

1 An invited *Perspective* for Nature Geoscience

2 **Increased frequency of extreme El Niño associated with a 1.5 °C warming**

3
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24 **The December 2015 global climate change conference in Paris adopted an international**
25 **accord aimed at transforming the world's fossil fuel-driven economy within decades and**
26 **slowing the pace of global warming to below 2 °C relative to preindustrial levels, with**
27 **an aspirational target of 1.5 °C. Little is known about the impact of a 1.5 °C-warming**
28 **on climate extremes, such as the frequency of extreme El Niño events. Such extremes**
29 **severely affect weathers, agriculture, ecosystems, public health and economies**
30 **worldwide, and should be a factor in the consideration of targets to avoid dangerous**
31 **climate change. By estimating changes induced by a 1.5 °C-warming, here we argue that**
32 **the risk of an increased frequency of extreme El Niño events is still high, although lower**
33 **than that at 2 °C-warming. Moreover, the risk may even intensify for a century after**
34 **the global mean temperature has stabilised. An increased risk associated with extreme**
35 **La Niña under a projected business-as-usual emission scenario, however, can be**
36 **avoided.**

37
38 For a given rise in global mean temperature (GMT), regional climate impacts and local
39 capacities to adapt vary vastly from one region to another. As such, debates are moot as to
40 what level of climate change can be considered ‘dangerous’ and what level of global

41 warming must be avoided^{1,2}. The local impacts projected for a 2 °C warming are beyond
42 what many societies, particularly small island states, would be able to cope with, particularly
43 in terms of risk of extreme events such as tropical cyclones, droughts and floods, and as
44 well extreme heat waves^{3,4,5,6}. A lower warming level has been called for and the historic
45 Paris agreement responded with an aspirational target of 1.5 °C.

46

47 However, only a limited number of studies have considered emission scenarios that are
48 consistent with a 1.5 °C limit^{7,8,9,10,11,12,13}, and even fewer on expected impacts. The limited
49 number of studies, carried out mainly for comparison with a 2 °C warming, showed that the
50 projected frequency of heat extremes at 1.5 °C-warming would be reduced by 50% from
51 that associated with 2 °C warming¹⁴. Further, limiting warming to 1.5 °C would reduce the
52 rate of not only sea level rise, but also the melting of the polar ice sheets, which
53 contributes directly to the risk of large-scale sea level rise^{15,16,17}. The full spectrum of the
54 impact from a sustained 1.5 °C warming is still far from clear, including the impact on
55 climate extremes. We argue that understanding the impact on the frequency of extreme El
56 Niño events should be part of the assessment of what constitutes dangerous climate change
57 because of its far reaching global impacts¹⁸.

58

59 During the 1997 extreme El Niño ([see Box 1 for a definition](#)), the western Pacific
60 convection zone moved to the eastern equatorial Pacific and the Intertropical Convergence
61 Zone (ITCZ) shifted southwards towards the equator. This massive reorganisation of the
62 atmospheric circulation induced severe impacts worldwide. Droughts plagued regions
63 surrounding the western Pacific^{19,20}. Catastrophic floods occurred in parts of Ecuador and
64 northern Peru^{18,19,27} while neighbouring regions to the south and north experienced severe
65 droughts. The South Pacific Convergence Zone (SPCZ), the largest rainband in the Southern
66 Hemisphere, shifted toward the equator by up to 1000 km^{28,29}, spurring floods and droughts
67 in south Pacific countries and shifting extreme cyclones to regions normally not affected by
68 such events. The anomalous conditions caused widespread environmental disruption,
69 including the disappearance of marine life and consequent decimation of the native bird
70 populations in the Galapagos Islands^{30,31}, and severe bleaching of corals in the Pacific and
71 beyond^{32,33}.

72

73 The 1997 event was followed by an extreme La Niña in 1998 ([see Box 1 for a definition](#)), the
74 strongest of the 20th century, with generally opposite climatic impacts to those seen in 1997.

75 These impacts included flooding in the West Pacific^{34,35}, and increased land-falling West
76 Pacific cyclones and Atlantic hurricanes, killing tens of thousands of people^{34,35,36,37}. The
77 1997 extreme El Niño and 1998 extreme La Niña together claimed over 50,000 lives,
78 dislocated over 250 million people, and caused tens of billions in damage around the
79 world^{34,35,36,37,38,39}.

80

81 Recent studies have shown that under the Representative Concentration Pathway 8.5
82 (RCP8.5)^{40,41}, greenhouse warming leads to an increased frequency of extreme El Niño and
83 extreme La Niña^{21,24,42}. Under the RCP8.5 scenario, the multi-model ensemble average of
84 global mean temperature increases by about 4.5 °C by 2100. Here we estimate changes in the
85 frequency of these extremes at the 1.5 °C-warming level and show that, despite a reduction
86 from the 2 °C warming level, the frequency of extreme El Niño still doubles from that of the
87 preindustrial level. Further, we find the maximum risk emerges long after the targeted GMT
88 limit is reached. On the other hand, the frequency of extreme La Niña does not increase
89 under 1.5 or 2 °C warming.

90

91 **Increased frequency of extreme El Niño at the 1.5 °C warming**

92 In the Climate Model Intercomparison Project’s Phase 5 (CMIP5)⁴³, the RCP2.6 (or
93 RCP3PD) emission scenario is a “peak-and-decline” pathway that leads to low greenhouse
94 gas concentration levels^{40,41} and produces a peak GMT rise close to 1.5 °C above the
95 preindustrial level. A total of 13 models under this emission scenario are able to generate
96 extreme El Niño and La Niña events^{21,24} and produce a 1.5 °C global mean temperature
97 (GMT) rise from the preindustrial level. To assess the frequency changes, we first calculate
98 31-year running averages to determine when 1.5 °C GMT limit is achieved in each model.
99 We then compare the number of extreme El Niño events from the 31-year period centred at
100 the 1.5 °C warming aggregated across the 13 models with that from the model aggregates of
101 the last 31 years of the preindustrial period (1869-1899). We focus on the season of
102 December, January, and February, in which an El Niño event peaks. As such, we have a
103 large sample of 403 years (13 models x 31 years) for each of the warming and preindustrial
104 cases to determine the frequency change.

105

106 Because the warming in the majority of these experiments under RCP2.6 do not reach 2 °C,
107 we adopted the approach⁴⁴ in utilising RCP2.6 for a 1.5 °C warming and RCP4.5 for a 2 °C
108 warming to explore their differences. The RCP4.5 pathway is a scenario in which the total

109 radiative forcing is stabilized shortly after 2100, without overshooting the long-run radiative
110 forcing target^{40,41}. The frequency of extreme El Niño for the 2 °C-warming climate is
111 similarly estimated using 31-year outputs centred at the year when 2 °C warming is reached.

112

113 The frequency of extreme El Niño increases from 4.5 events per 100 years (or one event per
114 22 years) in the preindustrial period (Fig. 1a) to 10.4 events per 100 years (or about one event
115 per 10 years) at a 1.5 °C warming, i.e., a 130% increase. The inter-model consensus is
116 strong, with none of the models producing a reduction. The increase is statistically significant
117 above the 99% confidence level (i.e., greater than three times of the standard deviation of
118 internal variability of the preindustrial period; see Methods). The frequency at 1.5 °C
119 warming is 65% greater than the frequency during the 20th century, with 6.3 events per 100
120 years (or about one event per 16 years) averaged across the 13 models over the 1900-1999
121 period.

122

123 The frequency at the 2 °C warming is 12.9 events per 100 years, or one event per 8 years.
124 This is 24% higher than for 1.5 °C warming (Fig. 1b), approximately what is expected from
125 the linear assumption in terms of change per °C of global warming, which would yield a 25%
126 difference. The inter-model consensus is high, with only two models generating a lower
127 frequency at 2 °C than at 1.5 °C warming. The central point is that despite the reduction of
128 extreme El Niños from 2 °C to 1.5 °C warming, the frequency of extremes at 1.5 °C warming
129 is still high compared to preindustrial.

130

131 Consistent with findings from previous studies²¹, there is no statistically significant difference
132 in the intensity of extreme El Niño between either of the two warming levels and the
133 preindustrial level. Composite of rainfall total for extreme El Niño events shows that the
134 spatial pattern and the associated rainfall teleconnection remain overall similar in the two
135 periods (Fig. 1c, d, and stars of Fig. 1a, b), suggesting that, at a given location, extreme El
136 Niño impacts will repeat more frequently in the warming climate.

137

138 **Robust underpinning process**

139 Mean state circulation changes underpin the increased frequency of extreme El Niño.
140 Migration of the ITCZ to the equator in the eastern Pacific (see Box 1), which characterises
141 an extreme El Niño, is supported by a reduced “off equatorial-minus-equatorial” (area of red
142 solid rectangle minus red dashed rectangle, Fig. 2a, b) surface temperature gradient²¹ (see

143 **Box 1).** Under RCP8.5, this meridional gradient decreases with a projected warming faster
144 in the equatorial Pacific than off-equatorial regions, and faster in the eastern equatorial
145 Pacific warming than the surrounding regions⁴⁵. As a consequence, it is easier to induce
146 extreme El Niño even if temperature variability does not change²¹. There is still a strong and
147 robust reduction in the meridional temperature gradient with a 1.5 °C and 2 °C warming (Fig.
148 2a, b), underscored by the strong inter-model consensus (red bars in Fig. 2c, d). The reduction
149 is seen in every model for the 1.5 °C warming, and only one model produces a weak increase
150 for the 2 °C warming.

151

152 A direct consequence of the 1.5 °C or 2 °C warming target is no statistically significant
153 increase of extreme La Niña, in contrast to that projected under RCP8.5²⁴. Extreme La Niña
154 events feature concentrated atmospheric convection in the Maritime region but reduced
155 convection in the central equatorial Pacific. Hence, ocean-atmosphere anomalies involved in
156 the associated positive feedbacks are influenced by an enhanced Maritime-minus-central
157 Pacific temperature gradient (**Box 1**, area of blue rectangle minus blue dashed box, Fig. 2a,
158 b). Under RCP8.5, the Maritime continent warms substantially faster after 2050 than the
159 central equatorial Pacific after 2050 (Figure not shown), underpinning the increase in the
160 frequency of extreme La Niña events²⁴. Under RCP2.6 or RCP4.5, there is no intermodel
161 consensus in the change of the zonal temperature gradient, with about half of the models
162 generating an increase and the other half generating a reduction (blue bar in Fig. 2c, d).
163 Under RCP2.6 and RCP4.5, because a large portion of the Maritime region is ocean area, a
164 substantial warming contrast between the Maritime region and the central Pacific is not yet
165 established at these warming levels. Consequently, there is no inter-model consensus in the
166 frequency of extreme La Niña from the preindustrial level and hence no definitive change.
167 This result means that the catastrophic combination of an extreme El Niño followed by an
168 extreme La Niña, as seen in the 1997 and 1998 may not occur as often in these two scenarios
169 as in the business-as-usual projection of the RCP8.5²⁴.

170

171 **Temporal evolution and risk after a warming stabilises**

172 The risk of an increased frequency of extreme El Niño appears to intensify linearly with the
173 rise in GMT. For instance, the increase at the 1.5 °C warming in RCP2.6 is approximately
174 75% of that at a 2 °C warming in RCP4.5 (Fig. 1b). To reveal whether this linearity is
175 systematic and robust, we examine the evolution of the GMT change, the meridional
176 temperature gradient change, and the frequency of the extreme El Niño using 31-year sliding

177 periods in each of 13 models forced under the historical emission and the RCP2.6 scenario,
178 and in terms of multi-model ensemble average (Fig. 3a, b, note the reversed gradient sign).
179 The multi-model ensemble average gradient (Fig. 3a) and frequency (Fig. 3b) at 1.5 °C
180 warming (light green filled region) are 0.3 °C (red filled circle, Fig. 3a) and 10.3 events per
181 100 year (purple filled circle, Fig. 3b), respectively. The frequency is comparable to that
182 aggregated from outputs when each individual model is at a 1.5 °C warming. Although the
183 ensemble GMT rise does not quite reach 2 °C, the evolution shows that during the transient
184 increase of CO₂ toward 2 °C warming, the weakening meridional gradient and the increasing
185 frequency change roughly linearly with the GMT rise. Thus, the approximately 25%
186 difference between the 1.5 °C and 2 °C warming is underpinned by this linearity. This is
187 further confirmed by changes in the last 31-year period, when the multi-model ensemble
188 GMT rise is close to 2 °C (light orange filled region), showing an averaged frequency of 13
189 events per 100 years (purple star, Fig. 3b), similar to that shown in Fig. 1b (purple star).

190

191 More importantly though, the risk of increased frequency continues to grow after the GMT
192 peaks and stabilises, supported by a further weakening in the meridional temperature gradient
193 (Fig. 3a). The time scale for which further weakening may last, and the eventual frequency to
194 which the further weakening may lead, are of great interest. There are only five models out of
195 the 13 that have outputs beyond 2100, but we can use these to provide a gauge, without
196 forgetting that an ensemble of 5 models will contain significant interdecadal variability. The
197 weakening meridional gradient, established during the transient increase of CO₂, does not just
198 persist but actually intensifies for about a century before reversing its trend to be in line with
199 the GMT (Fig. 3c). The frequency of extreme El Niños, though defined using a discrete
200 threshold value of rainfall and hence more fluctuating, essentially follows the same evolution,
201 featuring a further increase after the GMT stabilises. This behaviour is somewhat similar to
202 the response of sea level^{46,47,48}, but what is surprising is that even the temperature gradient,
203 which measures the difference between two locations, responds in this manner.

204

205 Although the continuous weakening of the gradient and increase in the frequency (Fig. 3c, d)
206 commence from a warming level close to 2 °C, there is no reason to believe that a
207 commencement from a 1.5 °C would generate a completely different evolution, given that
208 pathways to a 1.5 °C and 2 °C warming are similar^{13,44}. It is not clear whether the gradient
209 and the frequency would ever recover to the preindustrial level. It seems that if the recovery
210 were to occur, it would take many centuries to do so (Fig. 3c, d). The central point is that the

211 risk assessed using outputs from the transient increase of CO₂ may be substantially under-
212 estimated.

213

214 **Toward a full impact assessment of a 1.5 °C warming**

215 We have shown that the frequency of extreme El Niño at a 1.5 °C warming, though lower
216 than at 2 °C, doubles that of the preindustrial level. During the transient increase of CO₂, the
217 frequency of extreme El Niño and the underpinning meridional surface temperature gradient
218 in the eastern equatorial Pacific evolve linearly with the GMT and CO₂. This implies that any
219 increase in CO₂ directly leads to a higher risk of an increased frequency of extreme El Niño
220 events. However, even after the warming stabilises, the frequency still continues to increase
221 and the meridional gradient continues to weaken for a century. It is unclear whether this long
222 persistence depends on a stabilized warming level, but is suggestive of a positive feedback
223 process that operates between the meridional gradient and the atmospheric circulation
224 involving El Niño. If this feedback does operate, then what the process might be that
225 eventually leads to its demise, and what frequency of extreme El Niño will be in the
226 stabilised 1.5 °C warming world, are some important questions to address. Properly
227 resolving these issues will require a large ensemble of multi-century long experiments than
228 currently available, but is an integrated part of assessing the full impact of the 1.5 °C
229 warming, which at present is far from complete. The 1.5 °C aspirational target provides a
230 mandate to explore the 1.5 °C-warming world, but whether it is a target that avoids dangerous
231 climate change is yet to be fully assessed.

232

233 **Methods**

234 We used CMIP5 model outputs of surface temperature and precipitation for the boreal winter
235 season (December, January, and February), in which an El Niño event matures. We took a
236 31-year period centered at a warming of 1.5 °C under the RCP2.6 scenario, and 2 °C under
237 RCP4.5, relative to the preindustrial period of 1869–1899. Note that those simulations are
238 transient. Equilibrium state does not exist for most models. One initial condition member
239 from each model is used with equal weight. Results in Fig. 1 are aggregated over all 13
240 selected models, able to produce extreme El Niño and La Niña (see Box 1 for definition) and
241 a warming of 1.5 °C from the 1869–1899 level. We use a bootstrap method to examine
242 whether the difference in frequency of extreme events at a 1.5 °C and in the preindustrial
243 period is statistically significant. There is a total of 403 years. These are re-sampled randomly
244 to construct another 10,000 realisations of 403 records. In the random re-sampling process,

245 any extreme event is allowed to be selected again. For instance, the standard deviation of the
246 extreme El Niño frequency in the inter-realization is 1.2 event per 100 years, far smaller than
247 the difference between two periods, indicating a strong statistical significance.
248

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363 **Author Contributions**

364 W.C. & G.W. conceived the study, G. W performed all model analysis. W.C. & G.W. write
365 the initial manuscript. All authors contributed to interpreting results, discussion of the
366 associated dynamics, and improvement of this paper.

367

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369 www.nature.com/reprints. The authors declare no competing financial interests.

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373 **Figure Captions & Box**

374

375 **Figure 1 | Changes in frequency of extreme El Niño events from the preindustrial**
376 **condition and the associated rainfall pattern. a, b**, Boreal winter relationship between total
377 rainfall (mm per day) in eastern equatorial Pacific (Niño3 area: 5°S–5°N, 150°W–90°W)
378 (**black box in c and d**) and meridional surface temperature gradient (°C) for the preindustrial
379 condition and the targeted warming levels, respectively. The meridional temperature gradient

380 is defined as the average surface temperature over the off-equatorial region (5°N-10°N,
381 150°W-90°W) minus the average over the equatorial region (2.5°S-2.5°N, 150°W-90°W) (**red**
382 **solid** minus **red dashed** in **c** and **d**). The **red** and **black dots** indicate **extreme El Niño**
383 (defined as events for which austral summer rainfall in Niño3 area is greater than 5 mm per
384 day²¹ and **non-extreme El Niño** events, respectively, for the preindustrial condition and the
385 1.5 °C. In **b**, **purple** and **grey** dots are for the 2 °C warming condition. A multi-model mean
386 value for those extreme events is also indicated, by a **star** in each panel. **c, d**, Composites of
387 total rainfall (mm per day) during extreme El Niño events, for the preindustrial condition and
388 the 1.5 °C warming world, respectively. The **green contour** indicates the 5 mm per day
389 isopleth of the total rainfall. Stippling in **d** indicates regions where its differences from **c** are
390 statistically significant above the 95% level, determined by a two-sided Student's *t*-test. The
391 rainfall composites for the 2 °C warming world (not shown here) display a similar pattern to
392 **d**.

393

394 **Figure 2 | Multi-model ensemble average of surface temperature change from the**
395 **preindustrial condition. a, b**, Ensemble average surface temperature anomalies for the 1.5
396 °C warming world and 2.0 °C warming world, respectively. **c, d**, Changes in mean zonal
397 (**blue bars**) and meridional (**red bars**) temperature gradient under the 1.5 °C and 2.0 °C
398 warming, respectively, multi-model average and each of the 13 models. The zonal
399 temperature gradient is defined as the average surface temperature over the Maritime region
400 (5°S-5°N, 100°E-125°E) minus the average over the central Pacific region (5°S-5°N, 160°E-
401 150°W)²⁴ (**Solid blue** minus **dashed blue box**, in **a** and **b**). The meridional temperature
402 gradient is defined as the average surface temperature over the off-equatorial region (5°N-
403 10°N, 150°W-90°W) minus the average over the equatorial region (2.5°S-2.5°N, 150°W-
404 90°W), **solid red** minus **dashed red box** in **a** and **b**.

405

406 **Figure 3 | Evolution of frequency of extreme El Niño, the associated meridional**
407 **gradient, and global mean temperature. a**, Evolution of multi-model ensemble average
408 global mean temperature anomalies (**black curve**) and meridional temperature gradient
409 anomalies (**red curve**), using 13 available models under the historical emission and RCP2.6
410 emission scenario (1900-2099). The anomalies are referenced to the preindustrial condition
411 and then averaged in 31-year sliding windows. The sign of meridional temperature gradient is
412 reversed for easy of comparison with the global mean temperature. The two values near the
413 filled circle and star in **red** indicate the averaged meridional temperature gradient over the 31

414 years centred at the 1.5 °C warming (light green) and the last 31 years after the global mean
415 temperature stabilises (light orange). The red line and black line denote the linear trend for
416 the meridional gradient and global mean temperature, respectively, after the global mean
417 temperature stabilises. **b**, the same as **a**, but for the frequency of extreme El Niño events
418 (purple, events per 100 years). **c** and **d**, The same as **a** and **b**, respectively, but using 5
419 available models, which extend the simulation to the 23rd century under the RCP2.6
420 emission scenario. The light pink filled region indicates the period when the global mean
421 temperature decreases but the meridional temperature gradient continues to weaken and the
422 frequency of extreme El Niño continues to increase.

423

424 **Box 1 | Definition of extreme El Niño, extreme La Niña and the associated dynamic** 425 **indices**

426

427 **Extreme El Niño.** Extreme El Niño events were characterized by an exceptional warming
428 extending into the eastern equatorial Pacific^{19,20}. The high sea surface temperatures (SST)
429 leads to an equatorward shift of the Intertropical Convergence Zone (ITCZ), and hence
430 intense rainfall in the equatorial eastern Pacific where cold and dry conditions normally
431 prevail. Niño3 rainfall is thus a good indicator of extreme El Niño^{21,22,23} for large-scale
432 atmospheric circulation anomalies. An extreme El Niño is defined as an event during which
433 such massive reorganisation of atmospheric convection takes place, leading to Niño3 rainfall
434 that exceeds 5 mm per day averaged over the El Niño mature season of December, January
435 and February²¹. This definition distinctly identifies the 1982/83 and 1997/98 events as an
436 extreme El Niño.

437

438 **Eastern equatorial Pacific meridional SST gradients.** During extreme El Niño, warming in
439 the eastern equatorial Pacific dramatically weakens the meridional SST gradient. This
440 gradient measures the difference between the northern off-equatorial (8°N, the ITCZ position)
441 and the equatorial Pacific. Convection follows the highest SSTs, as such the ITCZ shifts
442 equatorward^{21,22} leading to atmospheric convection and extraordinary rainfall (>5 mm per
443 day) in the normally dry eastern equatorial Pacific. The smaller the gradient, the greater ease
444 for this to occur.

445

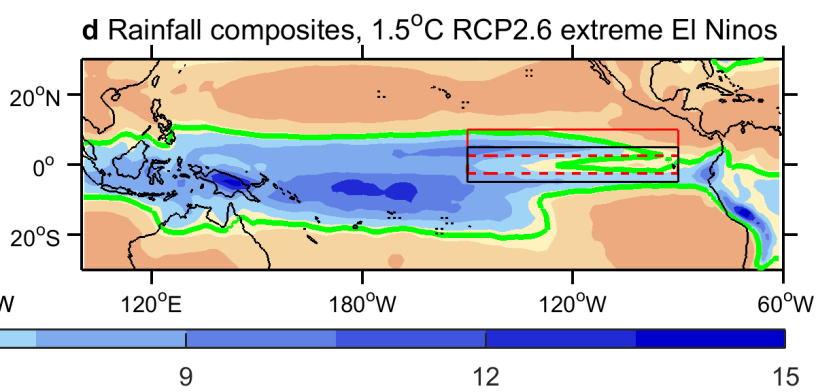
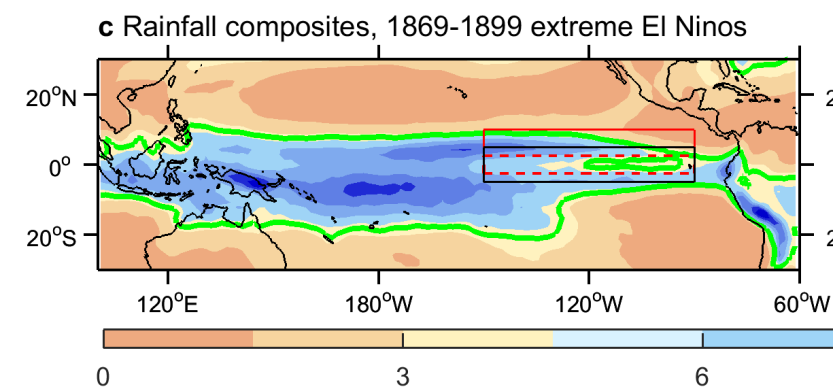
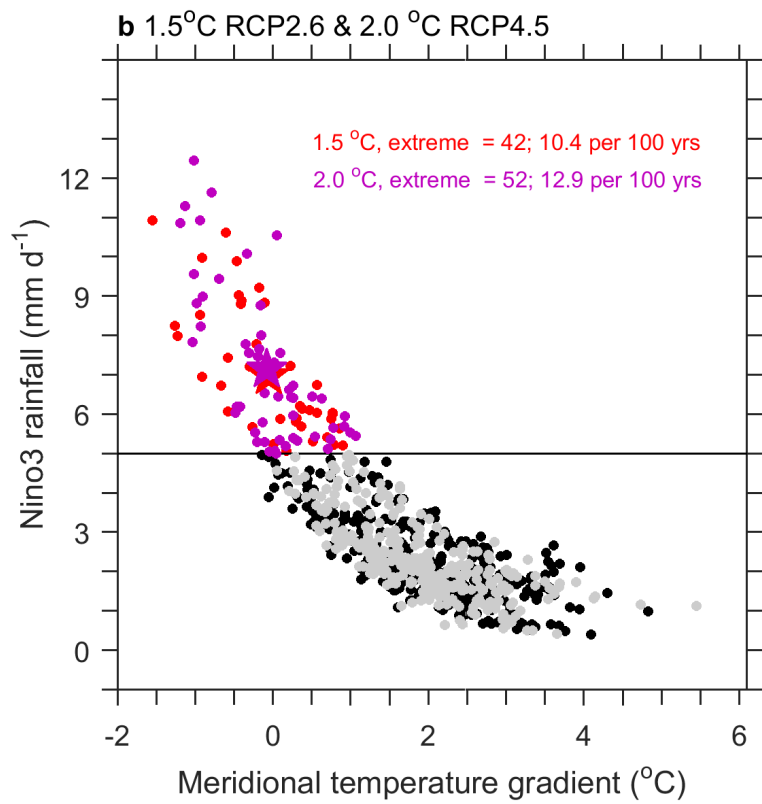
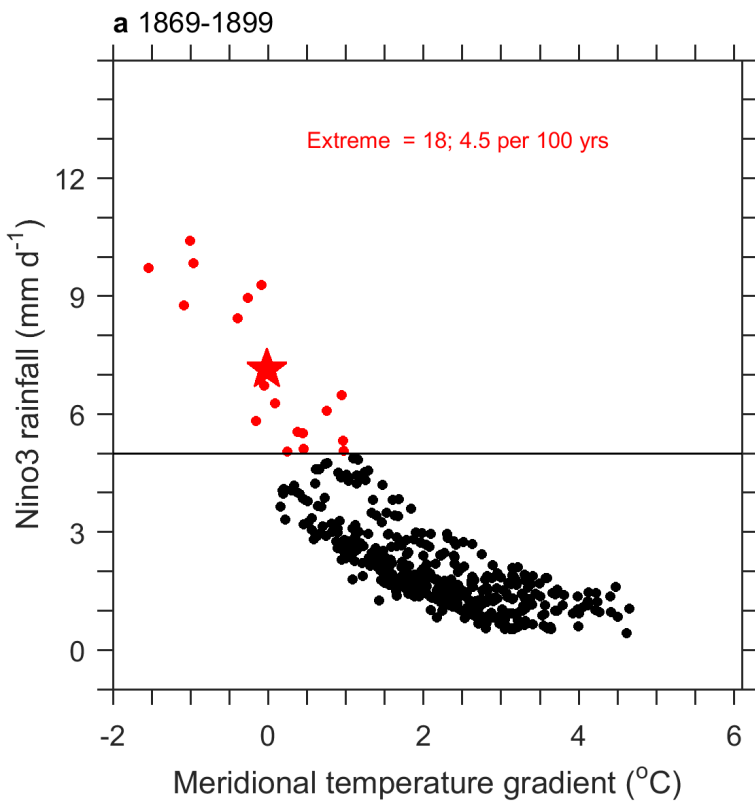
446 **Extreme La Niña.** An extreme Niña is not a mirror opposite image of an extreme El
447 Niño^{24,25,26}. During extreme La Niña events, coldest sea surface conditions develop in the

448 central Pacific^{24,25,26} inhibiting formation of rain-producing clouds there, but enhancing
449 atmospheric convection and rainfall in the western equatorial Pacific. An extreme La Niña is
450 defined as one for which the amplitude of central equatorial Pacific SST (Niño4, (5°S-5°N,
451 160°E-150°W) is greater than a 1.75-standard deviation (s.d.) value in the La Niña mature
452 season December January and February, and this definition captures the extreme La Niña
453 events of 1988/89 and 1998/99.

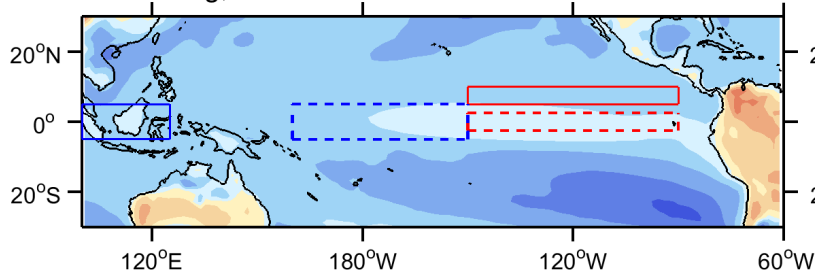
454

455 **Maritime-minus-central Pacific SST gradient.** During extreme La Niña events, coldest sea
456 surface conditions develop in the central Pacific, creating an enhanced temperature gradient
457 from the Maritime continent to the central Pacific. This cooling generated stronger easterly
458 winds, which piled up warm water in the western Pacific, increasing the Maritime-central
459 Pacific temperature gradient²⁴. This in turn generates further anomalous upwelling of cool
460 water to the surface, and westward surface currents in the Niño4 region, conducive to growth
461 of cold anomalies in the region, in a positive feedback. An increasing trend of this gradient is
462 conducive to occurrences of this positive feedback.

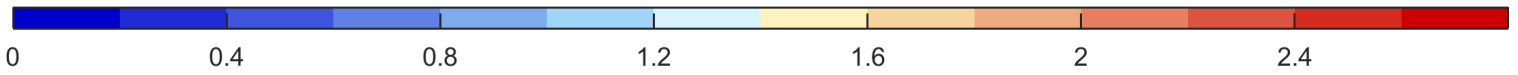
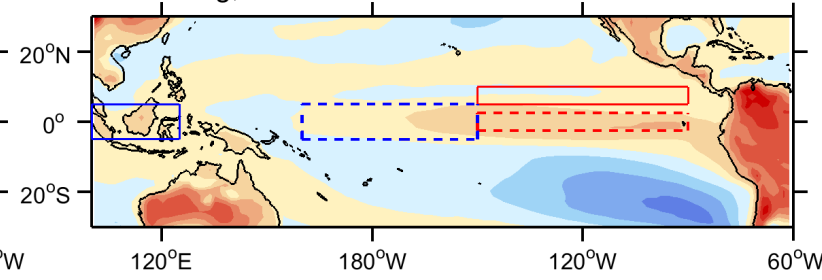
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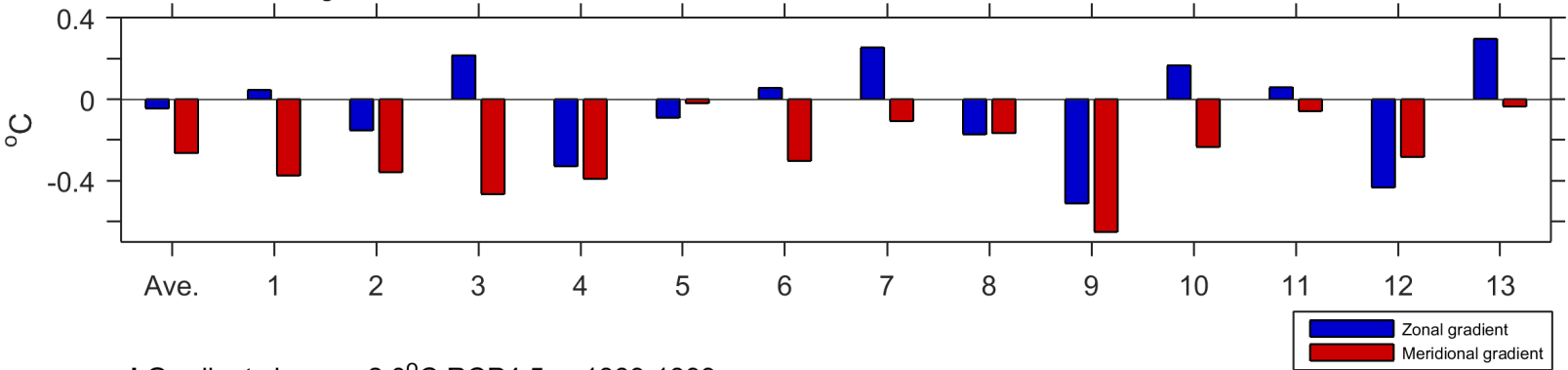
a Warming, 1.5°C RCP2.6 *m* 1869-1899



b Warming, 2.0°C RCP4.5 *m* 1869-1899



c Gradient change, 1.5°C RCP2.6 *m* 1869-1899



d Gradient change, 2.0°C RCP4.5 *m* 1869-1899

