1	Sea spray aerosol makes up a small fraction of marine cloud condensation nuclei
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7	
8	Sea spray aerosols impact Earth's radiation balance by directly scattering solar radiation.
9	They also act as cloud condensation nuclei, thereby altering cloud properties including
10	reflectivity, lifetime, and extent. The influence of sea spray aerosol on cloud properties is
11	thought to be particularly strong over remote ocean regions devoid of continental particles.
12	Yet the contribution of sea spray aerosol to the population of cloud condensation nuclei in
13	the marine boundary layer remains poorly understood. Here, using a lognormal mode fitting
14	procedure, we isolate sea spray aerosols from measurements of particle size and abundance
15	over the Pacific, Southern, Arctic, and Atlantic oceans to determine the contribution of sea
16	spray aerosol to the population of cloud condensation nuclei in the marine boundary layer.
17	On a global basis, with the exception of the high southern latitudes, sea spray aerosol makes
18	a contribution of less than 30% to the cloud condensation nuclei population for air that is
19	supersaturated at 0.1 to 1.0%the supersaturation range typical of marine boundary layer
20	clouds. Instead, the cloud condensation nuclei population between 70°S and 80°N is
21	composed primarily of non-sea salt sulfate aerosols, due to large scale meteorological
22	features that result in entrainment of particles from the free troposphere.

24	The wind-driven production of SSA is one of the largest global sources of primary atmospheric
25	aerosol particles on a mass concentration basis <sup>26</sup> . Measurements in the MBL reveal that the
26	primary inorganic sea salt component of SSA dominates the marine aerosol mass size
27	distribution, especially for diameters larger than about 500 nm (geometric mean diameter, $D_{g}$ ,
28	at 80% RH) <sup>27, 28</sup> . As a result, SSA is known to be the dominant contributor to aerosol light
29	scattering in the MBL <sup>29, 30, 31</sup> . Model estimates of the cooling at Earth's surface due to
30	scattering by SSA range from 0.08 to 6 W m <sup>-2 32</sup> . The wide range of these estimates results, in
31	large part, from uncertainty in the SSA source function <sup>33</sup> . The contribution of SSA to the MBL
32	CCN population and its relative importance in indirect effects over ocean regions is even more
33	uncertain <sup>34</sup> .
34	
35	The MBL number size distribution consists of a varying and complex mixture of primary SSA

36 (including organics and inorganic sea salt), secondary non-sea salt (nss) SO<sub>4</sub><sup>=</sup> resulting from the 37 oxidation of ocean-derived dimethyl sulfide (DMS), secondary ocean-derived organics, and 38 aerosols and precursor gases emitted from continental sources (fossil fuel combustion, biomass burning, dust, and biogenic emissions). Recent studies have focused on the organic component 39 of SSA as a potentially major source of MBL particle number <sup>35, 36, 37, 38, 39</sup>. Isolating and 40 41 quantifying the SSA fraction of the total MBL CCN population is challenging. The few reported 42 direct chemical measurements of SSA number concentrations have employed either detection of emissions from thermally excited sodium atoms <sup>40, 41</sup> or Transmission Electron Microscopy 43

(TEM) with energy-dispersive X-ray analysis <sup>42, 43</sup>. Indirect methods, based on aerosol volatility,
 have also been used <sup>44, 45</sup>. The use of all of these methods has been limited, however.

46

47 Another approach for determining the SSA fraction of the total MBL number population using a 48 lognormal-mode-fitting procedure that isolates the SSA mode from the total aerosol number 49 size distribution has recently been reported<sup>46</sup>. The resulting mode is similar in shape to a 50 canonical SSA size distribution constructed from number size distributions measured in the MBL 51 over the period from 1951 to 2001<sup>47</sup> and generated from simulated breaking waves in a wave 52 channel <sup>48</sup>. The broad nature of the mode, with a  $D_g$  between 0.16 and 0.3  $\mu$ m (dry RH) and a 53 geometric standard deviation ( $\sigma_g$ ) of 3, indicates that it encompasses a broad range of diameters that may result from different wind-driven production mechanisms <sup>49, 50</sup>. To date, 54 55 this approach has been applied to data collected during a cruise off the coast of California in 2011 <sup>46</sup>. It was found that SSA typically made up less than 15% of the MBL particle number 56 57 concentration and 5 to 63% of the CCN at supersaturations less than 0.3%. 58 59 To extend these results to the world's oceans, we have applied a similar lognormal-mode-fitting 60 procedure to MBL aerosol number size distributions measured during seven research cruises in 61 the Pacific, Southern, Arctic, and North Atlantic Oceans between 1993 and 2015 (Figure 1; Table 62 S1). Cruises conducted during this period that were strongly influenced by continental aerosols 63 (aerosol light absorption coefficient > 0.80 Mm<sup>-1</sup> and Radon concentration > 540 mBq m<sup>-3</sup>) were

64 omitted. As a result, this analysis provides an upper bound on the contribution of SSA to the

65 MBL CCN population. Measured mass concentrations of Na<sup>+</sup>, a proxy for sea salt, were used to

confirm the ocean source of the lognormally fit SSA mode (Methods). Presented here are observed contributions of SSA to the MBL particle number and CCN populations for four different oceans over latitudes ranging from 70°S to 80°N. These results do not include potentially significant impacts of giant CCN ( $D_g > 10 \mu m$ ) on cloud optical properties and precipitation <sup>51</sup> due to the size range of particles considered.

71

## 72 Number Fraction of Sea Spray Aerosol Particles

Three modes resulted from lognormal fitting of the measured number size distributions including Aitken and accumulation modes with values of D<sub>g</sub> ranging from 0.02 to 0.08  $\mu$ m and 0.10 to 0.23  $\mu$ m, respectively, and a SSA mode with values of D<sub>g</sub> ranging from 0.17 to 0.45  $\mu$ m ( $\sigma_g = 2.2$  to 2.8) (Figure 2a; Table S2). Differences in sampling humidities and size distribution instrumentation contributed to the variability between cruises (Methods). In addition, volume modes were derived from the number modes (Figure 2b).

79

For an independent check on the existence of a SSA mode within the measured number size distributions, mass concentrations of sub-10  $\mu$ m Na<sup>+</sup> from IC analysis of impactor samples were compared to the number of particles within the corresponding averaged size distribution with diameters greater than 0.5  $\mu$ m, N<sub>(Dg > 0.5  $\mu$ m). Restricting the summed number concentration to this size range omits all of the Aitken mode and most of the accumulation mode, thereby isolating the SSA mode (Figure 2a). A linear regression between sub-10  $\mu$ m Na<sup>+</sup> and N<sub>(Dg > 0.5  $\mu$ m) concentrations resulted in coefficients of determination, r<sup>2</sup>, ranging from 0.51 to 0.89 for the</sub></sub>

87 seven experiments (Figure S1), indicating that particles in the  $D_g > 0.5 \mu m$  size range were 88 composed primarily of sea salt, confirming the existence of a SSA mode.

89

90 To confirm that the fitting procedure successfully isolated the SSA mode, sub-10  $\mu$ m Na<sup>+</sup> mass 91 concentrations were compared to total number and volume concentrations within the lognormally fit SSA mode. The resulting r<sup>2</sup> values ranged from 0.21 to 0.89 and 0.48 to 0.94 for 92 93 the number and volume modes, respectively, for the seven cruises (Figure S2; Table S3), 94 indicating that the fitting procedure was able to isolate the SSA mode. To identify the 95 composition of the Aitken and accumulation modes, the number and volume concentration in 96 each were compared to mass concentrations of sub-0.18  $\mu$ m and sub-1  $\mu$ m nss SO<sub>4</sub><sup>=</sup> from IC 97 analysis and OC from thermal-optical analysis of impactor samples. Accumulation mode 98 number and volume concentrations were moderately to strongly correlated with nss  $SO_4^{=}$  (r<sup>2</sup> = 99 0.20 to 0.92) for all cruises, while weak to moderate correlations were found for OC (r<sup>2</sup> of 0.03 100 to 0.54) (Figure S2; Table S3). Based on these results, nss SO<sub>4</sub><sup>=</sup> dominated the accumulation 101 mode size range although OC also contributed. Few significant correlations were found 102 between Aitken mode number or volume concentrations and sub-0.18 μm Na<sup>+</sup>, nss SO<sub>4</sub><sup>=</sup>, or OC 103 mass concentrations (Table S3, S4), likely due to the low mass concentrations in this size range 104 leading to larger sampling and fitting uncertainties (Methods; Figure S3). Measured average 105 mass concentrations of Na<sup>+</sup>, nss SO<sub>4</sub><sup>=</sup>, and OC are given in Table S4. 106

107 The number fraction of SSA was calculated from the total number concentration in the
 108 lognormally fit SSA mode divided by the sum of the number concentration in the Aitken,

accumulation, and SSA fitted modes (Figure 3a). Values from the seven experiments were then combined into 10° latitude bins (Figure 3b). Table S5 lists the binned modal number fractions and the seasonal coverage for each bin. Across all latitude bins, from 70°S to 80°N, the SSA mode made up 15% or less of the total particle number concentration. Averaging all data into 2 m s<sup>-1</sup> wind speed bins indicates that SSA number concentration and fraction increase with wind speed (Figure 3c), with the number fraction being less than 25% for wind speeds up to 20 m s<sup>-1</sup>.

116 The Aitken mode dominated the particle number concentration between 70°S and 20°S with 117 average number fractions ranging from 48 to 72% (Figure 3b; Table S5). Data within this 118 latitude band were obtained from cruises that took place in the Pacific and Southern Oceans 119 during Austral fall, summer, and spring. Similar large scale meteorological features were 120 present during all of these cruises. At latitudes south of ~40°S, low pressure systems moved through the sampling region every few days <sup>1, 2, 3</sup>. Air mass back trajectories indicated that 121 122 entrainment of air from above the MBL height of about 1000 m was associated with the frontal passages <sup>3, 2</sup>. During one cruise, ACE-1 Leg 1, aircraft observations revealed layers of high 123 124 concentrations (2000 to 4000 cm<sup>-3</sup>) of ultra-fine particles ( $D_g$  of 0.012  $\mu$ m) associated with cloud outflows <sup>4</sup>. Modeling studies <sup>5</sup> and observations <sup>6, 7</sup> have shown that conditions in cloud 125 126 outflow regions in the free troposphere (FT) are favorable for new particle production via homogeneous nucleation of precursor gases <sup>5, 6, 8, 9</sup>. Hence, air entrained from the FT often 127 128 contains Aitken mode particles. Once in the MBL, Aitken mode particles grow to the 129 accumulation mode size range through vapor condensation and accumulation of mass during 130 cloud processing in stratus and stratocumulus clouds <sup>10, 11</sup>. The calculated trajectories also

131 showed that the frequent frontal passages limited the residence time of MBL Aitken mode 132 particles to one to three days, which prevented growth to the accumulation mode size range 133 before removal through wet deposition <sup>1, 3</sup>. As a result, the average number fraction of Aitken 134 mode particles was larger than that of accumulation mode particles. This pattern persisted in 135 the southern mid-latitudes (~40°S to 20°S) due to the occurrence of strong high pressure 136 systems and associated subsidence of air from the FT.

137

138 Aitken and accumulation mode number fractions were comparable in the tropics of the 139 western and central Pacific (Figure 3a,b; Table S5). Stable air masses and persistent trade wind 140 flow resulted in longer aerosol residence times (5 days or more based on calculated back 141 trajectories), which allowed for growth of the Aitken mode to accumulation mode sizes<sup>3, 2</sup>. Data 142 from the 20°N to 60°N latitude band were obtained from cruises that took place in the Pacific 143 and Atlantic Oceans in spring and fall. Meteorological features for all cruises in this latitude 144 band included periods of stable cloud-topped MBLs disrupted by frontal passages with 145 associated entrainment, resulting in variable Aitken and accumulation mode number fractions 146 (29 to 51% and 35 to 57%, respectively). Continental sources may also have contributed to 147 variability in the calculated number fractions.

148

The accumulation mode dominated the particle number concentration in the 60°N to 80°N latitude band with number fractions of 65% (60°N to 70°N) and 75% (70°N to 80°N) (Figure 3b; Table S5). These data were collected in the spring, which is Arctic Haze season. Radiosonde data showed the presence of strong temperature inversions that minimized vertical mixing between

the FT and the boundary layer while calculated back trajectories indicated the transport of air masses from Europe into the sampling region and MBL aerosol residence times longer than 5 days <sup>12</sup>. The stable, stratified atmosphere with little wet deposition coupled with the transport of air masses from southern source regions led to a large accumulation mode number concentration. The same latitude bands in the summer are likely to have a relatively larger Aitken mode number concentration due to less efficient transport, increased wet removal, and local biogenic secondary aerosol production <sup>13, 14</sup>.

160

## 161 Sea Spray Aerosol Cloud Condensation Nuclei

162 Modal number size distributions and measured chemical composition were used to calculate 163 modal CCN number concentrations. Two extremes of chemical composition were used to 164 calculate the range of CCN number concentrations for each mode. In the first case, each mode 165 was assumed to be completely water soluble with the Aitken and accumulation modes 166 composed of nss SO<sub>4</sub><sup>=</sup> (as NH<sub>4</sub>HSO<sub>4</sub>) and the SSA mode composed of inorganic sea salt. With 167 completely soluble Aitken and accumulation modes, this case provides a lower bound of the 168 SSA CCN number fraction. In the second case, an insoluble organic component was added to 169 each mode to provide an upper bound for the SSA CCN number fraction. Figure S7 shows the 170 sensitivity of the CCN modal number fraction for the range of observed POM mass fractions. 171 The critical diameter,  $D_c$ , where a particle is large enough and contains sufficient soluble material to become a CCN, was calculated using Köhler theory <sup>15</sup> over supersaturations ranging 172 173 from 0.1 to 1.0% for the two cases (Methods). This range encompasses previously reported 174 measured effective supersaturations of MBL clouds <sup>16, 17, 18, 19, 20</sup>. Modal CCN concentrations

175 were derived by summing the number of all particles in the fitted mode with diameters greater176 than D<sub>c</sub>.

178	The accuracy of modeled CCN concentrations was assessed by comparing the sum of CCN
179	calculated to be in the three modes with CCN concentrations measured during ICEALOT, which
180	had the most complete CCN data set (Methods). Including insoluble POM improved the
181	agreement between measured and modeled values so that the difference was less than 5% for
182	supersaturations ranging from 0.3 to 0.5% (Figure S8). At 0.2% supersaturation, the model
183	underestimated the total CCN concentration by 20 $\pm$ 10%. This degree of agreement between
184	modeled and measured CCN concentrations lends confidence in the calculated modal CCN
185	concentrations. CCN modal number fractions for both cases of chemical composition are shown
186	in Figure 4 with underlying data in Tables S6 – S11. The discussion below focuses on the
187	composition case that includes insoluble POM.
188	
189	The largest SSA CCN number fractions, up to 65%, were observed in the high southern latitudes
190	(40°S to 70°S) at low supersaturation (0.1%) (Figure 4a). A second region of enhanced SSA CCN
191	number fractions (up to 35% at 0.1% supersaturation) occurred between 40°N and 60°N. The
192	large diameter and high hygroscopicity of SSA compared to the Aitken mode, which either
193	
	dominated or made significant contributions to the particle number concentration in these
194	dominated or made significant contributions to the particle number concentration in these latitude bands (Figure 3a), led to the enhanced SSA CCN number fractions. For all other regions

196 number fractions (<20%) for the majority of latitude bins and supersaturations considered</li>197 (Figure 4a; Table S7).

198

199 The largest Aitken mode CCN number fractions occurred in the southern hemisphere and the 200 tropics at supersaturations greater than 0.5% (Figure 4b; Table S9). The Aitken mode 201 dominated the number concentration in these regions due to entrainment of newly formed 202 particles from the upper troposphere. Due to the small diameter of the Aitken mode relative to 203 the accumulation and SSA modes, Aitken mode CCN number fractions were only significant at 204 higher supersaturations with values ranging from 3% to 24% at 0.5% supersaturation and from 205 22 to 58% at 1.0% supersaturation. The large Aitken mode organic content in the NH<sub>4</sub>HSO<sub>4</sub> + 206 POM case in the North Atlantic (Figure S4) also led to lower CCN number fractions, even at 207 higher supersaturations.

208

209 Apart from the high southern latitudes at 0.1% supersaturation and the southern mid-latitudes 210 at high supersaturations (<0.5%), the accumulation mode dominated the MBL CCN number 211 population (Figure 4c; Table S11). This result is due to its larger number concentration relative 212 to the SSA mode and its larger diameter and lower POM mass fraction compared to the Aitken 213 mode. The highest accumulation mode CCN number fractions occurred in regions with the 214 longest MBL residence times (tropics and Arctic), which allowed for the growth of Aitken mode 215 particles into the accumulation mode size range before removal through deposition. The results 216 for the Arctic from this analysis are skewed toward the Arctic Haze season. Summertime

- 217 measurements would likely reveal higher Aitken mode CCN number fractions at high
- 218 supersaturations due to biogenic secondary aerosol production <sup>13, 14</sup>.
- 219

## 220 Marine Boundary Layer Cloud Condensation Nuclei Budget

221 These results indicate that persistent, large scale meteorological features, which result in 222 entrainment of particles from the FT into the MBL and regionally varying MBL aerosol residence 223 times, drive the MBL CCN budget. Aitken mode particles make up a large fraction of the CCN in 224 the southern hemisphere at high supersaturations (> 0.5%) due to entrainment from the FT and 225 short residence times that limit the growth of these particles into the accumulation mode size 226 range. Being larger in diameter and more hygroscopic than Aitken mode particles, SSA, 227 including both the inorganic and organic fractions, dominates the CCN population in the high 228 latitudes of the southern hemisphere region at low supersaturations. For all other regions and 229 supersaturations, accumulation mode nss SO<sub>4</sub><sup>=</sup> dominates the MBL CCN population. Open 230 questions remain, however, on the source of MBL nss SO<sub>4</sub><sup>=</sup> with possibilities including DMS 231 oxidation to SO<sub>2</sub> in the MBL which leads to particle growth<sup>21</sup>, DMS oxidation in the FT followed 232 by entrainment to the MBL which leads to increased particle number concentration<sup>5</sup>, and 233 transport of anthropogenic emissions in either the MBL or FT. Models equipped with accurate 234 parameterizations of multi-phase DMS chemistry <sup>22</sup> and validated with empirical constraints, 235 such as those presented here, will provide some answers. Measurements that provide 236 additional seasonal and geographical coverage of MBL particle number size distributions and 237 chemical composition as well as measurements able to differentiate between anthropogenic 238 and biogenic sulfate, such as isotopic analysis <sup>23, 24</sup>, will provide additional information. Finally,

239	SSA a	s ice nuclei <sup>25</sup> and giant CCN <sup>51</sup> may have significant impacts on MBL cloud properties.
240	Studie	es able to assess these impacts will help to further elucidate the climate impacts of SSA.
241		
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376		
377	Autho	r contributions
378	All aut	hors contributed extensively to the work presented in this paper. P.K.Q. and T.S.B.

designed and performed the experiments, analyzed data, and wrote the paper. D.J.C. and J.E.J.

380 performed the experiments and analyzed data. L.M.U. analyzed data.

- 381 Figure 1. Cruise tracks and dates of the seven experiments included in this analysis. These
- 382 cruises were included in the analysis to obtain a broad representation of the world's oceans
- 383 while minimizing continental influence. Data from all cruises can be found at
- 384 <u>http://saga.pmel.noaa.gov/data/</u>.



- \_ \_ .

Figure 2. Fitted lognormal modes based on number size distributions measured during ICEALOT. Size distributions were averaged over a 10-hour period (the length of an impactor sample) and fit for a) number and b) volume. The size distributions were measured on April 4, 2008 while the ship was near 68.45°N and 9.0°W, northeast of Iceland. The average wind speed over the period was  $12.4 \pm 1.8 \text{ m s}^{-1}$ .



Figure 3. Number fraction of the lognormally fit Aitken, accumulation, and SSA modes. Data are shown as a) all number size distributions used in the analysis averaged over the corresponding impactor samples, b) average and standard deviation (1 $\sigma$ ) of the latitudinally-binned data, and c) average and standard deviation (1 $\sigma$ ) of the SSA number concentration and number fraction binned by 2 m s<sup>-1</sup> wind speeds.







## 420 Methods

421 Experiments. RITS-93 sailed from Punta Arenas, Chile on March 20, 1993 and arrived in Seattle, 422 WA on May 7, 1993. RITS-94 sailed from Seattle, WA on November 20, 1993 and arrived in 423 Punta Arenas, Chile on January 7, 1994. ACE-1 Leg 1 sailed from Seattle, WA on October 12, 424 1995 and arrived in Hobart, Australia on November 9, 1995. ACE-1 Leg 2 sailed from Hobart, 425 Australia on November 15, 1995 and returned to Hobart on December 13, 1995. ICEALOT sailed 426 from Woods Hole, MA on March 19, 2008 and arrived in Reykjavik, Iceland on April 24, 2008. 427 WACS-2 sailed from Woods Hole, MA on May 20, 2014 and returned to Woods Hole on June 5, 428 2014. NAAMES-1 sailed from Woods Hole, MA on November 6, 2015 and returned to Woods 429 Hole on December 1, 2015.

430

431 Aerosol inlet. Aerosol particles were sampled 18 m above the sea surface through a 5 m mast. 432 The inlet on top of the mast was automatically rotated into the relative wind to maintain 433 nominally isokinetic flow and minimize the loss of supermicrometer particles. Air entered the 434 inlet through a 5 cm diameter hole, passed through a 7° expansion cone, and then into the 20 435 cm inner diameter sampling mast. The flow through the mast was 1 m<sup>3</sup> min<sup>-1</sup>. The transmission 436 efficiency of the inlet for particles with aerodynamic diameters less than 6.5  $\mu$ m (the largest size tested) is greater than 95% <sup>17</sup>. The RH varied between cruises based on ambient 437 438 temperature and temperature control capabilities. The RH for RITS-93 and RITS-94 was 46  $\pm$ 439 10% and 32  $\pm$  6.8%, respectively, with no active temperature control in place <sup>16</sup>. The RH for ACE-1 was  $35 \pm 6\%$  with ambient air used to set the mast temperature <sup>15</sup>. During ICEALOT, 440 441 WACS-2, and NAAMES-1, the bottom 1.5 m of the mast were heated to establish a stable

442	reference sampling RH. The reference RH for ICEALOT was 22 $\pm$ 4% with an average heated
443	temperature of 15 $\pm$ 3.6°C above the ambient temperature $^{14}$ . The reference RH for WACS-2
444	was 53 $\pm$ 2% with an average heated temperature of 5.6 $\pm$ 1.0°C above the ambient
445	temperature $^{13}.$ The reference RHs for NAAMES-1 were 24 $\pm$ 8.2% and 46 $\pm$ 11% for the
446	northern, colder regions and southern, warmer regions of the cruise track, respectively.
447	Associated heating of the sample air was 15 $\pm$ 4.1°C and 10 $\pm$ 3.4°C, respectively.
448	
449	Stainless steel tubes extending into the heated portion of the mast were connected to

downstream aerosol instrumentation. Conductive silicon tubing was used for making the
 connection to all samplers and instrumentation except for those that involved analysis of
 organic carbon, where stainless steel tubing was used.

453

Aerosol chemical composition. Multi-jet cascade impactors <sup>12</sup>, with 50% aerodynamic cut-off 454 455 diameters of 0.18, 1.0, and 10 µm, were used to collect size segregated aerosol particles for 456 quantification of inorganic ions and organic and elemental carbon. The time period of impactor 457 sampling ranged from a few hours up to 48 hours. To prevent contamination of the impactor 458 substrates from the ship's stack, air flow to the impactors was controlled so that sampling only 459 took place when the relative wind speed was greater than 3 m s<sup>-1</sup>, the relative wind direction 460 was forward of the beam, and particle number concentrations indicated that the sample air 461 was free of ship emissions. Concentrations of Na<sup>+</sup> and SO<sub>4</sub><sup>=</sup> were determined with ion 462 chromatography <sup>15</sup>. Sea salt concentrations were calculated from:

463

464 Sea salt (
$$\mu g m^{-3}$$
) = Cl<sup>-</sup> ( $\mu g m^{-3}$ ) + Na<sup>+</sup> ( $\mu g m^{-3}$ ) x 1.47 (1)

466 where 1.47 is the seawater ratio of  $(Na^+ + K^+ + Mg^{+2} + Ca^{+2} + SO4^{-2} + HCO3^-)/Na^{+11}$ . Sea salt 467  $SO_4^{=}$  concentrations were calculated from measured Na<sup>+</sup> concentrations and the mass ratio of 468 sulfate to sodium in seawater of 0.252<sup>11</sup>. Concentrations of non-sea salt (nss)  $SO_4^{=}$  were 469 calculated from the difference between the total and sea salt sulfate components. 470

471 Pre-combusted quartz fiber filters were used to collect samples for the quantification of organic 472 carbon (OC) concentrations. Charcoal diffusion denuders were deployed upstream of the 473 impactors to remove gas phase organic species. OC concentrations were determined with a 474 Sunset Laboratory thermal/optical analyzer. Three temperature steps were used to evolve OC 475 under  $O_2$  – free conditions. The first step heated the filter to 230°. The filter was then 476 sequentially heated to 600°C and then 870°C. After cooling the sample down to 550°C, a He/O<sub>2</sub> 477 mixture was introduced and the sample was heated in four temperature steps to 910°C to drive 478 off elemental carbon (EC). The transmission of light through the filter was measured to correct 479 the observed EC for any OC that charred during the initial stages of heating. All aerosol OC 480 concentrations are reported as  $\mu$ g C. To account for the molecular weight of the non-carbon 481 fraction of organic compounds, OC mass concentrations were converted to total particulate 482 organic matter (POM) using a factor of 1.6<sup>10</sup>. For cruises where OC mass concentration data 483 were not available (RITS-93, RITS-94, and ACE-1 Legs 1 and 2), POM mass fractions were based 484 on average values from ICEALOT, WACS-2, and NAAMES-1 for each mode (Figures S4 – S6).

485

486 Particle number size distributions. During RITS-93, RITS-94, and ACE-1 Legs 1 and 2, the number 487 size distribution between 0.02 and 0.6 µm was measured every 10 min with a single differential mobility particle size (DMPS)<sup>16</sup>. During ICEALOT, WACS-2, and NAAMES-1, the number size 488 489 distribution between 0.02 and 0.8 µm was measured with two parallel DMPSs (University of 490 Vienna<sup>9</sup>) at the sampling humidity detailed above. Each DMPS was coupled to a condensation 491 particle counter (CPC model 3760A, TSI). The ICEALOT, WACS-2, and NAAMES-1 size 492 distributions consisted of 95 size bins due to the use of two DMPSs. The RITS and ACE size 493 distributions consisted of 34 and 50 size bins, respectively. Mobility distributions were inverted 494 to a number distribution assuming that a Fuchs-Boltzman charge distribution resulted from the 495 charge neutralizer used for each cruise (Kr<sup>85</sup> for RITS-93, RITS-94, ACE-1 and Po<sup>210</sup> for WACS-2 496 and NAAMES-1). Further details of the mobility distribution measurements and inversion 497 methods are given in *Bates et al.*<sup>8</sup> and *Stratmann and Wiedensohler*<sup>7</sup>. The number distribution 498 between 0.9 to 10 μm was measured with an aerodynamic particle sizer (APS model 3321, TSI) 499 at the sampling humidity detailed above. Aerodynamic diameters were converted to geometric 500 diameters by dividing by the square root of the particle density. Densities were calculated from the measured chemical composition <sup>6</sup>. The DMPS and APS size distributions were then merged. 501 502 The data were corrected for diffusional losses and size dependent counting efficiencies. The 503 estimated uncertainty in the number concentration in each size bin is  $\pm$  10%. 504 505 Measured CCN concentrations. A Droplet Measurement Technologies (DMT) CCN Counter

506  $\,$  (CCNC) was used to determine CCN concentrations of sub-1.0  $\mu m$  aerosol during ICEALOT at

507 supersaturations ranging from 0.2 to 0.5%. A multi-jet cascade impactor with a 50%

508	aerodynamic cut-off diameters of 1.0 $\mu m$ was upstream of the CCNC at the sampling RH
509	detailed above. The sample air was dried prior to reaching the CCNC. The CCNC was calibrated
510	before and after the experiments using methods detailed in <i>Lance et al.</i> <sup>5</sup> . The uncertainty
511	associated with the CCN number concentrations is estimated to be less than 10% and, for the
512	conditions used here, less than 10% for the instrumental supersaturation <sup>5, 4</sup> .
513	
514	Additional measurements. Radon was measured with a dual-flow-loop two-filter Radon
515	detector <sup>3</sup> . The aerosol absorption coefficient was measured at 550 nm by monitoring the
516	change in transmission through a filter with a Particulate Soot Absorption Photometer (PSAP).
517	
518	Lognormal mode fitting procedure. The merged DMPS-APS MBL number size distributions were
519	averaged over the periods along the cruise track when impactor samples were collected for
520	analysis of inorganic ions and organic carbon. These size distributions were fit with multiple
521	lognormal modes using the lognormal fit function in IGOR Pro (Wavemetrics, Inc.).
522	The first mode to be fit was in the largest diameter region of the size distribution. The mean
523	diameter of the mode varied between experiments (0.17 to 0.45 $\mu$ m), due to different sampling
524	humidities that affected water uptake and, therefore, particle size and differences in sizing
525	instrumentation (see above). Because of this variability in mean diameter, no constraints were
526	placed on the fitted parameters of the SSA mode as was done by Modini et al. <sup>2</sup> . The geometric
527	standard deviation ranged from 2.2 to 2.7 across experiments. Two additional modes were then
528	fit – an accumulation mode with a mean diameter ranging from 0.10 to 0.23 $\mu m$ and an Aitken
529	mode with a mean diameter ranging from 0.02 to 0.08 $\mu$ m. The geometric standard deviation

530	for both modes ranged from 1.3 to 1.75, narrower than that of the first fitted mode. The ability
531	of the multi-modal fit to reproduce the measured size distribution was assessed with a Chi-
532	squared test. Only cases where the Chi-squared value was less than the critical value at a
533	significance level of 0.05 were included in the analysis.
534	
535	Fitted volume size distributions were derived from the fitted number size distributions.
536	Integrated number and volume concentrations in each of the three modes were calculated for
537	comparison with measured sub-0.18, sub-1, and sub-10 $\mu m$ Na <sup>+</sup> , nss SO <sub>4</sub> <sup>=</sup> , and OC mass
538	concentrations. Aitken mode number and volume concentrations were compared to sub-0.18
539	$\mu m$ Na <sup>+</sup> , nss SO <sub>4</sub> <sup>=</sup> , and OC. Accumulation mode number and volume concentrations were
540	compared to sub-1 minus sub-0.18 $\mu m$ Na+, nss SO4=, and OC. SSA mode number and volume
541	concentrations were compared to sub-10 $\mu m$ Na <sup>+</sup> , nss SO <sub>4</sub> <sup>=</sup> , and OC.
542	
543	Correlation analyses: All of the correlations that are presented are based on linear regressions.
544	The goodness of the fit is expressed as the coefficient of determination, r <sup>2</sup> . Slope values are
545	reported at the 95% confidence level.
546	
547	Modeled CCN modal concentrations. Modal number size distributions and chemical
548	composition were used to calculate modal CCN concentrations at supersaturations ranging
549	from 0.1 to 1.0% as follows. Two extremes of chemical composition were used to calculate the
550	range of potential CCN number concentrations for each mode. In the first case, each mode was
551	assumed to be completely water soluble with the Aitken and accumulation modes composed of

552	nss SO4 <sup>=</sup> (as NH4HSO4) and the SSA mode composed of inorganic sea salt. In the second case,
553	the measured chemical composition of Na <sup>+</sup> , nss SO <sub>4</sub> <sup>=</sup> , and OC from the impactor samples was
554	used to determine the mass fraction of POM, POM $_{\sf MF}$ in each mode. For cruises where OC mass
555	concentration data were not available (RITS-93, RITS-94, and ACE-1 Legs 1 and 2), POM mass
556	fractions were based on average values from ICEALOT, WACS-2, and NAAMES-1 for each mode
557	(Figures S4 – S6). OC mass concentrations were converted to total particulate organic matter
558	(POM) using a factor <sup>10</sup> of 1.6 as follows
559	
560	POM = OC ( $\mu$ g C m <sup>-3</sup> ) x 1.6 (2)
561	
562	$POM_{MF} = POM (\mu g m^{-3}) / (POM (\mu g m^{-3}) + NH_4HSO_4 (\mu g m^{-3}) + sea salt (\mu g m^{-3}))$ (3)
563	
564	where the sea salt concentration was determined from (1).
565	
566	The Aitken mode POM $_{\text{MF}}$ was based on sub-0.18 $\mu m$ impactor stage measurements. The
567	accumulation mode POM $_{\text{MF}}$ was based on sub-1.0 $\mu m$ minus sub-0.18 $\mu m$ concentrations from
568	the impactor measurements. The SSA mode POM $_{MF}$ was based on sub-10 $\mu m$ concentrations
569	from the impactor measurements. The sea salt, NH $_4$ HSO $_4$ , and POM mass fractions used in the
570	calculations are shown in Figures S4, S5, and S6 for the Aitken, accumulation, and SSA modes,
571	respectively. Absolute concentrations are given in Table S4. The POM fraction was assumed to
572	be water insoluble. For a given composition and supersaturation the critical diameter, $D_c$ , for
573	each mode was calculated from

574
 
$$D_c = (2 \times S_c^{2/3})/(4A^3/27B)^{2/3}$$
 (4)

 575
  $D_c = (2 \times S_c^{2/3})/(4A^3/27B)^{2/3}$  (4)

 576
 where  $S_c$  is the critical supersaturation,

 577
  $A = 2\sigma_s/(p_{H20}RT)$  (5)

 580
 where  $\sigma_s$  is the surface tension of the solution/air interface and set at 72.8 dynes cm<sup>-1</sup>,  $p_{H20}$  is

 581
 where  $\sigma_s$  is the surface tension of the solution/air interface and set at 72.8 dynes cm<sup>-1</sup>,  $p_{H20}$  is

 582
 the density of water, R is the universal gas constant, and T is temperature, and

 583
  $B = (n_i(1 - F_i)p_sM_{H20})/(p_{H20}M_s)$  (6)

 584
  $B = (n_i(1 - F_i)p_sM_{H20})/(p_{H20}M_s)$  (6)

 585
 where  $n_i$  is the number of ions in the solute,  $N_{H20}$  is the molecular weight of water, and  $M_s$  is

 586
 where  $n_i$  is the density of the solute. Calculations for the Aitken and accumulation mode used  $\rho_s$ 

 587
 and  $M_s$  for NH\_4HSO\_4. Calculations for the SSA mode used  $\rho_s$  and  $M_s$  for NaCl. Modal CCN

 590
 concentrations were derived by summing the number of all particles in the fitted mode with

 591
 diameters greater than  $D_c$ .

 592
 Mass Closure – Comparison of measured and modeled CCN concentrations. Fitted volumes for

 593
 the Aitken, accumulation, and SSA modes were converted to mass using densities derived from

 594<

596 calculations, the Aitken and accumulation modes were assumed to be composed of nss SO<sub>4</sub><sup>=</sup> (as 597 NH<sub>4</sub>HSO<sub>4</sub>) and POM based on measured concentrations of the sub-0.18 µm and sub-1 µm 598 minus sub-0.18 µm impactor stages, respectively. The SSA mode was assumed to be composed 599 of sea salt and POM based on measured concentrations of the sub-10 µm impactor stages. 600 Water mass was not included in the modal mass since the sampling RH during ICEALOT was < 601 0.22%. The fitted modal masses are plotted on the x-axis as "mass (from lognormal fit)" in 602 Figure S3. Measured mass concentrations for comparison to the Aitken and accumulation 603 modal masses are the sum of the nss  $SO_4^=$  (as NH<sub>4</sub>HSO<sub>4</sub>) and POM measured on the sub-0.18 604  $\mu$ m and sub-1  $\mu$ m minus sub-0.18  $\mu$ m impactor stages, respectively. Measured mass 605 concentrations for comparison to the SSA modal mass are the sum of the sea salt and POM 606 mass concentrations measured on the sum of the sub-10 µm impactor stages. Measured mass 607 concentrations are plotted on the y-axis of Figure S3. Coefficients of determination, r<sup>2</sup>, for the 608 comparison of the modeled and measured mass for the accumulation and SSA mode were 0.78 609 and 0.82. These high values indicate that the impactor stages used to make assumptions about 610 the composition of the accumulation and SSA modes were able to explain 80% of the variance 611 in these modes.

612

For the Aitken mode, r<sup>2</sup> was 0.23, indicating that the sub-0.18 μm impactor stage could only explain 20% of the variance in the Aitken mode mass. In addition, the slope from the correlation was 18 indicating that the calculated Aitken mode mass was much less than the mass on the sub-0.18 μm impactor stage. As shown in Figure 2b, appreciable mass from the accumulation and coarse modes can tail into the sub-0.18 μm size range, which would account for this

difference. The lack of correlation between measured and modeled mass for the Aitken mode
helps to explain the weak correlation coefficients reported in Table S3 and the difficulty in
indentifying the composition of the mode.

621

622	CCN Closure Comparison of measured and modeled CCN Concentrations. The accuracy of
623	modeled CCN concentrations was assessed by comparing the sum of CCN calculated to be in
624	the Aitken, accumulation, and SSA modes with CCN concentrations measured with a continuous
625	flow CCN counter (DMT) during ICEALOT, which had the most complete CCN data set. Including
626	insoluble POM improved the agreement between measured and modeled values so that the
627	difference was near zero for supersaturations ranging from 0.3 to 0.5% (Figure S8). At 0.2%
628	supersaturation, the model underestimated the total CCN concentration by 20 $\pm$ 10%. This
629	difference could be due to instrumental uncertainties and/or the sensitivity of the calculated
630	CCN concentrations to composition at lower supersaturations <sup>1</sup> .
631	
632	Data availability. The authors declare that all data supporting the findings of this study are
633	available within the article and its supplementary information file. In addition, all underlying
634	data are available at https://saga.pmel.noaa.gov/data/.
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