

1 **Trends in sea-ice cover within bowhead whale habitats in the Pacific Arctic**

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21

22 **Abstract**

23 The range of the Bering-Chukchi-Beaufort (BCB) population of bowhead whales (*Balaena*  
24 *mysticetus*) extends across the seasonally ice-covered waters of the Pacific Arctic region. The  
25 majority of whales summer in the eastern Beaufort Sea and winter in the Bering Sea, migrating  
26 across the Chukchi Sea in fall and spring. As arctic sea-ice extent rapidly diminishes, the  
27 increasing length and variability of the open water season is changing bowhead habitat  
28 substantially, with many areas now regularly ice-free when whales are present. This study  
29 examines changes in the number of open water days (OWD) between 1979 to 2014 within  
30 annual bowhead whale core-use areas as defined by satellite tagging data, and within the western  
31 Beaufort Sea (140°W-157°W; to 72°N) sampled by fall aerial surveys. Ice cover has decreased  
32 more in the core-use areas in the northern extent of the range than in core-use areas in the  
33 southern extent. The numbers of OWD within the core-use areas near Point Barrow and along  
34 the northern Chukotka Coast during peak use have increased by 13 and 10 days/decade,  
35 respectively. The most dramatic reductions in sea-ice cover have taken place in the western  
36 Beaufort Sea where the number of OWD on the shelf and slope have increased by 20 and 25  
37 days/decade, respectively. In contrast, sea-ice cover has not significantly changed within the  
38 winter core-use area near the Gulf of Anadyr. Using aerial survey data, we found that bowheads  
39 in the Beaufort Sea during the fall migration have a preference for being closer to shore than to  
40 the ice edge, and that their distance to shore decreases as the fraction of open water increases.  
41 This distribution may be due to increased feeding opportunities closer to shore as a result of  
42 greater upwelling along the shelf break when the ice cover is farther from shore. Furthermore,  
43 the aerial survey data also revealed a substantial shift westward toward Point Barrow in the  
44 whales' use of the western Beaufort Sea during fall in the period 1997-2014 compared to 1982-  
45 1996. The extent and timing of sea-ice coverage has changed relatively little over time in the  
46 Bering Sea. Bowheads typically migrate north prior to spring ice melt and retreat; therefore,  
47 large changes in the timing of the spring migration are not expected. We anticipate that  
48 bowheads will spend increasingly more time within summer and fall feeding areas, delaying their  
49 arrival to wintering areas in the Bering Sea. Reduced ice coverage and thickness in the southern  
50 Chukchi Sea may make wintering there more common in the future. Summer and fall  
51 movements may be more variable as productivity and zooplankton aggregations in existing

52 feeding areas are altered in response to sea ice thinning and retreat, and as new areas become  
53 available.

#### 54 **Keywords**

55 Bowhead whales, arctic sea ice, Beaufort Sea, Chukchi Sea, Bering Sea

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#### 58 **1. Introduction**

59

60 Sea ice is important for the ecology and evolution of arctic marine mammal species.  
61 These species exhibit a range of adaptations for living in ice-covered waters, such as thick  
62 blubber, no dorsal fin (beluga—*Delphinapterus leucas*, narwhal—*Monodon monoceros*, and  
63 bowhead whales—*Balaena mysticetus*), or cryptic coloration as seen in young seals (i.e. white  
64 lanugo) or the white pelage of polar bears (*Ursus maritimus*). Sea ice, due to its roles both as a  
65 structural element in the Arctic environment and as an ecological driver of prey populations, is  
66 also thought to be an important factor for determining the seasonal range of arctic marine  
67 mammals. For pinnipeds, such as ice seals and walruses (*Odobenus rosmarus*), sea ice provides a  
68 platform for resting, pupping, molting, and feeding. For polar bears, sea ice provides the  
69 substrate on which they hunt. For cetaceans, sea ice may provide refuge from predators, such as  
70 killer whales (*Orcinus orca*; e.g. Sheldon et al., 2003; Higdon and Ferguson, 2009). However,  
71 too much sea ice may also pose a risk of entrapment for cetaceans (e.g. beluga whales; Heide-  
72 Jørgensen et al., 2002; Ivashin and Shevlyagin, 1987) or limit gene flow between populations  
73 (e.g. Dyke et al., 1996; Alter et al., 2012). In contrast, the presence of sea ice may foster  
74 connectivity between populations for species that travel on the ice, such as the Arctic fox (e.g.  
75 *Alopex lagopus*; Geffen et al., 2007).

76 The spatial extent of arctic sea ice has declined at a rate of 14% per decade since the  
77 beginning of the satellite record in 1979 (Stroeve et al., 2014). This decline has been especially  
78 evident in recent years with the ten lowest September minimum ice extents having all occurred  
79 in the last ten years (2007-2016). Furthermore, the Arctic has experienced over a 60% reduction  
80 in annual mean sea-ice thickness over the last 30-40 years (Lindsay and Schweiger, 2015). There  
81 are several identified causes of the recent arctic sea-ice decline, including warming trends

82 (Overland et al., 2008), atmospheric circulation and wind patterns (Nghiem et al., 2007), a  
83 thinner more mobile ice cover (Maslanik et al., 2007), increased inflow of warm water  
84 (Woodgate et al., 2006), and the ice albedo feedback (Perovich, 2011). Given that most of these  
85 drivers are either projected to continue (e.g. warming trends) or are positive feedback  
86 mechanisms (e.g. increased ice mobility and the ice albedo feedback), it is unlikely that the  
87 current declining trends in sea-ice extent will abate before a nearly ice-free Arctic Ocean is  
88 observed in summer.

89         In this paper, we examine how sea ice has changed the habitat of the Bering-Chukchi-  
90 Beaufort (BCB; aka Western Arctic) stock of bowhead whales. Bowheads have long been  
91 considered ice-associated whales (e.g. Haldiman and Tarpley, 1993; Moore and Reeves, 1993;  
92 George, 2009) that have a range restricted to areas with seasonal ice cover, seek refuge within  
93 pack-ice from predators, migrate through seasonal flaw lead systems in spring, and have a  
94 protruding rostrum capable of breaking ice up to 60 cm thick to breathe (George et al., 1989).  
95 The majority of the BCB stock spends summers in the Beaufort Sea (Moore and Reeves, 1993;  
96 Harwood et al., 2010) and winters in the Bering Sea, migrating through the Chukchi Sea in both  
97 fall and spring. The most recent abundance estimate for the stock was approximately 17,000  
98 whales in 2011 (Givens et al., 2013). Whales that summer in the eastern Beaufort Sea have been  
99 observed in deep waters beyond the continental shelf (Moore et al., 2000); however, the majority  
100 feed in shallower waters over the continental shelves (e.g. Moore and Reeves, 1993; Moore et  
101 al., 2000; Ashjian et al., 2010; Harwood et al., 2010; Citta et al., 2015) where summer sea ice has  
102 substantially diminished over recent decades. In a typical year, the majority of bowheads remain  
103 in the eastern Beaufort Sea until late summer or early fall, when they begin their fall migration  
104 across the western Beaufort Sea and Chukchi Sea. Most whales arrive at, and pass by, Point  
105 Barrow from late August through mid-October. By mid to late fall, bowhead whales are well into  
106 the Chukchi Sea, where their migration is broadly distributed (Quakenbush et al., 2010; Clarke et  
107 al., 2016). Most whales linger along the northern Chukotka coast before arriving in the Bering  
108 Sea, where they remain from December to April.

109         Our understanding of how sea ice is thought to affect the distribution of bowhead habitat  
110 has changed over the last several decades, concurrently with diminishing arctic sea ice.  
111 Researchers initially believed that bowheads in winter were largely restricted to polynyas—areas  
112 of open water usually formed downwind from land masses (Niebauer and Schell, 1993)—

113 because polynyas provided open water for surfacing (e.g. Bogoslovskaya et al., 1982;  
114 Brueggeman et al., 1987) and because bowheads targeted the ice edge for feeding. Recent studies  
115 indicate that bowhead whales are much less limited by sea ice than originally thought, although  
116 this assertion is confounded by lighter ice conditions in recent years. Satellite tagging has shown  
117 that most bowheads do not rely on polynyas in winter (Citta et al., 2012) and that they are  
118 capable of migrating through very heavy sea ice in spring (Zeh et al., 1993; Quakenbush et al.,  
119 2012). Genetic studies indicate that the dispersal of bowheads is not as limited by sea ice as  
120 previously believed (Alter et al., 2012). Although bowheads may sometimes target the ice edge  
121 for feeding, many feeding areas are completely devoid of sea ice when whales are present  
122 (Moore et al., 1995; Ashjian et al., 2010; Walkusz et al., 2012; Citta et al., 2015; Okkonen et al.,  
123 this issue). Bockstoce et al. (2005) summarized catch data from historical whaling ship logs  
124 indicating that thousands of bowheads summered in the Bering Sea in the 1850s, which was  
125 presumably ice-free, at least in some years (e.g. see Mahoney et al., 2011). This does not mean  
126 that bowhead habitat is independent of sea-ice conditions; rather, that the relationship between  
127 bowheads and ice is more subtle.

128         The current decline in sea ice is thought to have had a positive influence on bowhead  
129 whale habitat in the Pacific Arctic region (Moore and Stabeno, 2015). Declining sea ice is  
130 associated with an increase in net primary productivity due to more photosynthetically active  
131 radiation entering the ocean (e.g. Arrigo et al., 2008) and a longer growing season (e.g. Arrigo  
132 and van Dijken, 2011; 2015). These changes may translate into enhanced secondary production,  
133 including faster growth rates of zooplankton (Matsuno et al., 2011; Ershova et al., 2015) that  
134 bowhead whales consume. George et al. (2015) found that sub-adult whales in particular gain  
135 more mass, an indicator of improved body condition, during years with less sea ice. Improved  
136 body condition, however, may be linked to other factors as well, including prey aggregation  
137 through increases in upwelling favorable winds (Pickart et al., 2013) or increased transport of  
138 zooplankton through Bering Strait (Woodgate et al., 2012; Ershova et al., 2015). Despite these  
139 mechanisms for advantage, it is unclear if continued rapid declines in sea ice will remain  
140 advantageous for bowhead whales. For example, bowhead whales are known to target large  
141 zooplankton, yet warming may favor smaller-sized species, which appears to be the case in the  
142 Bering Sea where bowheads winter (Eisner et al., 2014). Declining sea-ice habitat may expose  
143 bowhead whales to more competition as sub-arctic species of whales, such as humpback whales

144 (*Megaptera novaeangliae*), or predators, such as killer whales (*Orcinus orca*), expand into the  
145 bowhead whales' summer and fall range.

146 In this paper, we examine sea-ice conditions within known bowhead whale habitats by  
147 analyzing long-term (1979-2014) changes in sea-ice concentration and timing within the areas of  
148 the Alaskan Beaufort Sea where whales are counted via aerial surveys during the fall migration  
149 (Clarke et al., 2015) and within core-use areas delineated by satellite telemetry studies (e.g. Citta  
150 et al., 2015). Within the aerial survey zone, we examine how the presence and location of sea ice  
151 influence the observed locations of bowhead whales relative to the coast. We then synthesize this  
152 information to discuss how the distribution of bowhead whales and the timing of their migration  
153 may change as sea ice continues to decline.

154

155

## 156 **2. Methods**

157

158 This section describes the three primary datasets used in this study, each corresponding to  
159 a different period. The sea-ice data span 1979-2014 (see Section 2.1) and begin at the start of the  
160 satellite record; thus providing consistency with most studies of long-term changes in arctic sea-  
161 ice concentration. Due to our focus on long-term changes in the ice cover, we did not constrain  
162 the years of sea-ice data to align with the period of either the aerial survey data (1982-2014; see  
163 Section 2.2) or the satellite tagging data (2006-2012; see Section 2.3).

164

### 165 *2.1. Satellite-derived sea-ice coverage (1979-2014)*

166

167 We derived values for the open water fraction (OWF; 1 minus the sea-ice fraction) within  
168 the whale core-use areas and over the western Beaufort Sea shelf and slope using the daily and  
169 monthly averaged passive microwave datasets from the Nimbus-7 Scanning Multi-channel  
170 Microwave Radiometer (SMMR) and the Defense Meteorological Satellite Program (DMSP)  
171 Special Sensor Microwave Imager (SSM/I) and Special Sensor Microwave Imager/Sounder  
172 (SSMIS) (Cavalieri et al., 1996) for years 1979-2014. All data were accessed from the National  
173 Snow and Ice Data Center, where they had been processed using the NASA Team algorithm  
174 (Comiso et al., 1997) for relating brightness temperatures to sea-ice concentration. Data were

175 provided in the polar stereographic projection with a grid cell size of 25 km x 25 km. Prior to  
176 August 19, 1987, daily sea-ice coverage was observed every-other day; thus, we used  
177 interpolated values for days without observations.

178 Several different ice condition values were calculated for whale use locations and times  
179 of the year. First, mean monthly OWF were extracted for all months to examine long-term  
180 changes in sea-ice cover. Second, using the daily ice concentrations, we calculated mean weekly  
181 OWF. Third, the number of open water days (OWD) annually and during peak-use periods were  
182 calculated as the total number of days when the OWF was greater than 0.85, which is the typical  
183 threshold used to define open water (e.g. by the National Snow and Ice Data Center). All data  
184 were processed in R (Ver. 3.2.2) using the *raster* package (Hijmans et al., 2016) for reading and  
185 analyzing gridded spatial data.

186

## 187 *2.2. Aerial survey data (1982-2014) in the western Beaufort Sea*

188

189 This study used aerial survey data from the Aerial Surveys of Arctic Marine Mammals  
190 (ASAMM) program, obtained from the National Oceanographic Data Center. ASAMM, which is  
191 a continuation of the Bowhead Whale Aerial Survey Project (BWASP) and Chukchi Offshore  
192 Monitoring in Drilling Area (COMIDA), has documented the distribution and relative abundance  
193 of marine mammals in the western Beaufort and eastern Chukchi Seas, focusing on areas of  
194 potential oil and natural gas activities. A primary ASAMM objective is to document inter-annual  
195 differences and long-term trends in the spatial distribution and timing of the annual bowhead  
196 whale fall migration. Through aerial surveys from fixed-winged aircraft, ASAMM records data  
197 on bowhead whales and a variety of other cetaceans, ice seals, walruses, and polar bears (Clarke  
198 at al., 2015).

199 The resulting ASAMM dataset includes bowhead whale sighting location (latitude and  
200 longitude), the number of whales observed in each sighting, whale behavior, and distance to  
201 shore. Whale behavior is reported as swimming, diving, resting, feeding, milling, or several other  
202 descriptors related to display (e.g. breaching, tail slapping, or spy hopping). Feeding behavior, in  
203 particular, is challenging for aerial observers to characterize. Whales are seen for brief periods  
204 and some feeding modes are easier to identify than others. For example, the presence of mud in  
205 the water or on a whale's rostrum indicates benthic feeding, and visibly open mouths indicate

206 surface feeding. In contrast, mid-water feeding has no significant indicator. The distance-to-  
207 shore measurement,  $d_{\text{shore}}$ , is defined as the shortest distance between the sighting and a  
208 normalized coastline within the ASAMM study area. The normalized coastline, which was based  
209 on the World Vector Shoreline produced by the U.S. Defense Mapping Agency, is a  
210 standardized, stationary feature that coarsely defines the coastline and offshore extent of bays  
211 and barrier islands (Clarke et al., 2015).

212 ASAMM transect data for years 1982-2014 were compiled and subsampled to include all  
213 bowhead whale sightings in the western Beaufort Sea (140°W-157°W) for the months of August  
214 to October. The earliest ASAMM data for years 1979-1981 were excluded due to differences in  
215 methodology during those years compared to the longer-term record. We only used sightings that  
216 were systematically collected during the survey transects and excluded sightings made at other  
217 times during flight (e.g. while navigating to transect start and end locations). The geographic  
218 range of our study region included 91% of the total transect sightings during August-October for  
219 these years. Sightings ( $n=2679$ ) ranged in size from 1 to 70 individual whales, yet the mean  
220 number of whales per sighting was only 1.9. Criteria for delineating groups of whales over the  
221 duration of the study varied due to data recording limitations. However, we avoided any  
222 associated bias by using a normalized value for total whales sighted ( $W_N$ ), computed as the total  
223 number of whales sighted divided by survey effort:

$$224 \quad W_N = W_T / T_x ,$$

225 where  $W_T$  is the total whales observed per sighting and  $T_x$  is the distance (km) of transect effort  
226 flown within the corresponding 25-km x 25- km grid cell for the corresponding month and year  
227 of the sighting. The effort grid matched the scale and location of the passive microwave sea-ice  
228 data used in this study (see Section 2.1). The resulting analysis examines the relationship  
229 between  $W_N$  and various metrics related to ice conditions and distance to shore.

230 For the aerial survey data, the peak-sighting period during which sea-ice conditions were  
231 analyzed was defined as when at least 5% of the normalized total whale sightings pooled from all  
232 years occurred within a weekly period. We also calculated the distance from each whale sighting  
233 to the sea-ice edge ( $d_{\text{ice}}$ ), which is here defined as at least 15% ice concentration. The R software  
234 *raster* package's distance function was used to determine  $d_{\text{ice}}$  as the distance between the center  
235 of the passive microwave sea-ice data grid cell that corresponded with the whale sighting  
236 location to the center of the nearest grid cell with at least 15% sea ice. Therefore, the minimum



237 distance to the ice edge for whale sightings outside the ice edge is 25 km (i.e. the grid cell  
238 resolution).

239 Using the whale distance from shore,  $d_{\text{shore}}$ , and the calculated distance to the ice edge,  
240  $d_{\text{ice}}$ , we additionally computed the ratio of  $d_{\text{shore}}$  to  $d_{\text{shore}} + d_{\text{ice}}$  for each whale sighting from the  
241 aerial surveys. This ratio effectively describes whether the whale is relatively closer to shore  
242 (lower ratio) versus closer to the ice edge (higher ratio). For example, a sighting with a ratio  
243 value of 0 describes a sighting at the shoreline, while values approaching 1 represent sightings  
244 that are nearer the ice edge.

245

### 246 *2.3. Whale core-use areas defined by satellite tagging data (2006-2012)*

247

248 This study characterizes sea-ice and open water trends for the six core-use areas for BCB  
249 bowhead whales as defined by Citta et al. (2015), shown in Fig. 1. These six areas are (1)  
250 adjacent to Cape Bathurst at the western entrance to Amundson Gulf, (2) along the Tuktoyaktuk  
251 Peninsula, (3) north and northwest of Point Barrow, (4) along the northern Chukotka Coast and  
252 extending into Bering Strait, (5) Anadyr Strait, and (6) the Gulf of Anadyr. Using the locations  
253 of whales carrying satellite tags, Citta et al. (2015) defined these areas as the 25% density  
254 contours of probability distributions of locations using the lattice-based approach of Barry and  
255 McIntyre (2011). Whale locations were obtained using the Argos system of satellites from 54  
256 individually tagged whales. Quakenbush et al. (2012) summarize the Alaska Department of Fish  
257 and Game's whale tagging program and methodology, while Citta et al. (2015) present details of  
258 the obtained data (location, dive, and oceanographic information), the statistical and geographic  
259 approach for determining the core-use areas across the whales' annual range, and oceanographic  
260 and wind conditions during the tagging period between May 2006 and October 2012. The core-  
261 use areas derived from the satellite tagging data (Citta et al., 2015) are generally consistent with  
262 past studies of BCB bowhead whale range (e.g. Moore and Reeves, 1993; Bockstoce et al.,  
263 2005).

264 The numbers of whale location "sightings" for each core-use area, aggregated by week,  
265 are shown in Fig. 1. These weekly aggregations, defined as when five or more location  
266 transmissions were received within a defined weekly period, were based on the estimated daily  
267 locations by Citta et al. (2015) that controlled for minor differences in tag transmission rates due

268 to tag functionality. These peak-use periods do not directly correspond to the peak-use periods  
269 defined by Citta et al. (2015), where data were presented by day. The first, second, third, and  
270 fourth weekly periods of each month, except February, were defined by days-of-month 1-7, 8-15,  
271 16-23, and 24-30 or 24-31, respectively. For February, these weekly aggregations were for days  
272 1-7, 8-14, 15-21, and 22-28 or 22-29.

273

274

### 275 **3. Results**

276

#### 277 *3.1. Sea-ice trends in the western Beaufort Sea and shifts in bowhead distribution as observed* 278 *through aerial surveys*

279

280 The most dramatic and statistically significant observed reductions in sea-ice cover have  
281 taken place along the western Beaufort Sea in fall where the numbers of OWD on the shelf and  
282 slope have increased by 20 and 25 days/decade, respectively (Table 1). Within both regions,  
283 roughly half of these increases have taken place during the peak period of total whale sightings  
284 from 1 September to 23 October (see Fig. 2). In recent years, the peak-use period has been  
285 entirely ice-free on the shelf for years 2009-2014 and on the slope for years 2003-2014 (not  
286 shown). These trends in sea-ice conditions during August-October correspond to when whales  
287 are predominantly migrating westward, but include periods of loitering and non-directional  
288 movements during which the whales may be feeding, resting, or socializing.

289 During the months of August through October, the OWF over the shelf is very similar to  
290 the OWF over the slope; when sea ice is absent over the shelf, the ice edge is almost always  
291 farther offshore and not over the slope (Fig. 3). Since 1997, September has been entirely ice-free  
292 over the shelf, and nearly ice-free over the slope of the western Beaufort Sea. Since 2002,  
293 October, which is the month with the greatest inter-annual variability in ice cover throughout the  
294 satellite record for this region, has shown significant trends toward more open water with much  
295 less inter-annual variability.

296 We explored the influence of these reductions in ice coverage in the western Beaufort Sea  
297 relative to the proximity of whales to shore between two periods: 1982-1996 and 1997-2014.  
298 During the earlier period, more aerial survey transect effort occurred in the eastern than western

299 portion of the survey area, and there was effort farther (75-100 km) offshore in the western  
300 portion near Point Barrow (Fig. 4). During the later period, slightly more survey effort occurred  
301 in the western portion of the survey area, and there was no effort far offshore near Point Barrow.  
302 Based on normalized total whales sighted ( $W_N$ ; see Section 2.2), relatively more whales were  
303 observed in the waters over the slope in the eastern portion of the study area (i.e. out to  
304 approximately 125 km off Kaktovik) during the earlier years, and significantly more whales were  
305 observed in the waters between Point Barrow and Harrison Bay during the later years (Fig. 4).

306 The average distance from shore of normalized whale sightings decreased during the  
307 1982-2014 study period within the western Beaufort Sea (Fig. 5). There is a significant  
308 downward trend in the average whale distance from shore during the fall season (August to  
309 October) by about 8 km/decade. These results prompt the question of whether the observed  
310 reductions in regional sea ice are influencing the whales' distance from shore.

311 Using the  $d_{\text{shore}}$  to  $d_{\text{shore}} + d_{\text{ice}}$  ratio, we found that whales prefer to be nearer to shore than  
312 to the ice edge (Fig. 6). Due to the coarseness of the determined ice edge location, this analysis  
313 excluded whales that were within 100 km of the ice edge (i.e.  $d_{\text{ice}} \leq 100$  km), which accounted  
314 for 28% of all individual whales sighted. This omission; however, did not change the overall  
315 relationship shown in Fig. 6, except for removing a single interval peak at 1 for whales at or  
316 within the ice edge (11% of all whales sighted). Moore et al. (2000) similarly found that  
317 bowheads in the western Beaufort Sea in September and October were observed more often over  
318 the shelf than the slope and basin waters. The distance to shore for each normalized whale  
319 sighting was also analyzed across different intervals of OWF over the western Beaufort Sea shelf  
320 and slope (Fig. 7). Although whales appear to generally favor proximity to shore (see Fig. 6),  
321 they are more likely to be found offshore when there is less open water over the shelf and slope,  
322 as opposed to when there is more open water and the ice edge is beyond the continental slope  
323 (see Fig. 7). We have not examined in detail how bowhead whale behavior relates to the whales'  
324 proximity to shore; however, results show no overwhelming difference between  $d_{\text{shore}}$  for all  
325 observed whales and those that were observed to be foraging (milling or feeding) (Fig. 8). Yet, a  
326 relatively tighter distribution closer to shore for foraging whales is observed.

327

328 *3.2. Sea-ice trends within whale core-use areas*

329

330 The long-term trends and variability in sea-ice conditions (1979-2014) in the whale core-  
331 use areas at Cape Bathurst, Tuktoyaktuk Peninsula, Point Barrow, and the northern Chukotka  
332 Coast/Bering Strait are summarized in Figs. 9 to 12, respectively. These core-use areas, which  
333 were defined by satellite tagging data, represent summer and fall locations where either sea ice is  
334 retreating (Cape Bathurst), largely absent (Tuktoyaktuk Peninsula), or advancing (Point Barrow  
335 and northern Chukotka Coast/Bering Strait). Similarly, sea-ice conditions for the largely ice-  
336 covered winter core-use areas at Anadyr Strait and the Gulf of Anadyr are summarized in Figs.  
337 13 and 14. This section describes the observed changes in ice cover for each core-use area in  
338 more detail, while Table 2 summarizes the corresponding trends in the number of OWD.

339 The peak-use period at Cape Bathurst (1 May to 15 July; i.e., when  $\geq 5$  whale locations  
340 were observed per week) coincides with the area's transition from a nearly 100% ice cover in  
341 early May to nearly 100% open water in early to mid-July (Fig. 9). There is no significant trend  
342 ( $p > 0.05$ ) toward more OWD during the peak-use period, which has much greater inter-annual  
343 variability relative to the fall transition period. Although also not statistically significant ( $p >$   
344  $0.05$ ), there has been an increase of 11 OWD/decade in this area overall, primarily due to later  
345 freeze-up in late October and early November.

346 For the Tuktoyaktuk Peninsula, there is a significant increase ( $p < 0.05$ ) of 10  
347 OWD/decade, but only about half of this increase occurred within the peak-use period of 8 July  
348 to 15 October (Fig. 10). In contrast, for Point Barrow, there has been a substantial and significant  
349 ( $p < 0.001$ ) increase of 20 OWD/decade during the study period, which has more than doubled  
350 the total number of OWD since 1979 (Fig. 11). Here, the majority of the increase (13 OWD  
351 /decade) has taken place within the peak-use period between 16 August and 7 November.  
352 Similarly, a highly significant increase ( $p < 0.001$ ) in OWD was observed for the northern  
353 Chukotka Coast/Bering Strait core-use area. The peak-use period coincides with the timing of ice  
354 advance within this area; however, the ice advance is occurring later in fall (Fig. 12). During the  
355 period of peak-use (8 October to 15 January), OWD increased by 10 days/decade. Over the  
356 course of the entire year, the increase is 18 OWD/decade.

357 The winter ice-covered periods for the Anadyr Strait and Gulf of Anadyr core-use areas  
358 largely coincide with when the whales are present (Figs. 13 and 14). For Anadyr Strait, the  
359 number of OWD increased annually by 8 days/decade, with 4 days/decade occurring during the  
360 peak-use period of 24 November to 15 April. On the contrary, no trend was detected for the

361 number of OWD in the Gulf of Anadyr, either annually or during the peak-use period (1  
362 December to 7 April). In general, these findings suggest that ice conditions have changed less  
363 substantially within the bowhead southern (winter) range in comparison to their northern  
364 (summer and fall) range.

365

366

#### 367 **4. Discussion and Synthesis**

368

369 This investigation characterized observed changes in ice conditions within spatially and  
370 temporally identified bowhead whale habitat and use areas. In general, the two primary datasets  
371 used to define whale locations—the aerial surveys and the satellite tagging data—are notably  
372 different in their capacity to depict the movement and behavior of the BCB bowhead population.  
373 The aerial surveys provide information on a potentially large number of whales within a  
374 determined survey region (here, the western Beaufort Sea) at a given time of year (here, August  
375 to October), but provide no information beyond the survey region. Alternatively, the tagging data  
376 provides high-resolution information on individually tagged whales as they migrate across their  
377 range. The acquired locations from a small subset of the population are then used to spatially and  
378 temporally define core-use areas, which are believed to represent the use of a larger portion of  
379 the population (see Citta et al., 2015). The differences between these two approaches to examine  
380 whale locations are important, yet the relative merits and limitations of each are beyond the  
381 scope of this study and do not factor into how we examined sea-ice concentration and timing  
382 within the defined areas. It is important to highlight, however, that the core-use areas were  
383 defined using satellite tagging data from years 2006 to 2012, a time by which substantial  
384 reductions in sea ice had already occurred, especially at Tuktoyaktuk Peninsula, Point Barrow,  
385 and the northern Chukotka Coast/Bering Strait core-use areas (see Figs. 10-12, respectively). We  
386 found that sea-ice conditions remain relatively unchanged in the Bering Sea core-use areas of  
387 BCB bowhead whales. Ice conditions in the Bering Sea are largely decoupled from those in the  
388 Arctic Ocean (e.g. Stabeno et al., 2012; Laidre et al., 2015; Frey et al., 2015). As such, it is  
389 unlikely that the southern extent of the bowhead whales' range will change greatly relative to the  
390 northern extent where sea-ice retreat is most pronounced in summer and fall. Bowheads begin to  
391 migrate north prior to ice retreat in the Bering Sea (Citta et al., 2012). Therefore, we do not

392 expect the timing of the spring migration to alter significantly in the near future; although, there  
393 is a trend toward earlier spring arrival of bowheads at Utqiagvik (previously Barrow), since 1978  
394 (George et al., 2011). Rather, we expect that northern core-use areas will be available to  
395 bowheads for longer periods, resulting in bowheads lingering in regions farther north through  
396 late fall, prior to entering the Bering Sea. For example, shifts in bowheads away from the  
397 northern coast of Chukotka into the Bering Sea are correlated with ice formation and the  
398 breakdown of the strong salinity front observed by Citta et al. (2015). Freeze-up restricts the  
399 input of fresh water entering the Siberian Coastal Current, weakening the salinity front (see Fig.  
400 8 in Citta et al., 2015) and possibly reducing the density of zooplankton. We would expect that a  
401 later freeze-up (as shown in Fig. 12) would lead to whales feeding along the northern Chukotka  
402 Coast for a longer period in fall. Citta et al. (2012) indicate some bowheads winter in the vicinity  
403 of St. Lawrence Island and Bering Strait in recent years, and there is evidence of similar  
404 wintering behavior during surveys in the 1970s-1980s (Moore and Reeves, 1993; see p.344).

405         Likewise, the feeding area near Point Barrow is available for a longer period than it once  
406 was, which may account for the notable shift west in bowhead whale sightings within the  
407 western Beaufort Sea in recent years (Fig. 4). Ashjian et al. (2010) and Okkonen et al. (2011)  
408 have shown stable concentrations of advected prey near Point Barrow in fall (i.e. the “Barrow  
409 krill trap”) as a result of the local bathymetry, geography, and wind driven oceanographic  
410 processes. While Ashjian et al. (2010) concluded there is no known influence of climate  
411 variability on the presence of favorable fall feeding conditions near Point Barrow, it is  
412 reasonable to assume that the duration of the favorable feeding conditions may have been  
413 constrained in the early 1980s as ice either remained in the area into late August or returned  
414 abruptly in early October to inhibit upwelling. The aerial survey data also suggest that use of  
415 the western Beaufort Sea in general may have increased over time (Fig. 4), although it is difficult  
416 to determine if this is an increase in the total number of whales using the area or a shift in the  
417 timing of migration. Indeed, aerial survey sighting rates are higher in most of the western  
418 Beaufort Sea in the later time period (1997-2014), which is influenced to some degree by the  
419 three-fold increase in bowhead whale population size over the entire study period (Givens et al.,  
420 2016). However, it should also be noted that several of the ASAMM surveys conducted during  
421 the earlier time period (1982-1996) used an aircraft that lacked bubble windows and flew at a  
422 faster speed (Clarke et al., 2016). Both factors likely led to lower sighting rates in earlier years.

423 In addition to ice conditions, the feeding habitat of bowhead whales is largely defined by  
424 water depth and oceanographic fronts (Moore and Reeves, 1993; Moore et al., 2000; Ashjian et  
425 al., 2010). At a fundamental level, bowhead whale feeding habitat is simply localized areas with  
426 a high density of zooplankton that the whales can access. While bowhead whales are probably  
427 limited in their diving depth, their affinity for shelf waters is likely more related to the  
428 availability of better feeding conditions there than to their diving ability. Shelf waters sometimes  
429 have an elevated density of zooplankton near the seafloor, as this is a lower boundary for the  
430 diurnal migration of zooplankton and where zooplankton are expected to aggregate during  
431 diapause (Smith and Schnack-Schiel, 1990; Falk-Petersen et al., 2009). Zooplankton within shelf  
432 waters are further aggregated by fronts and stratified layers (e.g. pycnoclines), which, along with  
433 upwelling and advection, create situations where zooplankton aggregate in sufficient densities  
434 for bowheads to target (e.g. Moore et al., 1995; Ashjian et al., 2010; Walkusz et al., 2012; Citta  
435 et al., 2015; Citta et al., this issue; Okkonen et al., this issue).

436 Given what is known about bowhead feeding requirements, we do not expect the range of  
437 BCB bowhead whales to change greatly in the near future by simply shifting northward with  
438 declining sea ice. First, the current mechanisms that deliver and aggregate zooplankton are  
439 expected to continue, such as the advection of zooplankton from the Bering Sea into the Chukchi  
440 Sea, upwelling and advection of zooplankton onto the shelf, and the formation of fronts.  
441 However, shifts in wind patterns may also alter the amount or timing of upwelling and advection,  
442 resulting in prey aggregating differently or at different times. Also, as certain areas are open  
443 longer and earlier in the season, nutrient depletion may occur, impacting lower trophic dynamics.  
444 Thus, we expect that summer and fall movements may become less predictable, because  
445 bowheads will have more feeding areas to choose from or the quality of those areas will be more  
446 variable, at least until bowheads identify optimal foraging areas under an altered ice regime.  
447 Second, bathymetric features necessary to aggregate zooplankton are largely absent in the deep  
448 Arctic Basin. Third, recent work suggests that the western boundary of BCB bowhead whales in  
449 the Chukchi Sea may be defined by the location of relatively fresh Siberian Shelf water (Citta et  
450 al., this issue), which is known to have the lowest biomass of zooplankton in the Chukchi Sea  
451 (Ershova et al., 2015). Although the area west of Wrangel Island is now ice-free in summer,  
452 satellite-tagged whales have not spent much time west of the island. Lastly, bowhead whales are  
453 largely found in the same places observed by Yankee whalers in the mid- to late-1800s and early

454 1900s (e.g. Bockstoce et al., 2005), although ice conditions have changed drastically (Mahoney  
455 et al., 2011).

456         If the range of bowhead whales does expand, we would expect the expansion to follow  
457 features that help aggregate zooplankton, such as areas near the shelf break where upwelling can  
458 deliver zooplankton onto the shelf where they aggregate on the seafloor or along frontal features.  
459 As such, we would expect the range of BCB bowheads to expand along the shelf break,  
460 northwest of Wrangel Island and northeast into the Canadian Archipelago. Both places were  
461 covered in multi-year ice until recently. Satellite-tagged whales have been tracked near the shelf  
462 break north of Wrangel Island (Citta et al., 2015) and into the Northwest Passage (Heide-  
463 Jørgensen et al., 2011). Currently, these areas do not appear to be used by many bowheads, but  
464 this could change in the future.

465         When examining the distribution of bowhead whale sightings from aerial survey data in  
466 the western Beaufort Sea, we found that bowheads were more likely to be observed nearer the  
467 coast when there was less sea-ice cover on the shelf and slope (see Fig. 7), further supporting the  
468 argument that bowheads will not simply move north with further reductions in sea ice. Pickart et  
469 al. (2013) showed that upwelling and phytoplankton blooms have increased on the Beaufort Sea  
470 shelf in recent decades and that upwelling events along the shelf are more common when ice is  
471 farther offshore. Accordingly, we suspect that foraging may be better nearshore when sea ice is  
472 farther offshore. Using a subset (1982-1991) of the same aerial survey data as this study, Moore  
473 et al. (2000) identified a comparable pattern during September to October and, similarly,  
474 attributed their observation to improved feeding opportunities along the coast when the ice is  
475 farther offshore. It may also be that bowheads are avoiding the risk of ice-entrapment by staying  
476 relatively farther offshore when the ice is nearer the coast. A sudden shift in winds can pile ice  
477 onshore, which can be harmful, especially for young whales. Blackwell et al. (2007) also  
478 associated the whales' nearer-shore presence with fewer or no sea-ice impediments to migration.  
479 Therefore, we do not think the risk of ice entrapment should be discounted completely.

480         Having too little ice may also directly affect the distribution of bowhead whales if the  
481 risk of predation increases. Bowheads are rarely found south of the marginal ice edge in winter,  
482 even over shelf waters (e.g. Citta et al., 2012), and this may be to avoid predation by killer  
483 whales, which are known to attack bowhead whales (e.g. Finley, 1990; George et al., 1994).  
484 Although summer and fall feeding areas are largely devoid of sea ice, the occurrence of killer



485 whales is relatively rare in the northern Chukchi and Beaufort seas (George et al., 1994; Higdon  
486 et al., 2012), where bowhead whales are located in summer and fall. However, the occurrence of  
487 killer whales is increasing in the eastern Canadian Arctic as sea ice declines (Higdon and  
488 Ferguson, 2009) and a similar trend may be occurring in the Pacific Arctic (e.g. O’Corry-Crowe  
489 et al., 2016). DeMarban (2015) documents three bowhead whales thought to have been killed by  
490 killer whales in the last few years. George et al. (2017) provide evidence that the frequency of  
491 killer whale predation scars on harvested bowhead whales has increased statistically in the  
492 second decade of their study (1990 to 2012). The summer and fall distribution of bowhead  
493 whales may change if killer whales become more common in the northern Chukchi and Beaufort  
494 Seas.

495

496 It is important to note that the largest area of unoccupied bowhead habitat in which we  
497 know BCB bowhead whales could survive is in the Bering Sea in summer (i.e. south of their  
498 current summer range). Yankee whalers found bowhead whales during summer in the Bering and  
499 Chukchi seas in 1848. By 1853, this segment of the population was either extirpated or possibly  
500 displaced to summer grounds in the Canadian Beaufort Sea (Bockstoce and Botkin, 1983;  
501 Bockstoce et al., 2005). As a result, bowhead whales, which are believed to have life-spans  
502 greater than 100 years of age (George et al., 1999), may simply migrate to the Canadian Beaufort  
503 Sea each spring because they have become behaviorally entrained to do so. If bowhead whales  
504 could survive year-round in the Bering Sea in 1848, they can probably do so today. The  
505 population of BCB bowhead whales is increasing (Givens et al., 2016) and does not appear to be  
506 negatively affected by declines in sea ice observed to date (George et al., 2015). Even now, some  
507 whales spend summer in the Chukchi Sea (e.g., Melnikov and Zeh, 2007; Citta et al., 2012). That  
508 BCB bowhead whales could expand their range southward in the face of ice retreat is somewhat  
509 counter-intuitive, but definitely possible.

510

511

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513

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743

744 **Tables**

745

746 **Table 1.** Linear regression results of the number of OWD (>85% open water) annually for the  
 747 western Beaufort shelf and slope during years 1979-2014.

Area	R <sup>2</sup>	Slope	Std err	p-value
W Beaufort shelf	0.398	2.025	0.427	3.67e-5
W Beaufort slope	0.449	2.451	0.466	7.93e-6

748

749

750 **Table 2.** Linear regression results of the number of OWD (>85% open water) annually for each  
 751 core-use area both during the entire year and the defined peak-use periods, during years 1979-  
 752 2014.

Area	Area (km <sup>2</sup> )	Time period	R <sup>2</sup>	Slope	Std err	p-value
Cape Bathurst	5,399	<i>Annually:</i>	0.1048	1.121	0.562	0.0541
		<i>1-May to 15-Jul:</i>	0.0785	0.505	0.297	0.0978
Tuktoyaktuk Peninsula *	7,238	<i>Annually:</i>	0.134	0.961	0.419	0.0283
		<i>8-Jul to 15-Oct:</i>	-	-	-	-
Point Barrow	5,479	<i>Annually:</i>	0.412	1.965	0.402	2.42e-5
		<i>16-Aug to 7-Nov:</i>	0.351	1.29	0.30	0.00014
Northern Chukchi Coast/Bering Strait	18,281	<i>Annually:</i>	0.316	1.773	0.447	0.00036
		<i>8-Oct to 15-Jan:</i>	0.389	1.010	0.217	4.76e-5
Anadyr Strait	6,348	<i>Annually:</i>	0.239	0.825	0.253	0.00249
		<i>24-Nov to 15-Apr:</i>	0.179	0.375	0.138	0.0101
Gulf of Anadyr	15,080	<i>Annually:</i>	0.00030	-0.035	0.350	0.921
		<i>1-Dec to 7-Apr:</i>	0.00056	-0.033	0.2371	0.891

\* A linear regression was not applied for the peak-use period because the number of OWD frequently equaled the duration of the peak-use period.

753

754

755 **Figure Captions**

756

757 **Fig. 1.** *Top:* Map of study region showing bowhead whale core-use areas (colored polygons)  
758 derived from satellite tag data (from Citta et al., 2015) and the mean monthly sea-ice extent ( $\geq$   
759 15% ice concentration) in September and March for years 2006-2012, derived from passive  
760 microwave satellite imagery (see Section 2.1). The dark gray polygons represent the western  
761 Beaufort Sea shelf and slope used to summarize ice conditions within the aerial survey region,  
762 and mark the longitudinal boundaries (157°W and 140°W) between which the aerial survey data  
763 were analyzed. *Bottom:* Stacked weekly counts of satellite tagged whale location transmissions  
764 by core-use area (colors correspond to the areas mapped at top) for years 2006-2012.

765

766 **Fig. 2.** Open water conditions over the western Beaufort Sea shelf. *Top:* Open water fraction  
767 (OWF) by week (y-axis) and year (x-axis) alongside the weekly sightings of whales during fall  
768 aerial surveys (August to October) over the western Beaufort Shelf (1982-2014). The horizontal  
769 red lines define the peak-sighting period where at least 5% of the total normalized whale  
770 sightings occurred per week between 157°W and 140°W, either over the shelf or slope. *Middle:*  
771 Yearly time series of number of open-water days ( $> 0.85$  OWF) annually. *Bottom:* Yearly time  
772 series of number of open-water days within the peak-sighting period (1 September to 23  
773 October).

774

775 **Fig. 3.** Trends in monthly open water fraction (OWF) from 1979 to 2014 over the western  
776 Beaufort Sea shelf and slope regions (see Fig. 1) for August to October.

777

778 **Fig. 4.** Aerial survey transect effort and normalized sighting rate in the western Beaufort Sea  
779 during fall (August to October). *Top panels:* Bowhead whale aerial survey transect effort (km)  
780 per 25 km x 25 km grid cell for years 1982-1996 (left) and 1997-2014 (right). *Bottom panels:*  
781 The normalized total whales observed (whales/km) per grid cell for years 1982-1996 (left) and  
782 1997-2014 (right) in fall. The grid corresponds to the passive microwave sea-ice data grid  
783 between 157°W and 140°W. The 200 m and 2000 m isobaths define the outward limits of the  
784 shelf and slope, respectively.

785

786 **Fig. 5.** Trend in the average distance offshore of the normalized total whales sighted per year in  
787 the western Beaufort Sea. Yearly averages represent all normalized sightings between 157°W  
788 and 140°W during fall (August to October). Red data points denote sightings from 1982-1996  
789 and black denotes those from 1997-2014, corresponding to the time periods in Fig. 4. Gray bars  
790 represent standard deviations.

791

792 **Fig. 6.** Histogram of the % of normalized total whales sighted by ice-shore distance ratio for all  
793 years, 1982-2014, in the western Beaufort Sea (excluding whales within 100 km of the ice edge).  
794 The inset schematic illustrates the distance measurements used to calculate the ice-shore distance  
795 ratios, where the grid lines reference the 25 km x 25 km passive microwave grid cells.

796

797 **Fig. 7.** The relationship between whale sighting distance to shore ( $d_{shore}$ ) and the average open  
798 water fraction (OWF) over the western Beaufort Sea shelf and slope for years 1982-2014. Box  
799 width is proportional to the square-root of the number of observations in the interval.

800

801 **Fig. 8.** Whale sighting distance to shore for all whales and for foraging whales within the  
802 western Beaufort Sea (between 157°W and 140°W) in fall (August-October) for years 1982-  
803 2014. *Left and bottom axes:* Histogram of the % of normalized total whales sighted at intervals  
804 of distance from shore for both all whales (light gray) and milling and feeding whales (dark  
805 gray). *Right and top axes:* Boxplots of the same frequency distributions, where box width is  
806 proportional to the square-root of the percentage of total normalized observations in the group.

807

808 **Fig. 9.** Open water conditions at the Cape Bathurst core-use area. *Top:* Open water fraction  
809 (OWF) by week (y-axis) and year (x-axis) alongside the weekly counts of whale location  
810 transmissions for this core-use area. The horizontal red lines define the peak-use period (weeks  
811 when  $\geq 5$  whales were located). *Middle:* Yearly time series of number of open-water days ( $> 0.85$   
812 OWF) annually. *Bottom:* Yearly time series of number of open-water days within the peak-use  
813 period (1 May to 15 July).

814

815 **Fig. 10.** Open water conditions at the Tuktoyaktuk Peninsula core-use area. *Top:* Open water  
816 fraction (OWF) by week (y-axis) and year (x-axis) alongside the weekly counts of whale location

817 transmissions for this core-use area. The horizontal red lines define the peak-use period (weeks  
818 when  $\geq 5$  whales were located). *Middle*: Yearly time series of number of open-water days ( $> 0.85$   
819 OWF) annually. *Bottom*: Yearly time series of number of open-water days within the peak-use  
820 period (8 July to 15 October).

821

822 **Fig. 11.** Open water conditions at the Point Barrow core-use area. *Top*: Open water fraction  
823 (OWF) by week (y-axis) and year (x-axis) alongside the weekly counts of whale location  
824 transmissions for this core-use area. The horizontal red lines define the peak-use period (weeks  
825 when  $\geq 5$  whales were located). *Middle*: Yearly time series of number of open-water days ( $> 0.85$   
826 OWF) annually. *Bottom*: Yearly time series of number of open-water days within the peak-use  
827 period (16 August to 7 November).

828

829 **Fig. 12.** Open water conditions at the northern Chukotka Coast/Bering Strait core-use area. *Top*:  
830 Open water fraction (OWF) by week (y-axis) and year (x-axis) alongside the weekly counts of  
831 whale location transmissions for this core-use area. The horizontal red lines define the peak-use  
832 period (weeks when  $\geq 5$  whales were located). *Middle*: Yearly time series of number of open-  
833 water days ( $> 0.85$  OWF) annually. *Bottom*: Yearly time series of number of open-water days  
834 within the peak-use period (8 October to 15 January).

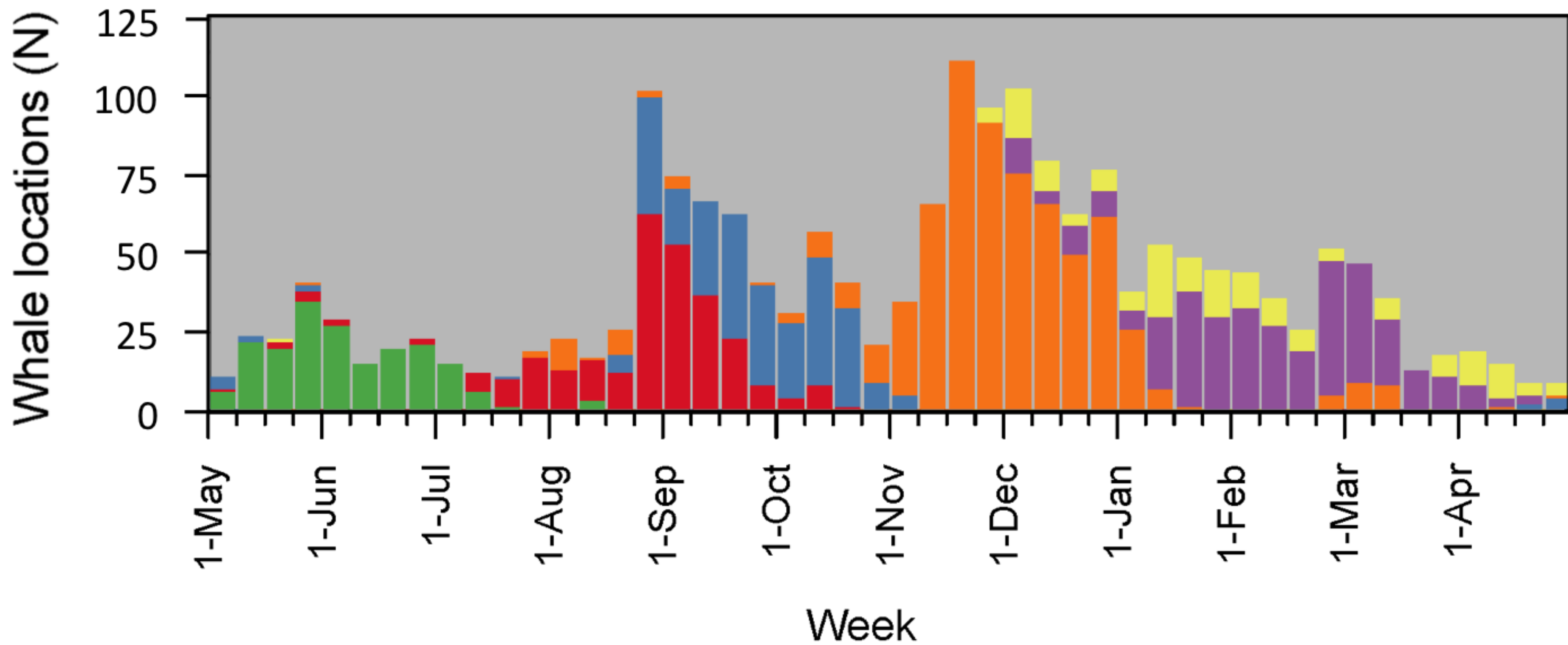
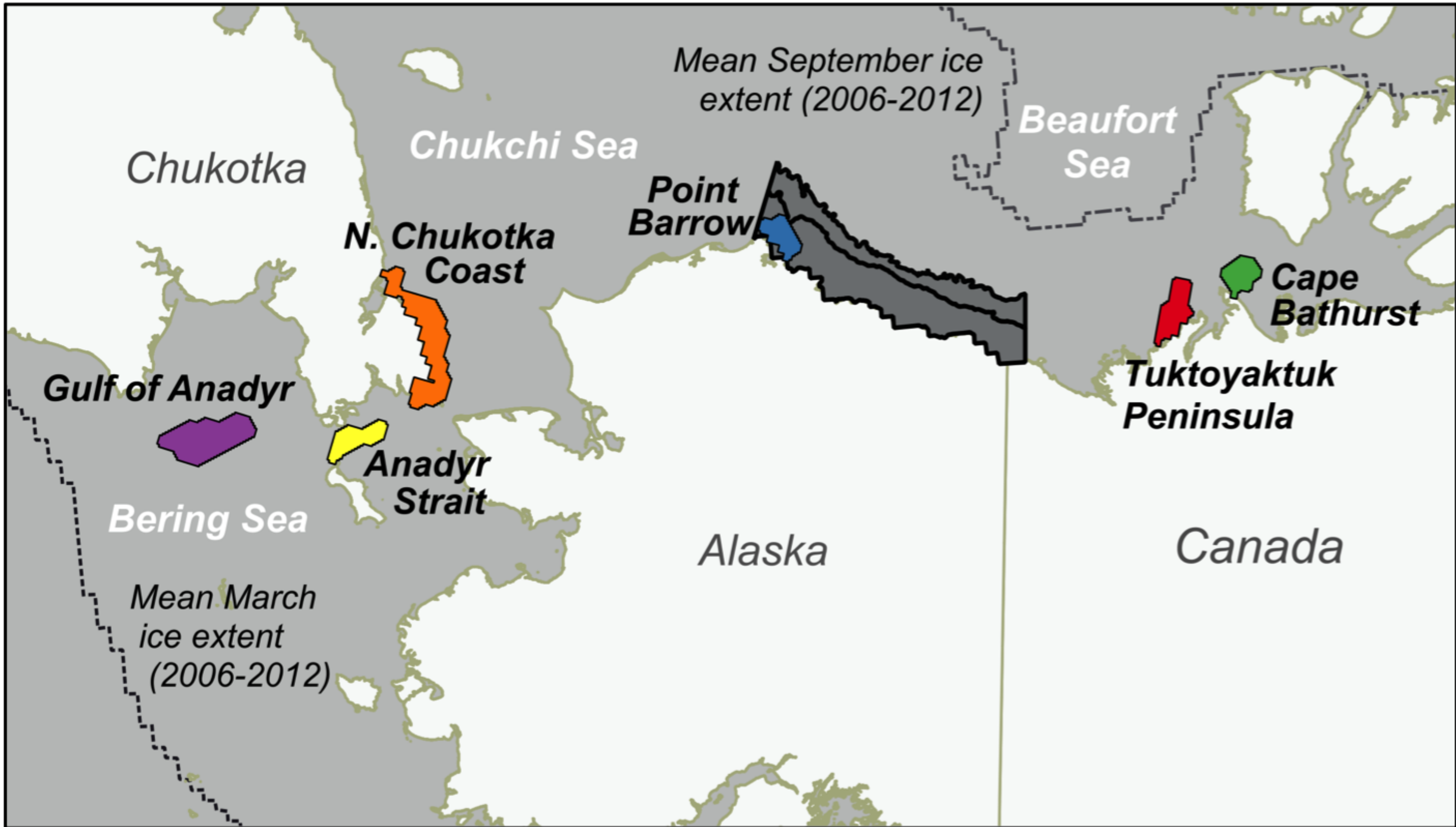
835

836 **Fig. 13.** Open water conditions at the Anadyr Strait core-use area. *Top*: Open water fraction  
837 (OWF) by week (y-axis) and year (x-axis) alongside the weekly counts of whale location  
838 transmissions for this core-use area. The horizontal red lines define the peak-use period (weeks  
839 when  $\geq 5$  whales were located). *Middle*: Yearly time series of number of open-water days ( $> 0.85$   
840 OWF) annually. *Bottom*: Yearly time series of number of open-water days within the peak-use  
841 period (24 November to 15 April).

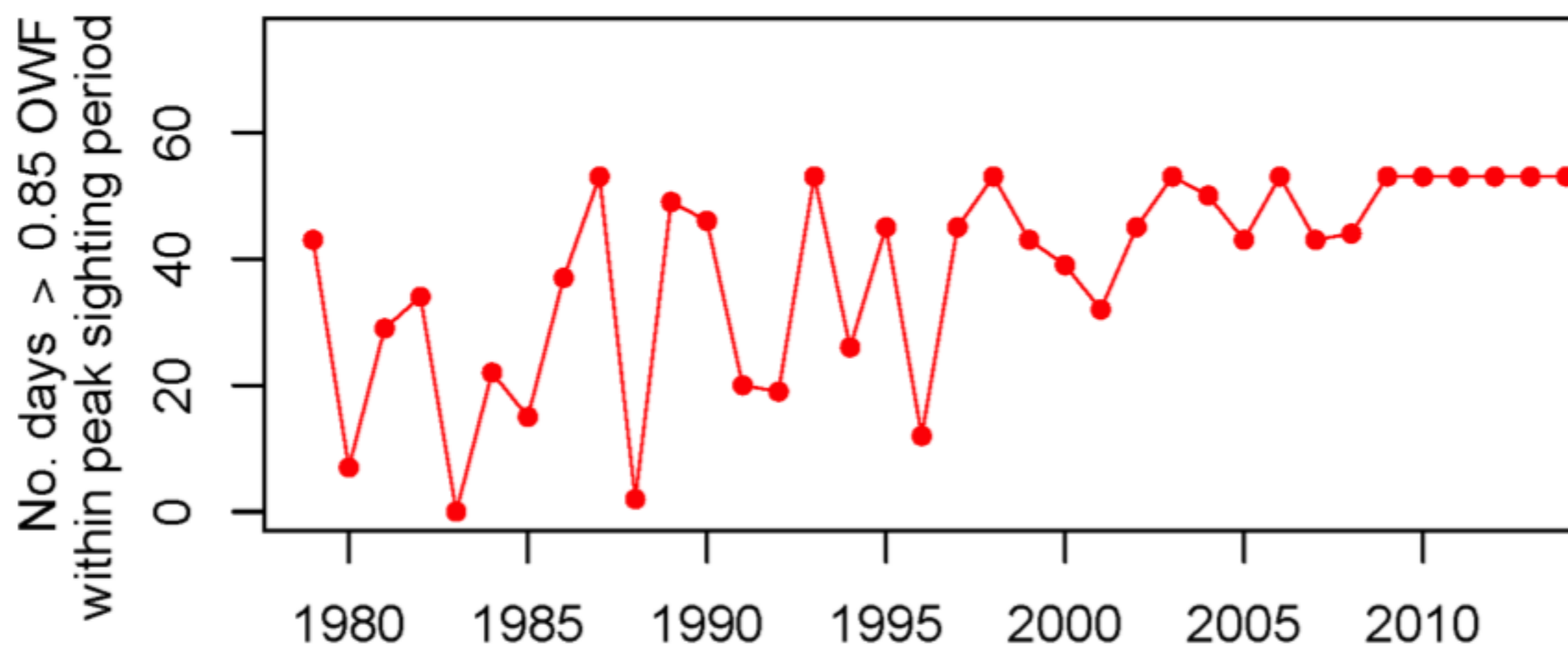
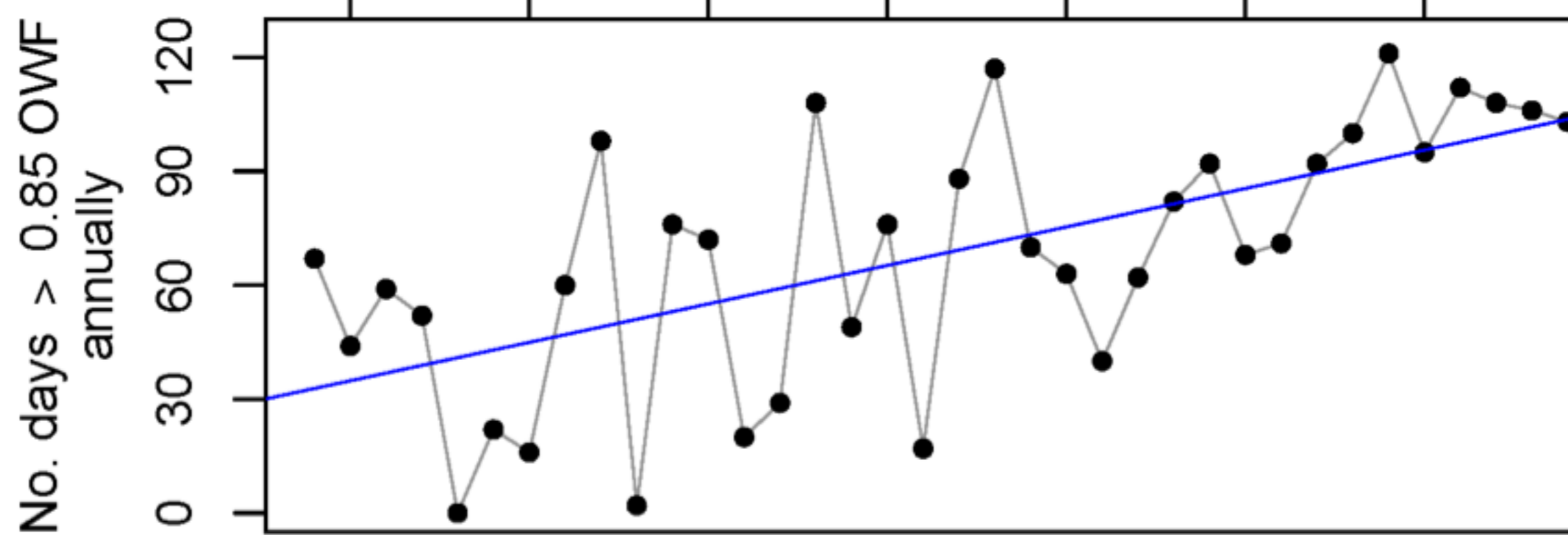
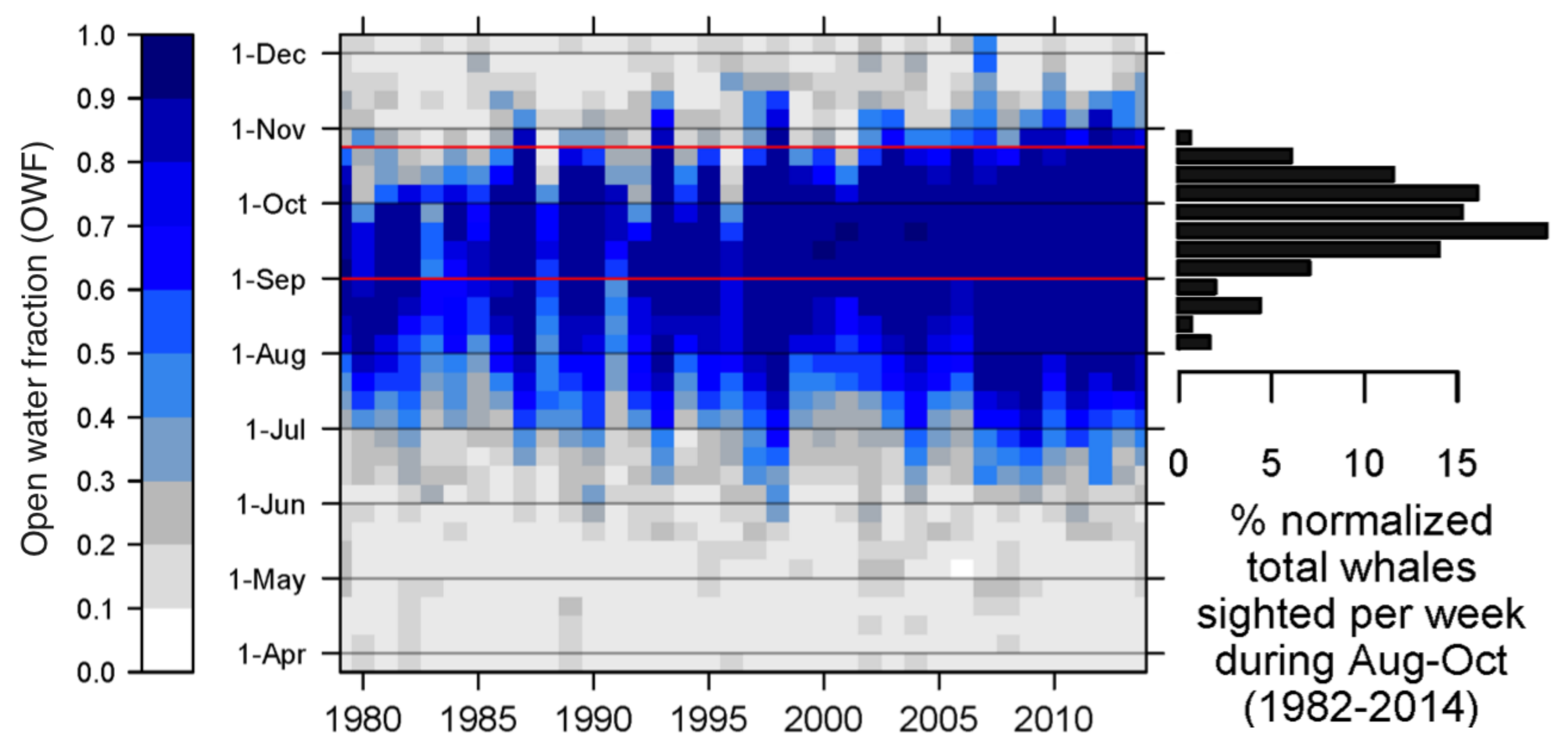
842

843 **Fig. 14.** Open water conditions at the Gulf of Anadyr core-use area. *Top*: Open water fraction  
844 (OWF) by week (y-axis) and year (x-axis) alongside the weekly counts of whale location  
845 transmissions for this core-use area. The horizontal red lines define the peak-use period (weeks  
846 when  $\geq 5$  whales were located). *Middle*: Yearly time series of number of open-water days ( $> 0.85$

847 OWF) annually. *Bottom:* Yearly time series of number of open-water days within the peak-use  
848 period (1 December to 7 April).  
849

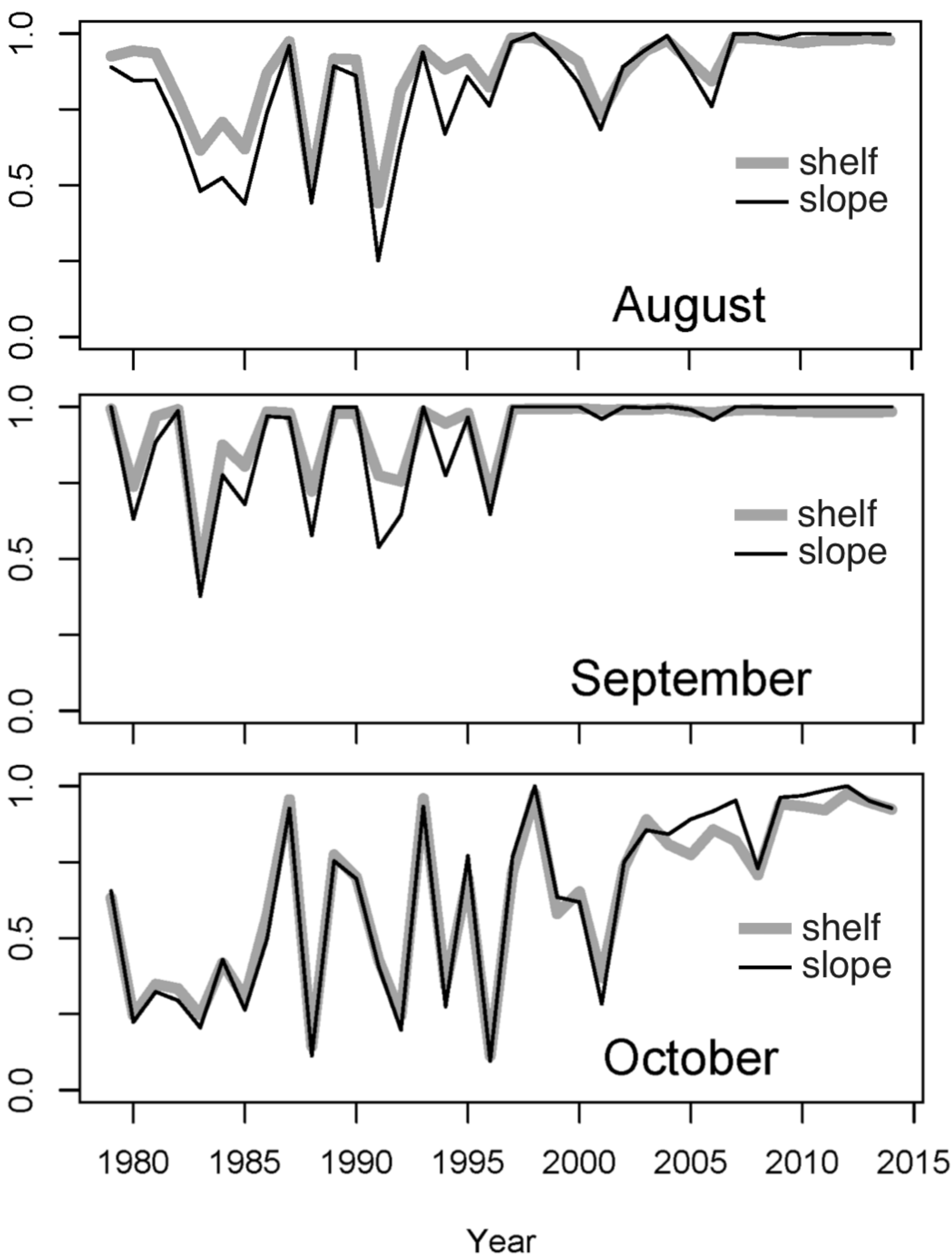


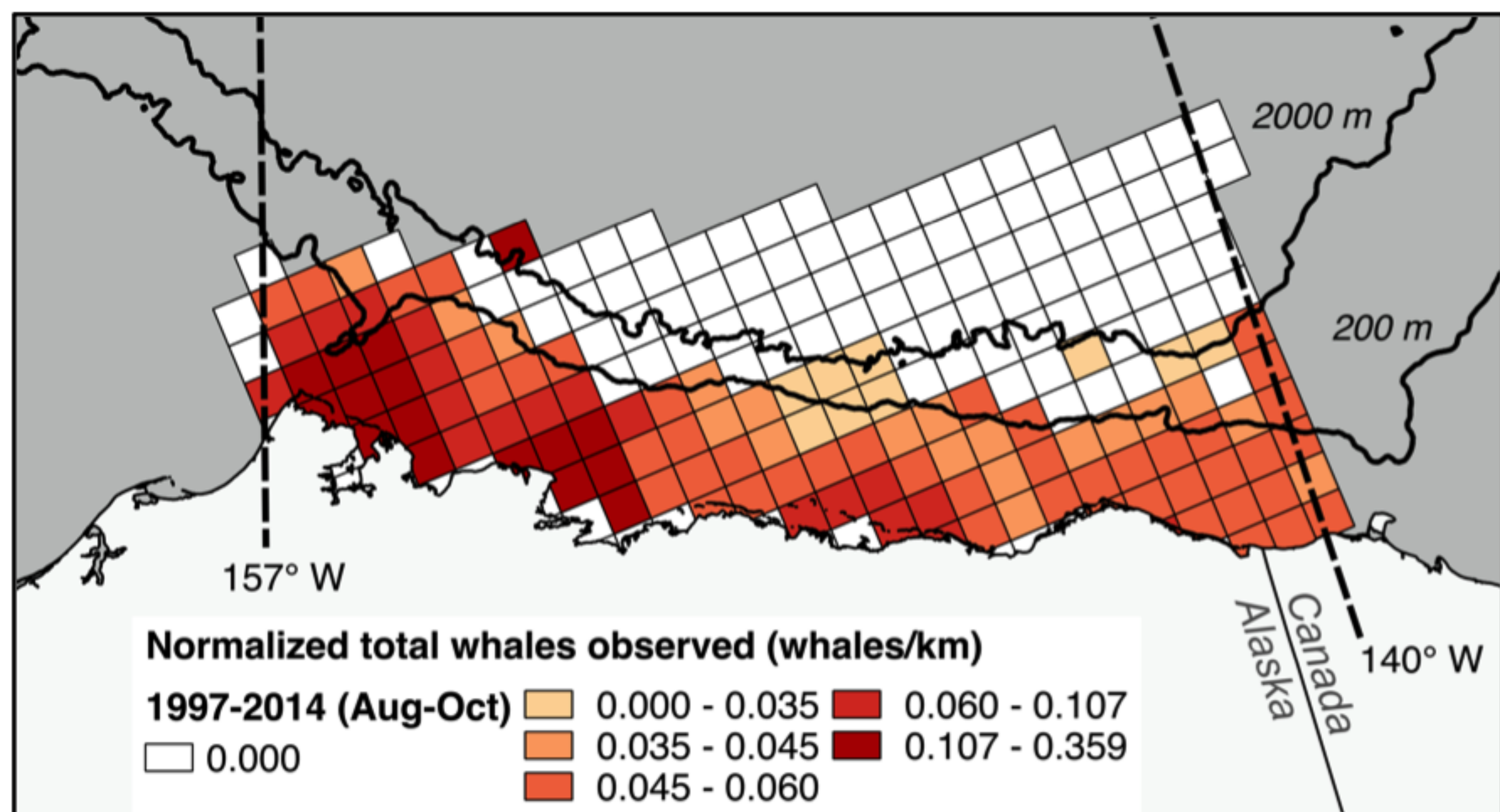
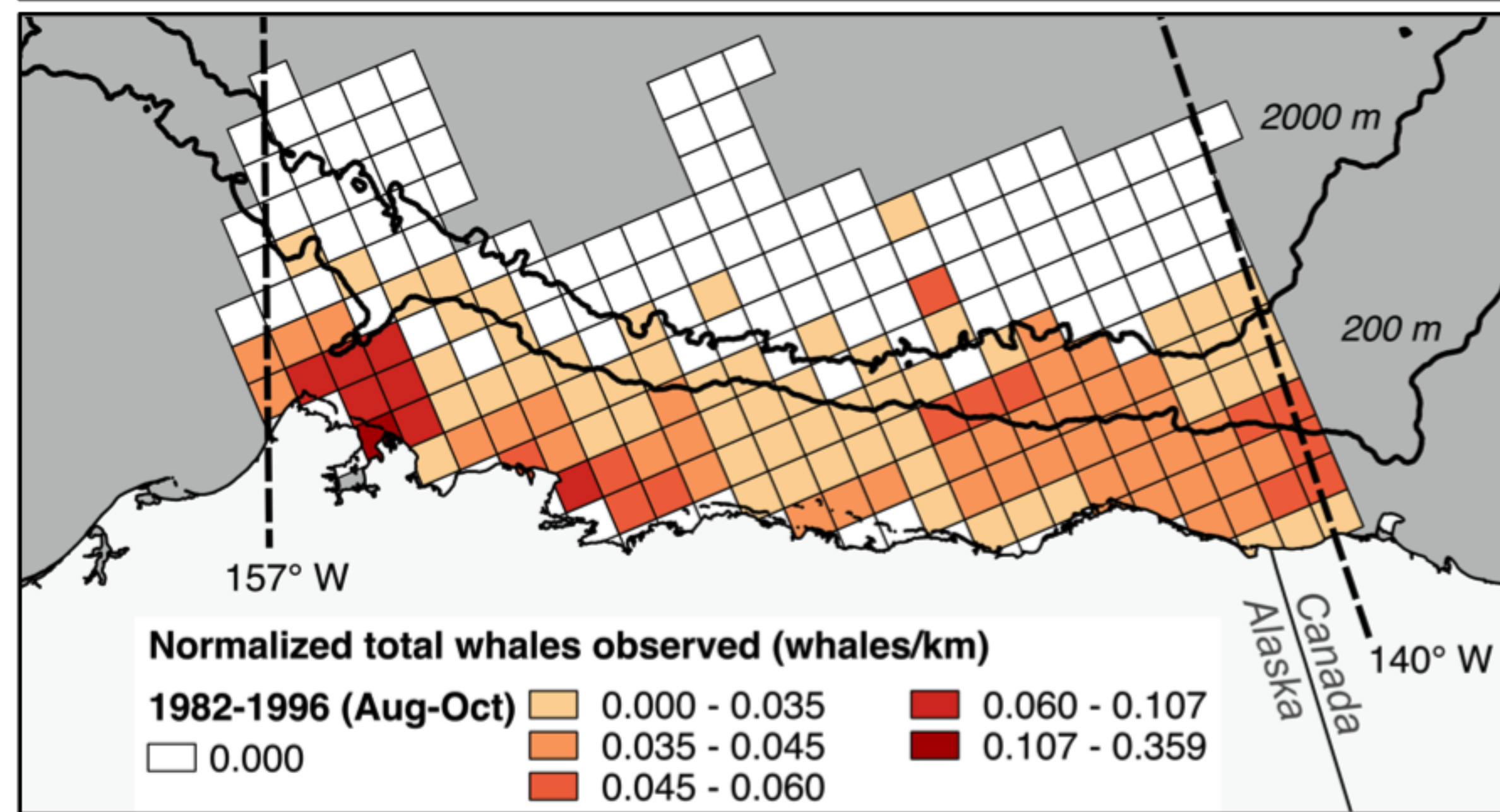
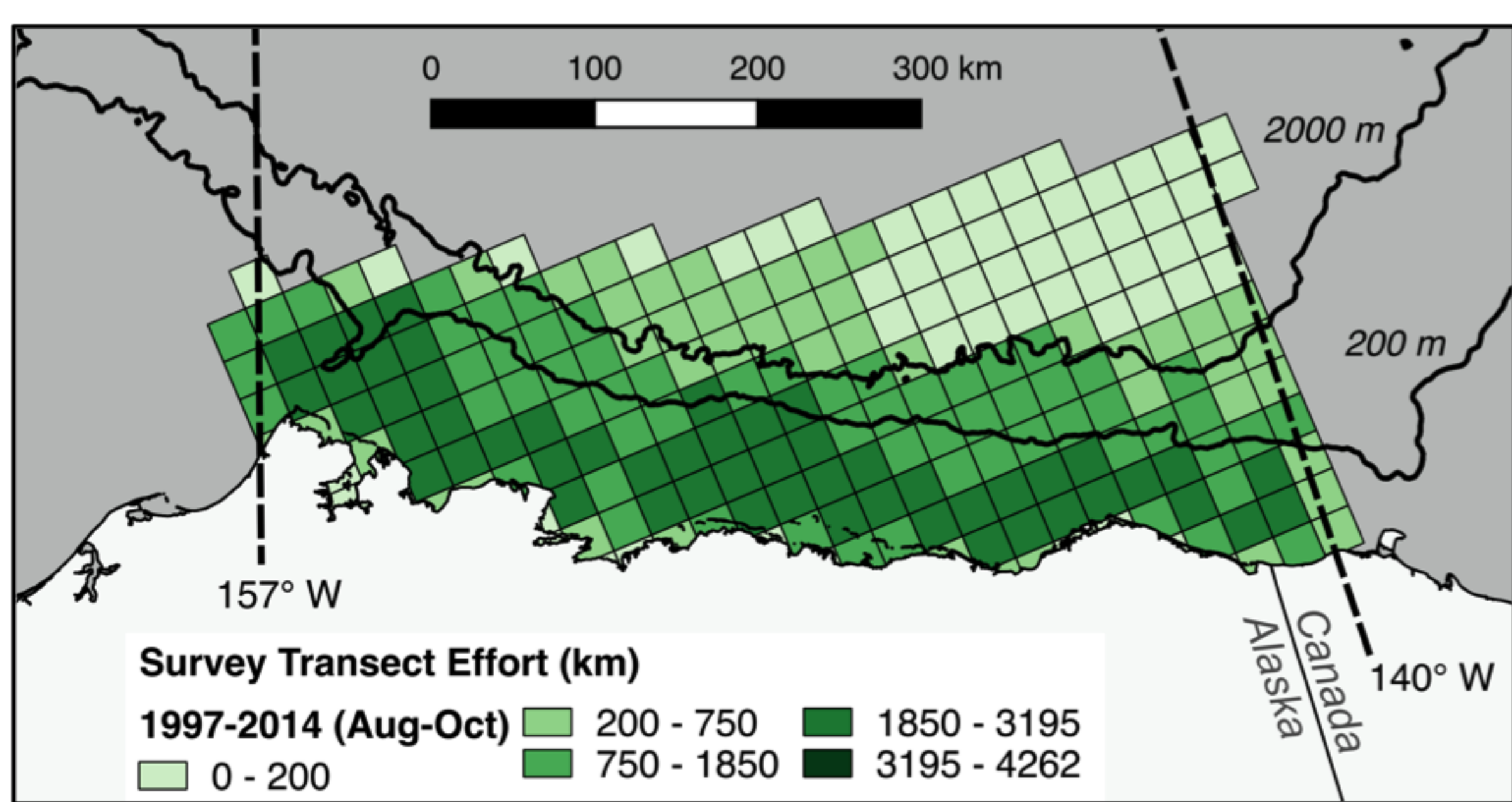
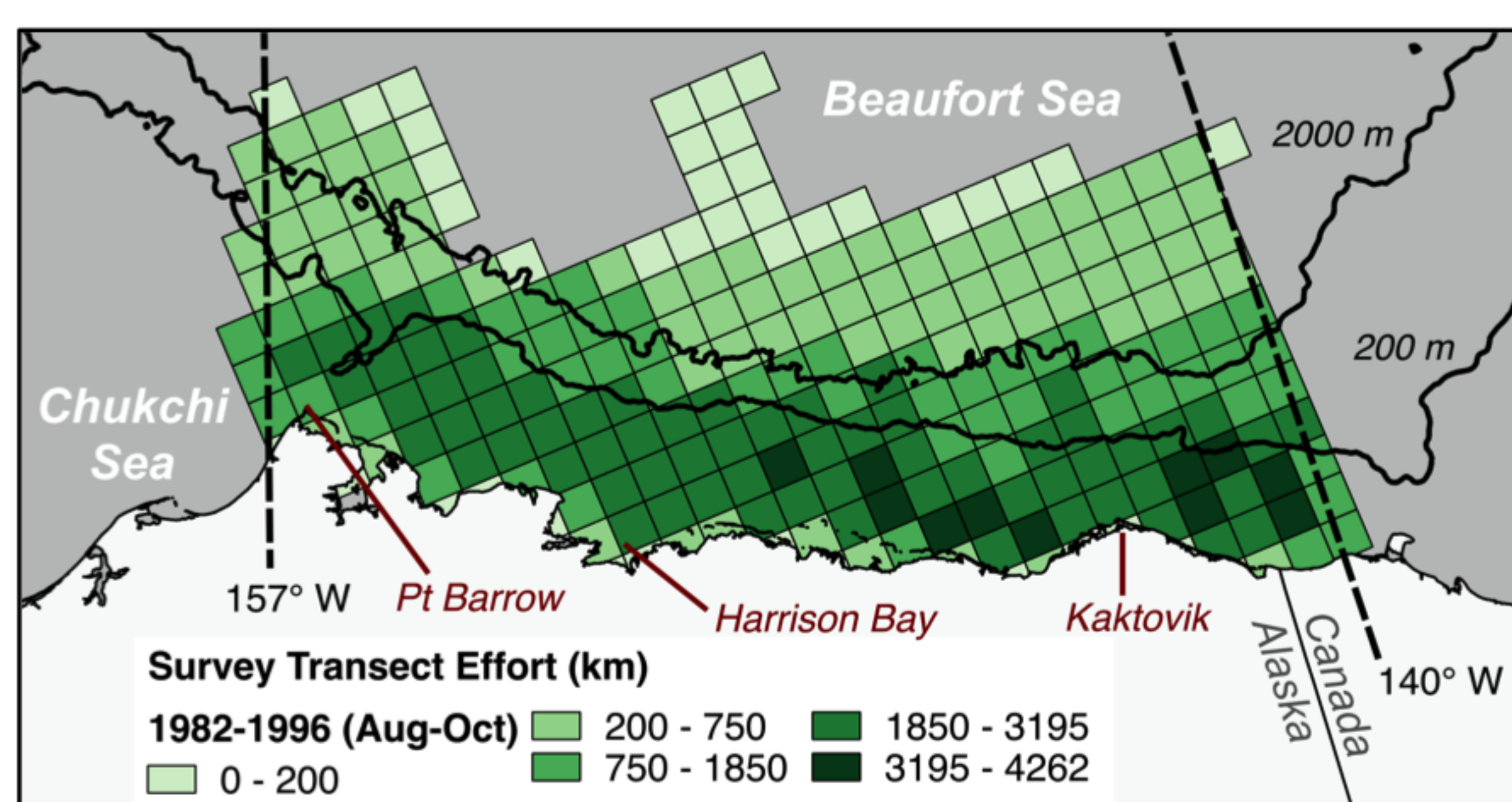


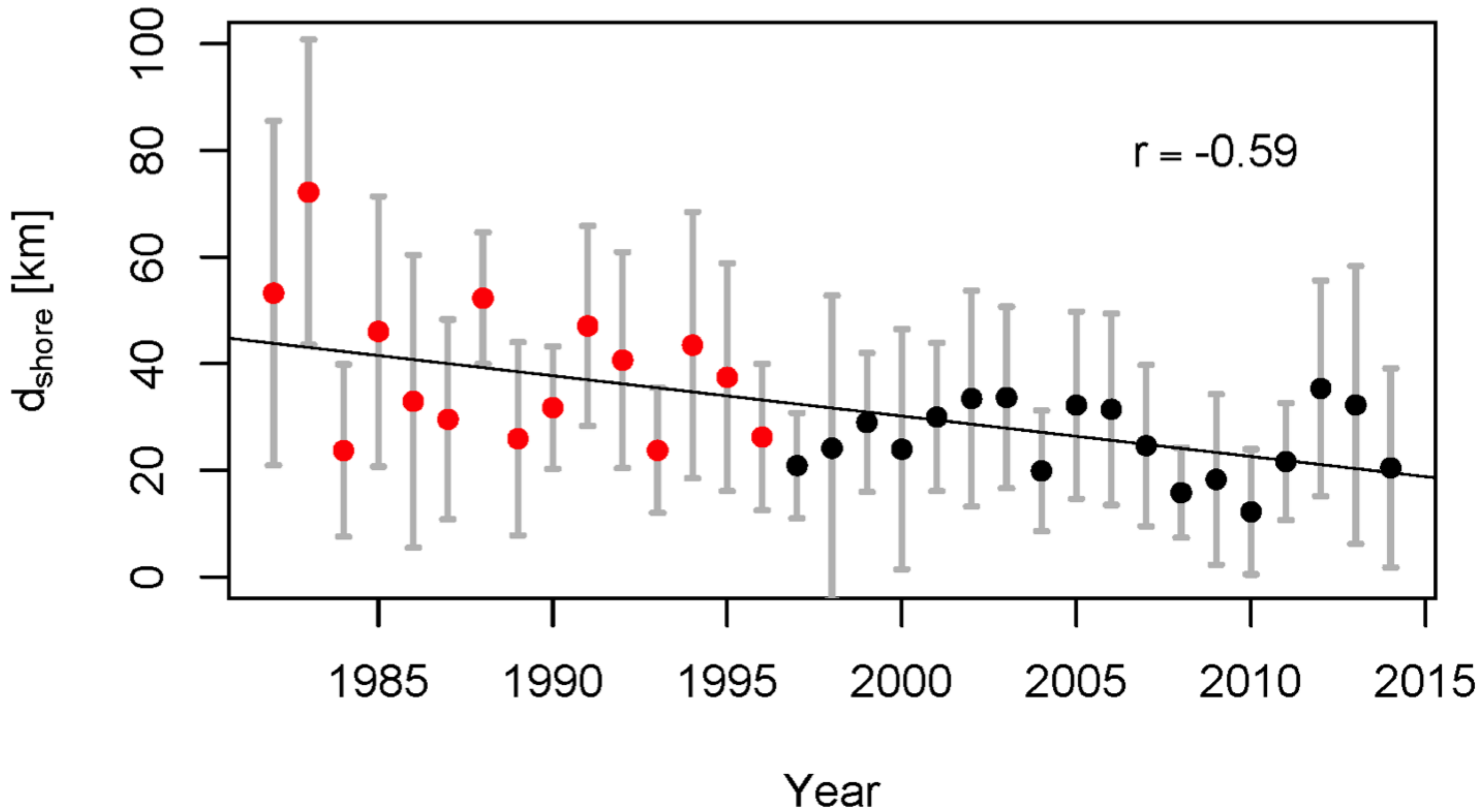


West Beaufort  
Sea Shelf

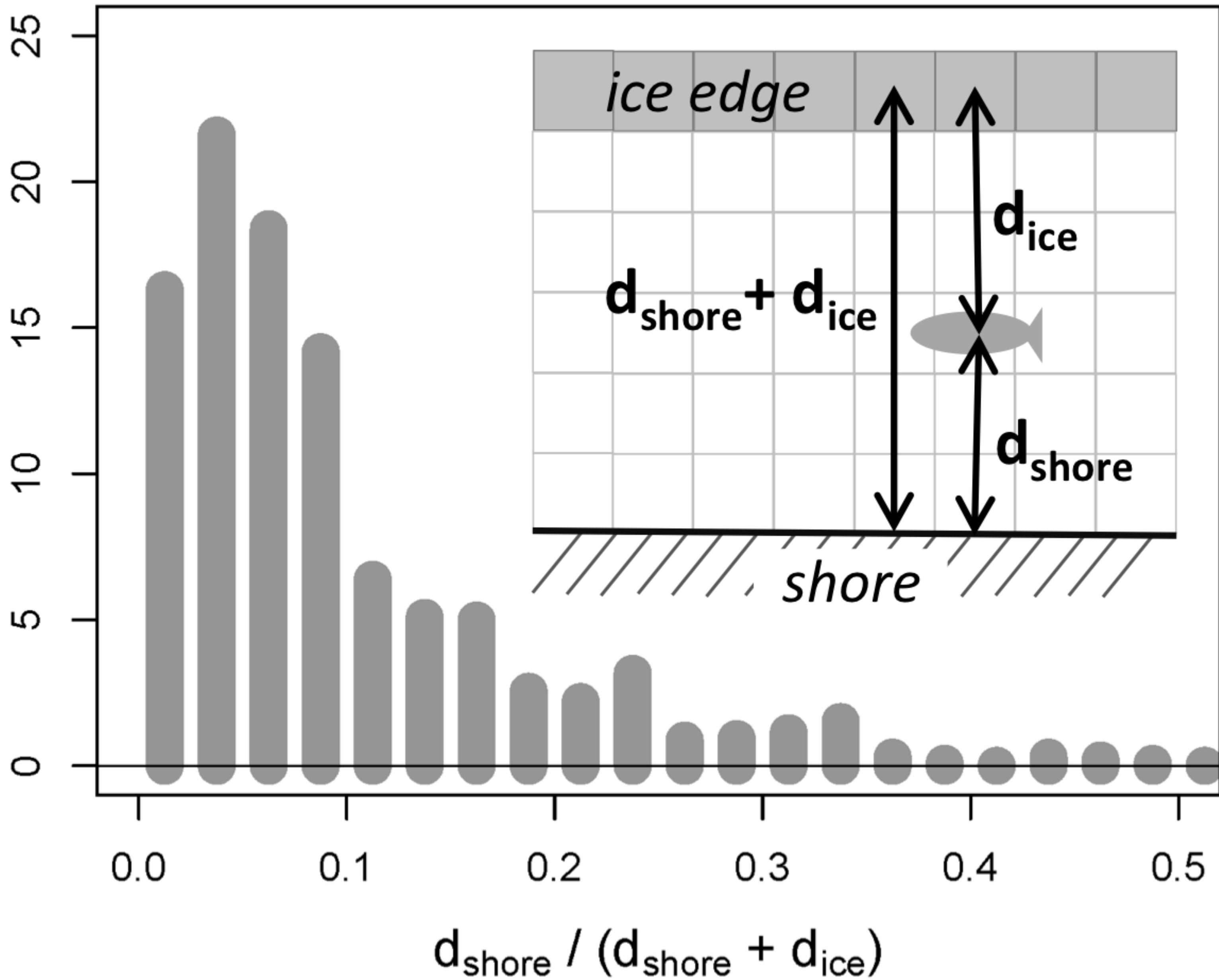
Open water fraction (OWF)

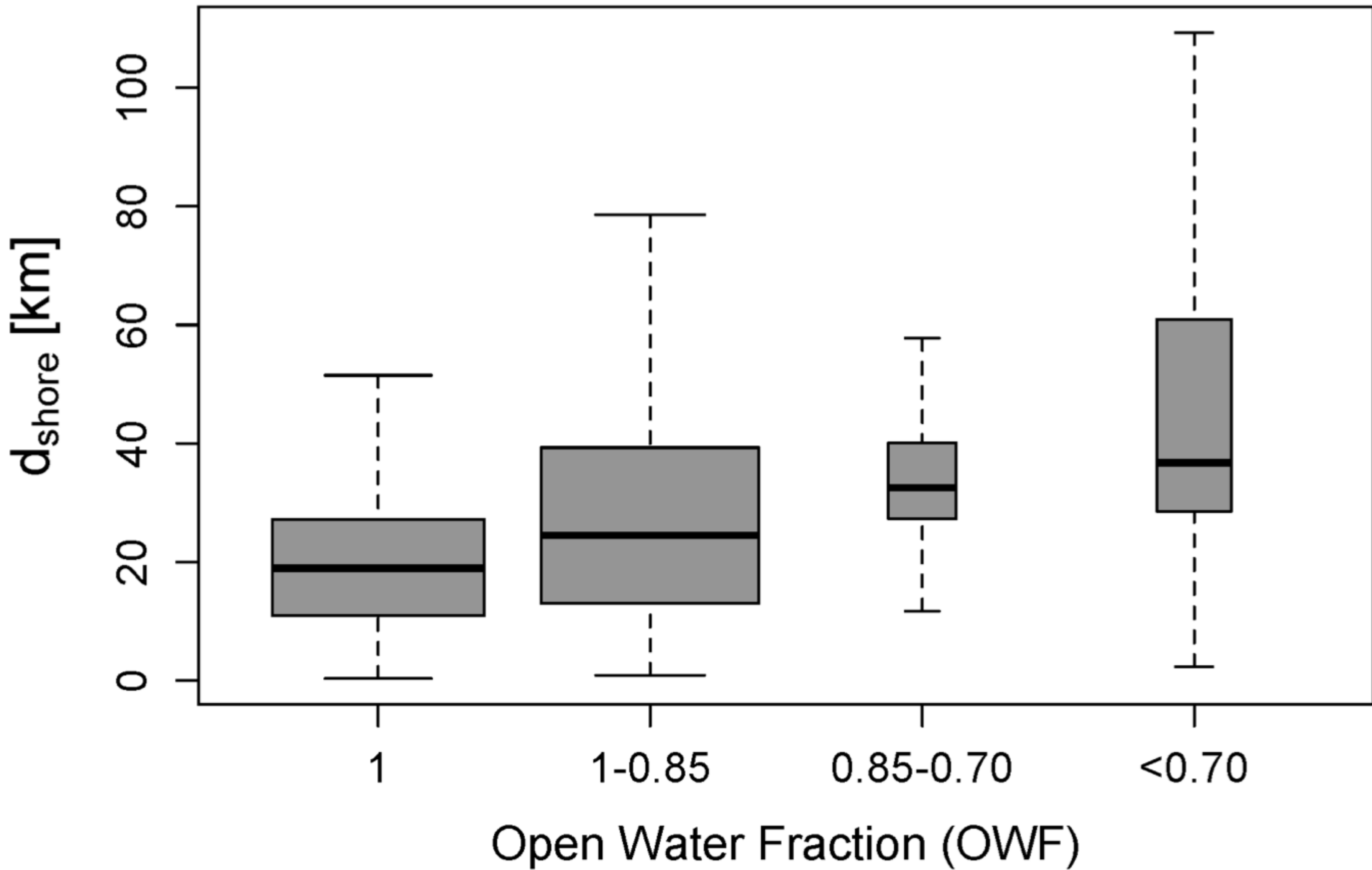


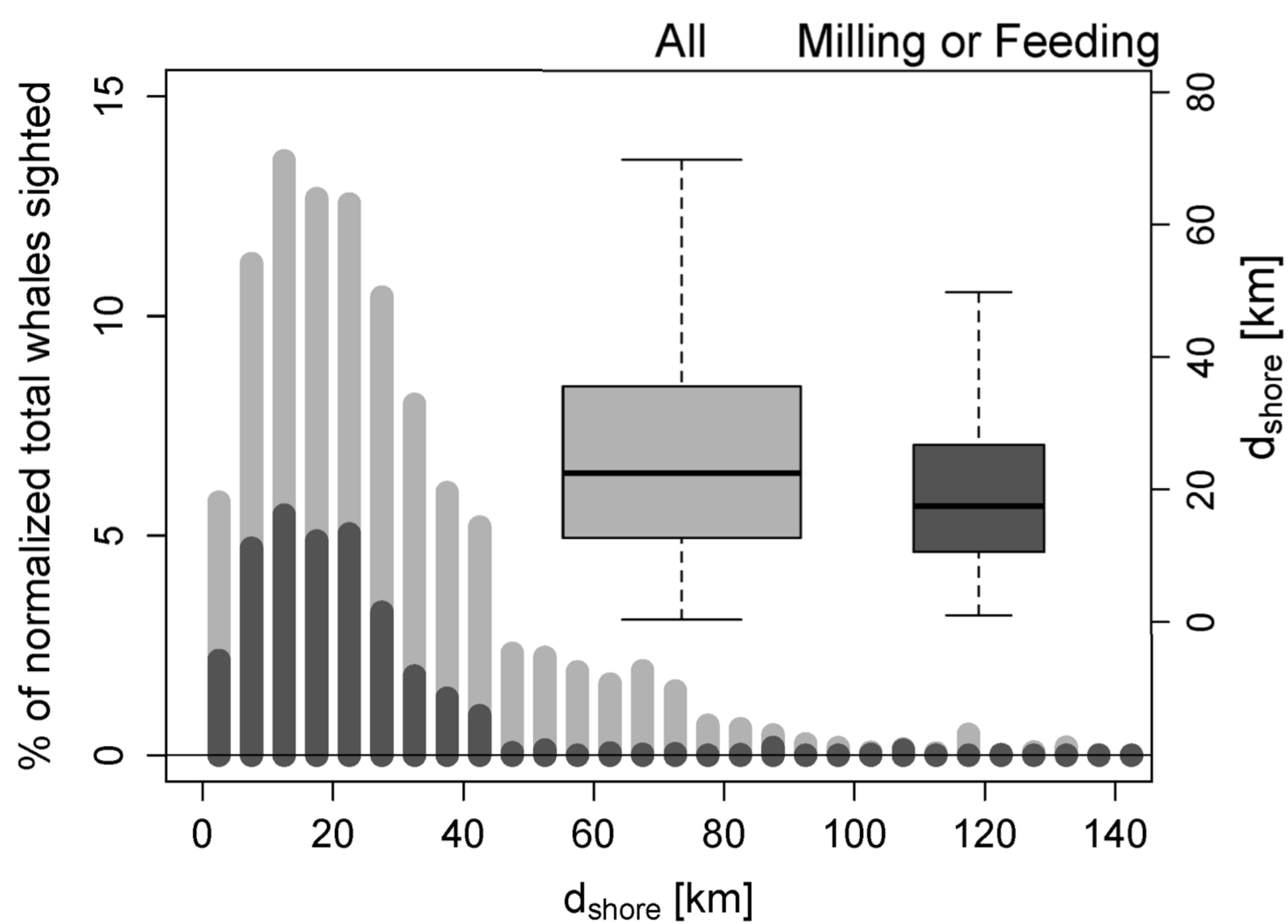


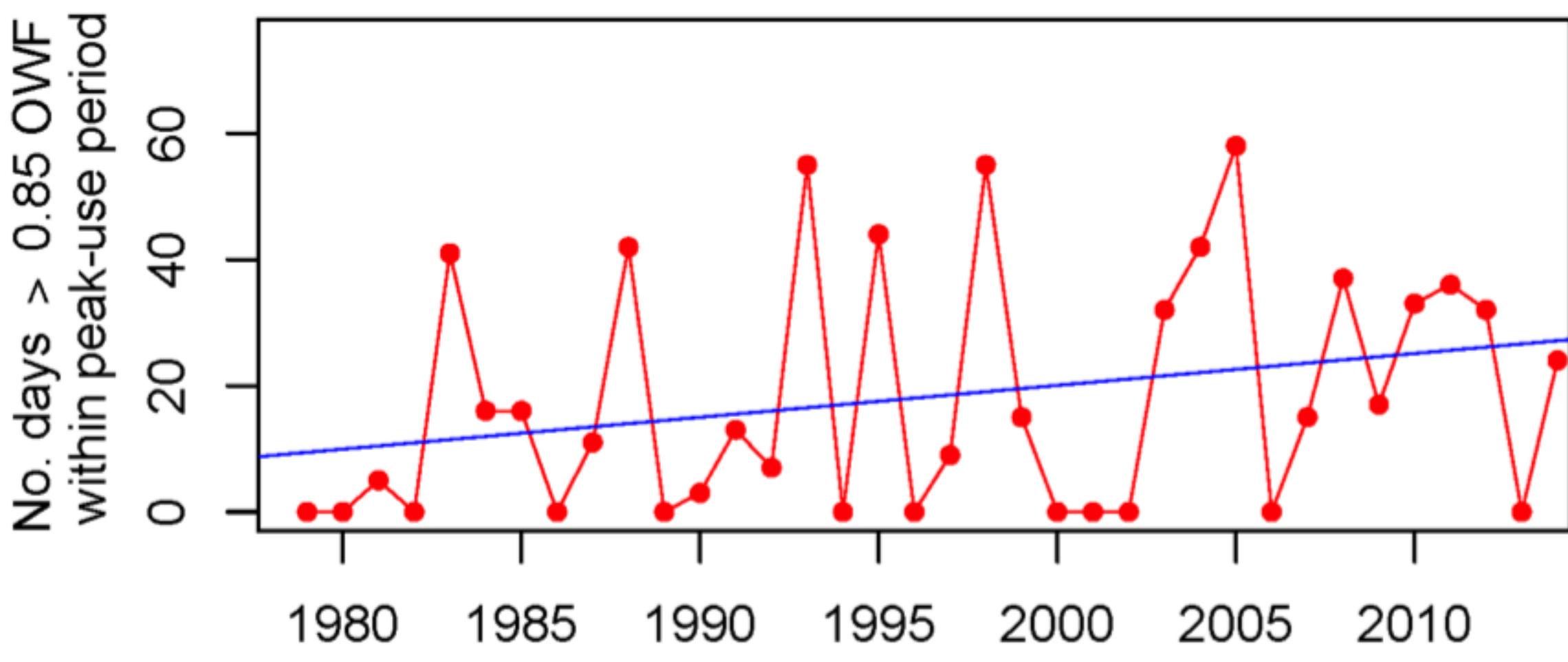
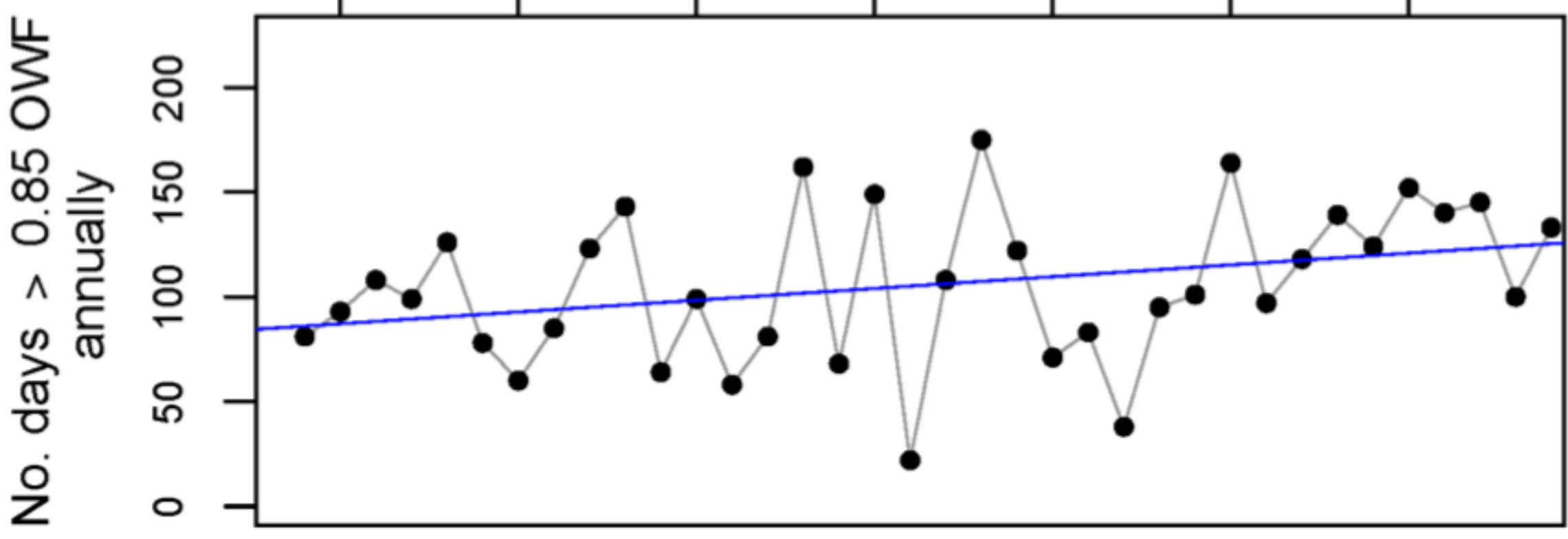
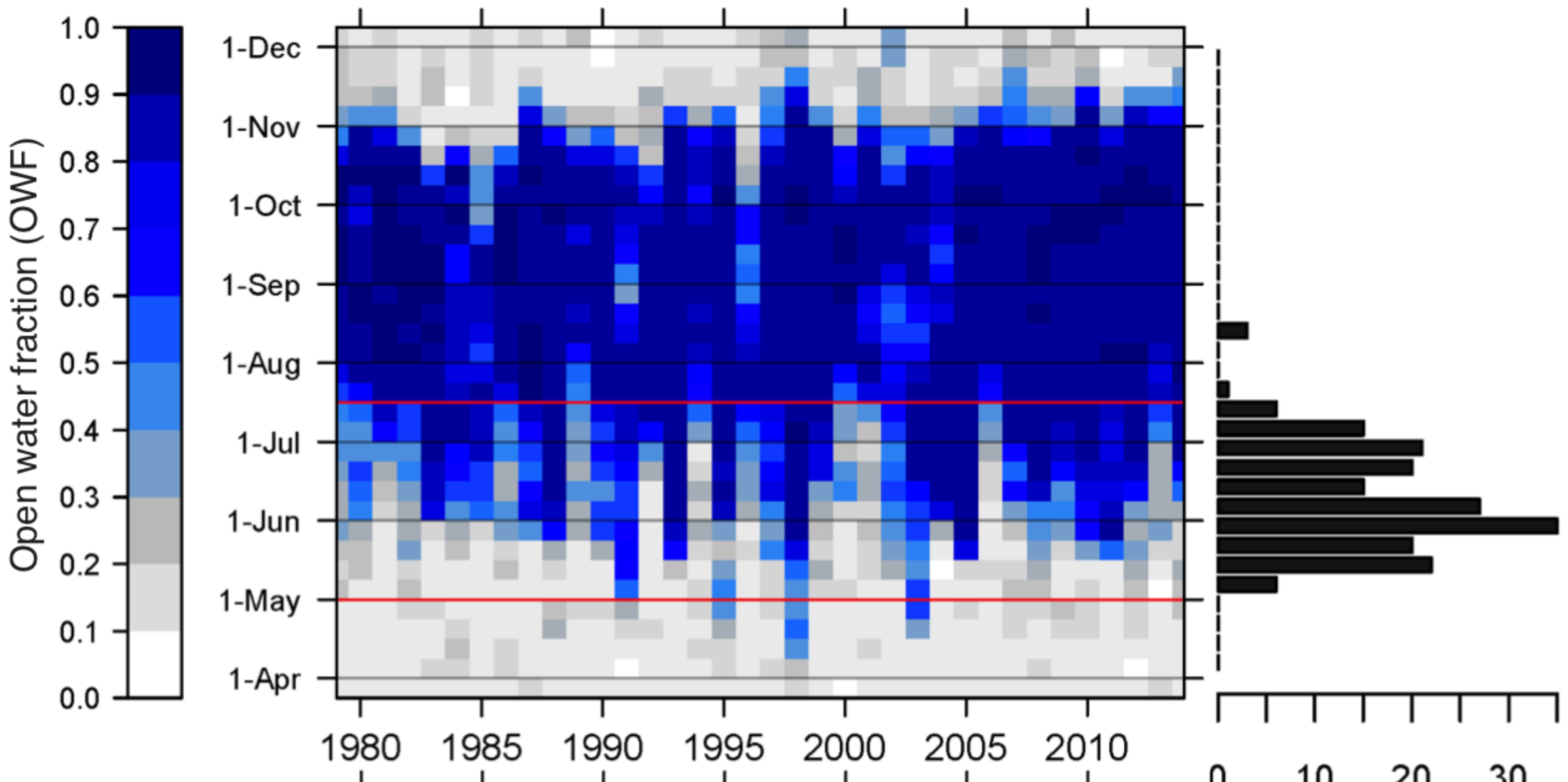


% of normalized total whales sighted



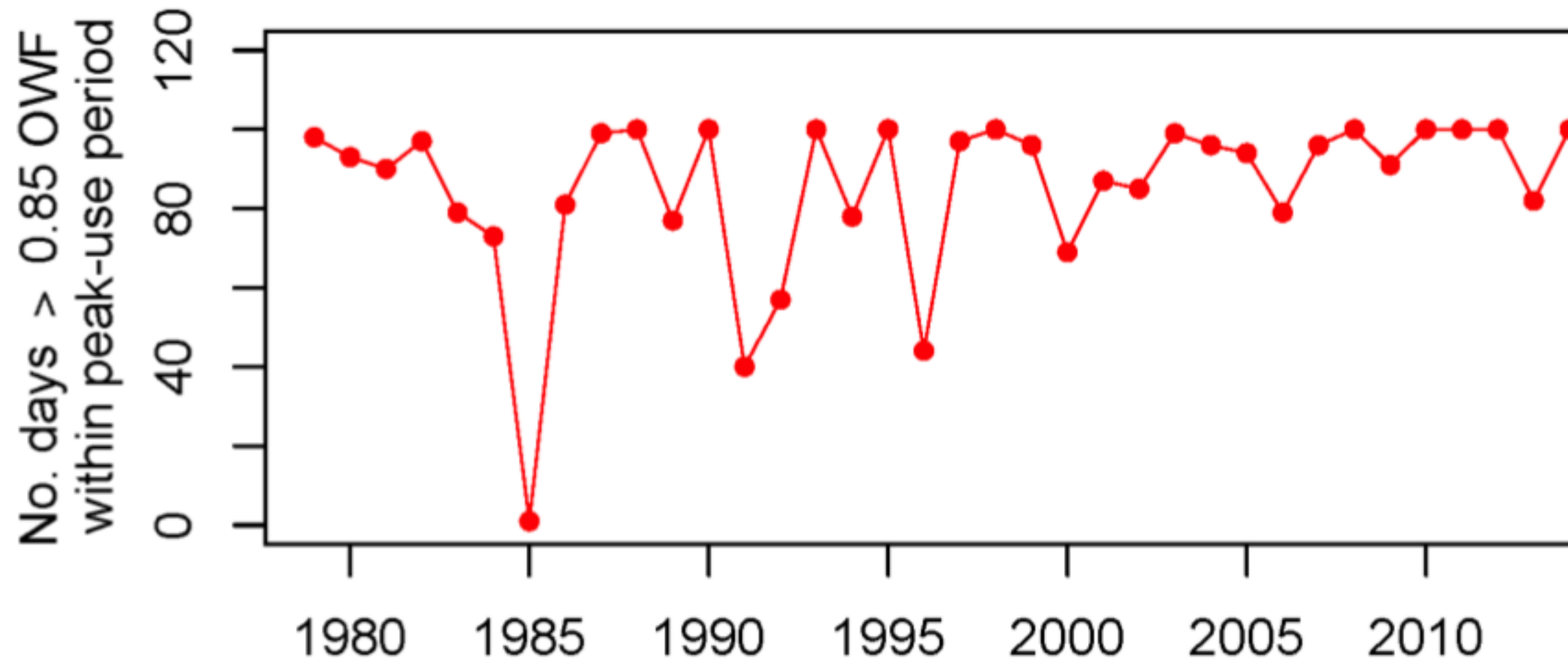
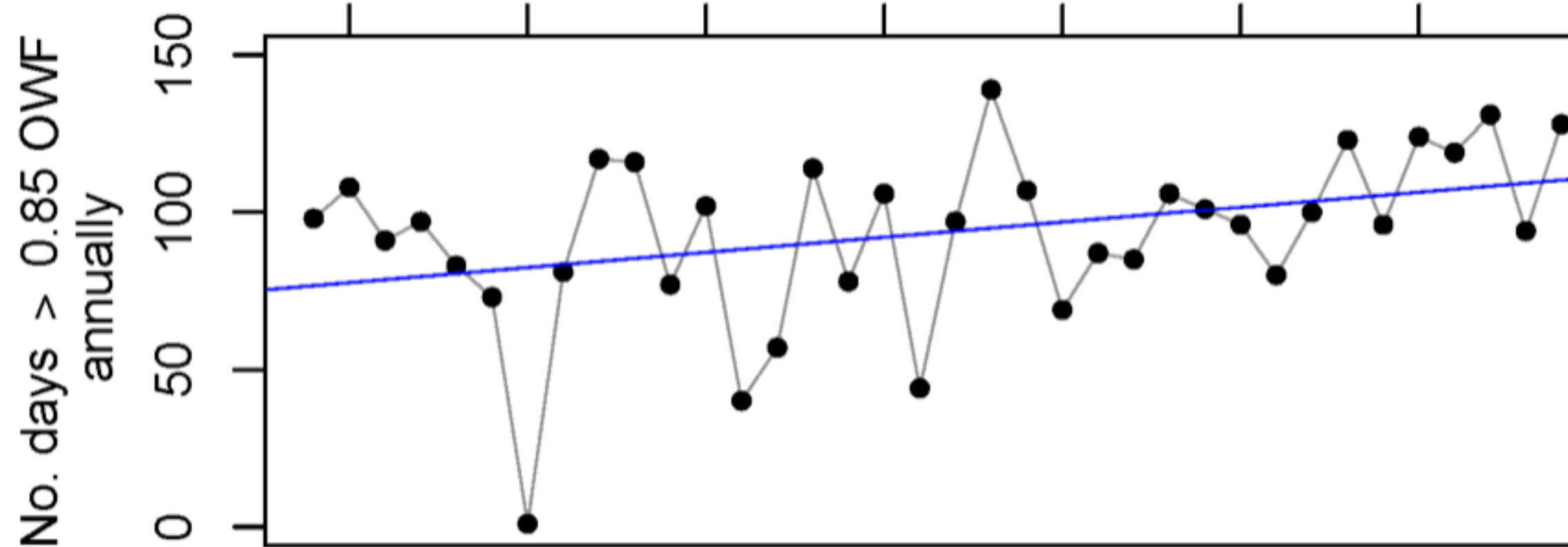
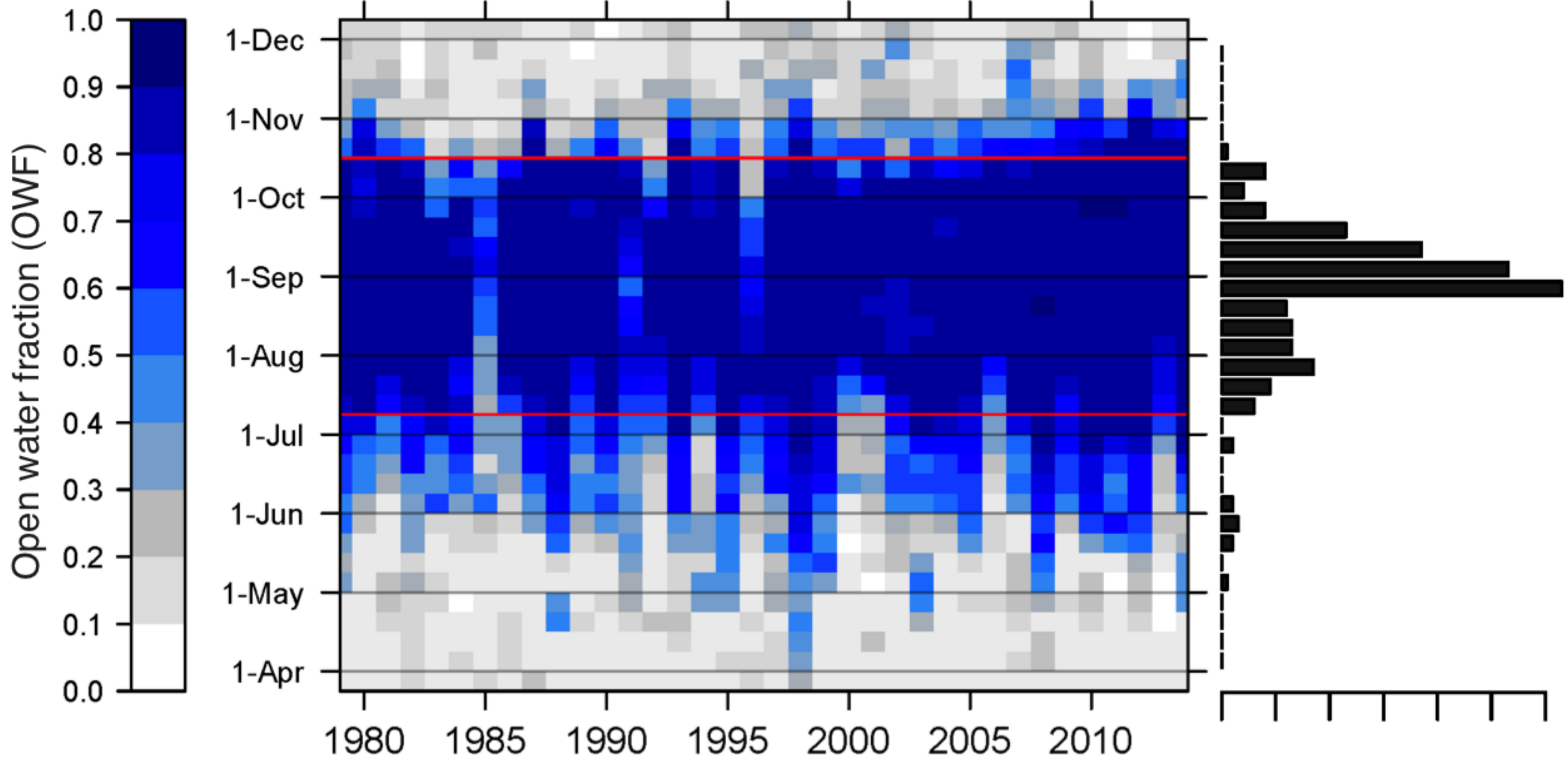




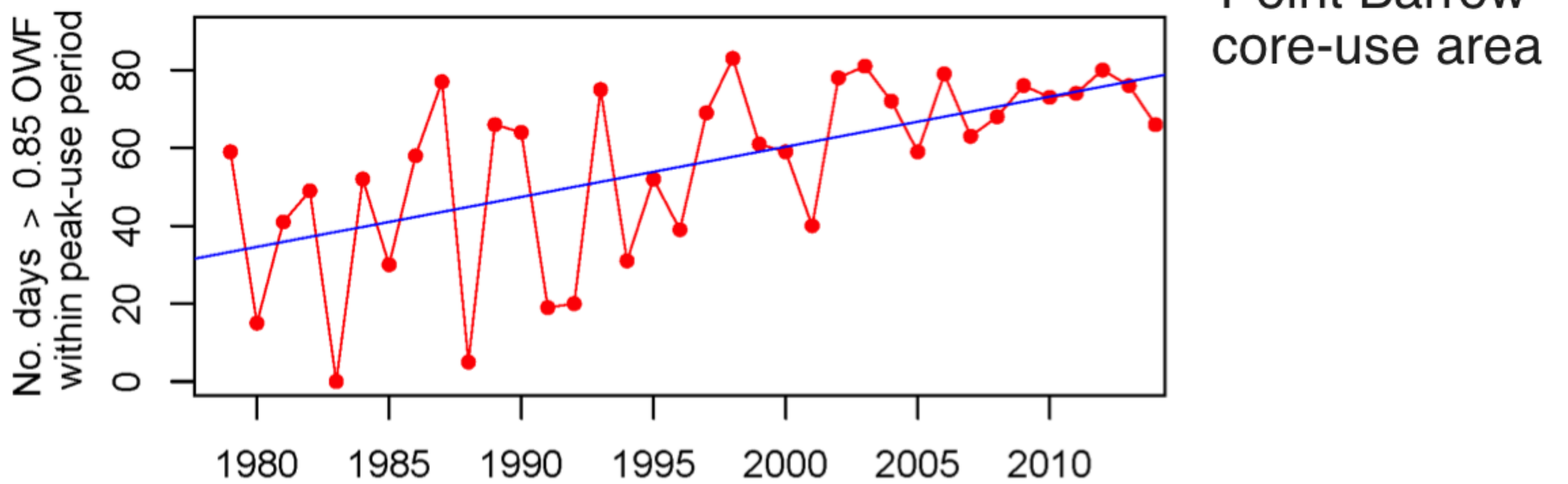
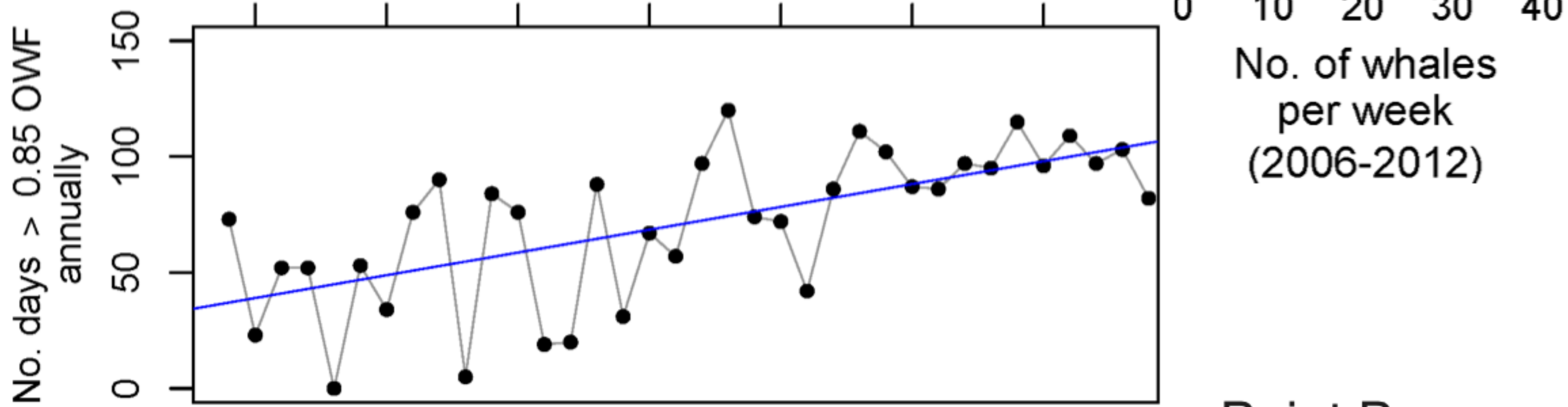
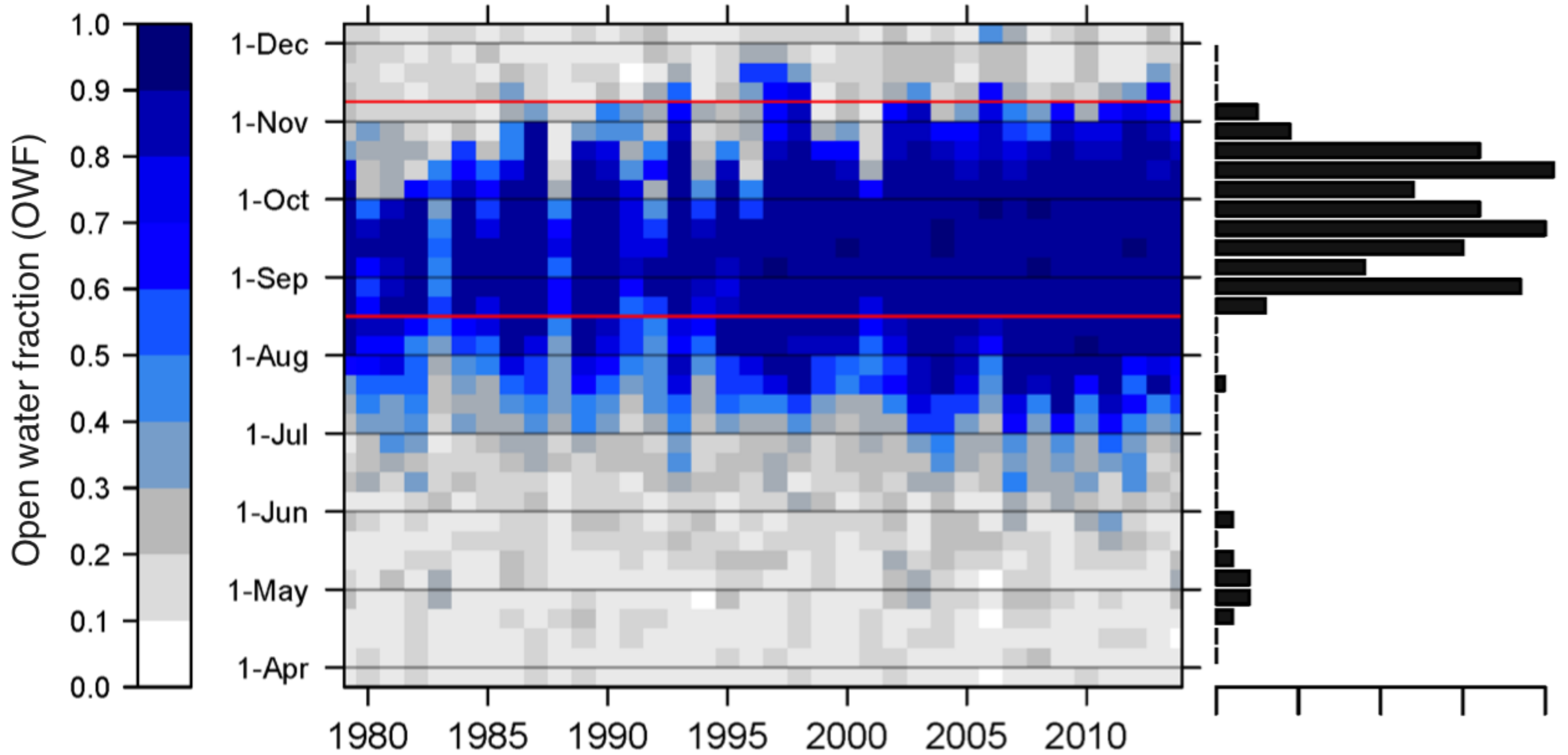


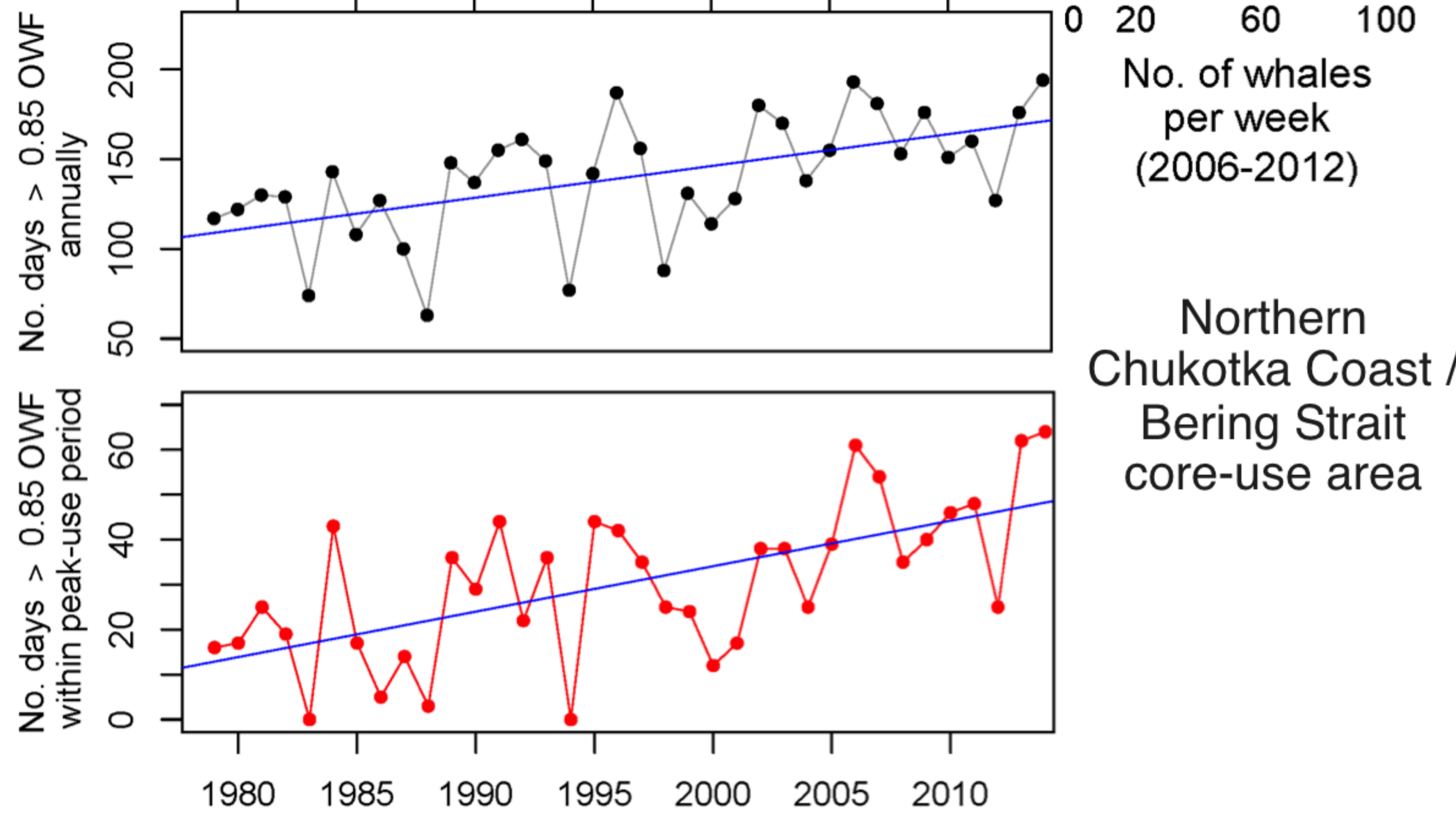
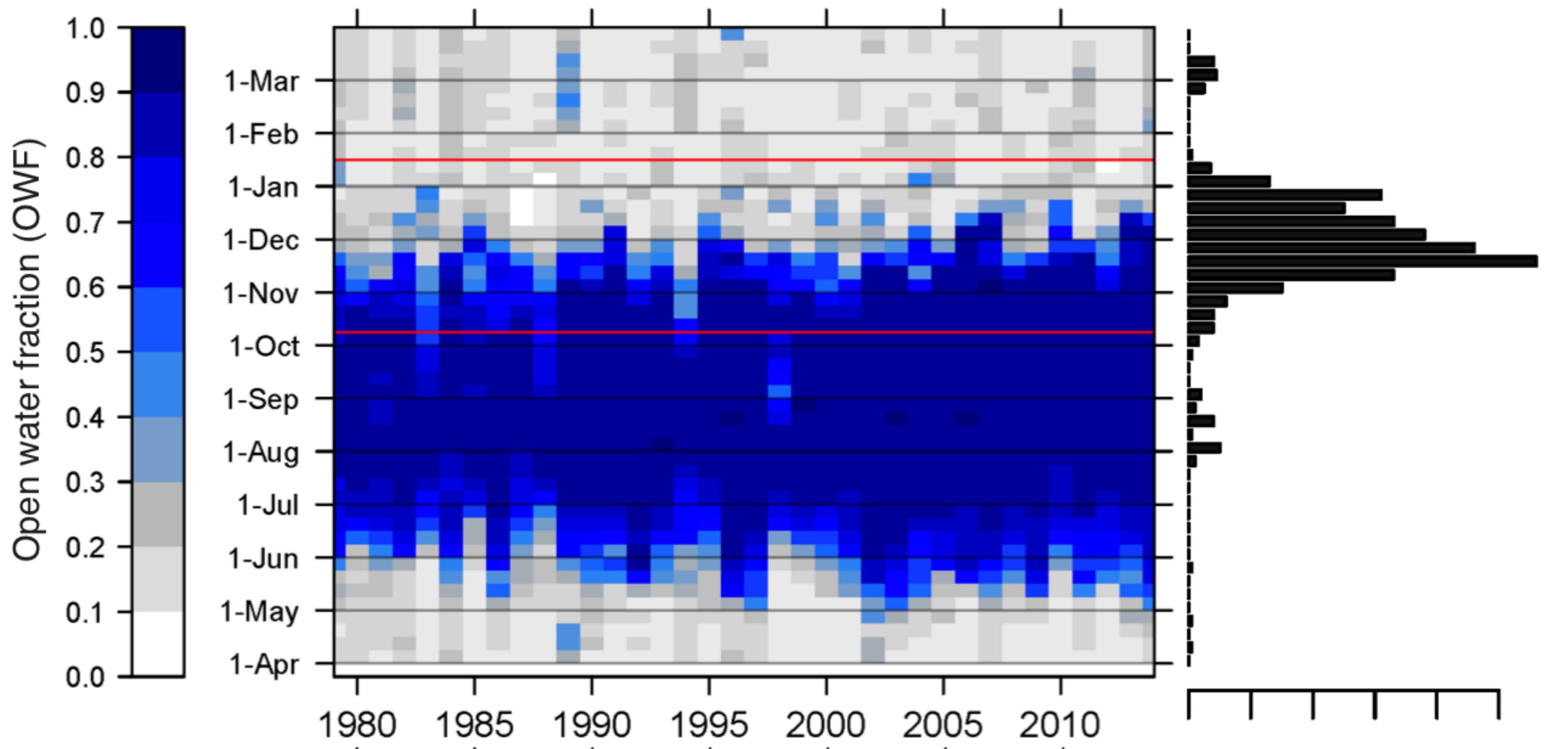
Cape Bathurst core-use area

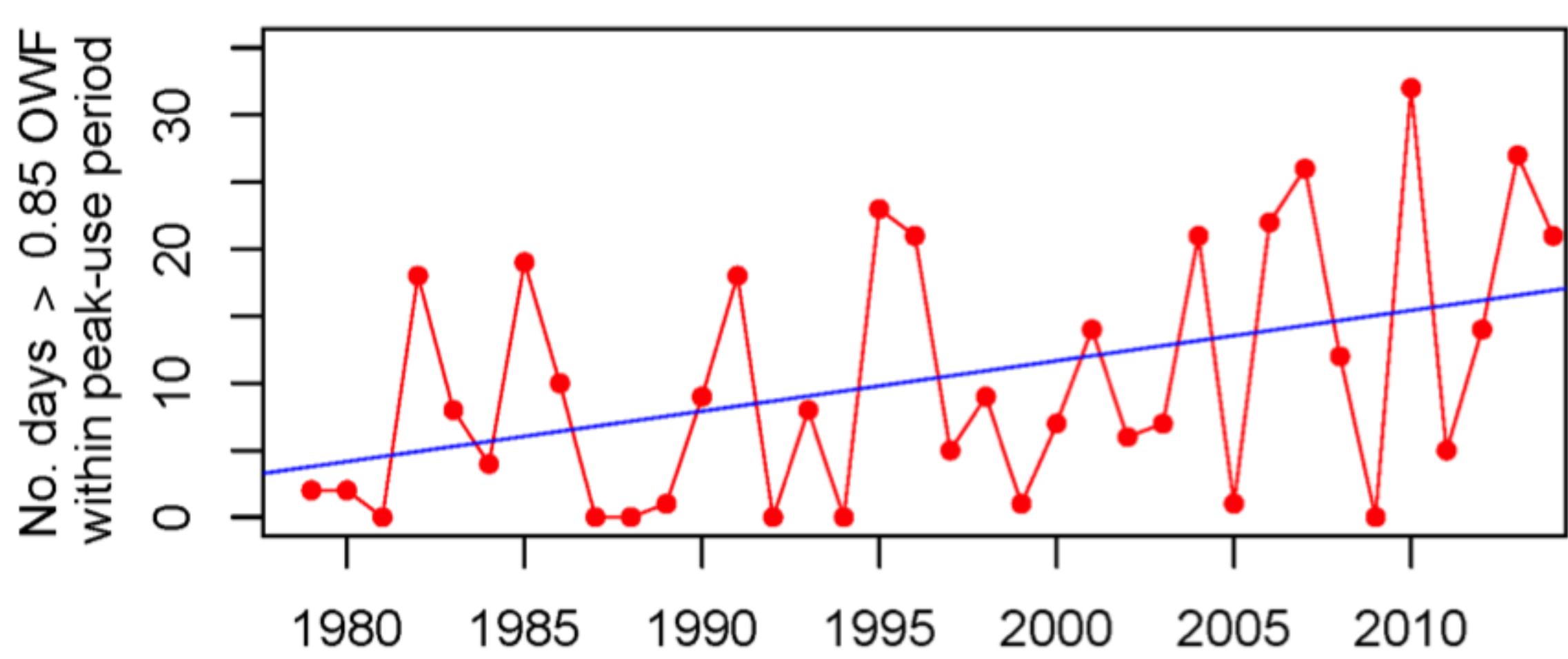
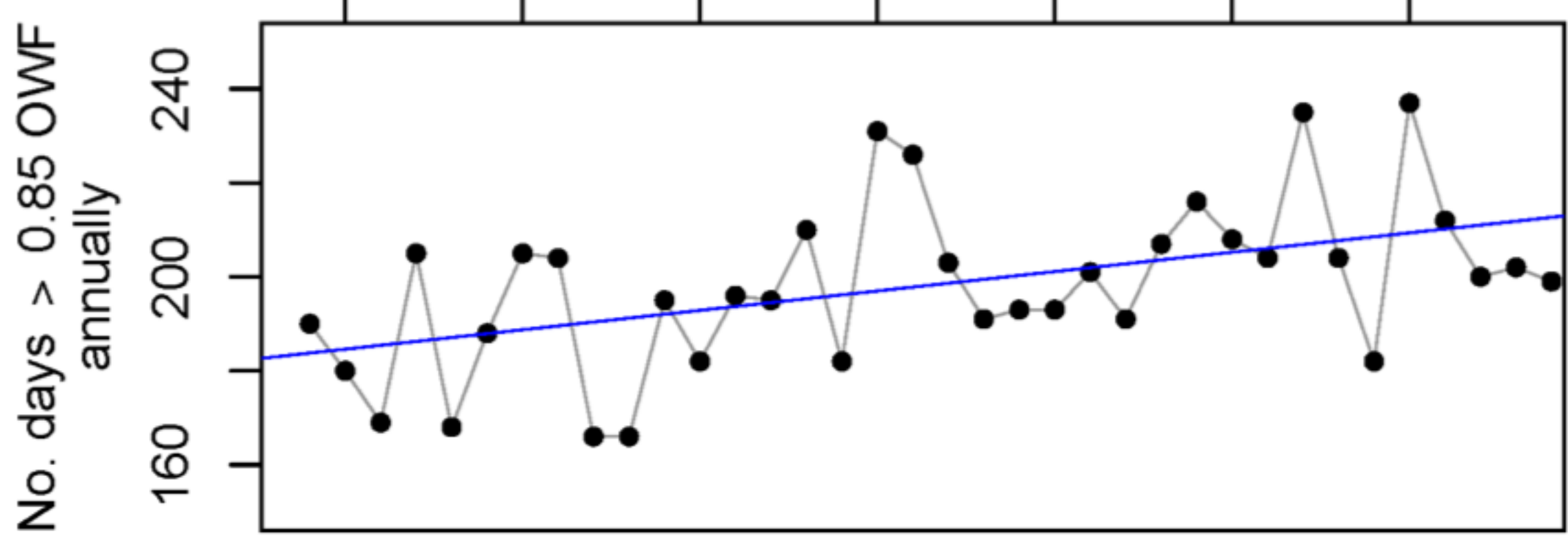
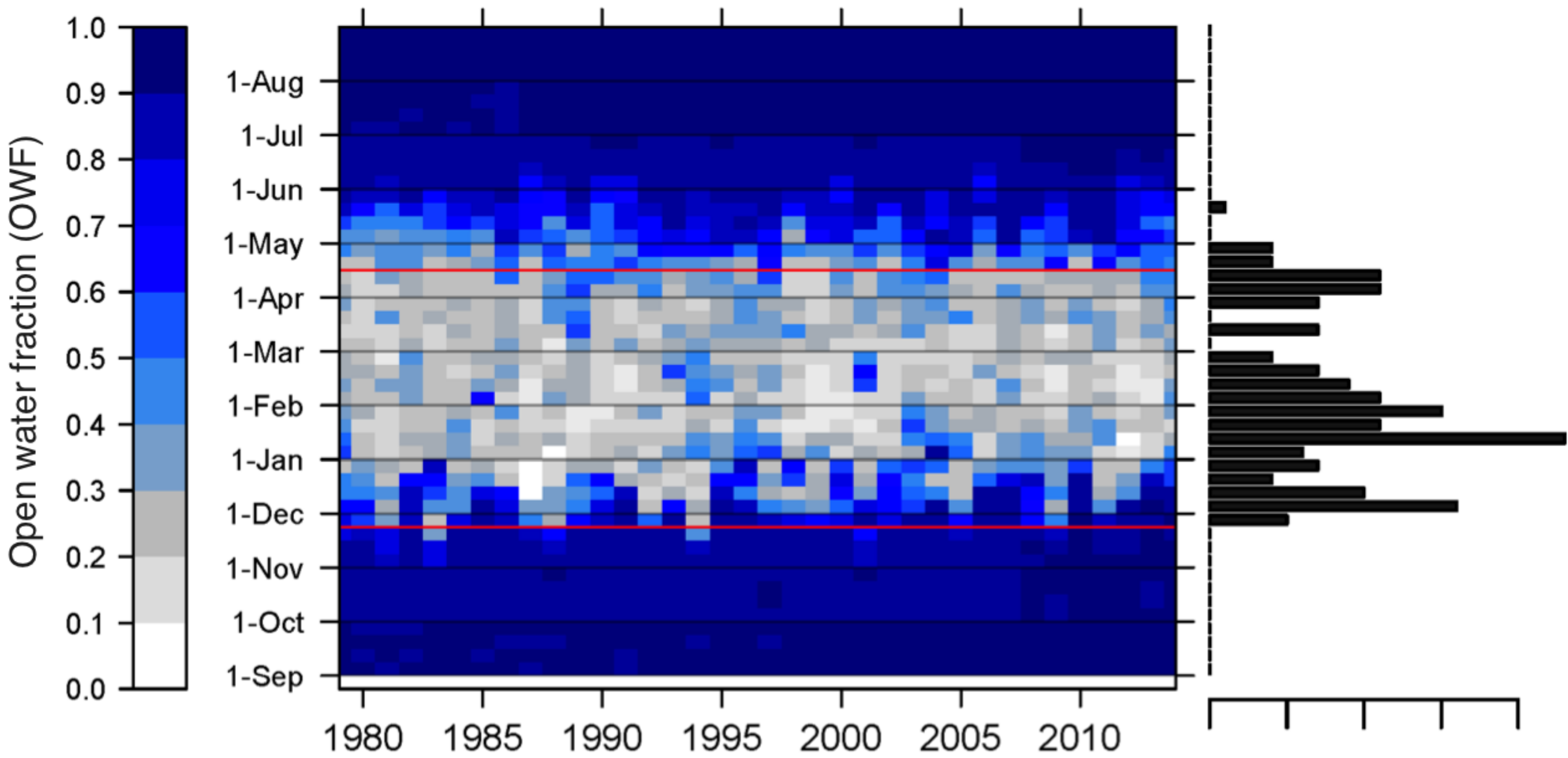




Tuktoyaktuk  
Peninsula  
core-use area







Anadyr Strait core-use area

