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2 **Improving Arctic sea ice seasonal outlook by ensemble prediction using an ice-ocean model**

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19  
20 **Abstract**

21 An ensemble based Sea Ice Seasonal Prediction System (SISPS) is configured towards operationally predicting  
22 the Arctic summer sea ice conditions. SISPS runs as a pan-Arctic sea ice-ocean coupled model based on  
23 Massachusetts Institute of Technology general circulation model (MITgcm). A 4-month hindcast is carried out  
24 by SISPS starting from May 25, 2016. The sea ice-ocean initial fields for each ensemble member are from  
25 corresponding restart files from an ensemble data assimilation system that assimilates near-real-time Special  
26 Sensor Microwave Imager Sounder (SSMIS) sea ice concentration, Soil Moisture and Ocean Salinity (SMOS)  
27 and CryoSat-2 ice thickness. An ensemble of 11 time lagged operational atmospheric forcing from the  
28 National Center for Environmental Prediction (NCEP) climate forecast system model version 2 (CFSv2) is  
29 used to drive the ice-ocean model. Comparing with the satellite based sea ice observations and reanalysis data,  
30 the SISPS prediction shows good agreement in the evolution of sea ice extent and thickness, and performs  
31 much better than the CFSv2 operational sea ice prediction. This can be largely attributed to the initial  
32 conditions that we used in assimilating the SMOS and CryoSat-2 sea ice thickness data, thereafter reduces the  
33 initial model bias in the basin wide sea ice thickness, while in CFSv2 there is no sea ice thickness assimilation.  
34 Furthermore, comparisons with sea ice predictions driven by deterministic forcings demonstrate the  
35 importance of employing an ensemble approach to capture the large prediction uncertainty in Arctic summer.  
36 The sensitivity experiments also show that the sea ice thickness initialization that has a long-term memory  
37 plays a more important role than sea ice concentration and sea ice extent initialization on seasonal sea ice  
38 prediction. This study shows a good potential to implement Arctic sea ice seasonal prediction using the current  
39 configuration of ensemble system.

40  
41 **Keywords:** seasonal sea ice prediction, ensemble forecast, sea ice thickness, data assimilation

42  
43 **1. Introduction**

44 Arctic sea ice is under dramatic shrinking and thinning (e.g., Cavalieri and Parkinson, 2012; Kwok and  
45 Cunningham, 2015). The opening of commercial shipping routes in the Arctic Ocean significantly reduces the  
46 shipping distance from Asia to Europe. The reliable sea ice prediction from daily to seasonal scale is thus  
47 strongly required by the increasing shipping activities in the Arctic (Jung et al., 2016). Not only is the real-time  
48 prediction on the synoptic scale strongly needed during shipping in the Arctic, the seasonal sea ice outlook is  
49 also required for better decisions on the shipping time window before the coming summer.

50  
51 Since 2008, the international communities have made great efforts to predict the Arctic summer sea ice  
52 minimum from late May or early June (Sea Ice Outlook (SIO), <http://www.arcus.org/sipn/sea-ice-outlook>;

53 Stroeve et al., 2014). The employed approaches include statistical models, sea ice-ocean models (e.g., Pan-  
54 Arctic Ice Ocean Modeling and Assimilation System (PIOMAS), Zhang et al., 2008) and fully coupled  
55 atmosphere-sea ice-ocean models (e.g., the National Centers for Environmental Prediction (NCEP) climate  
56 forecast system version 2 (CFSv2), Saha et al., 2014). Fully coupled models allow a strong interaction between  
57 the atmosphere, sea ice and ocean and are more complex (Kauker et al., 2015), while sea ice-ocean models are  
58 forced by prescribed atmospheric fields and are easier to implement. Nevertheless, the 10-year international  
59 joint efforts using these approaches from Sea Ice Prediction Network (SIPN) show that the seasonal Arctic sea  
60 ice prediction remains challenging with large uncertainties.

61  
62 Numerical predictions depend heavily on the initial sea ice model states. Systematic use of sea ice observations  
63 in an advanced data assimilation system is crucial for the sea ice prediction (Yang et al., 2014, 2015). The sea  
64 ice thickness initialization has been shown to be important for seasonal Arctic sea ice prediction (e.g.,  
65 Blanchard-Wrigglesworth et al., 2011; Chevallier and Salas-Melia, 2012; Day et al., 2014; Massonnet et al.,  
66 2014). In recent years, basin-scale sea ice thickness data from satellites have become available, e.g., the Soil  
67 Moisture and Ocean Salinity (SMOS) sea ice thickness (Tian-Kunze et al., 2014) and the CryoSat-2 sea ice  
68 thickness (Ricker et al., 2014). However, very limited studies examined the potential influence of assimilating  
69 SMOS and/or CryoSat-2 ice thickness on the seasonal sea ice prediction to date (e.g., Kauker et al., 2015;  
70 Chen et al., 2017; Blockley et al., 2018).

71  
72 Based on ensemble based Kalman filter and Massachusetts Institute of Technology general circulation model  
73 (MITgcm) ice-ocean coupled model, an advanced sea ice data assimilation and prediction system has been  
74 developed, and skillful sea ice predictions in the synoptic scale were obtained by assimilating Special Sensor  
75 Microwave Imager Sounder (SSMIS) sea ice concentration and SMOS/CryoSat-2 ice thickness (e.g., Yang et  
76 al., 2014; Yang et al., 2015a; Yang et al., 2015b; Yang et al., 2016a; Yang et al., 2016b; Mu et al., 2018a; Mu  
77 et al., 2018b). However, it is not clear whether this system can be extended to the operational seasonal  
78 prediction.

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80 Towards a skillful operational Arctic sea ice seasonal outlook, in this study, we construct an ensemble based  
81 sea ice assimilation and prediction system for summer Arctic sea ice prediction. To better capture the large  
82 uncertainties in late summer, we have conducted a set of ensemble predictions of Arctic sea ice in summer  
83 2016 using a coupled ice-ocean model. The summer of 2016 is chosen because that year had a record low  
84 maximum extent in March, a record low monthly extent in June, and the second lowest monthly extent in  
85 September (4.14 million square kilometers; <http://nsidc.org/arcticseaicenews/2016/09/>) since the satellite era.  
86 The 4-month sea ice concentration and thickness predictions which started from May 25 of 2016 are evaluated  
87 with satellite, reanalysis and *in-situ* observations. A detailed description of the prediction system is presented  
88 in Section 2, followed by experiment design in Section 3. The prediction evaluation and results are shown in  
89 Section 4. The sensitivity of the prediction system is shown in Section 5, and finally the discussion and  
90 conclusions are provided in Section 6.

## 91 92 **2. Ensemble Based Sea Ice Seasonal Prediction System**

93 The ensemble based Sea Ice Seasonal Prediction System (SISPS) uses the MITgcm sea ice-ocean model  
94 (Marshall et al., 1997, Losch et al., 2010). This model includes state-of-the-art sea ice dynamics based on  
95 Zhang and Hibler (1997) and simple zero-layer thermodynamics (Losch et al., 2010). An Arctic regional  
96 configuration with a horizontal resolution of about 18 km (Losch et al., 2010; Nguyen et al., 2011) is applied.  
97 The vertical resolution is higher in the upper ocean, with 28 vertical levels in the top 1000 m and additional 22  
98 layers below 1000 m. Bathymetry is derived from the US National Geophysical Data Center (NGDC) 2 min  
99 global relief dataset (ETOPO2: Smith and Sandwell, 1997). The monthly mean river runoff is based on the  
100 Arctic Runoff Data Base (ARDB). Climatological oceanic fields from the Estimating the Circulation and  
101 Climate of the Ocean, Phase II (ECCO2) are prescribed for the open boundary conditions.

102  
103 To provide the “best possible” initial ice-ocean conditions for SISPS prediction, a retrospective simulation  
104 (CMST; Mu et al., 2018b) that assimilates satellite sea ice concentration and ice thickness was carried out. The  
105 CMST simulation is available during the SMOS and CryoSat-2 period from October 2010, and has been

106 evaluated to be a good estimate on both winter and summer Arctic sea ice thickness (Mu et al., 2018b). As in  
107 Yang et al. (2015a, 2016) and Mu et al.(2018a), this simulation was also driven by the United Kingdom Met  
108 Office (UKMO) ensemble atmospheric forcing with 23 perturbed members from 1 January 2010 to 15 July  
109 2014, and 11 perturbed members after 6 November 2014 while assimilating near-real-time SSMIS sea ice  
110 concentration, SMOS and CryoSat-2 sea ice thickness data. The SSMIS sea ice concentration is available all  
111 year round, but the SMOS/CryoSat-2 ice thickness is only available for the cold season from October to the  
112 next April. It should be noted that there is no near-real-time SMOS and CryoSat-2 ice thickness data available  
113 on May 26, 2016, the starting date of this seasonal prediction. However, the sea ice thickness assimilated in the  
114 cold season can provide a good initial state for the melt season when thickness data are not available, and the  
115 summer ice thickness can be corrected via the positive cross-correlations between ice concentration and  
116 thickness (Yang et al., 2015a; Mu et al., 2018b).

117  
118 The atmospheric forcing fields for the seasonal outlook are obtained from the CFSv2. The daily CFSv2  
119 prediction ranges from hours to months (Saha et al., 2014). The forecast runs go out to 9 months every day,  
120 and these data are used for seasonal prediction in this study. The CFSv2 provide 6-hourly atmospheric  
121 forecasting fields in real time. These fields are ideal for forcing ice-ocean models on daily to seasonal time  
122 scales. To match our ensemble data assimilation configuration with the CMST simulation (11 members in  
123 2016), here we use 11 CFSv2 forecast ensemble members, which include 1 forecast on the prediction day (25  
124 May 2016) and 10 forecasts from the previous days (4 forecasts from 24 May, 4 forecasts from 23 May, 2  
125 forecasts from 22 May of 2016). A 48h-lagged forecast is one with valid time 48h in advance of the beginning  
126 of the seasonal forecast period, so 0h-lagged in Table 1 indicates the forecast that starts on 00:00 May 25 2016.  
127 All these predictions range from 00:00 25 May to 00:00 1 October of 2016. After the initialization, 11  
128 ensemble sea ice-ocean forecasts are conducted using atmospheric forecasts from 11 CFSv2 ensemble runs,  
129 e.g., each of these 11 individual ensemble members is associated with a unique set of forcing fields and sea  
130 ice-ocean initial states from 25 May 2016 to 30 September 2016. During the seasonal prediction, SISPS uses  
131 these initialization fields from the CMST simulation, and runs forward without assimilating any satellite ice  
132 concentration and thickness data.

### 133 134 **3. Validation and Sensitivity Experiment Design**

135  
136 As a reference, the operational seasonal CFSv2 sea ice prediction started from 00:00 25 May in 2016 is also  
137 evaluated and compared with our results. The sea ice model used in CFSv2 is based on the Geophysical Fluid  
138 Dynamics Laboratory (GFDL) Sea Ice Simulator. Different from SISPS, it has three layers for  
139 thermodynamics, and uses the elastic–viscous–plastic technique (EVP; Hunke and Dukowicz, 1997) for sea ice  
140 dynamics. The initial condition for sea ice in the CFSv2 hindcast is from the NCEP Climate Forecast System  
141 Reanalysis (CFSR) that assimilates the near-real-time SSMIS sea ice concentration from the National Snow  
142 and Ice Data Center (NSIDC; Cavalieri et al., 1996; <http://nsidc.org/data/nsidc-0081>) with a simple nudging  
143 scheme. Note that although CFSR has a modeled ice thickness, there is no sea ice thickness assimilation. For  
144 details, the readers are referred to Saha et al. (2010).

145  
146 The sea ice concentration from NSIDC, the PIOMAS ice thickness reanalysis, the CMST ice thickness and the  
147 in-situ ice thickness from the Beaufort Gyre Exploration Project (BGEP; <http://www.whoi.edu/beaufortgyre>)  
148 are used for evaluation. The PIOMAS system consists of the Parallel Ocean Program (POP) and a 12-category  
149 thickness and enthalpy distribution sea ice model on a generalized curvilinear coordinate. This system is  
150 forced by NCEP/NCAR reanalysis. Daily sea ice concentration from NSIDC and sea surface temperature from  
151 the NCEP/NCAR reanalysis are assimilated with nudging and optimal interpolation (Zhang and Rothrock,  
152 2003; Schweiger et al., 2011). The BGEP deploys upward looking sonar (ULS) moorings at three locations  
153 BGEP A, BGEP B and BGEP D every year since 2003, and the ULS can measure the ice draft with an error of  
154 about 0.1m (Melling et al., 1995). Following Nguyen et al. (2011), drafts are converted to thicknesses by  
155 simply multiplying with a factor of 1.1. The locations of ULS sites were listed in Figure 1 of Yang et al. (2015).

156  
157 To study the sensitivity of the SISPS prediction to different sea ice initializations and atmospheric forcing, four  
158 more experiments are conducted in addition to the control run (SISPS as described in Section 2) as shown in

159 Table 1. The deterministic prediction experiment DP-CMST is driven by 0h-lagged CFSv2 forcing, which is  
 160 right on the prediction start date and is expected to be the most realistic because of the better initial state after  
 161 initialization in the CFSv2. The sea ice states in DP-CMST are initialized by the CMST ensemble mean. The  
 162 experiment ENS-PIOMAS is configured as SISPS, but uses PIOMAS thickness to initialize the model  
 163 thickness. The experiment DP-PIOMAS, however, is the deterministic prediction for ENS-PIOMAS. Sea ice  
 164 thickness from the CFSv2 is also used to initialize the model thickness in an experiment named DP-CFS. The  
 165 differences between DP-CFS and CFSv2 are that DP-CFS uses a different model with different initial sea ice  
 166 concentration.  
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168 **Table 1** Summary of the experiment configuration.\*

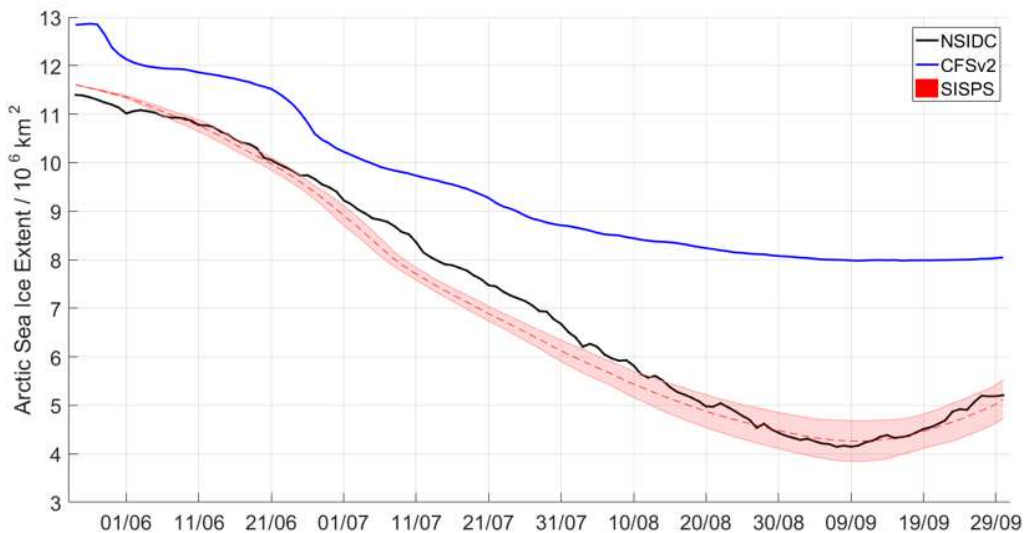
	Sea ice initial condition	Atmospheric forcing	Data assimilation over the prediction period
<b>SISPS</b>	CMST SIC / CMST SIT	Time-lagged CFSv2 ensemble forcing	No
<b>DP-CMST</b>	CMST SIC / CMST SIT	0h-lagged CFSv2 forcing	No
<b>ENS-PIOMAS</b>	CMST SIC / PIOMAS SIT	Time-lagged CFSv2 ensemble forcing	No
<b>DP-PIOMAS</b>	CMST SIC / PIOMAS SIT	0h-lagged CFSv2 forcing	No
<b>DP-CFS</b>	CMST SIC / CFSv2 SIT	0h-lagged CFSv2 forcing	No

\*SIC = Sea ice concentration, SIT = Sea ice thickness

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172 **4. SISPS Prediction Results**

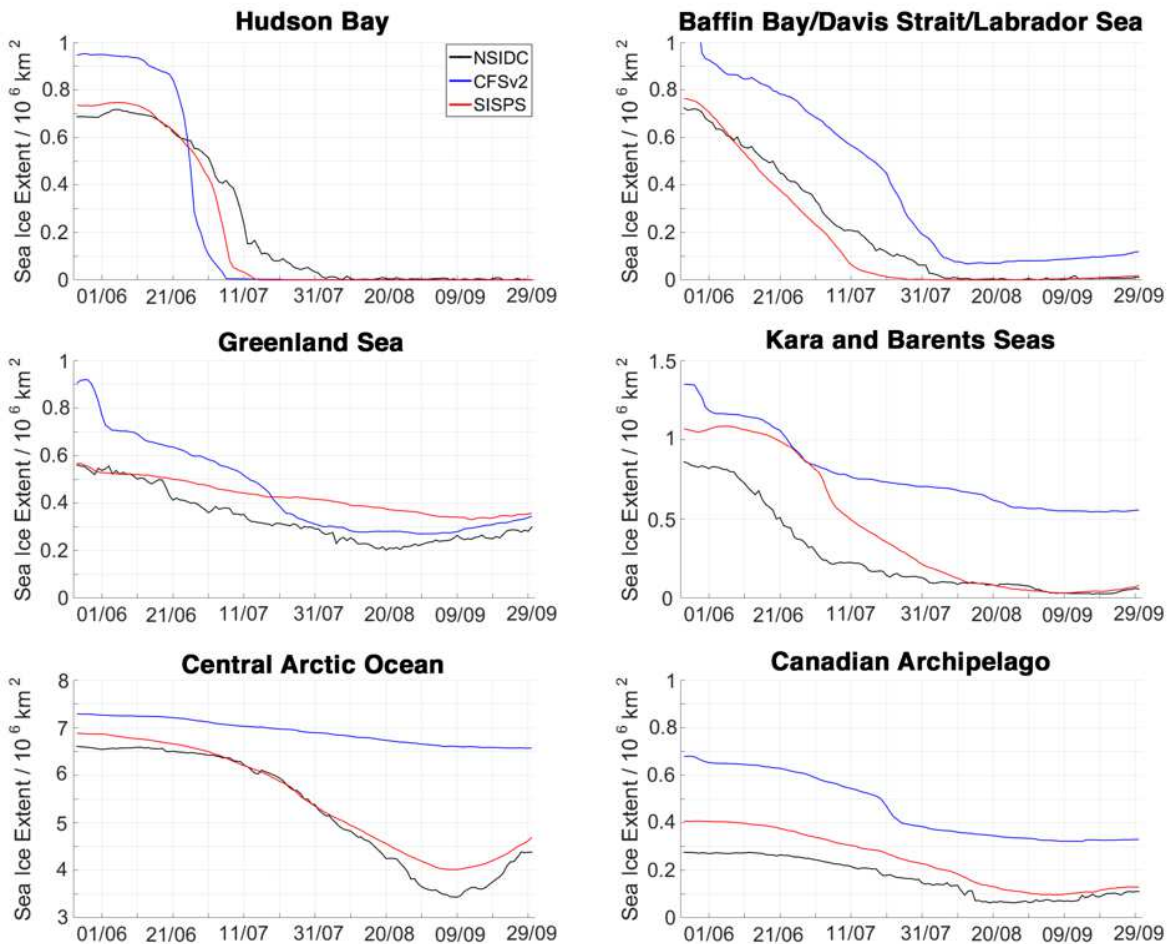
173 **4.1 Sea ice extent and concentration**

174 The sea ice extent is defined as the total area of the grids with ice concentration larger than 15%. The  
 175 prediction is good for the date of the summer minimum around September 10 (Figure 1) from CFSv2 and  
 176 SISPS. The SISPS summer extent minimum is 4.26 million km<sup>2</sup>, which is slightly larger than the NSIDC  
 177 observation (4.14 million km<sup>2</sup>). However, a large overestimation of 3.83 million km<sup>2</sup> (a relative overestimation  
 178 of 92.3%) is observed in the CFSv2 prediction. It should also be noted that SISPS significantly underestimates  
 179 the ice extent in July. The underestimation is not clear at this moment. It may be related to the stormy  
 180 conditions in July and August of 2016 (<http://nsidc.org/arcticseaicenews/2016/09/>), which, however, is beyond  
 181 the capability for CFSv2 to predict realistic synoptic weather 2 months ahead.

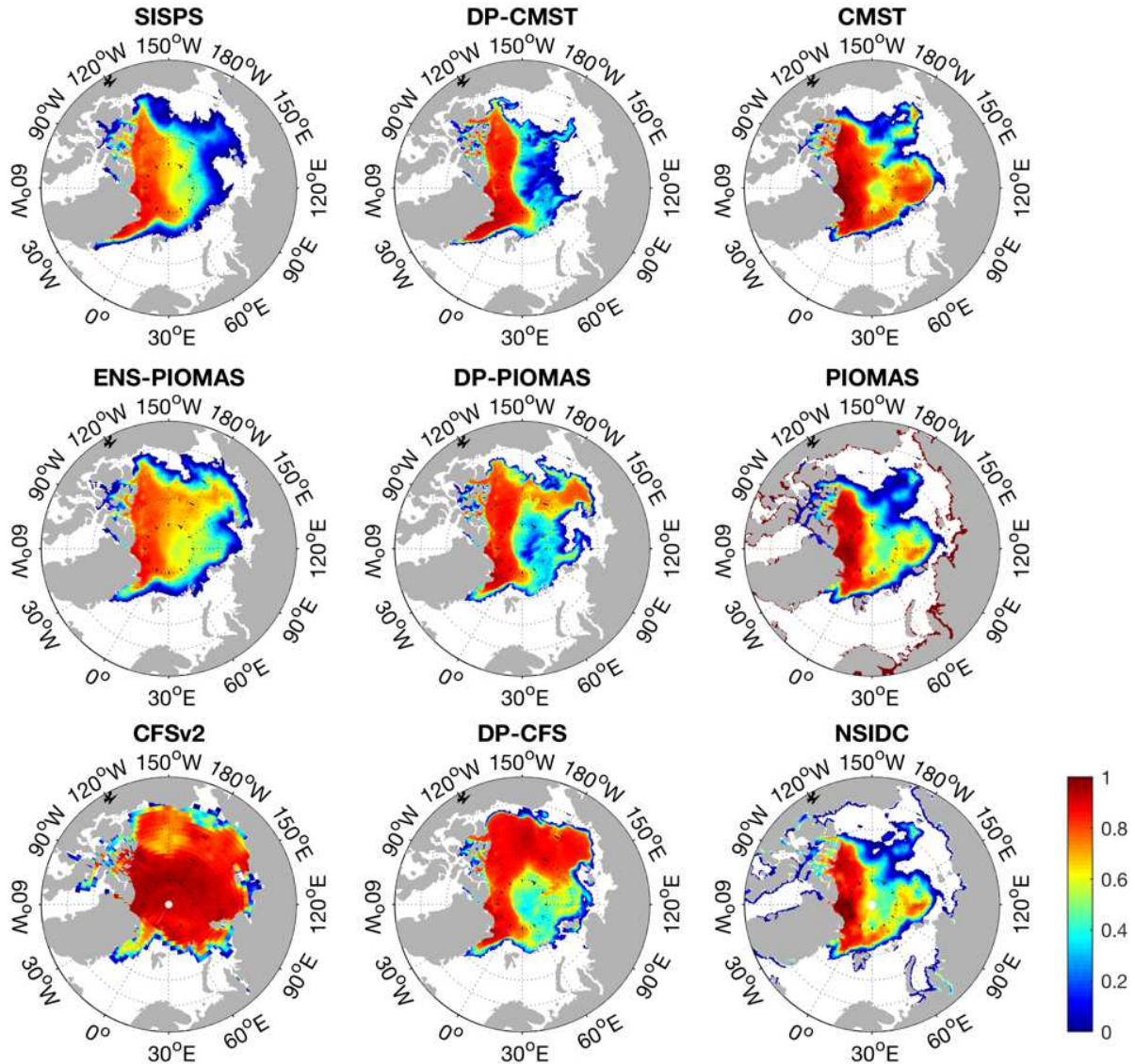


183 **Figure 1** Evolution of Arctic sea ice extent from 25 May to 30 September in 2016. The NSIDC observations  
 184 and CFSv2 forecasts are shown as black and blue solid lines, respectively. The ensemble mean and the spread  
 185 of SISPS forecasts are shown as red dashed lines and red shades. Date format is dd/mm.

187 Figure 2 shows the sea ice extent prediction in six different Arctic regions, as defined on Figure 1 in Cavalieri  
 188 and Parkinson (2012). The CFSv2 overestimates sea ice extent in most of the Arctic regions, in particular there  
 189 is an overestimation of 3.5 million km<sup>2</sup>, 0.6 million km<sup>2</sup> and 0.3 million km<sup>2</sup> in the Central Arctic Ocean, the  
 190 Kara and Barents Seas and the Canadian Archipelago on September 10 of 2016 (the Arctic summer minimum),  
 191 respectively. These can also be seen in Figure 3, in which it shows the sea ice concentration averaged over the  
 192 period from 30 August to 19 September, 2016. In contrast, the ensemble mean of SISPS agrees well with the  
 193 NSIDC observations in most of the regions, particularly in the central Arctic Ocean (Figure 2) and in  
 194 September. However, the predicted ice extent in Kara and Barents Seas are highly overestimated in June and  
 195 July, and the maximum overestimation reaches 0.5 million km<sup>2</sup>. Sea ice in Kara and Barents Seas was well  
 196 below average in winter and spring of 2016, and the surface conditions were unusually warm, therefore sea ice  
 197 extent in June and July of 2016 were significantly lower than the normal level  
 198 (<http://nsidc.org/arcticseaicenews/2016/07/>). In this situation, SISPS fails to capture the abnormal sea ice  
 199 changes due to imperfect atmospheric conditions from the prediction.  
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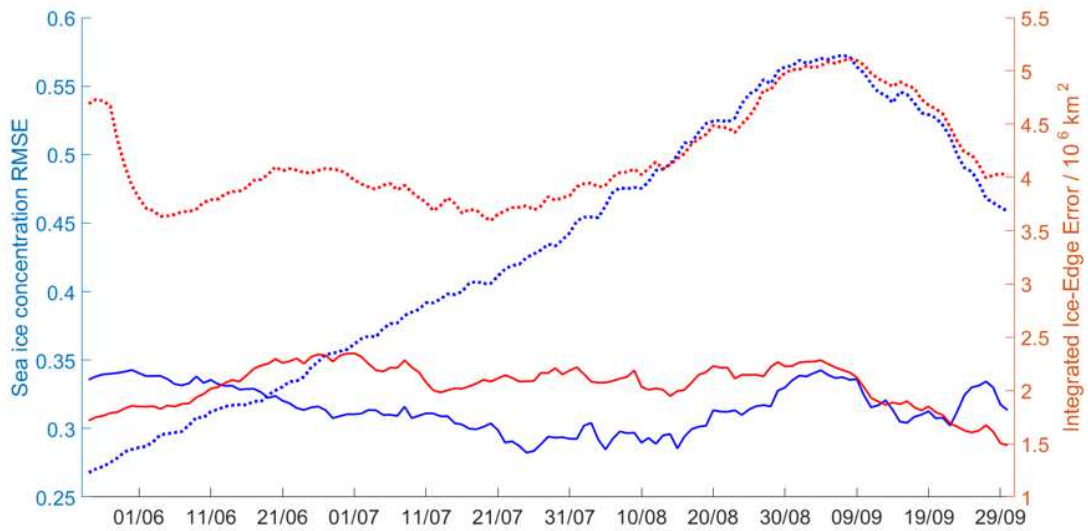
201 **Figure 2** Evolutions of sea ice extent in different Arctic regions from 25 May to 30 September in 2016. The  
 202 NSIDC observations, CFSv2 and ensemble mean of SISPS forecasts are shown as black, blue and red solid  
 203 lines, respectively.  
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**Figure 3** Sea ice concentration averaged over the period from 30 August to 19 September, 2016. Note that results from SISPS, CMST and ENS-PIOMAS are ensemble means. Both CMST and PIOMAS assimilate sea ice concentration over this period and, moreover, sea surface temperature is also assimilated in PIOMAS. DP indicates experiments with single deterministic forcing, and ENS indicates the experiment with ensemble CFSv2 forcing.

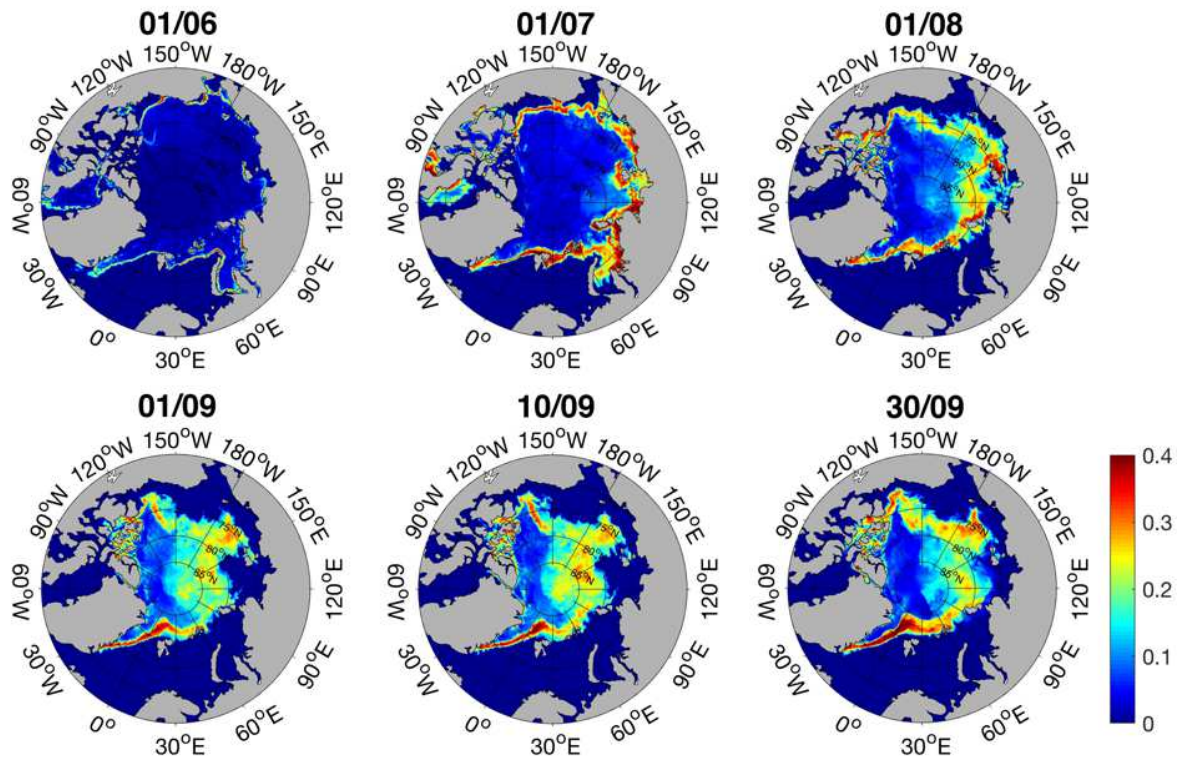
The temporal evolution of root-mean-square error (RMSE) differences between the predictions with the NSIDC sea ice concentration observations are shown in Figure 4. Following Lisæter et al. (2003) and Yang et al. (2015), the RMSE is only calculated at grid points where either the forecasts or the observations have ice concentration larger than 0.05. The RMSE of the ensemble mean SISPS prediction (the blue solid line) is 0.34 in the beginning of the prediction, and is basically stable within a range between 0.28 and 0.34 over the 4-month prediction period. The CFSv2 has even lower RMSE values than the SISPS ensemble mean in the first 25 days (before June 19; the blue dashed line), which shows some prediction skill on the sea ice concentration during this period. This is expected because the CFSv2 system operationally nudges the NSIDC sea ice observations which are also used in this validation. However, in contrast to SISPS, the RMSE of CFSv2 prediction keeps increasing and reaches a maximum of 0.57 on 8 September of 2016, which also demonstrates a large error in the seasonal summer sea ice prediction in the operational CFSv2 system.



224  
 225 **Figure 4** Evolution of RMSE differences (blue) and the integrated ice-edge error (IIEE; red) with respect to  
 226 the NSIDC ice concentration data from 25 May to 30 September in 2016, the SISPS ensemble mean and the  
 227 CFSv2 predicted sea ice concentration are shown as solid and dashed lines, respectively.  
 228

229 Here the integrated ice-edge error (IIEE; Goessling et al., 2016) is used as an additional metric. It shows the  
 230 total area of grid cells, where there is a mismatch between the model and satellite data in the presence of sea  
 231 ice. The IIEE of SISPS (the red solid line in Figure 4) is always significantly lower than that of CFSv2 (the red  
 232 dashed line) during the 4-month prediction period. The mean IIEE during this period for SISPS is 2.05 million  
 233 km<sup>2</sup>, while that for CFSv2 is 4.19 million km<sup>2</sup>. The difference is substantial with about 2.14 million km<sup>2</sup> that is  
 234 more than half of the CFSv2 IIEE values.  
 235

236 The predicted sea ice concentration varies considerably among the 11 ensemble members, in particular in  
 237 September (Figure 5). The ensemble standard deviation of sea ice concentration is very small in the beginning  
 238 of the prediction, but it keeps increasing with the prediction time, and finally reaches a maximum in late  
 239 September. The predicted deviation (prediction uncertainties) is relatively small in the central Arctic where the  
 240 sea ice concentration is close to 100%, and large in the marginal ice zones where sea ice changes dramatically  
 241 (Figure 5). This spatial distribution and ensemble spread fit well to the standard deviation of sea ice  
 242 concentration calculated based on SSMIS observations over the period from 2006 to 2016 (also 11 members,  
 243 figure not shown). It demonstrates that the ensemble spread of SSMIS is able to mimic the interannual  
 244 variability in reality.



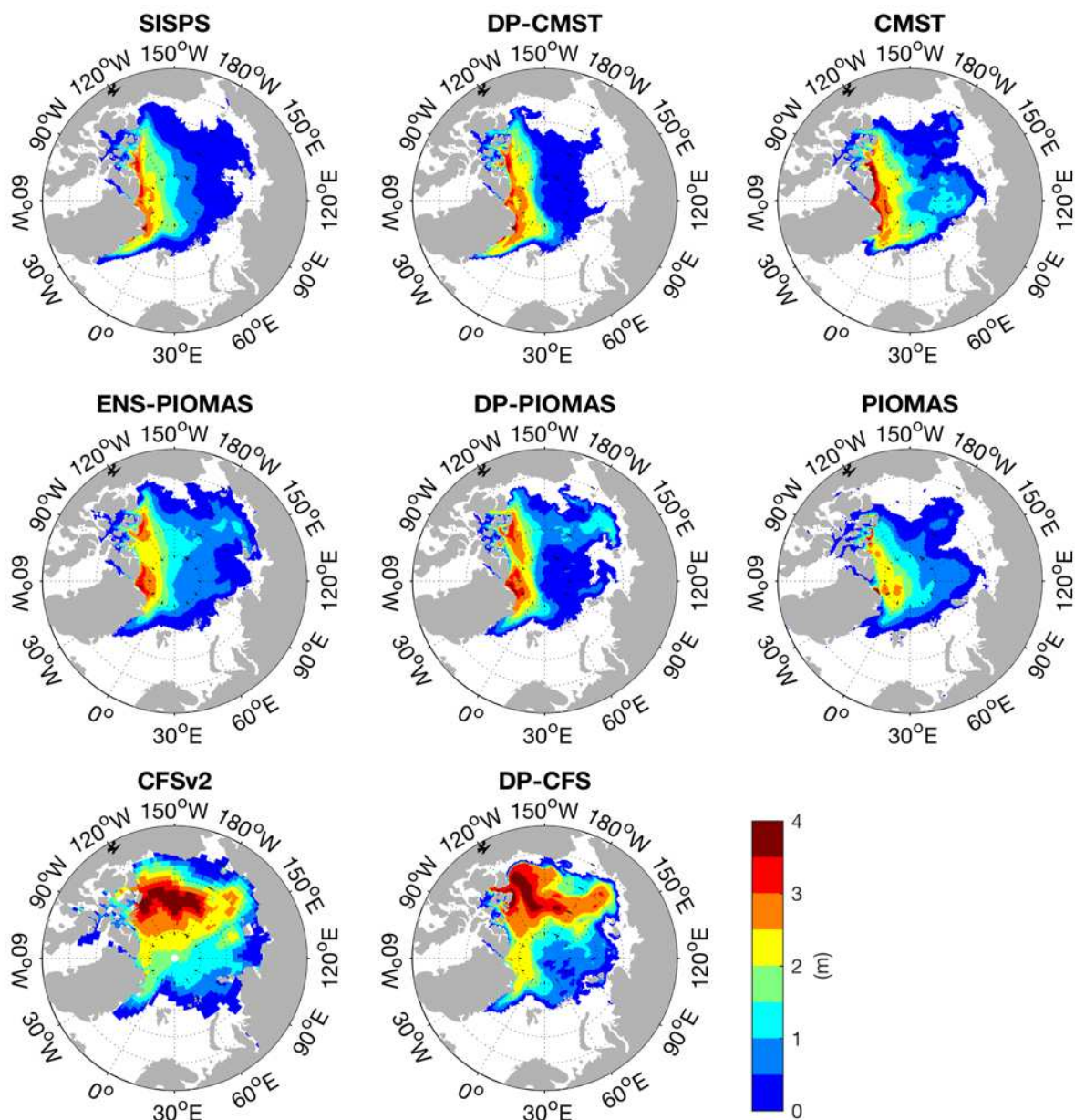
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**Figure 5** The ensemble standard deviation (SD) of sea ice concentration for SISPS on 1 June, 1 July, 1 August, 1 September, 10 September, and 30 September, 2016.

#### 4.2 Sea ice thickness

SISPS sea ice thickness prediction averaged over the period from 30 August to 19 September 2016 is shown in Figure 6. The SISPS prediction agrees well with CMST and PIOMAS thickness (Figure 6). Sea ice thickness in the East Siberian and Laptev Seas is underestimated by SISPS, while appears promising over thick ice (> 1.0 m) area. SISPS also predicts more ice along east coast of Greenland. Apparently, CFSv2 highly overestimates sea ice thickness in the central Beaufort Sea and the Arctic marginal seas (Figure 6). The spatial distribution of CFSv2 thickness seems not reasonable comparing to the well-recognized PIOMAS reanalysis. Similar to the ice concentration prediction, the SISPS shows better prediction skill over the CFSv2 prediction.

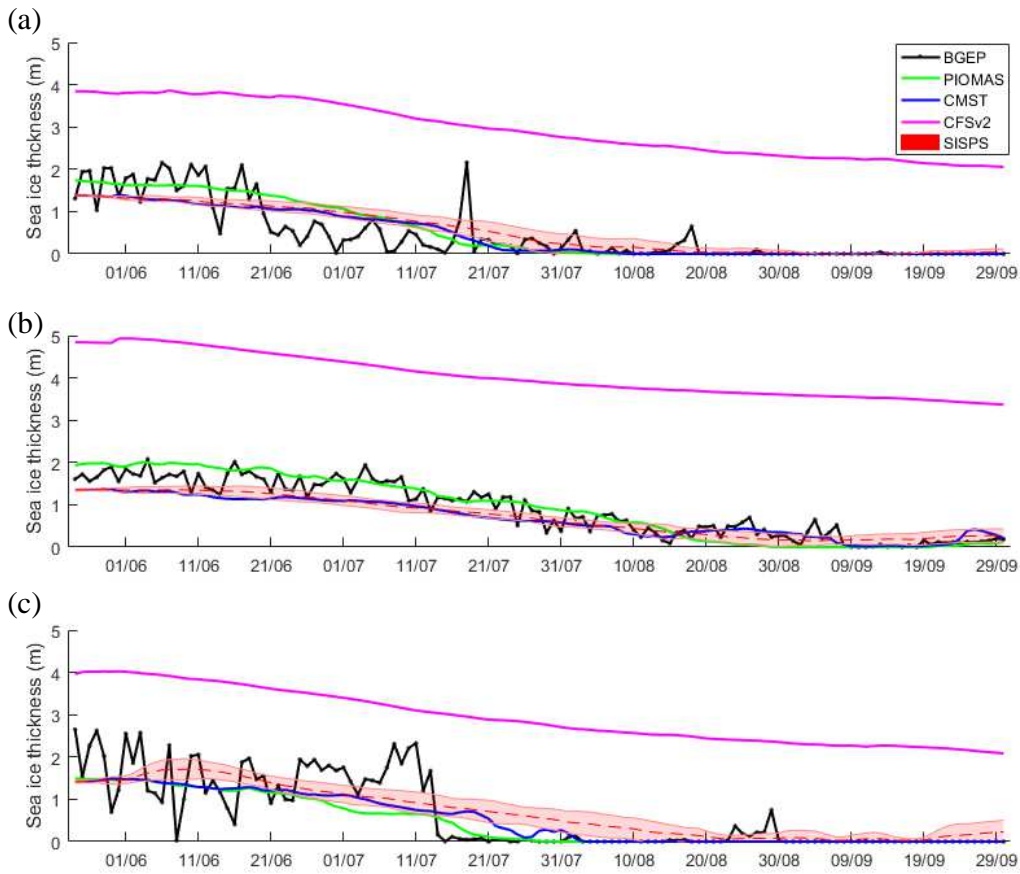




259  
 260 **Figure 6** Sea ice thicknesses averaged over the period from 30 August to 19 September, 2016. Note that  
 261 results from SISPS, CMST and ENS-PIOMAS are ensemble means. Both CMST and PIOMAS assimilate sea  
 262 ice concentration over this period and, moreover, sea surface temperature is also assimilated in PIOMAS. DP  
 263 indicates experiments with single deterministic forcing, and ENS indicates the experiment with ensemble  
 264 CFSv2 forcing.  
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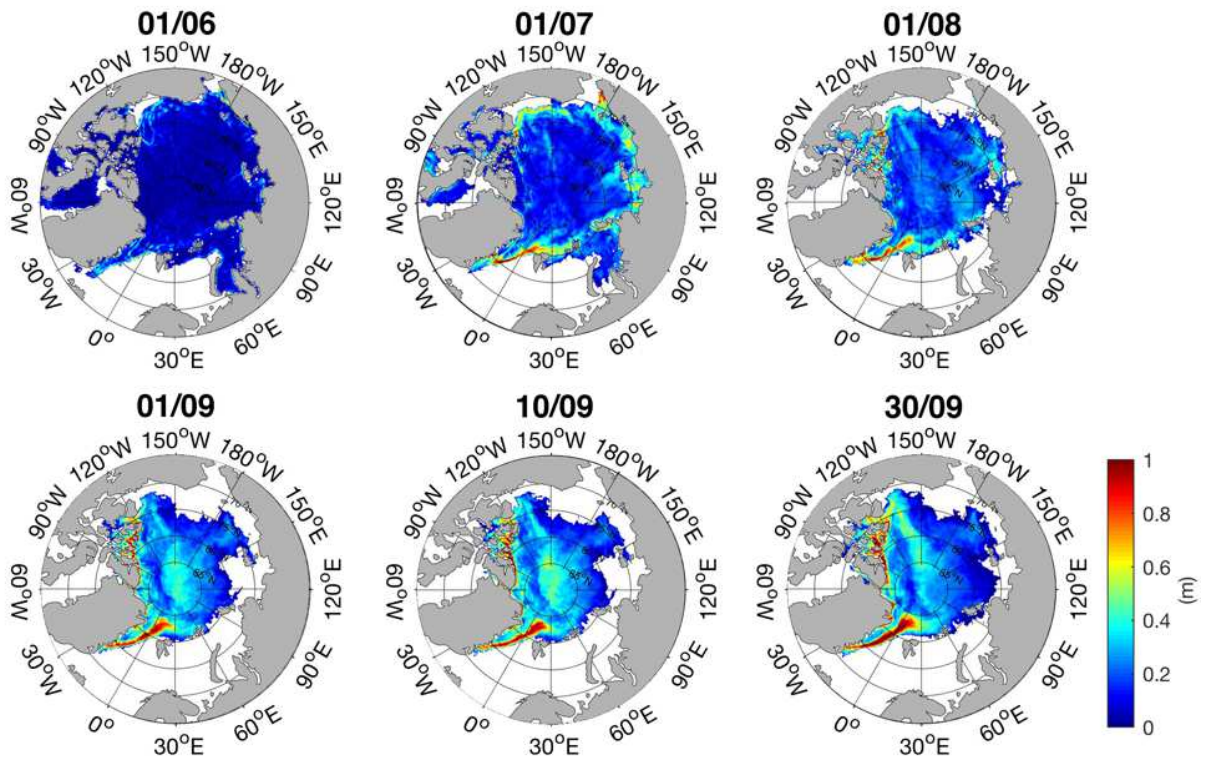
266 The time series of sea ice thickness predictions are compared to *in-situ* ULS-observations BGEP\_2015A  
 267 (Figure 7a), BGEP\_2015B (Figure 7b) and BGEP\_2015D (Figure 7c). At the site BGEP\_2015A, the ice  
 268 thickness RMSE of CFSv2, SISPS, CMST and PIOMAS with respect to the observations are 2.49 m, 0.40 m,  
 269 0.39 m and 0.39 m, respectively; at the site BGEP\_2015B, they are 3.17 m, 0.31m, 0.33 m and 0.27 m,  
 270 respectively; at the site BGEP\_2015D, they are 2.34 m, 0.53 m, 0.50 m and 0.51 m, respectively. It is plausible  
 271 that the 4-month sea ice thickness prediction of SISPS agrees well with the *in-situ* observations, and is

272 comparable with the PIOMAS and CMST ice thickness estimates. CFSv2 overestimates sea ice thickness in  
 273 the Beaufort Sea by as much as up to 2 m as also shown in Figure 6.  
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275 **Figure 7** Evolution of mean sea ice thickness (m) at (a) BGEP\_2015A, (b) BGEP\_2015B and (c)  
 276 BGEP\_2015D Beaufort Sea from 25 May to 30 September 2016. The BGEP, PIOMAS, CMST, and CFSv2  
 277 thickness are shown as black, green, blue, magenta and red solid lines, respectively. The ensemble mean and  
 278 the spread of SISPS forecasts are shown as red dashed lines and red shades.  
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 282 Similar to the ice concentration prediction, the ensemble spread of the predicted sea ice thickness also varies  
 283 considerably among the 11 ensemble members with time evolution (Figure 8), but shows differences on spatial  
 284 distribution. In early summer (e.g., 1 July) the ensemble deviation of sea ice thickness is small ( $< 0.1\text{m}$ ) in the  
 285 central Arctic Ocean and large ( $> 0.4\text{ m}$ ) in the marginal sea ice zone (Figure 8), which is consistent with the  
 286 sea ice concentration (Figure 5), Over the melting period, the deviation becomes larger ( $> 0.4\text{ m}$ ) in the central  
 287 Arctic.



288  
289 **Figure 8** The ensemble standard deviation (SD) of sea ice thickness for SISPS on 1 June, 1 July, 1 August, 1  
290 September, 10 September, and 30 September in 2016.

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293 **5. Sensitivity of SISPS in seasonal sea ice prediction**

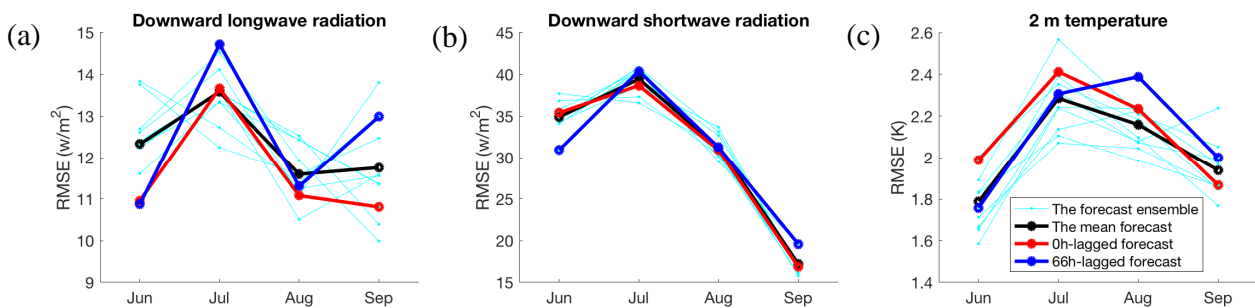
294 The uncertainty of sea ice prediction using an ice-ocean coupled model may be attributed to shortcomings in  
295 model physics and improper atmospheric forcing. The initial condition plays an important role on long-term  
296 prediction in the presence of such uncertainties. SISPS sea ice prediction benefits from both the initial states  
297 from the CMST ensemble and the time-lagged ensemble forcing from the CFSv2.

298  
299 As shown in Figures 3 and 6, with reasonable sea ice thickness initialization, SISPS, DP-CMST, ENS-  
300 PIOMAS and DP-PIOMAS all predicted better September sea ice concentration and thickness than the CFSv2  
301 and DP-CFS did. However, even with the same sea ice concentration initialization as DP-CMST and DP-  
302 PIOMAS, sea ice concentration from DP-CFS is far away from reality, so is the sea ice thickness. This  
303 indicates that a realistic sea ice thickness initialization is crucial for seasonal prediction, as also reported by  
304 other studies (Blockley et al., 2018; Collow et al., 2015; Xie et al., 2018). It is worth noting that although  
305 CMST and PIOMAS sea ice thickness can be both considered as good estimates in the Arctic (CMST versus  
306 PIOMAS in Figure 6), the initialization of using CMST or PIOMAS thickness can still lead great differences  
307 in predicting September sea ice thickness (Figures 3 and 6; DP-CMST versus DP-PIOMAS). Sea ice thickness  
308 and concentration are underestimated north of Laptev Sea. In DP-CMST sea ice concentration and thickness  
309 are also underestimated north of the East Siberian Sea, but they are overestimated in DP-PIOMAS there.

310  
311 The initial sea ice extent also plays a substantial role on September sea ice extent prediction, but not on ice  
312 concentration and thickness prediction in our study. In DP-CFS the initial sea ice concentration from CMST is  
313 used, which is closer to the NSIDC observation as shown in Figure 1, therefore the initial sea ice extent for  
314 DP-CFS is considered reasonable. The September sea ice extent prediction from DP-CFS outperforms CFSv2  
315 (Figures 3 and 6) over the Arctic marginal seas. Chevallier et al. (2013) also confirmed that the anomaly of  
316 spring sea ice cover preconditions the September SIE anomaly. However, a better sea ice concentration initial

317 condition alone does not give rise to a promising September sea ice concentration (DP-CFS in Figure 3) and  
318 sea ice thickness (DP-CFS in Figure 6).  
319

320 The ensemble forcing, another important influencing factor for sea ice prediction, has improved the prediction  
321 for both ice concentration and ice thickness (SISPS and ENS-PIOMAS in Figures 3 and 6). The ensemble  
322 forcing not only reduces uncertainties in the atmospheric forcing but also corrects ice-ocean model  
323 uncertainties due to model deficiencies such as different sea ice parameters in different models (e.g.,  
324 Massonnet et al., 2014). As shown in Figure 9, the 0h-lagged CFSv2 atmospheric forecast (red line) does not  
325 always have the lowest root-mean-square (RMS) differences with respect to ERA-Interim atmospheric  
326 reanalysis according to the calculation of downward longwave radiation, downward shortwave radiation and 2  
327 m temperature. The 66h-lagged forecast even performs better, such as the 2 m temperature forecast in June and  
328 July (Figure 9c) and the downward shortwave radiation forecast in June (Figure 9b). The ensemble forcing  
329 provides atmospheric trajectories with also wide probability for the prediction. In SISPS thicker and more ice  
330 is predicted over the area near North Pole towards the Eurasian continent, but it is underestimated in DP-  
331 CMST over the same area. ENS-PIOMAS also reduces the biases of DP-PIOMAS over this area and north of  
332 East Siberian Sea.  
333



334  
335 **Figure 9** RMS differences of monthly mean downward longwave radiation (a), downward shortwave radiation  
336 (b), and 2 m temperature (c) in the ensemble forcing with respect to ERA-Interim atmospheric reanalysis. Note  
337 that the 0h-lagged forecast was initialized in CFSv2 right on the prediction start (00:00:00 25 May 2016),  
338 while the 66h-lagged forecast was initialized 66 hours before the prediction start.  
339

## 340 6. Discussion and conclusions

341 In an effort to operationally predict the summer sea ice on seasonal time scale, an ensemble based Seasonal  
342 Sea Ice Prediction System (SISPS) is configured and a 4-month hindcast experiment is carried out to predict  
343 the summer sea ice in 2016. The initialization for the experiment uses the restart files from the CMST system  
344 that assimilates near-real-time satellite sea ice observations, specifically the sea ice concentration from SSMI  
345 and/or SSM/IS channels and the sea ice thickness from SMOS and CryoSat-2. Zhang et al. (2008)  
346 implemented a 1-year sea ice prediction system using unchanging initial sea ice-ocean fields but with an  
347 ensemble of different atmospheric forcing. In this study, we extend the outlook with an ensemble of both  
348 different sea ice-ocean initialized fields and different atmospheric forcing fields. The relatively large ensemble  
349 standard deviations in September in SISPS are comparable to the natural variability calculated from 2006 to  
350 2016. Evaluations with observations demonstrate that prediction results from SISPS are very promising. The  
351 results from the additional sensitivity experiments indicate that the proper sea ice initial conditions and  
352 ensemble atmospheric forcing in SISPS contribute to a better prediction.  
353

354 As illustrated in Zhang et al. (2008), one difficulty in the ensemble predictions is the lack of operational  
355 prediction forcing since the ice-ocean model does not include an atmospheric component. Zhang et al. (2008)  
356 used the NCEP/NCAR reanalysis forcing fields from 2000 to 2007 for various individual ensemble predictions  
357 to drive PIOMAS sea ice-ocean model, while Zhang and Schweiger used the daily four atmospheric seasonal  
358 forecasts from the CFSv2 in their seasonal sea ice outlook for 2017. As a further extension, in this study we  
359 increase the ensemble members to 11 to match our ensemble initializations, and to better reflect the prediction  
360

361 uncertainties, by using a time-lagged ensemble of 11 operational atmospheric forcing which from the CFSv2  
362 system.

363  
364 The second difficulty described in Zhang et al. (2008) is the lack of reasonable initial ensemble sea ice-ocean  
365 state. Our initial sea ice-ocean fields are from the CMST simulation, in which the near-real-time SSMIS sea ice  
366 concentration, SMOS and CryoSat-2 ice thickness are assimilated, and had been proven to be a good estimate  
367 on the year-round Arctic sea ice thickness (Mu et al., 2018b). The SISPS predicts a much better sea ice  
368 distribution than the CFSv2 does, although the operational atmospheric forcing fields from the CFSv2 are used  
369 to drive SISPS. This reflects the importance of assimilating satellite based sea ice concentration and thickness  
370 observations with an advanced data assimilation method, though there is no available sea ice thickness  
371 observations on the prediction starting date (May 25 of 2016). The multivariate data assimilation system helps  
372 correct the sea ice thickness by providing a good initial state for the upcoming melt season and updating the  
373 summer thickness with assimilation of sea ice concentration only, because there is a positive correlation  
374 between sea ice concentration and thickness in summer, which can be explained by sea ice thermodynamics  
375 that the thick ice can reduce the horizontal melting (Yang et al., 2015; Mu et al., 2018b). However, in CFSv2  
376 it only assimilates sea ice concentration with a simple nudging scheme, ice thickness cannot be corrected  
377 during the assimilation, thus the overestimation of the Arctic sea ice thickness in CFSv2 remains unchanged. It  
378 is expected that the sea ice prediction can be largely corrected by reducing the initial sea ice thickness error if  
379 the CryoSat-2 and SMOS ice thickness are assimilated in CFSv2 (Chen et al., 2017). The systematic errors  
380 should be considered and treated carefully when using the CFSv2 for seasonal sea ice predictions.

381  
382 Although this is only a case study towards developing an operational sea ice seasonal prediction system to  
383 predict summer sea ice conditions, this SISPS system has shown great potential for seasonal sea ice prediction.  
384 Nevertheless, more applications with this system for seasonal sea ice prediction can be applied in the future,  
385 the robustness of the system can be further tested.

386  
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