

1 Effect of underwater lighting on observations of density and behavior of rockfish during camera  
2 surveys

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22 **Abstract:**

23 Unbiased visual observations of fish are increasingly important for a number of management  
24 issues, such as non-extractive abundance estimates and fish-habitat associations. We tested the  
25 effect of three types of underwater lighting on observable rockfish density and behavior using an  
26 underwater stereo camera. Higher densities of small rockfish were observed on deployments  
27 conducted with strobed red lights (where rockfish are less spectrally sensitive) than with either  
28 strobed white light or constant white light. The difference between strobed red lights and  
29 constant white light was statistically significant. For three larger species of rockfish there was no  
30 significant effect of lighting on fish density. Rockfish behavioral responses measured by the  
31 range-dependent height off the seafloor were also lowest for the red strobe light deployments,  
32 although not significantly different than for white strobe light. Rockfish height above the  
33 seafloor decreased as the drop camera approached in all treatments for both small and large  
34 rockfish. Small rockfish exhibited stronger responses to light treatments both in terms of density  
35 and observed height off the seafloor, while large rockfish were less sensitive to any of the light  
36 treatments. The implications of this study are that white lights decrease the observed density of  
37 small rockfish during underwater surveys, and the degree to which lighting regimes overlap the  
38 spectral sensitivities of target fishes can determine fish reactions.

39

40 **Keywords:**

41 Underwater stereo imagery, underwater lighting, Sebastes, visual surveys, habitat studies, Puget  
42 Sound

43

## 44 **1.1 Introduction**

45

46 Visual surveys for marine fishes have become more prevalent in recent years with the  
47 development and accessibility of new technologies (such as stereo image processing), the desire  
48 by management agencies to conduct non-extractive studies (for rare or endangered species), and  
49 the need to survey fishes in areas where traditional sampling gears are not appropriate (such as  
50 untrawlable rocky areas). Underwater imagery has been commonly used to assess fish  
51 associations with their habitat (Hixon et al. 1991; Stein et al. 1992; Auster et al. 2003; Love et al.  
52 2009) and less commonly used to estimate fish abundance using non-lethal methods (O’Connell  
53 and Carlile 1993; Yoklavich et al. 2007). More recently, underwater imagery has been used in  
54 combination with other methods such as acoustics to estimate population abundance of difficult  
55 to assess species (Demer et al. 2009; Ressler et al. 2009; Rooper et al. 2010; Jones et al. 2012).  
56 To a large degree, all of these applications of underwater visual observations depend on unbiased  
57 estimates of fish species composition, fish abundance and fish sizes in different habitats, yet the  
58 effect of the observation platform on the observations are rarely measured (see review by Stoner  
59 et al. 2008).

60 Underwater platforms for visually assessing fish-habitat associations and fish abundance  
61 have included basic systems such as drop cameras (Rooper et al. 2010; Jones et al. 2012),  
62 remotely operated vehicles (O’Connell and Carlile 1994; Stone 2006; Steirhoff et al. 2013) and  
63 manned submersible vehicles (O’Connell and Carlile 1993; Yoklavich et al. 2007). Stimuli  
64 associated with underwater platforms can include artificial lighting, underwater platform noise,  
65 water displacement from platform motion, platform speed, chemical or electromechanical stimuli  
66 (Stoner et al. 2008), and stimuli from the underwater platform support vessel (De Robertis and  
67 Handegard 2013). In the few studies that have been conducted, the effect of an underwater

68 platform on the behavior of fishes is often found to vary with species (Trenkel et al. 2004; Lauth  
69 et al. 2004; Laidig et al. 2013). Rockfish (*Sebastes* spp.) are a taxonomic group that is often the  
70 subject of visual surveys due to their predisposition to occur near the seafloor in rocky or rough  
71 areas where other types of sampling (such as trawls or set nets) are ineffective. Field studies of  
72 rockfish have observed differential reactions to underwater platforms, including diving to the  
73 seafloor, changing the speed or direction of swimming, following the platform, or limited  
74 reaction to the platform (Pearcy et al. 1989; Hixon et al. 1991; Krieger and Ito 1999; Lauth et al.  
75 2004; Lorange and Trenkel 2006; Laidig et al. 2013), indicating there could be potential biases in  
76 abundance estimates associated with fish reactions to the observation platforms during visual  
77 surveys.

78         The artificial lighting associated with underwater visual platforms is often considered to  
79 be the predominant source of disturbance and potential bias for fishes during visual surveys  
80 (Stoner et al. 2008). However, the effects of different lighting regimes on fish avoidance  
81 behavior have only rarely been studied. A single published laboratory study by Ryer et al. (2009)  
82 examined the effects of the approach of artificial lighting on seven marine fish species, and  
83 found that reactions to the light varied among them. Few field studies have examined the effect  
84 of lighting on the abundance of observed fishes. Widder et al. (2005) found that the number of  
85 sablefish (*Anoplopoma fimbria*) observed was higher when illumination was provided with red  
86 as opposed to white lights, and Trenkel et al. (2004) found an increase in white light intensity  
87 resulted in a decrease of observed fishes. In general, fish vision is sensitive to light wavelengths  
88 in the range of blue (400 nm) to far-red (700 nm) (Bowmaker 1990; Douglas and Hawryshyn  
89 1990). A study on black rockfish (*Sebastes melanops*) indicates they are sensitive to light in the  
90 range from 380 nm to 620 nm (Brill et al. 2008) In a laboratory study, it was found that rockfish

91 reaction to white light from an incandescent source was generally moderate, but varied with  
92 species (Ryer et al. 2009). Based on the review of Stoner et al. (2009) and research of Ryer et al.  
93 (2009), we expected that the rockfish exposed to light that fell within the wavelengths of high  
94 sensitivity would have an avoidance reaction to the camera, either by moving away from the  
95 camera prior to their being observed (causing a decrease in observed density) or by moving  
96 towards the refuge of seafloor (causing an observed decrease in height above the seafloor).

97 Thus, the objectives of this study were to determine the effects of three lighting  
98 treatments on the abundance of rockfish observed with a drop camera in terms of rockfish  
99 density and maximum number observed. Where species of rockfish could be determined,  
100 differences in abundance were compared among species. A secondary objective was to examine  
101 rockfish avoidance (measured by changes in density) with distance from the drop camera, and  
102 rockfish behavior (measured by the height of rockfish off the seafloor) under the three lighting  
103 treatments. Where possible, these comparisons were also made among species.

104

## 105 **2.1 Material and Methods**

106 This study was conducted from November 18 to 22, 2013 in the San Juan Islands near  
107 Friday Harbor, Washington (Fig. 1). The University of Washington research vessel *Centennial*  
108 was used for all operations. Maps of seafloor substrates have been completed for much of the  
109 San Juan Archipelago (Greene et al. 2007) that show areas of potential hard rocky substrate.  
110 Surveys with remotely operated vehicles have also been conducted in the area, which indicated  
111 potential areas of high rockfish concentration (R. Pacunski, Washington Department of Fish and  
112 Wildlife, 600 Capitol Way N., Olympia, WA 98501, U.S.A., personal communication). Based on  
113 initial explorations using the ships echosounder, drop camera system, previous rockfish sightings

114 and the seafloor substrate maps, 11 transects were chosen (Fig. 1). The 11 transects ranged in  
115 depth from 36 m to 69 m (mean = 54 m, SE = 1.6).

116

## 117 **2.2 Drop camera system**

118 The drop camera system used in this study was similar to that described in Williams et al.  
119 (2010). The drop camera is designed to be towed or drifted continuously along a linear transect  
120 at or near the seafloor, rather than a camera that is lowered to the seafloor at one position and  
121 then brought immediately to the surface or lowered to the seafloor and anchored during an  
122 observation period. The electronic components of the drop camera were protected by a cage  
123 constructed from aluminum tubing (Fig. 2a). Two machine-vision cameras (a JAI AB-201GE  
124 and a JAI CM-140GE) spaced 30 cm apart in underwater housings were connected via  
125 underwater ethernet cables to a computer in a 12.7 cm (5 inch) diameter housing. The camera on  
126 the right side recorded monochromatic still images sized at 1.45 megapixels, while the camera on  
127 the left side collected 1.73 megapixel color still images. The computer, cameras and lights were  
128 powered by a 28 V NiMH battery pack. Synchronous images were collected from each of the  
129 cameras at a frequency of one image per second and written to a hard drive on the computer.  
130 Additionally, images were taken (but not written to the hard drive) from the monochrome camera  
131 at a rate of four images per second. These images were viewed in real time on a monitor at the  
132 surface. This allowed the height of the camera to be actively controlled to keep it just above the  
133 seafloor using a quick response electric winch (Fig. 2b). A 3/16 inch diameter coaxial cable  
134 provided the connection from the drop camera system to the winch at the surface and allowed  
135 image viewing in real time. A small-diameter cable was used to minimize drag on the drop  
136 camera system and to minimize fish responses to the deployment cable. During each

137 deployment, the drop camera system was towed through the water column at a speed of 1.85 to  
138 3.70 km\*hr<sup>-1</sup> (1 to 2 knots) approximately 1 to 2 m above the substrate with the cameras pointed  
139 slightly downward at an angle of approximately 35° off parallel to the seafloor. The tow duration  
140 averaged 15 minutes and ranged from about 8 minutes to 25 minutes.

141

### 142 **2.3 Lighting treatments**

143 The lighting treatments consisted of a red strobed light (RS, control), white strobed light  
144 (WS), and a continuous white light (CW). Each of four RS lights attached to the drop camera  
145 consisted of eight 2.1 W 660 nm LED Engin® High Power LEDs controlled by a single  
146 TaskLED® driver, while the four WS lights were constructed of four Bridgelux® BXRA LED  
147 arrays capable of producing 1,300 lumens at 10.4 W. The CW lights deployed on the drop  
148 camera system consisted of a combination of one DeepSea Power & Light SeaLite® Sphere  
149 constant LED white light and one 50 W high-intensity discharge (HID) light (Fig. 2c). Light  
150 intensity perceived by the fish was not measured directly, as it was impossible to estimate due to  
151 different exposure times, attenuation, spectral sensitivity and strobe spread, all of which varied  
152 somewhat among the different lights. We set up the lighting parameters to achieve a reasonable  
153 exposure in the field, meaning for the camera, the light “intensity” was roughly the same among  
154 treatments. The strobed lights were all triggered at a rate of four times per second.

155 The absorption coefficient of light at wavelengths less than 350 nm and greater than 750  
156 nm is very high (Fig. 3), effectively limiting the distance at which objects can be observed. The  
157 spectral sensitivity of adult black rockfish (*Sebastes melanops*) has previously been shown to  
158 peak at about 500 nm, with a range from ~380 nm to ~620 nm (Brill et al. 2008). The red strobe  
159 light emitted in a narrow band from approximately 640 to 680 nm (Fig. 2), thus it was not

160 expected to be conspicuous to the rockfish. The white LED strobe and LED constant lights used  
161 in this experiment emitted throughout the entire rockfish spectral sensitivity range, but exhibited  
162 a fairly narrow peak at about 450 nm and a much lower but broader peak at 550 nm. The HID  
163 light emitted across a broad range, from approximately 400 to > 800 nm with a peak at 620 nm  
164 (Fig. 2). Treatments were applied in a random order.

165

## 166 **2.4 Stereo image analysis**

167 The drop camera system was calibrated in a test tank using a stereo camera calibration  
168 procedure with a calibration checkerboard according to the methods of Bouguet (2008) and  
169 Williams et al. (2010). The calibration resulted in a correction for lens distortion and solution for  
170 the epipolar geometry by iteratively solving for the translation and rotation vectors that describe  
171 the relationship between the coordinate systems of the two cameras (Xu and Zhang, 1996). Once  
172 these matrices were estimated, the three-dimensional position of a target point viewed in both  
173 cameras could be determined by stereo-triangulation. Measurements were made using stereo-  
174 triangulation functions supplied with the camera calibration software package written in the  
175 Matlab computing language (Bouguet, 2008; V R2010, Mathworks Inc.).

176 Fish lengths were obtained by identifying the pixel coordinates of corresponding points  
177 (such as a fish snout or tail) seen in the left and right camera still frames. These points were used  
178 to solve for the three-dimensional coordinates of the points in the images by triangulation, by  
179 using the calibration-derived parameters. In addition to length measurements, the three-  
180 dimensional coordinates extracted from the still-frame images provided data on the position and  
181 orientation of objects relative to the camera. These data were used to determine distances of fish



182 targets to the seafloor, distances of fish and other targets from the camera and the orientation of  
183 fish (Fig. 4).

184         Each stereo image pair was classified into one of two habitat classifications based on the  
185 presence of rocky substrate. Images where bedrock, boulders or cobble were present were  
186 classified as rocky habitat. Images where only sand, gravel or mud was observed were classified  
187 as soft. For each image frame (taken at a rate of one per second) the number of fish observed by  
188 species was counted. All rockfish where the fish snout and tail were visible in both cameras were  
189 measured for length using stereo techniques. For these fish, the range (distance from the camera)  
190 and the orientation relative to the camera (direction in which the fish was headed) were  
191 computed. For fish that appeared in both images, but both the nose and tail did not appear, or  
192 they were otherwise unmeasurable, a range to the fish was calculated. Typically, for these fish if  
193 the eye was visible in both images, it was used as the target for ranging. However, if another  
194 feature on the body of the fish was observable in both images, such as a stripe or fin, these  
195 features were used to measure the range. Fish that were in the field of view of only one camera  
196 were counted but could not be measured or ranged.

197         Fish counts per frame were converted to volumetric densities using the calculated  
198 geometry of each camera's field of view. The volume of overlapping field of view was  
199 estimated from the relative distance and orientation of the cameras. The range-dependent  
200 imaging volume was computed by modeling the camera field-of-view as a pyramidal shape  
201 defined by the vertical and horizontal view angles (Fig. 5). The joint volume viewed by both  
202 cameras was computed by combining the individual camera imaging pyramids with the  
203 translation and rotation matrix derived from the stereo calibration to produce a three-dimensional  
204 model of the viewing area (Fig. 5). The volume of the overlapping section was computed using

205 MATLAB software function for calculating volumes of arbitrary polygonal solids (geom3d  
206 package). The joint camera volume followed a 3<sup>rd</sup> order polynomial relationship with increasing  
207 range (Fig. 5).

208 The total volume observed was calculated as the sum of the individual camera volumes at  
209 maximum observable range  $r$  with the overlapping volume subtracted. The value of  $r$  varied  
210 with visibility conditions and was determined for each deployment by averaging the range to five  
211 farthest observable objects seen in both cameras.

212 For each deployment, identifiable objects visible in both cameras in successive frames  
213 were ranged to calculate how much the camera moved from frame to frame. This value was then  
214 used to weight the number of fish ( $N$ ) observed in a frame ( $i$ ), as

$$215 \quad \tilde{N}_i = \frac{N_i}{V_i} d_i$$

216 where  $\tilde{N}_i$  is the relative density of fish,  $V_i$  is the sample volume of the camera (at a range of  $r$ )  
217 and  $d_i$  is the distance traveled by the drop camera system (m) in successive frames (frame  $i-1$  to  
218 frame  $i$ ). If the drop camera system moved a large distance between frames, this was reflected in  
219 a higher relative density of fish observed per frame. If the camera did not move between  
220 successive frames, than  $d = 0$ , resulting in a density measurement of 0 for the successive frame.  
221 The value of  $r$  for each deployment was not significantly different among light treatments ( $p =$   
222 0.06), with WS lights achieving the farthest observable range (252 cm). The shortest observable  
223 distance was for the RS lights at 225 cm (Table 1).

224

## 225 **2.5 Experimental design and analysis**

226 The experiment was conducted following a randomized block design. At each of the 11  
227 transects, 3 successive deployments were conducted, each with one of the lighting treatments

228 (RS, WS, CW). The treatment order was chosen at random for each of the 11 transects. The three  
229 successive deployments (each with a different lighting treatment) at a transect were used as a  
230 block, so that transect number (1-11) was used as a blocking variable (or factor) in the analysis.  
231 A randomized block analysis of variance (ANOVA) was used to analyze the densities of rockfish  
232 in two groups, large rockfish and small rockfish. The large rockfish species were Quillback  
233 Rockfish (*Sebastes maliger*), Copper Rockfish (*S. caurinus*), Yelloweye Rockfish (*S.*  
234 *ruberrimus*) and Vermillion Rockfish (*S. miniatus*). Small rockfish (< 20 cm) included large  
235 numbers of unidentified rockfish and Puget Sound Rockfish (*S. emphaeus*). The small rockfish  
236 category probably also contained juveniles of larger species (including those found in the large  
237 rockfish category).

238         The density and maximum number of rockfish data were analyzed in a 2-way ANOVA  
239 design with each deployment used as a sample unit ( $n = 33$ ), and the transect where the three  
240 light treatments were sequentially deployed ( $n=11$ ) was used a blocking variable. The mean  
241 density on a given deployment and the maximum number of rockfish observed in any frame on a  
242 deployment were used as dependent variables in separate ANOVA's to test for significant  
243 differences among light treatments. Significance for all analyses was judged at  $p < 0.05$ .  
244 Tukey's honest significant differences test (Tukey's HSD) was used as a post-hoc test for  
245 significant differences among levels of treatment. All analyses were completed in R software (R  
246 Core Development Team 2013).

247         The randomized block experimental design of three successive drop camera deployments  
248 each using a different lighting configuration was intended to control for the impacts of variables  
249 other than the lighting treatments. The goal was to follow an equivalent path over ground during  
250 each of the three sequential deployments with all three lighting treatments. Thus, the variability

251 in density introduced by unmeasured variables, such as water temperature, ambient light,  
252 turbidity and current speed would cause a minimal effect on measured rockfish density. Water  
253 depth and the percentage of hard substrate were measured during each deployment. These  
254 variables did not vary significantly among the lighting treatments within a block ( $p = 0.28$  for the  
255 percentage of hard substrate among treatments and  $p = 0.46$  for the average depth among  
256 treatments) confirming that the statistical design was appropriate. Across blocks, these metrics  
257 were found to vary significantly ( $p < 0.05$ ).

258         Rockfish avoidance behavior relative to the camera was assessed by the relationship of  
259 fish density relative to the distance from the camera. The hypothesis tested was that densities  
260 would not change as the camera approached. Alternatively, if fish exhibited a strong avoidance  
261 reaction to the approach of the camera, it was expected that densities would decrease as the  
262 camera approached. This hypothesis was tested for the large and small fish groupings separately  
263 using ANOVA. In the ANOVA, density for each transect where small or large rockfish occurred  
264 was calculated for each 25 cm bins from 50 cm to 200 cm away from the camera. This was used  
265 as the dependent variable. The distance bin, a treatment term and the block term, as well as the  
266 distance\*treatment interaction term were used as independent variables.

267         The distance of the rockfish above the seafloor was also considered as a behavioral  
268 response to the approach of the drop camera system. In this analysis, 100 individuals from the  
269 small rockfish category for each treatment were chosen at random and their height above the  
270 seafloor was measured. For the large rockfish category there were fewer individuals recorded, so  
271 all height above bottom data was used. For each individual, the minimum distance from the  
272 rockfish to the seafloor was measured, by identifying the closest point on the rockfish to the  
273 seafloor and measuring the distance of that point to the nearest point on the seafloor using the

274 calibrated stereo triangulation (Fig. 4). An analysis of covariance (ANCOVA) was used to test  
275 for significant differences between light treatments for height above the seafloor. In addition to  
276 the treatment effect, distance from the camera to the fish was used as a covariate in the analysis.  
277 The interaction term (treatment\*distance) was also included in the analysis.

278

### 279 **3.1 Results**

280

281 Small rockfish were the most commonly observed fish along the transects in the camera  
282 drops, regardless of the type of illumination used (Table 2). Most of the small rockfish were  
283 classified as unidentified, but those that were identified to species were predominantly Puget  
284 Sound Rockfish. Large rockfish were predominantly copper and quillback rockfish. Most of the  
285 small rockfish (89%) and large rockfish (96%) were found in rocky or hard habitats. Rocky or  
286 hard habitats comprised 53% of the total images collected during all deployments. A variety of  
287 other species of fish were also observed during deployments including hexagrammids (Lingcod,  
288 Kelp Greenling and unidentified greenling), forage fish, such as unidentified gadids and Pacific  
289 Herring. Only rockfish were considered in the analyses.

290 The densities of small rockfish were significantly different among light treatments ( $p =$   
291  $0.005$ , Table 3), with the highest densities observed under the RS treatment and lowest for the  
292 CW (Fig. 6). The post-hoc test indicated that both the RS and WS densities were significantly  
293 higher than the CW density; however, there was no significant difference between the RS and  
294 WS treatments. For large rockfish, there were no significant differences in densities observed  
295 among treatments ( $p = 0.523$ , Fig. 6, Table 3). Separate ANOVA's testing for an effect of order

296 (i.e. a decrease in abundance after the initial pass through the transect irrespective of treatment)  
297 were not significant for either small rockfish ( $p = 0.42$ ) or large rockfish ( $p = 0.73$ ).

298         The maximum number of rockfish (both large and small combined) per frame averaged  
299 11.3 fish (SE = 2.4) for RS, 7.3 fish (SE = 1.1) for WS and 4.3 fish (SE = 0.9) for the CW (Fig.  
300 7). The ANOVA revealed that there were significant differences among treatments ( $p = 0.002$ ,  
301 Table 3). The post-hoc test indicated that densities under the RS treatments were significantly  
302 higher than in the CW treatments.

303         The ANOVA comparing the density of small rockfish as a function of light treatment and  
304 distance from the camera showed that there were significant differences among light treatments  
305 in density ( $p < 0.001$ ) with higher densities observed with RS illumination, and significant  
306 effects of distance from the camera ( $p < 0.001$ ). There was a small decrease in density of small  
307 rockfish at distances  $< 75$  cm, possibly indicating avoidance of the drop camera system at these  
308 close ranges (Fig. 8). In general, densities were greatest for small rockfish at distances from 50  
309 cm to 175 cm. A change in fish density with range was observed for large rockfish (Fig. 8),  
310 although densities of large rockfish decreased after 125 cm for all lighting treatments. The effect  
311 of distance from the drop camera system was significant for large rockfish as well ( $p = 0.010$ ),  
312 while the effect of light treatment was not significant (consistent with earlier analyses). For both  
313 small and large rockfish the interaction term between treatment and distance was not significant,  
314 indicating that the effect of distance was the same for all light treatments.

315         The distance off-seafloor measurements for 100 small rockfish sampled at random  
316 indicated that there were significant differences among light treatments. In the ANCOVA, the  
317 main effect (light treatment) and the covariate (distance from the camera) were both significant  
318 ( $p < 0.001$  and  $p = 0.014$ ). The height above the seafloor was significantly higher for small

319 rockfish in the two strobe light treatments (RS and WS) than the CW treatment (Fig. 9). There  
320 was no difference between the two strobe light treatments. Additionally, small rockfish at a  
321 greater distance from the camera were observed higher off the seafloor than those closer to the  
322 camera (Fig. 9). The ANCOVA indicated that on average, a fish at 225 cm from the camera was  
323 likely to be about 20 cm higher off the seafloor than a fish observed 75 cm from the camera (Fig  
324 9b). The interaction term was not significant indicating that fish height above bottom did not  
325 vary with light treatments as the camera approached.

326         There was also a significant effect of distance between the camera and the height of large  
327 rockfish above the seafloor ( $p = 0.034$ ), with large rockfish observed at 225 cm distance on  
328 average about 15 cm higher off the seafloor than those observed at 75 cm distance (Fig. 9d). The  
329 light treatment and the interaction between light treatment and distance were not significant. The  
330 effect of light treatment on height above the seafloor was nearly significant ( $p = 0.052$ ) and the  
331 height above the seafloor for large rockfish was highest for the RS treatment and lowest for the  
332 CW treatment (Fig. 9). When split out by species, Copper Rockfish tended to be observed higher  
333 off the seafloor than the other species of large rockfish, with the exception of a single yelloweye  
334 viewed 23 cm off the seafloor under constant white light (Fig. 10). Very few Vermillion  
335 Rockfish and Yelloweye Rockfish were observed (Fig 10).

336

#### 337 **4.1 Discussion**

338

339         Infrared lighting is used widely in surveillance applications (e.g. for security or for  
340 wildlife trail cameras) as it is unobtrusive. Infrared lighting is far outside the visible spectrum of  
341 most fish species and has been previously used in some studies to compare fish behavior in terms

342 of light avoidance, swimming behavior, or detectible response between red and white lights (Olla  
343 et al. 2000; Widder et al. 2005; Raymond and Widder 2007). Although it is largely invisible to  
344 fish, infrared light has the disadvantage of very high absorption in water, making realistic visual  
345 range of observation often limited to less than 1 m. This makes it unsuitable for studies where  
346 visual detection of fishes and identification to species is needed. For many fishes, red light (620  
347 to 700 nm) is less visible than light of shorter light wavelengths because of diminished visual  
348 sensitivity in the red part of the spectrum. For fish residing at depths greater than 50 m, very little  
349 ambient light at red wavelengths is available due to rapid attenuation compared with green and  
350 blue wavelengths (450 to 550 nm).

351 In this study we used far-red spectrum light (660 nm), further reducing the potential  
352 detectability by fish while gaining increased penetration in the water column compared with  
353 infra-red light. In this way, far-red light is more useful for identifying and counting fishes  
354 unobtrusively. The major drawback of far-red light is also a decreased range relative to white  
355 light due to high attenuation of red light in seawater, but under the conditions experienced during  
356 this study, the reduction in range was only ~0.25 m. A second drawback to far-red light is the  
357 loss of any color information in the images. This can reduce detectability of fish and make  
358 species identification more difficult. Using the data collected during this study and given the  
359 large number of small rockfish that were unidentifiable even under white light, the impacts of red  
360 light to species identification could not be adequately tested here. It should be noted however,  
361 that all the larger rockfish species were identifiable under all lighting treatments.

362 The effect of light type on optically measured abundance was significant. There were  
363 more fish observed under red strobed light, presumably because the reduced visual stimulus  
364 produced by these lights resulted in weaker avoidance response in small rockfish. This is similar



365 to other studies that have found more fish observed under red lighting than white lighting  
366 conditions. For example, Widder et al. (2005) observed relative abundances of sablefish were  
367 five times higher with red lights than with white lights. Even red lights in a spectral range that  
368 was detectable by the sablefish caused less of a startle reaction than white (full spectrum) lights.  
369 This is consistent with laboratory studies, where the effect of light on light avoidance behavior  
370 has been shown to vary with fish activity level (Ryer et al. 2009). An active predatory fish, such  
371 as sablefish was found to have a strong reaction to light stimuli, which may be a potential reason  
372 for the absence of sablefish in a camera survey designed to assess their abundance (Lauth et al.  
373 2004).

374 A second result of the study with respect to lighting is the apparent differences between  
375 strobed and continuous lighting. Strobed lights are sometimes used as a deterrent for fish (e.g.  
376 McIninch and Hocutt, 2007), but this study did not record a negative response of strobed lighting  
377 relative to constant light. The strobe duration used in this study was relatively short (2 ms), and  
378 even at 4 frames per second, the continuous lights emitted substantially more light when  
379 integrated over time. This study suggests that the short duration of the strobes may not provide  
380 as strong a stimulus for fish avoidance relative to continuous lights, although this contrast was  
381 not significant, and was not as strong as the difference in light frequency. As the use of strobed  
382 light systems is likely to increase given the greater efficiency of LED strobes, more studies on  
383 the effect of strobed and continuous lights should be undertaken.

384 The effects of light on abundance observed in this study are most likely caused by  
385 changes in rockfish avoidance behavior in the presence of higher levels of detectable light. This  
386 effect depends on the species and sizes observed. Raymond and Widder (2007) found that  
387 sablefish reacted strongly to illumination with white and red lights and less strongly with far-red

388 lights, while a species of grenadier in the same study did not react to any of these same lighting  
389 treatments. Similar species-specific reactions have been reported in other *in situ* studies. Krieger  
390 and Ito (1999) found that some species of rockfish responded to an approaching submarine by  
391 diving towards the seafloor, while others did not respond. In two species (rougheye rockfish,  
392 *Sebastes aleutianus* and shortraker rockfish, *S. borealis*) a different response was observed  
393 depending if the fish was first observed off the seafloor (diving response) or on the seafloor (no  
394 response). Similarly, Laidig et al. (2013) found that reactions to both manned submersibles and  
395 remotely operated vehicles could vary by species, with species that tended to aggregate in the  
396 water column having a much stronger reaction to an underwater vehicle than species that were  
397 always found near the seafloor. Many other researchers have recorded different reactions to  
398 underwater visual platforms by different sizes and species of fish (Lauth et al. 2004; Adams et al.  
399 1995; Trenkel et al. 2004; Stoner et al. 2008).

400 In this study, we found a significant effect of distance from the camera on the measured  
401 density of fishes, with most fishes occurring from 75 to 200 cm from the camera for both small  
402 and large rockfish. This was likely driven by detectability rather than attraction to the camera.  
403 The effect was consistent across lighting treatments (the interaction term in the ANOVA was  
404 insignificant in both cases). This is consistent with a detectability function that decreases as the  
405 distance from the camera increases. In addition, we saw no evidence that fish were attracted to  
406 the camera in any of the deployments, such as fish moving towards the camera during the  
407 deployment.

408 Lighting is only one of the possible stimuli (lights, motion and noise) that fish in our  
409 study may have reacted to. The underwater camera frame was large (0.5 m by 0.5 m by 1.0 m)  
410 relative to the fishes we were observing; it undoubtedly interacted with the seafloor creating

411 underwater noise, and the research vessel that was used to tow the drop camera was also a source  
412 of underwater noise which can cause reactions (De Robertis and Handegard, 2013). This  
413 highlights the need to understand reaction to the underwater survey platform and vessel as well  
414 as light. Differences in densities of rockfish observed along the same transects by different  
415 vehicles have been seen in other studies (Laidig et al. 2013) who found that 11% of rockfish  
416 responded to the manned submersible, while 57% of rockfish responded to the remotely-operated  
417 vehicle. These differences were attributed to different characteristics of the vehicles, one of  
418 which is the different lighting configurations between the manned submersible (starboard side  
419 lighting) and the remotely-operated vehicle (head-on lighting). There may also be interactions  
420 between the effects of lighting and other aspects of the survey platform or motion. For example,  
421 repeating this experiment with a stationary camera may yield different results, as lighting may  
422 influence how a fish perceives motion.

423         In summary, we detected a significant difference in fish abundance for small rockfish in  
424 the different light treatments. More light in the detectable range of rockfish led to fewer fish  
425 being observed during the deployments. On average, the number of small rockfish observed was  
426 reduced by half from strobed red light to strobed white light and by 75% from strobed red light  
427 to constant white light. There was also a significant reaction of moving closer to the seafloor by  
428 both large and small rockfish to the approaching camera. For small rockfish, the reaction was to  
429 move closer to the seafloor in the presence of brighter and constant lights, for large rockfish the  
430 effect of lighting treatment was not significant, but they appeared to respond to the approaching  
431 camera only. These findings have implications for underwater surveys of rockfish. Care should  
432 be taken whenever possible to minimize the overlap between light spectra emission and spectra  
433 that are visible to rockfish, so that the most accurate estimation of fish abundance can be

434 obtained. For example, Jaffe et al. (1998) used red light to minimize behavioral reactions to  
435 lighting on an underwater imaging system (660 nm) for zooplankton. The implications of this  
436 study are that visible lights can decrease the observed density of fish during underwater camera  
437 surveys. Additionally, fish behavior and reaction to underwater platforms can be affected by the  
438 degree to which lighting regimes overlap spectral sensitivities of target fishes. Thus, care should  
439 be taken to account for and minimize these effects in the selection of underwater lighting in  
440 future studies and experiments.

441         The study conducted here is novel in that we attempted to isolate the effect of lighting  
442 regime on observable fish abundance and avoidance behavior through a rigorous experimental  
443 design to provide conclusive results. The effect of lighting on fishes response to underwater  
444 visual platforms has never been studied in the field using both conspicuous (white) and  
445 inconspicuous (far red) lighting. Given the results of this study, the effects of underwater lighting  
446 are of greater concern when examining small rockfish species, while the overall effect of the  
447 approaching platform (regardless of lighting regime) may be more important for studies  
448 examining large rockfish species. Although lighting is an important component of the stimuli  
449 associated with underwater visual survey platforms, further studies to examine other components  
450 is needed to determine the relative contribution of lighting to avoidance behavior in rockfishes.

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453

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460 Untrawlable Habitat Strategic Initiative.

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557 **7.1 Tables**

558 Table 1. Average range (r) for each treatment (and standard error) and corresponding total  
559 volume observed per frame and overlapping volume per frame used to compute densities.

Treatment	Mean range (cm)	Total volume (m <sup>3</sup> )	Overlapping volume (m <sup>3</sup> )
Red strobe lights	225 (7.7)	7.54	2.72
White strobe lights	252 (6.4)	10.48	3.91
White constant lights	243 (9.3)	9.44	3.49

560

561 Table 2. Counts of species observed in categories (large rockfish and small rockfish) for each lighting treatment. The percentage of  
 562 each species group occurring in rocky habitats is also shown.

Grouping	Species or taxa name	Total count			Percent in rocky habitat
		Red strobe light	White strobe light	White constant light	
Small rockfishes	Puget Sound rockfish	602	351	94	89%
	Harlequin rockfish	12		5	
	Unidentified rockfish	1779	1156	537	
Large rockfishes	Copper rockfish	48	49	42	96%
	Quillback rockfish	15	22	30	
	Vermillion rockfish			4	
	Yelloweye rockfish	10		3	

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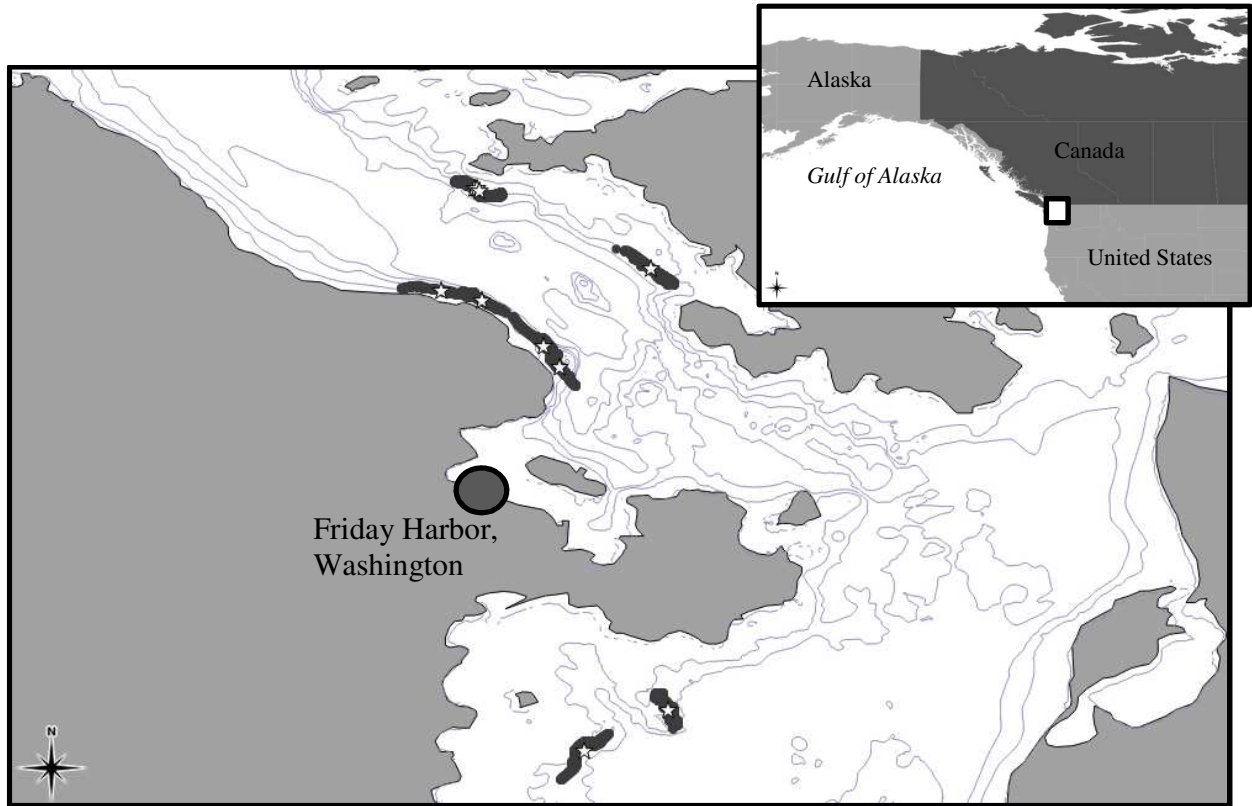
564 Table 3. Results of analyses (ANOVA and ANCOVA) testing for the effects of lighting  
 565 treatment on rockfish density and behavior. The dependent variable for each analysis is given, as  
 566 well as the factors included in the analyses as independent variables. Degrees of freedom (df), F-  
 567 values, residual mean-squared-error (MSE), and p-values are given for all analyses.  
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Dependent variable	Factor/Error	df	F- value/MSE	p value	
Small rockfish density	Block	10	3.641	0.007	*
	Treatment	2	7.104	0.005	*
	Error	20	1.37E-04		
Large rockfish density	Block	10	1.062	0.433	
	Treatment	2	0.670	0.523	
	Error	20	1.36E-06		
Maximum number of rockfish	Block	10	3.27	0.012	*
	Treatment	2	8.68	0.002	*
	Error	20	1.61E+01		
Small rockfish density	Block	9	16.688	<0.001	*
	Treatment	2	17.633	<0.001	*
	Distance from camera	6	8.064	<0.001	*
	Treatment*Distance	12	1.664	0.078	
	Error	189	1.15E-02		
Large rockfish density	Block	9	0.958	0.478	
	Treatment	2	2.807	0.064	
	Distance from camera	6	2.975	0.010	*
	Treatment*Distance	12	0.652	0.794	
	Error	133	3.93E-05		
Small rockfish height off the seafloor	Treatment	2	29.821	<0.001	*
	Distance from camera	1	6.07	0.014	*
	Treatment*Distance	2	2.827	0.061	
	Error	294	5.35E+02		
Large rockfish height off the seafloor	Treatment	2	3.088	0.052	
	Distance from camera	1	4.676	0.034	*
	Treatment*Distance	2	1.01	0.370	
	Error	65	3.16E+02		

\*indicates statistical significance (p < 0.05)

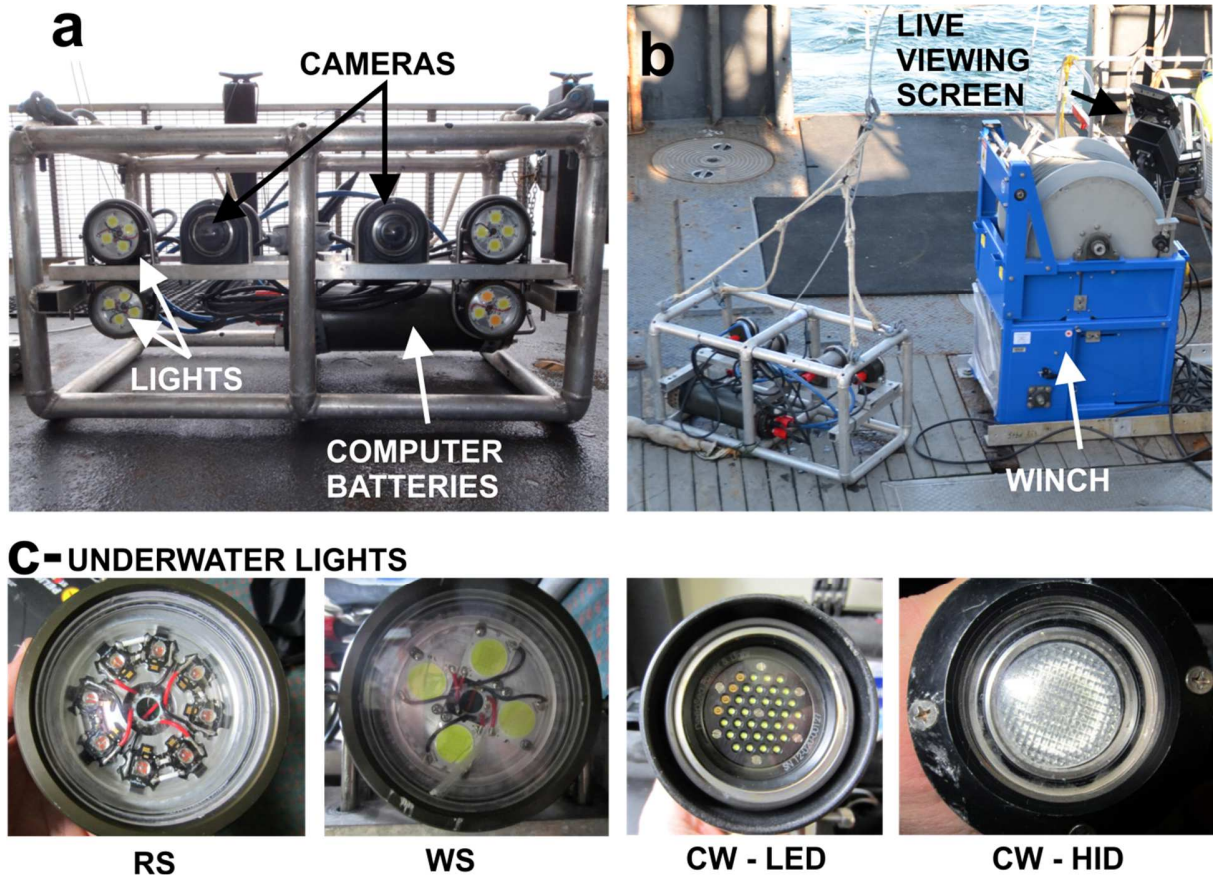
570 **8.1 Figures**

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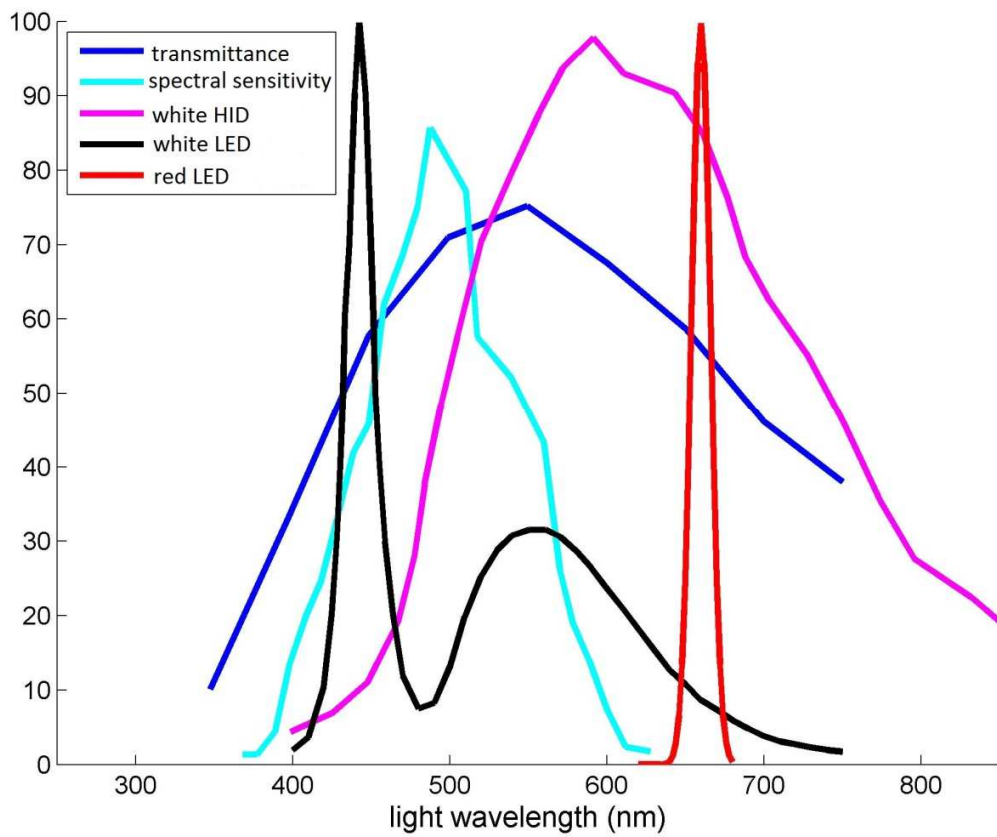
Fig. 1. Map of study area near Friday Harbor, Washington, showing the transects ( $n = 11$ ) where three deployments were conducted (one for each light treatment). Stars indicate the center point of each transect, the grey areas show the full extent of the transects. The northernmost grey area contained 4 closely spaced transects. Four transects were spaced end to end in the grouping just north of Friday Harbor.



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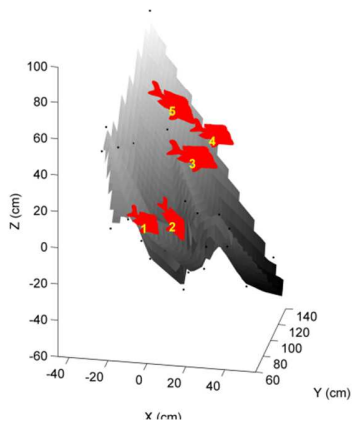
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586 Fig. 2. Stereo drop camera system that was towed or drifted along transects (a) with the quick  
 587 responding electric winch (b), and insets of each underwater lighting type and corresponding  
 588 treatment (c). RS is red strobed light, WS is white strobed light, CW-LED is constant white light  
 589 (light emitting diode) and CW-HID is constant white light (high intensity discharge).



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Fig. 3. Spectral sensitivity of black rockfish (*Sebastes melanops*) from Brill et al. (2008), approximate transmittance properties of coastal seawater ( Jerlov, 1976) and spectral profile of each of the three lighting types (red LED light, white LED light, and white HID light).

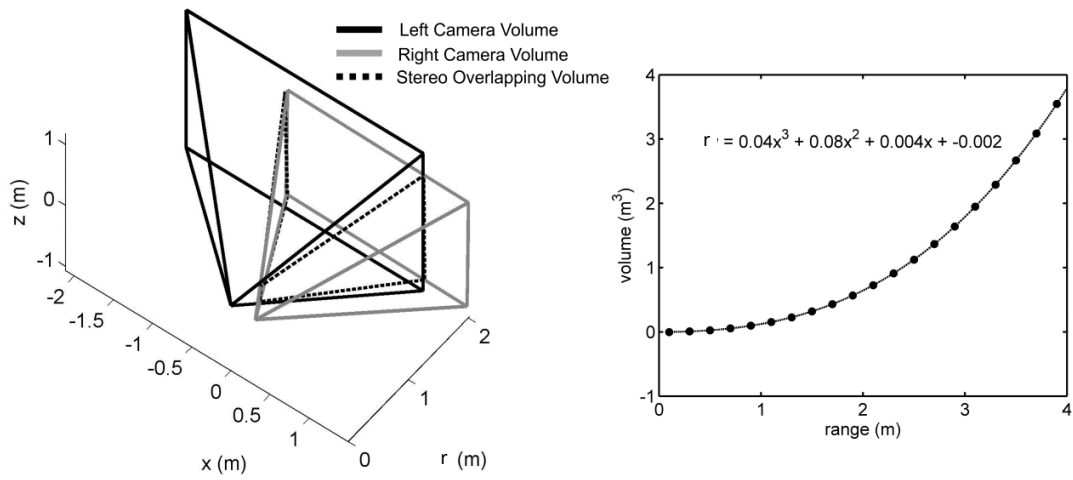


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Fig. 4. Stereo measurement software interface showing five rockfish viewable in both images that were measured using stereo techniques (upper panel). The lower panel shows the 3-dimensional reconstruction of the measured images, as well as a 3-dimensional reconstruction of the seafloor computed from the ranges at the red dots in the upper panel.



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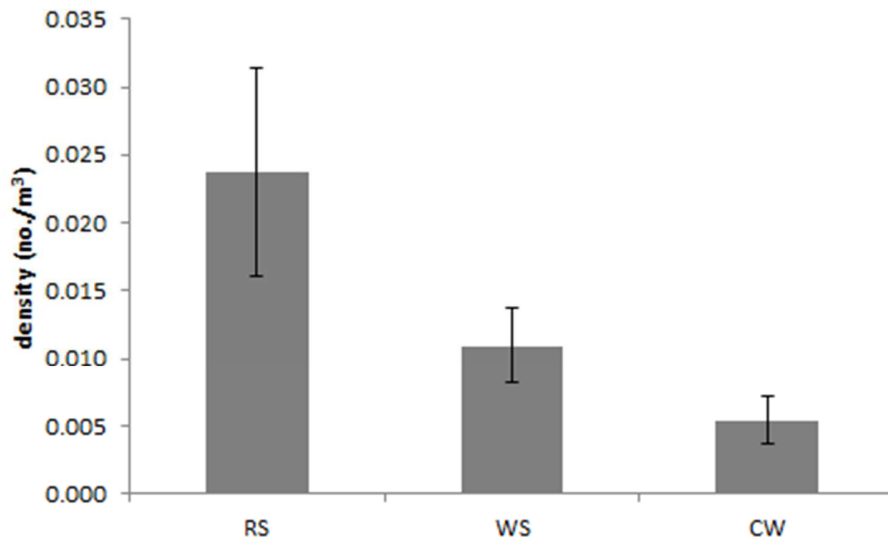
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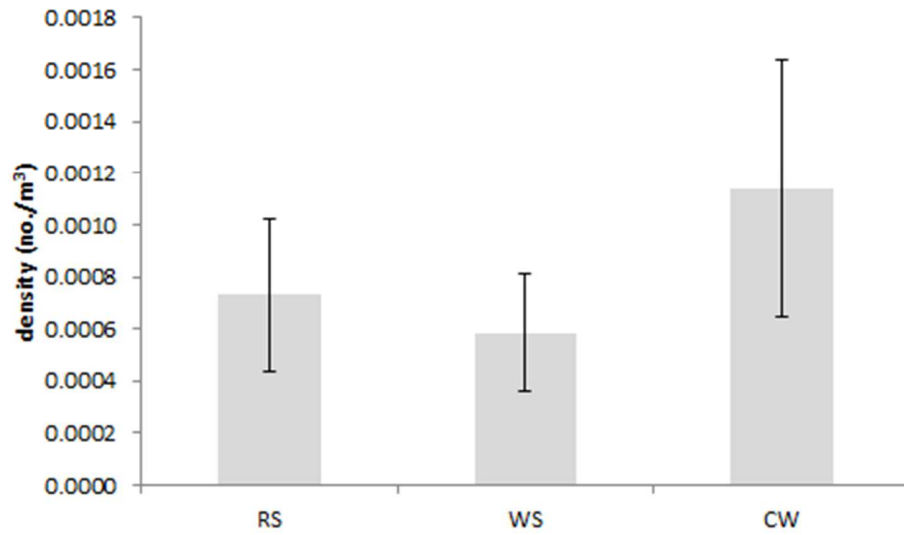
605 Fig. 5. Projection of the field of view for the left and right camera and their overlapping volumes

606 (left panel) and the overlapping volume of the left and right cameras as a function of range ( $r$ )

607 from the camera (right panel).

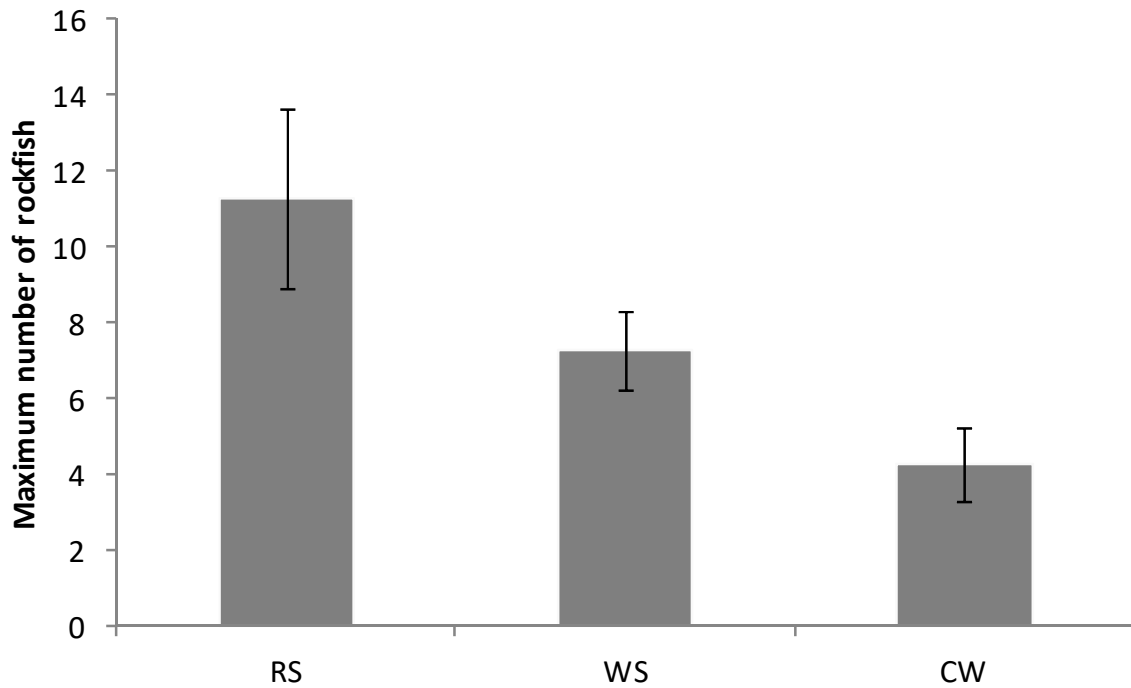


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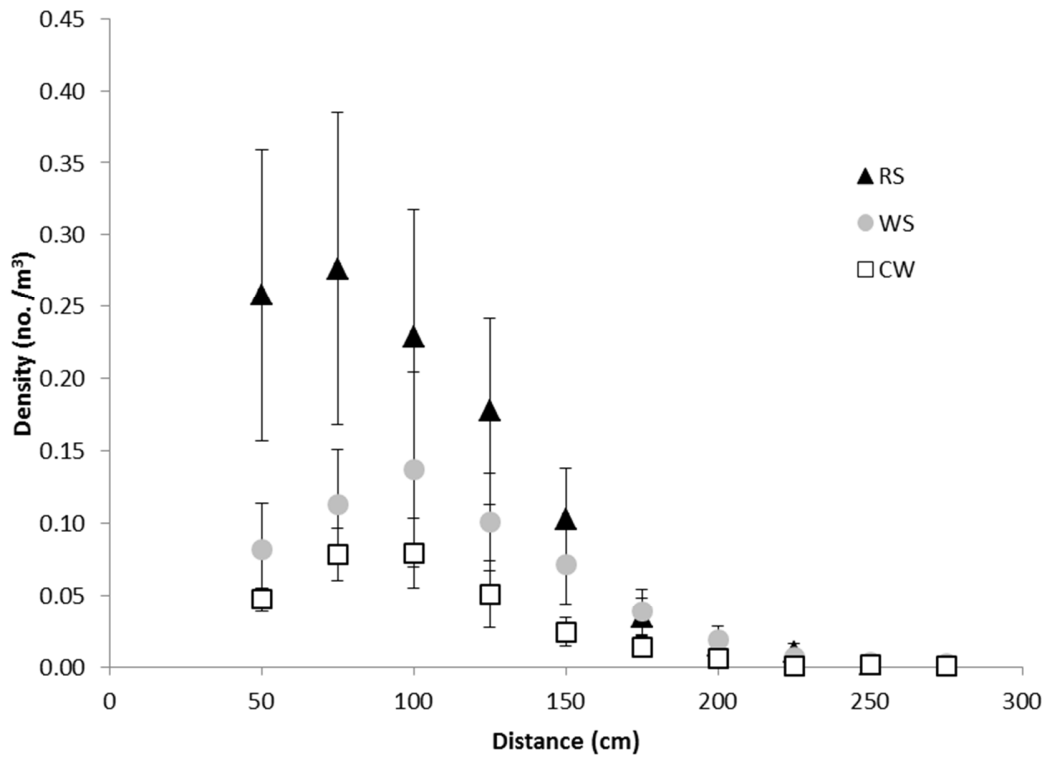
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610 Fig. 6. Volumetric density estimates for small rockfish (Puget Sound, and unidentified; top  
 611 panel) and large rockfish (Quillback, Copper, Yelloweye and Vermillion; bottom panel) by light  
 612 treatment (RS, red strobe light; WS, white strobe light; CW, constant white light). Standard error  
 613 bars are shown.

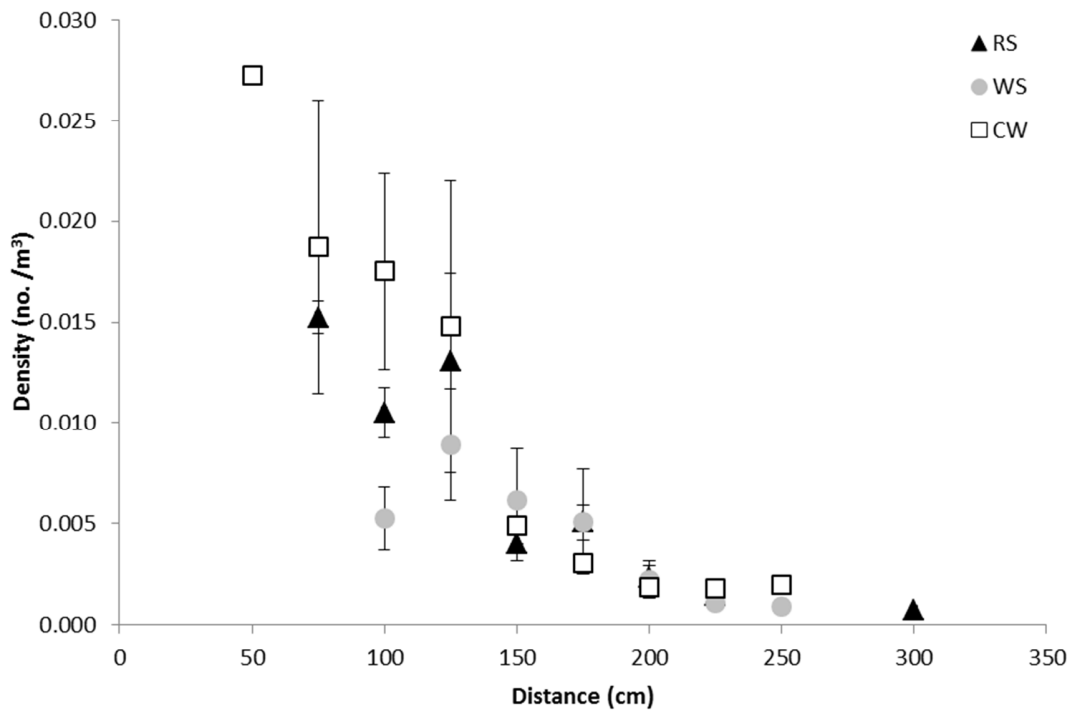


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Fig. 7. Average (across camera drops) maximum number of rockfish in a single frame for each of the three treatments (RS, red strobe light; WS, white strobe light; CW, constant white light). This comparison was significant ( $p = 0.0187$ ) and post-hoc test indicated only RS and CW were significantly different from each other. Standard error bars are shown,  $n = 11$  transects per treatment.



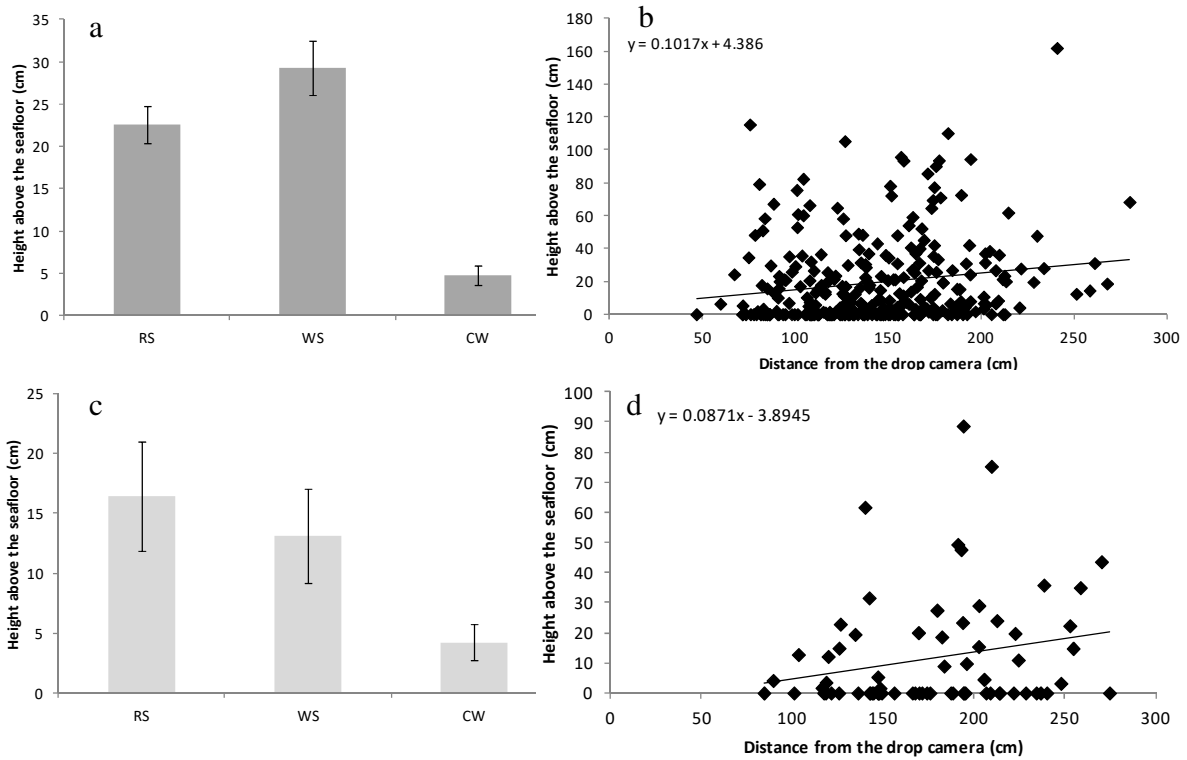
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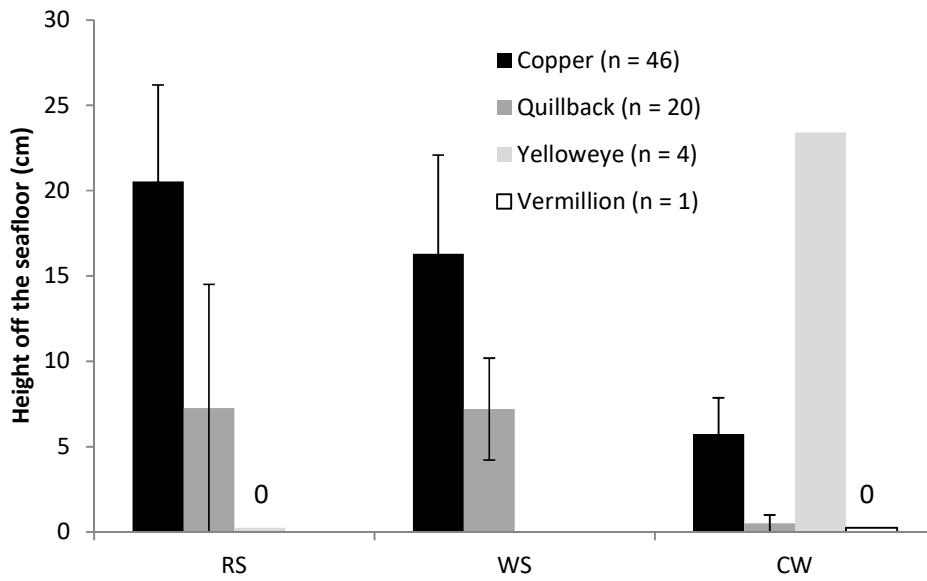
623 Fig. 8. Density of small rockfish (top panel) and large rockfish (bottom panel) by distance from  
 624 the drop camera system averaged across deployments in 25 cm bins from the drop camera,

625 shown by treatment (RS, red strobe light; WS, white strobe light; CW, constant white light).  
626 Standard errors are shown.



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Fig. 9. Mean height above the seafloor (and standard error) for small rockfish by lighting treatment (a), height above the seafloor for small rockfish as a function of distance from the camera (b), height above the seafloor for large rockfish by lighting treatment (c), and height above the seafloor for large rockfish as a function of distance from the camera (d). RS = red strobe light; WS = white strobe light and CW = constant white light.



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Fig. 10. Mean height off the seafloor (and standard error) by species and lighting type (RS, red strobe light; WS, white strobe light; CW, constant white light) for large rockfish species. Zero indicates the height above the seafloor was 0 cm.