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2 **The use of a novel method of quantifying sperm to determine the**  
3 **potential for sperm depletion in male American lobsters *Homarus***  
4 ***americanus* (H. Milne Edwards, 1837) (Decapoda: Astacidea:**  
5 **Nephropidae)**

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## ABSTRACT

Sperm limitation is a concern for a number of heavily fished decapods; however, work to assess this concern is sometimes hampered by a lack of simple techniques to quantify sperm transferred during reproduction. Our primary goal was to determine if DNA measurements could be used to quantify the sperm content of spermatophores and thus facilitate investigations of sperm limitation in American lobsters (*Homarus americanus* H. Milne Edwards, 1837). This was achieved by measuring the amount of DNA in a sample and then calibrating those values by using flow cytometry to count the number of individual sperm present in the sample. Our results show that the DNA quantification technique provides a fast and accurate way to quantify sperm. We then demonstrated the utility of the method by using it to examine the rate at which males can produce sperm under simulated conditions of repeated mating events, a situation that might lead to a reduction in the number of sperm per spermatophore. While spermatophores obtained from male lobsters at three-day intervals varied substantially in the number of sperm they contained (range 427,090–5,028,996; mean 2,306,473), there was no clear decline in sperm count over time. These results suggest that male lobsters replenish their sperm supplies rapidly, and that sperm recharge rate is unlikely to be a factor that could lead to sperm limitation in American lobster populations.

**Key Words:** commercial fisheries, DNA quantification, electroejaculation, mating, sperm limitation, spermatophore

## INTRODUCTION

46  
47 Sperm limitation occurs when the number of eggs requiring fertilization exceeds the number of  
48 sperm available to fertilize them (Levitan & Petersen, 1995). This may occur if too few males are  
49 available as potential mates, the males present are incapable of producing sufficient quantities of  
50 sperm to fertilize the female's entire clutch of eggs, or the sperm passed are of poor quality  
51 (MacDiarmid & Butler, 1999). Fished decapods may be particularly vulnerable to sperm  
52 limitation because harvesting often leads to the removal of larger males that are capable of  
53 contributing the most sperm to the population (Sainte-Marie *et al.*, 2008; Sato, 2012; Pardo *et al.*,  
54 2015; Ogburn, 2019).

55 Estimating the number of sperm that males in the population may be able to transfer is a  
56 key metric in determining whether sperm limitation is occurring, yet relatively little research has  
57 been done on this topic in decapods (Sainte-Marie, 2007; Ogburn, 2019), due in part to the  
58 technical challenges inherent in successfully extracting and enumerating sperm cells. Most  
59 previous efforts to quantify sperm in decapod ejaculates focused on manually counting them  
60 using hemocytometers or spermacytometers (Wolcott *et al.*, 2005; Butler *et al.*, 2011; Rains *et*  
61 *al.*, 2016). An alternative approach that was used by Pugh *et al.* (2015) involved measuring the  
62 size of individual sperm cells, using histological sections of spermatophores to estimate the total  
63 volume occupied by sperm, and extrapolating total counts from these volumes. Each of these  
64 methods requires subsampling of the spermatophore to make quantification manageable, and the  
65 whole process can be very time-consuming, especially when analyzing many samples. Another  
66 approach that has been used is counting sperm cells with flow cytometers, which provide both  
67 rapid and accurate counts of sperm cells by passing fluorescently stained sperm cells through a  
68 detector that measures fluorescence (Christensen *et al.*, 2004; Cournault & Aron, 2008; Dufresne

69 *et al.*, 2019). Unfortunately, flow cytometers are expensive and thus may not be readily available  
70 to all researchers. We therefore set out to develop an improved method for quantifying the  
71 number of sperm in a lobster's spermatophore in order to improve our ability to study the  
72 reproductive capacity of species thought to be at-risk for sperm limitation.

73         Recent advances in genomics and molecular biology have now made it possible to  
74 rapidly and inexpensively measure the quantity of DNA in a sample. Furthermore, because each  
75 sperm cell contains a single copy of the genetic material of the father, it is possible to use the  
76 amount of DNA in a sample to calculate the number of sperm present (Hines *et al.*, 2003; Doyle  
77 *et al.*, 2011). An analogous approach has been used in lobsters to determine if early stage eggs,  
78 obtained before they have started to divide, have been successfully fertilized (Johnson *et al.*,  
79 2011). We sought to adapt DNA quantification to develop a fast and accurate method for  
80 quantifying the number of sperm cells in the spermatophores of the American lobster *Homarus*  
81 *americanus* (H. Milne Edwards, 1837).

82         *Homarus americanus* supports the most lucrative single-species fishery in the United  
83 States ([foss.nmfs.noaa.gov](http://foss.nmfs.noaa.gov)). While not a single-sex fishery, mature females are  
84 disproportionately protected from harvest compared to males, which can result in conditions that  
85 may put them at risk for sperm limitation (Cobb, 1995; Pugh, 2014; ASMFC, 2015; Jury *et al.*,  
86 2019). The mating system is based on female choice, with a preference for a dominant male, and  
87 males guard the female pre- and post-copulation for a period of several days (Atema, 1986;  
88 Atema & Steinbach, 2007). Male lobsters can mate with several females sequentially (Waddy *et al.*  
89 *et al.*, 2017), however, the rate at which sperm can be produced may limit the amount transferred to  
90 females later in the mating sequence. Consequently, female lobsters in heavily exploited  
91 populations may be increasingly challenged to find a male capable of providing enough sperm to

92 fully fertilize their egg clutches (Gosselin *et al.*, 2003, 2005; Tang *et al.*, 2019). Situations of this  
93 type have been observed in other decapod species subject to sex-selective harvest (Hines *et al.*,  
94 2003; Carver *et al.*, 2004; Sato *et al.*, 2005; Sato, 2012; Pardo *et al.*, 2015, 2017). Although  
95 lobster resource managers often focus on conserving females and boosting egg output (see for  
96 example ASMFC American Lobster Board proceedings August 2017;  
97 [http://www.asafc.org/uploads/file/59f0fb52AmLobsterBoardProceedings\\_Aug2017.pdf](http://www.asafc.org/uploads/file/59f0fb52AmLobsterBoardProceedings_Aug2017.pdf)), the  
98 efficacy of such measures would be limited if a sperm-limited situation exists. An improved  
99 ability to directly measure the number of sperm produced by male lobsters would provide an  
100 important tool to better understand the reproductive capacity of a population, and assess whether  
101 sperm limitation may be occurring.

102         The ejaculate of *H. americanus* is morphologically typical of nephropid lobsters,  
103 consisting of a single, tubular spermatophore made up of the tightly packed sperm mass  
104 surrounded by acellular layers that provide protection and energy reserves for the sperm (Fig. 1;  
105 Kooda-Cisco & Talbot, 1982; Subramoniam, 1993; Comeau & Benhalima, 2018). For clarity, we  
106 refer to this entire ejaculated structure as the spermatophore. During mating, the spermatophore  
107 is transferred to the female's seminal receptacle, where it is stored for several months until it is  
108 used to externally fertilize eggs that are subsequently attached to the female's abdomen (Aiken *et*  
109 *al.*, 2004). The internal storage of the spermatophore within the female's seminal receptacle  
110 makes it very difficult to estimate the amount of sperm passed during mating. Histological  
111 examination of the seminal receptacle's contents can yield an estimate of sperm volume (Pugh,  
112 2014), but a simpler approach to assessing the number of sperm males can produce is to procure  
113 spermatophores directly from the male via electroejaculation (Kooda-Cisco & Talbot, 1983;  
114 Pugh *et al.*, 2015). Spermatophores obtained this way are morphologically normal and can be

115 used for artificial insemination, suggesting little difference between those obtained “on demand”  
116 and those extruded during mating (Aiken *et al.*, 1984). Because lobsters produce sperm  
117 continually and are capable of mating year-round, electroejaculation can provide viable sperm  
118 samples throughout the year (Aiken & Waddy, 1986; Waddy *et al.*, 1995; Comeau & Benhalima,  
119 2018).

120 We first developed and tested a DNA-based method for estimating the number of sperm  
121 within a spermatophore. We then used this method to estimate the number of sperm typically  
122 contained within a given volume of sperm mass, which then provided us with a tool to calibrate  
123 previous, and future, volume-based estimates of male fecundity. We subsequently demonstrated  
124 the utility of this method with an experiment designed to determine if sperm reserves can be  
125 depleted by repeated simulated mating events (electroejaculations) under controlled conditions in  
126 the laboratory.

127

## 128 MATERIALS AND METHODS

### 129 *Sperm quantification technique*

130 Male lobsters ( $N = 10$ , carapace length (CL) 73–93 mm) were trapped off the coast of New  
131 Hampshire and Massachusetts, US by personnel from the University of New Hampshire (UNH),  
132 New Hampshire Fish & Game, and/or Massachusetts Division of Marine Fisheries, and held in a  
133 recirculating tank on the UNH main campus (Durham, NH, USA) from May through September  
134 2018. Lobster health was assessed visually to ensure no shell diseased males were included.  
135 Spermatophores were obtained by electroejaculation (Fig. 1) following the methods of Kooda-  
136 Cisco & Talbot (1983), and immediately transferred to 1 ml of 0.33 M  $MgCl_2$  prior to processing.  
137 The  $MgCl_2$  was used to reduce the incidence of spontaneous acrosomal reactions caused by the

138 presence of calcium in seawater (Talbot & Chanmanon, 1980a), and to inhibit the textural  
139 changes of the spermatophore's outer plug material, which commonly occur following contact  
140 with seawater (Subramoniam, 1993; Waddy *et al.*, 2017).

141 A total of 11 spermatophores were collected from the 10 lobsters (one lobster was  
142 sampled a second time, two weeks after the first sampling). Only one spermatophore was  
143 collected per sampling event; if spermatophores were produced from both gonopores, one was  
144 randomly selected for processing and the other was discarded. Forceps were used under a  
145 dissecting microscope while the spermatophore was in the MgCl<sub>2</sub> solution to remove as much of  
146 the acellular plug material as possible from each spermatophore to ensure the sperm were free to  
147 disperse in the solution. The remaining sperm mass, diluted in 0.33M MgCl<sub>2</sub>, formed the stock  
148 solution, from which we created a series of dilutions to provide a range of concentrations of  
149 sperm. The stock solution was vortexed for several seconds then split into either four  
150 concentrations (100%, 75%, 50%, and 25%), or three concentrations (100%, 67%, and 33%), by  
151 diluting samples of the stock solution with 0.33M MgCl<sub>2</sub> to a final volume of 240 µl. This  
152 process yielded a total of 42 distinct subsamples that were used to construct a calibration curve  
153 of the relationship between the amount of DNA in a sample and the number of sperm cells  
154 present. From each of these diluted subsamples, 150 µl was used for DNA quantification, and 72  
155 µl was used to count the number of sperm cells present using flow cytometry. The remainder of  
156 the subsample was held in reserve to allow samples to be rerun or examined microscopically.  
157 The cell counts from the flow cytometry served as the "real" sperm counts against which we  
158 calibrated the DNA measurements (see below).

159 To quantify the DNA present, each 150 µl subsample was sonicated for ~10 seconds to  
160 break up any small remaining portions of the sperm plug, further diluted 1:1 with deionized

161 water and vortexed for ~5 seconds. The osmotic shock from the addition of the deionized water  
162 served to lyse the sperm cells and enhance DNA yield during the extraction process. Following  
163 dilution with deionized water, a GenElute Mammalian Genomic DNA Miniprep Kit (Sigma-  
164 Aldrich; St. Louis, MO, USA) was used to extract DNA from 150  $\mu\text{l}$  of each sample, following  
165 the kit protocol for “fresh whole blood.” This extracted DNA was then immediately quantified  
166 using a Qubit 2.0 fluorometer with the Qubit dsDNA HS (high sensitivity) assay (Thermo Fisher;  
167 Waltham, MA, USA).

168         A 72  $\mu\text{l}$  subsample was used for flow cytometry to generate sperm cell counts. The  
169 sample was stained with 8  $\mu\text{l}$  of acridine orange (1:10,000 acridine orange in 1% Tween-80  
170 (Thompson & Hunt, 1966)), which binds with the DNA present in the cell. A volume of 40  $\mu\text{l}$  of  
171 the stained sample was further diluted in 960  $\mu\text{l}$  of 0.33M  $\text{MgCl}_2$  and run through a Becton-  
172 Dickinson FACScalibur flow cytometer (BD Biosciences; San Jose, CA, USA) at a flow rate of  
173 60  $\mu\text{l min}^{-1}$ . The cytometer used a 488 nm laser to excite fluorescence, which was measured  
174 between 515 and 545 nm, allowing the cytometer to count the stained sperm cells as they passed  
175 through the detector. The amount of time taken to reach 10,000 total detections was multiplied  
176 by the flow rate to yield the volume of sample measured. The output from the flow cytometer  
177 was analyzed in Flowing Software 2.5.1 (Perttu Terho, <http://flowingsoftware.btk.fi>) using  
178 fluorescence intensity, forward scatter, and side scatter parameters to exclude detections of  
179 cellular debris or plug material remaining in the sample. The number of sperm cells detected was  
180 then divided by the volume of sample analyzed to yield the concentration of sperm cells in the  
181 sample.

182         We used linear regression through the origin (i.e. intercept term set to 0) to determine the  
183 relationship between the concentration of sperm cells (determined by the flow cytometer) and the



184 concentration of DNA present (determined by fluorometry). The resulting equation could then be  
185 used to predict the number of sperm present in a sample containing a given amount of DNA.  
186 Regression through the origin was chosen as the most appropriate measure of the relationship as  
187 the sperm cells themselves are the only cellular material in a spermatophore, and thus there  
188 should be no DNA present beyond that contained within the sperm cells (Kooda-Cisco & Talbot,  
189 1982; Eisenhauer, 2003).

190 All statistical analyses were conducted in SPSS 26 (IBM; Armonk, NY, USA), unless  
191 otherwise specified. Residual plots were inspected for all parametric tests to ensure that the data  
192 satisfied the assumptions of normality and homoscedasticity.

193

#### 194 *Estimation of the number of sperm per volume of sperm mass*

195 Spermatophores were obtained via electroejaculation from seven additional lobsters (captured as  
196 described previously; CL 72–92 mm) and immediately cut into transverse sections 1–2 mm long  
197 ( $N = 25$  segments), using dissecting scissors under a dissecting microscope. Each section was  
198 illuminated using transmitted light and photographed using an Olympus DP21 digital camera  
199 (Olympus; Tokyo, Japan) mounted on the microscope, then transferred to  $MgCl_2$  for DNA  
200 extraction and quantification as described above. The photographs were analyzed using the  
201 measurement tools of Fiji (Schindelin *et al.*, 2012) to model the volume of the sperm mass within  
202 each section as a series of truncated cones (Supplementary material Fig. S1). Although this  
203 approach assumes a circular cross-section for the packed sperm rather than the trefoil section  
204 actually present (Kooda-Cisco & Talbot, 1982), it allows for changes in the width of the sperm  
205 mass along the length of the spermatophore section and can be estimated from a single image. At  
206 the end of this process, for each of the 25 sections of sperm mass, we knew the volume, as

207 calculated from the photographs (Supplementary material Fig. S1), and the number of sperm, as  
208 calculated using the DNA quantification method described above. A linear regression was then  
209 applied to these data to allow us to determine the number of sperm in a given volume of the  
210 sperm mass portion of a spermatophore. Prior to pooling data from different individuals for this  
211 regression, an ANCOVA was conducted to ensure that the relationship between sperm count and  
212 sperm mass volume was consistent between individual males.

213

#### 214 *Test of sperm depletion*

215 The new quantification technique described above was then used to test the potential for sperm  
216 limitation due to repeated matings by using repetitive electroejaculations to simulate a series of  
217 mating events. We hypothesized that the number of sperm present in each spermatophore would  
218 decline over the course of several sampling times due to the need to produce new sperm to  
219 replenish the sperm passed during mating. During June 2019, early in the typical mating season,  
220 male lobsters were collected from New Hampshire waters as described previously. These  
221 lobsters ( $N = 12$ , 72–82 mm CL) were held in flow-through seawater tanks at the UNH Coastal  
222 Marine Laboratory (New Castle, NH, USA) for one week prior to beginning the experiment to  
223 ensure no mating activity had occurred immediately prior to the experiment. Water temperatures  
224 during this period ranged 9–16 °C. Lobsters were fed herring (*Clupea harengus* Linnaeus, 1758)  
225 *ad libitum* every other day throughout the experiment. Lobsters were stimulated to produce a  
226 spermatophore on day 0, and then again after 3, 6, and 12 d. The three- day interval was chosen  
227 because male lobsters commonly cohabit with a female for several days before and after  
228 mating (Atema, 1986), and thus intervals of several days between mating events are common  
229 (Waddy *et al.*, 2017). Both the left and right gonopores of each lobster were shocked at each time

230 period, but for consistency, only spermatophores produced by the left gonopore were collected  
231 for analysis. The whole spermatophore was sonicated and used for DNA extraction. Samples  
232 were processed in triplicate to produce three separate measurements of DNA content, allowing  
233 failed extractions to be excluded from the analyses. The average amount of DNA present across  
234 the triplicate samples was used in the regression developed in the previous experiments to  
235 calculate the number of sperm present within each spermatophore. The number of sperm present  
236 per spermatophore at each time interval was analyzed in R 3.5.2 (R Core Team, 2018) and  
237 RStudio 1.1.463 (R Studio Team, 2016) using a Friedman test, blocking by individual lobster.

238

239

## RESULTS

### 240 *Sperm quantification technique*

241 A linear regression of cell counts from the flow cytometer against the quantity of DNA measured  
242 by the Qubit showed a significant linear relationship between the two measures in the 42  
243 subsamples used to construct the calibration curve ( $r^2 = 0.852$ ;  $F_{1,41} = 235.588$ ,  $P < 0.001$ ; Fig. 2).  
244 The regression yielded the following equation: no. of sperm =  $800,795.574 \times \mu\text{g DNA}$ . This  
245 equation was used to convert the quantity of DNA present in a sample to the number of sperm  
246 present for all subsequent analyses.

247

248 Quantifying the DNA content at different sample dilutions also provided a way to  
249 determine the reliability of the sperm quantification technique. When the DNA concentrations of  
250 the diluted samples were scaled up to reflect the original volume of the sample and compared  
251 with the undiluted samples (stock solution with a concentration of 100%), 10 of 26 (38%) of the  
252 diluted samples were within  $\pm 10\%$  of their respective 100% concentration DNA values, and 18

253 of 26 (69%) were within  $\pm 20\%$  of their respective 100% concentration DNA values. A paired t-  
254 test found no significant difference between the calculated original concentration of the diluted  
255 samples and their respective 100% concentration samples ( $t = 1.082$ ,  $df = 27$ ,  $P = 0.289$ ). The  
256 DNA quantification technique thus appears to be reliable and insensitive to the dilution process.

257

#### 258 *Estimation of the number of sperm per volume of sperm mass*

259 The ANCOVA testing whether the number of sperm varied consistently with the volume of 24  
260 sperm mass segments collected from seven male lobsters found that, while the intercept terms for  
261 individual males differed, there were no differences in the slopes of the relationships (Table 1).

262 The 24 segments of spermatophore were therefore pooled for inclusion in a single regression.

263 There was a significant linear relationship between the volume of the sperm mass within discrete  
264 spermatophore sections (as determined by image analysis) and the number of sperm present, as  
265 determined by DNA quantification ( $r^2 = 0.844$ ;  $F_{1,23} = 124.014$ ,  $P < 0.001$ ; Fig. 3). The equation  
266 for this regression was: no. of sperm =  $462,474 \times \text{mm}^3$  volume of sperm mass + 88,049.

267 Consequently, the estimated volume occupied by a single sperm cell in these samples was 2.162  
268  $\times 10^{-6} \text{ mm}^3$ .

269

#### 270 *Test of sperm depletion*

271 Of the 12 lobsters tested, 11 produced spermatophores at all four sampling intervals and were  
272 included in the analyses. No obvious trends were found in the number of sperm produced in the  
273 spermatophores obtained in the repeated samples (Fig. 4A). There were no significant  
274 differences in the number of sperm per spermatophore produced over time (Friedman Chi square  
275 = 2.89,  $df = 3$ ,  $P = 0.41$ , Fig. 4B). The number of sperm per spermatophore varied widely

276 between lobsters, from a minimum estimated value of 427,090 to a maximum estimated value of  
277 5,028,996 sperm within a single spermatophore, and even identically sized individuals produced  
278 spermatophores with dramatically different sperm counts (Fig. 4A, starred markers). Overall, the  
279 mean number of sperm per spermatophore was  $2,306,473 \pm 1,245,315$  (mean  $\pm$  SD,  $N = 44$ ).

280

281

## DISCUSSION

### *The use of DNA to quantify lobster sperm*

283 Using measurements of DNA to quantify the number of sperm cells present in a whole  
284 spermatophore, or a portion of one, appears to be an improvement over other techniques that  
285 have been used for the same purpose. It is faster and less expensive than using a handheld  
286 hemocytometer (G. Gnanalingam, personal communication), an expensive flow cytometer, or  
287 analysis of histological sections (TP and BG, personal observations). The cost to analyze each  
288 sperm sample using the method presented here is under \$5 (USD), after acquiring the required  
289 instrument (Qubit fluorometer). Although there is preparation time associated with the DNA  
290 extractions, many samples can generally be run in parallel and thus there is little additional time  
291 investment associated with processing more samples. It is also likely that the DNA method is  
292 more accurate, because it makes it possible to directly measure all sperm contained within a  
293 sample, rather than extrapolating the total amount of sperm present in a spermatophore based on  
294 measurements obtained from multiple subsamples.

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While the DNA quantification method we outline proved to have many advantages and  
provide accurate values, it could probably be improved with some minor modifications. While  
the extraction process is simple and involves a commercially available kit, it may not be  
thoroughly optimized for this application. The diploid genome size for *H. americanus* has been

299 measured to be  $9.49 \pm 0.2$  pg of DNA (Deiana *et al.*, 1999). The amount of DNA per sperm  
300 estimated from the data presented here, however, is markedly less, at 1.25 pg, corresponding to a  
301 diploid genome size of 2.50 pg of DNA. This may be the result of poor extraction efficiency. The  
302 “sticky” spermatophore of *Homarus* presents a challenge when attempting to isolate sperm cells.  
303 Further efforts to improve extraction efficiency are warranted, perhaps including investigating  
304 the use of the NaOH solutions that have been used previously to help dissolve anomuran crab  
305 spermatophores (Sato *et al.*, 2008).

306

### 307 *Comparisons with previous estimations of sperm in American lobsters*

308 We were able to determine the number of sperm in a given volume of the sperm mass of a  
309 spermatophore by combining image analysis of a section of a spermatophore with a  
310 measurement of the number of sperm contained within the portion using the DNA quantification  
311 technique. This procedure made it possible to then calculate the total amount of sperm in a whole  
312 spermatophore and then compare these values with previous estimates. Pugh *et al.* (2015)  
313 measured the volume of sperm masses within 48 spermatophores obtained from American  
314 lobsters as equal to 60–100 mm CL, and reported volumes ranging from 0–20 mm<sup>3</sup>. They then  
315 calculated, by dividing the total volume of the sperm mass by the volume of an individual sperm,  
316 that those spermatophores (not including those with no sperm) contained anywhere from  
317 1,002,181 to 107,364,726 sperm. A hypothetical 5 mm<sup>3</sup> sperm mass would therefore contain  
318 26,315,789 sperm. By comparison, our results (Fig. 3) suggest that a 5 mm<sup>3</sup> sperm mass would  
319 contain 2,400,419 sperm. This difference might be due to variability in estimates of the space  
320 occupied by a single sperm cell. We estimated the volume occupied by a single sperm cell, using  
321 the regression shown in Fig. 3, to be  $8.649 \times 10^{-6}$  mm<sup>3</sup>. This is larger than the value of  $1.34 \times$

322  $10^{-7}$  mm<sup>3</sup> that can be calculated for a sperm with a cylindrical body 20  $\mu$ m long and a diameter  
323 of 3  $\mu$ m (Talbot & Chanmanon, 1980b), or the volume of  $1.9 \times 10^{-7}$  mm<sup>3</sup> estimated by Pugh *et al.*  
324 (2020). It should be taken into account, however, that each sperm also possesses three spikes that  
325 extend out almost 40  $\mu$ m, and the sperm are oriented randomly within the sperm mass rather than  
326 packed in a maximally efficient manner (Kooda-Cisco & Talbot, 1982). While the volume  
327 occupied by the body of the sperm might be close to the values estimated by Talbot &  
328 Chanmanon (1980b) and Pugh *et al.* (2015), the total space occupied by an individual sperm cell  
329 is likely to be larger than would be expected based on the measurements of the sperm body alone.  
330 Given the random packing of sperm and the difficulty inherent in trying to estimate the volume  
331 occupied by both the sperm cell and its extensions, we thus believe the DNA-based approach is  
332 probably the most accurate method to estimate the number of sperm cells in a spermatophore.  
333 Furthermore, preliminary tests suggest that this method may also be a good way to quantify the  
334 number of sperm contained within a seminal receptacle (BG, unpublished data).

335

### 336 *Comparisons of sperm output between American lobsters and other decapods*

337 These new estimates suggest that the number of sperm per spermatophore produced by *H.*  
338 *americanus* is lower than in some other decapod species (reviewed in Sainte-Marie, 2007).  
339 Although the smaller adult male *H. americanus* tested here may only produce 2–3 million sperm  
340 per spermatophore, this greatly exceeds estimates of the number of eggs produced by females  
341 each time they spawn, typically  $\sim 10,000$  for females of an equivalent size to the males used, but  
342 can be up to nearly 100,000 in larger females (Estrella & Cadrin, 1995). This produces a  
343 sperm:egg ratio of  $\sim 200$ -300:1 for lobsters of the size tested here, which exceeds the sperm:egg  
344 ratios reported for some other decapods (Sainte-Marie, 2007; Butler *et al.*, 2011), assuming the

345 spermatophore is used for only one clutch of eggs. Female American lobsters, however, have  
346 been known to use a single spermatophore to fertilize more than one clutch of eggs (Waddy &  
347 Aiken, 1986), unlike spiny or rock lobsters, which have no long term spermatophore storage  
348 capacity. This high sperm:egg ratio in American lobsters is consistent with other decapod species  
349 that use stored sperm to fertilize multiple clutches (Carver *et al.*, 2004; Rodgers *et al.*, 2011).  
350 Furthermore, the lack of sperm depletion between successive extrusions of spermatophores  
351 (Waddy *et al.*, 2017; herein) suggests male *H. americanus* may be capable of greater  
352 reproductive output during a mating season than other decapods that may take weeks to months  
353 to recover sperm stores following mating (Kendall *et al.*, 2002; Sato *et al.*, 2005; Butler *et al.*,  
354 2015; Pretterebner *et al.*, 2019).

355

#### 356 *Sperm depletion and implications for mating in the American lobster*

357 Although there was no significant effect of sampling time across all lobsters in the test of sperm  
358 depletion, individual lobsters varied widely in the number of sperm produced per spermatophore  
359 (Fig. 4A). Of the 11 lobsters that produced spermatophores at all four sampling times, four  
360 produced more sperm in the last spermatophore than in the first, whereas two produced final  
361 spermatophores containing less than 75% of the sperm in the first one they produced. Even  
362 identically sized lobsters produced a range of sperm per spermatophore. For example, three 80  
363 mm CL lobsters produced averages of  $3.2 \times 10^6$ ,  $1.62 \times 10^6$ , and  $1.56 \times 10^6$  sperm per  
364 spermatophore, respectively (Fig. 4A, starred markers). All the lobsters tested were collected on  
365 the same day, from traps within a few miles of each other, and were held in the same flow-  
366 through ambient seawater system, and there were no obvious visible differences between the  
367 individuals that might explain these discrepancies. While it is clear that male lobsters produce



368 spermatophores that vary widely in terms of their size and in the number of sperm cells  
369 (consistent with the findings of Pugh *et al.*, 2015), the factors underlying these differences in  
370 spermatophore contents remain unclear, and this remains an important topic for future research.

371         Because only spermatophores from the left gonopore, and not the theoretically  
372 independent right gonopore, were collected throughout the experiment, depletion on one side  
373 would not necessarily prevent mating. It is unknown whether male lobsters use one gonopore  
374 preferentially, or use the two gonopores sequentially in successive matings. Regardless of  
375 whether the absence of sperm depletion across successive spermatophores is due to rapid  
376 recharge of sperm reserves, or reflects stored sperm in the vas deferens, these data suggest that  
377 male American lobsters are likely capable of inseminating at least eight females within the space  
378 of two weeks with little to no sperm depletion. The high potential reproductive output of male  
379 lobsters found here is consistent with previous reports suggesting that male lobsters are capable  
380 of mating with many females within a relatively short time window (Pugh, 2014; Waddy *et al.*,  
381 2017). The rate-limiting factor for reproduction is therefore probably the typical period of  
382 cohabitation with a female post-copulation. If multiple females undergo simultaneous molts, a  
383 single male may not mate with all the potentially receptive females present (Pugh, 2014; Waddy  
384 *et al.*, 2017; BG, unpublished data), despite having sufficient sperm to do so.

385         Even if male lobsters do not experience reductions in the number of sperm cells they are  
386 able to pass during mating, there may be reductions in the amount of seminal fluid or other  
387 accessory components of the spermatophore. These provide paternity assurance and protection,  
388 in the case of the sperm plug (Pugh *et al.*, 2015), and help maintain the viability of the sperm for  
389 the months between insemination and fertilization of eggs (Subramoniam, 1993; Comeau &  
390 Benhalima, 2018). Although we did not measure the amount of accessory materials present in

391 each spermatophore, others have indicated that reserves of sperm and accessory materials may  
392 regenerate at different rates (Kendall *et al.*, 2001; Sato *et al.*, 2005; Butler *et al.* 2015). The  
393 relative importance of sufficient accessory materials, compared with sperm count alone, in  
394 fertilization success for American lobsters remains unclear (Pugh *et al.*, 2015).

395         In a limited preliminary trial during the winter of 2018, three lobsters were sampled three  
396 times each over the course of 4 d (baseline, 2 d later, and 4 d later), and each experienced a  
397 nearly 50% decline in sperm count at each sampling (BG, unpublished data). Male lobsters  
398 produce sperm throughout the year, suggesting that seasonal variation is likely not the cause of  
399 this discrepancy (Kooda-Cisco & Talbot, 1983; Aiken & Waddy, 1986; Comeau & Benhalima,  
400 2018). It may instead be the result of a nutritional requirement that was not met for those animals  
401 because they were held in the laboratory for some time prior to the trial (Talbot *et al.*, 1983;  
402 Aiken *et al.*, 1984). Caution should therefore be taken if conducting studies of reproductive  
403 systems during times of the year when lobsters are not typically reproductively active and in an  
404 optimal nutritional state.

405         The apparent lack of sperm depletion in *H. americanus* stands in stark contrast to what is  
406 seen in some other decapod species, and deserves further study. Improved techniques, such as  
407 the one presented here, will fortunately make future studies of lobster reproductive physiology  
408 faster and easier and thus accessible to a broader group of scientists.

409

410

#### SUPPLEMENTARY MATERIAL

411 Supplementary material is available at *Journal of Crustacean Biology* online.

412 S1 Figure. Process used to model volume of sperm mass from photographs of spermatophores.

413

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425

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- 594

595 **List of tables**

596 **Table 1.** Results of a preliminary ANCOVA to determine whether regression coefficients  
 597 differed significantly between different males ( $N = 7$ ) when comparing the volume of the sperm  
 598 mass in a section of spermatophore with the number of sperm present, as determined by DNA  
 599 quantification. Though individual lobsters had significantly different intercepts, due to the  
 600 differences in sizes of the spermatophore segments used, there were no significant differences in  
 601 coefficients between males.

602

Source	df	MS	F	P
Volume	1	$5.573 \times 10^{11}$	108.06	<0.001
Lobster	6	$4.145 \times 10^{10}$	8.04	0.001
Volume $\times$ Lobster	5	$9.003 \times 10^9$	1.75	0.199
Error	12	$5.157 \times 10^9$		

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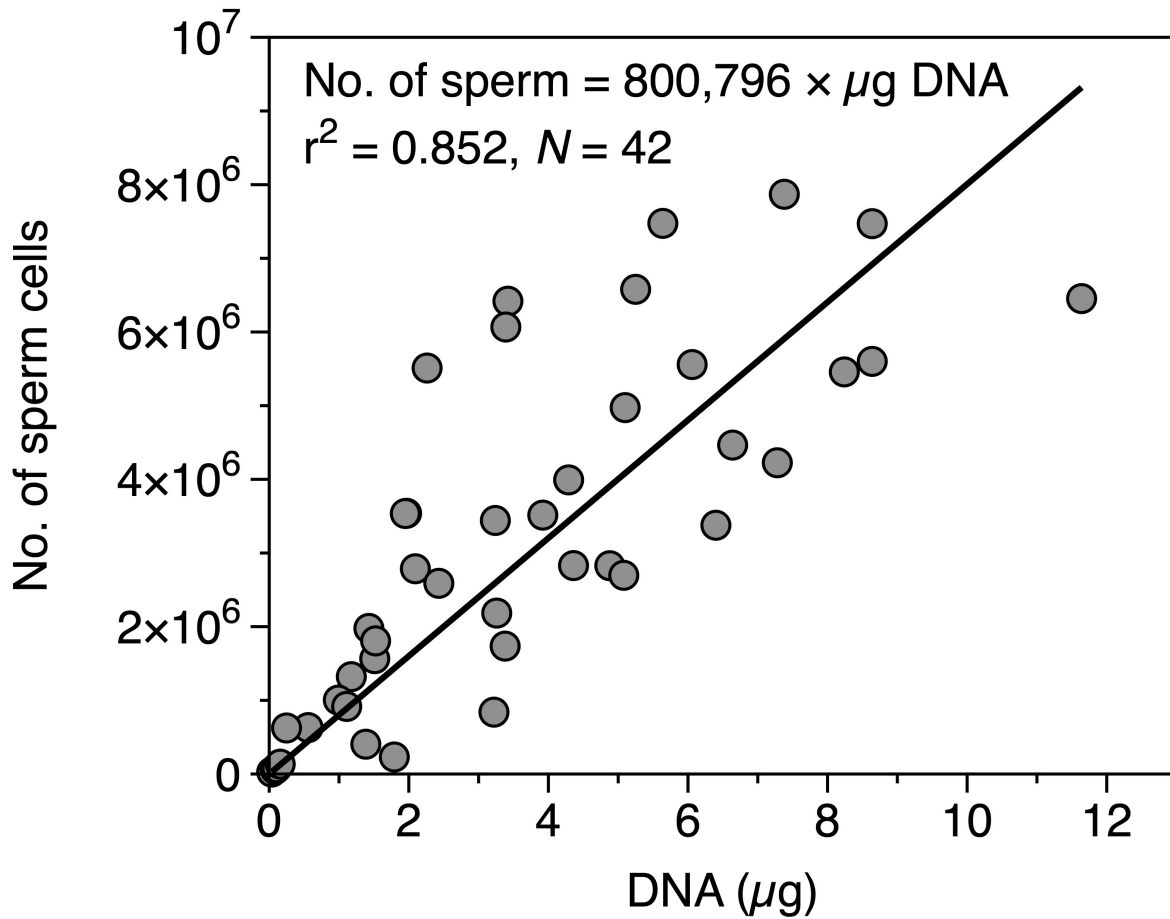
607

## 608 List of figures



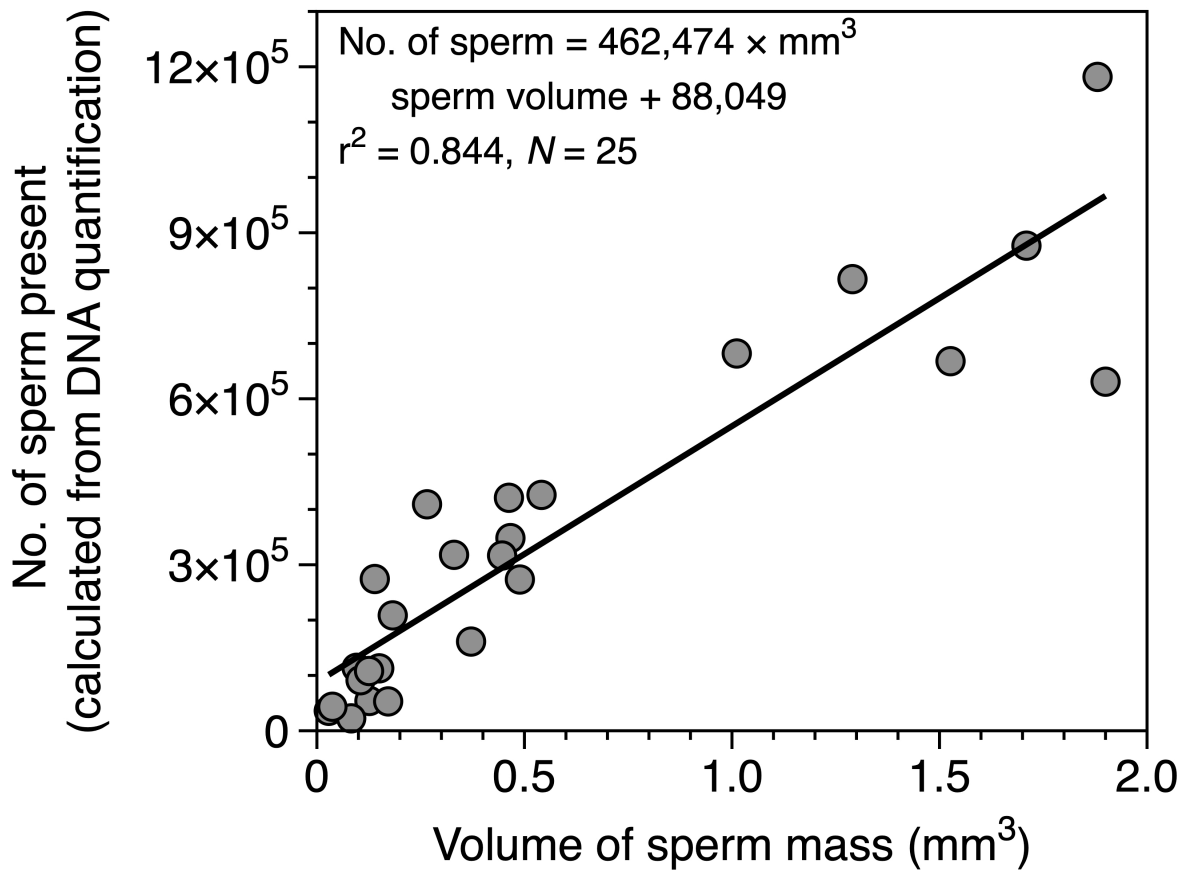
609

610 **Figure 1.** Spermatophores produced by two different male American lobsters *Homarus*  
611 *americanus*, showing the differences in shape and composition possible between spermatophores.  
612 Both lobsters were ~ 90 mm CL. The white material is the sperm, which is surrounded by layers  
613 of acellular material. Scale bars = 2 mm.



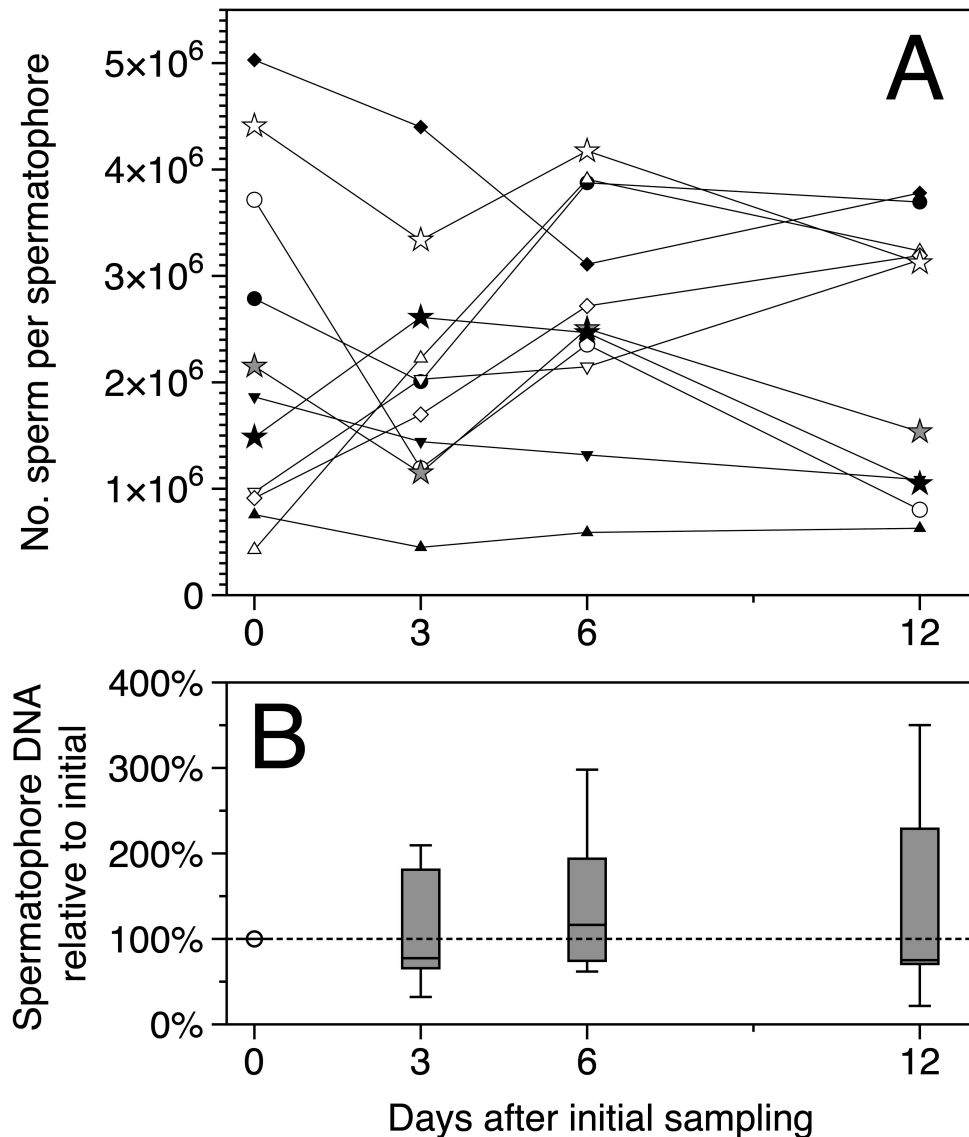
614

615 **Figure 2.** The relationship between the amount of DNA ( $\mu\text{g}$ ), measured by the Qubit system, and  
616 the number of sperm cells present, as measured by flow cytometry, in 42 subsamples taken from  
617 11 spermatophores.



618

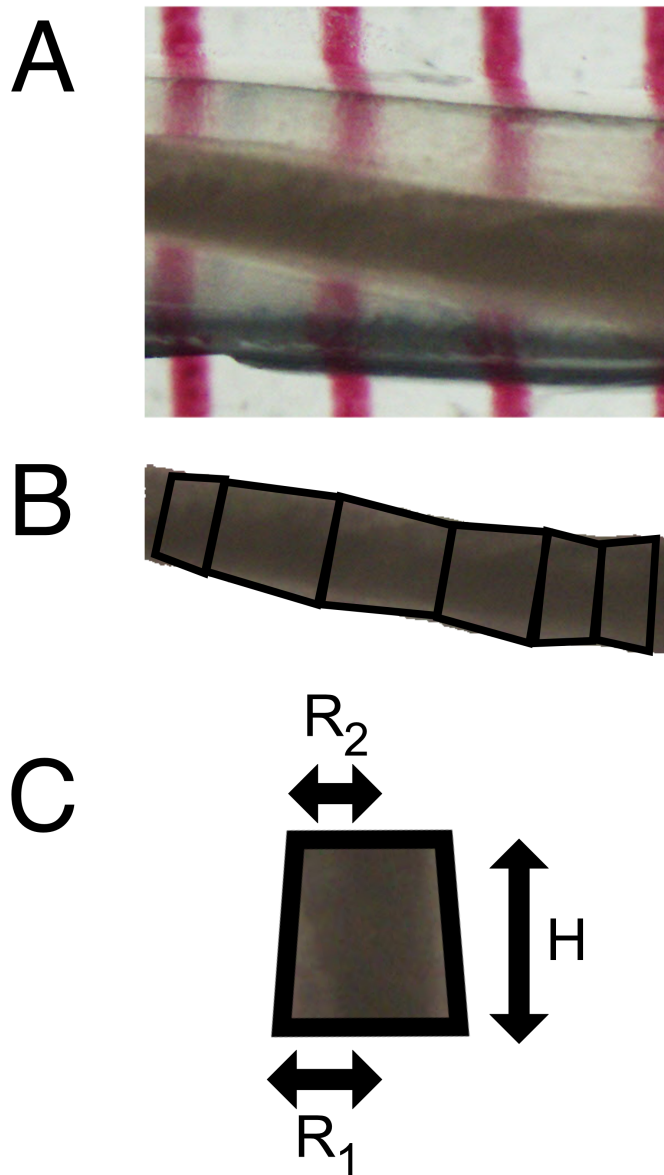
619 **Figure 3.** The relationship between sperm mass volume (determined by image analysis) and the  
620 number of sperm contained within the mass (determined by DNA quantification and the  
621 regression developed previously (see Fig. 2)).



622

623 **Figure 4.** Results of the test of sperm depletion, showing no significant differences between time  
 624 points in the number of sperm per spermatophore ( $N = 11$  lobsters; Friedman Chi square = 2.89,  
 625  $df = 3$ ,  $P = 0.41$ ). Scatter plot depicting variations in sperm counts between individual lobsters,  
 626 with each line representing a different lobster. Each point is the average of the triplicate DNA  
 627 measurements from the spermatophore produced at each time point. Star-shaped markers  
 628 indicate the results from three 80 mm CL lobsters, showing disparities in sperm count between  
 629 identically sized males (A). Box and whisker plot showing changes in DNA content (and thus  
 630 sperm) per spermatophore during the test of sperm depletion. Data were normalized to the initial  
 631 spermatophore DNA content for each lobster. Whiskers represent the extent of data within 1.5  
 632 IQR and the dashed line represents 100% of the initial concentration (no change) (B).  
 633

634 **Figure S1.** Demonstration of the process used to model sperm mass volume from photographs of  
 635 spermatophores. A photograph of a spermatophore, showing the central sperm mass surrounded  
 636 by acellular plug material. Red lines are 1 mm increments, used to calibrate measurements. Note  
 637 that the original photograph from which this was taken depicts the whole spermatophore (Fig. 1)  
 638 and this image has been cropped to better show details (A). The central sperm mass is extracted  
 639 from the photograph and divided into a series of isosceles trapezoids (B). For each trapezoidal  
 640 segment, measurements of three dimensions are made using Fiji (Schindelin *et al.*, 2012).  
 641 Bottom radius  $R_1$ , top radius  $R_2$ , and height  $H$  are used to calculate the sperm volume as a  
 642 truncated cone, using the equation  $\text{Volume} = \pi \times H \times ((R_1^2 + R_2^2 + R_1 \times R_2) / 3)$  (C).  
 643



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 645