

1 **Modeling Inner Boundary Values at 18 Solar Radii During Solar Quiet time for Global**
2 **Three-dimensional Time-Dependent Magnetohydrodynamic Numerical Simulation**
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Abstract

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22
23 We develop an empirical model of the solar wind parameters at the inner boundary (18 solar
24 radii, R_s) of the heliosphere that can be used in our global, three-dimensional (3D)
25 magnetohydrodynamic (MHD) model (G3DMHD) or other equivalent ones. The model takes
26 solar magnetic field maps at 2.5 R_s , which is based on the Potential Field Source Surface, PFSS
27 model and interpolates the solar wind plasma and field out to 18 R_s using the algorithm of Wang
28 and Sheeley [1990]. A formula ($V_{18R_s} = V_1 + V_2 f_s^\alpha$) is used to calculate the solar wind speed at 18
29 R_s , where V_1 is in a range of 150-350 km/s, V_2 is in the range of 250-500 km/s, and “ f_s ” is the
30 magnetic flux expansion factor derived from the Wang and Sheeley (WS) algorithm at 2.5 R_s . To
31 estimate the solar wind density and temperature at 18 R_s , we assume an incompressible solar
32 wind and a constant total pressure. The three free parameters are obtained by adjusting
33 simulation results to match in-situ observations (*Wind*) for more than 54 combinations of V_1 , V_2
34 and α during a quiet solar wind interval, *i.e.*, the Carrington Rotation (CR) 2082. We found that
35 $V_{BF} = (200 \pm 50) + (400 \pm 100) f_s^{-0.4}$ km/s is a good formula for the quiet solar wind period. The
36 formula was also good to use for the other quiet solar periods. Comparing results between WSA
37 [Arge *et al.* 2000; 2004] and our model (WSW-3DMHD), we find the following: i) The results of
38 using V_{BF} with the full rotation (FR) data as input to drive the 3DMHD model is better than the
39 results of WSA using FR, or daily updated. . ii) The WSA model using the modified daily
40 updated 4-day-advanced solar wind speed predictions is slightly better than that for WSW-
41 3DMHD. iii) The results of using V_{BF} as input to drive the 3DMHD model is much better than
42 the using the WSA formula with an extra parameter for the angular width (θ_b) from the nearest
43 coronal hole. The present study puts in doubt in the usefulness of θ_b for these purposes.

44 **1. Introduction**

45 Predictions of the arrival time of geoeffective solar events, such as coronal mass ejections
46 (CMEs), at an observer's location in the heliosphere is one of the most daunting challenges of
47 space science applications. When a CME erupts and moves into the solar wind, it is known as an
48 interplanetary coronal mass ejection (ICME) [Dryer *et al.* 1994]. A fast-mode shock may result
49 at the leading edge of the CME front, which may itself be geoeffective [*e.g.*, Gosling *et al.*, 1975;
50 Sheeley *et al.*, 1982]. Observations have shown that a large percentage of ICMEs classified as
51 "magnetic clouds" (MCs), especially those with a leading shock, can lead to geomagnetic storms
52 [*e.g.*, Wu and Lepping, 2002; Huttunen *et al.* 2005; Zhang *et al.* 2007].

53 Knowledge about when and if any part of the shock and ICME will reach the Earth can be
54 used as a harbinger of geomagnetic activity. Interactions of CMEs with the solar wind
55 complicates predictions of their arrival. Because of the interaction, the propagating speed of
56 CMEs approaches the ambient solar wind speed [Gopalswamy *et al.* 2000]. Therefore, being able
57 to predict the solar wind speed is a prerequisite for accurately predicting the arrival time of
58 CMEs.

59 First principle models that employ magnetohydrodynamic (MHD) theory have been
60 developed for simulating the dynamics of the Sun and the heliosphere. Han *et al.* [1988]
61 developed the first numerical time-dependent, three-dimensional (3-D), MHD simulation model.
62 The model is able to simulate interplanetary (IP) shock evolution from 18 solar radii (R_s) or 0.1
63 AU, to the Earth [*e.g.*, Han *et al.* 1988; Detman *et al.* 1991; Dryer *et al.* 1997; Wu and Dryer,
64 1997; Wu *et al.* 1996; 2005]. We will refer this model as Han's code hereafter. Han's code has
65 also been used previously to study (i) the interplanetary magnetic field (IMF) draping around
66 plasmoids in the solar wind [Detman *et al.*, 1991]; (ii) IMF changes at 1 AU as a consequence of

67 an interaction with a heliospheric current/plasma sheet (HCS/HPS) [Wu *et al.* 1996; Wu and
68 Dryer, 1997]; and (iii) the shock arrival time at the Earth [Wu *et al.* 2005]. Several early
69 examples include evolution of a shock driven by a CME that occurred on 14 April 1994, and its
70 propagation to the Earth and at ~ 4 AU [Dryer *et al.* 1997]. Pressure pulses have also been
71 utilized at lower boundaries to mimic solar events to study the evolution of solar transient
72 disturbances (*e.g.*, shocks, plasma clouds, and magnetic flux ropes) by other groups [*e.g.*,
73 Odstrcil and Pizzo, 1999a,b; Groth *et al.* 2000; Hayashi *et al.* 2011; Manchester *et al.* 2004;
74 Vandas *et al.* 2002; Luguz *et al.* 2011; Shen *et al.* 2011].

75 Potential Field Source-Surface (PFSS) models are often used to derive ambient solar wind
76 parameters at the inner boundary of heliospheric MHD models [*e.g.* Usmanov 1993; Manchester
77 *et al.* 2004; Odstrcil *et al.* 2005; Detman *et al.* 2006; Luguz *et al.* 2011; Shen *et al.* 2011; Wu *et*
78 *al.* 2007a,b]. With PFSS providing the inner boundary conditions, Han's code and the Hakamada,
79 Akasofu and Fry (HAF) code [Fry *et al.* 2001] were merged as a hybrid model (HAF+3DMHD)
80 to simulate realistic solar wind structures from $2.5 R_s$ to the Earth environment and beyond [Liou
81 *et al.* 2014; Wood *et al.* 2011, 2012; Wu *et al.* 2007a,b, 2011, 2012, 2016a,b]. The combined
82 HAF+3DMHD model is capable of simulating extremely fast CME events, such as the CME that
83 erupted on 23 July 2012 with a shock speed (V_s) faster than 3000 km/s [Liou *et al.*, 2014]. It is
84 also capable of modeling the evolution and interaction of multiple CMEs [*e.g.*, Wu *et al.* 2012;
85 Wu *et al.* 2016b; S.T.Wu *et al.* 2014].

86 Using 22 years of flux-tube expansion factor measurements (f_s , derived near the Sun), Wang
87 and Sheeley [1990] constructed an empirical model for estimating the daily characteristic solar
88 wind speed at the Earth (WS model) based on f_s . These linkage of the two quantities is affected
89 by the time required for the radially propagating solar wind (assumed to be flowing at constant

90 velocity) to traverse from Sun to Earth.

91 The velocity profile produced by the WS velocity scheme is discretized rather than
92 continuous. Therefore, the WS velocity relationship is not ideal as input for the global MHD
93 simulation. Arge and Pizzo [2000] (AP) made a number of modifications to the basic technique
94 of the WS model. The AP $v-f_s$ relationship is a continuous empirical function that related
95 magnetic expansion factor to solar wind velocity at the source surface. The AP $v-f_s$ relationship
96 used daily updated synoptic maps instead of full-rotation maps. Both WS and AP $v-f_s$
97 relationship use solar wind speed at the first Lagrangian (L1) to trace back to the solar source
98 surface. The solar wind speed is highly non-uniform near the Sun.

99 The ambient (pre-existing background) solar wind speed is known to affect the acceleration
100 and deceleration of CMEs [e.g., Gopalswamy *et al.* 2009; Wu, Lepping, and Gopalswamy,
101 2006]. Time-dependent, 3D MHD simulations also show that the background solar wind can
102 affect the arrival time of shock events with slow propagation speed ($V_{\text{Shock}} < 100$ km/s) but not
103 shock events with fast propagation speed [e.g., Wu *et al.* 2005].

104 Current 3D global MHD models often overestimate the background solar wind speed at the
105 inner boundaries, e.g., works performed by Wu *et al.* [2016a,b] with the HAF+3DMHD model
106 and by Yu *et al.* [2015] with the ENLIL model using solar wind solar wind velocities derived
107 from interplanetary scintillation (IPS) measurements. In their simulation using the ENLIL model,
108 Yu *et al.* had to reduce the solar wind speed input at 0.1 AU by ~20% to get the right IP shock
109 arrival time at the Earth. For space weather forecasting purposes, it is important to be able to
110 obtain the correct initial solar wind speed as a simulation input. Therefore, we are motivated to
111 develop a scheme for providing solar wind velocities at the inner boundary ($18 R_s$) for three-
112 dimensional, time-dependent MHD simulation models, which can then predict realistic

113 background solar wind conditions at Earth.

114 The remaining sections of the paper are organized as follows. We will describe the numerical
115 simulation in Section 2. In Section 3, we demonstrate the methodology. Tuning [the model](#),
116 including validation of simulation results (*i.e.*, parameter tuning for 1 AU solar wind speed), is
117 described in Section 3. [Discussion](#), Conclusions and Remarks are given in Section 4.

118 2. Global Three-Dimensional MHD Simulation Model (G3DMHD)

119 2.1 3-D MHD simulation model

120 The fully 3-D, time-dependent MHD simulation code [Han, 1977; Han *et al.* 1988] was
121 used to propagate solar wind parameters at the inner boundary to 1 AU to compare with *in situ*
122 measurements. The MHD model solves a set of ideal-MHD equations using an extension scheme
123 of the two-step Lax-Wendroff finite difference methods [Lax and Wendroff, 1960]. An ideal
124 MHD fluid is assumed in the Han model, which solves the basic conservation laws (mass,
125 momentum, and energy) as shown in Equations (1) - (3) with the induction equation (Equation 4)
126 to take into account the nonlinear interaction between plasma flow and magnetic field.

$$127 \quad \frac{D\rho}{Dt} + \rho \nabla \cdot \mathbf{V} = 0 \quad (1)$$

$$128 \quad \rho \frac{D\mathbf{V}}{Dt} = -\nabla p + \frac{1}{\mu_0} (\nabla \times \mathbf{B}) \times \mathbf{B} - \rho \frac{GM(r)}{r^2} \hat{\mathbf{r}} \quad (2)$$

$$129 \quad \frac{\partial}{\partial t} \left[\rho e + \frac{1}{2} \rho |\mathbf{V}|^2 + \frac{|\mathbf{B}|^2}{2\mu_0} \right] + \nabla \cdot \left[\mathbf{V} \left\{ \rho e + \frac{1}{2} \rho |\mathbf{V}|^2 + p \right\} + \frac{\mathbf{B} \times (\mathbf{V} \times \mathbf{B})}{\mu_0} \right] = -\mathbf{v} \cdot \rho \frac{GM(r)}{r^2} \hat{\mathbf{r}} \quad (3)$$

$$130 \quad \frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{V} \times \mathbf{B}) \quad (4)$$

131 where t, r, ρ, V, B, p, e are time, radius, density, velocity, magnetic field, thermal pressure, and
 132 internal energy. The internal energy is $e \equiv p/[(\gamma-1)\rho]$. The additional symbols γ, M_s, G are the
 133 polytropic index, the solar mass, and the gravitational constant. A value of $\gamma = 5/3$ is used for this
 134 study since it has been shown to be a good value to use for in-situ solar wind data at 1 AU [*e.g.*,
 135 Wu *et al.*, 2011; Liou *et al.*, 2014]. The MHD governing equations are cast in uniform, spherical
 136 grids. The computational domain for the 3-D MHD simulation is a sun-centered spherical
 137 coordinate system (r, θ, ϕ) oriented on the ecliptic plane. Earth is located at $r = 215 R_s, \theta = 0^\circ$,
 138 and $\phi = 180^\circ$. The domain covers $-87.5^\circ \leq \theta \leq 87.5^\circ; 0^\circ \leq \phi \leq 360^\circ; 18 R_s \leq r \leq 345 R_s$. An
 139 open boundary condition at both $\theta = 87.5^\circ$ and $\theta = -87.5^\circ$ is used so there are no reflective
 140 disturbances. A constant grid size of $\Delta r = 3 R_s, \Delta\theta=5^\circ$, and $\Delta\phi=5^\circ$ is used, which results in
 141 $110 \times 36 \times 72$ grid sets.

142 **2.2 Inner Boundary Data Set Up**

143 The system is driven by a time series of photospheric magnetic maps composed from daily
 144 solar photospheric magnetograms (<http://wso.stanford.edu>). The WS model uses the observed
 145 line-of-sight magnetic field at the photosphere extrapolated to $2.5 R_s$ using the PFSS model [*e.g.*,
 146 Wang and Sheeley, 1992]. The inner boundary of the 3-D MHD model is at an adjustable
 147 location, typically beyond the critical points at 18 solar radii (R_s). The conservation of magnetic
 148 flux ($r B_r^2 = \text{constant}$) is used to derive magnetic field at $18 R_s$. Conservation of the flux tube r
 149 $B_r^2 = \text{constant}$ is assumed to set up spacing variation (*i.e.* grid size) in both θ - and ϕ -direction. A
 150 formula $V_r = V_1 + V_2 f_s^\alpha$ (units in km/s) is used to compute V_r at $18 R_s$, where V_1 is a constant
 151 ranging from 150 to 350, V_2 is also a constant ranging from 250 to 500, f_s is the expansion factor
 152 [Wang and Sheeley, 1990; Wang *et al.* 1990, 1992], and α is the exponent of the expansion

153 factor. This is similar to the work done by Arge *et al.* [2004]. Conservation of mass, $\rho V = \rho_o V_o =$
 154 constant, is used to compute the solar wind density at $18 R_s$, where ρ_o is 2.35×10^{-9} kg/km³ and V_o
 155 is the average of V_r at $18 R_s$. We further assume that the total pressure is constant along the
 156 stream line (Bernoulli's principle). The equation $\rho (RT + v^2/2) = \rho_o (RT_o + v_o^2/2) = \text{constant}$ is
 157 used to compute the temperature at $18 R_s$, where $T_o = 1.5 \times 10^6$ °K is used at $18 R_s$.

158 2.3 Selection of Study period

159 The occurrence frequency of CMEs ranges from $\sim 0.6/\text{day}$ to $\sim 4/\text{day}$ [*e.g.*, Wu, Lepping,
 160 and Gopalswamy, 2006] or to $\sim 6/\text{day}$ [Wang and Colaninno, 2014; Hess and Colaninno, 2017;
 161 Vourlidas *et al.* 2017], depending on the phase of the solar cycle. When a CME/ICME/Shock
 162 propagates from the Sun to the Earth, the ambient solar wind can vary a lot, depending on the
 163 size/speed of the CME. For constructing a global MHD simulation model, a quiet solar wind
 164 period is a better choice to test the model. Therefore, we picked a quiet period (*i.e.* sunspot
 165 number, SSN is small) during which the occurrence frequency of CMEs is also low. The value of
 166 the 13-month smoothed monthly total SSN is 3.4 in April-May 2009
 167 (<http://www.sidc.be/silso/datafiles>). No MCs were observed during April - May 2009 [Lepping
 168 *et al.* 2015]. In addition, no magnetic cloud like structure was found in 2009 [Wu and Lepping,
 169 2015]. Therefore, Carrington Rotation (CR) 2082 (April 5 to May 3, 2009) was chosen to test
 170 our new solar wind speed scheme under quiet conditions.

171 Figure 1 shows the background (co-rotating “steady state”) solar wind radial speed (V_r)
 172 on the surface plane at 18 and $216 R_s$ at 02:00UT on 3 April 2009. These values are calculated
 173 using $V_r = 150 + 250f_s^{-0.4}$ (Fig. 1a-b) and $V_r = 150 + 500f_s^{-0.4}$ (Fig. 1c-d). The solar wind speed is
 174 faster at $216 R_s$ (see Fig. 1b and 1d) than that at $18 R_s$ (see Fig. 1a and 1c). Overall, Figure 1

175 clearly shows that solar wind speed using the formula $Vr = 150 + 500f_s^{-0.4}$ is faster than that
176 obtained by using the formula $Vr = 150 + 250f_s^{-0.4}$.

177 2.4 Setting up co-rotating steady state solar wind.

178 The governing MHD equations are described in the inertial frame. Thus, the solar sidereal
179 rotation vector, Ω , does not appear in the governing equations. Instead of using the rotating
180 frame as the reference coordinate system, we assume that the distribution map of the inner
181 boundary values at $18 R_s$ moves longitudinally at the solar sidereal rotation rate in the inertial
182 system. We set the solar rotation rate $|\Omega|$ to be 360 degrees per 27.27 days. On 2 April 2009, the
183 Earth was located at a latitude of south 6.6° (S 6.6°) with respect to the solar equator. Figure 2
184 shows the velocity profile at 2.5° south (S 2.5°) of the solar-equatorial plane using the formula, Vr
185 $= 150 + 300f_s^{-0.4}$ for the velocity map at $18 R_s$. Initially, there is no spiral structure in the solar
186 wind (Figure 2a); so everything goes out radially. When the solar rotation is applied to the
187 simulation domain, the spiral structure appears (Figures 2b-f). It takes ~ 4 days for the spiral
188 configuration to reach 1 AU (Figure 2d) and ~ 6 days for the spiral configuration to reach the
189 outer boundary of the simulation domain (Figure 2e).

190 Figure 1 clearly shows a non-uniform 2-D velocity profile at $18 R_s$ in both θ -, and ϕ -
191 directions. The flow speed is larger in the high-latitude than in the low-latitude regions, as is
192 expected from the expansion factor, which is smaller in the high-latitude corona hole regions and
193 larger in the low-latitude closed field regions. Figures 3a-3d and 3e-3h show the simulated solar
194 wind speed and density on surfaces of different angular cones centered at the Sun. These conical
195 angles are at 22.5°N (north, representative of a response in the northern heliosphere), 7.5°N ,
196 7.5°S (close to Earth's latitude in the solar equatorial coordinate system), and 22.5°S (south,

197 representative of a response in the southern heliosphere). Figures 3i-3m show the solar wind
198 speed at different longitudinal meridian planes: 90°E (East, Fig.3i), 45°E (Fig.3j), 0°W (west,
199 Fig.3k, Sun-Earth-line direction), 45°W (Fig.3l), and 90°W (Fig.3m).

200 The solar wind speed profiles are highly non-uniform. For example, i) The solar wind is
201 slower at the inner boundary (*i.e.* 18 R_s) and is faster at 1 AU (*i.e.* 215 R_s), meaning that the solar
202 wind must have experienced acceleration beyond 18 R_s ; ii) the solar wind is faster in the
203 Southern Hemisphere and slower in the Northern Hemisphere; iii) The highest speed stream was
204 located near 180°W in the Southern Hemisphere but near the 5°W in the Northern Hemisphere;
205 iv) The solar wind is slower near the equator than in the high-latitude regions (See Fig. 3a-3d,
206 and 3i-3m).

207 3. Validation of Simulation Results

208 3.1 Effect of V_1 and V_2 on the solar wind profile

209 The solar wind condition at 18 R_s is set up with the WS formula: $V_1 + V_2 f_s^{-0.4}$ km/s. In
210 general, V_1 is the baseline solar wind speed and V_2 is the amplitude of the solar wind speed above
211 the baseline. While other empirical formulas have been proposed, such as the WSA model
212 (2000), in this study, we mainly concentrate on testing the WS formula. The expansion factor (f_s)
213 is calculated based on the solar magnetogram measurements from the Wilcox Solar Observatory
214 (WSO), in conjunction with the PFSS model (Wang and Sheeley, 1990). We perform simulations
215 with fifty-four different cases (combinations of V_1 and V_2) to determine the optimal values for V_1
216 and V_2 . The value of V_1 ranges between 150 and 350 km s⁻¹ in increments of 25 km s⁻¹ (*top to*
217 *bottom*, panels, 1 – 9) and the value of V_2 ranges from 250 to 500 km s⁻¹ in increments of 50 km
218 s⁻¹ (*left to right*, panels A – F). Simulation results at 1 AU are compared with in situ observations

219 by *Wind*. A number of metrics are considered to determine the strength of the predictions: the
 220 Pearson correlation coefficient [cc], the difference between $\langle V \rangle_{\text{OMNI}}$ and $\langle V \rangle_{\text{3DMHD}}$ (Diff \equiv
 221 $(\langle V \rangle_{\text{OMNI}} - \langle V \rangle_{\text{3DMHD}}) / \langle V \rangle_{\text{OMNI}}$), the average simulated solar wind speeds ($\langle V \rangle_{\text{3DMHD}}$), the
 222 mean absolute percentage error [MAPE $\equiv 100/N \times \sum |(V_{\text{wind}} - V_{\text{G3DMHD}})/V_{\text{wind}}|$], and the ratio of
 223 the correlation coefficient to MAPE (cc/MAPE). These metrics are marked on the top of each
 224 panel (see Figure 4 caption for details). For example, for the case A1 (the top-left corner), $V_{18R_s} =$
 225 $150 + 250f_s^{-0.4}$, the values of cc, Diff, $\langle V \rangle_{\text{3DMHD}}$, cc/MAPE, and MAPE are 0.72, -7 %, 362, 7.5,
 226 and 9%, respectively. Time profiles of solar wind speed at the Earth for the period between
 227 March 30 and April 27, 2009 for 27 out of 54 cases are presented in Figure 4. From top to
 228 bottom (Panels 1 to 9): V_1 was 150, 175, 200, 225, 250, 275, 300, 325, and 500 km/s,
 229 respectively. From left to right: V_2 was 250, 300, 350, 400, 450, and 500, respectively.

230 Overall, during March 20-April 27, 2009, Case F1 ($V_r = 150 + 500f_s^{-0.4}$) has the best
 231 correlation coefficient (=0.80) and also has a very low difference (= 1%). Case F2 ($V_r = 175 +$
 232 $500f_s^{-0.4}$) also has a good fit, except the difference is 5% higher than that for Case F1. Other cases
 233 also have a high correlation coefficient and a low difference, but the trend is not as good as Case
 234 F1, *i.e.* matched velocity profile for both velocity in the minimum (V_{min} , minimum velocity) and
 235 maximum (V_{max} , maximum velocity). For example, V_{max} is far off the observation in either Case
 236 A3 ($V_r = 250 + 250f_s^{-0.4}$, cc =0.78, Diff =1%), or Case C2 ($V_r = 250 + 350f_s^{-0.4}$, cc =0.78, Diff
 237 =0%). Figure 5 shows the contours of correlation coefficients for the 54 cases. The values of the
 238 correlation coefficients were in a range of 0.56-0.79; and the differences were in a range of -7%
 239 to 56%, respectively. Colors and red contours represent cc x 100 (units in %). Light-blue-dashed
 240 contours represent differences between $\langle V_{\text{obs.}} \rangle$ and $\langle V_{\text{G3DMHD}} \rangle$, which equals to $(\langle V_{\text{G3DMHD}} \rangle -$
 241 $\langle V_{\text{obs.}} \rangle) / \langle V_{\text{obs.}} \rangle \times 100$.

242 Using the velocity formula $V_{18R_s} = V_1 + V_2 f_s^{-0.4}$ to construct solar wind speed (see Figures 4-5)
 243 at the inner boundary, two major trends of solar wind speed near the Earth are identified: (i) the
 244 baseline solar wind speed was low if a low value of V_1 is used. (ii) The peak solar wind speed
 245 (V_{peak}) is high if a large value of V_2 is used. The trend of the speed variation is similar between
 246 the observations and the simulations for cases with V_1 less than 225 km/s (Panels 5-9). For cases
 247 with a high value of V_1 (*i.e.*, $V_1 > 250$ km/s), the simulated speed baselines were much higher
 248 than observed (see panels 6-9 of Figure 4). Overall the equation $V_{18R_s} = (200 \pm 50) + (400 \pm 100) f_s^{-0.4}$
 249 $^{0.4}$ is a good fit to background solar wind at 1 AU.

250 We first draw attention to the comparison of the simulation results with the *in-situ*
 251 observations at Earth in Figure 6. The equations $V_r = 150 + 250 f_s^{-0.4}$ and $V_r = 150 + 500 f_s^{-0.4}$ were
 252 used to produce the background solar wind in Figures 6a and 6b, respectively. The time
 253 resolution of the observations is ≈ 1.5 minutes. The time resolution of the simulated solar wind is
 254 in a range of 1-15 minutes, which depends on the simulated solar wind condition. Both data sets
 255 were interpolated into hourly resolution. Validation of our simulation results was done by
 256 comparing solar wind plasma and field parameters with *in situ* measurements at 1 AU (*e.g.* made
 257 by *Wind* or *ACE* spacecraft, or *OMNI* data set).

258 Figure 6 shows a comparison of the solar wind parameters from G3DMHD simulations
 259 (black-solid-lines) and *in situ* observations (*OMNI*, red-dotted-lines) during March 30 - April 27,
 260 2009 for Cases 1a ($V_r = 150 + 250 f_s^{-0.4}$, Fig.6a) and 1c ($V_r = 150 + 500 f_s^{-0.4}$, Fig.6b). Panels from top
 261 to bottom show the time profile of solar wind temperature (T_p , units in $^{\circ}\text{K}$), velocity in r-
 262 direction (V_r , units in km/s), density (N_p , units in cm^{-3}), and magnitude of interplanetary
 263 magnetic field (B , units in nT). Earth was orbiting between 6.7° and 5.0° below the solar
 264 equatorial plane (or $S6.7^{\circ}$ and $S5.0^{\circ}$).

265 For the case of $Vr = 150+250f_s^{-0.4}$ (Case 1a), the averages of ambient solar wind parameters
 266 $\langle Tp \rangle$, $\langle Vr \rangle$, and $\langle Np \rangle$ were under-estimated by ~28%, 7%, and 28%, respectively (see Fig.6a);
 267 but the average of total magnetic field, $\langle B \rangle$ was over-estimated by 15%. The cc's for simulation
 268 vs. observation were 0.71, 0.72, 0.56, 0.02 for Tp , Vr , Np , and B , respectively.

269 For the case of $Vr = 150+500f_s^{-0.4}$ (Case 1c), ambient solar wind $\langle Tp \rangle$ and $\langle Np \rangle$ were under-
 270 estimated by 22% (-22%) and 12% (-12%), respectively (See Fig. 6b); but $\langle Vr \rangle$ and $\langle B \rangle$ were
 271 over-estimated by 1% and 37%. The cc's for simulation vs. observation are 0.63, 0.79, 0.73, and
 272 0.28 for Tp , Vr , Np , and B , respectively. Overall, the results for Case 1c are better than that for
 273 Case 1a.

274 3.2 Effect of expansion factor on the profile of solar wind speed

275 The velocity formula $Vr = V_1+V_2f_s^\alpha$ has three free variables, V_1 , V_2 , and α . The V_1 and V_2
 276 parameters were tested in the above section. Here, the effects of α will be determined. Figure 7
 277 shows solar wind variations with different values of α : -0.1 (Fig.7a), -0.2 (Fig.7b), -0.4 (Fig.7c),
 278 and -0.6 (Fig.7d) for the period of CR2082 by using different velocity formulae with different
 279 values of α :(a) $Vr = 150 + 500f_s^{-0.1}$, (b) $Vr = 150 + 500f_s^{-0.2}$, (c) $Vr = 150 + 500f_s^{-0.4}$, and (d) $Vr =$
 280 $150 + 500f_s^{-0.6}$. The cc's for these four cases are 0.55, 0.67, 0.79, and 0.78. On average, the solar
 281 wind speed was 8% under-estimated by using formula (d), but were 48%, 25%, 1% over-
 282 estimated by using formula (a), (b), and (c), respectively. Figure 7 shows clearly that α affects
 283 the baseline speed of the solar wind. In other words, a low value of α results in a slow
 284 background solar wind. Formula (d) is a good fit to the data except for the peak speed, which is
 285 under-estimated by ~100 km/s. The velocity profile derived from formula (c), $Vr = 150 + 500f_s^{-$
 286 0.4 , is one of the best choices for this period. The best-fit α parameter is obtained by fixing the V_1

287 and V_2 values. Although a more general approach is to consider all three free parameters together
288 in the fit, this **would** require considerable computing resources. This will be a future study topic.

289 **3.3 Validation of the best fit formula, $V = 150 + 500 f_s^{-0.4}$**

290 Figure 4 shows the best fit formula ($V_{BF} = 150 + 500 f_s^{-0.4}$) for CR2082. The V_{BF} empirical
291 formula used in the study is similar to those used in the study of Arge and Pizzo [2000]. The
292 main result of the present study (a formula with best-fit parameters) is nearly identical to the
293 Equation (4) in the paper of Arge and Pizzo [2000], except with a lower value of V_1 , and a
294 higher value of V_2 [Arge and Pizzo, 2000] (refer to AP hereafter). They used three different
295 source surface maps: (i) the full rotation (FR), (ii) daily updated (DU), and (iii) modified daily
296 updated (MDU) 4-day-advanced solar wind speed predictions with 9-hour-averaged WIND
297 satellite velocity observation for CR1899. The correlation coefficients for Wind in-situ solar
298 wind speed data vs. AP's predicted solar wind speed are 0.678, 0.793, and 0.813 for using FR,
299 DU, and MDU data sets, respectively (see Figure 4 in AP).

300 In order to evaluate our formula ($V = 150 + 500 f_s^{-0.4}$), it is used to simulate the solar
301 wind

302 condition for CR1899 during 6 August – 3 September, 1995. A comparison of the full rotation
303 G3DMHD/simulated solar wind V (top panel), N_p (second panel from top), T_p (third panel from
304 top), and B (bottom panel) with 9-hour-averaged WIND spacecraft solar wind observations (red
305 dotted lines) are showed in Figure 7. The correlation coefficient (CC) is 0.803 for simulated
306 velocity vs. *Wind in-situ* observation. The mean absolute percentage error (MAPE) [$\equiv (100/N \sum$
307 $|V_{WIND} - V_{G3DMHD}|/V_{WIND}]$] is 12.4%, and the average deviation is ~ 49.4 km/s. Our result is
308 better than AP's results using the full rotation (FR) data or the daily updated (DU) data.

309 However, the cc is slightly less than AP's results of using modified daily updated (MDU) 4-day-
310 advanced solar wind speed. Values of cc for simulation vs. observation are 0.48, 0.63, and -0.04
311 for Np, Tp, and B, respectively. Values of the MAPE are 0.01, 0.336, and 0.439 for Np, Tp, and
312 B, respectively. We have to stress that a better linear correlation is not **necessarily** a better fit.
313 This is the reason that we use MAPE to evaluate the fit.

314 In this study we have carefully selected a period without any solar disturbance, and used
315 about 54 different combinations of simple velocity empirical formula to find a best fit formula in
316 a solar quiet time. In the above paragraph, we demonstrated that the simple formula, V_{BF} , is also
317 valid in 1995, which is at the end of solar cycle 22. Riley *et al.* [2001] used a θ_b parameter, in
318 addition to f_s , to empirically specify solar wind speed near the Sun for a number of years, where
319 θ_b is the minimum angular separation (at the photosphere) between an open field foot point and
320 its nearest coronal hole boundary, as introduced by Arge *et al.* [2003]. Their predicted velocity
321 for CR 1921-1923 was **shown** in Fig. 3 of Arge *et al.* [2004]. It is clearly shown that their
322 prediction for CR1922 during the three-day period (May 8-11, 1997) was not correct. The WSA
323 model predicted a fast stream during these three days. They claimed that using higher resolution
324 maps may help to reduce some of these problems. In addition, WSA also made a false prediction
325 of two high-speed streams during April 25-30, 1997. A high-speed stream observed by WIND
326 during April 10-15 (in CR1921) was also missing from the WSA prediction. The stream during
327 April 10-15 was caused by the crossing of an ICME, presumably associated with a CME that
328 occurred on April 7 (Webb *et al.* 2000; Arge *et al.* 2004).

329 In order to **further** explore the capability of the V_{BF} formula for predicting the
330 background solar wind, we consider the following three periods of solar rotation: CR1921,

331 CR1922, and CR1923. The comparison of the WSW-3DMHD simulated solar wind speed (black
332 solid lines) with the *Wind* in-situ solar wind speed (red-dotted lines) is shown in Figure 8. The
333 relationship between the observation and simulation is reasonably acceptable for the periods of
334 CR1921 and CR1923, with MAPE value of 14.9% and 17.1%, respectively. The performance is
335 clearly much better for CR1922 ($cc=0.80$, MAPE = 11.6%). WSW-3DMHD correctly predicted
336 the two fast streams during April 30 – May 03, and May 15-18 (see middle panel of Figure 9).
337 Furthermore, WSW-3DMHD did not make the false prediction for the period of May 8-11, 1997
338 as *did* Arge *et al.* [2004].

339 For CR1921, WSW-3DMHD did not predict the fast solar wind profile during April 10-
340 17, which was caused by a MC crossing starting on April 11; neither *did* Arge *et al.* [2004]. The
341 V_{BF} formula is modeled with quiet solar wind parameters and therefore it fails to predict solar
342 wind disturbances caused by the crossing of the coronal mass ejection and its driven shock. To
343 predict such a solar wind disturbance, a proper solar disturbance is required to add into the inner
344 boundary of the simulation. In the following section, we will demonstrate the input requirement
345 of solar disturbance for the solar wind condition.

346 **3.4 Validation of the best fit formula during non-quiet solar period**

347 In this Section, we test the capability of the V_{BF} formula in solar active periods and the
348 effect of solar disturbance (e.g., CME and its driven shock) on the solar wind profile. Two
349 CMEs that occurred in September 2017 are simulated. Many CMEs were observed in early
350 September 2017. STEREO-A recorded two Sun-Earth directed CMEs, which occurred on 2017-
351 09-04 (referred as CME04) and 2017-09-06 (referred as CME06). The average CME propagating
352 speed in the field of view (FOV) of STEREO-A for the CMEs on the 4th and 6th were 866 km/s

353 and 1308 km/s, respectively. A pressure pulse is inserted into the lower boundary of the
354 simulation domain to simulate the CMEs.

355 A comparison of the observed solar wind (speed, density, temperature, and magnetic
356 field) with the simulation without and with a CME perturbation input is shown in Figure 10A
357 (left panel) and 10B (right panel) between 05-09-2017 and 03-10-2017, respectively. For the
358 case without a CME perturbation, the correlation coefficient is 0.646, 0.53, 0.38, and 0.28 for N,
359 Np, Tp, and B, respectively. The value of MAPE is 20.7%, 0.5%, 32.5%, and 39.3% for V, Np,
360 Tp, and B, respectively. The simulated Np, Tp, and B match well with the basic trends of
361 observation (see 2nd, 3rd, and 4th panels of Fig. 10A). However, the simulated velocity is far off
362 of the observation (see top panel of Fig. 10A). Therefore, we conclude that WSW-3DMHD is not
363 able to predict the fast streams in September 2017. Figure 10A shows that the simulated
364 undisturbed solar wind speed was slower than the observed 500 km/s between 05-09-2017 and
365 03-10-2017. All the high-speed solar wind streams are not predicted by the WSW-3DMHD. One
366 **might question** the prediction capability of WSW-3DMHD during the non-quiet solar period.
367 Note that the V_{BF} was introduced to re-produce background solar wind condition in a quiet
368 period. STEREO-A had recorded **two** Sun-Earth-directed CMEs on 04-09-2017 and 06-09-2017.
369 Perturbations of these two CMEs were inserted into the lower boundary of the WSW-3DMHD.

370 Figure 10B shows a similar comparison as Figure 10A but with pressure pulse
371 perturbations in the simulation. The correlation coefficient is 0.705, 0.65, 0.75, and 0.14 for V,
372 Np, Tp, and B, respectively. The value of MAPE is 16.6%, 0.4%, 112.7%, and 66.3% for V, Np,
373 Tp, and B, respectively. The two vertical blue dotted lines in Figure 10B indicate the arrival time
374 of interplanetary shocks at the *WIND* spacecraft on 06-09-2017 (referred to Shock06) and 07-09-
375 2017 (referred to Shock07). The simulated solar wind speed at both upstream and downstream of

376 Shock06 matches very well with the observation (see top panel of Fig. 10B). The simulated
377 upstream speed of Shock07 is slightly higher than the observation, but the simulated downstream
378 speed of Shock07 matches very well with the observation for about two days. The value of B
379 downstream of Shock06 matches very well with the observation, but is poor for Shock07. A poor
380 simulation result of B both upstream and downstream of Shock07 may be due to the fact that our
381 simulation does not have a flux-rope structure, a very common problem in most data-driven
382 global MHD models. [Simpler](#) dynamic pressure pulses are often used to simulate the
383 perturbation of CMEs instead of full flux rope structures [e.g., *Odstrcil et al. 2005; Wu et al.*
384 [2007a,b, 2019](#)].

385 The above simulation result shows clearly that V_{BF} is capable of reproducing the
386 background solar wind in quiet solar periods. When there are [CMEs](#), additional plasma
387 perturbations are required at the inner boundary. Further investigation is needed to confirm the
388 capability of the V_{BF} formula for [long-term solar wind](#) studies, and [for time periods with CME](#)
389 events.

390 **4. Discussion, Conclusions and Remarks**

391 In the present study, we [presented](#) a computational scheme
392 [for deriving](#) the background solar wind speed, [as well as other solar wind parameters](#), at 18
393 solar radii (R_s), [for use in heliospheric MHD modeling](#). This scheme employs the conservation of
394 mass, conservation of magnetic flux tube, and Bernoulli's principle in conjunction with the
395 [magnetic flux](#) expansion factor derived from the Wang and Sheeley [1990] algorithm. [The three](#)
396 [free parameters](#) (V_1 , V_2 , α) in the generic form of the WS formula : $V_{18R_s} = V_1 + V_2 f_s^\alpha$ are
397 [determined using MHD simulations](#). . We performed simulations with 54 combinations of the

398 three parameters for CR2082 and compared simulation results with in-situ observations of the
399 solar wind by *Wind*. It is found that the following parameter set, $V_1 = 200 \pm 50$, $V_2 = 400 \pm 100$, and
400 $a = -0.4$, results in the good match between simulations and observations. Based on the results of
401 this single Carrington rotation, the capabilities of the best fit formula ($V_{BF} = 150 + 500 f_s^{-0.4}$) was
402 also

403 validated at other times, *i.e.*, in the years of 1995, 1997, 2004, 2009, and 2017. It is found
404 that V_{BF} is applicable to those times as well. A CME perturbation has to be added into the
405 simulation, if transients are present in the in situ data.

406 In this study, we also compared our results with previous studies [Arge *et al.* 2000; 2004].
407 Comparisons between the two models (WSA and WSW-3DMHD) are listed as follows. a) The
408 results that used V_{BF} as input to drive the G3DMHD model is better than the results of WSA
409 using the full rotation (FR), or daily updated (DU) wind speeds. b) WSA using the modified
410 daily updated (MDU) 4-day-advanced solar wind speed predictions is slightly better than that for
411 WSW-3DMHD. c) Results of using V_{BF} as input to drive 3DMHD model is better than the WSA
412 formula. The present study does not support the use of an extra parameter for the angular width
413 from the nearest coronal hole.

414 While the present empirical formula is derived using our G3DMHD model (used briefly as
415 mentioned earlier for WSW+3DMHD), it could be used for other similar MHD models with little
416 to no change. This could be an interesting topic for future study. Combing the empirical formula
417 with some conservation laws, the G3DMHD model can provide a powerful tool for space
418 weather forecasting. In this study, several Carrington rotations were investigated and a couple of
419 CME events were studied. A long-term study and/or a study with one or more CME events can
420 definitely improve the validation work and will be addressed in the future.

421 Since the present empirical formula is derived based on a single solar rotation, it is useful to
422 test the strength of the formula for other solar cycle periods. Here, we performed a long-term
423 study by simulating background solar wind in the solar quiet time during 2008 (CR2066-
424 CR2077). The same procedure described in previous section (Section 3) is adapted and the result
425 is shown in Figure A (see Appendix, Figures A1-A12). To summarize, Table 1 lists the best-fit
426 velocity (V_{BF}) (e.g., the best fit V_1 and V_2) for two different metrics: the largest value of (i)
427 $cc/MAPE$ (see Table 1A), and (ii) $cc/NRMSD$ (see Table 1B). In which cc , $MAPE$, and $NRMSD$
428 are correlation coefficient for observation versus simulation, mean absolute percentage error, and
429 normalized root-mean-square deviation, respectively. [$MAPE = 100\% \sum_{j=1}^N |(Y_j - F_j)| / Y_j / N$, and
430 $NRMSD = \{ \sum_{j=1}^N (Y_j - F_j)^2 / N \}^{1/2} / \langle Y_j \rangle$, where Y_j is the actual value, F_j is the forecast value, and N
431 is the total data points.] It clearly shows that the value of V_{BF} are different for different CR
432 periods and different metrics (i.e. item i in Table 1A or ii in Table 1B). It clearly shows that the
433 value of V_{BF} are different for different CR periods and for using different metrics. Metrics i and ii
434 are using a similar method to determine the V_{BF} . Values of V_1 and V_2 for V_{BF} for both metrics are
435 similar except V_1 used in metric ii is slight higher than in metric i. Both parameters, $cc/MAPE$
436 and $cc/NRMSD$ are suitable to use for space weather prediction.

Table 1A. The best choice for the three parameters with the various value of V_1 and V_2 that has the largest value of $cc/MAPE$.												
CR-MAP	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077
cc^a	0.87	0.60	0.73	0.61	0.57	0.39	0.72	0.76	0.61	0.46	0.28	0.53
$(cc/MAPE)_{max}^b$	9.02	4.75	7.11	6.91	4.49	1.95	4.44 ^d	6.51	4.30	2.72	1.38	3.69
$MAPE^c$	9	12	10	8	12	19	16	11	14	16	20	14
V_1^d	225	225	250	250	150	275	175	150	150	150	200	150
V_2^e	500	500	500	450	450	350	500	500	400	450	300	400
Table 1B. The best choice for the three parameters with the various value of V_1 and V_2 that has the largest value of $cc/NRMSD$.												
$(cc/NRMSD)_{max}^f$	7.98	3.44	5.65	5.65	3.66	1.84	3.89 ^d	5.31	3.26	2.19	1.17	2.95
$NRMSD^g$	11	17	12	10	15	23	19	15	19	26	28	19
V_1^c	250	250	275	275	175	300	200	175	175	200	250	175

V_2^d	500	500	450	400	500	400	500	500	400	500	300	450
^a Correlation coefficient for the observation versus simulation ^b The largest value of the ratio $cc/MAPE \times 100$ [units are %] for the different cases of $V_{BF} = V_1 + V_2 f_s^{-0.4}$ ^c $MAPE \equiv$ mean absolute percentage error ^d V_1 for the V_{BF} ^e V_2 for the V_{BF} ^f The largest value of the ratio $cc/NRMSD \times 100$ [units are %] for the different cases of $V_{BF} = V_1 + V_2 f_s^{-0.4}$ ^g $NRMSD \equiv$ normalized root-mean-square deviation												

437

438 Figure 11 shows the values of $cc/MAPE$ corresponding to the 54 (9x6) cases for each
439 Carrington Rotation runs with $\alpha = -0.4$. A total of 648 (12x54) cases (12 Carrington Rotations,
440 CR2066-2077) are simulated. For CR2066, the largest value of $cc/MAPE$ (= 9.0) within the (V_1 ,
441 V_2) parameter regimes is marked with an “*” (marked on the right-bottom corner) and the
442 associated $V-f_s$ empirical formula ($V_{BF} = 225 + 500 f_s^{-0.4}$) is provided on the left-bottom corner.
443 From the color contour, the V_2 parameter that can result in the largest $cc/MAPE$ value seems to
444 be greater than 500 km/s. Overall, a higher value of V_2 and a lower value of V_1 are preferred in
445 order for a good match between the simulation results and the observations. In the future, we
446 plan to perform a longer-term (*e.g.* one solar cycle or one complete magnetic solar cycle) study
447 for this kind of research to improve the space weather prediction. In the present study, the α
448 value is fixed to 0.4. It is expected that a different α value will result in a different optimal set of
449 (V_1 , V_2). Future work is planned in which we will consider all three free parameters (V_1 , V_2 , α)
450 and the entire solar cycle, but this is outside the scope of the present study.

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634 **Figure Captions**

635

636 **Figure 1.** Background (corotating “steady state”) solar wind condition in the plane at (a,c) 18
637 and (b,d) 216 R_s on 4 April 2009, 15:00UT by using velocity formula, $V_r = 150 + 250 f_s^{-0.4}$ (Fig.
638 1a-b), and $V_r = 150 + 500 f_s^{-0.4}$ (Fig. 1c-d).

639

640 **Figure 2.** Velocity profile at the solar-equatorial plane using velocity formula, $V_r = 150 + 300 f_s$
641 $^{-0.4}$ for velocity variation at 18 R_s . It takes about 6 days to get a settle down background solar
642 wind (See Fig.2f).

643

644 **Figure 3.** Solar wind speed (a-d) and density (e-h) on surfaces of different angular cones that are
645 centered at the Sun’s center. These conical angles are at 22.5°N (north, representative of a
646 response in the northern heliosphere), 7.5°N, 7.5°S (close to Earth’s latitude in the solar
647 equatorial coordinate system), 22.5°S (south, representative of a response in the southern
648 heliosphere). Figures 3i-3m show the solar wind speed at different longitudinal meridian plane:
649 90°E (East, Fig.3i), 45°E (Fig.3j), 0°W (west, 3k), 45°W (Fig.3l), 90°W (Fig.3m).

650

651 **Figure 4.**

652 Figure 4. Variation of solar wind speed at L_1 during March-April 2009. Red-dotted and Black-
653 solid lines represent observation (OMNI) and H3DMHD simulation results. Solar wind speed
654 was constructed by using speed formula, $V_{18R_s} = V_1 + V_2 f_s^{-0.4}$ (km/s). V_1 ranges between 150 and
655 350 (panels 1-9: V_1 was 150,175, 200, 225, 250, 275, 300, 325, and 350, respectively). V_2 ranges
656 between 250 to 500 (left to right panels A-F: V_2 was 250, 300, 350, 400, 450, and 500,
657 respectively). f_s is the expansion factor which was derived by using Wang and Sheeley model
658 [1990].

659

660 **Figure 5.** Correlation coefficients for different V_{os} ’ (ranges between 150 and 350) and V_{1s} ’
661 (ranges between 250 and 500) for CR2082. Colors and red-contours : Correlation coefficient x
662 100 (%). Light-blue-contours: differences between $\langle V_{obs.} \rangle$ and $\langle V_{H3DMHD} \rangle = (\langle V_{H3DMHD} \rangle -$
663 $\langle V_{obs.} \rangle) / \langle V_{obs.} \rangle \times 100$ (%).

664 **Figure 6.** Comparison of the simulated background solar wind for H3DMHD (black-solid-lines,
665 at $S2.5^\circ$) vs. observation (OMNI in red-dotted-lines). (a) $V_r = 150 + 250 f_s^{-0.4}$ was used to construct
666 solar wind speed at 18 R_s . (b) $V_r = 150 + 500 f_s^{-0.4}$ was used to construct solar wind speed at 18
667 R_s .

668 **Figure 7.** Examining the expansion factor (f_s) on the V_r profile for 2082 with different power
669 law of expansion factor: (a) $V_r = 150 + 500 f_s^{-0.1}$; (b) $V_r = 150 + 500 f_s^{-0.2}$; (c) $V_r = 150 + 500 f_s$
670 $^{-0.4}$; (d) $V_r = 150 + 500 f_s^{-0.6}$. Solid-lines: H3DMHD results. Dotted-lines: observation.

671 **Figure 8.** Comparison of solar wind speed, density, temperature, and temperature from the
672 WIND spacecraft (red-dotted lines) with WS-H3DMHD prediction (black solid lines) for
673 CR1899 (during 6 August - 2 September 1995). A data gap of WIND was marked between two
674 blue vertical dotted lines.

675 **Figure 9.** Comparison of the full rotation solar wind speed predictions (solid black lines) with 1-
676 hours-averaged WIND satellite velocity observation (red dotted lines) for Carrington rotation
677 1921, 1922, and 1923.

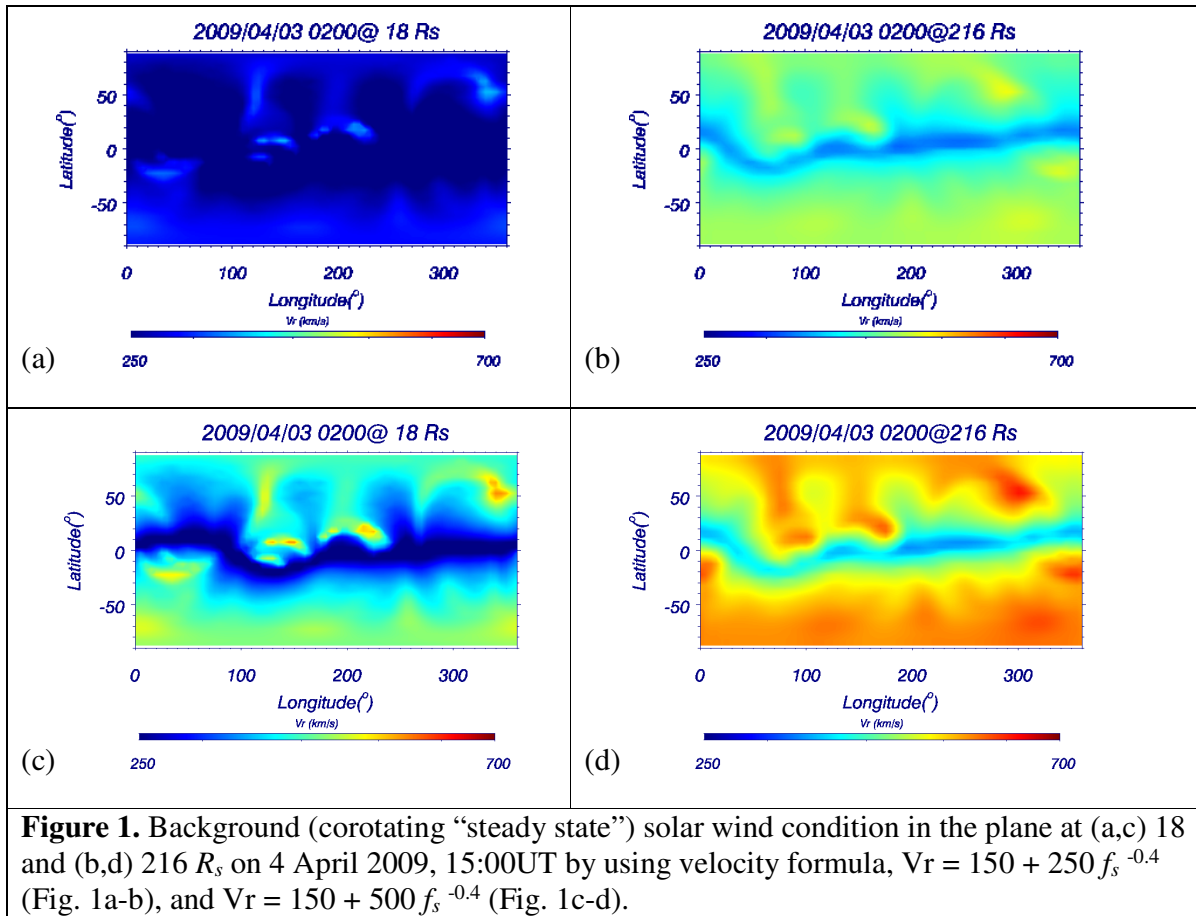
678 **Figure 10.** Comparison of solar wind speed, density, temperature, and temperature from the
679 WIND spacecraft (red-dotted lines) with WS-H3DMHD prediction (black solid lines) during
680 September-October 2017 without adding simulated CME perturbation (left panel), and during
681 04-11 September 2017 with two CMEs perturbation on 04-09-2017 and 06-09-2017 (right
682 panel), respectively. Blue vertical dotted lines indicated the interplanetary (IP) shock arrival time
683 at the WIND spacecraft. Shock06 and Shock07 represent the IP shock arrived at the WIND on the
684 6th and 7th of September.

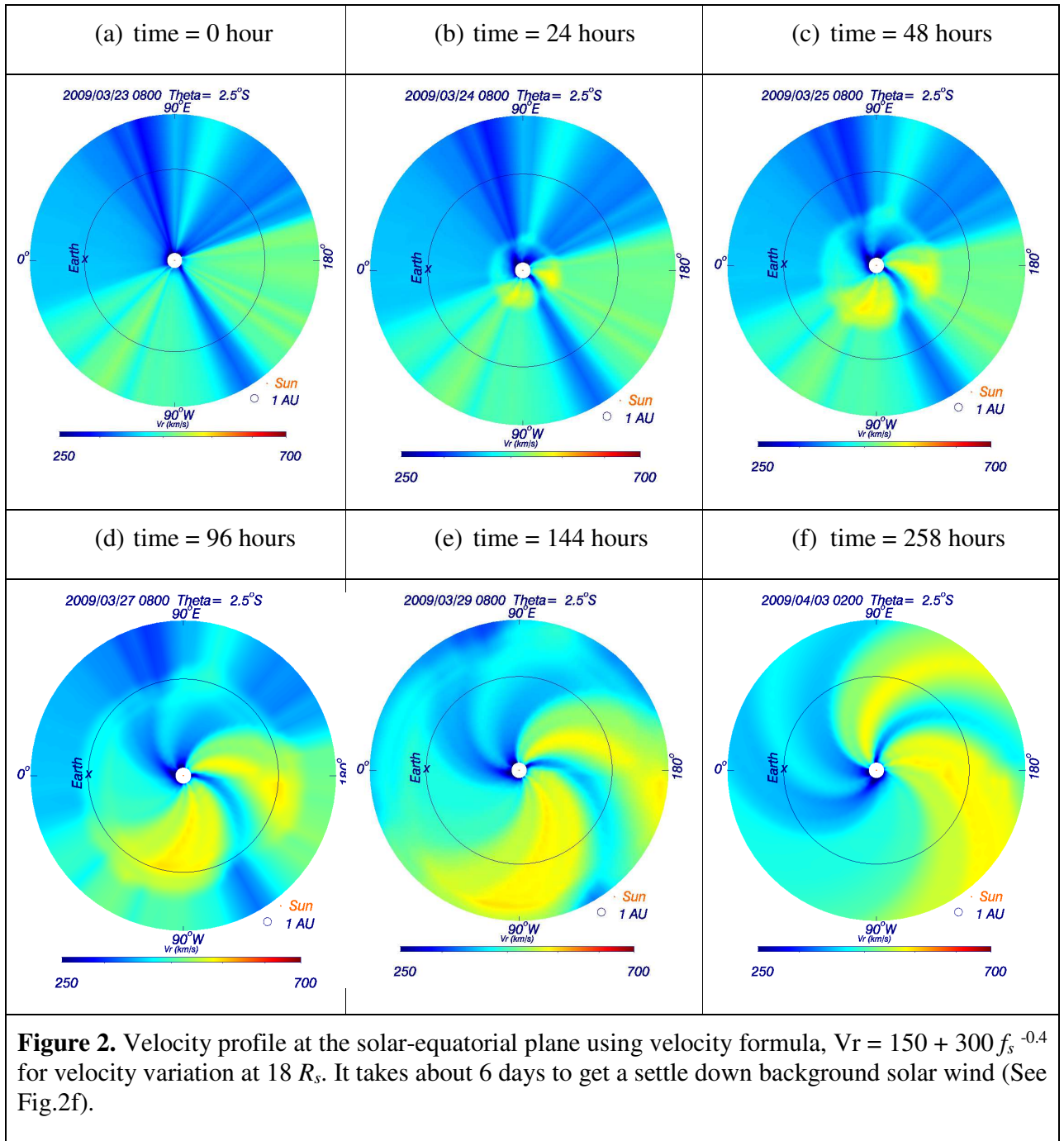
685 **Figure 11.** Ratio of correlation coefficient [cc] over MAPE for different V_{1s} (ranges between
686 150 and 350) and V_{2s} (ranges between 250 and 500) during 2008 (CR2066-CR2077) using $V =$
687 $V_1 + V_2 f_s^{-0.4}$. Colors represent the “ratio for the (cc divided by MAPE) \times 100 [%]”.

688

689 **Figure A.** Variation of solar wind speed at L_1 during 2008. *Red-dotted* and *black-solid* lines
690 represent, respectively, observation (OMNI) and H3DMHD simulation results. Solar wind speed
691 was constructed by using the speed formula, $V_{18Rs} = V_1 + V_2 f_s^{-0.4}$ [km s⁻¹]. V_1 ranges between 150
692 and 350 km s⁻¹ in increments of 25 km s⁻¹ (*top to bottom*, panels, **1 – 9**). V_2 ranges between 250
693 to 500 km s⁻¹ in increments of 50 km s⁻¹ (*left to right*, panels **A – F**). f_s is the expansion factor
694 that was derived by using Wang and Sheeley model (1990). Correlation coefficient [cc], mean
695 absolute percentage error [MAPE $\equiv 100/N \times \sum |(V_{Wind} - V_{G3DMHD})/V_{Wind}|$], and standard
696 deviation [σ] are marked on the top of each panel (left to right). For example, for the case on the
697 top-left corner (Case E5): $V_{18Rs} = 250 + 450 f_s^{-0.4}$, values of cc, MAPE, σ , and cc/MAPE are 0.75,
698 10 %, 59, and 7.1, respectively.

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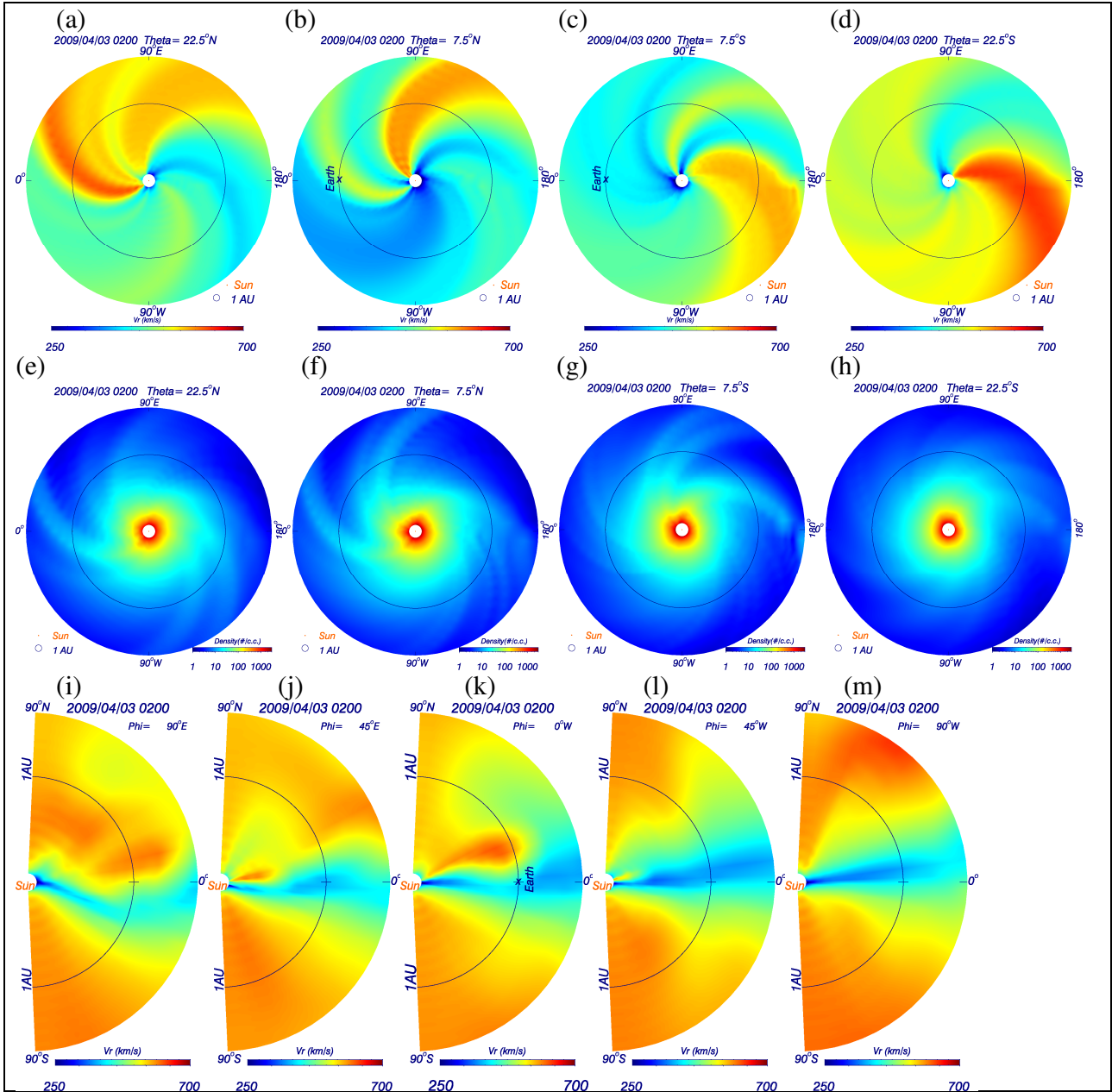


Figure 3. Solar wind speed (a-d) and density (e-h) on surfaces of different angular cones that are centered at the Sun's center. These conical angles are at 22.5°N (north, representative of a response in the northern heliosphere), 7.5°N, 7.5°S (close to Earth's latitude in the solar equatorial coordinate system), 22.5°S (south, representative of a response in the southern heliosphere). Figures 3i-3m show the solar wind speed at different longitudinal meridian plane: 90°E (East, Fig.3i), 45°E (Fig.3j), 0°W (west, 3k), 45°W (Fig.3l), 90°W (Fig.3m).

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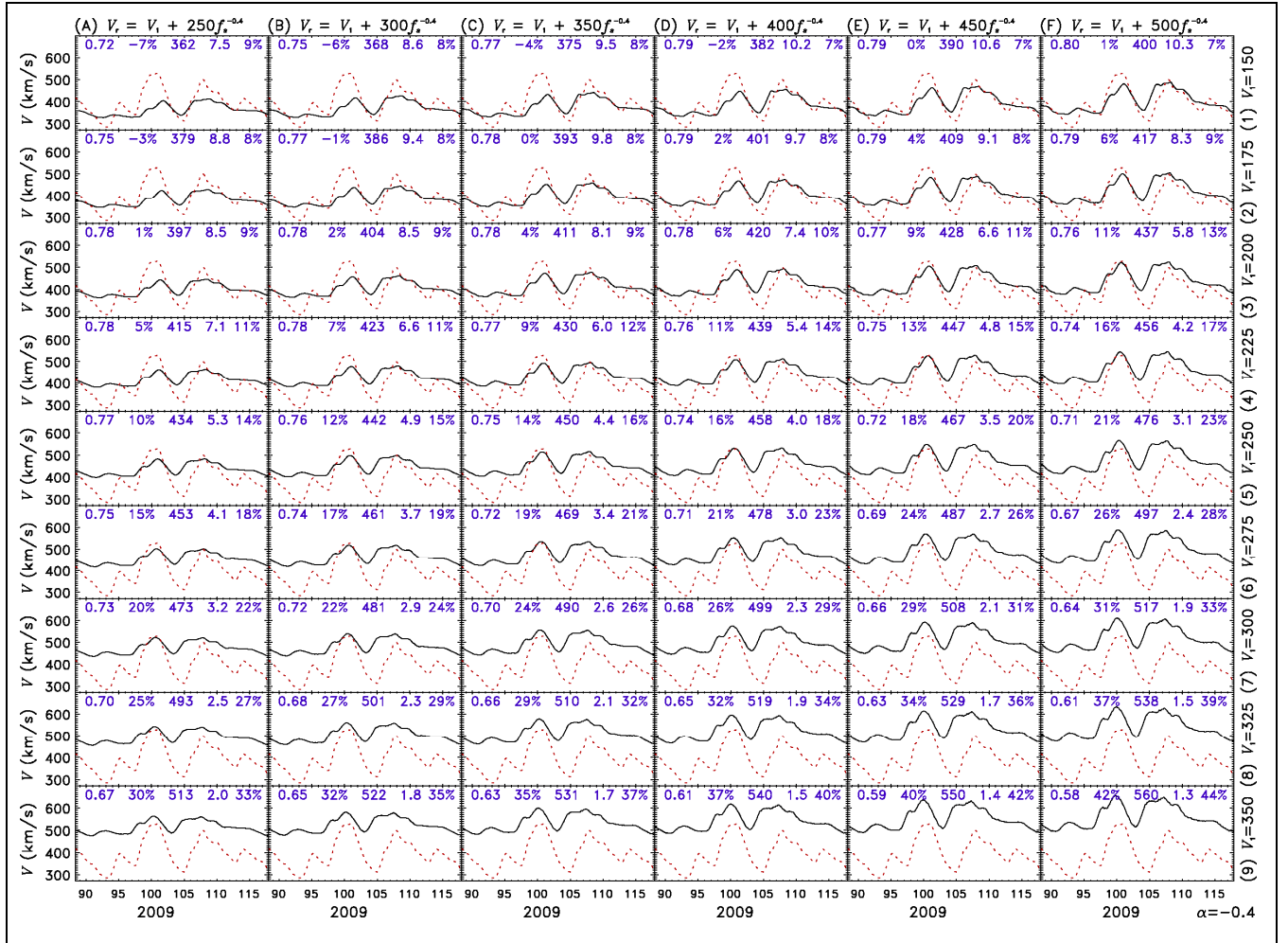
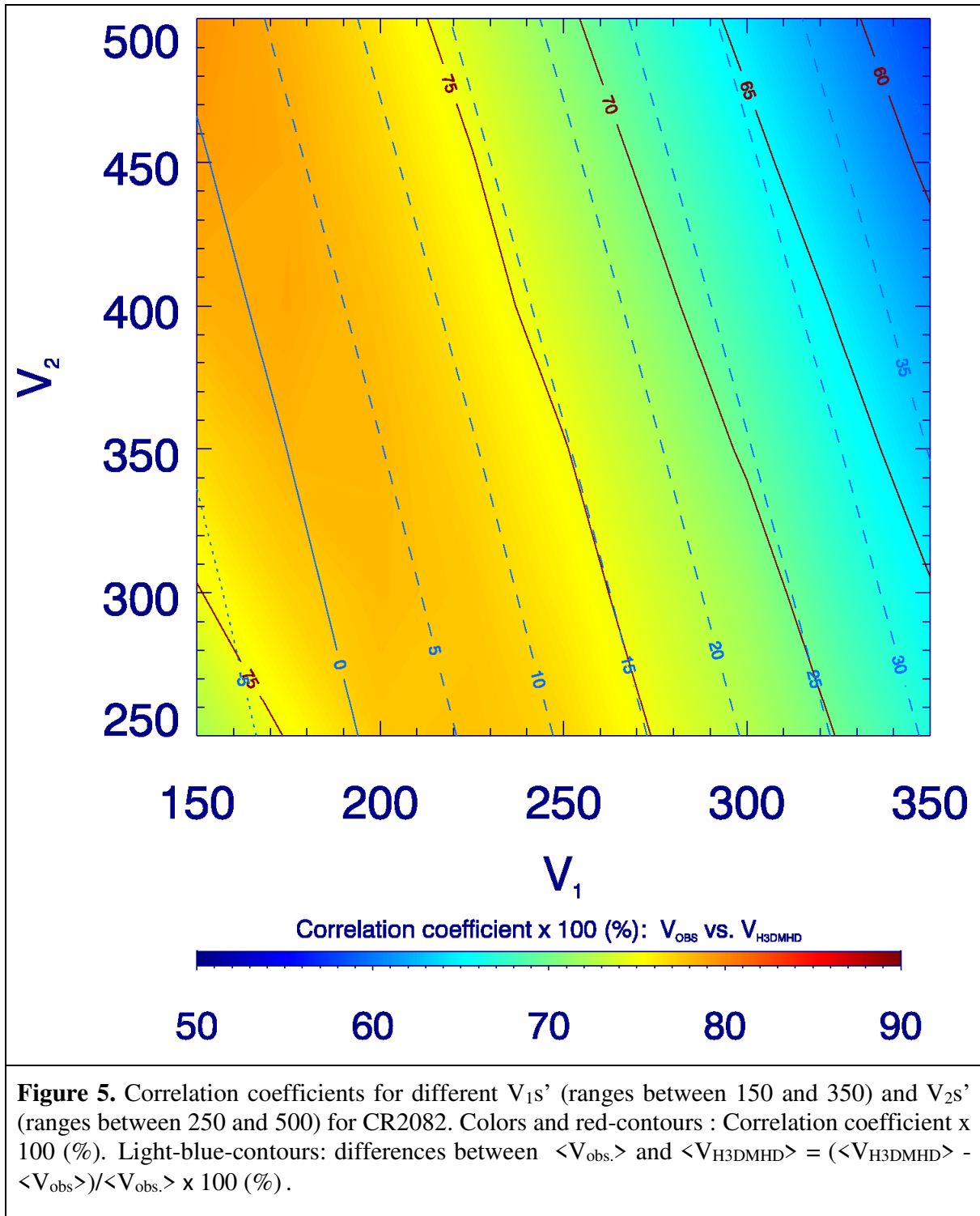
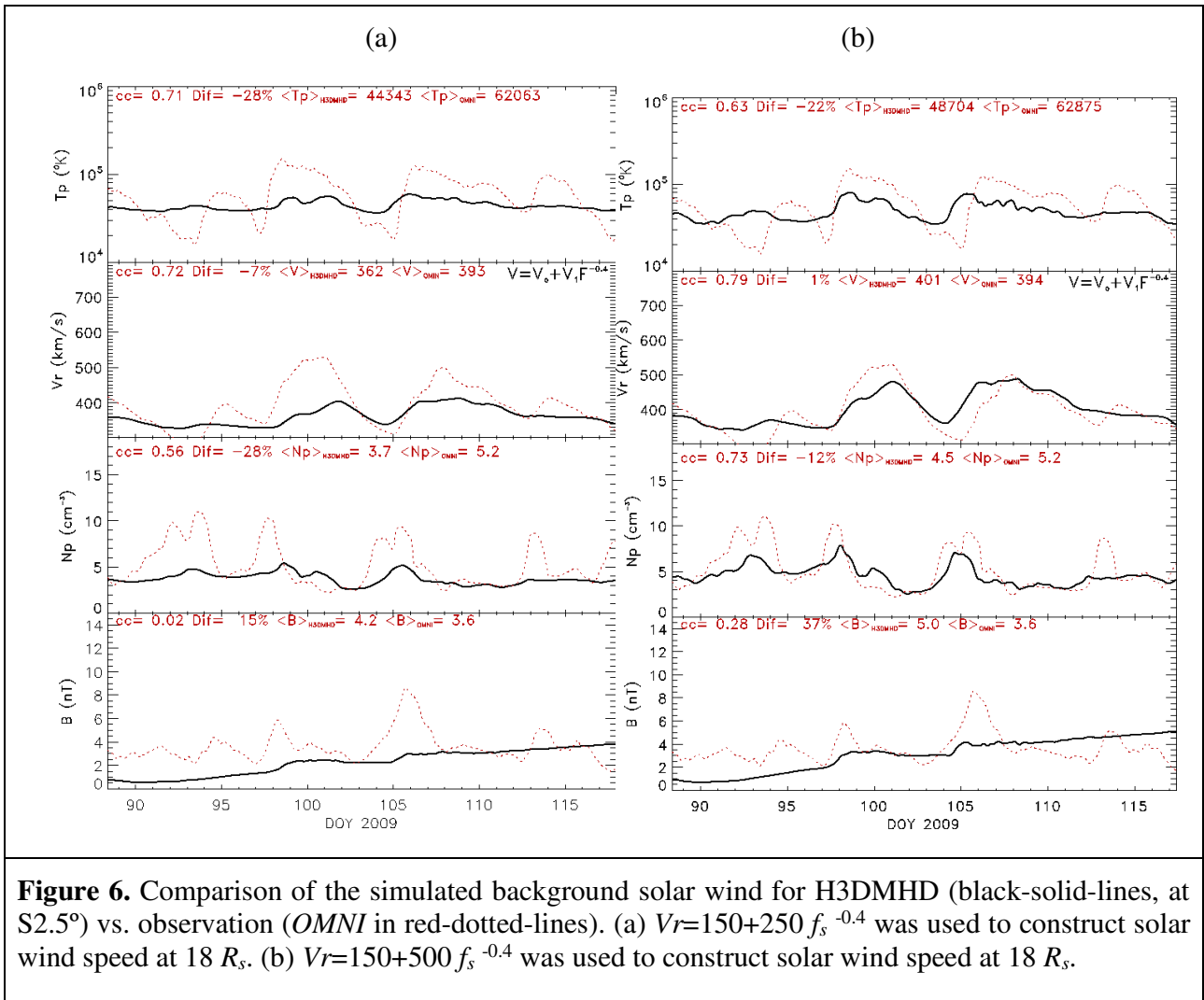
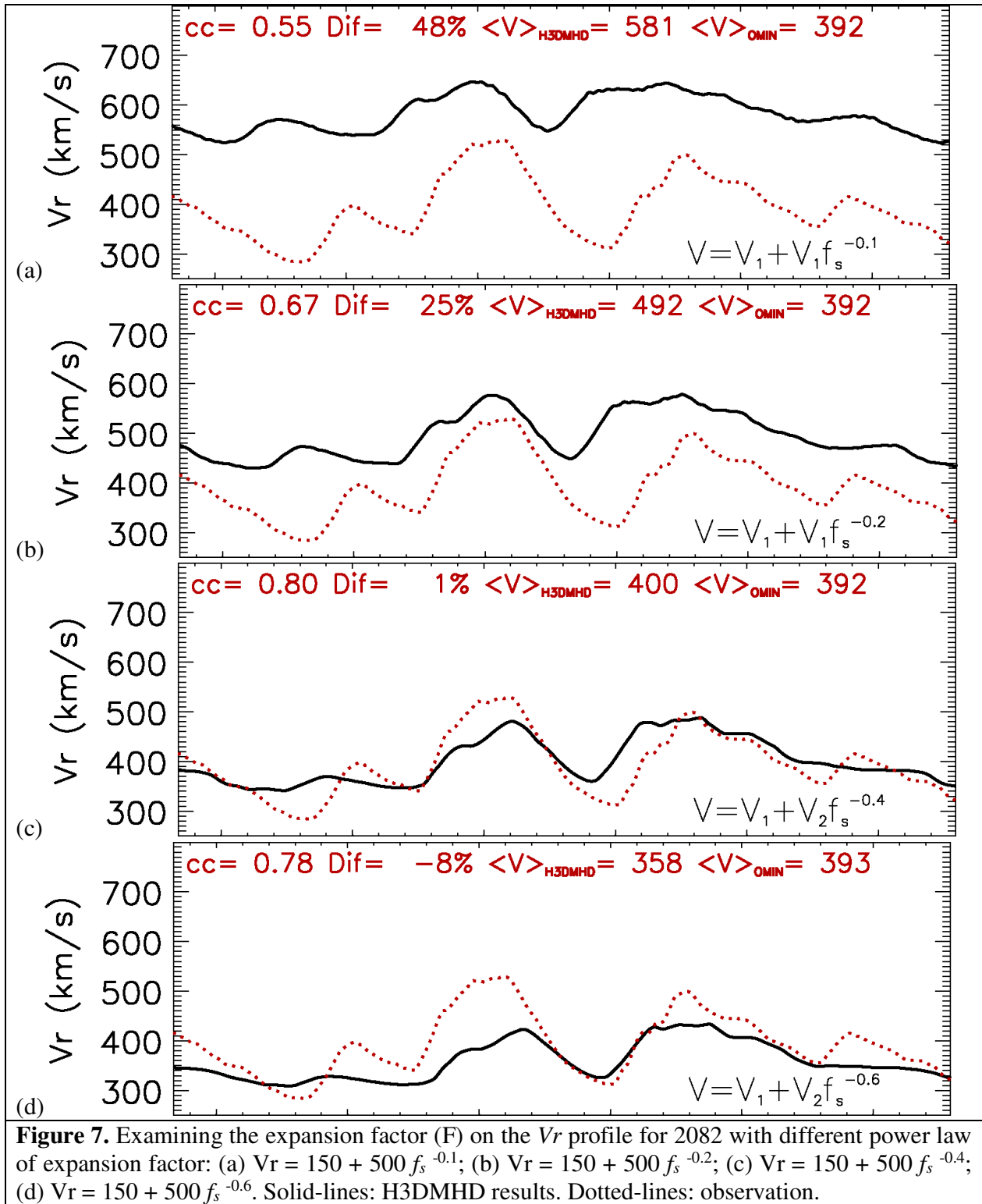


Figure 4. Variation of solar wind speed at L_1 during March – April 2009. Red-dotted and black-solid lines represent, respectively, observation (OMNI) and H3dMHD simulation results. Solar wind speed was constructed by using the speed formula, $V_{18Rs} = V_1 + V_2 f_s^{-0.4}$ [km s $^{-1}$]. V_1 ranges between 100 and 350 km s $^{-1}$ in increments of 25 km s $^{-1}$ (top to bottom, panels, 1 – 9). V_2 ranges between 250 to 500 km s $^{-1}$ in increments of 50 km s $^{-1}$ (left to right, panels A – F). f_s is the expansion factor that was derived by using Wang and Sheeley model (1990). Correlation coefficient [cc], the difference between $\langle V \rangle_{OMNI}$ and $\langle V \rangle_{3DMHD}$ (Diff $\equiv (\langle V \rangle_{OMNI} - \langle V \rangle_{3DMHD}) / \langle V \rangle_{OMNI}$), the average simulated solar wind speeds ($\langle V \rangle_{3DMHD}$), cc/MAPE, and mean absolute percentage error [MAPE $\equiv 100/N \times \sum |(V_{Wind} - V_{G3DMHD})/V_{Wind}|]$ are marked on the top of each panel (left to right). $\langle V \rangle_{OMNI}$ is 393 km s $^{-1}$. For example, for the case on the top-left corner (Case A1): $V_{18Rs} = 150 + 250 f_s^{-0.4}$, values of cc, Diff, $\langle V \rangle_{3DMHD}$, cc/MAPE, and MAPE are 0.72, -7%, 362, 7.5, and 9%, respectively.







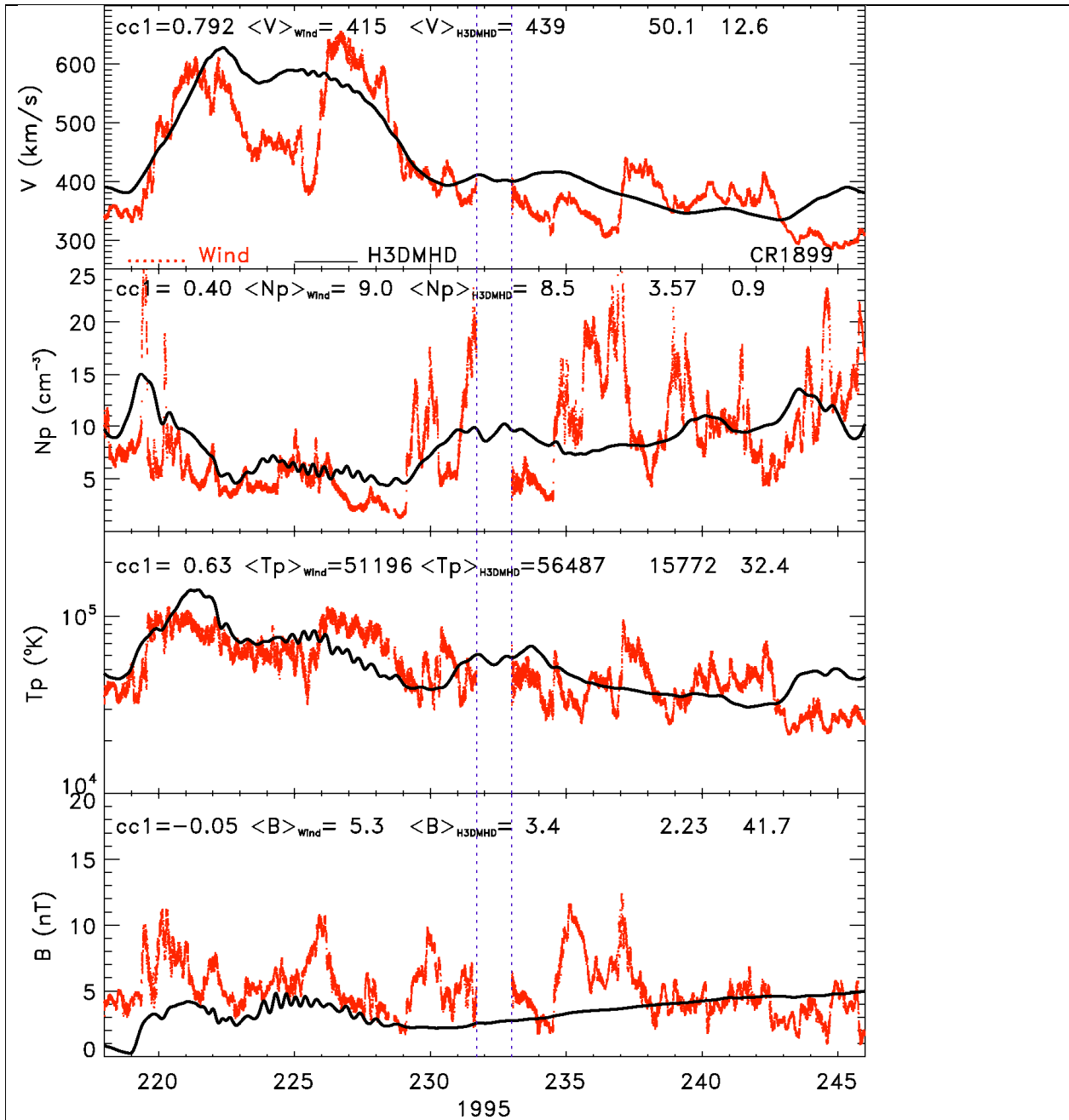


Figure 8. Comparison of solar wind speed, density, temperature, and temperature from the *WIND* spacecraft (red-dotted lines) with WS-H3DMHD prediction (black solid lines) for CR1899 (during 6 August - 2 September 1995). A data gap of *WIND* was marked between two blue vertical dotted lines.

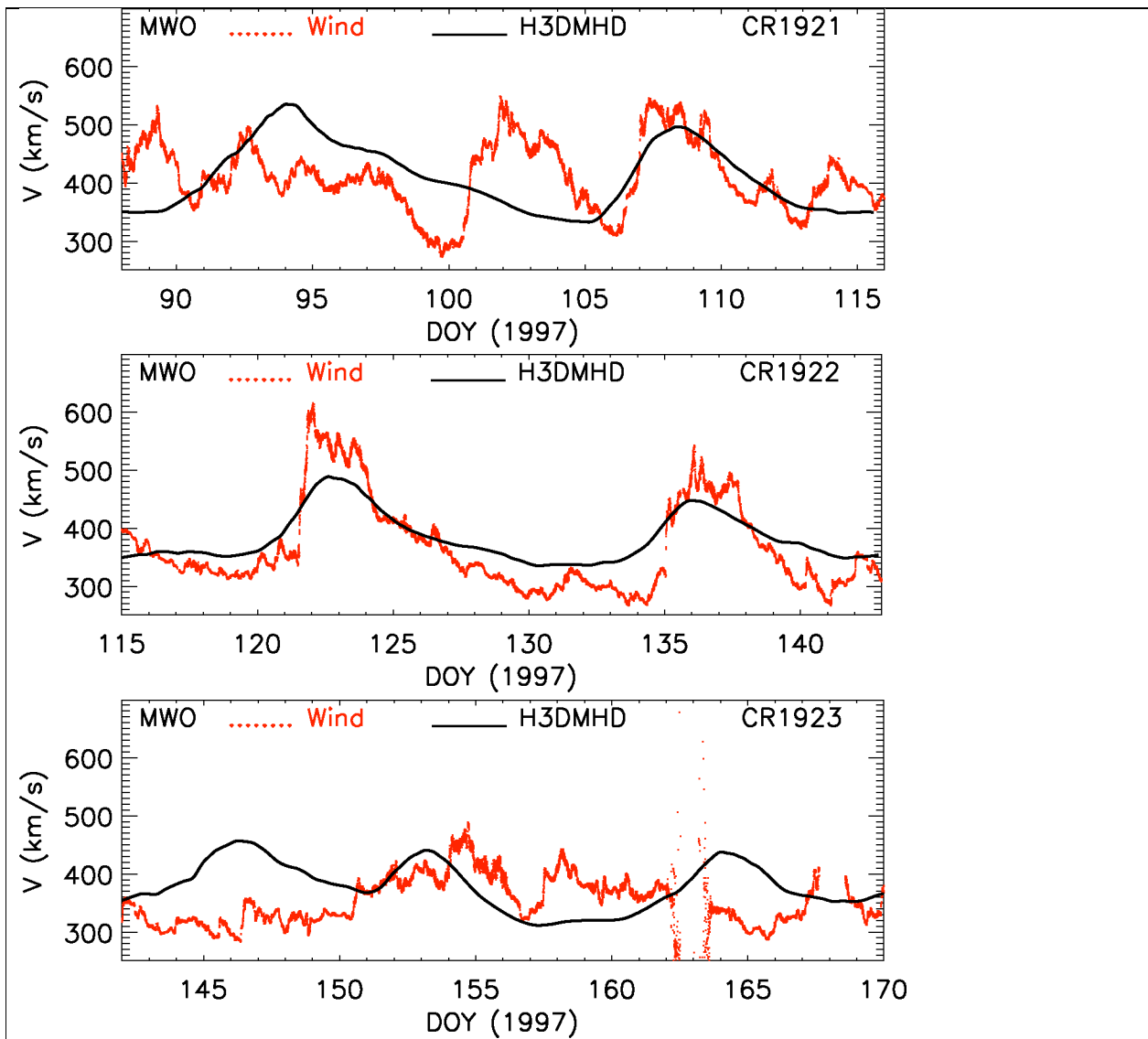


Figure 9. Comparison of the full rotation solar wind speed predictions (solid black lines) with 1-hours-averaged WIND satellite velocity observation (red dotted lines) for Carrington rotation 1921, 1922, and 1923.

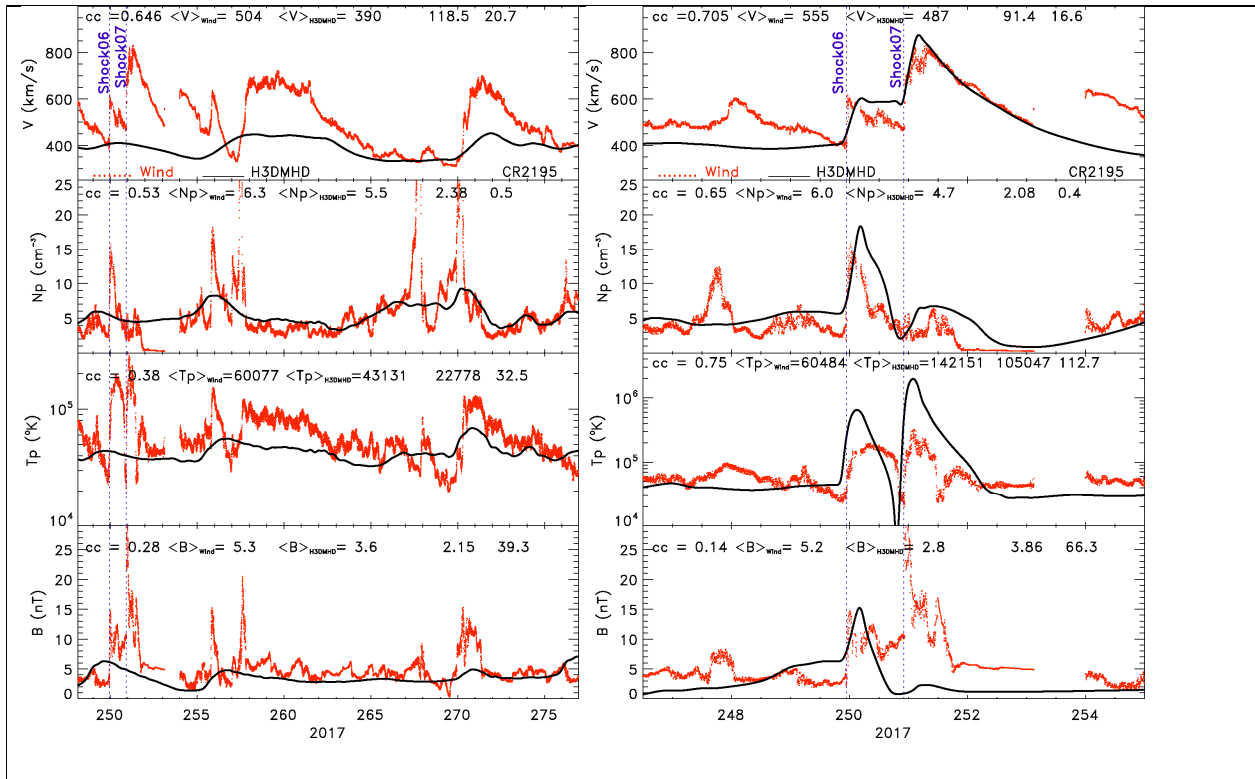


Figure 10. Comparison of solar wind speed, density, temperature, and temperature from the *WIND* spacecraft (red-dotted lines) with WS-H3DMHD prediction (black solid lines) during September-October 2017 without adding simulated CME perturbation (left panel), and during 04-11 September 2017 with two CMEs perturbation on 04-09-2017 and 06-09-2017 (right panel), respectively. Blue vertical dotted lines indicated the interplanetary (IP) shock arrival time at the *WIND* spacecraft. Shock06 and Shock07 represent the IP shock arrived at the *WIND* on the 6th and 7th of September.

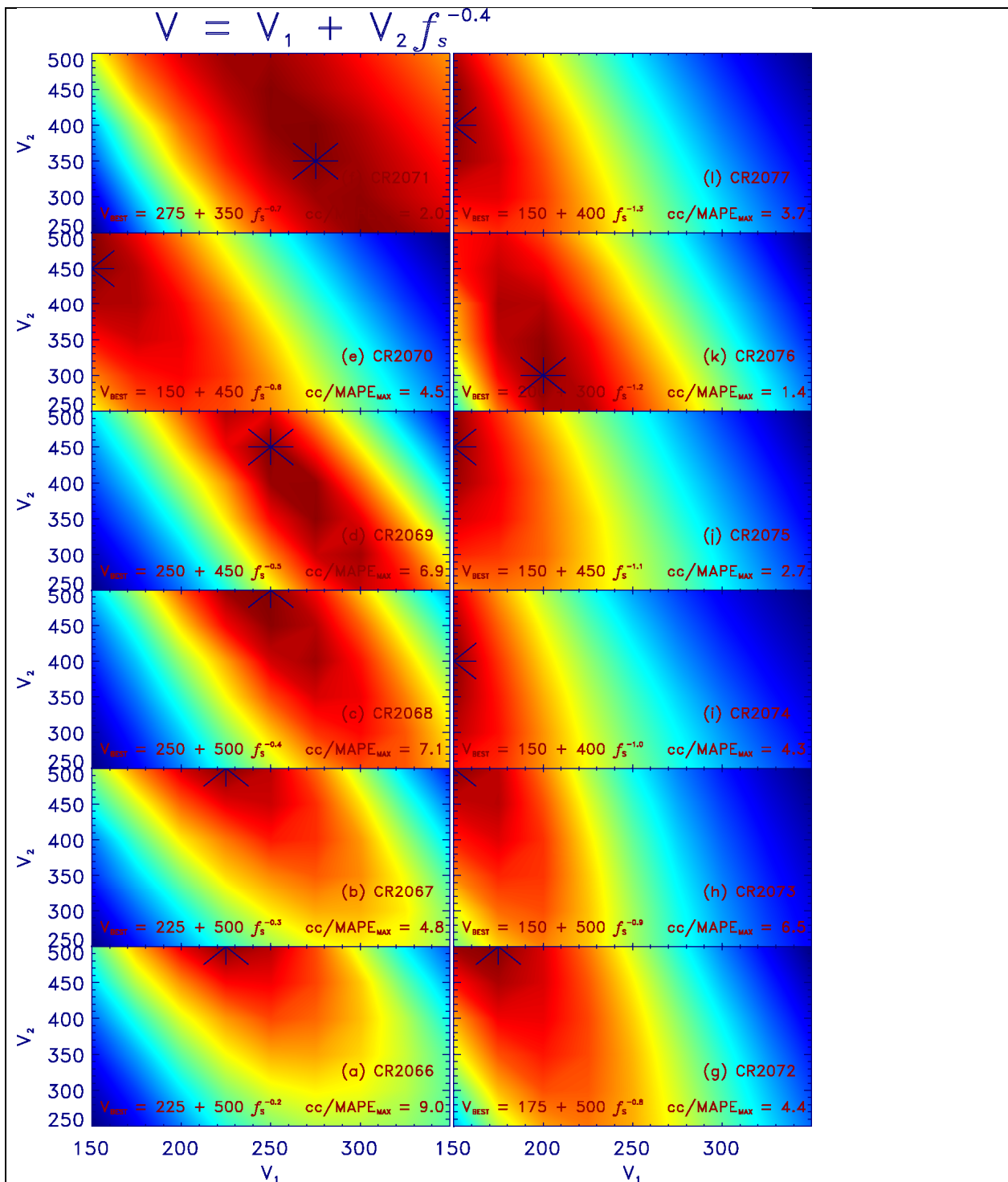


Figure 11. Ratio of correlation coefficient [cc] over MAPE for different V_1 s (ranges between 150 and 350) and V_2 s (ranges between 250 and 500) during 2008 (CR2066-CR2077) using $V = V_1 + V_2 f_s^{-0.4}$. Colors represent the “ratio for the (cc divided by MAPE) $\times 100$ [%]”.

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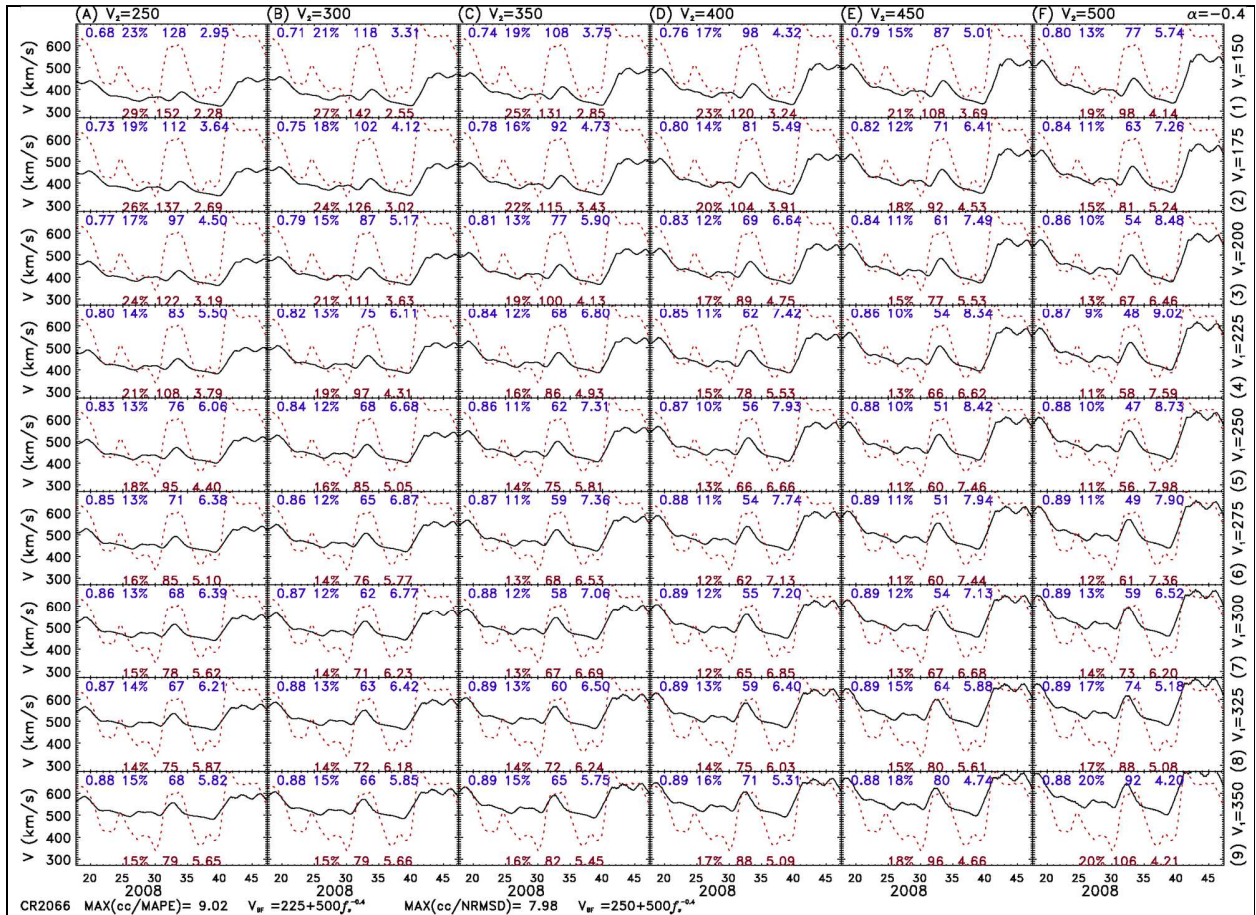


Figure A1. Variation of solar wind speed at L_1 during CR2066 (January – February, 2008). *Red-dotted* and *black-solid* lines represent, respectively, observation (OMNI) and H3DMHD simulation results. Solar wind speed was constructed by using the speed formula, $V_{18R\oplus} = V_1 + V_2 f_s^{-0.4}$ [km s^{-1}]. V_1 ranges between 150 and 350 km s^{-1} in increments of 25 km s^{-1} (*top to bottom*, panels **1 – 9**). V_2 ranges between 250 to 500 km s^{-1} in increments of 50 km s^{-1} (*left to right*, panels **A – F**). f_s is the expansion factor that was derived by using Wang and Sheeley model (1990). Correlation coefficient [cc], mean absolute percentage error [MAPE $\equiv 100/N \times \sum |(V_{Wind} - V_{G3DMHD})/V_{Wind}|$], and standard deviation [σ] are marked on the top of each panel (left to right). For example, for the case on the middle-left (Case F4): $V_{BF} = 225 + 500 f_s^{-0.4}$, values of cc, MAPE, σ , and cc/MAPE are 0.87, 9 %, 48, and 9.02, respectively. Values of NRMSD, Root-mean-square deviation (RMSD), and cc/NRMSD are marked on the bottom of each panel (left to right).

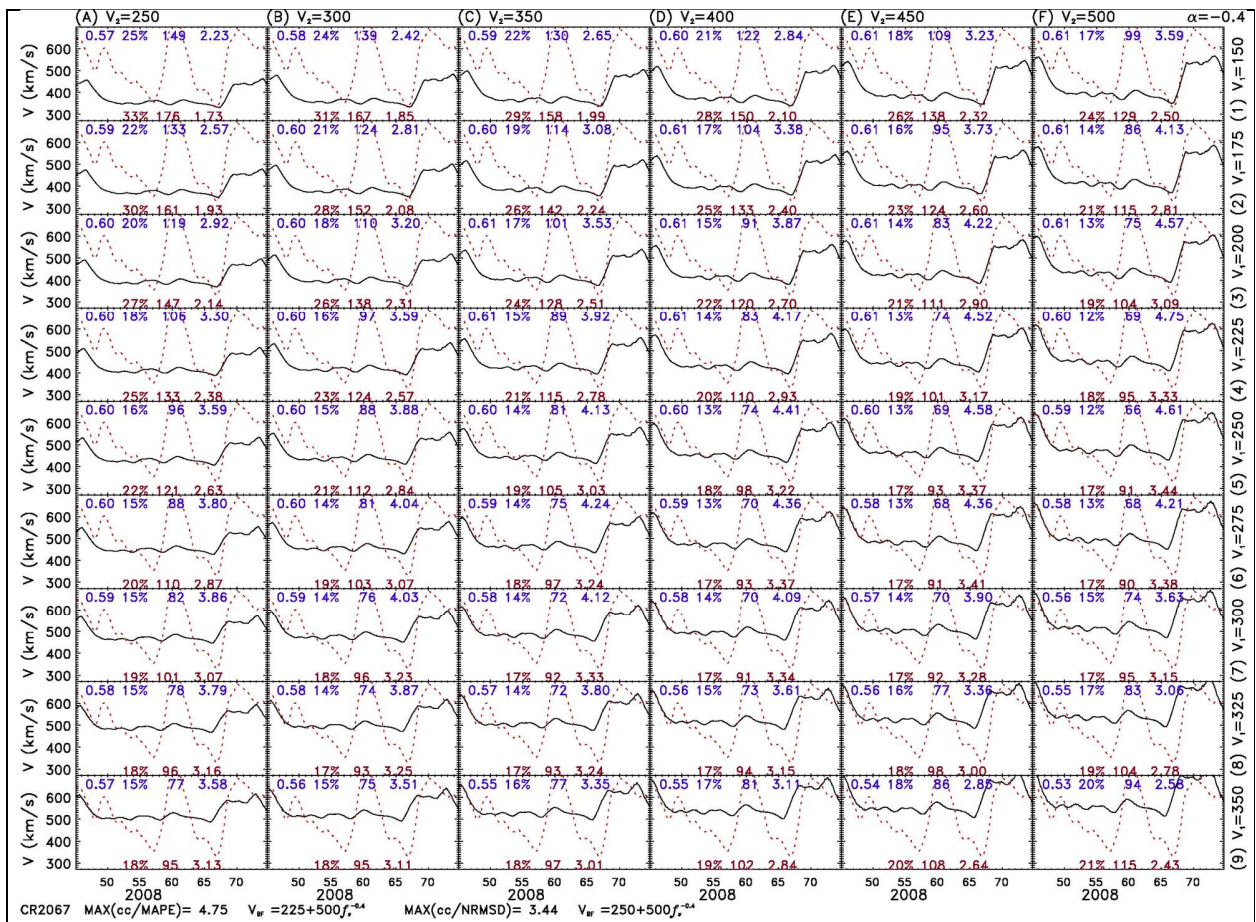


Figure A2. CR2067

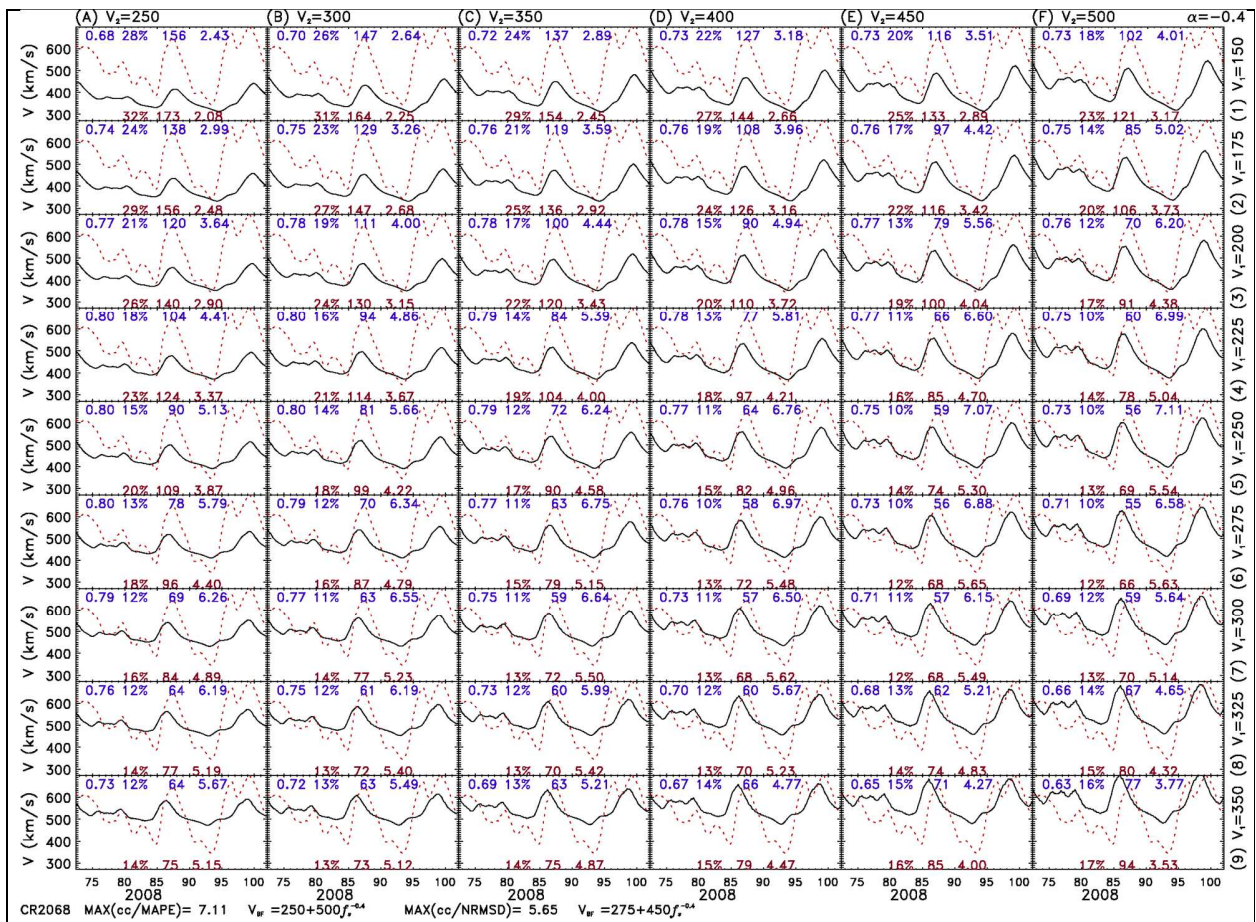


Figure A3. CR2068

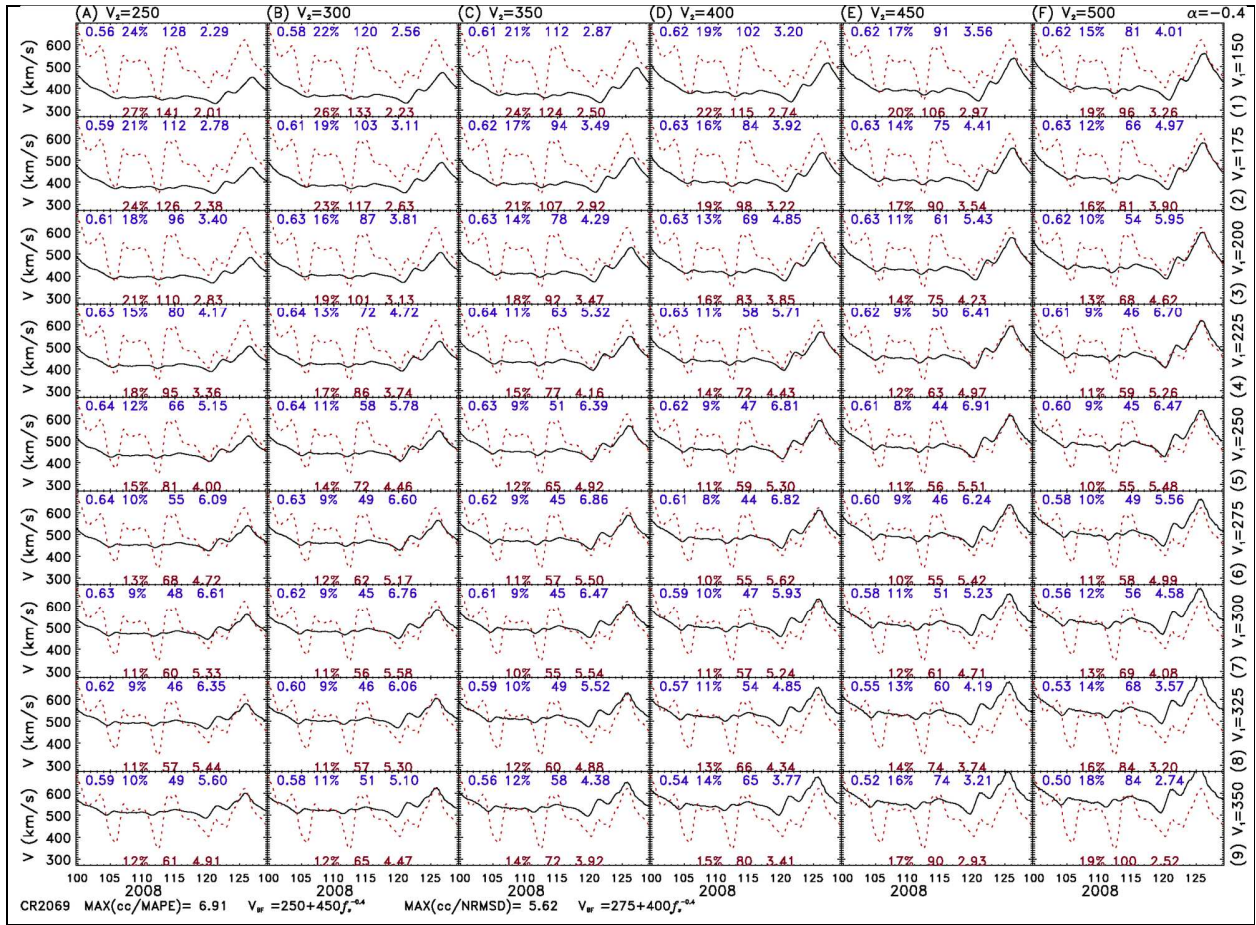


Figure A4. CR2069

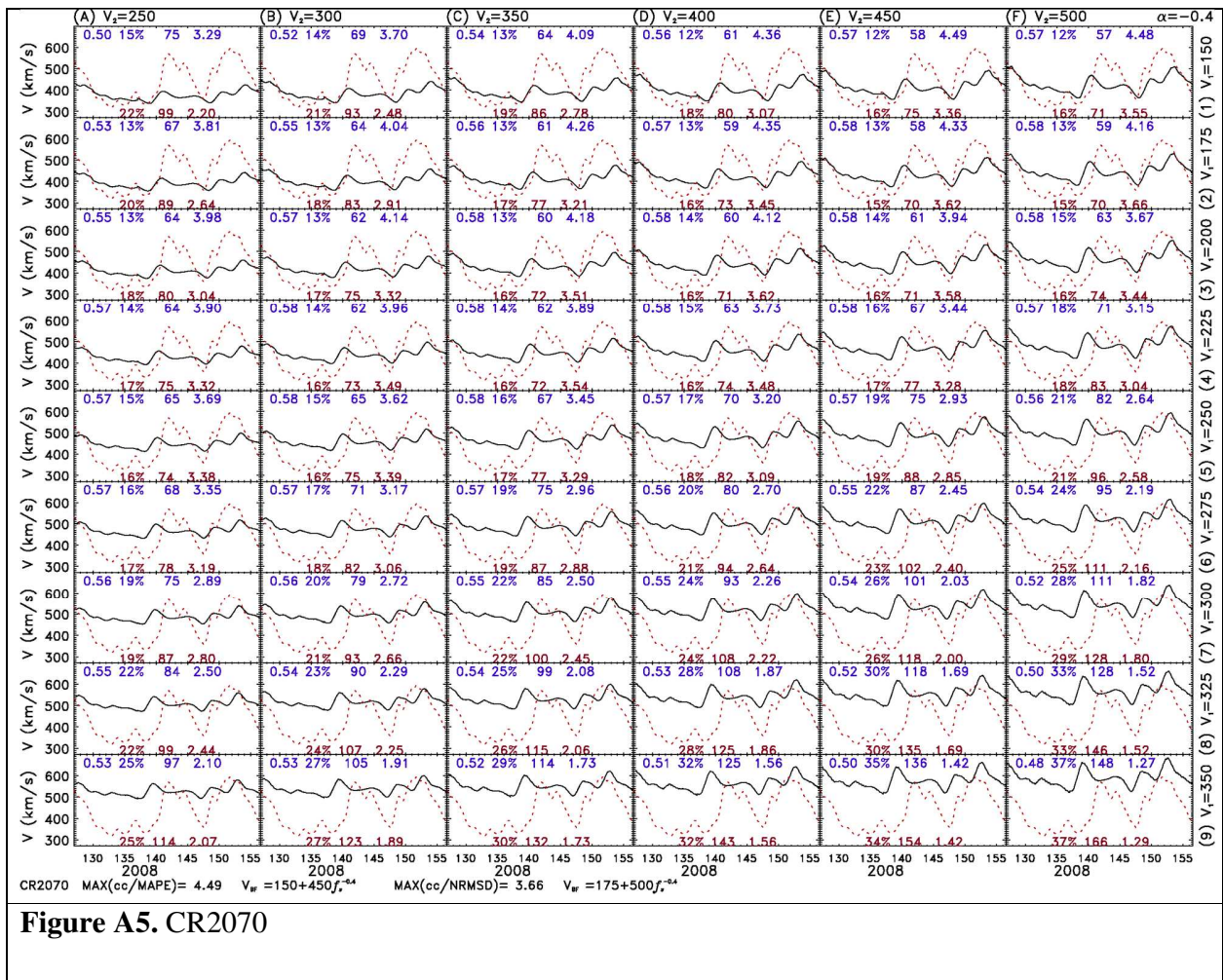


Figure A5. CR2070

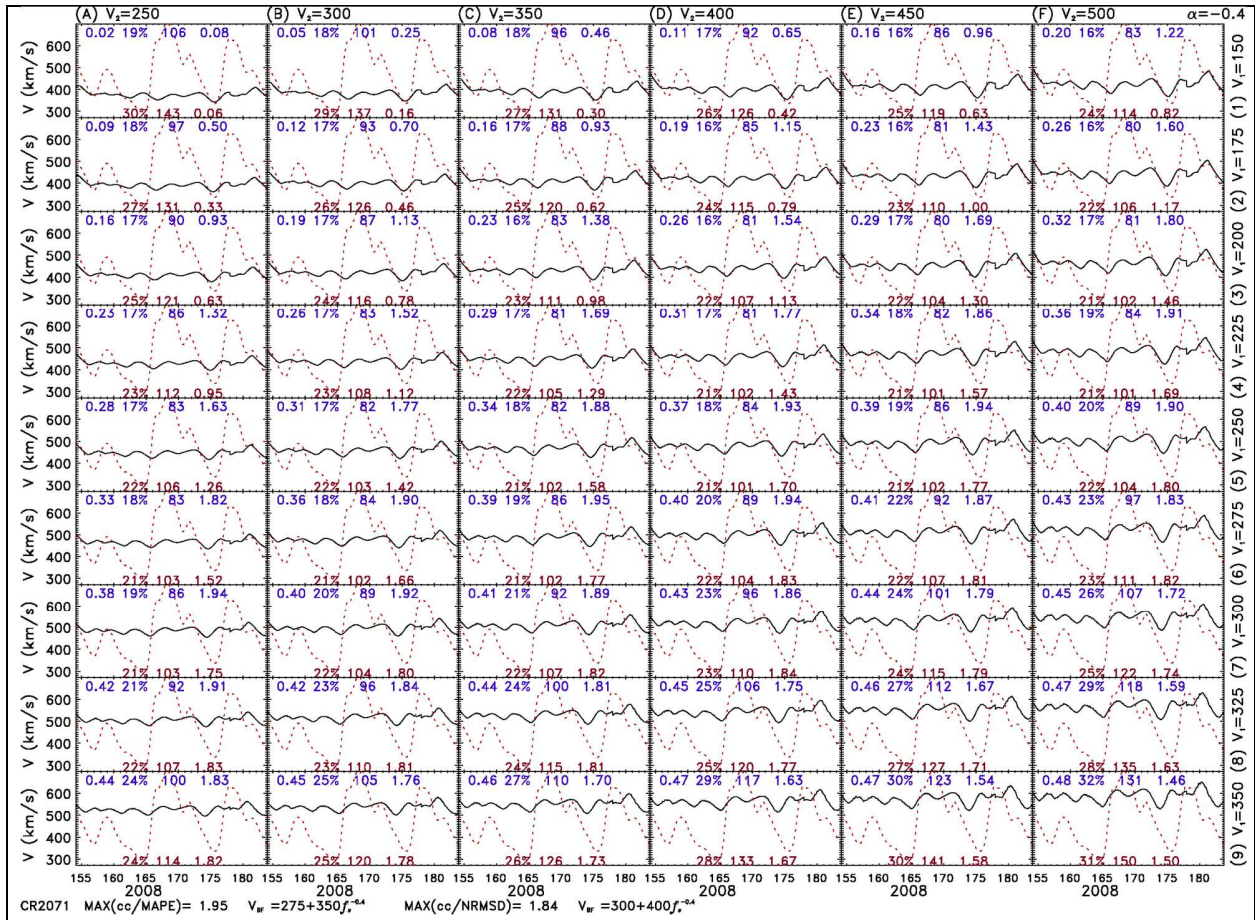
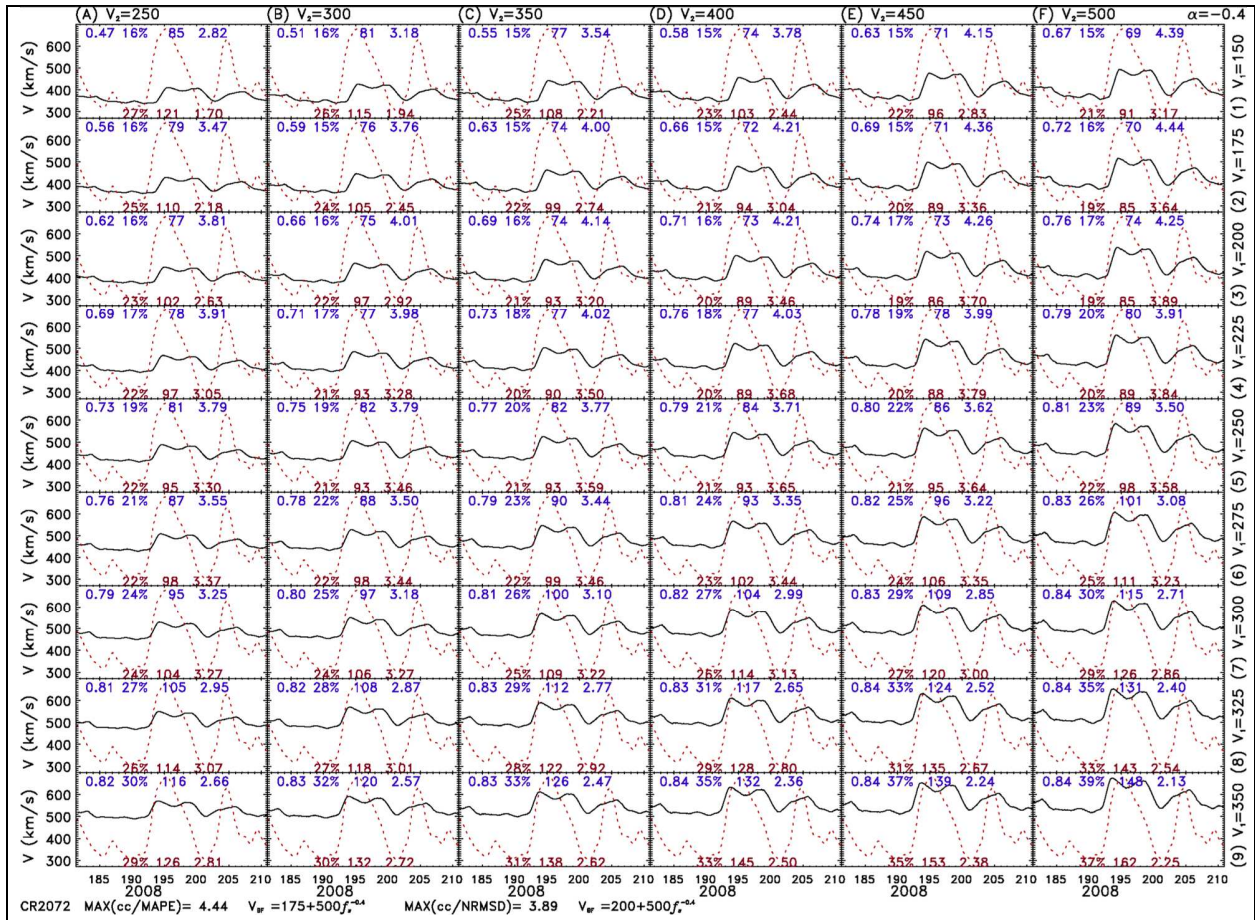


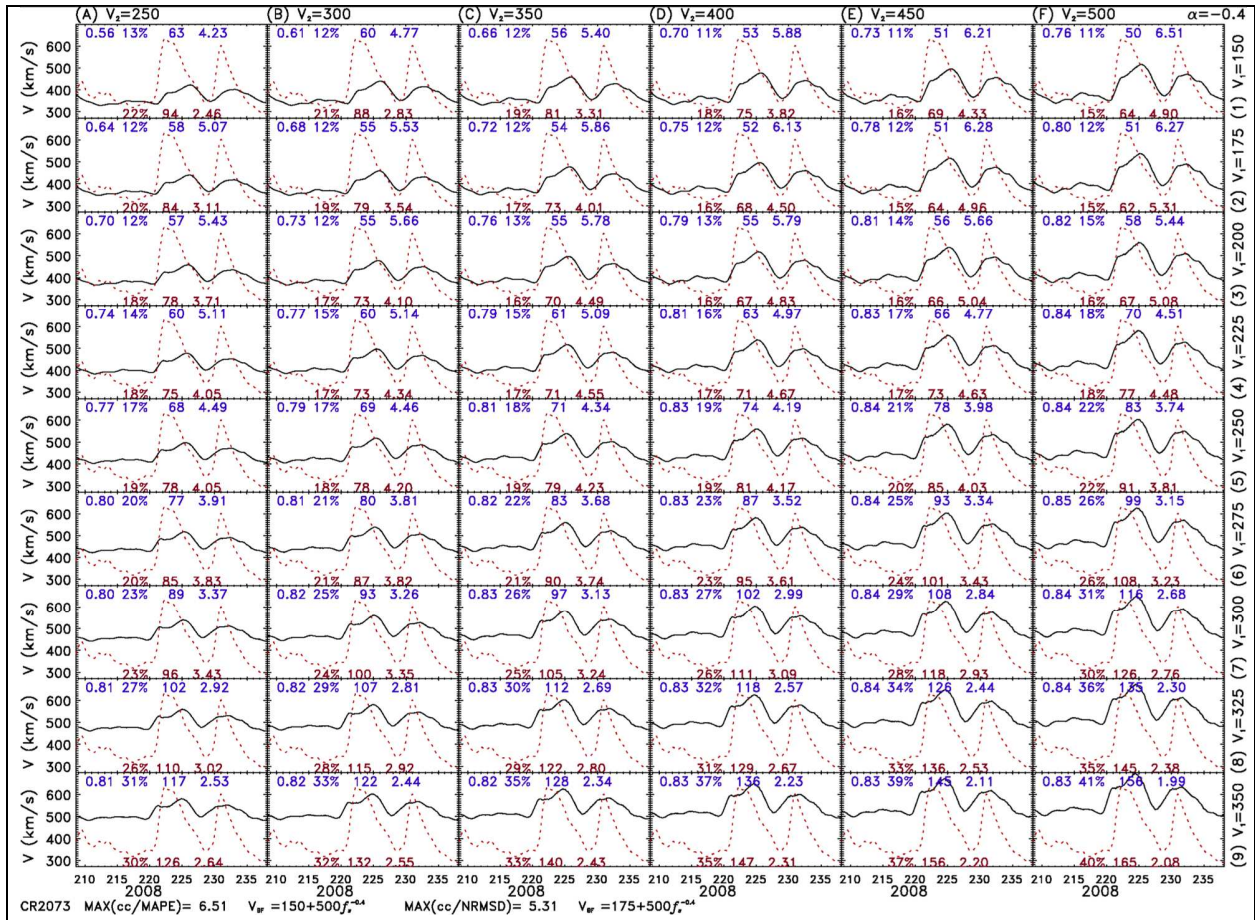
Figure A6. CR2071

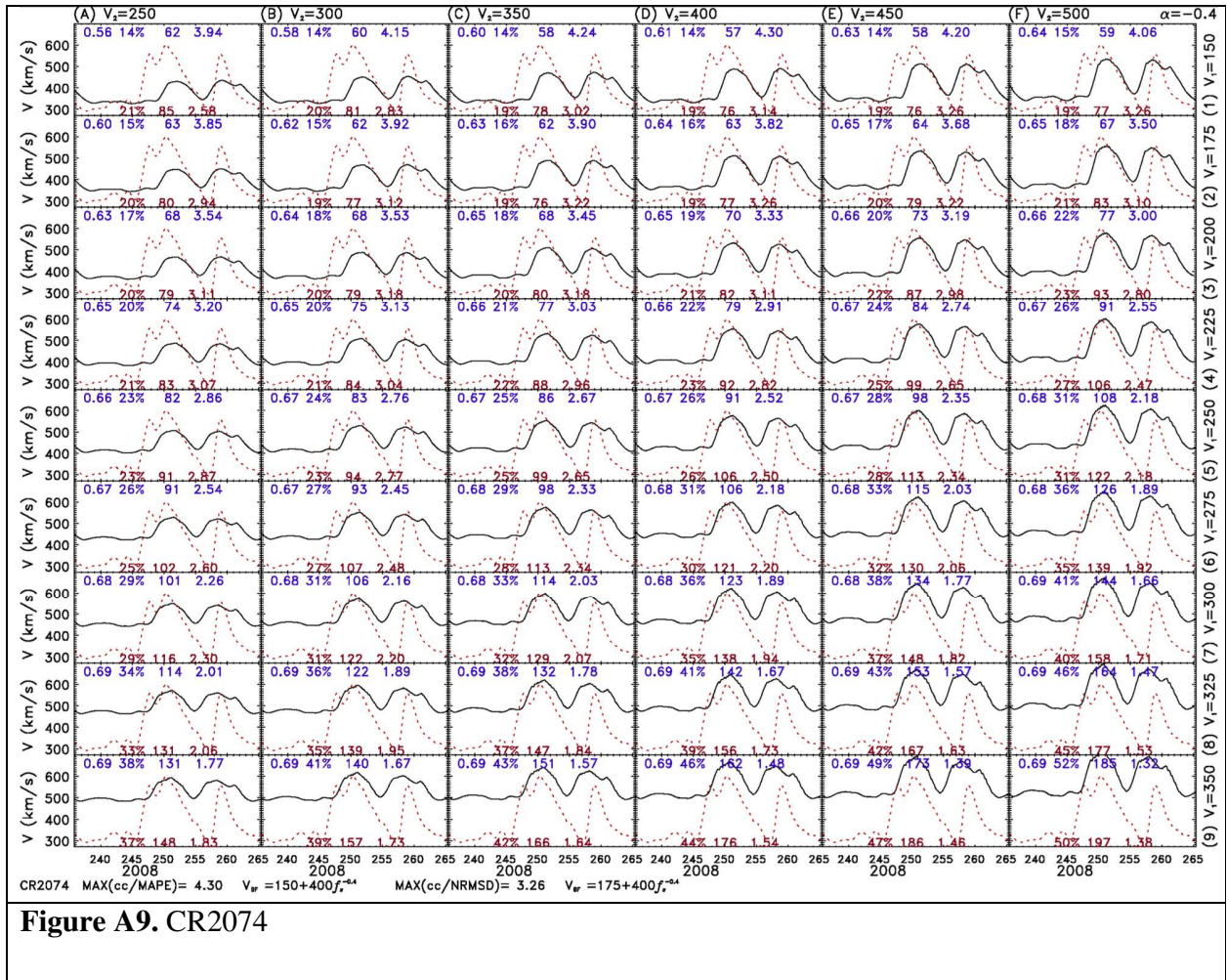
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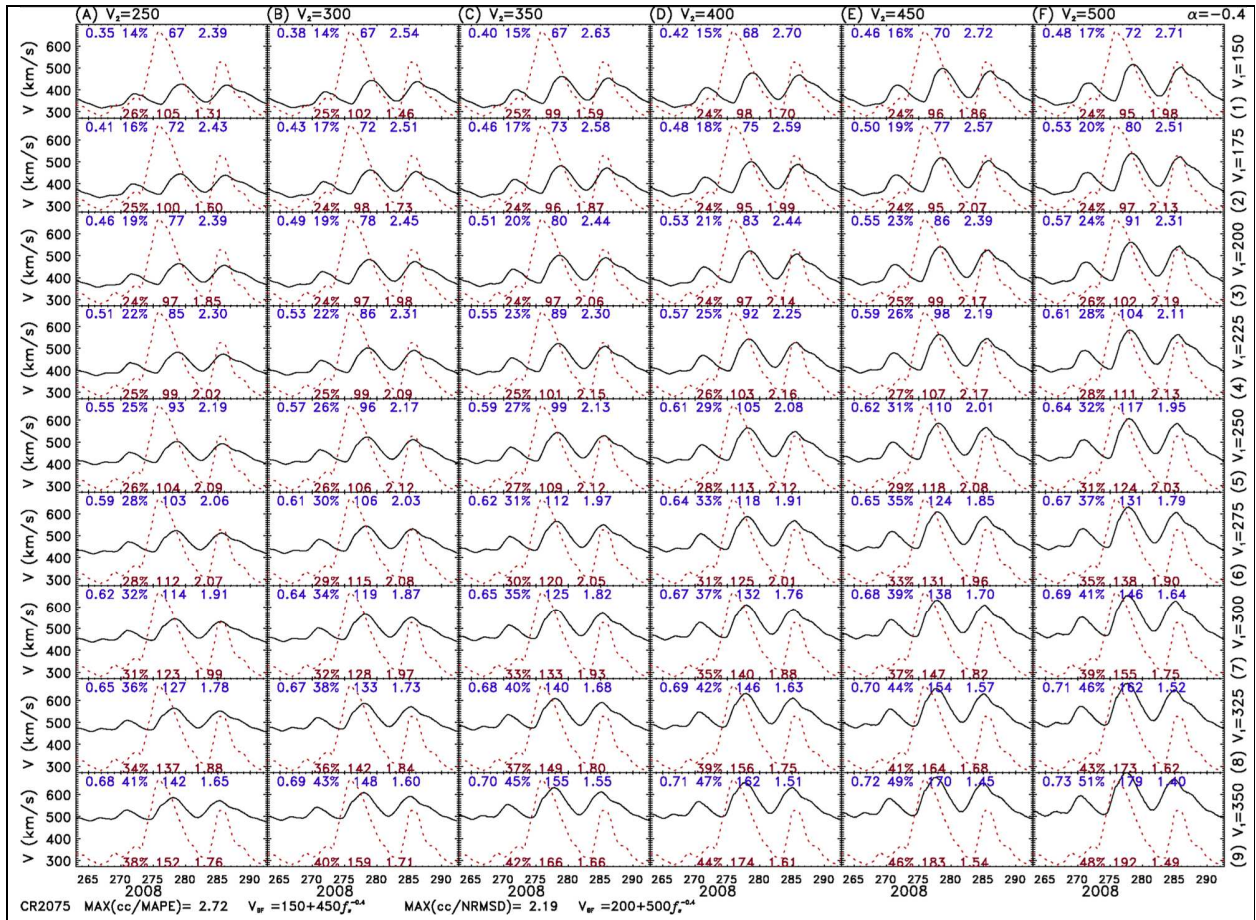


Figure A10. CR2075

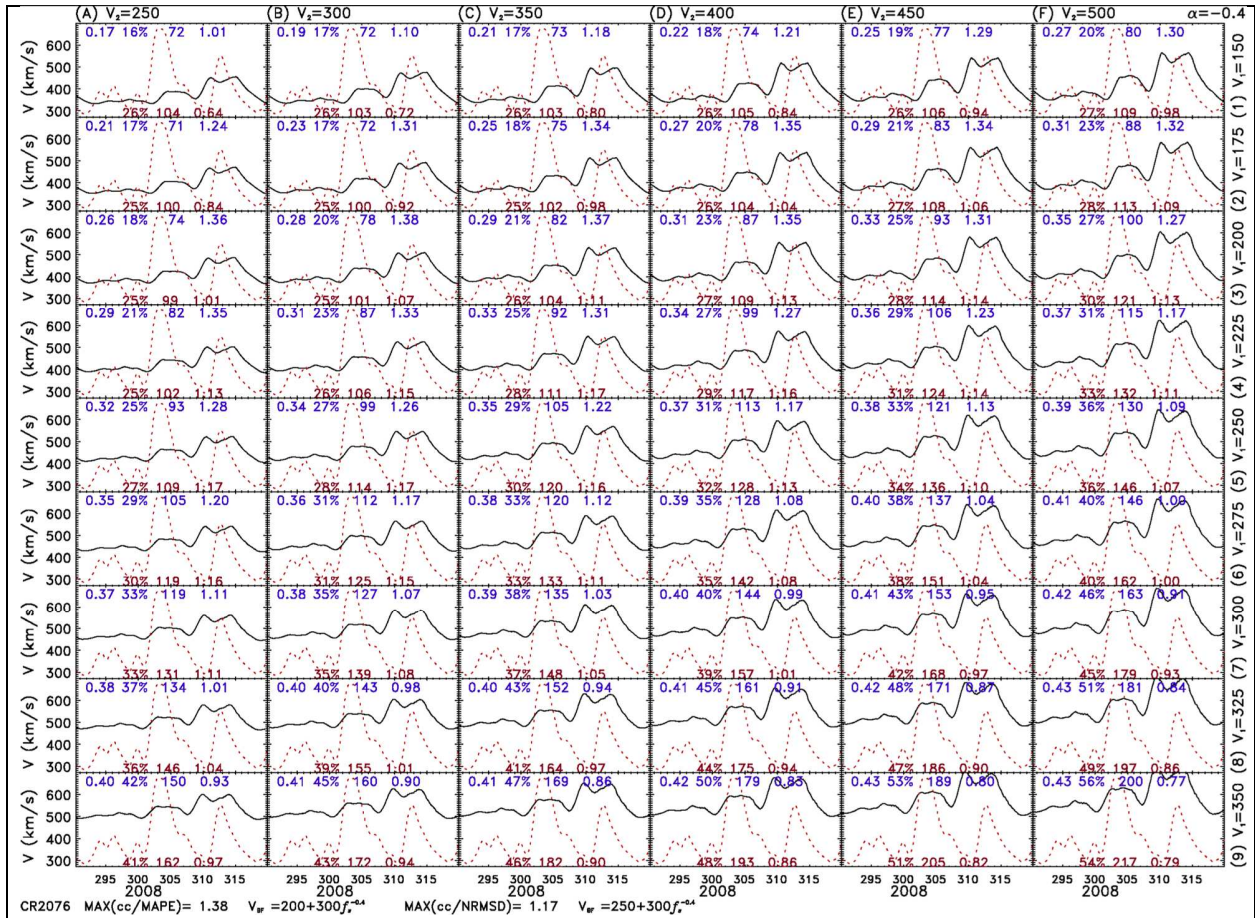


Figure A11. CR2076

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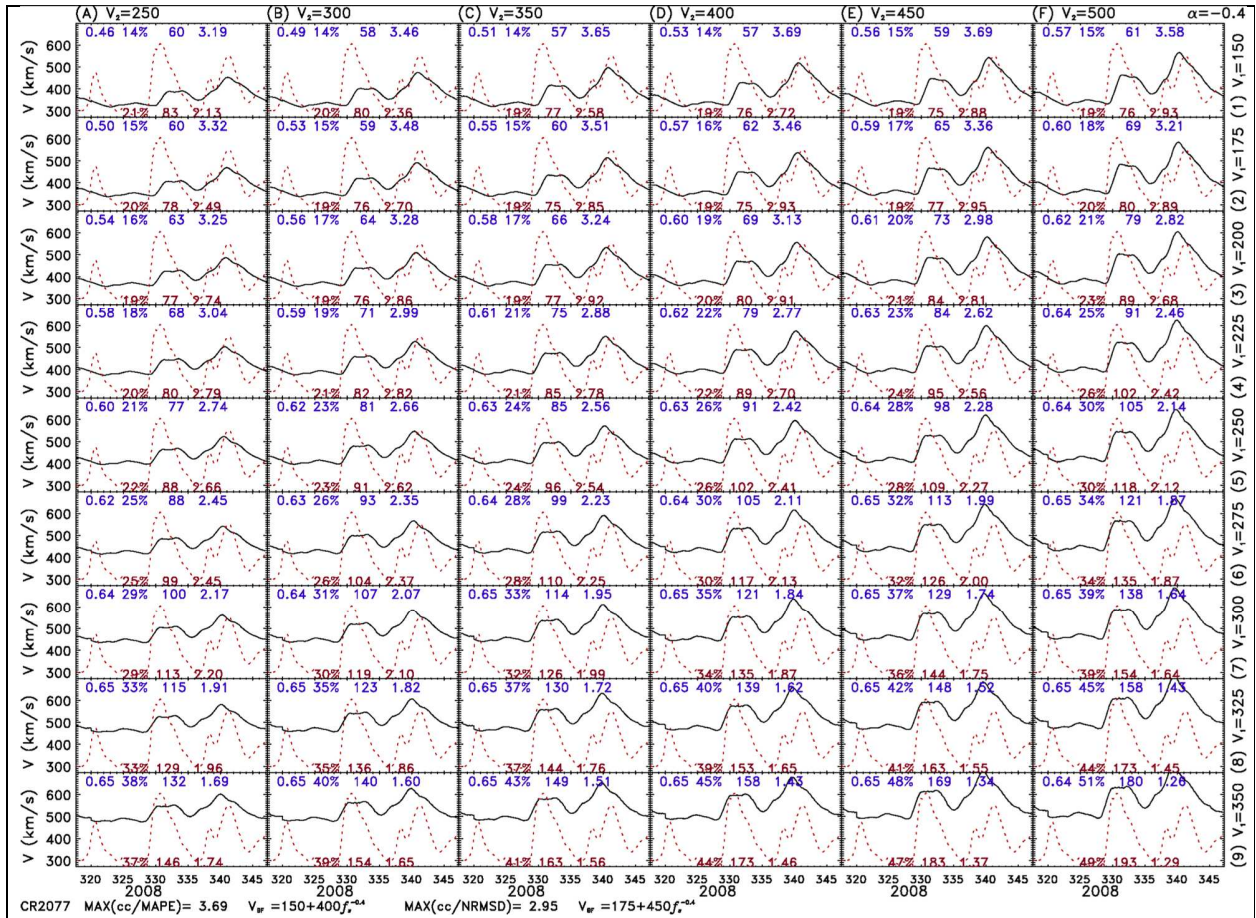


Figure A12. CR2077

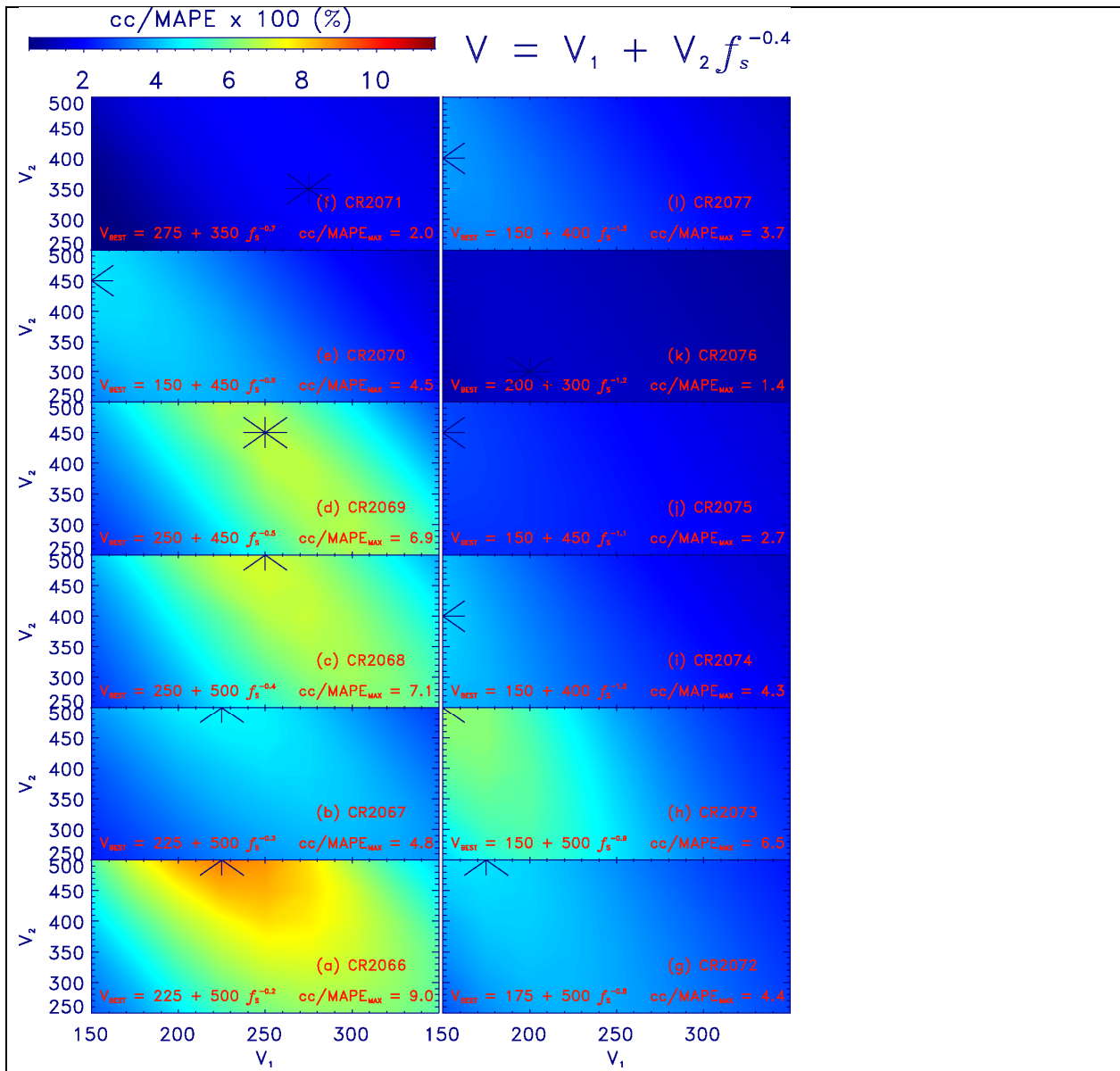


Figure B. Ratio of correlation coefficient [cc] over MAPE for different V_1 s (ranges between 150 and 350) and V_2 s (ranges between 250 and 500) during 2008 (CR2066-CR2077) using $V = V_1 + V_2 f_s^{-0.4}$. Colors represent the “ratio for the (cc divided by MAPE) × 100 [%]”.

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746