth system Research). In this study we use an early prototype version from this set
493 of models, called SPEAR_AM2. This model uses the same atmosphere/land model
494 as documented in Vecchi et al, 2014⁵⁶, but at a coarser spatial resolution
495 (atmosphere/land grid cells in SPEAR_AM2 are approximately 200 km on each
496 side). The ocean and sea ice components are based on the new MOM6 code, and
497 have a horizontal resolution of approximately 1° in the subtropics, which is refined
498 to approximately 0.5° in both latitude and longitude at high latitudes. The grid is also
499 refined meridionally to 0.3° in the deep tropics. There are 75 layers in the vertical,
500 with 2-m resolution near the surface. The sea ice component in SPEAR_AM2 is
501 called the GFDL Sea Ice Simulator (SIS2). SIS2 is a dynamical model with three
502 vertical layers, one snow and two ice, and five ice thickness categories. The MOM6
503 code is available at <https://github.com/NOAA-GFDL/MOM6>.

504

505 A 3300-year control simulation was conducted with atmospheric composition fixed
506 at preindustrial concentrations. We only present the AABW cell evolution in the first
507 2000 years in the current paper. In model, the AABW index is used to represent the
508 strength of SO deep convection, which is defined as the absolute value of minimum

509 GMOC south of 60°S in density space (Fig. S2 in supplementary information). As
510 mentioned in our previous paper³²⁻³³, the AABW index correlates well with other
511 indices related to convection such as smoothed Southern Ocean (SO) SST,
512 subsurface temperature, sea ice extent and MLD. Different from surface variables,
513 the AABW cell signal in model is smoother due to a relative lack of high frequency
514 atmosphere perturbations. The peak time of convection (non-convection) is defined
515 as the maximum(minimum) value of the AABW cell index during one cycle. The
516 composite analysis of internal cycle spans the time from the 1100th year to the
517 1900th year. So, there are total 5 convection cycles. The peak convection time in
518 each cycle corresponds to the year when the AABW cell index has its maximum
519 value. We also performed historical simulations with 30 ensemble members
520 initialized from different points of the control run selected at 50-yr intervals. In these
521 historical runs the model was forced with estimates of changing radiative forcing
522 over the period 1860-2012, including changing greenhouse gases, anthropogenic and
523 natural aerosols, solar irradiance changes and land use changes. Linear trends over
524 1979-2012 are calculated for SST, SIC and SLP. We only show the ensemble mean
525 results in Fig. 1d and e, which primarily reflects the forced signal. To test the
526 dependence of the SO transient climate response on initial conditions, we conducted
527 three ensembles of simulations with identical historical radiative forcings but
528 different ocean initial conditions. These three ensembles were started from points in

529 the control simulation with differing characteristics of SO deep convection. One
530 ensemble starts from ocean conditions in the control simulation with strong SO
531 convection (indicated by red dots in Fig. 2a); a second ensemble starts from periods
532 of weak SO deep convection (blue dots in Fig. 2a), while a third ensemble starts
533 from conditions in which SO deep convection is close to a climatological mean
534 (green dots in Fig. 2a). Since we output restart files only every 5 years in the long
535 control run, we use as initial conditions the restart file from the time closest to peak
536 convection. Each ensemble has five (for peak convection cases) or six members (for
537 neutral convection case; Even numbers guarantee the members moving from an
538 inactive state to an active state are equals to the members moving from an active
539 state to an inactive state), and each member starts from calendar year 1976 and
540 integrates forward for 40 years. The linear trends over 1979-2012 are then calculated
541 using the mean results from each ensemble. Note that the trend patterns of SST, SIC
542 and SLP in model simulations started from active convection are not sensitive to the
543 choices of ending years such as years 2013, 2014 and 2015.

544 **SLP Assimilation.** The GFDL is developing a new data assimilation system to be
545 used for decadal prediction experiments. Here, we called it SLP assimilation. This
546 new assimilation data applies the ensemble adjustment Kalman filter to the fully
547 coupled climate model SPEAR_AM2, in which the atmosphere assimilates the
548 station-based SLP data used in the 20CRv2 atmospheric SLP reanalysis. The SLP

549 assimilation at each time step produces an increment term for the winds. Thus, the
550 winds (U, V) in the assimilation are also broadly consistent with the observation. We
551 have two sets of SLP assimilation runs, both of which are forced by identical
552 radiative forcings but with different ocean initial conditions, one starts from active
553 convection phase in the historical simulation and the other starts from neutral
554 convection phase. Both runs have 36 ensemble members, start from year 1970 and
555 integrate forward to year 2012. Figures shown in paper are based on the ensemble
556 mean results.

557

558 **Data availability.** The HadISST data is available at
559 <https://www.metoffice.gov.uk/hadobs/hadisst/data>; ref.⁵¹. The NOAA's ERSST
560 data set is available at <https://www1.ncdc.noaa.gov/pub/data/cmb/ersst/v3b>; ref.⁵².
561 The NSIDC NASA Team sea ice concentration and area data are available at
562 <http://nsidc.org/data/NSIDC-0051>; ref.⁵³. The 20CRv2 data set is available at
563 https://www.esrl.noaa.gov/psd/data/gridded/data.20thC_ReanV2.html; ref.⁵⁴ The
564 source code of ocean component MOM6 of SPEAR_AM2 model is available at
565 <https://github.com/NOAA-GFDL/MOM6>. The model experiments that support the
566 findings of this study are available from the corresponding author (Liping Zhang:
567 Liping.Zhang@noaa.gov) on request.

568

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