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Abstract

Here, we provide a historical overview of the simulation of tropical cyclones in climate models, from the first attempts in the 1970s to the current state-of-the-art models. We discuss the status of tropical cyclone simulation across multiple time scales, from intra-seasonal, seasonal, and decadal, to climate change. One of the limitations on the simulation of tropical cyclones in climate models has been, and continues to be, balancing the high resolution necessary to accurately simulate tropical cyclones themselves with the need to run simulations for many years and using many ensemble members. Several approaches to inferring tropical cyclone activity indirectly, rather than relying on the models own under-resolved tropical cyclones, are reviewed, including the use of tropical cyclone genesis indices based on the large-scale environment and downscaling methods such as the use of regional climate models and statistical-dynamical techniques. We also provide an update on the status of climate change projections from the current class of models, where it is feasible to directly track the model's tropical cyclones. While there has been great progress in the capability of climate models to simulate tropical This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the Version of Record. Please cite this article as doi: 10.1002/WCC.373

cyclones and provide useful forecasts and projections across multiple time scales, there remains much work to be done. We list some of the sources of uncertainty and model sensitivity, describe where improvements are necessary, and provide a few suggestions for promising research directions.

Introduction

Hurricanes and typhoons (or more generally, tropical cyclones) are one of the most destructive natural phenomena on Earth and are responsible for great social and economic losses. Because of the potentially devastating impacts of a tropical cyclone landfall, the question of how these storms will be affected by climate change is of vital importance. One of the challenges in studying the effect of climate change on tropical cyclones is that the models typically used in climate change simulations have low horizontal resolution, so that it is computationally feasible to perform long simulations with multiple ensemble members and various future scenarios. However, in order to accurately simulate tropical cyclones, it is necessary to run models at much higher resolutions. This conflict has made the study of tropical cyclones in climate models a difficult task, and one that has received considerable attention over the last several decades.

Since the 1970s, it has been known that even low-resolution climate models are able to produce vortices with characteristics similar to those of tropical cyclones^{1,2}. The first studies of the relationship between climate change and tropical cyclones^{3,4,5} used these low-resolution climate models, in spite of the weak intensity of the modeled storms and model biases. In recent years, the exponential improvement in computational capacity has enabled various modeling groups to employ high-resolution climate models, which has led to a dramatic improvement in our ability to simulate tropical cyclones, both in the short term (intra-seasonal variability), medium term (dynamical seasonal forecasting) and long term (climate change projections).

In this review, we will give a historical perspective on the simulation of tropical cyclones in climate models; Section I describes the first studies in the 1970s and 1980s while Section II discusses progress made in the 1990s. Approaches to inferring tropical cyclone activity in climate models by analyzing the large-scale environmental conditions are reviewed in Section III. In Section IV, we describe methods of downscaling tropical cyclone activity from climate models. In Section V, we discuss the advent of tropical cyclone dynamical seasonal forecasting. We next describe the simulation of tropical cyclones in the current state-of-the-art global climate models, including the simulation of intra-seasonal and decadal time scales (Section VI) as well as climate change (Section VII). Finally, we provide an overview of model sensitivities and limitations in Section VIII before suggesting possible ways of improving the simulation of tropical cyclones in climate models and directions for future research in the Conclusion.

I. First studies of tropical cyclones in climate models

The study of the tropical cyclones (TCs) in climate models dates back to Manabe et al.¹, who analyzed the characteristics of the tropical circulation in a global atmospheric circulation model. They noted the occurrence of cyclonic vortices in regions in which tropical cyclones form in observations (Figure 1). The vortices were accompanied by low surface pressure values, heavy precipitation, and strong convergence of air near the surface and divergence in the upper troposphere. The movement of these vortices was also compatible with observed tropical cyclones (Figure 1). Although the structure of the vortices was somewhat similar to observed storms, it was clear that the model was unable to sufficiently resolve the vortices, and therefore, could not intensify the storms to tropical storm strength. Nevertheless, the processes occurring in the vortices, such as the development of a warm core with a deepening of the surface pressure and latent and sensible heat fluxes from the ocean surface to the atmosphere in the region of the storm, had strong similarities to what was known about tropical cyclones at that time.

A pioneering study on TCs in global models was that of Bengtsson et al.², who analyzed hurricane-type vortices in a 1 year simulation of the European Centre for Medium-Range Weather Forecasts (ECMWF) operational global model with a horizontal resolution of 1.875°. The spatial and temporal pattern of occurrence of these vortices was similar to that of observed tropical cyclones; in particular, there was a clear maximum in tropical cyclone activity over the western North Pacific. Other characteristics, such as lifetime and structure, also fundamentally agreed with the characteristics of observed storms. Bengtsson et al.² also discussed the sensitivity of the vortices to the model environment; in particular, sea surface temperature (SST). They found that formation occurred only for SSTs above 28-29°C and under conditions of large-scale divergence in the upper troposphere; these conditions are broadly consistent with those favorable for tropical cyclone formation in nature, although TC formation is observed at lower values of SST (~ 26°C).

In the mid to late 1980s, significant progress was achieved in the forecasting of individual tropical cyclones^{6,7,8}, which led to a better understanding of the model characteristics that are necessary for simulating tropical cyclones. Krishnamurti et al.⁹ showed that the formation and motion of storms is improved when the model has a high horizontal resolution, adequate resolution of surface layer fluxes, and includes parameterizations of boundary layer, cumulus convection, and radiative processes. Many global models already included these parameterizations and processes, leading to improved simulations of TC climatology in global models. Krishnamurti and Oosterhof¹⁰ showed that, with the use of a high-resolution model and a sophisticated data assimilation scheme, it was possible to obtain a good forecast of a typhoon lifetime, from tropical depression stage to landfall. This was a very significant result at the time, which greatly influenced future work on tropical cyclones in global models.

II. Progress in the 1990s

The idea of using global climate models to examine the influence of climate change on tropical cyclones was first proposed by Broccoli and Manabe³. In this study, control simulations of the current climate in the GFDL (Geophysical Fluid Dynamics Laboratory) climate model were compared with those in which the CO_2 concentration was doubled. Tropical cyclone-like vortices were identified in both cases, using two different model horizontal resolutions, as well as different cloud treatments (prescribed cloudiness or allowing for cloud feedbacks). With prescribed cloudiness, CO_2 doubling led to a large increase in the number of storm days, while there was a small reduction in the number of storm days in simulations that allowed for cloud feedbacks. Though the results of this study were inconclusive, it launched a new way of studying the influence of climate change on tropical cyclones that is still used today.

The modulation of tropical cyclone activity by the El Niño-Southern Oscillation (ENSO) phenomenon was the next topic explored using global climate models in the early 1990s. While the relationship between TCs and ENSO has been well known in observations since the pioneering papers of Neville Nicholls, for the Australian region^{11,12,13}, and William Gray¹⁴, for the North Atlantic. Wu and Lau¹⁵ were the first to explore whether global climate models were able to reproduce the changes in TC frequency in different basins due to ENSO. They forced the GFDL climate model with observed monthly varying SSTs for 15 years and analyzed how the TC frequency changed in El Niño and La Niña events. Most importantly, they were able to use the differences in the model environmental fields between the cold and warm phases of ENSO to determine how the modulation of TC activity in the different basins occurred. The modulation of tropical cyclone activity by large-scale climatic variability remains an area of active research and has led to the development of dynamical tropical cyclone seasonal forecasts, which will be discussed in more detail below.

As computing capability increased in the 1990s and it became possible to run global climate models at higher resolutions for many years, there was an increase in the number of studies analyzing the characteristics of TCs in different global climate models. Broccoli and Manabe¹⁶ were able to produce a moderately successful simulation of a TC climatological occurrence distribution. Bengtsson et al.¹⁷ considered the simulation of TCs in two versions of the same model with different horizontal resolutions (T42 or ~ 300km and T106 or ~ 150km), forced with climatological monthly SSTs. They showed that there are considerable variations in TC frequency from year to year, even without interannual variations in the SSTs. Furthermore, they showed that the intensity and structure of the storms was resolution dependent, with low-resolution simulations producing weaker and larger storms with a less realistic vertical and horizontal structure (Figure 2).

Using a model with a lower resolution (T42 or ~ 300km), Tsutsui and Kasahara¹⁸ analyzed the differences in TC frequency in the NCAR CCM2 (National Center for Atmospheric Research Community Climate Model 2) model forced with climatological SSTs or with interannually varying SSTs. They found that the simulation forced with climatological SSTs produced a significantly larger annual frequency of tropical cyclone-like vortices. Despite this bias in the average frequency, in regions such as the Atlantic where interannual variability in observed TC frequency is large, the model forced with observed, interannually varying SSTs was able to reproduce these fluctuations. This was another important step towards the development of dynamical seasonal TC forecasts.

The potential for using climate models for dynamical TC seasonal forecasts was further explored by Vitart et al.¹⁹, who, using a 9-member ensemble, performed a 10-year integration of a climate model forced with observed SSTs. The ensemble members differed only in the initial conditions of the atmosphere. They showed that the predictability of the frequency of TCs in this model was particularly strong in the western and eastern North Pacific, as well as in the North Atlantic. In these regions, the simulation skill was exceptionally high, particularly in years of strong ENSO signals. A follow-up study²⁰ showed that predictability also exists for TC intensity and location of formation, in addition to TC frequency. That modeling study also showed that the remote impact of other regions, communicated by the large-scale circulation, was important for the TC-ENSO modulation. This is similar to what occurs in observations. This result was particularly encouraging, as in general there is more confidence in the large-scale environmental conditions generated by global climate models than in the storms themselves.

As confidence in the ability of climate models to simulate tropical cyclones increased in the 1990s, two papers analyzed the impact of climate change on the simulated TC activity. Haarsma et al.⁴ used an atmospheric model coupled to a mixed layer ocean in two scenarios: a control case and a simulation in which the CO_2 concentration was doubled. In the doubled CO_2 scenario, the number of tropical disturbances increased by 50% compared with the control (Figure 3). Furthermore, there was a relative increase in the number of intense storms, whose intensity increased ~ 20% (Figure 3). A different approach was used by Bengtsson et al.⁵, who forced a high-resolution atmospheric model with fixed SST. For the doubled CO_2 scenario, anomalies were added to the monthly SST climatology, which were generated by a low-resolution coupled atmosphere-ocean model. In that case, the frequency of TCs was reduced in the doubled CO_2 scenario, especially in the southern hemisphere, while the intensity of the most intense storms increased. The increase in intensity and in the frequency of intense storms obtained in both papers agrees with the theoretical estimates by Emanuel^{21,22}. A decrease in overall TC frequency and a higher occurrence of intense storms remain robust responses to increased CO_2 in the current state-of-the art models²³.

III. Large-scale environment and tropical cyclones

Although the tropical cyclone-like vortices detected and tracked in low-resolution climate models have many characteristics similar to observed tropical cyclones, until recently most models had large biases in reproducing the observed TC frequency, intensity, and geographical distribution (see e.g. Vitart et al.¹⁹

and Camargo et al.²⁴). Therefore, many authors have used an alternative method of studying TC activity in climate models – instead of studying the model disturbances per se, they focused on large-scale environment conditions that are associated with TC activity. One common way to analyze the environmental conditions associated with TC frequency in models is to use genesis potential indices. An example of the correspondence between one particular genesis index and observed TC genesis points is shown in Figure 4.

Gray²⁵ developed the first genesis index as a function of severalenvironmental parameters: low-level (950hPa) vorticity, vertical wind shear (between 950hPa and 200hPa), the Coriolis parameter, ocean thermal energy (the temperature excess above 26C integrated from the ocean surface down to the 60m depth), moist static stability (the vertical gradient of the equivalent potential temperature between the surface and 500hPa), and average relative humidity (between 500 and 700hPa). However, his index is not appropriate to explore TC activity in climate change scenarios, as it uses a fixed SST threshold. After the introduction of this first genesis index, many others have appeared in the literature which use different environmental variables or different functional dependences of the indices on the environmental variables. One of the most commonly used genesis indices is the Emanuel and Nolan genesis potential index (GPI)²⁶, in which the potential intensity^{28,29} replaces SST. The definition of Emanuel and Nolan's GPI is:

$$GPI = \left| 10^{5} \eta \right|^{3/2} \left(\frac{H}{50} \right)^{3} \left(\frac{V_{pot}}{70} \right)^{3} (1 + 0.1 V_{shear})^{-2},$$

where η is the absolute vorticity at 850hPa, H is the relative humidity at 600hPa, V_{pot} is the potential intensity, and V_{shear} is the magnitude of the vertical wind shear between 850hPa and 200hPa. Many other genesis indices have been developed since, e.g., Emanuel³⁰, Tippett et al.³¹, and Bruyère et al³². A recent comparison of the performance of genesis indices in the present climate is given in Menkes et al.³³ As examples of the different functional forms used in genesis indices, we show here Emanuel's new genesis index³⁰ :

$$GPI^* = |\eta|^3 \chi^{-4/3} \max\left((V_{pot} - 35), 0 \right)^2 (25 + V_{shear})^{-4}$$

where χ is a non-dimensional parameter that is a measure of the moist entropy deficit of the middle troposphere; and Tippett et al.'s³¹ index:

$$TCGI = exp(b + b_{\eta} \min([\eta] \times 10^5, 3.7) + b_H H + b_{PI} V_{pot} + b_V V_{shear}),$$

where the parameters *b* are the coefficients of the Poisson regression between the climatological number of genesis events and the large-scale environmental variables.

The first application of genesis indices to climate models was done by Ryan et al.³⁴, who used Gray's genesis index in the present climate and in a doubled CO_2 simulation. In the doubled CO_2 scenario, a significant increase in the region of tropical cyclogenesis was obtained, due to the linear relationship of the index with SST. Gray's genesis index was then applied to the same model³⁵ to examine the differences of the environment during warm and cold ENSO years.

However, as shown in Camargo et al.,³⁶ in most models and basins, there is only a weak relationship between the frequency of model TCs and the mean values of the genesis index in each basin. Furthermore, there is a stronger relationship between the model genesis index and the observed TC variability than with the model TC variability, since the models have better skill at simulating the environmental fields than simulating the TCs themselves. McDonald et al.³⁷, Camargo et al.³⁶ and Walsh et al.³⁸ indicated that as model horizontal resolution increases, there is more consistency between genesis indices and the mean model TC frequency. The large variations in model TC climatology that exist between models suggest that the model TC occurrence is more strongly controlled by the dynamics of the model storms than by the model environment for genesis. This is still the case in the current class of models, where there is a wide range of mean global TC frequency among the models despite the fact that the values and spatial distribution of the Emanuel and Nolan genesis index are very similar³⁹. Some authors^{32,40} argue that to obtain a good relationship between regional TC activity and a regional genesis index it is necessary to develop regional genesis indices, or incorporate higher frequency variability instead of using global indices based on monthly climatology. While genesis can be initiated by different convective disturbance types, (such as equatorial waves, easterly waves, and monsoon lows), and these convective disturbances are basin dependent, the relationship between TC genesis and the large-scale environment does not necessarily have such a regional dependency. Therefore, as this is the relationship that genesis indices attempt to replicate, a globally derived genesis index seems to be the optimal choice.

Genesis indices continue to be widely applied to study the effect of climate change on TC activity^{37,39,41,42}. However, while high-resolution climate models robustly project a global decrease in TC activity under warmer conditions, most genesis indices project an increase in TC frequency. The projected decrease in TC frequency has been associated with an increase in the saturation deficit of the free troposphere with warming. Therefore, genesis indices that include the saturation deficit (such as Emanuel's new GPI³⁰) should be able to reproduce this frequency reduction. However, as shown by Emanuel⁴⁴, even this new GPI projects an increase in TC frequency in a warming climate. An argument could be made that the genesis indices, which are based on the models large-scale environment, are

correct. However, the projection of a global decrease in TC frequency is so robust among models that there would need to be a fundamental problem with climate models for that to be the case. Furthermore, there is no guarantee that the specific combination of environmental factors in a genesis index derived for the current climate is valid for other climates. Recently, Camargo et al.⁴⁵ showed that only genesis indices that used a specific combination of environmental parameters (in particular, saturation deficit and potential intensity), are able to project the decrease in global TC frequency in a warmer climate, at least in the case of one global climate model. This hypothesis still needs to be tested in a wider range of models.

Another application of genesis indices is to analyze the level of TC activity in different past climates. While paleotempestology is a growing field, it is still in its infancy and the amount of data available to reconstruct storm activity in past climates is quite restricted^{46,47}. Paleoclimatology studies usually involve very long simulations, therefore computational constraints lead to use of low-resolution models. Genesis indices can then be used to compare the environmental conditions for TC formation in past (e.g. Last Glacial Maximum) and present climates and obtain estimates of the level of TC activity. This approach was used by Korty et al. for the Last Glacial Maximum⁴⁸ and the mid-Holocene⁴⁹, with the caveat that genesis indices obtained for the current climate don't necessarily work for past climates. Therefore, Korty et al. also examined the changes in the individual environmental factors that compose the genesis index.

One of the ways that the environment influences tropical cyclone genesis and intensity is through ventilation, the import of cool and dry air into the core of tropical cyclones by vertical wind shear, which is quantified by the new ventilation index of Tang and Emanuel^{50,51}. This ventilation index is a combination of environmental vertical shear, entropy deficit, and potential intensity, and has many applications, from real-time forecasting to climate change projections. The ventilation index can be used as a tool to examine changes in the environmental conditions due to climate change, which are relevant to TC activity in global climate models, as was done by Tang and Camargo⁵². They showed that in the CMIP5 (Coupled Model Intercomparison Project Phase 5) dataset, there is a general tendency for an increase in the seasonal ventilation index in the majority of the tropical cyclone basins. All models project an increase in the midlevel entropy deficit in the tropics, which is compensated by an increase in the potential intensity and a decrease in vertical wind shear in most basins.

As mentioned earlier, potential intensity is an important parameter in several genesis indices. The potential intensity is the theoretical maximum intensity that a TC can reach under specific environmental conditions²². The potential intensity also is closely related to the observed TC intensity^{53,54}. Recently, it has been used as a proxy to examine potential changes in TC intensity under climate change conditions in climate models^{55,39}. Vecchi and Soden⁵⁵ showed that long-term changes in the potential intensity are closely related to the regional structure of SST warming in future projections,

in which regions that warm more than the tropical average are characterized by an increase in potential intensity. Therefore, although overall there is a tendency for an increase of potential intensity in the tropics, there are some regions in which the potential intensity could decrease. The pattern of potential intensity change projected by the CMIP5 multi-model mean³⁹ is extremely similar to that of the Vecchi and Soden analysis, which was obtained with CMIP3 (Coupled Model Intercomparison Project Phase 3). The potential intensity has also been used to separate the role of natural and anthropogenic environmental changes on North Atlantic TC intensity^{56,57}; the late 20th Century potential intensity trend in the North Atlantic was found to be dominated by internal variability and it isonly at the end of the 21st century that an anthropogenic potential intensity trend is expected to emerge. More recently, Polvani et al. used potential intensity to examine the potential impact of ozone on TC intensity⁵⁸ and found that the ozone depletion in recent decades has not been a major player in determining the TC intensity trends, and neither will ozone recovery in the first half of the 21st century.

IV. Regional climate models, downscaling and TCs

Given the high computational costs required to run long, high-resolution climate model simulations that can simulate tropical cyclones with hurricane-strength intensity, various alternative strategies have been used. One strategy is to select storms from long climate model simulations and redo the simulation of those storms using higher resolution, operational hurricane forecast models in order to achieve more realistic intensities^{59,60}. Another possibility is to perform simulations of individual idealized TCs in a simplified large-scale environment^{60,61,62}. These types of simulations were particularly successful in simulating the increase in TC intensity under global warming conditions and also other changes such as increased TC precipitation rates with climate warming⁶³. The advantage of this method is that these idealized simulations are usually cheap to run, which make it possible to test the sensitivity of the results to various model choices, including environmental conditions of the forcing climate model, model parameterizations, and the use of fixed SST or a coupled ocean model⁶⁴.

A powerful approach that has been used by various modeling groups is the use of regional climate models, forced at their boundaries by environmental conditions from global models. It is well known that the domain choice has an impact on the climate characteristics of regional climate models⁶⁵. This is also the case for simulation of TCs in regional models, as many TCs are advected through the model boundaries. Both grid point and spectral regional climate models^{66,67} have this sensitivity to the boundary condition. Caron and Jones⁶⁸ showed that in the case of the Atlantic, it is crucial that the regional climate model domain include not only the tropical Atlantic, but a large part of northern Africa as well, due to the presence of African easterly waves and their relationship with Atlantic hurricane genesis. The use of regional climate models for TC dynamical seasonal forecasts has been tested and there are indications this type of downscaling can improve the forecast skill of global models in predicting TC landfall in East Asia⁶⁹, for example.

Regional climate models have been very successful in reproducing the hurricane activity in the North Atlantic in the late 20th century⁷⁰. In recent years, regional climate models were used to analyze the changes in TC frequency and intensity in the North Atlantic and western North Pacific under 21st century warming conditions^{71,72,73,74}. Figure 5 shows an example for the North Atlantic, where there was strong agreement between seasonal TC frequency in observations and in the model ensemble, although the intensity distribution lacked the strongest storms. Since even a regional climate model with 18 km resolution is unable to simulate TCs with intensities of Category 4 and 5 on the Saffir-Simpson Hurricane Wind Scale, Bender et al.⁵⁹ performed a second level of downscaling and used the operational GFDL hurricane forecast model to the simulate storms from the regional model. With this method, a realistic distribution of the most intense storms for the current climate was obtained. They found that, by the end of the 21st century, the frequency of Category 4 and 5 storms in the Atlantic is expected to double (Figure 6). Recently, Knutson et al.⁷⁵ extended this approach to the globe; first, a GFDL high-resolution atmospheric model is used to generate TCs globally, then, each of the individual TCs is re-simulated with the GFDL hurricane coupled model. The results are in agreement with previous studies, with reduced frequency and increased intensity of TCs in the late 21st century.

Done et al.⁷⁶ analyzed the sensitivity of simulated tropical cyclones to regional model resolution and noted that although higher resolution is required to resolve the small-scale structural characteristics of the TCs, this level of detail may not be necessary for climate predictions and therefore may be not the optimal use of resources. Furthermore, regional climate simulations can be severely affected by biases in the driving global climate model, even when large domains are employed, so it is important to correct for these biases.

An alternative method for downscaling TC activity from climate models was developed by Emanuel^{77,78}. This technique involves generating a very large number of synthetic storm tracks with realistic intensity based on model or reanalysis environmental fields and can be a very powerful tool for analyzing TC landfall risk in regions with few landfalling storms in the historical record⁷⁹. The first step of this technique is to seed storms by a random draw from the space-time probability density function of genesis location obtained from observations. The survival of the seeds depends on the large-scale environmental fields from present and future climates to generate the synthetic TC tracks. Interestingly, different projections for the global TC frequency at the end of the 21st century were obtained when downscaling the CMIP3⁷⁹ and CMIP5⁸⁰ models. When applied to the CMIP3 models, Emanuel's technique projected a decrease in global TC frequency⁸¹ (in agreement with most modeling studies), but when applied to the CMIP5 models, Emanuel's technique projected an increase in global TC frequency⁸². The reason for this discrepancy is not clear, as the large-scale fields that are relevant for TC

formation and intensification are quite similar between the CMIP3 and CMPI5 models, as was shown by Camargo³⁹.

V. Tropical cyclone dynamical seasonal forecasts

The development of dynamical seasonal forecasts of tropical cyclones played an important role in the improvement of the simulation of TCs in climate models. Recent reviews of TC seasonal forecasts (statistical and dynamical) appeared in Vitart⁸³ and Camargo et al.^{84,85}; here we only discuss dynamical TC forecasts. As mentioned above, Vitart et al.^{19,20} first showed that the GFDL global climate model was able to reproduce many characteristics of the TC response to ENSO events. A few years later, Vitart and Stockdale⁸⁶ developed the first dynamical seasonal forecast of tropical cyclones using ECMWF's coupled atmosphere-ocean model system. Even though the predicted mean frequency of storms in the individual basins was significantly lower than observed, the model produced realistic forecasts of interannual variability of tropical cyclone frequency over the North Atlantic and western North Pacific. They showed that model skill likely results from its ability to predict SSTs; in particular, the occurrence and development of ENSO events and their modulation of TC activity through teleconnections.

The ability of low-resolution models to simulate the modulation of TC activity by ENSO was further explored in a multi-model analysis of models forced with prescribed observed SSTs⁸⁷. Despite the low-resolution and model biases, these climate models were shown to have significant skill in predicting some properties of TC activity on interannual time scales. This led to the development of the IRI (International Research Institute for Climate and Society) TC seasonal forecasts, which use a 2 –tiered system. First, the SSTs are predicted using various types of dynamic and statistical models, then the atmospheric models are forced with these SSTs and the TCs are tracked in the atmosphere model output. This is in contrast to the ECMWF system, in which a coupled atmospheric-ocean model was utilized. The skill of the IRI seasonal prediction system was shown to be competitive with, and in some circumstances superior to, statistical models⁸⁸.

The success of these early dynamical TC seasonal forecasts led to the development of similar forecasts by various modeling groups^{89,90,91,92}, including a multi-model forecast system^{93,94}, and hybrid statistical-dynamical systems^{95,96}. An example of a dynamical seasonal forecast of Atlantic TCs, using a multi-model ensemble, is shown in Figure 7. Most importantly, modeling groups across the globe have started to analyze the TC activity in their climate models routinely, which could potentially lead to significant improvement in the representation of TCs in climate models.

Currently, various modeling groups issue dynamical TC seasonal forecasts in various basins with significant skill. The skill can be particularly high in the Atlantic basin, due the strong modulation of TC activity in that basin by ENSO. The current challenge is to develop skillful forecasts from climate models

for quantities more relevant to the society than basin-wide frequency or accumulated cyclone energy (ACE, sum of the square of the maximum wind speed of the storms in a basin at all times for which the speed is at least 35kt). For instance, skillful forecasts of the probability of occurrence of landfalling storms for specific coastal regions would be a huge improvement from basin-wide quantities. In coupled models, the simulation of the TC-ENSO relationship can be more challenging, as the model can have biases in the representation of the ENSO itself, as well as biases in the environmental response to ENSO⁹⁷. Recent work using a coupled ocean-atmospheric model with flux adjustment to correct systematic ocean biases has produced skillful forecasts of seasonal TC activity on spatial scales finer than basin-wide months in advance of the TC season⁹⁸. This is a significant progress towards more societally relevant TC seasonal forecasts.

VI. Current state-of-the-art global climate models: from intra-seasonal to decadal time-scales

In the last few years, there has been a significant increase in the number of modeling groups investing resources in studying TC activity in global climate models. Currently, most modeling groups perform analyses of TC activity as a routine part of their model diagnostics. Although most of the resources have been concentrated on long time scales, in particular, on the question on how climate change will affect TC activity, many groups have been exploring their model skill on other time-scales, from intra-seasonal to decadal. We have already discussed (in Section V) the current ability of climate models to simulate TC activity on seasonal time-scales. In this section, we focus on the status of TC prediction on intra-seasonal and decadal time-scales.

The Madden-Julian Oscillation (MJO, Madden and Julian^{99,100,101}) is the main source of predictability in the tropics on intra-seasonal time-scales. The MJO modulates TC activity globally^{102,103,104}; when the MJO is in the enhanced convective or active phase in a certain region, there is a tendency for a higher TC formation in that region. As the MJO propagates eastward, the preferred region for TC genesis also shifts eastward. The modulation of TCs by the MJO in various regions is well established in the literature^{105,106,107,108,109}. TC frequency is not the only property affected by the MJO; others, such as the rate of occurrence of a specific track type^{110,111,112}, the percentage of storms which reach major hurricane intensity¹¹³, and even the response of TCs to ENSO¹¹⁴ are as well.

Until recently, the representation of the MJO in climate models was quite poor¹¹⁵. The quality of the MJO simulation in climate models can be improved by modifying the cumulus parameterization used in the models. However, these modifications usually create other biases in the climate models, and therefore are not routinely implemented¹¹⁶. Because of the difficulty in simulating the MJO, the simulation of the modulation of TCs by the MJO has been quite challenging. It is only recently that a few modeling groups have been able to successfully simulate the TC-MJO relationship. The first such simulation was performed with the Japanese high-resolution cloud-resolving model NICAM

(Nonhydrostatic ICosahedral Atmospheric Model), which simulated one MJO event and its link to TC genesis in the western North Pacific¹¹⁷. The ECMWF modeling system uses a seamless prediction approach, in which the same model is used for prediction time scales ranging from synoptic to decadal.¹¹⁸ This system has been shown to have skill in simulating the evolution of the MJO and teleconnections of the MJO that are consistent with observations^{119,120}. The ECMWF system simulates the modulation of TC activity by the MJO guite well, even in the northern hemisphere TC season, when the MJO signal is weaker¹²¹ (Figure 8). The performance of the ECMWF system in real time for the for the 2008 and 2009 seasons showed that the ECMWF ensemble provided good guidance on TC formation and tracks on time scales of 10-30 days^{122,123}. The ECMWF ensemble was also able to predict the formation of nearly all the TCs in the 2009 and 2010 seasons, missing only a small number of storms (which were generally short lived and weak¹²⁴). The performance of the model over the Atlantic has a somewhat lower skill, though,¹²⁵ and an analysis of the model predictability showed that the model skill is sensitive to the phase and intensity of the MJO and the time of the model initialization¹²⁶. A comparison of the performance of the ECMWF forecast system with a statistical model¹²⁷ for weekly TC activity in the southern hemisphere showed that the dynamical model had a better performance than the statistical model in the first two weeks of the forecast¹²⁸.

More recently, other modeling groups have been making progress in simulating the MJO modulation of TC activity. It has been shown that the GFDL HiRAM (High Resolution Atmospheric Model) global climate model is able to reproduce the MJO-TC relationship in the eastern North Pacific¹²⁹. Furthermore, the HiRAM model forecasts on intra-seasonal times-scales for the North Atlantic are showing promising results^{130,131}. A comparison of two very high-resolution global climate models for a period of eight boreal summers showed how sensitive TC genesis is to the characteristics of the MJO (formation, propagation, and strength), and that the TC-MJO modulation is highly model dependent¹³², as was also seen in simulations of the MJO and TC genesis during the DYNAMO field program in the North Indian Ocean¹³³. In the case of the GEOS-5 (Goddard Earth Observing System Model, version 5) model, even though the model MJO is faster and weaker than the observed MJO, the model is still able to reproduce the observed MJO-TC modulation¹³⁴. Intra-seasonal forecasts of TC activity are a very promising area for future work, in particular, the seamless prediction approach, in which the same models are used for both weather and climate prediction.

Decadal prediction focuses on predictions over the 10-30 year timeframe, and is a bridge between seasonal predictions and climate-change projections. In the last few years, a significant effort has been made by the climate community in this area^{135,136,137}. While skillful seasonal predictions depend on an accurate specification on the current state of the climate, initial conditions do not influence climate change projections. On decadal time scales, however, the climate is strongly influenced by both natural variability and external forcing, so both accurate initial conditions as well as anthropogenic greenhouse gas aerosol forcing¹³⁸ are necessary. Early work on hindcast experiments using initialized coupled

models showed promise in decadal predictions^{139,140}, which has been confirmed in more recent studies^{141,142}.

The decadal variability of TC activity has been discussed in various basins, in particular the North Atlantic¹⁴³ and the western North Pacific¹⁴⁴. In the Atlantic, the existence of a natural mode of the decadal variability, the Atlantic Multidecadal Variability (AMV, also referred to in the literature as the Atlantic Multidecadal Oscillation or AMO) has been challenged by the argument that the fluctuations were instead caused by a combination of external forcing, including greenhouse gases and industrial and volcanic aerosols^{145,146}. Recently, Caron et al.¹⁴⁷ noted that the influence of seasonal climate modes on Atlantic TC activity was dependent on the phase of the AMV. In the western North Pacific, the decadal variability in typhoon activity has been associated with the Pacific Decadal Oscillation¹⁴⁴.

Smith et al explored the possibility of issuing multi-year forecasts of TC activity in the North Atlantic.¹⁴⁸. They showed that their decadal prediction system had some skill in predicting 5-year mean North Atlantic TC frequency. Another study, using a different model, found the forecasted 5-year mean showed significant correlation when compared with the null hypothesis of a zero correlation¹⁴⁹. They pointed out, though, that the model skill arises in large part from the persistence of the SST in the initialized forecasts (Figure 9), rather than due to the prediction of the system evolution per se. This has been debated in the literature^{150,151}. More recently, Caron et al.¹⁵² showed that multi-year prediction of Atlantic hurricane activity by CMIP5 models in hindcast mode had modest positive skill, even after accounting for persistence in the climate shift that occurred in 1994-1995. The potential for predicting possible wind damage related to hurricanes along the U.S. coast using a multi-model ensemble of initialized global climate models has also been recently demonstrated¹⁵³. Decadal predictability of TC activity is a new field and it is expected that more modeling groups will explore this topic in the next few years.

VII. Current state-of-the-art global climate models: climate change projections

In the last few years, a large effort has been made towards understanding and making projections of TC activity under climate change. This effort was in large part responsible for a significant progress in simulating TCs in global climate models. In this section, we focus on the studies that analyze TC activity directly from global climate models; we have already discussed the analysis of model large-scale environmental quantities and downscaling studies in Sections III and IV, respectively. A comprehensive review of various aspects (theoretical, modeling, observed trends, attribution) of the relationship between climate change and TCs can be found in Knutson et al.²³, with an update in Walsh et al.¹⁵⁴. Here, we focus only on the studies of TCs under climate change using global climate models.

Most of the effort towards the improvement of the simulation of TCs in climate models has been directed at the use of high-resolution global climate models, instead of low-resolution ones. Even in the

latest round of simulations for the IPCC (CMIP5), most coupled model simulations were still performed with low-resolution and still have significant biases in reproducing TC climatology³⁹. In order to achieve robust projections from climate model simulations, it is necessary to perform the simulations for many years, using large ensembles and multiple scenarios. Therefore, for most modeling groups it remains very expensive to run coupled models for this purpose. The most common approach is to use atmospheric only models, forced with SSTs (or SST anomalies) obtained from low-resolution coupled simulations. The first studies to use this approach used (approximately 125km) horizontal resolution models in the late 1990s⁵ and early 2000s¹⁵⁴. This method is employed by most of the studies published in the last few years^{156,157,158,159}. An example of global TC tracks in both the current and future climate from one of these high-resolution simulations¹⁵⁷ is shown in Figure 10. While the TC climatology, structure, and intensity is substantially improved by using high horizontal resolution, it is well known that the future projections are very sensitive to the specific SST anomaly patterns used¹⁵⁶, and in particular, regional TC changes are very sensitive to different warming patterns¹⁶⁰. Therefore, it is essential to have a better understanding of the mechanisms for tropical SST changes¹⁶¹.

Another important question is regarding the direct contribution of CO₂ to future changes in TC activity. Inspired by idealized experiments initially designed by Yoshimura and Sugi¹⁶² and Held and Zhao¹⁶³, the U.S. CLIVAR Hurricane Working Group (HWG) designed idealized experiments in which high-resolution atmospheric climate models were forced with climatological SSTs (present climate or a homogeneous SST increase of 2K) and different levels of CO₂ concentration (current values or doubled concentration), as described in detail in Walsh et al.¹⁶⁴ The main objective of the Hurricane Working Group was to obtain a better understanding of the relationship between climate forcings and TC occurrence using a multimodel approach. A few models of the HWG produce a decrease rather than in an increase in the number of TCs with a homogeneous 2K increase in SST, while most models show a decrease in TC occurrence when forced with a doubled CO₂ concentration and no change in SSTs. Detailed analysis of the results of these idealized experiments, including many aspects of the response of TC activity to climate forcings, can be found in numerous papers from the HWG^{165,166,167,168,169,170}.

Given the existing issues with high-resolution climate models forced with fixed SST, especially the sensitivity of TC activity to the details of SST patterns and lack of oceanic feedback on the TCs, a few studies in the last several years have used high-resolution coupled ocean-atmospheric models. Gualdi et al.¹⁷¹ found that global TC frequency was reduced in a climate change coupled model simulation, similar to the results from models forced with fixed SSTs and other more recent coupled model studies^{172,173}. A similar experiment with the GFDL coupled model and a doubling of CO₂ confirmed these results¹⁷⁴; there was a substantial reduction in global TC frequency and a small increase in TC intensity globally. In contrast, Bell et al.¹⁷⁵ only obtained an increase in intensity in their coupled experiments with a quadrupling of CO₂. Their time-slice, uncoupled experiments with doubled CO₂ with the same atmospheric model, however, did show an increase in TC intensity. Further work is needed to better

understand the difference between projections of TC activity under climate change between coupled and uncoupled simulations.

VIII. Model sensitivities

Though there has been a huge improvement in the simulation of TCs in climate models in recent years, there are many issues that still need to be better understood. The model results are sensitive to details both internal and external to the models. In addition to the sensitivity to the SST patterns discussed above, Murakami et al.¹⁷⁶ showed the importance of taking into account model biases when simulating TCs in climate models by doing bias corrections in their analysis. They showed that by calibrating the models' performance in each basin by the model bias in TC frequency in the present climate, they obtained more reliable projections of TC frequency in the future. Another approach, already mentioned above in Section V, is to use a flux-adjusted version of a global climate model in order to improve the simulation of mean climate, leading to improvements in the simulation of TCs and improved regional seasonal forecasts⁹⁸. Another option, used in seamless weather-to-climate predictions, improves the initial ocean state by modifying the data assimilation algorithm, maintaining interactions between small-scale perturbations and the successively corrected large-scale background¹⁷⁷.

As mentioned above, an increase in model horizontal resolution is known to lead to a better representation of TCs in models, both for atmospheric models forced with fixed SSTs^{178,179,166,180,181}, as well as for coupled models¹⁸². However, simply increasing the resolution is not a solution to all of the problems in simulating TCs in climate models, as is evidenced by the different performances of models with similar or the same resolutions^{183,39,165}. Roberts et al.¹⁵⁹ also showed that while some aspects of TC simulation are indeed improved by using higher resolution (e.g., simulation of TCs in the Atlantic because of a better representation of the easterly waves), others are not improved, such as the weak intensity of simulated TCs. Model resolution also continues to be an issue for very long simulations. The most common approach to solve this problem is to use regional climate models, but as discussed above, there are many unsolved issues with regards to their use, especially related to the domain boundaries and domain size. New numerical techniques, such as variable resolution meshes^{183,184} or the use of a spectral element dynamical core^{185,186,187}, show some promise of improving models and their ability to simulate small scale phenomenon like tropical cyclones.

The methods used to track TCs in models are another important issue. For instance, in the case of the CMIP5 models, analysis of the TC activity under future climate change has been shown to be dependent on the type of tracking routine, as is evident when comparing the results of two CMIP5 studies^{39,188}. A comparison of tracking schemes applied to the CLIVAR HWG models¹⁶⁸ showed a moderate agreement between different tracking methods, with some models and experiments showing a better agreement across schemes than others, but there was a dependence on the thresholds used in the tracking

routines¹⁸⁹. As resolution increases and the models are able to simulate more intense tropical cyclones, the difference between tracking algorithms is reduced, as it is easier to identify tropical cyclones. However, sensitivity to the detection and tracking algorithm remains an issue for weaker storms, which could influence the resulting TC frequencies. It would be ideal to have a standardized detection and tracking technique to be used across all models.

Nearly all tropical atmospheric phenomena, including tropical cyclones, are tightly coupled to clouds and convection and are thus strongly sensitive to the details of convective parameterizations. This will likely remain an issue until we are able to run global models with high enough resolution such that convective parameterizations are not necessary. In the current class of climate models, the mean global frequency of TCs is extremely sensitive to the details of the convection scheme. For instance, Kim et al.¹⁹⁰ implemented small changes to the entrainment rate in the convection scheme in the GISS (Goddard Institute for Space Studies) model (done to improve the MJO simulation), and obtained a very different TC climatology. Vitart et al.¹⁹¹ showed that TC frequency, intensity, structure, and interannual variability exhibit significant sensitivities to changes in convective parameterization, in particular, to the production of deep convection. Zhao et al.¹⁹² identified counterintuitive sensitivities of TC genesis frequency in their model to two parameters in the convection scheme: the horizontal cumulus mixing rate, which controls the entrainment into convective cores, and the strength of the damping of the horizontal flow. They found that as the cumulus mixing rate increases, the model initially has a sharp increase in global TC frequency, followed by decrease, while the TC mean intensity rises monotonically. As the divergence damping strength increases, the model has a continuous increase of the TC frequency, with little change in TC mean intensity. Lim et al. obtained similar results¹⁹³ using another model. One compromise in the interim may be to use super-parameterized models, in which the convective parameterization is replaced by a cloud resolving model that is inserted into each model grid box. Stan¹⁹⁴ showed a significant improvement in the simulation of Atlantic TCs when using a superparameterized version of a climate model. However, the convective parameterization is not the only factor that influences the simulation of TCs. Another possible way to assess these model uncertainties is to perform multi-physics experiments, i.e. multiple simulations of the same model with different physics choices, as was done by Murakami et al.¹⁹⁵.

Conclusion

In the last few years, the climate community has put significant effort into improving the simulation of tropical cyclones in climate models. The current state-of-the-art global climate models are much better at simulating the TC climatology, interannual variability, and intensity than their predecessors. The improvement has been remarkable and extends from forecasting on multiple time scales from intra-seasonal to decadal and should contribute toward more robust projections about TC activity under climate change.

As the ability of the models to simulate the MJO, easterly waves and other equatorial waves improves, so does the skill in forecasting TCs on the 1-3 week time scale^{123,196,197}. Beyond that, multi-year TC forecasts have been performed by various groups in the last few years^{148,149,152}. Increased computer power makes the concept of seamless prediction from weather to climate a more realistic objective^{198,199}. Efforts towards seamless prediction are ongoing in various modeling groups²⁰⁰, and this seems to be the best way to incorporate these advances in modeling TCs on shorter time scales to climate models. However, there are still many challenges that need to be addressed, if we want have more skillful forecasts and robust projections.

On intra-seasonal time scales, only a few models are able to simulate a realistic MJO and efforts to improve the MJO simulation can lead to other model biases²⁰¹, so there are still advances to be made. On seasonal time scales, efforts should be made to move beyond basin-wide forecasts to more societally relevant skillful regional or landfalling forecasts⁹⁸. On decadal time scales, the big question is how much skill there is in forecasting the SST patterns that are necessary for doing multi-year TC forecasts; this question is being explored for the Atlantic²⁰², the Pacific²⁰³, and the decadal variability of ENSO²⁰⁴. Regarding climate change projections, the biggest challenge is to obtain reliable projections of future SST changes including their patterns, and to move beyond global TC projections and towards robust regional changes. Model simulations of paleoclimate TC activity are a new field, with only a few existing studies^{48,49,205}, but could be extremely helpful in shedding light on future TC projections.

Efforts on modeling improvements, from convective parameterizations to new numerical methods and dynamical cores, also need to continue to occur. But most of all, what is needed is a better theoretical understanding of what sets the frequency of tropical cyclones. We could make much more confident climate change projections if we had a firmer theoretical expectation of what should happen. Therefore, more basic, fundamental research on the sensitivities and environmental controls of the global climatology of TCs (such as, for example, aquaplanet simulations²⁰⁶ and idealized studies of convection self-aggregation and its link to tropical cyclones^{207,208}) should be encouraged, as part of the effort to improve TC forecasts and climate change projections. Additionally, work on comparing the mechanisms of tropical cyclone formation in models and to that in observations may also give us more confidence in the TC activity simulated by climate models. In summary, despite the recent advances, there is still need for a substantial community effort to improve the simulation of tropical cyclones in climate models on all timescales.



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Figure captions

Figure 1: Tracks of tropical cyclones from the points of origin (indicated by an x). Symbols indicate positions at 1-day intervals. The simulation is forced with February SSTs. The storms were identified in the 40-day period of the simulation. From Manabe et al., 1970¹, their figure 5.14, Copyright © 1970 American Meteorological Society.

Figure 2: 2-dimensional cross section of tangential wind (ms⁻¹), vertical velocity (Pa s⁻¹), and vorticity (10⁻⁵s⁻¹) for the maximum stage of the development of a tropical cyclone in ECHAM3 with resolution T106

(left panel) and T42 (right panel). Adapted from Bengtsson et al., 1995¹⁷, from their Figures 4 and 6, under the terms of the Creative Commons Attribution 4.0 International (CC_BY) License.

Figure 3: Frequency distribution of windspeed for 10 years of NW Pacific storms. Solid columns: control simulation. Cross-hatched columns: on doubling CO₂. From Haarsma et al., 1993⁴, their Figure 9, with kind permission from Springer Science and Business Media.

Figure 4: Genesis potential index climatology in (a) February and (b) September. The black dots show individual genesis events over the period from (a) 1970 to 2004 and (b) 1970 to 2005. From Camargo et al., 2007²⁷, their Figure 1, Copyright © 2007 American Meteorological Society.

Figure 5: Model versus observed Atlantic hurricane counts and distributions of maximum tropical cyclone wind speeds. (a) Annual (August-October) counts of Atlantic hurricanes in observations and for the model using observed SSTs and large-scale nudging of the interior solution towards reanalyses. (b,c) Histograms of maximum wind speeds ms⁻¹ (one value per storm) for each Atlantic storm observed or simulated by the model for the control 1980-2006 (August-October) and global warming cases. The normalized histogram (c) was obtained by dividing the total number of storms observed or simulated during the 27 year period. This controls for differences in storm frequency between experiments or between the control and observations. Reprinted by permission from MacMillan Publishers Ltd: Nature Geoscience, Knutson et al., 2008⁷¹, their Figure 1, Copyright © 2008.

Figure 6: (Left) Tracks for all storms reaching category 4 or 5 intensity, for the control and the warmed 18-model ensemble conditions, as obtained using the GFDL/NWS hurricane model. (Right) the spatial distribution of category 4 and 5 occurrences (scaled by storm counts per decade) for the combined control (average of the GFDL and GFDN model versions, top right); the combined CMIP3 18-model ensemble warmed climate results (middle right); and the difference between the warmed climate and control intense hurricane occurrences (bottom right). From Bender et al., 2010⁵⁹, their Figure 3. Reprinted with permission from AAAS.

Figure 7: Number of North Atlantic tropical storms from July to November predicted by EUROSIP (median) starting on 1st June (thick black line) for the period 1993-2006. Retrospective forecasts were used for the period 1993-2004, and real-time forecast ensembles (calibrated using the median) were used for the period 2005-2006. The dashed grey line represents observations from July to November and the vertical lines represent 2 standard deviations within the multi-model ensemble distribution. Adapted from Vitart et al., 2007⁹⁴, their Figure 2, Copyright © 2007 American Geophysical Union, made available under the Creative Commons Attribution (CC-BY) License.

Figure 8: Tropical storm density anomalies (x1000) as a function of MJO phases in (left) observations and in the (right) model hindcasts for the period November to April 1989-2008. The MJO phases are defined by Wheeler and Hendon²¹². The anomalies are computed relative to the 1989-2008 climatology. Yellow and red colors indicate an increase of tropical cyclone activity. The blue colors indicate a reduction of tropical cyclone activity. From Vitart 2009¹²¹, their Figure 2, Copyright © 2009 American Geophysical Union, made available under the Creative Commons Attribution (CC-BY) License.

Figure 9: Retrospective and future forecasts of hurricane frequency: (top) retrospective forecasts for 5yr-running hurricane frequency and (bottom) 9-yr-running forecasts, showing results from (left) uninitialized and (right) initialized experiments. Black lines show the observed 5- and 9-yr hurricane counts from the NOAA Hurricane Database (HURDAT; Jarvinen et al., 1984²⁰⁹, McAdie et al., 2009²¹⁰), which includes an adjustment for observing inhomogeneity prior to 1966 described in Vecchi and Knutson (2011)²¹¹. For the retrospective forecasts, the red line shows the forecasts from the GFDL CM2.1 (coupled model version 2.1) system, the blue line shows the UKMO-DePreSys PPE System (United Kingdom MetOffice decadal prediction system), and the yellow line shows the two-system ensemble mean. From Vecchi et al., 2013¹⁴⁹, their Figure 1, Copyright © 2013 American Meteorological Society.

Figure 10: Global distribution of TC tracks during all seasons from 1979 to 2003 for (a) observations, (b) the PD simulation using AGCM20_3.1 - Meteorological Research Institute (MRI) atmospheric general circulation model (AGCM) version 3.1, (c) the PD (present day) simulation using AGCM20_3.2 (MRI AGCM version 3.2), and (d) the GW projection using AGCM20_3.2. The numbers for each basin show the annual mean number of TCs. TC tracks are colored according to the intensities of the TCs as categorized by the Saffir–Simpson hurricane wind scale [e.g., tropical depression (TD), tropical storms (TSs), and Categories C1–C5]. From Murakami et al., 2012¹⁵⁷, their Figure 3, Copyright © 2012 American Meteorological Society.

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