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1 Understanding the double peaked El Niño in coupled GCMs

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7 **Abstract** Coupled general circulation models (CGCMs) simulate a diverse range of El Niño-
8 Southern Oscillation (ENSO) behaviors. “Double peaked” El Niño events - where two separate
9 centers of positive sea surface temperature (SST) anomalies evolve concurrently in the eastern
10 and western equatorial Pacific - have been evidenced in Coupled Model Intercomparison Project
11 version 5 (CMIP5) CGCMs and are without precedent in observations. The characteristic CGCM
12 double peaked El Niño may be mistaken for a central Pacific warming event in El Niño compos-
13 ites, shifted westwards due to the cold tongue bias. In results from the Australian Community
14 Climate and Earth System Simulator coupled model, we find that the western Pacific warm
15 peak of the double peaked El Niño event emerges due to an excessive westward extension of the
16 climatological cold tongue, displacing the region of strong zonal SST gradients towards the west
17 Pacific. A coincident westward shift in the zonal current anomalies reinforces the western peak
18 in SST anomalies, leading to a zonal separation between the warming effect of zonal advection
19 (in the west Pacific) and that of vertical advection (in the east Pacific). Meridional advection
20 and net surface heat fluxes further drive growth of the western Pacific warm peak. Our results
21 demonstrate that understanding historical CGCM El Niño behaviors is a necessary precursor to
22 interpreting projections of future CGCM El Niño behaviors, such as changes in the frequency of
23 eastern Pacific El Niño events, under global warming scenarios.

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25 climate change

26 1 Introduction

27 Coupled General Circulation Models (CGCMs) are among our most effective tools for investigat-
28 ing the dynamics of El Niño-Southern Oscillation (ENSO) and the response of ENSO to global
29 warming (Meehl et al, 2006; Yeh et al, 2006, 2009; Collins et al, 2010; Vecchi and Wittenberg,
30 2010). Improvements are continually being made to these models to better represent the salient
31 features of ENSO, such as its amplitude, frequency, seasonality, and stability (AchutaRao and
32 Sperber, 2006; Guilyardi et al, 2009; Deser et al, 2012; Guilyardi et al, 2012, 2013; Bellenger
33 et al, 2014; Kim et al, 2014; Guilyardi et al, 2015). Nevertheless, there is considerable diversity
34 in the simulation of ENSO dynamics, both within and across CGCMs (Capotondi et al, 2006;
35 Lloyd et al, 2009; Belmadani et al, 2010; Ham and Kug, 2012; Lloyd et al, 2012; Brown et al,
36 2013; Capotondi, 2013; Capotondi et al, 2015a,b; Choi et al, 2015) and even more diversity in
37 how ENSO will change under global warming (Leloup et al, 2008; Guilyardi et al, 2009; Collins
38 et al, 2010; Boucharel et al, 2011; Kim and Jin, 2011; DiNezio et al, 2012; Watanabe et al, 2012;
39 Taschetto et al, 2014; Latif et al, 2015).

40 It follows that a current focus of ENSO research is in quantifying the realism of behaviors
41 simulated by CGCMs, which requires comparison of model output with observed features such
42 as sea surface temperature (SST), winds, rainfall, clouds, mixed layer depth, thermocline depth,
43 and ocean currents. However, we have glimpsed only a sample of the possible ENSO behaviors
44 and spatial diversity that could occur (figure 1). This is at least partly due to the fact that ENSO
45 modulates climate on multiple timescales, demonstrating strong interannual variability as well as
46 decadal to multidecadal variability (Allan, 2000; Allan et al, 2003; Wittenberg, 2009; Kug et al,
47 2010; Choi et al, 2012; Ogata et al, 2013; Meehl et al, 2013; Holbrook et al, 2014; Lee et al, 2014;
48 Wittenberg et al, 2014; Wittenberg, 2015), and such long-term variability may not yet be clearly
49 distinguishable from our relatively short observational record. The framework schematized in
50 figure 1 presents three of the possible scenarios for the range of ENSO behaviors evidenced in
51 CGCMs: i) CGCMs simulate realistic behaviors, of which some may mirror the observations; ii)
52 CGCMs are unable to simulate present-day ENSO behaviors; or iii) CGCMs capture behaviors
53 that are qualitatively similar to those of the real world as well as some unrealistic ones. [Additional
54 scenarios to these three discussed here are possible, such as the observational or reanalysis data
55 exhibiting biases in their representation of reality, as well as the real-world variability changing
56 due to external radiative forcings.] Scenario i) is desirable if we are to use CGCMs to understand
57 future externally forced ENSO events, while scenario ii) implies little faith in the ability of
58 coupled models to perform this task. Based on results from recent studies (e.g. Wittenberg et al,
59 2006; Guilyardi et al, 2009; Brown et al, 2013) scenario iii) is perhaps the most likely, indicating
60 that while CGCMs are useful, their underlying biases should be taken into consideration when
61 interpreting simulated ENSO behaviors.

62 Observations suggest that there is a continuum of El Niño spatial diversity in warming, with
63 centers of action located from the eastern equatorial Pacific to the central equatorial Pacific
64 (Giese and Ray, 2011; Johnson, 2013; Capotondi et al, 2015b). A recent trend classifies El Niño
65 events as “eastern Pacific” events or “central Pacific” events depending on the location of max-
66 imum sea surface temperature warming at the height of the El Niño event (Ashok et al, 2007;
67 Kao and Yu, 2009; Yeh et al, 2009; Lee and McPhaden, 2010; Yu and Kim, 2013; Yeh et al,
68 2014). [Although, these classifications are qualitative descriptors of diversity, rather than being
69 indicative of different modes of spatial variability; Capotondi et al, 2015b.] Nevertheless, the

patterns of warming simulated by CGCMs do not necessarily closely align with those of observations or flux-forced ocean general circulation models (OGCMs). For instance, while observations and OGCMs show strong, and relatively continuous, variability in SST anomalies (SST') along the equator from the central to the eastern Pacific, the pattern of SST' in CGCMs is split into two separate centers of action, in the western-central and eastern Pacific (figure 2). The western-central Pacific peak of warm SST' in figure 2, or indeed in composites of El Niño SST' , might be interpreted as the CGCM analog of the central Pacific El Niño event, whose center of action is shifted westwards due to the cold tongue bias (Wittenberg et al, 2006; Kao and Yu, 2009; Yeh et al, 2009; Ham and Kug, 2012; Taschetto et al, 2014). However, systematic inspection of the evolution of CGCM El Niño events reveals a “double peaked” pattern of warming in CGCMs - with two warm peaks developing concurrently in the eastern and central Pacific (e.g., figure 3). This double peaked El Niño event is common in Coupled Model Intercomparison Project version 5 (CMIP5) CGCMs (figure 4). A double peaked structure was also evident in the SST' variance of CMIP3 models, e.g., the CSIRO-Mk3.0 model (figure 1 of Capotondi et al, 2006).

The spatial structure of SST' is essential for determining the atmospheric response to ENSO. This is especially the case near the convectively-active region of the western Pacific warm pool, where subtle variations in SST can have large impacts on the location and intensity of atmospheric latent heating, and thereby the global atmospheric circulation. This in turn affects not only the feedbacks critical to ENSO (Choi et al, 2013, 2015), but also the structure of the atmospheric stochastic forcing (Vecchi et al, 2006b; Gebbie et al, 2007), and ENSO’s remote teleconnections (Capotondi et al, 2015b; Jia et al, 2015; Yang et al, 2015; Krishnamurthy et al, 2015, 2016; Zhang et al, 2016). Thus it is important to assess and understand the biases that CGCMs have in their spatial pattern of SST' during ENSO, as well as how those biases affect ENSO behavior, remote impacts, and ENSO sensitivities to climate change.

The goal of this paper is to investigate the behavior of the CGCM double peaked El Niño event, including the mechanisms that underlie its development. We further seek to address whether the CGCM double peaked El Niño event is a realistic and likely representation of El Niño spatial diversity, or an artifact of coupled model biases. In section 2 we introduce the data and techniques used to identify and analyze the double peaked El Niño event. Section 3 presents analysis of the double peaked El Niño event in the CMIP5 suite of CGCMs. The dynamics giving rise to the double peaked event dynamics are examined in the context of the Australian Community Climate and Earth System Simulator Coupled Model version 1.3 (Bi et al, 2013a). The results are discussed and summarized in section 4.

2 Data and methods

2.1 CMIP5 CGCMs

We analyze the evolution of SST' during double peaked El Niño events in *pre-industrial control* (*PiControl*) and *historical* simulations of 36 climate models submitted to the Coupled Model Intercomparison Project Phase 5 (CMIP5) database (table 1). The nomenclature of the terms “*PiControl*” and “*historical*” follow Taylor et al (2012). *PiControl* simulations attempt to capture the preindustrial climate equilibrium state and are simulated over several hundreds of years; *historical* simulations represent forced runs using observed atmospheric composition changes (atmospheric forcing from both natural and anthropogenic sources) from the mid-19th Century to near present day.

To diagnose the likely mechanisms underpinning the double peaked El Niño event, monthly anomalies of SST, and all variables analyzed from the Australian Community Climate and Earth

115 System Simulator (ACCESS) simulation, are computed by subtracting the annual cycle from
 116 the monthly mean outputs. The data are smoothed using a 13-point Parzen filter to remove
 117 frequencies of sub-annual variability.

118 2.2 The ACCESS model

119 To investigate the mechanisms underpinning the CGCM double peaked El Niño events, we an-
 120alyze a *PiControl* 505-year simulation of ACCESS version 1.3 (ACCESS-CM1.3). The ocean
 121 component of the ACCESS-CM1.3 simulation is an OGCM that draws its codebase and most
 122 of its configuration from the NOAA Geophysical Fluid Dynamics Laboratory (GFDL) Modular
 123 Ocean Model version 4 (MOM4p1) (Griffies, 2009). A full description of the ACCESS compo-
 124 nent models can be found in Bi et al (2013a) and Bi et al (2013b), and their implementation is
 125 described by Dix et al (2014).

126 ACCESS-CM1.3 has been tested against various benchmarks important for the simulation of
 127 ENSO, finding that its performance and the magnitude of its model biases are comparable to
 128 other CMIP5 models (Brown et al, 2013; Rashid et al, 2013a,b; Kim et al, 2014; Taschetto et al,
 129 2014; Rashid and Hirst, 2015). The mean state and biases of the simulated tropical Pacific in
 130 ACCESS-CM1.3 are further discussed in appendix A. The ocean component of ACCESS-CM1.3
 131 was previously analyzed in Graham et al (2015).

132 2.3 Defining El Niño events

133 El Niño events are defined when a 5-month running mean of the unfiltered SST' in the Niño-
 134 3.4 region (5°S - 5°N , 170 - 120°W) exceeds 0.4°C for a period of at least 6 months (Trenberth,
 135 1997). If a single center of SST' warming is isolated to the eastern equatorial Pacific (east of
 136 approximately 160°W), the event is classified as an eastern Pacific El Niño. The following method
 137 is used to distinguish double peaked El Niño events. Locations of maximum warming along the
 138 equator (2°S - 2°N) are determined from the centers of warming that enclose SST' of a critical
 139 threshold - here, at least 75% of the maximum SST' - for the 2 years surrounding the peak of
 140 each El Niño event. El Niño events may be “double peaked” when two separate, concurrently
 141 growing, centers of warming are identified in the evolution of the equatorial SST' that each
 142 exceed the critical threshold. The two peaks must be separated by cooler SST' . This definition
 143 allows us to distinguish between an El Niño event that evolves by propagation from east to west
 144 versus one in which the two peaks develop concurrently.

145 2.4 The mixed layer heat budget

146 The mixed layer heat budget equation used in this study is adapted from Vialard et al (2001)
 147 and is given by

$$\partial_t T' = A'_x + A'_y + A'_z + Q' + DER', \quad (1)$$

148 where the symbol ∂_t represents a partial derivative with respect to time, the apostrophe $'$ denotes
 149 an anomalous quantity, and T' is the anomalous potential temperature integrated over the mixed
 150 layer. The term A'_x on the right-hand side represents the mixed layer averaged anomalous zonal
 151 advection defined as

$$A'_x = -\frac{1}{h} \int_{-h}^0 [\overline{u^* \partial_x T^{*'}} + u^{*'} \partial_x \overline{T^*} + u^{*'} \partial_x T^{*'}] dz, \quad (2)$$

152 where u^* is the 4-dimensional zonal current, T^* is the 4-dimensional potential temperature, and
 153 the overline notation denotes a climatological quantity. The terms A'_y and A'_z in Eq. (1) rep-
 154 resent anomalous meridional and vertical advection, respectively, and are constructed similarly
 155 to Eq. (2). The vertical velocity used to calculate A'_z is taken directly from the model output.
 156 Q' in Eq. (1) is the anomalous net surface heat flux, which can be calculated by summing the
 157 surface shortwave and longwave radiation, and latent and sensible heat fluxes, and subtracting
 158 the net shortwave radiation contribution that penetrates through the mixed layer (Q_{swout}). Q'
 159 is scaled by the mixed layer depth (MLD, h), the constant specific heat capacity of seawater
 160 ($c_p = 3989.24 \text{ J kg}^{-1} \text{ K}^{-1}$), and a constant density of seawater ($\rho_0 = 1035 \text{ kg m}^{-3}$). The term
 161 DER' in Eq. (1) represents anomalous residual processes, such as diffusion, turbulent heat fluxes,
 162 and entrainment into the mixed layer, that are not well resolved when the heat budget is cal-
 163 culated offline. The time-varying MLD over which the terms are averaged is denoted h , and is
 164 defined as the depth at which the density layer σ_t deviates from surface values by 0.125 kg m^{-3}
 165 (calculated offline). Derivatives are computed using centered differences. All heat budget calcula-
 166 tions are performed on monthly mean output of u , v , w , T , and h .

167 An offline calculation of the heat budget equation may lead to some terms being over- or
 168 underestimated, particularly nonlinear or eddy-related terms. For example, tropical instability
 169 waves (TIWs) that are important for the damping of SST' in the eastern equatorial Pacific on
 170 seasonal to interannual timescales require sub-monthly resolution to be adequately quantified
 171 (Vialard et al, 2001). The closure between $\partial_t T'$ calculated directly from the ACCESS models
 172 and the right-hand side of Eq. (1) will be further affected by uncertainties introduced through
 173 offline calculations. Finally, the residual term includes heat produced through mixing - a process
 174 that is not well-resolved in an offline parameterization. Nevertheless, offline calculation of heat
 175 budget terms has been used widely (Zhang et al, 2007; Huang et al, 2010, 2011; Choi et al, 2012;
 176 Graham et al, 2014) and is sufficient for our purposes of determining the dominant balance of
 177 terms giving rise to the CGCM double peaked El Niño. Eq. (1) and its derivation are described
 178 in more detail in Vialard and Delecluse (1998).

179 In what follows, we refer to the depth-averaged (i.e., 3-dimensional, in time, latitude, and
 180 longitude, rather than the asterisked 4-dimensional) forms of the terms on the right-hand side of
 181 Eq. (2), and the corresponding terms for A'_y and A'_z . For example, in the case of A'_x , the three
 182 terms on the right-hand side of Eq. (2) simplify to $-\bar{u}\partial_x T'$, $-u'\partial_x \bar{T}$, and $-u'\partial_x T'$.

183 3 Results

184 3.1 The double peaked El Niño event in CMIP5 CGCMs

185 The metric described in section 2.3 is applied to *PiControl* and *historical* simulations of 36 CMIP5
 186 models (table 1). Double peaked El Niño events are common in all of the CGCMs during the
 187 period over which they are simulated (figure 4). Note that our selection of these 36 CMIP5
 188 CGCMs is not dependent on them simulating a double peaked El Niño event. Several models
 189 (e.g., GFDL-ESM2G, ACCESS1-3, IPSL-CM5A-MR, and MPI-ESM-P) have a large number of
 190 double peaked El Niño events for both *PiControl* and *historical* conditions, while several others
 191 (e.g., FIO-ESM, GISS-E2-R-CC, GISS-E2-R, HadGEM2-CC) have relatively few double peaked
 192 El Niño events for both *PiControl* and *historical* conditions.

193 The evolution of SST' composites during double peaked El Niño events from *historical* simu-
 194 lations of selected CGCMs are compared in figure 3. Despite variations in magnitude and timing
 195 of El Niño onset between the CMIP5 CGCMs, in each model two warm peaks in SST' emerge

196 during the first 6 months of the El Niño event. The warm peaks grow simultaneously, and sepa-
 197 rately, during the onset and development of El Niño.

198 Compared with *historical* simulations, *PiControl* simulations are systematically biased to-
 199 wards simulating more double peaked El Niño events (figure 4). 86% of the 36 CGCMs simulate
 200 a greater proportion of double peaked El Niño events in pre-industrial conditions than in *his-*
 201 *torical* conditions. The mean fraction of double peaked El Niño events to all El Niño events in
 202 *PiControl* simulations is approximately 40.3% compared with 26.2% in *historical* simulations.

203 The location of the western Pacific warm peak during double peaked El Niño events varies
 204 from approximately 140°E to 140°W in the CMIP5 CGCMs. We investigate whether this is
 205 related to the magnitude of the cold tongue bias, which has been found to extend El Niño-
 206 related warming in CGCMs further westwards than observed (Taschetto et al, 2014). We use
 207 the mean location of the dynamic warm pool edge (DWPE) - the isotherm that best captures
 208 the maximum in the zonal salinity gradient - as a proxy for the magnitude of the cold tongue
 209 bias. This is because CGCMs with stronger cold tongue biases tend to simulate DWPEs further
 210 towards the western Pacific warm pool (Brown et al, 2013). The relationship between the cold
 211 tongue bias and the location of the western Pacific warm peak during double peaked El Niño
 212 events in the CMIP5 CGCMs is illustrated in figure 5. A clear pattern emerges: during double
 213 peaked El Niño events, models with stronger cold tongue biases also simulate western Pacific
 214 warm peaks located further towards the western Pacific warm pool. Furthermore, models that
 215 simulate more double peaked El Niño events tend to have DWPEs shifted further west than
 216 models with fewer double peaked El Niño events: 14 of the 20 models with the highest fraction
 217 of double peaked events simulate a DWPE west of the median ($\approx 170^\circ\text{E}$). This relationship
 218 corroborates our earlier result that the fraction of double peaked El Niño events is greater in
 219 *PiControl* simulations, where the cold tongue is strengthened relative to *historical* conditions
 220 due to a relative decrease in atmospheric CO₂ concentrations (Vecchi et al, 2006a; Collins et al,
 221 2010; Vecchi and Wittenberg, 2010; Watanabe et al, 2012). However, this relationship is not
 222 necessarily indicative of the full extent of the cold tongue bias in the model. That is, figure 5
 223 only incorporates the double peaked events that meet the criterion outlined in section 2.3; it does
 224 not take into account models that simulate other spatial patterns of El Niño that have not been
 225 evidenced in the observational record (e.g., both CSIRO-Mk3-6-0 and CNRM-CM5 simulate El
 226 Niño events evolving exclusively in the western Pacific warm pool) and might be a result of the
 227 cold tongue bias, or indeed other biases, in coupled models.

228 We found earlier that double peaked El Niño events are more prevalent in *PiControl* simu-
 229 lations than in *historical* simulations. Given the importance of the DWPE in generating double
 230 peaked El Niño events, we test whether the change in fraction of double peaked El Niño events
 231 from *PiControl* to *historical* simulations is related to mean state changes. Both the change in
 232 the mean longitude of the DWPE (dDWPE), and the fraction of double peaked El Niño events
 233 in *PiControl* simulations (F(piC); i.e., the *PiControl* mean state), are predictors for the change
 234 in the fraction of double peaked El Niño events from *PiControl* to *historical* (dF). We test the
 235 dependence of dF on each of these predictors using multiple linear regression. Considering all
 236 subsets of the two predictors, the model that yields the best fit ($R^2 = 0.40$) has the form

$$dF = a * dDWPE + b * F(piC) + c, \quad (3)$$

237 where a , b , and c are constant coefficients. The parameters from the regression analysis are
 238 highlighted in table 2 and the resulting fitted data in figure 6. The *PiControl* mean state, F(piC),
 239 is found to have the greatest effect on the change in fraction of double peaked El Niño events
 240 simulated.

241 In what follows, we examine the evolution of heat budget dynamics during double peaked El
 242 Niño events in ACCESS-CM1.3. We note that it is possible that the CGCM double peaked El

243 Niño event arises due to different mechanisms in different CMIP5 models; however, analysis of
 244 all CMIP5 models is beyond the scope of the current study.

245 3.2 The double peaked El Niño event in ACCESS-CM1.3

246 A total of 89 El Niño events are identified in the 505-year *PiControl* simulation of ACCESS-
 247 CM1.3. Of the CGCM El Niño events, 65 are classified as double peaked events and 10 as eastern
 248 Pacific events. In a further 12 of the remaining events two distinct peaks of warming are present,
 249 as in the double peaked El Niño event, but the SST' in either the eastern or western peak does
 250 not meet the threshold to allow classification as a double peaked event. SST' for the ACCESS-
 251 CM1.3 double peaked and eastern Pacific El Niño events are composited. The significance of
 252 the heat budget trends from these composite events is investigated and discussed in appendix B
 253 (figure A4).

254 3.2.1 Heat budget analysis

255 The heat budget terms from Eq. (1) are analyzed in the ACCESS-CM1.3 *PiControl* simulation to
 256 determine the mechanisms giving rise to the western Pacific warm peak of the double peaked El
 257 Niño event (figure 7). During the double peaked event, westerly wind anomalies generated near
 258 the DWPE (163°E) incite the growth of eastwards zonal current anomalies there. The strong
 259 zonal current anomalies occur at the maximum in the mean zonal temperature gradient (Picaut
 260 et al, 1996, 1997; Clarke et al, 2000), which is displaced further to the west than observed
 261 due to the cold tongue bias (Brown et al, 2013). This leads to the zonal advective feedback
 262 $-u'\partial_x\bar{T}$ achieving its maximum near the DWPE, and dominating the growth of the mixed layer
 263 temperature anomaly, T' , there. Warming induced by the zonal advective feedback then increases
 264 the positive anomalous mixed layer temperature gradient in the western Pacific, leading to growth
 265 of the mean zonal advection term $-\bar{u}\partial_x T'$ in the western Pacific. The climatological westward
 266 flow of the South Equatorial Current, which is up to 0.4 m s^{-1} stronger than observed in the
 267 western-central Pacific in the CGCM than in observations (figure 8), advects the western warm
 268 patch to the west.

269 We next investigate how the ACCESS-CM1.3 double peaked El Niño event differs from the
 270 eastern Pacific event (figure 7). The western extent of warming extends west of 160°E during
 271 both CGCM El Niño events; however, a western warm peak does not develop in the ACCESS-
 272 CM1.3 eastern Pacific event, partly due to an eastwards shift in the patterns of westerly wind
 273 stresses, which is consistent with previous studies (Rasmusson and Carpenter, 1982; Kalnay
 274 et al, 1996; Wittenberg, 2004). That is, during the ACCESS-CM1.3 eastern Pacific El Niño
 275 event the maximum in the westerly (i.e., anomalous) equatorial zonal wind stresses is shifted
 276 further to the east ($150\text{-}120^\circ\text{W}$) than in the ACCESS-CM1.3 double peaked El Niño event
 277 ($150^\circ\text{E}\text{-}160^\circ\text{W}$), and the westerly wind stresses in the region of the western Pacific warm peak
 278 ($150^\circ\text{E}\text{-}180^\circ$) are weaker by approximately $3.4\times 10^{-3}\text{ N m}^{-2}$ on average during the first 24 months
 279 of the eastern Pacific event than the double peaked El Niño event. As a consequence, the zonal
 280 advective feedback is smaller by approximately $0.10^\circ\text{C month}^{-1}$ in the western Pacific during the
 281 eastern Pacific El Niño event than during the double peaked event, preventing the development
 282 of significant warming in the western Pacific. This is consistent with observed El Niño events
 283 that develop mainly in the eastern Pacific, the growth of which is typically dominated by the
 284 thermocline feedback (Jin, 1997a,b; Yeh et al, 2014). The nonlinear meridional advection term
 285 $-v'\partial_y T'$ provides consistent warming throughout the central Pacific during eastern Pacific El
 286 Niño events. By contrast, during double peaked events this term is almost negligible in the central

287 Pacific, but larger ($> 0.15^\circ\text{C month}^{-1}$) in the western Pacific, where anomalous meridional
 288 temperature gradients are amplified.

289 The relative contributions of the heat budget terms to the central equatorial Pacific $\partial_t T'$
 290 during the double peaked and eastern Pacific El Niño events are shown in figure 9. Here, the
 291 difference between each heat budget term during double peaked and eastern Pacific El Niño
 292 events (i.e., the bottom panels in figure 7) in the central equatorial Pacific (defined as the local
 293 minimum in SST' variance, 154°W) is subtracted from the difference in the western-central
 294 equatorial Pacific (the local maximum in SST' variance, 178°E). The key drivers of the western
 295 Pacific warm peak are the zonal advection terms $-u'\partial_x \bar{T}$ and $-u'\partial_x T'$, which are the result of
 296 relatively stronger anomalous zonal equatorial currents acting on the zonal temperature gradient
 297 at the edge of the western Pacific warm pool. The meridional advection terms $-v'\partial_y T'$ and
 298 $-\bar{v}\partial_x T'$ contribute to the growth of $\partial_t T'$ by meridional spreading of the equatorial SST' . The net
 299 surface anomalous heat flux Q' grows, rather than damps, the western Pacific warm peak, largely
 300 due to a positive bias in the shortwave heat flux attributed to unrealistic SST-cloud interactions
 301 in ACCESS-CM1.3 (Rashid and Hirst, 2015). These unrealistic SST-cloud interactions are partly
 302 due to a climatological bias in the low cloudiness of ACCESS-CM1.3, associated with an overly
 303 strong cold tongue compared with observations, and also partly due to overly strong descending
 304 atmospheric motion. A similar result has been found in the GFDL-CM2.1 CGCM (Wittenberg
 305 et al, 2006). While residual eddy effects do contribute somewhat to generating the western
 306 Pacific warm peak, they are relatively weak compared to zonal advection, meridional advection,
 307 and thermodynamic damping contributions.

308 4 Discussion

309 Spurious double peaked El Niño events - with two warming peaks developing concurrently in
 310 the eastern and western Pacific - were found to be widespread in CMIP5 CGCMs. The location
 311 of the western Pacific warm peaks during double peaked El Niño events was correlated with
 312 the location of the dynamic warm pool edge (DWPE), a proxy for the magnitude of the cold
 313 tongue bias (Brown et al, 2013). The DWPE was as far west as 155°E in CMIP5 models. CGCMs
 314 with more westwards located DWPEs tended to simulate more double peaked El Niño events.
 315 The consistency in the response of the CMIP5 CGCMs in simulating the double peaked events
 316 serves to corroborate the cold tongue bias as playing an important role in generating the double
 317 peaked El Niño events, rather than this event representing a realistic “new flavor” of El Niño.
 318 Consequently, a reasonable supposition is that the ENSO behaviors present in *PiControl* and
 319 *historical* simulations of CGCMs fit within circle iii) in figure 1; that is, they display some
 320 qualitatively similar features to those observed, but also simulate some unrealistic ones that are
 321 an artifact of climatological CGCM biases.

322 The mechanisms giving rise to the double peaked event were further investigated in ACCESS-
 323 CM1.3. During double peaked El Niño events in ACCESS-CM1.3, the westwards extension of the
 324 equatorial Pacific cold tongue region (eastern extent of the western Pacific dynamical warm pool
 325 edge) modified the location of peak warming and dynamical behavior in the western Pacific. In
 326 particular, the overly intense and westward-extended cold tongue in CGCMs led to two biases
 327 that altered the El Niño feedbacks compared with eastern Pacific El Niño events: (i) an exces-
 328 sive climatological zonal temperature gradient ($\partial_x \bar{T}$) in the western equatorial Pacific that was
 329 displaced too far west of the strong climatological vertical temperature gradient in the eastern
 330 equatorial Pacific; and (ii) atmospheric deep-convective cloudiness that was displaced too far west
 331 and off-equator. The westward-shifted $\partial_x \bar{T}$ led to a western displacement of the zonal advective
 332 feedback ($-u'\partial_x \bar{T}$) relative to vertical advective feedbacks ($-\bar{w}\partial_z T'$ and $-w'\partial_z \bar{T}$), generating

333 a secondary western equatorial warm peak. In addition, the intense cold tongue displaced the
334 atmospheric convective zones westward and poleward, leading to insufficient damping of this
335 secondary western peak in SST' by cloud shading. These results highlight the importance of
336 a CGCM's climatology to the dynamics and spatial structure of ENSO and motivate further
337 attention to understanding and correcting mean state biases in CGCMs.

338 Here, we have focused on just one manifestation of CGCM El Niño diversity: the double
339 peaked pattern of SST warming. Given the similarity in mechanisms giving rise to the western
340 Pacific warm peak of the double peaked event and the central Pacific El Niño event (Yeh et al,
341 2014), it is possible that the double peaked El Niño event could be mistaken for a westwards-
342 shifted central Pacific El Niño, particularly in composite El Niño events. Furthermore, differences
343 between CGCMs can lead to behaviors that have not yet been observed (e.g., the El Niño event
344 that evolves entirely in the western Pacific warm pool in CSIRO-Mk3.6.0). It follows that studies
345 of future ENSO events, such as changes in the frequency of El Niño spatial behaviors under
346 global warming scenarios, should be cautiously interpreted in light of historical representations
347 of El Niño diversity.

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354 [Appendix A]

355 **The mean state and biases in ACCESS-CM1.3**

356 The mean SST from ACCESS-CM1.3 and SST bias, with respect to the Bureau of Meteorology Research Centre
357 (BMRC) SST reanalyses (Smith, 1995) over the period 1980-2004, is illustrated in figure A1. ACCESS-CM1.3 is
358 up to 1°C cooler than the reanalysis data in the equatorial Pacific cold tongue region (180-100°E), and up to
359 2°C warmer east of 100°W along the coast of South America. ACCESS-CM1.3 displays a warm bias in the South
360 Pacific, in the region of the South Pacific Convergence Zone, and in the tropical North Pacific (5°N, 160-110°W).

361 The standard deviation of tropical Pacific SST' is indicative of the spatial diversity in ENSO variability
362 (figure 2). Variability in the eastern equatorial Pacific in ACCESS-CM1.3 is weaker than in the reanalysis data
363 (the difference in standard deviation is up to 0.6°C at approximately 100°W), including < 0.3°C from 160-
364 140°W, and slightly stronger (> 0.2°C) west of 180° longitude in a secondary western peak. Note that the
365 standard deviation of SST' illustrated in figure 2 is qualitatively similar to the leading mode of an EOF analysis
366 of ACCESS-CM1.3 SST' , which also displays the double peaked pattern of warming and represents 44% of the
367 SST' variability in ACCESS-CM1.3 (figure not shown).

368 The annual means of the equatorial surface heat fluxes for ACCESS-CM1.3 are compared with those from
369 the Objectively Analyzed air-sea Fluxes (OAFlux; provided by the Woods Hole Oceanographic Institute (WHOI)
370 OAFlux project, available at <http://oafux.whoi.edu>), the TropFlux reanalyses (Kumar et al, 2012), and the
371 Coordinated Ocean-ice Reference Experiments version 2 (CORE-II, which are used to force ACCESS-OM; Griffies
372 et al, 2012) in figure A2. The annual mean equatorial longwave radiation and sensible heat flux simulated by
373 ACCESS-CM1.3 are within the range of uncertainty estimated from OAFlux, TropFlux, and CORE-II. Latent
374 heat fluxes in ACCESS-CM1.3 are up to 46 W m⁻² less than those of the reanalyses, particularly in the eastern
375 equatorial Pacific. Equatorial shortwave radiation values simulated by ACCESS-CM1.3 in boreal winter are up
376 to 38 W m⁻² different from TropFlux.

377 The mean state of the tropical Pacific MLD in ACCESS-CM1.3 and bias with respect to the UK Met Office
378 (UKMO) subsurface ocean temperature and salinity data (Ingleby and Huddleston, 2007) over the period 1980-
379 2005 are compared in figure A3. The ACCESS-CM1.3 MLDs are up to 50m deeper than the UKMO MLDs in
380 bands stretching between 170°E and 150°W north and south of the equator.

381 [Appendix B]

382 Significance of the double peaked El Niño event in ACCESS-CM1.3

383 Here, we investigate whether the composited double peaked El Niño events are significantly different from the
 384 composited eastern Pacific El Niño events. First, the double peaked and eastern Pacific El Niño events from the
 385 *PiControl* simulation of ACCESS-CM1.3 are randomly separated into two groups, groups *a* and *b*, and composited.
 386 We name these composites μ_x of sample size n_x , where $x \in \{DP1.3a, DP1.3b, EP1.3a, EP1.3b\}$. We also consider
 387 the double peaked El Niño events from the *PiControl* simulation of ACCESS-CM1.0 and separate them into two
 388 composites - $\mu_{DP1.0a}$ and $\mu_{DP1.0b}$ - with sample sizes $n_{DP1.0a}$ and $n_{DP1.0b}$, respectively.

The variable for testing the significance of the difference between composites is the Student's *t*-distribution:

$$t = \frac{\widehat{\mu}_x - \widehat{\mu}_y}{S \sqrt{\frac{1}{n_x} + \frac{1}{n_y}}}, \text{ and} \quad (4)$$

$$S^2 = \frac{(n_x - 1)\widehat{\sigma}_x^2 + (n_y - 1)\widehat{\sigma}_y^2}{n_x + n_y - 2}, \quad (5)$$

389 where $n_x + n_y - 2$ is the number of independent observations for the parameter *t*, and *x* and *y* represent the
 390 composited El Niño events being tested. The significance value (*p*-value) from each test case is calculated using a
 391 two-sided Student's *t*-test.

392 We define a simple test to establish the significance of the El Niño composite events: namely, the double
 393 peaked and eastern Pacific El Niño events are significantly different if the following conditions are satisfied during
 394 the evolution of the El Niño event (i.e., the first 24 months of the composite):

395 Test 1: the differences between the DP1.3a and EP1.3a composites are greater than the differences between the
 396 DP1.3a and DP1.3b composites;

397 Test 2: the differences between the DP1.3b and EP1.3b composites are greater than the differences between the
 398 EP1.3a and EP1.3b composites;

399 Test 3: the differences between DP1.3a events from ACCESS-CM1.3 and DP1.0a events from ACCESS-CM1.0
 400 are greater than the differences between the DP1.3a and DP1.3b events from ACCESS-CM1.3; and

401 Test 4: the differences between DP1.3b events from ACCESS-CM1.3 and DP1.0b events from ACCESS-CM1.0
 402 are greater than the differences between DP1.0a and DP1.0b events from ACCESS-CM1.0.

403 The random sampling is repeated 100 times and median values for the differences between the composites, *t*, and
 404 *p* across the samples are calculated. The results for tests 1-4 are illustrated in figure A4.

405 For test 1, the median difference between DP1.3a and EP1.3a is approximately ± 2 times greater than the
 406 difference between DP1.3a and DP1.3b, which is in the range $[-0.37, 0.19]^\circ\text{C}$ for the 100 samples generated. The
 407 differences in DP1.3a and EP1.3a are greater than one standard deviation across the western-central equatorial
 408 Pacific during the 12 months prior to the peak of the El Niño event. The greatest differences in the eastern
 409 equatorial Pacific occur during the two months prior to and eight months following the peak of the El Niño event.
 410 Differences between DP1.3a and DP1.3b across the 100 samples are not statistically significant. A similar result is
 411 found for test 2. Even in the *PiControl* simulations, the sample size of eastern Pacific events in ACCESS-CM1.3
 412 is relatively small - 10 in total - such that the difference between EP1.3a and EP1.3b is likely to be biased by
 413 individual events.

414 The results of tests 3 and 4 illustrate that double peaked events from the ACCESS-CM1.3 model are more
 415 similar to each other than to events from ACCESS-CM1.0. Again, the median difference between double peaked
 416 events within each model simulation is small (within the range $[-0.22, 0.40]^\circ\text{C}$ for the ACCESS-CM1.0 simulation),
 417 while the median differences in double peaked events between the two models are close to $\pm 2^\circ\text{C}$ during the
 418 development of the El Niño event throughout the equatorial Pacific and in the western and eastern Pacific during
 419 the decay periods of the El Niño event (the differences are greater than one standard deviation from the mean in
 420 each case). These results provide evidence that the composite double peaked and eastern Pacific El Niño events
 421 from ACCESS-CM1.3 are sufficiently different to ensure significance in the trends analysis.

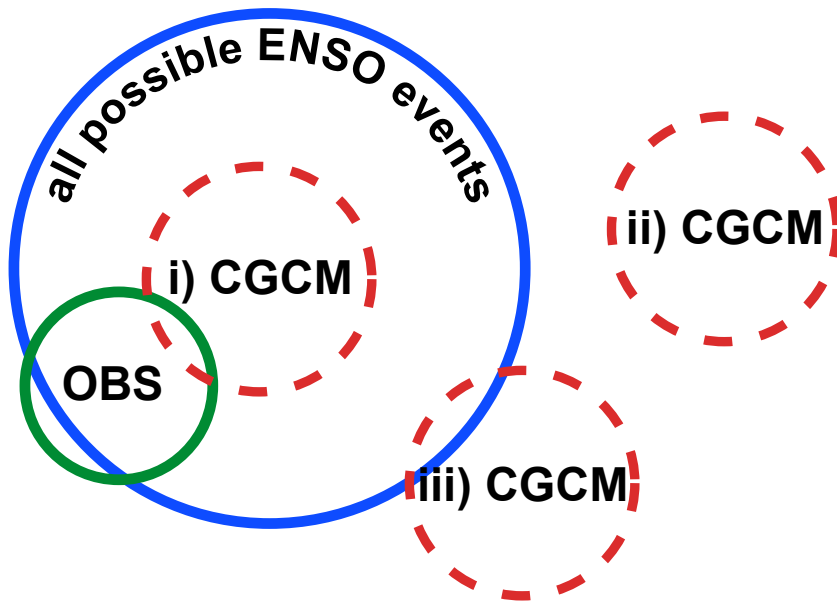


Fig. 1 Venn diagram representing possible relationships between the ENSO behaviors simulated by CGCMs (red dashed circles), the full range of possible ENSO behaviors under present-day conditions (blue circle) and the observed ENSO behaviors (green circle). The green circle extends slightly outside the blue circle to represent observational errors, such as in measurement or reconstruction. We also note that the blue circle is itself evolving on decadal to centennial timescales due to natural internal variability, as well as due to external radiative forcings.

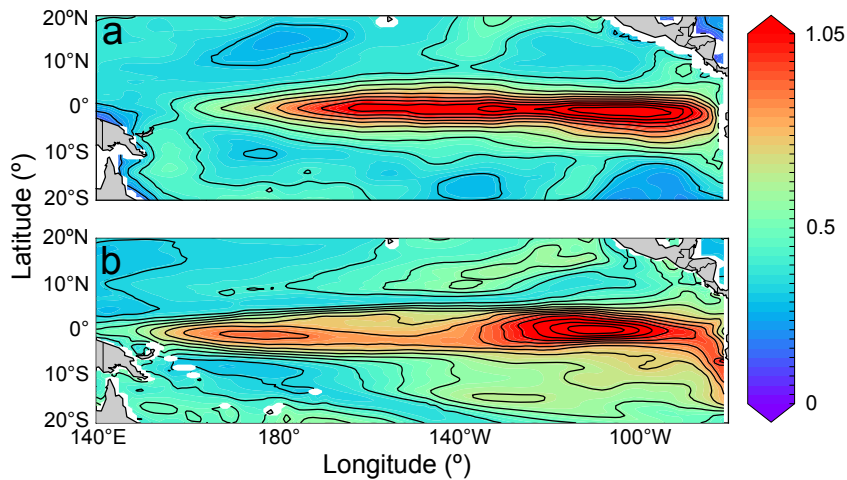


Fig. 2 Standard deviation of sea surface temperature anomalies (shading) in the **a** Bureau of Meteorology Research Centre SST reanalyses (Smith, 1995), and **b** *historical* simulation of ACCESS-CM1.3. Data are in units of °C and the contour interval is 0.075°C. Contours of the standard deviation at the 0.075°C interval are overlaid.

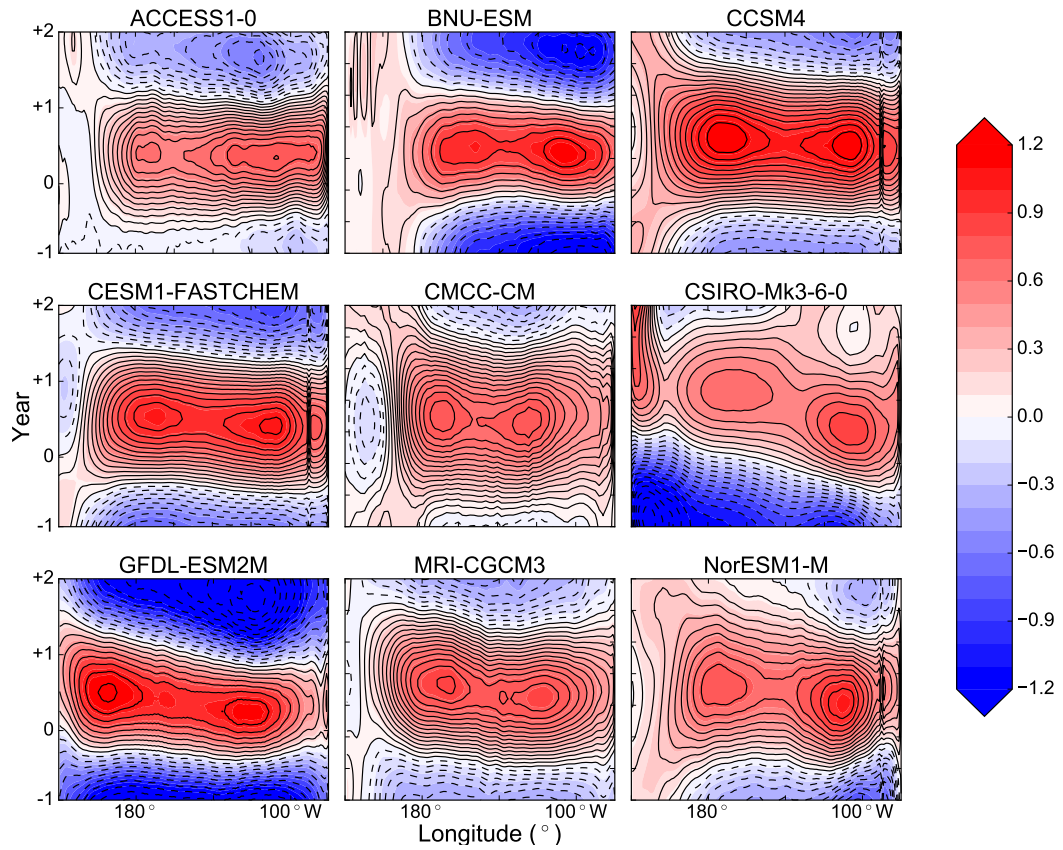


Fig. 3 Examples of the evolution of SST' for the 36 months surrounding composite double peaked El Niño events from *historical* simulations of nine CMIP5 models (as indicated). Data are in units of $^{\circ}\text{C}$ (with contour intervals of 0.1°C) and are averaged over 2°S - 2°N .

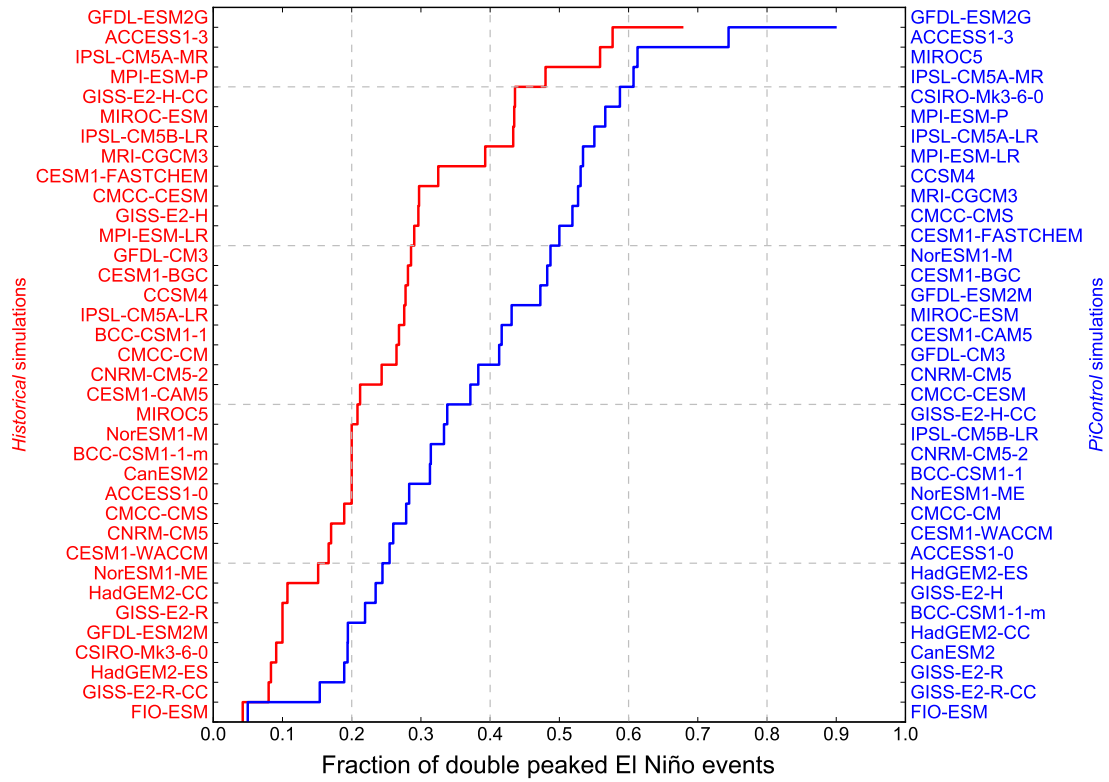


Fig. 4 Fraction of all El Niño events that are classified as double peaked events from *PiControl* and *historical* simulations of 36 CMIP5 CGCMs. Data in blue corresponds to *PiControl* simulations; data in red corresponds to *historical* simulations. The distributions of the *PiControl* and *historical* data are statistically significantly different at the 99% confidence level using a two-side Kolmogorov-Smirnov test (test statistic 0.44, $p = 0.001$).

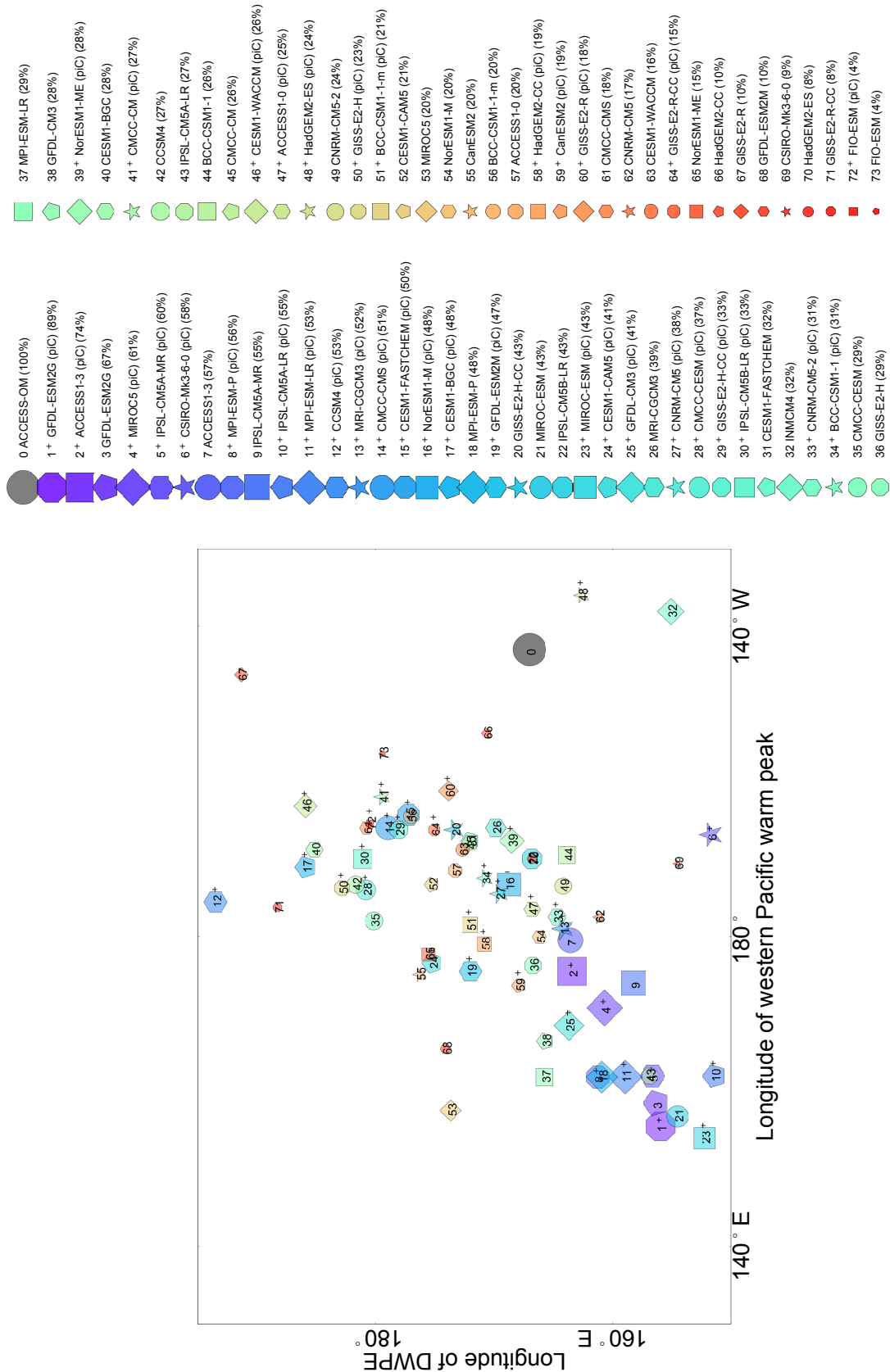


Fig. 5 Mean position of the western Pacific warm peak in a composite double peaked El Niño year versus the mean position of the dynamic warm pool edge in *PiControl* and *historical* simulations of 36 CMIP5 CGCMs. Markers representing each CGCM are sized by the fraction of double peaked events to the total number of El Niño events (see table 1). The large grey circle represents the mean longitude of a composite eastern Pacific El Niño event (*x*-axis) versus the mean longitude of the dynamic warm pool edge (*y*-axis) for a 60-year simulation (1948-2007) of the flux-forced ACCESS-OM model. This ACCESS-OM simulation does not have any El Niño events classified as double peaked using the definition in section 2.3.

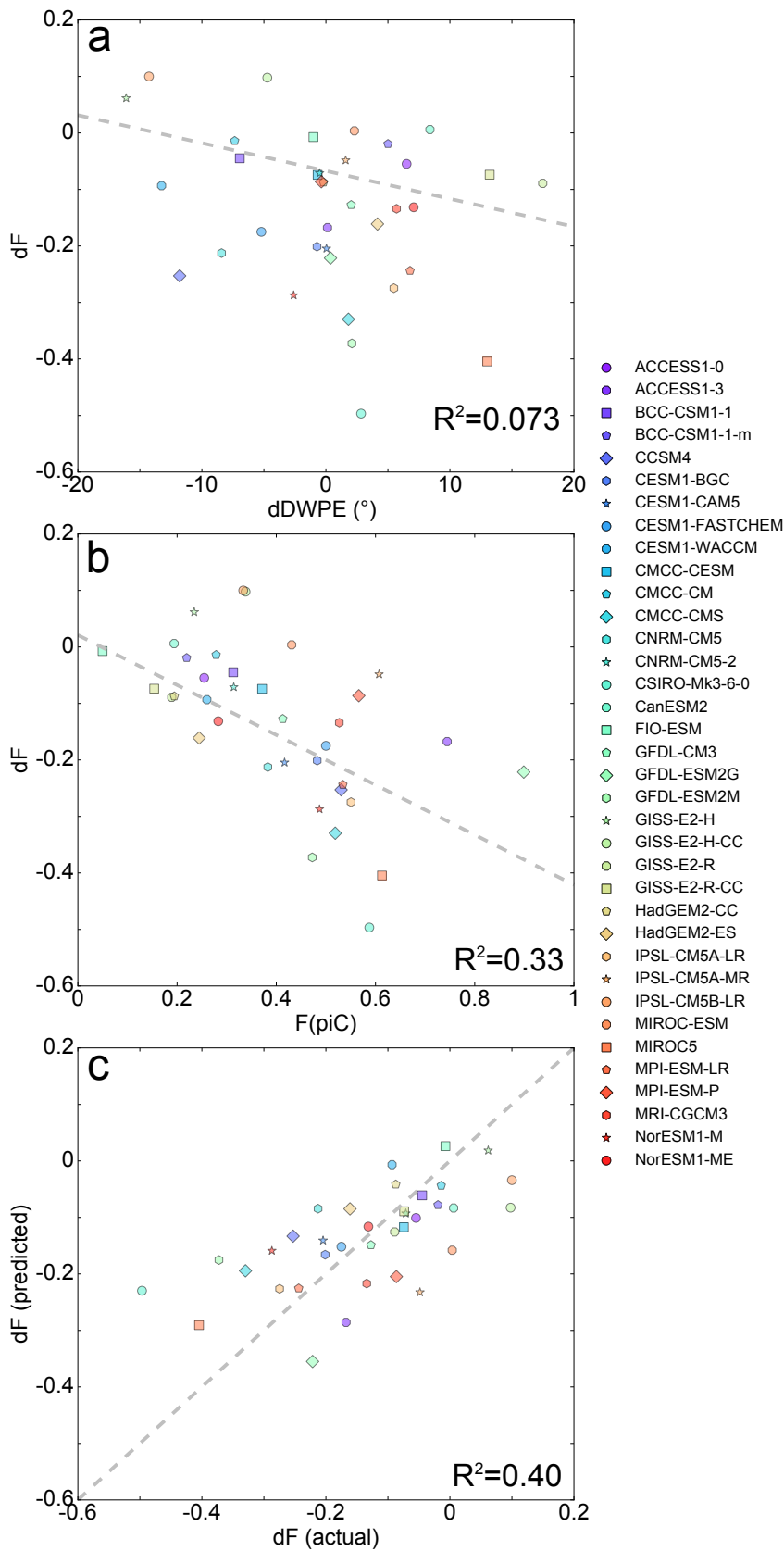


Fig. 6 The relationship between: **a** the change in the mean position of the dynamic warm pool edge (dDWPE) and the change in the fraction of double peaked El Niño events (dF) from the *historical* to the *PiControl* simulations; **b** the fraction of double peaked El Niño events in the *PiControl* simulations (F(piC)) and the change in the fraction of double peaked El Niño events from the *historical* to the *PiControl* simulations; and **c** the actual and predicted (i.e., from equation (3)) change in the fraction of double peaked El Niño events from the *historical* to the *PiControl* simulations. The grey dashed lines in panels **a** and **b** represent the line of best fit from ordinary least squares regression, and in panel **c** represents the 1:1 line between the actual and predicted values. The R^2 values from the multiple linear regression analysis are reported.

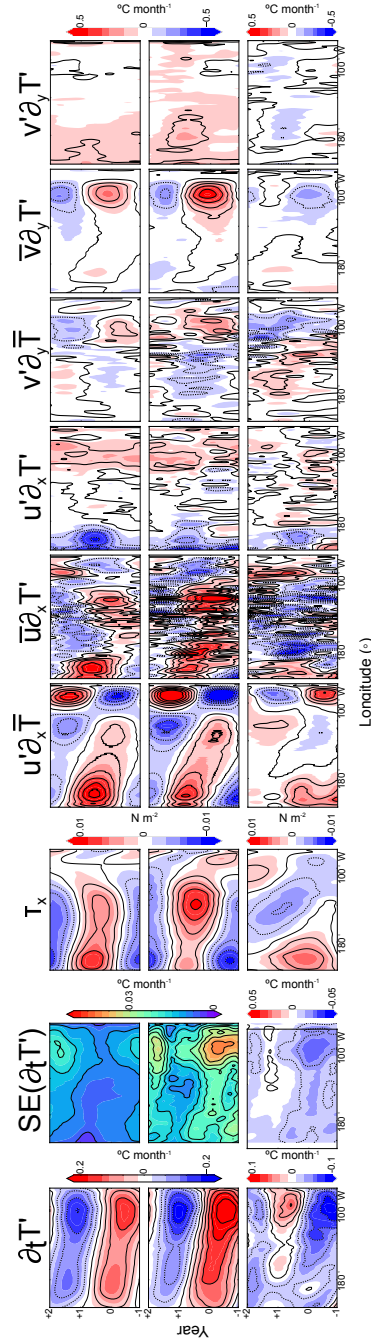


Fig. 7 Evolution of heat budget terms for the 36 months surrounding composite double peaked (top panels) El Niño events and eastern Pacific (middle panels) El Niño events from ACCESS-CM1.3. The bottom panels show the difference between the double peaked and eastern Pacific El Niño events. Data are averaged over 2°S - 2°N . Wind stress anomaly (τ'_x) data are in units of N m^{-2} (contour interval 0.01 N m^{-2}), and the units of the remaining panels are $^{\circ}\text{C month}^{-1}$ (contour interval $0.01^{\circ}\text{C month}^{-1}$). The interval between 0 and +1 represents the first year of the El Niño composite event. Note the difference in the color scale between the tendency term and the remaining heat budget feedbacks. The terms represented in each column are, from left: the mixed layer temperature tendency anomaly, the standard error (SE) of the mixed layer temperature tendency anomaly, the zonal wind stress anomaly, the zonal advective feedback ($-u'\partial_x T$), the mean zonal advection term ($-\bar{u}\partial_x T$), the anomalous zonal advection term, and the meridional heat budget terms.

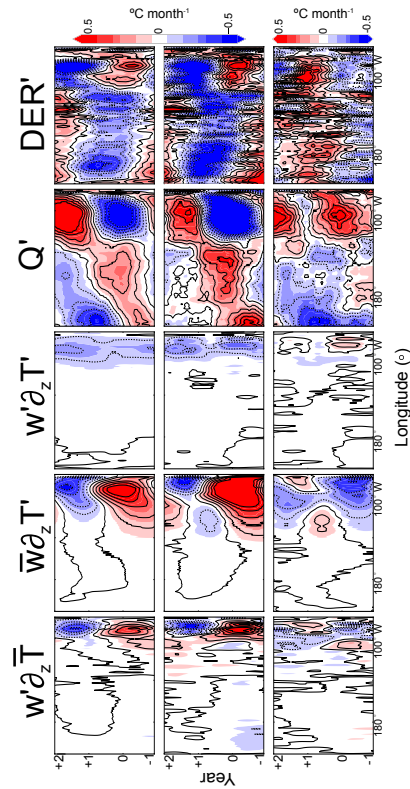


Fig. 7 (continued) As for previous figure, but this time, the terms represented in each column are, from left: the Ekman feedback ($-w'\partial_z\bar{T}$), the thermocline feedback ($-\bar{w}\partial_zT'$), the anomalous vertical advection term, the net surface heat flux anomaly (Q'), and the residual term DER' , namely, $\partial_t T' - (A'_x + A'_y + A'_z + Q')$.

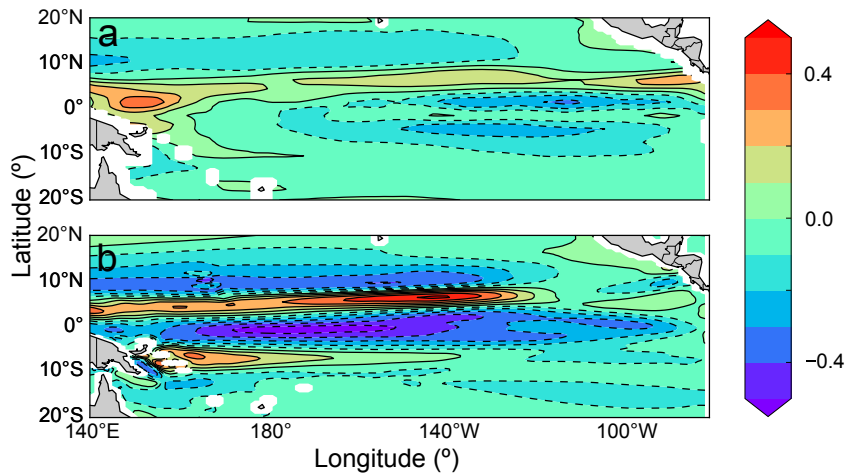


Fig. 8 Mean zonal currents over the period 1993-2005 (shading) derived from the **a** Ocean Surface Current Analyses Real-time (OSCAR; available at <http://www.oscar.noaa.gov>), and **b** the *historical* simulation of ACCESS-CM1.3. Data are in units of m s^{-1} and the contour interval is 0.1 m s^{-1} .

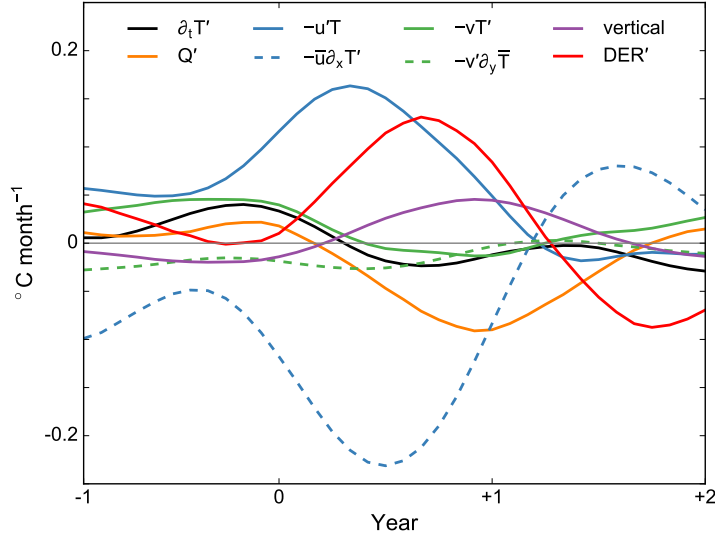


Fig. 9 Difference between ACCESS-CM1.3 heat budget terms ($^{\circ}\text{C month}^{-1}$) in the central equatorial Pacific during double peaked and eastern Pacific El Niño events. Terms are calculated as the difference in the central equatorial Pacific at 154°W subtracted from the difference in the western-central equatorial Pacific at 178°E . The heat budget terms are as in figure 7, but with $-u'T' = -u'\partial_x\bar{T} - u'\partial_xT'$, $-vT' = -v'\partial_yT' - \bar{v}\partial_yT'$, and vertical = $-\bar{w}\partial_zT' - w'\partial_z\bar{T} - w'\partial_zT'$.

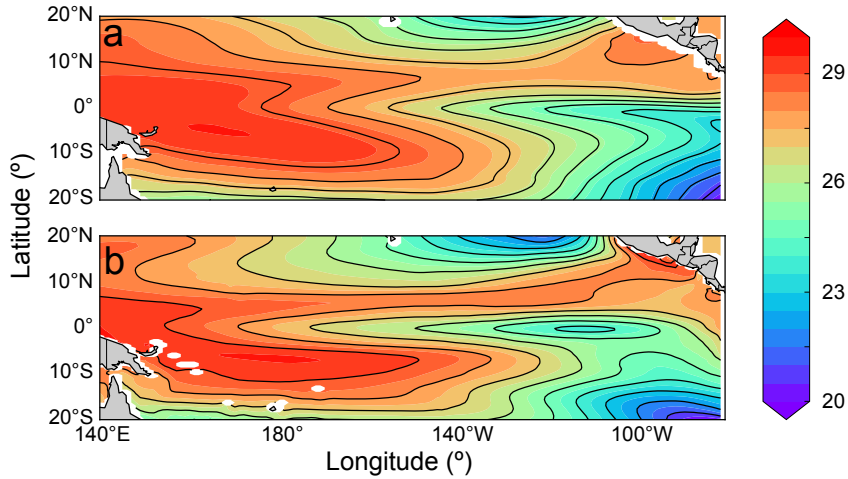


Fig. A1 Mean sea surface temperature over the period 1980-2004 (shading) in the **a** BMRC reanalyses, and **b** ACCESS-CM1.3. Data are in units of $^{\circ}\text{C}$ and the contour interval is 0.5°C .

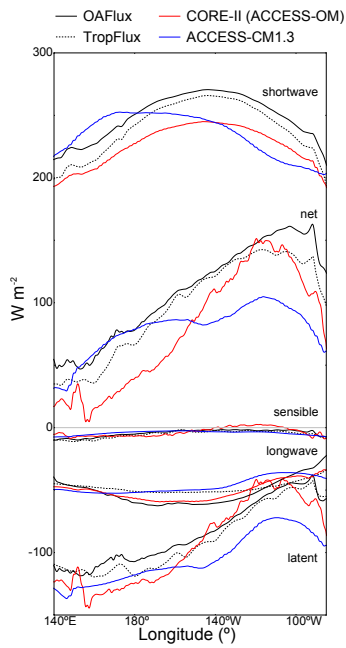


Fig. A2 Annual mean of equatorial surface heat flux variables - namely, shortwave, sensible, latent, longwave, and net heat fluxes - from ACCESS-CM1.3 (blue), the CORE-II reanalyses from ACCESS-OM (red), the OAFflux reanalyses (black solid), and the TropFlux reanalyses (black dashed). Data are averaged between 2°S and 2°N and are in units of W m^{-2} .

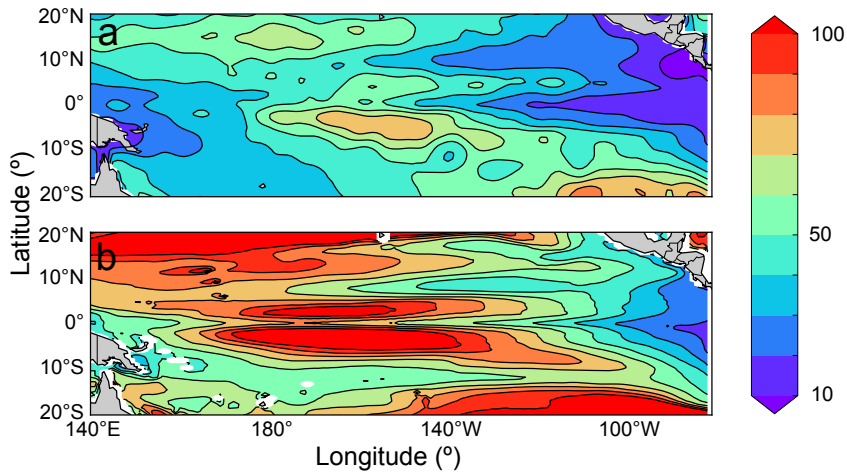


Fig. A3 Mean mixed layer depth (MLD) over the period 1980-2005 (shading) in the **a** UK Met Office (UKMO) reanalyses, and **b** ACCESS-CM1.3. The MLD is defined as the depth at which the density layer σ_t deviates from surface values by 0.125 kg m^{-3} . Contours show the bias in mean mixed layer depth with respect to the UKMO data. Data are in units of m and the contour interval is 10m.

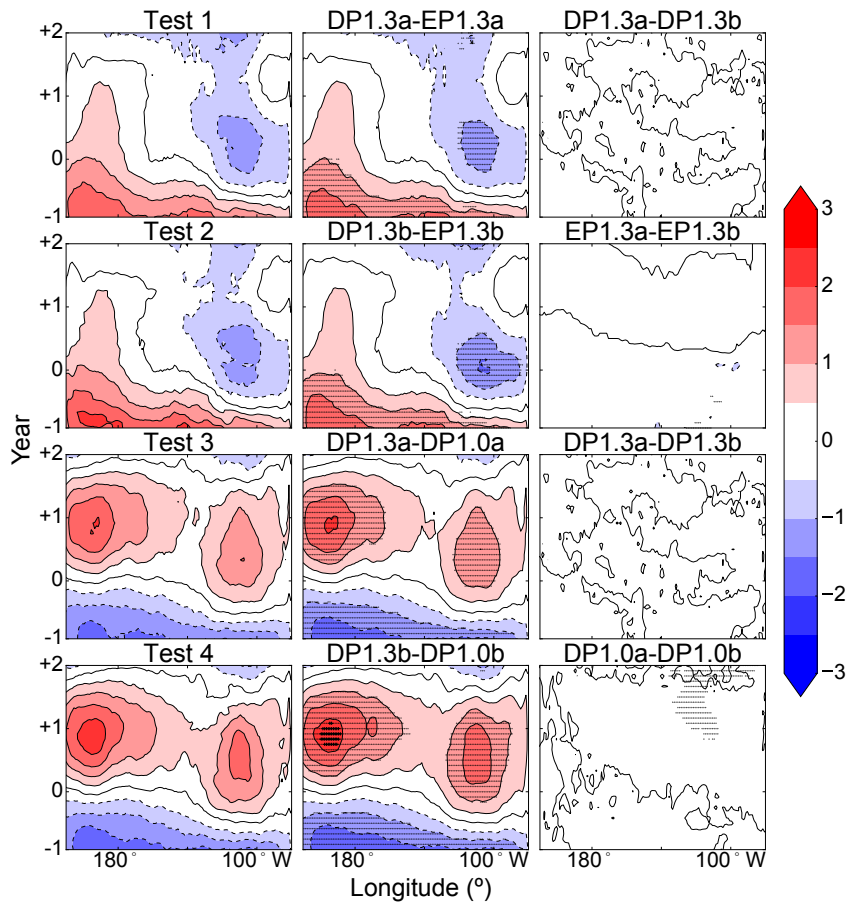


Fig. A4 Simple significance testing of SST' composites from randomly selected double peaked and eastern Pacific El Niño events in ACCESS-CM1.3 ($DP1.3a$, $DP1.3b$, $EP1.3a$, and $EP1.3b$, respectively) and double peaked El Niño events in ACCESS-CM1.0 ($DP1.0a$, $DP1.0b$, respectively) for the 3 years surrounding El Niño events. Data displayed are median t probability density function values calculated from 100 random samples of the test groups a and b . The first column in each row is calculated by subtracting the third column from the second. Differences greater than one standard deviation from the mean are indicated with stippling ('.'), and differences significant at the 95% confidence interval with crosses ('+'). In each case, significance is calculated using a two-sided Student's t -test. The contour interval is 0.1.

Table 1: Summary of the El Niño spatial behaviors present in CIMP5 CGCMs based on the evolution of SST' . Unmarked data are sourced from *historical* simulations; Data marked with a + are derived from *PiControl* simulations.

| Model | Reference | Years | El Niño events | DP events | DWPE isotherm | DWPE ($^{\circ}$ E) | Mean longitude (σ) western peak ($^{\circ}$ E) |
|----------------|---|-------------------|------------------|------------------|-------------------|---------------------------------------|--|
| ACCESS1-0 | Australian Community Climate and Earth-System Simulator, version 1.0 | 156 | 28 | 6 | 28.9 | 173 (9.2) | 189 (7.3) |
| ACCESS1-3 | Australian Community Climate and Earth-System Simulator, version 1.3 | 500 ⁺ | 106 ⁺ | 27 ⁺ | 28.2 ⁺ | 167 ⁺ (8.4 ⁺) | 184 ⁺ (8.5 ⁺) |
| BCC-CSM1-1 | Beijing Climate Center, Climate System Model, version 1.1 | 156 | 26 | 15 | 29.2 | 163 (9.1) | 180 (6.3) |
| BCC-CSM1-1-M | Beijing Climate Center, Climate System Model, version 1.1 (medium resolution) | 500 ⁺ | 89 ⁺ | 65 ⁺ | 28.7 ⁺ | 163 ⁺ (8.3 ⁺) | 176 ⁺ (12.6 ⁺) |
| CanESM2 | Second Generation Canadian Earth System Model | 163 | 37 | 11 | 28.2 | 164 (11.2) | 191 (13.2) |
| CCSM4 | Community Climate System Model, version 4 | 400 ⁺ | 115 ⁺ | 36 ⁺ | 28.1 ⁺ | 171 ⁺ (9.8 ⁺) | 188 ⁺ (15.4 ⁺) |
| CESM1-BGC | Community Earth System Model | 156 | 46 | 10 | 29.0 | 177 (13.5) | 196 (11.6) |
| CESM1-CAM5 | Community Earth System Model, version 1 (Community Earth System Model, version 5) | 319 ⁺ | 114 ⁺ | 47 ⁺ | 28.9 ⁺ | 172 ⁺ (14.7 ⁺) | 182 ⁺ (13.1 ⁺) |
| CESM1-FASTCHEM | Community Earth System Model, version 1, (with FASTCHEM) | 156 | 36 | 8 | 28.6 | 176 (13.9) | 175 (9.2) |
| CESM1-WACCM | Community Earth System Model, version 1 [with the Whole Atmosphere Community Climate Model (WACCM)] | 996 ⁺ | 208 ⁺ | 52 ⁺ | 27.7 ⁺ | 168 ⁺ (10.9 ⁺) | 174 ⁺ (17.9 ⁺) |
| CMCC-CESM | Centro Euro-Mediterraneo per I Cambiamenti Climatici Climate Model | 156 | 36 | 10 | 29.1 | 182 (14.7) | 187 (18.7) |
| CMCC-CM | Centro Euro-Mediterraneo per I Cambiamenti Climatici Climate Model | 1051 ⁺ | 228 ⁺ | 121 ⁺ | 28.6 ⁺ | 194 ⁺ (11.6 ⁺) | 184 ⁺ (14.2 ⁺) |
| CMCC-CMS | Centro Euro-Mediterraneo per I Cambiamenti Climatici Climate Model | 156 | 29 | 9 | 29.1 | 185 (12.0) | 191 (10.5) |
| CNRM-CM5 | Centre National de Recherches Météorologiques Coupled Global Climate Model, version 5 | 500 ⁺ | 114 ⁺ | 55 ⁺ | 28.5 ⁺ | 186 ⁺ (15.9 ⁺) | 189 ⁺ (8.1 ⁺) |
| CNRM-CM5-2 | Centre National de Recherches Météorologiques Coupled Global Climate Model, version 5 | 156 | 33 | 7 | 28.4 | 175 (14.6) | 187 (5.4) |
| CSIRO-Mk3-6-0 | Commonwealth Scientific and Industrial Research Organisation Mark, version 3.6.0 | 319 ⁺ | 60 ⁺ | 25 ⁺ | 28.1 ⁺ | 175 ⁺ (13.7 ⁺) | 177 ⁺ (9.0 ⁺) |
| | | 156 | 38 | 13 | 29.1 | 172 (12.2) | 192 (9.8) |
| | | 222 ⁺ | 48 ⁺ | 24 ⁺ | 29.1 ⁺ | 177 ⁺ (13.8 ⁺) | 196 ⁺ (16.1 ⁺) |
| | | 156 | 34 | 6 | 28.8 | 173 (14.7) | 191 (9.1) |
| | | 200 ⁺ | 50 ⁺ | 13 ⁺ | 28.6 ⁺ | 186 ⁺ (15.8 ⁺) | 197 ⁺ (14.4 ⁺) |
| | | 156 | 37 | 11 | 29.2 | 180 (14.0) | 182 (10.1) |
| | | 277 ⁺ | 70 ⁺ | 26 ⁺ | 28.3 ⁺ | 181 ⁺ (13.7 ⁺) | 186 ⁺ (12.6 ⁺) |
| | | 156 | 30 | 9 | 28.9 | 172 (15.6) | 192 (10.1) |
| | | 330 ⁺ | 61 ⁺ | 17 ⁺ | 28.7 ⁺ | 179 ⁺ (17.5 ⁺) | 198 ⁺ (19.1 ⁺) |
| | | 156 | 37 | 7 | 28.4 | 181 (14.2) | 194 (7.5) |
| | | 500 ⁺ | 106 ⁺ | 55 ⁺ | 28.7 ⁺ | 179 ⁺ (10.9 ⁺) | 194 ⁺ (12.0 ⁺) |
| | | 156 | 41 | 8 | 28.4 | 161 (11.6) | 183 (23.2) |
| | | 850 ⁺ | 222 ⁺ | 85 ⁺ | 28.5 ⁺ | 170 ⁺ (13.0 ⁺) | 186 ⁺ (16.2 ⁺) |
| | | 156 | 36 | 9 | 28.2 | 164 (12.8) | 187 (7.2) |
| | | 410 ⁺ | 105 ⁺ | 33 ⁺ | 28.0 ⁺ | 165 ⁺ (13.5 ⁺) | 183 ⁺ (16.0 ⁺) |
| | | 156 | 29 | 3 | 27.8 | 155 (6.1) | 189 (0.9) |

| | | | | | | | | |
|--------------|---|---|---|---|---|--|---|--|
| FIO-ESM | First Institute of Oceanography Earth System Model | Qiao et al (2013) | 500 ⁺ 156 800 ⁺ | 80 ⁺ 45 241 ⁺ | 47 ⁺ 2 12 ⁺ | 27.0 ⁺ 28.9 29.2 ⁺ | 152 ⁺ (5.3 ⁺) 179 (19.7) 180 ⁺ (19.7 ⁺) | 193 ⁺ (10.8 ⁺) 204 (12.4) 195 ⁺ (16.9 ⁺) |
| GFDL-CM3 | Geophysical Fluid Dynamics Laboratory Climate Model, version 3 | Donner et al (2011); Griffies et al (2011) | 146 800 ⁺ | 40 184 ⁺ | 12 76 ⁺ | 28.7 28.4 ⁺ | 166 (13.2) 164 ⁺ (10.8 ⁺) | 167 (18.9) 169 ⁺ (20.7 ⁺) |
| GFDL-ESM2G | Geophysical Fluid Dynamics Laboratory Earth System Model with Generalized Ocean Layer Dynamics (GOLD) component | Dunne et al (2012) | 145 500 ⁺ | 27 89 ⁺ | 21 80 ⁺ | 28.3 28.2 ⁺ | 156 (6.6) 156 ⁺ (7.7 ⁺) | 159 (18.3) 156 ⁺ (19.1 ⁺) |
| GFDL-ESM2M | Geophysical Fluid Dynamics Laboratory Earth System Model with Modular Ocean Model 4 (MOM4) component | Dunne et al (2012) | 145 500 ⁺ | 30 91 ⁺ | 3 43 ⁺ | 28.6 28.8 ⁺ | 174 (19.3) 172 ⁺ (15.8 ⁺) | 166 (19.1) 176 ⁺ (8.0 ⁺) |
| GISS-E2-H | Goddard Institute for Space Studies Model E2, coupled with the Hybrid Coordinate Ocean Model (HYCOM) | Miller (2014); Schmidt et al (2014) | 156 1770 ⁺ | 24 162 ⁺ | 8 38 ⁺ | 28.4 28.9 ⁺ | 167 (14.0) 183 ⁺ (19.4 ⁺) | 176 (28.9) 186 ⁺ (18.2 ⁺) |
| GISS-E2-H-CC | Goddard Institute for Space Studies Model E2, coupled with the Hybrid Coordinate Ocean Model (HYCOM) and Carbon Cycle | Miller (2014); Schmidt et al (2014) | 161 251 ⁺ | 37 71 ⁺ | 17 24 ⁺ | 28.4 28.9 ⁺ | 173 (17.1) 178 ⁺ (18.7 ⁺) | 194 (26.6) 194 ⁺ (22.5 ⁺) |
| GISS-E2-R | Goddard Institute for Space Studies Model E2, coupled with the Russell ocean model | Miller (2014); Schmidt et al (2014) | 156 1200 ⁺ | 28 148 ⁺ | 3 28 ⁺ | 28.9 28.7 ⁺ | 191 (17.9) 174 ⁺ (16.1 ⁺) | 214 (16.4) 199 ⁺ (9.8 ⁺) |
| GISS-E2-R-CC | Goddard Institute for Space Studies Model E2, coupled with the Russell ocean model and Carbon Cycle | Miller (2014); Schmidt et al (2014) | 161 251 ⁺ | 24 39 ⁺ | 2 6 ⁺ | 28.9 28.8 ⁺ | 188 (18.7) 175 ⁺ (16.4 ⁺) | 184 (8.8) 194 ⁺ (11.5 ⁺) |
| HadGEM2-CC | Hadley Centre Global Environment Model, version 2 - Carbon Cycle | Collins et al (2011); Martin et al (2011) | 146 240 ⁺ | 28 36 ⁺ | 3 7 ⁺ | 27.9 27.7 ⁺ | 171 (8.9) 171 ⁺ (8.5 ⁺) | 206 (8.1) 179 ⁺ (0.0 ⁺) |
| HadGEM2-ES | Hadley Centre Global Environment Model, version 2 - Earth System | Collins et al (2011); Martin et al (2011) | 146 576 ⁺ | 24 90 ⁺ | 2 22 ⁺ | 28.2 28.0 ⁺ | 167 (9.0) 163 ⁺ (8.6 ⁺) | 190 (4.5) 224 ⁺ (7.0 ⁺) |
| IPSL-CM5A-LR | L'Institut Pierre-Simon Laplace Coupled Model, version 5A coupled with NEMO low resolution | Dufresne et al (2013) | 156 1000 ⁺ | 28 178 ⁺ | 8 98 ⁺ | 28.3 28.3 ⁺ | 157 (7.2) 151 ⁺ (6.0 ⁺) | 162 (24.9) 162 ⁺ (19.1 ⁺) |
| IPSL-CM5A-MR | L'Institut Pierre-Simon Laplace Coupled Model, version 5A coupled with NEMO medium resolution | Dufresne et al (2013) | 156 300 ⁺ | 31 56 ⁺ | 19 34 ⁺ | 29.2 29.0 ⁺ | 158 (9.1) 157 ⁺ (8.6 ⁺) | 174 (18.5) 162 ⁺ (20.7 ⁺) |
| IPSL-CM5B-LR | L'Institut Pierre-Simon Laplace Coupled Model, version 5B, coupled with NEMO low resolution | Dufresne et al (2013) | 156 300 ⁺ | 29 63 ⁺ | 13 21 ⁺ | 28.7 28.7 ⁺ | 167 (12.3) 181 ⁺ (12.1 ⁺) | 190 (18.7) 190 ⁺ (11.9 ⁺) |
| MIROC-ESM | Model for Interdisciplinary Research on Climate, Earth System Model | Watanabe et al (2011) | 156 680 ⁺ | 17 58 ⁺ | 10 25 ⁺ | 27.7 27.9 ⁺ | 155 (6.3) 152 ⁺ (5.6 ⁺) | 157 (13.0) 154 ⁺ (15.8 ⁺) |
| MIROC5 | Model for Interdisciplinary Research on Climate, version 5 | Watanabe et al (2010) | 163 670 ⁺ | 23 93 ⁺ | 5 57 ⁺ | 28.5 28.2 ⁺ | 174 (18.8) 161 ⁺ (12.1 ⁺) | 158 (15.1) 171 ⁺ (11.5 ⁺) |
| MPI-ESM-LR | Max Planck Institute Earth System Model, low resolution | Giorgetta et al (2013) | 156 | 27 | 9 | 28.4 | 166 (15.2) | 162 (12.4) |

| | | | | | | | | |
|------------|---|------------------------|-------------------|------------------|------------------|-------------------|---------------------------------------|---------------------------------------|
| MPI-ESM-P | Max Planck Institute Earth System Model | Giorgetta et al (2013) | 1000 ⁺ | 161 ⁺ | 86 ⁺ | 28.6 ⁺ | 159 ⁺ (7.3 ⁺) | 162 ⁺ (21.1 ⁺) |
| MRI-CGCM3 | Meteorological Research Institute Coupled Atmosphere-Ocean General Circulation Model, version 3 | Yukimoto et al (2012) | 1156 ⁺ | 196 ⁺ | 111 ⁺ | 28.7 ⁺ | 161 ⁺ (10.3 ⁺) | 162 ⁺ (20.3 ⁺) |
| NorESM1-M | Norwegian Earth System Model, version 1 (intermediate resolution) | Bentsen et al (2013) | 500 ⁺ | 74 ⁺ | 39 ⁺ | 28.2 | 170 (8.4) | 194 (20.1) |
| NorESM1-ME | Norwegian Earth System Model, version 1 (intermediate resolution) with interactive carbon cycle | Bentsen et al (2013) | 156 | 42 | 9 | 28.1 ⁺ | 164 ⁺ (7.2 ⁺) | 181 ⁺ (9.9 ⁺) |
| | | | 501 ⁺ | 117 ⁺ | 57 ⁺ | 28.4 | 166 (11.3) | 180 (18.5) |
| | | | 156 | 30 | 5 | 28.7 ⁺ | 169 ⁺ (12.8 ⁺) | 187 ⁺ (8.4 ⁺) |
| | | | 252 ⁺ | 53 ⁺ | 15 ⁺ | 28.4 | 176 (12.0) | 178 (13.1) |
| | | | | | | 28.2 ⁺ | 169 ⁺ (12.1 ⁺) | 192 ⁺ (7.6 ⁺) |

Table 2 Fitted values and confidence intervals for the parameters in equation (3).

| Parameter | Estimate | 95% confidence interval | |
|-----------|----------|-------------------------|-------|
| | | Min | Max |
| <i>a</i> | -0.0049 | -0.010 | 0 |
| <i>b</i> | -0.44 | -0.65 | -0.23 |
| <i>c</i> | 0.043 | -0.049 | 0.14 |

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