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DR. ANDREW K CARLSON (Orcid ID : 0000-0002-6681-0853)  
DR. MALIN PINSKY (Orcid ID : 0000-0002-8523-8952)  
MICHAEL JOHN WEBER (Orcid ID : 0000-0003-0430-3087)

Article type : Feature

Feature

**Stepping Up: A U.S. perspective on the 10 Steps to Responsible Inland Fisheries**

Andrew K. Carlson | Princeton University, Department of Ecology and Evolutionary Biology and High Meadows Environmental Institute, M30 Guyot Hall, Princeton, NJ 08544. Email: andrewkc@princeton.edu

William W. Taylor | Michigan State University, Center for Systems Integration and Sustainability, Department of Fisheries and Wildlife, East Lansing, MI

Dennis R. DeVries | Auburn University, School of Fisheries, Aquaculture, and Aquatic Sciences, Auburn, AL

C. Paola Ferreri | Pennsylvania State University, Department of Ecosystem Science and Management, University Park, PA

Michael J. Fogarty | Northeast Fisheries Science Center, National Oceanic and Atmospheric Administration, Woods Hole, MA

Kyle J. Hartman | West Virginia University, Division of Forestry and Natural Resources, Morgantown, WV

Dana M. Infante | Michigan State University, Department of Fisheries and Wildlife, East Lansing, MI

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1002/FSH.10695](https://doi.org/10.1002/FSH.10695)

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27 Michael T. Kinnison | University of Maine, Maine Center for Genetics in the Environment and  
28 School of Biology and Ecology, Orono, ME  
29 Simon A. Levin | Princeton University, Department of Ecology and Evolutionary Biology and  
30 High Meadows Environmental Institute, Princeton, NJ  
31 Richard T. Melstrom<sup>10</sup>, | Loyola University Chicago, School of Environmental Sustainability,  
32 Chicago, IL  
33 Raymond M. Newman<sup>11</sup>, | University of Minnesota, Department of Fisheries, Wildlife, and  
34 Conservation Biology, St. Paul, MN  
35 Malin L. Pinsky | Rutgers University, Department of Ecology, Evolution, and Natural Resources,  
36 New Brunswick, NJ  
37 Daniel I. Rubenstein<sup>13</sup>, | Princeton University, Department of Ecology and Evolutionary Biology  
38 and High Meadows Environmental Institute, Princeton, NJ  
39 S. Mažeika P. Sullivan | The Ohio State University, School of Environment and Natural  
40 Resources, Columbus, OH  
41 Paul A. Venturelli | Ball State University, Department of Biology, Muncie, IN  
42 Michael J. Weber | Iowa State University, Department of Natural Resource Ecology and  
43 Management, Ames, IA  
44 Melissa R. Wuellner | University of Nebraska at Kearney, Department of Biology, Kearney, NE  
45 Gayle B. Zydlewski<sup>18</sup> | University of Maine, Maine Sea Grant and School of Marine Sciences,  
46 Orono, ME

47

#### 48 **Abstract**

49 The 10 Steps to Responsible Inland Fisheries are global recommendations to address the  
50 subordinate position of inland fisheries in sustainability dialogues. Regional and local  
51 perspectives are essential for implementing global initiatives. Hence, we surveyed state fisheries  
52 agency administrators and American Fisheries Society Governing Board members about the  
53 importance, funding, and achievability of the Steps. Respondents rated Science, Communication,  
54 and Assessment as highly important, well-funded, and achievable steps, unlike Aquaculture and  
55 a Global Action Plan. Nutrition was rated the most inadequately supported yet achievable step,  
56 highlighting an opportunity to promote nutritional contributions of inland fisheries. Opinions  
57 were similar between administrators and governing board members across U.S. regions,

58 suggesting a foundation for incorporating underemphasized Steps into management programs by  
59 building multi-organizational partnerships and applying lessons from better integrated steps (e.g.,  
60 Science, Assessment). Overall, the Steps can advance freshwater science and management in the  
61 United States while increasing the visibility of inland fisheries that are rarely prioritized globally.

## 62 **INTRODUCTION**

63 Inland fisheries are often overlooked in national and global policy discussions (Cooke et  
64 al. 2016). This is problematic because inland fisheries—systems of inland fish, habitats, and  
65 human users and associated nutritional, economic, cultural, and recreational contributions  
66 (Taylor and Bartley 2016)—play a crucial role in human health and livelihoods, particularly in  
67 rural, low-income, and food-insecure regions, including many areas with Indigenous populations  
68 (Cooke et al. 2016; Islam and Berkes 2016). Inland fisheries represent a large share of global  
69 fisheries output, and official statistics likely undercount true catches (Welcomme 2011). Current  
70 estimates indicate that 40% of all finfish production originates from inland capture fisheries and  
71 aquaculture (FAO 2020). Moreover, inland aquaculture production accounts for more than half  
72 of global aquaculture output, growing faster than marine aquaculture production and both marine  
73 and inland capture fisheries landings in recent years (Figure 1; FAO 2020). Greater recognition  
74 of these contributions is crucial for raising the profile of inland capture fisheries and aquaculture.

75 More than 200 scientists, policymakers, resource managers, and industry representatives  
76 gathered in Rome in January 2015 for a global conference that focused on increasing the  
77 visibility of inland fisheries. The resultant Rome Declaration provides international recognition  
78 of the importance of inland fisheries for human health and wellbeing, while highlighting unique  
79 challenges of inland fisheries management (Taylor and Bartley 2016). More than many marine  
80 fisheries, stock health in inland fisheries is influenced by the individual, overlapping, and  
81 cumulative impacts of habitat loss and impairment, eutrophication, climate change, species  
82 invasion, and other stressors beyond exploitation that disproportionately affect freshwater  
83 systems (e.g., water shortages, migration barriers, unsustainable development; Reid et al. 2018;  
84 FAO 2020). Furthermore, inland fisheries management and governance are intertwined in the  
85 social and cultural constructs of many societies, implying that unfairness and inequity in fisheries  
86 have large impacts on peoples that rely on fish for food, nutrition, and livelihoods (Islam and  
87 Berkes 2016; Taylor and Bartley 2016). Thus, decision makers are also challenged with

88 recognizing and rectifying complex issues at the nexus of inland fisheries and environmental  
89 justice.

90 The Rome Declaration included 10 Steps to Responsible Inland Fisheries (hereafter, the  
91 Steps; Table 1), a set of recommendations to help raise the profile of inland fisheries across  
92 sectors and geographies when making decisions that impact their viability and productivity  
93 (Taylor and Bartley 2016). The Steps follow a logical progression of generating biological and  
94 ecological knowledge about fisheries, assessing their multidimensional value (e.g., economics,  
95 ecology, nutrition, livelihoods), developing management and governance programs (using  
96 science, communication, and sectoral collaboration), respecting stakeholder equity, working with  
97 aquaculture, and creating a global action plan. Whereas inland fisheries can include aquaculture,  
98 authors of the 10 Steps treated inland fisheries and aquaculture as separate sectors, with  
99 emphasis on identifying linkages and synergies between them (e.g., Step 9: “Make aquaculture  
100 an important ally”). To date, global progress toward achieving the Steps has been mixed, and  
101 notably limited for Governance, Equity, and Action Plan (Lynch et al. 2020), perhaps because  
102 the Steps have generally been viewed through a broad spatial lens that tends to overlook the  
103 regional and local considerations that are necessary for implementing global initiatives. In  
104 addition, variability in awareness of and opinions about the Steps among fisheries professionals  
105 is largely unknown. Therefore, it is valuable to characterize and compare perspectives on the  
106 Steps among fisheries professionals from management jurisdictions with differing priorities,  
107 objectives, and practices (e.g., individual U.S. states) to lay a foundation for intra- and  
108 international implementation of the Steps. Recognizing that global implementation of the Steps  
109 has already been reviewed (Lynch et al. 2020), and will require coordinated efforts among many  
110 nations, we assessed regional and local perspectives on the Steps within the United States.

111 We evaluated opinions about the importance, funding, and achievability of the Steps  
112 among lead administrators (e.g., directors, chiefs) of U.S. state fisheries agencies (hereafter,  
113 administrators) and American Fisheries Society (AFS) Governing Board (GB) members. Authors  
114 of this study are partners in a multistate research project (USDA NIFA Project No. MICL04161,  
115 Multistate No. NC1189) focused on generating knowledge to support U.S. fisheries management  
116 (Carlson et al. 2019). In alignment with this goal, we surveyed administrators and GB members  
117 because of their role in steering and informing U.S. fisheries policy and management. Although  
118 the U.S. federal government, industry groups (e.g., American Sportfishing Association), and

119 advocacy organizations can play critical roles in fisheries conservation, it is principally state  
120 agencies that are tasked with managing U.S. inland fisheries.

121 Our goal was to shed light on: (1) the importance of the Steps for administrators and GB  
122 members at different scales (personal job duties, global advancement of inland fisheries), (2)  
123 opinions about how the Steps are funded within U.S. states and across the inland fisheries  
124 profession, and (3) opinions about achievability (relative ease/difficulty of accomplishment) of  
125 the Steps. Our overarching hypothesis was that rankings of the job–duty and global advancement  
126 importance of the Steps would vary within and between respondent groups, but ratings of  
127 funding and achievability would be relatively similar. Ultimately, we expected that limitations in  
128 fisheries management resources (e.g., time, money, personnel, equipment) would be more  
129 comparable across the inland fisheries profession than individual opinions about the importance  
130 of the Steps. Survey results could reveal regional and national patterns in U.S. inland fisheries  
131 management in relation to the Steps, provide insights for implementing the Steps at different  
132 scales, and offer guidance and justification for raising the profile of inland fisheries globally.

133

## 134 **METHODS**

135 We emailed Qualtrics questionnaires to administrators ( $n = 50$ ) and AFS GB members ( $n$   
136  $= 29$ ) in fall 2019. Questionnaires were identical except for a question in the GB survey  
137 regarding employer type (e.g., state agency, federal agency, university), which was unnecessary  
138 for state agency administrators. To ensure that respondents were familiar with the Steps, we  
139 described each step in the questionnaires and included web links to further information. Specific  
140 expertise on the Steps was not a prerequisite for informative responses. Indeed, we surveyed  
141 administrators and GB members because they occupied key positions in U.S. fisheries policy,  
142 management, or research. Examining administrator and GB member perspectives provided  
143 meaningful information for integrating the Steps into U.S. fisheries policies and management  
144 programs.

145 Questionnaires asked administrators and GB members about the percentage of work  
146 hours that they devote to various professional roles (e.g., manager, researcher, biologist) and the  
147 importance of the Steps for their job duties and for global advancement of inland fisheries (use of  
148 “importance” herein refers specifically to these contexts; Table 2). In addition, administrators  
149 and GB members were asked to rate step-specific funding (exceptional, adequate, inadequate, I

150 don't know) at two operational scales (U.S. state where they primarily work, profession-wide),  
151 as well as overall achievability (readily achievable, achievable with some difficulty, not  
152 achievable, I don't know). Survey participants could also suggest additional Steps and offer  
153 general comments (Table 2).

154 Both questionnaires included a letter explaining that participation was voluntary,  
155 confidential, and anonymous. Participants were also informed that they could skip questions that  
156 they preferred not to answer, and could withdraw from the survey at any time. We collaborated  
157 with survey specialists from several universities affiliated with the authors of this study to  
158 develop questionnaires that were concise, yet comprehensive in providing information necessary  
159 for evaluating perspectives on the Steps. The 11-question (administrator) and 12-question (GB  
160 member) surveys were approved by the Michigan State University Institutional Review Board  
161 (IRB STUDY00003043 and STUDY00003205, Exempt 2ii). Survey reminder emails were sent  
162 every 20 days between October 2019 and January 2020. A total of 49 people (27 administrators,  
163 54% response rate; 22 GB members, 76%) responded to the survey. None of the authors of this  
164 paper were survey respondents.

165 We analyzed the administrator and GB member surveys separately, but ultimately pooled  
166 responses because respondent groups exhibited no major differences. We analyzed categorical  
167 questions by calculating the percentage of respondents who selected each category. For the  
168 question regarding the amount of time that respondents devote to various professional roles, we  
169 calculated the mean percentage and standard error of the mean (SEM) for each role. We analyzed  
170 questions involving quantitative rankings (e.g., job-duty and global advancement importance of  
171 the Steps) by calculating median rankings on a scale from 1 to 10 (most important) and using  
172 Mann-Whitney U tests ( $\alpha = 0.05$ ) to compare job-duty and global advancement rankings for  
173 each Step.

174 Most respondents voluntarily identified the U.S. state in which they primarily work.  
175 Using this geographic information while maintaining respondent anonymity, we analyzed survey  
176 data by U.S. region as defined by the U.S. Census Bureau (2020). In particular, we compared  
177 respondents' job-duty and global advancement rankings of the Steps among northern  
178 (northeastern/Midwestern), southern, and western states using Kruskal-Wallis (KW) tests ( $\alpha =$   
179 0.05). We analyzed these regions because they encompassed responses from  $\geq 63\%$  of the total  
180 number of states in each region, a level deemed sufficiently representative for statistical analysis.

181 Moreover, we used Mann–Whitney *U* tests to compare step-specific rankings between inland and  
182 coastal (marine) states at job–duty and global advancement scales. To facilitate interpretation of  
183 our results, we illustrated existing linkages between the Steps and U.S. inland fisheries  
184 management using black bass *Micropterus* spp. as a model (Table 1), given the wide distribution,  
185 popularity, and socioeconomic importance of these fishes.

186

## 187 **RESULTS AND DISCUSSION**

188 Respondents averaged  $25 \pm 3$  years (95% CI) of professional fisheries experience.  
189 Whereas administrators worked for state fisheries agencies by definition, GB members worked  
190 for state agencies (45%) and universities/colleges (23%), along with federal fisheries agencies,  
191 consulting firms, nongovernmental organizations (9% each), and commercial aquaculture  
192 companies (5%). Respondents performed a variety of professional roles, including fisheries  
193 manager (mean 51% of work hours, SEM 11), director (18%, SEM 7), researcher (11%, SEM 6),  
194 biologist (9%, SEM 6), university faculty member (7%, SEM 5), consultant (3%, SEM 3),  
195 technician (<1%, SEM 0.4), and aquatic educator (<1%, SEM 0.4).

196

### 197 **Importance of the Steps**

198 Science and Communication received high job–duty and global advancement importance  
199 rankings, whereas Nutrition, Action Plan, and Aquaculture received low rankings (Table 3;  
200 Figures 2A, 2B). Rankings for individual steps were often variable among respondents, with  
201 most steps receiving multiple high and low importance rankings (Figures 2A, 2B). Nine Steps  
202 did not have statistically different job–duty and global advancement rankings. The only  
203 significant difference was a higher global advancement than job–duty ranking for Governance  
204 (Mann–Whitney  $U = 1425.5$ ,  $P = 0.025$ ), perhaps because the focus of this step—managing  
205 international and transboundary water bodies—was not a job duty for most respondents.  
206 Alternatively, perhaps Governance was thought to be effectively addressed by the job duties of  
207 U.S. fisheries professionals, making it a more critical Step internationally. It is important to  
208 recognize that the theme of Governance—developing policies and regulatory frameworks that  
209 integrate social, economic, political, and legal perspectives across individual, sectoral, and  
210 societal levels (Taylor and Bartley 2016)—is applicable to fisheries management in the United  
211 States and throughout the world. The USA has a robust system of state, federal, and tribal

212 fisheries management, science-based regulation, and industry-financed fisheries conservation,  
213 but U.S. fisheries professionals stand to benefit from learning more about how other nations  
214 manage their fisheries, which could foster innovative fisheries governance approaches and  
215 promote international partnerships for achieving the 10 Steps.

216 Like Governance, Nutrition received a higher global advancement than job–duty ranking  
217 (Table 3), perhaps because respondents did not focus on nutrition in their jobs. Alternatively, the  
218 nutritional contributions of inland fisheries may be less recognized in the United States than in  
219 countries where inland fish play a greater role in food security and supply (FAO 2020).  
220 However, inland fisheries provide nutritional benefits in the USA (Hunt et al. 2008; Cooke et al.  
221 2018, Embke et al. 2020) that are advancing Nutrition intranationally, while providing a template  
222 for continued research on linkages between inland fisheries production, food supply, and food  
223 security within and beyond the USA. Ultimately, putting the Steps into action will require  
224 integrating job–duty, regional, national, and international perspectives and cultivating  
225 partnerships at these scales to identify tradeoffs and synergies for implementation.

226 Amid limitations in time, money, and personnel, state fisheries agencies naturally tend to  
227 engage in problem-based management of the most pressing issues and species related to their  
228 state-specific mandated missions (Carlson et al. 2019). The result may be lower rankings for  
229 Steps that are unassociated with day-to-day management activities. Low rankings for Nutrition,  
230 Action Plan, and Aquaculture may reflect a tendency for these steps to be viewed as farther from  
231 the jurisdiction of state fisheries agencies than activities encompassed by higher ranked steps  
232 (e.g., Science, Communication). Human nutrition falls under the jurisdiction of health and safety  
233 rather than fisheries agencies in most states. Fisheries agencies that are responsible for health and  
234 safety generally have few nutrition staff, and tend to address nutrition only through fish  
235 consumption advisories (e.g., mercury). In addition, respondents may have ranked steps from the  
236 perspective of their employers, the majority of which were inland (rather than coastal) state  
237 fisheries agencies or universities/colleges that, in many cases, understandably prioritize fisheries  
238 management/research concerns that may not be related to Nutrition, Action Plan, and  
239 Aquaculture (Carlson et al. 2019). Moreover, respondents may have been unsure of whether or  
240 how to apply a “global” action plan locally and regionally. This is a promising area to apply  
241 lessons from fishes for which the Steps are already used (e.g., black bass; Table 1) to promote  
242 further application of the Steps to other species. Overall, our results suggest that advancing the



243 nutritional role of inland fisheries within the context of a broader reassessment and  
244 reprioritization of management actions is unlikely in the current management climate. Although  
245 agency missions may be largely defined in legislation and historical practices, the relatively low  
246 perceived importance of action planning at job–duty and global advancement scales suggests a  
247 possible vulnerability of U.S. inland fisheries to present and future social–ecological changes  
248 (climate change, species invasion, demographic and cultural shifts; Carlson et al. 2019).

249         The relative importance of the Steps was similar among respondents from different U.S.  
250 regions, with one exception. Northern U.S. respondents ranked Nutrition as more important for  
251 global advancement of inland fisheries than southern respondents (median ranking: 4 [northern],  
252 2 [southern]; KW test:  $\chi^2 = 7.10$ ,  $df = 2$ ,  $P = 0.029$ ; Figure 2B). Northern respondents also ranked  
253 Nutrition as more important for their job duties than southern respondents, but this difference  
254 was not statistically significant (median ranking: 4 [northern], 2.5 [southern]; KW test:  $\chi^2 = 1.88$ ,  
255  $df = 2$ ,  $P = 0.391$ ). Such regionally variable perspectives on Nutrition may reflect the prevalence  
256 of fish–food connections via commercial fishing, ice fishing (a primarily harvest/consumption-  
257 oriented activity), and the socially and culturally important practice of cooking and eating fish on  
258 shore immediately after capture (shore lunch) in some areas of the northern USA (Islam and  
259 Berkes 2016; Cooke et al. 2018). Moreover, southern respondents may have perceived  
260 commercial aquaculture, which is relatively common in the southern United States, to have  
261 limited relevance in the global sphere for advancing inland fisheries and associated issues (e.g.,  
262 food and nutrition security; Golden et al. 2017). These and other connection points to “fish as  
263 food” could scale up to influence regional patterns in respondent opinions regarding how  
264 Nutrition affects global advancement of inland fisheries.

265         Respondents from inland states ranked Aquaculture as more important for their job duties  
266 than respondents from coastal states (median ranking: 6 [inland], 3 [coastal]; Mann–Whitney  $U =$   
267 183.5,  $P = 0.036$ ), as did fisheries administrators from the western USA compared to those from  
268 the southern USA (median ranking: 8 [western], 3 [southern]; KW test:  $\chi^2 = 8.49$ ,  $df = 2$ ,  $P =$   
269 0.014). These results may reflect inland–coastal and western–southern differences in meanings  
270 of, and contexts for, aquaculture and corresponding variability in how respondents perceived  
271 Step 9 (“Make aquaculture an important ally”). Aquaculture has a long history in inland fisheries  
272 management through hatchery-based stocking programs (e.g., black bass, trout; Table 1),  
273 particularly those that are operated by state freshwater fisheries agencies (Halverson 2008),

274 which may help explain inland–coastal differences observed herein. Aquaculture also has a rich  
275 history in the southern USA, where it may already be viewed as a central component of fisheries  
276 management (i.e., it has already been “made an ally”), or it may be viewed as an agricultural  
277 practice separate from fisheries management. The low overall importance of Aquaculture (Table  
278 3) is consistent with a recent survey of state fisheries agency administrators (Carlson et al. 2019),  
279 wherein aquaculture was a relatively low ranked management issue. It has been predicted that  
280 abundant stocking programs, tribal fisheries management, and competing demands for  
281 freshwater resources in the western USA (NWIFC 2019) could cause Aquaculture to be  
282 relatively highly ranked in that region compared to other regions (Carlson et al. 2019), as  
283 observed herein.

284

### 285 **Adequacy of Prioritization and Funding**

286 Ratings of in-state prioritization and funding varied among the Steps. Science was the  
287 highest-rated Step (42% “exceptional,” 52% “adequate”), and three other Steps (Assessment,  
288 Communication, Governance) received  $\geq 68\%$  “exceptional” or “adequate” ratings (Table 4). In  
289 contrast, Nutrition and Water were rated the most ineffectively addressed Steps, both receiving  
290 52% “inadequate” ratings. Relatively large percentages of respondents were uncertain (i.e.,  
291 offered “I don’t know” responses) about in-state prioritization and funding of Action Plan (42%),  
292 Nutrition (21%), and Aquaculture (15%; Table 4), again suggesting that these Steps might be  
293 viewed as outside the jurisdiction of state fisheries agencies. This result indicates an information  
294 or jurisdictional gap, and a need for multi-agency collaboration on a regional or global action  
295 plan underscoring nutritional contributions of inland fisheries (Taylor and Bartley 2016) and  
296 associated challenges, including contamination (e.g., mercury, polychlorinated biphenyls),  
297 micronutrient deficiencies (Hicks et al. 2019), and environmental justice concerns (Fitzgerald et  
298 al. 2007). Along with developing an action plan, it is important for managers and policymakers  
299 to work with researchers to devise tangible mechanisms for implementing the action plan locally  
300 and regionally.

301 Respondents generally perceived prioritization and funding of the Steps to be less  
302 satisfactory across the inland fisheries profession than within their respective states (Table 4).  
303 Whereas Science received 82% “exceptional” or “adequate” across-profession ratings for  
304 prioritization and funding, Nutrition, Water, and Valuation were rated most unsatisfactory, with

305 48–52% of respondents classifying them as “inadequate.” Other Steps that received large  
306 percentages of “inadequate” ratings included Communication (43%), Governance (41%),  
307 Aquaculture (41%), and Equity (40%; Table 4). Similar to their in-state responses, respondents  
308 were most uncertain about across-profession prioritization and funding of Action Plan (50% “I  
309 don’t know” responses) and Nutrition (30%). Collectively, these results indicate a need to locally  
310 and regionally operationalize an action plan that addresses inadequacies in how Nutrition, Water,  
311 Valuation, Equity, and other Steps are prioritized and funded within and beyond the inland  
312 fisheries profession (Cooke et al. 2016).

313

### 314 **Achievability**

315 Respondents perceived the Steps to be relatively achievable, except for Action Plan and  
316 Water, which received “not achievable” ratings of 21% and 9%, respectively (Table 5). Such  
317 ratings were primarily from western and upper Ohio River states, where water scarcity and  
318 pollution (e.g., acid mine drainage, harmful algal blooms) are pressing problems (Mekonnen and  
319 Hoekstra 2016; Acharya and Kharel 2020) that could influence interpretations of the  
320 achievability of water-related initiatives and action plans. A majority of respondents (63%) rated  
321 Nutrition as readily achievable (Table 5), the highest achievability rating and the same  
322 percentage as Science. Overall, the combination of (1) inadequate prioritization and funding and  
323 (2) high achievability for steps like Nutrition and Equity suggests that making strides in these  
324 aspects of fisheries management would be meaningful and realistic, locally to globally.

325 Leveraging the global importance of inland fisheries for Nutrition and Equity will  
326 facilitate progress on these steps in the USA. Inland fish promote human health by providing  
327 calories, protein, omega-3 fatty acids, vitamin A, calcium, iron, zinc, and other vitamins and  
328 minerals and supporting cardiac health, brain development, and immune system function for  
329 millions of people globally (Roos et al. 2007; Kawarazuka and Béné 2011; Zhao et al. 2016).  
330 Inland fisheries also contribute to livelihoods and Equity across the world, with 95% of global  
331 inland fisheries catches originating from small-scale operations in developing nations, and 43%  
332 from low-income food deficit countries in 2015 (Funge-Smith and Bennett 2019). These global  
333 contributions of inland fisheries to Nutrition and Equity provide context and impetus for U.S.  
334 fisheries professionals to learn from, and partner with, the many non-U.S. researchers and  
335 managers working in these areas (Funge-Smith 2018; Funge-Smith and Bennett 2019). For

336 instance, global inland fisheries experts could be consulted to help develop collaborations among  
337 U.S.-based organizations with expertise in fisheries, food, human health, and equity—including  
338 state fisheries agencies, agricultural experiment stations, state and tribal water quality and human  
339 health agencies, sustainable seafood initiatives, and the AFS Equal Opportunities Section and  
340 Diversity, Equity, and Inclusion Standing Committee (Penaluna et al. 2017; Carlson et al. 2019).  
341 Likewise, novel partnerships between U.S. inland and marine fisheries sectors could be  
342 established to explore how fish contribute to human health and livelihoods (e.g., Hicks et al.  
343 2019) and identify mechanisms for highlighting these contributions in U.S. fisheries management  
344 and governance programs. Such collaborations would help to advance Nutrition, Equity, and  
345 other steps in the United States by drawing upon knowledge gained from international inland  
346 fisheries initiatives.

347

## 348 **SUMMARY AND RECOMMENDATIONS**

349 We found that fisheries administrators and AFS GB members had similar opinions about  
350 the job–duty and global advancement importance, funding, and achievability of the 10 Steps to  
351 Responsible Inland Fisheries. They believed that Science, Communication, and Assessment are  
352 important, well-funded, and achievable Steps (Table 6). In contrast, respondents deemed Action  
353 Plan, Water, and Valuation to be inadequately prioritized and funded steps with low  
354 achievability. Nutrition and Equity were viewed as inadequately addressed but achievable steps.  
355 Consistency in responses between administrators and GB members may reflect the prevalence of  
356 state agency employees on the AFS GB. In addition, the GB includes university/college faculty  
357 that often conduct research in collaboration with state fisheries agencies with whom they might  
358 share priorities. Overall, a foundation exists for building on how the Steps are currently  
359 incorporated into U.S. inland fisheries management (Table 1) to promote broader achievement of  
360 both high- and low-ranked topics. Moreover, the similarity among administrators and GB  
361 members reveals a platform for integrating the Steps into inland fisheries management at  
362 multiple scales (e.g., local, national, international) to address wide-ranging topics in fisheries  
363 conservation and elevate the importance of inland fisheries globally. This is no easy task, but we  
364 provide the following recommendations based on insights from our surveys:

365 (1) *Leverage existing resources and collaborations to achieve the Steps.* State fisheries  
366 agencies and their partners already have programs and expertise to address some of the

367 Steps. For instance, Science, Communication, and Assessment are central components of  
368 inland fisheries management within U.S. states and across the country. Uniformity in  
369 views on the Steps among administrators and GB members suggests a foundation for  
370 leveraging resources and partnerships within and across states in support of the Steps,  
371 including those not currently emphasized (e.g., Nutrition, Action Plan, Water). However,  
372 a science-based approach to management must continue alongside efforts to  
373 communicate the importance and management applicability of the Steps and ensure  
374 equitable access to inland fisheries resources locally, regionally, and globally.

375 (2) *Champion steps that are underemphasized yet attainable.* Respondents believed that  
376 Nutrition and Equity are inadequately prioritized, yet highly achievable Steps.  
377 Collaborative efforts to showcase the nutritional dimensions of freshwater ecosystems  
378 and promote equitable access to aquatic resources would raise the profile of inland  
379 fisheries and create a more diverse and inclusive fisheries workforce. Fully addressing  
380 Equity—including the cultural, economic, and environmental values of inland fisheries—  
381 will require new approaches and committed action to foster partnerships with diverse  
382 communities and reduce barriers to engaging them in fisheries science and management.  
383 Likewise, innovative thinking and partnerships among managers, policymakers, and  
384 researchers within and outside the USA will be required to locally, regionally, and  
385 globally operationalize a Nutrition- and Equity-focused Action Plan and promote  
386 coordinated achievement of multiple steps.

387 (3) *Implement the Steps by creating and enhancing collaborations among state fisheries*  
388 *agencies and their partners.* While some steps are feasible for individual fisheries  
389 agencies to address, other steps—and the large-scale, long-term issues that they  
390 encompass (e.g., climate change, species invasion, water quality/quantity)—are beyond  
391 the purview of individual organizations (Carlson et al. 2019). For instance, Action Plan,  
392 Water, and Governance may be impractical for any agency to address independently,  
393 perhaps explaining their relatively low perceived achievability. However, implementing  
394 the Steps can and should be a collaborative endeavor. Action Plan, Water, and  
395 Governance will become more tractable through partnerships among organizations with  
396 wide-ranging expertise in fisheries (e.g., state, federal, and tribal fisheries agencies,  
397 cooperative fish and wildlife research units, nongovernmental organizations, cooperative

398 extension programs, agricultural experiment stations) and other disciplines (e.g., state,  
399 federal, and tribal agencies involved in nutrition, food safety, food security, water  
400 management, and economics). Multi-agency partnerships could also stimulate greater  
401 public awareness of the Steps, and may foster increased support for legislation, policy, or  
402 agency efforts to implement them.

403 (4) *Support the Steps by sustaining inland fisheries monitoring and stakeholder engagement*  
404 *programs.* Although it may be impractical for individual fisheries agencies to address all  
405 of the Steps, they often collect information that is essential for doing so. For instance,  
406 many agencies practice Assessment and Science by gathering and analyzing long-term  
407 data on inland fisheries, and Communication by operating stakeholder engagement  
408 programs. These efforts are invaluable for developing approaches to implement other  
409 steps (e.g., Valuation, Nutrition, Equity), both within agencies and through multi-agency  
410 collaborations. As such, there should be continued efforts to sustain the ability of  
411 agencies to monitor inland fish and habitats and engage with stakeholders across space  
412 and time.

413 (5) *Continue surveying fisheries stakeholders about the Steps.* Despite providing insights for  
414 inland fisheries management, our surveys (here and Carlson et al. 2019) have only  
415 encompassed state fisheries agency administrators, GB members, and agricultural  
416 experiment station directors. As with all groups of people, these respondents likely have  
417 personal and professional experiences and potential biases that influence perceptions of  
418 the 10 Steps. As such, it would be valuable to also survey inland and marine fisheries  
419 biologists and researchers in state, federal, and tribal agencies; scientists at universities  
420 and agricultural experiment stations; administrators in federal and tribal fisheries  
421 agencies; fisheries and aquaculture professionals from different countries and those who  
422 work for international organizations (e.g., Food and Agriculture Organization of the  
423 United Nations, WorldFish, International Union for Conservation of Nature); and other  
424 fisheries stakeholders, including organized inland fisheries advocacy groups (e.g., Bass  
425 Anglers Sportsman Society, Trout Unlimited). Surveying these diverse individuals and  
426 organizations would increase knowledge for implementing the Steps—particularly those  
427 requiring local, national, and international partnerships (e.g., Action Plan, Water,  
428 Governance)—and thereby advance inland fisheries management.

429 (6) *Identify and apply lessons learned from fisheries management programs that embody the*  
430 *Steps.* Management programs for fishes such as black bass tend to be well developed,  
431 large-scale, and long-term, exemplifying many of the Steps in action (Table 1). These  
432 programs warrant thorough evaluation relative to the Steps. What elements are most  
433 important for program success? What challenges exist, and how can they be remedied to  
434 achieve program goals? Lessons learned can be used to integrate the Steps into other  
435 inland fisheries management programs.

436 (7) *Evaluate progress toward the Steps across the world.* We encourage assessments of the  
437 Steps in different countries, including developing nations where inland fisheries make  
438 critical contributions to human health and livelihoods (Funge-Smith and Bennett 2019).  
439 Countries can use this information to enhance fisheries management programs while  
440 promoting broader awareness of the Steps throughout the world.

441

## 442 **ACKNOWLEDGMENTS**

443 We thank the state fisheries agency administrators and American Fisheries Society  
444 Governing Board members who graciously invested their time and energy to complete our  
445 surveys. We thank D. Buhler (Michigan State University AgBioResearch) for guidance and  
446 support in survey implementation and broader NC1189 operations. We thank the *Fisheries*  
447 review team, including a Science Editor and three anonymous referees, for thoughtful,  
448 constructive comments that helped improve the manuscript. Funding in support of this research  
449 was provided by Princeton University, particularly the Office of the Dean for Research, High  
450 Meadows Environmental Institute, Andlinger Center for Energy and the Environment, and the  
451 Office of the Provost International Fund. We thank the following entities for supporting authors  
452 of this manuscript: United States Department of Agriculture National Institute of Food and  
453 Agriculture (Project # MICL04161), Alabama Agricultural Experiment Station (DRD),  
454 Minnesota Agricultural Experiment Station (RMN, MIN-41-081), Maine Agricultural and Forest  
455 Experiment Station (MTK, GBZ), and the National Science Foundation (MLP, #DEB-1616821).  
456 There is no conflict of interest declared in this article.

457

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579 **Table Captions**

580 Table 1. Themes and descriptions of the 10 Steps to Responsible Inland Fisheries developed at  
581 the Global Conference on Inland Fisheries: Freshwater, Fish and the Future, convened at Food  
582 and Agriculture Organization of the United Nations Headquarters in Rome, January 26–28,  
583 2015. Linkages between the Steps and U.S. inland fisheries management are exemplified for  
584 black bass *Micropterus* spp. given their wide distribution, popularity, and socioeconomic  
585 importance.

586

587 Table 2. Types of questions and measures used for the fisheries administrator (FA) and  
588 American Fisheries Society Governing Board (GB) member surveys.

589

590 Table 3. Median rankings (interquartile range) of the Steps by importance at two scales: job  
591 duties (Duties) and global advancement of inland fisheries conservation (Global). Within  
592 columns, rankings are organized from most to least important (largest to smallest median).

593

594 Table 4. Percentages of respondents ( $n = 45–49$ ) who stated that the Steps are currently being  
595 prioritized and funded “Exceptionally well,” “Adequately,” “Inadequately,” or “I don’t know” in  
596 the U.S. state where they primarily work (before comma) and across the inland fisheries  
597 profession (after comma).

598

599 Table 5. Percentages of respondents ( $n = 47–48$ ) who rated the Steps with different levels of  
600 overall achievability (i.e., “Readily achievable,” “Achievable with some difficulty,” “Not  
601 achievable,” “I don’t know”).

602

603 Table 6. Rankings of the Steps, including category-specific rankings for job–duty importance  
604 (Duties), global advancement importance (Global), in-state prioritization and funding (State),  
605 across-profession prioritization and funding (Profession), and achievability. “Overall” indicates  
606 overall rankings calculated by summing category-specific rankings; the lower the sum, the  
607 higher the overall ranking. Category-specific rankings for State and Profession were calculated  
608 from sums of the “Exceptional” and “Adequate” groups; rankings for Achievability were  
609 calculated from the “Readily achievable” group. In the table, the same number within a column  
610 indicates a tie.

611

612 **Figure Captions**

613 Figure 1. Global marine and inland capture fisheries landings and aquaculture production in  
614 1950–2018. Data from FAO (2020).

615

616 Figure 2. Violin plot displaying the distribution of Step rankings across respondents relative to  
617 importance for (A) job duties and (B) global advancement of inland fisheries. Note the y-axis  
618 scale, where larger numbers correspond with higher rankings (greater importance). White  
619 triangles are median rankings, thick black bars are interquartile ranges, thin black lines are upper  
620 and lower adjacent values, and violin shape represents probability density (width = ranking  
621 frequency). In panel B, Nutrition is marked with an asterisk because it had significant differences  
622 in median rankings between administrators in the northern and southern (but not western) USA  
623 ( $P = 0.029$ , KW test). See Table 1 for descriptions of the Steps.

Table 1. Themes and descriptions of the Ten Steps to Responsible Inland Fisheries developed at the Global Conference on Inland Fisheries: Freshwater, Fish and the Future, convened at FAO Headquarters in Rome, Italy, January 26–28, 2015. Linkages between the Steps and U.S. inland fisheries management are exemplified for black bass *Micropterus* spp., given their wide distribution, popularity, and socioeconomic importance.

| Step | Theme         | Description  | Black bass examples   |
|------|---------------|--|---|
| 1    | Assessment    | Improve the assessment of biological production to enable science-based management | Assessment via spring electrofishing, nest/egg/larva/fry counts, summer seining, bioenergetics simulations, angler citizen-science programs, angler apps, and remote sensing (Paragamian 1991; Dutterer et al. 2014; Venturelli et al. 2017)  |
| 2    | Valuation     | Correctly value inland aquatic ecosystems  | Valuation via stakeholder surveys (e.g., creel, mail, online), economic analyses, fishing tournaments and publicity, and <i>Micropterus</i> spp. conservation programs (e.g., genetic integrity, watersheds; Chen et al. 2003; Dieterman et al. 2019; Taylor et al. 2019)                               |
| 3    | Nutrition     | Promote the nutritional value of inland fisheries                                  | Nutrition via historical (and limited current) use as food fish, role as predators in inland fisheries that make important nutritional contributions (Isermann et al. 2013; Long et al. 2015; Embke et al. 2020)  |
| 4    | Science       | Develop and improve science-based approaches to fishery management                 | Science via diverse research, including biotic/abiotic population drivers, experimental analysis of catch-and-release angling effects, and use of big data to evaluate long-term, large-scale effects of harvest regulations and climate change (Swingle 1970; Philipp et al. 1997; Hansen et al. 2015) |
| 5    | Communication | Improve communication among freshwater users                                       | Communication via public outreach, angler motivation/attitude/behavior research, trophy fish citizen science programs, and <i>Micropterus</i> -focused indices of biotic integrity to convey importance of watershed conservation (FWC 2011; Dutterer et al. 2014; Dieterman et al. 2019)               |
| 6    | Governance    | Improve governance, especially for shared water bodies                             | Governance via movement research and associated transboundary management programs, which are important as ranges of <i>Micropterus</i> spp. expand due to climate change and legal and illegal introductions (Schall et al. 2019; Seguy and Long 2021)  |

|    |             |  |  |
|----|-------------|--|--|
| 7  | Water       | Develop collaborative approaches to cross-sectoral integration in water-resource development agendas | Water via research on linkages between <i>Micropterus</i> populations, water resource development, and water quality, designed to produce water management approaches that fully consider fisheries (Allen et al. 2003; Dotson et al. 2015)  |
| 8  | Equity      | Respect equity and rights of stakeholders  | Equity via community fisheries programs that create accessible fishing opportunities for black bass and other species and support broader aspects of the angling experience (e.g., outdoor recreation, nature appreciation, time with family/friends, food provisioning; Hunt et al. 2008) |
| 9  | Aquaculture | Make aquaculture an important ally   | Aquaculture via <i>Micropterus</i> spp. stocking programs, which were historically abundant, serve important purposes today (e.g., creating/rehabilitating fisheries, supporting freshwater mussel conservation), and demand attention to genetic concerns (Long et al. 2015)              |
| 10 | Action plan | Develop an action plan for global inland fisheries   | Action plan via state-agency black bass management plans that encompass the Steps, and action plans that protect endemic black bass (Shoal Bass <i>Micropterus cataractae</i> , Guadalupe Bass <i>M. treculii</i> ) at watershed scales (Simonson 2001; FWC 2011; Taylor et al. 2019)      |

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Table 2. Types of questions and measures used for the fisheries administrator (FA) and American Fisheries Society Governing Board (GB) member surveys.

| Topic                | Survey | Measures   |
|----------------------|--------|--|
| Employer             | GB     | Type of employer (current or most recent, if retired; e.g., state agency, federal agency, university)  |
| Job duties           | Both   | Percentage of work hours spent performing different roles (biologist, technician, manager, researcher, administrator, professor, consultant, other—please specify) |
| Career duration      | Both   | Years served in fisheries (not counting college/university education)  |
| Work location        | GB     | Names of the U.S. state(s) or country(ies) where one's work occurs   |
| Agency location      | FA     | Optional question about U.S. state agency where employed   |
| Importance (duties)  | Both   | Ranked importance of the Steps relative to performing one's job duties   |
| Importance (global)  | Both   | Ranked importance of the Steps relative to advancement of inland fisheries science, management, and governance throughout the world                                |
| Status (in-state)    | Both   | Rating of how the Steps are being prioritized and funded in the U.S. state where one works   |
| Status (profession)  | Both   | Rating of how the Steps are being prioritized and funded across the fisheries profession   |
| Achievability        | Both   | Rating of achievability (relative ease/difficulty of accomplishment) of the Steps  |
| Other steps          | Both   | Proposed additions to the Steps based on one's professional experience (open-ended)  |
| Explanation (duties) | Both   | Optional explanation why some of the Steps are more important than others for performing one's job duties  |



|                      |      |   |
|----------------------|------|---|
| Explanation (global) | Both | Optional explanation why some of the Steps are more important than others for advancing inland fisheries science, management, and governance throughout the world |
| Comments             | Both | Optional comments about survey  |

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Table 3. Median rankings (interquartile range) of the Steps by importance at two scales: job duties (Duties) and global advancement of inland fisheries conservation (Global). Within columns, rankings are organized from most to least important (largest to smallest median).

| Duties              | Global              |
|---------------------|---------------------|
| Science 7 (6)       | Governance 7 (3)    |
| Communication 7 (5) | Science 6.5 (5)     |
| Assessment 6 (4)    | Communication 6 (2) |
| Valuation 6 (4)     | Valuation 6 (6)     |
| Equity 6 (4)        | Water 6 (4)         |
| Water 6 (3)         | Equity 5.5 (4)      |
| Governance 6 (3)    | Assessment 5 (5)    |
| Aquaculture 4 (6)   | Aquaculture 4 (5)   |
| Nutrition 3 (6)     | Nutrition 4 (5.75)  |
| Action Plan 2 (5)   | Action Plan 2 (6)   |

Table 4. Percentages of respondents (n = 45–49) who stated that the Steps are currently being prioritized and funded “Exceptionally well,” “Adequately,” “Inadequately,” or “I don’t know” in the U.S. state where they primarily work (before comma) and across the inland fisheries profession (after comma).

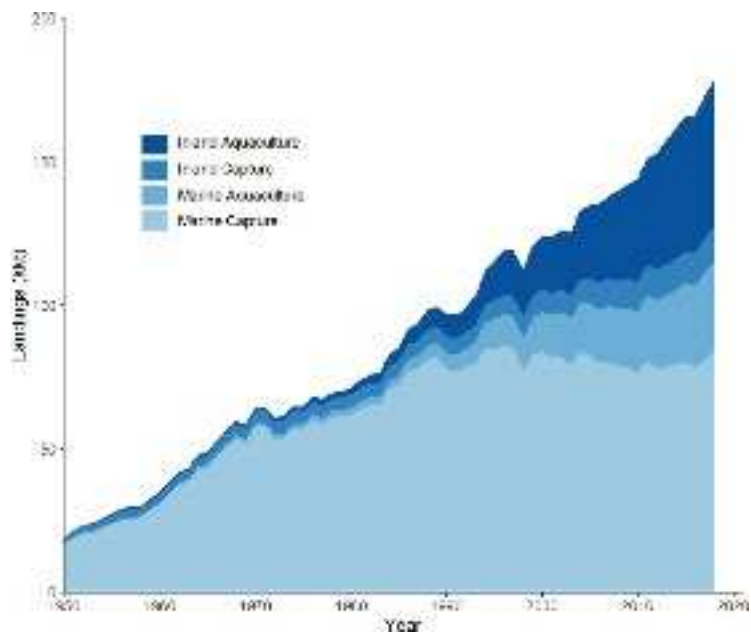
| Step | Theme         | % Exceptionally well | % Adequately | % Inadequately | % I don't know |
|------|---------------|----------------------|--------------|----------------|----------------|
| 1    | Assessment    | 19, 13               | 65, 50       | 14, 26         | 2, 11          |
| 2    | Valuation     | 2, 4                 | 47, 31       | 45, 52         | 6, 13          |
| 3    | Nutrition     | 0, 2                 | 27, 20       | 52, 48         | 21, 30         |
| 4    | Science       | 42, 28               | 52, 54       | 4, 11          | 2, 7           |
| 5    | Communication | 2, 2                 | 66, 44       | 30, 43         | 2, 11          |
| 6    | Governance    | 6, 2                 | 73, 44       | 17, 41         | 4, 13          |
| 7    | Water         | 4, 2                 | 42, 29       | 52, 52         | 2, 17          |
| 8    | Equity        | 9, 2                 | 53, 38       | 32, 40         | 6, 20          |
| 9    | Aquaculture   | 6, 0                 | 38, 37       | 41, 41         | 15, 22         |
| 10   | Action plan   | 0, 2                 | 8, 11        | 50, 37         | 42, 50         |

Table 5. Percentages of respondents (n = 47–48) who rated the Steps with different levels of overall achievability (i.e., “Readily achievable,” “Achievable with some difficulty,” “Not achievable,” “I don’t know”).

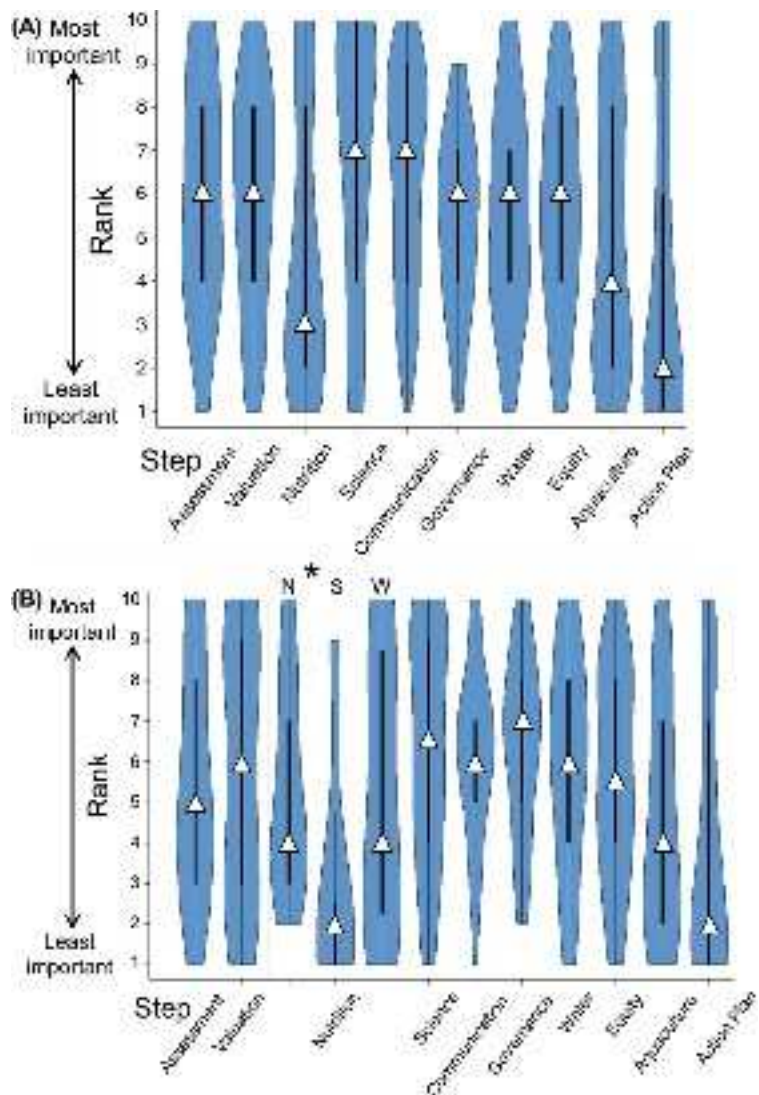
| Step | Theme         | % Readily | % Some difficulty | % Not achievable | % I don't know |
|------|---------------|-----------|-------------------|------------------|----------------|
| 1    | Assessment    | 48        | 50                | 0                | 2              |
| 2    | Valuation     | 19        | 67                | 8                | 6              |
| 3    | Nutrition     | 63        | 27                | 0                | 10             |
| 4    | Science       | 63        | 33                | 0                | 4              |
| 5    | Communication | 43        | 55                | 0                | 2              |
| 6    | Governance    | 15        | 79                | 4                | 2              |
| 7    | Water         | 15        | 68                | 9                | 8              |
| 8    | Equity        | 34        | 62                | 2                | 2              |
| 9    | Aquaculture   | 29        | 59                | 4                | 8              |
| 10   | Action plan   | 9         | 49                | 21               | 21             |

Table 6. Rankings of the Steps, including category-specific rankings for job-duty importance (Duties), global advancement importance (Global), in-state prioritization and funding (State), across-profession prioritization and funding (Profession), and achievability. “Overall” indicates overall rankings calculated by summing category-specific rankings; the lower the sum, the higher the overall ranking. Category-specific rankings for State and Profession were calculated from sums of the "Exceptional" and "Adequate" groups; rankings for Achievability were calculated from the "Readily achievable" group. In the table, the same number within a column indicates a tie.

| Step | Theme         | Importance |        | Prioritization & Funding |            | Achievability | Overall |
|------|---------------|------------|--------|--------------------------|------------|---------------|---------|
|      |               | Duties     | Global | State                    | Profession |               |         |
| 1    | Assessment    | 3          | 7      | 2                        | 2          | 3             | 3       |
| 2    | Valuation     | 3          | 3      | 6                        | 7          | 7             | 6       |
| 3    | Nutrition     | 9          | 8      | 9                        | 9          | 1             | 9       |
| 4    | Science       | 1          | 2      | 1                        | 1          | 1             | 1       |
| 5    | Communication | 1          | 3      | 4                        | 3          | 4             | 2       |
| 6    | Governance    | 3          | 1      | 3                        | 3          | 8             | 4       |
| 7    | Water         | 3          | 3      | 7                        | 8          | 8             | 7       |
| 8    | Equity        | 3          | 6      | 5                        | 5          | 5             | 5       |
| 9    | Aquaculture   | 8          | 8      | 7                        | 6          | 6             | 8       |
| 10   | Action plan   | 10         | 10     | 10                       | 10         | 10            | 10      |



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