

Received Date : 12-Mar-2016

Revised Date : 22-Jul-2016

Accepted Date : 08-Aug-2016

Article type : Original Article

**Title 1:** Productivity and reproductive resilience in exploited marine fish

**Title 2:** Understanding spawner-recruit systems in a technology-rich era: building the framework to assess reproductive resilience

**Title 3:** Reproductive resilience: a paradigm shift in understanding spawner-recruit systems in exploited marine fish

by

Susan Lowerre-Barbieri<sup>1,2</sup>, Greg DeCelles<sup>3,4</sup>, Pierre Pepin<sup>5</sup>, Ignacio A. Catalan<sup>6</sup>, Barb Muhling<sup>7,8</sup>, Brad Erisman<sup>9</sup>, Steve X. Cadrin<sup>3</sup>, Josep Alós<sup>10,6</sup>, Andres Ospina-Alvarez<sup>11</sup>, Megan M. Stachura<sup>12,13</sup>, Michael Tringali<sup>1</sup>, Sarah Walters Burnsed<sup>1</sup>, and Claire B. Paris<sup>14</sup>

<sup>1</sup>Florida Fish and Wildlife Conservation Commission, Florida Fish and Wildlife Research Institute, 100 Eighth Avenue S.E. St. Petersburg, Florida 33701-5020, USA

<sup>2</sup>Fisheries and Aquatic Science Program, School of Forest Resources and Conservation, University of Florida, 7922 North West 71st Street, Gainesville, FL

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/faf.12180](https://doi.org/10.1111/faf.12180)

This article is protected by copyright. All rights reserved

<sup>3</sup>School for Marine Science and Technology, University of Massachusetts Dartmouth  
200 Mill Rd., Fairhaven, MA 02719

<sup>4</sup>Massachusetts Division of Marine Fisheries 1213 Purchase St., New Bedford, MA 02740

<sup>5</sup>Fisheries and Oceans Canada, 80 White Hills Road, PO Box 5667, St. John's, NL, Canada A1C  
5X1

<sup>6</sup>Institut Mediterrani d'Estudis Avançats (IMEDEA-CSIC/UIB), Esporles, Balearic Islands, Spain

<sup>7</sup>Princeton University Program in Atmospheric and Oceanic Sciences, Forrestal Campus/Sayre  
Hall, Princeton, NJ 08544, USA

<sup>8</sup>Geophysical Fluid Dynamics Laboratory, National Oceanic and Atmospheric Administration,  
201 Forrestal Road, Princeton, NJ 08540, USA

<sup>9</sup>Department of Marine Science, University of Texas at Austin, 750 Channel View Drive, Port  
Aransas, TX 78373-5015

<sup>10</sup> Department of Biology and Ecology of Fishes, Leibniz-Institute of Freshwater Ecology and  
Inland Fisheries, Müggelseedamm 310, 12587 Berlin, Germany

<sup>11</sup>Millennium Nucleus - Marine Conservation Center. Estación Costera de Investigaciones  
Marinas ECIM, Departamento de Ecología, Facultad de Ciencias Biológicas, Pontificia  
Universidad Católica de Chile, Santiago, Chile.

<sup>12</sup>NOAA NMFS, Office of Sustainable Fisheries, 1315 East West Highway, Silver Spring, Maryland  
20910, United States of America

<sup>13</sup>Independent researcher, Seattle, WA 98103, United States of America

<sup>14</sup>Rosenstiel School of Marine and Atmospheric Science, 4600 Rickenbacker Causeway, Miami, FL 33149

Corresponding author: Susan Lowerre-Barbieri, 100 8th Ave. SE St. Petersburg 33701; (727) 502-4930, fax: (727) 893-1374 susan.barbieri@myfwc.com

Running title: Reproductive resilience in marine fish

## **Abstract**

A close relationship between adult abundance and stock productivity may not exist for many marine fish stocks, resulting in concern that the management goal of maximum sustainable yield is either inefficient or risky. Although reproductive success is tightly coupled with adult abundance and fecundity in many terrestrial animals, in exploited marine fish where and when fish spawn and consequent dispersal dynamics may have a greater impact. Here, we propose an eco-evolutionary perspective, reproductive resilience, to understand connectivity and productivity in marine fish. Reproductive resilience is the capacity of a population to maintain the reproductive success needed to result in long-term population stability despite disturbances. A stock's reproductive resilience is driven by the underlying traits in its spawner-recruit system, selected for over evolutionary time scales, and the ecological context within which it is operating. Spawner-recruit systems are species-specific, have both density-dependent and fitness feedback loops, and are made up of fixed, behavioral, and ecologically-variable traits. They operate over multiple temporal, spatial, and biological scales, with trait diversity affecting reproductive resilience at both the population and individual (i.e., portfolio) scale. Models of spawner-recruit systems fall within three categories: (1) two-dimensional models (i.e., spawner and recruit); (2) process-based biophysical dispersal models which integrate physical and environmental processes into understanding recruitment; and (3) complex spatially-explicit integrated life cycle models. We review these models and their

underlying assumptions about reproductive success versus our emerging mechanistic understanding. We conclude with practical guidelines for integrating reproductive resilience into assessments of population connectivity and stock productivity.

#### Keywords

Productivity, resilience, fisheries management, dispersal, reproductive potential, spawner-recruit

#### Contents

##### Introduction

##### **Productivity and reproductive success**

Productivity and scale

Commonality and diversity of reproductive strategies

Spawner-recruit systems

Reproductive timing

Spawning site selection

Larval dispersal potential

Species-specific recruitment variability

##### **Modeling spawner-recruit systems**

Stock assessments and productivity predictions

Biophysical dispersal models

Spatially-explicit full life cycle models

##### **Management and reproductive resilience**

Integrating spawner-recruit systems into the management framework

Measures of reproductive resilience and management applications

Reproductive resilience and climate change

**Conclusions and recommendations**

Continuum of approaches

Integrating fisheries science

Acknowledgements

Author Manuscript

## 1 Introduction

2 The spawning stock-recruitment relationship is a fundamental and challenging problem in  
3 fisheries science and at the center of a current debate on drivers of stock productivity and  
4 productivity regime shifts (Vert-pre *et al.* 2013; Szuwalski *et al.* 2015; Klaer *et al.* 2015; Porch  
5 and Lauretta 2016). Although there is increased emphasis on complex ecological processes and  
6 environmental forcing (Rice 2011), a growing body of literature demonstrating non-linear  
7 relationships and tipping points (Glaser *et al.* 2014; Vasilakopoulos and Marshall 2015;  
8 Hunsicker *et al.* 2016), and increased recognition of the importance of resilience to natural  
9 resource management (Polasky *et al.* 2011; Brown and Williams 2015), we lack an eco-  
10 evolutionary framework to understand drivers of adult abundance in marine fish. As noted by  
11 Phil Levin in his 1989 Robert H. MacArthur award lecture, (Levin 1992), “the chasm between  
12 evolutionary biology and ecosystems science is a wide one...yet neither discipline can afford to  
13 ignore the other: evolutionary changes take place within the context of ecosystems, and an  
14 evolutionary perspective is critical for understanding organisms’ behavioral and physiological  
15 responses to environmental change.” The concept of reproductive resilience, can help fill this  
16 chasm.

17  
18 In many animals, reproductive success (see definition in Table 1) is tightly coupled with adult  
19 abundance and fecundity (Stearns 1992). However, most exploited marine fish have evolved  
20 reproductive strategies which differ from terrestrial animals, with effective breeding  
21 populations often orders of magnitude smaller than the mature census population (Hedgecock  
22 1994; Christie *et al.* 2010), suggesting adult abundance is a poor predictor of recruitment and  
23 that we need to better understand the traits resulting in effective breeding. Recent meta-  
24 analyses of the RAM Legacy Stock Assessment Database also support the lack of a close  
25 relationship between adult abundance and stock productivity (Vert-pre *et al.* 2013; Szuwalski *et*  
26 *al.* 2015). Less than 20% of the stocks evaluated in those papers showed a pattern of  
27 productivity consistently driven by adult abundance (Vert-pre *et al.* 2013) and only 39% showed  
28 a positive relationship between recruitment and spawning biomass (Szuwalski *et al.* 2015).

29 However, fisheries management remains predicated on the concept that mature biomass drives  
30 long-term population productivity and yield (Vert-pre *et al.* 2013).

31  
32 Efforts to improve our ability to predict marine fish stock productivity (i.e., future abundance)  
33 have fallen primarily within two approaches. The first uses the conceptual model of current  
34 stock-recruit relationships (SRRs) but replaces spawning stock biomass (SSB) with estimates of  
35 total egg production (TEP) based on age-based fecundity vectors (Marshall 2009; Saborido-Rey  
36 and Trippel 2013; Brodziak *et al.* 2015). The second approach draws from life history theory,  
37 either through the familiar r- and K- selection theory (Musick 1999) or by expanding this  
38 concept to categorize life history strategies based on the intrinsic rate of population growth  
39 (Winemiller 2005), as well as life tables and the Euler-Lotka equation (Kindsvater *et al.*, 2016).  
40 Both approaches have improved our understanding of productivity in marine fish. However,  
41 these approaches remain heavily based on the assumption that fecundity drives reproductive  
42 success and do not include spatio-temporal reproductive behavior, which is an important driver  
43 of offspring survival (Maunder and Deriso 2013; Donahue *et al.* 2015). The importance of  
44 where and when fish spawn and the conditions encountered at the time of first feeding was  
45 first proposed by Hjort (1914), extended to the member-vagrant hypothesis (Iles and Sinclair,  
46 1982), later integrated into the match/mismatch hypothesis (Cushing 1990) and more recently  
47 linked to oceanographic concentration mechanisms by Lasker (1978) and Bakun (1996).  
48 However, only through relatively new technological advances can we now test these  
49 hypotheses at the appropriate scales. For example, we can now track where and when  
50 individuals are spawning, as well as track eggs and larvae from a given spawning site. We can  
51 also assess offspring fate (i.e., survivorship and settlement location) through transgenerational  
52 tagging, otolith microchemistry, and genetic parentage analysis (Fig. 1). In addition, we now  
53 have the computing speed to develop spatially-explicit individual based models (IBMs) to  
54 evaluate factors affecting reproductive success (Mullon *et al.*, 2002; Fiksen *et al.*, 2007; Peck  
55 and Hufnagl 2012).

56

57 In this paper, we bring together expertise in fisheries ecology, early life history, and stock  
58 assessment to synthesize new understanding of reproductive potential in marine fish and its  
59 importance to fisheries management. We present an eco-evolutionary framework,  
60 reproductive resilience, to understand multi-dimensional “spawner-recruit systems” and the  
61 role they play in stock productivity. To build the concept of multi-dimensional spawner-recruit  
62 systems we review the commonality and diversity of traits addressed in fish reproductive  
63 strategies. We then show how additional traits associated with energetics, spatio-temporal  
64 behavior and sensitivity to environmental forcing affect reproductive success. Reproductive  
65 resilience, is defined as “the capacity of a population to maintain the reproductive success  
66 needed to result in long-term population stability despite disturbances such as environmental  
67 perturbations and fishing” (Lowerre-Barbieri *et al.* 2015). Species-specific spawner-recruit  
68 system traits (and within-population diversity in these traits) and the ecological context within  
69 which a system is operating determine reproductive resilience. We review how spawner-  
70 recruit systems are modeled in traditional stock assessments as well as in recently-developed  
71 dispersal and spatially-explicit full life cycle models, comparing underlying assumptions about  
72 reproductive success with recent developments in mechanistic understanding. We conclude  
73 with guidelines for applying emerging understanding of reproductive resilience into marine  
74 fisheries management.

75

## 76 **Reproductive success and stock productivity**

### 77 *Productivity and scale*

78

79 In fisheries science, the term productivity is used to refer to factors affecting adult biomass and  
80 our ability to harvest wild fish populations sustainably. The processes evaluated to understand  
81 stock productivity are commonly those occurring at the population scale as well as the lifetime,  
82 annual or seasonal temporal scales (Table 2). Recently, there has been increased interest in  
83 understanding ecological and evolutionary factors affecting fish stock productivity (Kindsvater  
84 *et al.*, 2016) and the concept of reproductive success can help move this effort forward (Fig. 2)  
85 Reproductive success is the ability of an individual to produce offspring which survive to

86 reproductive age (Stearns 1992) and it drives population growth, allows species to persist, and  
87 varies amongst individuals with important consequences for population dynamics (Clutton-  
88 Brock and Sheldon, 2010) and fisheries induced evolution (Dunlop et al., 2015). Reproductive  
89 success is also the ecological process that defines the factors affecting reproductive potential,  
90 although we commonly associate this phrase with SSB or TEP. The temporal scale associated  
91 with reproductive success is transgenerational, i.e. adults must successfully reproduce within  
92 their reproductive lifespan and offspring must survive to reproductive age. It is this component  
93 of stock productivity which we focus on in this paper, using the terms “transgenerational  
94 productivity” and “productivity” interchangeably.

95  
96 Recent debate about productivity drivers has coalesced around the importance of adult  
97 abundance (Vert-Pre *et al.* 2013) versus environmental impacts on recruitment (Szuwalski et al.  
98 2015). Here we use a reproductive resilience framework to integrate both of these factors into  
99 our understanding of spawner-recruit systems. Spawner-recruit system traits fall into three  
100 groups with differing phenotypic plasticity (Fig. 3, specific traits addressed in the next section).  
101 Fixed traits are constant amongst individuals within a species and invariant over ecological  
102 time. At the other end of the plasticity spectrum are ecologically-variable traits. These traits  
103 are individually variable and affected by inter- and intra-species (i.e., density dependent)  
104 interactions and environmental forcing. Behavioral traits exhibit phenotypic plasticity but often  
105 form a common pattern, or contingents, within a population.

106  
107 The processes associated with spawner-recruit systems operate over multiple time scales  
108 (Table 2). Fixed and behavioral traits are critical biological determinants of reproductive  
109 success. These traits were selected for over evolutionary time scales to ensure population  
110 persistence in a given spatial context. Environmental forcing, operating at ecological time  
111 scales, inserts stochasticity into this system, functioning either as an on-off switch or as a  
112 modulator of the degree to which biological attributes can function. For example, recruitment  
113 occurs at the annual and population scales (Table 2) and strong year classes occur in years  
114 when either seasonal or episodic conditions result in physical processes favorable for larval and

115 juvenile survival. These processes affect recruitment in many species and can include Ekman  
116 transport, storm induced upwelling events, and the occurrence of mesoscale eddies (Boehlert  
117 and Mundy 1987; Checkley *et al.* 1988; Rice *et al.* 1999; Sponaugle *et al.* 2005). Recently,  
118 Stachura *et al.* (2014) evaluated the potential for these physical processes to cause  
119 synchronized recruitment patterns across species within an ecosystem, with moderate  
120 coherence in exceptionally strong and weak year classes across 52 stocks in the Northeast  
121 Pacific.

122  
123 However, at transgenerational time scales, weather and its effect on physical processes cannot  
124 be the sole driver of reproductive success, as natural selection acts on adult traits affecting  
125 offspring survival, resulting in species-specific reproductive resilience based on the selection  
126 pressures under which the species evolved (Garrod and Horwood 1984; Winemiller and Rose  
127 1992; Lowerre-Barbieri 2009; Mangel *et al.* 2013). Mortality regimes (both intensity and  
128 variability of mortality) are spatially-explicit and can vary over time. At the ecosystem scale, the  
129 marine environment differs in its selection pressures from terrestrial and freshwater systems  
130 due to size, oceanographic processes, and trophic dynamics. The prevalence of high fecundity,  
131 pelagic larval stages, and high offspring mortality in marine fish indicates a need to ensure  
132 adequate survival in a variable and unpredictable environment. The closest terrestrial  
133 comparison would be to plants which produce large numbers of seeds, dispersed with the wind.  
134 However, larval fish dispersal is often not passive, as a result of directed larval behavior (Paris  
135 and Cowen 2004; Fiksen *et al.*, 2007; Staaterman and Paris 2013) and fish are not sessile, having  
136 the ability to select and move to their spawning sites (Leis 2015). Thus, drivers of reproductive  
137 success and resilience in the marine realm are expected to differ from terrestrial systems.

138  
139 *Commonality and diversity of reproductive strategies*

140 Three drivers of transgenerational productivity, other than SSB, have been suggested for  
141 marine fish: increased reproductive value with age or the big old fat fecund female fish  
142 (BOFFFF) effect (Berkeley *et al.* 2004; Hixon *et al.* 2014), population structure (Frank and  
143 Brickman 2001; Fromentin *et al.* 2014), and diversity of spatio-temporal reproductive behavior

144 (Berkeley *et al.* 2004; Lowerre-Barbieri *et al.* 2015). Like natural mortality, the relative  
145 importance of these drivers can vary among species. Traits commonly considered in fish  
146 reproductive strategies are primarily fixed traits, including: (1) the number of breeding  
147 opportunities (iteroparous or semelparous); (2) type of spawning (total versus batch); (3)  
148 mating system (promiscuous, polygamous, or pair spawners); (4) gender system (gonochoristic  
149 or hermaphroditic); (5) secondary sex characteristics; (6) spawning site preparation; (7) place of  
150 fertilization; (8) embryonic development; and (9) degree of parental care (Murua and Saborido-  
151 Rey 2003; Wootton 2012). Most exploited marine fish (i.e. those which can sustain large  
152 increases in mortality and continue to have viable populations) exhibit either promiscuous or  
153 polygamous mating strategies with external fertilization, no parental care, and no spawning site  
154 preparation. Of course, there are exceptions including the rockfishes (*Sebastes* spp.), which  
155 have internal fertilization and development but release pelagic larvae (Mangel *et al.* 2007), and  
156 grey triggerfish (*Balistes capriscus*, Balistidae) and lingcod (*Ophiodon elongates*,  
157 Hexagrammidae), which build nests and guard their eggs. Exploited species are somewhat  
158 more diverse in terms of the number of breeding opportunities, spawning type, and gender  
159 system. Most species are iteroparous, but several semelparous species support extremely  
160 important fisheries, including the European and American eels (*Anguilla Anguilla* and *Anguilla*  
161 *rostrate*, Anguillidae), capelin (*Mallotus villosus*, Osmeridae), American shad (*Alosa sapidissima*,  
162 Clupeidae), and Pacific salmon (genus *Oncorhynchus*, Salmonidae). Similarly, at the annual  
163 scale, most species are batch spawners, spawning multiple times in a spawning season. Total  
164 spawners, those fish which spawn either in one event or over a short time period (Pavlov *et al.*  
165 2009), occur less frequently and are typically diadromous, undertaking long spawning  
166 migrations (Jager *et al.* 2008). Total spawners, such as Atlantic salmon (*Salmo salmar*,  
167 Salmonidae), striped bass (*Morone saxatilis*, Moronidae), and grey and striped mullet (*Mugil*  
168 *liza*, *Mugil cephalus*, Mugilidae), support important fisheries. Similarly, the vast majority of  
169 exploited marine fish have a gonochoristic gender system. However, hermaphroditism is  
170 common in groupers (Epinephelidae), seabasses and combers (Serranidae) parrotfishes and  
171 wrasses (Labridae), and seabreams (Sparidae) and plays an important role in understanding  
172 transgenerational productivity in these fished species (Erisman *et al.* 2013). Sex

173 determination, not to be confused with gender system, is an individual “decision” to be one sex  
174 or the other and is an ecologically-variable trait affected by temperature in some gonochorists  
175 and social cues in hermaphrodites (Mank *et al.* 2009).

176

#### 177 *Spawner-recruit systems*

178 To understand spawner-recruit systems we need to expand beyond traditional reproductive  
179 strategy traits to include energetics, spatio-temporal behavior, sensitivity to environmental  
180 forcing, and demographic trends in traits affecting reproductive success (4a). There is growing  
181 awareness that energetics and spatio-temporal reproductive behavior affect productivity.  
182 Reproductive effort is an ecological variable trait and refers to the proportion of the total  
183 energy budget an individual devotes to reproductive processes. It will be affected by both  
184 ecological context (food availability) and internal state and affects spawning migrations, egg  
185 quality, skip spawning, and annual fecundity (McBride *et al.* 2013). Reproductive timing, or the  
186 temporal pattern of reproduction over a fish’s lifetime can act as both a behavioral trait (i.e.,  
187 spawning seasonality) and an ecologically-variable trait (i.e., individual spawn times) and plays  
188 an important role in reproductive success, determining the first environment offspring  
189 encounter (Ims 1990; Yamahira 2004; Lowerre-Barbieri *et al.* 2011) and the number of breeding  
190 events and thus the probability of releasing offspring during the window associated with  
191 favorable survival conditions. Reproductive timing also determines reproductive lifespan and  
192 generation time and thus expected recovery times following over-exploitation. Spawning site  
193 selection is also both a behavioral (spawning habitat) and ecologically-variable trait (individual  
194 site choice). Spawning site selection determines the starting point of larval dispersal, affects  
195 fisheries selectivity, and potentially all spatial components later in the life cycle (Bailey *et al.*  
196 2008; Maunder and Piner 2014; Ciannelli *et al.* 2015). Availability of spawning habitat affects  
197 population viability (Valavanis *et al.* 2008) and consistent spawning site selection over time, or  
198 spawning site fidelity, affects population structure. If spawning site selection is driven by  
199 returning to an individual’s birthplace this is considered natal homing or philopatry.

200

201 For offspring to survive and recruit to a fishery, larvae must find food, avoid predation, and  
202 reach suitable nursery habitat, which often differs from spawning habitat. In addition, juveniles  
203 need to avoid predation, eat, grow, and in many species, move to adult habitat. Drivers of  
204 larval survivorship are also species-specific, because each species has evolved unique behaviors  
205 and physiological characteristics to survive in a given habitat. For example, late-stage larvae of  
206 coral reef fish typically need a suitable reef habitat on which to settle at the end of their pelagic  
207 larval phase. Many of these species therefore have physiological traits which aid in their  
208 abilities to locate this habitat, such as strong swimming abilities, advanced sensory perception,  
209 and navigation capabilities (Kingsford *et al.* 2002; Leis 2007). In contrast, many pelagic species  
210 do not rely on a particular benthic habitat for settlement, completing their life cycle in the  
211 pelagic environment. For example, most species of tuna spawn in oligotrophic subtropical  
212 environments (Reglero *et al.* 2014). These environments may be food-limited and these species  
213 have evolved specialized feeding behaviors, including piscivory and cannibalism from very small  
214 sizes (Llopiz and Hobday 2015). Like adult survivorship, there is no universal process or  
215 temporal predictor of offspring survival. For pelagic species, it may be determined more in the  
216 egg (Richardson *et al.* 2011) or larval stages (Sætre *et al.* 2002; Bergenius *et al.* 2002;  
217 Beaugrand *et al.* 2003; Castonguay *et al.* 2008). In demersal and reef species, juvenile mortality  
218 may play a more important role (Shulman 1985; Gibson 1994; Carr and Hixon 1995), although it  
219 often simply dampens year-class variability, rather than driving year-class strength (Myers and  
220 Cadigan 1993; Leggett and DeBlois 1994). Similarly, processes affecting offspring survivorship  
221 will differ with latitude. For example, the close relationship between high chlorophyll events  
222 and larval feeding success observed in temperate species (Platt *et al.* 2003), may not be an  
223 appropriate model for species adapted to tropical environments (see Leis *et al.* 2013).

224  
225 Spawner-recruit systems differ in their sensitivity to environmental effects and the temporal  
226 pattern of strong year classes over the expected reproductive lifespan. Both have important  
227 implications to management as they will affect recovery potential and vulnerability to climate  
228 change. For example, wind has a strong effect on the retention or dispersal of the eggs of  
229 spring-spawning cod (Hinrichsen *et al.* 2003; Pacariz *et al.* 2014), whereas for menhaden,

230 spawning in the winter offshore, it is the large scale atmospheric drivers that have the greatest  
231 effect on across-shelf transport and reproductive success (Rice *et al.* 1999). Short-term,  
232 episodic events also affect survival as seen in increased survivorship of striped bass eggs in  
233 estuaries when spawning follows a pulse of freshwater discharge (North *et al.* 2005), but  
234 decreased survival associated with storm events that affect water temperature (Rutherford and  
235 Houde 1985; Secor and Houde 1995). The temporal patterns of strong year-classes are also  
236 species-specific (Pineda *et al.* 2007; Morgan 2014). Assessing the data reported by Pepin  
237 (2015), we show that commercially exploited stocks from primarily temperate habitats had  
238 recruitment variability patterns that differed among families (Fig. 5). Forage fish and two  
239 families of Pleuronectiformes had greater recruitment variability relative to variability in  
240 spawner biomass, whereas tunas (Scombridae), sablefish (Anoplopomatidae), sea basses  
241 (Serranidae) and roughies (Trachichthyidae) had lower relative levels of recruitment variability.  
242 However, spawner-recruit systems are species-specific, vary considerably within a family, and  
243 the limited differences in median relative recruitment variability amongst many of the families  
244 (from Pleuronectidae to Carangidae) may point to a need for finer taxonomic resolution and/or  
245 greater consideration of the ecological context (i.e., expected environmental variability)  
246 associated with a species' home range.

247  
248 A species' reproductive resilience depends on its fixed, behavioral, and ecologically-variable  
249 traits and the within-population diversity in these traits. At the population scale, spawner-  
250 recruit systems differ amongst species in their trait diversity (Fig. 4). For example, reproductive  
251 lifespan in semelparous species is one year, whereas it is more than seventy years for Pacific  
252 ocean perch (*Sebastes alutus*, Sebastidae). Similarly, the number of annual breeding events can  
253 vary from one in striped bass to 54 in the bay anchovy (*Anchoa mitchilli*, Engraulidae). High  
254 spawning site density and low diversity is seen in species like Nassau grouper (*Epinephelus*  
255 *striatus*, Serranidae), (Erisman *et al.*, 2015) and the reverse is seen in the red snapper (*Lutjanus*  
256 *campechanus*, Lutjanidae) (Lowerre-Barbieri *et al.* 2015). Larval dispersal and recruitment  
257 variability also differ, presumably associated with the distance between spawning and nursery  
258 sites, which can be widely separated in species such as in gag grouper (*Mycteroperca*

259 *microlepis*, Serranidae) (Fitzhugh et al., 2005) or overlapping in estuarine fish such as the  
260 spotted seatrout (*Cynoscion nebulosus*, Sciaenidae) (Walters et al., 2009).

261

262 Reproductive resilience is also driven by individual diversity in behavioral and ecologically-  
263 variable traits, often called the ‘the portfolio effect’ (Figue 2004). The underlying concept is to  
264 maximize population fitness through spreading the risk (Garcia-Gonzalez *et al.* 2015), resulting  
265 in increased population reproductive success in an unpredictable environment (Secor 1999).  
266 For example Bristol Bay salmon stock exhibit spatio-temporal spawning diversity, which  
267 increases the resilience of the regional stock, and reduces variability in fishery yields (Hilborn et  
268 al. 2003; Schindler et al. 2010), with similar patterns observed in a range of species (Secor  
269 2007). The portfolio effect has been studied primarily in terms of the spatio-temporal behavior  
270 of diadromous species. But portfolio theory can be used to estimate components of a stock’s  
271 reproductive resilience by assessing spawner-recruit traits along axes of conservation risk and  
272 return (Anderson et al. 2015)

273

#### 274 **Modeling spawner-recruit systems**

275 Given increased awareness that adult abundance and TEP are poor predictors of future  
276 productivity in many marine fish (Kell *et al.* 2015), we need to develop alternative ways to  
277 assess spawner-recruit systems and their productivity. Conventional fisheries management  
278 attempts to control fishing mortality on a given stock in a way that produces near-maximum  
279 sustainable yields (O’Farrell and Botsford 2006) and prevents recruitment overfishing, even if a  
280 direct link between spawning stock size and subsequent recruitment cannot be established  
281 statistically, which is commonly the case (Rosenberg and Restrepo 1994). By necessity, all stock  
282 assessment models simplify ecological processes (Cadrin and Dickey-Collas 2015) but they differ  
283 in their complexity and their assumptions regarding reproductive success and productivity  
284 (Table 3). The simplest stock assessment models assume constant recruitment and that  
285 productivity is driven by density dependence and the mature census population (i.e. SSB).  
286 Traditional self-regenerating models (Beverton *et al.* 1984) combine yield and SSB-per-recruit  
287 with a stock-recruitment relationship (SRR) which relates the abundance of spawners with

288 subsequent recruitment (Ricker 1954; Beverton and Holt 1957; Cushing 1975; Shepherd 1982).  
289 Two SRR models are the most commonly used: the Beverton-Holt model, where recruitment  
290 increases asymptotically, and the Ricker model, where recruitment declines at high spawning  
291 stock abundance. However, both predict recruitment produced by a given spawning biomass  
292 based on the product of maximum productivity per unit spawning biomass and the degree of  
293 density dependence (Mangel *et al.* 2013). A parameter used in conjunction with SRRs is  
294 steepness or the fraction of recruitment from an unfished population when the spawning stock  
295 biomass declines to 20% of its unfished level (Hilborn and Walters 1992). Brodziak *et al.* (2015)  
296 state that, “steepness determines the expected resilience of a fish stock to harvest and is  
297 fundamentally important for the estimation of biological reference points such as maximum  
298 sustainable yield.”

299  
300 However, population growth rates and equilibrium abundance are affected by multiple complex  
301 processes not integrated into stock assessments (Watson *et al.* 2012; Snyder *et al.* 2014). Stock  
302 assessment models are predicated on the concept that population growth can be explained by  
303 birth and death rates and density dependence, independent of how behavior impacts  
304 reproductive success (i.e., behavioral ecology). Similar to models used to estimate maximum  
305 sustainable yield for hunted wildlife, such as deer (Fortin *et al.*, 2015) these models assume all  
306 births are created equal and that birthrate is the main driver of reproductive success. However,  
307 most exploited marine fish are pelagic spawners with high fecundity and high offspring  
308 mortality. In these spawner-recruit systems the dispersal pathways of propagules (eggs and  
309 larvae) will determine source-sink dynamics (Iwasa and Roughgarden 1986; Leibold *et al.* 2004;  
310 Kough *et al.* 2013), population persistence (Hastings and Botsford 2006; Aiken and Navarrete  
311 2011), rates of population replenishment (Hastings and Botsford 1999; Botsford *et al.* 2001;  
312 Cowen *et al.* 2006), and spatial overlap with competitors, predators, and prey (Salomon *et al.*  
313 2010; White and Samhuri 2011). This suggests that where and when “birth” occurs may be as,  
314 or more important, than birth rates (Hamilton *et al.* 2008).

315

316 Over the past decade, our understanding of spawner-recruit systems has been greatly  
317 improved by modeling efforts linking reproductive and dispersal processes (Rose *et al.* 1999;  
318 Werner *et al.* 2001; Cowen *et al.* 2006; Peck and Hufnagl 2012). The spatial scale of traditional  
319 stock assessments is that of the unit stock, with the biological definition based on reproductive  
320 isolation. Marine fish populations are typically assumed to be relatively open, with high levels  
321 of gene flow and stocks are consequently defined based on geographical features and managed  
322 at large spatial scales. In addition, it is assumed that within a stock there is complete mixing of  
323 individuals and that the population exhibits homogeneous vital rates (Cadrin and Secor 2009).  
324 However, recent studies suggest spatial structuring occurs at much smaller scales and that this  
325 population structure can play an important role in productivity (Levin 2006; Teacher *et al.* 2013;  
326 Stanley *et al.* 2013; D'Aloia *et al.* 2015). Dispersal models are allowing us to test hypotheses  
327 about connectivity processes at these more realistic time-space scales (Paris *et al.* 2002; Paris *et*  
328 *al.* 2005; Werner *et al.* 2007; Miller 2007; Peck and Hufnagl 2012; Karnauskas *et al.* 2013;  
329 Ospina-Alvarez *et al.* 2015), resulting in dynamics and stability properties unsuspected from  
330 models which assume homogeneous spawning populations (Hastings and Botsford 1999;  
331 Botsford *et al.* 2009; Alós *et al.* 2014; Ospina-Alvarez *et al.* 2015).

332  
333 Coupled bio-physical individual-based models (IBMs), used to simulate egg and larval dispersal  
334 and survivorship, combine a stochastic biological model with ocean circulation models (Cowen  
335 *et al.* 2000). Typically, they use a Lagrangian particle-tracking framework to address individual  
336 variability, and information on currents and environmental conditions from ocean circulation  
337 models to track large number of individuals through space and time (Paris *et al.* 2007). An  
338 example is the Connectivity Modeling System (CMS) which is a probabilistic, multi-scale model  
339 (Paris *et al.* 2013) with open-source code ([https://github.com/beatrixparis/connectivity-](https://github.com/beatrixparis/connectivity-modeling-system)  
340 [modeling-system](https://github.com/beatrixparis/connectivity-modeling-system)). The CMS couples an offline nested-grid technique to a stochastic Lagrangian  
341 framework where individual variability is introduced by drawing the biological attributes of  
342 individual larvae at random from a specified probability distribution of traits. The model  
343 generates an ensemble forecast or hindcast of the larvae's three dimensional trajectories,  
344 dispersal kernels, and transition probability matrices used for connectivity estimates.

345

346 One of the greatest remaining challenges for biophysical models is the need for data at the  
347 appropriate spatial and temporal scales. These data include: spatio-temporal distributions of  
348 spawning activity, egg and larval buoyancy, larval behavior, stage durations, validated  
349 hydrodynamic models at biologically-meaningful resolutions (Munguia-Vega *et al.* 2014), and  
350 better data on ecological context (e.g., prey and predator abundance). High resolution data on  
351 spatio-temporal reproductive behavior and how it may vary over time is often unavailable  
352 (Kough and Paris 2015), necessitating the assumption that reproductive behavior is static. This  
353 can lead to spurious results, given that circulation patterns and current regimes can differ on  
354 relatively short time scales (Vikebø *et al.* 2005). However, the need for this data is increasingly  
355 recognized (Erisman *et al.* 2012). Emerging methods to collect data on spawning include:  
356 passive acoustic surveys to map spawning sites of soniferous species (Walters *et al.* 2009) and  
357 acoustic telemetry to track fine scale reproductive behavior (DeCelles and Zemeckis 2013;  
358 Lowerre-Barbieri *et al.* 2016). High resolution data on spawning activity can also be gleaned  
359 from species for which the Daily Egg Production Method has been applied, predominantly small  
360 pelagics (Ospina-Alvarez *et al.* 2013). It is also increasingly available from fisheries independent  
361 surveys (Lowerre-Barbieri *et al.* 2014) and can be augmented by examining available fisheries  
362 dependent data (i.e., looking at peaks in CPUE with spawning season for aggregating species) or  
363 drawing on the local ecological knowledge of fishermen (Ames 2004; Murray *et al.* 2008).

364

### 365 *Spatially-explicit integrated life cycle models*

366 Although dispersal and settlement drive the fitness landscape in sedentary marine species, fish  
367 have the ability to select and move to a given spawning site (Paris *et al.* 2005; Fiksen *et al.*  
368 2007) leading to the need to integrate spatial components over the full life cycle (Cianelli *et al.*  
369 2015). Key spatial elements of an individual's life cycle (Fig. 2) include where an individual is  
370 spawned (i.e., the spawning site used by its parents), larval retention area, juvenile nursery  
371 habitat, adult feeding habitat, and where that individual spawns, which closes the life cycle and  
372 results in either philopatry or allopatry (Smedbol and Stephenson 2001). To assess population  
373 connectivity and its effect on reproductive resilience, it is necessary to assess dispersal

374 throughout the life cycle (Frisk *et al.* 2014). To do so necessitates combining sub-models into a  
375 single modeling framework (Plagányi and Butterworth 2004) to address environmental effects  
376 on larval drift, development and mortality rates, density-dependent effects in juveniles, and the  
377 population dynamics of the fished portion of the stock (Rochette *et al.* 2013). Such spatially-  
378 explicit end-to-end modeling frameworks have focused primarily on trophic dynamics (e.g.,  
379 Atlantis, OSMOSE, and Ecospace). However, there is growing awareness that linkages between  
380 energetics and reproductive success at the individual and population scales affect stock  
381 productivity (Giacomini *et al.* 2013; Politikos *et al.* 2015; Rose *et al.* 2015). For example, full life  
382 cycle models have been developed to assess spatial and environmental drivers of productivity  
383 but applied primarily to small pelagics (Bernal *et al.* 2011). This is because these species often  
384 have the needed data, as the daily egg production method is used to estimate SSB. However,  
385 the differing spawning site selection processes in small pelagics with many other exploited  
386 marine species, highlight the need to build these models for a wider range of species.

387  
388 As with biophysical models, the greatest bottleneck is often data collected at the necessary  
389 spatial and temporal scales and the need to ground-truth model predictions and develop  
390 outputs applicable to management decision rules (White *et al.* 2011). An additional challenge is  
391 field-testing results through individual-based studies of spatial ecology and fitness. To test the  
392 efficacy of marine protected areas (MPAs) there is the added need to evaluate larval  
393 connectivity amongst MPAs (White *et al.* 2014). However, with recent empirical gains in  
394 molecular assays and statistical analytics for parentage and other first-order (i.e., full- and half-  
395 sibling) genetic assignments, there has been great improvement to our ability to ground-truth  
396 dispersal models (Baums *et al.* 2006). These genetic techniques provide a direct means to  
397 quantify individual reproductive success, identify sources of recruits, and ground truth larval  
398 dispersal trajectories and dynamics (Manel *et al.* 2005; Harrison *et al.* 2012; Iacchei *et al.* 2013;  
399 Crossin *et al.* 2014).

400

401 **Fisheries management and reproductive resilience**

402 Fisheries management uses harvest control rules and measures (e.g., minimum size limits,  
403 closed seasons, closed areas, catch limits, etc.) based on biological reference points associated  
404 with the level of fishing at which there would be negative consequences (Marshall *et al.* 2003).  
405 Stock assessment models typically assume adult abundance drives recruitment, with density-  
406 dependent feedback loops driving productivity. However, our understanding is rapidly  
407 changing with the recognition that productivity is affected by more than density dependent  
408 compensation (Walters and Martell 2004; Francis *et al.* 2007), SSB and total egg production are  
409 relatively insensitive measures of stock reproductive potential (Kell *et al.* 2015), and effective  
410 breeding populations are significantly smaller than adult populations (Christie *et al.* 2010;  
411 Hogan *et al.* 2012). At the same time there is increased awareness of the role environment  
412 plays in annual recruitment (Szuwalski *et al.* 2015). If there is not a close relationship between  
413 adult abundance and recruitment for a given species, then the assumption that larger SSB  
414 results in greater sustainable yield is invalid and consequently, the single management target of  
415 maximum sustainable yield, predicated on this concept, is either inefficient or risky (Vert-pre *et*  
416 *al.* 2013).

417  
418 In this review we develop the concept of multi-dimensional spawner-recruit systems to better  
419 understand productivity and reproductive resilience in marine fish. Resilience refers to a  
420 system's ability to withstand disturbance while maintaining its essential functionality, structure,  
421 and feedback loops (Walker *et al.* 2004). Here, we propose the term reproductive resilience to  
422 refer to the capacity of a spawner-recruit system to adapt to changing conditions while  
423 maintaining long-term stability in abundance but not changing its key traits, such as  
424 reproductive timing or spawning site selection. Reproductive resilience is not limited to  
425 reproductive processes but rather encompasses all processes affecting offspring survival to  
426 reproductive age. As such, it is an expansion on the concept of compensatory reserve, the suite  
427 of density-dependent processes resulting in slowed population growth at high population  
428 densities and faster population growth at low densities (Rose *et al.*, 2001). Reproductive  
429 resilience is driven by a stock's spawner-recruit system and is the capacity of a population to  
430 maintain the level of reproductive success needed to result in long-term population stability

431 despite disturbances. In this system, reproductive success is affected by both density-  
432 dependent and fitness feedback loops, and ecological context (Fig. 3). Evolutionary processes  
433 determine a stock's inherent reproductive resilience, but ecological conditions determine the  
434 reproductive success of an individual. When the mortality environment of a stock significantly  
435 changes from that under which the species evolved, outcomes range along a continuum from  
436 easily reversed density-dependent adaptation to extinction of population components, or  
437 whole species. In the middle of this continuum are adaptations to alternate states (productivity  
438 regime shifts), resulting from either the fitness feedback loop (e.g., selection for earlier  
439 maturation), or changes in the ecological context affecting reproductive success. Depensation  
440 occurs when density dependent effects (in this case low density) cause changes in the  
441 ecological context, decreasing reproductive success. This negative feedback can be due to a  
442 number of processes, such as increased predation or decreased food availability, potentially  
443 associated with complex multi-level trophic dynamics dependent on large egg boons (Fuiman et  
444 al., 2015), loss of population connectivity, or decreased fertilization (Courchamp *et al.* 2008).  
445 Thus reproductive resilience of a stock will be dependent on the stock's sensitivity to  
446 disturbance and its adaptive capacity within a given ecological context.

447  
448 In the U.S., the Magnuson-Stevens Fishery Conservation and Management Act and the  
449 associated National Standard Guidelines require fishery management councils to avoid  
450 overfishing by specifying "acceptable biological catch" (ABC), which is typically based on an  
451 estimated over-fishing limit from the stock assessment (usually maximum sustainable yield or  
452 its proxy) and a "buffer" adjustment to decrease the probability of over-fishing given scientific  
453 uncertainty (Prager and Shertzer 2010). Spawner-recruit systems consist of multiple interacting  
454 traits which occur over varying demographic, spatial and temporal scales, with greater  
455 resilience associated with greater diversity or redundancies within traits. Because spawner-  
456 recruit systems evolved to ensure population persistence in an environment with variable  
457 mortality, unfished populations possess inherent buffers to disturbance. However, because  
458 they are fundamentally based on the concept of compensatory capacity, SRRs have traditionally  
459 only looked at one element of a spawner-recruit system, i.e. adult abundance, and thus may

460 not capture the system's built-in buffers nor how fishing may erode them or affect the fitness  
461 feedback loop. This conceptual model of spawner-recruit systems brings us closer to  
462 representing ecological processes than what has commonly been used in fisheries science and  
463 we believe can be used to better inform the development of reference points, as well as  
464 highlight the need for protection of non-traditional reproductive potential components.

465

#### 466 *Integrating spawner-recruit systems into the management framework*

467 So what are the steps needed to take this conceptual model and apply it to the assessment and  
468 management of marine fish stocks? First, we need greater scientific dialogue amongst fisheries  
469 ecologists, geneticists, early life history scientists, and stock assessment scientists. Each of  
470 these sub-groups work somewhat independently from the others and often speak a different  
471 language, or at least a different dialect. We also need to address the differing scales associated  
472 with ecological and management processes. For reproductive resilience, the generational time  
473 scale (i.e., reproductive lifespan) is what is important but operational management advice is  
474 usually provided at a shorter time scale, typically within a one to three-year time horizon.  
475 Fluctuations in year-class strength (i.e., the production of strong or weak year classes) take on  
476 differing importance at these temporal scales. Ecologically, they may simply be part of a  
477 spawner-recruit system's ability to maintain a stable population over the species' reproductive  
478 lifespan, but from a management perspective they affect short-term yield and stakeholder's  
479 perception of abundance. Similarly, protection of key traits affecting reproductive resilience  
480 may not be possible with the use of only traditional fisheries management measures such as  
481 annual catch limits. Spawner-recruit systems are species-specific with traits occurring over  
482 spatial, temporal, and demographic scales. Fortunately, there is growing awareness of this  
483 (SEDAR, 2015) and the need for incorporating non-traditional measures of reproductive  
484 potential in the stock assessment and fisheries management processes (Fig. 6).

485

486 Although aligning fishery management systems to better protect reproductive resilience seems  
487 daunting, it is already occurring. There is growing awareness that fishing is a selective force,  
488 stocks are not in equilibrium, and both longevity and maturity schedules may be impacted,

489 potentially changing a spawner-recruit system's structure and feedback loops. Because data  
490 poor stocks do not have estimates of SSB, methods developed to assess them, such as the  
491 productivity and susceptibility analysis (PSA) and evaluation of life history traits (ICES WKLIFE  
492 working group, ICES 2015), are paving the way for better integrating reproductive resilience  
493 into assessments. The PSA approach evaluates how stocks will be impacted by fishing with the  
494 assumption that the risk to a species depends on two characteristics: (1) the productivity of the  
495 unit, which will determine the rate at which the unit can sustain fishing pressure or recover  
496 from depletion or other impacts due to the fishery; and (2) the susceptibility of the unit to  
497 fishing activities (Patrick *et al.* 2010). This approach can easily be extended to include traits  
498 important to reproductive resilience which will affect both productivity and susceptibility as  
499 well as to develop a reproductive resilience index which can be used as a post-assessment  
500 scaler to help inform ABC harvest control rules. Such consideration of reproductive resilience in  
501 ABC determination broadens the current practice of considering PSA vulnerability scores  
502 (Carmichael and Fenske 2011). At the mechanistic level, technological advances are allowing us  
503 to assess reproductive resilience in ways which were not previously possible. However, our  
504 modeling and simulation capability is greatly out-pacing our available data, leading to a need  
505 for increased research on spawner-recruit systems and especially how they will function in this  
506 time of multiple disturbances, including climate change.

507

#### 508 *Measures of reproductive resilience with management applications*

509 There is growing evidence that long-term high exploitation rates truncate age distributions,  
510 with feedback loops affecting other life history traits, especially maturation schedules  
511 (Jørgensen *et al.* 2007; Heino *et al.* 2013). The long-term effect of these changes is a potential  
512 decrease in a stock's resilience to other disturbances (Hsieh *et al.* 2008). Reproductive value is  
513 hypothesized to increase with size and age in exploited marine fish (Hixon *et al.* 2014) due to  
514 increased annual fecundity, increased spawning events in multiple batch spawners (Fitzhugh *et al.*  
515 *et al.* 2012; Cooper *et al.* 2013), differing spatio-temporal reproductive behavior (Scott *et al.* 2006;  
516 Anderson *et al.* 2008; Wright and Trippel 2009), and differing energy reserves (Jørgensen *et al.*  
517 2006; Rideout and Tomkiewicz 2011), which impact egg and larval quality (Kamler 2005). The

518 result is that a stock's age distribution plays an important role in sustainability and recovery,  
519 with "fishing down of age classes' and loss of spawning potential due to excess fleet capacity  
520 probably the main cause of many stock collapses" (Caddy and Agnew, 2004).

521  
522 Fisheries selection often contradicts natural selection, with the prevalence of length-based  
523 fisheries management creating trade-offs between conservation and maximizing yield (Gwinn  
524 et al., 2015). This could be improved by integrating into our stock assessment process a way to  
525 quantify erosion of reproductive lifespan to better inform the development of limit and target  
526 reference points needed for setting short- and long-term management goals (i.e., evaluating  
527 the trade-offs between the long-term goal of protecting generations versus maximizing short-  
528 term yield from strong year classes). Reproductive lifespan is an important component of  
529 reproductive resilience, as it determines the time frame over which an individual fish can  
530 potentially spawn. It is calculated based on age at maturity and maximum observed age, and  
531 point estimates can be used to assess reproductive resilience erosion based on parameters  
532 already collected for stock assessments (Secor *et al.* 2015; Lowerre-Barbieri *et al.* 2015). For  
533 example, by calculating the ratio of current to potential reproductive lifespan, as well as the  
534 effective reproductive lifespan based on the 90th percentile of the sampled age distribution  
535 (Lowerre-Barbieri *et al.* 2015). This ratio reflects the proportion of a species' reproductive  
536 lifespan lost due to fishing and can be used to identify the age distribution supporting a given  
537 SPR. This is especially important for both short-lived opportunistic fish and long-lived episodic  
538 species (Kindsvater et al., 2016), as they are the most vulnerable to reproductive lifespan  
539 erosion, although for different reasons. In short-lived species, fishing has the potential to  
540 virtually wipe out the reproductive lifespan, while long-lived species evolved to have many  
541 years to reproduce successfully.

542  
543 Changes in reproductive lifespan will affect other traits in a spawner-recruit system, the most-  
544 documented of these being maturity. However, for many exploited species we do not yet track  
545 changes in maturity schedules to assess if overfishing is causing earlier maturation (Fig. 7), as  
546 documented for some species (Jørgensen *et al.* 2007; Audzijonyte *et al.* 2013; Marty *et al.*

547 2014). Traditionally, maturity has been assumed to be invariant, and stocks with earlier  
548 maturation assumed to be more resilient to fishing. However, earlier maturation due to  
549 adaptive change rather than as an inherent spawner-recruit trait, is expected to in turn affect  
550 other life history traits, especially growth. Decreased growth with earlier maturation can be  
551 due to individual physiological tradeoffs, i.e., energy allocated earlier to reproduction would  
552 mean less energy left over for growth (Stearns 1992, see also the Dynamic Energy Budget  
553 framework, Koojiman 2010). Or decreased growth could be selected for due to the fitness  
554 feedback loop (Alós *et al.* 2014). If slower growth is selected for, this change in productivity  
555 would not be easily reversed (Law and Grey 1989; Kraak 2007; Thorpe 2007).

556  
557 By developing a reproductive resilience index we can begin to move from two-dimensional to  
558 multi-dimensional spawner-recruit systems and more fully assess a stock's sensitivity and  
559 adaptive capacity to a given disturbance. A first simplistic step is to build on the PSA approach  
560 and categorize a stock's spawner-recruit system traits in terms of diversity and/or relationship  
561 to resilience. For highly fecund species with no parental care, we can identify key demographic,  
562 spatial and temporal spawner-recruit traits such as: potential reproductive lifespan, annual  
563 breeding events, spawning density and diversity, larval dispersal distance, and recruitment  
564 variability. Radar graphs can be used to map the system's resilience based on its traits, with  
565 larger areas conveying greater resilience (Fig. 4). In this example greater resilience (10 being  
566 the highest score) is associated with greater diversity in the system and intermediate  
567 reproductive lifespans are considered the most resilient (Winemiller and Rose 1992). Although  
568 fully operationalizing how to integrate reproductive resilience into stock assessments is beyond  
569 the scope of this paper, we present this simplistic example to: (1) demonstrate the variability in  
570 species' reproductive resilience; (2) highlight non-traditional components of reproductive  
571 potential; and (3) identify data gaps which will need to be filled before we can fully assess a  
572 species' reproductive resilience and how it drives susceptibility to fishing and other  
573 disturbances.

574

575 *Reproductive resilience and climate change*

576 Many may say that consideration of broader spawner-recruit systems is unnecessary, because  
577 the traditional system has worked relatively well for the past fifty years. But the next fifty years  
578 are expected to be quite different, as climate change profoundly affects marine ecosystems  
579 (Sydeman *et al.* 2015) and stock productivity (Hare *et al.* 2016). Coastal oceanography is  
580 predicted to change in the coming decades in terms of sea level rise, storm regimes,  
581 temperature and pH (Meehl *et al.* 2005), which may impact productivity and reproductive  
582 resilience. Life cycle habitat connectivity will also be impacted by these changes and  
583 consequently affect the ability of individuals to mature at the appropriate time and return to  
584 spawning grounds to reproduce successfully (Sinclair and Iles 1988; Rijnsdorp *et al.* 2009). The  
585 projected increase in both equator-ward transport and coastal upwelling along the coast of  
586 South America from Peru to Chile (Aiken *et al.* 2011), and an increase in the frequency of  
587 extreme Eastern Pacific ENSO events (Cai *et al.* 2014) are expected to modify dispersal  
588 pathways and resulting survivorship (Aiken *et al.* 2011). Similarly, increases in water  
589 temperature will impact dispersal and connectivity via changes in reproductive phenology (Fig.  
590 8), faster developmental rates, changes in larval swimming speeds and changes in predator and  
591 prey encounter rates (Asch 2015; Pörtner and Peck 2010; Lett *et al.* 2010). For example  
592 O'Connor *et al.* (2007) demonstrated a negative relationship between temperature and PLDs  
593 across 69 marine species, with the expectation this will lead to changes in population spatial  
594 connectivity (Munday *et al.* 2008; Gerber *et al.* 2014).

595  
596 It is unknown how climate change will affect species with high spawning site fidelity, where  
597 individuals return each year to spawn at the same sites. Natal homing allows fish to release  
598 offspring in the same location and presumed set of environmental conditions as those of the  
599 parent, resulting in a spatial component of fitness (Ciannelli *et al.* 2015). Spawning can persist  
600 at the same sites for many years, even decades (Colin 1996; Domeier and Colin 1997) and there  
601 is also good evidence in several species that social learning and tradition play a role in the  
602 repeated use of the same sites for spawning, with younger fish learning to use and find sites  
603 from older, experienced fish (e.g. bluehead wrasse (*Thalassoma bifasciatum*, Labridae) Warner  
604 1988; 1990; Atlantic cod (*Gadus morhua*, Gadidae) Rose 1993; European plaice (*Pleuronectes*

605 *platessa*, Pleuronectidae) Arnold *et al.* 1994; brown surgeonfish (*Acanthurus nigrofuscus*,  
606 Acanthuridae) Mazeroll and Montgomery 1998). Although the fitness benefits in spawning site  
607 fidelity not connected with natal homing are less clear, birth site may still influence spawning  
608 site selection in less direct ways and thus confer increased fitness. A disruption of factors  
609 associated with spawning site selection and fidelity will clearly affect future productivity.  
610 However, we do not yet have a mechanistic understanding of these processes, highlighting the  
611 need for additional research on spawning site selection and fidelity at both the population and  
612 individual scales (Lowerre-Barbieri *et al.* 2013; Donahue *et al.* 2015). We also need greater  
613 application of genetic analyses, given that estimates of effective breeding population size show  
614 potential as proxies for delineating neighborhood sizes (i.e. mean single-generation dispersal  
615 distances) and spatially explicit breeding densities (Neel *et al.* 2013) and thus the ability to track  
616 effects of climate change on phenology and reproductive success over time.

617

### 618 **Conclusions and recommendations**

619 Factors affecting reproductive success and resilience in marine exploited fish are extremely  
620 complex, poorly understood, and not all can be managed. Assessment practices based on SSB  
621 to recruitment relationships and steepness are strongly based on the assumption of density-  
622 dependent population growth, i.e., compensatory capacity, and do not capture important traits  
623 impacting reproductive resilience. But does this matter, i.e., do the benefits of a better  
624 understanding of reproductive resilience and its integration into the stock assessment process  
625 outweigh the costs and competition with other areas of emerging fisheries science? We think  
626 so, particularly in light of the uncertainty in how climate change may affect productivity.

627 Similar to the push to move beyond the von Bertalanffy growth equation to understand trophic  
628 dynamics, we need to move beyond the intrinsic population growth equation to understand  
629 drivers of transgenerational productivity.

630

631 We recognize there are limited resources and many stock assessment demands and so organize  
632 our recommendations along a continuum from using existing data in new ways to improving  
633 our mechanistic understanding of reproductive resilience. Because maturity and maximum age

634 are part of many highly developed stock assessment processes, estimating reproductive  
635 lifespan erosion and evaluating if maturity schedules change over time should be relatively  
636 easy. In addition, many reproductive studies have the data needed to begin to quantify  
637 spawning site diversity and density (Lowerre-Barbieri et al. 2015), but these data often go  
638 unreported. Comparative and meta-analytical studies of large databases (e.g., Ricard et al.  
639 2012) can be used to assess recruitment variability for a wide range of species, by calculating an  
640 index based on the variability seen in annual recruitment per unit of SSB over the potential  
641 reproductive lifespan. Through this we can assess the similarities and differences in patterns of  
642 recruitment variability across species, highlighting those most sensitive to environmental  
643 conditions. In addition, we can then evaluate if there are specific spawner-recruit traits which  
644 result in greater recruitment variability. These combined analyses should help provide the data  
645 needed to calculate reproductive resilience indices. To improve our mechanistic understanding  
646 of spawner-recruit systems and reproductive resilience, we need to fund field work applying  
647 novel approaches to track processes over multiple generations and to evaluate if early life  
648 history conditions can have transgenerational inheritance effects, as seen in other species  
649 (Naguib and Gil, 2005). Lastly, simulation modeling or management strategy evaluations with  
650 operating models which integrated more ecologically realistic reproductive success processes  
651 could greatly improve our understanding of species-specific reproductive resilience in this time  
652 of climate change.

653

#### 654 **Acknowledgements**

655 We are grateful to PEW, SCRFA, ICES, and the international AFS section for providing funding for  
656 international travel to attend the symposium, entitled, **Reproductive Behavior and**  
657 **Recruitment in Marine Fish: Emerging Understanding and Future Needs**, which was held at the  
658 American Fisheries Society meeting in Quebec, Canada in 2014. We thank all participants in the  
659 symposium for helping to inform our understanding of spawner-recruit systems. In addition,  
660 we thank Luiz Barbieri, Jeff Leis, Mike Sinclair and two anonymous reviewers for sharing their  
661 expertise and providing helpful reviews which improved this paper. Reviewer #1, thanks for

662 making us clarify the dreaded “take home message”. Lastly, we thank each of our institutions  
663 for their financial support throughout this collaborative project.

664

665 References

666

667 Aiken, C.M. and Navarrete, S.A. (2011) Environmental fluctuations and asymmetrical -dispersal:  
668 generalized stability theory for studying metapopulation persistence and  
669 marine protected areas. *Marine Ecology Progress Series* **428**, 77–88.

670 Aiken, C.M., Navarrete, S.A. and Pelegrí, J.L. (2011) Potential changes in larval dispersal and  
671 alongshore connectivity on the central Chilean coast due to an altered wind climate.  
672 *Journal of Geophysical Research* **116**, G04026.

673 Alós, J., Cabanellas-Reboredo, M., Lowerre-Barbieri, S. 2012. Diel behaviour and habitat  
674 utilisation by the pearly razorfish during the spawning season. *Marine Ecology Progress*  
675 *Series* **460**, 207–220.

676 Alós, J., Palmer, M., Catalán, I.A., et al. (2014) Selective exploitation of spatially structured  
677 coastal fish populations by recreational anglers may lead to evolutionary downsizing of  
678 adults. *Marine Ecology Progress Series* **503**, 219–233.

679 Ames, E.P. (2004) Atlantic cod stock structure in the Gulf of Maine. *Fisheries* **29**, 10–28.

680 Anderson, C.N.K., Hsieh, C.-H., Sandin, S.A., et al. (2008) Why fishing magnifies fluctuations in  
681 fish abundance. *Nature* **452**, 835–839.

682 Anderson, S.C., Moore, J.W., McClure, M.M., Dulvy, N.K., Cooper, A.B. (2015) Portfolio  
683 conservation of metapopulations under climate change. *Ecological Applications* **25**, 559-  
684 572.

685 Archambault, B., Le Pape, O., Baulier, L., Vermard, Y., Véron, M. and Rivot, E., 2016. Adult-  
686 mediated connectivity affects inferences on population dynamics and stock assessment of  
687 nursery-dependent fish populations. *Fisheries Research*, **181**, pp.198-213.

688

689 Arnold, G.P., Walker, M.G., Emerson, L.S. and Holford, B.H. (1994) Movements of cod (*Gadus*  
690 *morhua* L.) in relation to the tidal streams in the southern North Sea. *ICES Journal of Marine*

- 691 *Science* **51**, 207–232.
- 692 Asch, R.G. (2013) *Interannual-to-Decadal Changes in Phytoplankton Phenology, Fish Spawning*  
693 *Habitat, and Larval Fish Phenology*. PhD thesis, University of California, 287 pages.
- 694 Asch, R.G. and Checkley, D.M. (2013) Dynamic height: A key variable for identifying the  
695 spawning habitat of small pelagic fishes. *Deep Sea Research Part I: Oceanographic Research*  
696 *Papers* **71**, 79–91.
- 697 Asch, R.G. (2015) Climate change and decadal shifts in the phenology of larval fishes in the  
698 California Current ecosystem. *Proceedings of the National Academy of Sciences of the*  
699 *United States of America* **112**, E4065–E4074.
- 700 Alós, J., Cabanellas-Reboredo, M. and Lowerre-Barbieri, S. (2012) Diel behaviour and habitat  
701 utilisation by the pearly razorfish during the spawning season. *Marine Ecology Progress*  
702 *Series* **460**, 207–220.
- 703 Audzijonyte, A., Kuparinen, A. and Fulton, E.A. (2013) How fast is fisheries-induced evolution?  
704 Quantitative analysis of modelling and empirical studies. *Evolutionary Applications* **6**, 585–  
705 595.
- 706 Bailey, K.M., Abookire, A.A. and Duffy-Anderson, J.T. (2008) Ocean transport paths for the early  
707 life history stages of offshore-spawning flatfishes a case study in the Gulf of Alaska. *Fish and*  
708 *Fisheries* **9**, 44–66.
- 709 Bakun, A. (1996) *Patterns in the Ocean: Ocean Processes and Marine Population Dynamics*.  
710 University of California Sea Grant, in cooperation with Centro de Investigaciones Biológicas  
711 de Noroeste, La Paz, Baja California Sur, Mexico.
- 712 Baums, I.B., Paris, C.B. and Cherubin, L., 2006. A bio-oceanographic filter to larval dispersal in a  
713 reef-building coral. *Limnology and Oceanography* **51**(5), pp.1969-1981
- 714 Beaugrand, G., Brander, K.M., Alistair Lindley, J., Souissi, S. and Reid, P.C. (2003) Plankton effect  
715 on cod recruitment in the North Sea. *Nature* **426**, 661–664.
- 716 Bergenius, M.A., Meekan, M.G., Robertson, R.D. and McCormick, M.I. (2002) Larval growth  
717 predicts the recruitment success of a coral reef fish. *Oecologia* **131**, 521–525.
- 718 Berkeley, S.A., Hixon, M.A., Larson, R.J. and Love, M.S. (2004) Fisheries sustainability via  
719 protection of age structure and spatial distribution of fish populations. *Fisheries* **29**, 23–32.

- 720 Bernal, M., Stratoudakis, Y., Wood, S.N., Ibaibarriaga, L., Valdes, L. and Borchers, D. (2011) A  
721 revision of daily egg production estimation methods, with application to Atlanto-Iberian  
722 sardine. 2. Spatially and environmentally explicit estimates of egg production. *ICES Journal*  
723 *of Marine Science* **68**, 528–536.
- 724 Beverton, R.J.H. and Holt, S.J. (1957) *On the Dynamics of Exploited Fish Populations*, (Vol. 19).  
725 Great Britain, Ministry of Agriculture, Fisheries, and Food, London.
- 726 Beverton, R.J.H., Cooke, J.G., Policansky, D.J., et al. (1984) Dynamics of Single Species. In:  
727 *Exploitation of Marine Communities: Report of the Dahlem Workshop on Exploitation of*  
728 *Marine Communities Berlin 1984, April 1–6.* (ed R.M. May). Springer, Berlin, Heidelberg, pp  
729 13–58.
- 730 Boehlert, G.W. and Mundy, B.C. (1988) Roles of behavioral and physical factors in larval and  
731 juvenile fish recruitment to estuarine nursery areas. *American Fisheries Society Symposium*  
732 **3**, 51–67.
- 733 Botsford, L.W., Hastings, A. and Gaines, S.D. (2001) Dependence of sustainability on the  
734 configuration of marine reserves and larval dispersal distance. *Ecology Letters* **4**, 144–150.
- 735 Botsford, L.W., White, J.W., Coffroth, M.A., et al. (2009) Connectivity and resilience of coral reef  
736 metapopulations in marine protected areas: matching empirical efforts to predictive needs.  
737 *Coral Reefs* **28**, 327–337.
- 738 Brodziak, J., Mangel, M. and Sun, C.-L. (2015) Stock-recruitment resilience of North Pacific  
739 striped marlin based on reproductive ecology. *Fisheries Research* **166**, 140–150.
- 740 Brown, E.D. and Williams, B.K. (2015) Resilience and resource management. *Environmental*  
741 *Management* **56**, 1416–1427.
- 742 Caddy, J.F., Agnew, D.J. (2004) An overview of recent global experience with recovery plans for  
743 depleted marine resources and suggested guidelines for recovery planning. *Reviews in*  
744 *Fish Biology and Fisheries* **14**, 43–112.
- 745 Cadrin, S.X. and Secor, D.H. (2009) Accounting for spatial population structure in stock  
746 assessment: Past, present, and future. *Fish and Fisheries* **31**, 405–426.
- 747 Cadrin, S.X. and Dickey-Collas, M. (2015) Stock assessment methods for sustainable fisheries.  
748 *ICES Journal of Marine Science* **72**, 1–6.

- 749 Cai, W., Borlace, S., Lengaigne, M., et al. (2014) Increasing frequency of extreme El Niño events  
750 due to greenhouse warming. *Nature Climate Change* **4**, 111–116.
- 751 Carmichael, J. and Fenske, K. (editors). 2011. Third National Meeting of the Regional Fisheries  
752 Management Councils' Scientific and Statistical Committees. Report of a National SSC  
753 Workshop on ABC Control Rule Implementation and Peer Review Procedures. South  
754 Atlantic Fishery Management Council, Charleston, October 19-21, 2010.
- 755 Carr, M.H. and Hixon, M.A. (1995) Predation effects on early post-settlement survivorship of  
756 coral-reef fishes. *Marine Ecology Progress Series* **124**, 31–42.
- 757 Castonguay, M., Plourde, S., Robert, D., Runge, J.A. and Fortier, L. (2008) Copepod production  
758 drives recruitment in a marine fish. *Canadian Journal of Fisheries and Aquatic Sciences* **65**,  
759 1528–1531.
- 760 Cermeño, P., Quilez-Badía, G., Ospina-Alvarez, A., et al. (2015) Electronic tagging of Atlantic  
761 Bluefin tuna (*Thunnus thynnus*, l.) reveals habitat use and behaviors in the Mediterranean  
762 Sea. *PLoS One* **10**, e0116638.
- 763 Checkley, D.M., Raman, S., Maillet, G.L. and Mason, K.M. (1988) Winter storm effects on the  
764 spawning and larval drift of a pelagic fish. *Nature* **335**, 346–348.
- 765 Christie, M.R., Tissot, B.N., Albins, M.A., et al. (2010) Larval connectivity in an effective network  
766 of marine protected areas. *PLoS One* **5**, e15715.
- 767 Ciannelli, L., Bailey, K. and Olsen, E.M. (2015) Evolutionary and ecological constraints of fish  
768 spawning habitats. *ICES Journal of Marine Science* **72**, 285–296.
- 769 Clark, G.W. (1993) The effect of recruitment variability on the choice of a target level of  
770 spawning biomass per recruit. In: Proceedings of the International Symposium on  
771 Management Strategies for Exploited Fish Populations. (eds G. Kruse, D.M. Engers, R.J.  
772 Marasco, C. Pautzke and T.J.I. Quinn). University of Alaska, Alaska Sea Grant Report No. 93-  
773 02, Fairbanks, Alaska, pp 233–246.
- 774 Clutton-Brock, T., Sheldon, B.C. (2010) Individuals and populations: the role of long-term,  
775 individual-based studies of animals in ecology and evolutionary biology. *Trends Ecol Evol*  
776 **25**, 562-573.
- 777 Colin, P.L. (1996) Longevity of some coral reef fish spawning aggregations. *Copeia* **1996**, 189–

778 192.

779 Cooper, W.T., Barbieri, L.R., Murphy, M.D., Lowerre-Barbieri, S.K. (2013) Assessing stock  
780 reproductive potential in species with indeterminate fecundity: Effects of age truncation  
781 and size-dependent reproductive timing. *Fisheries Research* **138**, 31-41.

782 Courchamp, F., Berec, L., Gascoigne, J. (2008) Allee effects in ecology and conservation.  
783 *Environmental Conservation* **36**, 80-85.

784 Cowen, R.K., Lwiza, K.M., Sponaugle, S., Paris, C.B. and Olson, D.B., 2000. Connectivity of marine  
785 populations: open or closed?. *Science*, 287(5454), pp.857-859.

786 Cowen, R.K., Paris, C.B. and Srinivasan, A. (2006) Scaling of connectivity in marine populations.  
787 *Science* **311**, 522–527.

788 Crossin, G.T., Cooke, S.J., Goldbogen, J.A. and Phillips, R.A. (2014) Tracking fitness in marine  
789 vertebrates: current knowledge and opportunities for future research. *Marine Ecology*  
790 *Progress Series* **496**, 1–17.

791 Cushing, D.H. (1975) *Marine Ecology and Fisheries*. Cambridge University Press, Cambridge.

792 Cushing, D.H. (1990) Plankton production and year-class strength in fish populations: An update  
793 of the match/mismatch hypothesis. *Advances in Marine Biology* **26**, 249–293.

794 D’Aloia, C.C., Bogdanowicz, S.M., Francis, R.K., Majoris, J.E., Harrison, R.G. and Buston, P.M.,  
795 2015. Patterns, causes, and consequences of marine larval dispersal. *Proceedings of the*  
796 *National Academy of Sciences*, 112(45), pp.13940-13945.

797 DeCelles, G. and Zemeckis, D. (2013) Acoustic and Radio Telemetry. In: *Stock Identification*  
798 *Methods: Applications in Fishery Science*. (eds S.X. Cadrin, L.A. Kerr and S. Mariani), 2nd  
799 edn. Elsevier, Amsterdam, pp 397–428.

800 Domeier, M.L. and Colin, P.L. (1997) Tropical reef fish spawning aggregations: Defined and  
801 reviewed. *Bulletin of Marine Science* **60**, 698–726.

802 Donahue, M.J., Karnauskas, M., Toews, C. and Paris, C.B. (2015) Location isn’t everything:  
803 Timing of spawning aggregations optimizes larval replenishment. *PLoS One* **10**, e0130694.

804 Drouineau, H., Mahevas, S., Bertignac, M. and Duplisea, D. (2010) A length-structured spatially  
805 explicit model for estimating hake growth and migration rates. *ICES Journal of Marine*  
806 *Science* **67**, 1697–1709.

- 807 Dunlop, E.S., Eikeset, A.M., Stenseth, N.C. (2015) From genes to populations: how fisheries-  
808 induced evolution alters stock productivity. *Ecological Applications* **25**, 1860-1868.
- 809 Erisman, B., Aburto-Oropeza, O., Gonzalez-Abraham, C., Mascareñas-Osorio, I., Moreno-Báez,  
810 M. and Hastings, P.A. (2012) Spatio-temporal dynamics of a fish spawning aggregation and  
811 its fishery in the Gulf of California. *Scientific Reports* **2**, 284 EP —284.
- 812 Erisman, BE, Petersen CW, Hastings PA, Warner RR. 2013. Phylogenetic perspectives on the  
813 evolution of functional hermaphroditism in teleost fishes. *Integrative and Comparative*  
814 *Biology*. 53:736-754.
- 815 Erisman, B., Heyman, W., Kobara, S., Ezer, T., Pittman, S., Aburto-Oropeza, O. and Nemeth, R.S.  
816 (2015) Fish spawning aggregations: where well-placed management actions can yield big  
817 benefits for fisheries and conservation. *Fish and Fisheries*, doi: 10.1111–faf.12132.
- 818 Figge, F. (2004) Bio-folio: applying portfolio theory to biodiversity. *Biodiversity and*  
819 *Conservation* **13**, 827-849.
- 820 Fiksen, Ø., Jørgensen, C., Kristiansen, T., Vikebø, F., Huse, G. (2007) Linking behavioural ecology  
821 and oceanography: larval behaviour determines growth, mortality and dispersal. *Marine*  
822 *Ecology Progress Series* **347**, 195-205.
- 823 Fitzhugh, G., Koenig, C., Coleman, F., Grimes, C., Wilton Sturges, III. (2005) Spatial and temporal  
824 patterns in fertilization and settlement of young gag (*Mycteroperca microlepis*) along  
825 the west Florida shelf. *Bulletin of Marine Science* **77**, 377-396.
- 826 Fitzhugh, G.R., Shertzer, K.W., Kellison, G.T. and Wyanski, D.M. (2012) Review of size- and age-  
827 dependence in batch spawning: implications for stock assessment of fish species exhibiting  
828 indeterminate fecundity. *Fishery Bulletin* **110**, 413–425.
- 829 Folke, C. (2006) Resilience: The emergence of a perspective for social–ecological systems  
830 analyses. *Global Environmental Change* **16**, 253–267.
- 831 Fortin, N.L., Pekins, P.J., Gustafson, K.A. (2015) Productivity measures of white-tailed deer in  
832 New Hampshire: Assessing reduced recruitment. *Wildlife Society Bulletin* **39**, 56-64.
- 833 Francis, R.C., Hixon, M.A., Clarke, M.E., Murawski, S.A. and Ralston, S. (2007) Ten  
834 commandments for Ecosystem-Based Fisheries scientists. *Fisheries* **32**, 217–233.
- 835 Frank, K.T. and Brickman, D. (2001) Contemporary management issues confronting fisheries

- 836 science. *Journal of Sea Research* **45**, 173–187.
- 837 Frisk, M.G., Jordaan, A. and Miller, T.J. (2014) Moving beyond the current paradigm in marine  
838 population connectivity: Are adults the missing link? *Fish and Fisheries* **15**, 242–254.
- 839 Fromentin, J.-M., Bonhommeau, S., Arrizabalaga, H. and Kell, L.T. (2014) The spectre of  
840 uncertainty in management of exploited fish stocks: The illustrative case of Atlantic bluefin  
841 tuna. *Marine Policy* **47**, 8–14.
- 842 Fuiman, L.A., Connelly, T.L., Lowerre-Barbieri, S.K. and McClelland, J.W. (2015) Egg boons:  
843 Central components of marine fatty acid food webs. *Ecology* **96**, 362–372.
- 844 Gabriel, W.L., Sissenwine, M.P. and Overholtz, W.J. (1989) Analysis of spawning stock biomass  
845 per recruit: An example for Georges Bank haddock. *North American Journal of Fisheries*  
846 *Management* **9**, 383–391.
- 847 Garcia-Gonzalez, F., Yasui, Y., Evans, J.P. (2015) Mating portfolios: bet-hedging, sexual selection  
848 and female multiple mating. *Proceedings of the Royal Society of London B: Biological*  
849 *Sciences* **282**, 20141525.
- 850 Garrod, D.J. and Horwood, J.W. (1984) Reproductive Strategies and the Response to  
851 Exploitation. In: *Fish Reproduction: Strategies and Tactics*. (eds G.W. Potts and R.J.  
852 Wootton). Academic Press, New York, pp 367–384.
- 853 Gerber, L.R., Mancha-Cisneros, M. and O'Connor, M.I. (2014) Climate change impacts on  
854 connectivity in the ocean: Implications for conservation. *Ecosphere* **5**, 1–18.
- 855 Giacomini, H.C., Shuter, B.J. and Lester, N.P. (2013) Predator bioenergetics and the prey size  
856 spectrum: Do foraging costs determine fish production? *Journal of Theoretical Biology* **332**,  
857 249–260.
- 858 Gibson, R.N. (1994) Impact of habitat quality and quantity on the recruitment of juvenile  
859 flatfishes. *Netherlands Journal of Sea Research* **32**, 191–206.
- 860 Glaser, S.M., Fogarty, M.J., Liu, H., et al. (2014) Complex dynamics may limit prediction in  
861 marine fisheries. *Fish and Fisheries* **15**, 616–633.
- 862 Goodyear, C.P. (1993) Spawning stock biomass per recruit in fisheries management: foundation  
863 and current use. *Canadian Special Publication of Fisheries and Aquatic Sciences* **120**, 67–82.

- 864 Gwinn, D.C., Allen, M.S., Johnston, F.D., Brown, P., Todd, C.R., Arlinghaus, R. (2015) Rethinking  
865 length-based fisheries regulations: the value of protecting old and large fish with harvest  
866 slots. *Fish and Fisheries* **16**, 259-281.
- 867 Hamilton, S. L., Regetz, J., & Warner, R. R. (2008). Postsettlement survival linked to larval life in  
868 a marine fish. *Proceedings of the National Academy of Sciences*, *105*(5), 1561-1566.
- 869 Hare, J.A., Morrison, W.E., Nelson, M.W., et al. (2016) A vulnerability assessment of fish and  
870 invertebrates to climate change on the northeast U.S. continental shelf. *PLoS ONE* *11*,  
871 e0146756.
- 872 Harrison, H.B., Williamson, D.H., Evans, R.D., et al. (2012) Larval export from marine reserves  
873 and the recruitment benefit for fish and fisheries. *Current Biology* **22**, 1023–1028.
- 874 Hastings, A. and Botsford, L.W. (1999) Equivalence in yield from marine reserves and traditional  
875 fisheries management. *Science* **284**, 1537–1538.
- 876 Hastings, A. and Botsford, L.W. (2006) Persistence of spatial populations depends on returning  
877 home. *Proceedings of the National Academy of Sciences of the United States of America*  
878 **103**, 6067–6072.
- 879 Hedgecock, D. (1994) Temporal and spatial genetic structure of marine animal populations in  
880 the California Current. *California Cooperative Oceanic Fisheries* **35**, 73–81.
- 881 Heino, M., Baulier, L., Boukal, D.S., et al. (2013) Can fisheries-induced evolution shift reference  
882 points for fisheries management? *ICES Journal of Marine Science* **70**, 707–721.
- 883 Hilborn, R. and Walters, C.J. (1992) *Quantitative Fisheries Stock Assessment: Choice, Dynamics*  
884 *and Uncertainty*. Chapman and Hall, London.
- 885 Hilborn, R., T. P. Quinn, D. E. Schindler, and D. E. Rogers. 2003. Biocomplexity and fisheries  
886 sustainability. *Proceedings of the National Academy of Sciences of the United States of*  
887 *America* *100*:6564-6568.
- 888 Hinrichsen, H., Lehmann, A., Mollmann, C. and Schmidt, J.O. (2003) Dependency of larval fish  
889 survival on retention/dispersion in food limited environments: the Baltic Sea as a case  
890 study. *Fisheries Oceanography* **12**, 425–433.
- 891 Hixon, M.A., Johnson, D.W. and Sogard, S.M. (2014) BOFFFFs: on the importance of conserving  
892 old-growth age structure in fishery populations. *ICES Journal of Marine Science* **71**, 2171–

893 2185.

894 Hjort, J. (1914) Fluctuations in the great fisheries of northern Europe, viewed in the light of  
895 biological research. *Rapports et proces-verbaux, Conseil permanent international pour l'ex-*  
896 *ploration de la mer Cooperative Research Report* **20**, 1–228.

897 Hogan, J.D., Thiessen, R.J., Sale, P.F. and Heath, D.D. (2012) Local retention, dispersal and  
898 fluctuating connectivity among populations of a coral reef fish. *Oecologia* **168**, 61–71.

899 Hsieh, C.-H., Reiss, C.S., Hewitt, R.P. and Sugihara, G. (2008) Spatial analysis shows that fishing  
900 enhances the climatic sensitivity of marine fishes. *Canadian Journal of Fisheries and Aquatic*  
901 *Sciences* **65**, 947–961.

902 Hunsicker, M.E., Kappel, C.V., Selkoe, K.A., *et al.* (2016) Characterizing driver–response  
903 relationships in marine pelagic ecosystems for improved ocean management. *Ecological*  
904 *Applications* **26**, 651–663.

905 Iacchei, M., Ben-Horin, T., Selkoe, K.A., Bird, C.E., García-Rodríguez, F.J. and Toonen, R.J. (2013)  
906 Combined analyses of kinship and FST suggest potential drivers of chaotic genetic  
907 patchiness in high gene-flow populations. *Molecular Ecology* **22**, 3476–3494.

908 ICES. (2015) Report of the Fifth Workshop on the Development of Quantitative Assessment  
909 Methodologies based on Life-history Traits, Exploitation Characteristics and other Relevant  
910 Parameters for Data-limited Stocks (WKLIFE V), 5–9 October 2015, Lisbon, Portugal. ICES  
911 CM 2015/ACOM:56. 157 pp.

912 Iles, T.D. and Sinclair, M. (1982) Atlantic herring: Stock discreteness and abundance. *Science*  
913 **215**, 627–633.

914 Ims, R.A. (1990) On the adaptive value of reproductive synchrony as a predator-swamping  
915 strategy. *The American Naturalist* **136**, 485–498.

916 Iwasa, Y. and Roughgarden, J. (1986) Interspecific competition among metapopulations with  
917 space-limited subpopulations. *Theoretical Population Biology* **30**, 194–214.

918 Jager, H.J., Rose, K.A. and Vila-Gispert, A. (2008) Life history correlates and extinction risk of  
919 capital-breeding fishes. *Hydrobiologia* **602**, 15–25.

920 Jørgensen, C., Ernande, B., Fiksen, Ø. and Dieckmann, U. (2006) The logic of skipped spawning  
921 in fish. *Canadian Journal of Fisheries and Aquatic Sciences* **63**, 200–211.

- 922 Jørgensen, C., Enberg, K., Dunlop, E.S., et al. (2007) Managing evolving fish stocks. *Science* **318**,  
923 1247–1248.
- 924 Kamler, E. (2005) Parent–egg–progeny relationships in teleost fishes: An energetics perspective.  
925 *Reviews in Fish Biology and Fisheries* **15**, 399–421.
- 926 Karnauskas, M., Paris, C.B., Zapfe, G., Grüss, A., Walter, J.F. and Schirripa, M.J. (2013) Use of the  
927 Connectivity Modeling System to Estimate Movements of Gag Grouper (*Mycteroperca*  
928 *microlepis*) Recruits in the Northern Gulf of Mexico. SEDAR33-DW18. SEDAR, North  
929 Charleston, South Carolina.
- 930 Kell, L.T., Nash, R.D.M., Dickey-Collas, M., Mosqueira, I. and Szuwalski, C. (2015) Is spawning  
931 stock biomass a robust proxy for reproductive potential? *Fish and Fisheries*, doi: 10.1111–  
932 faf.12131.
- 933 Kindsvater, H.K., Mangel, M., Reynolds, J.D., Dulvy, N.K. (2016) Ten principles from evolutionary  
934 ecology essential for effective marine conservation. *Ecol Evol* **6**, 2125–2138.
- 935 Kingsford, M.J., Leis, J.M., Shanks, A., Lindeman, K.C., Morgan, S.G. and Pineda, J. (2002)  
936 Sensory environments, larval abilities and local self-recruitment. *Bulletin of Marine Science*  
937 **70**, 309–340.
- 938 Klaer, N.L., O’Boyle, R.N., Deroba, J.J., Wayte, S.E., Little, L.R., Alade, L.A. and Rago, P.J. (2015)  
939 How much evidence is required for acceptance of productivity regime shifts in fish stock  
940 assessments: Are we letting managers off the hook? *Fisheries Research* **168**, 49–55.
- 941 Kooijman S.A.L.M. (2010) Dynamic energy budget theory for metabolic organisation, 3rd edn.  
942 Cambridge University Press, Great Britain
- 943 Kough, A.S. and Paris, C.B. (2015) The influence of spawning periodicity on population  
944 connectivity. *Coral Reefs* **34**, 753–757.
- 945 Kough, A.S., Paris, C.B. and Butler, M.J., IV (2013) Larval connectivity and the international  
946 management of fisheries. *PLoS One* **8**, e64970.
- 947 Kraak, S. (2007) Does the probabilistic maturation reaction norm approach disentangle  
948 phenotypic plasticity from genetic change? *Marine Ecology Progress Series* **335**, 295–300.
- 949 Lasker, R. (1978) The relation between oceanographic conditions and larval anchovy food in the  
950 California current : identification of factors contributing to recruitment failure. *Rapports et*

- 951 *proces-verbaux, Conseil permanent international pour l'ex-ploration de la mer* 173: 212-230.
- 952 Law, R. and Grey, D.R. (1989) Evolution of yields from populations with age-specific cropping.  
953 *Evolutionary Ecology* **3**, 343–359.
- 954 Leggett, W.C. and DeBlois, E. (1994) Recruitment in marine fishes: Is it regulated by starvation  
955 and predation in the egg and larval stages? *Netherlands Journal of Sea Research* **32**, 119–  
956 134.
- 957 Leibold, M., Holyoak, M., Mouquet, N., et al. (2004) The metacommunity concept: a framework  
958 for multi-scale community ecology. *Ecology Letters* **7**, 601–613.
- 959 Leis, J.M. (2007) Behaviour as input for modelling dispersal of fish larvae: behaviour,  
960 biogeography, hydrodynamics, ontogeny, physiology and phylogeny meet hydrography.  
961 *Marine Ecology Progress Series* **347**, 185–193.
- 962 Leis, J.M. (2015) Is Dispersal of Larval Reef Fishes Passive? In: *Ecology of Fishes on Coral Reefs*.  
963 (ed C. Mora). Cambridge University Press, Cambridge, U.K., pp 223–226.
- 964 Leis, J.M., Caselle, J.E., Bradbury, I.R., et al. (2013) Does fish larval dispersal differ between high  
965 and low latitudes? *Proceedings of the Royal Society of London B: Biological Sciences* **280**, 1–  
966 9.
- 967 Lett, C., Ayata, S.-D., Huret, M. and Irisson, J.-O. (2010) Biophysical modelling to investigate the  
968 effects of climate change on marine population dispersal and connectivity. *Progress in*  
969 *Oceanography* **87**, 106–113.
- 970 Levin, S. A. (1992) The problem of pattern and scale in ecology. *Ecology* **73**, 1943-1967.
- 971 Levin, L.A. (2006) Recent progress in understanding larval dispersal: New directions and  
972 digressions. *Integrative and Comparative Biology* **46**, 282–297.
- 973 Llopiz, J.K. and Hobday, A.J. (2015) A global comparative analysis of the feeding dynamics and  
974 environmental conditions of larval tunas, mackerels, and billfishes. *Deep Sea Research Part*  
975 *II: Topical Studies in Oceanography* **113**, 113–124.
- 976 Lowerre-Barbieri, S.K. (2009) Reproduction in Relation to Conservation and Exploitation of  
977 Marine Fishes. In: *Reproductive Biology and Phylogeny of Fishes (Agnathans and Bony*  
978 *Fishes)*. (ed B.G.M. Jamieson). Science Publishers, Enfield, New Hampshire, pp 371–394.
- 979 Lowerre-Barbieri, S.K., Ganas, K., Saborido-Rey, F., Murua, H. and Hunter, J.R. (2011)

- 980 Reproductive timing in marine fishes: Variability, temporal scales, and methods. *Marine*  
981 *and Coastal Fisheries* **3**, 71–91.
- 982 Lowerre-Barbieri, S.K., Walters, S. and Bickford, J. (2013) Site fidelity and reproductive timing at  
983 a spotted seatrout spawning aggregation site: individual versus population scale behavior.  
984 *Marine Ecology Progress Series* **481**, 181–197.
- 985 Lowerre-Barbieri, S.K., Villegas-Ríos, D., Walters, S., Bickford, J., Cooper, W., Muller, R. and  
986 Trotter, A. (2014) Spawning site selection and contingent behavior in Common Snook,  
987 *Centropomus undecimalis*. *PLoS One* **9**, e101809–e101809.
- 988 Lowerre-Barbieri, S., Crabtree, L., Switzer, T., Walters Burnsed, S. and Guenther, C. (2015)  
989 Assessing reproductive resilience: an example with South Atlantic red snapper *Lutjanus*  
990 *campechanus*. *Marine Ecology Progress Series* **526**, 125–141.
- 991 Lowerre-Barbieri, S.K., Walters Burnsed, S.L., Bickford, J.W. (2016) Assessing reproductive  
992 behavior important to fisheries management: a case study with red drum, *Sciaenops*  
993 *ocellatus*. *Ecological Applications* **26**, 979–995.
- 994 Manel, S., Gaggiotti, O.E. and Waples, R.S. (2005) Assignment methods: matching biological  
995 questions with appropriate techniques. *Trends in Ecology and Evolution* **20**, 136–142.
- 996 Mangel, M., Kindsvater, H.K. and Bonsall, M.B. (2007) Evolutionary analysis of life span,  
997 competition, and adaptive radiation, motivated by the Pacific rockfishes (*Sebastes*).  
998 *Evolution* **61**, 1208–1224.
- 999 Mangel, M., MacCall, A.D., Brodziak, J., Dick, E.J., Forrest, R.E., Pourzand, R. and Ralston, S.  
1000 (2013) A perspective on steepness, reference points, and stock assessment. *Canadian*  
1001 *Journal of Fisheries and Aquatic Sciences* **70**, 930–940.
- 1002 Mank, J. E., & Avise, J. C. (2009). Evolutionary diversity and turn-over of sex determination in  
1003 teleost fishes. *Sexual Development*, 3(2-3), 60-67.
- 1004 Marshall, C.T., O'Brien, L., Tomkiewicz, J., et al. (2003) Incorporating alternative indices of  
1005 reproductive potential into fisheries management: case studies for stocks spanning an  
1006 information gradient. *Journal of Northwest Atlantic Fishery Science* **33**, 161–190.
- 1007 Marshall, C.T. (2009) Implementing Information on Stock Reproductive Potential in Fisheries  
1008 Management: the Motivation, Challenges and Opportunities. In: *Fish Reproductive Biology:*

- 1009 *Implications for Assessment and Management*. (eds T. Jakobsen, M.J. Fogarty, B.A. Megrey  
1010 and E. Moksness). Wiley-Blackwell, West Sussex, pp 395–420.
- 1011 Marty, L., Rochet, M.J. and Ernande, B. (2014) Temporal trends in age and size at maturation of  
1012 four North Sea gadid species: cod, haddock, whiting and Norway pout. *Marine Ecology*  
1013 *Progress Series* **497**, 179–197.
- 1014 Maunder, M.N. and Deriso, R.B. (2013) A stock–recruitment model for highly fecund species  
1015 based on temporal and spatial extent of spawning. *Fisheries Research* **146**, 96–101.
- 1016 Maunder, M.N. and Piner, K.R. (2014) Contemporary fisheries stock assessment: many issues  
1017 still remain. *ICES Journal of Marine Science* **72**, 7–18.
- 1018 Mazeroll, A.I. and Montgomery, W.L. (1998) Daily migrations of a coral reef fish in the Red Sea  
1019 (Gulf of Aqaba, Israel): Initiation and orientation. *Copeia* **1998**, 893.
- 1020 McBride, R.S., Somarakis, S., Fitzhugh, G.R., *et al.* (2013) Energy acquisition and allocation to  
1021 egg production in relation to fish reproductive strategies. *Fish and Fisheries* **16**, 23–57.
- 1022 Meehl, G.A., Washington, W.M., Collins, W.D., *et al.* (2005) How much more global warming  
1023 and sea level rise? *Science* **307**, 1769–1772.
- 1024 Miller, T.J. (2007) Contribution of individual-based coupled physical–biological models to  
1025 understanding recruitment in marine fish populations. *Marine Ecology Progress Series* **347**,  
1026 127–138.
- 1027 Morgan, S.G. (2014) Behaviorally mediated larval transport in upwelling systems. *Advances in*  
1028 *Oceanography* **2014**, 1–17.
- 1029 Mullon, C., Cury, P., Penven, P. (2002) Evolutionary individual-based model for the recruitment  
1030 of anchovy (*Engraulis capensis*) in the southern Benguela. *Canadian Journal of Fisheries*  
1031 *and Aquatic Sciences* **59**, 910–922.
- 1032 Munday P.L., Leis J.M., Lough J.M., Paris C.B., Kingsford M.J., Berumen M.L., Lambrechts J.  
1033 (2009) Climate change and coral reef connectivity. Theme Section: Larval connectivity,  
1034 resilience and the future of coral reefs, *Coral Reefs* DOI 10.1007/s00338-008-0461-9.
- 1035 Munguia-Vega, A., Jackson, A., Marinone, S.G., *et al.* (2014) Asymmetric connectivity of  
1036 spawning aggregations of a commercially important marine fish using a multidisciplinary  
1037 approach. *PeerJ* **2**, e511.

- 1038 Murray, G., Neis, B., Palmer, C.T. and Schneider, D.C. (2008) Mapping cod: fisheries science, fish  
1039 harvesters' ecological knowledge and cod migrations in the Northern Gulf of St. Lawrence.  
1040 *Human Ecology* **36**, 581–598.
- 1041 Murua, H. and Saborido-Rey, F. (2003) Female reproductive strategies of marine fish species of  
1042 the North Atlantic. *Journal of Northwest Atlantic Fishery Science* **33**, 23–31.
- 1043 Musick, J.A. (1999) Ecology and Conservation of Long Lived Marine Animals. In: *Life in the Slow*  
1044 *Lane: Ecology and Conservation of Long-Lived Marine Animals*. (ed J.A. Musick). American  
1045 Fisheries Society Symposium 23, Bethesda, Maryland, USA, pp 1–7.
- 1046 Myers, R.A. and Cadigan, N.G. (1993) Density-dependent juvenile mortality in marine demersal  
1047 fish. *Canadian Journal of Fisheries and Aquatic Sciences* **50**, 1576–1590.
- 1048 Naguib, M., Gil, D. (2005) Transgenerational effects on body size caused by early developmental  
1049 stress in zebra finches. *Biology Letters* **1**, 95–97.
- 1050 Neel, M.C., McKelvey, K., Ryman, N., et al. (2013) Estimation of effective population size in  
1051 continuously distributed populations: there goes the neighborhood. *Heredity* **111**, 189–199.
- 1052 North, E.W., Hood, R.R., Chao, S.Y. and Sanford, L.P. (2005) The influence of episodic events on  
1053 transport of striped bass eggs to the estuarine turbidity maximum nursery area. *Estuaries*  
1054 **28**, 108–123.
- 1055 O'Farrell, M.R. and Botsford, L.W. (2006) The fisheries management implications of maternal-  
1056 age-dependent larval survival. *Canadian Journal of Fisheries and Aquatic Sciences* **63**, 2249–  
1057 2258.
- 1058 O'Connor, M.I., Bruno, J.F., Gaines, S.D., Halpern, B.S., Lester, S.E., Kinlan, B.P. and Weiss, J.M.  
1059 (2007) Temperature control of larval dispersal and the implications for marine ecology,  
1060 evolution, and conservation. *Proceedings of the National Academy of Sciences of the United*  
1061 *States of America* **104**, 1266–1271.
- 1062 Ospina-Alvarez, A., Bernal, M., Catalán, I.A., Roos, D., Bigot, J.-L. and Palomera, I. (2013)  
1063 Modeling fish egg production and spatial distribution from acoustic data: a step forward  
1064 into the analysis of recruitment. *PLoS One* **8**, e73687.
- 1065 Ospina-Alvarez, A., Catalán, I.A., Bernal, M., Roos, D. and Palomera, I. (2015) From egg  
1066 production to recruits: Connectivity and inter-annual variability in the recruitment patterns

- 1067 of European anchovy in the northwestern Mediterranean. *Progress in Oceanography* **138**,  
1068 431–447.
- 1069 Pacariz, S., Björk, G. and Svedäng, H. (2014) Interannual variability in the transport of fish eggs  
1070 in the Kattegat and Öresund. *ICES Journal of Marine Science* **71**, 1706–1716.
- 1071 Paris C.B., Cowen R.K., Lwiza K.M.M., Wang D.P., Olson D.B. (2002) Objective analysis of three-  
1072 dimensional circulation in the vicinity of Barbados, West Indies: Implication for larval  
1073 transport. *Deep Sea Research* 49: 1363-1386.
- 1074 Paris, C.B., Cowen, R.K., Claro, R. and Lindeman, K.C., 2005. Larval transport pathways from  
1075 Cuban snapper (Lutjanidae) spawning aggregations based on biophysical modeling. *Marine*  
1076 *Ecology Progress Series*, 296, pp.93-106.
- 1077 Paris, C.B., Chérubin, L.M. and Cowen, R.K. (2007) Surfing, spinning, or diving from reef to reef:  
1078 effects on population connectivity. *Marine Ecology Progress Series* **347**, 285–300.
- 1079 Paris, C.B., Helgers, J., van Sebille, E. and Srinivasan, A. (2013) Connectivity Modeling System: A  
1080 probabilistic modeling tool for the multi-scale tracking of biotic and abiotic variability in the  
1081 ocean. *Environmental Modelling and Software* **42**, 47–54.
- 1082 Patrick, W.S., Spencer, P., Link, J., et al. (2010) Using productivity and susceptibility indices to  
1083 assess the vulnerability of United States fish stocks to overfishing. *Fishery Bulletin* **108**, 305–  
1084 322.
- 1085 Pavlov, D.A., Emel'yanova, N.G. and Novikov, G.G. (2009) Fish Reproductive Biology. In:  
1086 *Reproductive Dynamics*. (eds T. Jakobsen, M.J. Fogarty, B.A. Megrey and E. Moksness).  
1087 Wiley-Blackwell Scientific Publications, Chichester, UK, pp 48–90.
- 1088 Peck, M.A. and Hufnagl, M. (2012) Can IBMs tell us why most larvae die in the sea? Model  
1089 sensitivities and scenarios reveal research needs. *Journal of Marine Systems* **93**, 77–93.
- 1090 Pella, J.J. and Tomlinson, P.K. (1969) A generalized stock production model. *Inter-American*  
1091 *Tropical Tuna Commission Bulletin* **13**, 419–496.
- 1092 Pepin, P. (2015) Reconsidering the impossible — linking environmental drivers to growth,  
1093 mortality, and recruitment of fish. *Canadian Journal of Fisheries and Aquatic Sciences* **73**,  
1094 205–215.
- 1095 Pineda, J., Hare, J.A. and Sponaugle, S. (2007) Larval transport and dispersal in the coastal ocean

1096 and consequences for population connectivity. *Oceanography* **20**, 22–39.

1097 Plagányi, É.E. and Butterworth, D.S. (2004) A critical look at the potential of Ecopath with  
1098 ecosim to assist in practical fisheries management. *African Journal of Marine Science* **26**,  
1099 261–287.

1100 Platt, T., Fuentes-Yaco, C. and Frank, K.T. (2003) Marine ecology: Spring algal bloom and larval  
1101 fish survival. *Nature* **423**, 398–399.

1102 Polasky, S., de Zeeuw, A. and Wagener, F. (2011) Optimal management with potential regime  
1103 shifts. *Journal of Environmental Economics and Management* **62**, 229–240.

1104 Politikos, D.V., Huret, M. and Petitgas, P. (2015) A coupled movement and bioenergetics model  
1105 to explore the spawning migration of anchovy in the Bay of Biscay. *Ecological Modelling*  
1106 **313**, 212–222.

1107 Porch, C.E., Laretta, M.V. (2016) On Making Statistical Inferences Regarding the Relationship  
1108 between Spawners and Recruits and the Irresolute Case of Western Atlantic Bluefin Tuna  
1109 (*Thunnus thynnus*). *PLoS ONE* **11**, e0156767.

1110 Pörtner, H.O. and Peck, M.A. (2010) Climate change effects on fishes and fisheries: towards a  
1111 cause-and-effect understanding. *Journal of Fish Biology* **77**, 1745–1779.

1112 Prager, M.H. and Shertzer, K.W. (2010) Deriving acceptable biological catch from the  
1113 overfishing limit: Implications for assessment models. *North American Journal of Fisheries*  
1114 *Management* **30**, 289–294.

1115 Reglero, P., Tittensor, D.P., Alvarez-Berastegui, D., Aparicio-Gonzalez, A. and Worm, B. (2014)  
1116 Worldwide distributions of tuna larvae: revisiting hypotheses on environmental  
1117 requirements for spawning habitats. *Marine Ecology Progress Series* **501**, 207–224.

1118 Ricard, D., Minto, C. Jensen, O.P., and Baum, J.K. 2012. Examining the knowledge base and  
1119 status of commercially exploited marine species with the RAM Legacy Stock Assessment  
1120 Database. *Fish and Fisheries* **13**, 380-398.

1121 Rice, J. (2011) Managing fisheries well: delivering the promises of an ecosystem approach. *Fish*  
1122 *and Fisheries* **12**, 209–231.

1123 Rice, J.A., Quinlan, J.A., Nixon, S.W., Hettler, W.F.J., Warlen, S.M. and Stegmann, P.M. (1999)  
1124 Spawning and transport dynamics of Atlantic menhaden: inferences from characteristics of

- 1125 immigrating larvae and predictions of a hydrodynamic model. *Fisheries Oceanography* **8**,  
1126 93–110.
- 1127 Ricker, W.E. (1954) Stock and recruitment. *Journal of the Fisheries Research Board of Canada*  
1128 **11**, 559–623.
- 1129 Rideout, R.M. and Tomkiewicz, J. (2011) Skipped spawning in fishes: More common than you  
1130 might think. *Marine and Coastal Fisheries* **3**, 176–189.
- 1131 Rijnsdorp, A.D., Peck, M.A., Engelhard, G.H., Möllmann, C. and Pinnegar, J.K. (2009) Resolving  
1132 the effect of climate change on fish populations. *ICES Journal of Marine Science* **66**, 1570–  
1133 1583.
- 1134 Rochette, S., Le Pape, O., Vigneau, J. and Rivot, E. (2013) A hierarchical Bayesian model for  
1135 embedding larval drift and habitat models in integrated life cycles for exploited fish.  
1136 *Ecological Applications* **23**, 1659–1676.
- 1137 Rose, G.A. (1993) Cod spawning on a migration highway in the north-west Atlantic. *Nature* **366**,  
1138 458–461.
- 1139 Rose, K.A., Cowan, J.H., Clark, M.E., Houde, E.D. and Wang, S.-B. (1999) An individual-based  
1140 model of bay anchovy population dynamics in the mesohaline region of Chesapeake Bay.  
1141 *Marine Ecology Progress Series* **185**, 113–132.
- 1142 Rose, K.A., Cowan, J.H., Winemiller, K.O., Myers, R.A., Hilborn, R. (2001) Compensatory density  
1143 dependence in fish populations: importance, controversy, understanding and prognosis.  
1144 *Fish and Fisheries* **2**, 293–327.
- 1145 Rose, K.A., Fiechter, J., Curchitser, E.N., et al. (2015) Demonstration of a fully-coupled end-to-  
1146 end model for small pelagic fish using sardine and anchovy in the California Current.  
1147 *Progress in Oceanography* **138**, 348–380.
- 1148 Rosenberg, A.A. and Restrepo, V.R. (1994) Uncertainty and risk evaluation in stock assessment  
1149 advice for U.S. marine fisheries. *Canadian Journal of Fisheries and Aquatic Sciences* **51**,  
1150 2715–2720.
- 1151 Rutherford, E.S. and Houde, E.D. (1985) The influence of temperature on cohort-specific  
1152 growth, survival, and recruitment of striped bass, *Morone saxatilis*, larvae in Chesapeake  
1153 Bay. *Fishery Bulletin* **93**, 315–332.

- 1154 Saborido-Rey, F. and Trippel, E.A. (2013) Fish reproduction and fisheries. *Fisheries Research*  
1155 **138**, 1–4.
- 1156 Sætre, R., Toresen, R. and Anker-Nilssen, T. (2002) Factors affecting the recruitment variability  
1157 of the Norwegian spring-spawning herring (*Clupea harengus* L.). *ICES Journal of Marine*  
1158 *Science* **59**, 725–736.
- 1159 Salinas, S., Munch, S.B. (2012) Thermal legacies: transgenerational effects of temperature on  
1160 growth in a vertebrate. *Ecol Lett* **15**, 159-163.
- 1161 Salomon, Y., Connolly, S.R. and Bode, L. (2010) Effects of asymmetric dispersal on the  
1162 coexistence of competing species. *Ecology Letters* **13**, 432–441.
- 1163 Schaefer, M.B. (1957) Some considerations of population dynamics and economics in relation  
1164 to the management of the commercial marine fisheries. *Journal of the Fisheries Research*  
1165 *Board of Canada* **14**, 669–681.
- 1166 Scheffer, M., Bascompte, J., Brock, W.A., et al. (2009) Early-warning signals for critical  
1167 transitions. *Nature* **461**, 53–59.
- 1168 Schindler, D. E., R. Hilborn, B. Chasco, C. P. Boatright, T. P. Quinn, L. A. Rogers, and M. S.  
1169 Webster. (2010). Population diversity and the portfolio effect in an exploited species.  
1170 *Nature* **465**, 609-612.
- 1171 Scott, B.E., Marteinsdottir, G., Begg, G.A., Wright, P.J. and Kjesbu, O.S. (2006) Effects of  
1172 population size/age structure, condition and temporal dynamics of spawning on  
1173 reproductive output in Atlantic cod (*Gadus morhua*). *Ecological Modelling* **191**, 383–415.
- 1174 Secor, D.H. and Houde, E.D. (1995) Temperature effects on the timing of striped bass egg  
1175 production, larval viability, and recruitment potential in the Patuxent River (Chesapeake  
1176 Bay). *Estuaries* **18**, 527–544.
- 1177 Secor, D. (1999) Specifying divergent migrations in the concept of stock: the contingent  
1178 hypothesis. *Fisheries Research* **43**, 13-34.
- 1179 Secor, D.H. (2007) The year-class phenomenon and the storage effect in marine fishes. *Journal*  
1180 *of Sea Research* **57**, 91-103.
- 1181 Secor, D.H., Rooker, J.R., Gahagan, B.I., Siskey, M.R. and Wingate, R.W. (2015) Depressed  
1182 resilience of bluefin tuna in the western Atlantic and age truncation. *Conservation Biology*

- 1183       **29**, 400–408.
- 1184    SEDAR (2015) SEDAR Procedural Workshop 7: Data Best Practices., 151.
- 1185    Shepherd, J.G. (1982) A versatile new stock-recruitment relationship for fisheries, and the  
1186        construction of sustainable yield curves. *Journal du Conseil* **40**, 67–75.
- 1187    Shulman, M.J. (1985) Recruitment of coral reef fishes: effects of distribution of predators and  
1188        shelter. *Ecology* **66**, 1056–1066.
- 1189    Sinclair, M. and Iles, D.T. (1988) Population richness of marine fish species. *Aquatic Living*  
1190        *Resources* **1**, 71–83.
- 1191    Smedbol, R.K. and Stephenson, R. (2001) The importance of managing within-species diversity  
1192        in cod and herring fisheries of the north-western Atlantic. *Journal of Fish Biology* **59**, 109–  
1193        128.
- 1194    Snyder, R.E., Paris, C.B., Vaz, A.C., Mangel, M. and Bronstein, E.J.L. (2014) How much do marine  
1195        connectivity fluctuations matter? *The American Naturalist* **184**, 523–530.
- 1196    Sponaugle, S., Lee, T.N., Kourafalou, V. and Pinkard, D. (2005) Florida current frontal eddies and  
1197        the settlement of coral reef fishes. *Limnology and Oceanography* **50**, 1033–1048.
- 1198    Stachura, M.M., Essington, T.E., Mantua, N.J., et al. (2014) Linking Northeast Pacific recruitment  
1199        synchrony to environmental variability. *Fisheries Oceanography* **23**, 389–408.
- 1200    Stanley, R.R.E., deYoung, B., Snelgrove, P.V.R. and Gregory, R.S. (2013) Factors regulating early  
1201        life history dispersal of Atlantic cod (*Gadus morhua*) from coastal Newfoundland. *PLoS One*  
1202        **8**, e75889.
- 1203    Stearns, S.C. (1992) *The Evolution of Life Histories*. Oxford University Press, Oxford.
- 1204    Sydeman, W.J., Poloczanska, E., Reed, T.E. and Thompson, S.A. (2015) Climate change and  
1205        marine vertebrates. *Science* **350**, 772–777.
- 1206    Szuwalski, C.S., Vert-pre, K.A., Punt, A.E., Branch, T.A. and Hilborn, R. (2015) Examining common  
1207        assumptions about recruitment: a meta-analysis of recruitment dynamics for worldwide  
1208        marine fisheries. *Fish and Fisheries* **16**, 633–648.
- 1209    Teacher, A.G., Andre, C., Jonsson, P.R. and Merilä, J. (2013) Oceanographic connectivity and  
1210        environmental correlates of genetic structuring in Atlantic herring in the Baltic Sea.  
1211        *Evolutionary Applications* **6**, 549–567.

- 1212 Thompson, W.F. and Bell, F.H. (1934) *Biological Statistics of the Pacific Halibut Fishery:(2) Effect*  
1213 *of Changes in Intensity Upon Total Yield and Yield Per Unit of Gear*. International Fisheries  
1214 Commission No. 8, 49 pp.
- 1215 Thorpe, J.E. (2007) Maturation responses of salmonids to changing developmental  
1216 opportunities. *Marine Ecology Progress Series* **335**, 285–288.
- 1217 Trippel, E. (1999) Estimation of stock reproductive potential: history and challenges for  
1218 Canadian Atlantic gadoid stock assessments. *Journal of Northwest Atlantic Fishery*  
1219 *Science* **25**, 61-82.
- 1220 Valavanis, V.D., Pierce, G.J., Zuur, A.F., Palialexis, A., Saveliev, A., Katara, I. and Wang, J. (2008)  
1221 Modelling of essential fish habitat based on remote sensing, spatial analysis and GIS.  
1222 *Hydrobiologia* **612**, 5–20.
- 1223 Vasilakopoulos, P. and Marshall, C.T. (2015) Resilience and tipping points of an exploited fish  
1224 population over six decades. *Global Change Biology* **21**, 1834–1847.
- 1225 Vert-pre, K.A., Amoroso, R.O., Jensen, O.P. and Hilborn, R. (2013) Frequency and intensity of  
1226 productivity regime shifts in marine fish stocks. *Proceedings of the National Academy of*  
1227 *Sciences of the United States of America* **110**, 1779–1784.
- 1228 Vikebø, F., Sundby, S., Ådlandsvik, B. and Fiksen, Ø. (2005) The combined effect of transport  
1229 and temperature on distribution and growth of larvae and pelagic juveniles of Arcto-  
1230 Norwegian cod. *ICES Journal of Marine Science* **62**, 1375–1386.
- 1231 Walker, B., Holling, C.S., Carpenter, S.R. and Kinzig, A. (2004) Resilience, adaptability and  
1232 transformability in social-ecological systems. *Ecology and Society* **9**, 5 (online).
- 1233 Walters, C.J. and Martell, S. (2004) *Fisheries Ecology and Management*. Princeton University  
1234 Press, Princeton and Oxford.
- 1235 Walters, S., Lowerre-Barbieri, S., Bickford, J., Mann, D. (2009) Using a passive acoustic survey to  
1236 identify spotted seatrout spawning sites and associated habitat in Tampa Bay, Florida.  
1237 *Transactions of the American Fisheries Society* **138**, 88-98.
- 1238 Ward, T.D., Algera, D.A., Gallagher, A.J., *et al.* (2016) Understanding the individual to implement  
1239 the ecosystem approach to fisheries management. *Conservation Physiology* **4**, cow005.
- 1240 Warner, R.R. (1988) Traditionality of mating-site preferences in a coral reef fish. *Nature* **335**,

1241 719–721.

1242 Warner, R.R. (1990) Male versus female influences on mating-site determination in a coral reef  
1243 fish. *Animal Behaviour* **39**, 540–548.

1244 Watson, J.R., Kendall, B.E., Siegel, D.A. and Mitarai, S. (2012) Changing seascapes, stochastic  
1245 connectivity, and marine metapopulation dynamics. *The American Naturalist* **180**, 99–112.

1246 Werner, F.E., Quinlan, J.A., Lough, R.G. and Lynch, D.R. (2001) Spatially-explicit individual based  
1247 modeling of marine populations: a review of the advances in the 1990s. *Sarsia* **86**, 411–421.

1248 Werner, F.E., Cowen, R.K. and Paris, C.B. (2007) Coupled biological and physical models: present  
1249 capabilities and necessary developments for future studies of population connectivity.  
1250 *Oceanography* **20**, 54–69.

1251 White, J.W. and Samhuri, J.F. (2011) Oceanographic coupling across three trophic levels  
1252 shapes source–sink dynamics in marine meta-communities. *Oikos* **120**, 1151–1164.

1253 White, J.W., Botsford, L.W., Baskett, M.L., Barnett, L.A.K., Barr, R.J and Hastings, A. (2011)  
1254 Socioeconomics and bioeconomics in MPA network planning: a comparison of approaches  
1255 used in California’s MLPA initiative process. *Ocean and Coastal Management* **74**, 77–89.

1256 White, J.W., Schroeger, J., Drake, P.T. and Edwards, C.A. (2014) The value of larval connectivity  
1257 information in the static optimization of marine reserve design. *Conservation Letters* **7(6)**,  
1258 533–544.

1259 Winemiller, K.O. and Rose, K.A. (1992) Patterns of life-history diversification in North American  
1260 fishes: Implications for population regulation. *Canadian Journal of Fisheries and Aquatic  
1261 Sciences* **49**, 2196–2218.

1262 Wootton, R. (2012) *Ecology of Teleost Fishes*. Chapman and Hall, London.

1263 Wright, P.J. and Trippel, E.A. (2009) Fishery-induced demographic changes in the timing of  
1264 spawning: consequences for reproductive success. *Fish and Fisheries* **10**, 283–304.

1265 Yamahira, K. (2004) How do multiple environmental cycles in combination determine  
1266 reproductive timing in marine organisms? A model and test. *Functional Ecology* **18**, 4–15.

1267

1268

1269

1270  
1271  
1272  
1273  
1274  
1275  
1276

Table 1. Definitions of common terms used with processes discussed in this paper, including: reproductive success, productivity, and resilience.

<b>Term</b>	<b>Definition</b>	<b>References</b>
Stock productivity	Net population increase in abundance and/or weight affected by natural mortality, growth, sexual maturation, and recruitment.	Vert-pre <i>et al.</i> 2013 Klaer <i>et al.</i> 2015
Stock productivity shift	Significant changes in any of the factors affecting stock productivity over time.	Klaer <i>et al.</i> 2015
Stock reproductive potential	The annual variation in a stock's ability to produce viable eggs and larvae that may eventually recruit to the adult population or fishery.	Trippel 1999
Reproductive success	The probability that offspring will survive to reproductive age based on reproductive output and the survival of that output.	Stearns 1992
Ecological resilience	The magnitude of disturbance that a system can tolerate before it shifts into a different state (stability basin) with different controls on structure and function.	Folke 2006 Scheffer 2009
Reproductive resilience	The capacity of a population to maintain the level of reproductive success needed to result in long-term population stability despite disturbances such as environmental perturbations and fishing.	Lowerre-Barbieri <i>et al.</i> 2015
Trans-generational	The ability of environmental factors to promote a phenotype not only in the exposed individual but in subsequent progeny,	Salinas and

inheritance potentially over multiple generations. Munch, 2012

Reproductive value Weights the contributions of individuals of different ages to population growth and compares the sensitivity of fitness to events at different ages. Stearns 1992

Intrinsic rate of growth,  $r$  The intrinsic rate of natural increase often used in theoretical work, is the per capita instantaneous rate of increase of a population in a stable age distribution and calculated as Stearns 1992

$$r \approx \frac{\ln(\sum l_x m_x)}{T}$$

Where  $l_x$ =age-specific survivorship,  $m_x$ =age-specific fecundity, and  $T$ =mean generation time (average age of mothers reproducing)

Life History Theory Given a diversity of birth and death schedules and organismal designs, what kinds of life histories will evolution produce and why Stearns 1992

1277

1278

1279 Table 2. Factors affecting population productivity/reproductive success over multiple temporal,  
1280 spatial, and biological scales.

1281

1282

<b>Temporal Scale</b>	<b>Spatial Context</b>	<b>Biological Scale Relevant to Reproductive Success</b>	
		Population	Individual/group
<b>Evolutionary</b>	Long-term mortality environment (rate and variability) associated with home range	Life history strategy Reproductive system Larval navigation Pelagic larval duration	Fitness
<b>Transgenerational</b>			

Reproductive success	Population structure	Population persistence	Reproductive performance
<b>Lifetime</b>			
Maturity, growth, mortality, fecundity	Nursery, foraging, spawning habitats, Larval retention	Demographic trends in behavior	Contingents
<b>Annual</b>			
Year class strength	Spawning site selection & fidelity Dispersal Environment	Larval and juvenile survival	Not yet clear if strong year classes are associated with greater genetic diversity.
<b>Seasonal</b>			
Spawning season Critical period Match/mismatch Birth date dynamics	Spawning site fidelity Predator hot spots Food and predator encounter rates	Trophic dynamics Larval/hydrography interactions Phenology	Egg quality Mating system Number of breeding events within the spawning period

1283  
1284  
1285  
1286  
1287  
1288  
1289  
1290

Table 3. Models used to assess spawner-recruit systems and their productivity and recruitment assumptions.

Model type	Productivity assumption	Recruitment assumption	References
<b>Biomass dynamics models</b>	Density dependent	Implicitly assumes recruitment is a function of stock size	(Schaefer 1957; Pella and Tomlinson 1969)

<b>Yield-per-recruit</b>	Density independent	Constant	(Thompson and Bell 1934; Beverton and Holt 1957)
<b>Spawner-per-recruit</b>	Dependent on spawning biomass	Constant	(Gabriel <i>et al.</i> 1989; Clark 1993; Goodyear 1993)
<b>Full life cycle models</b>	Dependent on spawning biomass	A density dependent function of SSB or fecundity	(Beverton <i>et al.</i> 1984)
		Ricker : Over compensatory at high stock levels	(Ricker 1954)
		Beverton-Holt : asymptotic at high stock levels	(Beverton and Holt 1957)
<b>Biophysical dispersal models</b>	Dependent on resolved oceanographic processes and available spatio-temporal reproductive data.	Affected by larval dispersal dynamics and settlement habitat and cues.	(Paris <i>et al.</i> 2005; Cowen <i>et al.</i> 2006; Paris <i>et al.</i> 2013)
<b>Integrated life cycle models</b>	In addition to the factors above, dependent on juvenile habitat and survival, as well as adult survival and increasingly, adult population structure.	The same as above	(Drouineau <i>et al.</i> 2010; Rochette <i>et al.</i> 2013; Archambault <i>et al.</i> 2016)

1291

1292 Fig. 1. Examples of emerging methods to assess spawner-recruit processes: (A) passive acoustic  
 1293 surveys to map spawning sites based on species-specific courtship sounds (modified from  
 1294 Walters *et al.* 2009); (B) acoustic telemetry to assess sex-specific habitat use (Alós *et al.* 2012);

1295 (C) genetics, used as a tag to assess spawning population size and parentage analysis (S.  
1296 Lowerre-Barbieri unpublished data); (D) pop up satellite tags used to understand spawning  
1297 behaviour (Cermeño *et al.* 2015). (E) otolith microchemistry “records” to evaluate individual  
1298 habitat usage over time (I.A. Catalán, unpublished data); (F) the drifting in situ chamber (DISC)  
1299 providing in-situ evidence that pelagic reef fish larvae use reef odor as a navigational cue,  
1300 changing their swimming speed and direction (modified from Paris *et al.* 2013); (G) choice  
1301 chambers to provide empirical evidence of the use of odor cues in larval self-recruitment  
1302 (modified from Gerlach *et al.* 2007); (H) Bio-physical modeling to study connectivity in meta-  
1303 populations (modified from Alós *et al.* 2014).

1304 Fig. 2. Reproductive potential is commonly based on spawning stock biomass, but in many  
1305 marine species, spatial components of the life cycle may be more important to reproductive  
1306 success.

1307  
1308 Fig. 3. A stock’s reproductive resilience is driven by it’s spawner-recruit system and the  
1309 ecological context within which it is operating. Spawner-recruit systems are made up of three  
1310 trait types with differing within-population variability, that ranges from: none (fixed traits) to  
1311 extensive (varying traits), with behavioral traits exhibiting complex interactions between  
1312 genotype and phenotype but typically a common pattern within a population. Inherent  
1313 reproductive resilience depends on spawner-recruit traits selected over evolutionary time to  
1314 overcome a given mortality regime. Current reproductive success occurs at the individual scale  
1315 and results in both density dependent and fitness feedback loops, which act to maintain  
1316 population reproductive success over time and determine a stock’s reproductive resilience to  
1317 disturbance.

1318  
1319 Fig. 4. Important traits in spawner-recruit systems (a) and graphic representation of how these  
1320 traits are species’ specific, resulting in differing reproductive resilience (b). Although the graphs  
1321 are hypothetical, they represent how known variability in the selected traits in exploited marine  
1322 fishes could be categorized from 1 (least resilient) to 10 (most resilient). Categories are based  
1323 primarily on diversity, with greater diversity assumed to correlate with greater resilience.

1324

1325 Fig. 5. Box whisker plots of variability (SD) in recruitment relative to variability in spawner  
1326 biomass (SSB) in relation to taxonomic family, with families ordered from highest to lowest  
1327 median relative variability. Numbers indicate the number of stocks/management units in each  
1328 family. Scombridae were separated into mackerels and tunas because of clear distinctions in  
1329 the patterns of relative variability. Grey bars represent the 25<sup>th</sup>, median and 75<sup>th</sup> percentiles;  
1330 error bars represent 5<sup>th</sup> and 95<sup>th</sup> percentiles; closed circles represent outliers. Data on fish  
1331 populations from analytical population assessments collated in the Ransom Myers Legacy  
1332 database.

1333

1334 Fig. 6. Reproductive potential and spawner-recruit systems: assumptions, decision criterion,  
1335 and data needed for stock assessments.

1336

1337 Fig. 7. Estimated age at 50% maturity and maximum observed age in a range of exploited  
1338 marine fishes.

1339

1340 Fig. 8. Influence of climate variations on the distribution and phenology of spawning in the  
1341 Pacific Sardine (*Sardinops sagax*, Clupeidae) off the coast of Southern California, USA. Data  
1342 modified from Asch (2013) and Asch and Checkley (2013). (a) Long term changes in climatic  
1343 conditions in California waters, including the timing and magnitude of seasonal water  
1344 temperatures, are associated with marked shifts in the reproductive phenology of sardine, with  
1345 peaks in monthly larval abundance occurring two months earlier in the 2000s than in the 1950s.  
1346 (b) During El Niño years associated with warm sea surface temperatures, sardine spawning  
1347 activity and egg production is low and restricted to a small range close to shore where thermal  
1348 refuges remain. (c) Conversely, during La Niña years associated with cooler sea surface  
1349 temperatures, spawning activity and egg production is high and widely distributed in both  
1350 nearshore and offshore waters.