

# Effects of Vertical Eddy Diffusivities on Regional PM<sub>2.5</sub> and O<sub>3</sub> Source Contributions

## 1. Introduction

An effective air pollution reduction plan requires understanding of source–receptor relationships. However, identifying a source–receptor relationship is challenging due to the borderless nature of air pollution. Extent of areas affected by air pollution is changing continuously over time at multiple spatial scales because distribution of air pollutant concentrations is controlled by various physical and chemical processes (Finlayson-Pitts and Pitts Jr, 1999; NARSTO, 2004; Fountoukis and Nenes, 2007; Seinfeld and Pandis, 2016). Moreover, transport of air pollutants often results in transboundary air pollution problems across multiple jurisdictions (Arutyunyan and Aloyan, 1997; Farrell and Keating, 1998; World Health Organization, 2008; Dentener et al., 2010; Rao et al., 2011; Bessagnet et al., 2016; US EPA, 2017). Thus, a source-receptor relationship becomes critical to resolving culpability for air pollution.

Photochemical grid models (PGMs) simulate physical and chemical processes in the atmosphere with first principles as well as parameterizations (Russell and Dennis, 2000; Jacobson, 2005). PGMs can quantify source–receptor relationships explicitly either by tracking air pollutants from sources to receptors or by quantifying sensitivity of air quality at receptors to emission changes at sources (Clappier et al., 2017). Therefore, PGMs have been widely used for assessing upwind source contributions to air quality in downwind areas (Dunker et al., 2002; Yarwood et al., 2007; Kwok et al., 2013, 2015; Clappier et al., 2017).

Among physical processes that control the distribution of air pollutants, vertical turbulent diffusion is a process influencing vertical mixing (Seaman, 2000). Vertical turbulent diffusion results from random circular motions of air parcels (i.e. eddy) with various sizes along the vertical axis (i.e. perpendicular to the ground toward the center of the earth). Vertical turbulent (or eddy) diffusion is an analogous concept derived by applying the concept of molecular diffusion to the behavior of eddies due to their common natures, i.e., randomness. Vertical turbulent diffusion is strong within the planetary boundary layer where we breath air, especially in the daytime, when the thermal buoyant force is intense (Stull, 1988). Vertical turbulent diffusivity (K<sub>v</sub>) is a model parameter employed in PGMs to simulate the strength of vertical turbulent diffusion following the concept of molecular diffusivity. K<sub>v</sub> has been recognized as a significant model parameter controlling vertical mixing of air pollutants from the dawn of modern PGMs (Scheffe and Morris, 1993; Nowacki et al., 1996).

Past studies identified that K<sub>v</sub> in PGMs significantly influences the overall modeling accuracy by controlling how models distribute pollutants vertically near sources and, eventually, how far pollutants can be transported (Liu and Westphal, 2001; Liu et al., 2003; Byun et al., 2007; Queen and Zhang, 2008; Han et al., 2009; Misenis and Zhang, 2010; Tang et al., 2011; Castellanos et al., 2011; Fountoukis et al., 2013). However, previous studies almost exclusively examined effects of K<sub>v</sub> on simulated bulk air pollutant concentrations without taking into account the effects of K<sub>v</sub> on

39 source contributions. In this study, we examined the effects of Kv on not only the simulated bulk  
40 air pollutant concentration but also the modeled source contribution estimates.

41 **2. Method**

42 **2.1. Modeling Domain, Representative Episode Selection, and Model  
43 Performance Evaluation**

44 Our primary goal was to illustrate the effects of Kv on source contribution estimates as well as  
45 modeled bulk air pollutant concentrations. To accomplish our study goal, a modeling domain needs  
46 to show strong transboundary air pollution that results in a challenging design question for  
47 effective local control strategies at downwind areas considering upwind influences. In addition, to  
48 assess the effects of Kv on modeled source-receptor relationship properly, we needed observations  
49 at ground level as well as aloft to evaluate model performance rigorously. Therefore, for this study,  
50 we simulated air quality in the Northeast Area region because recent studies indicated that air  
51 quality in South Korea is heavily affected by domestic and regional foreign sources (Oh et al.,  
52 2010; Lee et al., 2013; Oh et al., 2015; Shin et al., 2016; Kim et al., 2017). Also, in May and June  
53 of 2016, a field campaign named Korea–United States Air Quality Study (KORUS-AQ;  
54 <https://espo.nasa.gov/korus-aq/content/KORUS-AQ>) was conducted as an international  
55 collaboration between National Institute of Environmental Research of South Korea and National  
56 Oceanic and Atmospheric Administration of USA. During the KORUS-AQ campaign, extensive  
57 observations were made aloft with aircrafts for O<sub>3</sub>, PM<sub>2.5</sub>, and their precursors. The modeling  
58 domains are shown in Figure 1. We focused on the Seoul Metropolitan Area (SMA, Figure 2) since  
59 it is a critical area in the context of South Korea’s air quality management because it includes the  
60 capital of South Korea, Seoul, and has a population of about > 25 M people as of 2018 (Statistics  
61 Korea, 2019).

62 During the May 2016, nine days were over the daily PM<sub>2.5</sub> National Ambient Air Quality Standard  
63 (35  $\mu\text{g}/\text{m}^3$ ) of South Korea and all nine days were between May 15 and May 31, 2016. Thus, we  
64 chose May 15–31, 2016, as the modeling period with a 10-day ramp-up period starting from May  
65 6, 2016 (Figure 3). KORUS-AQ Rapid Science Synthesis Report (2017) noted that air quality in  
66 SMA for May 17–22 was heavily influenced by the local sources while air quality in SMA for  
67 May 25–28 was dominated by transboundary transport. During these two periods, two major  
68 inorganic PM<sub>2.5</sub> constituents (i.e. sulfate and nitrate) showed similar relative contributions to the  
69 total PM<sub>2.5</sub> concentration for each period. Because we attempted to examine source contributions  
70 in 3D space, model performance evaluation at high altitudes was crucial. During the modeling  
71 period, DC-8 aircraft measurements made as part of the KORUS-AQ are available for eight days  
72 (Figure 3). We provide details about our approach for model performance evaluation in the  
73 “Approach to Conduct Model Performance Evaluation” section of the Supplementary Material.

74 After considering three factors - (1) days exceeding South Korea’s daily PM<sub>2.5</sub> NAAQS (May 17  
75 and 25–28), (2) the periods heavily influenced by the local sources (May 17–22) and dominated  
76 transboundary transport (May 25–28), and (3) the availability of DC-8 aircraft measurements (May  
77 17, 18, 20, 22, 25, 26, 30, and 31) - we selected May 17, 25, and 26, 2016 for detailed analysis  
78 initially (Figure 3). However, our examination of time series for aircraft observation and modeled  
79 concentrations aloft showed significant caveats on May 25, 2016 (Figure S8). Specifically,

80 significant underestimation around 1,000 m altitude (10:30 AM-12:00 PM on May 15 KST) and  
81 gross overestimation near ground (12:00 PM-1:00 PM on May 15 KST) exhibited great potential  
82 to introduce model bias in source contribution analysis results. Therefore, we limited our detailed  
83 analysis to two high PM<sub>2.5</sub> days with sufficient model performance because vertical contribution  
84 analyses require solid model performance evaluation with ample observations at ground level as  
85 well as aloft. These two days that we selected for detailed analyses should represent days of heavy  
86 local source influence and those of transboundary transport domination appropriately and  
87 sufficiently.

## 88 2.2. Model configurations

89 For meteorological modeling, we used the Weather Research and Forecasting (WRF) model  
90 (Skamarock and Klemp, 2008) v3.4.1 with National Centers for Environmental Prediction Final  
91 Analysis (NCEP-FNL) products for initial and boundary conditions. The WRF configuration used  
92 for this study is summarized in Table 1. Land cover data used in WRF modeling are displayed in  
93 Figure S1. Emissions inventories used were the Comprehensive Regional Emissions Inventory for  
94 Atmospheric Transport Experiment (CREATE) 2015 for foreign anthropogenic emissions (Jang  
95 et al., 2019) and the Clean Air Policy Support System (CAPSS) 2016 for domestic anthropogenic  
96 emissions, which is an updated emission inventory based on previously reported data (Lee et al.,  
97 2011). For biogenic emissions, we utilized the Model of Emissions of Gases and Aerosols from  
98 Nature (MEGAN) version 2 for biogenic emissions (Guenther, 2006). We processed emission  
99 inventory data with Sparse Matrix Operator Kernel Emissions (SMOKE) for modeling-ready  
100 emissions input files.

101 For air quality modeling, we chose the Comprehensive Air Quality Model with eXtension (CAMx)  
102 version 6.2 (Ramboll-Environ, 2016). We ran CAMx in the two-way nested modeling mode. In  
103 this mode, CAMx calculates pollutant concentrations in a 27-km domain for each numerical  
104 integration time step first and uses its results as initial and boundary conditions for modeling on  
105 the 9-km domain internally. Then, CAMx aggregates pollutant concentrations in 9-km cells to  
106 calculate concentrations in the corresponding grid cells on the 27-km domain. In other words, the  
107 estimated pollutant concentrations in a grid cell on the 27-km modeling domain inside the 9-km  
108 modeling domain is a spatial average of modeled pollutant concentrations in 9 grid cells on the 9-  
109 km modeling domain within the 27-km grid cell. To prepare meteorological inputs for CAMx, we  
110 used the WRFCAMx preprocessor, wherein a minimum Kv value can be set.

111 Specifically, we studied the effects of minimum Kv values that are set for the bottom few modeling  
112 vertical layers representing the strength of vertical turbulence near ground, where many sources  
113 and receptors are located. For our study, we set the minimum Kv value at 1.0 m<sup>2</sup>/s over all  
114 modeling grid cells for the base modeling case (“Run A”) to maintain consistency with our  
115 previous modeling studies (Kim et al., 2017a; Kim et al., 2017b). For a sensitivity modeling case  
116 (“Run B”), we set the minimum Kv value in two steps: (1) setting the minimum Kv value as 0.1  
117 m<sup>2</sup>/s because past studies in the US and Europe reported that Kv value of 1.0 m<sup>2</sup>/s may result in  
118 excessive vertical mixing for certain conditions such as the nighttime or rural areas (ENVIRON,  
119 2011; O. US EPA, 2017; Oikonomakis et al., 2018); and (2) using KVPATCH v6 to set an  
120 alternative minimum Kv value for areas classified as urban at 1.0 m<sup>2</sup>/s at the 1<sup>st</sup> layer and adjusted  
121 Kv values within 200 m from the ground to account for vertical mixing characteristics such as heat  
122 island effects (Ramboll, 2014) in urban areas. The urban classification is based on the land

123 use/landcover data used in the WRF. Thus, our Kv value adjustments inherit uncertainties in  
124 landuse/landcover from the WRF dataset (Figure S1). For the modeling in this study, the heights  
125 at the top of 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> layers were approximately 30, 80, 160, and 250 meters above the  
126 ground level. Photolysis rate input files were prepared with the Tropospheric Visible and  
127 Ultraviolet and O<sub>3</sub>MAP utilities to account for effects of stratospheric O<sub>3</sub> on available actinic flux  
128 in the troposphere. The CAMx configuration used for this study is summarized in Table 2.

129 For source apportionment, we used the Particulate Source Apportionment Technology (PSAT)  
130 instrumented in CAMx (Yarwood et al., 2007; Wagstrom et al., 2008; Ramboll-Environ, 2016).  
131 10 source regions were defined in the 27-km modeling domain as shown in Figure 1. Among 10  
132 regions, 9 regions were defined for specific areas (see the caption of Figure 1 for details). We also  
133 stratified boundary conditions (i.e., North, South, East, and West boundary conditions). To  
134 examine contribution changes at different model vertical layers due to the vertical diffusivity, we  
135 placed PSAT receptors not only at the surface (i.e., the 1<sup>st</sup> modeling layer) but also aloft over the  
136 SMA. Because changes in the surface/low layer mixing at sources will affect the initial mixing of  
137 emitted pollutants, we expected that two model runs will show differences in the extent of pollutant  
138 transport downwind and the degree of local mixing near receptors. It is especially important when  
139 secondary pollutants can be formed by multiple precursors originating upwind and downwind  
140 areas; e.g., ammonium sulfate and ammonium nitrate formations during the 2014 high PM<sub>2.5</sub> event  
141 in the SMA when sulfuric and nitric acids originating from China were neutralized with South  
142 Korean ammonia (B.-U. Kim et al., 2017).

### 143 3. Results and Discussion

#### 144 3.1. Model performance at ground level

##### 145 3.1.1. Meteorological model performance

146 In the 27-km domain, a range of mean biases is from -1.9 °C (NRB) to 0.15 °C (COT) for  
147 temperatures, and mean biases range from -0.7 m/s (BTH) to -0.1 m/s (JPN) for wind speeds  
148 (Figure S2). In the 9-km domain, a range of mean biases is from -2.0 °C (Sudo) to -0.9 °C (Jeju)  
149 for temperatures; and, mean biases range from 0.03 m/s (Sudo) to 1.5 m/s (Jeju) for wind speeds  
150 (Figure S3). These low biases should not influence the modeled concentrations in the SMA  
151 because modeled winds were generally weak on May 17, 2016, and a distance between the SMA  
152 and Jeju was over 450 km. In addition, Gangwon is located downwind of SMA. A more  
153 comprehensive performance statistics summary is presented in Supplementary Information  
154 (Tables S1 and S2) including North Korean SHMA sites in the 27-km domain as NKR.

##### 155 3.1.2. Air quality model performance at ground level

156 Over China, in BTH, PRD, and YRD regions, models underestimated O<sub>3</sub> concentrations  
157 significantly overall (Figure S4). Run A showed higher nighttime O<sub>3</sub> concentrations than Run B,  
158 which led to high biases during the nighttime in some regions such as the NRB. For PM<sub>2.5</sub>, Run B  
159 showed slightly higher biases than Run A during the nighttime in general. This indicates that  
160 nighttime PM<sub>2.5</sub> overprediction was likely linked to primary PM<sub>2.5</sub> emissions. Apparently, in the  
161 RUM region, there was a very large PM<sub>2.5</sub> event that both Run A and Run B missed around the  
162 midnight of May 23. It might be due to an unidentified fire event, but it was concluded that it did  
163 not affect the overall model performance, especially on May 26, 2016, when transport was

164 important in the SMA. Performance statistics at Chinese NAAQMN sites are listed in Tables S3  
165 and S4. Overall, the fraction errors were 13%-69% for O<sub>3</sub> and from 24%-83% for PM<sub>2.5</sub> in China.  
166 Both Run A and Run B at US DOS PM<sub>2.5</sub> sites showed that the overall PM<sub>2.5</sub> fraction errors were  
167 8%-96% (Table S5 and Figure S5). In general, nighttime overestimation by Run A and Run B was  
168 consistent with what we observed at Chinese NAAQMN sites. Run B showed more nighttime  
169 biases and the bias trend seemed to follow the inverse of diurnal boundary layer height changes.  
170 Because US DOS PM<sub>2.5</sub> sites are likely located at urban areas, higher biases in Chinese PSAT  
171 regions were likely due to primary PM<sub>2.5</sub> emissions from rural areas in both models. In the future,  
172 primary PM<sub>2.5</sub> emissions needed to be examined further to reduce overestimation. At the US DOS  
173 PM<sub>2.5</sub> sites in Beijing, we observed > 250  $\mu\text{g}/\text{m}^3$  at 7 PM on May 26, 2016. However, we concluded  
174 that this was not significant because it only lasted an hour.

175 At South Korean AMS sites (i.e. in the 9-km domain), Run A and Run B showed the fraction errors  
176 ranging 11%-21% for O<sub>3</sub> and 39%-60% for PM<sub>2.5</sub> in South Korea (Tables S6-S7 and Figure S6).  
177 Models overestimated O<sub>3</sub> concentrations during the nighttime while they underestimate O<sub>3</sub>  
178 concentrations during the daytime in Sudo and Jungboo. This can result from too weak nighttime  
179 O<sub>3</sub> removal and too much daytime NO<sub>x</sub> titration. In general, Run A showed higher nighttime O<sub>3</sub>  
180 concentrations. For total PM<sub>2.5</sub> mass concentrations, Run B showed slightly higher biases than Run  
181 A in general. Similar to what we observed at monitors in China, what was observed at South  
182 Korean AMS sites indicates that nighttime PM<sub>2.5</sub> overprediction is also likely linked to primary  
183 PM<sub>2.5</sub> emissions. However, both models also showed underpredictions for certain days, which may  
184 indicate insufficient local emissions or contributions from other regions. Further studies are  
185 warranted.

186 To examine the chemical characteristics of the PM<sub>2.5</sub> model performance, we compared observed  
187 and modeled total and speciated PM<sub>2.5</sub> mass concentrations focusing the model performance at the  
188 Bulgwang Supersite (Figure S7), which is located in the focus area, SMA, even though we  
189 examined model performance at all Supersites (not shown here). Both models showed fractional  
190 biases ranging from -25.92% (PM<sub>2.5</sub> by Run A) to 120.89% (crustal by Run B) at the Bulgwang  
191 Supersite. More detailed performance statistics for total PM<sub>2.5</sub>, sulfate, nitrate, ammonium, organic  
192 matter, elemental carbon, and crustal are presented in Table S8).

### 193 3.2. Model performance aloft

194 Because objectives of this study include the effects of vertical mixing at low modeling layers on  
195 the regional transport of air pollutants, it is important to evaluate the model performance aloft.  
196 Thus, we conducted model performance evaluation on May 17 and 26, 2016, with aircraft  
197 measurements after considering aircraft data availability and significance of high PM<sub>2.5</sub> events.  
198 For both days, the aircraft observed key meteorological variables (e.g. winds and temperatures)  
199 and air pollutant concentrations (e.g. sulfate and nitrate). We provide details about DC-8 flight  
200 paths on May 17 and 26, 2016, including flight paths with aircraft speeds/directions, ambient  
201 temperature, measured wind speeds/directions, and O<sub>3</sub> concentrations on May 17 and 26, 2016 in  
202 Figure S9. Flight paths with measured wind speeds/directions clearly showed the influence of  
203 foreign transport aloft on May 17 and from ground to aloft on May 26.

204 Figure S10 shows a comparison between the observed and modeled meteorological variables on  
205 May 17 and 26, 2016, along the DC-8 flight paths. For the flight duration on May 17, 2016, the  
206 model showed consistency with observations for meteorological variables. The exceptions were  
207 wind speeds at low altitudes (< 1,000 m). We also observed that overestimation of wind speeds at  
208 ground monitors. During the flight on May 26, 2016, the model showed good agreement with  
209 observations for meteorological variables including wind speeds at low altitudes (< 1,000 m).  
210 Model performance evaluation with aircraft measurements provided good confidence with  
211 meteorological fields used for this study in general. In particular, the model performance on May  
212 26, 2016, was important because this day was examined in detail for non-local contributions.

213 Based on a previous report (KORUS-AQ Rapid Science Synthesis Report, 2017) and DC-8 flight  
214 paths (Figure S11), we considered May 17, 2016, as a local influence day. Note that “local  
215 influence” is defined as a relative term. That is, “local influence” days are when domestic  
216 contributions are relatively higher than other days because foreign contributions can be over 50%  
217 most days. For May 17, 2016, we focused our performance evaluation more for areas near Seoul.  
218 For O<sub>3</sub> and its precursors, Run A and Run B showed very similar results (Figure S11). Overall,  
219 model performance for sulfate was good while both models underestimated organic carbon  
220 significantly. The overall model biases in May 17 for sulfate and nitrate were -0.16  $\mu\text{g}/\text{m}^3$  and -  
221 0.62  $\mu\text{g}/\text{m}^3$ , respectively. Based on a previous report (KORUS-AQ Rapid Science Synthesis  
222 Report, 2017) and DC-8 flight paths (Figure S12), we considered May 26, 2016, as a transboundary  
223 transport day. Overall, we concluded that the overall model performances were sufficient for this  
224 study. The overall model biases in May 26 for sulfate and nitrate were 4.1  $\mu\text{g}/\text{m}^3$  and -2.4  $\mu\text{g}/\text{m}^3$ ,  
225 respectively. For more detailed discussion, we present “Detailed model performance analysis with  
226 DC-8 aircraft measurement” in the Supplemental Material.

227 

### 3.3. Sensitivity of modeled concentration distributions to minimum Kv values

  
228 An earlier study (KORUS-AQ Rapid Science Synthesis Report, 2017) reported that PM<sub>2.5</sub>  
229 concentrations in South Korea on May 17, 2016, were likely influenced by domestic emissions.  
230 Figure 4 shows the spatial distribution of daily average modeled PM<sub>2.5</sub> and SIA concentrations in  
231 the 9-km modeling domain on May 17, 2016. It also displays impacts of changing a minimum Kv  
232 value used in modeling the spatial distribution of PM<sub>2.5</sub> and SIA concentrations. Compared with  
233 Run A, PM<sub>2.5</sub> concentrations in Run B were much higher (> 10  $\mu\text{g}/\text{m}^3$ ) around the west coast of  
234 South Korea in general. The domain-wide maximum difference was 36.0  $\mu\text{g}/\text{m}^3$  in the vicinity of  
235 the west cost of the Choongnam province located at the southwest of SMA. In the SMA area, Run  
236 B resulted in 5.0–13.2  $\mu\text{g}/\text{m}^3$  higher PM<sub>2.5</sub> concentrations in the 9-km grid cells than Run A.

237 According to a previous work (KORUS-AQ Rapid Science Synthesis Report, 2017), May 26, 2016,  
238 is considered as a day on which transboundary transport influenced PM<sub>2.5</sub> concentrations in South  
239 Korea. Figure 5 shows the spatial distribution of daily average modeled PM<sub>2.5</sub> and SIA  
240 concentrations in the 27-km modeling domain on May 26, 2016. With weaker minimum vertical  
241 mixing at lower layers in Run B, total PM<sub>2.5</sub> concentrations in the many parts of inland China were  
242 predicted to be higher according to Run B than Run A. However, we noted that SIA concentrations  
243 were not the sole primary cause. In addition, total PM<sub>2.5</sub> and SIA concentrations according to Run  
244 B were much lower over the most of Yellow Sea. Around several inland areas along the west

245 coastline of South Korea,  $\text{PM}_{2.5}$  concentrations in Run B were much higher ( $> 10 \mu\text{g}/\text{m}^3$ ) than Run  
246 A.  $\text{PM}_{2.5}$  concentration differences between Run A and Run B ranged from  $-5 \mu\text{g}/\text{m}^3$  to  $89.1 \mu\text{g}/\text{m}^3$   
247 in the 27-km modeling grid cells along the west coastline of China and from  $-14.0 \mu\text{g}/\text{m}^3$  to  $0.6 \mu\text{g}/\text{m}^3$   
248 in the 9-km modeling grid cells inside the SMA area. High sulfate concentrations ( $> 20 \mu\text{g}/\text{m}^3$ ) in the 27-km domain occurred in the southern BTH region, Yellow Sea, majority of South  
249 Korea, and East Sea on May 26, 2016. This highlights that sulfate can be a significant  $\text{PM}_{2.5}$   
250 constituent during the summertime as well as wintertime, as reported by (B.-U. Kim et al., 2017)  
251 for when South Korea experienced high PM events due to transboundary transport. The  
252 significance of sulfate may need to be carefully reassessed in the future because several studies  
253 reported that  $\text{SO}_2$  emission in China has been declining (Li et al., 2018; Kang et al., 2019; Qu et  
254 al., 2019). Overall, Run B estimated higher nitrate concentrations but lower sulfate concentrations  
255 over the inland areas of South Korea than Run A on May 26, 2016. In addition, we noticed much  
256 larger differences for SIA concentrations near the east coast of China and over Yellow Sea on May  
257 26, 2016 than May 17, 2016.  
258

259 Overall, a weaker low-level vertical mixing condition (i.e., Run B) seemed to lead to higher SIAs  
260 near the SMA, when local influences were important while the same condition resulted in a mixed  
261 effect, when transport influences were dominant (i.e., lower sulfate and higher nitrate  
262 concentrations). This may imply that Chinese sulfuric acid and/or sulfate were formed slower in  
263 Run B because of delayed reactions with oxidants and ammonia due to weaker vertical mixing at  
264 the surface. For the same reason, Chinese nitric acid and/or nitrate could be formed more locally  
265 (i.e., near sources) in Run B.

### 266 3.4. Sensitivity of modeled source contributions to minimum Kv values

267 We found different spatial distributions of  $\text{PM}_{2.5}$  concentrations due to variation in the minimum  
268 Kv values over the modeling domains. For the SMA, we noticed that lower minimum Kv values  
269 led to higher  $\text{PM}_{2.5}$  concentrations on May 17, 2016 (a local influence day). As we noted in “3.2.  
270 Model performance aloft” section, “local influence” is a relative term. For some parts of the SMA,  
271 Run B resulted in lower  $\text{PM}_{2.5}$  concentrations on May 26, 2016 (a transboundary transport day).  
272 To explain the concentration differences in the SMA with contribution changes, we examined the  
273 vertical distribution of domestic/foreign contributions on May 17 and 26, 2016.

#### 274 3.4.1. May 17, 2016: Local Influence Day

275 Figures 6-7 show daily average  $\text{PM}_{2.5}$  concentrations and daily maximum 1-hour  $\text{O}_3$  concentrations  
276 over the SMA receptor cells as depicted in Figure 2. On May 17, 2016, daily average  $\text{PM}_{2.5}$   
277 concentrations at the 1<sup>st</sup> layer estimated by Run A and Run B were  $43.1 \mu\text{g}/\text{m}^3$  and  $49.9 \mu\text{g}/\text{m}^3$ ,  
278 respectively. Domestic contributions estimated by Run A and Run B were  $16.7 \mu\text{g}/\text{m}^3$  and  $19.7 \mu\text{g}/\text{m}^3$ ,  
279 respectively.  $43.4\%$  ( $3.0 \mu\text{g}/\text{m}^3$ ) of the modeled  $\text{PM}_{2.5}$  concentration differences ( $6.9 \mu\text{g}/\text{m}^3$ )  
280 could be explained by modeled domestic contribution changes due to the choice of the minimum  
281 Kv value. Relative ratios of foreign contributions to domestic contributions were 1.6 and 1.5  
282 according to Run A and Run B, respectively. This indicates a lower minimum Kv value seems to  
283 result in domestic and foreign contribution changes to a similar degree when the local influence is  
284 dominant. This also implies that local emission controls for high  $\text{PM}_{2.5}$  event days can be effective  
285 regardless uncertainties in foreign influences due to various reasons.

286 Daily maximum 1-hour O<sub>3</sub> values in the SMA at 3 PM on May 17, 2016, were similar between  
287 two modeling cases (56.1 ppb by Run A and 56.4 ppb by Run B). Foreign contributions estimated  
288 by Run B, except that those from BTH, were larger at low altitudes (e.g. the 5<sup>th</sup> layer or below)  
289 while contributions of domestic sources (e.g. 0.2 ppb at the 3<sup>rd</sup> – 6<sup>th</sup> layers) and boundary  
290 conditions (esp. North BC) estimated by Run B are smaller by than Run A. The North BC  
291 contribution was smaller up to the 10<sup>th</sup> layer (c.a. 1000 m) in Run B than Run A. Domestic  
292 contributions were very similar between Run A and Run B at the 1<sup>st</sup> layer while the domestic  
293 contribution according to Run B was smaller from the 2<sup>nd</sup> layer to 8<sup>th</sup> layer than that according to  
294 Run A. Apparently, YRD's contribution is consistently larger at all layers for Run B than Run A.  
295 Excluding BCs, the two major contributors were BTH and South Korea. Their contributions were  
296 10.2 ppb (BTH) and 9.1 ppb (South Korea) according to Run A and 9.9 ppb (BTH) and 9.0 ppb  
297 (South Korea) according to Run B. Overall, foreign contributions were 5 times of the domestic  
298 contributions according to both Run A and Run B. Boundary conditions accounted for 48% and  
299 47% of the total O<sub>3</sub> concentrations according to Run A and Run B, respectively. This emphasizes  
300 the importance of BCs for good O<sub>3</sub> model performance although it may not change the relative  
301 contributions of domestic and foreign sources.

302 May 26, 2016: Transboundary Transport Day

303 Figures 8 and 9 show daily average PM<sub>2.5</sub> concentrations and daily maximum 1-hour O<sub>3</sub>  
304 concentrations over the SMA receptor cells on May 26, 2016. In general, foreign contributions  
305 were smaller according to Run B at low altitudes while domestic contributions were larger at the  
306 1<sup>st</sup> and 2<sup>nd</sup> layers. NRB, YRD, and COT showed a non-monotonic pattern of contribution  
307 differences for Run A and Run B in the SMA along the altitude. Contributions of NRB, YRD, and  
308 COT were smaller according to Run B up to the 6<sup>th</sup> layer and became larger between the 7<sup>th</sup> and  
309 10<sup>th</sup> layers. It implies that a source area specific foreign contribution can be sensitive to model  
310 configuration such as Kv. Also, it highlights the importance of understanding how foreign  
311 originated air pollutants are transported aloft to better explain foreign contributions at downwind  
312 receptors. On May 26, 2016, the estimated daily average PM<sub>2.5</sub> concentrations at the 1<sup>st</sup> layer  
313 according to Run A and Run B were 72.0  $\mu\text{g}/\text{m}^3$  and 65.9  $\mu\text{g}/\text{m}^3$ , respectively. Domestic  
314 contributions estimated by Run A and Run B were 18.2  $\mu\text{g}/\text{m}^3$  and 19.1  $\mu\text{g}/\text{m}^3$  (25.2% and 29.0%  
315 of total PM<sub>2.5</sub> concentrations), respectively. Relative ratios of foreign contributions to domestic  
316 contributions were 3.0 and 2.4 according to Run A and Run B, respectively. One interesting  
317 observation was that South Korea's domestic contributions according to Run B on May 17 (19.7  
318  $\mu\text{g}/\text{m}^3$ ) and May 26 (19.1  $\mu\text{g}/\text{m}^3$ ), 2016, showed little differences while those according to run A  
319 on May 17 (16.7  $\mu\text{g}/\text{m}^3$ ) and May 26 (18.2  $\mu\text{g}/\text{m}^3$ ), 2016, showed relatively large differences. This  
320 implies that the landuse/landcover specific Kv min value (i.e. Run B; 1.0  $\text{m}^2/\text{s}$  for urban areas and  
321 0.1  $\text{m}^2/\text{s}$  for rural areas) leads to more converging domestic contribution estimates than a constantly  
322 higher Kv value (i.e. Run A; 1.0  $\text{m}^2/\text{s}$ ) across receptor areas.

323 Daily maximum 1-hour O<sub>3</sub> concentrations in the SMA at 1 PM on May 26, 2016, were similar for  
324 the two modeling cases (78.7 ppb by Run A and 78.0 ppb by Run B). Unlike May 17, 2016, Run  
325 A estimated higher (0.7 ppb) daily maximum 1-hour O<sub>3</sub> concentrations in the SMA on May 26,  
326 2016, than Run B. At lower altitudes, YRD was the major contributor. However, at higher altitudes

327 (> 1000 m), NRB became the major contributor. The apparent O<sub>3</sub> concentration differences at the  
328 surface level were due to lower contributions from YRD and West BC according to Run B than  
329 Run A. The overall differences were compensated by lower YRD contributions and higher COT  
330 and NRB contributions according to Run B than Run A. Domestic contributions were similar for  
331 Run A and Run B. Apparently, YRD's contribution was consistently lower at all layers for Run B  
332 than Run A. Including BCs, the major contributor was YRD and its contributions were estimated  
333 to be 27.2 ppb and 25.3 ppb by Run A and Run B, respectively. The 2<sup>nd</sup> highest contributor was  
334 NRB (16.0 ppb and 16.3 ppb, respectively). Overall, foreign contributions were 11 times of the  
335 domestic contributions according to both Run A and Run B. Domestic contributions were 6.4 ppb  
336 according to both Run A and Run B. Compared with May 17, 2016, domestic contributions  
337 decreased by 29% according to both Run A and Run B on May 26, 2016.

#### 338 4. Conclusion

339 In this study, we examined the effects of minimum Kv values on modeled contributions by  
340 simulating the air quality in the Northeast Asia region. Because of the availability of various data  
341 including aircraft measurements and the strategic importance of air quality management in South  
342 Korea, we focused our analysis for the SMA on May 17 (a local influence day) and 26 (a  
343 transboundary transport day), 2016. Two model runs were performed with minimum Kv values of  
344 1.0 m<sup>2</sup>/s (Run A) and 0.1 m<sup>2</sup>/s with additional adjustment below the altitude of 200 m (Run B).

345 For May 17, 2016 (a local influence day), Run A and Run B estimated that domestic PM<sub>2.5</sub>  
346 contributions were 16.7  $\mu\text{g}/\text{m}^3$  and 19.7  $\mu\text{g}/\text{m}^3$ . Relative domestic contributions to total PM<sub>2.5</sub>  
347 concentrations were similar (38.8 % vs 39.4 %) according to the two model runs although modeled  
348 total PM<sub>2.5</sub> mass concentrations in the SMA (43.1  $\mu\text{g}/\text{m}^3$  and 49.9  $\mu\text{g}/\text{m}^3$ ) were quite different as  
349 large as 6  $\mu\text{g}/\text{m}^3$ . We found a similar pattern for O<sub>3</sub> on the same day. However, on May 26, 2016  
350 (a foreign influence day), relative ratios of foreign PM<sub>2.5</sub> contributions to domestic PM<sub>2.5</sub>  
351 contributions were quite different (3.0 by Run A and 2.4 by Run B) according to the two model  
352 runs. Overall, the lower minimum Kv value near surface led to higher PM<sub>2.5</sub> concentrations over  
353 the South Korean peninsula. However, this was not necessarily true for the SMA. On May 26,  
354 2016 (a foreign influence day), the lower minimum Kv value resulted in lower PM<sub>2.5</sub>  
355 concentrations in the SMA. It turns out that foreign contributions were much lower on May 26,  
356 2016, with lower minimum Kv values while the domestic contribution became larger. Apparently,  
357 a choice of minimum Kv value used in a modeling study caused greater sensitivity on days  
358 influenced by the transboundary transport of air pollution.

359 As we discussed in the previous section, vertical mixing differences can lead to variations in  
360 chemical reaction timing in Chinese source areas by influencing initial mixing near sources, then  
361 change how primary and secondary pollutants as well as their precursors are transported in terms  
362 of distance and altitude. At the same time, we noted that modeled absolute domestic contributions  
363 were similar for a local influence day and a transboundary transport day with the lower minimum  
364 Kv value. If we can consider this as an evidence for more solid estimation in domestic  
365 contributions, we may be able to estimate bias-adjusted foreign contributions in the future. This  
366 also implies that it may be desirable for air quality modelers to perform two sets of modeling with

367 higher and lower minimum Kv values when they conduct modeling for developing air quality plans  
368 that should consider domestic/foreign contributions.

369 The limitation of this study was that only two focus days were examined during summertime in  
370 2016 even though those two days might represent sufficiently local against transboundary transport  
371 dominant days. Because seasonality and inter-annual variations along with rapid emission changes  
372 in the Northeast Asia region can play critical roles, further studies are warranted to examine the  
373 effects of a critical model parameter, minimum Kv, on modeled air pollutant concentrations and  
374 estimated source contribution analyses for longer terms, e.g. annual or multi-year simulations.

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