

1   **Can seamounts in the Gulf of Alaska be a spawning ground for sablefish settling in coastal**  
2   **nursery grounds?**

3  
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18  
19   **Abstract**

20   In addition to their prevalence on the continental shelf, adult sablefish have been found over the  
21   chain of seamounts far offshore in the Gulf of Alaska (GOA). Many of the females that were  
22   observed had recently spawned or were ready to spawn. However, to date, it is not known what  
23   role the seamounts play in sablefish life history and there are no observations of sablefish eggs or  
24   larvae over the GOA seamounts. Due to their depth and remoteness, there are no suitable shallow  
25   nursery areas in the vicinity of the seamounts. For successful recruitment, individuals hatching  
26   from eggs spawned over seamounts would need to be transported hundreds of miles to suitable  
27   areas inshore. Using an individual-based model (IBM) of sablefish, we have demonstrated that if  
28   spawning occurs over any of the seamounts in the GOA seamount province it is likely that at  
29   least some individuals will be successfully transported to shallow inshore nursery areas in the  
30   coastal GOA. As our simulated individuals only exhibit vertical movement behavior this on-  
31   shore transport results from the prevailing currents to which they were subjected and not from  
32   any geographic or environmental homing capabilities. Our analysis indicates that the strength of  
33   the on-shelf velocity is not the primary factor in determining the likelihood of transport to  
34   nursery areas. We speculate that the size, strength, location, and direction of the eddies that  
35   populate the GOA in any given year could be important in determining transport success. This  
36   idea is reinforced by our path analysis which shows that there are markedly different pathways  
37   taken by successful individuals among years. Our findings suggest that it may be necessary to  
38   expand what is considered suitable habitat for young sablefish. With seamounts being a  
39   potentially important spawning site for sablefish, future research priorities should include  
40   ground-truthing with fishery or fishery-independent data collected from seamounts. Potential  
41   applications of this expanded sablefish IBM include testing for connectivity between seamount  
42   and slope spawning areas and the Aleutian Islands and Bering Sea and contributing to the  
43   development of spatially explicit assessment models of sablefish.

44  
45   **1. Introduction**

46

47 Sablefish are a groundfish of high commercial value (Fissel *et al.*, 2012; Marquez, 2020) and the  
48 majority of the fishery catch is in the Gulf of Alaska (GOA; Hanselman *et al.*, 2014), despite  
49 their extensive range that spans the west coast of Japan, up to the northern Bering Sea, and as far  
50 south as Baja California (Hart, 1973; Sasaki, 1985; Wolotira *et al.*, 1993, DFO, 2013; Zolotov *et*  
51 *al.*, 2021). Because it is such a lucrative fishery, even small changes to the annual catch results in  
52 significant changes to the total value of the catch. Optimized catch quotas that maximize catch  
53 while protecting the stock can be informed by knowledge of the spatial dynamics of the stock  
54 (Hanselman *et al.*, 2014b). Annual stock assessments are primarily informed by longline surveys  
55 for older sablefish that document location, size, and movement via tagging. Knowledge of how  
56 environmental processes can influence the survival of younger sablefish, before their recruitment  
57 to the fishery, is lacking but could also be very valuable for ecosystem-based management  
58 (Shotwell *et al.*, 2014).

59  
60 Adult female sablefish primarily spawn over 100 miles from shore at depths of ~300-800 m near  
61 the edges of the continental slope (Mason *et al.*, 1983; Moser *et al.*, 1994; Hunter *et al.*, 1989).  
62 After hatching at depth, the young sablefish larvae migrate to the upper water column and have a  
63 peak abundance in the neuston by late spring (Doyle and Mier, 2015). The young sablefish are  
64 still in the neuston in late summer (Sigler, 2001), but many have traversed the continental shelf  
65 to shallow, near-shore, nursery grounds (Sasaki, 1985, Rutecki and Varosi, 1997). The young  
66 fish spend the winter and following summer in coastal bays and inlets (Maloney and Sigler,  
67 2008; Mason *et al.*, 1983; Rutecki and Varosi, 1997) before moving into deeper waters. Bracken  
68 (1982) re-captured fish tagged in GOA inshore waters far offshore, some as far away as the  
69 Bering Sea, demonstrating extensive adult migrations/movement. It has been proposed that  
70 sablefish movements in the North Pacific capitalize on the prevailing current directions, with a  
71 general westward movement of younger fish, out of the GOA and into the Bering Sea while the  
72 larger more mature sablefish return eastwards to the GOA to spawn (Bracken, 1982; Heifetz and  
73 Fujioka, 1991; Maloney, 2004). However, other studies found no relationship between sablefish  
74 age, size, or sex and movement (Beamish and McFarlane, 1988). More recently it has been  
75 shown that adult movement probabilities in the GOA vary annually and can be both westwards  
76 (29%) and eastwards (30%; Hanselman *et al.*, 2014b). Sablefish spawning is thought to occur  
77 primarily over the shelf break in the central and eastern GOA (Funk and Bracken, 1984; Beamish  
78 *et al.* 1983). An individual-based model (IBM) of sablefish indicates that eggs spawned over the  
79 continental shelf break in the eastern GOA were much more likely to produce successful settlers  
80 than those spawned over the western continental shelf (Gibson *et al.*, 2019). This modeling study  
81 also suggested that behavioral traits, or physical processes finer than those captured by the  
82 model's 3km horizontal resolution, were responsible for the transport of sablefish larvae to some  
83 of the better-known nursery areas.

84  
85 In addition to their prevalence on the continental shelf, adult sablefish have been found over the  
86 chain of seamounts far offshore in the GOA (Alton, 1986). These seamounts were formed by  
87 volcanic activity and rise from the ocean floor at depths of 3,200–4,000 m to within a few  
88 hundred meters of the ocean surface. The GOA Seamount Province (**Figure 1**) comprises nine  
89 named seamounts and several smaller ones that range in size from <10 to 70 nmi<sup>2</sup>.  
90 Approximately half of these seamounts are located within the U.S. exclusive economic zone and  
91 are protected as habitat areas of particular concern (Maloney, 2004; NMFS, 2006). The crests on  
92 the majority of the seamounts in this chain are relatively flat with a soft substrate (Hughes,

93 1981). Most of the seamounts in the chain have a crest that is ~649-823 meters below the ocean  
94 surface while at 421-549 meters below the surface, the Dickens crest is notably shallower  
95 (Hughes, 1981). The crest of the Bowie seamount is shallower still at only 65-100 m below the  
96 surface (Herzer, 1971). Tagged sablefish released in the Aleutian Islands, the Bering Sea, and  
97 the western and central GOA have been recovered on GOA seamounts (Shaw and Parks, 1997)  
98 and sablefish tagged on the GOA seamounts have been re-captured on the GOA continental shelf  
99 (Kimura *et al.*, 1998; Maloney, 2004). Similarly, tagging evidence suggests migration between  
100 the Bowie Seamount and the US west coast (Murie *et al.*, 1995; Beamish and Neville, 2003;  
101 Whitaker and McFarlane, 1997). In addition to the migration of sablefish to and from the  
102 seamounts, retention of adult sablefish over seamounts can also occur (Maloney, 2004). Maloney  
103 (2004) suggested that the use of the GOA seamounts is part of the general migratory circulation  
104 pattern that roughly follows the prevailing currents as the adult sablefish move back towards the  
105 eastern GOA. However, the ability of sablefish to exert such highly migratory behavior could  
106 allow suitable seamount habitat to be exploited independent of currents (Hoff and Stevens,  
107 2005).

108  
109 The sablefish found over the GOA seamounts span many year classes (55 for males and 33 for  
110 females; Maloney, 2004), suggesting that the use of the seamounts is a common part of sablefish  
111 life history. As has been noted in other sablefish populations (Kapur *et al.*, 2021), the sex-ratio of  
112 the seamount population is skewed toward males, with a ratio of at least a 2:1 occurrence of  
113 males to females (Hughes, 1981; Maloney, 2004). With an average age ranging from 13.7-30  
114 years, the males are generally older than the females (9.8-23.8 years) but the females tend to be  
115 larger (Maloney, 2004). Many of the females that were observed over the GOA seamounts had  
116 recently spawned or were ready to spawn but, to date, there are no observations of young  
117 sablefish in the vicinity. However, sampling has been sparse, with sablefish initially observed  
118 during an exploration of the USA seamounts in 1979 (Hughes, 1981) and then again during  
119 targeted sampling in 1999-2002 (Maloney, 2004). In both cases, sampling occurred during the  
120 summer (June or July) and used longline fishing gear which would miss smaller individuals in  
121 the upper water column. It is also possible that young sablefish were missed due to a mismatch in  
122 the timing of sampling.

123  
124 The presence of spawning-capable sablefish suggests that spawning might occur over seamounts;  
125 however, due to their depth and remoteness, there are no suitable shallow nursery areas in the  
126 vicinity of the seamounts. For successful recruitment, individuals hatching from eggs spawned  
127 over seamounts would need to be transported to suitable areas inshore, a distance of at least 270  
128 miles. Understanding the potential of the GOA seamounts to support sablefish spawning and  
129 recruitment to the fishery would provide a more complete picture of sablefish life history. If  
130 seamounts are viable spawning sites, interannual variation in larval transport from these  
131 locations could explain some of the variability in annual recruitment success. The importance of  
132 such secondary spawning sites may increase as ocean conditions change, altering development  
133 rates and transport pathways.

134  
135 Due to limited empirical data on sablefish distributions near seamounts, IBMs, that pair species-  
136 specific biological characteristics of early life stages with modeled ocean currents and  
137 conditions, are useful tools for exploring potential connectivity between spawning and nursery  
138 sites (Gibson *et al.*, 2019, Stockhausen *et al.*, 2019, Hinckley *et al.*, 2019). Each life stage in

139 Gibson *et al.*'s (2019) sablefish IBM was assigned specific depth preferences and vertical  
140 swimming speeds. The transition between each life stage depends on the individual size. As size  
141 is so critical in determining life stage, and thus the depth and current regime that an individual is  
142 exposed to, it is important to represent larval growth rates as realistically as possible. Here, we  
143 update the original sablefish IBM (Gibson *et al.*, 2019) to include stage-specific temperature-  
144 dependent growth rates, and then use this model to address the hypotheses that 1) sablefish  
145 spawned over the GOA Seamount Province can be successfully transported to the inshore  
146 nursery area regions and 2) some seamounts are more likely than others to support successful  
147 transport to nursery habitats along the coast.

148

## 149 2. Methods

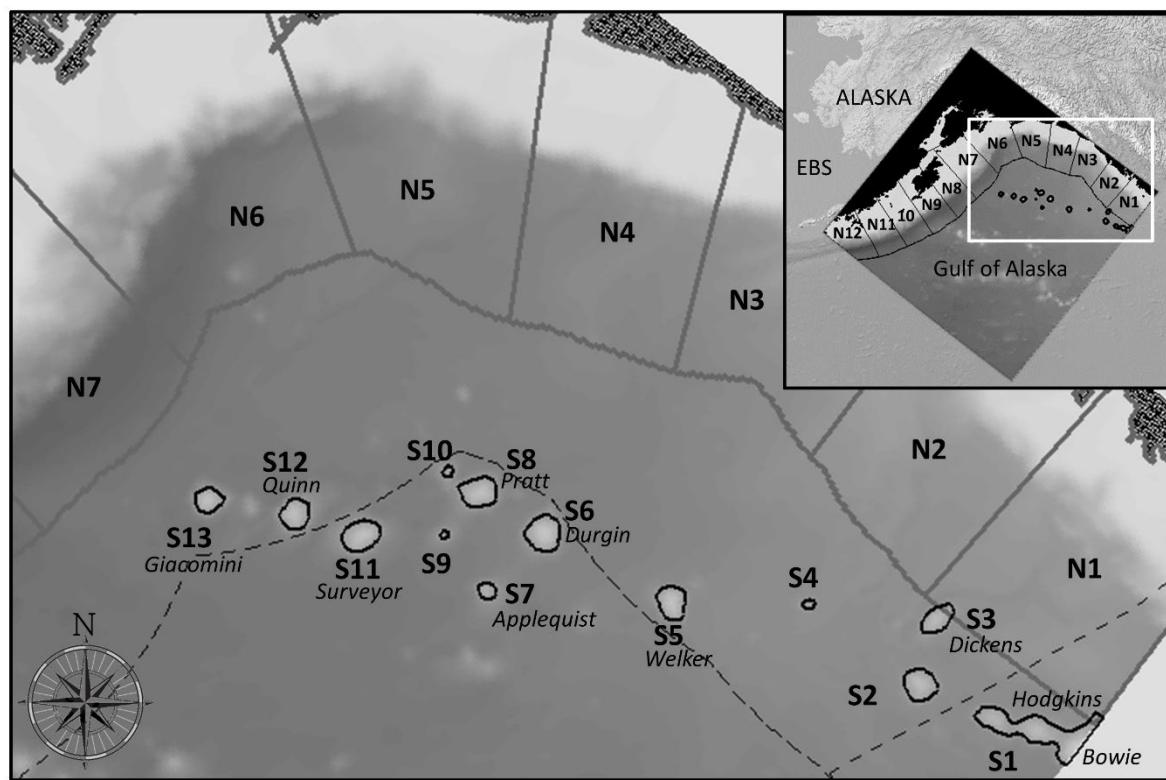
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### 151 2.1. Model Description

152 Sablefish dynamics were simulated using a Lagrangian particle tracking IBM coupled to an  
153 Eulerian hydrodynamic model of the region. This coupled model set-up has been previously  
154 described (Gibson *et al.*, 2019) but, in brief, early life history characteristics (e.g. spawning  
155 locations, larval behavior, and growth rates of young sablefish) are simulated using the Dispersal  
156 Model for Early Life Stages (DisMELS; Cooper *et al.*, 2013, Stockhausen *et al.*, 2019). A  
157 Regional Ocean Modeling System (ROMS) coupled to a lower trophic level Nutrient-  
158 Phytoplankton-Zooplankton (NPZ) model was used to simulate the physical and lower trophic  
159 level environment in the GOA through which the simulated sablefish individuals were  
160 transported (Coyle *et al.*, 2019). The IBM was run for twenty-two consecutive years, from 1997  
161 to 2018.

162

163



164

165 Figure 1. Location of the thirteen seamounts (S1-S13) in the Gulf of Alaska seamount province  
166 and the twelve alongshore regions (N1-N12) used in our connectivity analysis. The location of  
167 the US Exclusive economic zone as provided by Flanders Marine Institute (2019) is also shown  
168 (dashed line).

169

170

## 171 2.2. Physical model

172 The GOA ROMS-NPZ skill in resolving common physical and biological features in the GOA  
173 has been previously documented (Hinckley *et al.*, 2009; Dobbins *et al.*, 2009; Cheng *et al.*, 2012;  
174 Coyle *et al.*, 2013; Hermann *et al.*, 2009; 2016). These models have been used to drive other  
175 IBMs (Stockhausen *et al.*, 2019; Hinckley *et al.*, 2019), including the predecessor to the sablefish  
176 IBM (Gibson *et al.*, 2019) presented here. Here we use a slightly updated version of the NPZ  
177 model, as described in Coyle *et al.* (2019). The ROMS GOA model has a horizontal resolution of  
178 approximately 3 km with  $\sim 500 \times 500$  grid points. Grid boundaries extend from the Shumagin  
179 Islands ( $162.74^{\circ}\text{W}$ ) in the western GOA to Prince of Wales Island in the eastern Gulf  
180 ( $132.10^{\circ}\text{W}$ ), and from  $46.66^{\circ}\text{N}$  in the GOA basin up through Prince William Sound ( $64.19^{\circ}\text{N}$ ,  
181 **Figure 1**). The model has 42 vertical layers and uses a stretched z-coordinate system that allows  
182 vertical refinement to resolve the surface boundary layer. The thickness of the upper layer  
183 follows the bathymetry and varies from  $\sim 0.5$  m over the shallow continental shelf to  $\sim 5\text{-}10$  m  
184 over the deeper ocean basin. The ROMS GOA model uses bathymetry based on ETOPO5 and  
185 supplementary data as described in Danielson *et al.* (2016); smoothing of bathymetry was  
186 applied for numerical stability. Any oceanic regions shallower than 10 m were set to 10 m deep.  
187 Daily averages for physical oceanographic fields from the ROMS model were low-pass filtered  
188 to eliminate tidal aliasing and were used to drive the IBM simulations within the DisMELS  
189 framework. Since the development of the original Sablefish IBM (Gibson *et al.*, 2019) the  
190 physical model was updated to use an improved representation of freshwater discharge along the  
191 coastline. The new configuration is based on the fine-scale coupled land hydrology models of  
192 Beamer *et al.* (2016) and has higher and deeper seasonal peaks and valleys than the original  
193 runoff model (Royer *et al.*, 1982) due to increased spatial and temporal resolution (Hill *et al.*,  
194 2015) as described in Coyle *et al.* (2019). Significant differences between these two products  
195 have been discussed in Danielson *et al.* (2020); these include a climatological peak runoff in July  
196 using the newer method, as compared to October from the older method.

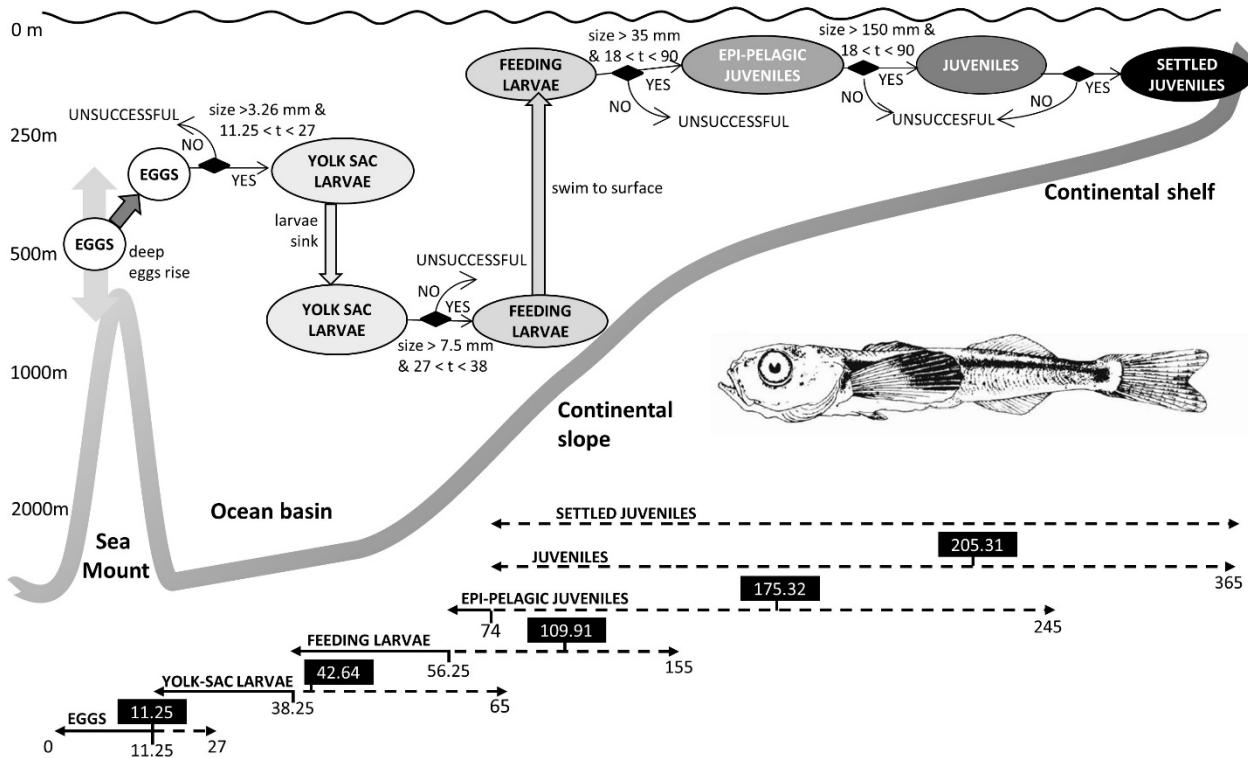
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198

## 199 2.3. IBM model

200 The sablefish IBM simulates five life stages including fertilized eggs, yolk-sac larvae, feeding  
201 larvae, epi-pelagic juveniles, and ‘settled’ juveniles (**Figure 2**). Parameter selections and sources  
202 for most parameters remain the same as the original model (Gibson *et al.*, 2019) but while the  
203 original model had stage-specific constant growth rates, here we have updated the model to  
204 include temperature-dependent growth rates and behaviors. The growth rate equations are  
205 described below, while the stage-specific parameters i.e. depth preference, vertical swimming  
206 speed, minimum and maximum stage duration, and transition size retain their original values  
207 (Gibson *et al.*, 2019, cf. Table 1) unless otherwise noted. A detailed parameter sensitivity  
208 analysis found results to be relatively robust to parameter values (Gibson *et al.*, 2019). As in the  
209 previous version, individuals are presumed unable to exhibit complex horizontal movement  
210 behavior strong enough to overcome horizontal currents, thus their position is determined

211 through advection from the ROMS model. However, individuals can control their vertical  
 212 position in the water column according to their stage-specific “preferred” depth range and a  
 213 mean vertical swimming speed. Space is considered to be continuous for the individuals i.e., they  
 214 can move around, and their location is tracked within the ROMS grid cells. To ensure that the  
 215 advection and biological processes of each individual were adequately resolved, the sablefish  
 216 IBM used a sub-daily integration time-step of twenty minutes. At each of these biological time  
 217 steps, the three-dimensional currents and temperature and NPZ fields from the daily ROMS-NPZ  
 218 model output were interpolated to each individual’s location.  
 219



220  
 221 Figure 2. Conceptual view (not to scale) of the sablefish individual-based-model, illustrating  
 222 the life stages, assumed depth preferences, and rules determining progression from one life  
 223 stage to the next. Movement from offshore spawning sites to inshore nursery sites is passive  
 224 and dependent on advection. The inset figure shows a late-stage sablefish larvae (SL 33 mm)  
 225 reproduced from Kendall and Matarese (1987). Black diamonds represent stage transition and  
 226 associated rules for transition. See text and Gibson *et al.* (2019, cf. Table 1) for a description of  
 227 model parameters. The potential time-period (days from initialization) that individuals could  
 228 spend in each life stage is indicated, along with the mean transition time (white text on black)  
 229 simulated for individuals from all seamounts and years.  
 230

### 231 2.3.1. Egg stage

232  
 233 Individual ‘sablefish’ particles were initialized over the seamounts at the egg stage. All eggs  
 234 were assumed fertile, with the ability to develop to the hatching stage. To reflect current  
 235 understanding of vertical positioning of eggs in the water column (Alderdice *et al.*, 1988) eggs  
 236 are assumed capable of adjusting their vertical position to maintain a depth between 213 and

237 360 m. In reality, egg size is related to maternal factors (i.e. female size, female  
 238 condition, batch number, etc.). To encapsulate some of this variability, in our updated version of  
 239 the model, egg size ( $S_{egg}$ ) at spawning is randomly assigned from a uniform distribution within  
 240 the observed size range (1.8 and 2.2 mm, Mason *et al.*, 1983). While the simulated eggs do not  
 241 technically grow in size, the time that the larvae take to hatch, and their size at hatch does vary.  
 242 The number of days until hatch (DTH, **Eq. 1**) was simulated using a temperature-dependent  
 243 quadratic formula fitted to observational data (Alderice *et al.*, 1988, Jensen and Damon 2002,  
 244 Deary *et al.*, 2019, **Figure 3a**).

$$245 \quad DTH = 0.4046 \cdot T^2 - 6.307 \cdot T + 35.53, \quad \text{where } 11 < DTH < 27 \quad (1)$$

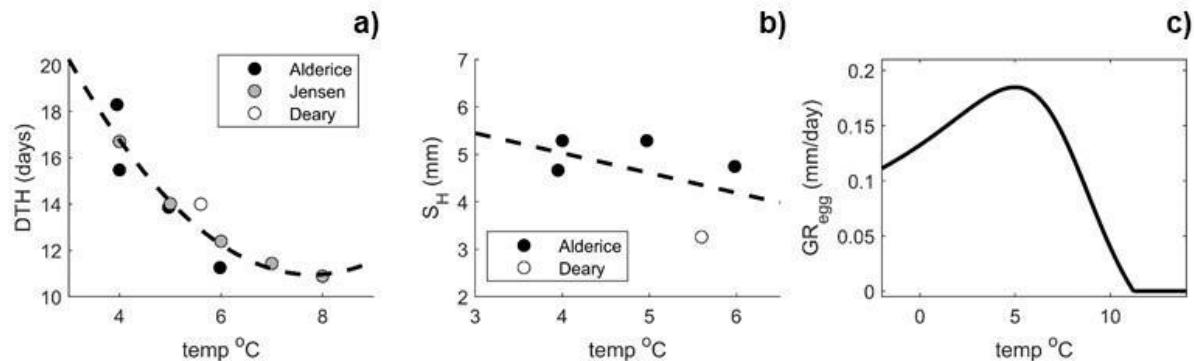
246 Using the few available data points (Alderice *et al.*, 1988; Deary *et al.*, 2019) we approximated  
 247 the size of larvae at hatch ( $S_H$ , **Eq. 2**) to be a linear temperature-dependent function (**Figure 3b**).  
 248

$$249 \quad S_H = -0.4189 \cdot T + 6.702 \quad (2)$$

250 From **Eq. 1** and **Eq. 2**, we approximated a growth rate for the embryos ( $GR_{egg}$ , **Eq. 3**) by  
 251 assuming an average initial egg size of 2mm.  
 252

$$253 \quad GR_{egg} = \frac{S_H - 2}{DTH} \quad , \quad GR_{egg} \geq 0 \quad (3)$$

254 This gave growth rates that varied between 0 and 0.18 mm/day for temperatures from -2 to  
 255 11.2°C with a maximum at 5°C (**Figure 3c**). Temperatures exceeding 11.2°C would result in  
 256 negative growth rates, so we assumed that growth does not occur in waters warmer than 11.2°C  
 257 and set the associated growth rate to zero. The minimum time required for eggs to develop and  
 258 hatch into yolk-sac larvae is 11.25 days, and a size of 3.26 mm had to be reached before an egg  
 259 was considered hatched. Embryos that failed to reach the minimum size required for the  
 260 transition to the next life stage within the allotted timeframe (twenty-seven days) were  
 261 considered unsuccessful. Within this series of experiments, we found that all individuals reached  
 262 transition size by 11.25 days and made the transition to yolk-sac larvae at that time.  
 263



264 Figure 3. Data and formulations used to simulate egg growth rate. a) Days until hatch (DTH) vs.  
 265 temperature. Observational data is from Alderice *et al.*, 1988 (black), Jensen and Damon 2002  
 266 (grey), and Deary *et al.*, 2019 (white). Data was fitted with the quadratic curve  $DTH = 0.4 \cdot$   
 267  $T^2 - 6.3 \cdot T + 35.5$ ,  $R^2=0.89$ . b) Size ( $S_H$ ) of larvae at hatch is estimated to be a temperature-  
 268 dependent linear function of temperature with data Alderice *et al.*, 1988 (black) and Deary *et*  
 269 *al.*, 2019 (white). c) Growth rate ( $GR_{egg}$ ) of embryos is estimated to be a temperature-dependent  
 270 function of temperature with a bell-shaped curve peaking at 5°C.

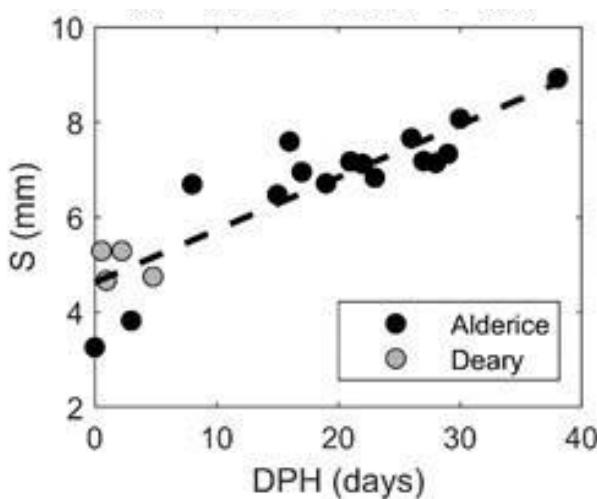
273 *al.*, 2019 (white) and function  $S_H = -0.4189 \cdot T + 6.702$ ,  $R^2=0.21$ . c) Estimated temperature-  
274 dependent growth rate for sablefish embryos using the formulation presented in **Eq. 3**.  
275

### 276 2.3.2. Yolk-sac larval stage

277 Observational data (Alderice *et al.* 1988; Deary *et al.*, 2019) indicates that yolk sac larvae (YSL)  
278 size increases linearly with days post-hatch (DPH, **Figure 4**) with the relationship shown in **Eq.**  
279 **4**.

280  $S_{YSL} = 0.1105 \cdot DPH + 4.624$  (4)

283 The slope of the line (0.11 mm/day) is the temperature-independent growth rate we assumed for  
284 YSL. Following a minimum of 27 days at this stage, the point at which feeding apparatus could  
285 have developed and feeding might occur (Deary *et al.*, 2019), the larvae were assumed to have  
286 used up their yolk sac and transitioned to the feeding larvae life stage, provided they reached a  
287 minimum size of 7.5 mm. Individuals that did not reach the required size prior to 38 DPH were  
288 considered unsuccessful. YSL have been observed to exhibit mass mortality (50%) when  
289 temperatures exceed 9°C (Deary, per. Comm.). Thus, we assumed that temperatures higher than  
290 this were lethal for this life stage. Within this series of experiments, we found the mean age of  
291 transition to feeding larvae to be 42.64 days from spawning.



293  
294 Figure 4. Data and formulations used to simulate yolk sac larvae size as a function of the number  
295 of days post-hatch (DPH). Data from Alderice *et al.*, 1988 (black) and Deary *et al.*, 2019  
296 (grey). Data were fitted with the linear equation  $S = 0.11 \cdot DPH + 4.6$ ,  $R^2=0.82$ . The slope of  
297 the line, representative of the constant daily growth rate, was 0.11 mm/day.

298 We also assumed that, while yolk-sac larvae can regulate density to maintain their vertical  
299 position in the water column after sinking to a depth of 500-1000 m, this stage does not actively  
300 swim—reflecting the fact that in the laboratory, newly hatched larvae did not exhibit  
301 spontaneous movement (Alderice *et al.*, 1988). Minimum and maximum depths and swim  
302 speeds were left unchanged from the previous version of the model.

### 303 2.3.3. Feeding larval stage

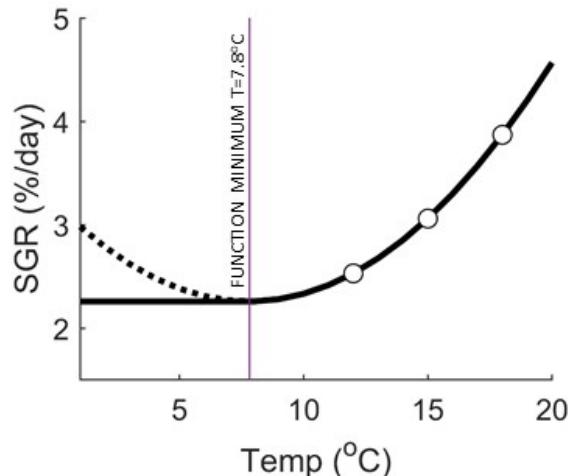
306 For the feeding larval stage, we initially fit a quadratic function to the temperature-dependent,  
307 length-specific growth rates (SGR, Eq. 5) determined by Cook *et al.*, (2017) but assumed that  
308 the SGR had a minimum of 2.26 %/day, as this was the minimum of the quadratic function  
309 which was observed at a temperature of 7.82°C (Figure 5).

310

$$311 SGR = \max(2.26, 0.01556 \cdot T^2 - 0.24 \cdot T + 3.2) \quad (5)$$

312 Where  $2.26 \leq SGR$

313 While based on only limited growth rate data, this function gives a growth rate that ranges from  
314 0.16mm/day for the smallest individuals in this life stage (7.12mm) at temperatures below  
315 7.82°C, and 1.35mm/day for the largest individuals (35mm) at 18°C. This range encapsulates the  
316 constant growth rate parameter (0.48mm/day) that was previously estimated from observations in  
317 the original model (Gibson *et al.*, 2019).



321  
322 Figure 5. Data and formulations used to simulate feeding larvae specific growth rate (percentage  
323 of standard length per day) as a function of temperature. Data came from Cook *et al.*, 2017  
324 (white circles). Data were fitted with the quadratic equation  $SGR = 0.01556 \cdot T^2 - 0.24 \cdot T +$   
325  $3.21 (R^2=1.0)$  but growth rates at temperatures below 7.82°C, the temperature at the function  
326 minimum, were fixed to 2.26% rather than increasing.

327  
328 As in the original model, we assumed that following the transition to the feeding larval stage,  
329 individuals ascend rapidly in the water column until they reach the surface ocean and actively  
330 maintain their position during this life stage. While there is no marked morphological change  
331 between the larval and juvenile stages (Kendall and Matarese, 1987), larvae are considered ‘*epi-*  
332 *pelagic juveniles*’ once they have reached a total length of 35 mm. Feeding larvae that fail to  
333 reach this size within 90 days are considered unsuccessful. Within this series of experiments, we  
334 found the mean age of transition to epi-pelagic juveniles to be 109.91 days from spawning.

335  
336  
337  
338  
339 2.3.4. *Epi-pelagic juvenile stage*

340 Epi-pelagic juveniles continue to maintain their position in the neuston but grow at a  
 341 much faster rate than feeding larvae. Following the growth model presented in Boehlert and  
 342 Yoklavich (1985), the size of individuals in this life stage was assumed to be a function of age  
 343 (**Eq. 6, Figure 6a**). By computing the gradient of this sigmoidal curve, the growth rate as a  
 344 function of age ( $GR_{epi\ A}$ ) was obtained, which we fit with a cubic equation (**Eq. 7, Figure 6b**) for  
 345 individuals from 48 to 137 days - the minimum and maximum time that an individual could be in  
 346 the epipelagic juvenile stage. Boehlert and Yoklavich (1985) found the mean growth rate to be  
 347 1.47 mm/day. No temperature measurements were reported, but assuming the linear temperature-  
 348 dependent growth equation fitted to Sogard and Olla (2001) data (**Eq. 8, Figure 6c**) we assumed  
 349 it occurred at a baseline temperature ( $T_B$ ) of 12.1676°C. Thus, we derived an expression for the  
 350 temperature and age-dependent growth rate of epi-pelagic juveniles (**Eq. 9**). Observed growth  
 351 rates at 24°C were markedly reduced (Sogard and Olla, 2001), thus at temperatures  $\geq 23^\circ\text{C}$ , epi-  
 352 pelagic juvenile growth rate was assumed to drop to 0.345mm/day.  
 353

354  $Length(Age_{DPH}) = 1.2203 \cdot \exp\left(\left(\frac{0.1084}{0.0196}\right) \cdot (1 - \exp(-0.0196 \cdot Age_{DPH}))\right)$  (6)

355

356  $GR_{epi\ A} = 2.261 \cdot 10^{-6} Age_{DPH}^3 - 0.0009735 \cdot Age_{DPH}^2 + 0.1183 \cdot Age_{DPH} - 2.2$  (7)

357

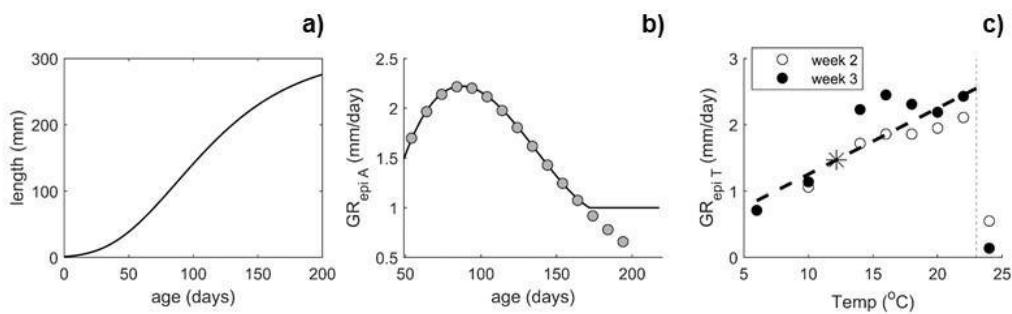
358  $GR_{epi\ T} = 0.09965 \cdot T + 0.2575$  (8)

359

360  $GR_{epi} = GR_{epi\ A} + (T - 12.1676) \cdot 0.09965$  (9)

361

362  $GR_{epi\ A}$  has a maximum of 2.2198 mm/day at 87 days and 12.1676°C, which increases to a  
 363 maximum of 3.1996 at 22°C.



364

365

366 Figure 6. Data and formulations used to simulate epipelagic juvenile growth rate. a) Individuals  
 367 length vs. age as specified by the Boehlert and Yoklavich (1985) growth equation. b) Age-  
 368 dependent growth rate determined from the slope of the curve in a) and fitted with the  
 369 polynomial (**Eq. 7**,  $R^2=0.999$ ). c) Temperature-dependent growth rates determined by Sogard  
 370 and Olla (2001). Data are fitted with **Eq. 8**,  $R^2=0.77$ ). The asterisks indicate the mean growth  
 371 rate (1.47mm/day) found by Boehlert and Yoklavich (1985), which, following **Eq. 8**, can be  
 372 assumed to coincide with a temperature of 12.1676°C. The observed growth rates at 24°C were  
 373 ignored when determining the linear relationship. The growth rate at or above 23°C was  
 374 assumed to be 0.345 mm/day, the average of the week 2 and week 3 growth rates at 24°C.  
 375

376 Once epi-pelagic juveniles reach 150 mm they are considered '*Juveniles*' with the ability to  
377 'settle' in defined nursery areas, effectively recruiting to the population that will grow and  
378 eventually enter the fishery. Within this series of experiments, we found the mean age of  
379 transition to juveniles to be 175.32 days from spawning.

380

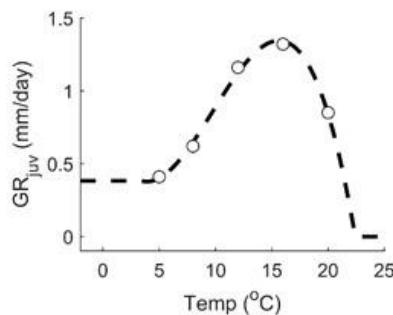
### 381 2.3.5. *Juvenile stage*

382 Following the transition to the juvenile stage, individuals continue to inhabit the upper water  
383 column but undertake diel vertical migrations, moving higher in the water column at night  
384 (Courtney and Rutecki, 2011; Sogard and Olla, 1998). The growth rate of individuals at this  
385 stage is simulated using the temperature-dependent growth rate found for young of the year  
386 (YOY) sablefish (Krieger *et al.*, 2019, Table 1). We fitted the data with a third-order polynomial  
387 (**Figure 7**). Examining the roots of the polynomial, the growth rate was set to a minimum of 0.38  
388 mm/day at temperatures below 3.45°C, and to an overall minimum of 0.0 mm/day to prevent  
389 negative growth rates at temperatures exceeding 22.41°C. Maximum growth rate for this stage  
390 was 1.34 mm/day at 15.6°C.

391

392 As discussed previously (Gibson *et al.*, 2019), juvenile sablefish do not fully transition from the  
393 pelagic environment to the benthic environment but they do actively maintain their position over  
394 desirable habitats, including inshore bays. Settled early juvenile sablefish habitat in the GOA  
395 was previously modeled as the predicted probability of suitable habitat from a presence-only  
396 maximum entropy species distribution model (SDM) fitted to their distribution in mixed gear-  
397 type surveys and to a suite of environmental covariates (Pirtle *et al.*, 2019, Shotwell *et al.*, 2022)  
398 (**Figure 8**). Here we consider the transition to a "settled" individual to occur if individuals are  
399 over depths shallower than 100 m and over locations where the predicted probability of suitable  
400 habitat (HSI) is  $\geq 0.4$  based on a threshold of equal training sensitivity and specificity from the  
401 SDM. When juveniles find themselves over suitable habitat they transition to 'settled juveniles,'  
402 and transport to a nursery area is deemed successful. Juveniles that fail to reach a suitable  
403 nursery habitat prior to 365 days since initialization (the end of the simulation) are considered  
404 unsuccessful. Within this series of experiments, we found the mean age of transition to settled  
405 juveniles to be 205.31 days from spawning.

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408 Figure 7. Fitted polynomial used to simulate juvenile sablefish growth rate. Open circles are  
409 observed growth rates from Krieger *et al.*, 2019

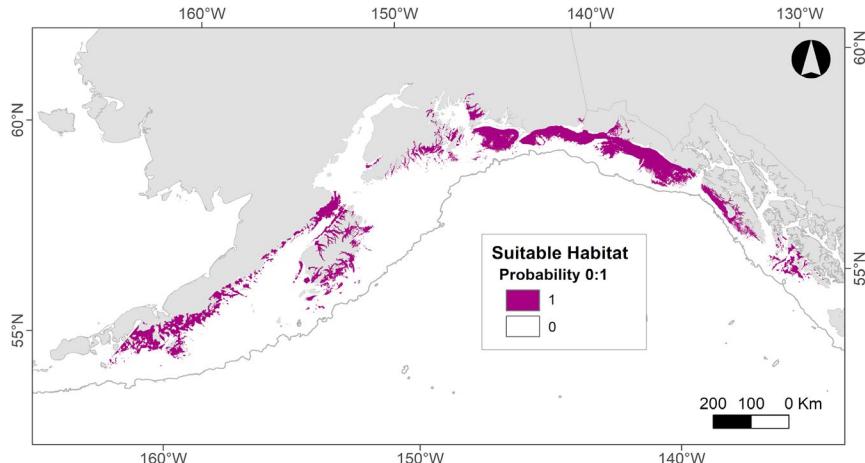
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411

412 2.3.6. *Settled Juvenile stage*

413 Here settled juveniles are considered to have the same growth rate function as juveniles. Settled  
414 juveniles for the purpose of this experiment are fixed in both horizontal and vertical position  
415 (bottom depth) and the environmental experiences of the individuals are tracked for the  
416 remainder of the year since spawned, for a total of 365 days.

417



418  
419 Figure 8: Settled early juvenile sablefish suitable habitat locations in the GOA (shaded areas)  
420 inshore of the 1000 m depth contour within the GOA ROMS grid.

421

422

423 2.4. *Connectivity Experiment*

424 Seamounts in the Gulf of Alaska were identified by extracting the locations of the 2500m isobath  
425 east of 147°W between 53-57°N. A series of thirteen individual polygons were identified that  
426 corresponded to both named and unnamed seamounts (**Figure 1**). For each year of the  
427 simulation, eggs were released on Feb. 20th, corresponding to the day of peak occurrence of  
428 sablefish eggs in the GOA (Doyle and Mier, 2015). Eggs were initialized on a 500m resolution  
429 horizontal grid over each of the 13 seamounts at 50 m depth intervals between 300 and 800  
430 meters. A sensitivity analysis of the model output to the horizontal resolution of egg initialization  
431 (Gibson *et al.*, 2019) previously found that the probability of individuals settling in any nursery  
432 area was broadly similar, regardless of their initial spacing (from 500m -25km). Here we opted  
433 to use the finer resolution to ensure that smaller-scale dynamics associated with the seamounts  
434 were not missed. The size of the seamounts, and thus the number of individuals released over  
435 each seamount, varied (**Table 1**), ranging from 846 for S9 to 44,905 for S1 (the Hodkins/Bowie  
436 complex), for a total of 161,380 individuals per one-year model iteration.

437

438 2.5. *Analysis*

439 To assess interannual variability in the transport of young sablefish from potential deep ocean  
440 seamount spawning sites to near-shore nursery areas, we calculate the probability of transport  
441 from a spawning area to a settlement area for each year. To compare interannual differences in  
442 connectivity, we looked at “total connectivity” (the probability of settlement integrated across all  
443 spawning areas) and connectivity to/from specific alongshore areas.

444

445    2.5.1. *Connectivity Analysis*

446    It is not currently known whether sablefish spawn over the seamounts. Therefore, we made the  
 447    simple assumption that the sablefish spawning stock is uniformly distributed across all  
 448    seamounts in the Gulf of Alaska Seamount province. This assumption allows us to focus on  
 449    evaluating the potential *relative* strength of connectivity from each seamount to potential nursery  
 450    regions. Nursery areas were assumed to be relatively large scale and we divided the entire GOA  
 451    into twelve approximately equal alongshore zones (**Figure 1**). The locations of individuals were  
 452    assessed at the end of the model run to determine within which, if any, of the alongshore zones  
 453    they settled. Settlement only occurred if the depth and habitat suitability criteria were met (see  
 454    Section 2.3.5). For each model year ( $y$ ), the strength of connectivity ( $C_{N,S}$ ) between each  
 455    seamount spawning site and each alongshore settlement site was calculated as the proportion of  
 456    individuals released over a seamount ( $S$ ) that settled into a nursery area ( $N$ ). Annual connectivity  
 457    matrices  $C_{N,S}(y)$  were constructed for each year.

458

$$459 \quad C_{N,S}(y) = \frac{\text{No.Individuals settling in nursery area } N}{\text{No.Individuals spawned over seamount } S} \quad (10)$$

460

461    The annual connectivity matrices reflect the fraction of individuals released in each spawning  
 462    area that were successfully “recruited” to each nursery area—*independent* of the size of the  
 463    spawning stock in any spawning area. To provide a measure of central tendency, the overall  
 464    median connectivity for each cell in the matrix was computed from the annual connectivity  
 465    matrices:

466

$$467 \quad M_{N,S} = \text{median} \left( C_{N,S}(y) \right)_{y=1997}^{2018} \quad (11)$$

468    In addition, the overall temporal variability in connectivity was estimated using the temporal  
 469    median absolute deviation (Leys *et al.*, 2013) of the annual connectivity matrices:

470

$$471 \quad \sigma MAD_{N,S} = \text{median}_{N,S} \left( \text{abs}(C_{N,S}(y) - M_{N,S}) \right) \cdot 1.4826 \quad (12)$$

472

473    To explore the interannual variability in connectivity between spawning and nursery sites, we  
 474    examined: 1) interannual variability in “total connectivity” ( $C_{TOT}$ ), the sum of all probabilities in  
 475    the connectivity matrix for each year.

476

$$477 \quad C_{TOT}(y) = \sum_{1997}^{2018} C_{N,S}(y) \quad (13)$$

478    To examine the covariance structure of connectivity between seamount spawning sites and  
 479    inshore nursery areas in the GOA in space and time, we employed multivariate empirical  
 480    orthogonal function (EOF) analysis. The EOF method, outlined in detail in Gibson *et al.*, 2019,  
 481    derives spatial covariance across the series of annual mean connectivity matrices for each of the  
 482    twenty-two years simulated. The analysis describes the data in terms of the EOF eigen-modes,  
 483    ordered by the percentage of the total variance explained by each of the modes, which are  
 484    statistically uncorrelated with one another. We present the spatial patterns (“modes”) and  
 associated Principal Component (PC) time series for the first two modes of the analysis.

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### 2.5.2. *Transport Analysis*

A “Path Analysis” was performed to search for common trajectories or areas of the GOA used heavily by the young sablefish as they are transported away from the seamounts. This was achieved by first binning all trajectory locations for all individuals simulated on all days of the simulation into grid cells, then counting the number of individuals within each grid cell. It is important to note that this bin count can include the same individuals on successive days due to retention within a bin, as well as multiple individuals briefly moving through a bin. For brevity, we present the results for individuals ‘spawned’ over S5 (the Welker Seamount), the seamount that had the highest fraction of successfully settling individuals, as well as S1 at the eastern end of the chain and S13 at the western end (refer to Figure 1 for seamount locations). We examine 2002, 2007, 2009, 2010, 2013, and 2018; years indicated by an EOF analysis to have different connectivity patterns.

$$CellCount_{eta,xi} = \sum_1^{365} No. individuals in cell_{eta,xi} \quad (14)$$

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### 2.5.3. *Environmental Indices*

We explored the correlation between a collection of environmental indices and the 1st principle component (PC1) from our EOF analysis. Temperature and transport are thought to impact sablefish recruitment (Shotwell *et al.*, 2014; Gibson *et al.*, 2019) and thus were the focus of our environmental analysis in addition to the Arctic Oscillation index, a large-scale climate index that impacts the North Pacific. Specific indices considered included the temperature along the upper 100m of the 500m isobath, the across-shelf and along-shelf velocity, and the Arctic Oscillation index (AO). To compute the along-shelf and across-shelf flow we first extracted the latitude and longitude of the 500m isobath from the ROMS model grid. Modeled u and v velocity components in the upper 100m were interpolated to these locations along the 500m isobath. Examples of the spatially explicitly annual averaged velocity over the GOA, along with the location of the 500m isobath, are shown in Appendix A for 2010 and 2018. From the gradient of the isobath location, we then resolved the velocities into along-shelf and across-shelf components of velocity. The monthly climatology of temperature, salinity, and velocity at each spatial point was determined and this seasonal cycle was removed from the time-series. Finally, the oceanographic variables were averaged seasonal (JFM, AMJ, JAS, OND) and annual over 5-degree bins to give indices for the eastern (135-140°W), east-central (140-145 °W), west-central (145-150 °W), and western (150-155°W) Gulf.

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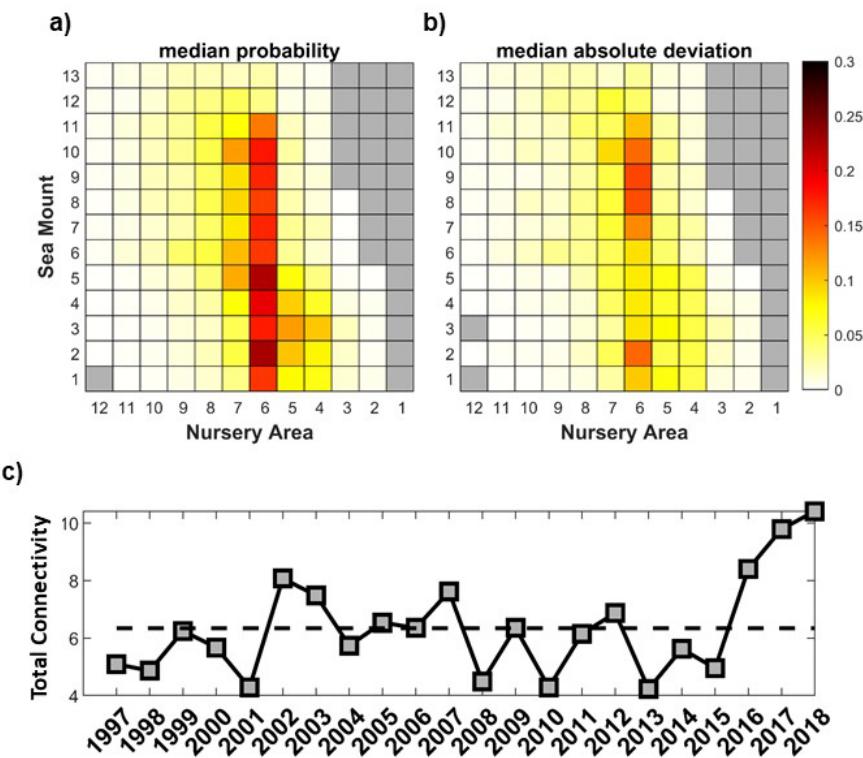
The AO (Thompson and Wallace, 1998) provides a measure of the atmospheric circulation over the Arctic. It consists of a positive phase when surface pressure in the polar region is below average and low and the cold Arctic air (polar vortex) is restricted to the polar region and a negative phase where the opposite is true. This atmospheric phenomenon can govern weather and climate patterns across mid- and high-latitude areas. Monthly average data is readily available ([https://www.daculaweather.com/4\\_ao\\_index.php](https://www.daculaweather.com/4_ao_index.php)) and was used to develop annual and seasonally averaged AO indices.

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## 3. **Results**

Our model experiments indicate that sablefish spawned over any of the seamounts in the Gulf of

528 Alaska seamount province have the potential to be successfully transported to shallow inshore  
 529 nursery areas in the coastal Gulf of Alaska; spawning over some seamounts is significantly more  
 530 likely to produce successful inshore settlement than others. The median connectivity  
 531 (probability of settlement) from the seamounts to the inshore nursery areas (**Figure 9a**) indicates  
 532 that eggs spawned over most seamounts are likely to settle in alongshore areas in the central  
 533 Gulf, primarily in alongshore area 6, followed by alongshore area 7 to the west for eggs  
 534 originating from seamounts 5-10. The easternmost seamounts were also most strongly connected  
 535 to area 6, but individuals from these seamounts were secondarily more likely to settle in areas to  
 536 the east (alongshore areas 4 and 5). Eggs from seamounts S12-S13 have a relatively low  
 537 probability ( $<0.1$ ) of settling in any of the alongshore areas. None of the seamounts produced  
 538 settlers in the easternmost alongshore area (N1). Over the twenty-two-year period examined, the  
 539 maximum connectivity between an individual spawning area and an individual nursery area was  
 540 0.54. This maximum connection was from seamount M9 to nursery area 6 in the central Gulf of  
 541 Alaska in 2018. The second strongest connection (0.47 in 1997) also occurred between this  
 542 seamount-nursery area pair. The strongest annual median connectivity (0.23) was between M2  
 543 and nursery area N6.

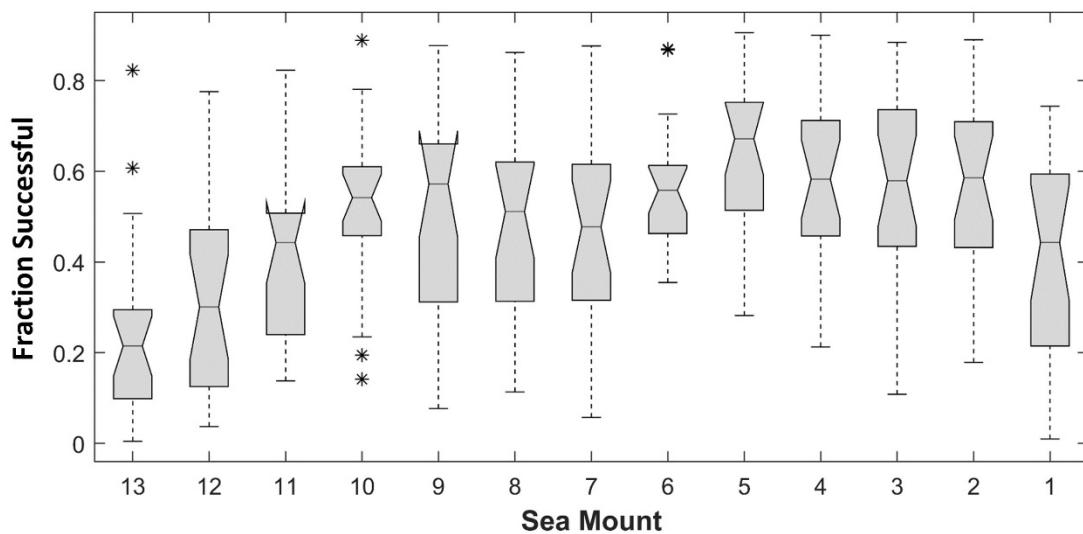


544  
 545 Figure 9. Connectivity matrix showing the median probability that individuals released as eggs  
 546 over each of the seamount spawning areas successfully settled in each alongshore nursery  
 547 area (a), the associated deviation about the median (b), and the total connectivity in each year  
 548 from all seamounts to all nursery areas (c). The total connectivity for each year was computed  
 549 at the end of the simulation (365 days since spawning) and the median was computed from  
 550 annual averages for each of the twenty-two years simulated (1997-2018).

551  
 552 The connection between individual seamounts and nursery areas varied quite strongly inter-  
 553 annually, and the deviation from the median was often of a similar magnitude to the median

554 (Figure 9b). Reflecting the median connectivity pattern, for all seamounts, the largest deviation  
 555 about the median connectivity was to nursery area 6. Total connectivity ( $C_{TOT}$ ) between all  
 556 seamounts and any of the 12 alongshore nursery areas also varied interannually (Figure 9c) with  
 557 no discernible trend over the time series. The maximum ( $C_{TOT}=10.4$ ) occurred in 2018, following  
 558 increasingly larger values in 2016 ( $C_{TOT}=8.4$ ) and 2017 ( $C_{TOT}=9.8$ ). Prior to this recent increase  
 559 in connectivity, the largest connectivity ( $C_{TOT}=8.1$ ) occurred in 2002. A minimum connectivity  
 560 ( $C_{TOT}=4.2$ ) occurred in 2013 with similarly low values ( $C_{TOT}=4.3$ ) in 2001 and 2010. Over all  
 561 years examined, the fraction of eggs that successfully settled as juveniles in any shallow nursery  
 562 areas along the GOA coast (Figure 10) increased from a median of 0.21, for individuals  
 563 ‘spawned’ over the Giacomini seamount (S13) at the far west of the chain, to a median of 0.57  
 564 for individuals ‘spawned’ over the S9. There was a slight decrease in the median fraction  
 565 successful (~0.5) for M6-M8 followed by an increase to a maximum of 0.67 from S5 (the Welker  
 566 Seamount). The fraction successful dropped to ~0.58 for individuals originating from M2-M4  
 567 and decreased again to a median of 0.44 for individuals spawned over S1 (the Hodgkins/Bowie  
 568 complex) at the easternmost end of the chain.  
 569

570 The interquartile range (IQR) in fraction successfully settling over the model years examined  
 571 was smallest (0.15) for S10 and S6 and ranged from 0.2-0.35 for the other seamounts. The size  
 572 of the notches in the boxplots is indicative of the uncertainty in the value of the median and the  
 573 bounds of the notches are determined by  $\text{median} \pm 1.58 * \text{IQR} / \sqrt{n}$ , where  $n$  is the sample size. Here  
 574  $n=22$ , the number of years in our study. It is generally accepted that lack of overlap in the  
 575 notches of two boxes is evidence of a statistically significant difference (at a 95% confidence  
 576 level) in their medians. (McGill *et al.*, 1978). As the notch for S13 does not overlap with the  
 577 notches determined for S1-S11 (Figure 10), we can conclude that the median likelihood of  
 578 successful settlement to any of the alongshore nursery areas was significantly less for individuals  
 579 spawned over seamount S13 than these other seamounts. Likewise, we can conclude that the  
 580 median fraction successful from S5 is significantly higher than the median fraction successful  
 581 from S1, S11, and S12.

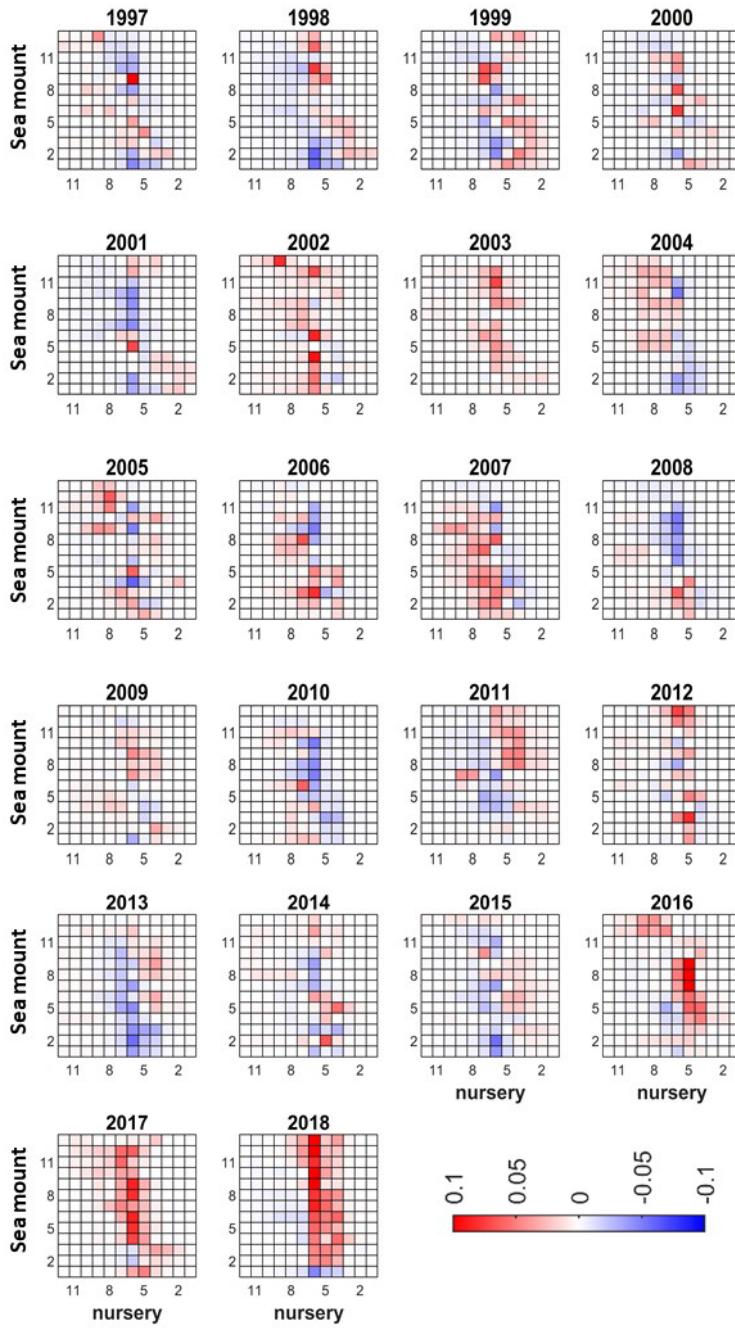


582  
 583 Figure 10. Boxplots showing the probability of individuals released over each seamount (S1-  
 584 S13) settling in any alongshore nursery area. Values were computed for the twenty-two-year  
 585 simulation period (1997-2018). The probability extremes are represented by the upper and

586 lower whiskers and computed as  $Q3 + 1.5 * (Q3 - Q1)$  and  $Q1 - 1.5 * (Q3 - Q1)$  respectively where  
587 Q1 is the 25th percentile and Q3 is the 75th percentile. Outlying data points, beyond the  
588 whiskers, are represented by an asterisk (\*).

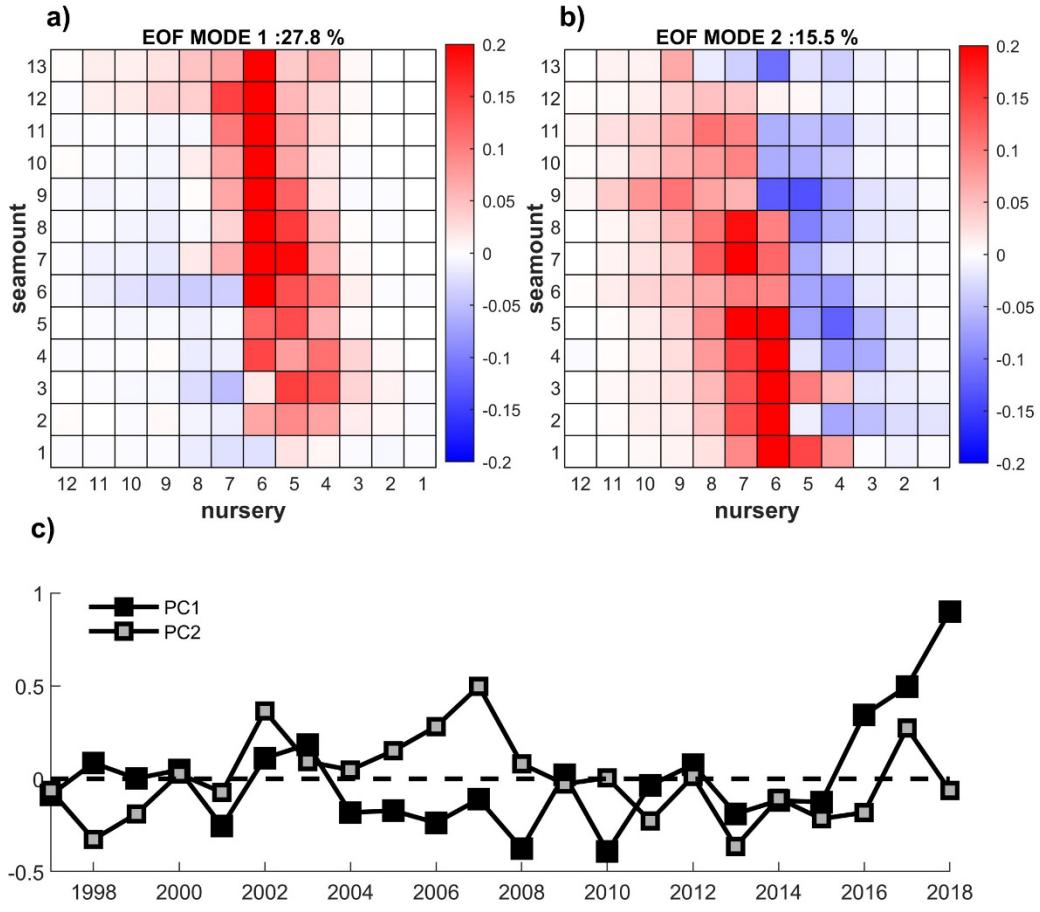
589  
590 Annual deviations from median connectivity between individual seamounts and alongshore  
591 nursery areas (**Figure 11**) show that the change in the strength of the pairwise connections was  
592 not homogenous. In most years, some seamounts and nursery areas had a stronger than median  
593 connection, while others had a weaker than median connection. Years that saw a greater than  
594 median connectivity from the seamounts to nursery areas in the western GOA often had a weaker  
595 than median connectivity to the nursery areas in the eastern GOA. 2003 stands out as a year that  
596 had above median connectivity from most seamounts to most nursery areas. 2016-2018 were  
597 years that broadly exhibited much greater than average connectivity, although connectivity from  
598 some of the eastern seamounts was below average. The dominant patterns in relative  
599 connectivity between seamount and nursery area pairs throughout the 22-year study period are  
600 underscored in the EOF analysis (**Figure 12**). The first two EOFs of the annual connectivity  
601 matrix, which together accounted for 43.3% of the total variance, both show strong similarity in  
602 the strength of the connection from each seamount to each nursery area indicating that there is  
603 synchrony across the seamounts in the GOA seamount province to the nearshore environment.  
604 The first principal component of the EOF analysis (PC1) explained 27.8 % of the total variance  
605 while the second principal component (PC2) explained an additional 15.5 % of the total variance.  
606 In general, positive PC1 scores were associated with stronger connectivity from all seamounts to  
607 nursery areas in the eastern GOA (alongshore areas 1-6) but lower connectivity to the western  
608 GOA (along nursery shore areas 7-11). Positive PC1 scores were associated with increased  
609 connectivity between S12 and S13 at the far western end of the seamount chain and the nursery  
610 areas. Positive PC2 scores are associated with reduced connectivity to the east-central GOA,  
611 especially to nursery areas 4 and 5, but increased connectivity to the west-central GOA (i.e.  
612 nursery areas 7 and 8).

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Figure 11. Annual deviations from the median connectivity for each seamount-nursery area pair.  
Red indicates above median connectivity while blue indicates below median connectivity.

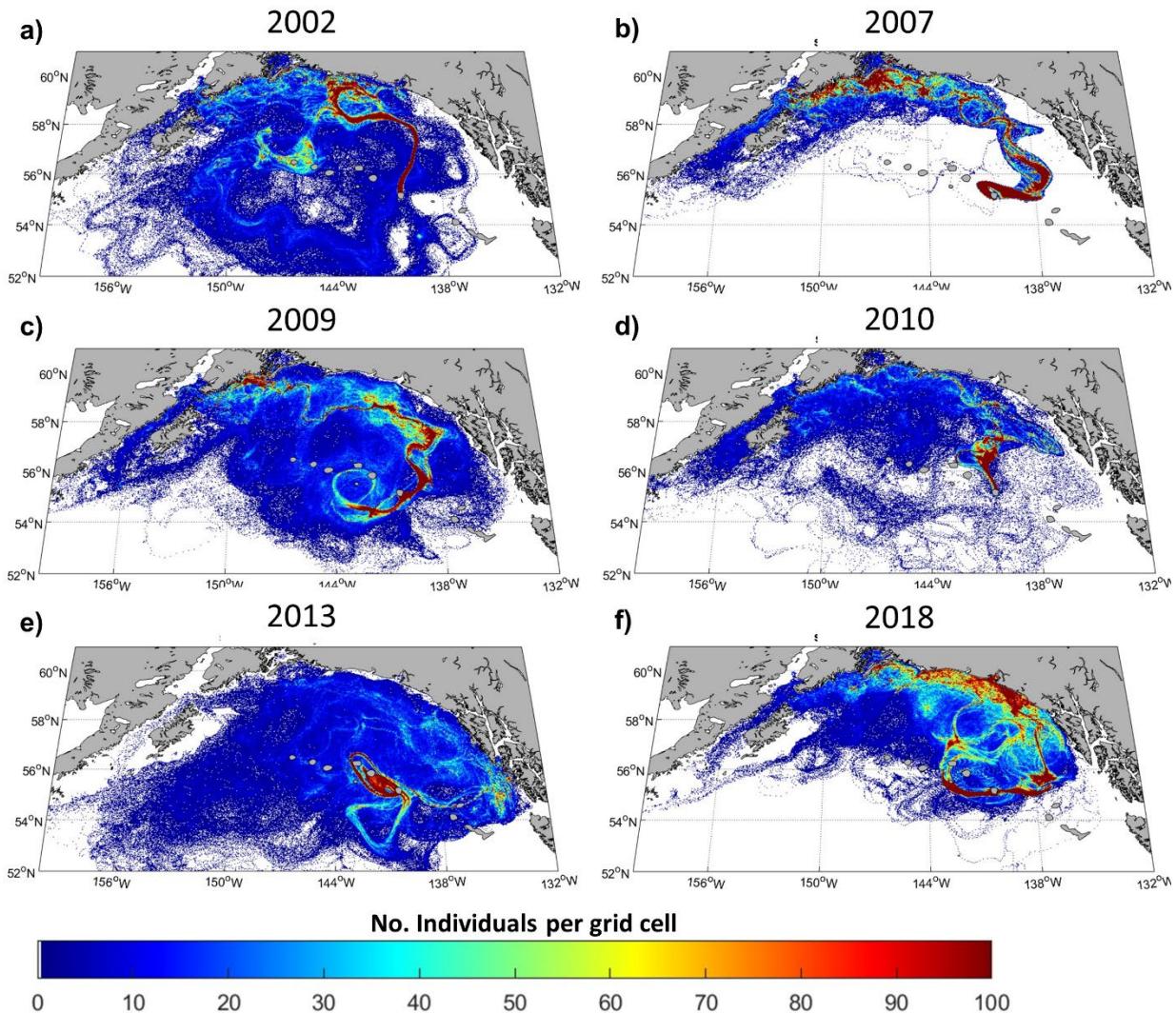


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 621 Figure 12. First (a) and second (b) mode spatial patterns from an EOF analysis of the annual  
 622 mean probability of connection between the spawning area- nursery area pairs across the  
 623 GOA for the 1997-2018 period. The corresponding 1st (black) and 2nd (grey) principal  
 624 component time-series (PC) are shown in (c).  
 625

626 Path analysis visually illustrates that the dominant transport pathways for individuals that  
 627 successfully settled also varied interannually (**Figure 13**). In 2002, a year that had relatively high  
 628 total connectivity, a slightly positive PC1 (0.11) and a strongly positive PC2 (0.36) individuals  
 629 spawned over the Welker seamount (S5), the seamount that had the largest fraction successful,  
 630 were primarily transported directly north before taking a hard westward turn along the shelf  
 631 break before traversing the shelf to the shallow nursery areas in the central gulf (**Figure 13a**). In  
 632 2007, a year with similarly high total connectivity but an even stronger positive PC2 (0.50) and a  
 633 slightly negative PC1 (-0.11), individuals from S5 were initially transported to the north-west  
 634 before sharply reversing direction to the southeast to pass M4 before eventually being  
 635 transported northwards to the coast (**Figure 13b**); Individuals appear to primarily reach the coast  
 636 in the vicinity of N5-N7. In 2009, a year of average connectivity and average PC1 (0.02) and  
 637 PC2 (-0.03) scores there appears to be a bifurcated transport pathway with individuals being  
 638 transported both northeast and southwest away from the seamount (**Figure 13c**); The individuals  
 639 that were transported northwards appear to have been transported westwards in the shelf break  
 640 current with no strong across shelf transport. Both 2010 and 2013 were years with low total  
 641 connectivity. In 2010, PC1 was strongly negative (-0.39) but PC2 was average (0.01) while in

642 2013 both PC1 and PC2 were negative (-0.19 and -0.36 respectively). In both years the path  
 643 analysis indicates that most individuals were trapped in eddies in the vicinity of the seamounts  
 644 (**Figures 13 d and e**). Individuals that made it to a coastal settlement area did not follow a well-  
 645 defined path. 2018 had the strongest total connectivity (10.4) of the years examined. While PC2  
 646 was slightly below average (-0.06) PC1 was strongly positive (0.9). While the initial transport  
 647 away from the seamount was also bifurcated in this year, with concentrated transport both to the  
 648 east and west (**Figure 13f**), most individuals were then transported northwards and crossed  
 649 directly onto the shelf to reach the coast between N3 and N5.

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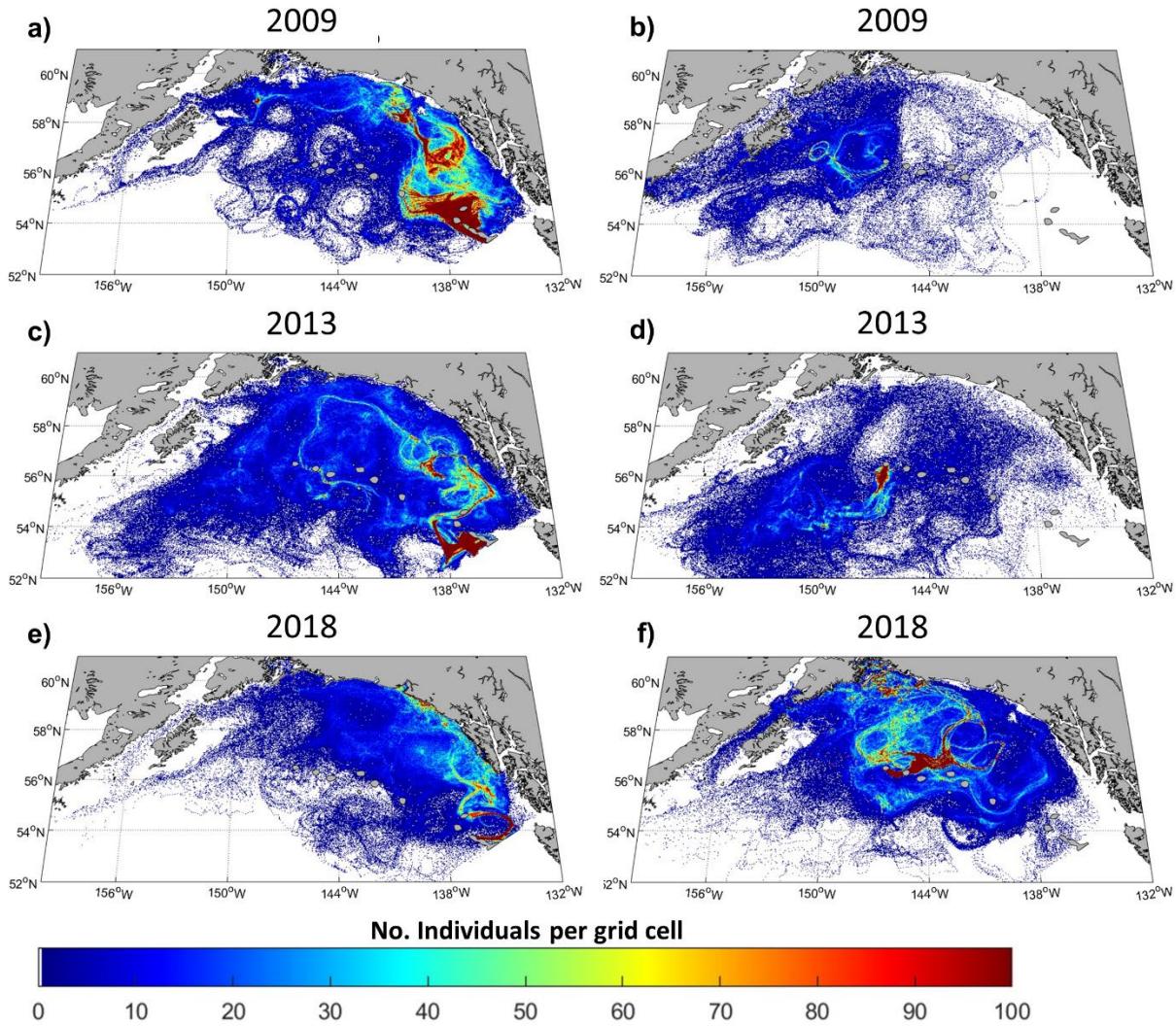
653  
 654 Figure 13. Path analysis shows the dominant pathways taken by individuals as they are  
 655 transported away from the Welker seamount (S5). The count per grid cell is a sum of all  
 656 individuals in a cell for each of the 365 days of the experiment.

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658 Transport from the other seamounts in the chain also varied quite markedly interannually. For  
 659 example, path analysis for seamount 1 indicates that in 2009, a year with average connectivity

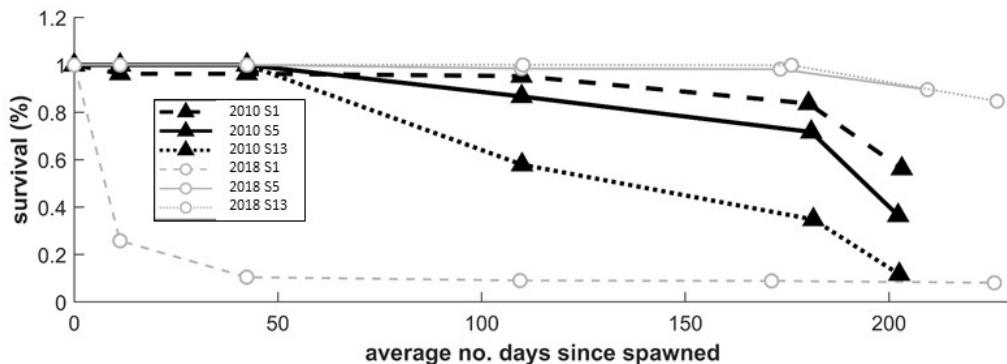
660 and average PC1 and PC2, there was initially strong retention of the individuals around the  
661 easterly seamounts before transport on and across the shelf to coastal settlement areas (**Figure**  
662 **14a**); there was no strong transport from S13 to the settlement areas (**Figure 14b**). In 2013, a  
663 year with low total connectivity, individuals from S1 appear to be initially retained south of the  
664 seamounts before being transported north-eastwards, towards the coast; however, there was no  
665 strong transport pathway across the shelf (**Figure 14c**). In this year, individuals from S13 also  
666 appear to have been initially south of the seamount and were then broadly dispersed around the  
667 gulf with no clear transport coastwards (**Figure 14d**). In 2018, the year with the strongest total  
668 connectivity, a transport pathway east then north from S1 to the coast is apparent (**Figure 14e**)  
669 while individuals from S13 were transported eastwards as far as S12 before taking multiple paths  
670 north to reach the coast (**Figure 14f**).  
671

672 The survival of individuals from one life stage to the next varied by seamount spawning site and  
673 year. Even in years with high overall connectivity to the inshore nursery sites, individuals from  
674 some seamounts had low survival. For example, in 2018, the year with the highest overall  
675 connectivity, only 26% of the eggs released from S1, the Hodkins-Bowie complex, successfully  
676 transitioned to the yolk-sac larval stage (**Figure 15**) and only 10% transitioned to the feeding  
677 larvae stage. Examination of the individual life histories indicates that this is because the  
678 individuals were lost from the system via advection to the east and out of the model domain. In  
679 2010, a year with relatively low connectivity between seamounts and nursery areas most  
680 individuals (>96%) successfully transitioned through to the feeding larvae stage (**Figure 15**).  
681 However, only 58% of individuals initiated over S13 transitioned to epi-pelagic juveniles and  
682 only 35% transitioned to juveniles. Examination of these life histories reveals that this was due to  
683 the individuals being transported out of the system through the western edge of the model grid.  
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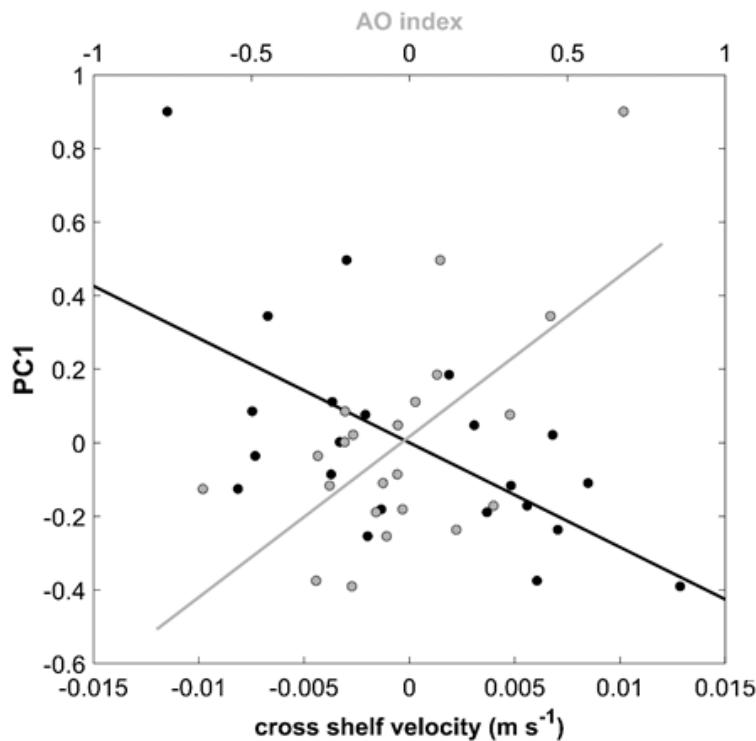
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Figure 14. Path analysis showing the transport pathways taken by individuals as they are transported away from the Hodgkins-Bowie complex (S1) at the eastern end of the seamount chain (a, c, e) and the Giacomini seamount (S13) at the western end of the chain (b, d, f). The count per grid cell is a sum of all individuals in a cell for each of the 365 days of the experiment.



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Figure 15. Fraction of individuals surviving to each life stage transition for individuals released from seamount S1 (Hodkins-Bowie), S5 (Welker), and S13 (Giacomini) in 2010 and 2018.



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Figure 16. Relationship between PC1 and annual average cross-shelf velocity ( $r=-0.62$ ,  $p=<0.01$ ) in the eastern Gulf of Alaska ( $135^{\circ}$ - $140^{\circ}$ W, black points and line) and PC1 and the July-September Arctic Oscillation index ( $r= 0.64$ ,  $p=<0.01$ , grey points and line).

704 The PC1 time series from our EOF analysis of the connectivity matrices were negatively  
705 correlated ( $r=0.62$  respectively) with the annual average on-shelf flow in the Eastern GOA  
706 (Table 2, Figure 16). No significant correlations were found between PC1 and on-shelf flow in  
707 other regions or seasons (Table 2). The average temperature and salinity along the 500m isobath  
708 in each season and domain in the GOA also showed no relationship to PC1. We found a positive

709 correlation between both PC1 ( $r=0.64$ ) and  $C_{TOT}$  ( $r=0.69$ ) and the AO time series for the summer  
710 (July-September) time period (**Figure 16**). No significant correlations were found between either  
711 metric and the AO for other seasons, and no relationship was found between  $C_{TOT}$  and on-shelf  
712 velocity or temperature in any season.

713

#### 714 4. Discussion

715 Using an IBM of sablefish, we have demonstrated that if sablefish were spawned over any of the  
716 seamounts in the GOA seamount province it is likely that at least some individuals will be  
717 successfully transported to shallow inshore nursery areas in the coastal GOA. Due to their  
718 distance from shore, sampling over the seamounts is relatively rare. However, females that have  
719 recently spawned, or are ready to spawn, have been observed over the seamounts (Maloney,  
720 2004) which has raised the questions of the role of these geographic features in sablefish life  
721 history. Here we have shown that larval transport hundreds of miles from the seamounts to  
722 suitable nursery habitat along the Gulf of Alaska coast within an appropriate timeframe is not  
723 only possible, but likely. Our simulated individuals currently only exhibit vertical movement  
724 behavior, thus the predicted on-shore transport results from the prevailing currents and not due to  
725 any geographic or environmental homing capabilities.

726

727 In general, the strongest connection from each of the seamounts in the chain was to the central  
728 GOA nursery areas. While in some years juvenile sablefish are found in nursery habitats all  
729 along the GOA coast, often their nursery areas are thought to be more restricted. For example,  
730 juvenile sablefish are consistently found in St. John Baptist Bay (SJBB) in Southeast Alaska.  
731 While a small percentage of individuals released over the seamounts at the eastern end of the  
732 chain were transported to nursery areas near SJBB (alongshore nursery areas three), it was not a  
733 dominant mode of connectivity. An earlier version of the sablefish IBM (Gibson *et al.*, 2019)  
734 indicated that transport to this bay is also not the most likely outcome for individuals spawned  
735 over the continental shelf. This led the authors to hypothesize that individuals settling in SJBB  
736 were either taking advantage of sub-mesoscale physical transport mechanisms not captured by  
737 the model, or they were originating from source areas to the south of the model domain – i.e. off  
738 of the coast of Washington. Connectivity between most seamounts and inshore areas was not  
739 significantly different and EOF analysis found that connectivity from the seamounts tends to  
740 vary in unison. The connectivity from the westernmost seamount in the chain (S13) was  
741 significantly less than for most of the other seamounts. It is likely that individuals ‘spawned’  
742 over this far western seamount exited the GOA to the west, as was the case for individuals  
743 spawned off of the western GOA shelf break in an earlier version of the model (Gibson *et al.*,  
744 2019).

745

746 It is not typical for research surveys to extend much beyond the continental shelf break due to  
747 time and logistical constraints. However, in addition to the limited evidence of adult sablefish  
748 spawning over the seamounts (Maloney 2004), juvenile sablefish have been observed close to the  
749 seamounts on a few occasions. During a research cruise in the eastern GOA that occurred July-  
750 August 2016, age-0 sablefish were found beyond the shelf break (Strasburger, *et al.*, 2018), in  
751 the vicinity of seamounts; however, it was not known where these individuals originated from. A  
752 much older research cruise in the eastern GOA during May 1990 (Wing and Kamikawa, 1995)  
753 also found the highest catches of sablefish larvae to be 160km offshore, beyond the shelf break  
754 near the seamounts. The authors attributed their presence to offshore transport resulting from the

755 absence of the Haida and Sitka eddies, as well as an absence of the more typical downwelling  
756 system that is often found in the region.

757  
758 While the prevailing oceanography across the GOA plays a crucial role in the onshore transport  
759 of individuals that were spawned over the seamounts our results show that the strength of the  
760 cross-shelf velocity is not the primary factor in determining the likelihood of transport to nursery  
761 areas. Both total connectivity and the 1<sup>st</sup> PC for connectivity (PC1) between the seamounts and  
762 the inshore nursery areas was negatively correlated with the annual averaged on-shelf velocity in  
763 the eastern GOA and no other correlations between seasonal or annually-averaged on-shelf  
764 velocity could be found. In 2018, the year with the strongest simulated connectivity, the on-shelf  
765 velocity in the east was the lowest of all years examined. Path and survival analysis indicates that  
766 in this year individuals from the western end of the seamount chain were transported east and  
767 retained in the GOA where they could successfully transition through the early life stages  
768 becoming juveniles with the ability to settle, rather than being advected out of the system to the  
769 west, as is more typical. A positive correlation between PC1 and the AO index suggests that the  
770 mechanisms impacting the transport of individuals from the seamounts to the inshore areas could  
771 be acting on a gulf-wide scale. We speculate that the size, strength, location, and direction of the  
772 eddies that populate the GOA (i.e. Appendix A) in any given year, and the temporal and spatial  
773 alignment of these features with sablefish early life history, are important in determining  
774 transport success. Indeed, Shotwell *et al.* (2014) discussed that young of the year (YOY)  
775 sablefish entering surface waters may be entrained in coastally-derived eddies translating along  
776 the shelf-break. Similarly, Goldstein *et al.* (2020) found that cross-shelf transport and settlement  
777 of Arrowtooth flounder in the GOA was augmented by transient retentive mesoscale eddies.  
778 Anticyclonic eddies may become trapped as they translate along the shelf-break through the  
779 Alaskan Stream eddy corridor during periods of increased circulation (Henson and Thomas,  
780 2008). This may increase the entrained nutrients in a given area and allow for more productive  
781 waters and transport of larval fish along the eddy path (Atwood *et al.*, 2010). The timing of these  
782 mesoscale features can be short or long-lived (Okkonen *et al.*, 2003; Ladd *et al.*, 2007) and it  
783 could simply be a matter of luck as to whether the timing of sablefish spawning coincides with  
784 the favorable transport by an eddy. This idea is reinforced by the large deviation about median  
785 connectivity and by our path analysis which shows that there are markedly different pathways  
786 taken by successful individuals year on year.

787  
788 Sablefish recruitment is defined as the number of age-2 sablefish entering the population as  
789 estimated in the stock assessment model (Hanselman *et al.*, 2014). Past analysis (Gibson *et al.*,  
790 2019) found that the total connectivity between all potential sablefish spawning sites along the  
791 GOA continental shelf and inshore nursery areas showed a stronger correlation with recruitment  
792 estimates than the strength of connections to or from specific regions. While we have not directly  
793 related the connectivity from seamount sites to recruitment, it is worth noting that the most  
794 recent years for which recruitment estimates are available (2018 through 2020) correspond to  
795 simulation years with strong connectivity two years prior (2016 through 2018) when the  
796 individuals would have been in the larval stage and being transported onto the shelf (Goethel *et*  
797 *al.*, 2021). We speculate that the increase in recruitment could be related to an increase in  
798 seamount-spawned individuals reaching favorable settlement areas. The ecosystem and  
799 socioeconomic profile (ESP) associated with the sablefish stock assessment (Goethel *et al.*,  
800 2021, cf. Appendix 3C,) provides a series of indicators for monitoring ecosystem linkages to the

801 stock. The sablefish ESP states that catch per unit effort of sablefish in nearshore surveys along  
802 the western GOA and the Aleutian Islands has been the highest in the time series over the years  
803 2018 to 2021 approximately corresponding to sablefish year classes from 2015 to 2018. Catch  
804 per unit effort of sablefish has also increased in the sablefish targeted pot fishery and incidental  
805 catch has increased in the non-sablefish target fisheries of the eastern Bering Sea since 2016. All  
806 three indicators support the idea of higher connectivity years, demonstrated in this analysis, and  
807 also suggest that the sablefish can utilize different habitats when their population expands or  
808 environmental conditions change.

809  
810 As noted earlier, the connectivity from the western GOA (both seamount and slope region) was  
811 lower than other areas investigated and likely due to individuals exiting the system. Verification  
812 of this distribution shift into the Aleutian Islands and the eastern Bering Sea due to connectivity  
813 increases and contributions of the seamounts in the most recent years could be possible if these  
814 individuals were able to be tracked further into these areas. This type of exploration would  
815 require an expansion of the 3km ROMS GOA model past the western boundary through the  
816 Aleutian Islands and into the Bering Sea. If a continuous ROMS model were developed for the  
817 whole Alaska region, we would be able to test such linkages and also develop more relevant  
818 indicators for the management of the fishery in either the sablefish ESP or for use specifically in  
819 the stock assessment model.

820  
821 The spawning center for sablefish has historically been thought to be in deep water over the  
822 GOA continental shelf. If the seamounts do indeed play a role in the life history of the species it  
823 may be useful to consider the potential contribution of the seamount habitat to the sablefish  
824 population. While we have demonstrated that if sablefish did spawn over the seamounts,  
825 transport to coastal nursery areas is likely, we acknowledge the present limits of our  
826 understanding as to the contribution of the seamount population to the sablefish population as a  
827 whole. To address this question would require a true measure of the spawning biomass on the  
828 seamounts to compare potential contributions of recruits relative to the contribution by the more  
829 consistently monitored slope population. Sablefish are managed in Alaska using a harvest control  
830 rule that aims to preserve sufficient spawning biomass. With seamounts being a potentially  
831 important spawning site for sablefish this presents future research priorities for ground-truthing  
832 with fishery or fishery-independent data. Sampling for YOY sablefish has been conducted in the  
833 past (Sigler *et al.*, 2001, Strasburger *et al.*, 2018) including tagging of YOY (Strasburger *et al.*,  
834 2018). Tag recovery information from larval sablefish tagged in the vicinity of the seamount  
835 areas would be valuable, although technically challenging due to their small size, in validating  
836 our findings and represents a research priority that would help evaluate the importance of  
837 seamounts to sablefish populations in the Pacific.

838  
839 Information on connectivity from this IBM could also be used to inform the movement of  
840 sablefish during their first year of life within a spatially integrated life cycle model that is in  
841 development for Alaska sablefish (Goethel *et al.*, 2021). This type of stock assessment model  
842 could be used to generate regional estimates of recruitment that can then be linked with relevant  
843 environmental indicators as explored in the ESP to understand spatial shifts in the sablefish  
844 population. Explorations of alternative habitats and hypotheses regarding the designation of  
845 stock distributions used in management, such as the use of seamounts for Alaska sablefish, may  
846 become more important with increased environmental variability due to climate change. This  
847 study provides an example of utility for IBMs in testing habitat expansions or distributional

848 shifts and contributing to next-generation stock assessments (Lynch *et al.*, 2018).  
849

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1091 **Table 1. Summary of number of individuals released over each seamount, and the**  
 1092 **seamount area determined at a bathymetric depth of 2,500m.**

Seamount No.	Seamount Name	Area at 2500m below sea level.	No. Individuals
1	Hodgkins-Bowie	1864	44,904
2	-	598	14,376
3	Dickens	459	11,040
4	-	68	1,608
5	Welker	549	13,236
6	Durgin	709	17,082
7	Applequist	158	3,804
8	Pratt	630	15,198
9	-	36	846
10	-	62	1,476
11	Surveyor	664	16,008
12	Quinn	503	12,108
13	Giacomini	378	9,090
			Total: 160,776

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**Table 2.** Pearson's linear correlation coefficient as a measure of the degree of linear dependence between PC1 and  $C_{TOT}$  and indices quantifying cross-shelf velocity and temperature along the 500m isobath (as predicted by the ROMS model) averaged over the upper 100m, and between PC1 and  $C_{TOT}$  and the Arctic Oscillation index. No mathematical correction was made for multiple comparisons. Correlations are rounded to two decimal places. Associated  $p$ -values are also reported, and correlations with a  $p$ -value  $< 0.1$  are indicated with an asterisk.

Variable	PC1		$C_{TOT}$	
	<i>r</i>	<i>p</i>	<i>r</i>	<i>p</i>
<i>East</i>				
Spring Cross Shelf Velocity	-0.31	0.15	-0.09	0.70
Summer Cross Shelf Velocity	-0.33	0.13	-0.20	0.38
Annual Cross Shelf Velocity	-0.62*	<0.01	-0.35	0.11
<i>East Central</i>				
Spring Cross Shelf Velocity	0.06	0.78	0.10	0.65
Summer Cross Shelf Velocity	0.07	0.77	0.13	0.55
Annual Cross Shelf Velocity	0.08	0.74	0.18	0.42
<i>West Central</i>				
Spring Cross Shelf Velocity	-0.06	0.78	0.03	0.88
Summer Cross Shelf Velocity	-0.05	0.81	-0.06	0.79
Annual Cross Shelf Velocity	0.03	0.89	-0.01	0.98
<i>West</i>				
Spring Cross Shelf Velocity	0.21	0.35	0.09	0.69
Summer Cross Shelf Velocity	0.22	0.33	0.24	0.29
Annual Cross Shelf Velocity	0.38	0.08	0.27	0.23
<i>East</i>				
Spring Cross Shelf Temp.	-0.07	0.77	-0.09	0.70
Summer Cross Shelf Temp.	-0.10	0.65	-0.13	0.56
Annual Cross Shelf Temp.	-0.05	0.80	-0.11	0.62
<i>East Central</i>				
Spring Cross Shelf Temp.	-0.13	0.56	-0.19	0.41
Summer Cross Shelf Temp.	-0.18	0.42	-0.18	0.42
Annual Cross Shelf Temp.	-0.09	0.68	-0.15	0.50
<i>West Central</i>				
Spring Cross Shelf Temp.	-0.11	0.64	-0.17	0.46
Summer Cross Shelf Temp.	-0.30	0.18	-0.30	0.17
Annual Cross Shelf Temp.	-0.13	0.56	-0.18	0.42
<i>West</i>				
Spring Cross Shelf Temp.	-0.07	0.75	-0.13	0.56
Summer Cross Shelf Temp.	-0.22	0.32	-0.29	0.19
Annual Cross Shelf Temp.	0.02	0.93	-0.15	0.50
<i>Arctic Oscillation Index</i>				
Jan.-Mar.	0.25	0.26	0.33	0.13
Apr.-Jun.	0.25	0.27	0.17	0.46
Jul.-Sep.	0.64*	<0.01	0.69*	<0.01
Oct.-Dec.	-0.16	0.49	-0.19	0.40

Annual	0.28	0.20	0.30	0.17
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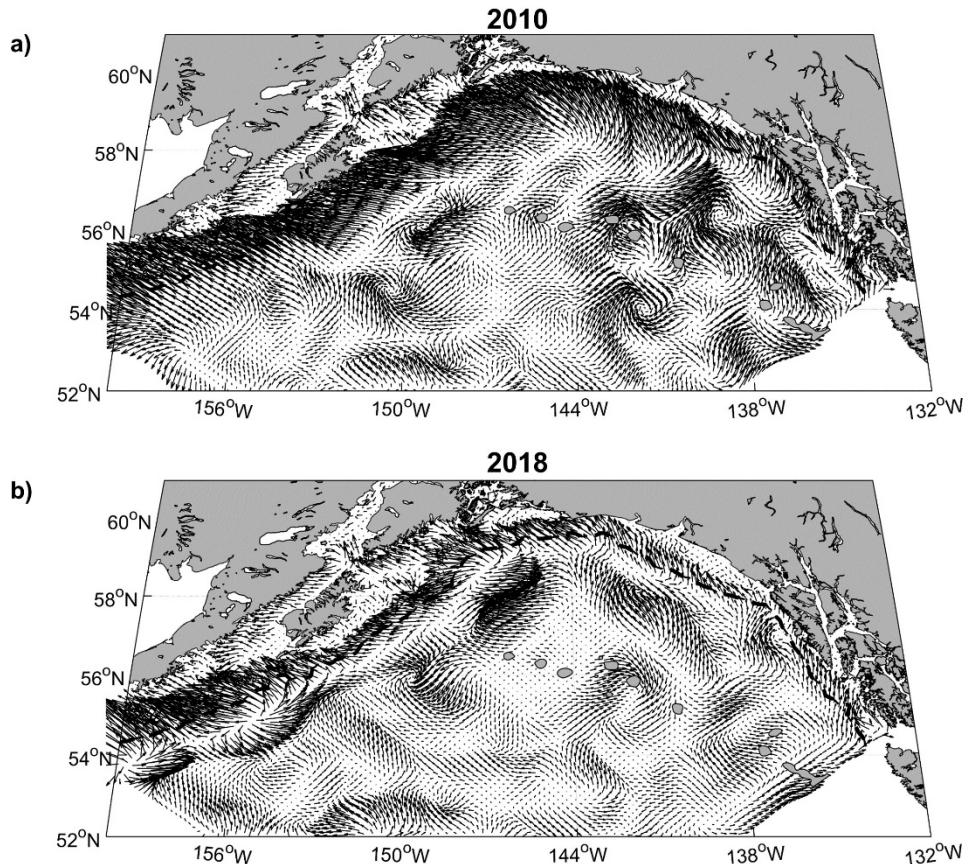
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1104 Appendix A

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1106 Spatially explicitly annual averaged velocity over the GOA for a) 2010 and b) 2018. Velocities  
 1107 were averaged over the upper 100 meters of the water column. The location of the 500m isobath  
 1108 is also shown (dashed line).



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