

# Sensitivity of Convection-Allowing Forecasts to Land Surface Model Perturbations and Implications for Ensemble Design

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## ABSTRACT

In this exploratory study, a series of perturbations to the land surface model (LSM) component of the Weather Research and Forecasting (WRF) Model was developed to investigate the sensitivity of forecasts of severe thunderstorms and heavy precipitation at 4-km grid spacing and whether such perturbations could improve ensemble forecasts at this scale. The perturbations (generated using a combination of perturbing fixed parameters and using separate schemes, one of which—Noah-MP—is new among the WRF modeling community) were applied to a 10-member ensemble including other mixed physics parameterizations and compared against an identically configured ensemble that did not include the LSM perturbations to determine their impact on probabilistic forecasts. A third ensemble using only the LSM perturbations was also configured.

The results from 14 (in total) 36-h ensemble forecasts suggested the LSM perturbations resulted in systematic improvement in ensemble dispersion and error characteristics. Lower-tropospheric temperature, moisture, and wind fields were all improved, as were probabilistic precipitation forecasts. Biases were not systematically altered, although some outlier members are present. Examination of near-surface temperature and mixing ratio fields, surface energy fluxes, and soil fields revealed tendencies caused by certain perturbations. A case study featuring tornadic supercells illustrated the physical causes of some of these tendencies. The results of this study suggest LSM perturbations can sample a dimension of model error not yet sampled systematically in most ensembles and should be included in convection-allowing ensembles.

## 1. Introduction

The state of the land surface and associated surface–atmosphere exchange processes exert a strong degree of control over the spatial and temporal patterns of deep moist convection over land, which draws much of its energy from the land surface (e.g., [Anthes 1984](#); [Rabin et al. 1990](#); [Clark and Arritt 1995](#); [Pielke 2001](#); [Segele et al. 2005](#)). Additionally, a correct specification of the land surface conditions (i.e., the greenness vegetation fraction, leaf area index, soil texture, and land use) is critical for accurately forecasting deep moist convection, especially during the warm season when the ground surface is exposed and vegetation is photosynthetically active ([Kurkowski et al. 2003](#); [Robock et al. 2003](#);

[Godfrey et al. 2005](#); [Miller et al. 2006](#)). Therefore, correct representation of surface–atmosphere exchange processes in land surface models (LSM) coupled to numerical weather prediction (NWP) models is important for accurate forecasts of thunderstorms and heavy precipitation.

Much of the prior research on the sensitivity of forecasts of deep moist convection to surface–atmosphere exchange processes has indicated that the initial soil moisture state exerts the most influence. [Sutton et al. \(2006\)](#), for example, showed that the amount of diversity in convection-permitting forecasts of precipitation due to use of different initial soil moisture analyses can rival that from using varying convection parameterizations in coarser simulations. [Aligo et al. \(2007\)](#) illustrated the level of sensitivity of convective-scale forecasts to soil moisture perturbations and found some skill in probabilistic precipitation forecasts based on an ensemble

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using only those perturbations. Trier et al. (2008) determined that the variation in initial soil moisture state exerted more influence on forecasts of precipitation than choice of LSM. Finally, while poor coverage of observations is a major source of uncertainty in soil moisture analyses, more dense observations will not eliminate uncertainty, as soil moisture has been shown to be highly spatially heterogeneous even within a small area (Basara 2001).

While the importance of an accurate initial soil moisture state for convection forecasts is paramount, there is additional uncertainty in the formulation of physical processes related to energy fluxes. Chen et al. (1997) compared various methods for parameterizing surface sensible heat exchange in the LSM used by the National Centers for Environmental Prediction (NCEP) for their operational mesoscale model and noted sensitivity to the formulation of the stability term and the thermal roughness length in calculating turbulent sensible heat flux. Numerous other studies have also identified sensitivity of precipitation forecasts to the specification of an empirical constant used to calculate the thermal roughness length (Zilitinkevich 1995; Chen et al. 1997), which is used in the formulation of the exchange coefficient in the sensible heat flux calculation (Marshall et al. 2003; LeMone et al. 2008; Chen et al. 2010; Trier et al. 2004, 2011). These studies have shown (in addition to sensitivity) that certain values of this constant may result in better agreement between simulated and observed heat fluxes and better precipitation verification scores than others. Numerous studies have also suggested that the computation of latent heat flux from plant transpiration contains many uncertainties. Perhaps the most important is the resistance term, whether stomatal resistance or generic canopy resistance, which governs how effectively plants release water through their leaves into the environment and how effectively that water can be carried out of the canopy and into the lower atmosphere (Chen and Dudhia 2001; Jackson et al. 2003; Godfrey and Stensrud 2010; Kumar et al. 2011). Implementation of a comprehensive set of variations based on these uncertainties in an ensemble forecast framework has not been documented.

Among the major physics components in convection-allowing NWP forecasts, which include cloud microphysics, boundary layer, radiation, and LSM, uncertainty in the latter component remains limited in experimental convection-allowing ensembles, even those that use mixed physics [see Clark et al. (2009, 2011); Johnson et al. (2011a,b); Johnson and Wang (2012); Kong et al. (2007); and Xue et al. (2008), for examples of experimental convection-allowing ensemble configurations]. Some experimental ensembles vary the LSM component using one

of two schemes, the Noah LSM (Chen and Dudhia 2001; Ek et al. 2003) and the Rapid Update Cycle (RUC) LSM (Smirnova et al. 1997, 2000, 2016), which is sensible considering these schemes are used operationally. However, large uncertainties exist within these and other LSMs that have not been accounted for in convection-allowing ensembles. In this study, an effort to sample LSM uncertainty and investigate the sensitivity of forecasts of convection to perturbations to LSM-related parameters is documented. The implications of adding such LSM perturbations to other physics perturbations in convection-allowing ensemble forecast systems are also discussed.

The remainder of this paper is organized as follows. A brief exposition on the uncertainties within the LSM component is given in section 2. The experimental setup is described in section 3. A statistical analysis of verification over many cases is presented in section 4. A case study illustrating the diversity and sensitivity of forecasts of deep moist convection to various physics perturbations is detailed in section 5. A summary and conclusions follow in section 6.

## 2. Uncertainties in land surface model parameterizations

In the Weather Research and Forecasting (WRF; Skamarock et al. 2008) Model, the effects of surface-atmosphere exchange processes occur through feedback between the LSM and PBL parameterizations. In particular, sensible heat flux and moisture flux are passed from the LSM component to the PBL component. The LSMs contain many uncertainties. Such uncertainties include the formulation of energy fluxes, the numerical methods used to approximate the governing equations of heat and water transport within the soil, and soil and vegetation state parameters. This work focuses on the first source of uncertainty.

### a. Sensible heat flux

A general formula for sensible heat flux is

$$H = \rho C_p C_h (T_s - T_a), \quad (1)$$

where  $\rho C_p$  serves to convert between radiative transfer and kinematic units,  $T_s$  represents the surface (skin) temperature,  $T_a$  represents the near-surface temperature, and  $C_h$  is the exchange coefficient, representing a resistance. The formulation of the exchange coefficient for heat flux varies among LSMs. Many of these formulations are dependent on the thermal roughness length,  $z_{0h}$ , analogous to the momentum roughness length associated with the log-wind profile in the

surface layer. Thermal roughness length is effectively impossible to measure directly and must be estimated. A number of formulations of  $z_{0h}$  have been proposed. One popular formulation (Zilitinkevich 1995) used in the NCEP operational North American Mesoscale Forecast System (NAM) is to assume that  $z_{0h}$  is related to the momentum roughness length  $z_{0m}$  by

$$\frac{z_{0m}}{z_{0h}} = \exp(kC\sqrt{\text{Re}^*}), \quad (2)$$

where  $k$  is the von Kármán constant,  $\text{Re}^*$  is the stress Reynolds number, and  $C$  is an empirical parameter whose value is uncertain. Chen et al. (1997) suggest a standard value of 0.1, but values ranging from 0.01 to 2 have been documented (Zilitinkevich 1995; Marshall et al. 2003; Trier et al. 2004, 2011; LeMone et al. 2008, 2010). Varying  $C$  with location, based on land cover and/or soil texture, has also been proposed, but has not been shown to be clearly superior (Trier et al. 2011). Still other formulations of  $C$  and  $z_{0h}$  have been proposed (e.g., Chen and Zhang 2009; Chen et al. 2010). Accounting for this uncertainty in a convection-allowing ensemble has not been documented, as existing research (e.g., Chen et al. 1996; Liang et al. 1996; Yang et al. 2008; Chen and Zhang 2009; Chen et al. 2010) has focused on comparison of forecast energy flux to observations at a small number of locations as a means of implementing improvements to a LSM rather than documenting uncertainty.

### b. Latent heat flux

Latent heat flux can be formulated similarly to sensible heat flux as

$$\text{LE} = \rho L_v M C_q (q_{v,g} - q_{v,a}), \quad (3)$$

where  $L_v$  is the latent heat of vaporization;  $M$  is moisture availability or soil moisture stress;  $q_{v,g}$  and  $q_{v,a}$  represent moisture terms at ground level and in the near-surface atmosphere, respectively; and  $C_q$  is the exchange coefficient. This formula is useful in illustrating the underlying physical processes of latent heat flux, but is not always practical since the term  $q_{v,g}$  is ambiguous. There are methods that approximate this formula or make assumptions about what  $q_{v,g}$  represents. However, it is more common in contemporary LSMs to partition the total latent heat flux into three components: 1) bare soil evaporation, 2) canopy water evaporation, and 3) plant transpiration. The formulations for these three components are highly varied among existing LSMs. In addition, the calculation of the exchange coefficient is very complicated in many schemes, and there are many different ways to formulate

stomatal resistance and soil moisture stress factors that control latent heat flux.

## 3. Experimental setup

Based on the uncertainties within available LSMs in the WRF, a series of perturbations was developed. These perturbations were then implemented in a set of 10-member ensembles using the Advanced Research version of the WRF, version 3.6.1, with 4-km grid spacing. Four LSMs were used to construct the ensembles. They include the Noah, multiparameterization Noah (Noah-MP; Niu et al. 2011), RUC, and Pleim–Xiu (PX; Xiu and Pleim 2001; Gilliam and Pleim 2010) schemes. Despite some major differences in the levels of complexity and the subsurface soil structure (especially in the PX LSM), preliminary testing revealed that reasonable forecasts can be made using each of these schemes.

One perturbation method was to vary  $C$  in (2), hereafter CZIL. Ensemble members were generated by sampling from the following set of values: {0.1, 0.25, 0.5}. The perturbations were only applied to members using the Noah LSM. A second perturbation methodology was to use various multiparameterization options in the Noah-MP scheme (Niu et al. 2011). To date, no study exists documenting the performance of the Noah-MP scheme in convection-allowing forecasts, neither deterministically nor in an ensemble framework by using different choices of processes. This study offers a first attempt to document the performance of the Noah-MP scheme in convection-allowing ensemble forecasts. The various multiparameterization options do not require coupling, so ensemble members were generated by comprehensively sampling from the available options for each process. There are 18 unique combinations of these options. Readers are referred to Niu et al. (2011) for details on specific options. The set of options used in various ensemble members is shown in Table 2. Namelist options `opt_crs`, `opt_sfc`, `opt_btr`, `opt_rad`, and `opt_tbot` refer to methods used for computing plant stomatal resistance, the exchange coefficient for heat, a soil moisture stress factor involved in stomatal resistance, interaction of solar radiation with the vegetation canopy, and heat flux at the bottom of the soil (at 2-m depth), respectively. The third perturbation strategy was to use multiple LSMs. The multitude of differences including soil structure, soil texture, land use classification, and formulation of physical processes among the LSMs provides an excellent opportunity to test the effectiveness of using a mixture of LSMs in an ensemble configuration. These perturbations are detailed in Tables 1 and 2. To isolate the impacts of the LSM perturbations,

TABLE 1. Description of member physics. The asterisk denotes a suggested coupling by scheme designers. The italics indicates the configuration of the LSMO members. FLSM members are configured as shown in the bold text, each using the Noah (CZIL = 0.1) LSM. MLSM members are configured as shown in each row regardless of font style. The control member has the configuration of the top row. Members are named using a short form in some figures since the names differ among ensembles but correspond to each other. Microphysics and PBL scheme references can be found in the WRF user's guide, chapter 5; references are omitted for brevity.

Microphysics	PBL	LSM	FLSM name	MLSM name	LSMO name	Figure name
Morrison	<b>MYNN</b>	<b><i>Noah (CZIL = 0.1)</i></b>	MYNN-MO	MYNN-MO-Z01	Z01	m1
NSSL	<b>MYNN</b>	<i>Noah (CZIL = 0.25)</i>	MYNN-N	MYNN-N-Z25	Z25	m6
Ferrier	<b>MYNN</b>	<i>Noah (CZIL = 0.5)</i>	MYNN-F	MYNN-F-Z50	Z50	m5
Thompson	<b>MYJ</b>	<i>Noah-MP1</i>	MYJ-T	MYJ-T-MP1	MP1	m2
WDM6	<b>YSU</b>	<i>Noah-MP2</i>	YSU-WD	YSU-WD-MP2	MP2	m4
WSM6	<b>ACM2</b>	<i>Noah-MP3</i>	ACM-WS	ACM-WS-MP3	MP3	m7
Thompson	<b>YSU</b>	<i>Noah-MP4</i>	YSU-T	YSU-T-MP4	MP4	m8
Morrison	<b>YSU</b>	<i>Noah-MP5</i>	YSU-MO	YSU-MO-MP5	MP5	m9
Milbrandt–Yau	<b>MYJ</b>	<i>RUC</i>	MYJ-MY	MYJ-MY-RUC	RUC	m3
Milbrandt–Yau	<b>ACM2*</b>	<i>PX*</i>	ACM-MY	ACM-MY-PX	PX	m10

neither initial nor lateral boundary condition perturbations, whether to the atmospheric or soil component, were used.

Three ensembles were constructed. A control ensemble, referred to as the fixed-LSM (hereafter FLSM) ensemble, contains no LSM perturbations. It uses the Noah LSM with CZIL = 0.1. Perturbations to other model physics are applied by using a mixture of microphysics, PBL, and surface layer parameterizations (Table 1). In contrast, the mixed-LSM (hereafter MLSM) ensemble, applies the LSM perturbations to the set of other physics diversity in the FLSM ensemble. To consider the effectiveness of the LSM perturbations alone, a third ensemble, the LSM-only (hereafter LSMO) ensemble, was constructed using the LSM perturbations but using an identical set of microphysics (Morrison; Morrison et al. 2009) and PBL/surface layer [Mellor–Yamada–Nakanishi–Niino (MYNN); Nakanishi and Niino 2009] parameterizations.

The effectiveness of the LSM perturbations against the other physics perturbations was evaluated statistically using 14 cases (Table 3). The cases featured diurnally driven deep moist convection either strongly forced by large-scale processes, by mesoscale features, or with weak large-scale forcing. Severe weather and heavy precipitation were produced in each case, but the degree of severity and the spatial coverage, as well as storm mode, differed among the cases. The 14 cases used in the statistical evaluation were simulated on the same model grid covering most of the continental United States ( $850 \times 750 \times 50$  vertical levels), initialized at 1200 UTC, and run for 36 h. Near-surface and lower-tropospheric isobaric fields were verified using RUC/RAP analyses interpolated to the model domain. The verification domain was bounded by  $25^{\circ}$ – $50^{\circ}$ N latitude and  $105^{\circ}$ – $80^{\circ}$ W longitude. Isobaric fields were

not interpolated below the surface, and such points were excluded from the verification domain.

#### 4. Verification over the 14 cases

##### a. Ensemble diversity and error

We first examine the relationship between ensemble spread and error using the root-mean-square error (RMSE) of the ensemble mean. Figure 1 shows ensemble standard deviation and the RMSE of the ensemble mean for low-level temperature, mixing ratio, and wind. The results are generally consistent among the various fields, levels, and forecast hours that the MLSM ensemble had larger spread and a smaller RMSE compared to the FLSM ensemble. One exception is during the early and late forecast hours for mixing ratio at 850 hPa when the RMSE of the MLSM ensemble was larger than that of the FLSM ensemble roughly between forecast hours 4 and 12 and between 29 and 35. The MSE was decomposed following Murphy (1988) and Hou et al. (2001), and revealed that, for this field, the switch in the order of RMSE was a combined result of a more severe negative bias in the MLSM ensemble and a reduction in the dispersion term in the FLSM ensemble (Fig. 2). The dispersion term can be thought of as the true skill measure since it is the only component that cannot be removed through calibration. The dispersion term accounts for the spatial

TABLE 2. Noah-MP LSM namelist options.

LSM	opt_crs	opt_sfc	opt_btr	opt_rad	opt_tbot
Noah-MP1	1	1	1	3	2
Noah-MP2	2	2	2	2	2
Noah-MP3	1	2	3	1	2
Noah-MP4	2	2	1	3	1
Noah-MP5	1	1	2	2	1

TABLE 3. List and brief description of the cases used in the statistical analysis.

Date	Location of most vigorous convection	Main feature(s) of interest
24 May 2011	Central Great Plains	Outbreak of supercells and violent tornadoes
14 Apr 2012	Central Great Plains	Outbreak of supercells and tornadoes
3 Apr 2014	South-central United States and “Dixie Alley”	Large squall line with supercells
27 Apr 2014	Mid-Mississippi Valley	Multiple waves of supercells and short squall-line segments
11 May 2014	Central Great Plains	Outbreak of mostly nontornadic supercells
3 Jun 2014	Midwest	Training supercells and line segments with wind driven large hail
6 Jun 2014	Central high plains	Supercells evolving into two MCSs
6 May 2015	Central and southern Great Plains	Scattered clusters of tornadic supercells
21 Jun 2015	Northern Great Plains and upper Midwest	Fast-moving asymmetric MCS with associated MCV
13 Jul 2015	Great Lakes and mid-Atlantic	Multiple squall lines
17 Jul 2015	Northern Great Plains and upper Midwest	Supercells evolving into two MCSs
25 Jul 2015	Northern Great Plains and Midwest	Marginally severe MCSs
27 Jul 2015	Northern Great Plains	Long-lived squall line
28 Jul 2015	Central Great Plains and Midwest	Generally nonsevere and disorganized convection along a front

correlation or phasing error between the forecast and observed field. The dispersion term contributed more than 90% of the MSE in the temperature, moisture, and wind fields (Fig. 3 shows an example for temperature at 700 hPa).

The LSMO ensemble contained forecasts that were competitive with the FLSM and MLSM ensembles despite the lack of microphysics and PBL diversity. For example, the LSMO ensemble had a slightly lower RMSE between forecast hours 12 and 15 in the 925-hPa  $v$  wind, between forecast hours 13 and 15 in the 850-hPa  $u$  wind, and between forecast hours 3 and 8 in the 925-hPa temperature. In addition, the LSMO ensemble had larger spread than the FLSM ensemble during the early and late forecast hours for 925- and 850-hPa temperature (Fig. 1). These results indicate the value of including LSM perturbations to account for model error in an ensemble approach.

While the macroscopic spread-error statistics of the MLSM ensemble were improved over the FLSM ensemble, it is also important to analyze the performance of individual members within the ensembles. Since each member uses different physics, each member lies on a different model attractor, and there may be systematic bias changes that can reduce the correlation between ensemble spread and error. It is apparent from Fig. 1 that not only is each ensemble underdispersive (spread is lower than RMSE throughout the forecast), but that spread and RMSE do not always evolve together (i.e., they are not perfectly correlated). The 850-hPa mean  $v$ -wind error and RMSE for each member of the ensembles is shown in Fig. 4. There is more diversity among the members of the MLSM ensemble than the FLSM ensemble, a common feature among the fields verified. However, the LSM perturbations resulted in some noticeable systematic biases. For example, member MYJ-MY-RUC of the MLSM ensemble is consistently

negatively biased, and generally has a lower bias than all other MLSM ensemble members. It also consistently has the highest RMSE, and so would be regarded as an outlier member. There are no outlier members in the FLSM ensemble. However, there are also several MLSM ensemble members whose biases are close to  $0.0 \text{ m s}^{-1}$  between forecast hours 18 and 24 when FLSM ensemble members are consistently negatively biased. Since the RMSEs of these members are very similar between ensembles during this span, this represents an instance when the MLSM ensemble distribution is truly improved over the FLSM ensemble.

The  $v$  wind at 850 hPa was not the only field that exhibited this behavior for member MYJ-MY-RUC. In fact, this member of the MLSM ensemble had noticeably larger RMSEs at nearly every forecast hour in all wind fields as well as many early forecast hours in the temperature fields (not shown). Additionally, member MYNN-N of the FLSM ensemble was an outlier at many forecast hours in many 925-hPa fields, as was member MYNN-N-Z50 of the MLSM ensemble. Therefore, there is some systematic behavior among some members of each ensemble rendering forecast distributions that are not properly populated. Discounting the outliers in general, there were fields and forecast hours at which the distribution of biases and RMSEs in the MLSM ensemble were more diverse than those of the FLSM ensemble, indicating a true improvement in the forecast distribution of the MLSM ensemble over the FLSM ensemble.

#### *b. Probabilistic precipitation verification*

Probabilistic forecasts of 1-h accumulated precipitation were verified using stage IV precipitation analyses. Verifying data were mapped to the model grid using bilinear interpolation. Probabilistic quantitative precipitation forecasts (PQPFs) were constructed



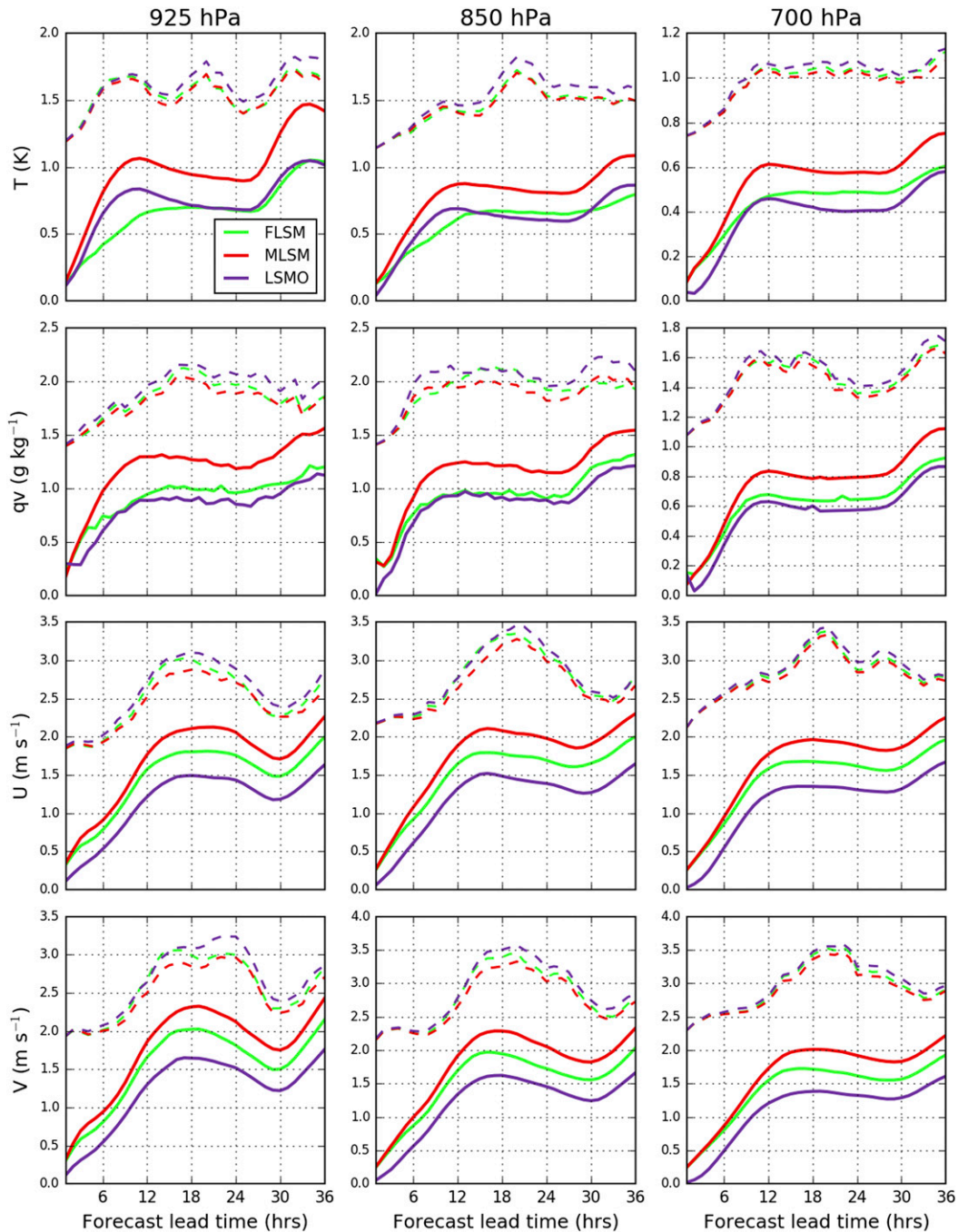


FIG. 1. Ensemble spread (solid) and RMSE of the ensemble mean (dashed) for the indicated fields, averaged over the 14 cases.

using a 50-km circular neighborhood. The results were fairly similar among various metrics, so only a limited set are presented. First, the Brier score is shown in Fig. 5, along with the resolution, reliability, and uncertainty components. Forecasts in the MLSM and FLSM ensembles were skillful at the lightest threshold (0.254 mm) throughout the forecast, and after forecast hour 12 in the

LSMO ensemble. At the 2.54-mm threshold, the MLSM ensemble forecasts became skillful at forecast hour 14 while the FLSM and LSMO ensembles followed suit 1 and 4 h later, respectively. Forecasts at a high threshold of 12.7 mm—a comparatively rare event—were unskillful throughout the forecast. The Brier score of the MLSM ensemble was generally lower than that of the FLSM

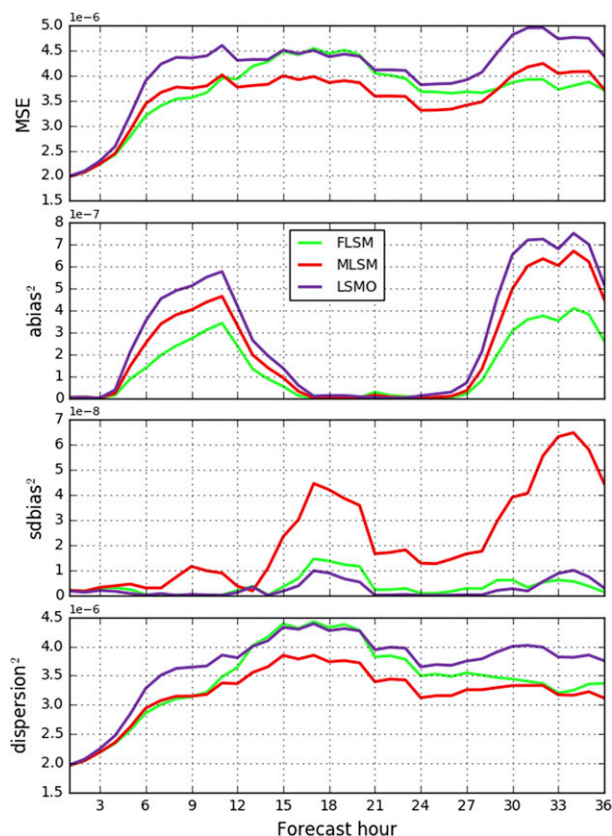


FIG. 2. The decomposed mean square error of the 850-hPa mixing ratio field [each term has units of  $(\text{kg kg}^{-1})^2$ ]. Abbreviations are as follows: mean square error (MSE), mean error squared ( $\text{abias}^2$ ), and square of the error of the standard deviation ( $\text{sbias}^2$ ).

ensemble, especially during the middle of the forecast (roughly hours 9–18), which corresponds to late afternoon and evening when convection was most active in the cases. The increased sample size during these times increases the robustness of the result suggesting the MLSM ensemble produced better PQPFs than the FLSM ensemble regardless of threshold. The improved forecasts in the MLSM ensemble are partially due to better reliability (lower is better) and resolution (higher is better). As is typical of convection-allowing ensembles with only model error sources addressed [other examples can be found in [Bentzen and Friederichs \(2012\)](#) and [Romine et al. \(2014\)](#)], the main cause of imperfect reliability in each ensemble is overforecasting at high probabilities of exceedance (not shown). The MLSM ensemble improved upon the FLSM ensemble by both reducing that overconfidence at high probabilities and increasing a slight underconfidence bias at the lowest probabilities. The Brier score components were very similar after about forecast hour 24 at all thresholds shown in [Fig. 5](#). The FLSM ensemble actually had slightly better resolution after forecast hour 21 at the 0.254-mm threshold,

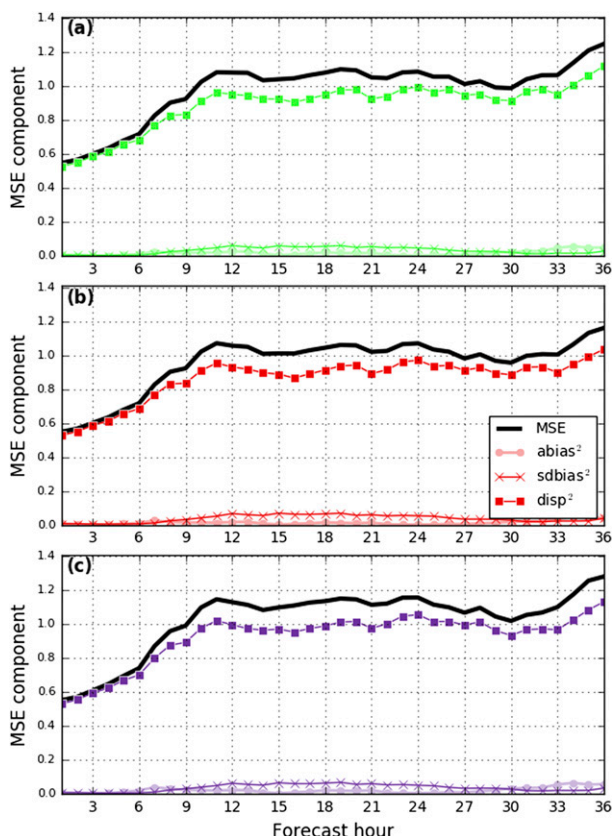


FIG. 3. The decomposed mean square error of 700-hPa temperature (all units are  $\text{K}^2$ ). Abbreviations are as in [Fig. 2](#). (a) FLSM ensemble, (b) MLSM ensemble, and (c) LSMO ensemble.

resulting in a Brier score nearly identical to that of the MLSM ensemble.

The neighborhood-based analog to the Brier score, the fractions Brier score (FBS; [Schwartz et al. 2010](#)), strongly agrees with the impression given by the Brier score despite inclusion of the neighborhood-based method of verification, which is much more appropriate than the gridpoint-by-gridpoint method in the Brier score for verifying convection-allowing precipitation forecasts. The FBS of the MLSM ensemble is lower than that of the FLSM ensemble between forecast hours 6 and 24, 6 and 22, and 8 and 22 at the 0.254-, 2.54-, and 12.7-mm thresholds, respectively ([Fig. 6](#)). The LSMO ensemble has poorer scores throughout the forecast at each threshold. The area under the receiver operating characteristic (ROC) curve ([Mason 1982](#)) for neighborhood forecasts was also computed. ROC areas were very similar between the FLSM and MLSM ensembles, but were slightly higher in the MLSM ensemble during the middle portions of the forecast, much like in the Brier score and FBS (not shown). ROC areas for the LSMO ensemble were substantially lower than those

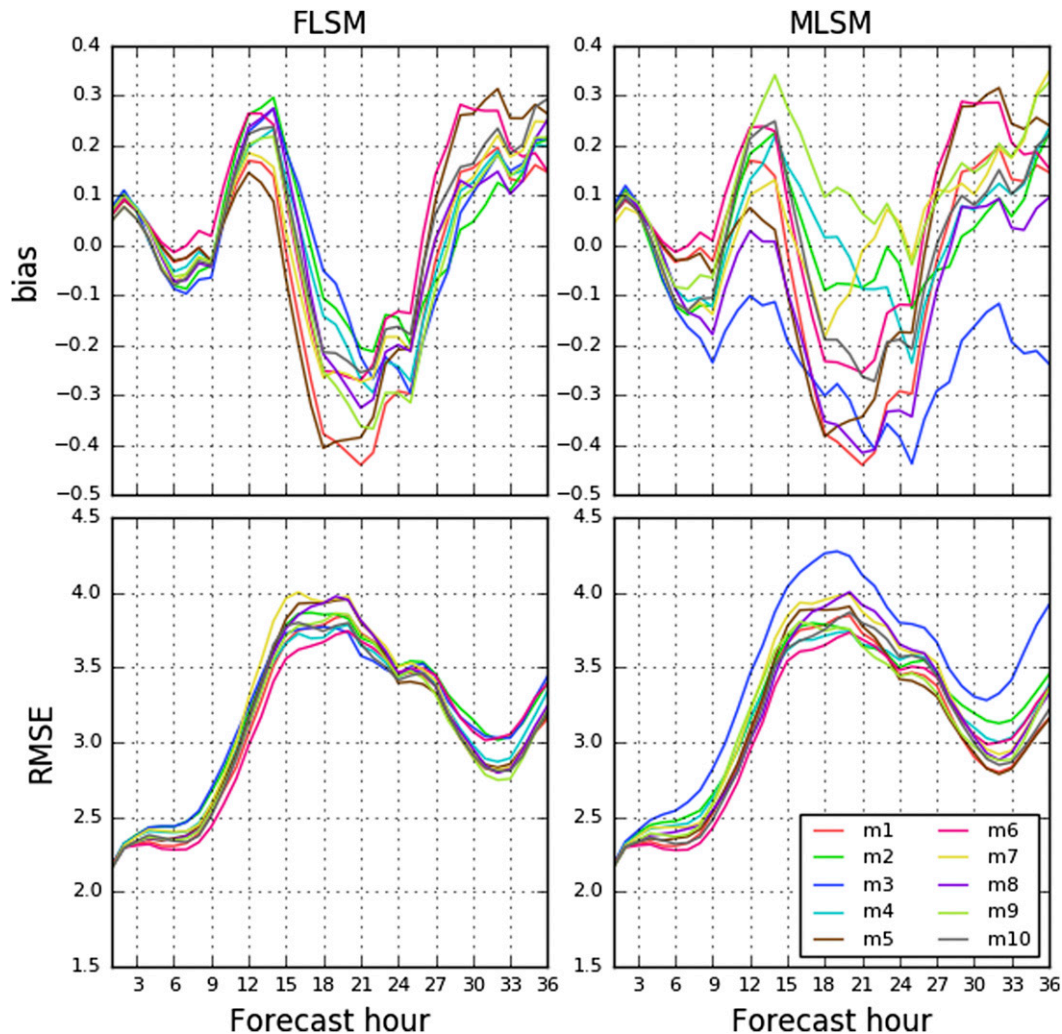


FIG. 4 (top) Mean errors and (bottom) RMSEs for each member of the (left) FLSM and (right) MLSM ensembles for 850-hPa  $v$  wind ( $\text{m s}^{-1}$ ). See Table 1 for the member naming scheme.

from the FLSM and MLSM ensembles. Thus, the broad range of verification metrics consistently point to the fact that the MLSM ensemble produced better probabilistic forecasts of precipitation than the FLSM ensemble.

### c. Land surface properties

#### 1) SURFACE FIELDS

Surface properties like 2-m temperature and mixing ratio and 10-m wind are highly valuable in assessing the skill of LSM schemes. However, verification of such variables was not strictly performed in this study due to the discovery of problems with some diagnosed fields, especially 2-m temperature in members using the Noah-MP LSM. This issue cast considerable doubt on the validity of verification metrics for these fields. However, a general sense of the behavior of the members can still

be determined. A physical explanation for the problem is provided in section 5. Instead of verification, member mean values of these fields are discussed.

The mean 2-m temperature over the 14 cases is shown in Fig. 7. The diurnal cycle is clearly evident. Also evident is the problem with diagnosed 2-m temperature values in three members using the Noah-MP LSM (YSU-WD-MP2, ACM-WS-MP3, and YSU-T-MP4 in the MLSM ensemble). Daytime temperatures are several degrees warmer than those in other members. It is also evident that there is more variability in the 2-m temperature at all forecast hours in the MLSM ensemble compared to the FLSM ensemble, even if discounting the three aforementioned members. Overall the RUC LSM produced cooler temperatures during the daytime and warmer temperatures at night, while members YSU-WD-MP2/MP2 of the MLSM/LSMO ensemble were warm during the day and



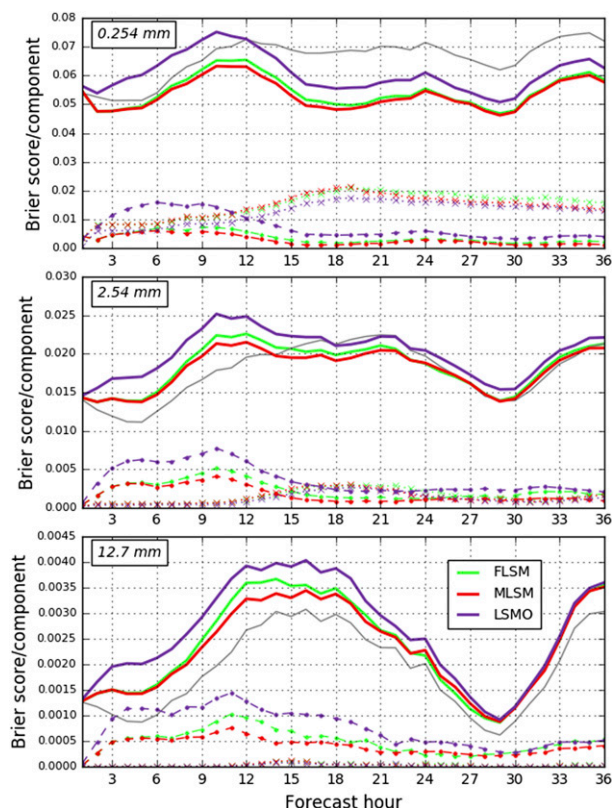


FIG. 5. Brier score (thick solid lines) of 1-h accumulated precipitation at the indicated thresholds. The Brier score components are also included: reliability (dashed lines with dots), resolution (dotted lines with  $\times$ s), and uncertainty (solid gray).

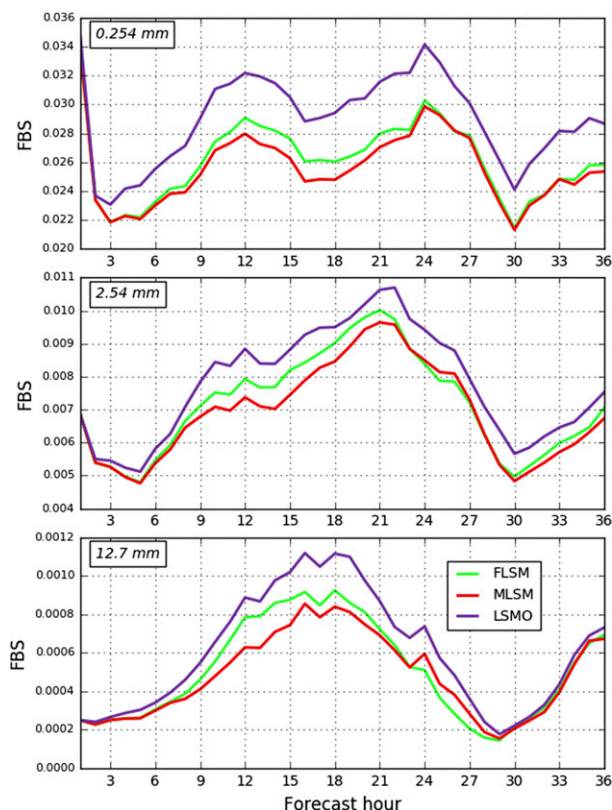


FIG. 6. Fractions Brier scores for 1-h accumulated precipitation at the indicated thresholds.

coolest at night. There was some tendency for the PX LSM to produce cooler 2-m temperatures at night.

Issues with diagnosed 2-m mixing ratios are evident in Fig. 8. Using the values in the FLSM ensemble as a baseline, there is an anomalous positive bias in members using the RUC and Noah-MP LSMs (m3, m4, and m8, in particular, in the Fig. 8 legend). The bias is especially notable in the RUC LSM. Considering these members as outliers and excluding them from the remainder of the analysis, there is not much difference in the ensemble diversity in the MLSM ensemble compared to the FLSM ensemble, although Fig. 1 suggests that elsewhere in the troposphere, this is not the case. Members using the Noah LSM had the lowest 2-m mixing ratios at all times while the RUC LSM generally produced the highest mixing ratios. This trend was evident at higher levels as well (not shown), but less so with increasing height. Therefore, despite apparent issues with diagnosed 2-m mixing ratios in the RUC LSM, it still appears to have produced the highest overall moisture content. This tendency is consistent with the surface fluxes.

## 2) SURFACE ENERGY FLUXES

Time series of average sensible heat flux confirm that members MYJ-T-MP1 and YSU-MO-MP5 of the MLSM ensemble and MP1 and MP5 of the LSMO ensemble produced the highest values during the daytime, while members MYJ-MY-RUC and YSU-T-MP4 of the MLSM ensemble and RUC and MP4 of the LSMO ensemble produced the lowest values, although the tendency was less pronounced during the latter part of the forecast period in members using the RUC LSM (not shown). Histograms of sensible heat flux corroborate these tendencies (Fig. 9). The members with higher average sensible heat fluxes had flatter, less modal histograms with a larger fraction of points having higher values. In contrast, distributions in members with the smallest average sensible heat flux values were not only concentrated in the lower range of the histogram values, but also had left-shifted modes relative to other members. Unexpectedly, the histogram for members using the RUC LSM shifted toward higher values of sensible heat flux on the second day (not shown), which is unique among the LSMs in this study. The causes of this are unknown.

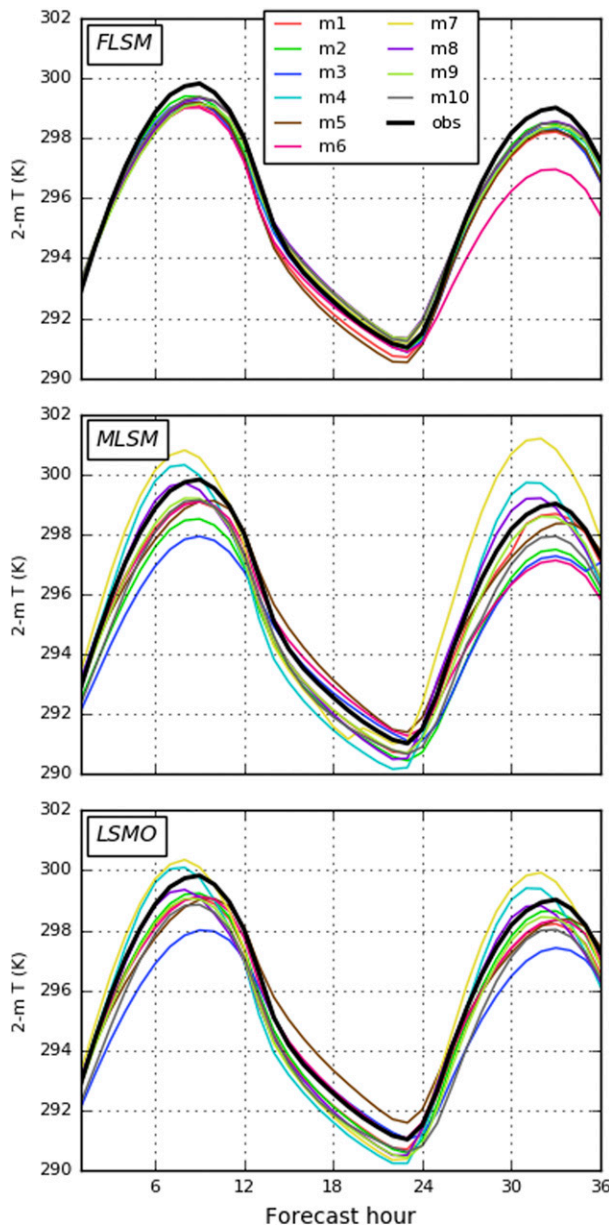


FIG. 7. The 2-m temperature averaged over the 14 cases for each member of each ensemble.

Time series of average moisture flux show that members using the RUC LSM had the largest values during the daytime compared to other members, although members MYNN-MO-Z01 and ACM-MY-PX of the MLSM ensemble and Z01 and PX of the LSMO ensemble also had relatively high values (not shown). Members using the Noah-MP LSM, especially member MP3 of the LSMO ensemble, had lower values than other members, including those established by the Noah LSM comprising the FLSM ensemble. The distributions in most members were flat except for a peak at very low

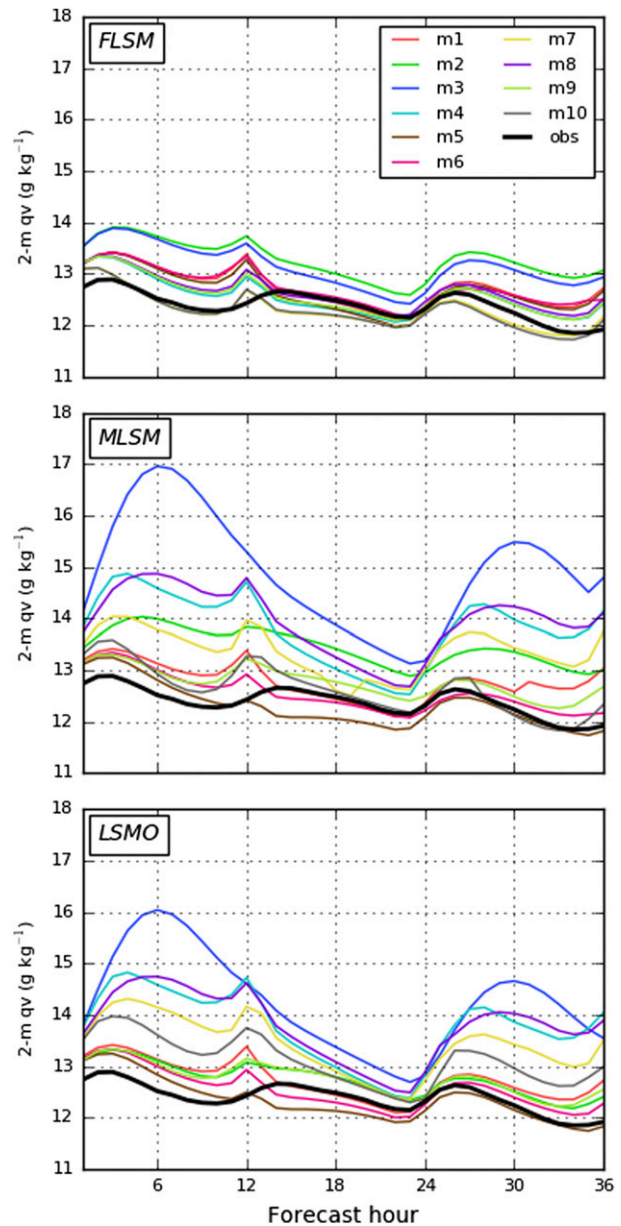


FIG. 8. As in Fig. 7, but for 2-m mixing ratio.

values (Fig. 10). However, members using the RUC LSM and Noah LSM with CZIL = 0.1 had more mass at the upper tail of values, whereas the opposite was true for members using the Noah-MP LSM.

### 3) SOIL PROPERTIES

Soil temperature and moisture were verified using observations from the Oklahoma and west Texas mesonets. Verification was limited only to those locations where valid observations were recorded (i.e., gridded verification was not performed). A range of 140–150 valid observations per case were used. Verification of

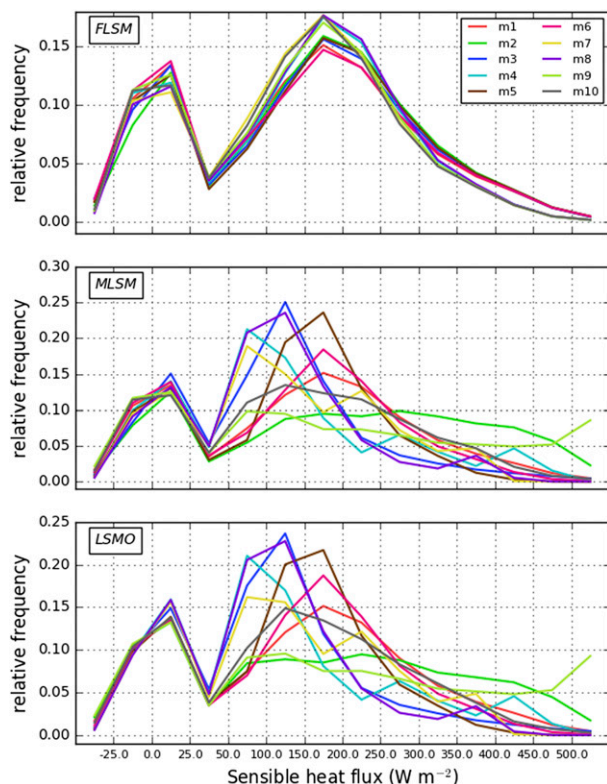


FIG. 9. Distribution of sensible heat flux at forecast hour 6, corresponding to 1800 UTC (the average diurnal peak), averaged over the 14 cases.

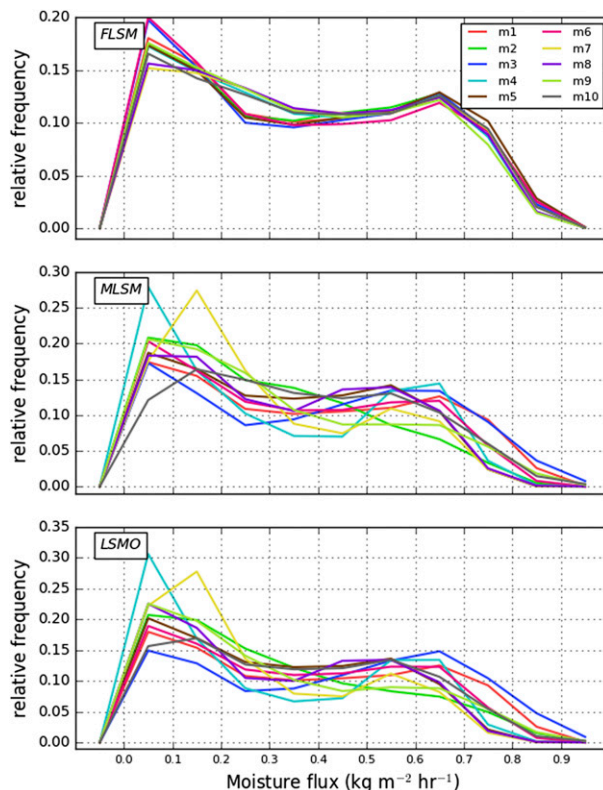


FIG. 10. As in Fig. 9, but for moisture flux at forecast hour 7, corresponding to 1900 UTC (the average diurnal peak).

soil temperature at 5-cm depth for each member of each ensemble is shown in Fig. 11. Strong clustering by PBL scheme is evident in the FLSM ensemble, whereas there is much more variety in the MLSM and LSMO ensembles. Biases were generally positive in all ensembles and numerically smaller in the FLSM ensemble members. The bias and RMSE also followed a diurnal cycle in each member, except for a  $180^\circ$  phase offset in members ACM-MY-PX and PX, both of which used the PX LSM. The vertical soil structure may be responsible for the offset, as interpolation between a very thin and a very thick layer was required to obtain soil data at 5 cm from the PX LSM. It may be the case that the vertical soil structure in the PX LSM is too simple to handle the more complex processes that the other LSMs can. However, this hypothesis is speculative. The cycle in the other members is consistent with too much ground heat flux into the upper soil layers during the daytime, as biases decreased to nearly 0.0 K by sunrise. Some members, in particular, had a rather large positive bias during the daytime. This includes members that used  $\text{opt\_sfc} = 2$  in the Noah-MP LSM and members MYNN-F-Z50 and Z50, which used a large CZIL value, causing heat exchange at the surface to be limited compared to

members that used lower CZIL values. The  $\text{opt\_sfc} = 2$  issue could either be a mismatch between the Noah-MP and surface layer scheme or a miscalculation within the scheme code. However, this is only speculative. There is no documented testing of this option available to determine the cause of this issue. This issue is discussed further in section 5. While the larger diversity of soil temperature values resulted in larger spread in the MLSM and LSMO ensembles (not shown), the inadequate heat exchange resulted in inappropriate soil temperatures and larger biases. Members MYNN-MO-Z01 and Z01 tended to have the smallest biases when integrated over the forecast cycle, but they also had small biases at any given forecast hour. The members using the RUC LSM and members YSU-MO-MP5 and MP5 also had comparatively small biases.

Soil moisture bias at 5-cm depth also showed a diurnal cycle, but the RMSE did not (Fig. 12). Biases were entirely negative, suggesting soil was always forecast to be too dry. No clustering in the bias or RMSE was evident as was seen in soil temperature. There was slightly more variability in the bias and RMSE in the MLSM and LSMO ensembles compared to the FLSM ensemble, however. Member MYNN-N had the lowest



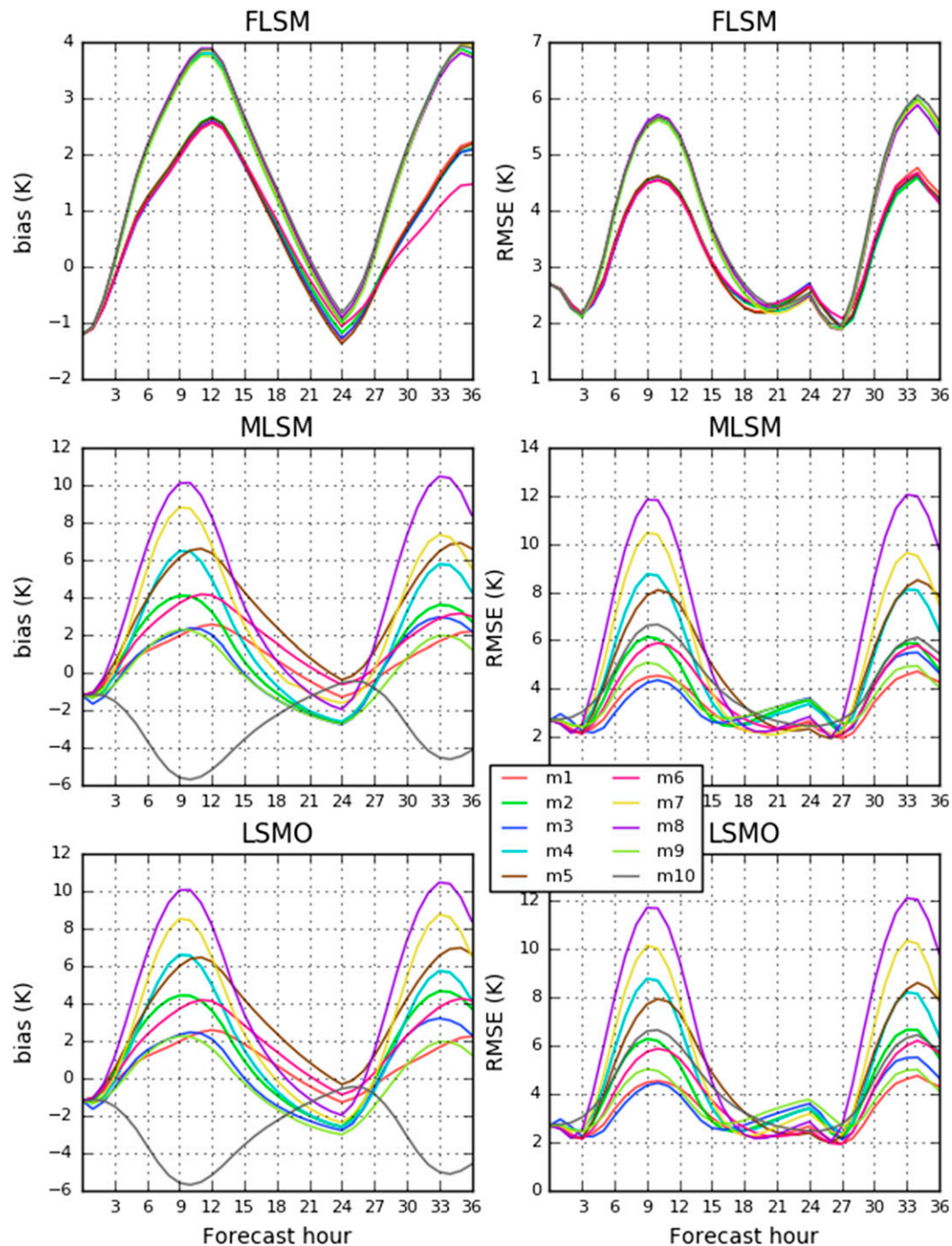


FIG. 11. (left) Bias and (right) RMSE for soil temperature at 5-cm depth.

(most negative) bias and some of the highest RMSEs among the FLSM ensemble, whereas members MYJ-T-MP1, YSU-T-MP4, and ACM-MY-PX of the MLSM ensemble, and members MP1, MP4, and PX of the LSMO ensemble had the most negative biases and the largest RMSEs. There is little commonality among these members to explain this outcome. Members

MYJ-MY-RUC, YSU-WD-MP2, and ACM-WS-MP3 of the MLSM ensemble and RUC, MP2, and MP3 of the LSMO ensemble had the least negative biases and lowest RMSEs. This mix of good and bad members suggests a reasonable set of perturbations was used in this experiment for forecasts of soil temperature and moisture.



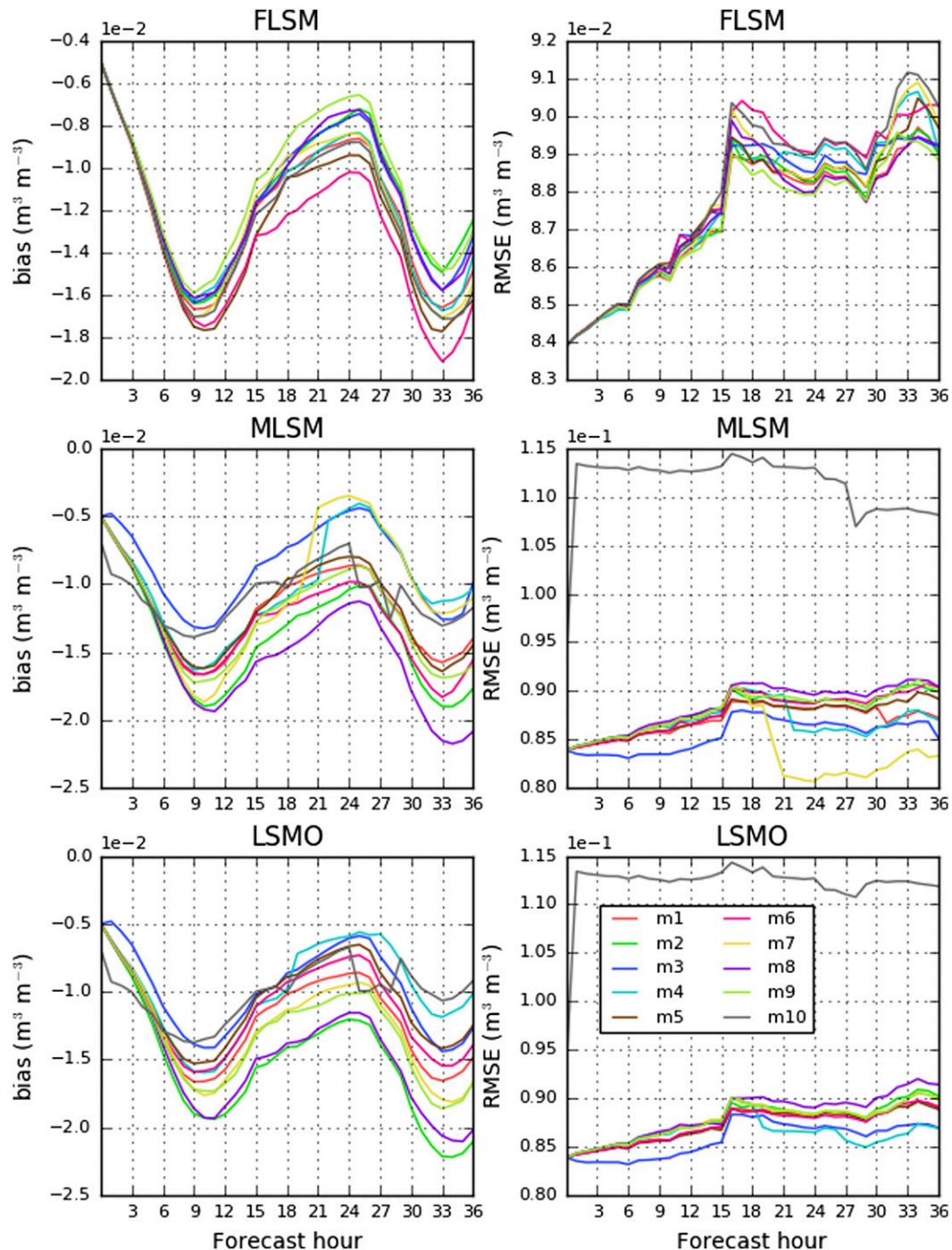


FIG. 12. As in Fig. 11, but for soil moisture at 5-cm depth.

## 5. Case study

A case study can be valuable in discerning the physical causes of changes or improvements in the performance of the MLSM ensemble over the FLSM ensemble. A case of a severe weather outbreak that occurred on

13 June 2010 is analyzed. This case was not part of the 14 cases used in the systematic evaluation of the ensembles, but the ensemble members behave in accordance with the systematic behaviors seen in the evaluation over a larger number of cases and therefore this case serves as an excellent example of how LSM perturbations impact

the forecast. For brevity, and because the LSM perturbations are isolated in the LSMO ensemble, the discussion on the physical response of the perturbations focuses entirely on LSMO ensemble members; the FLSM and MLSM ensembles are only briefly discussed.

The combination of a high-amplitude trough, unseasonably high lower-tropospheric moisture content, and a climatological triggering mechanism—a dryline, in this case accompanied by an outflow boundary (OFB) from a prior mesoscale convective system (MCS)—provided the necessary ingredients for tornadic supercells in the central plains, focused across the Texas and Oklahoma Panhandles during the afternoon of 13 June. These cells would later evolve upscale into an MCS. The case study focuses on the pre-convective environment during the afternoon preceding convection initiation as well as initiation itself.

The ensemble precision in initiation location is illustrated in Fig. 13. Temporal errors in initiation have been ignored. An eastward bias is apparent in each ensemble. However, since every member was 1–3 h late in initiation, the eastward bias may partially be due to advective effects of the boundary, which moved very slowly eastward in both the observations and the forecasts during the few-hour period around initiation. However, examination of near-surface thermodynamic and forcing fields (not shown) suggests that even if initiation had occurred on time, differences in boundary location and orientation compared to observations would still have led to errant initiation location in most members. Larger variability in the orientation and placement of the OFB was seen in the FLSM and MLSM ensembles compared to the LSMO ensemble (not shown), whereas it is difficult to discern differences in the variability of the OFB between the FLSM and MLSM ensembles. In fact, there is little evidence to suggest the LSM perturbations altered the placement or orientation of the OFB in any meaningful way prior to initiation. The choice of PBL or microphysics scheme had more impact on this aspect of the forecast.

Overall, variability in the spatial distribution at initiation was highest in the MLSM ensemble and lowest in the LSMO ensemble. Whereas each member of the LSMO ensemble initiated storms within Beaver County, Oklahoma, and Ochiltree, Lipscomb, Hemphill, and Roberts Counties, Texas, storms developed in a more widespread area of the Texas and Oklahoma Panhandles and southwest Kansas in both the FLSM and MLSM ensembles. There were members that initiated convection farther northwest and southeast in the MLSM ensemble compared to the FLSM ensemble.

The PBL across the southern plains during the daytime of 13 June is now analyzed. Air mass mean energy fluxes and thermodynamic quantities (potential temperature and mixing ratio) were calculated for the warm sector

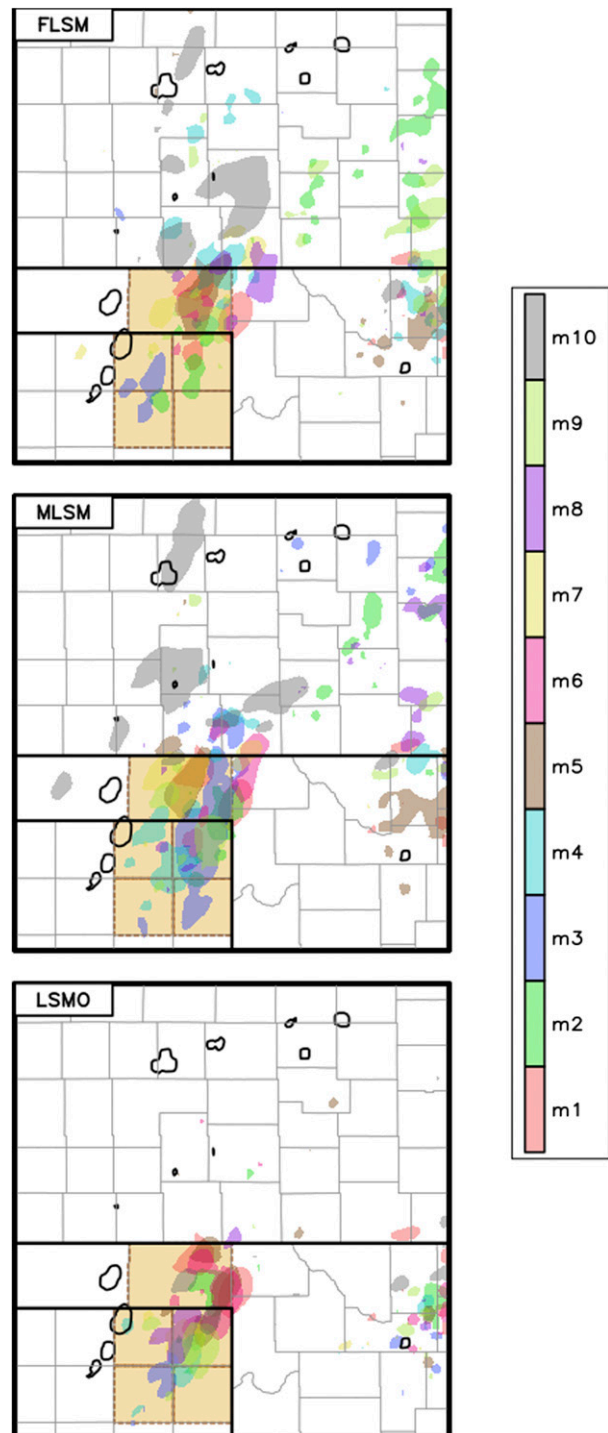


FIG. 13. Paintball plot for composite reflectivity exceeding 40 dBZ for each member of each ensemble (color shades) and observations (black contour). The forecasts and observations are staggered in time and show reflectivity approximately 1 h after the first appearance of reflectivity exceeding 40 dBZ in each member. The observations are valid at 1900 UTC 13 Jun 2010 while the forecasts are valid between 2000 and 2200 UTC. Counties indicated in the text are highlighted.

east of the dryline and south of the OFB (containing much of Oklahoma and Texas) and for the region behind (west) of the dryline (containing mostly west Texas and parts of New Mexico). Overall, differences among the members in near-surface mixing ratio and potential temperature of  $1\text{--}2\text{ g kg}^{-1}$  and  $1\text{--}2\text{ K}$ , respectively, were common throughout the late morning and afternoon across Oklahoma and Texas. Members RUC and PX were noticeably more moist everywhere (Figs. 14e,f), although the drying west of the dryline in member RUC was considerably greater than in any other members, rendering it one of the drier members by the early evening. Member MP4 was also moist west of the dryline, but not in the warm sector. Members MP4 and PX tended to be cooler with shallower PBLs in both air masses, and member MP2 was also cool (point soundings show this better than air-mass-averaged quantities; not shown). Member RUC was cooler in the warm sector, but warmer behind the dryline, due to an apparent phase offset in the sensible heat flux pattern (not shown). Members MP1, MP3, and MP5 had the warmest and driest, and some of the deepest, PBLs in the warm sector in the afternoon (Figs. 14a,c,e). Members with CZIL perturbed were clustered, but member Z01 was warmest west of the dryline and coolest and the most moist in the warm sector, whereas member Z50 exhibited the opposite trends.

The primary feedback from the LSM component to the atmospheric component of the WRF occurs through the sensible heat flux and moisture flux. Since these processes are bottom boundary forcings for the atmosphere, LSM perturbations should be the most effective nearest the surface.

Early afternoon sensible heat flux is shown in Fig. 15. Large differences among the members can be found, especially in western Texas and eastern New Mexico. In this area sensible heat flux is the largest in members MP1, RUC, and MP5. Members MP1 and MP5 use the generic Monin–Obukhov method ( $\text{opt\_sfc} = 1$ ) in the Noah-MP LSM (Niu et al. 2011) to calculate the surface exchange coefficient, and the exchange coefficient for heat is much larger over most of the region in those members compared to most other members, including the other Noah-MP members (not shown). The higher exchange coefficient means that for a given temperature difference between the lowest model level and the ground surface (skin temperature) more heat is transported from the surface into the atmosphere, which should result in higher near-surface temperatures and warmer and deeper PBLs. There is a stark contrast between members MP1 and MP5 and members MP2, MP3, and MP4, the latter of which use the Chen et al. (1997) method ( $\text{opt\_sfc} = 2$ ) to calculate the exchange coefficient. The exchange coefficient in these latter members is much lower, restricting transport of heat away from the surface. As a result, there is an

accumulation of heat at the surface, and the energy is instead forced to be transported deeper into the soil, which is manifest as larger ground heat flux and warmer daytime soil temperatures (Figs. 16d–f). The opposite is true for members MP1 and MP5. The very low exchange coefficient values may not be reasonable, as further shown by the diagnosed 2-m temperature over some areas (Fig. 17). In parts of the mid-South and southeastern United States, diagnosed 2-m temperature values exceeded 325 K during peak heating! Such values are within a few degrees of current world record maximum temperatures and are clearly not legitimate. These temperatures are the result of the excessive skin temperature from the heat trapped at the surface. It was this issue that prevented meaningful verification of 2-m temperature in this study. A similar issue may have plagued diagnosed 2-m mixing ratio values as well, although to a lesser extent.

Sensible heat flux values are also consistent with the values of CZIL in members Z01, Z25, and Z50. In the MYNN surface layer scheme, as CZIL increases,  $z_{0h}$  decreases, so the exchange coefficient decreases, as does sensible heat flux. Member Z50 uses CZIL = 0.5 and has the smallest sensible heat flux compared to members Z01 and Z25. Member Z01 uses CZIL = 0.1 and has the largest sensible heat flux. Because it also has a higher exchange coefficient, sensible heat flux in member RUC is among the highest in the LSMO ensemble west of the dryline. However, east of the dryline, member RUC is closer to the ensemble mean in terms of sensible heat flux. As a result, there is less vertical mixing in the PBL east of the dryline in member RUC, and it remains one of the cooler and moister members.

Surface moisture flux is of chief importance in driving variability in moisture content among LSMO members. Total moisture flux is dependent on many factors, including plant type, soil moisture availability, moisture contrast between the surface and the lower atmosphere, amount of condensed water present on the vegetation canopy, and incident solar radiation, but since each LSM parameterizes moisture flux differently, exchange coefficients differ strongly among the members. Therefore, differences in total moisture flux can arise through a wide variety of processes. Some processes are discussed below.

Moisture flux accumulated over the daytime of 13 June and prior to initiation is shown in Fig. 18. There is a large overall increase in moisture flux from west to east across the region, the result of not only a west–east increase of soil moisture, but also of an increase in vegetation density across the region. Across Texas and western Oklahoma moisture flux is especially larger in members RUC and PX with more isolated areas of larger moisture flux in members MP1, MP2, and MP4. Moisture flux is the lowest in member MP3. The streaky



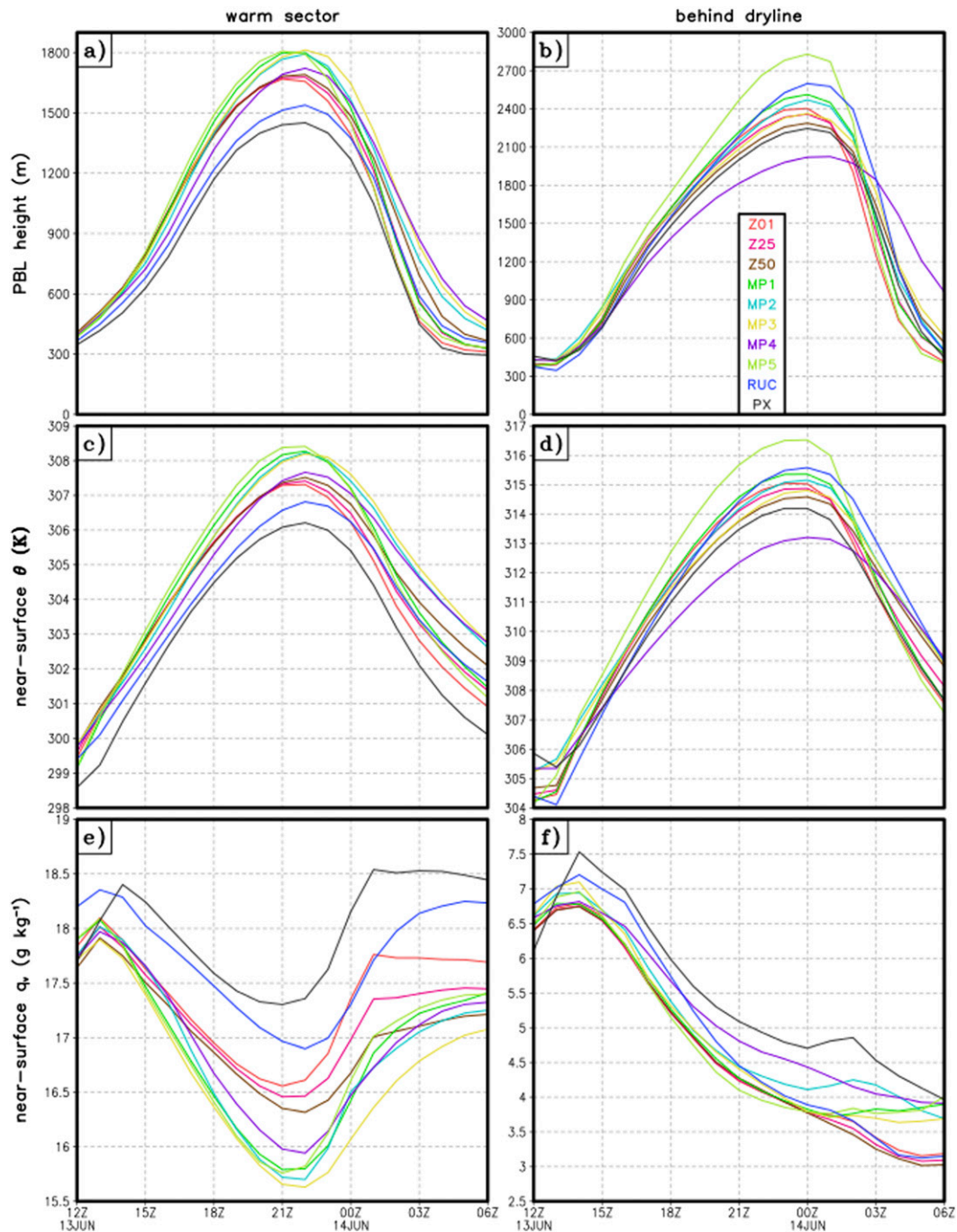


FIG. 14. Airmass-averaged (a),(b) PBL height; (c),(d) potential temperature; and (e),(f) water vapor mixing ratio (a),(c),(e) east of the dryline and (b),(d),(f) behind the dryline for LSMO ensemble members.

nature of the large moisture flux in member RUC is the result of large bare soil evaporation (not shown), with some of the liquid provided by previous overnight convection. On average, however, bare soil evaporation in member RUC was higher than in any other member regardless of antecedent soil moisture. While bare soil

evaporation was also high in member PX, plant transpiration drove much of the higher moisture flux in that member (not shown). The overall low moisture flux in member MP3 was the result of rather low plant transpiration, unique behavior among members that used the Noah-MP scheme. There were large differences in



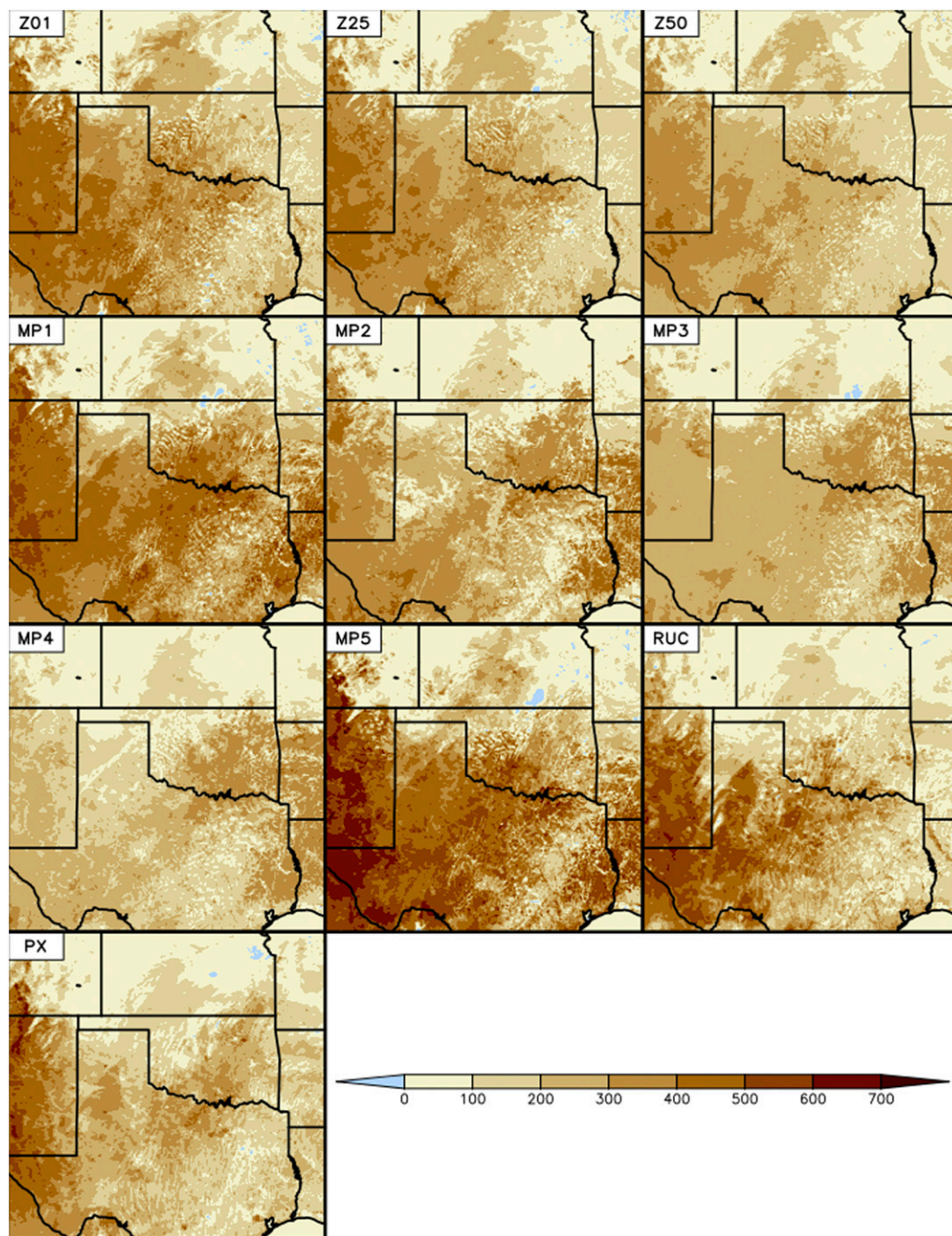


FIG. 15. Sensible heat flux ( $\text{W m}^{-2}$ ) at 1900 UTC 13 Jun 2010 in the LSMO ensemble.

the spatial patterns of both ground evaporation<sup>1</sup> and especially plant transpiration among Noah-MP members,

<sup>1</sup> Because of the semi-tiling approach in the Noah-MP LSM, bare soil evaporation is only one component of the full moisture flux from ground evaporation.

suggesting an effective perturbation strategy by varying *opt\_crs*, *opt\_btr*, and *opt\_rad*, all of which should influence these processes.

A detailed examination of the moisture flux behaviors in the LSMO ensemble members is offered using Fig. 19, taken from a point near the Oklahoma Mesonet site at Chandler. There is a significant clustering of total

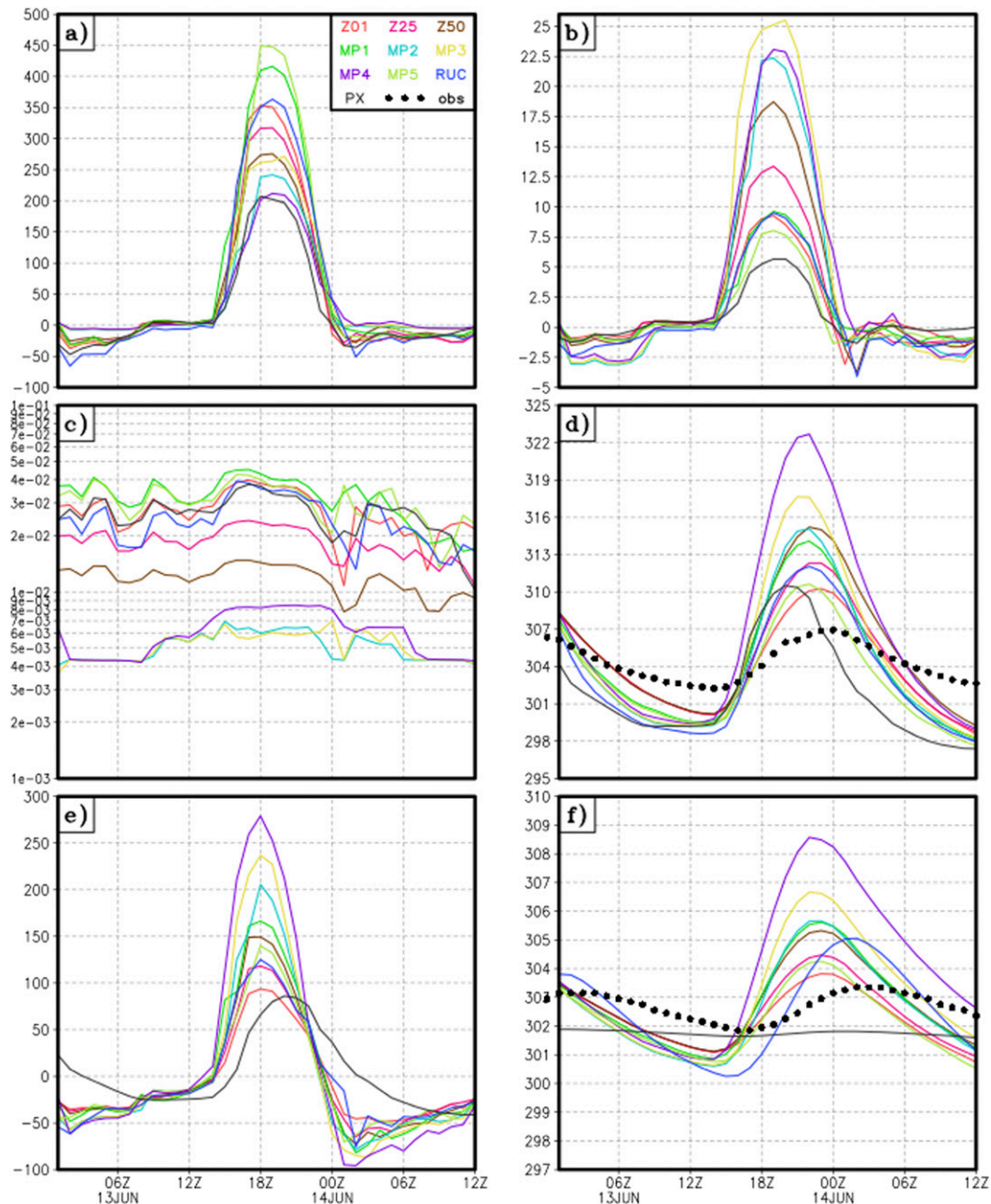


FIG. 16. Terms in the surface heat budget from LSMO ensemble members: (a) sensible heat flux ( $\text{W m}^{-2}$ ), (b) difference between skin temperature and temperature at the first model level (K), (c) exchange coefficient for heat (unitless), soil temperature at (d) 5- and (f) 20-cm depth, and (e) ground heat flux ( $\text{W m}^{-2}$ ). The location is at (lat, lon) = (33.168°N, 100.568°W), corresponding to the west Texas mesonet site near Jayton, TX (KJTS). Soil temperature observations are included in (d) and (f).

surface moisture flux: members using the Noah scheme and the RUC and PX schemes have the most moisture flux during the daytime, whereas members using the Noah-MP scheme have much less moisture flux. Since there was very little precipitation during the simulation at this location, there was correspondingly little canopy

water evaporation, so ground evaporation and plant transpiration provided effectively all of the total moisture flux. The overall large bare soil evaporation in members RUC and PX is apparent, likely influenced by larger exchange coefficients in those members compared to those for ground evaporation in the Noah-MP



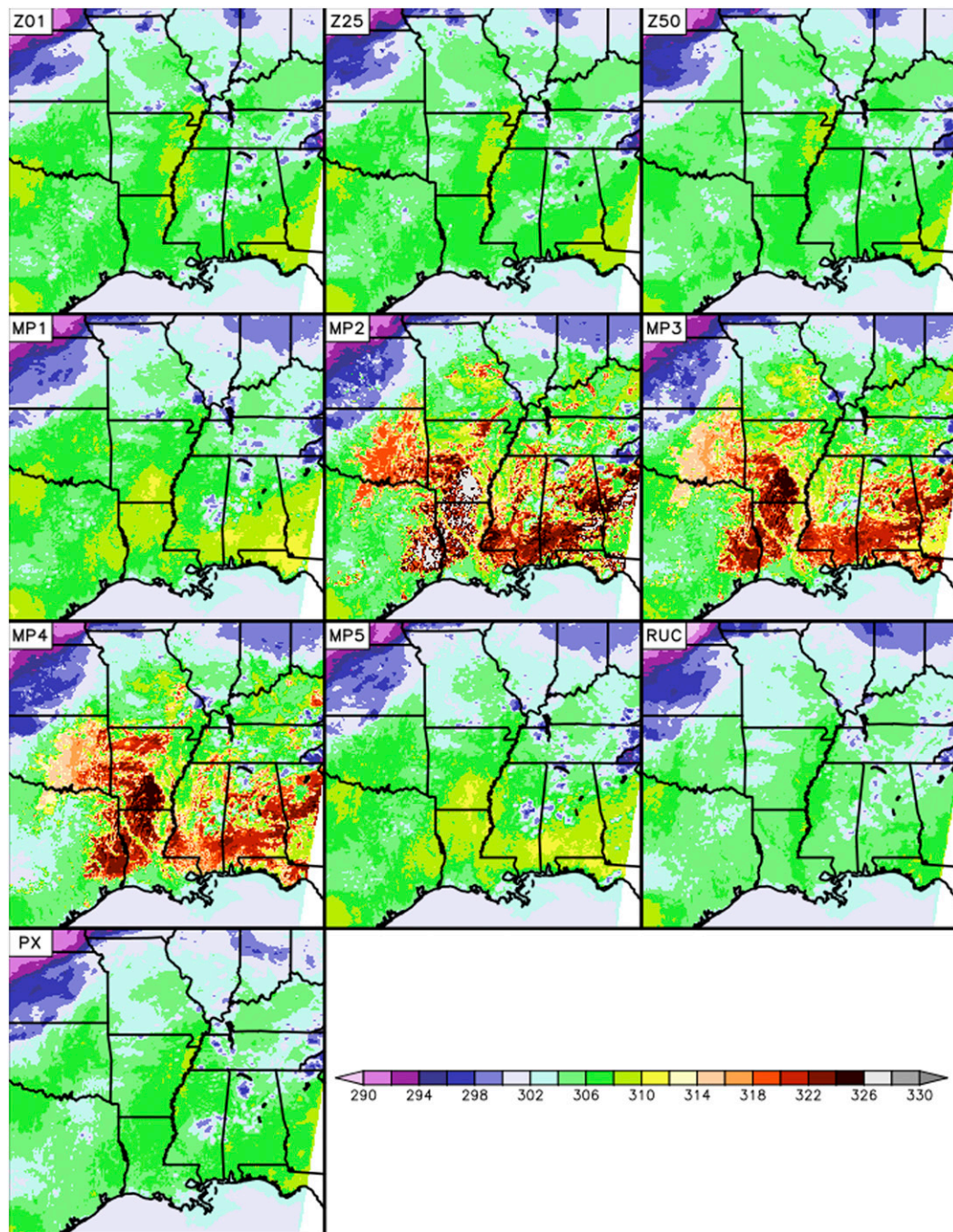


FIG. 17. Diagnosed 2-m temperature (K) from LSMO ensemble members valid at 1900 UTC 13 Jun 2010.

members (not shown). Most of the total moisture flux was due to plant transpiration in the Noah members, while the PX LSM also calculated very large plant transpiration. The soil moisture tendency in the PX scheme is likely a result of the soil structure. It uses only two layers to 1-m depth, shallower than the other schemes. Thus, it is presumably easier for moisture to

move into the atmosphere from the deep layer. It should also be noted that, although the RUC LSM uses the same soil texture and land-use categories as the Noah and Noah-MP LSMs, the threshold values of soil parameters (e.g., wilting, saturation, and soil matric potential parameters) are different in the RUC LSM. An additional simulation was run by swapping these values



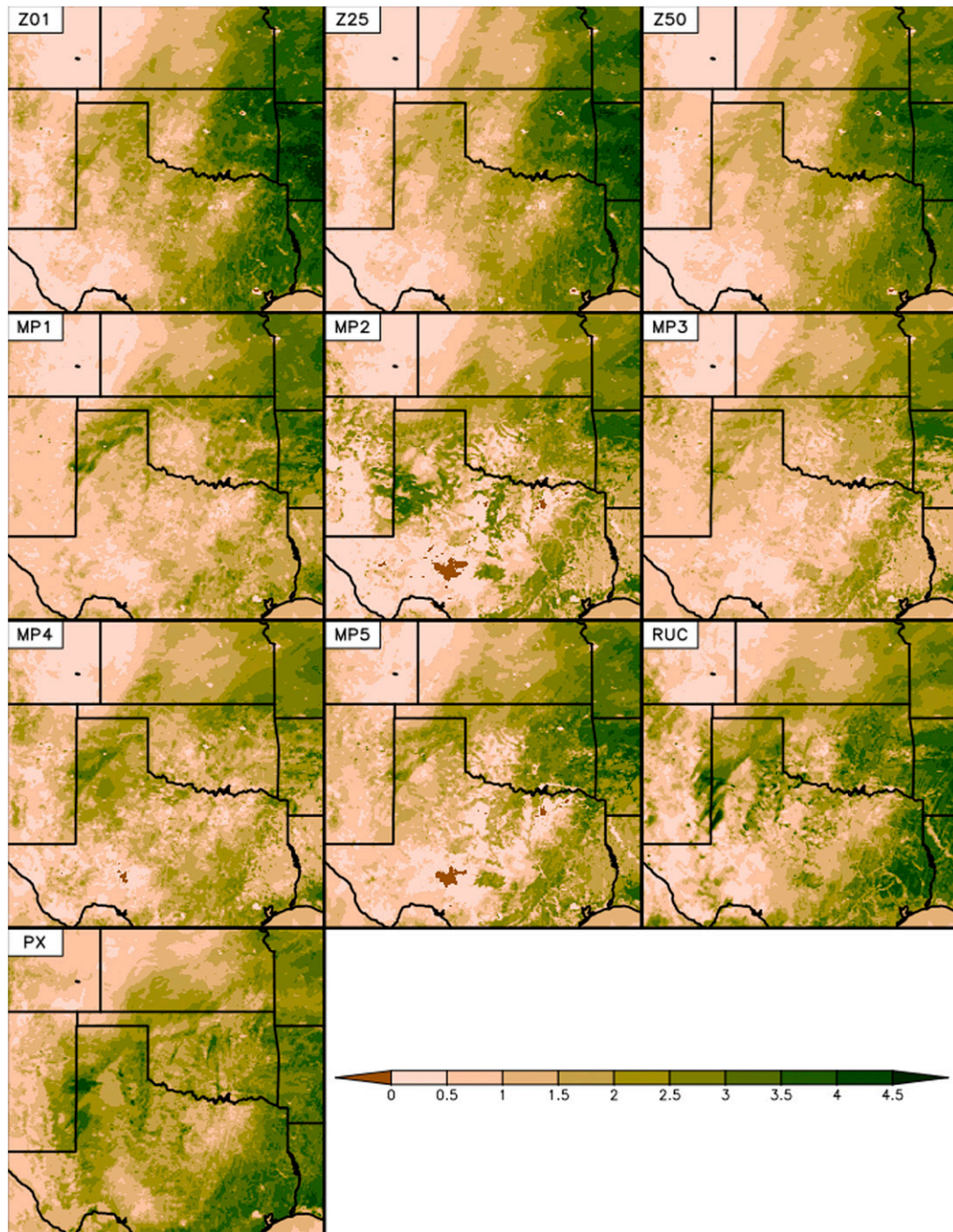


FIG. 18. Accumulated moisture flux ( $\text{kg m}^{-2}$ ) between 1200 and 2000 UTC 13 Jun 2010 from LSMO ensemble members.

from those used in the Noah scheme to determine if these parameter differences were the cause of the greatly different moisture flux behavior in member RUC. Only minor changes to moisture flux were noted in the additional run, suggesting that is not the cause.

In two of the Noah-MP members, plant transpiration decreased to nearly zero during portions of the daytime

(Fig. 19f), caused by stomatal resistance reaching very large values (not shown) and subsequent very low exchange coefficients. In Noah-MP members in which plant transpiration did not approach zero, stomatal resistance instead became very low during the daytime, thus the exchange coefficient became large and transpiration proceeded. The behavior of plant transpiration



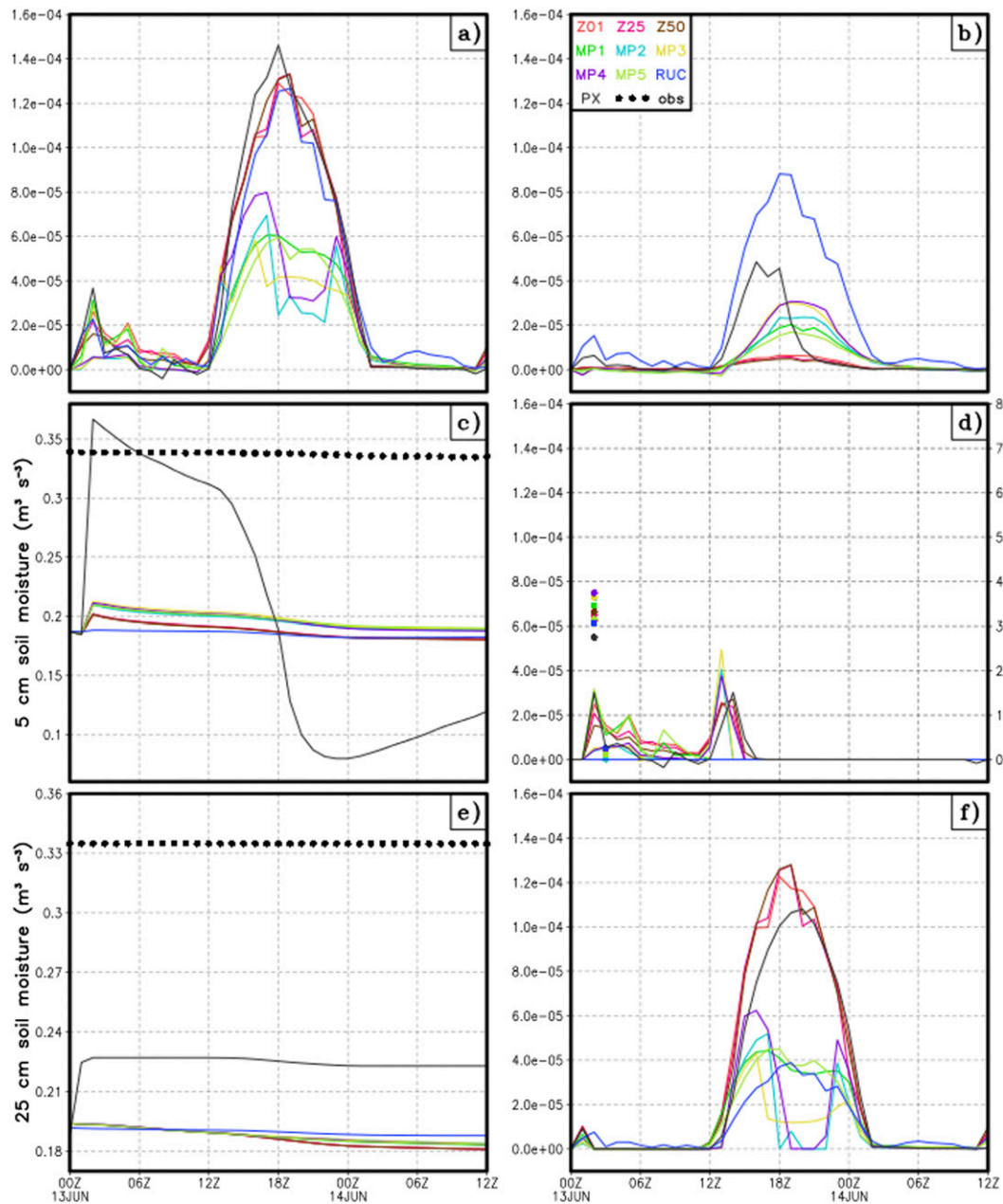


FIG. 19. (a) Surface moisture flux, (b) bare soil evaporation, (d) canopy water evaporation, and (f) plant transpiration ( $\text{kg m}^{-2} \text{s}^{-1}$ ). Soil moisture at (c) 5- and (e) 25-cm depths from the LSMO ensemble at (lat, lon) = (36.65°N, 96.80°W), with observed soil moisture provided by the Oklahoma Mesonet site located near Chandler, OK. The 1-h accumulated precipitation (mm) is also dotted and scaled to the right-hand vertical axis in (d).

in specific Noah-MP members varied strongly by location, however, and only members MP1 and MP5 exhibited similar behavior regardless of location. The exchange coefficient for transpiration in members Z01, Z25, Z50, and PX reached similar, but slightly larger, magnitudes as members MP1 and MP5 during the daytime (not shown). The resultant transpiration in members Z01, Z25, Z50, and PX was thus larger than that in

members using the Noah-MP LSM. The exchange coefficient for transpiration was largest in member RUC, but perhaps due to the difference in formulation of plant transpiration in the RUC LSM (i.e., the use of a plant coefficient from a lookup table), transpiration was more similar to that of the members using the Noah-MP LSM rather than being overwhelmingly larger than all other members.

In general, the RUC LSM parameterized the largest bare soil evaporation, although other members, especially those using the Noah-MP LSM, occasionally had similar values. Also, the PX LSM parameterized the largest plant transpiration, although at some locations members using the Noah LSM and some of the members using the Noah-MP LSM had similar or larger values. It is also worth noting that at locations where no precipitation was forecast, soil moisture was almost identical between members, with differences in 5-cm soil moisture content differing among the members by less than 1%. However, there were large soil moisture errors. At the Chandler Mesonet site, for example, there was an error of around  $0.15 \text{ m}^3 \text{ m}^{-3}$  in both 5- and 25-cm soil moisture in all members except PX, in which the error was highly variable. However, the soil moisture tendency forecast was good at the Chandler site, where very little precipitation was forecast. This was the case at other sites where soil moisture observations were available as well. Errors in forecast soil moisture are likely the result of poor initial soil conditions provided by the 12-km NAM model analysis.

## 6. Summary and conclusions

The LSM component contains uncertainties that have not been sampled in prior experimental storm-scale ensemble forecast systems. The sensitivity of convection-permitting (4-km grid spacing) forecasts to model physics perturbations of the WRF Model, with an emphasis on perturbations to the LSM component, was evaluated both qualitatively (using a case study of tornadic supercells and an MCS) and quantitatively (using a number of cases involving intense deep moist convection that produced severe weather and heavy precipitation in the United States). Three ensembles were configured, each with 10 members, perturbing different combinations of WRF Model physics components. Three methods were used to generate 10 perturbations to the LSM component. One used separate LSMs that include Noah, Noah-MP, RUC, and PX. The second method was to alter the namelist options controlling the formulation of various physical processes within the Noah-MP LSM. The third method was to perturb CZIL in the Noah LSM. The LSMO ensemble incorporated these perturbations, but held the microphysics and PBL physics fixed. The MLSM ensemble incorporated the LSM perturbations on top of microphysics and PBL physics perturbations. The FLSM ensemble served as a baseline ensemble that did not include the LSM perturbations, but did include microphysics and PBL perturbations. There were multiple purposes of conducting this study. One purpose was to

determine if adding LSM perturbations to other physics perturbations already used in experimental storm-scale ensembles improved ensemble spread and probabilistic forecasts. Another purpose was to document the variability that LSM perturbations alone can generate in a convective-scale NWP forecast. A third purpose was to document the performance and behavior of a new LSM, the multiparameterization-Noah (Noah-MP), which has not yet been used in experimental warm-season convective-scale forecasts.

Adding LSM perturbations to the FLSM ensemble to construct the MLSM ensemble had a positive impact on probabilistic forecasts. Ensemble spread in the MLSM ensemble was larger than that of the FLSM ensemble for lower-tropospheric temperature, moisture, and wind, and the RMSE of the ensemble mean was lower in the MLSM ensemble compared to the FLSM ensemble for those fields. Verification of PQPFs also suggested a positive benefit from adding LSM perturbations. Some outlier behavior was noted in a few members of each ensemble, where forecasts were consistently poorer than in other members. The LSM perturbations had some effect on the systematic biases of the members. This is not necessarily optimal for improving ensemble design, as an optimal ensemble should contain unbiased members so that chaotic error growth dominates over systematic error growth. Careful consideration should be used to construct unbiased ensemble members before implementing these LSM perturbations in an operational setting.

The case study illustrated the impacts that the LSM perturbations had on the forecasts, mainly through alterations of the surface energy fluxes and near-surface thermodynamic fields. The perturbations also impacted PBL structure, causing some members to have shallower, cooler, and moister PBLs and others to have deeper, warmer, and drier PBLs. Also, the LSM perturbations increased the spatial variability in the initiation of storms despite a negligible impact on an outflow boundary, which was critical to the forecast.

The Noah-MP LSM offered a convenient way to generate ensemble members by changing namelist options for parameters thought to be important for warm season forecasts. However, one option for calculating the surface heat exchange coefficient (`opt_sfc = 2`) resulted in unrealistic diagnosed 2-m temperature values. The temperatures were too warm, the result of excessive heat storage at the ground surface caused by low exchange coefficients. This was an undesirable outcome for investigating ensemble design, which seeks to address uncertainty rather than perturb a value or process to the point of being unreasonable. This issue does not appear to have been fixed in recent WRF Model updates (through version 3.8.1 at the time of writing).

This was an exploratory study on LSM perturbations. It is not guaranteed that the particular set of perturbations applied in this study is the best set of perturbations to use. It is certainly not the only possible set of perturbations. Future work should consider using other combinations of namelist options to generate ensemble members using the Noah-MP LSM (18 combinations exist), as well as determine how sensitive each option actually is. Both prior research and the results herein suggest that convective-scale forecasts are not as sensitive to handling heat flux at the bottom of the soil (opt\_tbot) as they are to calculating the surface exchange coefficient (opt\_sfc). Finally, it may be necessary to perturb CZIL over a larger range, as literature review suggests a wider range of values may be necessary to capture all variability associated with that parameter. It may also be useful to include other methods of calculating the thermal roughness length, some of which do not include CZIL. The important result from this work, however, is that adding a reasonable set of LSM perturbations improves convective-scale ensemble forecasts.

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