

U.S. DEPARTMENT OF COMMERCE  
NATIONAL OCEANOGRAPHIC AND ATMOSPHERIC ADMINISTRATION  
NATIONAL WEATHER SERVICE  
NATIONAL CENTERS FOR ENVIRONMENTAL PREDICTION

OFFICE NOTE 420

PROCEEDINGS OF THE  
**FASTEX UPSTREAM OBSERVATIONS WORKSHOP**  
**NCEP, Camp Springs, MD, April 10-11, 1997**

COMPILED BY: Zoltan Toth  
GSC at the ENVIRONMENTAL MODELING CENTER

JULY 1997

THIS IS AN UNREVIEWED MANUSCRIPT, PRIMARILY INTENDED FOR  
INFORMAL EXCHANGE OF INFORMATION AMONG  
NCEP STAFF MEMBERS

PROCEEDINGS OF THE  
**FASTEX UPSTREAM OBSERVATIONS WORKSHOP**  
NCEP, Camp Springs, MD, April 10-11, 1997

COVER PAGE, CONTENT, SUMMARY, PROGRAM, PARTICIPANTS – 5 pages

A COLLECTION OF TRANSPARENCIES PRESENTED BY

Kerry Emanuel	MIT	3 pages
Ron Gelaro	NRL	20
Zhao-Xia Pu	NCEP/UCAR	3
Craig Bishop	Penn State University	20
Thierry Bergot	Meteo France (Shown by C. S.)	3
Istvan Szunyogh	NCEP/NCAR/MIT	3
Zoltan Toth	NCEP/GSC	10
Chris Snyder	NCAR/MMM	8
Peter Houtekamer	RPN, Canada	10
Jeffrey Anderson	GFDL	8
Stephen Lord	NCEP	18
Jon Talbot	US Air Force	20

A total of 131 pages

ADDITIONAL COPIES AVAILABLE FROM:

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## SUMMARY

On April 10–11, 1997, NCEP/EMC hosted a workshop on FASTEX Targeted Observations. Beyond NCEP personnel, 17 people attended from universities, research institutes and funding agencies from across the US and Canada. The workshop had 3 focus areas: (1) Review of upstream targeting operations and methods used during the FASTEX field experiment; (2) Discussion of evaluation methods of the data impact and early results; (3) Consideration of a smaller field experiment over the Pacific for the winter of 1997/98 and/or the following winter. As to the operations, the presentations and the ensuing discussions focused on problem areas that resulted mainly from the broad spectrum of interests/observations followed up during FASTEX. The potential advantages of a smaller field experiment focusing mainly on upstream applications, became clear. A few results were already presented showing that the extra upstream observations during FASTEX had an impact on the forecasts in the desired area. However, it also became evident that the impact of the data strongly depends on the particulars of the analysis scheme used and therefore possible modifications/improvements to the analysis scheme should also be considered along with targeting research in general. It was also pointed out that during FASTEX, most targeted observations were taken just off the well observed east coast of north America, thus their impact may be rather limited. Discussing plans, participants from NRL and NCEP expressed a strong interest in pursuing the possibility of organizing a smaller scale targeting field experiment during the next winters over the Pacific. The Pacific, with its large domain, offers more potential for improving weather forecasts verifying over land – therefore we can expect direct impact on US weather forecasts. Other participants stressed the need for carefully analyzing the FASTEX and regular observational data in a research mode to best prepare for future field experiments. The upstream targeting component in FASTEX got organized within a relatively short period of time; FASTEX played an inspiring and galvanizing role in all the research and development efforts that brought together this new field within meteorology. The targeting community will be much better prepared for a possible Pacific experiment after the FASTEX experience and such a challenge would definitely give an invaluable momentum leading to improvements in all methods involved. A more detailed report on the workshop is under preparation; a copy of transparencies shown are attached.

# AGENDA FOR THE FASTEX UPSTREAM OBSERVATIONS WORKSHOP NCEP, Camp Springs, MD, April 10–11, 1997, Room 209

## Thursday, April 10

9:00 WELCOME REMARKS

Eugenia Kalnay, Director of EMC

### 1) OVERVIEW OF UPSTREAM OPERATIONS

9:10 Activities based at NCEP

Kerry Emanuel (MIT)

9:30 Activities based in Shannon

Mel Shapiro (NOAA)

10:05 Break

### 2) TARGETING METHODS USED: SIMILARITIES & DIFFERENCES

10:20 NRL adjoint methods

Ron Gelaro (NRL)

10:50 NCEP adjoint and tangent linear methods

Zhao-Xia Pu (NCEP)

11:20 Ensemble-based SVD

Craig Bishop (PSU)

11:50 French adjoint methods

(Presented by Chris Snyder, NCAR)

12:00–14:00 Lunch Break

13:00 EMC/Global Modeling Branch Briefing (Open; Short work-in-progress reports)

### 3) EVALUATION METHODS AND EARLY RESULTS: DO'S AND DONT'S

14:00 General remarks

Kerry Emanuel (MIT)

14:10 Ensemble-based SVD

Istvan Szunyogh (NCEP)

15:10 NCEP adjoint/linear tangent methods

Zhao-Xia Pu (NCEP)

16:10 NRL adjoint methods

Ron Gelaro (NRL)

16:20 Discussion

18:00 Adjourn

## Friday, April 11

### 4) ISSUES IN ADAPTIVE OBSERVATIONS

8:00 Statistical design for adaptive observations

Chris Snyder (NCAR)

8:20 Determination of the value of observations

Peter Houtekamer (RPN)

8:40 Detection of signal in Monte Carlo evaluations of functions of random variables: Implications for targetted observations

Jeff Anderson (GFDL)

9:00 Targeting experiments using existing data

Zoltan Toth (NCEP)

9:30 Break

### 5) A POSSIBLE PACIFIC FIELD EXPERIMENT: LESSONS LEARNT FROM FASTEX

10:00 Past Pacific reconnaissance experiments

Steve Lord (NCEP)

10:30 Improved Wea. Recon. System of the AF WC-130s

Jon Talbot (USAF)

11:00 Experiences from the Newfoundland upstream observations outpost

Rebecca Morss (MIT)

11:15 What do we want from a Pacific experiment?

Mel Shapiro (NOAA)

11:30 Discussion

12:30 Recommendations

13:00 Lunch

14:00–17:00 Informal group discussions

**PARTICIPANTS:**

Jeffrey Anderson  
Craig Bishop  
Nick Bond  
Simon Chang  
Kerry Emanuel  
Ron Gelaro  
Paul Hirschberg  
Peter Houtekamer  
Sharanya Majumdar  
Rebecca Morss  
Steven Nelson  
Steven Payne  
Scott Sandgathe  
Mel Shapiro  
Chris Snyder  
Pamela Stephens  
Jon Talbot

GFDL  
Penn State University  
NOAA  
NRL  
MIT  
NRL  
NOAA/NWS  
RPN, Canada  
Penn State University  
MIT  
NSF  
ONR  
ONR  
NOAA  
NCAR/MMM  
NSF  
US Air Force

**FROM NCEP:**

Henry Juang  
Eugenia Kalnay  
Steve Lord  
Zhao-Xia Pu  
Istvan Szunyogh  
Zoltan Toth  
Jack Woolen  
Wan-Shu Wu

NCEP/GSC  
NCEP  
NCEP  
NCEP/UCAR  
NCEP/NCAR/MIT  
NCEP/GSC  
NCEP/GSC  
NCEP/GSC

## NCEP Operations During FASTEX

Kerry Emanuel, MIT

- **Objective:** Field test various adaptive sampling strategies in aid of forecasting for FASTEX domain

- **Resources:**

- 1 Learjet (Flight International)

Range:  $\simeq$  2400 nm

Ceiling:  $\simeq$  41,000 ft.

Base: St. Johns, Newfoundland

- 2 USAF C-130's

Range:  $\simeq$  6000 km

Ceiling:  $\simeq$  28,000 ft.

Base: St. Johns, Newfoundland

- 1 Gulfstream IV

Range:  $\simeq$  2800 nm

Ceiling:  $\simeq$  45,000 ft.

Base: Shannon, Ireland; St. Johns

- 3 Dedicated ships with rawinsondes

Lear and G4 equipped with GPS-based dropsondes

C-130's equipped with Omega-based dropsondes

- **Input:**

NCEP Singular-Value Decomposition Sensitivity: Mostly tailored to verification area, lead time.

NCEP Adjoint Sensitivity: Mostly fixed verification region, lead time range.

NCEP Inverse Tangent Linear Model: Mostly fixed verification region, lead time range.

NCEP SVD-Based, but using ECMWF Ensemble: Variable verification region, lead time; used only toward end of project.

Penn-State SVD based on Canadian or mixed NCEP-Canadian Ensemble: Fixed verification region, lead time range. Only used toward end of project.

ECMWF Singular-Vector: Used very little, owing to inappropriate lead times during most of project.

NRL Adjoint Sensitivity, Singular Vectors: Some flights based on products using fixed verification region; some using variable regions.

French adjoint sensitivity; Singular Vectors: Mostly tailored verification region, lead time.

- **Summary:**

13 successful Lear missions

6 successful C-130 missions

	"Complete" coverage	"Partial" coverage	"Some" coverage	Null
NCEP SVD	12	2	0	3
NCEP Adjoint	9	0	5	0
NCEP Inverse TLM	5	1	6	1
NCEP SVD-ECMWF	4	1	1	0
PSU SVD	3	0	0	0
ECMWF	9	0	2	0
NRL Adjoint	10	1	1	1
French Adjoint	7	0	2	0



# The NRL Method for Adaptive Observations in FASTEX

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Ron Gelaro, NRL

## Navy Global Model (NOGAPS)

Nonlinear Trajectory T79L18: full physics

Tangent Linear and Adjoint: dry, simplified boundary layer

**Verification Area:** defined to include main area of cyclone and associated frontal features (generally close to but not identical to FASTEX MSA).

**Time Configuration:** generally 24-48 hr upstream of cyclone verification, with lead time of 24-36hr for mission planning and aircraft ferry to target area.

### **Singular Vectors:**

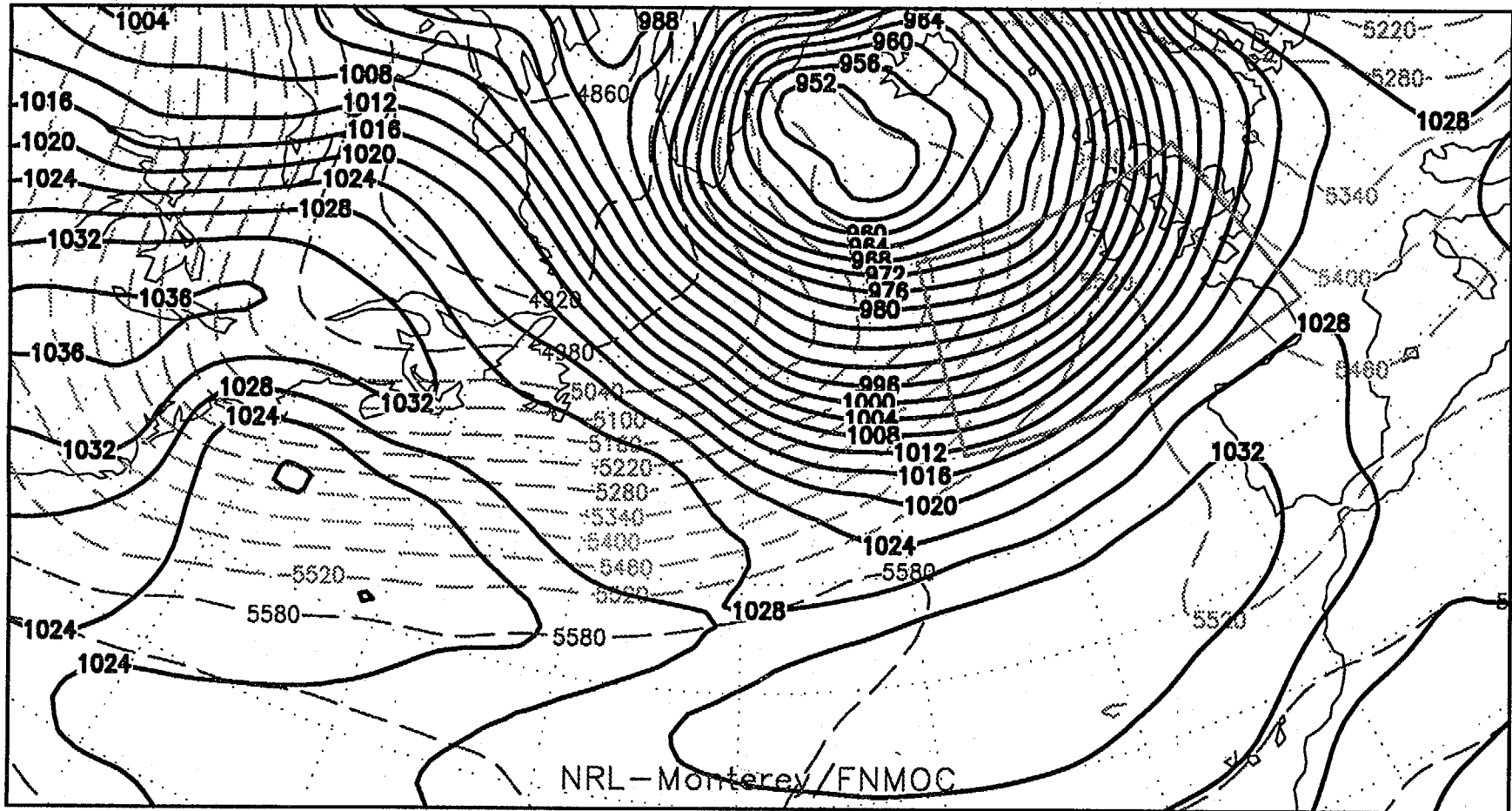
T47L18, three leading SVs: maximize total perturbation energy within verification area between surface and 1 mb.

### **Sensitivity Gradients:**

T79L18, gradients ( $\nabla J$ ), with J defined as relative vorticity between surface and 650mb in verification area.

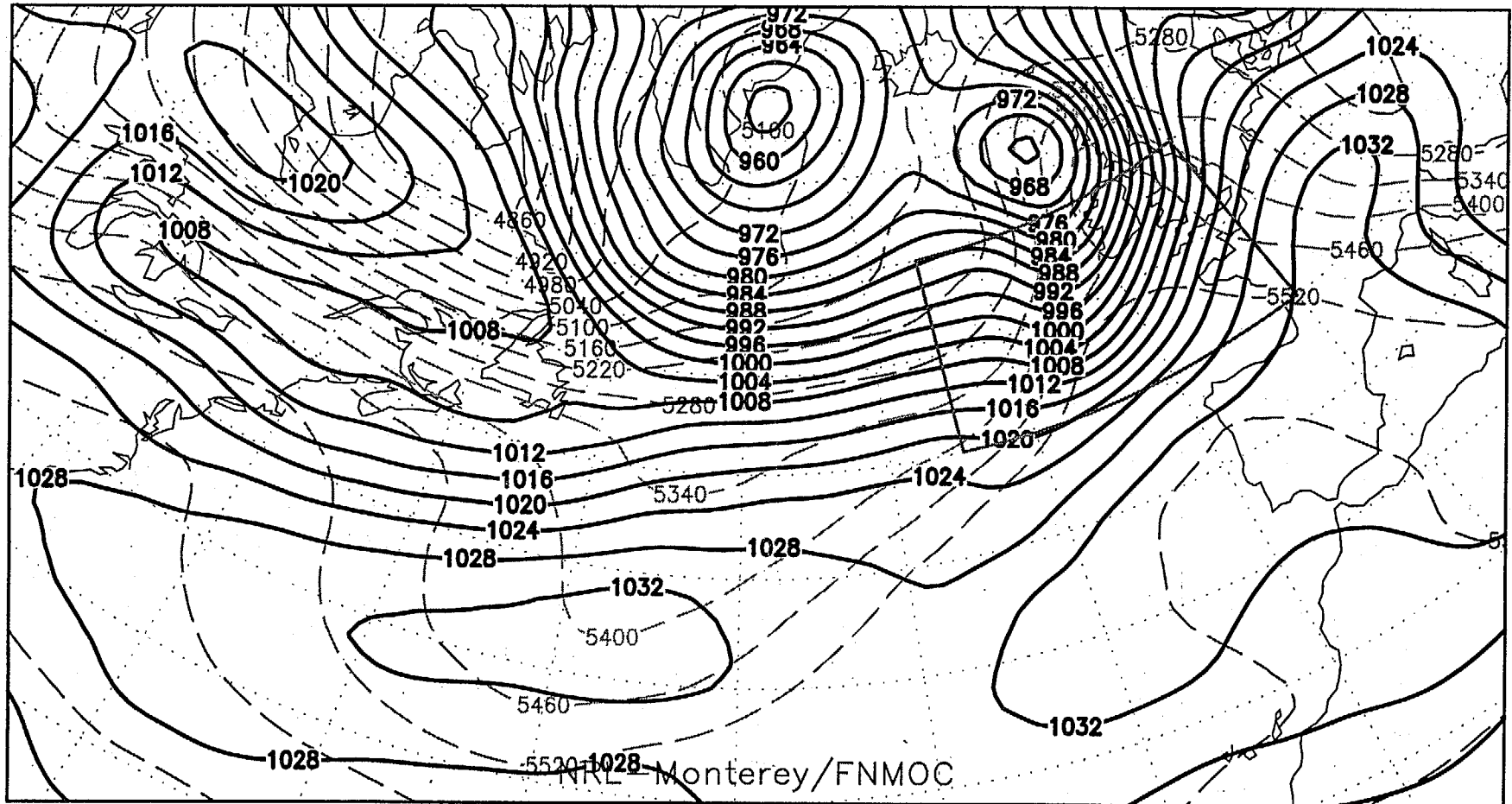
Note: the singular vector and sensitivity methods provide essentially the same results for targeting of mid-latitude cyclones, and are not considered to be separate methods.

MSLP & 500-1000hPa THICKNESS  $ci=4.0mb, 60.0m$



NOGAPS Nonlinear Analysis T79L18 Initial State:1997021712 Tau= 0  
Analysis Valid: 1997021712

MSLP & 500-1000hPa THICKNESS  $ci=4.0mb, 60.0m$

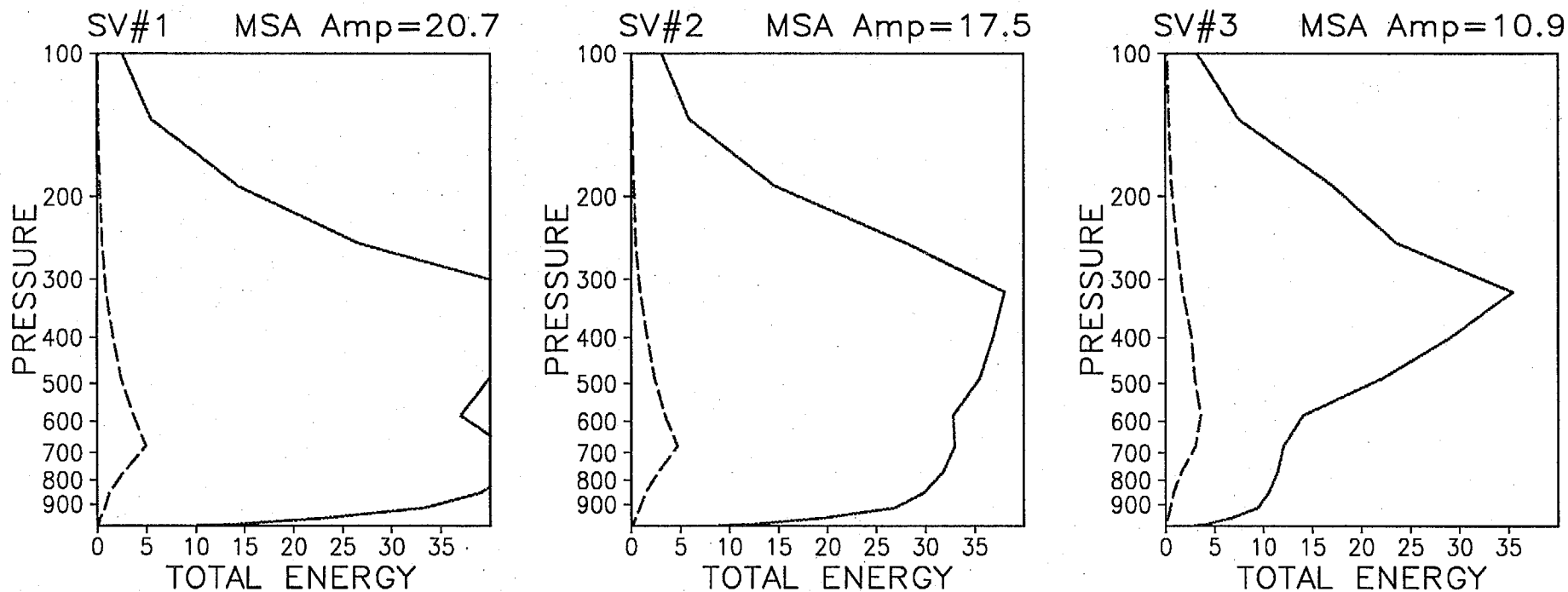


NRL Monterey/FNMOC

NOGAPS Nonlinear Analysis T79L18 Initial State:1997021912 Tau= 0  
Analysis Valid: 1997021912

# ENERGY VERTICAL PROFILES: 3 LEADING SINGULAR VECTORS

Global Energy(x20) at Initial (Target) Time (DASH)  
 Global Energy at Final (Fcst Verification) Time (SOLID)

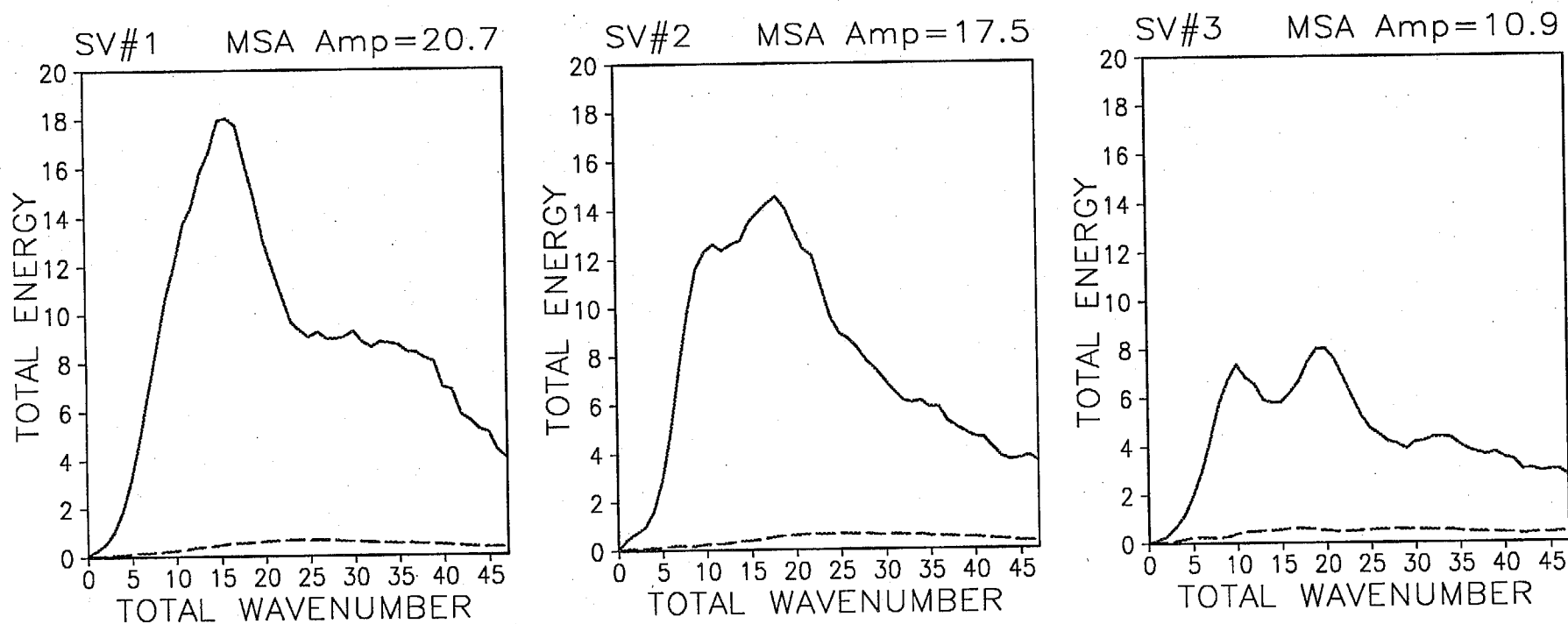


NOGAPS Singular Vectors T47L18 (+84h,-48h)

Targets Valid 1997021712  
 Forecast Valid 1997021912

# TOTAL WAVENUMBER SPECTRA: 3 LEADING SINGULAR VECTORS

Global Energy(x20) at Initial (Target) Time (DASH)  
Global Energy at Final (Fcst Verification) Time (SOLID)



NOGAPS Singular Vectors T47L18 (+84h, -48h)

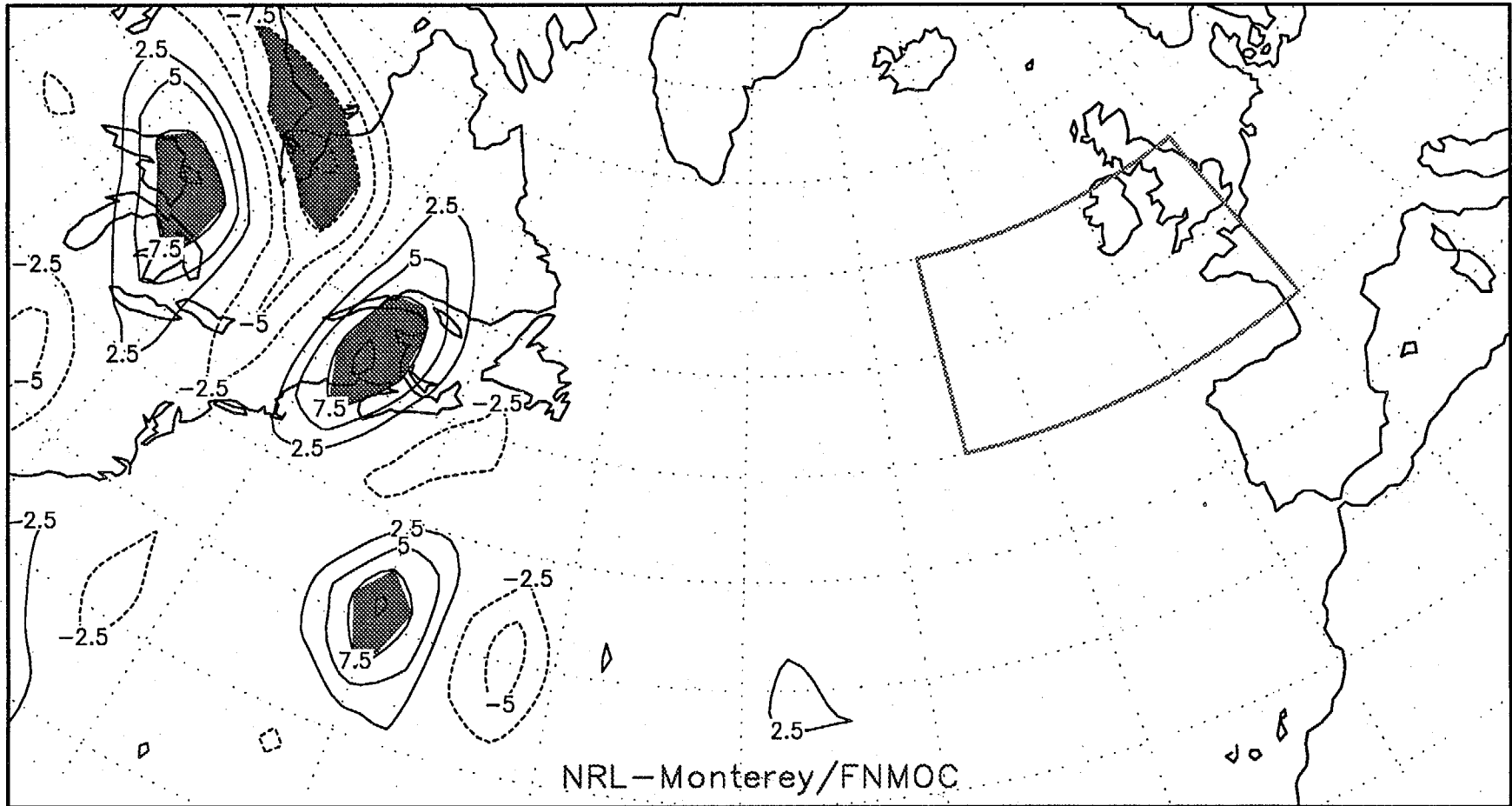
Targets Valid 1997021712  
Forecast Valid 1997021912

SINGULAR VECTOR at TARGETED OBSERVING TIME

SV#1

Initial TEMP 490hPa

MSA Amp=20.7



NOGAPS Singular Vector T47L18 (+84h,-48h)

Valid 1997021712

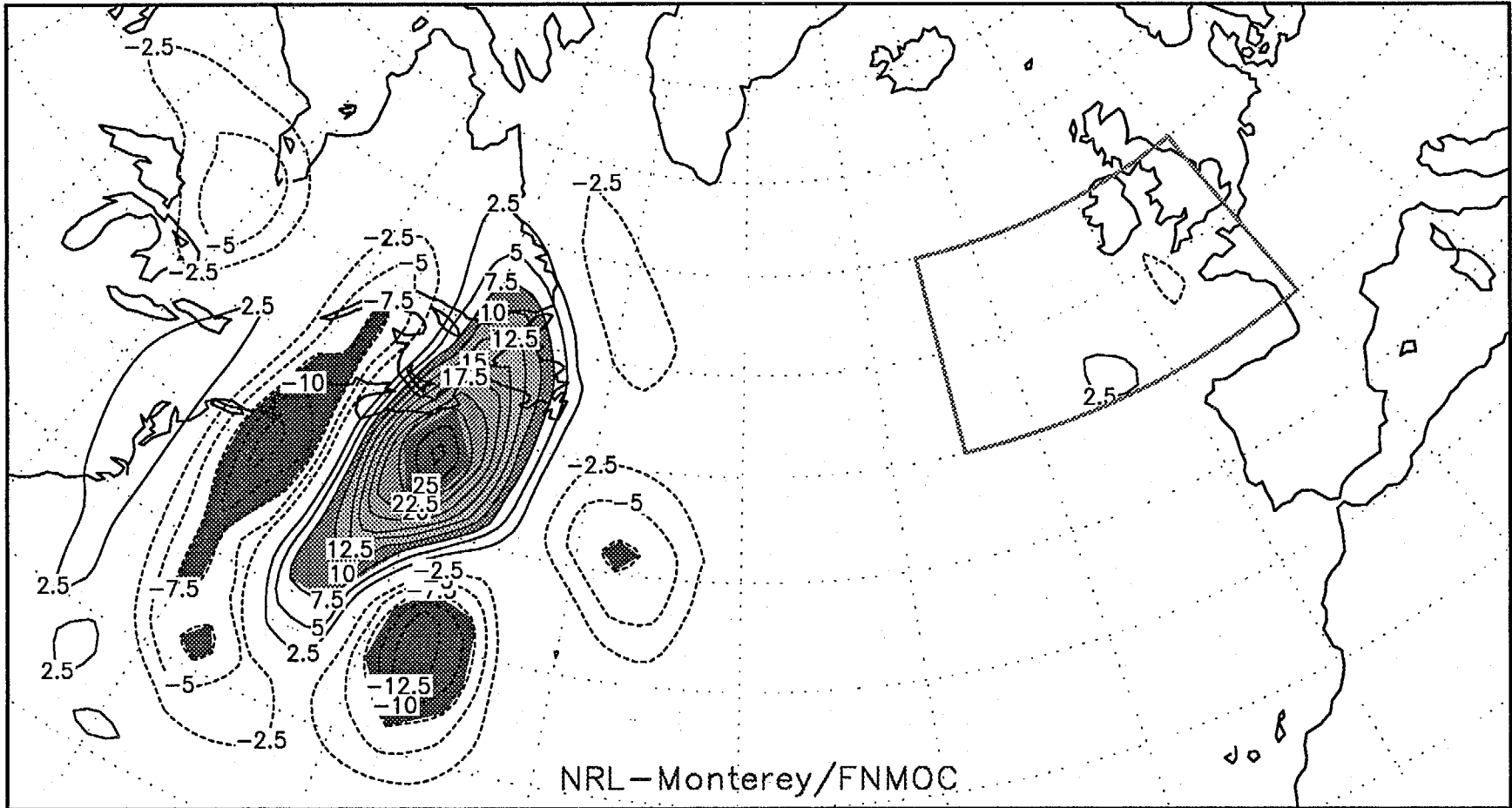
From 1997021600

SINGULAR VECTOR at TARGETED OBSERVING TIME

SV#1

Initial TEMP 680hPa

MSA Amp=20.7



NOGAPS Singular Vector T47L18 (+84h,-48h)

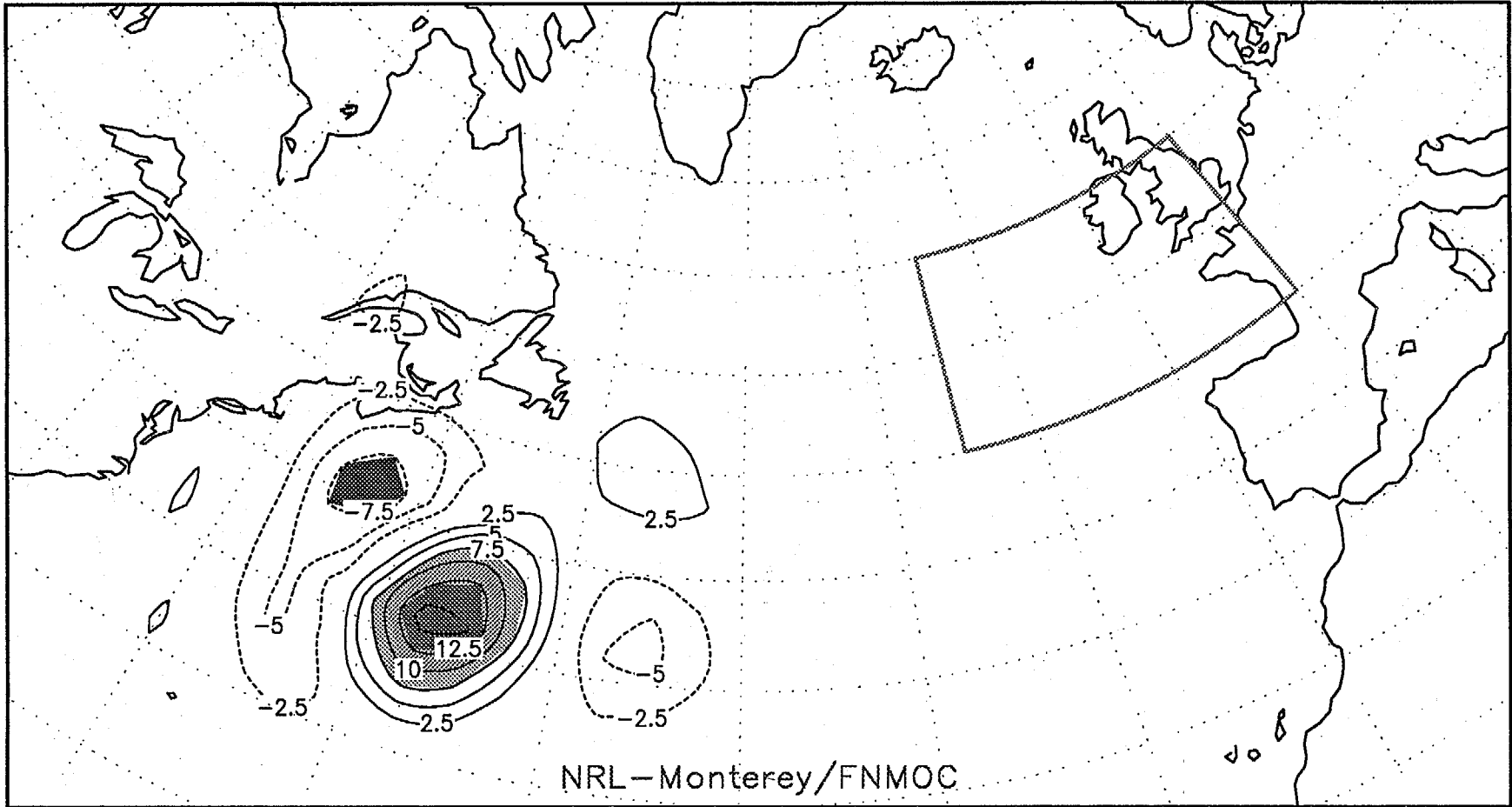
Valid 1997021712  
From 1997021600

SINGULAR VECTOR at TARGETED OBSERVING TIME

SV#1

Initial TEMP 850hPa

MSA Amp=20.7



NOGAPS Singular Vector T47L18 (+84h,-48h)

Valid 1997021712  
From 1997021600

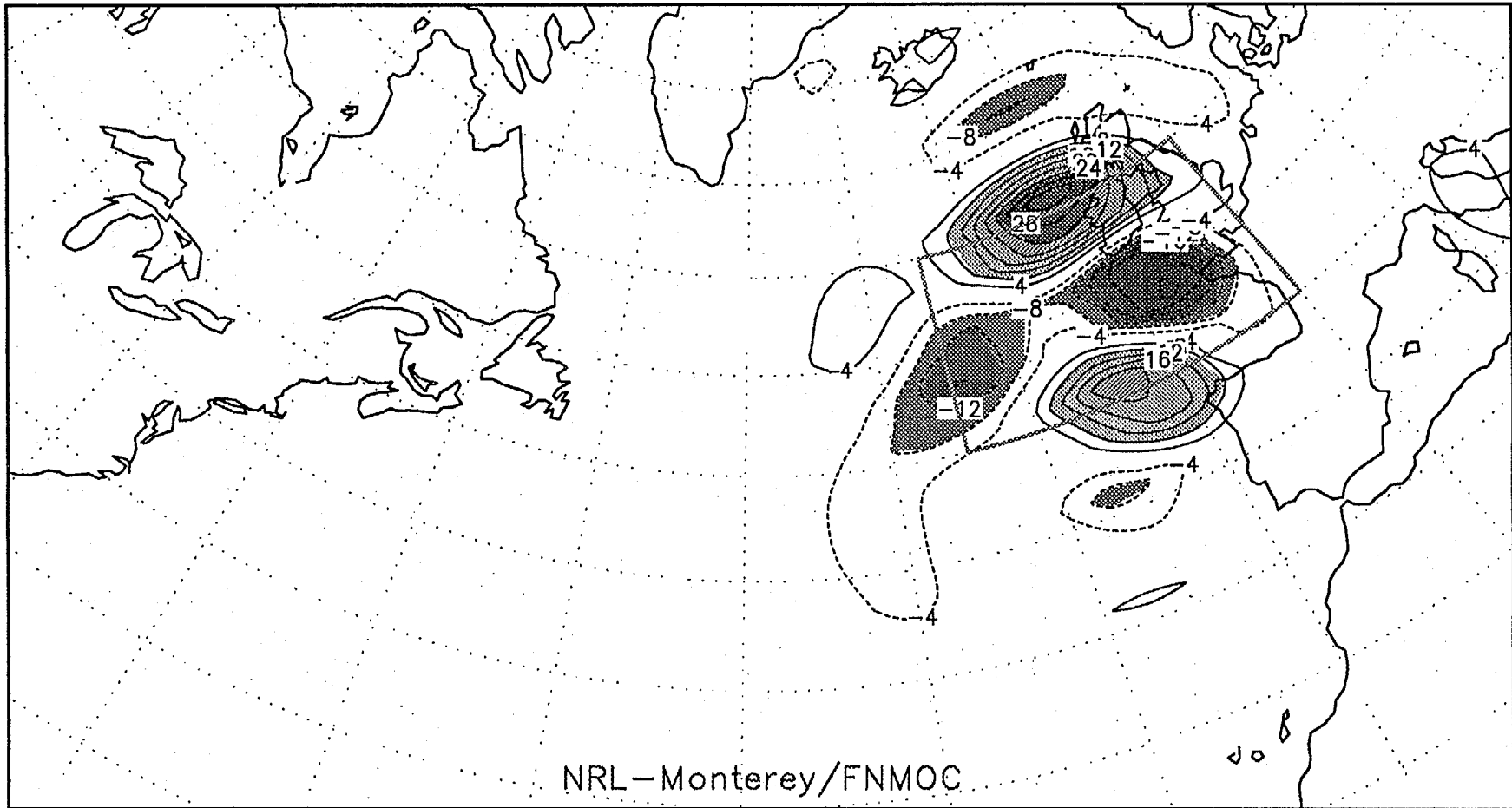


SINGULAR VECTOR at FORECAST VERIFICATION TIME

SV#1

Final VOR( $\times 10^4$ ) 850hPa

MSA Amp=20.7



NOGAPS Singular Vector T47L18 (+84h,-48h)

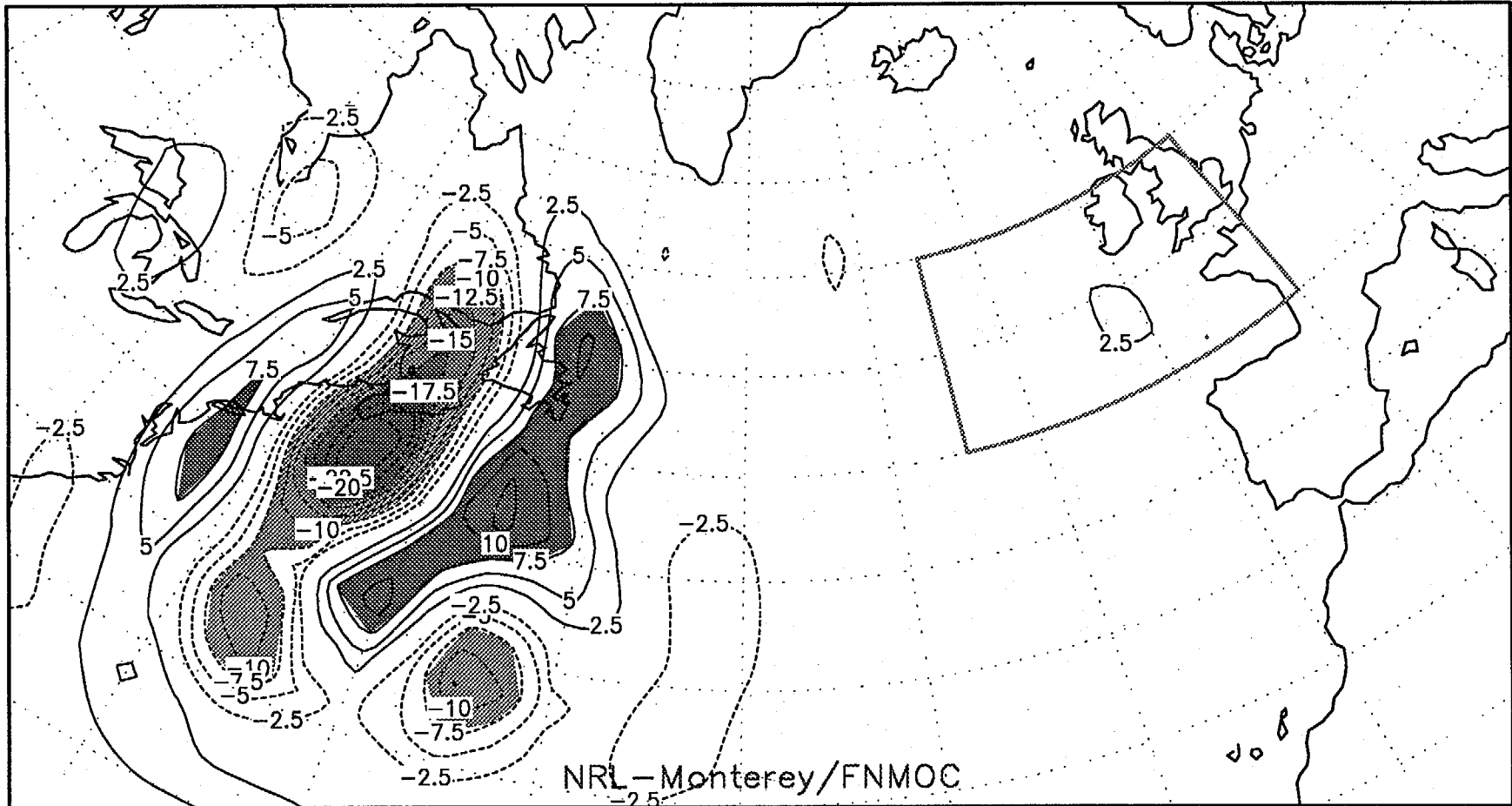
Valid 1997021912  
From 1997021600

SINGULAR VECTOR at TARGETED OBSERVING TIME

SV#2

Initial TEMP 680hPa

MSA Amp=17.5



NOGAPS Singular Vector T47L18 (+84h,-48h)

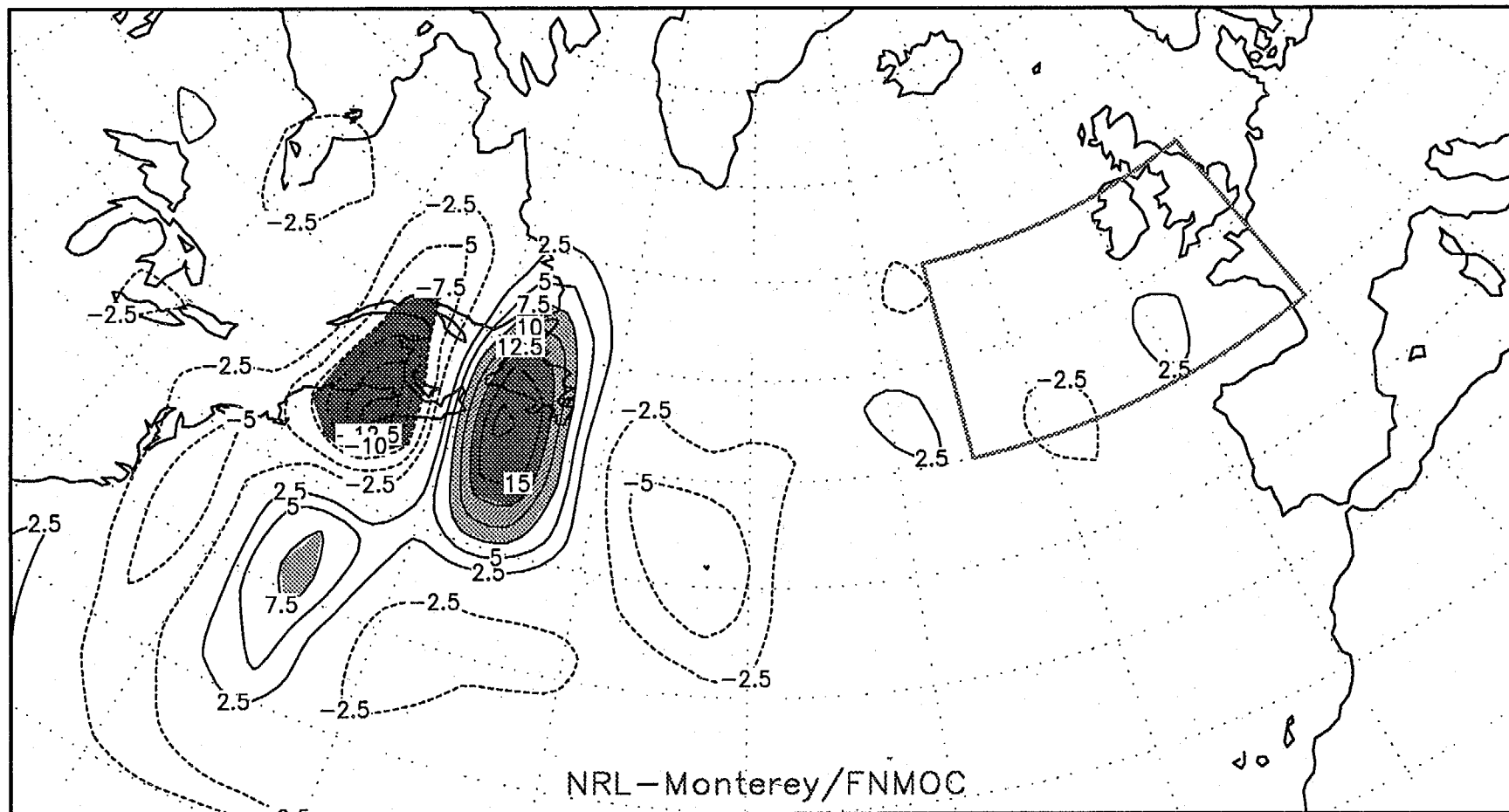
Valid 1997021712  
From 1997021600

SINGULAR VECTOR at TARGETED OBSERVING TIME

SV#3

Initial TEMP 680hPa

MSA Amp=10.9



NOGAPS Singular Vector T47L18 (+84h,-48h)

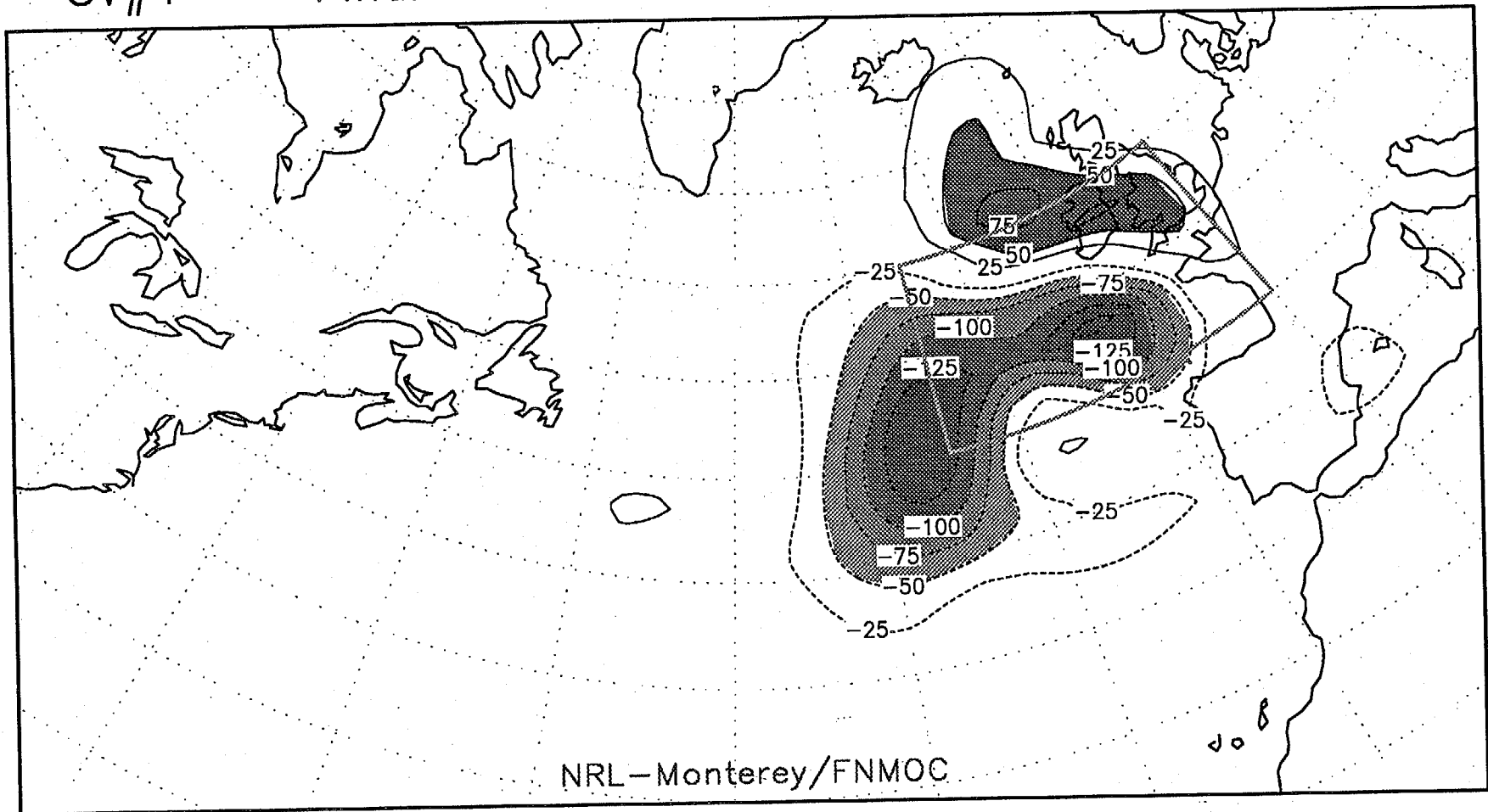
Valid 1997021712  
From 1997021600

SINGULAR VECTOR at FORECAST VERIFICATION TIME

SV#1

Final TEMP 850hPa

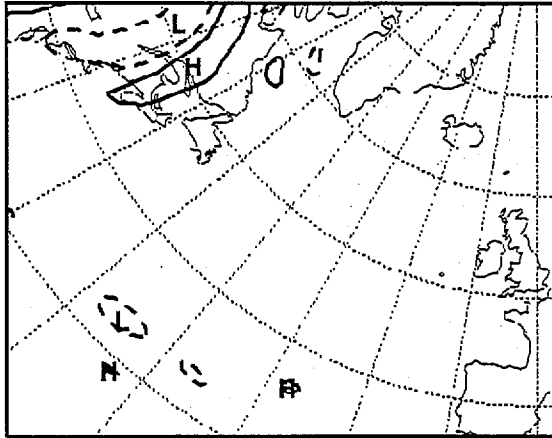
MSA Amp=20.7



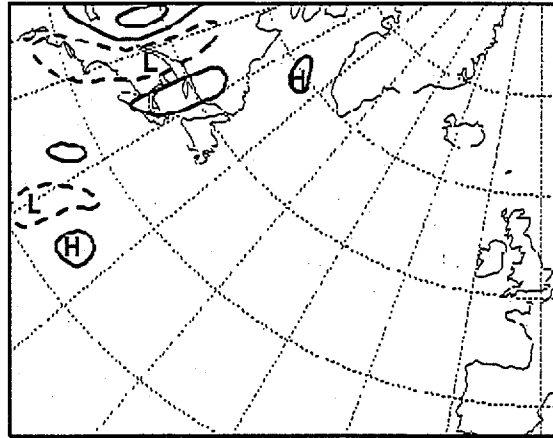
NOGAPS Singular Vector T47L18 (+84h,-48h)

Valid 1997021912  
From 1997021600

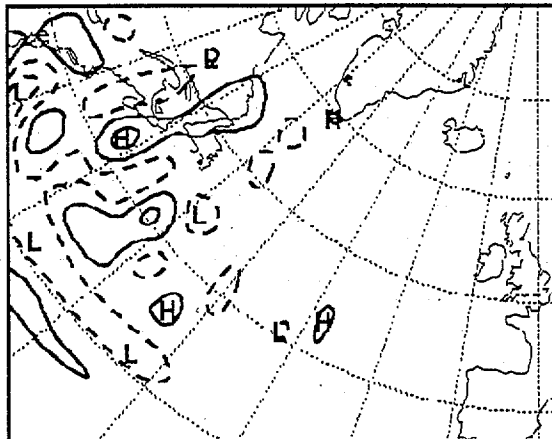
17 FEB 97 12Z - 48h OTI - VOR -SV= 1-H- /000 m



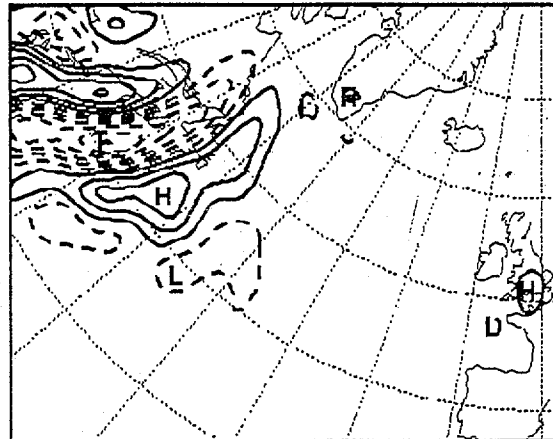
17 FEB 97 12Z - 48h OTI - T -SV= 1-H- 7000 m



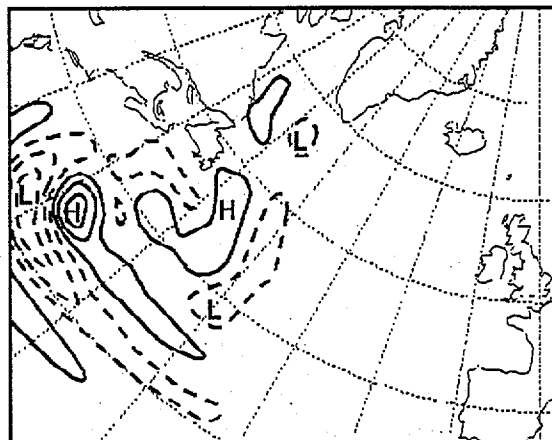
17 FEB 97 12Z - 48h OTI - VOR -SV= 1-H- 3100 m



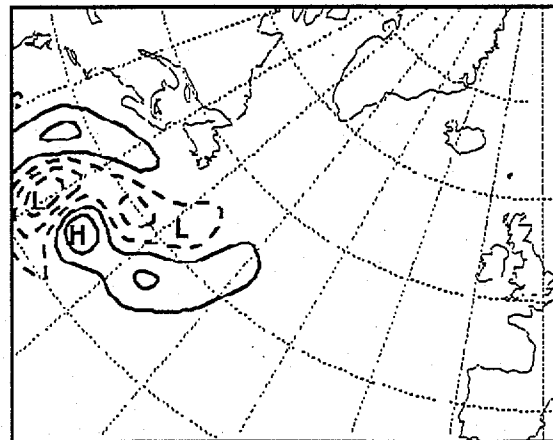
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17 FEB 97 12Z - 48h OTI - VOR -SV= 1-II- 000 m



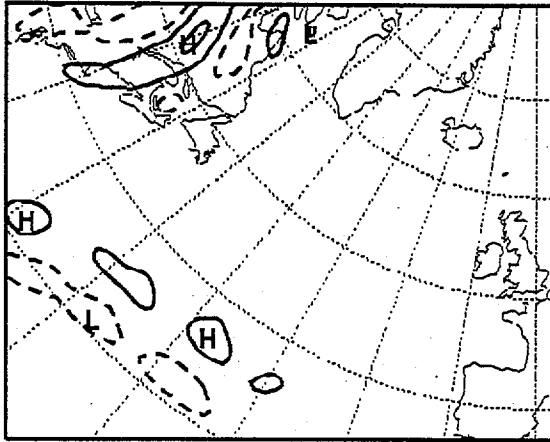
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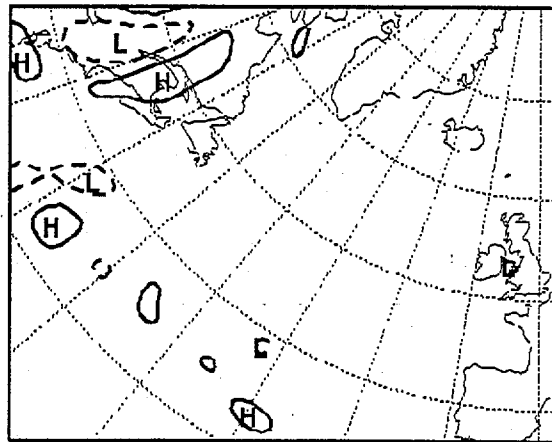
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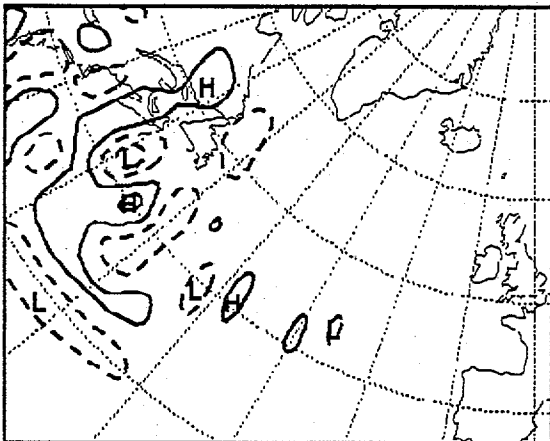
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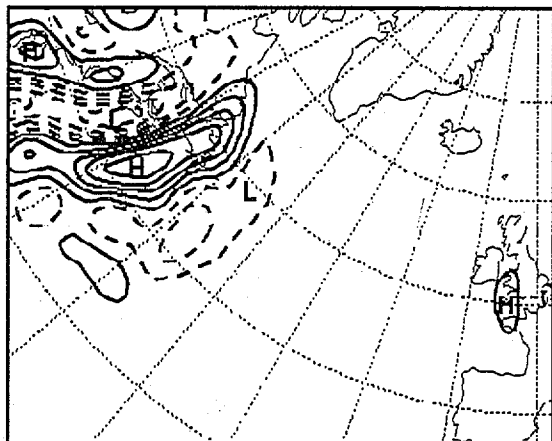
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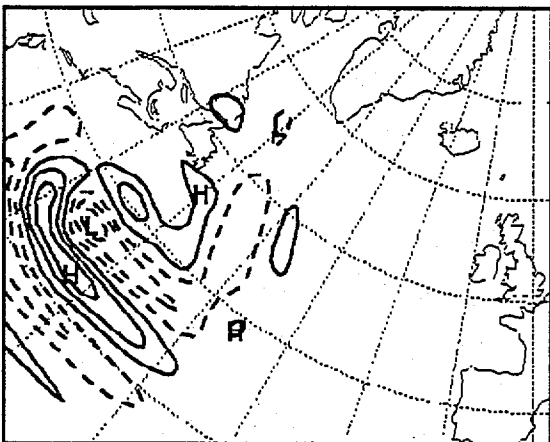
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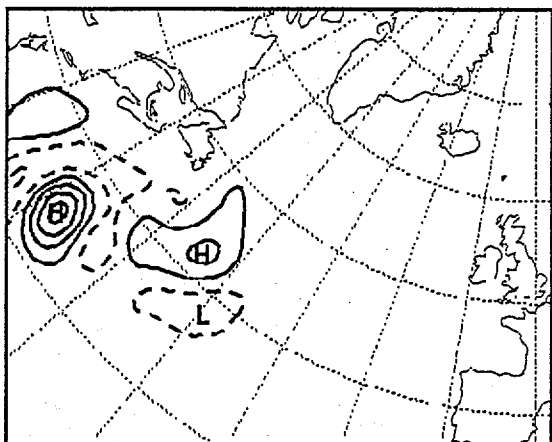
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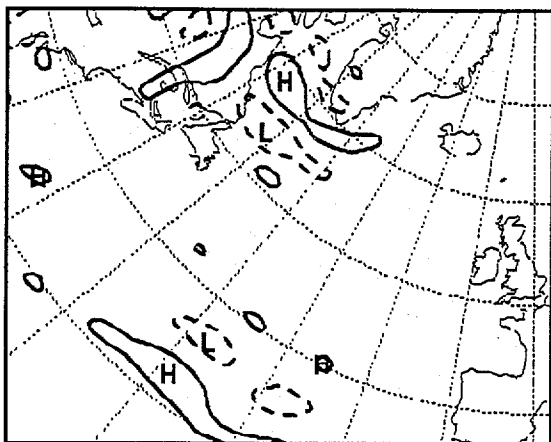
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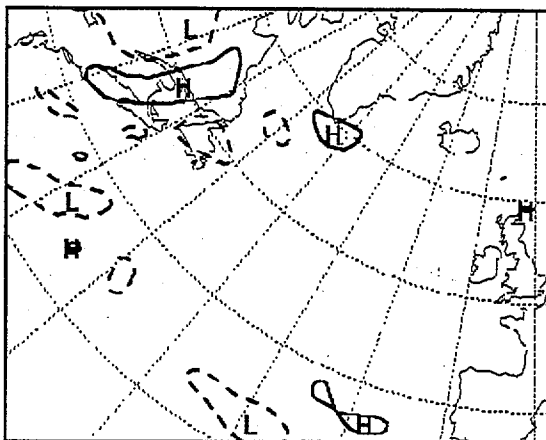
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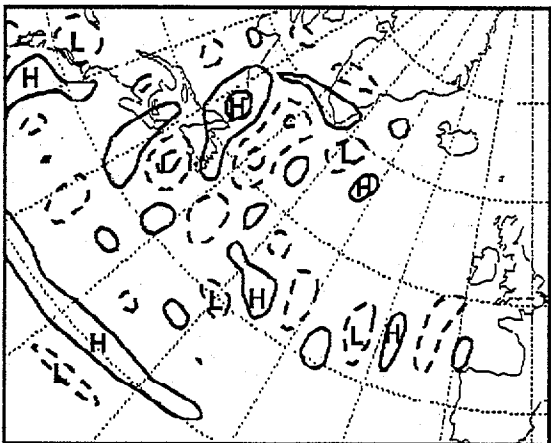
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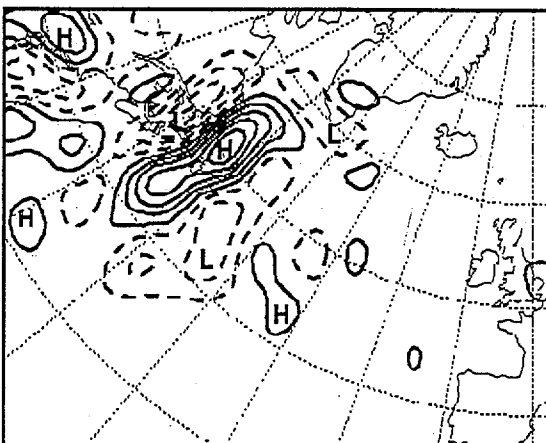
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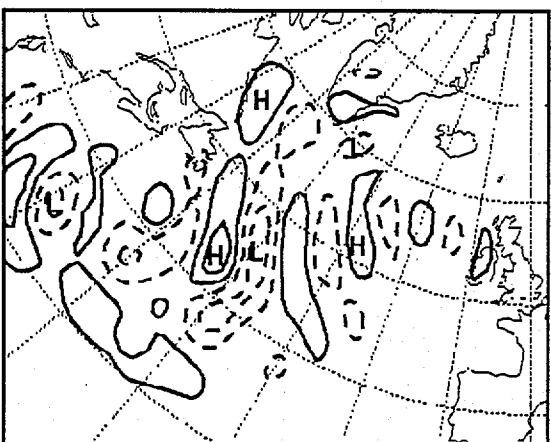
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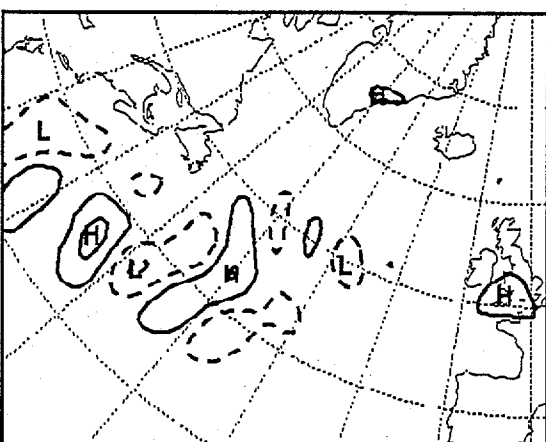
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17 FEB 97 12Z - 48h OTI - VOR -SV= 3-II- 000 m

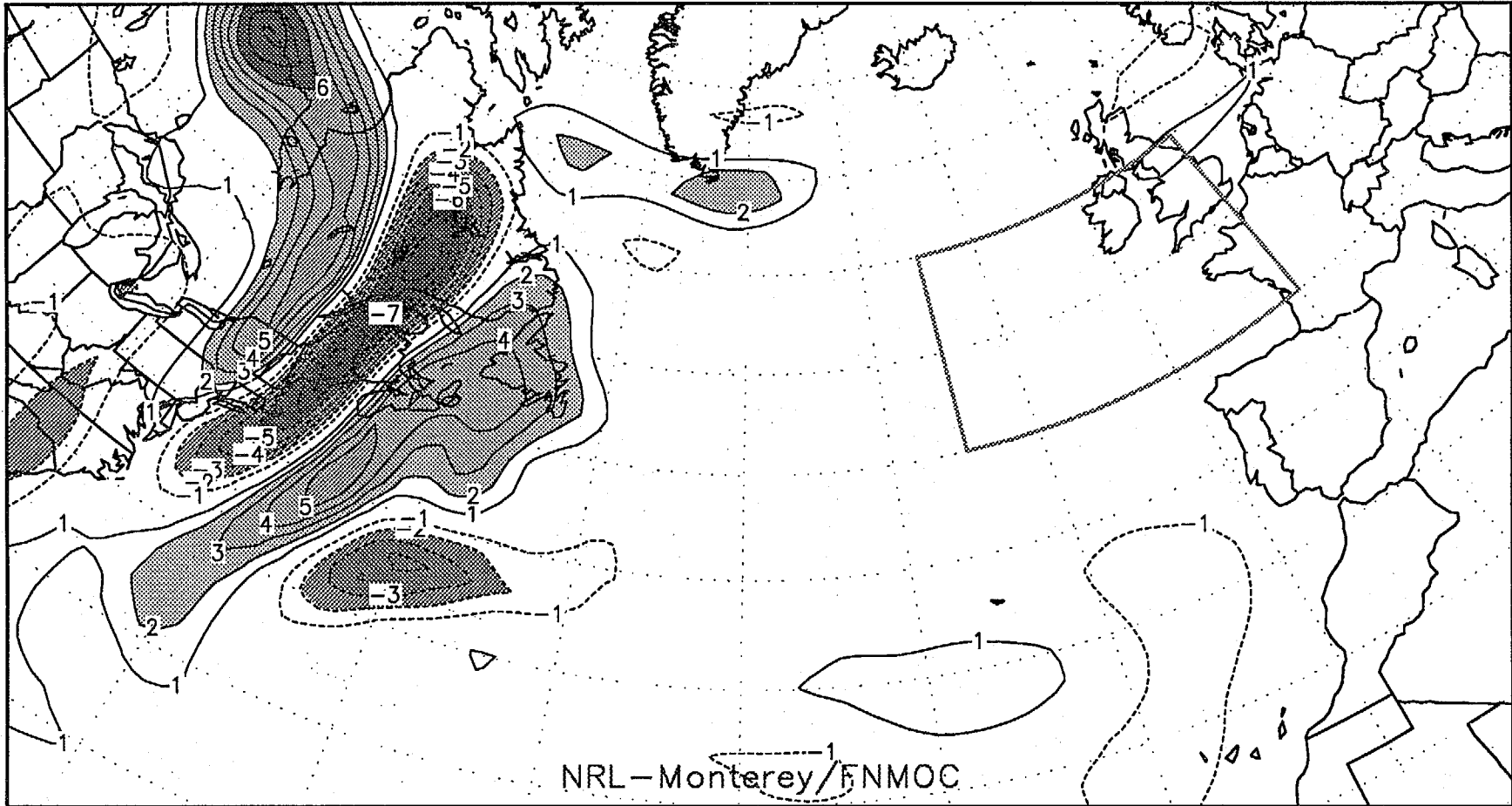


17 FEB 97 12Z - 48h OTI - T -SV= 3-II- 000 m



490hPa  $dJ/d(\text{TEMPERATURE})$

$ci=1.0 \times 10^{-7}$



NOGAPS Adjoint Sensitivity

T79L18 (+84h,-48h)

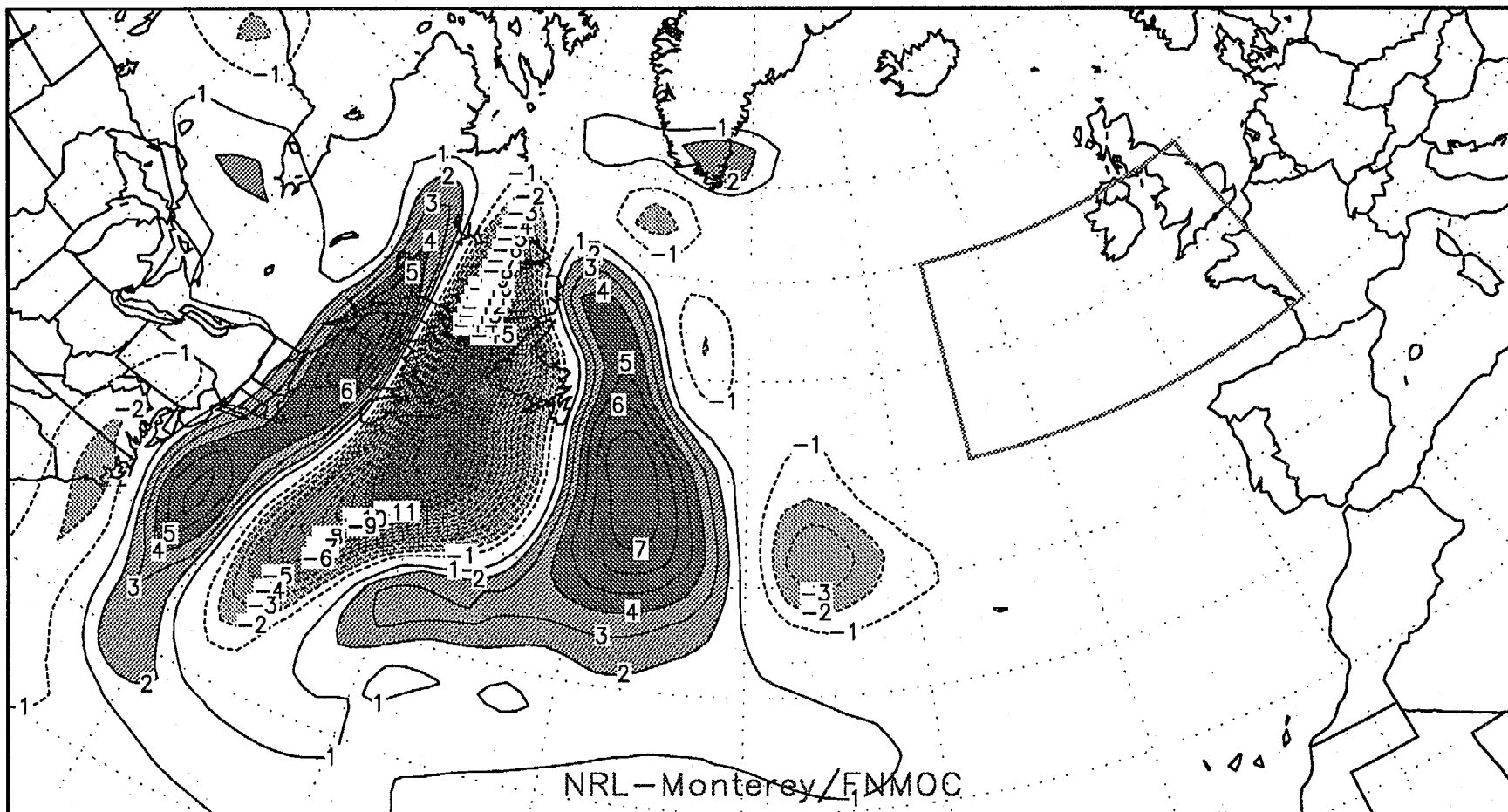
Target Valid: 1997021712

Forecast Valid: 1997021912



680hPa  $dJ/d(\text{TEMPERATURE})$

$c_i = 1.0 \times 10^{-7}$



NOGAPS Adjoint Sensitivity

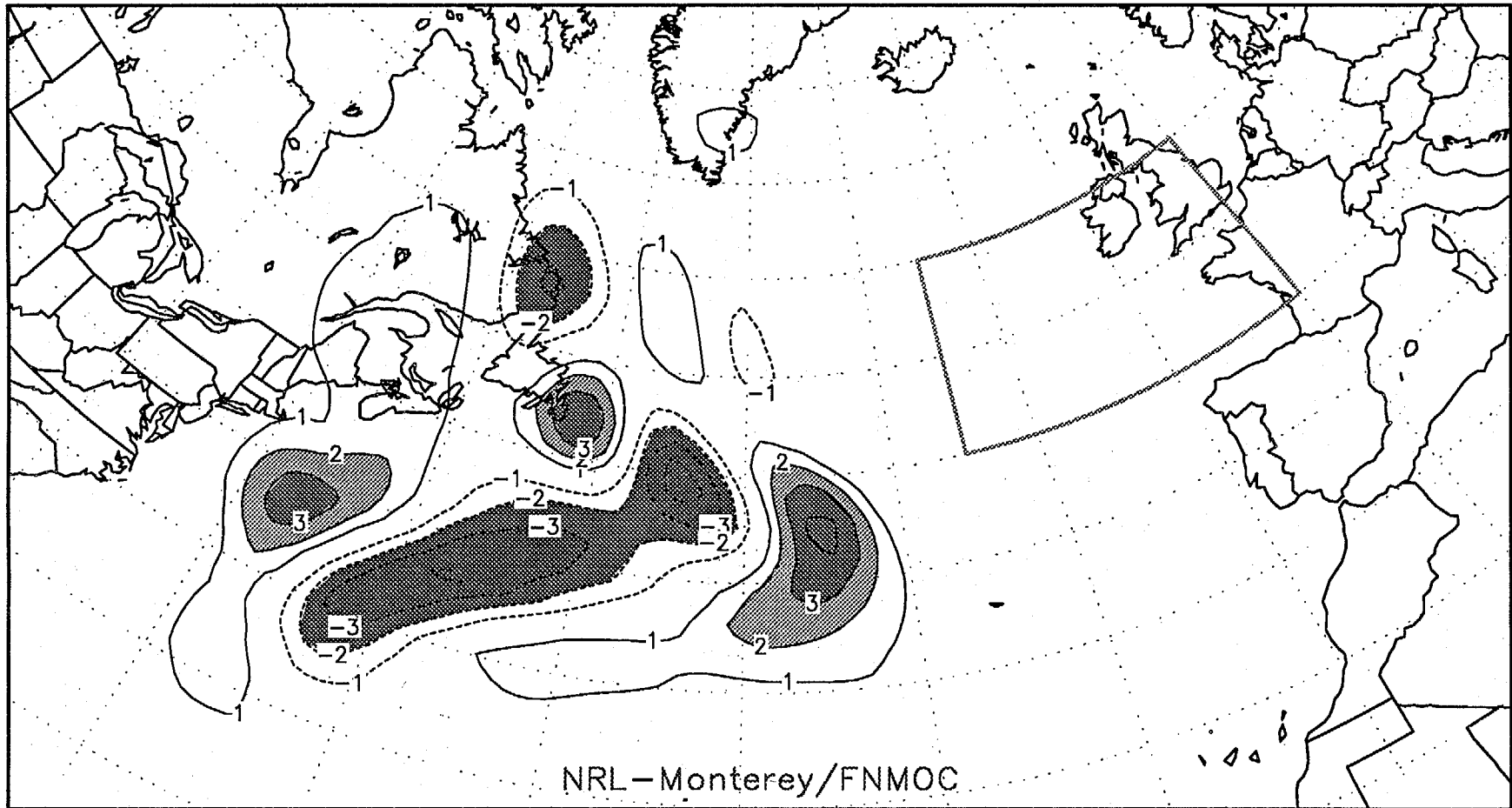
T79L18 (+84h, -48h)

Target Valid: 1997021712

Forecast Valid: 1997021912

850hPa  $dJ/d(\text{TEMPERATURE})$

$c_i = 1.0 \times 10^{-7}$



NOGAPS Adjoint Sensitivity

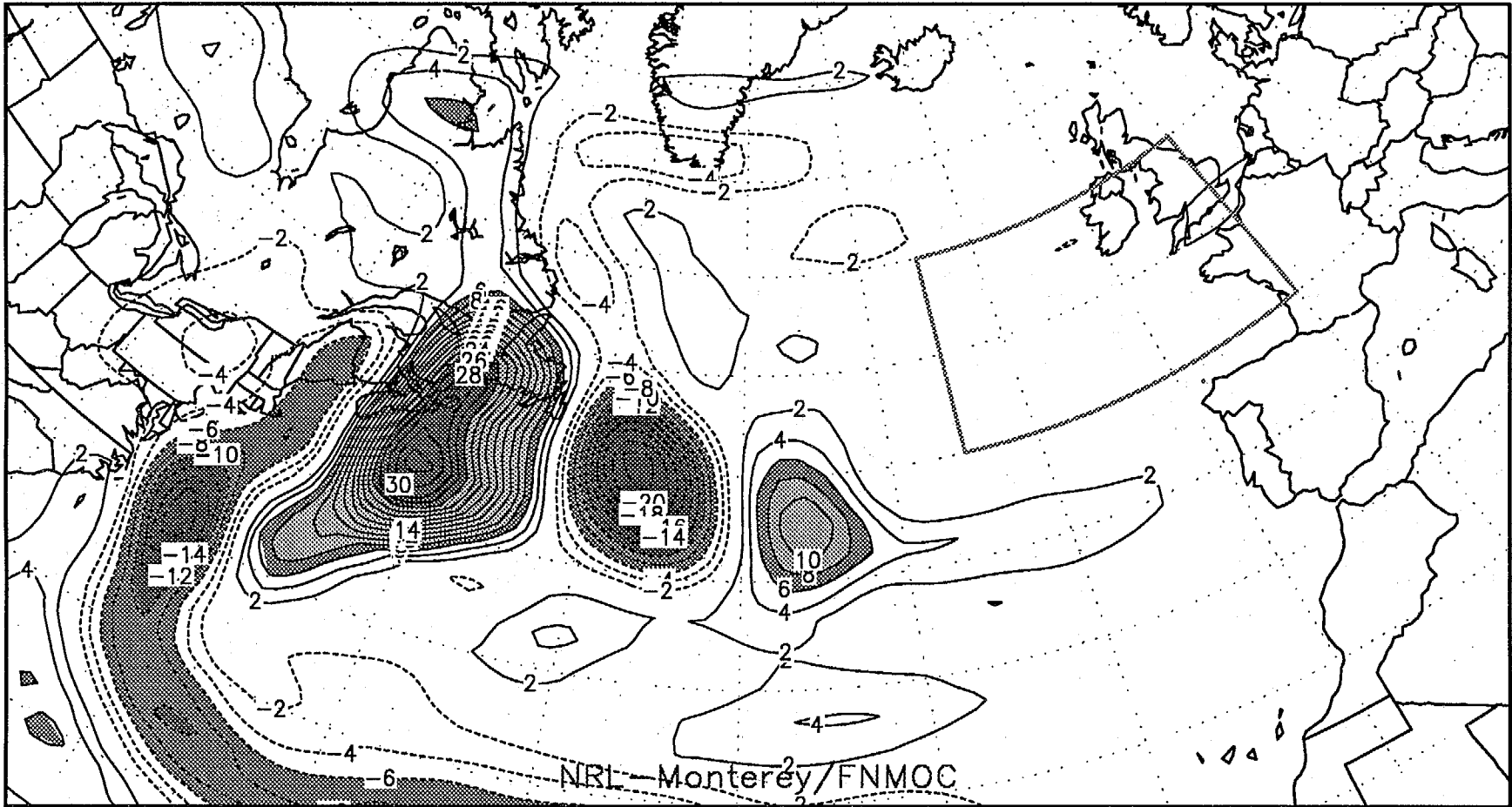
T79L18 (+84h, -48h)

Target Valid: 1997021712

Forecast Valid: 1997021912

680hPa  $dJ/d(U-COMP)$

$ci=2.0 \times 10^{-8}$



NOGAPS Adjoint Sensitivity

T79L18 (+84h,-48h)

Target Valid: 1997021712

Forecast Valid: 1997021912

# Adjoint Model and A Quasi-inverse Linear Model of NCEP Global Spectral Model in FASTEX Experiment

Zhaoxia Pu and Eugenia Kalnay  
EMC/NCEP

*Thanks to: Tim Marchok, Zoltan Toth and  
I. Szunyogh and Mark Iredell*

## ● Goal

Determine the location where the observations are most needed.

## ● Method

Take forecast difference between ensemble members at final time ( $\delta x = X^a_T - X^b_T$ ,  $X_T = M(X(t_0))$ ). Mask targetted area (FASTEX). Trace back this area to observational time using adjoint and quasi-inverse method.

## ① ADJOINT Method

define a cost function based on total energy norm (error norm) as:

$$J = \|\delta x\|^2$$

Gradient of J ----- sensitivity patterns

method similar to NRL, French, etc.

## ② The “quasi-inverse” Linear Method (new)

The TLM approximation:

$$M_t(X_0 + \delta X_0) - M_t(X_0) = L_t \delta X_0 + O(\delta X_0)^2$$

Quasi-inverse linear

$$\delta X_0 \approx L_t^{-1} (M_t(X_0 + \delta X) - M_t(X_0))$$

We approximate  $L^{-1}$ , the inverse operator, by integrating the TLM backwards in time. i.e., with a negative time step. Since the sign of the diffusive processes cannot be integrated backwards, we change the sign of the surface friction and diffusion term.

### ● The Model

#### ○ Nonlinear forecast model

NCEP operational global medium range forecast model. With lower resolution T62 and 28 vertical sigma levels.

#### ○ Adjoint and tangent linear model

Navon et al. 1992, adiabatic version

Pu et al. 1995,

----- An adiabatic version with surface friction and vertical mixing (Buizza 1993).

#### ○ Quasi-inverse Linear Model ( Pu & Kalnay 1996)

TLM with negative time step and reversed sign of a diffusion term.

● Performance for FASTEX

During January ----- February of 1997

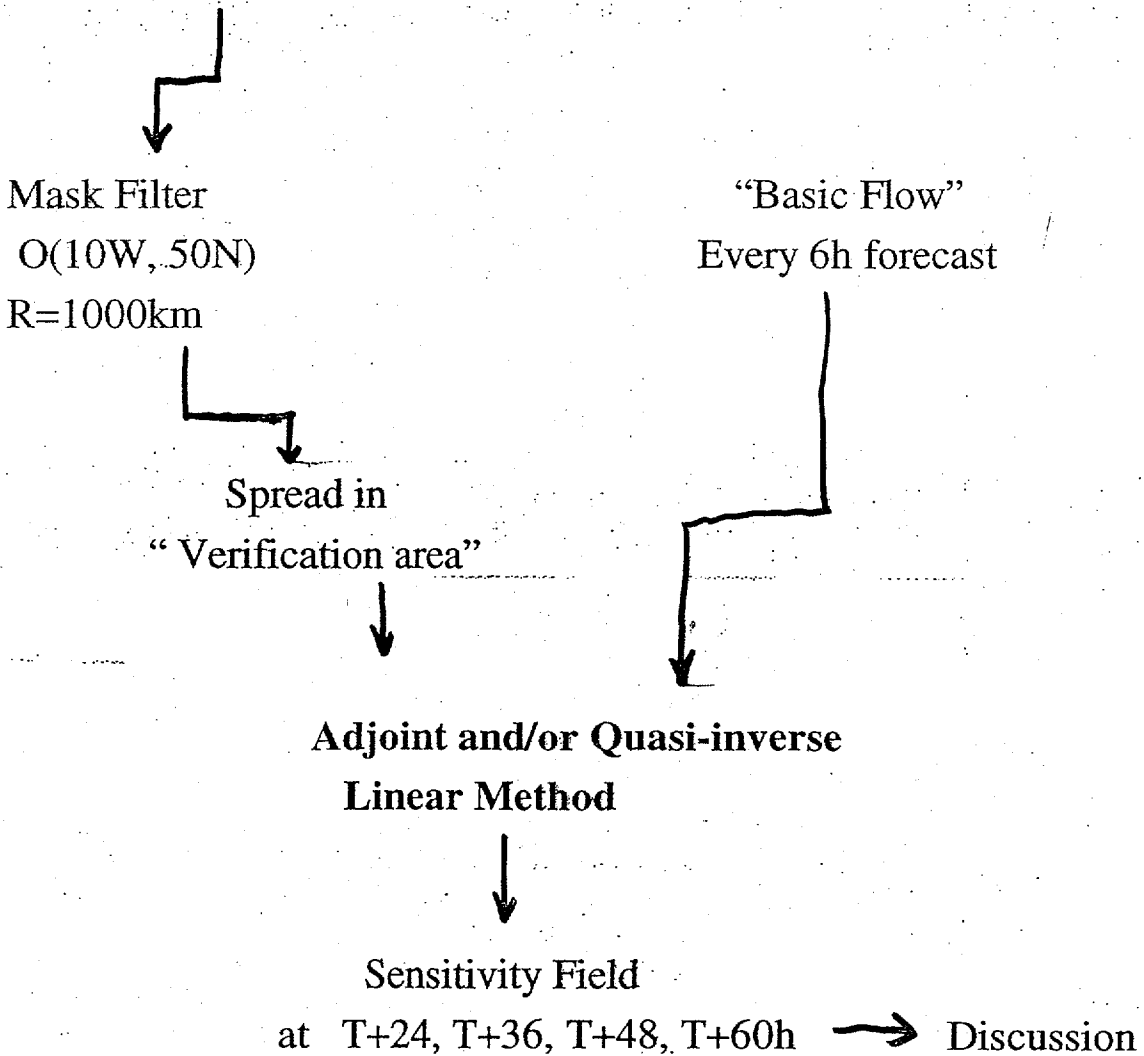
□ Procedure

At 00Z and 12Z

T+72 h

Global Ensemble

Forecast Spread



# ENSEMBLE TRANSFORMATION AND ADAPTIVE OBSERVATIONS

Craig H. Bishop, Pennsylvania State University.

Zoltan Toth, Istvan Szunyogh, NCEP.

Sharanya Majumdar, Pennsylvania State University.

April 1997

(Many thanks to NCEP and RPN)

## ENSEMBLE TRANSFORM TECHNIQUE.

Uses linear combinations of ensemble perturbations to transform an ensemble that is appropriate for one particular observational network into an ensemble that would be appropriate for *any* other observational network.

Utilizes singular vector decompositions of *nonlinear* ensemble perturbations to rapidly estimate the prediction error variance associated with a wide range of different possible deployments of observational resources. Optimal deployment minimizes the estimate of prediction error variance.

If the ensemble perturbations were linear and if they spanned the state vector of the model, the ensemble transform technique would produce identical perturbations to the optimal SVs discussed in Ehrendorfer and Tribbia (1997).

Useful for directing mobile observing platforms to locations where they will have a positive impact on forecast error, deciding which observations will have minimal impact on forecast accuracy, diagnosing forecast busts and ensemble construction.

Any Ensemble, Full Physics, Very Fast.



## **OVERVIEW**

**Matching ensemble perturbations to observational networks**

*(a) The perfect but impractical prototype calculation*

*(b) The practical calculation*

**The moderation of aliasing problems via SV decomposition**

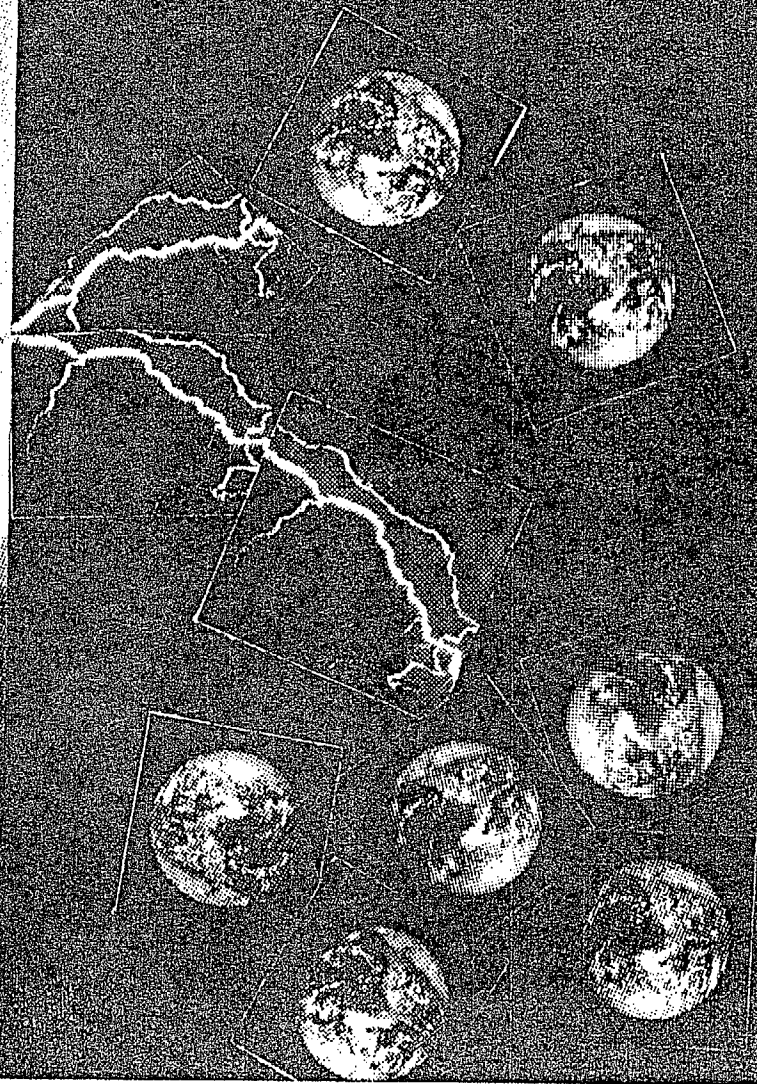
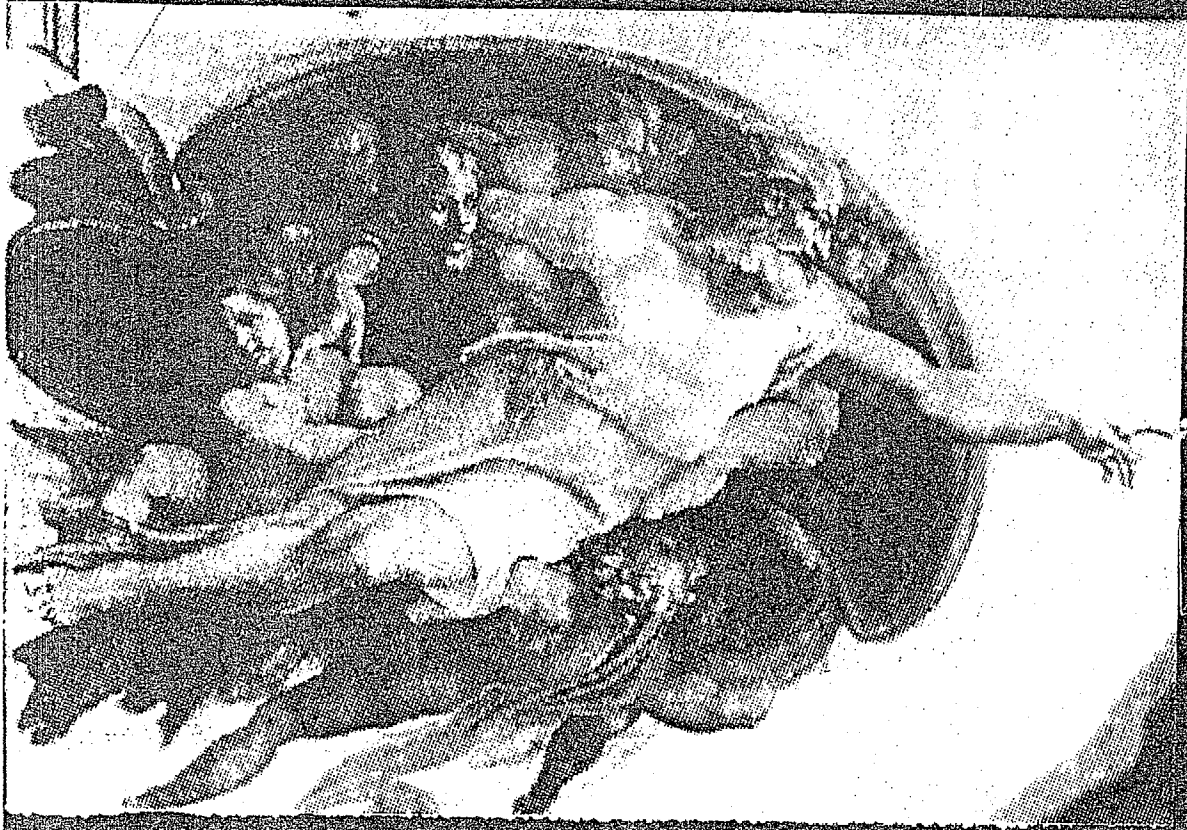
**Time continuity of data sensitive region**

**Tests**

*(a) Idealized model*

*(b) A data denial experiment from NCEP*

**Conclusions**



## The perfect but impractical calculation

$$\begin{aligned}\lambda_i &= \mathbf{e}_i^T \left( \frac{\underline{\mathbf{V}}(t_a) \underline{\mathbf{V}}(t_a)^T}{N} \right) \mathbf{e}_i \\ &= \mathbf{e}_i^T \underline{\mathbf{A}}(t_a) \mathbf{e}_i.\end{aligned}$$

$\underline{\mathbf{V}}(t_a)$  is the matrix whose  $N$  columns are realisations of analysis error. Each analysis error has dimension  $M$ .

$\mathbf{e}_i$  is the  $i$ th vector of orthonormal basis

$c_{ni}$  = projection of  $n$ th realisation onto  $\mathbf{e}_i$

= coefficient of  $\mathbf{e}_i$  in  $n$ th realization

$\lambda_i$  is mean value of  $c_{ni}$  over all realisations

$\underline{\mathbf{A}}(t_a)$  is the  $M \times M$  analysis error covariance matrix

$\underline{\mathbf{P}}(t)$  is the  $M \times M$  prediction error covariance matrix; it

is given by replacing  $t_a$  by  $t$  in the definition of  $\underline{\mathbf{A}}(t_a)$

$$\underline{\mathbf{P}}(t) = \frac{\underline{\mathbf{V}}(t) \underline{\mathbf{V}}(t)^T}{N}.$$

Let  $\underline{\underline{X}}_e(t)$  be the  $M \times M$  matrix whose columns are any set of  $M$  independent ensemble perturbations.

Let  $\underline{\underline{Y}}_e(t)$  be the matrix of transformed ensemble perturbations; i.e.

$$\underline{\underline{Y}}_e(t) = \underline{\underline{X}}_e(t) \underline{\underline{C}},$$

where  $\underline{\underline{C}}$  is the transformation matrix. If the  $\underline{\underline{C}}$  matrix is chosen so that

$$\underline{\underline{Y}}_e(t_a)^T \underline{\underline{A}}^{-1}(t_a) \underline{\underline{Y}}_e(t_a) = \underline{\underline{I}}$$

then

$$\underline{\underline{A}}^{-1}(t_a) = \left( \underline{\underline{Y}}_e(t_a)^T \right)^{-1} \left( \underline{\underline{Y}}_e(t_a) \right)^{-1}$$

hence

$$\underline{\underline{A}}(t_a) = \underline{\underline{Y}}_e(t_a) \underline{\underline{Y}}_e(t_a)^T = \underline{\underline{X}}_e(t_a) \underline{\underline{C}} \underline{\underline{C}}^T \underline{\underline{X}}_e(t_a)^T$$

and

$$\underline{\underline{P}}(t) = \underline{\underline{Y}}_e(t) \underline{\underline{Y}}_e(t)^T = \underline{\underline{X}}_e(t) \underline{\underline{C}} \underline{\underline{C}}^T \underline{\underline{X}}_e(t)^T$$

Interestingly, there are an infinite number of appropriate transformations. If  $\underline{\underline{B}}^T = \underline{\underline{B}}^{-1}$  then

$$\underline{\underline{X}}_e(t) \underline{\underline{C}} \underline{\underline{B}} \underline{\underline{B}}^T \underline{\underline{C}}^T \underline{\underline{X}}_e(t)^T = \underline{\underline{Z}}_e(t) \underline{\underline{Z}}_e(t)^T = \underline{\underline{P}}(t).$$

where

$$\underline{\underline{Z}}_e(t) = \underline{\underline{X}}_e(t) \underline{\underline{C}} \underline{\underline{B}} = \underline{\underline{Y}}_e(t) \underline{\underline{B}}.$$

This is an extremely useful property as it allows us to find appropriately transformed ensembles whose members are orthogonalized and ordered with respect to some measure of error,  $\epsilon$ .

For example, could find a  $\underline{\underline{B}}$  such that the transformed ensemble perturbations,  $\underline{\underline{z}}_e(t)$ , are orthogonal with respect to the following measure of forecast error.

$$\epsilon = \underline{\underline{z}}(t_v)^T \underline{\underline{F}}^{-1} \underline{\underline{z}}(t_v)$$

Such vectors are the singular vectors (SVs) of this measure of prediction error variance.

The SVs which maximize this measure of prediction error are "optimal" in the sense that they explain more prediction error variance than any other SV, Ehrendorfer and Tribbia (JAS, 1997, p.286)

If one is solely interested in a normalized prediction error over some localized verification region, one could obtain a normalized prediction error covariance matrix,  $\underline{\underline{P}}^*(t)$ , by replacing,  $\underline{\underline{Y}}_e(t)$  by  $\underline{\underline{F}}^{-1/2} \underline{\underline{Y}}_e(t)$  where  $\underline{\underline{F}}^{-1/2}$  is a positive definite diagonal matrix operator which normalizes variables and sets them to zero in regions outside of the verification region. In algebraic terms,

$$\underline{\underline{P}}^*(t) = \underline{\underline{F}}^{-1/2} \underline{\underline{Y}}_e(t) \underline{\underline{Y}}_e(t)^T \underline{\underline{F}}^{-1/2} = \underline{\underline{F}}^{-1/2} \underline{\underline{P}}(t) \underline{\underline{F}}^{-1/2}.$$

## **The practical calculation**

Use available ensemble perturbations and all available information on analysis error covariance matrix in a direct application of above technique.



## **Illustration from Fastex**

8 NCEP members and 9 RPN members in ensemble

Analysis error covariance matrix is assumed to be diagonal

Values of diagonal elements of analysis error covariance matrix are estimated from spread of RPN ensemble at initialization time.

### **Outside the target region,**

$$a_{ii} =$$

1.5x(mean square of ensemble perturbations over whole globe)

+0.5x(smoothed mean square of ensemble perturbations)

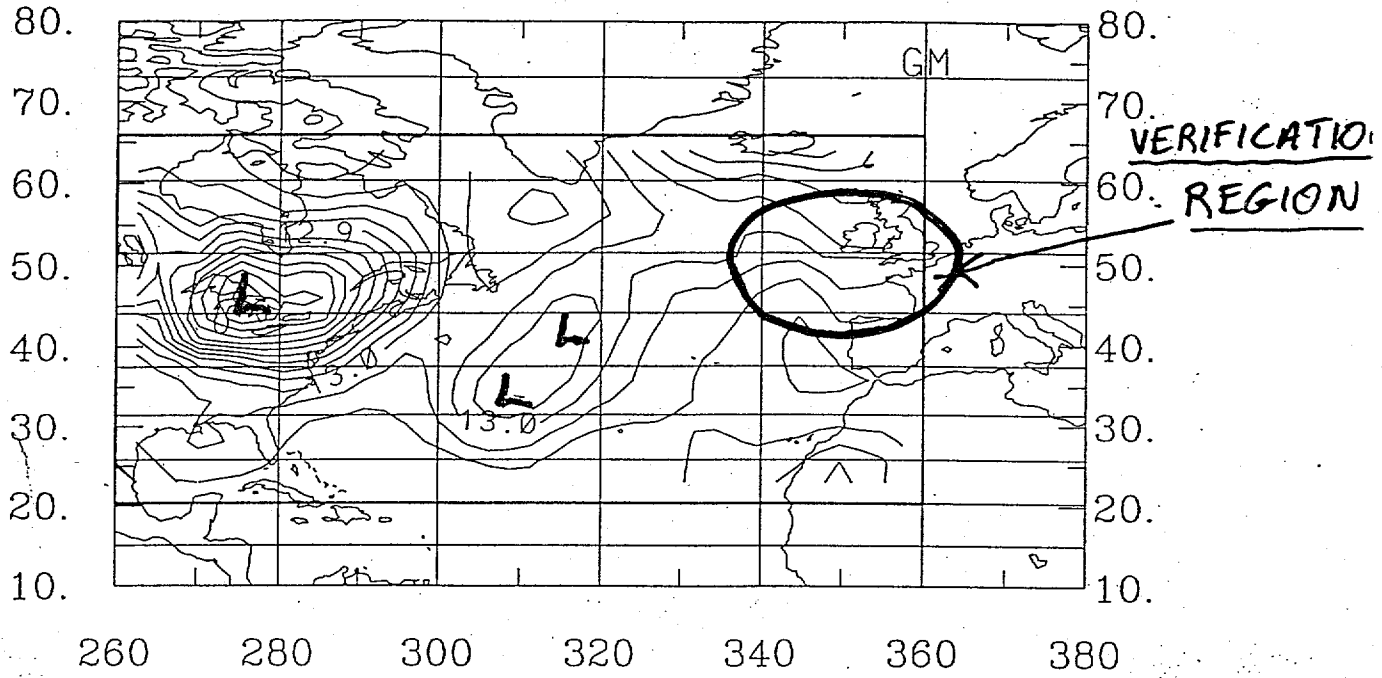
### **Inside the target region**

$$a_{ii} =$$

1.0x(mean square of ensemble perturbations over whole globe)

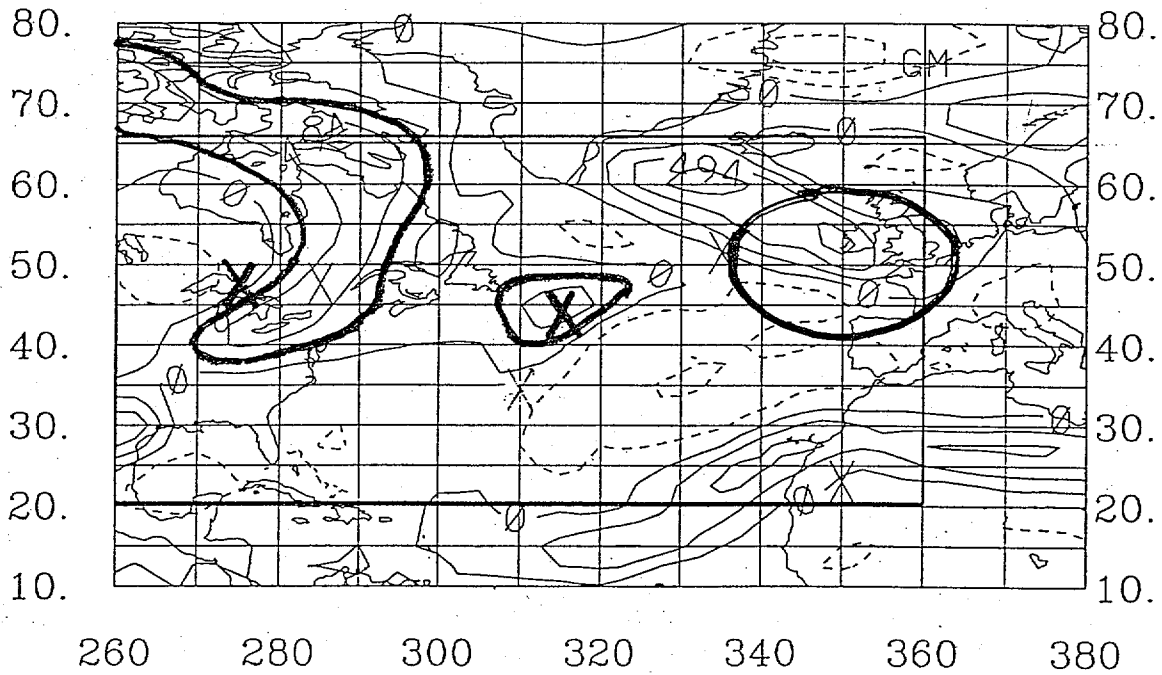
**State vector only contains vorticity and streamfunction at 850mb, 500 mb and 250 mb**

12.74( 275.0E 47.7N), 12.77( 285.0E 47.7N), 12.92( 310.0E 34.4N),



ERROR EXPLAINED BY 4 LEADING SV S OF TRANSFORMED ENS

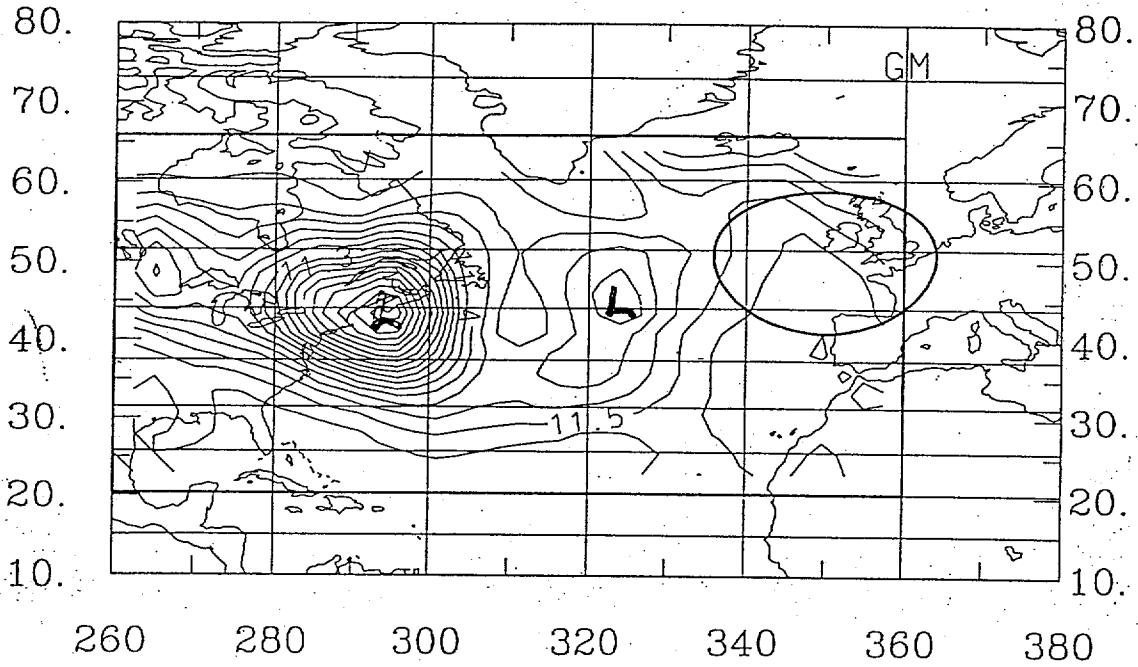
48 X FOR 48-108 1997021100



500 MB VORTICITY. X S MARK IDEAL TARGET AREAS

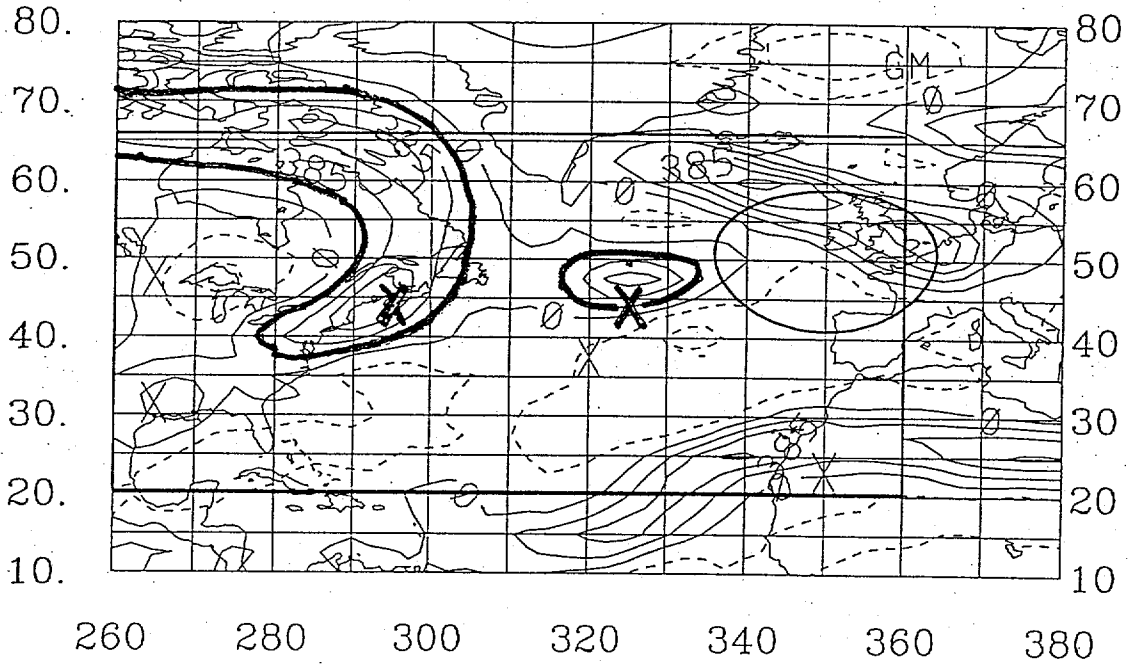
The estimate of prediction error was based on a forecast initialized at 0Z 11 Feb. 97.

11.13( 295.0E 44.1N), 11.33( 265.0E 47.7N), 11.37( 325.0E 44.1N),



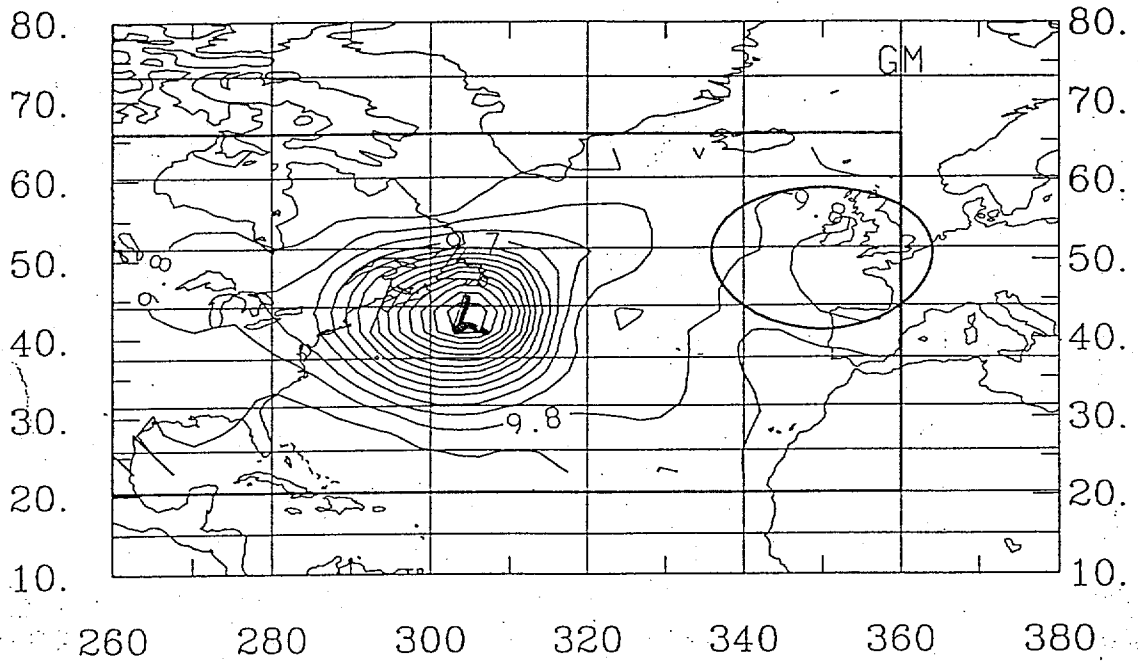
ERROR EXPLAINED BY 4 LEADING SV S OF TRANSFORMED ENS

60 X FØR 60-108 1997021100



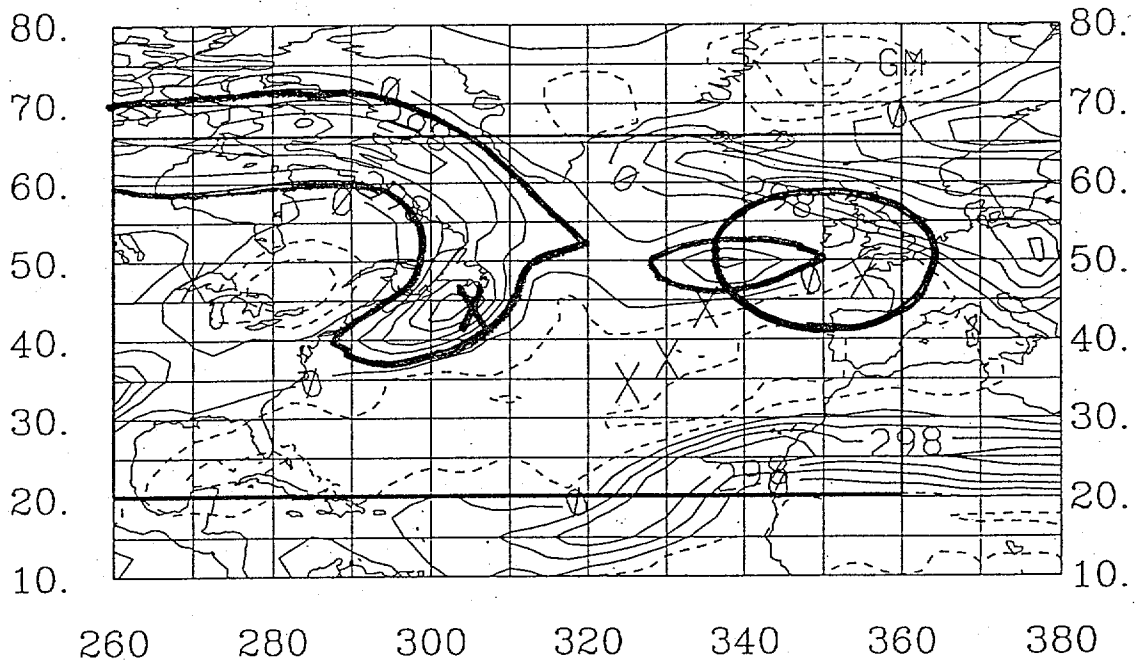
500 MB VØRTICITY. X S MARK IDEAL TARGET AREAS

9.31( 305.0E 44.1N), 9.72( 270.0E 47.7N), 9.73( 355.0E 47.7N).



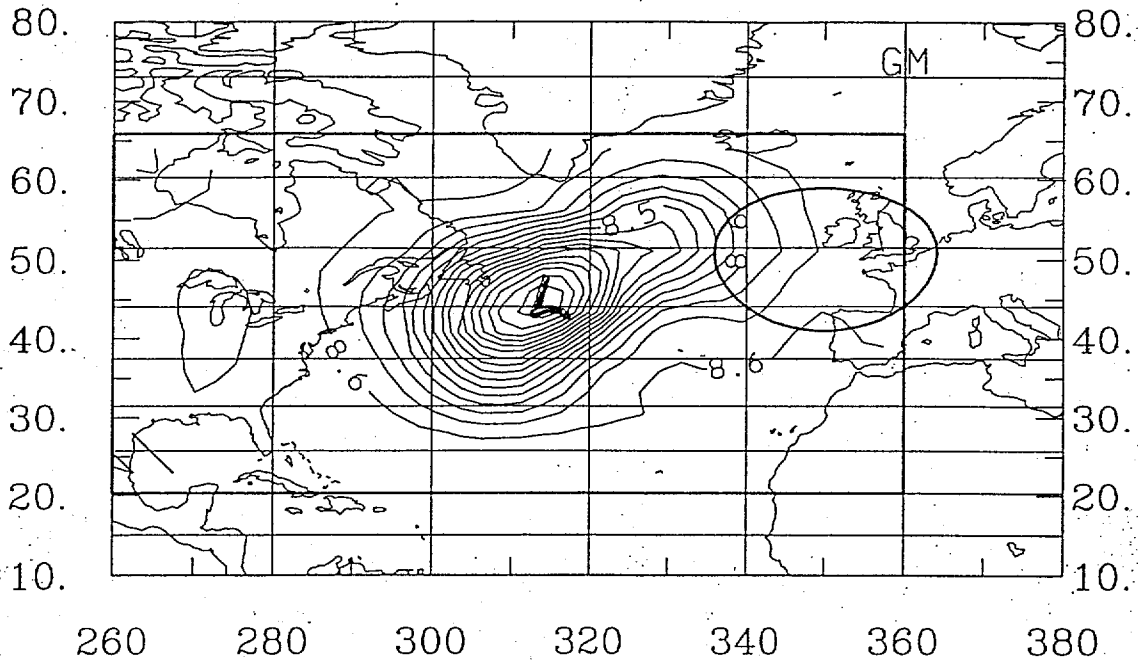
ERROR EXPLAINED BY 4 LEADING SV S ØF TRANSFORMED ENS

72 X FØR 72-108 1997021100

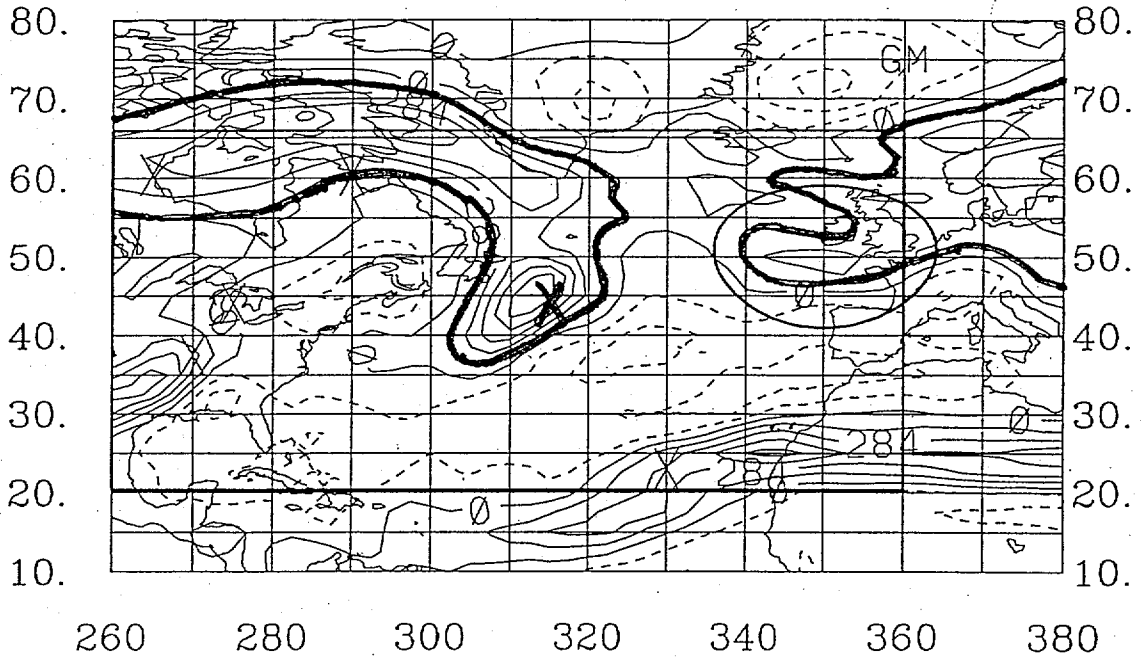


500 MB VØRTICITY. X S MARK IDEAL TARGET AREAS

8.12( 315.0E 44.1N), 8.61( 265.0E 60.4N), 8.63( 275.0E 44.1N),

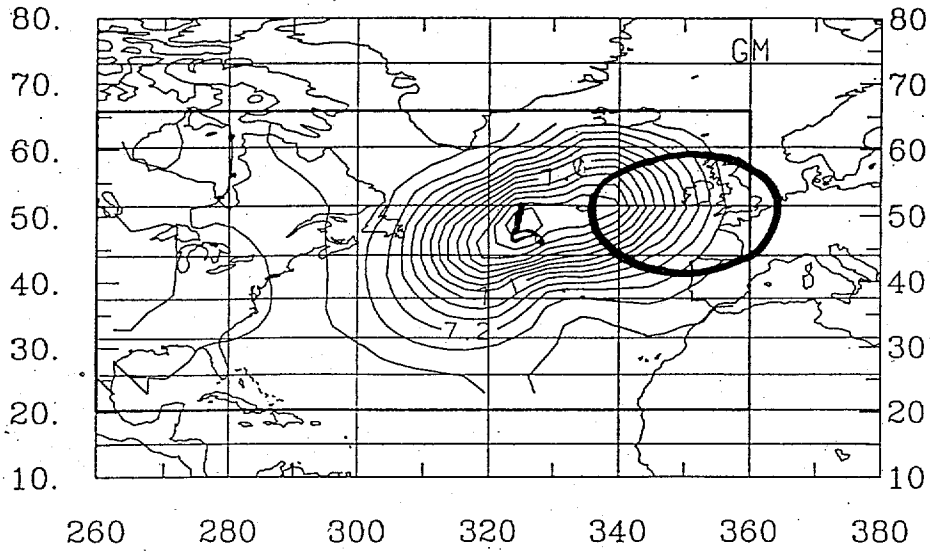


ERRØR EXPLAINED BY 4 LEADING SV S ØF TRANSFORMED ENS  
84 X FØR 84-108 1997021100



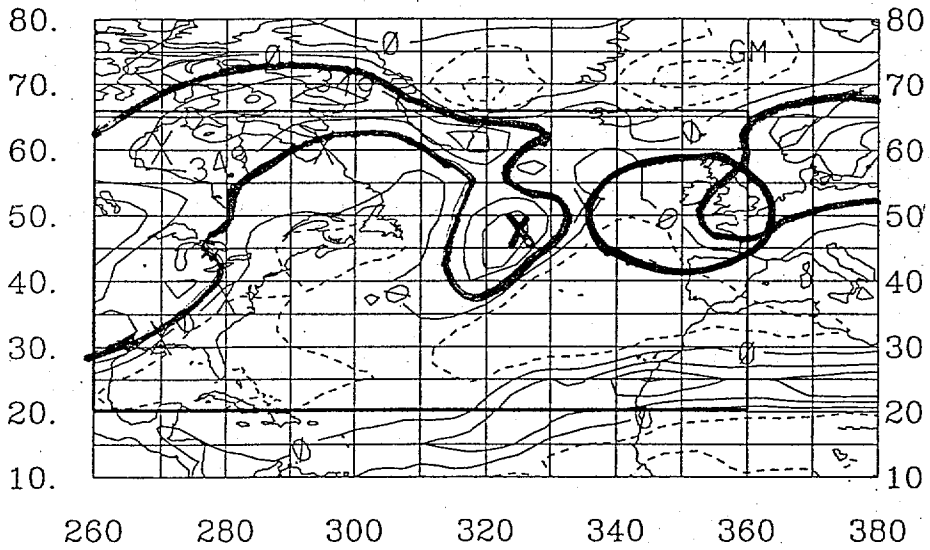
500 MB VØRTICITY. X S MARK IDEAL TARGET AREAS

6.78( 325.0E 47.7N), 6.82( 335.0E 51.5N), 7.19( 270.0E 60.4N).

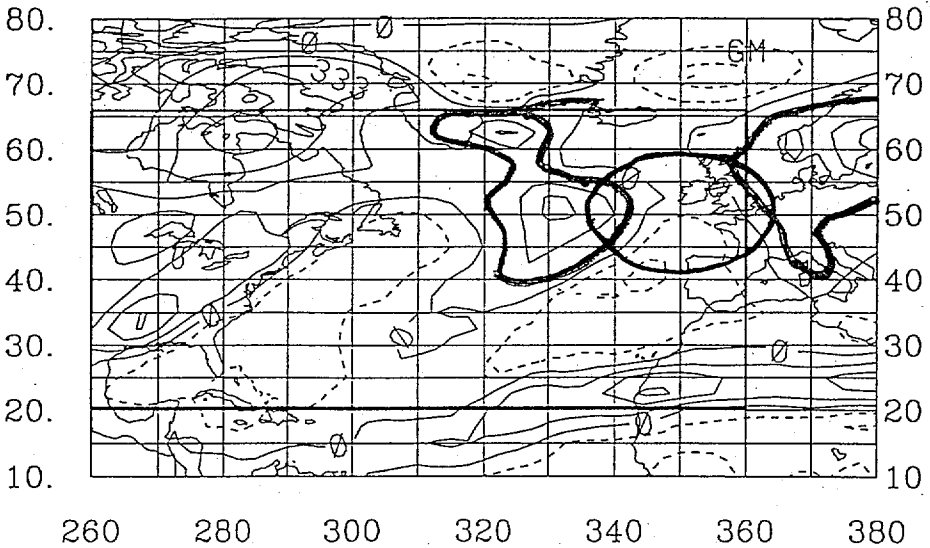


ERRØR EXPLAINED BY 4 LEADING SV S ØF TRANSFORMED ENS

96 X FØR 96-108 1997021100

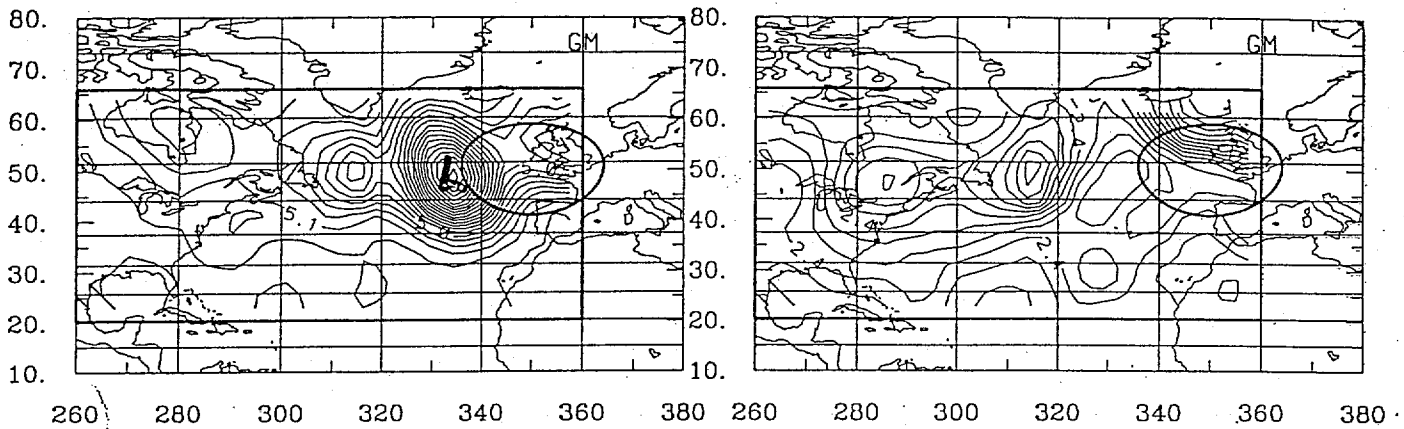


108 FØR108-108 1997021100

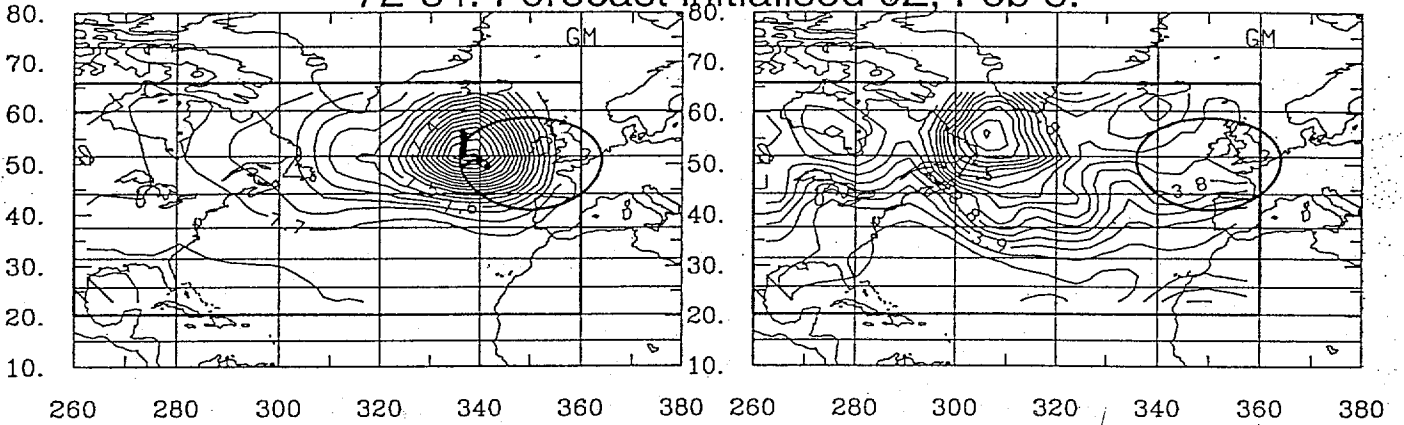


500 MB VØRTICITY. X S MARK IDEAL TARGET AREAS

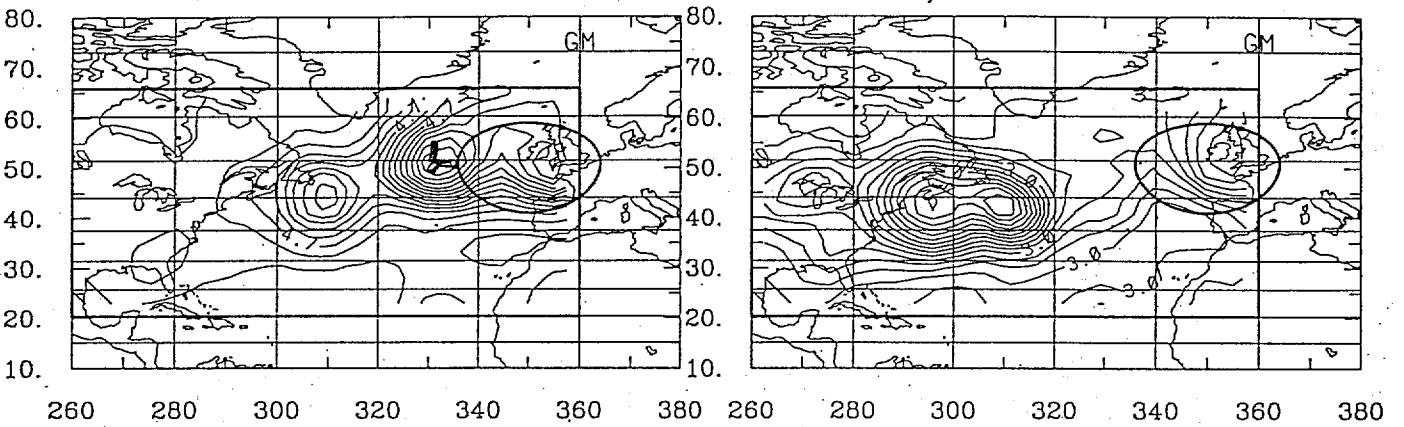
96-108. Forecast initialised 0Z, Feb 3.



72-84. Forecast initialised 0Z, Feb 8.



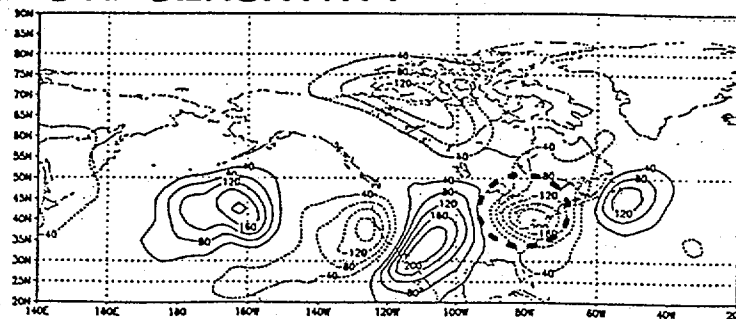
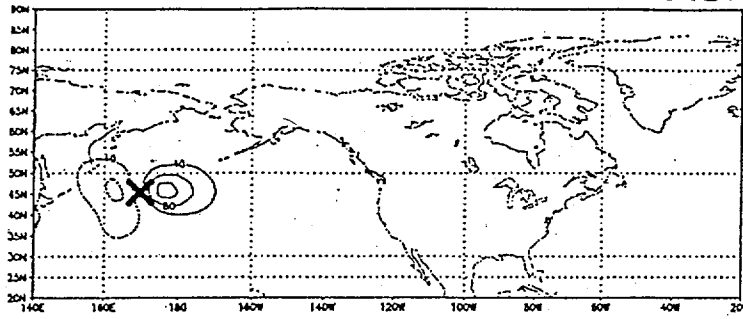
72-84. Forecast initialised 0Z, Feb 11.



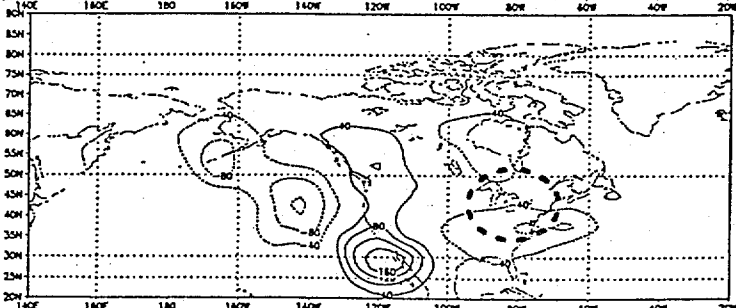
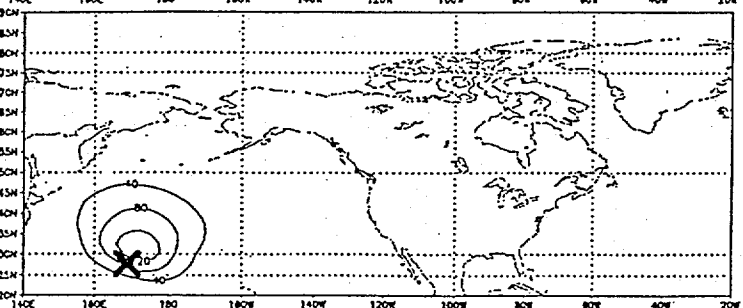
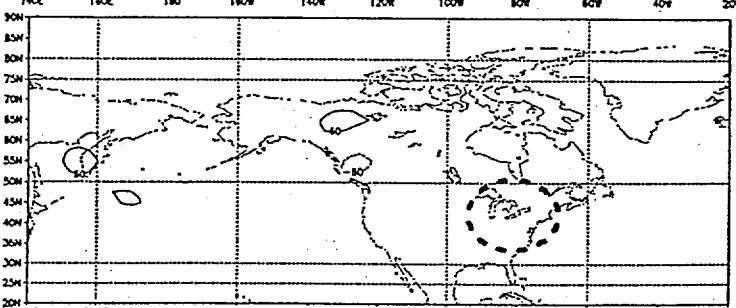
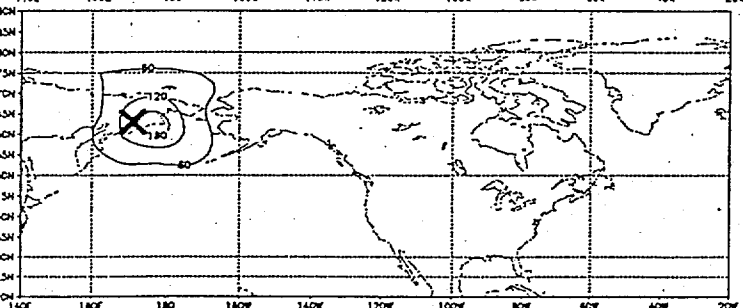
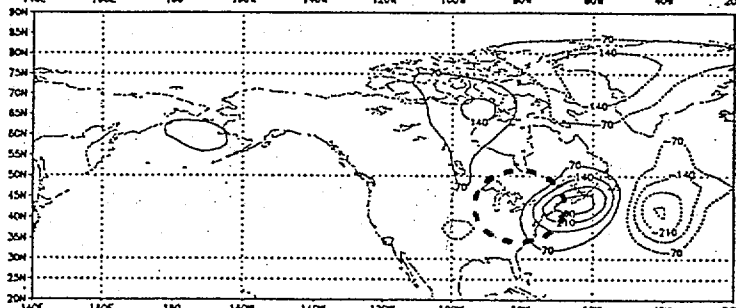
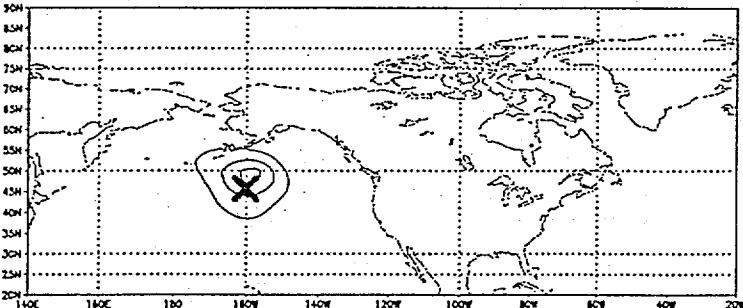
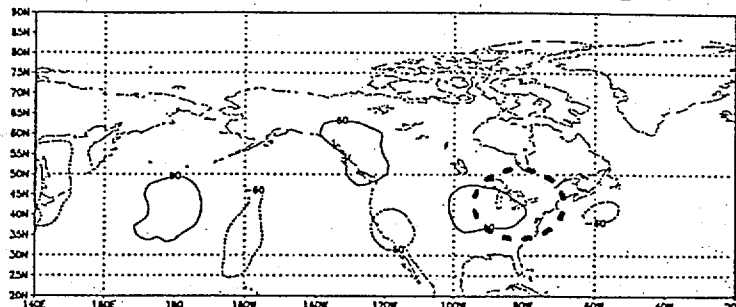
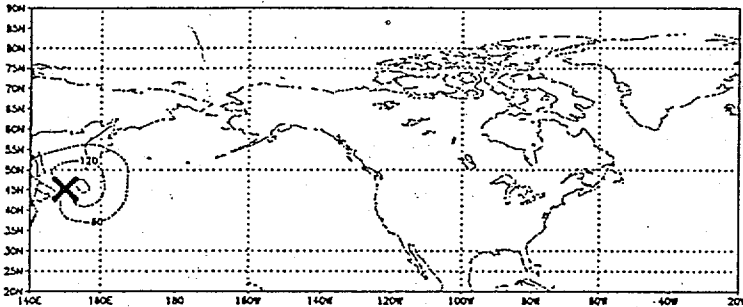
Error explained by 4 leading SVs    Error explained by trailing SVs

Fig. 1. The square root of the scaled prediction error variance has been plotted as a function of the center of a 750 km\*\*2 region of high quality observations. With only a 12 h difference between analysis time and verification time, the optimal sites for supplementary observations ought to lie reasonably close to the verification region.

ENSEMBLE BASED SVD SENSITIVITY



FOUR AREAS SELECTED AROUND SVD SENSITIVITY REGION

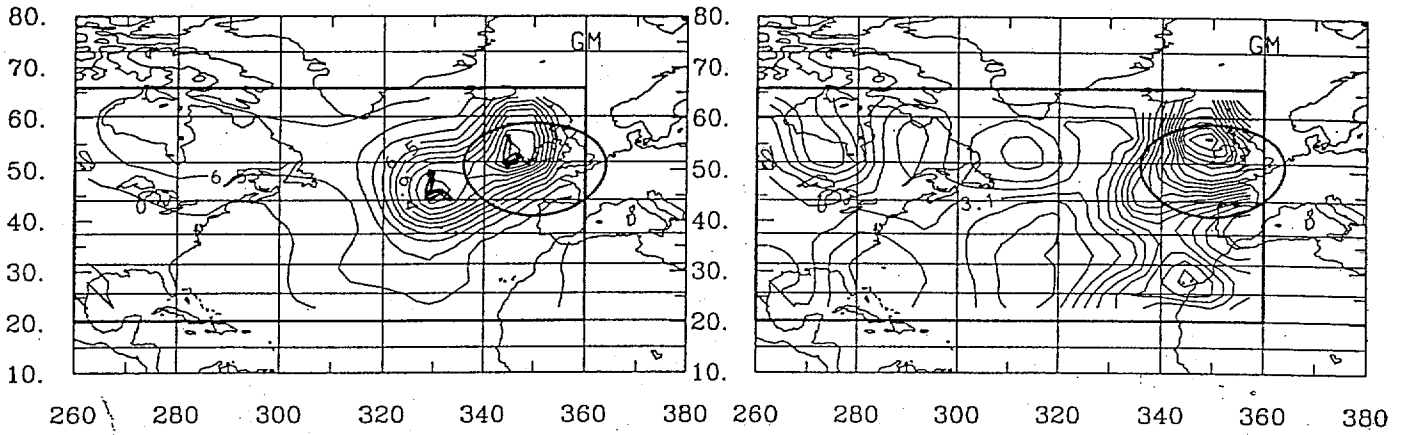


All data were denied from experimental analysis in 300 km radius vicinity of most sensitive area indicated by ensemble based SVD sensitivity calculations. In addition, data were invented and introduced into experimental analysis from 24-hour control forecast valid at analysis time, over same sensitive region. Effectively this amounts to denying all observations up to 24 hours prior to analysis time that had an impact on control analysis over the sensitive area. Verification area where amplification is maximized at 108-hour lead time is marked by a dashed ellipsoid on right panels. Four areas surrounding the most sensitive area were also tested similarly.

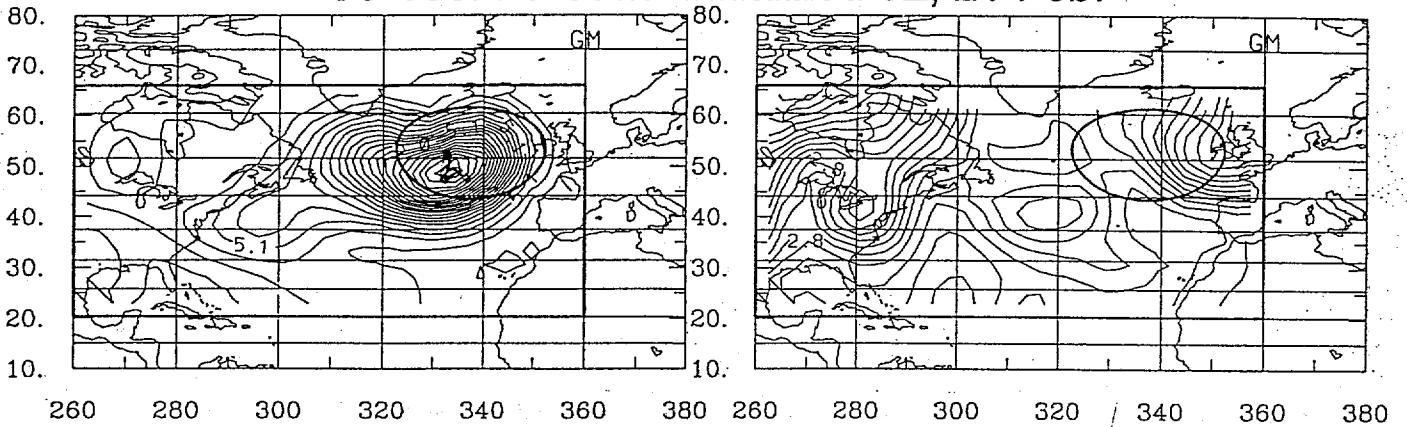
TOTH Z I SZUNYOGH C BISHOP I WOOLEN AND T MARCHOK



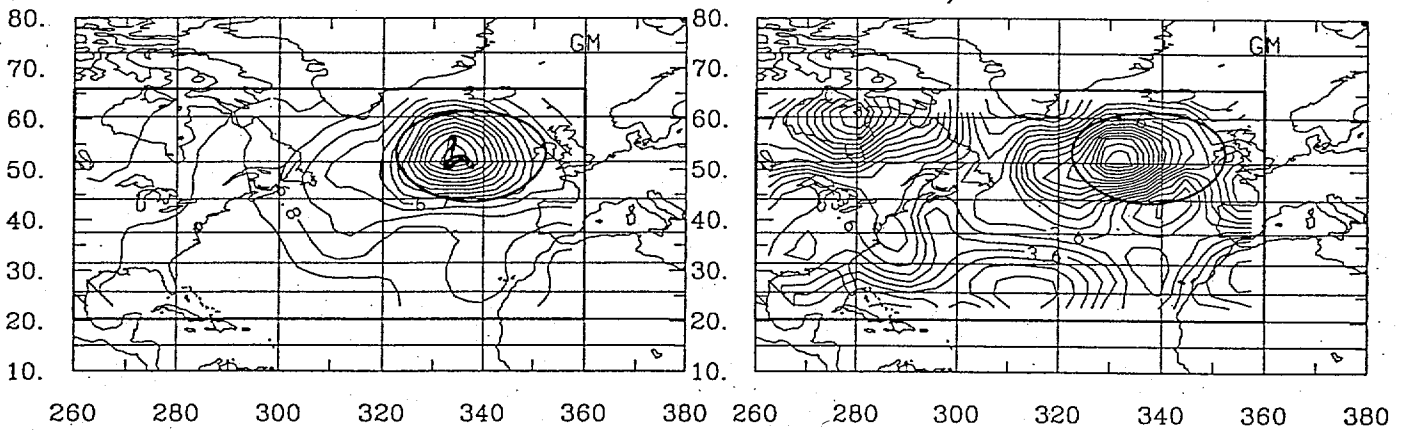
84-96. Forecast initialized 0Z, 16 Feb.



96-108. Forecast initialized 0Z, 21 Feb.



96-108. Forecast initialized 0Z, 23 Feb.



Error explained by 4 leading SVs

Error explained by trailing SVs

## ENSEMBLE TRANSFORM TECHNIQUE.

Uses linear combinations of ensemble perturbations to transform an ensemble that is appropriate for one particular observational network into an ensemble that would be appropriate for *any* other observational network.

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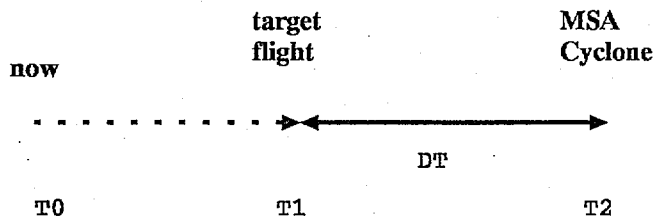
Useful for directing mobile observing platforms to locations where they will have a positive impact on forecast error, deciding which observations will have minimal impact on forecast accuracy, diagnosing forecast busts and ensemble construction.

Any Ensemble, Full Physics, Very Fast

# The French adjoint methods used during FASTEX

Thierry Bergot  
MÉTÉO FRANCE

- Modele : ARPEGE / IFS
  - truncature T63, 19 vertical levels
  - tangent linear and adjoint : model with simplified physics
  - trajectory : model with the full physics. trajectory truncated at T21
- flexibility : T1, T2, area of interest



- sensitivity :
  - $J$  = enstrophy inside the area of interest
  - gradient with respect to euclidean norm
- singular vectors :
  - Resolution of the Dual problem :
    - computation of the eigenvectors ( $W_i$ ) of the lower dimensional product operator  $LL^*$
    - computation of the singular vectors :  $V_i = L^*W_i/\lambda_i$
  - Initial norm (at T1) : energy
  - Final norm (at T2) : enstrophy inside the area of interest

# Targetting experiments using FASTEX data

- Main goal :

study the growth of forecast errors for different FASTEX IOPs.  
And precisely for FASTEX cyclones poorly forecasted.

- Pre-FASTEX results :

It is necessary that the initial error don't project onto the most unstable structure, typically the 2 first SVs, to really improve the forecast. (Bergot and al, 1996, 7th Mesoscale conference)

- tools :

- FASTEX targets
- FASTEX data (soundings)
- assimilation : ARPEGE / IFS 3DVAR and 4DVAR
- forecast model : ARPEGE / IFS

# The futur work :

define the best strategy to control the growth of the forecast errors

- time parameters :

- strategy 1 : many observations in sensitive area at one time.

- questions :

- \* observations 48h before the mature phase are sufficient?  
(if only a small error project onto the most unstable structure, this error will growth super-exponentially, and the forecast is not very well improved - pre-Fastex results)
      - \* how many observations are needed to correct the initial errors with the actual assimilation system?

- strategy 2 : some observations in sensitive area at different times during the lifecycle of the cyclone. questions :

- \* observations every 6h, 12h, 18h, 24h?
    - \* how many observations are needed to have a good forecast?

- comparison of the 2 strategies.

- space parameters :

- observations only around the most sensitive levels

- importance of the upper levels

- comparison of subjective and objective targets

**EARLY RESULTS FOR NCEP  
PART I**

ISTVAN SZUNYOGH  
ZOLTAN TOTH  
JACK WOOLEN  
WAN-SHU WU  
TIM MARCHOK  
DAVE PARRISH

April 10 1997

## **Main goals**

i) Find advantages and disadvantages of the different targeting strategies

ii) Identify the synoptic regimes whose prediction can be improved by targeting

- Sensitivity patterns are localized enough
- Sensitivity to the initial conditions dominates over the impact of model errors
- The time window between targeting and verification is within the predictability limit of a particular system

iii) Verify the forecasts using the extra data in MSA

## Conclusions

- i) Each targeting strategy worked well for the investigated cases, the signal from the extra observations always got to the verification region!
- ii) There are cases when the sensitive area covers a large geographical region. In these cases the benefits from targeting is questionable.
- iii) The problem of targeting and that of data assimilation cannot be investigated independently. Extra data may not improve the forecast skill for a particular case due to problems in the analysis. Targeting strategies should take into account the specifics and limitations of the data assimilation systems and the NWP models. Assimilation of targeted observations, on the other hand, is a new challenge for the development of advanced analysis schemes.



# REVIEW OF THE USE OF ECMWF ENSEMBLE DATA FOR TARGETING UPSTREAM OBSERVATIONS DURING THE FASTEX FIELD EXPERIMENTS

ZOLTAN TOTTH<sup>1</sup> AND ISTVAN SZUNYOGH<sup>2</sup>

EMC/NCEP

**OVERVIEW.** In this report, four of the six FASTEX cases where the ensemble based SVD method was used with ECMWF ensemble data are reviewed briefly. These four cases correspond with A5, A6, L8 and L11 in the accompanying summary table. In each case, we apply the ensemble based SVD technique (Bishop and Toth, 1996) on the 10-member NCEP ensemble (00Z runs) and compare the sensitivity fields with those based on the 50-member ECMWF ensemble (12Z runs from previous day), using the same technique.

It is clear from the figures (figure pages/fp 1, 3, 5, and 7) that the location of maximum sensitivity (crosses mark the maximum NCEP sensitivity) in the 10-member NCEP and 50-member ECMWF ensemble based calculations is identical in most cases. Considering also the other cases in February 1997 (not shown) one can conclude that the location of maximum sensitivity from calculations based on the two different ensembles is either identical or within a distance equivalent to the resolution of these sensitivity calculations (5 degrees lat-lon). The similarity of the sensitivity results is even more compelling given the substantial differences between the behavior of the two models and ensembles (see below).

**RESULTS. CASE 1.** In addition to the comparison between sensitivity results based on the 10-member NCEP and 50-member ECMWF ensembles, for the first two cases we also computed sensitivity fields based on different 10-member subsets of the ECMWF ensemble. For A5, the full 50-member ECMWF ensemble indicates two areas of sensitivity, corresponding reasonably well with the 10-member NCEP ensemble results (fp. 1). The location of the absolute minimum is identical while there is a north-south displacement with respect to the sensitivity over eastern Canada. This is despite the fact that the NCEP and ECMWF control forecasts are markedly different at verification time. For example, the ridge at the surface just north of the verification area (marked with a dotted ellipsoid at final time, fp. 2) is at 20W in the NCEP control forecast while it is at 30W in the ECMWF run.

The agreement between results based on the whole ECMWF ensemble and its subsets is not so strong as those between the whole ECMWF ensemble and the 10-member NCEP ensemble. For example, the secondary minimum over eastern Canada is completely missing from the 2nd and 5th subsets while the location of the absolute minimum is substantially shifted in other cases (e. g., 1st subset). In any case, if we accept the 50-member ECMWF ensemble based results as a good estimate of sensitivity, almost all 10-member calculations (except the 3rd ECMWF subset) have spurious areas indicated as sensitive. This is because in a small set of ensemble perturbations there can be far-field correlations occurring just by chance. Consider a case when perturbations in a real sensitive area correlate well with those in an area far away that is not sensitive. In this case if, through a linear combination of the initial perturbations, we reduce the initial ensemble spread in the far away insensitive area, the initial ensemble perturbations in the real sensitive area will also be reduced due to the far-field correlation. Judging from the results on fp. 1, this problem is apparently much reduced or eliminated with the use of more ensemble members.

**CASE 2.** For A6 (fp. 3), the sensitivity results for the 10-member NCEP and 50-member ECMWF ensembles are again rather similar with an absolute maximum in sensitivity over the eastern part of the Hudson Bay. (Maximum sensitivity is indicated by low values on the charts, showing, at each point, the level of expected forecast error variance at verification time in the verification region after taking extra observations in the vicinity of the gridpoint, as a fraction of the expected error variance *without* taking the extra observations.) Again, most of the 10-member subsets of the ECMWF ensemble show less correspondence to the results from the full 50-member ensemble. For example, in none of the five subsets the location of the absolute minimum corresponds with that found in the full 50-member ECMWF ensemble (and the 10-member NCEP ensemble). The message again is that a larger ensemble can effectively eliminate spurious sensitive areas. The similarity between the NCEP and ECMWF ensemble based sensitivity results come despite the noticeable differences between the ensemble forecasts themselves. There are substantial differences in the spread between the two ensembles both at initial and final time. For example, at final time the maximum spread for the NCEP ensemble is in the northern part of the verification area (centered at about 12.5W, 56N) while it is northwest of that for the ECMWF ensemble (22.5W, 57N).

**CASE 3.** For L8 (fp. 5-6) the 50-member ECMWF ensemble indicates two centers of large sensitivity, the northeastern one being somewhat stronger. In the 10-member NCEP results

1. GSC at NCEP

2. NCAR/MIT

the absolute minimum is far to the northwest of these two centers (and most probably represents a spuriously sensitive area). The two, equally deep secondary minima on the NCEP chart corresponds well with the ECMWF minima. The southwestern center is identically positioned in the two sensitivity charts while the northeastern center on the NCEP chart is 5 degrees east of that on the ECMWF chart. The sensitivity calculations based on both ensembles on fp. 5 indicate that the most sensitive areas are the southwestern and northeastern parts of the surface low (see targeting area marked by dashed ellipsoids on the 1000 hPa forecast height maps, corresponding to areas of maximum sensitivity), and that these areas overlap with high uncertainty in the mid- to upper troposphere, indicated by large spread again in both ensembles (fp. 6). Clearly, the objective targeting guidance based on the two ensembles is confirmed and corroborated by subjective considerations of either the time evolution of the ensemble spread or the synoptic situation itself.

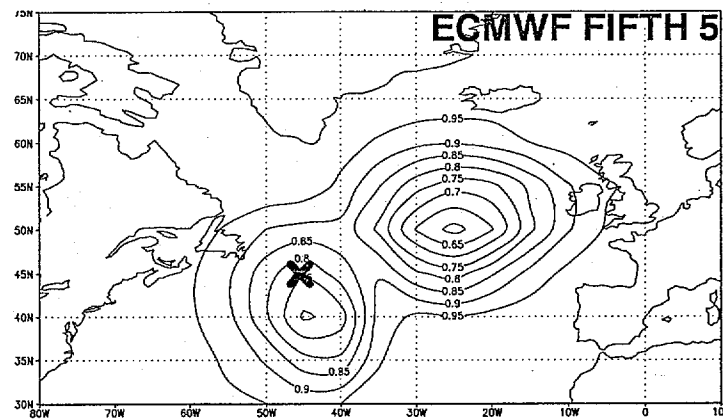
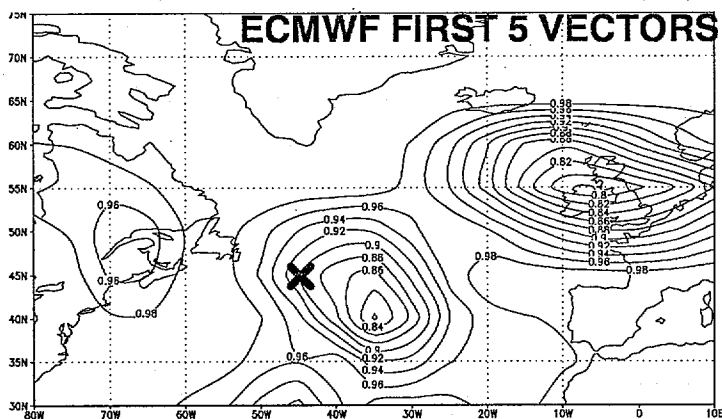
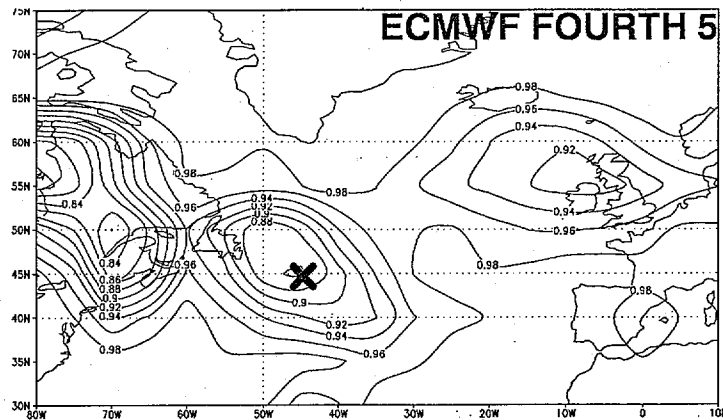
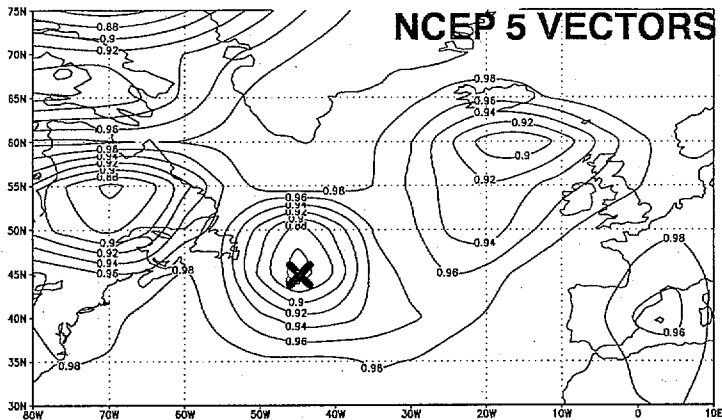
The difference in the position of the northeastern sensitivity center may actually be partly explained by the fact that the NCEP forecasts (both for the surface low and for the northeastern ensemble spread maximum at 500 hPa) are 4–7 degrees ahead (further to the east) of the features in the ECMWF forecasts – both at initial and final (verification) times. For example, the center of the surface low is at 26W, 50N in the NCEP, while at 21W and 55N in the ECMWF control forecast, resulting in a different flow configuration over the verification region. Beyond positional differences, there is also a large difference in the evolution of the spread in the two ensembles. At the initial time of the SVD calculations (36 and 48 hrs lead time for the NCEP and ECMWF ensembles respectively) there are two centers of large spread over the western Atlantic, associated with the uncertainty in the position and intensity of a deep low pressure system. Of these two centers, the southwestern is the more pronounced in the NCEP and the northeastern in the ECMWF ensemble. This pronounced difference is still present at the next time level shown, while the two spread plots become more similar at the last two time levels. Even at the verification time, however, there is an 8 degrees difference in the longitudinal position of the spread maximum in the verification area. It is also possible that the sensitivity results, despite differences in the ensembles, would still fully converge if more members were added to both ensembles.

**CASE 4.** L11 offers perhaps the most striking example for the effect of using a larger ensemble. Here again the absolute minimum on the 10-member NCEP and 50-member ECMWF sensitivity charts are located identically (fp. 7). The 10-member NCEP ensemble, however, has at least four secondary minima of different intensity. These are all eliminated by using the 50-member ECMWF ensemble, suggesting that all the other minima are spurious (as was suspected in real time operations based on subjective considerations such as time evolution of sensitivity charts and ensemble spread fields). The most striking difference in spread between the two ensembles is also found for L11 (fp. 8). North of 45N, there may even be a negative correlation between the two spread charts for the first two time levels. Note, for example, that at 36 (for NCEP) and 48 (for ECMWF) hours lead time, near the area of largest sensitivity (50W, 50N) the NCEP 500 hPa height spread has a minimum (20 m) while the ECMWF ensemble has a maximum (60 m). The difference becomes less pronounced by the verification time – at least for the location of the absolute spread maximum. However, there are still differences. In particular, the maximum spread in the verification region is 120 m for the ECMWF ensemble while it is only half of that (60 m) for the NCEP ensemble. The difference in the amplitude of local spread maximum is even more striking for a feature centered at 57.5W, 47.5 N: in the ECMWF ensemble, the maximum is 100 m, while in the NCEP ensemble it is only 20 m. These differences must be associated with the nature of the initial ensemble perturbations (singular vector vs. bred perturbations) and deserve further investigation.

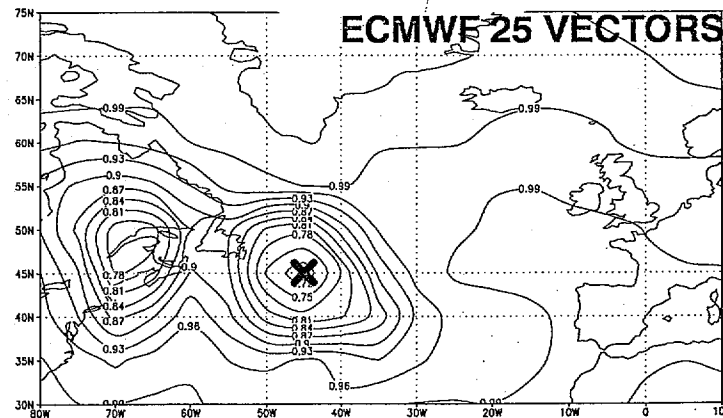
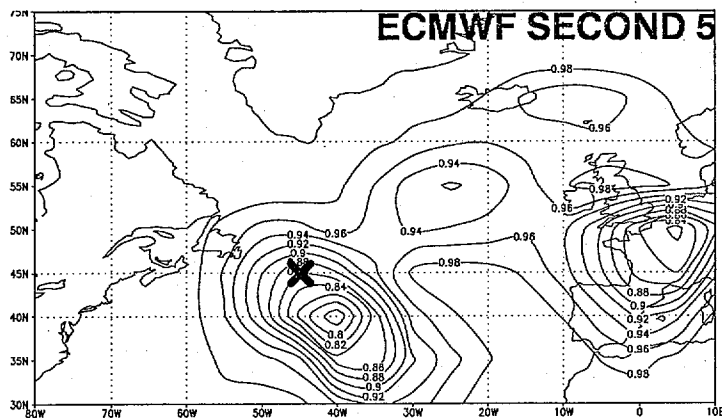
**SUMMARY.** We found the 50-member ECMWF ensemble, along with the 10-member NCEP ensemble, extremely useful for ensemble based upstream targeting during the second half of the FASTEX field experiments (February 1997). In particular, in most cases the sensitivity results from the 50-member ECMWF ensemble confirmed those based on the much smaller NCEP ensemble, giving more credibility to the SVD method. Moreover, the larger ECMWF ensemble always clarified the results by largely reducing or often eliminating spurious sensitivities that result from far-field random correlations present in smaller ensembles. The fact that these results were achieved with two ensembles that substantially differ in the control analysis, model formulation, resolution and initial perturbation technique, resulting in often substantially different forecasts and associated uncertainty, further attest to the robustness of the ensemble based SVD technique. Note that both ensembles are based on dynamically conditioned initial perturbations, though the evolution of the ensemble spread (which is an indicator of perturbation growth in the ensemble) is rather different from time to time. Nevertheless it seems that with an ensemble of 10–50 members, that are dynamically constrained, it is possible to extract useful sensitivity information that can be used for upstream targeting observations. As a further test of the SVD method the impact of real and "synthetic" data on forecasts, collected in sensitive regions, will be analyzed.

# THE USE OF THE ECMWF ENSEMBLE FOR TARGETING OBSERVATIONS DURING FASTEX

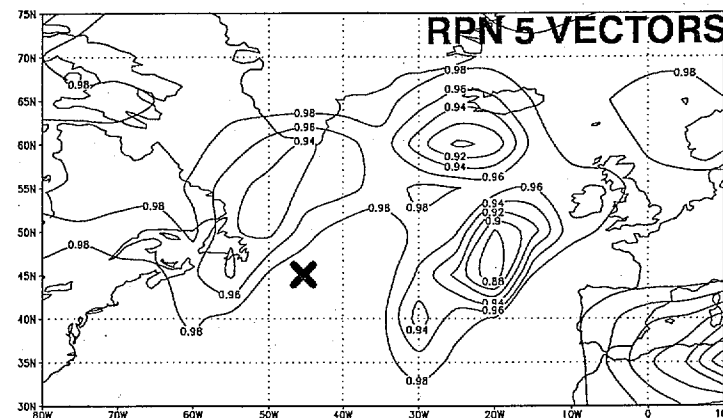
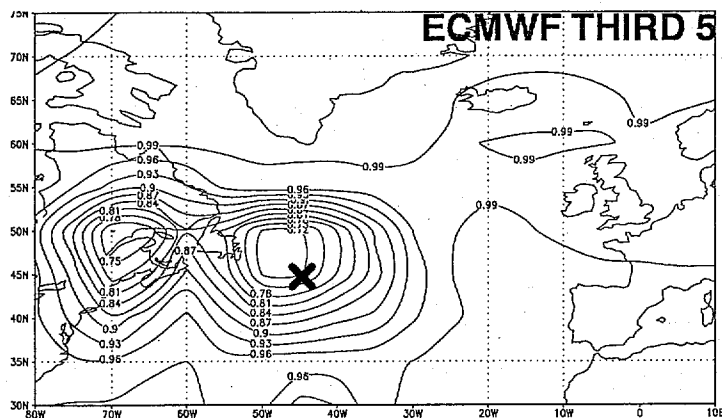
Sensitivity of +84 hr forecast error in the FASTEX region (centered at 23W 43N with a radius of 1000 km) to errors at +48 hr (valid at 970206 00Z) based on 970204 00Z NCEP ensemble of 5 vectors.



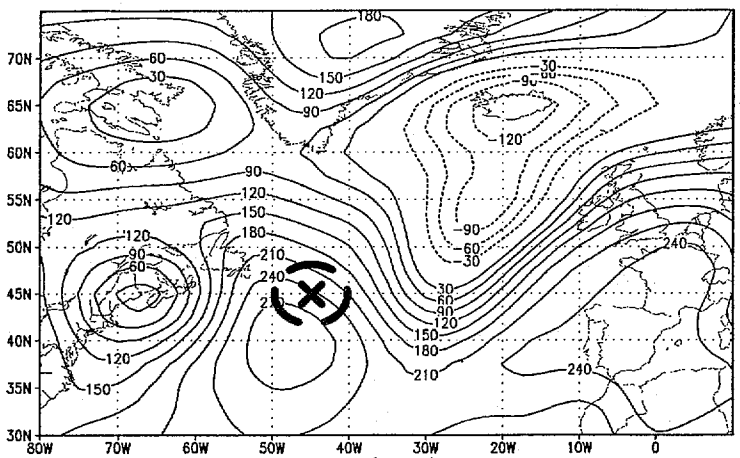
Sensitivity of +96 hr forecast error in the FASTEX region (centered at 23.0W 43.0N with a radius of 1000 km) to errors at +60 hr (valid at 970206 00Z) based on 970203 17Z NCFP ensemble of 25 vectors.



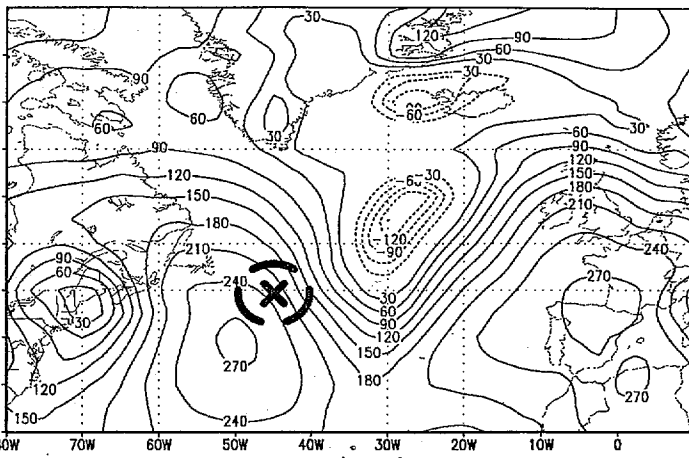
Sensitivity of +84 hr forecast error in the FASTEX region (centered at 23.0W 43.0N with a radius of 1000 km) to errors at +48 hr (valid at 970206 00Z) based on 970204 00Z RPN ensemble of 5 vectors.



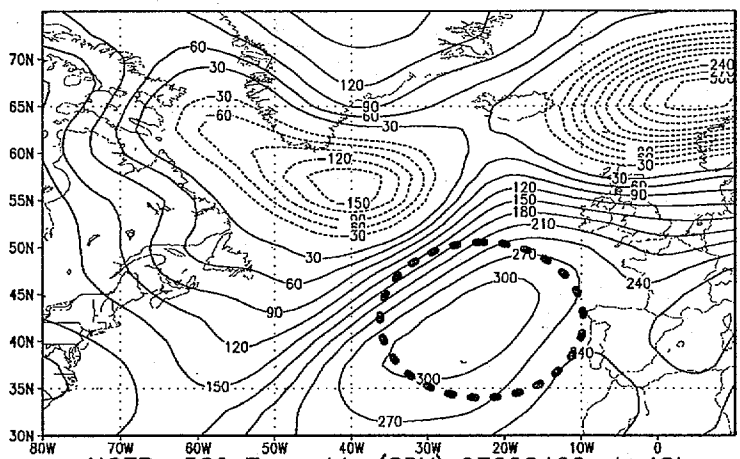
THE USE OF THE ECMWF ENSEMBLE FOR TARGETING OBSERVATIONS DURING FASTEX  
 NCEP z1K Ensemble (HRC) 97020400 vt=48h  
 ECMWF z1K Ensemble (HRC) 97020312 vt=60h



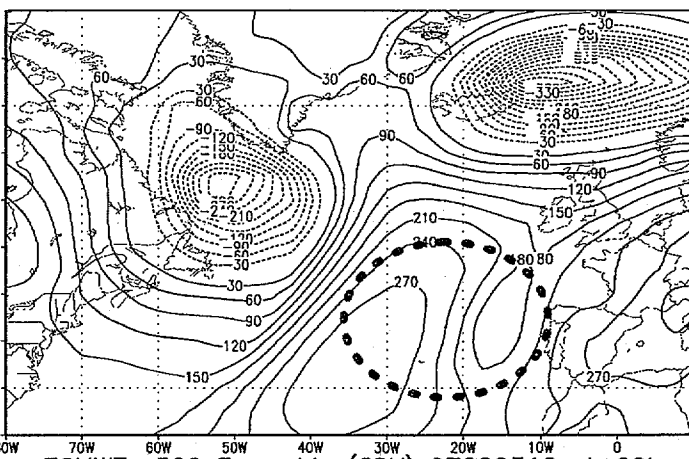
NCEP z1K Ensemble (HRC) 97020400 vt=84h



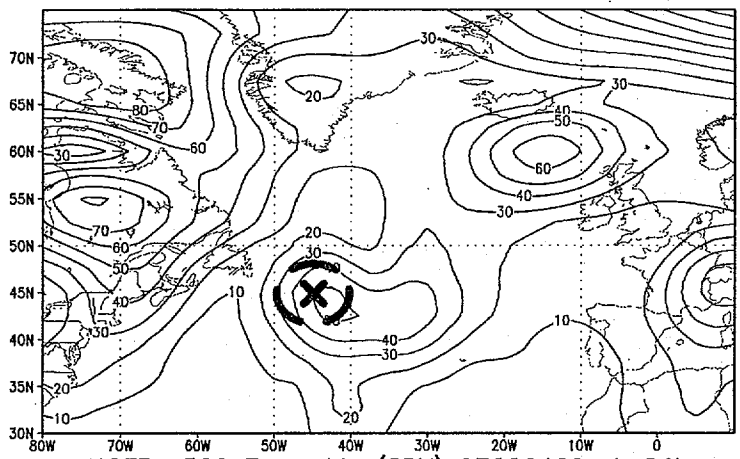
ECMWF z1K Ensemble (HRC) 97020312 vt=96h



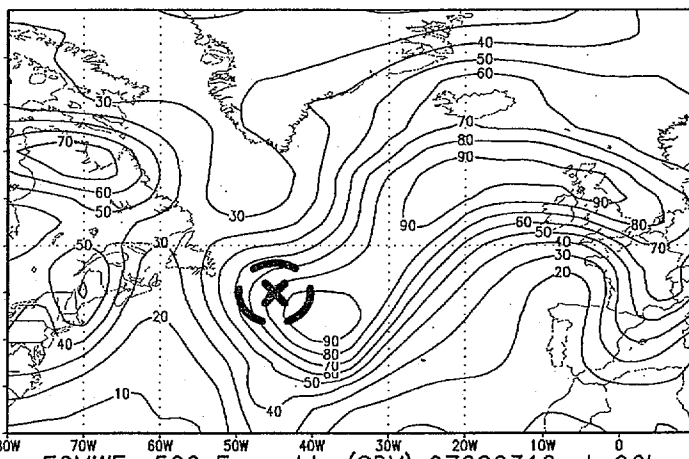
NCEP z500 Ensemble (SDV) 97020400 vt=84h



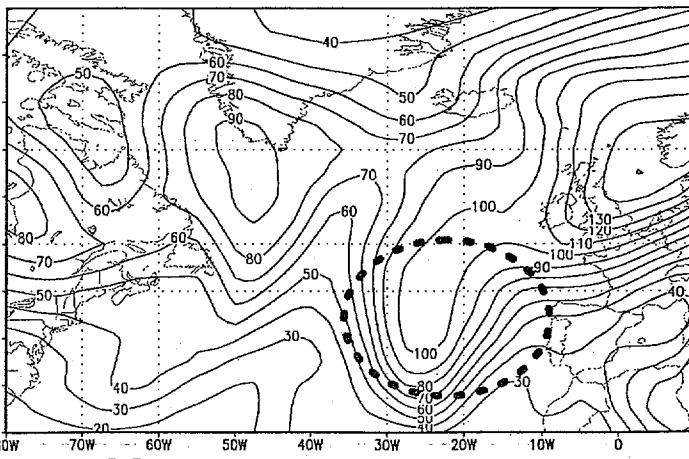
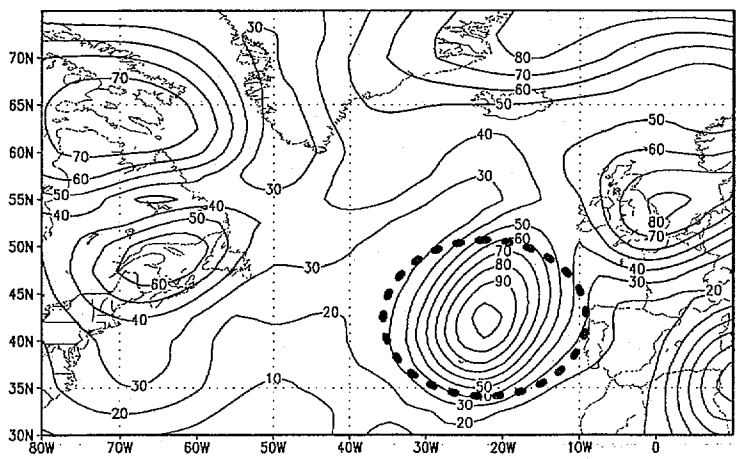
ECMWF z500 Ensemble (SDV) 97020312 vt=96h



NCEP z500 Ensemble (SDV) 97020400 vt=84h

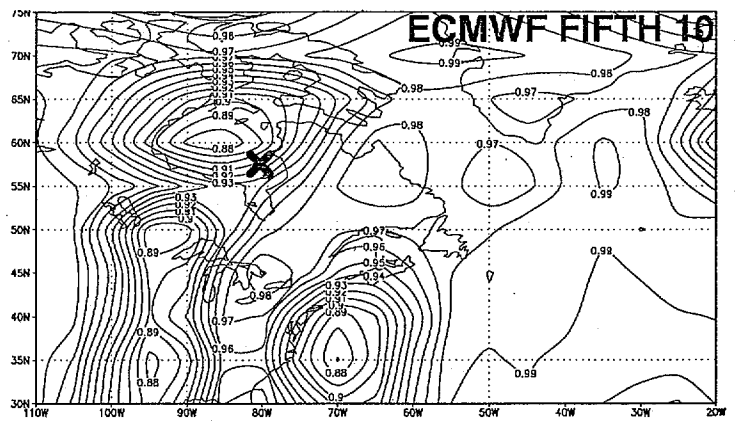
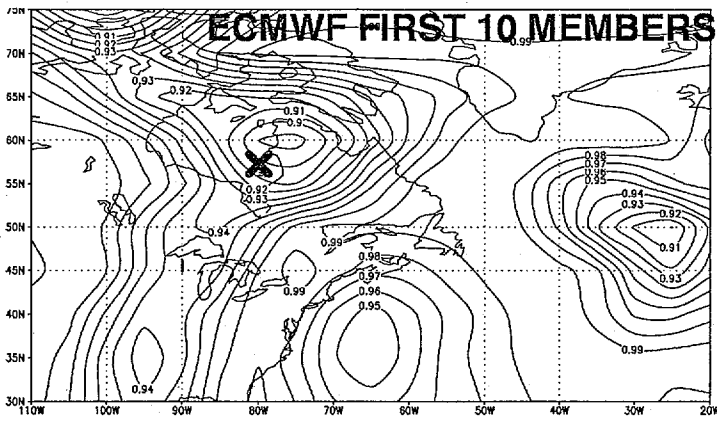
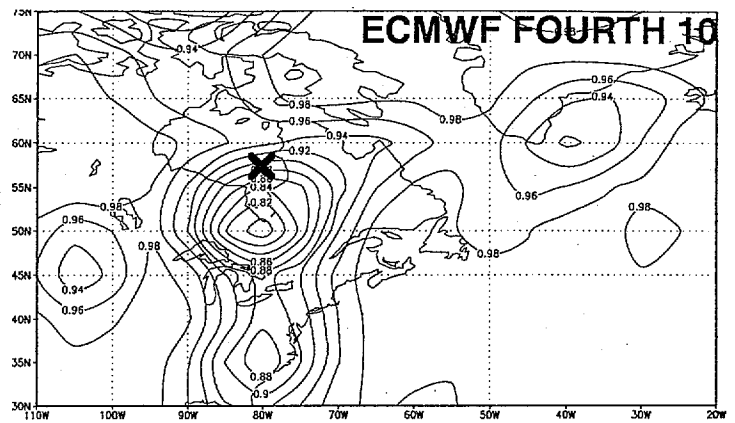
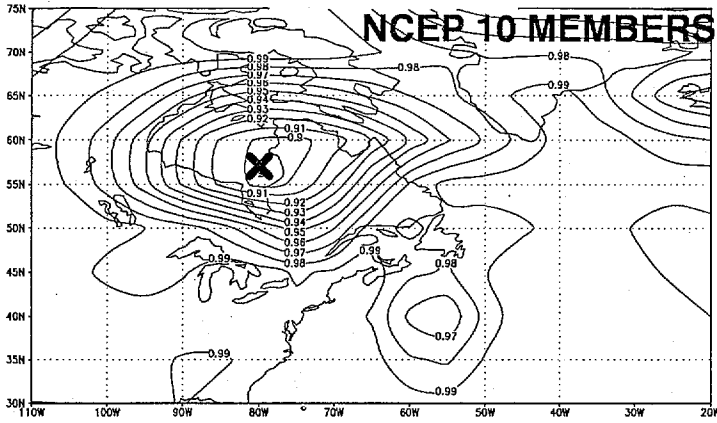


ECMWF z500 Ensemble (SDV) 97020312 vt=96h

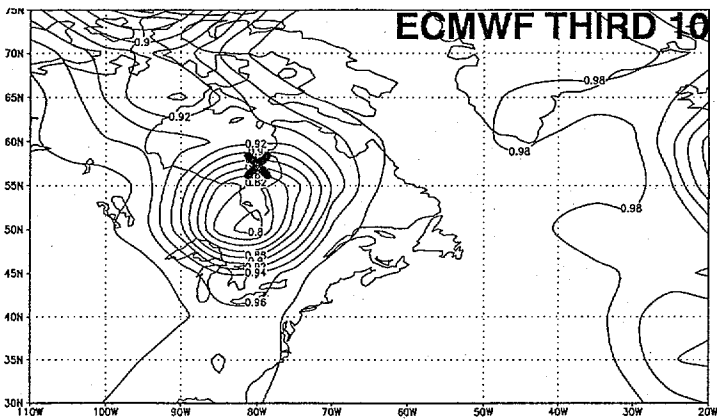
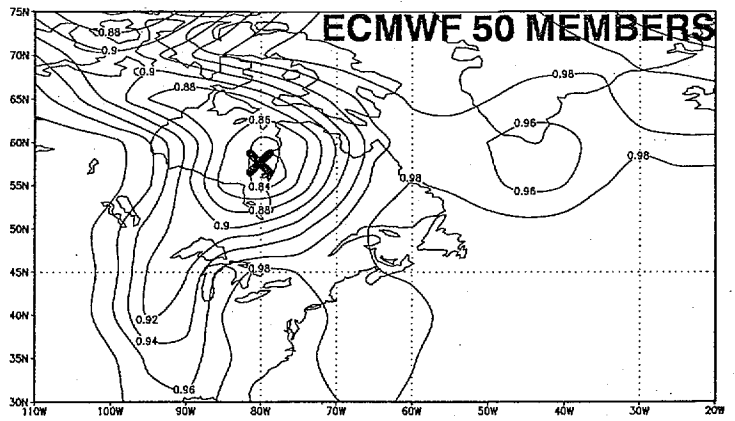
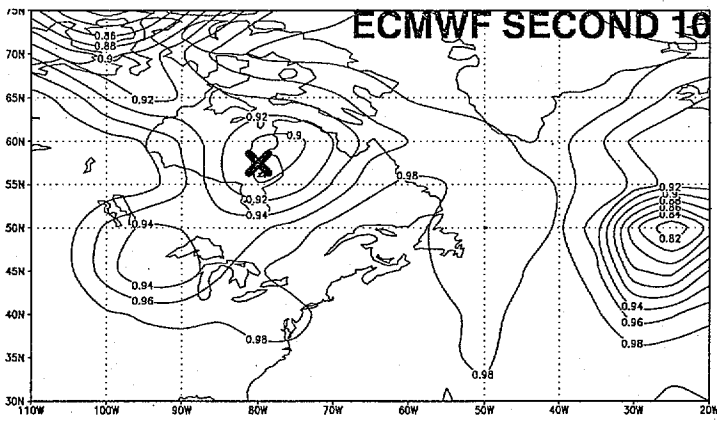


# THE USE OF THE ECMWF ENSEMBLE FOR TARGETING OBSERVATIONS DURING FASTEX

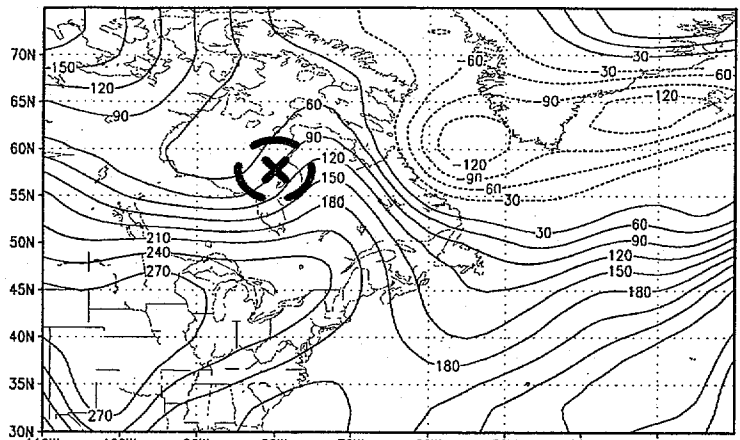
Sensitivity of +108 hr forecast error in the FASTEX region (centered at 10.0W 50.0N with a radius of 1000 km) to errors at +60 hr (valid at 970208 12Z) based on 970206 00Z NCEP ensemble of 10 members.



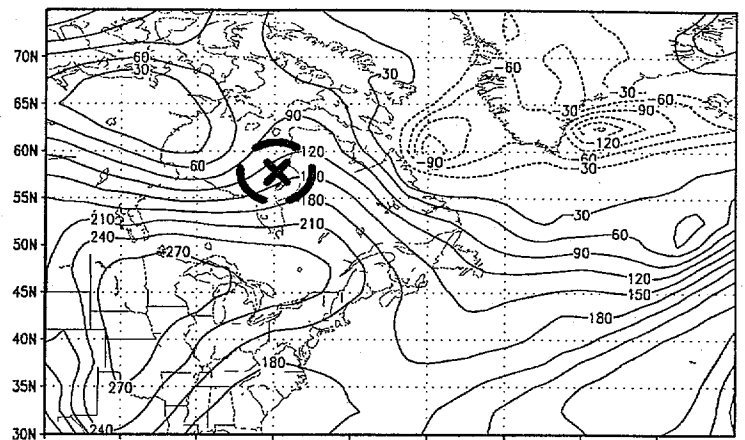
Sensitivity of +120 hr forecast error in the FASTEX region (centered at 10.0W 50.0N with a radius of 1000 km) to errors at +72 hr (valid at 970208 12Z) based on 970205 12Z ECMWF ensemble of 50 members.



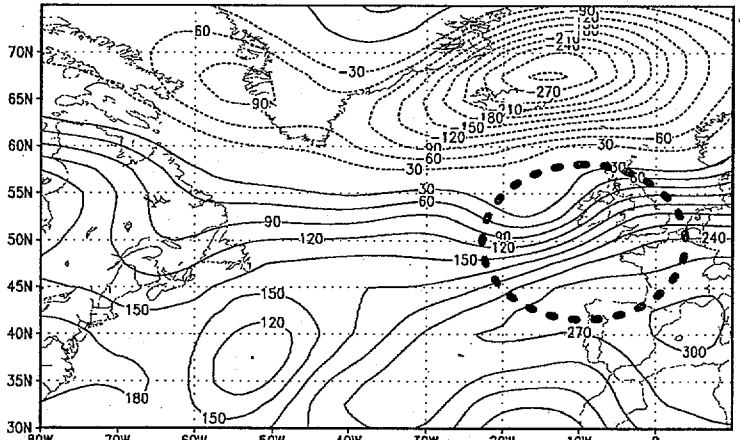
THE USE OF THE ECMWF ENSEMBLE FOR TARGETING OBSERVATIONS DURING FASTEX  
 NCEP z1K Ensemble (HRC) 97020600 vt=60h  
 ECMWF z1K Ensemble (HRC) 97020512 vt=72h



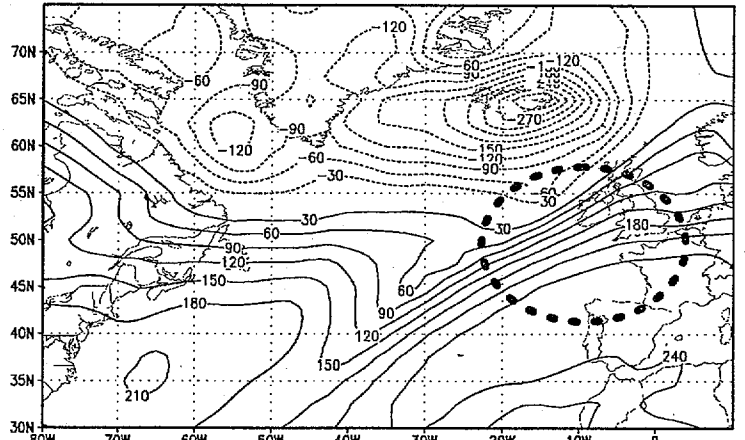
NCEP z1K Ensemble (HRC) 97020600 vt=108h



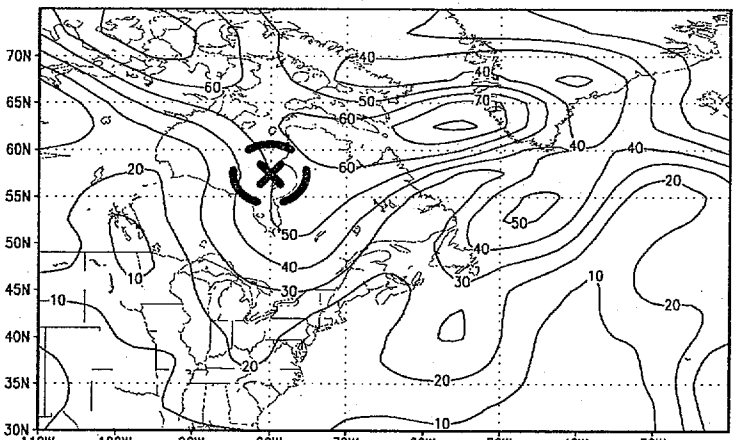
ECMWF z1K Ensemble (HRC) 97020512 vt=120h



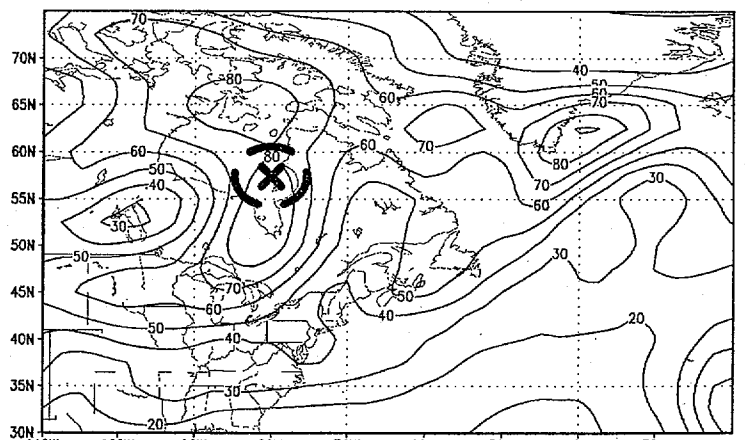
NCEP z500 Ensemble (SDV) 97020600 vt=60h



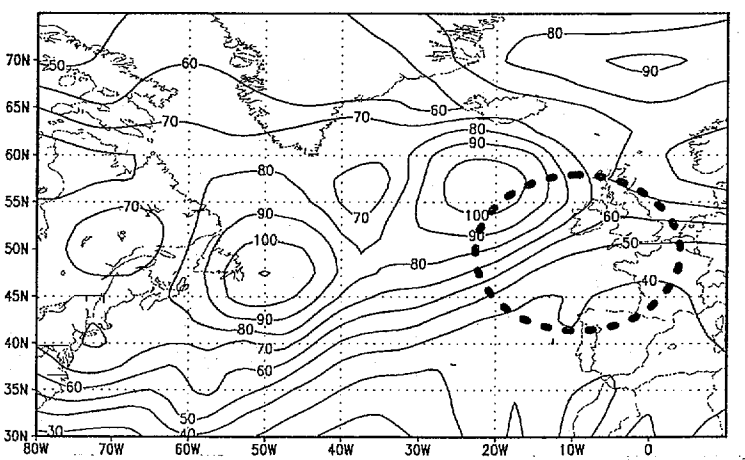
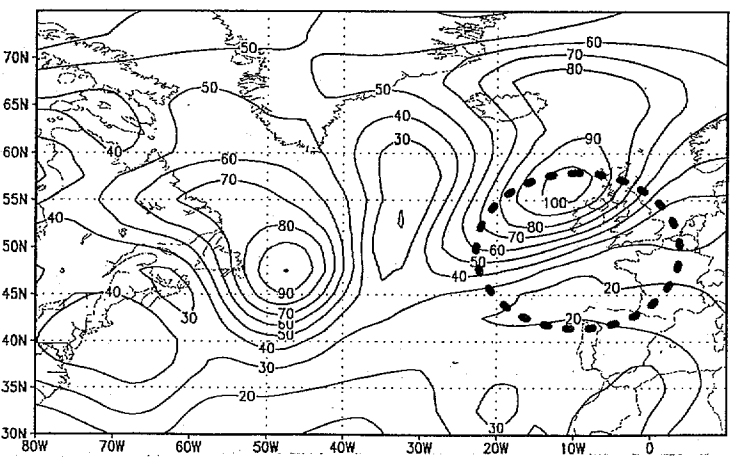
ECMWF z500 Ensemble (SDV) 97020512 vt=72h

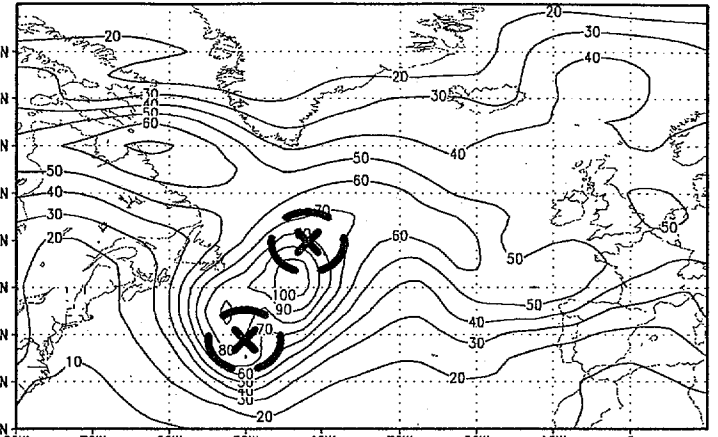
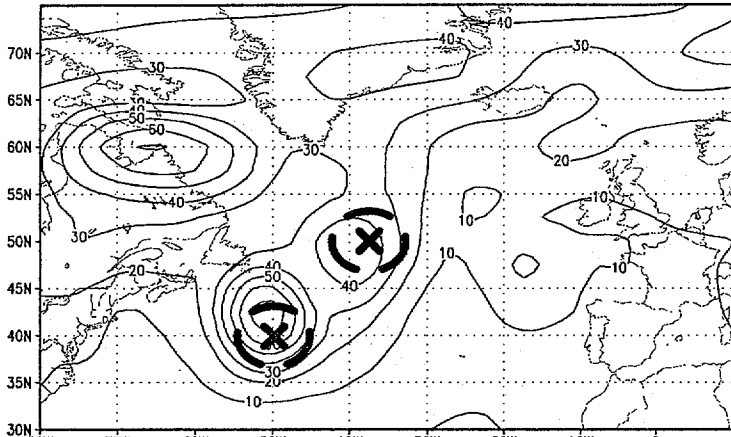


NCEP z500 Ensemble (SDV) 97020600 vt=108h



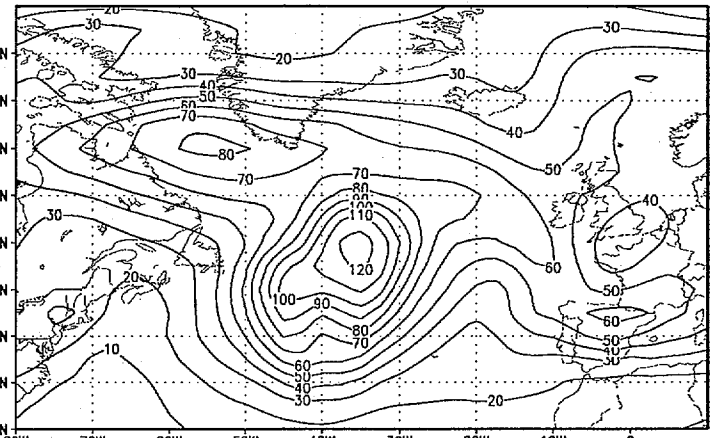
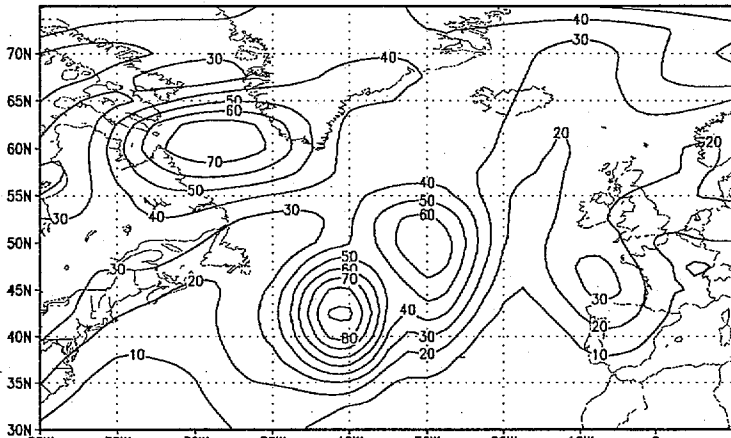
ECMWF z500 Ensemble (SDV) 97020512 vt=120h





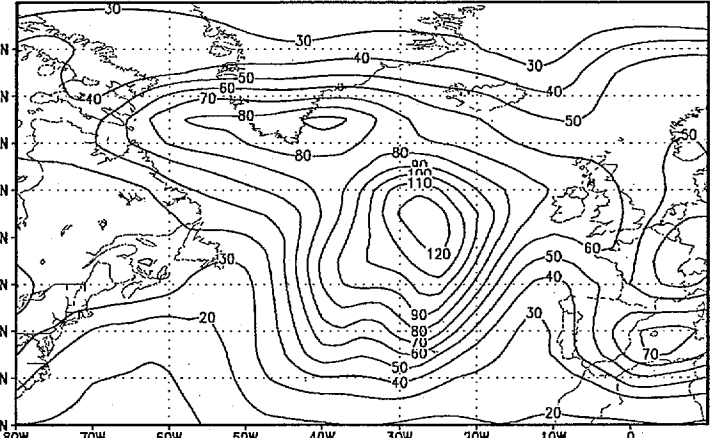
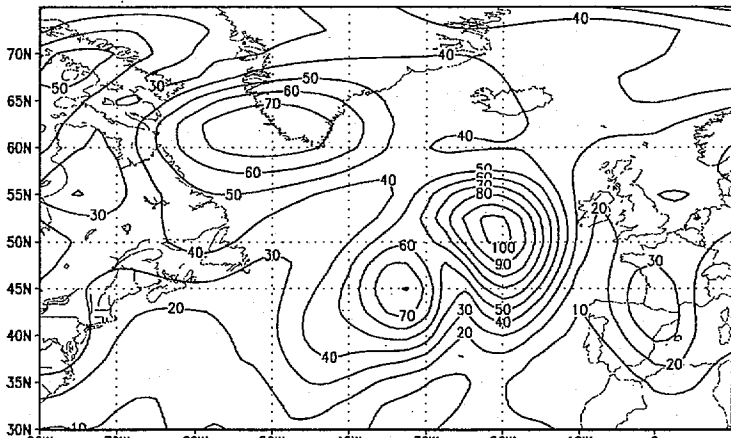
NCEP z500 Ensemble (SDV) 97021300 vt=48h

ECMWF z500 Ensemble (SDV) 97021212 vt=60h



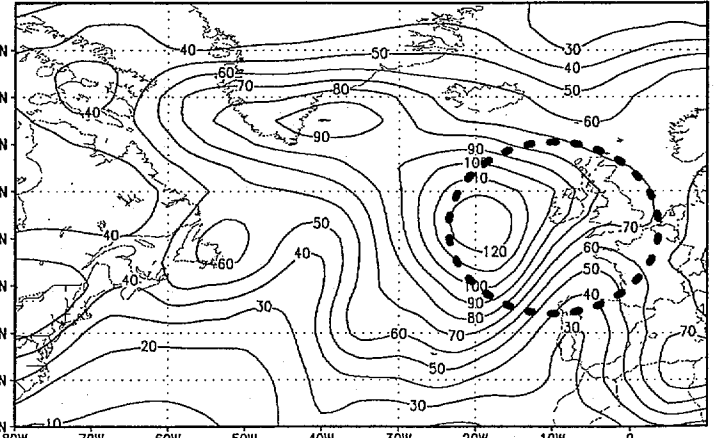
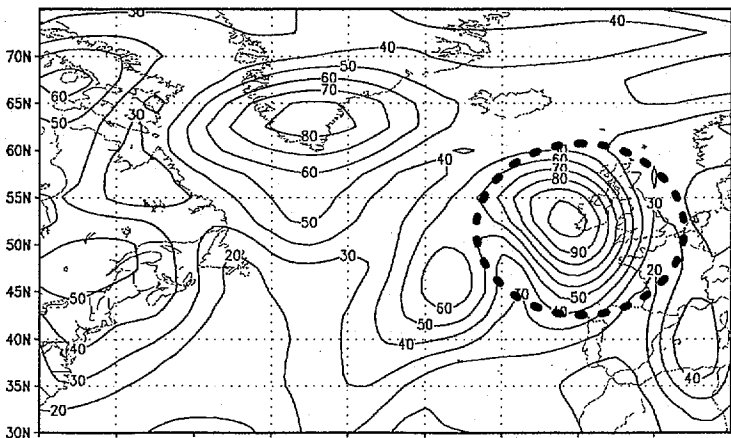
NCEP z500 Ensemble (SDV) 97021300 vt=60h

ECMWF z500 Ensemble (SDV) 97021212 vt=72h



NCEP z500 Ensemble (SDV) 97021300 vt=72h

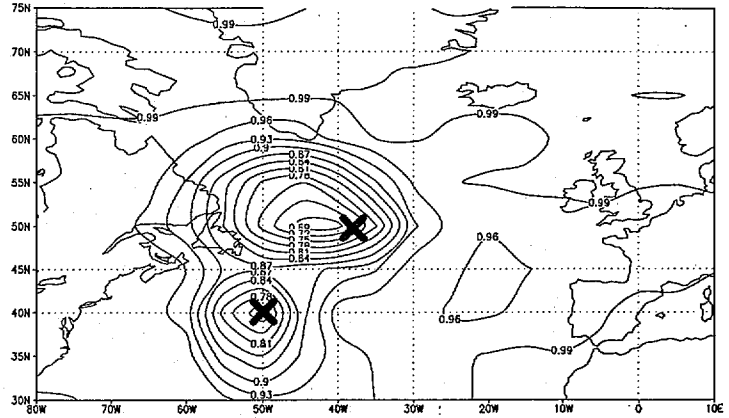
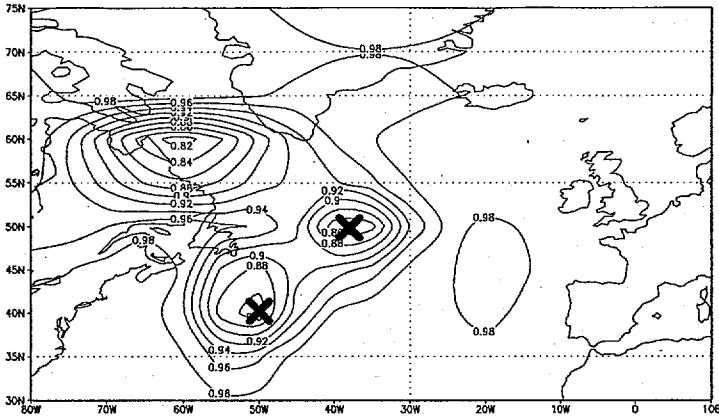
ECMWF z500 Ensemble (SDV) 97021212 vt=84h



# THE USE OF THE ECMWF ENSEMBLE FOR TARGETING OBSERVATIONS DURING FASTEX

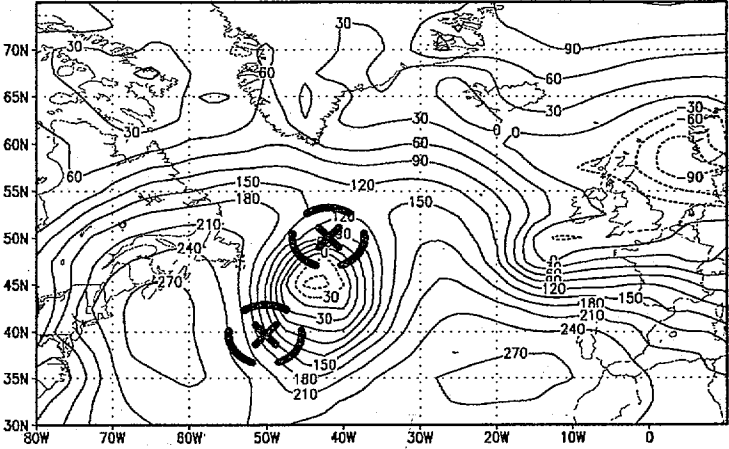
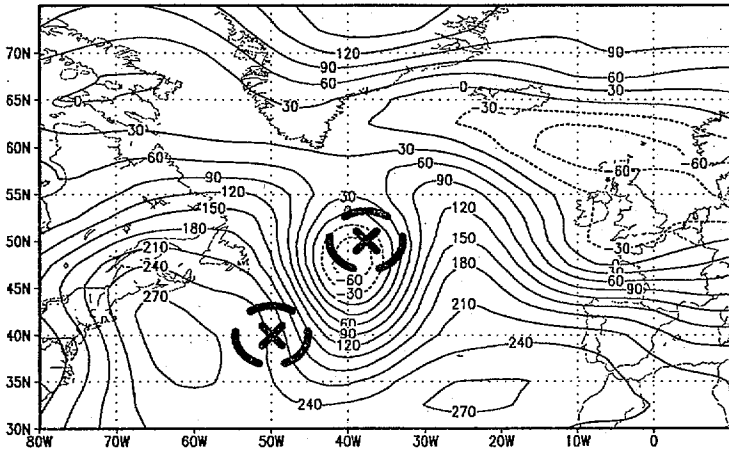
Sensitivity of +72 hr forecast error in the FASTEX region (centered at 10.0W 50.0N with a radius of 1000 km) to errors at +36 hr (valid at 970214 12Z) based on 970213 00Z NCEP ensemble of 10 members.

Sensitivity of +84 hr forecast error in the FASTEX region (centered at 10.0W 50.0N with a radius of 1000 km) to errors at +48 hr (valid at 970214 12Z) based on 970212 12Z ECMWF ensemble of 50 members.



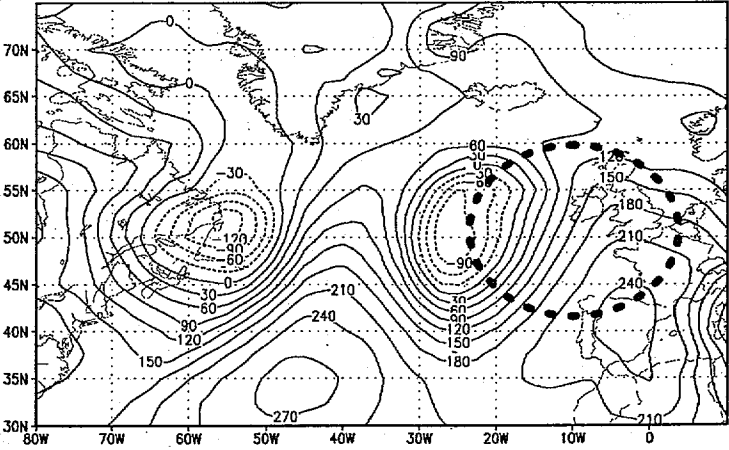
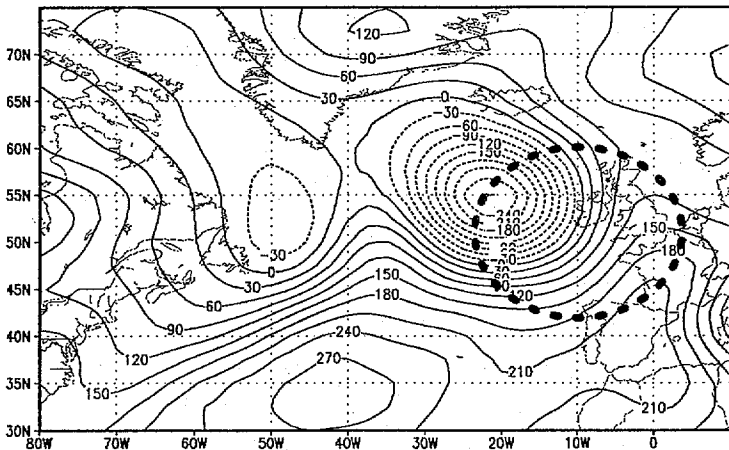
NCEP z1K Ensemble (HRC) 97021300 vt=36h

ECMWF z1K Ensemble (HRC) 97021212 vt=48h



NCEP z1K Ensemble (HRC) 97021300 vt=72h

ECMWF z1K Ensemble (HRC) 97021212 vt=84h

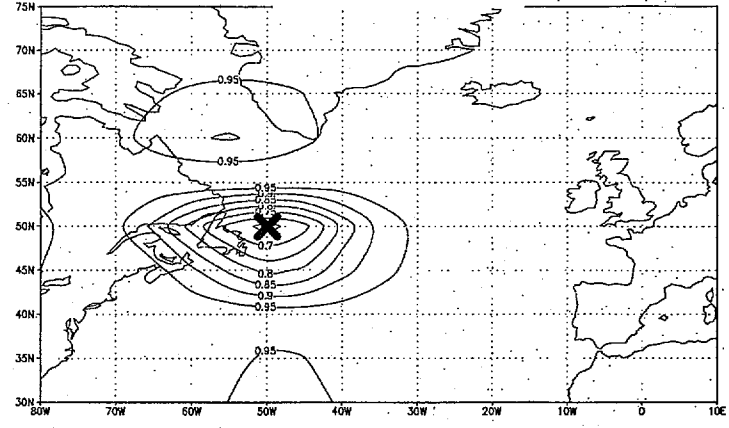
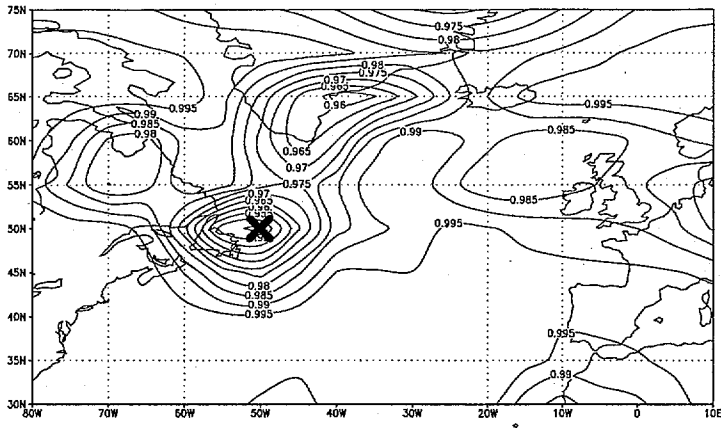




**THE USE OF THE ECMWF ENSEMBLE FOR TARGETING OBSERVATIONS DURING FASTEX**

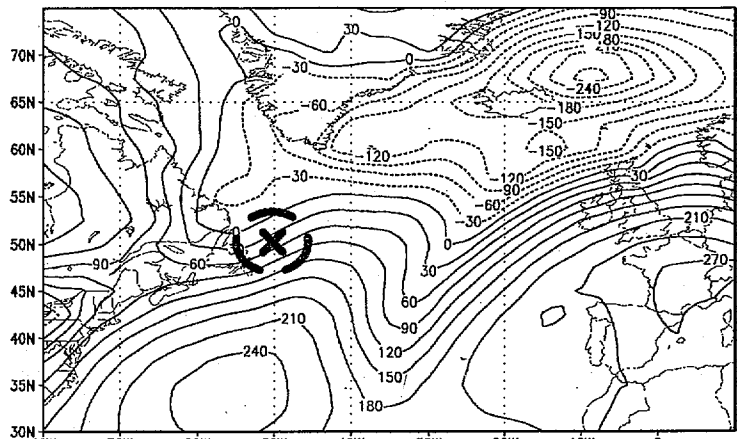
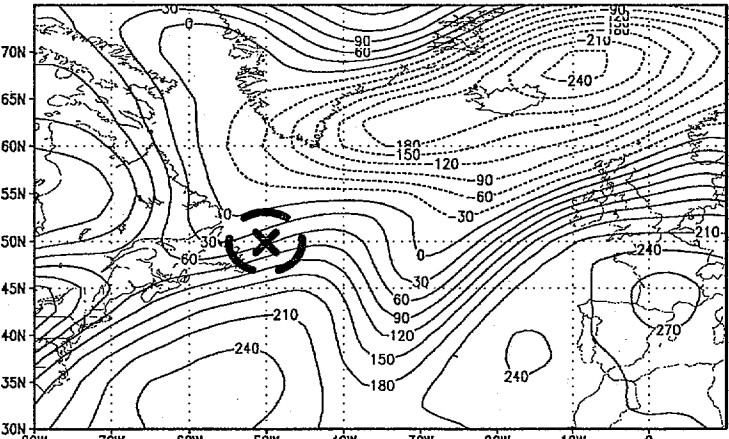
Sensitivity of +60 hr forecast error in the FASTEX region (centered at 15.0W 50.0N with a radius of 1000 km) to errors at +24 hr (valid at 970222 00Z) based on 970221 00Z NCEP ensemble of 10 members.

Sensitivity of +72 hr forecast error in the FASTEX region (centered at 15.0W 50.0N with a radius of 1000 km) to errors at +36 hr (valid at 970222 00Z) based on 970220 12Z ECMWF ensemble of 40 members.



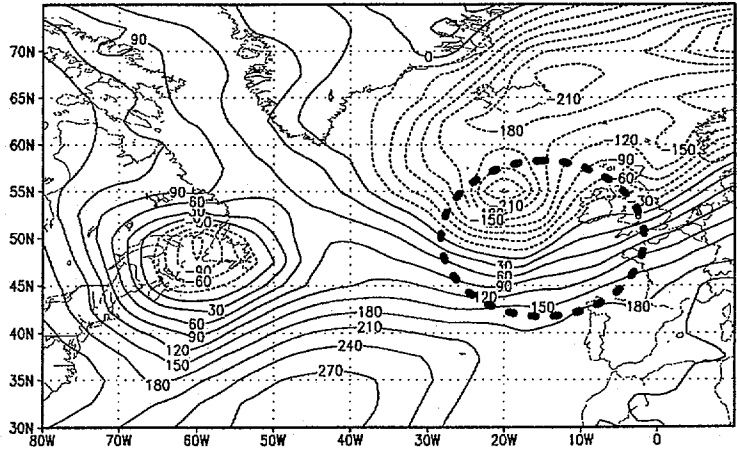
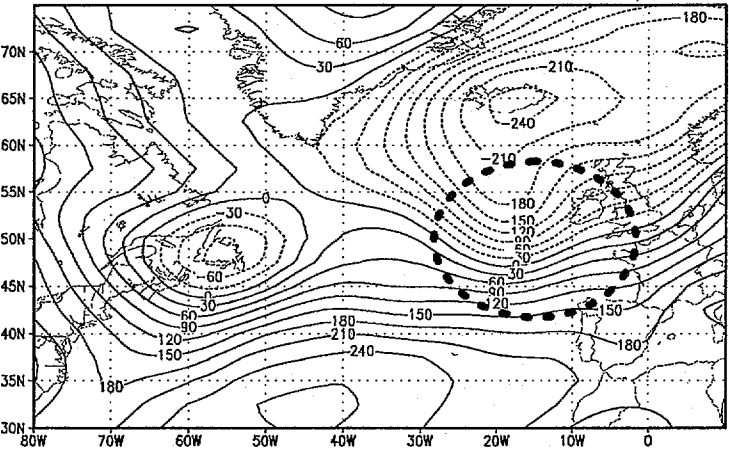
NCEP z1K Ensemble (HRC) 97022100 vt=24h

ECMWF z1K Ensemble (HRC) 97022012 vt=36h



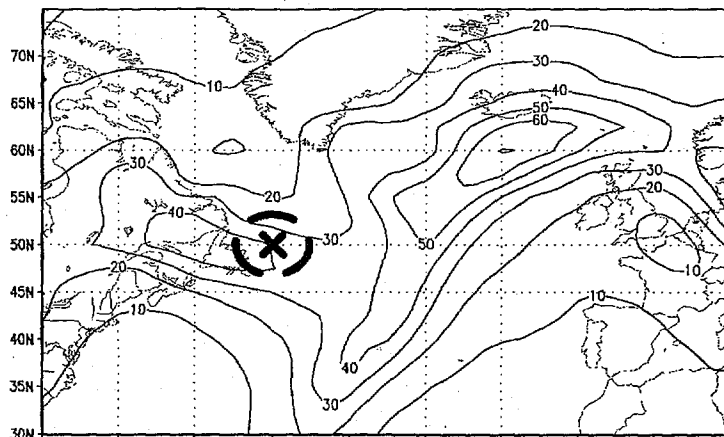
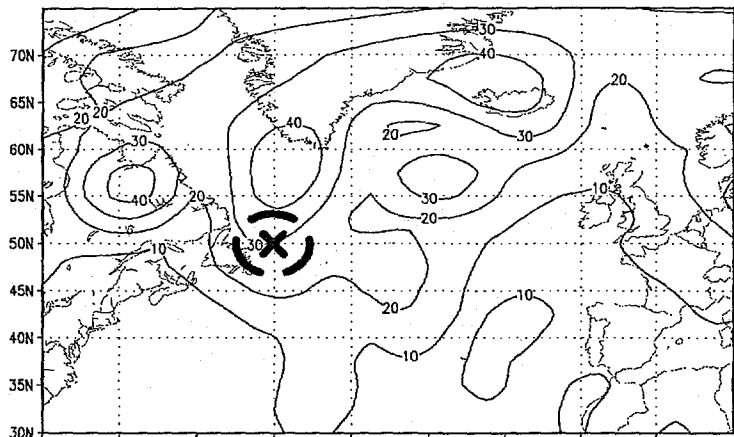
NCEP z1K Ensemble (HRC) 97022100 vt=60h

ECMWF z1K Ensemble (HRC) 97022012 vt=72h



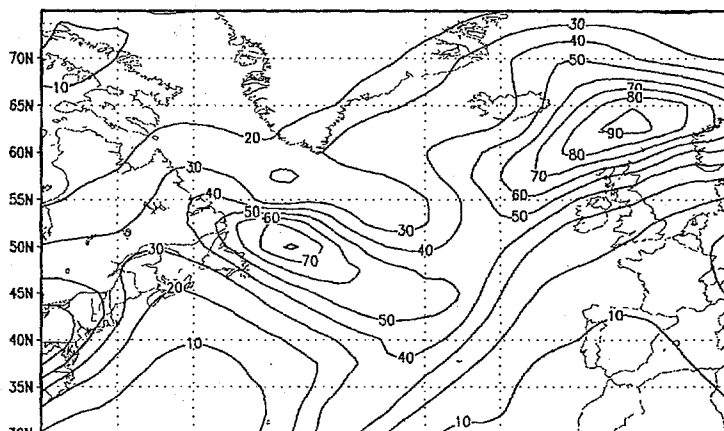
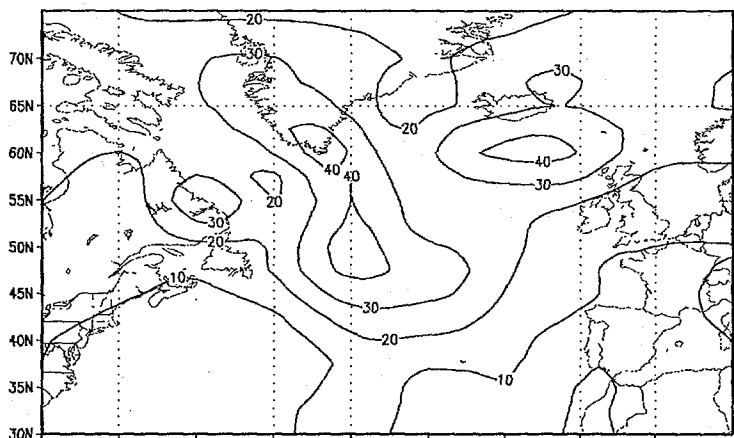
THE USE OF THE ECMWF ENSEMBLE FOR TARGETING OBSERVATIONS DURING FASTEX  
 NCEP z500 Ensemble (SDV) 97022100 vt=24h

ECMWF z500 Ensemble (SDV) 97022012 vt=36h



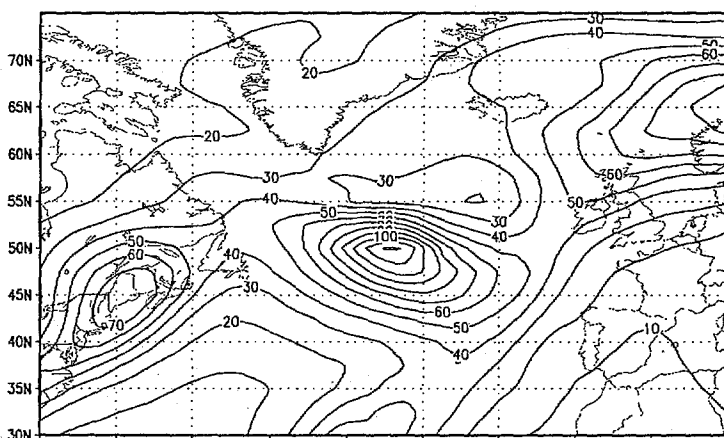
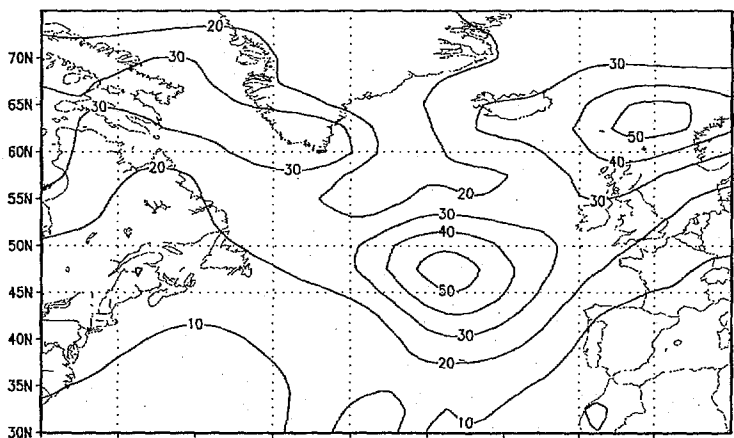
NCEP z500 Ensemble (SDV) 97022100 vt=36h

ECMWF z500 Ensemble (SDV) 97022012 vt=48h



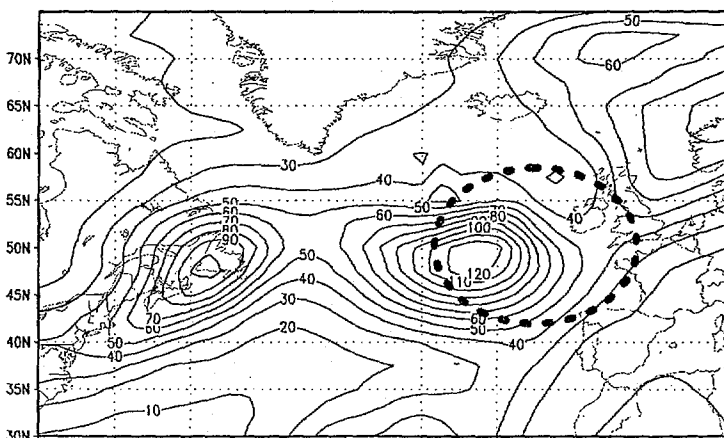
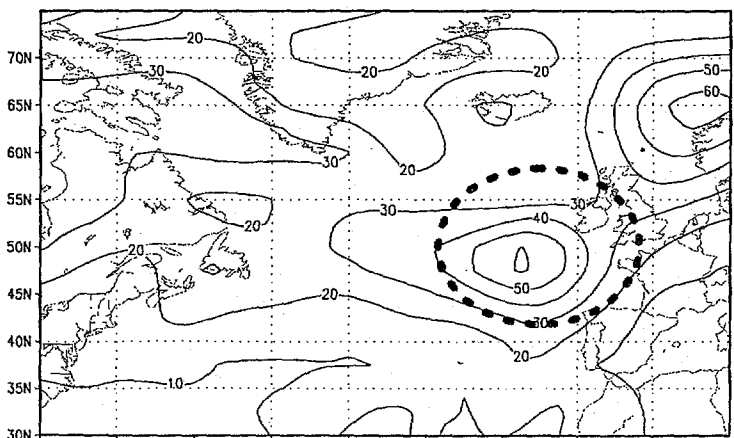
NCEP z500 Ensemble (SDV) 97022100 vt=48h

ECMWF z500 Ensemble (SDV) 97022012 vt=60h



NCEP z500 Ensemble (SDV) 97022100 vt=60h

ECMWF z500 Ensemble (SDV) 97022012 vt=72h



Statistical Design  
for Adaptive Observations

M. Berliner, Z.-Q. Lu  
NCAR/CGD

C. Snyder  
NCAR/MMM

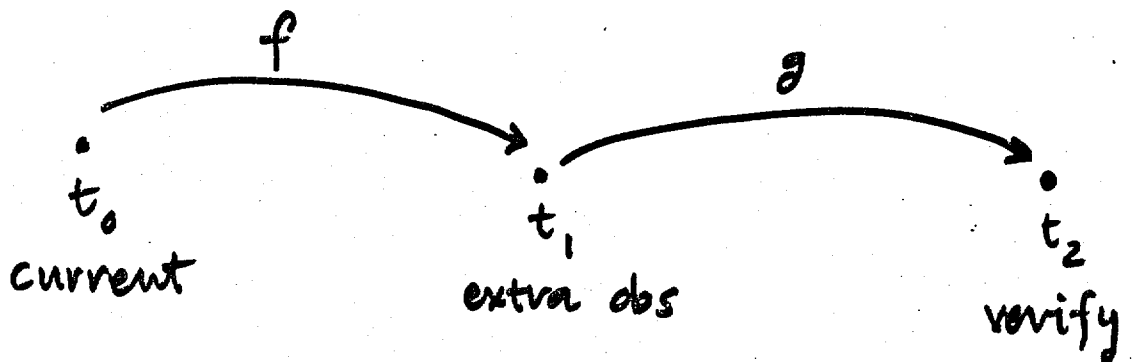
# FORMULATION

Times:  $t_0 = \text{current}$      $t_1 = \text{extra obs.}$      $t_2 = \text{verify}$   
(from  $t_1$ )

Let  $\tilde{X} = \text{model state vector (random variable)}$

$\tilde{Y} = \text{observations}$

$f, g = \text{forecasts of length } t_1 - t_0, t_2 - t_1$



Assume:

$$\underline{\tilde{X}} \sim N(\underline{\tilde{\mu}}, A), \quad \underline{\tilde{X}}_i = \underline{\tilde{X}}(t_i)$$

$$\underline{\tilde{Y}} = k(\underline{\tilde{X}}_1) + \varepsilon, \quad \varepsilon \sim N(0, \Sigma)$$

~~~~> Forecasts, obs have normal distrib's

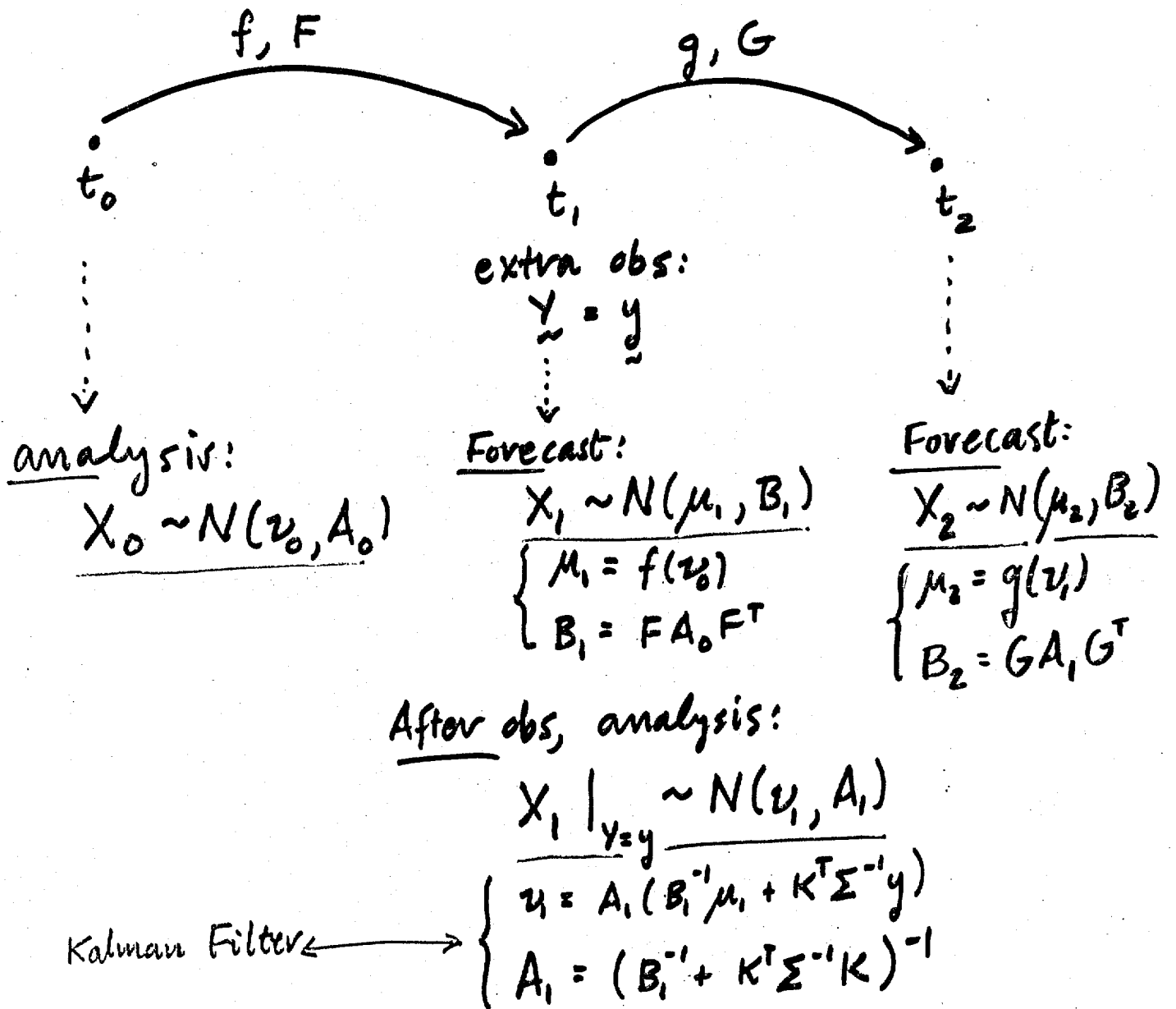
$$f(\underline{\tilde{X}}) \approx f(\underline{\tilde{\mu}}) + F(\underline{\tilde{X}} - \underline{\tilde{\mu}})$$

$$g(\underline{\tilde{X}}) \approx g(\underline{\tilde{\mu}}) + G(\underline{\tilde{X}} - \underline{\tilde{\mu}})$$

$$k(\underline{\tilde{X}}) = k(\underline{\tilde{\mu}}) + K(\underline{\tilde{X}} - \underline{\tilde{\mu}})$$

~~~~> "Errors are linear/small"

Then



## Key results

$$A_1 = (B_1^{-1} + K^T \Sigma^{-1} K)^{-1}$$

$\rightsquigarrow$   $A_1$  independent of  $y_1$ ,  
depends on "locations" of obs  
( $K$ )

$$B_2 = G A_1 G^T$$

## Design Criteria

Want: obs. at  $t_1$  for "best" forecast at  $t_2$

$\Rightarrow$  seek  $K$  s.t.  $f_n(B_2) = \min$

1) minimize forecast error variance

$$\Rightarrow \min_K [\text{tr}(B_2)]$$

2) other possibilities:  $\min_K \det(B_2)$

minimize leading e-value

NOTE:  $K$  depends on dynamics, DA, initial analysis error.

## SIMPLE MODEL EXAMPLE:

Single-site design, minimize  $\text{tr}(B_2)$

$K^T = \text{vector}$ , same dim'n as  $X$

$$\text{tr}(B_2) = \text{tr}(GA, G^T)$$

$$= \text{tr}(GB_1, G^T) - \frac{\text{tr}(GB_1, K^T K B_1, G^T)}{\sigma^2 + K B_1, K^T}$$

[since  $A_1 = B_1 - B_1, K^T K B_1, / \sigma^2 + K B_1, K^T$ ]

Suppose  $\sigma^2$  is negligible. Then, min  $\text{tr}(B_2)$  equivalent to maximizing

$$\frac{K^T B_1, G^T G B_1, K}{K^T B_1, K}$$

or, find max e-value of

$$\boxed{G^T G B_1, \underline{x} = \lambda \underline{x}} \quad (K^T = \underline{x})$$

Let  $\underline{u} = B_1, \underline{x}$ :  $G^T G \underline{u} = \lambda B_1^{-1} \underline{u}$ ,  $K^T = B_1^{-1} \underline{u}$

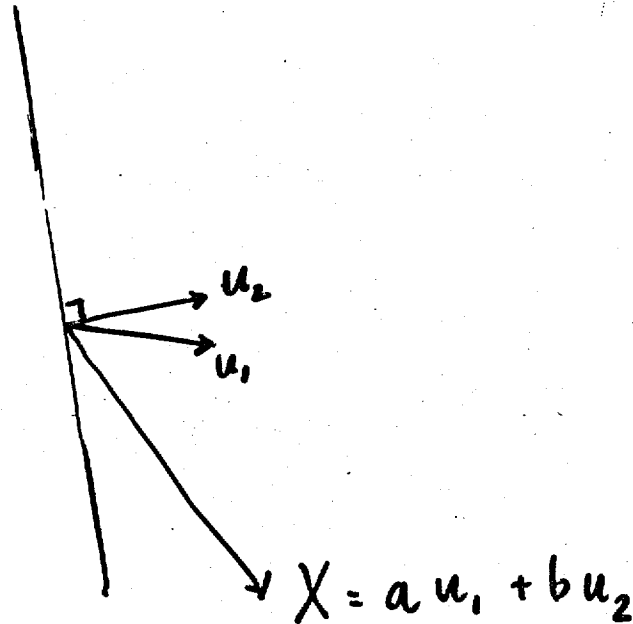
(c.f. Houtekamer, Ehrendorfer § T,  
Bishop & Toth, ...)



Why is design based on  $B_i^{-1} \underline{u}$  rather than on  $\underline{u}$ ?

→  $\underline{u}$  is "most dangerous" error

BUT  $B_i^{-1} \underline{u}$  is orthogonal to all other e-vectors of  $GG^T \underline{v} = \lambda B_i^{-1} \underline{u}$



- Adaptive obs naturally formulated as problem in statistical design
  - $\Rightarrow$  minimize (say) forecast error variance over all feasible networks
  - Design need not resemble structure to be "removed" from data (if  $B$ 's,  $A$ 's not diagonal)
- 

Conundrum: assumptions (and thus targeting?) work best when forecast needs least improvement.

## Determination of the value of observations

Peter Houtekamer (RPN)

Louis Lefaiivre (CMC)

Montreal

In Montreal we are running an 8 member ensemble (T63 or T95), each day at 00Z, out to forecast day 10. During FASTEX we were still running on the private account of Louis Lefaiivre. Management wants to have 16-member ensembles run by "operations".

- The Canadian ensembles were sent to Craig Bishop who combined them with the NCEP ensembles.
- Two of our forecasters (Allan Rahill and Suzanne Roy) went to Shannon. They did receive a special RPN-targeting product with big delays. The product was not used.

## Targeting using correlations

For 48 and for 72 hour forecasts and for the 500 mb height and the 1000-500 mb thickness we did the following:

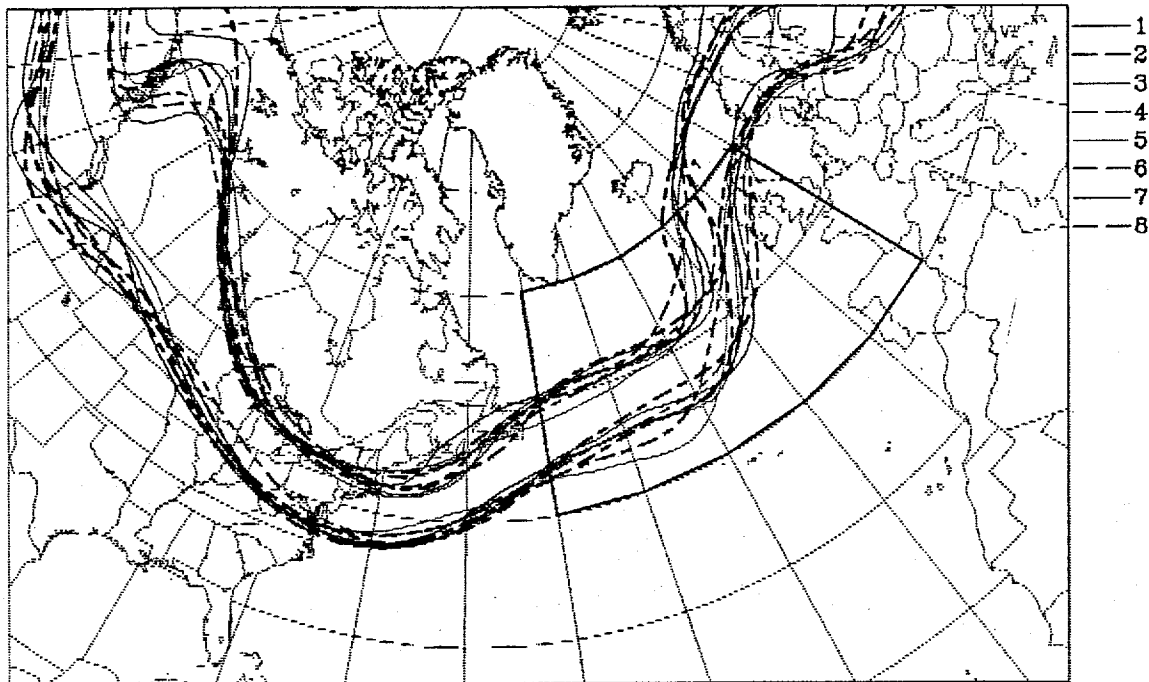
1. Wait until the ensemble forecast is available.
2. Find the upto 6 places in the target region where the forecast error (ensemble spread) has a local maximum.
3. Correlate the forecast error with the error for the same variable at 24 hours.
4. Recommend that observations be done where the correlation has extreme values.

Forecast starting March 4, 00 UT

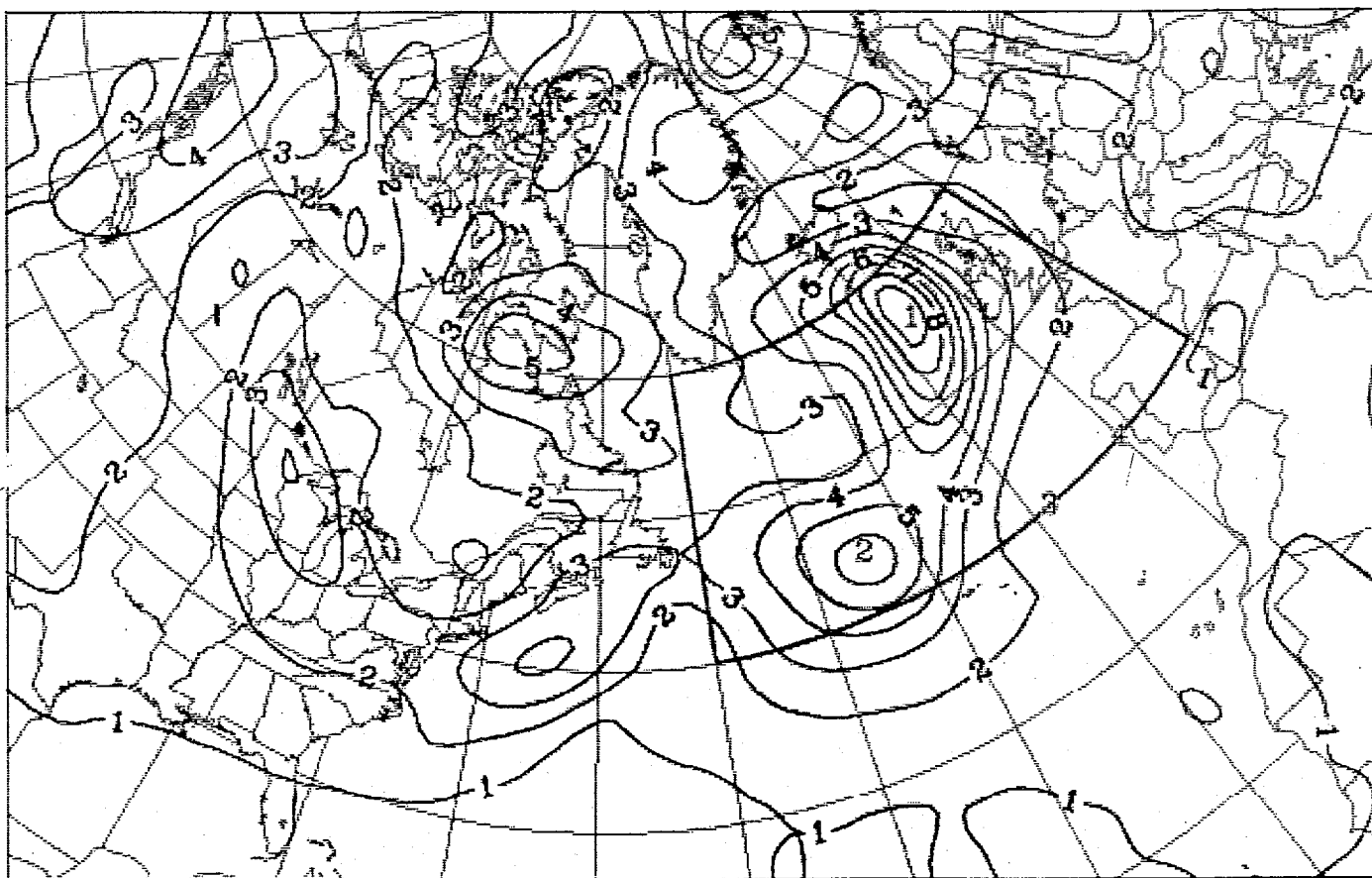
72h

S2D dam - 500 hPa &

S52 dam - 500 hPa



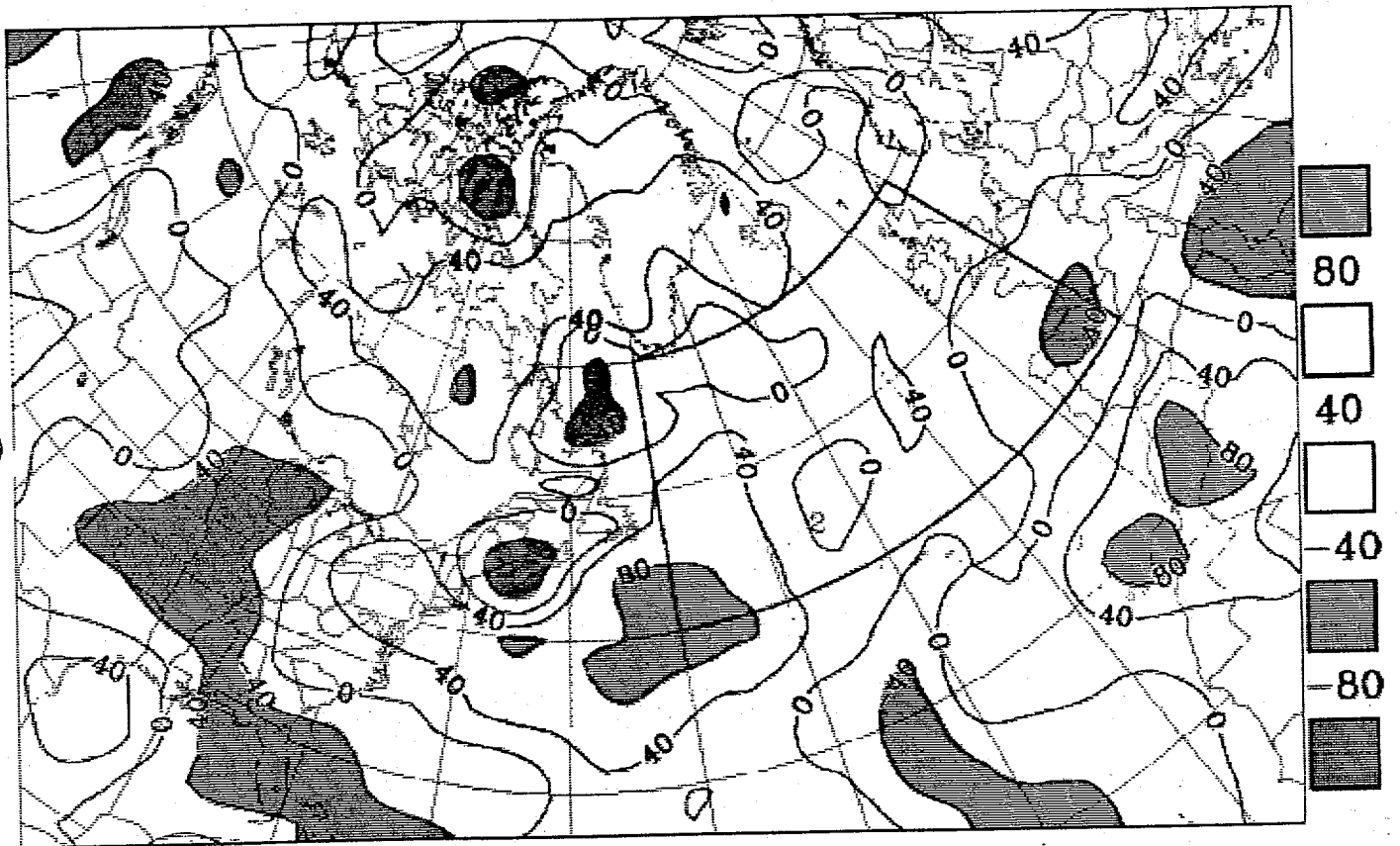
# Spread at 72 h (heights)



$\rho(24h, 72h)$

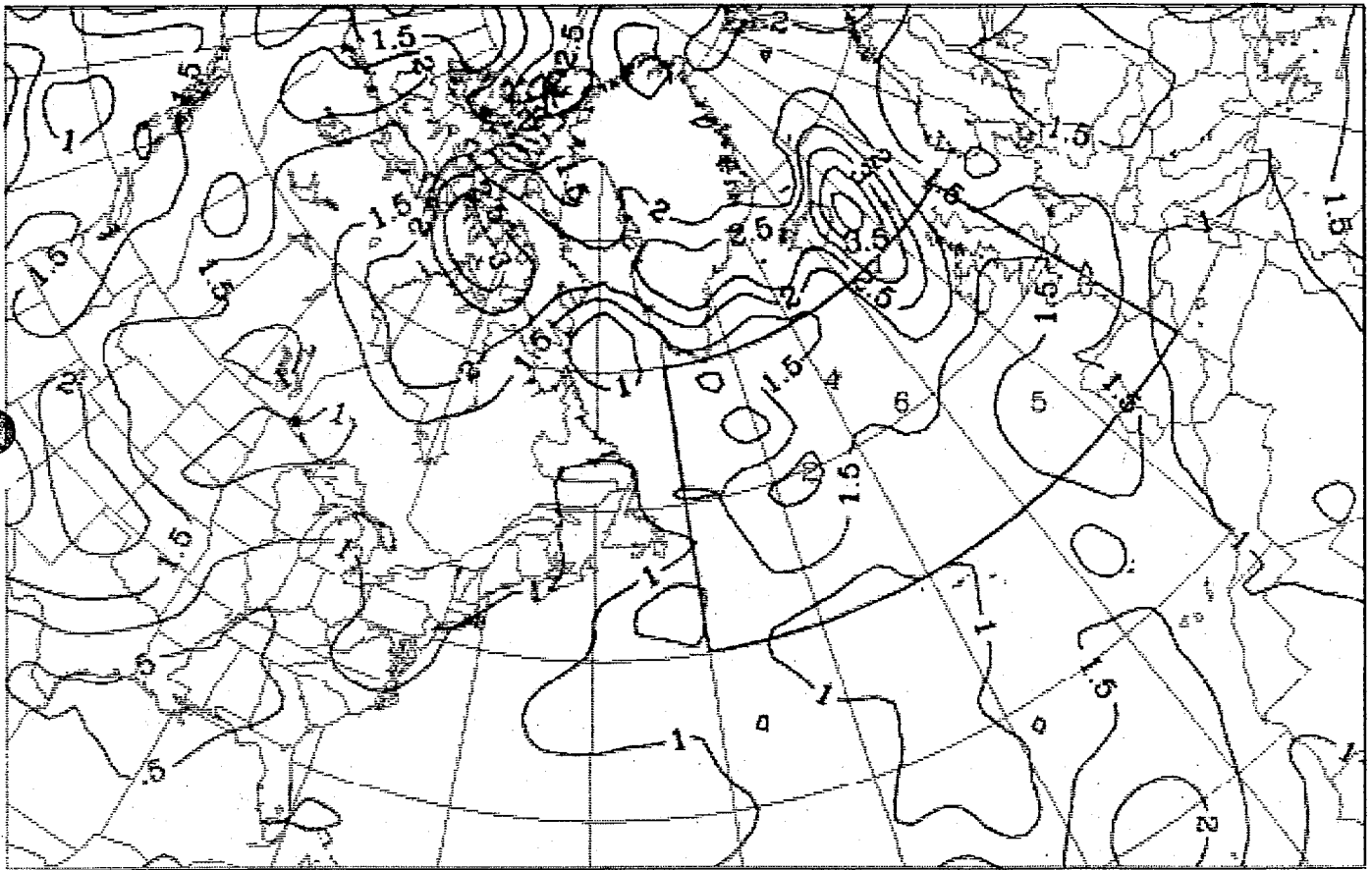


(4242) ρ





Spread at 24 h (heights)



How to select a target?

Targeting assumes one has a target like

1. Short-range forecasts for the Olympics.
2. Accurate 48 hour forecasts for southern Ireland.
3. Accurate analyses.

The selection of the targets would seem arbitrary.

If one goes for accurate analyses one eliminates one step of the preparation. According to the breeding philosophy first guess errors are big where they are rapidly growing. If this is true the only important thing is to reduce first guess errors by as much as possible where they are largest.

## Prediction of the impact

For a very small network one may evaluate:

$$K = P^f H^T (H P^f H^T + R)^{-1}$$
$$P^a = (I - KH) P^f$$

One may then minimize  $P^a$  for different locations of the additional observation. This will be feasible if only the 3 to 5 most important observations are included in the analysis.

Link with NAOS:

If the impact of additional observations can be quantified one may also quantify the impact of existing observations.

## Early warning time

A rigorous scheme having an early warning time of about 2 hours could be as follows (all steps):

1. Wait for most available observations for the previous analysis to come in (about 2 hours).
2. Perform the data-assimilation step (at  $t-6$ ) for the ensemble. (about 45 minutes).
3. Integrate the ensemble to obtain a first guess valid at  $t=0$  (30 minutes).
4. Perform an analysis of where the observations should be taken (30 minutes).
5. Communicate the targeting advice to a flight planner (15 minutes).
6. Repeat step 2 and 3 as more observations become available.

## Need for 4D assimilation schemes

If one has a 4D-var scheme or ensemble Kalman filter one can take full advantage of the additional observations. In particular:

1. The assimilation scheme will be aware of the big uncertainty in the first guess.
2. A longer correlation length may be used over the oceans.

A 4D-scheme will also lead to a better use of currently already available observations.

An adaptive ensemble Kalman filter is being developed by Peter Houtekamer and Herschel Mitchell for a T21L3 QG-model.

## Plans for the future

1. Estimate and introduce parametrized model error into 6 hour ensemble forecasts with the T95L23 model.
2. Revise the analysis code to take advantage of the correlations in the ensemble.
3. Run an ensemble Kalman filter with about 100 members

## FASTEX related

We may get the perfect person to work on NAOS OSSE or targeting work.

We would like to be involved in Pacific follow-up experiments if any are organized.

Detection of Signal in Functions of Random Variables  
Implications for Targeted Observations

Jeff Anderson, GFDL

Given multi-normal random variable  $x = (x_1, x_2, \dots, x_k)$

Scalar function  $S(x)$

Generate set of random samples of  $x$  and corresponding  $S$  (ensemble)

Question: what component(s) of  $x$  have largest 'impact' on  $S$ ?

Reducing uncertainty in this component(s) maximally reduces uncertainty in  $S$ .

Simple method for answering question:

Do a univariate regression of  $S$  onto each component of  $x$  (cheap)

Find the  $x$ -components with the largest F-value from regression

More sophisticated methods would involve partial correlations, etc. (expensive)

## Simple Example

x is 10000 dimension unit multi-normal

$$S = \sum_{i=1}^k w_i x_i$$

Weights  $w_i$  determine impact of x components on S

First case:  $w_1 = 100$ , all other w's are 1

How often is  $w_1$  identified as most important, second most important...

**Table 1:**

| Sample Size | Largest F-test | Second Largest | Third Largest |
|-------------|----------------|----------------|---------------|
| 50          | 100%           |                |               |
| 25          | 96%            | 1%             | 2%            |
| 20          | 83%            | 7%             | 3%            |
| 15          | 52%            | 8%             | 4%            |
| 10          | 16%            | 9%             | 4%            |



## Simple Example

x is 10000 dimension unit multi-normal

$$S = \sum_{i=1}^k w_i x_i$$

Weights  $w_i$  determine impact of x components on S

Second case:  $w_i = a^i$       $i = 1, \dots, 100$   
 $w_i = 1$      otherwise

Series of progressively more important components

Sample size 50;

How often is  $w_{100}$  identified as most important, second most important...

**Table 1:**

| Variance Factor, a | Largest F-test | Second Largest | Third Largest |
|--------------------|----------------|----------------|---------------|
| 2.0                | 100%           |                |               |
| 1.5                | 98%            | 2              |               |
| 1.4                | 89%            | 8%             | 1%            |
| 1.25               | 71%            | 9%             | 2%            |
| 1.2                | 59%            | 15%            | 4%            |

These are very rigorous tests with 10000 independent degrees of freedom. GCMs certainly have far fewer independent degrees of freedom.

What can one do with realistic ensemble sizes?

### Barotropic Precursor (Targeting?) Example

Random variable  $x$ ; gridpoint initial conditions for T21 barotropic model (2112)

Random variable  $y = G(x)$ ; state of model after  $n$ -hour integration

Scalar function  $S(y) = S(G(x))$ ; value at one gridpoint after  $(n+p)$ -hour integration  
(or any scalar function of model variables from all times)

Do random sample of  $x$  (ensemble)

Get corresponding random sample of  $y$  and  $S(y)$  by integrating model

Do regression of  $S$  on each component of  $y$

#### Sample results:

Initial condition is observed 300mb from 14 January, 1987

'Observational' error distribution; normal,  $5e5$  variance IID at each gridpoint

Target point is (54N, 6E) at lead time 96 hours

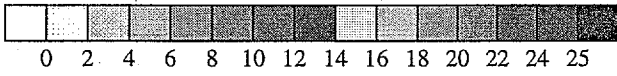
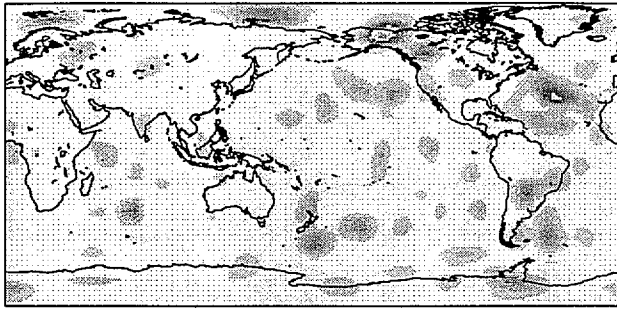
Look at precursors for  $p = 12, 24, 36, 48, 60, 72, 84$  hours

Plot value of F-test for each gridpoint; large F gives points with large impact

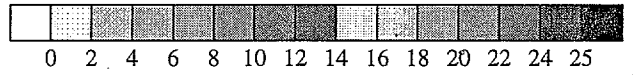
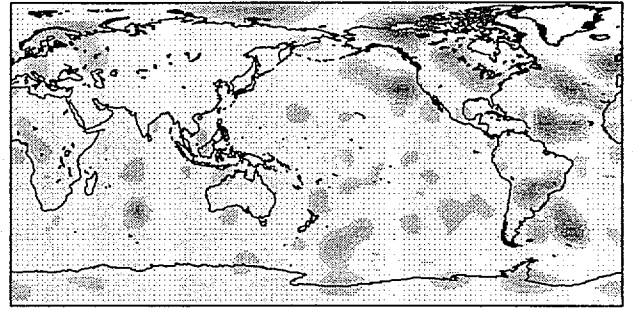
Better resolving values at these precursor points would reduce uncertainty in  $S$

Target to reduce uncertainty at these precursor points

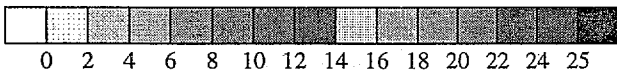
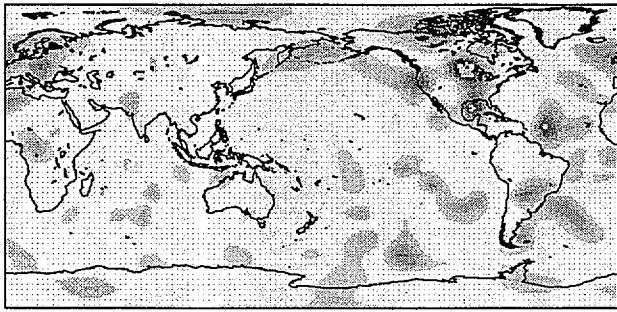
84 Hour Precursor F-test



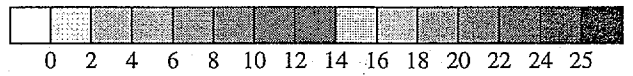
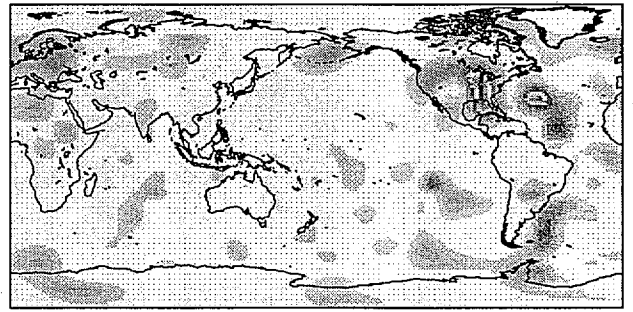
72 Hour Precursor F-test



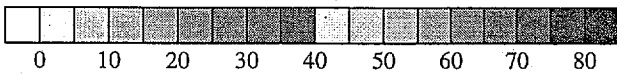
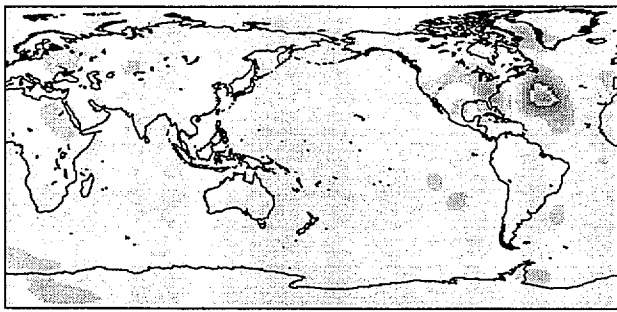
60 Hour Precursor F-test



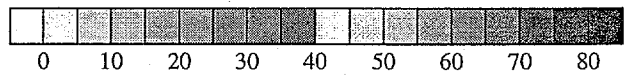
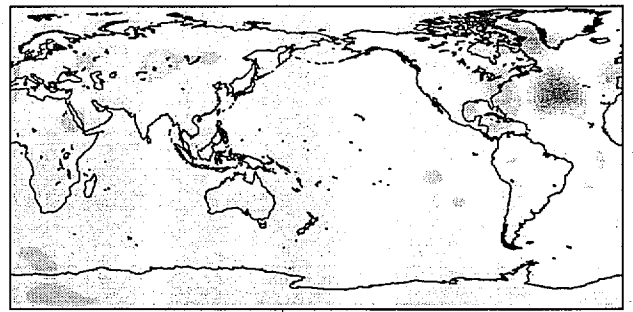
48 Hour Precursor F-test



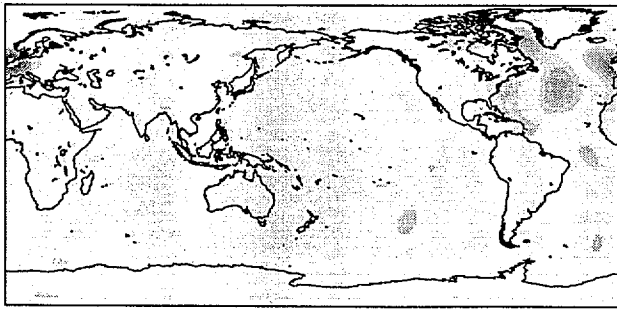
36 Hour Precursor F-test



24 Hour Precursor F-test

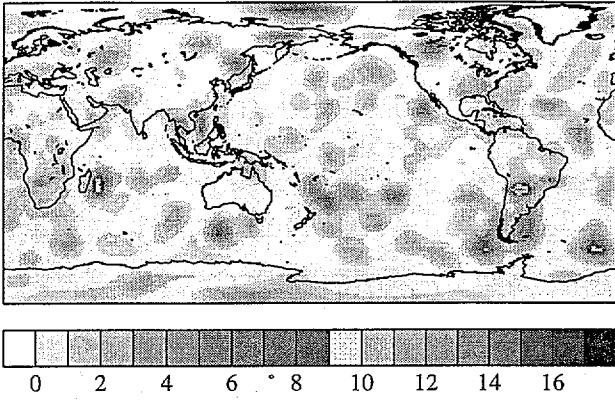


12 Hour Precursor F-test

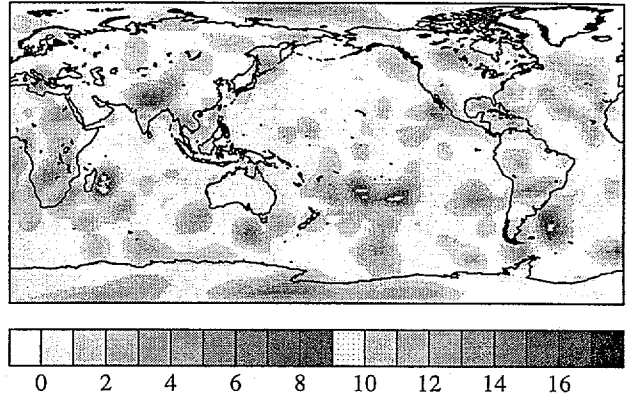


T21 50-member; Target (54N, 6E)

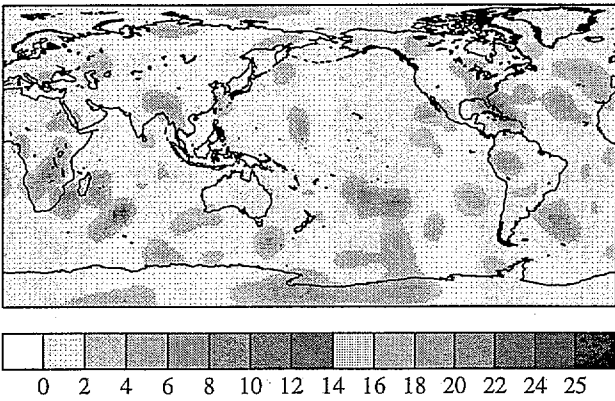
84 Hour Precursor F-test



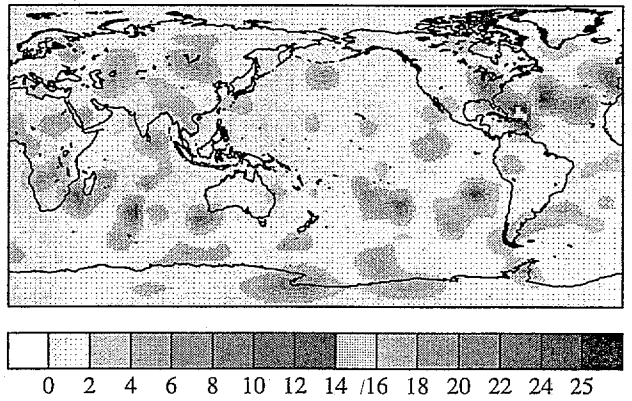
72 Hour Precursor F-test



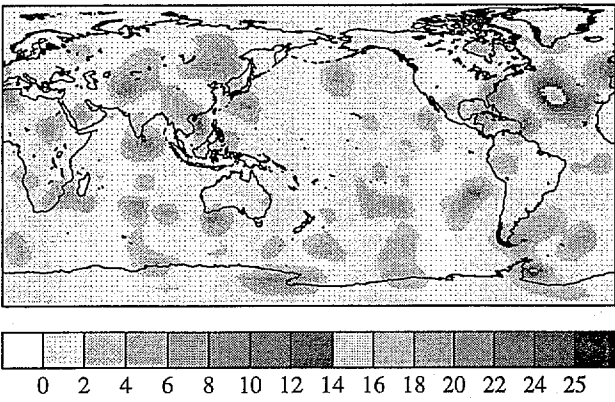
60 Hour Precursor F-test



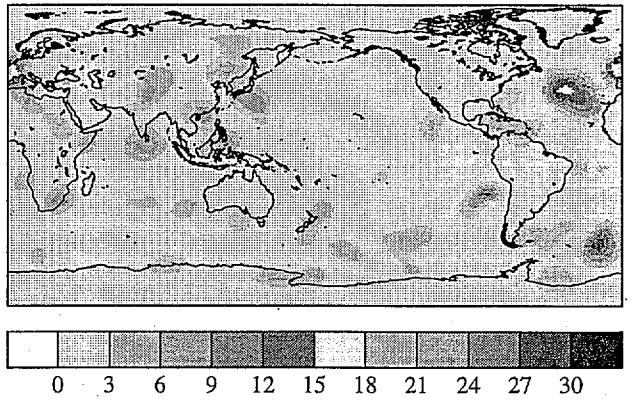
48 Hour Precursor F-test



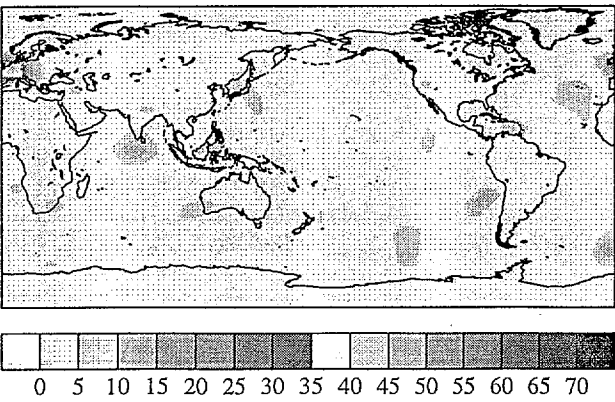
36 Hour Precursor F-test



24 Hour Precursor F-test

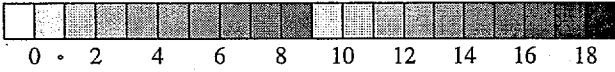
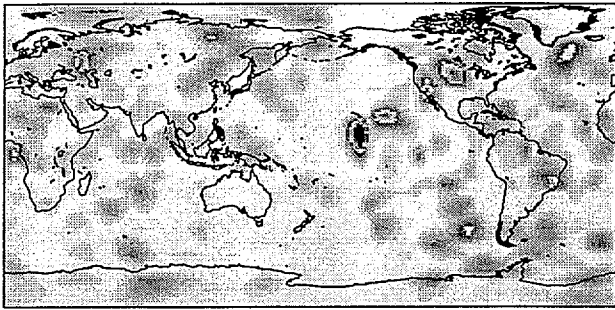


12 Hour Precursor F-test

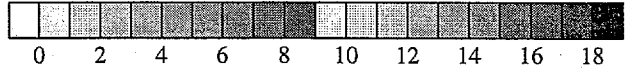
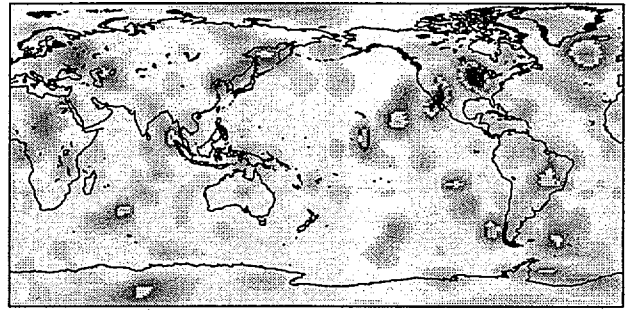


T21 25-member; Target (54N, 6E)

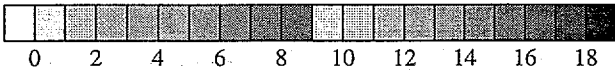
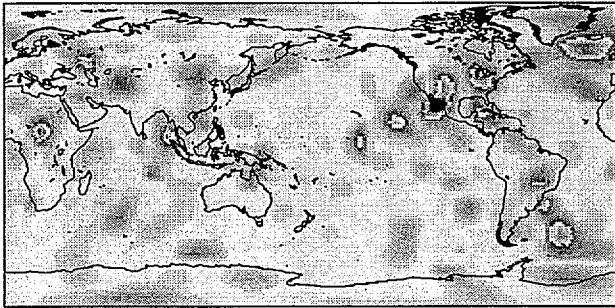
84 Hour Precursor F-test



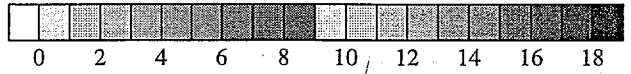
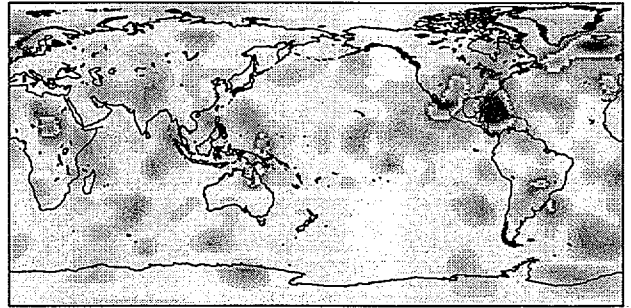
72 Hour Precursor F-test



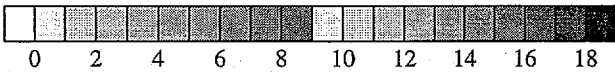
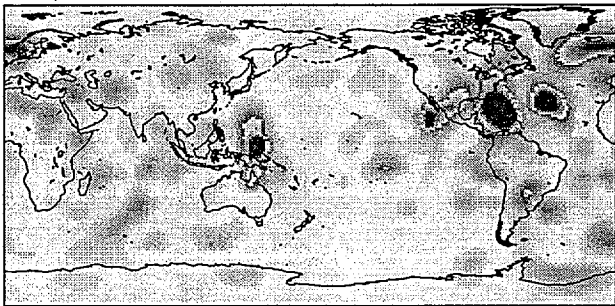
60 Hour Precursor F-test



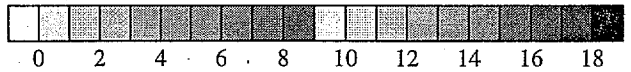
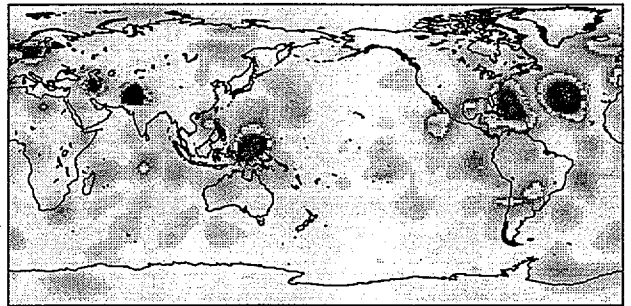
48 Hour Precursor F-test



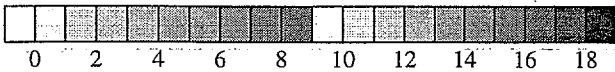
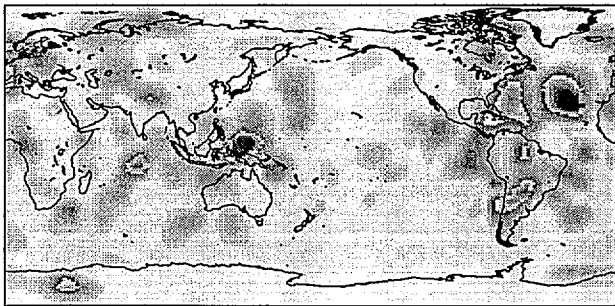
36 Hour Precursor F-test



24 Hour Precursor F-test



12 Hour Precursor F-test



T21 10-member; Target (54N, 6E)

## Extension to Real Targeted Observations Problems

Multi-normal random variable  $x$  becomes initial condition distribution  
Poorly known but may not matter

Random variable  $y = G(x)$  is state of GCM after  $n$ -hours of integration

Scalar function  $S(y)$  is scalar forecast quantity of interest after  $(n+p)$ -hours

Note: Can do this for any number of target  $S$ 's using same ensemble  
Can do this for any number of precursor periods with same ensemble  
Can be same ensemble used for operational prediction  
Possibility that current ensemble sizes are nearly sufficient  
No need for any reduced subspace sampling, non-random sampling, etc.  
Fancier statistics can better extract importance  
Bounds on confidence exist, also get controls for free

Interesting Point: Can do this for model uncertainties at same time (Houtekamer)  
Can do for LARGE set of parameters (1000's ?) with current ensemble sizes  
Most important parameters for given scalar functions (targets) could be found  
Tested in barotropic model context  
Plan to try this in coupled GCMs

# **The Impact on Synoptic– Scale Forecasts Over the United States of Dropwindsonde Observations Taken in the Northeast Pacific Ocean**

**Stephen J. Lord  
National Centers for Environmental Prediction/NWS  
Washington, D. C.**

**Collaborators:**

**Steve Tracton (NCEP)  
Bert Katz (GSC, NCEP)**

**I. Introduction**

**II. Results**

**Data sensitivity experiments**

**Interpretation using NCEP Ensemble runs**

**III. Conclusions**

# Introduction

- **Hurricane Omega Dropwindsonde Experiments**
  - Burpee et al (BAMS, May 1996)
  - Franklin and DeMaria (MWR, March 1992)
  
- **U. S. Air Force Reserve 53rd Weather Reconnaissance Squadron Missions routinely flown for East and Gulf Coast Severe Winter Weather**
  
- **Purpose: To investigate the impact of similar reconnaissance missions in the Northeast Pacific (NEP) Ocean**
  - Little prior experience in NEP reconnaissance
  - Acknowledge cooperation of:
    - U. S. Air Force Reserves 53rd Weather Reconnaissance Squadron
    - George Frederick (Radian Corporation)

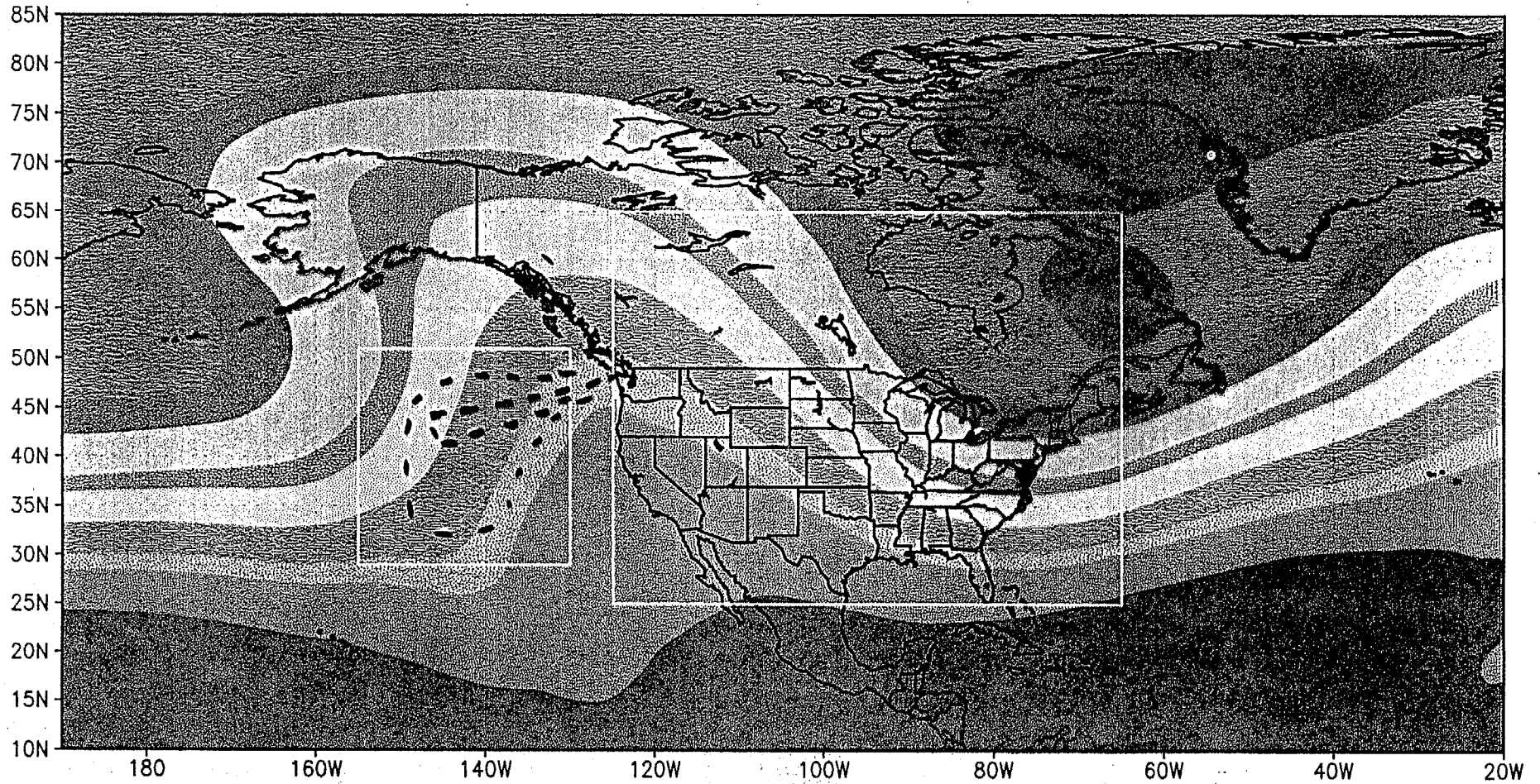


## **U. S. Air Force Reserve 53rd Weather Reconnaissance Squadron Missions**

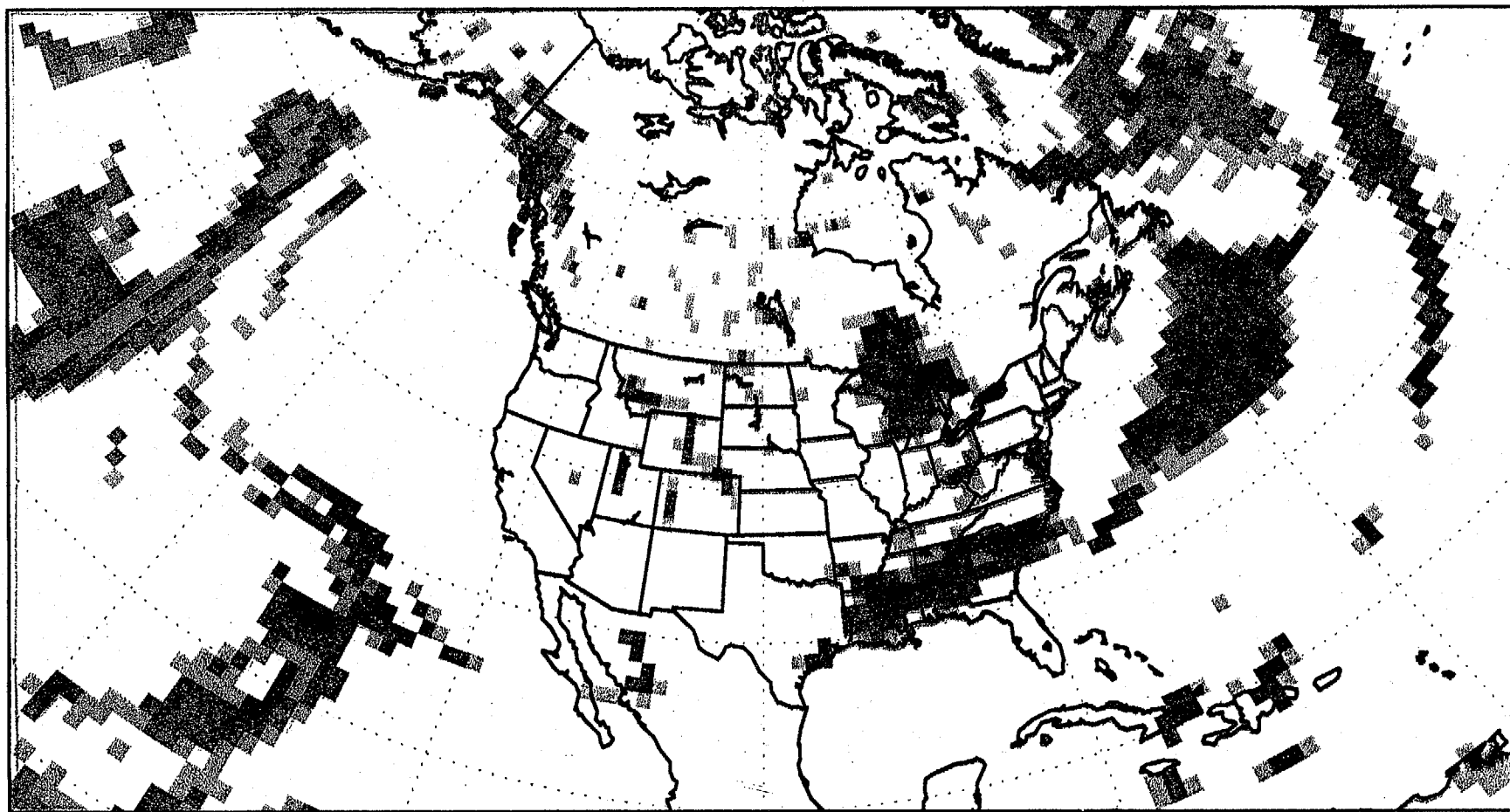
- **31 January – 11 February 1995**
- **10 Missions into Northeast Pacific Ocean and Gulf of Alaska, area bounded by 130–155 W, 29–51 N**
- **Flight tracks planned in advance by forecasters at the NWS WFO (Seattle) and NCEP Operations**
- **Mission duration approximately 10 hours**
- **Total of 126 dropwindsondes**
  - **38 conventional Omega Dropwindsondes**
  - **86 Radian/NCAR Lightweight Omega Digital Dropwindsondes (LOD2)**
  - **2 Radian/NCAR Lightweight Global Positioning System Digital Dropwindsondes (LGPSD2)**
- **Soundings of Wind, Temperature and Humidity (WTH) from approximately 7 km (400 mb) to surface**
- **Observations used in operational NCEP analyses**
- **Reruns with NCEP Global Data Assimilation System and without soundings**
  - **With and without WTH soundings**
  - **Wind-only soundings**
  - **Mass-only soundings**

# 500 hPa Height ANALYSIS

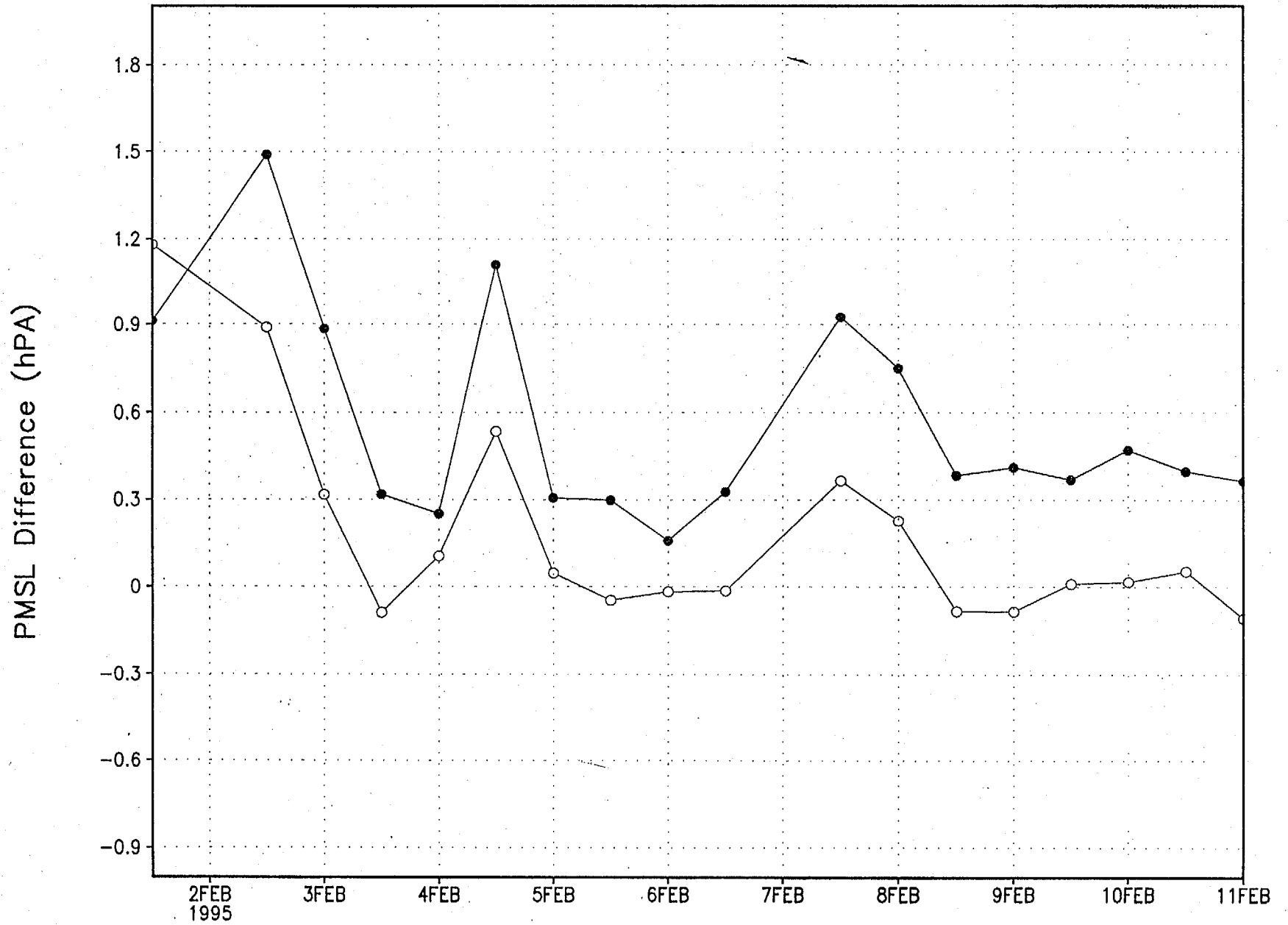
Time Average: 12 UTC 1 February 1995 to 00 UTC 11 February



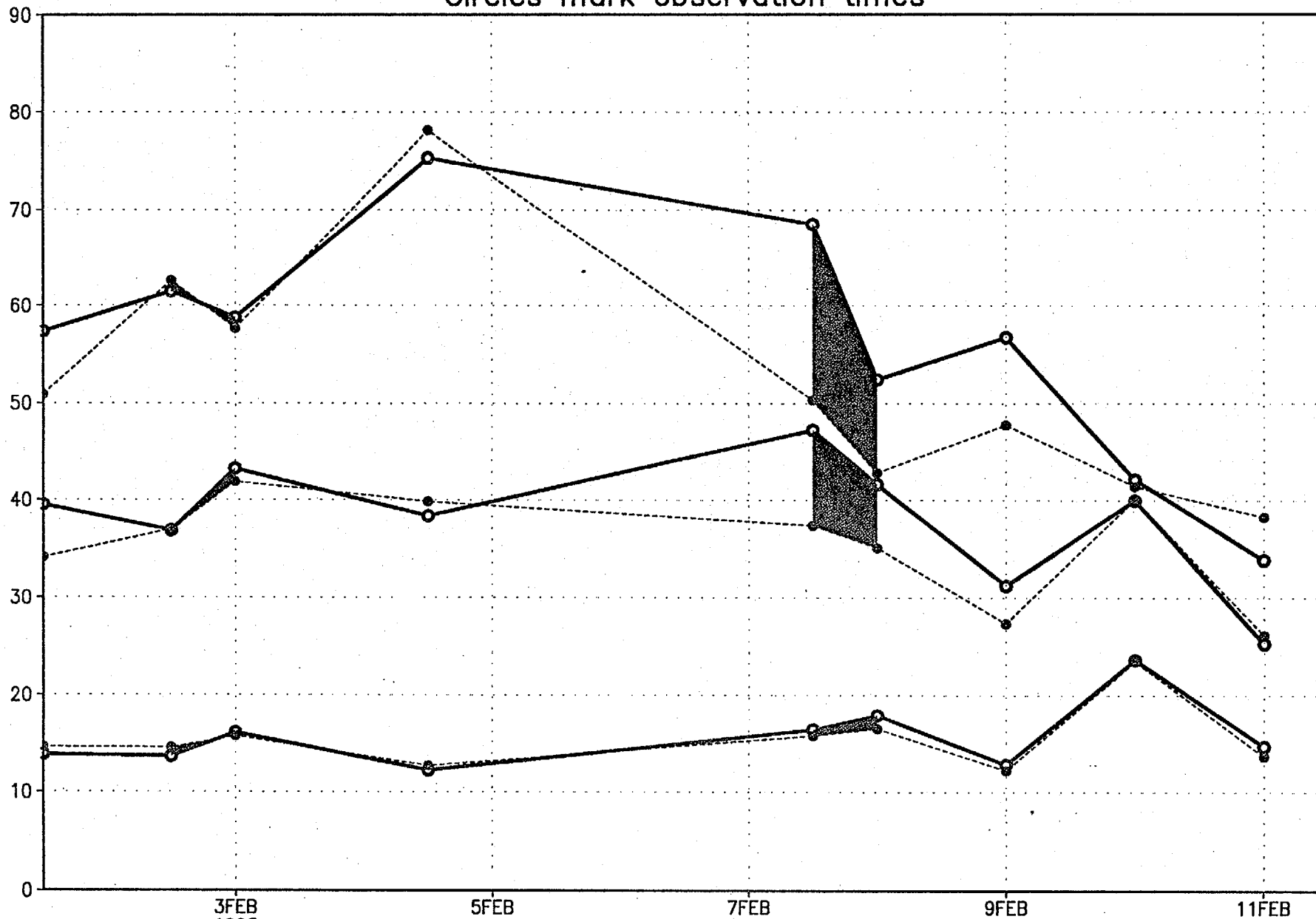
PRECIP RATE (mm/day) 12Z10FEB1995



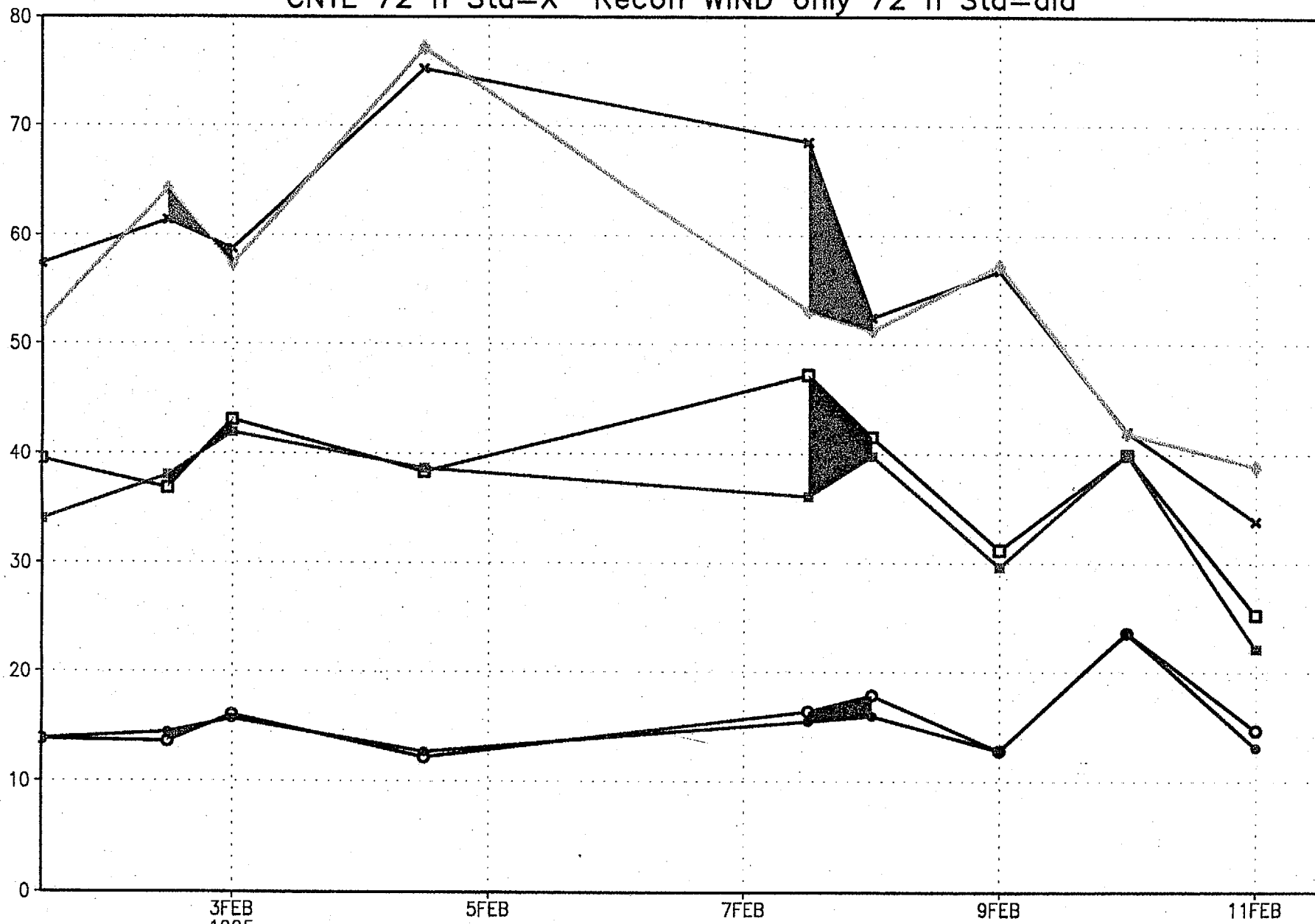
MEAN (red) & STD (grn) PMSL Difference (RECON-CNTL) 130-155 W, 29-51 N



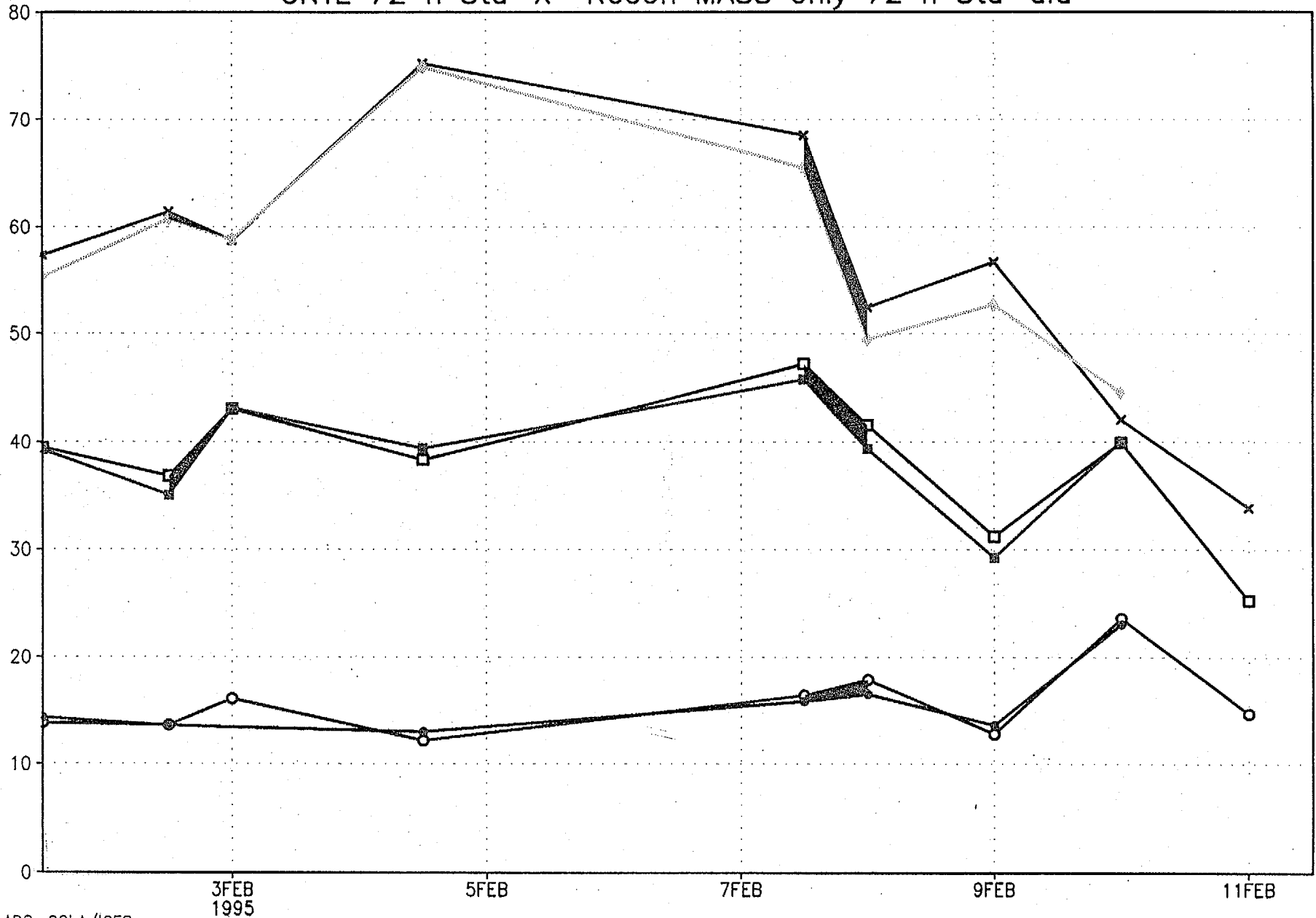
Std of Fcst Error 500 Z 235-295 E, 25-65 N  
 CNTL 24, 48, 72 h (red solid, oc)  
 Recon 24, 48, 72 h (green dashed, cc)  
 Circles mark observation times



Std of Fcst Error 500 Z 235-295 E, 25-65 N  
 CNTL 24 h Std=oc Recon WIND only 24 h Std=cc  
 CNTL 48 h Std=os Recon WIND only 48 h Std=cs  
 CNTL 72 h Std=X Recon WIND only 72 h Std=dia

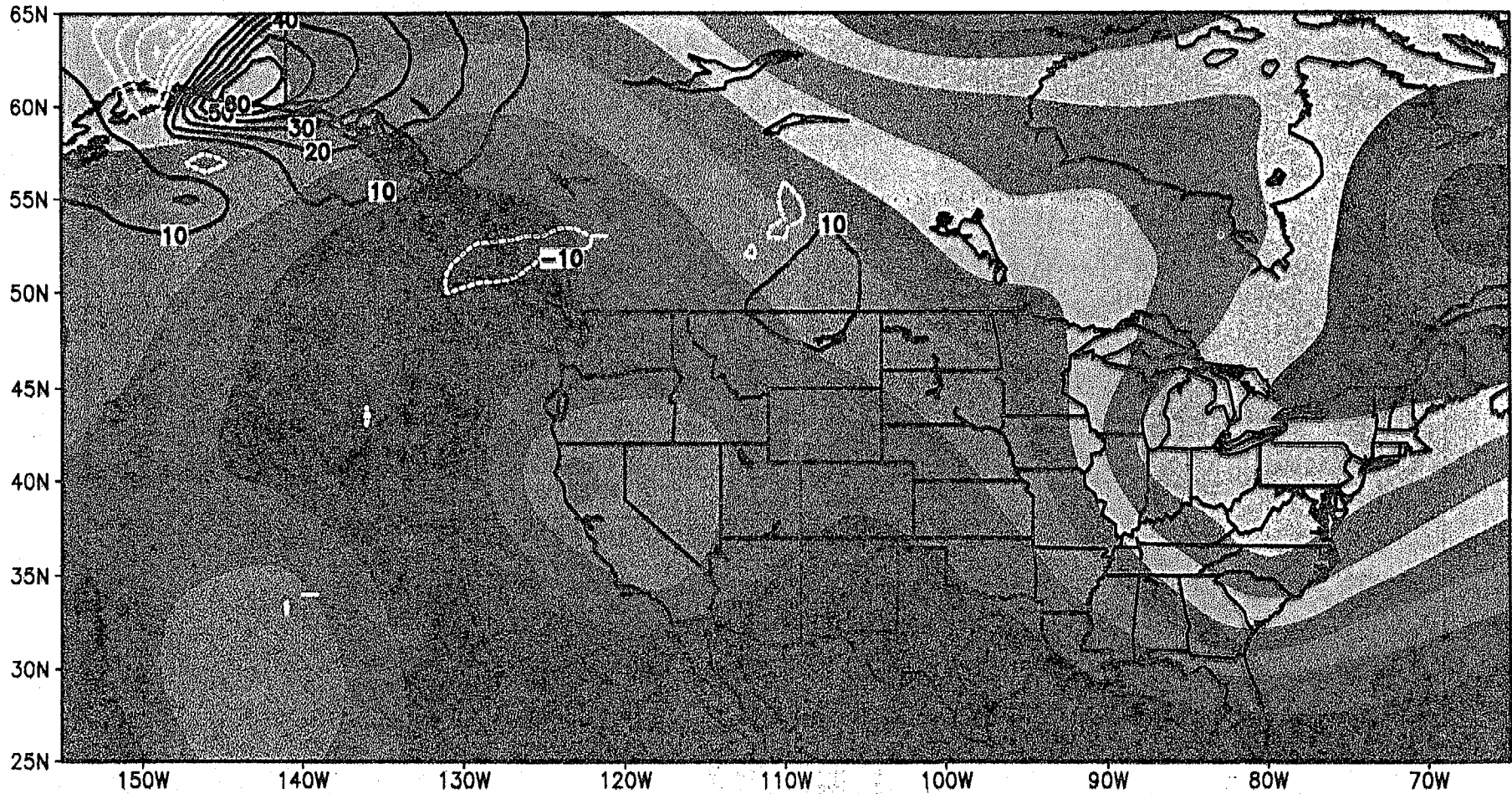


Std of Fcst Error 500 Z 235-295 E, 25-65 N  
 CNTL 24 h Std=oc Recon MASS only 24 h Std=cc  
 CNTL 48 h Std=os Recon MASS only 48 h Std=cs  
 CNTL 72 h Std=X Recon MASS only 72 h Std=dia



RECON Anal & Improvement= $\text{abs}(\text{ctlerr}) - \text{abs}(\text{recerr})$  for 24 h Fcst 95020712

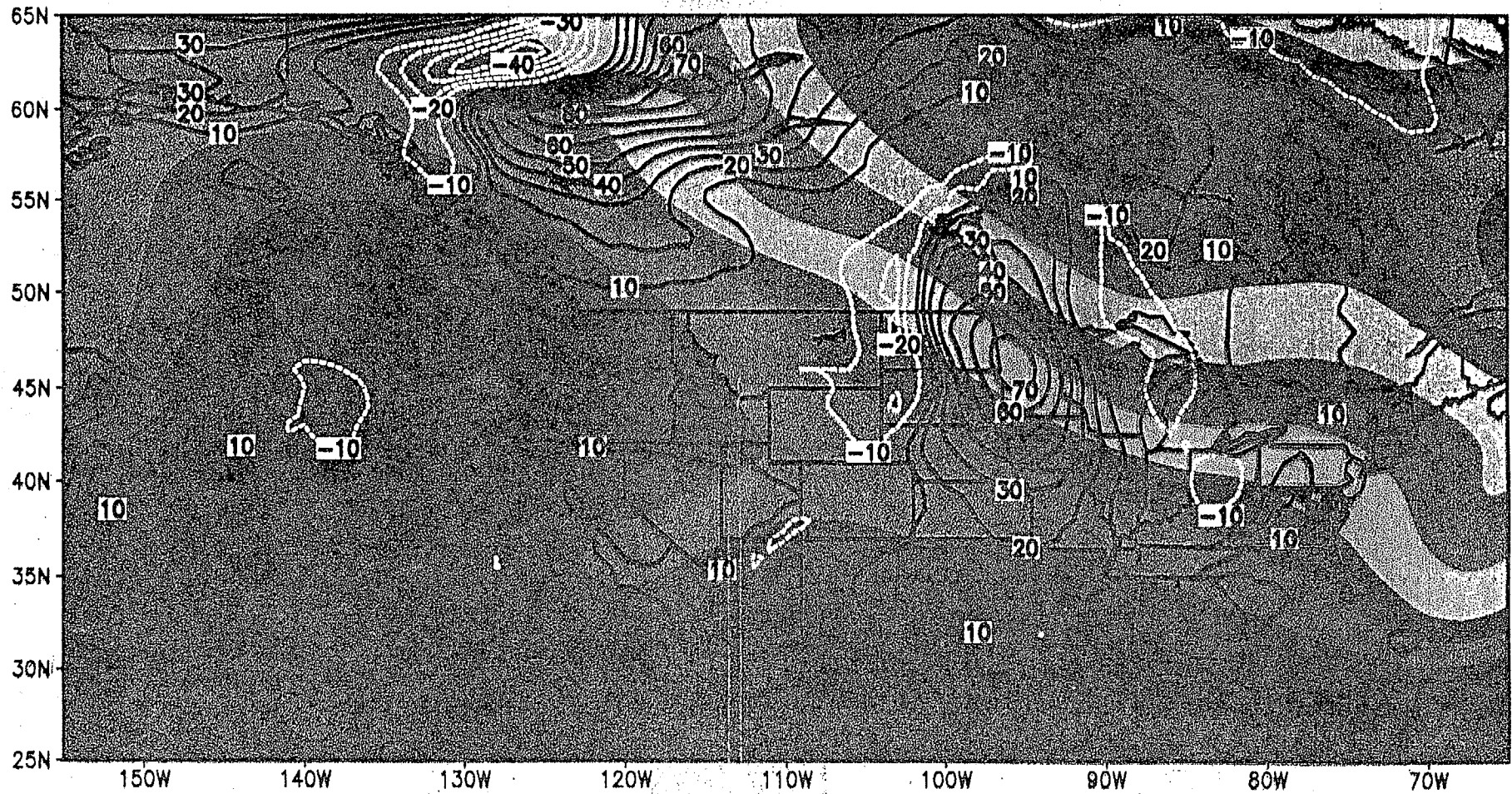
Improvement: Min=-41, Max=70, Area Mean=1.74511





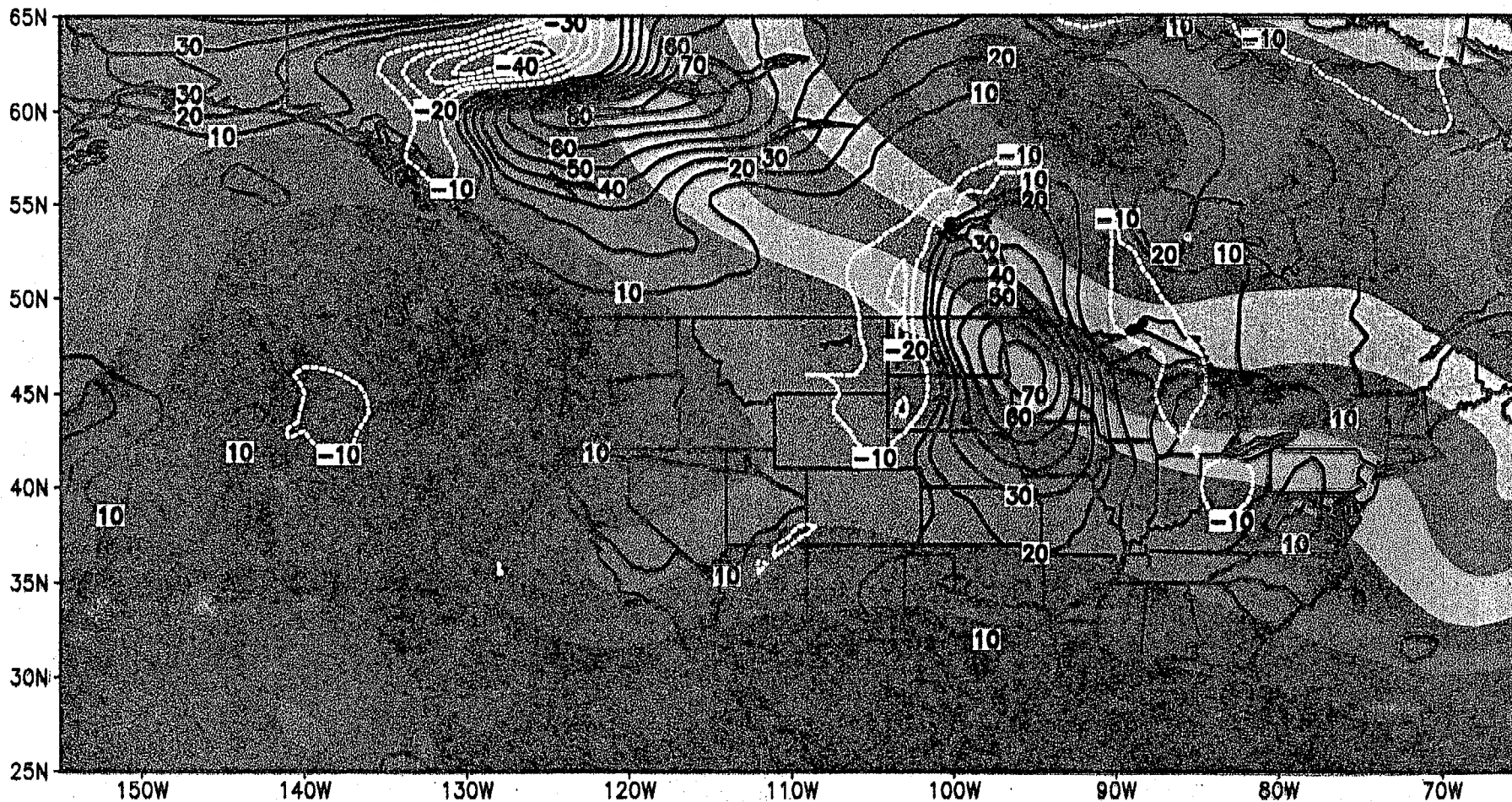
RECON Anal & Improvement= $\text{abs}(\text{ctlerr}) - \text{abs}(\text{recerr})$  for 48 h Fcst 95020712

Improvement: Min=-60, Max=87, Area Mean=7.16242



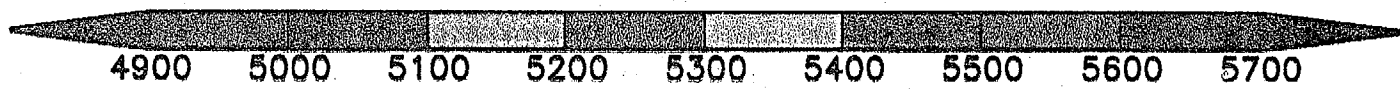
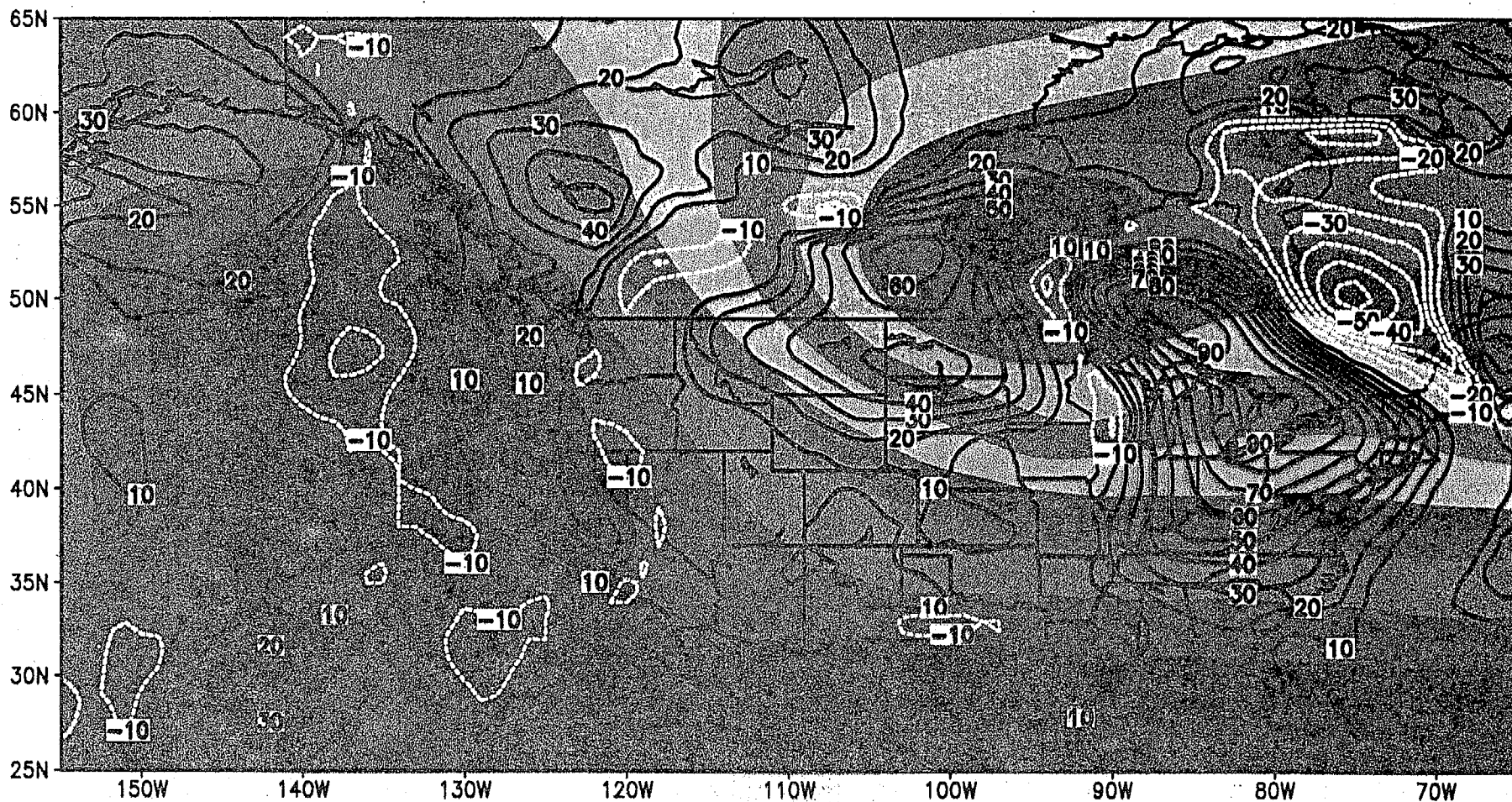
RECON Anal & Improvement= $\text{abs}(\text{ctlerr}) - \text{abs}(\text{recerr})$  for 48 h Fcst 95020712

Improvement: Min=-60, Max=87, Area Mean=7.16242

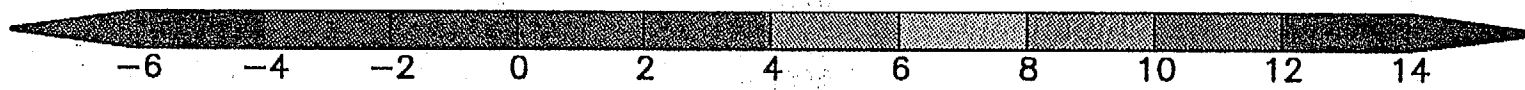
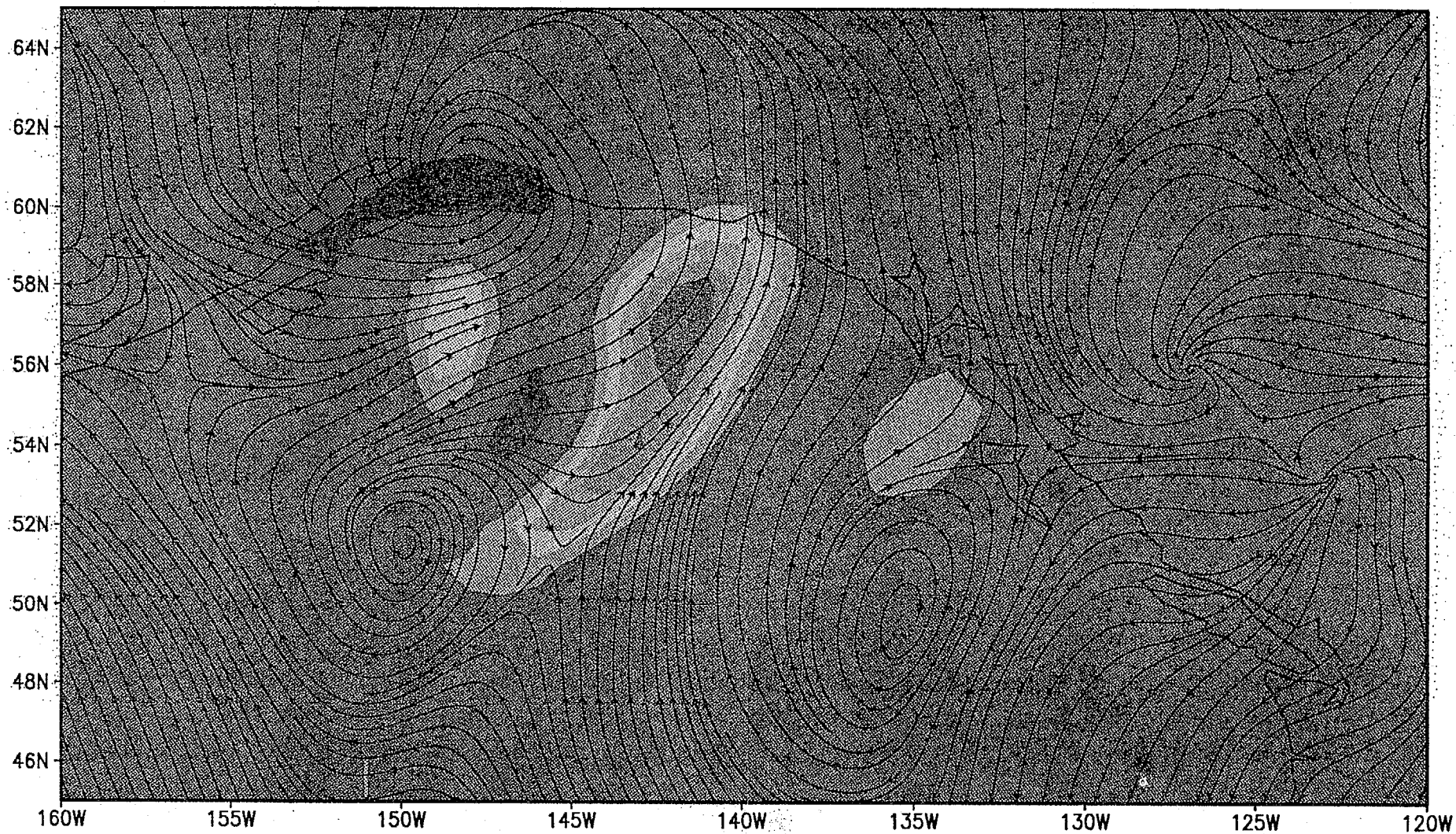


RECON Anal & Improvement= $\text{abs}(\text{ctlerr}) - \text{abs}(\text{recerr})$  for 72 h Fcst 95020712

Improvement: Min=-63, Max=96, Area Mean=11.446



# 1000 hPa Wind Error Diff (ctl-recon) 12Z 8 Feb 1995



GrADS: COLA/IGES

## **Interpretation Strategies Using NCEP Operational Ensembles**

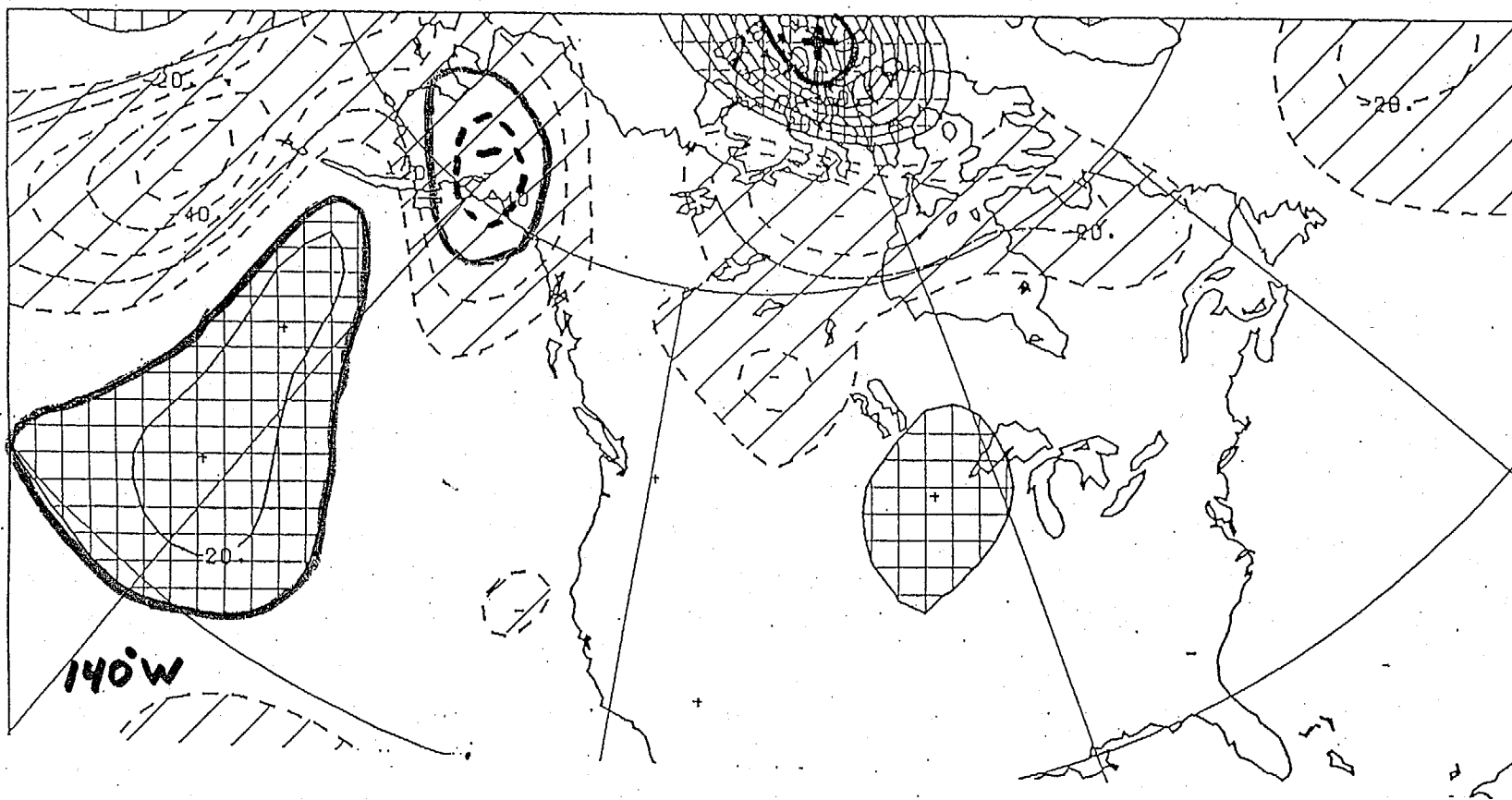
- **Positive Impact Case:**

- **IC – 00 UTC 8 February**
- **Demonstrate that perturbation of ensemble member with (subjectively) the best forecast over continental US resembles that induced by Recon soundings**
- **Conclude that Recon soundings affected the development of major weather system downstream over central and eastern US**

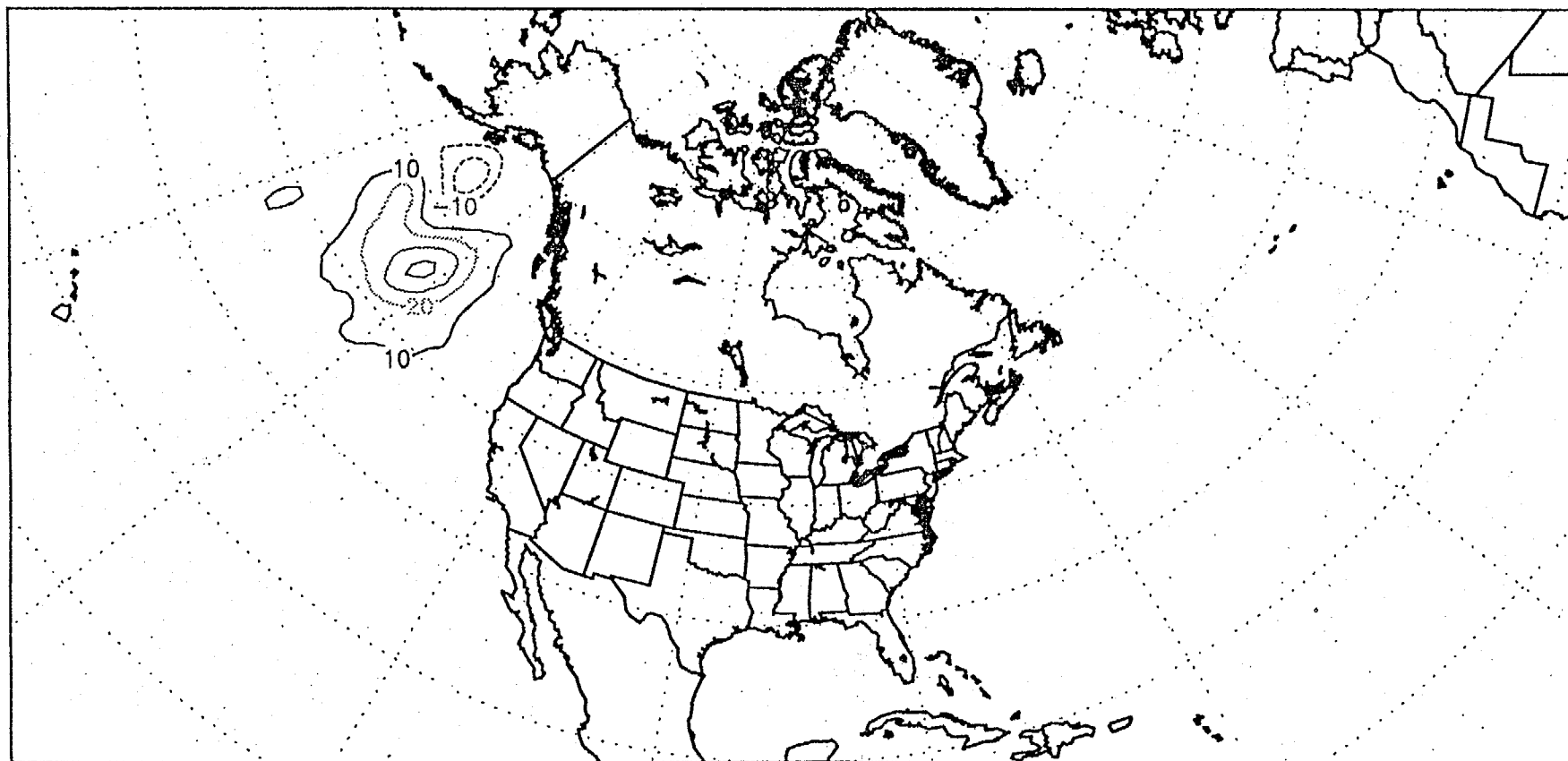
- **Neutral Impact Case:**

- **IC – 00 UTC 3 February**
- **Demonstrate that perturbation of ensemble member that most resembles that induced by Recon soundings is irrelevant to forecast errors over continental US**
- **Conclude that analysis differences due to Recon soundings should not affect the development of forecast errors downstream**

**BFM-CNTL 500 hPa Z Anal\_95020800**

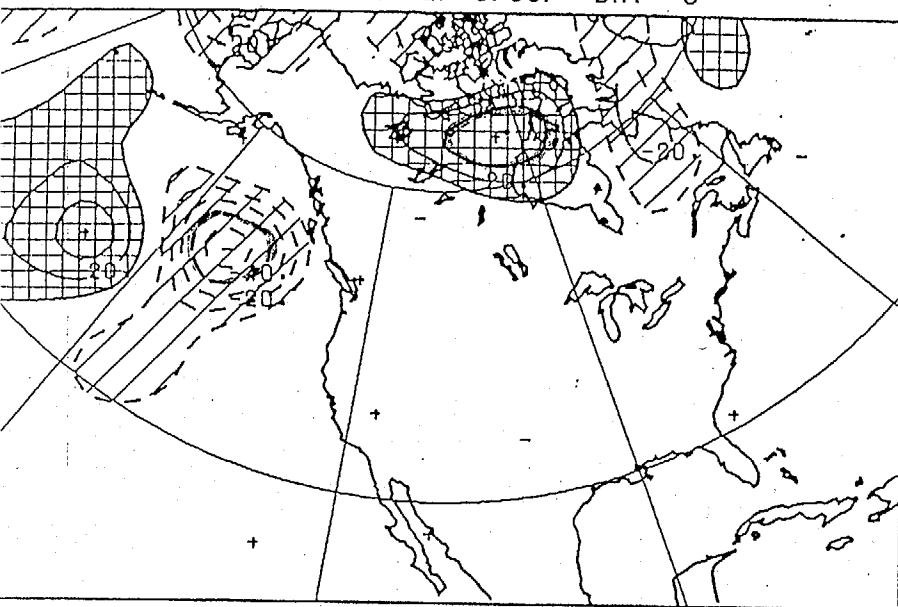


RECON-CNTL 500 hPa Z Anal\_95020800

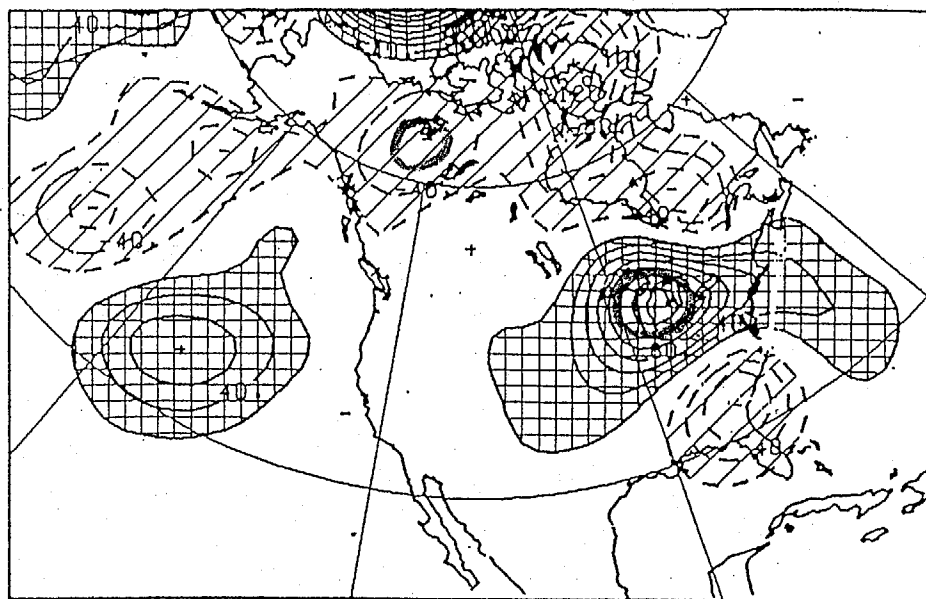


# Closest Perturbation to RECON-Induced Soundings

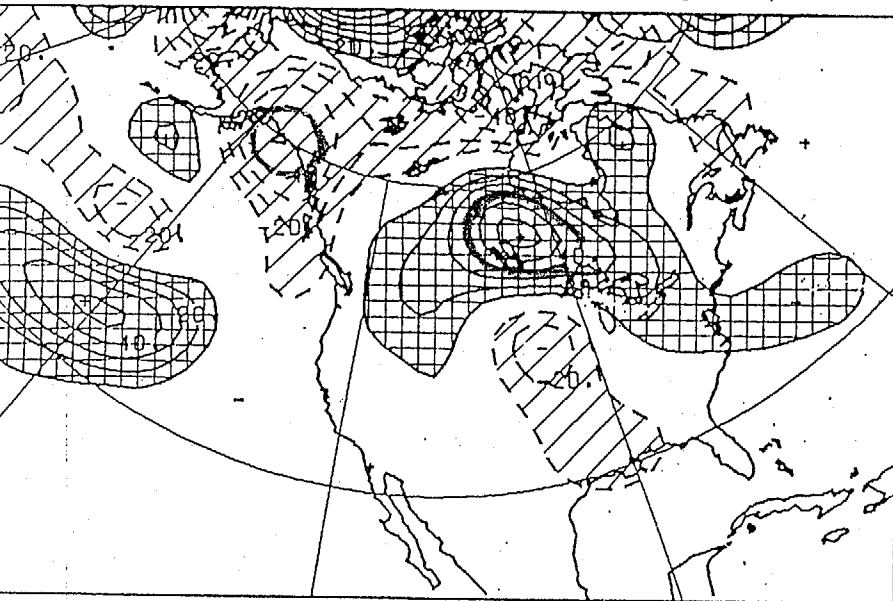
0Z 2/ 3/95/ DAY 0



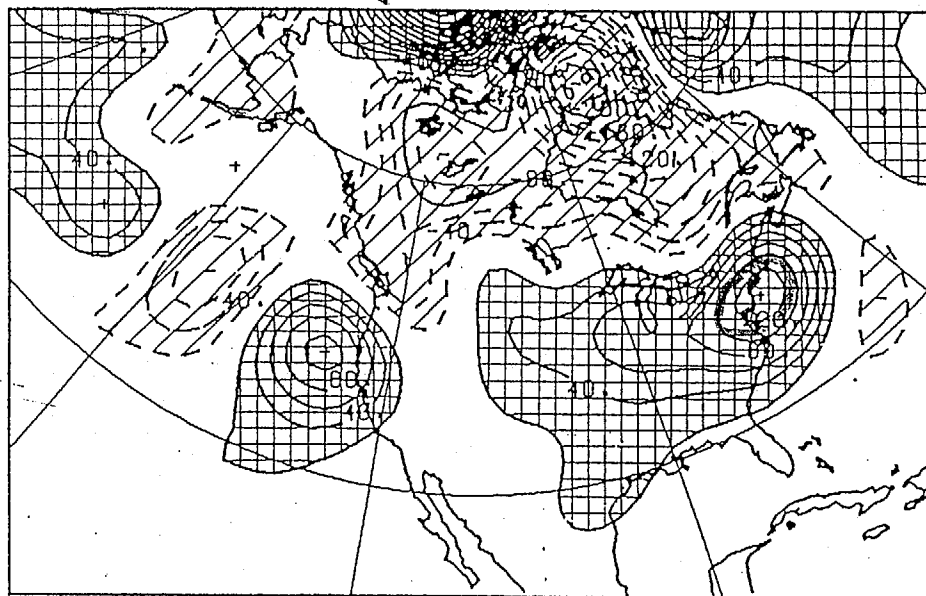
DAY 2



DAY 1



DAY 3





## **Conclusions**

- **Offshore observations have a strong potential for improving synoptic-scale forecasts over the continental US**

**BUT**

- **Observations must be obtained in a cost-effective and efficient manner**
- **Wind observations are more effective than mass obs. at reducing forecast errors in these cases**
- **Ensemble techniques can be used to determine regions with greatest potential for reducing most rapidly growing analysis errors**
  - **Techniques under evaluation now in context of 1996 hurricane season by Hurricane Research Division/AOML and National Hurricane Center, Miami**
  - **Evaluations for mid-latitude cases will certainly point to need for observations in Canada, Mexico and other offshore regions, depending on the synoptic situation**

## **Future Work**

- **Investigate Data Sensitivity using Linear Tangent Model & Adjoint Methods**
- **Refine Deployable Observation Strategies Using Ensemble Techniques**

# WC-130H Improved Weather Reconnaissance System

Major Jon Talbot



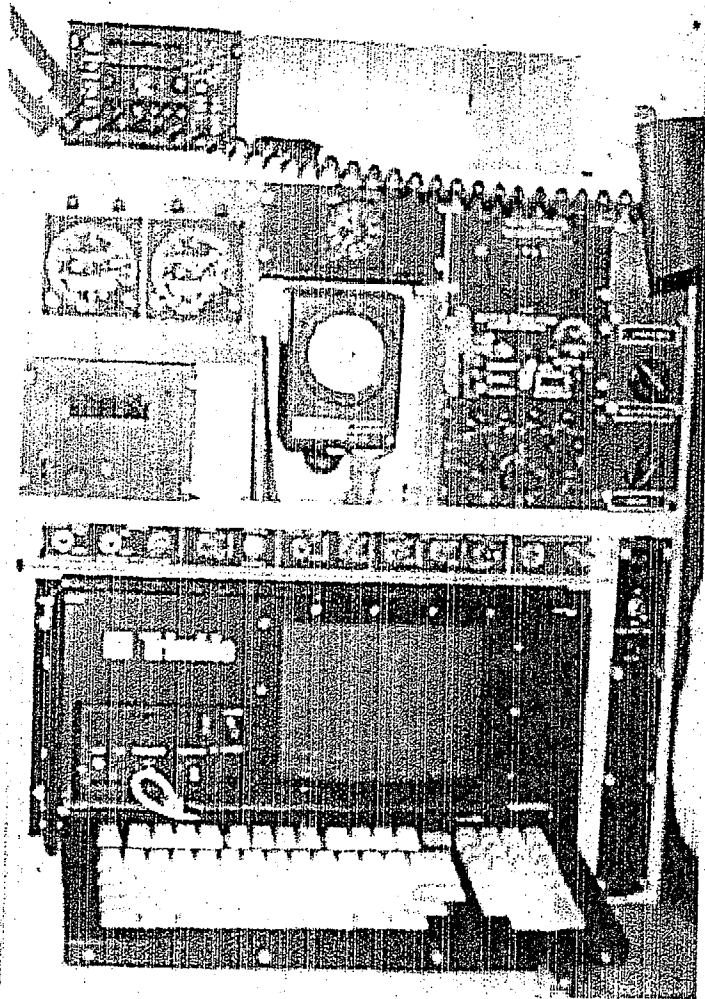
# Topics of Discussion

- Brief overview of the Improved Weather Reconnaissance System
- Data Accuracy's
- How to interpret archived data

# Improved Weather Reconnaissance System (IWRS)

- Comprised of three subsystems
  - Atmospheric Distributed Data System
  - Satellite Communications System
  - Omega Dropwindfinding System
- Developed by NOAA in early 80's
  - prototype installed in P-3's
- Installation complete in WC-130 in 1991

# Atmospheric Distributed Data System



- Collects data from aircraft sensors, navigation system, and dropsonde system
- Computes higher level parameters
- Sends data to satellite communications system

# Atmospheric Distributed Data System

- Collects data at eight times per second
- User selectable archive rate.
  - 1 second
  - 10 second
  - 30 second
  - 1 minute
  - 2 minute

# Atmospheric Distributed Data System

## ■ Sensors

- Temperature
- Dewpoint
- Radar Altitude
- PressureAltitude
- Dynamic Pressure
- Side Slip

## ■ Data from Navigation System

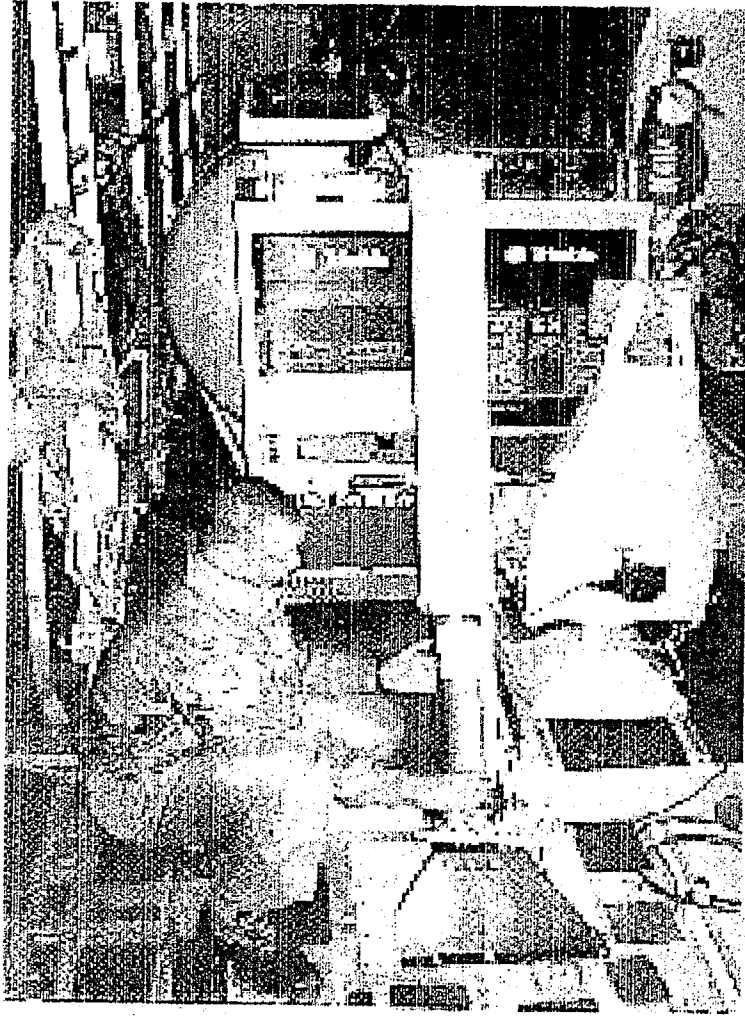
- Latitude, Longitude
- Velocity North, Velocity East
- True Heading

# Satellite Communication System

- Sends data through AFSATCOM
- Ground station at CARCAH located at the Tropical Prediction Center
  - Allows real time data transfer to TPC
  - Allows onboard meteorologist to communicate with hurricane forecaster
- Portable ground station allows communications at deployed locations



# Omega Dropwindfinding System



- Receives and processes sonde data
- Able to track two sondes at a time
- Draws sounding on screen as sonde falls
- AVAPS GPS system expected in late 97

# Data Accuracy's

- Total Air Temperature:  $\pm 0.25^{\circ}\text{C} + 0.5\% \text{C}$
- Dew Point:  $\pm 0.5^{\circ}\text{C}$  above  $0^{\circ}\text{C}$ ,  $\pm 1.0^{\circ}\text{C}$  below  $0^{\circ}\text{C}$
- Radar Altitude:  $\pm 2\text{ft}$  (0-100ft),  $\pm 2\%$  (100-5000ft),  $\pm 100\text{ft}$  (5000-10,000ft),  $\pm 1\%$  above 10,000ft
- Pressure Altitude:  $\pm 10\text{ft}$  (sea level - 80,000ft)
- Wind speed/Direction: Usually 5 Deg/5 Knots

# Interpreting Archive Data

## ■ Units

- Lat/Long: Deg, neg for lat south of equator/west of Greenwich
- Temp (tt,ta): Deg C
- Dewpoint (td): Deg C
- Dynamic Pressure (dp), Probe Transducers (paa,pal,pb,pss), Flight level Pressure (flp): Millibars
- Radar altitude, Pressure Altitude (ra,pa) Height of Standard Surface (hss) Geopotential Altitude (ga) D-Value (dv): Meters
- Side Slip (ss): Degrees
- Height of standard surface (hss): Meters



FLTLVL10

| time     | lt       | lg       | ta    | td    | wd  | ws | dv     | pa   | ra   | flag |
|----------|----------|----------|-------|-------|-----|----|--------|------|------|------|
| 10:32:10 | 47 37.3N | 52 44.2W | -8.2  | -11.3 | 359 | 24 | -121.4 | 108  | 0    | 280  |
| 10:32:20 | 47 37.3N | 52 44.2W | -8.2  | -11.4 | 359 | 24 | -121.4 | 108  | 0    | 280  |
| 10:32:30 | 47 37.3N | 52 44.2W | -8.2  | -11.1 | 359 | 24 | -121.7 | 108  | 0    | 0    |
| 10:32:40 | 47 37.3N | 52 44.2W | -8.2  | -10.9 | 356 | 24 | -122.4 | 109  | 0    | 280  |
| 10:32:50 | 47 37.3N | 52 44.2W | -8.2  | -10.9 | 358 | 24 | -122   | 109  | 0    | 280  |
| 10:33:00 | 47 37.3N | 52 44.2W | -8.2  | -10.9 | 357 | 24 | -122.4 | 109  | 0    | 0    |
| 10:33:10 | 47 37.3N | 52 44.2W | -8.1  | -11   | 356 | 24 | -122.2 | 109  | 0    | 280  |
| 10:33:20 | 47 37.3N | 52 44.2W | -8.1  | -10.9 | 360 | 24 | -121.8 | 109  | 0    | 280  |
| 10:33:30 | 47 37.3N | 52 44.2W | -8.3  | -10.8 | 352 | 21 | -122.1 | 109  | 0    | 280  |
| 10:33:40 | 47 37.3N | 52 44.2W | -8.3  | -10.7 | 323 | 19 | -121.8 | 108  | 0    | 0    |
| 10:33:50 | 47 37.3N | 52 44.2W | -8.5  | -10.6 | 299 | 18 | -122.2 | 109  | 0    | 0    |
| 10:34:00 | 47 37.4N | 52 44.2W | -8.7  | -10.4 | 299 | 18 | -122.5 | 109  | 0    | 0    |
| 10:34:10 | 47 37.4N | 52 44.2W | -8.8  | -10.2 | 298 | 18 | -122.9 | 110  | 0    | 280  |
| 10:34:20 | 47 37.4N | 52 44.3W | -8.8  | -10   | 295 | 18 | -123.4 | 110  | 0    | 0    |
| 10:34:30 | 47 37.4N | 52 44.3W | -8.8  | -9.9  | 297 | 18 | -124.2 | 111  | 0    | 0    |
| 10:34:40 | 47 37.4N | 52 44.3W | -8.8  | -10   | 325 | 16 | -125   | 111  | 0    | 280  |
| 10:34:50 | 47 37.5N | 52 44.3W | -8.6  | -9.8  | 6   | 16 | -125.1 | 112  | 0    | 280  |
| 10:35:00 | 47 37.5N | 52 44.3W | -8.4  | -9.8  | 333 | 18 | -125.4 | 112  | 0    | 0    |
| 10:35:10 | 47 37.5N | 52 44.3W | -8.6  | -9.5  | 280 | 20 | -125.2 | 112  | 0    | 280  |
| 10:35:20 | 47 37.5N | 52 44.3W | -8.6  | -9.4  | 271 | 23 | -126.6 | 113  | 0    | 280  |
| 10:35:30 | 47 37.5N | 52 44.3W | -8.5  | -9    | 267 | 25 | -128.1 | 115  | 0    | 0    |
| 10:35:40 | 47 37.5N | 52 44.3W | -8.5  | -9.6  | 267 | 27 | -129.4 | 116  | 0    | 0    |
| 10:35:50 | 47 37.5N | 52 44.3W | -8.5  | -9.2  | 271 | 25 | -129.7 | 116  | 0    | 280  |
| 10:36:00 | 47 37.5N | 52 44.4W | -8.8  | -9.6  | 274 | 21 | -129.8 | 117  | 0    | 0    |
| 10:36:10 | 47 37.5N | 52 44.6W | -8.7  | -11.7 | 273 | 16 | -128.5 | 115  | 0    | 0    |
| 10:36:20 | 47 37.4N | 52 45.0W | -8.8  | -12.6 | 275 | 16 | -126.2 | 113  | 0    | 0    |
| 10:36:30 | 47 37.4N | 52 45.5W | -8.7  | -13.3 | 282 | 12 | -109.4 | 97   | 0    | 0    |
| 10:36:40 | 47 37.4N | 52 46.0W | -8.6  | -13.9 | 284 | 16 | -116.6 | 121  | 16   | 0    |
| 10:36:50 | 47 37.3N | 52 46.6W | -8.9  | -14.2 | 284 | 19 | -117.7 | 168  | 63   | 0    |
| 10:37:00 | 47 37.3N | 52 47.1W | -9    | -14.3 | 283 | 20 | -114.8 | 226  | 123  | 0    |
| 10:37:10 | 47 37.3N | 52 47.8W | -9.5  | -14.3 | 289 | 18 | -130.6 | 258  | 139  | 0    |
| 10:37:20 | 47 37.2N | 52 48.5W | -9.8  | -14.3 | 294 | 18 | -162.2 | 287  | 136  | 0    |
| 10:37:30 | 47 37.2N | 52 49.2W | -10   | -14.5 | 290 | 19 | -166   | 352  | 198  | 0    |
| 10:37:40 | 47 37.1N | 52 49.9W | -10.8 | -14.8 | 291 | 19 | -137.8 | 456  | 330  | 0    |
| 10:37:50 | 47 37.0N | 52 50.6W | -11.9 | -15.3 | 286 | 20 | -139.8 | 579  | 454  | 0    |
| 10:38:00 | 47 37.0N | 52 51.3W | -12.8 | -15.6 | 276 | 21 | -193.2 | 700  | 526  | 0    |
| 10:38:10 | 47 37.0N | 52 52.0W | -13.5 | -15.8 | 273 | 24 | -144.6 | 828  | 702  | 0    |
| 10:38:20 | 47 37.1N | 52 52.5W | -14   | -17.7 | 274 | 25 | -51.7  | 941  | 908  | 0    |
| 10:38:30 | 47 37.5N | 52 53.0W | -14.7 | -21.7 | 265 | 22 | -53.8  | 1061 | 1025 | 0    |
| 10:38:40 | 47 38.0N | 52 53.0W | -15.4 | -22.9 | 249 | 21 | -55.8  | 1152 | 1111 | 0    |
| 10:38:50 | 47 38.5N | 52 52.7W | -15.6 | -26.1 | 242 | 24 | -62.7  | 1230 | 1181 | 0    |
| 10:39:00 | 47 38.9N | 52 52.0W | -16.6 | -28.2 | 260 | 28 | -72.8  | 1315 | 1256 | 0    |
| 10:39:10 | 47 39.3N | 52 51.3W | -16.9 | -29.6 | 269 | 30 | -82    | 1433 | 1365 | 0    |
| 10:39:20 | 47 39.6N | 52 50.7W | -17.1 | -30.6 | 268 | 34 | -141.4 | 1537 | 1410 | 0    |
| 10:39:30 | 47 40.0N | 52 50.0W | -17.1 | -31.4 | 266 | 38 | -280.5 | 1610 | 1344 | 0    |
| 10:39:40 | 47 40.4N | 52 49.3W | -17.4 | -31.9 | 264 | 40 | -258.9 | 1660 | 1415 | 0    |
| 10:39:50 | 47 40.8N | 52 48.6W | -16.3 | -32.3 | 265 | 43 | -263.3 | 1712 | 1462 | 0    |
| 10:40:00 | 47 41.1N | 52 47.9W | -15.3 | -32.6 | 264 | 45 | -282.5 | 1749 | 1480 | 0    |
| 10:40:10 | 47 41.5N | 52 47.1W | -14.2 | -32.9 | 262 | 45 | -276.4 | 1777 | 1514 | 0    |
| 10:40:20 | 47 41.9N | 52 46.4W | -14   | -33.1 | 262 | 47 | -271.5 | 1799 | 1542 | 0    |
| 10:40:30 | 47 42.3N | 52 45.6W | -13.8 | -33.3 | 260 | 46 | -301.4 | 1844 | 1556 | 0    |
| 10:40:40 | 47 42.7N | 52 44.8W | -13.6 | -33.5 | 258 | 45 | -260.7 | 1892 | 1645 | 0    |
| 10:40:50 | 47 43.1N | 52 44.0W | -13.4 | -33.7 | 257 | 44 | -218   | 1932 | 1728 | 0    |



439//

SXXX50 KNHC 060237

AF968 WX FASTEX05 HDOB 01 KNHC

0217 4737N 05244W 00025 5038 000 000 053 053 000 00000 0000000000  
0218 4737N 05244W 00026 5039 000 000 053 053 000 00000 0000000000  
0219 4737N 05244W 00028 5041 000 000 051 057 000 00000 0000000000  
0220 4737N 05244W 00030 5044 139 012 053 053 013 00000 0000000000  
0221 4737N 05244W 00033 5046 265 005 059 059 014 00000 0000000000  
0222 4737N 05245W 00062 5076 281 010 059 059 018 00000 0000000000  
0223 4738N 05245W 00037 5051 134 020 057 057 024 00000 0000000000  
0224 4737N 05244W 00035 5042 148 012 061 065 024 00005 0000000000  
0225 4735N 05242W 00322 0022 191 019 061 077 022 00356 0000000000  
0226 4734N 05238W 00860 0042 233 029 059 081 032 00921 0000000000  
0227 4731N 05237W 01379 0022 234 026 081 153 028 01416 0000000000  
0228 4728N 05235W 01784 0009 257 038 069 091 040 01806 0000000000  
0229 4725N 05233W 02026 0005 271 040 063 083 042 02044 0000000000  
0230 4722N 05232W 02278 5001 273 031 075 091 040 02292 0000000000  
0231 4718N 05230W 02536 5014 272 026 085 115 029 02536 0000000000  
0232 4715N 05229W 02780 5015 268 031 101 123 032 02778 0000000000  
0233 4712N 05228W 03057 5021 260 031 115 147 033 03050 0000000000  
0234 4709N 05225W 03367 5023 259 043 125 157 044 03358 0000000000  
0235 4705N 05223W 03619 5026 264 043 139 173 044 03607 0000000000  
0236 4702N 05222W 03889 5028 268 041 153 193 042 03876 0000000000

SXXX50 KNHC 060257

AF968 WX FASTEX05 HDOB 02 KNHC

0237 4659N 05220W 04149 5027 274 044 163 201 044 04134 0000000000  
0238 4655N 05219W 04432 5021 279 050 175 203 051 04412 0000000000  
0239 4652N 05217W 04786 5026 276 052 191 217 053 04760 0000000000  
0240 4648N 05215W 04988 5043 277 055 203 227 055 04947 0000000000  
0241 4645N 05214W 05214 5041 276 059 215 235 059 05174 0000000000  
0242 4641N 05212W 05435 5041 278 062 229 241 062 05395 0000000000  
0243 4638N 05210W 05662 5041 275 065 231 253 066 05622 0000000000  
0244 4634N 05208W 05836 5039 276 068 235 255 068 05799 0000000000  
0245 4631N 05207W 05995 5037 277 069 243 265 069 05960 0000000000  
0246 4627N 05205W 06145 5036 277 073 253 271 074 06112 0000000000  
0247 4623N 05203W 06318 5036 275 077 263 277 078 06286 0000000000  
0248 4620N 05201W 06457 5043 277 080 269 269 080 06429 0000000000  
0249 4616N 05159W 06562 5040 277 081 277 277 081 06538 0000000000  
0250 4612N 05158W 06682 5038 276 081 285 285 081 06658 0000000000  
0251 4608N 05156W 06787 5034 276 082 295 295 083 06768 0000000000  
0252 4605N 05154W 06876 5033 277 081 297 297 083 06859 0000000000  
0253 4601N 05152W 06976 5030 277 080 305 305 080 06962 0000000000  
0254 4558N 05150W 07093 5028 276 080 319 319 080 07081 0000000000  
0255 4553N 05149W 07183 5027 279 079 325 325 080 07172 0000000000  
0256 4550N 05147W 07253 5024 280 078 333 333 079 07245 0000000000

sonde number: 22588  
launch day, hour, min: 8 13 0  
launch lat, lng: 55 4.1N 49 52.0W  
launch stns: DABC/H

PTH data

| time | pr  | temp  | hum |
|------|-----|-------|-----|
| 0    | 485 | -43.8 | 19  |
| 10   | 490 | -41.3 | 12  |
| 20   | 496 | -42.4 | 13  |
| 30   | 499 | -42.4 | 13  |
| 40   | 504 | -42.3 | 14  |
| 50   | 508 | -42.1 | 14  |
| 60   | 512 | -41.7 | 14  |
| 70   | 515 | -41.5 | 14  |
| 80   | 520 | -41.3 | 15  |
| 90   | 524 | -41.1 | 16  |
| 100  | 530 | -40.5 | 18  |
| 110  | 533 | -40.1 | 20  |
| 120  | 537 | -39.9 | 19  |
| 130  | 541 | -39.4 | 21  |
| 140  | 545 | -38.9 | 22  |
| 150  | 550 | -38.6 | 23  |
| 160  | 555 | -38.1 | 24  |
| 170  | 558 | -37.8 | 26  |
| 180  | 563 | -37.4 | 28  |
| 190  | 567 | -37.4 | 29  |
| 200  | 571 | -37.4 | 31  |
| 210  | 574 | -37.0 | 33  |
| 220  | 579 | -36.6 | 34  |
| 230  | 584 | -36.2 | 35  |
| 240  | 588 | -35.9 | 36  |
| 250  | 591 | -35.8 | 38  |
| 260  | 595 | -35.6 | 40  |
| 270  | 599 | -35.5 | 43  |
| 280  | 604 | -35.1 | 46  |
| 290  | 607 | -35.0 | 49  |
| 300  | 611 | -34.8 | 54  |
| 310  | 616 | -34.6 | 58  |
| 320  | 620 | -34.3 | 62  |
| 330  | 624 | -34.2 | 69  |
| 340  | 628 | -33.9 | 73  |
| 350  | 632 | -33.5 | 75  |
| 360  | 637 | -33.3 | 76  |
| 370  | 641 | -33.0 | 77  |
| 380  | 645 | -32.7 | 78  |
| 390  | 650 | -32.8 | 77  |
| 400  | 653 | -32.7 | 76  |
| 410  | 659 | -32.8 | 76  |
| 420  | 662 | -32.7 | 75  |
| 430  | 667 | -32.5 | 75  |
| 440  | 672 | -32.4 | 75  |
| 450  | 676 | -32.4 | 74  |
| 460  | 681 | -32.3 | 75  |



1010 957 -15.7 98  
1020 961 -15.2 98  
1030 963 -15.0 98  
1040 966 -14.7 98  
1050 969 -14.6 98  
1060 972 -14.5 98  
1070 978 -14.3 98  
1080 982 -13.8 98  
1090 988 -13.3 98  
1100 992 -13.1 93  
1106 995 -12.7 95

wind data

| time | pr  | wd  | ws | qual |
|------|-----|-----|----|------|
| 0    | 485 | 231 | 58 | 9    |
| 110  | 533 | 224 | 49 | 9    |
| 140  | 545 | 221 | 46 | 9    |
| 170  | 558 | 219 | 44 | 9    |
| 200  | 571 | 218 | 44 | 9    |
| 230  | 584 | 219 | 41 | 9    |
| 260  | 595 | 220 | 40 | 9    |
| 290  | 607 | 221 | 40 | 9    |
| 320  | 620 | 224 | 40 | 9    |
| 350  | 632 | 227 | 38 | 9    |
| 380  | 645 | 229 | 36 | 9    |
| 410  | 659 | 231 | 34 | 9    |
| 440  | 672 | 233 | 32 | 9    |
| 470  | 685 | 231 | 31 | 9    |
| 500  | 699 | 229 | 30 | 9    |
| 530  | 714 | 227 | 29 | 9    |
| 560  | 729 | 224 | 27 | 9    |
| 590  | 744 | 223 | 25 | 9    |
| 620  | 759 | 225 | 24 | 9    |
| 650  | 774 | 224 | 23 | 9    |
| 680  | 789 | 225 | 23 | 9    |
| 710  | 801 | 224 | 23 | 9    |
| 740  | 815 | 224 | 23 | 9    |
| 770  | 833 | 227 | 24 | 9    |
| 800  | 852 | 229 | 25 | 9    |
| 830  | 868 | 235 | 26 | 9    |
| 860  | 880 | 240 | 26 | 9    |
| 890  | 895 | 243 | 26 | 9    |
| 920  | 909 | 245 | 25 | 9    |
| 950  | 925 | 243 | 27 | 9    |
| 980  | 942 | 237 | 31 | 9    |
| 1010 | 957 | 236 | 33 | 9    |

2 sec data

| time | pr  | temp  | hum |
|------|-----|-------|-----|
| 1078 | 982 | -13.8 | 98  |
| 1080 | 982 | -13.8 | 98  |
| 1082 | 984 | -13.6 | 98  |
| 1084 | 985 | -13.4 | 98  |
| 1086 | 986 | -13.3 | 98  |

1088 986 -13.4 98  
1090 988 -13.3 98  
1092 988 -13.3 98  
1094 989 -13.4 96  
1096 990 -13.3 94  
1098 990 -13.3 93  
1100 992 -13.1 93  
1102 992 -13.0 95  
1104 994 -12.7 96  
1106 995 -12.7 95  
1108 994 -12.1 95  
1110 994 -12.1 95  
1112 994 -12.1 95  
1114 994 -12.1 95  
1116 994 -12.1 95  
1118 994 -12.1 95  
1120 994 -12.1 89

mandatory heights

pr hgt  
485 5120  
500 4909  
700 2575  
850 1182  
925 557  
996 0

encoded message

UZNT13 KNHC 081547 COR  
AF968 LABSEA02 OB 18 COR KNHC  
XXAA 58135 99551 70499 18559 99996 12706 // // // // 00531 // // // //  
92557 17704 24028 85182 23504 23524 70575 32129 23029 50491 427//  
23055 88999 77999  
XXBB 5813/ 99551 70499 18559 00996 12706 11988 13303 22797 27906  
33779 28729 44689 32529 55645 32725 66588 35960 77537 39980 88485  
439//  
21212 00// // // // 11957 23533 22909 24525 33774 22523 44672 23532  
55571 22044 66485 23058

URNT10 KNHC 060307  
AF968 WX FASTEX05 OB 01 KNHC  
97779 03074 50451 51500 73200 28073 8487/ /5719

URNT10 KNHC 060333  
AF968 FASTEX05 OB 02 KNHC  
97779 03334 50432 50500 73300 28058 8389/ /5725

URNT10 KNHC 060405  
AF968 FASTEX05 OB 03 KNHC  
97779 04054 50426 49000 73300 27049 8392/ /5726

UZNT13 KNHC 060351  
AF968 FASTEX05 OB 04 KNHC  
XXAA 56034 99439 70508 15030 99031 002// //// 00241 011// ////  
92864 013// 22523 85536 033// 24028 70060 085// 24033 50562 207//  
26055 40723 321// 27564 88999 66391 28066 431//  
XXBB 5603/ 99439 70508 15030 00031 002// 11004 013// 22982 002//  
33807 047// 44776 043// 55651 115// 66630 119// 77620 105// 88565  
163// 99537 169// 11417 307// 22391 32958  
21212 00// //// 11960 22021 22752 25535 33700 24033 44684 22038  
55588 28560 66461 24553 77446 25053 88391 28066

UZNT13 KNHC 060418  
AF968 FASTEX05 OB 05 KNHC  
XXAA 0604/ 99420 70500 15020 99033 01226 //// 00261 00243 92884  
01959 85556 01760 70091 06161 50566 207// 40728 311// 88999 77999  
XXBB 0604/ 99420 70500 15020 00033 01226 11919 02160 22826 01561  
33702 06161 44638 09958 55616 11304 66561 14124 77470 243// 88392  
31962

URNT10 KNHC 060432  
AF968 FASTEX05 OB 06 KNHC  
97779 04324 50439 46800 73500 28061 8492/ /5720

URNT10 KNHC 060502  
AF968 FASTEX05 OB 07 KNHC  
97779 05024 50454 44200 73400 29088 8490/ /5712

UZNT13 KNHC 060453  
AF968 FASTEX05 OB 08 KNHC  
XXAA 56044 99435 70476 14937 99031 01636 //// 00245 00919 ////  
92864 02761 24516 85533 04760 25521 70055 08761 27034 50561 21903  
32564 40723 31760 27558 88999 77999  
XXBB 5604/ 99435 70476 14937 00031 01636 11975 02907 22968 01559  
33961 01561 44864 04960 55793 04360 66709 07961 77663 11958 88641  
12358 99604 13503 11561 14136 22522 19500 33501 22100 44490 20933  
55454 24929 66391 32962  
21212 00// //// 11968 22014 22890 26517 33831 25023 44758 25034  
55686 27534 66506 28564 77391 27558

UZNT13 KNHC 060511  
AF968 FASTEX05 OB 09 KNHC  
XXAA 56054 99448 70454 14945 99031 01650 //// 00245 00737 ////

|     |         |         |        |       |       |       |      |
|-----|---------|---------|--------|-------|-------|-------|------|
| HCL | 1 LT    | 2 LG    | 3 HSS  | 4 TA  | 5 TD  | 6 WD  | 7 WS |
| 0%  | 28 7.6N | 89 8.5W | 7489.8 | -21.6 | -32.0 | 252.8 | 36.1 |

| VALUE | DEF. VAL | DEF. BASE | OPR LOCK | VALUE | DEF. VAL | DEF. BASE | OPR LOCK |
|-------|----------|-----------|----------|-------|----------|-----------|----------|
| LT    | 28       | 7.6N      |          | GS    |          | 248.9     |          |
| LG    | 89       | 8.5W      |          | TAS   |          | 246.5     |          |
| RA    |          | 7527.4    |          | THD   |          | 162.1     |          |
| PA    |          | 7199.1    |          | TRK   |          | 154.9     |          |
| FLP   |          | 399.2     |          | DTA   |          | 343.0     |          |
| GA    |          | 7504.1    |          | CC    |          | -172.0    |          |
| DV    |          | 305.0     |          | DPR   |          | 48.3      |          |
| HSS   |          | 7489.8    |          | PBT   |          | 6.0       |          |
| SLP   |          | 1012.9    |          | PSS   |          | 57.1      |          |
| TA    |          | -21.6     |          | SS    |          | -1.2      |          |
| TD    |          | -32.0     |          | ATK   |          | 5.1       |          |
| WD    |          | 252.8     |          | TT    |          | -13.5     |          |
| WS    |          | 36.1      |          | VE    |          | 105.5     |          |
| MXW   |          | 35.8      |          | VN    |          | -225.4    |          |
|       |          |           |          | HC1   |          | 0         |          |
|       |          |           |          | HC2   |          | 0         |          |

|        |
|--------|
| DATA   |
| STATUS |

Command:

AF967 WX

97/03/12  
0:49:05

|     |          |          |        |       |       |       |      |
|-----|----------|----------|--------|-------|-------|-------|------|
| HCL | 1 LT     | 2 LG     | 3 HSS  | 4 TA  | 5 TD  | 6 WD  | 7 WS |
| 0%  | 27 46.9N | 88 58.3W | 7494.7 | -22.0 | -25.5 | 254.6 | 36.6 |

| VALUE | DEF. VAL | DEF. BASE | OPR LOCK | VALUE | DEF. VAL | DEF. BASE | OPR LOCK |
|-------|----------|-----------|----------|-------|----------|-----------|----------|
| LT    | 27       | 46.9N     |          | GS    |          | 255.1     |          |
| LG    | 88       | 58.3W     |          | TAS   |          | 252.7     |          |
| RA    |          | 7543.8    |          | THD   |          | 164.4     |          |
| PA    |          | 7210.1    |          | TRK   |          | 156.8     |          |
| FLP   |          | 398.6     |          | DTA   |          | 345.0     |          |
| GA    |          | 7520.3    |          | CC    |          | -172.0    |          |
| DV    |          | 310.3     |          | DPR   |          | 50.8      |          |
| HSS   |          | 7494.7    |          | PBT   |          | 3.1       |          |
| SLP   |          | 1014.3    |          | PSS   |          | 59.3      |          |
| TA    |          | -22.0     |          | SS    |          | -0.6      |          |
| TD    |          | -25.5     |          | ATK   |          | 5.0       |          |
| WD    |          | 254.6     |          | TT    |          | -13.5     |          |
| WS    |          | 36.6      |          | VE    |          | 100.5     |          |
| MXW   |          | 36.8      |          | VN    |          | -234.4    |          |
|       |          |           |          | HC1   |          | 0         |          |
|       |          |           |          | HC2   |          | 0         |          |

|        |
|--------|
| DATA   |
| STATUS |

Command:

AF967 WX

97/03/12  
0:32:15

# Future

## ■ WC-130J expected in 1998

- more capability
- ceiling near 200mb
- increase in data types/frequency
- New doppler radar should allow vertical wind profile generation/archive while in flight
- new sensors to remotely sense sfc wind dir/spd