1 **A longitudinal study of endocrinology and foraging ecology of gray whales prior to death based on baleen analysis.** 2

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38 **HIGHLIGHTS**

• Baleen analysis of hormones and stable isotopes is a powerful tool to enable a comprehensive and retrospective assessment of stress, reproduction, and nutritional status of the gray whale. 39 40

 Gray whale baleen holds an endocrine and isotopic record of the last 1.3 years of the individual prior to death. 41 42

Quantification of baleen glucocorticoid content enables discrimination between chronic illness

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- 44 45
- Fluctuations in baleen $\delta^{15}N$ correspond to the expected migration phenology in gray whales.

and acute stress as cause of death.

ABSTRACT 46

Individual-level assessments of wild animal health, vital rates, and foraging ecology are critical for understanding population-wide impacts of exposure to stressors. Large whales face multiple stressors, including, but not limited to, ocean noise, pollution, and ship strikes. Because baleen is a continuously growing keratinized structure, serial extraction, and quantification of hormones and stable isotopes along the length of baleen provide a historical record of whale physiology and foraging ecology. Furthermore, baleen analysis enables the investigation of dead specimens, even decades later, allowing comparisons between historic and modern populations. Here, we examined baleen of five sub-adult gray whales and observed distinct patterns of oscillations in δ^{15} N values along the length of their baleen plates which enabled estimation of baleen growth rates and differentiation of isotopic niche widths of the whales during winter and summer foraging. In contrast, no clear patterns were apparent in $\delta^{13}C$ values. Prolonged elevation of cortisol in four individuals before death indicate that chronic stress may have impacted their health and survival. Triiodothyronine (T3) increased over months in the whales with unknown causes of death, simultaneous with elevations in cortisol, but both hormones remained stable in the one case of acute death attributed to killer whale predation. This parallel elevation of cortisol and T3 challenges the classic understanding of their interaction and might relate to increased energetic demands during exposure to stressors. Reproductive hormone profiles in subadults did not show cyclical trends, suggesting they had not yet reached sexual maturity. This study highlights the potential of baleen analysis to retrospectively assess gray whales' physiological status, exposure to stressors, reproductive status, and foraging ecology in the months or years leading up to their death, which can be a useful tool for conservation diagnostics to mitigate unusual mortality events. 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66

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Mysticetes, stable isotopes, enzyme immunoassays, mortality, longitudinal profiles. 68

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75 **1. INTRODUCTION**

76 77 78 79 80 81 82 83 84 85 86 87 88 Individual-level assessments of changes in health, vital rates, and foraging ecology of wild animals in response to disturbance events are key for identifying potential impacts on the broader population (Pirotta et al., 2022), as well as gaining insights needed for effective, targeted conservation strategies. Large whales are exposed to an increasing number of stressors, including ocean noise (e.g., vessel traffic, military sonar, seismic oil and gas exploration, and construction; (Lemos et al., 2022a; Rolland et al., 2012), contaminant, plastic, heavy metal and chemical pollution (Lowe et al., 2022; Reckendorf, 2023; Torres et al., 2023), ship strikes, harmful algal blooms (D'Agostino et al., 2022), entanglement in fishing gear (Clapham, 2016; S. Derville et al., 2023), marine heatwaves (Suryan et al., 2021), and prey shifts (Solène Derville et al., 2023; Pallin et al., 2023; Thomas et al., 2016). Conservation efforts to mitigate threats to whale populations are hindered by challenges of monitoring and repeated sampling due to whales' large size, mobility, and their remote marine habitats (Hunt et al., 2013) and thus constrain assessment of natural and anthropogenic impacts on individual health, vital rates, and foraging ecology.

89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 105 Recently, the use of innovative analytical methods for non-plasma sample types that can be collected from live or dead whales has increased our ability to disentangle different aspects of the complex foraging ecology and physiology of large whales (Fleming et al., 2018; Hunt et al., 2013; Teixeira et al., 2022). Baleen, for example, is a unique structure that forms the filter-feeding apparatus in mysticete whales and is perhaps the best biological tissue for acquiring longitudinal ecological and physiological data, with sufficient temporal resolution to examine seasonal patterns (Caraveo-Patiño et al., 2007; Fernández Ajó et al., 2020, 2018; Hunt et al., 2018). Like other keratinized epidermal tissues (e.g., claws, hair, and spines, whiskers), baleen is a continuously growing structure that extends from a well-vascularized dermal zone. During growth, baleen incorporates the isotopic ratios and endocrine signature of the circulating plasma. The slow growth rate of baleen allows for simultaneous incorporation of the whale's endocrine and stable isotope (SI) history spanning the time of baleen growth. For mysticetes with shorter baleen (e.g., humpback whales, *Megaptera novaeangliae*, and gray whales, *Eschrichtius robustus*), this period is 1–5 years (Caraveo-Patiño et al., 2007a; Lowe et al., 2021b, 2021a) versus a decade or more in species with longer baleen (e.g., bowheads, *Balaena mysticetus*) (Hunt et al., 2022, 2017a, 2014; Lysiak et al., 2018). Consequently, paired quantification of hormones and SI values along the longitudinal axis of the baleen plate provides a historical record of the individuals' physiology and insights into their foraging ecology. Notably, baleen is routinely recovered

106 107 108 109 110 111 112 during necropsies, and its inherent strength, durability, and minimal storage requirements (these samples can be preserved dry at room temperature) ensure the preservation of the analytes of interest within the keratin matrix. As a result, detection of hormones and SI's remains feasible in dried samples for decades (Fernández Ajó et al., 2018; Hunt et al., 2017b). These remarkable properties of baleen not only capture multi-year timeframes, enabling the determination of the individuals' seasonal endocrine and foraging patterns, but also facilitates comparisons between historic and modern populations of whales (Fernández Ajó et al., 2020, 2018; Hunt et al., 2018, 2014).

 California, Mexico, coastline, and their summer foraging grounds in the Bering, Chukchi, and Beaufort 113 114 115 116 117 118 119 120 121 122 123 124 125 126 Eastern North Pacific (ENP) gray whales migrate between their wintering grounds along the Baja Seas. The ENP population has experienced at least two recorded Unusual Mortality Events (UMEs), in 1999-2000 and from 2019 to the present, during which an unusually high number of gray whales were found dead along the Pacific coast from northern Mexico to the Alaskan Arctic, USA. Several factors have been considered as possible causes for the high number of gray whale strandings, including variation in Arctic prey availability and the duration of their feeding season caused by the timing of sea ice formation and breakup (Stewart et al., 2023), starvation, anthropogenically derived toxicants, biotoxins, infectious diseases, parasites, fisheries interactions, and ship strikes (Eguchi et al., 2023; Gulland et al., 2005). In the current UME, dead whales are frequently emaciated, indicating nutritional limitation as a causal factor of death (Christiansen et al., 2021). While poor condition of many of the stranded whales supports the idea that starvation could be a significant contributing factor in these mortalities, the underlying causes of starvation during these events are unknown, and it is also unclear whether the whales' decline in body condition was rapid or gradual.

127 128 129 130 131 132 133 134 135 136 In this study, we analyzed patterns across time of stable isotopes and five hormones within five baleen plates recovered postmortem from five subadult gray whales (4 males, 1 female) that stranded during the 2019-present UME. Our goal is twofold: first, to retrospectively examine the hormone and isotopic profiles in gray whales prior to mortality; and second, to assess potential factors contributing to mortality and the onset timing of chronic illness leading to death. Our isotopic analysis includes the longitudinal profiles of bulk carbon and nitrogen stable isotope ratios in baleen, as they are wellestablished markers of seasonal diet and foraging grounds in large whales (Best and Schell, 1996; Busquets-Vass et al., 2017; Matthews and Ferguson, 2015). Stable isotopes incorporated into baleen are acquired from an animal's diet, with different prey having characteristic ratios of $^{13}C/^{12}C$ and $^{15}N/^{14}N$. expressed as δ^{13} C and δ^{15} N, respectively. Predictable enrichment of both δ^{13} C and δ^{15} N occurs at each

137 138 139 140 141 142 143 144 trophic level (Kelly, 2000), and additional latitudinal variation in prey isotope ratios often results in annual oscillations in δ^{13} C and δ^{15} N across the length of whale baleen, reflecting the whales' annual migrations between summering and wintering grounds. For example, $\delta^{15}N$ values are typically lower when whales consume zooplankton at their summering grounds and are higher when whales are sustained primarily by their own blubber reserves and/or feed on isotopically distinct food on their wintering grounds (Lysiak, 2009). Recent studies have combined stable isotopes with baleen steroid hormone analysis to establish a timeline of tissue growth, allowing interpretation of hormone concentrations over time (e.g., (Hunt et al., 2017a, 2016b, 2014)).

145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 Our hormonal analysis quantifies two adrenal glucocorticoid steroids, cortisol and corticosterone, as well as the thyroid hormone triiodothyronine (T3), and two gonadal steroids, progesterone and testosterone. Increased secretion of glucocorticoids from the hypothalamic-pituitaryadrenal (HPA) axis signifies the activation of the vertebrate stress response (Romero and Wingfield, 2016). The hypothalamic-pituitary-thyroid axis (HPT) regulates the synthesis and secretion of thyroxine (T4), which subsequently undergoes enzymatic conversion to the more active form, T3. Both T3 and T4 modulate basal metabolic rate, growth and development, and thermogenesis, along with other permissive actions (Romero and Wingfield, 2016). Because T3 is generally recognized as the most biologically active thyroid hormone, it has been considered a more relevant biomarker than other forms of the thyroid hormones (Eales, 1988; Flamant et al., 2017). T3 is examined here as a biomarker of nutritional state, i.e., a proxy of foraging success, given its role in regulating metabolic rate in mammals, as reviewed in (Behringer et al., 2018). The two gonadal steroids, progesterone and testosterone, are assessed here as markers of reproductive status. The analysis of reproductive hormones within baleen has proven valuable for assessing pregnancy and inter-calving cycles in females, and testosterone cycles in males, in multiple baleen whale species (Hunt et al., 2022, 2018, 2016b; Lowe et al., 2021b; Lysiak et al., 2023). Here, we examine the reproductive steroids to assess sexual maturity; all our specimens are from subadults, but subadult whales may initiate gonadal secretion of reproductive hormones well in advance of full reproductive competence, and stress is known to delay sexual maturity in many mammals (Dettmer and Chusyd, 2023; Hunt et al., 2022). Further, the individual baselines for each hormone and each individual whale are assessed to monitor individual variability in response to potential stressors.

166 167 Through the integration of SI and endocrine methodologies, we demonstrate that baleen analysis provides a holistic narrative detailing the health and trophic ecology of individual whales across

- 168 time, effectively filling knowledge gaps between individual physiology and population impacts.
- 169 Ultimately, these biomarker techniques can make significant contributions to management and
- 170 conservation efforts by informing the complex physiological dynamics that underlie whale mortality.

171 **2. MATERIALS & METHODS**

172 **2.1 SAMPLE COLLECTION**

173 174 175 176 177 178 179 180 181 182 183 184 From April 2019 to August 2021, a baleen plate from each of five stranded gray whales ($n = 4$) males and n = 1 female) was collected by the Oregon Marine Mammal Stranding Network (OMMSN, NMFS MMPA/ESA permit No. 18786-06) along the Oregon, USA coast, between Whaleshead Beach in Brookings (42.15°N, -124.35°W) and Cape Mears (45.54°N, -123.96°W). All males had complete baleen plates (i.e., including the most recent growth within the gum), while the female's baleen plate was missing the most recently grown baleen at the root of the baleen plate (i.e., the baleen was cut at the gumline when recovered at necropsy). All specimens were removed from the right side of the rostrum and the center of the rack, where the longest baleen plates are located. The whale's total length (TL, measured as snout-to-fluke-notch), presence of scars, general body condition, and presumed cause of death were also recorded (Table 1). All individuals were classed as "subadults" based on the size categories (i.e., female TL 9–11.7 m, male TL 9–11.1 m; (Rice and Wolman, 1971)), i.e., at least 24 months old but not yet sexually mature.

2.2 PREPARATION OF BALEEN PLATES FOR HORMONE EXTRACTION AND QUANTIFICATION 185

186 187 188 189 190 191 192 To remove any soft tissues adhered to the base of the baleen plates (proximal end near the gum line with the newest baleen), we rehydrated and softened the tissues by submerging the baleen plates in fresh water, and subsequently scraped the soft tissues off with a metal scraper or scalpel. We then freeze-dried the baleen plates under vacuum (LabConco FreeZone 6L system with Stoppering Tray Dryer, Kansas City, MO, USA), until the pressure reading of the lyophilizer stabilized for at least 12 h, indicating that the samples were dry. Dried, cleaned plates were then stored at room temperature in individual sealed plastic bags, each with a 50 g silica gel desiccant pack (Arbor Assays, Ann Arbor, MI, USA).

 of the plate, using a hand-held electric rotary grinder (Dremel® model 395 type 5) fitted with a tungsten 193 194 195 196 197 We collected 20-50 mg of powder from sampling points spaced every 1 cm along the labial edge carbide ball-tip, with each sample collected from a <1.5 cm transverse groove across the posterior face of the plate. The proximal-most point on the base of the baleen plate was designated as the 0 cm point. Sampling started 1 cm from the base and continued every 1 cm to the tip (distal end) until the baleen

198 became too thin to collect the minimum required sample mass for hormone extraction (20 mg); thus, we typically excluded the distal-most two centimeters of each plate (i.e., the oldest growth). To avoid cross contamination, during sampling we shielded other regions of the plate with adhesive tape, and between samples the entire baleen plate, sampling equipment, and fume hood were cleaned with compressed air, and the work surface and all equipment were also cleaned with 70% ethanol. Powder samples were weighed to the nearest 0.1 mg on an Ohaus Explorer Pro EP214C analytical balance (Ohaus, Pine Brook, NJ, USA), with a nearby workstation ionizer (SPI No. 94000, SPIwestek.com) placed next to the scale to minimize any effects of static electric charge. Weighed samples were placed in 16 x 100 mm borosilicate glass tubes and securely capped until hormone extraction, which took place within 72 hours of drilling. In total, 110 powder samples were produced, with each whale's plate producing 199 200 201 202 203 204 205 206 207

between 12-27 samples. 208

Table 1. Biological information for individual gray whales, *Eschrichtius robustus*, collected along the Oregon Coast and sampled for both hormone and stable isotope analysis. 209 210

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212 **Cause of death: Unk =** undetermined, **Orca =** evidence of Killer whale, *Orcinus orca,* predation as acute cause of death; **Sex =** Female (F), Male (M); **TL =** Total Length from snout to fluke notch in cm**; Total samples =** number of subsamples obtained from each baleen plate; **BGR =** baleen growth rate estimated in days per cm (from stable isotope analysis); **GS =** Growth span, estimated timespan represented by the entire baleen plate, in days, derived from baleen growth rate and total length of plate; * only the erupted portion of the baleen was collected during the necropsy. 213 214 215 216

2.3. STABLE ISOTOPE ANALYSES (SIA) 217

We weighed approximately 1 mg of baleen powder from each sampling location (i.e., every 1 cm along the longitudinal axis on each baleen plate) directly into tin capsules. Bulk δ^{13} C and δ^{15} N were measured using a Thermo FlashSmart elemental analyzer coupled to a Thermo Finnigan Delta Plus XP continuous-flow isotope ratio mass spectrometer (Thermo Scientific, Bremen, Germany). Results are expressed in parts per thousand (‰) and delta notation (δ) using the equation: $\delta_{\text{sample}} = [R_{\text{sample}}/R_{\text{standard}} -$ 218 219 220 221 222

1] $*$ 1000, where R_{sample} and R_{standard} are the ¹³C/¹²C or ¹⁵N/¹⁴N ratios of the sample and standard, 223

respectively (Peterson and Fry, 1987). The isotopic reference materials used were supplied by the 224

International Atomic Energy Agency (IAEA-N-1, δ¹⁵N = 0.4 ± 0.2‰; IAEA-CH-7, δ¹³C = -32.1 ± 0.05‰; 225

226 IAEA-CH-3, δ^{13} C = -24.7 ± 0.04‰) and the United States Geological Survey (USGS25,

227 δ¹³C = −34.58 ± 0.06‰, δ¹⁵N = −0.94 ± 0.16‰; USGS40, δ¹³C = −26.3 ± 0.04‰, δ¹⁵N = −4.5 ± 0.1‰;

228 USGS41, $δ¹³C = +37.6 ± 0.04‰, δ¹⁵N = 47.6 ± 0.2‰;$. Internal standards were included with all samples

229 as quality controls; all error data are SD (purified methionine, Alfa Aesar, δ¹³C = -34.5 ± 0.06‰,

230 δ¹⁵N = −0.9 ± 0.1‰; homogenized Chinook salmon muscle, NOAA Auke Bay Laboratories,

231 δ^{13} C = $-19.2 \pm 0.05\%$, δ^{15} N = 15.5 ± 0.1‰). The analytical precision based on the standard deviation of

232 the standard laboratory replicas was <0.1‰ for both δ^{13} C and δ^{15} N. To ensure that our samples did not

233 contain any 13C-depleted lipids, we also measured the C:N ratio of each sub-sample; all of which were

234 within the range expected for pure protein (2.7-3.5) ((Ambrose, 1990); see Supplementary Material,

235 Table S2).

 2.4. BALEEN GROWTH RATES AND TIMELINES 236

237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 To assign an estimated season of growth to each part of the baleen plate, we inspected the $\delta^{15}N$ data for evidence of seasonal changes. Specifically, based on the patterns seen in other baleen whales (Best and Schell, 1996; Lysiak et al., 2018; Matthews and Ferguson, 2015), we assumed that the areas of baleen with lower $\delta^{15}N$ were grown during summer when whales are most actively foraging, while the regions of baleen with higher $\delta^{15}N$ were assumed to have grown during winter. Similarly, points with intermediate δ¹⁵N values (i.e., between summer δ¹⁵N troughs and winter δ¹⁵N peaks) were assumed to represent spring and fall migrations. However, because gray whale baleen is relatively short and hence expected to only capture a single full annual cycle, these potential timelines may be imprecise. Thus, we also compared each whale's $\delta^{15}N$ data to published estimates of baleen growth rate (BGR) for gray whales, which vary from 3.2 mm/week (Sumich, 2001) to 4.7 mm /week (Caraveo-Patiño et al., 2007a). Therefore, for each plate we calculated two potential timelines, counting cm from the base of the baleen plate, using the two published BGR estimates, i.e., assuming the proximal-most point on the plate was grown near the day the whale was found dead, with all other points on the plate then assigned an estimated date of growth based on that BGR (either 3.2 or 4.7 mm/week). These two timelines bracket a range of potential plausible BGRs. The two BGR-derived timelines were then compared to the $\delta^{15}N$ timeline for that whale, i.e., to verify that our $\delta^{15}N$ interpretations involve a plausible BGR for this species.

254 **2.5. HORMONE EXTRACTION AND QUANTIFICATION**

255 256 We extracted hormones from pre-weighed baleen powder samples using 1.6 mL of absolute methanol per 20 mg powder, i.e., keeping a constant ratio of 80:1 mL of solvent to g of sample. This

257 258 259 260 261 262 263 264 265 266 solvent:sample ratio yields good detectability with low variation (inter-sample coefficient of variation < 10%; (Fernández Ajó et al., 2022). The solvent:sample mixture was vortexed 2 h at room temperature (Large Capacity Mixer, Glas-Col, Terre Haute, IN, USA; speed set on 40) and centrifuged for 1 min at 4025 g. The supernatant from each tube was transferred to individual 13×100 mm borosilicate tubes and dried at 45°C for a minimum of 4 h in a sample evaporator (SpeedVac 121P, Thermo Fisher Scientific, Waltham, MA, USA) under vacuum. We reconstituted the dried samples in 0.50 mL of assay buffer (X065 buffer; Arbor Assays, Ann Arbor, MI, USA), sonicated for 5 min, vortexed for 5 min, and transferred the sample to 1.5 mL vapor proof O-ring-capped cryovials. We stored the tubes overnight at −80°C and decanted the extract into a new cryovial the following day. This was considered the "1:1" (full-strength, neat) extract and was stored at -80C until assay.

267 268 269 270 271 272 273 274 275 276 277 278 We used commercial enzyme immunoassay (EIA) kits to quantify immunoreactive corticosterone, cortisol, progesterone, testosterone, and T3 in baleen extracts (Arbor Assays kits: corticosterone #K014, cortisol #K003, progesterone #K025, testosterone #K032, and T3 #K056, Ann Arbor, MI, USA). These five kits have previously been validated for gray whale baleen extracts (Hunt et al., 2017b). We assayed all samples at a 1:2 dilution, which in this species produces acceptable detectability and percent-bounds while also allowing assay of multiple hormones from a single 500ul extract. Final data are expressed as ng of hormone per g of dried baleen powder. All assays adhered to standard QA/QC criteria, which included a full standard curve, NSB (non-specific binding), zero dose ("blank"), and an independent control in every EIA microplate. All samples, standards, controls, NSBs, and zeros were assayed in duplicate. Any sample that exhibited a coefficient of variation exceeding 10% between duplicates was re-analyzed. For antibody cross-reactivities, assay sensitivities, and other methodological details, see Hunt et al. (2017a) and the manufacturer's protocols

279 [\(www.arborassays.com\)](www.arborassays.com).

280 281 282 283 284 285 We evaluated the complete longitudinal profiles for both glucocorticoids, cortisol and corticosterone, in only two individuals (Er_1 and Er_4) to determine the dominant (most abundant) glucocorticoid and to compare the longitudinal profiles of the two hormones. As cortisol was at higher concentration than corticosterone for these two whales, corticosterone was not assayed for the other baleen specimens (see Results). We assayed all other hormones (progesterone, testosterone, T3) for all samples from all whales.

286 **2.6. STATISTICAL ANALYSIS**

287 **2.6.1. HORMONES** 288 289 290 291 292 293 294 All hormone data were log-transformed for data visualization and analyses due to non-normal distribution. We estimated hormone baselines for each gray whale using an iterative process that excludes all data points greater than the mean + two standard deviations until no points exceed this maximum value, following methods from Brown et al., 1988. To test for differences in concentrations of reproductive hormones between sexes, we fit a linear mixed-effects model with random intercepts using the *lme4* R package. All statistical analyses were computed using R (R Development Core Team 2023).

2.6.2. STABLE ISOTOPE ANALYSIS 295

296 297 298 299 300 301 302 303 304 305 306 307 308 309 We gauged δ^{13} C and δ^{15} N fluctuations in baleen plates with a generalized additive model (GAM), fitting a semi-parametric regression with smoothing by cross-validation. We used an ANOVA analysis to test differences in the $\delta^{13}C$ and $\delta^{15}N$ values between the phenological phases (wintering vs. summer foraging) utilizing the *aov* function from the stats R package. We then compared the isotopic niche width of each individual gray whale per phenological phase by generating bivariate ellipses in SIBER (Stable Isotope Bayesian Ellipses in R (Jackson et al., 2011), which employs Markov-Chain Monte Carlo (MCMC) simulations to construct parameters of ellipses based on sampling points. We estimated the standard ellipse area corrected for small sample sizes (SEA_C, expressed as ‰, which represents the mean core area of each individual's isotopic niche (Jackson et al., 2011; Layman et al., 2007)). We also calculated the Bayesian standard ellipse area (SEAB) to obtain unbiased estimates of the isotopic niche widths (Jackson et al., [2011\).To](https://2011).To) test for significant differences, we ran 20,000 MCMC iterations and constructed 95% credible intervals around the mean of each whale. Results are reported as mean ± standard deviation (SD) unless otherwise stated. All statistical analyses were computed using R (R Development Core Team 2023).

310 **3. RESULTS**

3.1 STUDY ANIMALS, BODY EXAMINATION AND CAUSE OF DEATH. 311

 emaciation. Further, there was no indication that direct human interaction was the cause of death. 312 313 314 315 316 317 All five individuals were in fair to good body condition at necropsy, i.e., no evidence of Notably, all individuals had "rake" mark scars, indicative of physical interactions with killer whales, *Orcinus orca* (Corsi et al., 2022). These scars were primarily observed on the distal end of the fluke and pectoral fins. One individual, HMSC21-08-16-Er (Er 5), presented evidence of acute mortality caused by interactions with killer whales, showing multiple deep and recent "rake" marks on various body parts,

318 319 particularly the head and flippers, along with extensive and severe hemorrhaging on the top of the head. Cause of death could not be determined for the other four whales.

3.2 ISOTOPIC PATTERNS AND BALEEN GROWTH RATES (BGR) 320

321 322 323 324 325 326 327 All baleen plates oscillated in $\delta^{15}N$ values along their growth axis consistent with expected migration phenology (Figure 1). The growth rate that best fit the expected oscillations with the migration phenology was 3.2 mm/week for all whales except Er_5, for which the best estimate was 4.7 mm/week (Figure 1). Excluding Er_1, from which the baleen plate was incomplete (i.e., the proximalmost portion within the gum was missing) we estimate that the baleen of these subadult gray whales recorded around 1.3 years of individual hormone and SI data (n = 4; 471.5 ± 68.73 days; Mean ± SD, Table 1).

328 329 330 331 332 333 334 335 336 337 Mean δ¹³C values were similar among individuals: during wintering, values ranged from -15.2‰ ± 0.1‰ for Er_5, to -16.3‰ ± 0.1‰ for Er_2; during summer foraging period values ranged from -15.0 ‰ ± 0.4‰ for Er_5 to -16.3‰ ± 0.4‰ for Er_2 (Table 2). Mean δ^{13} C values for all individuals were -15.0‰ ± 0.4‰ and -15.2‰ ± 0.1‰ for the summer and wintering period, respectively (Figure 2) with no significant differences between these two periods (F_(1,88) = 1.73, p = 0.19). In contrast, mean $\delta^{15}N$ values varied among individuals, ranging from 13.0‰ ± 0.3‰ for Er_2 to 15.1‰ ± 0.2‰ for Er_5 during the wintering period to 11.9‰ \pm 0.4‰ for the Er_5, to 13.1‰ \pm 0.4‰ for the Er_3 during the summer foraging period. The mean $\delta^{15}N$ values for all individuals was 12‰ ± 0.4‰ and 15.1‰ ± 0.2‰ for the summer foraging and wintering periods, respectively (Figure 2), with a significant difference between periods (F_(1,88) = 132, p < 0.001).

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340 341 342 343 344 345 346 339 **Figure 1:** Longitudinal profiles of and δ15N (left) δ13C (right) in baleen plates of stranded subadult gray whales collected along the Oregon Coast. X-axes show sample location along the baleen, in cm from base of the baleen plate (i.e., newest baleen = 1 cm), with 1 cm (newest baleen) at far right, i.e., time runs from left to right. Y-axes show δ¹³C or δ¹⁵N values (‰). Estimated season and year of growth is shown below the x-axes, and the time of death is noted with a red X on the x-axis. Migration phenology is denoted by dark gray (putative summer foraging period) and light grey (putative wintering period) shading, estimated based on $\delta^{15}N$ fluctuations. Squares and closed circles depict actual values of $\delta^{15}N$ and δ^{13} C, respectively; the dotted and dashed lines depict the fit of the GAM models, with the blue

347 (δ^{15} N) and green (δ^{13} C) fringe illustrating the 95% confidence intervals. Only the erupted portion of the baleen plate from Er_1 (top) was available, i.e., the proximal-most portion of the base of the plate was missing, denoted with two parallel red lines on the x-axis. 348 349

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352 Figure 2. Violin plots for A) δ¹³C and B) δ¹⁵N values by phenology phase (i.e., summer foraging in dark grey and wintering in light gray). Circles depict actual δ^{13} C and δ^{15} N values. The black dot represents the mean, and whiskers indicate the standard deviation; statistically significant differences between groups are shown at the top with F and p values from ANOVA. 353 354 355

The smallest isotopic niche areas for the gray whales were observed during the wintering period, consistent with winter fasting (Figure 3). During winter, Er_4 and Er_5 presented the smallest 356 357

standard ellipse areas (SEA_c: 0.07‰² / SEA_B: 0.06‰² and SEA_c: 0.09‰² / SEA_B: 0.07‰², respectively) and 358

- 359 Er_2 and Er_3 presented the largest standard ellipse areas (SEAc: $0.18\%^2$ / SEA $_B$: $0.16\%^2$ and SEAc:
- 0.16‰²/ SEAc: 0.12‰², respectively). The largest standard ellipse areas of δ¹³C in summer is consistent 360
- with foraging on varied benthic prey in summer with different isotopic compositions (Burnham and 361
- Duffus, 2016a; Nelson et al., 2008a; Newell and Cowles, 2006). During summer foraging, Er_3 and 362
- Er_4 had the smallest standard ellipse areas (SEAc: 0.03‰2/ SEA $_B$: 0.02‰² and SEAc: 0.13‰²/ SEA $_B$: 363
- 0.12‰², respectively) while Er_5 and Er_1 had the largest standard ellipse areas (SEAc: 0.65‰² / SEA_B: 364
- 0.54‰² and SEAc: 0.43‰²/ SEA_B: 0.30‰²) (Figure 3; Table 2). 365

Table 2. Mean $\delta^{13}C$ and $\delta^{15}N$ values \pm SD for each of the five gray whale baleen plates sampled by season (summer foraging *vs*. wintering). Standard ellipse area corrected for small sample sizes (SEAC, expressed as ‰2), which represents the mean core area of each individual's isotopic niche, and Bayesian standard ellipse areas (SEA_B) with credible intervals (CI). 366 367 368 369

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373 **Figure 3.** δ13C and δ15N biplot illustrating the isotopic niche width of five subadult gray whales that stranded along the Oregon Coast, divided by season (Summer foraging *vs*. wintering). Points within each ellipse represent sub-samples from each sampled baleen plate, and ellipses represent the estimated standard ellipse area corrected for small sample sizes (SEA c , expressed as $\frac{96^2}{2}$). 374 375 376

3.4. BALEEN GLUCOCORTICOIDS (CORTISOL AND CORTICOSTERONE) 377

Both glucocorticoids (cortisol & corticosterone) were detectable along the full length of the two plates for which both hormones were assayed (i.e., Er_1 & Er_4; Figure 4). The longitudinal profiles of the two hormones generally mirrored each other, with cortisol consistently showing a slightly higher apparent concentration compared to corticosterone at every sampling point along the baleen longitudinal axis (Figure 4; electronic supplementary material, Table S1). Therefore, only cortisol was analyzed for the other three whales. The baseline concentration for cortisol in all individuals fell within the range of 0.55 \pm 0.75 to 11.20 \pm 26.66 ng/g (mean \pm SD; Table 3). Among all individuals, except for Er_5 (the individual presumed to have died acutely due to killer whale predation), there were pronounced elevations in the apparent concentration of cortisol preceding death (Figure 4). For the three individuals with unknown cause of death that had complete baleen length (i.e., excluding both Er_1, missing part of the baleen, and Er_5, known cause of death), the time elapsed from the onset of 378 379 380 381 382 383 384 385 386 387 388

- 389 the elevation in cortisol to the time of death was estimated to be 284.37 days (13 cm) for Er_2, 240.62
- days (11 cm) for Er_3, and 262.50 days (12 cm) for Er_4. On average, this elapsed time was 262.5 days 390
- (approximately 0.72 years). 391

Table 3. Individual baselines of gray whale baleen immunoreactive hormone concentrations (expressed 392

- in ng of immunoreactive hormone per g of baleen powder (ng/g)). Baselines are estimated via an 393
- iterative process that excluded all data points greater than the mean + 2SD until no points exceeded this 394
- maximum value (following Brown *et al.,* 1988). Cortisol = immunoreactive baleen cortisol; Progesterone 395
- = immunoreactive baleen progesterone; Testosterone = immunoreactive baleen testosterone; T3 = 396
- immunoreactive baleen triiodothyronine. 397

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400 **Figure 4.** Longitudinal profiles of immunoreactive hormone concentrations of corticosterone (Corticosterone; black crosses and dashed line) and cortisol (Cortisol; black circles and dotted line) across the length of baleen plates from five stranded gray whales. The dashed horizontal line indicates the log-transformed baseline for baleen cortisol. X-axes show the location of each sample, in cm from base of the baleen plate (i.e., newest baleen = 1 cm) with y-axes showing concentration of hormone (log-transformed ng of immunoreactive hormone per g of dried baleen powder). Migration phenology is derived from δ^{15} N data; dark gray indicates summer foraging and light grey indicates wintering (see Figure 1), and season of growth at each point on the plate was estimated from time of death (noted with a red X on the x-axis). Only the erupted portion of the baleen plate from Er_1 (top) was available, indicated with two parallel red lines on the x-axis. Blue arrows denote the onset of cortisol elevation prior to death. 401 402 403 404 405 406 407 408 409 410

3.5. TRIIODOTHYRONINE (T3) 411

- Immunoreactive T3 was detectable along the full length of all baleen plates (Figure 5; electronic 412
- supplementary material, Table S1). The baseline concentration of T3 ranged from 1.66 \pm 1.37 to 3.46 \pm 413
- 1.44 ng/g (mean ± SD; Table 3). Similar to the glucocorticoids, the three individuals with an unknown 414
- cause of death that also had a full-length baleen plate (Er_2, Er_3, and Er_4) all had elevated T3 415
- preceding death (Figure 5). The onset of the elevation in T3 prior to death was nearly coincident with 416
- the timing of elevated cortisol (see Results 3.4). 417

- 419 **Figure 5.** Longitudinal profiles of immunoreactive hormone concentrations of triiodothyronine (Log_T3;
- grey rhomboids and dotted line) across the length of baleen plates from five stranded gray whales. The 420
- dashed horizontal line indicates the log-transformed baseline for baleen T3. X-axes show the location of 421
- each sample, in cm from base of the baleen plate (i.e., newest baleen = 1 cm) with y-axes showing concentration of hormone (log-transformed ng of immunoreactive hormone per g of dried baleen 422 423
- powder). Migration phenology is derived from $\delta^{15}N$ data; dark gray indicates summer foraging and light 424
- grey indicates wintering (see Figure 1), and season of growth at each point on the plate was estimated 425
- from time of death (noted with a red X on the x-axis). Only the erupted portion of the baleen plate from 426
- Er_1 (top) was available, indicated with two parallel red lines on the x-axis. Blue arrows denote the 427
- onset of T3 elevation prior to death. 428

3.6. REPRODUCTIVE HORMONES (PROGESTERONE AND TESTOSTERONE) 429

- Both progesterone and testosterone were detectable along the full length of the baleen plates 430
- (Figure 6; electronic supplementary material, Table S1). The baseline concentration for progesterone in 431
- all individuals fell within the range of 1.39 ± 1.02 to 2.32 ± 1.08 ng/g (mean \pm SD; Table 3), and for 432
- testosterone the baseline concentration ranged from 0.35 \pm 0.17 to 0.56 \pm 0.34 ng/g (mean \pm SD; Table 433
- 3). No significant differences between the two sexes were found in the apparent immunoreactive 434
- progesterone and testosterone (p = 0.9999). 435

437 **Figure 6:** Longitudinal profiles of immunoreactive hormone concentrations of progesterone

- (Progesterone; black cross-square) and testosterone (Testosterone; grey solid squares) across the length 438
- of baleen plates from five stranded gray whales. The dashed horizontal lines indicate the log-439
- transformed baseline for baleen progesterone (black) and testosterone (light grey). X-axes show the 440
- location of each sample, in cm from base of the baleen plate (i.e., newest baleen = 1 cm) with y-axes 441
- showing concentration of hormone (log-transformed ng of immunoreactive hormone per g of dried baleen powder). Migration phenology is derived from δ^{15} N data; dark gray indicates summer foraging 442 443
- and light grey indicates wintering (see Figure 1), and season of growth at each point on the plate was 444
- estimated from time of death (noted with a red X on the x-axis). Only the erupted portion of the baleen 445
- plate from Er_1 (top) was available, indicated with two parallel red lines on the x-axis. Blue arrows 446
- denote the onset of T3 elevations prior to death. 447

4. DISCUSSION AND CONCLUSION 448

In this study, we analyzed the SI and hormone profiles in baleen of five sub-adult gray whales, which enabled us to estimate baleen growth rates, distinguish isotopic niche widths during summer and winter periods, and document different endocrinology patterns leading up to death between the whale that died acutely (killer whale attack) and those whales of unknown cause of death. The SI assessment revealed distinct oscillation patterns in δ^{15} N values along the baleen plates. The hormone analysis is the first to provide longitudinal profiles of the adrenal, thyroidal, and gonadal axes obtained from the baleen of gray whales in the months leading up to the whales' deaths. Our work demonstrates feasibility of this retrospective approach for gaining insight into gray whale health, physiology, and cause of death. 449 450 451 452 453 454 455 456 457

While spatial and temporal variability of stable isotopes in gray whale habitats is still not fully understood (Graham and Bury, 2019; Riekenberg et al., 2021; Trueman and St John Glew, 2019), distinct oscillations in δ^{15} N values along the length of subadult gray whale baleen plates allowed us to 1) infer baleen growth rates (which generally agreed with prior estimates (Caraveo-Patiño et al., 2007b; Sumich, 2001); and 2) differentiate standard ellipse areas of individuals during summer foraging and wintering locations. The overlap among standard isotopic niche width of the five gray whales during summer suggests either a similar diet across individuals or a diet based on prey species with similar isotopic values. The difference in niche widths between seasons were mostly driven by the low $\delta^{15}N$ values during summer, likely attributed to the benthic foraging of gray whales on lower trophic level organisms including amphipods (Burnham and Duffus, 2016b), mysids (Newell and Cowles, 2006), crab larvae (Nelson et al., 2008b), shrimps and herring roe (Darling et al., 1998). 458 459 460 461 462 463 464 465 466 467 468

The larger standard ellipse areas during summer may reflect a diet of a wide range of prey sources in different areas, whereas the smaller standard ellipse areas during winter - mostly driven by 469 470

471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 high δ^{15} N values - are likely related to the fasting period of gray whales during the winter, given that the fasting in mammals is usually associated with increased δ^{15} N values due to catabolic or anabolic processes (Fuller et al., 2005; Lee et al., 2012). In accordance with previous reports (Caraveo-Patiño et al., 2007b), there was no distinguishable annual δ^{13} C pattern in the gray whale baleen plates. In whale species that migrate between ocean-basins with large gradients in plankton $\delta^{13}C$, $\delta^{13}C$ patterns along the baleen reflect seasonal cycles of movements and diet between summer/winter grounds. In bowhead whales, for example, annual oscillations in δ^{13} C values are common, presumably resulting from feeding along the whale's annual migratory route that reflects the contrasting geographic isotope values in zooplankton prey found in the Bering (¹³C-enriched) and Beaufort Seas (¹³C-depleted) (Saupe et al., 1989; Schell et al., 1989). To better understand the isotopic patterns observed in gray whales and how they reflect the energetic pathways of their summer/winter grounds, future studies may use different analytical approaches including: the use of *isoscapes* (i.e., stable isotope mapping; (Graham and Bury, 2019; Trueman and St John Glew, 2019); the combination of bulk and compound-specific amino acid analysis to disentangle the relative contributions of trophic and baseline variation in $\delta^{13}C$ and $\delta^{15}N$ values (e.g., Riekenberg et al., 2021); and/or integrating isotopic data from prey sources in isotopic mixing models to assess the proportional contribution of each prey sources in their diet.

487 488 489 490 491 492 493 494 495 496 497 498 499 In the glucocorticoid (GC) analyses, we found that both cortisol and corticosterone were detectable along the full length of baleen from two individuals (Er_1 & Er_4), but cortisol was consistently more abundant than corticosterone. Furthermore, corticosterone exhibited similar patterns to cortisol, i.e., corticosterone seemingly did not provide additional information. This pattern generally aligns with traditional assumptions of "cortisol dominance" in mysticetes (primarily based on rare plasma samples from hunted specimens) as well as assumptions that only the more abundant GC need be analyzed, but contrasts with recent findings of more corticosterone than cortisol in baleen of other mysticetes (Fernández Ajó et al., 2018; Hunt et al., 2017a; Lowe et al., 2021a). Most mammals produce both glucocorticoids, and some data indicate the two hormones can respond differently to exogenous stressors, depending on the type and duration of the stressor (Koren et al., 2012). Given our small sample size, we encourage future research on both GCs to further investigate whether they might show species-specific differences or individual differences in glucocorticoid dominance or might provide differing information for acute vs. chronic stressors.

500 501 Cortisol profiles for the four individuals with unknown cause of death demonstrated a long-term elevation in cortisol that began an estimated 8 months before death. In contrast, the individual known

 2000; Dhabhar et al., 2012; Kitaysky et al., 1999). Therefore, although the immediate cause of death 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 to have died acutely due to killer whale predation (Er_5) had cortisol concentrations that approximate baseline levels across the span of the baleen, suggesting that this individual was in good health before its acute death. These data suggest that the other four individuals experienced a prolonged period of stress. Generally, individual perception of a stressor activates the HPA-axis, leading to an increase in circulating GC levels. Short-term elevations in GCs are thought to aid animals in coping with the stressor (McEwen and Wingfield, 2010; Romero et al., 2009; Romero and Wingfield, 2016), but if the perturbation is severe and/or chronic, the individual deviates from its current life-history stage and enters an "emergency life-history stage", during which all activities not essential for immediate survival are suppressed (Romero and Wingfield, 2016; Wingfield, 2005; Wingfield et al., 1998). Consequently, chronic elevation of GCs can itself have negative effects on long-term health, through immunosuppression, reduced growth, and inhibition of reproduction (Buck et al., 2007; Dhabhar, 2009, remains unknown for these four individuals, it is conceivable that the prolonged elevation of cortisol may eventually have directly impacted health and survival, i.e., in addition to any direct negative effects of the stressor itself (Romero and Wingfield, 2016). The presence of "rake marks" attributed to killer whale interactions could provide evidence for increased vulnerability of these individuals. It is plausible that whales undergoing chronic illness might become more susceptible to predation and other threats.

519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 T3, like cortisol, tended to show a gradual, months-long increase in the four whales of unknown cause of death (albeit with high individual variability), but remained relatively stable in the whale with an acute cause of death. The simultaneous elevation of both cortisol and T3 was unexpected, as the HPA axis is classically thought to inhibit the HPT axis (Behringer et al., 2018). In fact, elevated GCs in mammals often directly downregulate the HPT axis, resulting in decreases in circulating T3 (Charmandari et al., 2005). However, this downregulation can be temporary (Nicoloff et al., 1970). Further, emerging data indicate that in marine species, T3 may elevate simultaneously with the GCs during those stressors that require increased energetic output, such as swimming while entangled in fishing gear (Hunt et al., 2016a; Lemos et al., 2020; Lysiak et al., 2018). In mammals, T3 can also elevate during thermoregulatory challenges, as elevated T3 directly raises metabolic rate, which elevates body temperature (Behringer et al., 2018; Williams et al., 2019). Indeed, (Lemos et al., 2022b) found that gray whales in poor body condition exhibited higher thyroid hormone concentrations in feces, compared to whales in good body condition, suggesting a possible thermoregulatory influence on T3. In other words, poor body condition in cetaceans entails thinning of the insulative blubber layer and might therefore require a compensatory elevation in metabolic rate and thus an elevation in T3. Similarly, fecal thyroid hormones may reflect

534 535 536 537 538 539 540 541 542 543 544 545 546 547 changes in food availability (Ayres et al., 2012; Wasser et al., 2017), while psychological/perceptual stressors, i.e., vessel traffic or harassment, are thought to have little impact on T3 levels in whales (Ayres et al., 2012; Fernández Ajó et al., 2020). In our study, all five of our study whales died during the current UME, which generally has been linked to poor nutrition and emaciation (Christiansen et al., 2021). Though it is tempting to ascribe the gradual elevation in T3 seen here to the poor body condition reported in gray whales during the UME generally, the necropsy reports of these five individuals did not describe severe emaciation. However, it is possible that these whales were in relatively lower body condition with respect to the population mean. Overall, we speculate that whales in poor body condition may elevate T3 in response to thermoregulatory demands. This hypothesis could be tested with further comparisons of baleen from stranded whales in poor vs. good body condition, ideally with measurements of body condition, e.g., blubber thickness or body area index derived from drone-based photogrammetry (Bierlich et al., 2021; Burnett et al., 2019). Finally, T3 also commonly varies across life history stages (Wilsterman et al., 2015), and thus studies of T3 patterns in baleen of juveniles as compared to adults may be informative.

548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 We also quantified reproductive hormones across the baleen's entire length in four subadult males and one subadult female. To our knowledge, these are the first longitudinal profiles of reproductive hormones from gray whales across a full calendar year. As expected for this reproductive age class (subadults), we did not observe temporal patterns, cyclical trends, or elevated hormone concentrations, suggesting none of the subadults had yet reached sexual maturity. Nevertheless, our results add to knowledge about expected baselines of reproductive hormones in subadults and may thus inform future efforts to identify onset of sexual maturity. Our results also underscore the potential to capture at least one year of information from adult gray whale baleen, as there have been uncertainties about the feasibility of capturing complete pregnancies or multiple pregnancies within gray whale baleen (max baleen length ~30 cm), or whether seasonal testosterone cyclicity in males could be discerned. Our subadult baleen specimens captured an estimated timeframe of 1.3 years. Further, adult baleen generally captures a longer timespan than subadult baleen (since subadults not only might have shorter baleen but also tend to have faster baleen growth rate); thus, these results suggest that adult gray whale baleen may capture a sufficient timeframe to examine at least one if not two prior reproductive cycles.

563 564 The ENP gray whale population has rebounded from a dramatic decline attributed to whaling from less than 4,000 by 1900 to a peak abundance estimated at 26,916 individuals (Stewart and Weller,

 non-nutritional stress). Overall, baleen analysis has emerged as powerful tool that enables a 565 566 567 568 569 570 571 572 573 574 575 576 577 2021; Swartz et al., 2006). However, the ENP gray whale population has exhibited significant fluctuations, marked by two Unusual Mortality Events (UMEs) that curtailed population size, underscoring the susceptibility of gray whales to oceanic conditions, resource availability, and other influences (Torres et al., 2022). The occurrence of recurrent UMEs with often-unknown causes in the ENP gray whale population highlights the necessity for innovative methodologies to investigate and better understand the causes of death and physiological response of individuals to fluctuations in the environment. Despite the characteristic shorter length of gray whale baleen compared to other mysticete species, and thus the relatively brief period of longitudinal data that can be inferred, even subadult gray whale baleen captures a >1 year timespan, and adult baleen specimens may capture a longer timeframe. In sum, baleen analysis in gray whales allows assessment of physiological status of at least the past year and may enable inferences as to the cause of death (acute *vs*. chronic, nutritional vs. comprehensive and retrospective assessment of gray whale hormonal profiles, stress responses,

578 reproductive status, and foraging ecology in the months or years leading up to their death.

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