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Shifting paradigms in restoration of the world's coral reefs

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56  
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58 **Abstract**

59 Many ecosystems around the world are rapidly deteriorating due to both local and global  
60 pressures, and perhaps none so precipitously as coral reefs. Management of coral reefs

61 through maintenance (e.g., marine protected areas, catchment management to improve water  
62 quality), restoration, as well as global and national governmental agreements to reduce  
63 greenhouse gas emissions (e.g., the 2015 Paris Agreement) are critical for the persistence of  
64 coral reefs. Despite these initiatives, the health and abundance of corals reefs are rapidly  
65 declining and other solutions will soon be required. We have recently discussed options for  
66 using assisted evolution (i.e., selective breeding, assisted gene flow, conditioning or  
67 epigenetic programming, and the manipulation of the coral microbiome) as a means to  
68 enhance environmental stress tolerance of corals and the success of coral reef restoration  
69 efforts. The 2014-2016 global coral bleaching event has sharpened the focus on such  
70 interventionist approaches. We highlight the necessity for consideration of alternative (e.g.,  
71 hybrid) ecosystem states, discuss traits of resilient corals and coral reef ecosystems, and  
72 propose a decision tree for incorporating assisted evolution into restoration initiatives in order  
73 to enhance climate resilience of coral reefs.

74

## 75 **Introduction**

76 Human activities that began with the industrial revolution in the late 18<sup>th</sup> century have driven  
77 an incredibly rapid increase in greenhouse gas concentrations in the Earth's atmosphere. As a  
78 result, air and ocean temperatures have risen and continue to rise at a pace not experienced by  
79 life on Earth for at least 50 and possibly even hundreds of millions of years (Hönisch *et al.*,  
80 2012; Wright & Schaller, 2013; Zeebe *et al.*, 2014). These global environmental changes, as  
81 well as the often more localized direct human impacts such as over-harvesting, destructive  
82 fishing, anchor damage, ship groundings, and pollution, have precipitated broad ecological  
83 declines, shifts, and extinctions across a variety of ecosystems (Parmesan, 2006), including  
84 coral reefs (Pandolfi *et al.*, 2003).

85

86 Higher-than-usual seawater temperatures can break down the obligate association between  
87 the reef-building coral animal and its dinoflagellate endosymbionts (*Symbiodinium* spp.),  
88 causing coral bleaching and often extensive mortality (Hoegh-Guldberg, 1999). Ocean  
89 acidification (OA) is a consequence of atmospheric carbon dioxide entering the water  
90 column, resulting in an increase in hydrogen ion concentration that shifts the seawater  
91 carbonate chemistry, resulting in a lower pH. OA increases the energetic demands for  
92 calcifying organisms like corals, may cause a reduced calcification rate (Andersson &  
93 Gledhill, 2003) and may exacerbate the negative impact of elevated temperature by reducing  
94 the corals' bleaching tolerance limits (Anthony *et al.*, 2008). A number of severe bleaching

95 events have assaulted coral reefs around the world over the past 35 years, including in  
96 1981/82, 1997/98, 2001/02, 2005/06, 2010 and 2014/16. The most recent events have seen  
97 extreme bleaching with 75% of the corals bleached in some locations in Hawaii (Minton *et*  
98 *al.*, 2015), and 93% of surveyed reefs on the Great Barrier Reef (GBR) exhibiting some level  
99 of bleaching with >50% coral mortality observed at many locations in the northern GBR  
100 (Great Barrier Reef Marine Park Authority, 2016; The Conversation, 2016a). Climate models  
101 predict that coral reefs will face temperature extremes annually from between the mid-2050s  
102 and the mid-2070s (van Hooidonk *et al.*, 2013, 2016), and possibly even from as early as the  
103 mid-2030s (The Conversation, 2016b). Given recovery of coral cover from severe coral  
104 mortality to the pre-disturbance state takes multiple decades (Connell *et al.*, 1997; Coles &  
105 Brown, 2007; Emslie *et al.*, 2008; Done *et al.*, 2010; Jackson *et al.*, 2014), climate  
106 projections portray a grim future for coral reefs. Thus, in addition to global efforts to reduce  
107 greenhouse gases, a toolbox of options is urgently needed for coral reef rehabilitation, repair  
108 and restoration activities.

109  
110 A glimmer of hope comes from the observations of an increase in bleaching tolerance at a  
111 small number of Indo-Pacific reefs following successive bleaching events (Maynard *et al.*,  
112 2008; Berkelmans, 2009; Guest *et al.*, 2012; Penin *et al.*, 2013), indicating that adaptation or  
113 acclimatization to extreme temperature anomalies can occur naturally under certain  
114 circumstances. Conversely, the loss of >40% of the world's coral reefs over the past four  
115 decades (Burke *et al.*, 2011) and the extensive coral mortality experienced during the recent  
116 global bleaching event of 2014-16 (Eakin *et al.*, 2016; Normile, 2016) indicates that the rate  
117 of temperature increase is outpacing the natural rate of evolution of thermal tolerance in  
118 corals, threatening coral reef ecosystem persistence into the future. Edwards and Gomez  
119 (2007) concluded that "*there is little that managers can do in the face of the large-scale*  
120 *"natural" drivers of degradation such as climate change related mass bleaching, storms,*  
121 *tsunamis, and disease outbreaks.*" We have recently argued that this message may be overly  
122 pessimistic in relation to large scale drivers such as ocean warming, and that the climate-  
123 resilience of corals may be augmented through assisted evolution (van Oppen *et al.*, 2015).  
124 Such innovative management methods represent a major change to our thinking about and  
125 approach to coral reef restoration (i.e., a shifting paradigm) and would increase the  
126 probability of survival of corals used for restoring degraded reefs as well as enhance the  
127 resilience of remaining natural coral populations. The present opinion paper addresses a  
128 number of issues relevant in this context; it (1) discusses the need for consideration of

129 alternative ecosystems that maintain varying levels of functionality (i.e., diversity, goods and  
130 services) where a return to the historical ecosystem state is no longer feasible, (2)  
131 characterizes the ecosystem attributes and coral traits that are most critical for climate  
132 resilience, (3) discusses the challenges of interventions focused on enhanced climate  
133 resilience (assisted evolution), and (4) proposes a decision framework for the incorporation of  
134 assisted evolution into coral restoration practice. We provide criteria to guide coral reef  
135 managers in decision making for implementation of coral stock obtained *via* assisted  
136 evolution, with the goal of promoting more climate resilient reef ecosystems.

137

### 138 **Assisted evolution and related terms**

139 *Assisted evolution* is the acceleration of natural evolutionary processes to enhance certain  
140 traits (Jones & Monaco, 2009; van Oppen *et al.*, 2015). These processes include genetic  
141 adaptation, transgenerational changes through epigenetic mechanisms and modifications in  
142 the community composition of microbes associated with the target organism. For reef-  
143 building corals, we are currently evaluating whether environmental stress tolerance can be  
144 increased using the following assisted evolution approaches: (1) pre-conditioning or  
145 epigenetic programming, i.e., the exposure of adult coral colonies to environmental stress  
146 with the aim to induce heritable, increased stress tolerance and fitness in their offspring, (2)  
147 manipulation of the community composition of microbial organisms associated with the coral  
148 holobiont (the microbiome); corals associate with a wide range of microbial organisms,  
149 including *Symbiodinium*, prokaryotes, fungi and viruses, (3) laboratory evolution of cultured  
150 *Symbiodinium* under elevated temperature and  $p\text{CO}_2$  selection followed by inoculation of  
151 coral hosts with the evolved algal cultures, and (4) selective breeding of the coral host. The  
152 latter is guided by relative bleaching tolerance in sympatry (Fig. 1) or allopatry (e.g., along  
153 the latitudinal gradient on the GBR (van Oppen *et al.*, 2014; Dixon *et al.*, 2015)), ability of  
154 species to cross-fertilise and genetic markers of relative stress tolerance (Jin *et al.*, 2016).  
155 While assisted evolution is a holistic term that incorporates genetic, epigenetic and  
156 microbiome evolutionary changes, there are other terms used in the literature that focus  
157 specifically on genetic changes to increase the fitness of populations:

158

159 *Genetic rescue* (*sensu* restoration) (Tallmon *et al.*, 2004; Hedrick, 2005) is the improvement  
160 in reproductive fitness and increase in genetic diversity through outcrossing of a population  
161 previously suffering low genetic diversity and inbreeding depression. Genetic rescue is  
162 applicable to small threatened populations, and has been used successfully in conservation

163 efforts to recover populations of species such as the Florida panther (Johnson *et al.*, 2010),  
164 the mountain pygmy possum (Weeks *et al.*, 2015), the greater prairie-chicken (Bateson *et al.*,  
165 2014), an adder (Madsen *et al.*, 1999) and the Mexican wolf (Fredrickson *et al.*, 2007).

166  
167 *Assisted gene flow* (Aitken & Whitlock, 2013) is the managed movement of individuals with  
168 favourable traits (alleles/genotypes) into populations (unidirectional) to reduce local  
169 maladaptation to climate or other environmental change (either current or future change).  
170 Assisted gene flow can be used in the context of small and declining populations (Aitken &  
171 Whitlock, 2013) or keystone, foundation and resource-production species that have large  
172 population sizes (Broadhurst *et al.*, 2008; Aitken & Whitlock, 2013). Corals, as an example  
173 of a foundation species, have been proposed previously as candidates for assisted gene flow  
174 (Hoegh-Guldberg *et al.*, 2008; Riegl *et al.*, 2011) to counter the effects of climate change.  
175 While assisted gene flow has been proposed as a key conservation action to combat climate  
176 change and other threatening processes, relatively few examples of assisted gene flow are  
177 available in the literature.

178  
179 *Evolutionary rescue* refers to adaptation at a rate that results in survival of a population that is  
180 threatened with extinction (and characterized by a negative growth rate) by environmental  
181 change (Orr & Unckless, 2014). Small populations are less likely than large populations to  
182 experience evolutionary rescue because they are more likely to lack genetic variation  
183 necessary for adaptation and therefore at a higher risk of extirpation before rescue. Evidence  
184 for evolutionary rescue mostly comes from empirical experiments with microbes (Gonzalez  
185 & Bell, 2013). At a time of rapid environmental change, it is difficult to predict species and  
186 populations that will survive through evolutionary rescue (Aitken & Whitlock, 2013).

187  
188 Other terms are also used in the literature in the context of biodiversity conservation (e.g.,  
189 gene pool mixing, genetic adaptation, targeted gene flow, assisted migration), but are  
190 essentially similar to one of the above.

## 191 192 **Restoring coral reef ecosystems**

193 Ecological restoration is “the process of assisting the recovery of an ecosystem that has been  
194 degraded, damaged, or destroyed” (SER, 2004), where the restored community needs to be  
195 self-sustainable (SER, 2004; Edwards & Gomez, 2007). Traditionally, the focus of most  
196 restoration initiatives has been to return to a pre-disturbance state (Perring *et al.*, 2015), but

197 when ecosystems have changed beyond their long-term ‘natural’ variability it may not be  
198 practical or possible to restore them to their historical conditions. Unfortunately, this  
199 limitation is increasingly becoming the norm in terrestrial and marine ecosystems alike,  
200 including coral reefs. Climate change, poor water quality, coastal developments, destructive  
201 fishing, over-harvesting, and invasive species are among the many perturbations that in  
202 combination have altered the structure and species composition of coral reef ecosystems.  
203 Therefore, the broader and more flexible concept of “intervention ecology” (Hobbs *et al.*,  
204 2011), proposed originally for terrestrial systems, may be an appropriate consideration for  
205 coral reefs. Intervention ecology focuses on managing for future change but uses history to  
206 guide (1) the retention of historical states where possible, or (2) the development of new  
207 systems that meet desired ecosystem attributes (see below) and maintain the goods and  
208 services provided by the historical system (Jackson & Hobbs, 2009; Hobbs *et al.*, 2011;  
209 Higgs *et al.*, 2014).

210

211 Historical (pristine) coral reefs are generally characterized by high coral cover and  
212 recruitment rates, high fish biomass, and high algal grazing rates, resulting in extensive three  
213 -dimensionality and biodiversity (Graham *et al.*, 2013). A reduction in coral cover, fish  
214 biomass, biodiversity, and structural relief has occurred on many contemporary reef systems  
215 as a result of a number of anthropogenic disturbances (Pandolfi *et al.* 2003). Such reefs may  
216 still be dominated by coral but coral species composition may have changed, or they may  
217 have reached an alternative state dominated by other organisms, and it is unlikely a return to  
218 the historical state is possible (Graham *et al.*, 2013). If the historical ecosystem state is no  
219 longer attainable through natural recovery processes or through human intervention, either  
220 “hybrid” (those retaining some original characteristics as well as novel elements), or “novel”  
221 (those that differ in composition and/or function from present and past systems) ecosystems  
222 are two possible alternative restoration objectives that have been considered in terrestrial  
223 restoration initiatives (Hobbs *et al.*, 2009). Novel coral reef ecosystems, composed almost  
224 entirely of species that were not formerly native to the geographic location or that might  
225 exhibit different functional properties, or both (Hobbs *et al.*, 2009), are unlikely to be  
226 considered in coral reef restoration initiatives in the near future, but we propose that the  
227 hybrid system concept receives further attention. The challenge, however, is to define the  
228 desired attributes of hybrid ecosystems (i.e., the restoration goals) and the interventions  
229 required for establishing and maintaining alternative ecosystem states (i.e., hybrid  
230 ecosystems), as restoration goals are context dependent and will differ between locales.

231 Defining these is critical for developing the actions required for restoration, and for  
232 identifying the coral traits that should be targeted and improved using assisted evolution  
233 methods.

234

235 Coral reefs are integral to coastal and economic stability and valued in the billions of dollars  
236 annually (Costanza *et al.*, 1997, 2014; Bishop *et al.*, 2012; Stoeckl *et al.*, 2014). Therefore,  
237 primary considerations for restoration include the attributes: coral cover, biodiversity, self-  
238 sustainability, functional diversity and redundancy, structural complexity (Kuffner & Toth,  
239 2016) and chiefly, resilience (i.e., the magnitude of the perturbation that can be buffered by  
240 an ecosystem prior to changes in ecosystem structure (Holling & Gunderson, 2001)). Live  
241 coral cover is an important reef ecosystem attribute and one of the most widely used metrics  
242 of coral reef performance world-wide (Gardner *et al.*, 2003; De'ath *et al.*, 2009; Edmunds *et*  
243 *al.*, 2014). For example, scleractinian (stony) coral cover is the primary explanatory variable  
244 of fish abundance at Lizard Island (GBR), in comparison with other attributes such as  
245 specific coral morphology cover (i.e., branching, corymbose, or massive), benthic habitat  
246 diversity and complexity, and species richness (Komyakova *et al.*, 2013). This suggests a  
247 critical need to maintain both coral cover and diversity at a locally informed threshold in the  
248 hybrid ecosystem state; coral reef structure and function can be strongly location-specific  
249 (e.g., low diversity functional reefs like the Eastern Tropical Pacific and Hawaii versus  
250 diverse reefs such as in the central Indo-Pacific). For Caribbean reefs it has been suggested  
251 that ~10% live coral cover is critical for maintaining positive calcium carbonate production  
252 rates and thus reef growth (Perry *et al.*, 2013).

253

254 Self-sustainability at a locally defined amount of mean coral cover and diversity that is able  
255 to support a locally defined amount of diversity of other reef organisms (i.e., a benefit to the  
256 natural organisms that comprise the ecosystem) is another desired attribute. Further, the  
257 system should have the capacity to adapt to future environmental perturbations. The broad  
258 strategy of maximizing genetic and epigenetic variation upon which selection can act in  
259 stochastic environments should be used as part of the management portfolio. We recognize  
260 that not all perturbations are predictable, but for the primary elements of concern at the global  
261 scale such as increased water temperature and ocean acidification, actions can be taken for  
262 enhancing adaptation and acclimatization to such stressors (Dixon *et al.*, 2015; Putnam *et al.*,  
263 2016), while considering potential ecological trade-offs as a consequence of the enhanced  
264 traits. For instance, thermal tolerance acquired by hosting clade D *Symbiodinium* is associated



265 with slower growth (Little *et al.*, 2004), as well as lower lipid storage, and smaller egg sizes  
266 during reproduction in the coral, *Acropora millepora* (Jones & Berkelmans, 2011).

267

268 Further, it is well established that coral reefs are major biodiversity “hotspots” (Roberts *et al.*,  
269 2006) and that sustaining biodiversity provides ecosystem function as well as goods and  
270 services (Mace *et al.*, 2012). Functional redundancy, i.e., different species with similar roles  
271 in communities that can be substituted with little impact on ecosystem processes and  
272 function, will enhance or protect ecosystem performance under environmental perturbation  
273 (Nystrom, 2006). For example, functional redundancy resulted in a regime shift from an algal  
274 to coral dominated state, not due to the presence of large herbivores typical to reefs  
275 (parrotfish and surgeon fish) as expected, but to the functional redundancy of a batfish  
276 (*Platax pinnatus*) in a primary herbivore role (Bellwood *et al.*, 2006). It is therefore  
277 recommended to ensure functional redundancy remains.

278

#### 279 **Critical coral traits for climate resilience: targets for assisted evolution**

280 Ocean warming and acidification are the main stressors related to increasing greenhouse gas  
281 concentrations in the atmosphere that threaten scleractinian corals, the system engineers of  
282 coral reefs. Related to climate warming are a number of additional perturbations that impact  
283 negatively on reef-building corals, i.e., more extreme wet seasons causing seawater salinity to  
284 drop and influxes of pollutants and nutrients to rise, an increase in disease incidence  
285 (Maynard *et al.*, 2015), and an increased frequency and intensity of storms and cyclones.  
286 Therefore, the critical climate resilience traits of corals include tolerance to warmer and  
287 acidified waters, disease resistance, tolerance to fluctuations in salinity and exposure to  
288 nutrients, herbicides and other pollutants, and higher skeletal densities to better withstand  
289 storms and cyclones and to maintain the ability to provide coastal protection. To obtain corals  
290 with these traits, some approaches can be guided by coral phenotypes, but other methods  
291 require knowledge of the cellular processes and genetic architecture underpinning these  
292 desired traits. Considerable progress has been made in dissecting organismal responses to  
293 environmental stress (Kültz, 2003, 2005), including corals (Kenkel *et al.*, 2014), and we  
294 discuss how this knowledge can inform assisted evolution approaches to enhance coral stress  
295 tolerance.

296

297 Certain facets of the cellular stress response are not stressor-specific (Gasch *et al.*, 2000;  
298 Kültz, 2005; Anderson *et al.*, 2015). Instead, a diverse array of stressors lead to an increase of

299 toxic chemicals in the cell (particularly reactive oxygen species [ROS]), that cause damage to  
300 macromolecules (e.g., membrane lipids, DNA and proteins). The universal “minimal cellular  
301 stress response” has evolved to recruit the same set of cellular functions irrespective of the  
302 stressor. This includes cell cycle control, protein chaperoning and repair, DNA and chromatin  
303 stabilization and repair, removal of damaged proteins, and certain aspects of metabolism  
304 (Kültz, 2003). Further, while there are many taxon-specific stress response genes, many of  
305 the genes and proteins involved in the minimal cellular stress response are conserved across  
306 all kingdoms of life (Kültz, 2003). Targeting genes that underpin the minimal cellular stress  
307 response (for instance through marker-assisted selective breeding (Lande & Thompson,  
308 1990)) thus provides an opportunity to develop coral stock with enhanced tolerance to a  
309 number of stressors simultaneously. In support of this notion, a recent study showed that the  
310 same quantitative trait loci (QTLs) for antioxidant capacity in corals are informative for  
311 relative tolerance to temperature anomalies and poor water quality (Jin *et al.*, 2016). In  
312 another example, conspecific corals from a warm backreef location had higher levels of  
313 ubiquitin-conjugated protein than those from a cooler forereef location, which were  
314 maintained after transplantation to the cooler site (Barshis *et al.*, 2010). Ubiquitination is a  
315 process by which proteins are tagged for degradation and the cell rids itself of damaged  
316 proteins, and is an element of the minimal cellular stress response. Further, many coral and  
317 *Symbiodinium* gene expression studies have demonstrated that genes known to form part of  
318 the minimal cellular stress response (Kültz, 2003, 2005) are regulated in response to heat  
319 (DeSalvo *et al.*, 2008; Csaszar *et al.*, 2009; Voolstra *et al.*, 2009; DeSalvo *et al.*, 2010; Kenkel  
320 *et al.*, 2011; Meyer *et al.*, 2011; Barshis *et al.*, 2013; Polato *et al.*, 2013; Levin *et al.*, 2016),  
321 pollutants (Morgan *et al.*, 2005), UV radiation and salinity (Edge *et al.*, 2005). Innate  
322 immune response genes have also been found to be regulated in corals exposed to  
323 environmental stress (Barshis *et al.*, 2013; Pinzón *et al.*, 2015). This is unsurprising given  
324 high levels of ROS are known to trigger the coral host innate immune response (Weis, 2008).  
325 Other calcifying marine invertebrates, such as oysters, show regulation of the same sets of  
326 genes involved in innate immunity and the minimal cellular stress response when exposed to  
327 elevated temperature,  $p\text{CO}_2$  or infected with a pathogen (Anderson *et al.*, 2015). The  
328 increased climate resilience in the Sydney oyster as a by-product of selective breeding for  
329 pathogen resistance (Parker *et al.*, 2011; Thompson *et al.*, 2015), confirms that selection on  
330 components of the minimal cellular stress response may have positive effects on tolerance to  
331 a number of different stressors. Such cross-tolerance has also been documented for other  
332 organisms including crop plants (Perez & Brown, 2014).

333

334 The existence of a universal, minimal cellular stress response further indicates that enhanced  
335 resistance of corals to stressors such as temperature and  $p\text{CO}_2$  may be accomplished by  
336 exposure to another (and perhaps single) stressor that is easy to simulate in the laboratory,  
337 such as high light intensity, and perhaps can even be applied at small scales in the field.  
338 Higher levels of natural solar radiation experienced by one side (the west side) of  
339 hemispherical colonies of the coral, *Goniastrea aspera* (proposed reclassification: *Coelastrea*  
340 *aspera*; Huang *et al.*, 2014), subsequently conferred increased thermal bleaching tolerance to  
341 the west sides compared to their east sides (Brown *et al.*, 2002; Brown & Dunne, 2008).  
342 These results support the presence of (minimal) stress responses in corals that are not specific  
343 to a particular stressor, and justify further research to explore the efficacy of conditioning  
344 with only one stressor to attempt the augmentation of general stress tolerance in corals.  
345 However, this field of research is still in its infancy, with some studies showing contrasting  
346 effects. For instance, laboratory pre-conditioning of the coral *Porites porites* with elevated  
347  $p\text{CO}_2$  resulted in slower rates of calcification and feeding when they were subsequently  
348 submitted to experimental heat stress (Towle *et al.*, 2016). Further, while colonies of  
349 *Acropora aspera* enhanced their thermal bleaching tolerance following pre-conditioning with  
350 heat, this was not the case for *A. millepora* (Middlebrook *et al.*, 2008). Photosymbionts  
351 inhabiting *A. millepora* colonies that were pre-conditioned by warming had improved their  
352 ability to dispose of excess light energy as heat compared to those in non-conditioned  
353 colonies, but were no more tolerant to subsequent bleaching (Middlebrook *et al.*, 2012).  
354 Positive transgenerational acclimatization and parental effects have been documented in the  
355 coral *Pocillopora damicornis* following preconditioning of parents to high temperature and  
356  $p\text{CO}_2$ , but the relative frequency and importance of this transgenerational plasticity is even  
357 less well understood (Putnam & Gates, 2015).

358

### 359 **Integration of assisted evolution into coral reef restoration: a decision tree**

360 van Oppen *et al.* (2015) previously proposed four approaches to develop coral stock with  
361 enhanced environmental stress resistance, and research is underway to assess the value of  
362 each of these in different environmental settings. It is important that assisted evolution  
363 becomes embedded within coral reef restoration initiatives, because the worldwide extensive  
364 loss of coral cover suggests natural rates of evolution of stress tolerance are too slow to  
365 maintain functional coral reef ecosystems into a future characterized by rapid climate change.  
366 As with any restoration initiative, assisted evolution approaches need to be guided by

367 historical information, contribute to the restoration of ecological structure and function, and  
368 developed stock needs to have the ability to adapt further to contemporary selection pressures  
369 (i.e., sufficient levels of genetic diversity need to be maintained). This means that coral stock  
370 enhanced for climate resilience needs to be developed for a number of coral species  
371 representing different functional groups, including the rapidly growing branching corals, as  
372 well as species with massive and encrusting morphologies. We suggest a process that  
373 considers the lowest levels of intervention first, and progressing to more aggressive  
374 intervention only when necessary (Edwards & Clark, 1999; Jones, 2003; Edwards & Gomez,  
375 2007; Hobbs *et al.*, 2014). The process is iterative and forms part of an adaptive management  
376 framework, the outcomes of which feed back into the process with the aim of improved reef  
377 status.

378

379 One of the initial considerations of this approach is to determine whether restoration is  
380 required (Fig. 2). Restoration may be desired under a number of scenarios, including when  
381 coral cover is approaching or has declined below a certain threshold, or when coral  
382 functional, species or genetic diversity has declined significantly. If restoration is desired, an  
383 assessment of recoverability is necessary, as a reef may not be currently recoverable when for  
384 example it is chronically polluted, it has no or few herbivores, it has high numbers of  
385 predators such as crown-of-thorns starfish (COTS) or is exposed to a high disturbance  
386 frequency. In those instances, strategies to enhance recoverability would be the primary  
387 intervention effort, such as catchment management, the establishment of marine protected  
388 areas and/or no-take zones, macroalgal removal, and active COTS control (Anthony 2016).

389

390 If a reef is deemed in need of restoration and is also recoverable, the next step is to explore  
391 the key missing links in the recovery chain, i.e., are the physical structures of the reef and  
392 microbial biofilms suitable for larval recruitment (suitability) and is larval supply sufficient  
393 (connectivity/supply). If a sufficiently large number of larvae reach the reef, but recruitment  
394 is poor, options to enhance recruitment include: removal of fine sediments or deployment of  
395 artificial reef settlement structures, the optimization of the three-dimensionality of  
396 recruitment surfaces, and the coating of recruitment surfaces with biota and semiochemicals  
397 (i.e., chemical signals from one organism that modify the behaviour of a recipient organism)  
398 that induce attachment and metamorphosis in coral larvae (Negri *et al.*, 2003; Webster *et al.*,  
399 2004; Tebben *et al.*, 2011; Tebben *et al.*, 2015). If the reef substratum is healthy and suitable  
400 for larval recruitment but few larvae reach the reef, the number of larvae reaching the reef

401 substratum can be actively increased by collecting coral spawning slicks, rearing the embryos  
402 to mature larvae in *in situ* floating nurseries, and pumping mature larvae onto the substratum  
403 (Heyward *et al.*, 2002; Edwards *et al.*, 2015). Alternatively, larvae can be reared *ex situ* and  
404 subsequently released onto the reef (Guest *et al.*, 2014; Chamberland *et al.*, 2015), or gravid  
405 colonies can be transplanted prior to the reproductive season (Horoszowski-Fridman *et al.*,  
406 2011). A combination of additional physical structures, optimization of the recruitment  
407 surfaces and enhancement of larval supply may also be considered.

408  
409 A key issue in coral reef restoration is the resilience of the coral stock used for restoration.  
410 Early coral life stages generally have very high levels of mortality during their first year of  
411 life (Wilson & Harrison, 2005; Edwards & Gomez, 2007; Guest *et al.*, 2014). Survival of  
412 early recruits may be increased through minimizing overgrowth by filamentous algae by  
413 coating settlement surfaces with non-toxic antifoulants (Tebben *et al.*, 2014), an approach  
414 that has not yet seen any large-scale testing, or through the use of a protected nursery grow-  
415 out stage to allow the recruits to increase in size before deployment onto the reef. Most coral  
416 reef restoration initiatives have used coral fragments obtained by breaking adult coral  
417 colonies into smaller pieces, and in some cases fragments are subsequently attached to a line  
418 or hard substrate and grown out in an *in situ* floating nursery before being explanted into the  
419 reef environment (Rinkevich, 2014). This approach overcomes the high mortality associated  
420 with small recruit size but has a number of disadvantages, including the generally low  
421 genotypic diversity in the restoration stock obtained in this way and the possible negative  
422 impact it has on the reef, as healthy corals are sacrificed to produce the fragments.

423  
424 The enhancement of coral resilience to environmental stress through assisted evolution is  
425 aiming at increasing survival of coral stock used for restoration (van Oppen *et al.*, 2015).  
426 Within two of the proposed assisted evolution approaches for corals (modification of  
427 microbial community composition and selective breeding), the level of intervention can be  
428 scaled based on the genetic correspondence of the enhanced material to the native stock. Our  
429 guidelines follow those of rangeland restoration practitioners (Jones, 2003), who recommend  
430 that in the development of more resilient stock the most “local” options must always be  
431 considered before any non-native ones. There is extensive evidence for local adaptation in  
432 corals (Berkelmans & van Oppen, 2006; Dixon *et al.*, 2015). Correspondingly, the different  
433 options for sourcing stress-resistant microbes (e.g., algal endosymbionts, prokaryotes, fungi)  
434 to inoculate corals are colonies growing on the same reef, a more distant reef in the same

435 region, or from a completely different part of the world (Riegl *et al.*, 2011). For selective  
436 breeding, intraspecific hybridization can be conducted using colonies from distinct habitats  
437 on the same reef (e.g., slope and flat), colonies from nearby reefs, or colonies from more  
438 distant reefs. Alternatively, colonies belonging to different species can be crossed to create  
439 interspecific hybrids (Willis *et al.*, 1997). It should be noted that even if a genetically more  
440 distant breeding stock is initially used to develop the desired stock, backcrossing to the native  
441 population for multiple generations may increase the proportion of native genetic material.  
442 Subsequent inter-crossing, in combination with selection for the desired trait at each  
443 generation, may result in increased fitness. Resistance to fungal blight disease has been  
444 introduced into the American chestnut in this manner. The American chestnut once  
445 dominated North America, but was decimated following the introduction of a fungus over a  
446 century ago that causes chestnut blight. Initially, the American chestnut was hybridized with  
447 the Chinese chestnut (which has blight resistance encoded by a number of genes that are  
448 absent in the American chestnut), generating an F1 generation (50% American chestnut).  
449 Three backcross generations to the American chestnut followed by two generations of inter-  
450 crossing has resulted in a BC<sub>3</sub>F<sub>3</sub> generation (94% American chestnut), but with enhanced  
451 disease resistance compared to the original American chestnut (Clark *et al.*, 2016).

452  
453 In an alternate approach to develop blight resistant American chestnut trees, an oxalate  
454 oxidase gene from wheat was inserted into the American chestnut genome through genetic  
455 transformation; the transgenic trees show enhanced pathogen resistance (Zhang *et al.*, 2013;  
456 Newhouse *et al.*, 2014) because the enzyme product directly neutralizes the main weapon of  
457 the fungus, oxalate. While genetic engineering techniques can be challenging, especially in  
458 non-model organisms, and also tend to receive considerable public resistance, such  
459 approaches may produce desirable results faster and at a lower cost compared to selective  
460 breeding (Dominguez *et al.*, 2015; Bolukbasi *et al.*, 2016). However, a detailed understanding  
461 of the disease etiology and the cellular pathways underlying environmental stress responses is  
462 required to direct such biotechnological approaches. In this context, the development of  
463 QTLs for environmental stress tolerance in corals (Jin *et al.*, 2016), and the growing body of  
464 knowledge on the interactions between coral host and *Symbiodinium* symbionts (Barott *et al.*,  
465 2015; Parkinson *et al.*, 2015), the host and symbiont genes regulated in response to stress  
466 (Barshis *et al.*, 2013; Levin *et al.*, 2016) or under selection from environmental variables such  
467 as temperature (Lundgren *et al.*, 2013; Bay & Palumbi, 2014), are important developments.

468

469 All of the interventions listed above must be guided by agreed-upon restoration goals and be  
470 subjected to rigorous risk-benefit analyses that incorporate both ecological/evolutionary  
471 impacts on coral reef ecosystems as well as socio-economic aspects such as the cost and  
472 public acceptance of the intervention. These analyses will assist in the development of a  
473 regulatory framework to decide whether an intervention should/can be implemented and  
474 when. The first steps to implementing restoration of a reef using modified stock would be  
475 controlled laboratory trials, followed by small-scale field trials, for example on isolated reefs  
476 that do not provide surrounding reefs with dispersing coral larvae. Hence, knowledge of reef  
477 connectivity and gene flow is a critical component of the risk/benefit analyses.

478

479 **A hypothetical example of how to use the proposed decision tree (Fig. 2): the 2016**  
480 **bleaching event on the GBR**

481 In early 2016, the GBR experienced the most severe coral bleaching event on record. More  
482 than 50% of coral was lost from many reefs in the northern third of the GBR as a  
483 consequence, with little to no bleaching-related mortality observed in the central and southern  
484 sectors of the GBR (Great Barrier Reef Marine Park Authority, 2016; The Conversation  
485 2016a). This contrasts with the patterns of other severe mass bleaching events on the GBR  
486 where the greatest impacts were recorded in the central and southern GBR (Berkelmans *et al.*,  
487 2004).

488

489 “Is restoration needed?” is the first point in the suggested decision tree (Fig. 2). There are  
490 many questions about the prospect for the far northern GBR to recover naturally. Will the  
491 remaining corals be able to produce sufficient larvae that can recruit onto the denuded areas?  
492 Will coral larvae from the Torres Strait and Papua New Guinea to the north, from the Coral  
493 Sea to the east, from more southern GBR reefs, or from deeper waters be dispersed and  
494 recruit to the northern GBR and help restore coral cover and diversity? Has there been a shift  
495 in coral community composition, with some of the more bleaching-sensitive taxa being  
496 specifically decimated? The answers to these questions are mostly unknown and are being  
497 assessed with ongoing surveys following the bleaching event. If coral cover shows few or no  
498 signs of recovery over the next several years, active restoration efforts may be desired.

499

500 “Is the coral community recoverable?” is the next question in the decision tree. Given there is  
501 no substantial coastal development north of Cooktown and water quality is good, the answer

502 to this question will likely be ‘yes’. This will also depend on the progression of a COTS  
503 outbreak which is currently taking place on the GBR.

504

505 “Are reef structure and larval supply adequate for new recruitment?” Surveys are required to  
506 examine whether reefs have accumulated a large amount of rubble and/or sediment, which  
507 would reduce successful larval settlement and juvenile survival. While unsuitable reef  
508 structures are more likely to be an issue in the case of disturbances such as ship groundings or  
509 cyclones rather than bleaching, reefs that are denuded of coral may erode and lose their three-  
510 dimensional structure. If the reef structure is appropriate, the question is whether larval  
511 supply is sufficient for natural recovery to occur. This can be assessed based on the numbers  
512 of new recruits observed on the northern reefs over the next few years. Population  
513 genetic/genomic studies in the northern GBR and surrounding regions provide insight into  
514 patterns of coral dispersal. *Acropora* coral populations in the northern GBR have been shown  
515 to be largely open with high levels of gene flow, suggesting that natural larval supply from  
516 within the northern GBR can be high (van Oppen *et al.*, 2011; Lukoschek *et al.*, 2016), but  
517 dispersal in brooding corals is likely to be more restricted and connectivity patterns are more  
518 complex (Torda *et al.*, 2013; Warner *et al.*, 2015). Connectivity with the Torres Strait, Papua  
519 New Guinea or the Coral Sea is not well understood, and should be examined further.  
520 Biophysical models (Luick *et al.*, 2007; Hock *et al.*, 2014; Thomas *et al.*, 2014) are not well  
521 developed for corals in the northern GBR and surrounding regions, hence this is another area  
522 of research requiring more attention.

523

524 The next step in the decision tree is to “select and develop restoration strategy”. The preferred  
525 strategy will depend on in-field observations. If recruit survivorship is low, but further  
526 temperature anomalies or other significant disturbances have been absent, the bleaching event  
527 and coral loss may have disturbed the natural microbial biofilms lining the reef substratum,  
528 affecting juvenile coral fitness traits, such as growth rate and competitive ability. Little is  
529 known about the composition of a healthy microbial biofilm and whether or how it can be  
530 modified or restored. It is feasible that a dipstick-type biosensor for rapid, simple and  
531 inexpensive microbiome DNA testing could be developed in the next 5 to 10 years, provided  
532 this research is appropriately resourced. If the bleaching event has caused an imbalance  
533 between coral and algal cover, then competition for space with benthic algae may have  
534 become so intense that coral recruit survival becomes too low to restore coral cover. The use  
535 of larvae settled *ex situ* onto settlement substrata that contain antifouling coating (Tebben *et*



536 *al.*, 2014), followed by deployment onto the disturbed reefs may be considered. *Ex situ*  
537 settlement of larvae allows for the simultaneous use of coral stock enhanced for thermal  
538 tolerance in order to prepare the reef for recurring temperature extremes.

539

540 Another approach under this hypothetical example is to take a proactive stance and increase  
541 stress resistance in corals along the length of the GBR in response to the recent extensive  
542 coral mortality in the northern GBR. Such an early intervention approach would require the  
543 implementation of assisted evolution methods and the deployment of stock with enhanced  
544 environmental stress tolerance onto healthy reefs with the aim to increase resilience. At  
545 present, the assisted evolution tools have neither been sufficiently developed nor their risks  
546 and benefits assessed to permit taking this step. We encourage investment in this research  
547 area so that assisted evolution and the use of coral stock enhanced for environmental stress  
548 tolerance can be realistically evaluated for coral reef restoration initiatives as necessity  
549 dictates in the near future.

550

## 551 **Conclusions**

552 We are entering an era of innovative coral reef restoration in the next 5-10 years, which may  
553 include the use of (semio)chemicals, optimized biofilms, and modified coral stock. We  
554 acknowledge that assisted evolution approaches in corals are in the proof-of-concept stage,  
555 and the scaling up of current experiments both spatially and across taxa and functional groups  
556 is eventually required for these to be implemented in coral reef restoration efforts.  
557 Advancement of methods for the large-scale rearing and deployment of coral stock  
558 manipulated for enhanced stress resistance is therefore urgently required. A pressing need  
559 also exists to preserve a representative portion of the extant genetic diversity by establishing  
560 coral and *Symbiodinium* genomic repositories using cryo-preservation (Hagedorn *et al.*,  
561 2012), analogous to seed banks established for plants (Westengen *et al.*, 2013; Haidet &  
562 Olwell, 2015). Finally, an active dialogue between scientists, coral reef managers, policy  
563 makers, politicians and the general public needs to occur at all steps in the decision tree. In  
564 this way, we will ensure stakeholder involvement in setting directions and priorities for the  
565 research and development aspects of reef restoration, as well as practical uptake of strategies  
566 and optimal restoration practice in the future.

567

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953

954 **Figure 1: Intraspecific variation in bleaching tolerance in sympatry.**  
955 Two adjacent *Orbicella faveolata* colonies in the upper Florida Keys showing different  
956 bleaching responses to thermal stress in September 2015. Photocredit: NOAA-Southeast  
957 Fisheries Science Center.

958

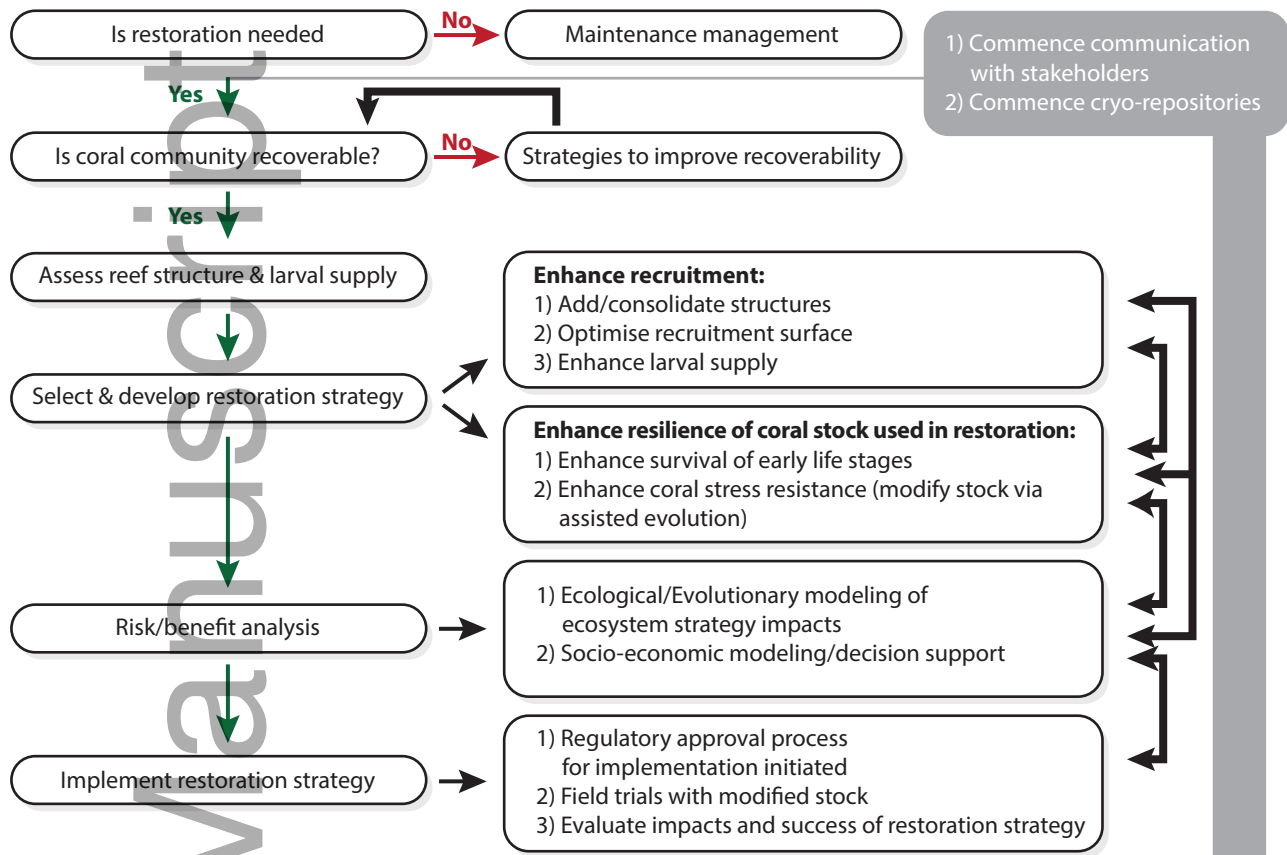
959 **Figure 2: Proposed decision tree for coral reef restoration including assisted evolution.**  
960 The various steps in the tree are explained in the section *Integration of assisted evolution into*  
961 *coral reef restoration: a decision tree* in the text. The selection of the restoration strategy  
962 depends on the causes underlying the lack of recovery as well as the restoration targets (e.g.,

963 historical or hybrid ecosystem, percent coral cover, coral diversity, etc.). The process is  
964 iterative and forms part of an adaptive management framework, the outcomes of which feed  
965 back into the process with the aim of improved reef status. Communication strategies and  
966 cryo-repositories are ongoing throughout the process.

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