

Not by Fishing Alone: Non-Fishing Employment and Income for US West Coast Fishers

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Abstract

Income diversification is an important aspect of financial security among individual fishers, who generally face high annual fluctuations in their income levels. While prior studies have analyzed the importance of diversifying *within*-fisheries income streams (e.g., across species groups, or region), the role of income from non-fishing occupations as an additional source of diversification has received little empirical attention. We link fisheries landing data to survey responses among 1,230 individual fishers living in the Continental US West Coast to analyze trends and correlates of individual fishers choosing to earn non-fishing income. We find that predictors capturing the opportunity cost of not fishing, pecuniary factors, and within-fishery diversity metrics significantly influence the probability that fishers earn non-fishing income. Our results indicate an overall tradeoff between within-fishery with non-fishery income diversification choices; but that this tradeoff may be weaker for particular non-fishing occupation types and at particular times of the year.

Keywords: fishing livelihood, non-fishing income, diversification, vulnerability

1 1. Introduction

2 Fishing is a financially risky way to earn a living. Compared to many other professions,
3 fishers face unusually high variability in their income levels (Kasperski and Holland,
4 2013). This variability is influenced by a range of interconnected economic (e.g., fuel and
5 harvest prices), environmental (e.g., variations in targeted stock's status and
6 accessibility), and policy (e.g., spatial and seasonal closures, restrictions on access)
7 factors which have, in some cases, become increasingly unpredictable due to climate
8 change and its oceanographic feedbacks. Examples of these shifts include, but are not
9 limited to: spatial and temporal shifts in species distributions, altered growth and
10 recruitment patterns, algal blooms, ocean acidification, and extreme weather events
11 (Schwing et al., 2010; Sumaila et al., 2011; Pinsky et al., 2013; Black et al., 2014; Moore et
12 al., 2020; van der Sleen et al., 2022). These biophysical shifts, their associated regulatory
13 responses, and market-side fluctuations in prices can significantly exacerbate the
14 volatility and timing of individual fishers' income streams (Sethi, 2010). Understanding
15 how individual fishers and fishing communities can be resilient to these changes in
16 financial risk is thus of growing management interest.

17 One area of recent research focuses on how individual fishers can reduce their
18 financial risk by diversifying their fishing activities across multiple species (Kasperski
19 and Holland, 2013; Sethi et al., 2014; Finkbeiner, 2015; Cline et al., 2017; Fuller et al.,
20 2017). This 'portfolio' approach has been extended to think about other margins of
21 income diversification, including space (Gonzalez-Mon et al., 2021) and time (Abbott et
22 al., 2022). While these margins do frequently help to reduce fishers' financial risk,
23 focusing solely on diversification opportunities within the fishery misses a potentially
24 critical form of financial risk reduction: income diversification from *non-fishing*
25 occupations (i.e., livelihood diversification), both for individual fishers and members of
26 their household (Szymkowiak, 2020). In fact, livelihood diversification may be a more
27 effective form of financial risk reduction among individual fishers if their non-fishing
28 income streams are decoupled from fishery-specific income shocks. Further, depending
29 on the flexibility and timing of non-fishing employment opportunities relative to fishing

30 opportunities, within-fishery diversification may come at the cost of diversification
31 outside of the fishery. Thus, within-fishery diversification metrics may provide a partial,
32 or even misleading, picture of vulnerability to fishery-specific financial shocks.

33 Livelihood diversification is not a new concept. Many studies have called for increased
34 livelihood diversification in developing frameworks for coastal community resilience
35 (Allison and Ellis, 2001; Badjeck et al., 2010; Pinsky and Mantua, 2014; Ojea et al., 2017).
36 However, much of this work has focused on small-scale, artisanal fisheries (e.g., Cinner
37 and Bodin, 2010; Martin et al., 2013; Deb and Haque, 2016; Shaffril et al., 2017; Abu
38 Samah et al., 2019), often in a Global South context where the importance of flexible
39 access to fisheries as a 'pro-poor' form of insurance is often emphasized (Béné, et al., 2010;
40 Wilen, 2013). Despite the growing literature on within-fishery diversification in the non-
41 artisanal context, there has been very little examination, and even less quantitative
42 analysis, of the role and magnitude of non-fishery income (NFI) streams in fishers'
43 livelihood portfolios and how NFI interacts with fishers' within-fishery choices.

44 In this study, we conduct the first empirical analysis of the patterns and predictors
45 associated with livelihood income diversification among fishers in a large marine region
46 with a number of large-scale commercial fisheries: the California Current Large Marine
47 Ecosystem (CCLME). In particular, we distinguish between fishers who earn all of their
48 income from fishing activity from those who earn some NFI, and further distinguish
49 between the types of non-fishing occupations contributing to livelihood income
50 diversification. We summarize patterns in this livelihood diversification behavior across
51 geographic gradients, species targeted, and seasonality. We then test the influence of a
52 range of predictors, including within-fishery diversification and effort variables, social-
53 psychological indices, and demographic characteristics on the incidence and intensity of
54 earning NFI.

55 The paper is organized as follows. In the following section, we present a brief
56 background outlining the types of factors that may be relevant to fisher's livelihood
57 diversification choices that have been tested in related lines of literature. Section three
58 outlines our data sources and methodological approach. We present our results and key

59 findings in section four and provide a discussion of the implications of our results in the
60 final section.

61 **2. Background Literature and Hypotheses**

62 In order to understand the prominence and role of livelihood income diversification for
63 fishers, we first consider the factors which may be associated with an individual fisher's
64 interest and ability to diversify across non-fishery occupation types. Although our focus
65 is not on fishery exit, it is reasonable to assume that many of the factors associated with
66 exit may also influence an individual's decision to earn some income from non-fishing
67 occupations. Exit decisions have been explored in both developed (Stewart et al., 2006;
68 Pita et al., 2010; Tidd et al., 2011; Crosson, 2015) and developing nation contexts (Cinner
69 et al., 2009; Daw et al., 2012; Slater et al., 2013; Abu Samah et al., 2019; Chen et al., 2020).

70 One set of factors that has been robustly connected to exit behavior is the opportunity
71 cost of exit relative to potential non-fishery returns. These factors have been measured
72 by within-fishery revenue levels, expected revenue levels in coming years, amount of
73 fishery capital owned, and the potential income from non-fishing occupations in both
74 developed (Pradhan and Leung, 2004; Crosson, 2015) and developing country contexts
75 (Cinner et al., 2009). There may also be direct costs and constraints to earning
76 employment from non-fishing occupations. For example, geographic location and
77 migration ability are important to consider, as more isolated coastal communities may
78 have a lower number and smaller variety of available non-fishing jobs than in larger
79 metropolitan areas (Panayotou and Panayotou, 1986; Daw et al., 2012). We hypothesize
80 that fishers with higher opportunity costs of not fishing would be associated with a lower
81 incidence and intensity of earning income from non-fishery occupations.

82 Demographic correlates of exit, such as age, education, and number of household
83 members have also been examined in many contexts (Terkla et al., 1988; Pollnac and
84 Poggie Jr, 1988; Stewart et al., 2006; Pita et al., 2010; Muallil et al., 2011; Crosson, 2015).
85 Individuals with higher education levels are more likely to be qualified for a wider range
86 of highly paying non-fishing occupations. Similarly, younger individuals may have a

87 greater propensity to pursue a wider range of non-fishing occupations (e.g., physically
88 intense positions). A larger household size may have an indeterminate effect; having
89 income from other household members may lessen pressure on a fisher to exit in bad
90 times, but a larger household may also place greater pressure on fishers to maintain their
91 fishery income. We hypothesize that younger, more educated fishers would have a
92 stronger association with the incidence and intensity of earning income from non-fishery
93 occupations.

94 Beyond economic considerations, the role of cognitive, cultural, and social
95 considerations has been shown to directly influence fisher's reluctance to pursue non-
96 fishing work (Pollnac and Poggie, 2006; Pita et al., 2010; Holland et al., 2020; Arias
97 Schreiber and Gillette, 2021; Roscher et al., 2022). Holland et al. (2020) show that
98 individual West-Coast fishers were less likely to work other professions in response to a
99 closure if they had higher levels of identity as a fisher, social capital in fishing, and job
100 livelihood satisfaction. For many individual fishers, professing personal attachment to
101 fishing and fishery-specific social capital can raise the felt costs of changing occupations.
102 We hypothesize that fishers with higher stated levels of social capital, identity, job quality
103 satisfaction, and job livelihood satisfaction as a fisher would be associated with a lower
104 incidence and intensity of earning income from non-fishery occupations.

105 While fishery exit has been extensively studied, relatively little attention has been paid
106 to the non-fishing occupations pursued by exiting fishers. Stewart et al. (2006) outline
107 the profile of job types that those exiting the Australian fishery worked in response to a
108 regulatory change as primarily (46%) off-water fishing occupations (e.g., processing,
109 aquaculture, boating related), with an additional 12.5% moving into farming. Zheng et al.
110 (2021) develop a theoretical framework distinguishing between three types of job-
111 transfer pathways among Chinese fishermen: intra-industry transfers (e.g., moving to
112 mariculture), inter-industry transfers (e.g., part-time fishing), and out-of-industry
113 transfers. Roscher et al. (2022) reviews livelihood diversification in artisanal fisheries
114 contexts and distinguishes between broad categories of non-fishing activities, including
115 agriculture, aquaculture, and non-natural resource type occupations. We note that the

116 types of occupations available to and demanded by fishers are likely to vary significantly
117 between developing and developed country contexts.

118 Another factor that has escaped empirical treatment is the role of seasonality in both
119 fishery and non-fishery employment for fishery exit and livelihood diversification.
120 Seasonal availability and quality of target species, market conditions, and regulations can
121 all produce significant intra-annual variation among fishing communities in multi-species
122 settings (Clark, 1980; Homans and Wilen, 2005; Bjørndal and Munro, 2012; Birkenbach
123 et al., 2020), while the seasonality of NFI opportunities and wages may play an important
124 role in fisher decision making (Ben-Hasan et al., 2019), creating the potential for greater
125 or lesser complementarity between fishing and non-fishing employment.

126 **3. Data & Methods**

127 **3.1. Data**

128 The primary data for this analysis come primarily from two sources: 1) a survey of active
129 US West Coast fishers and 2) their detailed fishery landings data.

130 **3.1.1. Fisher survey data**

131 The first data source is a fisheries participation survey administered in 2017 (Holland et
132 al., 2020) based on a sampling frame of 2842 vessel-owners with commercial landings in
133 Washington, Oregon, or California during the 2015 or 2016 season. The survey data
134 contain 1,437 responses (51% response rate), and Holland et al. (2020) found no
135 statistical evidence of non-response bias based on observable demographic traits or
136 geography. From these responses, we extract data about NFI earning levels, non-fishing
137 employment decisions, and demographic information for each unique vessel-owner (the
138 unit of analysis).¹

¹ We provide the key NFI related survey questions in Appendix S.1.

139 The first variable of interest is the *NFI share* for each vessel-owner, defined as the
140 proportion of a respondent's personally contributed income to the household (not
141 household income overall) earned from non-fishing occupations, as indicated by their
142 direct survey response. Respondents were asked to estimate this share based upon the
143 "last 3-4 years" so that this metric reflects an average NFI share over recent years.

144 Respondents that reported a positive NFI share were asked an open-ended question
145 about the type of work they did over this 3-4 year period in addition to their commercial
146 fishing occupation. We manually coded these responses into occupational categories
147 using the 2010 Standard Occupation Classification (SOC) of the US Bureau of Labor
148 Statistics.² We coded responses at the minor group level (96 levels); however, given the
149 sparsity and potential for miscoding of responses at this level, we only analyze results at
150 the level of major SOC groupings (23 levels). We further limit our analysis to the top four
151 demanded professions by SOC's major groupings: construction work (n = 127),
152 transportation work (n = 66), management work (n = 63), and fishing/farming/forestry
153 work (n = 61), with the remaining occupations grouped into an "other" group (n = 259).

154 For each of these major occupational groups, we provide a few examples of the most
155 common open-ended responses.³ "Construction" itself was the dominant response within
156 the construction category. "Trucking" and "boat captains" were common transportation
157 responses. "Rental income" and "owners" of restaurants/businesses were common
158 responses in management. Fishing/farming/forestry responses were fairly evenly split
159 among "farming", "logging", as well as some fishing related occupations such as "fish
160 processing" or "sport fishing." We note that the "fishing" aspect of this category is not
161 representative of earning income from commercial fishing itself, but from other related
162 peripheral activities that we qualify as non-fishing occupations. There were a wide range
163 of responses in our "other" category, with a few responses such as "real estate" and
164 "teaching" being common.

² https://www.bls.gov/soc/2010/2010_major_groups.htm

³ We include a sample crosswalk of our manual coding methods in supplementary material Table S.1 with more examples of types of open-ended responses coded to each of the SOC major groupings.

165 We also collected data on the seasonal frequency of earning NFI. Conditional on
166 earning any NFI, the survey asked how frequently individuals earned NFI in each distinct
167 season (quarter) of the year: January - March, April - June, July - September, and October
168 - December. Responses were given as a choice between "Always", "Mostly", "Sometimes",
169 and "Never". We recode each non-empty response as either a high ("Always" or "Mostly")
170 or low value ("Sometimes" or "Never") *NFI frequency* for each season.

171 Moving beyond NFI-specific questions, we also utilize four composite indices
172 constructed from the survey that capture several distinct social-psychological factors
173 related to fisheries specific social capital, strength of identity as a fisher, satisfaction with
174 non-pecuniary aspects of fishery job quality, and fishery livelihood satisfaction. These
175 metrics are incorporated directly from Holland et al. (2020), who utilize confirmatory
176 factor analyses from a large number of survey questions to create these indices for each
177 vessel-owner. Each of the indices is normalized to have a mean of zero and standard
178 deviation of one in the overall sample.

179 Finally, we collect several other demographic variables from the survey, including age,
180 number of household members, number of crew members, household income level, and
181 the zip-code in which the vessel owner resides for more than half of the year.

182 **3.1.2. Fishery landings and geographic data**

183 We use confidential trip-level fish ticket data with detailed landings information for all
184 commercially registered vessels operating on the U.S. West Coast between 1981-2016.
185 We are able to match unique survey responses to vessel registration numbers in order to
186 create a cross-section of stated non-fishing behaviors from the survey responses along
187 with the observed within-fisheries revenue earned from the fish ticket data.

188 From these data, we calculate for each vessel owner the total, and species group
189 specific, ex-vessel revenue earned and total effort days between 2012-2015.⁴ To reduce
190 the many dozen distinct species pursued by fishers into a tractable list, we use a 26

⁴ This four year time frame matches the span of the NFI-related survey questions, but omits the year 2016 in which we only have partial fish-ticket data.

191 species classification system employed in Abbott et al. (2022) for the CCLME.⁵ We also
192 calculate the total, and species group specific *seasonal* ex-vessel revenue earned by each
193 vessel-owner, where seasons match the quarterly definitions employed in the survey. In
194 many of our visualizations, we present results for the top seven species groups in terms
195 of total ex-vessel revenue earned as: Dungeness crab, lobster, salmon, pink shrimp,
196 sablefish, market squid, and albacore. These species account for 84% of the total revenue
197 in our sample, and we group all other species into an aggregate “other” category.

198 We utilize these data to calculate annual species, space (county), and time (week of
199 year) revenue diversification metrics for each vessel-owner in the landing year 2015.
200 Following the methods in Abbott et al. (2022), we use the Shannon diversity index (Jost,
201 2006):

$$202 \quad \exp\left[-\sum_{j=1}^J s_j * \ln(s_j)\right]$$

203 where s_j is the share of revenue in any given species, spatial, or temporal bin. Species bins
204 are given by the 26 previously defined categories. Spatial bins are defined by county of
205 landing among the 53 coastal counties in our sample. Temporal bins are determined by
206 week of the year.

207 To provide a metric of the level of relative financial risk experienced by fishers, we use
208 the coefficient of variation (CV) for ex-vessel revenue earned fishing across the 2012-
209 2015 time period for each vessel-owner. Defined as the ratio of the inter-annual standard
210 deviation to the mean, CV provides a normalized measure of financial risk that has been
211 widely adopted in prior studies (e.g., Kasperski and Holland, 2013; Sethi et al., 2014;
212 Holland et al., 2017).

213 Using the zip-code provided by each vessel owner, we link each respondent to the
214 county and state in which they reside. We then use the US Center for Disease Control's

⁵ These species groups are: California halibut, bay clam, Dungeness crab, herring, lobster, market squid, nearshore species, other coastal pelagic, other crab, other groundfish, other shellfish, other shrimp, other species, Pacific halibut, Pacific sardine, pink shrimp, rock crab, sablefish, salmon, scallop, sea cucumber, sea urchin, spot prawn, swordfish and shark, tuna (listed, more precisely in our case as albacore), and whiting.

215 Urban Rural Classification Scheme⁶ to determine each county's location along the urban-
216 rural gradient based on a 1-6 ranking, where lower numbers are larger metropolitan
217 counties and higher numbers are micropolitan and non-core counties.

218 Our final dataset utilizes 1,230 of the 1,437 vessel owners. We drop 82 responses with
219 missing information for personal income, 57 that attributed all of their non-fish income
220 to Social Security, 27 which gave inconsistent information across their personal and
221 household incomes, 36 with mismatches on the vessel-owner coding between the survey
222 and fish ticket data, and 5 outside of the contiguous US.

223 **3.2. Methods**

224 Our analysis is designed to address two, primarily descriptive, research questions:

- 225 1. What are the patterns of NFI earning across geography, species, and season?
226 2. What are the significant correlates of variability in NFI incidence and intensity across
227 fishers?

228 **3.2.1. Question 1**

229 *What are the patterns of NFI earning across geography, species, and season?* To address
230 the first question, we present a series of visual summaries illustrating the breakdown of
231 vessel owners who do and do not earn NFI across different geographic gradients, species
232 types targeted, and seasonality in their revenue streams. We first group vessel-owners
233 into four distinct regions: Washington, Oregon, Northern California, and Southern
234 California. We define Southern California as the southern-most ten counties in the state.⁷
235 We also define three distinct groupings for the Urban-Rural gradient as "Rural" (those

⁶ <https://www.cdc.gov/nchs/data-access/urban-rural.htm>

⁷ Imperial, Kern, Los Angeles, Orange, Riverside, San Bernardino, San Diego, Santa Barbara, San Luis Obispo and Ventura counties.

236 counties which are micropolitan or noncore), “Suburban” (medium and small
237 metropolitan counties), and “Urban” (large and fringe large metropolitan counties).⁸

238 In totality, these figures allow us to examine whether there are notable differences in
239 fishery-specific dependence across fishing specialists vs. NFI earners and across NFI
240 occupational categories. We also examine whether these differences vary in important
241 ways across regions and across the four seasons (quarters) of the year.

242 **3.2.2. Question 2**

243 *What are the significant correlates of variability in NFI incidence and intensity across*
244 *fishers?* To address this question, we first define three distinct NFI groupings: the **zero**
245 **NFI share** group, the **low NFI share** group (i.e., those with an NFI share > 0 and < 0.5),
246 and the **high NFI share** group (i.e., those with an NFI share ≥ 0.5). In Table 1 we present
247 the mean (μ) and standard deviation (σ) for our key variables within each NFI group. We
248 also conduct t-tests for differences in means between the zero and low and zero and high
249 NFI groups respectively in columns 5 and 6, where the variables included in this
250 comparison are based upon the demographic, social-psychological, and operational
251 characteristics we justify in greater detail in the models below.

252 We then estimate two distinct regression models to jointly examine the correlates of
253 vessel-owners choosing to earn NFI, and the degree to which they do so. The first model
254 addresses the correlates of the probability that an individual vessel-owner earns *any* NFI,
255 so that the binary dependent variable = 1 for a positive level of personally-contributed
256 NFI but = 0 otherwise. Rather than estimate a logit or probit model, we instead estimate
257 a linear regression model (known as a linear probability model or LPM). While not the
258 best choice for observation-specific predictions of probabilities, the LPM has the
259 advantage over other binary-choice models that its coefficients are directly interpretable
260 as the average marginal effect on the probability of a one unit change in the independent
261 variables (Angrist and Pischke, 2008) and is therefore ideally suited to our purposes. We

⁸ We note that for any figures with our regional gradient, we omit 8 vessel-owners who resided outside of the West Coast states.

262 utilize heteroskedasticity robust standard errors to account for the inherent
263 heteroskedasticity of the LPM (Angrist and Pischke, 2008).

264 We estimate four increasingly comprehensive, nested model specifications to test the
265 hypotheses discussed in section 2 as well as the associations between within-fishery
266 financial risk, and diversification, with the incidence and intensity of earning NFI. All
267 models include the four social-psychological measures of fishery job satisfaction (identity,
268 social capital, job quality satisfaction, and job livelihood satisfaction). We also include two
269 demographic characteristics, age and number of household members.⁹ In our second
270 specification, we add the number of crew members employed and the total number of
271 days fished by individual vessel-owners. These variables capture proxies for the overall
272 opportunity costs of non-fishing income and the degree of commitment (both in terms of
273 effort and as an employer) to the fishery. We also include the CV measure of within-
274 fisheries financial risk, since within-fishery risk exposure may affect the tendency to
275 pursue livelihood diversification. In the third specification, we add the within-fisheries
276 species, space, and time diversity measures as additional predictor variables in order to
277 distinguish the role of within-fishery risk on NFI as distinct from diversification decisions
278 that may affect this risk.

279 The analysis for the first research question establishes that there are notable
280 differences in NFI across space. These distinctions may arise from measurable factors
281 (e.g., unemployment rate, rural vs. urban, or the presence of employers across different
282 sectors) or from unobservable dimensions of the local labor market or fisher
283 characteristics. To make our estimates robust to this potentially long list of factors, we
284 include spatial dummy variables (i.e., spatial fixed effects) to absorb both channels of
285 impact. In Models 1-3 we include state fixed effects, while in Model 4 we instead utilize
286 county fixed effects to provide a finer degree of control for underlying patterns in NFI
287 determinants.

288 To estimate the model of NFI intensity, conditional on positive NFI, we regress the
289 share of personally-contributed household income obtained from NFI on the same

⁹ We note that we do not observe individual fisher's education levels.

290 correlates as in the NFI presence model (NFI share), including the same state and county
291 fixed effects. This ‘second-stage’ model is estimated for only those respondents with a
292 positive NFI level. We utilize heteroskedasticity-robust standard errors for all
293 specifications.

294 All variables in the regression models are normalized to a mean equal to zero and a
295 standard deviation equal to one. This eases the comparison across regressors defined on
296 inherently different scales. For Model 4 in both our first and second stage regressions, we
297 re-run the model with no intercept and extract the coefficients for the county level fixed
298 effects. We map their de-meaned values for all counties to see whether there are any
299 geographic patterns in NFI extent or intensity that are unexplained by the model
300 regressors.

301 We note that we are attempting to examine associative, rather than causal,
302 relationships with our modeling approach, which is appropriate given that we only have
303 a single cross section of NFI data from the survey. All of the tables, figures, and regression
304 models used for these analyses were created using *R* statistical software, with the
305 *tidyverse*, *ggplot2*, *broom*, and *estimatr* packages.

306 4. Results

307 4.1. Question 1: NFI patterns by geography, species, and season

308 4.1.1. Geography and NFI

309 The breakdown of 2012-2015 fisheries revenue across region of residence is: 18% in
310 Southern California, 31% in Northern California, 20% in Oregon, and 31% in Washington.
311 Over half of fishing revenue (52%) is earned by fishers residing in rural areas, with 22%
312 and 26% coming from urban and suburban areas, respectively.

313 Figure 1 presents the share of surveyed vessel-owners earning their personal income
314 solely from fishing (black horizontal lines) and the shares by occupational category for
315 those with NFI across regional and urban-rural strata. We find a much greater share of
316 fishers with no NFI in Washington State – 64%, compared to 50% or less elsewhere. Such

317 specialized fishers are also much more common in rural areas, comprising 62% of
318 surveyed individuals there relative to 44% in more urbanized areas.

319 Fishing revenues are far more concentrated among respondents without outside
320 employment than their share of the sample might suggest; more than 75% of revenues
321 accrue to “full-time” fishers when they are only 51% of this sample (Figure 1). The
322 distribution of revenue share mirrors that of total fishers, with more northerly regions
323 having a greater concentration of fisheries revenues among full time fishers than in
324 California. Revenues to suburban and rural respondents are more highly concentrated
325 among fishing specialists than for urban fishers. This trend is particularly strong in
326 suburban areas, where the share of revenues to fishing-only respondents (77%) is much
327 larger than their population share (44%).

328 Turning now to the patterns of non-fishing occupations across geographic gradients,
329 we find that over half of respondents in all regions and degrees of urbanization work in
330 either the construction, fishing/farming/forestry, management, or transportation fields
331 (Figure 1). Construction is uniformly the largest occupation share, and is more common
332 as a source of employment in California, particularly Northern California, than in the
333 Pacific Northwest states, where relatively more respondents with NFI employment work
334 in the fishing/farming/forestry or transportation industries. Construction work is also
335 more common for respondents residing in suburban areas than those in either rural areas
336 (where fishing/farming/forestry and transportation jobs are more common) or urban
337 areas.

338 Figure 1 also shows that the contribution of fishers with different NF occupational
339 categories to fishing revenues differs a great deal across occupation type. Most notably,
340 there is a very high relative contribution to fishing revenue from those working
341 management jobs, compared to the share of positive-NFI respondents in management
342 occupations. Vessel-owners employed in management professions contribute 47% of
343 fishing revenues to positive-NFI fishers in Oregon and 39% in rural areas, whereas no
344 more than 12% of fishers with NF employment work in management in either case. This
345 lopsided relationship may be explained by the fact that many management occupations

346 generate income that is heavily dependent on ownership interest rather than labor
347 commitment (e.g., rental income) or that entails fairly flexible time commitments –
348 allowing substantial time toward pursuing fishery income.

349 A similar asymmetry exists for fishers who work transportation jobs in Southern
350 California. This group earns 25% of fishing revenue among positive NFI earners in the
351 region compared to their regional share of positive-NFI fishers of only 11%. Another
352 interesting trend is the relatively modest overall contribution to fishing revenues from
353 vessel-owners working construction jobs, relative to their share of the total number of
354 fishers with NF employment. With the exception of urban areas, the contribution to
355 fishery revenues for NFI earners from those working construction occupations is lower
356 than their share of NFI earners across all geographic gradients.

357 Lastly, figure 1 shows that the contributions of individuals in occupational categories
358 to fisheries income differs considerably from the breakdown of workers across these
359 categories. Whereas construction is the most common NF occupational category, fishers
360 with construction employment account for a comparatively small share of fishery income
361 in all regions. Conversely, fishers with management jobs comprise a much larger share of
362 fisheries revenues in California and Oregon than their small share of positive-NFI fishers
363 would suggest. Again, an explanation for this phenomenon is that the non-wage nature of
364 income for many management income sources may provide fishers with greater
365 flexibility to fish more intensively.

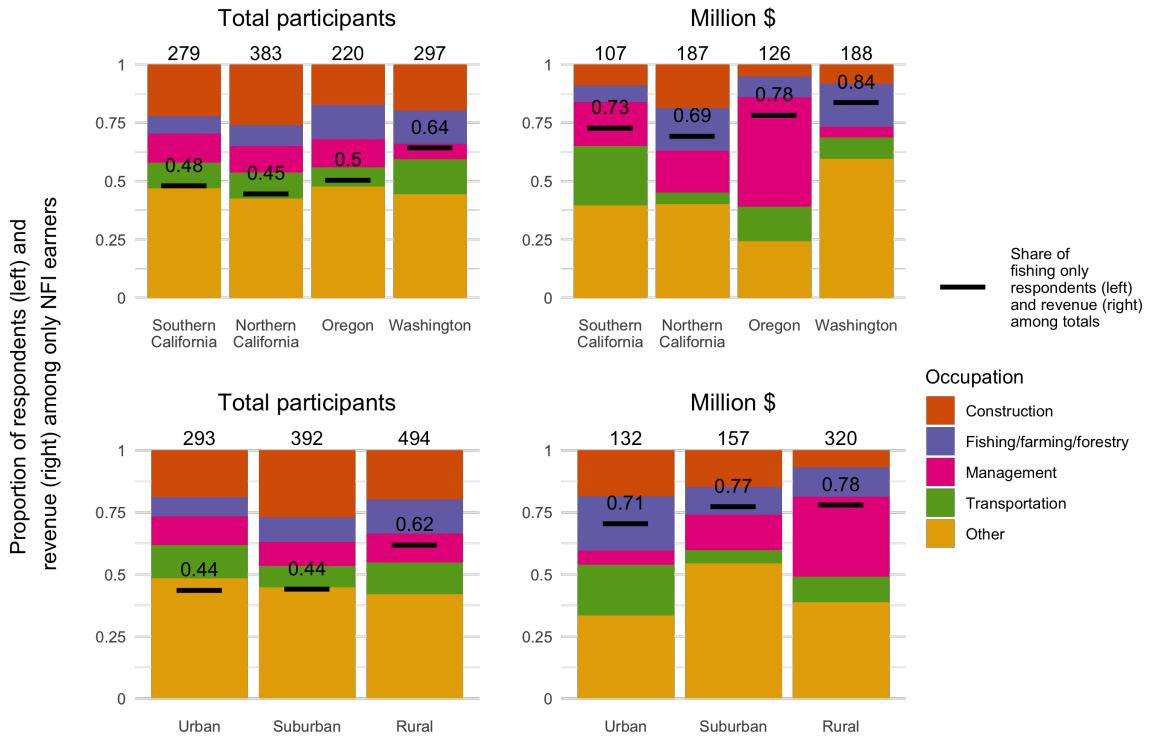


Figure 1: Left: Proportion of number of vessel-owners in each occupational group among all non-zero NFI earners (left axis) in each region (top) and rural-urban gradient (bottom). Black bars indicate % of vessel owners with fish only income in each region and rural-urban gradient, among all respondents. Total number of vessel-owners across our regional and rural-urban gradients are printed above each bar. Right: proportions and totals listed in terms of total fishing revenue, rather than vessel-owners, for each region and rural-urban gradient.

366 4.1.2. Species and NFI

367 Figure 2 considers the distribution of fisheries revenue by species for specialized and NFI
 368 earning fishers across regions. Among fishers in Southern California, we find that NFI earners
 369 rely upon lobster for a much higher share of their fisheries income (41%) compared to those
 370 only earning fishing income in the region (18%). Interestingly, in Northern California, there
 371 does not appear to be large disparities in species dependence across those earning NFI vs.
 372 specialized fishers. In Oregon, those earning NFI depend upon salmon (16%), pink shrimp
 373 (17%), and albacore (18%) revenues at moderately higher rates than those earning only
 374 fishing income (8%, 13%, 12% respectively), whereas fishing specialists earn much more of
 375 their revenue from Dungeness crab (38% vs. 27%). Of fishers in Washington, those earning

376 NFI obtain more of their fishery income from salmon (17%) than those earning fishing
377 income only (8%), and notably, harvest zero market squid relative to full-time fishers (20%).

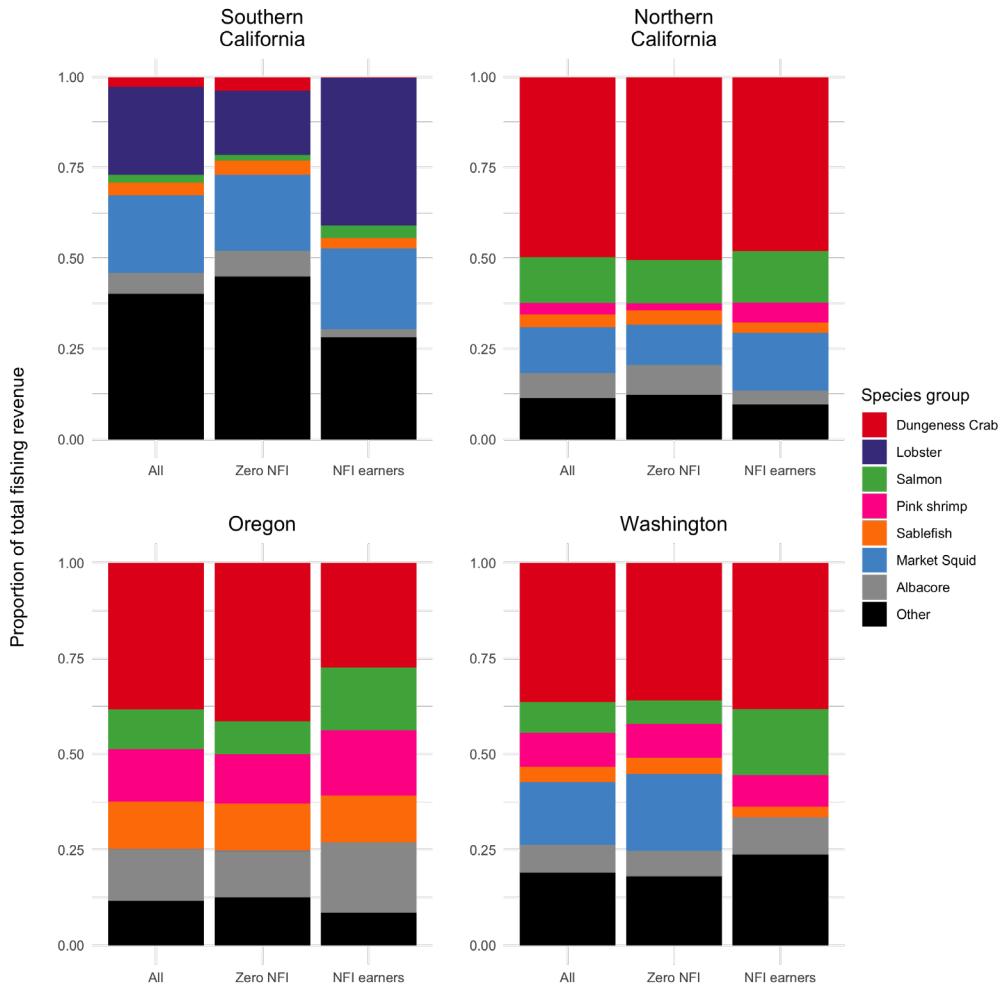


Figure 2: Breakdown of species targeted by region across our full sample, those only earning fishing income, and those additionally earning NFI.

378 Figure 3 assess the share of total species-specific revenues earned by each NFI occupation
379 group – a rough measure of their “footprint” in the fishery. Part-time fishers have the largest
380 presence within the lobster, salmon, and pink shrimp fisheries, earning 47%, 35%, and 27%
381 of revenues, respectively. Beyond these species, the distribution of revenues between NFI
382 earners vs. specialist fishers is consistent, with NFI earners comprising roughly 20% or less
383 of revenues. Importantly, while Dungeness crab is a high proportion of part-time fishers'

384 revenues across occupational categories in all regions except Southern California (Fig. 2),
385 fishers with NF income comprise a small proportion of Dungeness crab harvest.

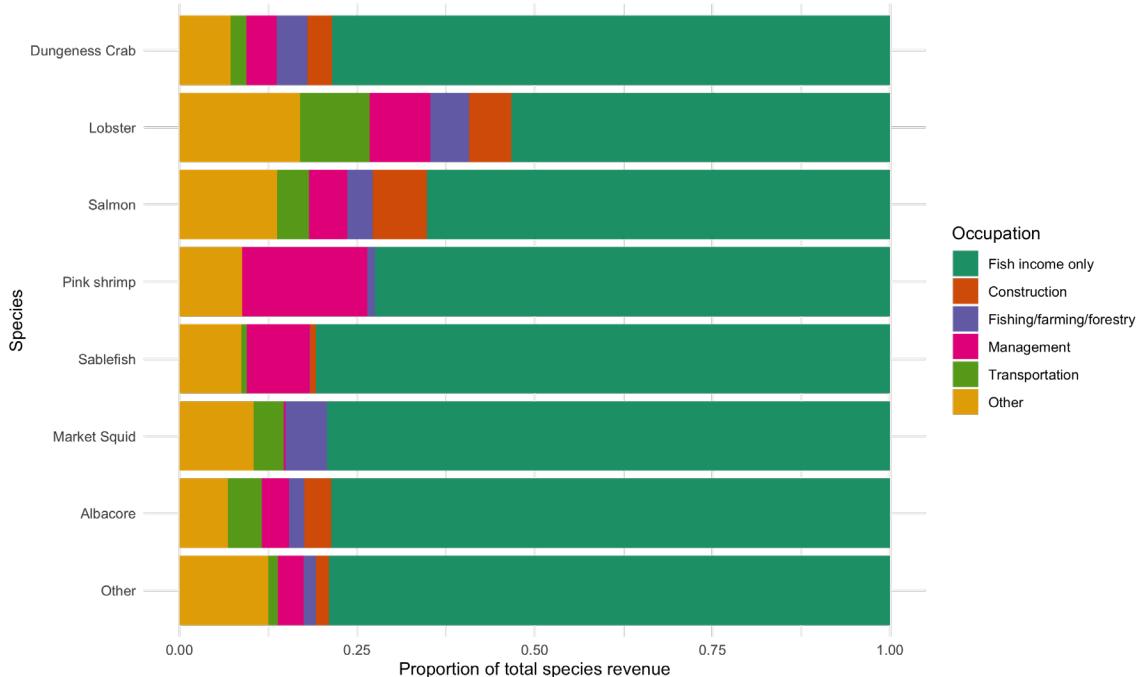


Figure 3: Proportion of total revenue earned within each species group by different occupations.

386 4.1.3. Seasonality and NFI

387 In Figure 4, we present the seasonal trends in total revenue, and species-specific revenue for
388 each occupation type, including fishing exclusively. For each NFI occupation, we add trend
389 lines representing the proportion of high NFI frequency earners within each season for that
390 occupation (i.e., whether each individual indicated earning NFI “always” or “mostly” in that
391 season). Generally, the highest revenue fishing seasons are in the late summer and fall for
392 most occupation groups, with the spring months being the lowest. Those working
393 management occupations have the most evenly distributed revenue stream from fishing
394 through the year, perhaps reflecting the aforementioned flexibility of time commitment
395 associated with many sources of management NFI. The seasonal pattern of fishing revenues
396 for those working fishing/farming/forestry and construction jobs most clearly matches that

397 of full-time fishers, with a significant share of income coming in the first quarter, primarily
398 from Dungeness crab. However, aside from the strong role of crab, the drivers of the seasonal
399 rhythms of fishing/farming/forestry and construction are distinct. Spring and summer
400 revenues for fishers engaging in construction are heavily dependent on salmon and albacore,
401 whereas fishers pursuing outside work in the resource sector are less dominated by salmon
402 in these months, with market squid playing an outsized role from July-September.

403 Comparing seasonality of fishery revenues with the seasonality of respondents' high NFI
404 frequency, we find divergent results across occupational categories. First, both management
405 and other occupations exhibit a higher mean share of NFI frequency, with 60% or more
406 consistently reporting "always" or "mostly" earning NFI across all periods, compared to
407 levels around or below 50% for other NFI sources. Secondly, fishers with construction as
408 their source of NFI exhibit a generally declining trend in NFI frequency over the year – a
409 pattern that roughly inversely coincides with trends in fisheries revenue for this group. This
410 pattern is consistent with fishers substituting between their NFI opportunities and time
411 spent fishing. Finally, fishers working in the fishing/farming/forestry sector see their peak
412 NFI frequency in the summer months when their fishery revenues also peak. This may be
413 indicative of a more complementary relationship between fishing and employment in fishery
414 related sectors (e.g., fish processing). Overall, the descriptive evidence for a substitution
415 effect between fishing and non-fishing income is weak in our data, although it may exist for
416 those in construction.¹⁰

¹⁰ Beyond this graphical analysis, in unreported regression results we attempted to model seasonality of NFI and found a statistically significant, but practically tiny, negative relationship between seasonal NFI presence or intensity and activity/revenue in fisheries in the same quarter.

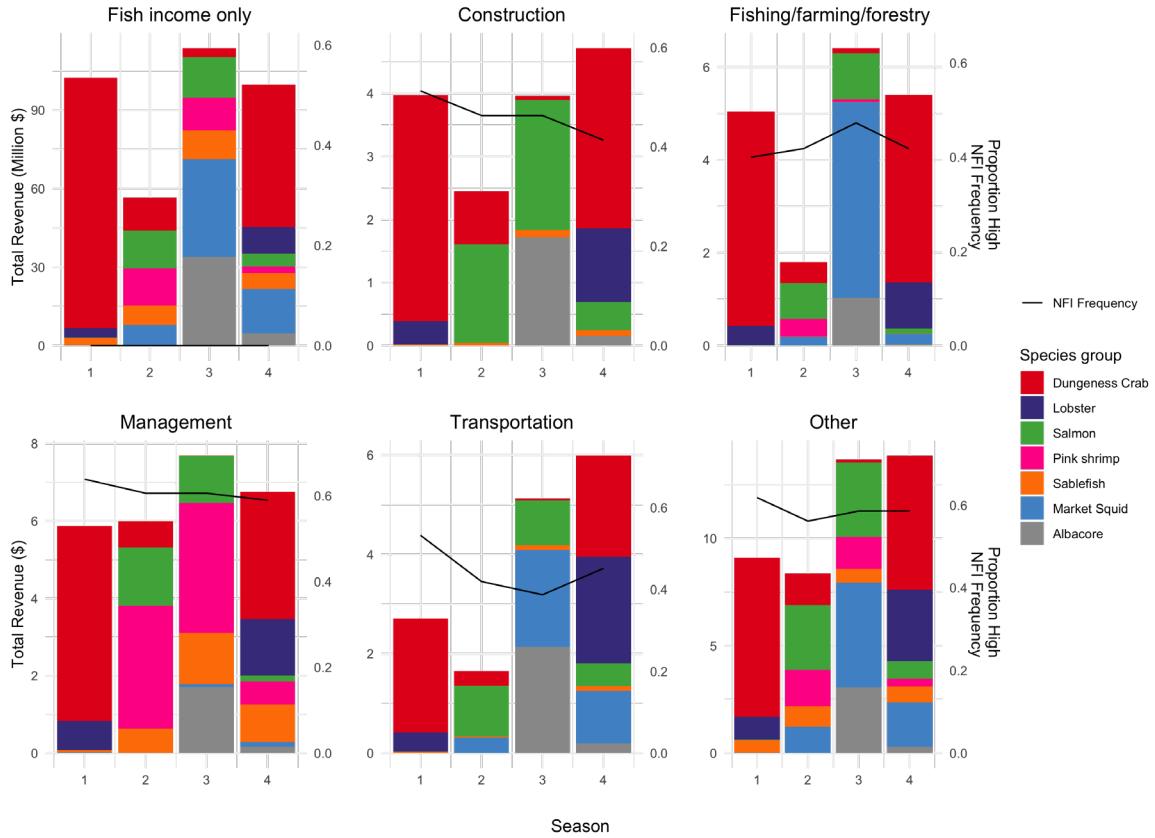


Figure 4: Total revenue earned by each occupation group in each season (left axis) and proportion of high frequency NFI work (i.e., working “always” or “mostly”) in each season by each occupation group (right axis). Omitting “other” species groups.

417 4.2. Question 2: Correlates of NFI incidence and intensity

418 4.2.1. Summary statistics: differences across NFI shares

419 Table 1 illustrates a number of important similarities and differences across individuals with
 420 varying tendencies toward non-fishing income. We find little evidence of statistically
 421 significant differences in demographic variables (age and size of household) across NFI share
 422 levels. Individuals with a non-zero but low NFI share are more likely to have a low (<50K)
 423 household income relative to individuals with either a zero or high NFI share, while
 424 individuals with a high NFI share are weakly more likely to be part of households with

425 moderate incomes (between 50K and 125K). Interestingly, the fraction of surveyed fishers
426 with relatively high household incomes (25%) does not vary significantly across NFI shares.

427 Moving to the metrics of social and psychological attachment to fisheries, we find that
428 fishers' fisheries-specific social capital strongly declines as the NFI share increases. Similarly,
429 the index of fishery identity also declines with the intensity of non-fishery income activities.
430 The nature and direction of causation is impossible to uncover from these correlations;
431 however, they are consistent with the hypothesis that fishers with weaker personal and
432 social attachment to fisheries are more likely to pursue livelihood diversification
433 opportunities outside fisheries, and therefore find it more difficult to invest in fishery-
434 specific social capital. Interestingly, the relationship between NFI share and job satisfaction
435 depends on whether monetary or non-monetary aspects of satisfaction are considered.
436 Satisfaction with nonmonetary aspects of fishing as a job has no relationship with NFI share,
437 whereas satisfaction with the monetary (livelihood) aspect of fishing is significantly lower
438 for fishers with a high NFI share. Although we cannot establish causality, this finding is
439 consistent with the hypothesis that fishers diversify their livelihoods more highly on the
440 basis of pecuniary factors as opposed to dissatisfaction with non-monetary aspects of fishing.

441 There are several clear and intuitive trends illustrating the tradeoffs in effort spent
442 earning fisheries vs. non-fisheries income. We find that West-Coast fishers in the high NFI
443 share group employ fewer crew members, work fewer fishing days, earn less average fishing
444 revenue, and are subject to higher within-fishery financial risk. These differences are all
445 statistically significant and uniformly trending across the zero, low, and high NFI share
446 groups – suggesting that fisheries and non-fisheries income function as substitutes in a gross
447 time-allocation sense.

448 Similarly, we find that high NFI share fishers have a lower diversity of within-fishery
449 revenue earned across species, space, and time relative to fishers that only work in fisheries.
450 This pattern is present to a lesser, and not always statistically significant, extent for low NFI
451 fishers. Altogether, these patterns suggest that livelihood diversification through NFI tends
452 to come at the cost of greater diversification margins *within* the fishery context.

Table 1: Summary statistics of key variables across groups of non-fish income (NFI) income earners.

NFI Share group NFI Share	Zero 0 (n = 610)	Low 0 < & < 0.5 (n = 274)	High > 0.5 (n = 346)	t-test Zero, Low	t-test Zero, High
Social capital	$\mu = 0.17$ $\sigma = 0.98$	-0.02 1.00	-0.34 0.87	p=0.007***	p<0.001***
Identity	$\mu = 0.14$ $\sigma = 0.96$	0.01 1.00	-0.23 1.00	p=0.088*	p<0.001***
Job quality	$\mu = 0.04$ $\sigma = 0.98$	0.11 0.97	-0.04 0.98	p=0.345	p=0.215
Job livelihood	$\mu = 0.14$ $\sigma = 0.96$	0.07 1.03	-0.22 1.00	p=0.329	p<0.001***
n household members	$\mu = 2.54$ $\sigma = 1.24$	2.57 1.18	2.49 1.17	p=0.698	p=0.598
Age	$\mu = 55.09$ $\sigma = 12.81$	53.85 13.08	54.81 12.49	p=0.195	p=0.750
n crew members employed	$\mu = 2.27$ $\sigma = 1.37$	2.01 1.29	1.38 1.19	p=0.010**	p<0.001***
Total effort days	$\mu = 32.99$ $\sigma = 30.07$	28.78 25.49	15.10 15.69	p=0.033**	p<0.001***
Total Revenue (10,000 USD)	$\mu = 12.52$ $\sigma = 19.31$	7.59 17.04	1.85 6.74	p<0.001***	p<0.001***
Within-fisheries risk (CV)	$\mu = 0.42$ $\sigma = 0.25$	0.47 0.29	0.58 0.33	p=0.020**	p<0.001***
Space diversity	$\mu = 1.55$ $\sigma = 0.81$	1.37 0.63	1.21 0.49	p<0.001***	p<0.001***
Species diversity	$\mu = 1.57$ $\sigma = 0.67$	1.49 0.71	1.43 0.60	p=0.110	p=0.001***
Time diversity	$\mu = 13.44$ $\sigma = 9.49$	12.36 8.37	7.66 6.25	p=0.092*	p<0.001***
Household income < 50K	$\mu = 0.24$ $\sigma = 0.43$	0.31 0.46	0.22 0.41	p=0.028**	p=0.522
50K < Household income < 125K	$\mu = 0.46$ $\sigma = 0.50$	0.44 0.50	0.51 0.50	p=0.696	p=0.097*
125K > Household income	$\mu = 0.25$ $\sigma = 0.44$	0.22 0.42	0.24 0.43	p=0.306	p=0.624

*** p < 0.01, ** p < 0.05, * p < 0.1

453 4.2.2. Model of earning NFI> 0

- 454 The linear probability model coefficients in Table 2 are the estimated change in probability
 455 of a West Coast fisher earning any NFI for a one-unit change in the independent variables.
 456 The specifications increase in completeness from left to right. Model 1 focuses on fisher

457 specific demographic and social-psychological variables. Model 2 adds measures of effort,
458 crew employment and fisheries financial risk (CV), whereas models 3 and 4 add metrics of
459 space, species, and time diversity. Models 1-3 control for spatial gradients in NF employment
460 through state fixed effects, while Model 4 utilizes county fixed effects instead. Unless
461 otherwise indicated, our description of results corresponds to Model 4.

462 Neither age nor household size are associated with NFI earnings. Examining the social
463 psychological variables, we find that stronger fishing-related identity is consistently
464 associated with statistically significant reductions in the incidence of NFI; a 1 standard
465 deviation (σ) increase in the identity index reduces the probability of NFI by 0.057. We find
466 a similar decrease in the probability of earning any NFI of $0.052/\sigma$ for job livelihood
467 satisfaction, whereas job quality satisfaction, apart from livelihood considerations, is
468 positively associated with the propensity toward earning NFI ($0.062/\sigma$). Social capital, while
469 significant and negative in model 1, falls in magnitude and significance upon adding
470 additional controls.

471 Turning to the influence of fishery-specific decisions and risk, we find that the probability
472 of pursuing NFI decreases by 0.062 for 1 SD increase in crew members employed, which is
473 qualitatively robust and significant across specifications. Earning NFI appears positively
474 related to fisheries risk and negatively related to total effort in model 2. However, these
475 estimates attenuate to statistically insignificant levels after controlling for within-fisheries
476 diversity metrics.

477 Our estimates show that not all within-fishery diversification has the same association
478 with the tendency to seek outside employment. Indeed, species diversification, the most
479 frequently discussed mechanism for fishery diversification, has no statistically significant or
480 empirically meaningful partial correlation with the tendency to earn NFI. However, increases
481 in spatial and temporal diversity within West Coast fisheries are significantly associated with
482 a reduced tendency toward diversification outside of the fishery through NFI (reductions of
483 $0.053/\sigma$ and $0.067/\sigma$, respectively).

Table 2: Predicting positive NF income.

	NF income earner: 1 = Yes, 0 = No			
	1	2	3	4
Social capital	-0.059*** (0.017)	-0.028 (0.018)	-0.035* (0.019)	-0.025 (0.020)
Identity	-0.050*** (0.017)	-0.049*** (0.018)	-0.062*** (0.018)	-0.057*** (0.018)
Job quality	0.073*** (0.019)	0.061*** (0.020)	0.068*** (0.020)	0.062*** (0.021)
Job livelihood	-0.072*** (0.017)	-0.049** (0.019)	-0.050** (0.020)	-0.052** (0.020)
n household members	0.000 (0.015)	0.017 (0.016)	0.020 (0.016)	0.018 (0.016)
Age	-0.002 (0.015)	-0.007 (0.016)	-0.016 (0.016)	-0.013 (0.016)
Within-fisheries risk		0.040** (0.016)	0.020 (0.017)	0.011 (0.017)
n crew members employed		-0.091*** (0.018)	-0.080*** (0.018)	-0.062*** (0.019)
Total effort days		-0.083*** (0.015)	-0.037 (0.025)	-0.022 (0.028)
Space diversity			-0.061*** (0.015)	-0.053*** (0.017)
Species diversity			-0.017 (0.017)	-0.011 (0.018)
Time diversity			-0.057** (0.027)	-0.067** (0.029)
State FE	Yes	Yes	Yes	No
County FE	No	No	No	Yes
R ²	0.072	0.131	0.159	0.245
Adj. R ²	0.060	0.116	0.141	0.168
Num. obs.	1192	1034	981	981

***p < 0.01; **p < 0.05; *p < 0.1

484 **4.2.3. Model of NFI income share, conditional on $NFI > 0$**

485 We find that fewer variables are significant predictors of the NFI magnitude than of the
486 decision of whether to earn NFI or not (Table 3). Interestingly, some variables that were
487 insignificant as explanatory variables for positive NFI are significant predictors of NFI share.
488 For example, whereas age was insignificant as a predictor of positive NFI, we find that a 1
489 standard deviation increase in age increases the share of NFI by 0.042 in the most complete
490 model. The social-psychological variables that were previously important for explaining
491 positive NFI (identity, job quality satisfaction, job livelihood satisfaction) are statistically
492 zero. However, we find that increases in social capital are significantly associated with
493 reductions in NFI share by $0.042/\sigma$, conditional on earning any NFI at all.

494 Variables related to fishery-specific decisions and risk exhibit similar patterns of
495 magnitude and significance as in Table 2. As with the model of NFI incidence, we find that the
496 number of crew members has a strong negative relationship with NFI share (reduction of
497 $0.082/\sigma$), while both effort days and fisheries-specific risk attenuate in magnitude and
498 become insignificant once diversification measures are included in the model.

499 Both spatial and species diversity are highly insignificant in their relationship with NFI
500 share. Temporal diversity, on the other hand, has a significant and similarly-sized association
501 with NFI share as it does on NFI incidence, with a 1 standard deviation increase in temporal
502 diversity resulting in a 0.069 reduction in the share of NFI income.

Table 3: Predicting the proportion of NF income earned, conditional on earning any NFL.

NF income as proportion of total income				
	1	2	3	4
Social capital	-0.063*** (0.015)	-0.046*** (0.016)	-0.054*** (0.017)	-0.042** (0.019)
Identity	-0.025 (0.017)	-0.005 (0.016)	-0.009 (0.017)	-0.014 (0.019)
Job quality	-0.001 (0.019)	-0.013 (0.020)	-0.011 (0.021)	-0.011 (0.022)
Job livelihood	-0.045*** (0.017)	-0.015 (0.018)	-0.008 (0.019)	-0.002 (0.021)
n household members	-0.010 (0.014)	0.022 (0.015)	0.016 (0.016)	0.022 (0.017)
Age	0.032** (0.014)	0.042*** (0.015)	0.036** (0.016)	0.042** (0.017)
Within-fisheries risk		0.026* (0.014)	0.017 (0.015)	0.023 (0.015)
n crew members employed		-0.070*** (0.015)	-0.061*** (0.017)	-0.082*** (0.019)
Total effort days		-0.091*** (0.014)	-0.044** (0.019)	-0.046* (0.026)
Space diversity			-0.005 (0.014)	0.002 (0.020)
Species diversity			0.005 (0.017)	0.000 (0.018)
Time diversity			-0.061*** (0.022)	-0.069** (0.028)
State FE	Yes	Yes	Yes	No
County FE	No	No	No	Yes
R ²	0.117	0.230	0.231	0.373
Adj. R ²	0.098	0.206	0.200	0.241
Num. obs.	596	494	466	466
RMSE	0.326	0.301	0.302	0.294

*** $p < 0.01$; ** $p < 0.05$; * $p < 0.1$

503 **4.2.4. Unexplained spatial patterns in NFI**

504 Figure 5 plots the de-meanned county fixed effects for both the NFI incidence (Table 2) and
505 intensity (Table 3) models. Together these figures show spatial patterns in the tendency and
506 intensity of NF income that are not directly captured by model covariates. There is patchiness
507 in the probability of NFI, with the probability being lower than otherwise predicted in much
508 of Washington state but higher in inland parts of the Bay Area in Northern California and
509 much of Western Oregon. The pattern of fixed effects for the NFI intensity model follow a
510 similar pattern to the NFI incidence plot but are more strongly positive in non-coastal
511 counties in Northern California and Oregon. Many of the areas with higher NFI incidence and
512 intensity are in urban or suburban areas with significant potential sources of non-fishing
513 employment. They are also areas where the cost of living is comparatively high, perhaps
514 leading to increased pressure for NFI on the part of fishers. We note that fixed effects for
515 most coastal counties in both specifications, where much of the sample resides (Figure S.2),
516 are near zero suggesting that the model predicts NFI well in these areas.

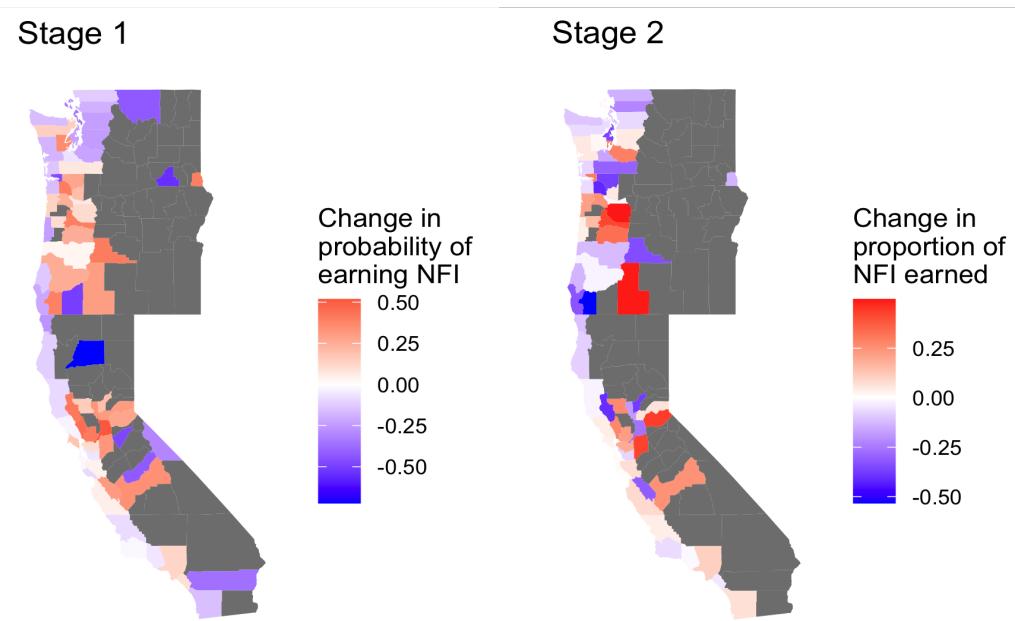


Figure 5: Mapping of the county level fixed effects from model run 4 for our stage 1 (left) and stage 2 (right) regression models.

517 **5. Discussion & Conclusion**

518 This study provides a rare analysis of the drivers and importance of fishers' livelihood
519 diversification. Based on the results of the 2017 West Coast fishers' survey, we find that over
520 50% of fishers in this sample are earning personal income from non-fishing occupations,
521 with nearly 30% deriving more than half of their personal income from non-fishery sources.
522 As such, NFI may play a critical role in sustaining households and fishing communities.
523 Therefore, understanding the correlates of NF employment and income, as well as the
524 patterning of NFI dependence over geography, fisheries species, and seasons of the year is
525 important for revealing the ongoing functions provided by NFI in fishing communities and
526 how these roles may change with alterations to fishery management policies or climate or
527 oceanographic shocks.

528 On the whole, our study does suggest that diversifying household income through NFI
529 tends to be an alternative to greater fishery effort and to enhanced within-fishery
530 diversification. In particular, spreading a fixed amount of fishing activity across the year or
531 across counties of landing makes an individual less likely to earn NFI, while taking more trips
532 and spreading this effort over more weeks of the year tends to reduce the share of NFI in the
533 income contributed by a fisher. Therefore, fishery diversification and NFI tend to operate as
534 substitutes. Nevertheless, the relatively low intensity of many West Coast commercial fishers'
535 fishery employment is such that periods of high NF employment and high fishery revenues
536 do not consistently move counter to one another and may even coincide for many NF
537 occupational categories (Fig. 4).

538 We find some evidence that the extent to which fishery intensification and diversification
539 are substitutes vs. complements with NFI may be mediated based upon the source of NFI
540 itself. In particular, the relatively small minority of fishers with NFI deriving from
541 "management" sources earn a disproportionate share of fisheries revenues relative to other
542 NF occupational categories, suggesting that the greater flexibility of many jobs in this
543 category, as well as non-labor income from capital ownership, may weaken the substitute
544 relationship between fishing and NF income. This does not appear to be the case, however, for
545 the more common but less flexible and labor-driven sources of NFI such as construction.

546 Assessing geographic patterns of NFI may be important for understanding community
547 fishery dependence. The degree of community fishery dependence has generally been
548 assessed on the basis of total or per capita revenues, but this metric fails to account for other
549 income sources that may make fishers less fishery-dependent (Norman et al., 2022).
550 Considering NFI, we find that fishers located in rural or coastal areas, particularly in
551 Washington state, have lower presence and shares of NFI. This is perhaps due to fewer
552 desirable outside employment opportunities in the region, which is consistent with our
553 hypothesis that higher opportunity costs of not fishing would be associated with a lower
554 incidence and intensity of earning income from NF occupations.

555 Norman et al. (2022) also found that fishers from communities with strong ties to fishing
556 also tend to have higher dependence on fishing income at the household level and in terms
557 of personal contributions. Communities with high reliance (higher per-capita fishery income)
558 tend to have higher levels of social capital and identity tied to fishing and lower average
559 proportions of NFI (Norman et al., 2022). Our results confirm these patterns at the individual
560 level, as was hypothesized, though with some interesting nuances. We find that fishers with
561 high social capital related to fishing tend to have lower proportions of income from non-
562 fishery sources but are not significantly less likely to have NFI at all. A stronger identity
563 related to fishing does tend to reduce the likelihood of having NFI but not the proportion of
564 personal income from NFI.

565 Job satisfaction related to income from fishing is correlated negatively with the likelihood
566 of earning any NFI, but counter to what we hypothesized, the opposite is true of non-
567 monetary fishery job satisfaction. This may be explained by NFI earners placing more weight
568 on particular non-pecuniary aspects of fishing (e.g., “adventure” or “being on the water”)
569 relative to those whose personal income is entirely from fishing. We also find evidence
570 against our hypothesis about younger fishers being associated with a higher non-fishing
571 share, conditional on earning any NFI, which may reflect older NFI earners allocating more
572 effort to less physically intense occupations than fishing. Fishers with more crew are
573 themselves less likely to have NFI, as well as having a smaller non-fishing share of income.
574 This suggests that vessel owners on which other fishers rely may be particularly dependent

575 on fisheries, creating a potentially greater vulnerability. However, this vulnerability may be
576 partially mitigated by greater within-fishery diversification; as previously noted, fishers with
577 high diversification of fishing income tend to be less reliant on NFI.

578 Our analysis shows that fishers with greater NFI may exhibit distinct patterns of species
579 dependency relative to fishing specialists. Some fisheries such as salmon and lobster appear
580 to be more important to part-time fishers, while others, such as Dungeness crab, are more
581 heavily favored by full-time fishers. These patterns may result because of complementarities
582 in these species' seasons with NF employment or due to relatively low capital requirements
583 that are amenable for part-time fishers. Alternatively, causation may be reversed, such that
584 fishers pursuing particularly risky fisheries (e.g., salmon) may highly value the risk reduction
585 associated with NF employment. Understanding the links between NFI and fishery
586 dependence can help fishery managers craft regulations to limit impacts in some cases and
587 at least be aware of them in others so that communities can better prepare. Similarly,
588 knowledge of what non-fishery sectors non-fishing households derive income from and what
589 fisheries they participate in could prove useful to fishery managers. For example, a downturn
590 in construction may lead to more participation in a fishery in which many participants also
591 work construction.

592 While fishery managers may be particularly concerned with avoiding negative impacts on
593 communities or fishery sectors that are more dependent on fishing income, fishers that
594 complement fishing income at certain times of year with NFI could potentially be sensitive
595 to regulations or events that shift the timing of fisheries. Many fisheries are seasonal either
596 because of the phenology of the species or because they are run as derbies. Individuals with
597 NFI may be sensitive to shifts in timing (e.g., fishery closures) if they have seasonal jobs that
598 complement their fisheries participation. Further, those earning higher levels of NFI may
599 have less flexibility to voice these concerns through demanding fisheries management
600 processes (e.g., in-person PFMC meetings).

601 While our analysis provides useful insight on the role of NFI for fishers, it does suffer from
602 some shortcomings as a result of its focus on a single (albeit expansive) region as well as the
603 brevity and cross-sectional nature of the 2017 survey. Due to the lack of repeated

604 observations on NFI, and because we lack data on the overall variability of household
605 incomes, as opposed to the fishery component of revenues from fish ticket data, we cannot
606 empirically assess how NFI on the part of fishers affects the overall level and variability of
607 household incomes. Future research should endeavor to gather longitudinal data on both
608 within-fishery and livelihood diversification while also measuring household-level livelihood
609 variables. In addition, researchers should move beyond understanding the NFI of fishers
610 alone – instead placing this income and employment in the overall context of livelihood
611 activities within the broader household, including spousal and dependent employment.
612 Finally, understanding the interactions of NF employment and income would be facilitated
613 by the collection of qualitative data from fishers on the specific ways in which their fishing
614 and non-fishing activities interact.

615 **Declaration of Competing Interest**

616 The authors declare that they have no known competing financial interests or personal
617 relationships that could have appeared to influence the work reported in this paper.

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620 **Author Contributions**

621 All authors conceived and planned the study. JA and DH provided the data sources. TT led
622 model development and data analysis with support from JA. All authors contributed to
623 writing of the manuscript.

624 **Data Availability Statement**

625 The landings data and vessel registration data utilized for this study are confidential
626 fisheries data and cannot be shared by the authors. They can be obtained through PacFIN
627 <https://pacfin.psmfc.org/home/> upon completion of a data non-disclosure agreement. Data
628 from the 2017 fisheries participation survey are also confidential and cannot be shared by
629 the authors. Summary results by state and county are available online at
630 [https://www.fisheries.noaa.gov/national/west-coast-fisheries-participation-survey-](https://www.fisheries.noaa.gov/national/west-coast-fisheries-participation-survey-results)
631 [results](https://www.fisheries.noaa.gov/national/west-coast-fisheries-participation-survey-results). Individual level data can be obtained subject to a data access agreement with NOAA,
632 Northwest Fisheries Science Center (contact dan.holland@noaa.gov).

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Supplemental Tables

Sample SOC crosswalk		
SOC Code	SOC Title	Sample open ended responses
47-0000	Construction and Extraction Occupations (n = 127)	“Construction”, “Carpentry”, “Builder”, “Handyman”, “Plumbing”, “Electrician”.
53-0000	Transportation and Material Moving Occupations (n = 66)	“Trucking”, “Driver”, “Pilot”, “Boat captain”, “Warehouse”, “Boat operator”.
11-0000	Management Occupations (n = 63)	“Rental income”, “Rentals”, “Ran farmers market”, “Built websites”, “Own a fishing lodge”, “Own a hotel”, “Restaurant owner”.
45-0000	Farming, Fishing, and Forestry Occupations (n = 61)	“Farming”, “Forester”, “Timber”, “Logging”, “Sport fishing”, “Fishing rod building”, “Agriculture”, “Aquaculture”, “Fish and wildlife conservation”.
All others.	Other (n = 259)	“Real estate”, “Sales”, “Teacher”, “Barber”, “Firefighter”, “Radiology”, “General contractor”.

Table S.1: Examples of open-ended survey responses and our associated SOC occupation codings.

Supplemental Figures

29. Over the last 3 – 4 years: what percentage of the income you personally contributed to your household was from work other than commercial fishing? _____ %

30. If more than 0% in question 29, what type of non-fishing work did you do in this period?

31. In recent years how often do you personally earn non-fishing income in each quarter?

January-March

- Always
- Mostly
- Sometimes
- Never

April-June

- Always
- Mostly
- Sometimes
- Never



July-September

- Always
- Mostly
- Sometimes
- Never



October-December

- Always
- Mostly
- Sometimes
- Never

Figure S.1: Key NFI related survey questions.

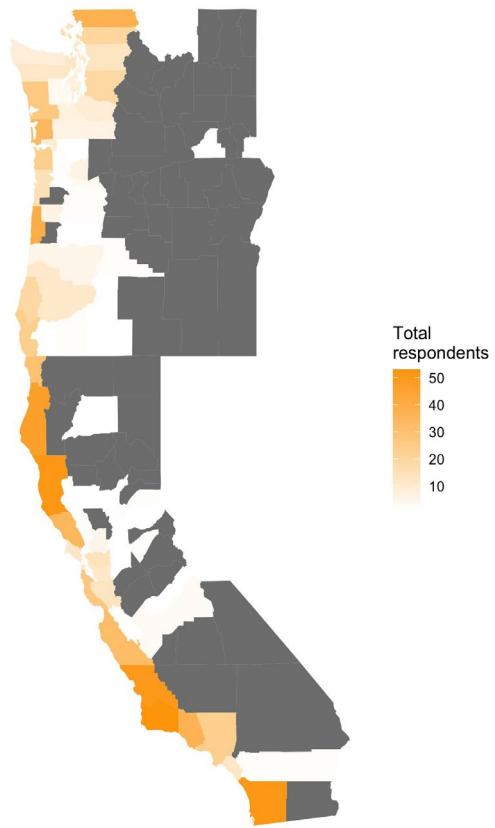


Figure S.2: Mapping of the total number of respondents in each county in California, Washington, and Oregon from our sub-sample used in model 4 of our stage 1 regression ($n = 981$).