



## RESEARCH LETTER

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## Key Points:

- The simulated ozone tendency due to chemistry is of the same order of magnitude but of the opposite sign than that due to dynamics
- The chemistry-driven change in the tropical ozone tendency is dominated by the gas-phase rather than heterogeneous chemistry
- The ozone tendency change due to heterogeneous chemistry is saturated when the injected SO<sub>2</sub> amount exceeds 2 Tg

## Supporting Information:

Supporting Information may be found in the online version of this article.

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## Perturbation of Tropical Stratospheric Ozone Through Homogeneous and Heterogeneous Chemistry Due To Pinatubo

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**Abstract** The Pinatubo eruption in 1991 injected 10–20 Tg SO<sub>2</sub> into the stratosphere, which formed sulfate aerosols through oxidation. Our modeling results show that volcanic heating significantly perturbs the heterogeneous and homogeneous chemistry including NO<sub>x</sub> and HO<sub>x</sub> catalytic cycles in the tropical stratosphere. The simulated tropical chemical ozone tendency is positive at 20 mb while negative at 10 mb in the tropics. The simulated ozone chemical tendency is of the same magnitude as the dynamical ozone tendency caused by the accelerated tropical upwelling, but with the opposite sign. Our study finds that the tropical ozone chemical tendency due to homogeneous chemistry becomes more important than heterogeneous chemistry 3 months after eruption. Sensitivity simulations further suggest that the tropical ozone tendency through heterogeneous chemistry is saturated when the injected amount exceeds 2 Tg.

**Plain Language Summary** In 1991, a large volcanic eruption injected 10–20 Tg SO<sub>2</sub> into the stratosphere and perturbed the stratospheric chemistry and dynamics. In this study, we use a climate model to quantify the chemical and dynamical influence of the volcano on tropical stratospheric ozone. Model suggests that the ozone chemical tendency is positive around 28 km while negative around 35 km. Our study also suggests that both heterogeneous and homogeneous chemical reactions contribute to the ozone anomalies. With sensitivity studies, we show that the tropical ozone changes due to heterogeneous chemistry is saturated if the injected amount exceeds 2 Tg.

### 1. Introduction

The eruption of Mt. Pinatubo in 1991 increased the global stratospheric aerosol loading by one order of magnitude for about 2 years. Satellite and in situ observations showed that the 1991 Pinatubo eruption depleted the tropical total column ozone (TCO) by 6%–8% (McCormick et al., 1995; Schoeberl et al., 1993), with a 20% local reduction 24–25 km above the Brazzaville site located at 4°S, 15°E (Grant et al., 1992). A TCO depletion of about 10%–15% was observed when the Pinatubo aerosols were transported to the Antarctic stratosphere in late September 1991, which was mainly a result of accelerated heterogeneous chemistry on the surface of Pinatubo sulfate aerosols and polar stratospheric clouds (Brasseur & Granier, 1992; Hofmann et al., 1992; Portmann et al., 1996; Solomon et al., 1993).

Tropical stratospheric ozone production and loss is governed by the Chapman cycle and the catalytic cycles involving active halogens (ClO<sub>x</sub>, BrO<sub>x</sub>), nitrogen (NO<sub>x</sub>) and hydrogen (HO<sub>x</sub>) (McCormick et al., 1995; Solomon, 1999). On the one hand, the enhanced aerosol surface area density (SAD) due to 1991 Pinatubo eruption provided reaction surfaces for hydrolysis of dinitrogen pentoxide (N<sub>2</sub>O<sub>5</sub>), which depletes NO<sub>x</sub> and suppresses ozone depletion via the NO<sub>x</sub> catalytic cycle (Aquila et al., 2013; Fahey et al., 1993; Solomon et al., 1996). On the other hand, reduction of NO<sub>x</sub> increases concentrations of ClO<sub>x</sub> and HO<sub>x</sub>, which promotes ozone depletion via the odd hydrogen catalytic cycles in the lower stratosphere (Granier & Brasseur, 1992; Hofmann & Solomon, 1989; Solomon et al., 1993; Tabazadeh et al., 2002; Tie & Brasseur, 1995).

The long-term radiative effect is mostly controlled by sulfate aerosol (Labitzke & McCormick, 1992; Stenchikov et al., 2021), while the short-term radiative effect is heavily influenced by the volcanic ash and SO<sub>2</sub> during the first weeks (Osipov et al., 2020; Stenchikov et al., 2021; Zhu et al., 2020). Because our study focuses on the long-term radiative effect, the short-term heating by the volcanic ash and SO<sub>2</sub> is not considered. Consequently, the tropical upwelling was accelerated (Aquila et al., 2012; Kinne et al., 1992; Pitari & Mancini, 2002) and the

latitudinal distributions of ozone and other chemical tracers were perturbed (Lin & Fu, 2013; Revell et al., 2012). In addition to the dynamical feedback, the reaction rates of catalytic cycles changed in response to stratospheric warming (Lippmann et al., 1980; Michael et al., 1981; Mozurkewich & Calvert, 1988; Van Doren et al., 1990). Previous studies have focused primarily on heterogeneous and radiative-dynamical effects (Aquila et al., 2013; Kilian et al., 2020; Muthers et al., 2015; Telford et al., 2009). The radiative-catalytic effect and its relative contribution to the tropical net ozone production anomalies have not been explicitly quantified.

Heterogeneous chemistry like the hydrolysis of  $\text{N}_2\text{O}_5$  on liquid sulfate aerosols is saturated when the aerosol SAD anomaly is above a certain threshold, which varies by region (Fahey et al., 1993; Prather, 1992). However, the radiative-catalytic effect is not limited by aerosol SAD directly. Therefore, the relative contributions of the heterogeneous reactions and radiative-catalytic cycles to the net ozone production anomalies depend on the injection amount. It is necessary to separate the radiative-catalytic effect from heterogeneous chemistry and radiative-dynamical effects to predict the ozone change resulting from volcanic or stratospheric aerosol injection (solar radiation management) scenarios with various injection amounts and locations.

In this study, we use the Community Earth System Model-Whole Atmosphere Community Climate Model (CESM-WACCM) to simulate the chemical and dynamical impacts on the ozone production rate due to the 1991 Pinatubo eruption. Satellites and in situ measurements of stratospheric aerosols and gaseous tracers (ozone and  $\text{SO}_2$ ) are compared against the model. With the model validated, we attribute the modeled tropical ozone net production anomalies to the heterogeneous reaction effect (denoted by  $S'_{\text{het}}$ ), the radiative-catalytic effect (denoted by  $S'_{\text{hom}}$ ) and the radiative-dynamical effect (i.e., acceleration of the Brewer-Dobson circulation (BDC), denoted by  $B'$ ). Finally, sensitivity simulations are performed to evaluate the relative contribution of the heterogeneous reactions and radiative-catalytic cycles to the ozone production rate.

## 2. Methods

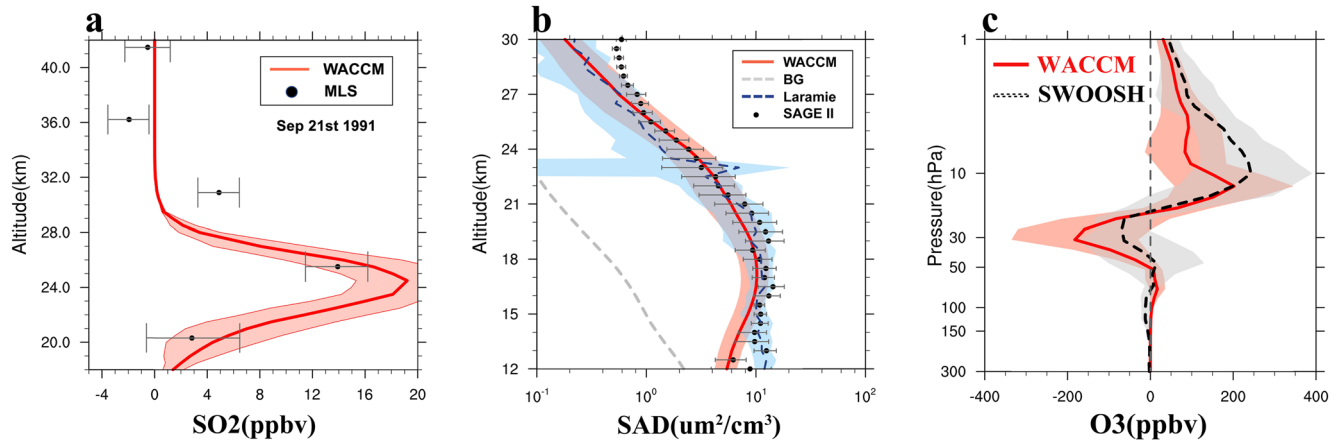
### 2.1. Model Experiments

We use the Community Earth System Model-Whole Atmosphere Community Climate Model, version 1 (CESM1-WACCM) (Hurrell et al., 2013) coupled with the three-mode version of the Modal Aerosol Model (Liu et al., 2012) to study the stratospheric ozone response to the Pinatubo eruption. Based on  $\text{SO}_2$  observations from the Total Ozone Mapping Spectrometer (TOMS) and the Television Infrared Observation Satellite (TIROS) satellites, we inject 12 Tg  $\text{SO}_2$  into the nearest grid cells between 12:00 and 18:00 UTC on 15 June 1991 in the “full” simulation scenario (Table S1 in Supporting Information S1) (Guo, Bluth, et al., 2004; Guo, Rose, et al., 2004; Mills et al., 2016). In this study, the injections of volcanic ash, halogen species and water vapor emission are not considered. We run the model from 1 January 1990 to 31 December 1995 with a 5-year model spin-up. The simulated three-dimensional wind is nudged (i.e., UV nudging, Davis et al., 2020; Schmidt et al., 2018) toward the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2) reanalysis (Gelaro et al., 2017). Shown in Figure S1 of the Supporting Information S1, the simulated magnitude and spatial distribution of the tropical residual velocity ( $w^*$ ) by CESM1-WACCM is similar to that calculated from MERRA-2. We also note that the nudged experiments don't resolve the full radiative and dynamical feedbacks from Pinatubo. The nudged simulations with (the “full” scenario) and without (the “novolc” scenario) Pinatubo are performed to calculate the anomalies of aerosols and ozone due to the Pinatubo eruption. Simulations with the radiation and heterogeneous chemistry turned off (“norad” and “nohet” scenarios, respectively) are performed to diagnose the relative contributions due to volcanic heating and increased aerosol SAD. Details of the model configurations are provided in Texts S1 and S2 in Supporting Information S1.

### 2.2. Residual Velocity and the TEM Continuity Equation

The BDC transports ozone poleward (Brewer, 1949; Dobson, 1956). In order to diagnose the zonal-mean change in stratospheric ozone due to Pinatubo, we use the Transformed Eulerian-Mean (TEM) formulation of the zonal-mean ozone continuity equation with spherical coordinates. Details of TEM continuity equation are provided in Text S3 of the Supporting Information S1.

According to the TEM continuity equation (Equation S9 in Supporting Information S1), the ozone anomalies due to Pinatubo relative to the climatology can be divided into three terms: (a) the BDC transport anomaly (denoted by



**Figure 1.** Comparison between the observed and simulated  $\text{SO}_2$  (ppbv), surface area density (SAD) ( $\mu\text{m}^2/\text{cm}^3$ ),  $\text{NO}_2$  percentage anomaly (%), and  $\text{O}_3$  (ppbv). (a) The simulated vertical distribution of the zonal averaged  $\text{SO}_2$  between  $10^\circ\text{S}$  and the equator on 21 September 1991 is shown by the red line. The red shading denotes the modeled variability (one standard deviation,  $\sigma$ ). The observations from Microwave Limb Sound are denoted by the black dots with error bars according to Read et al. (1993). (b) The vertical distribution of SAD observed by the balloon-borne optical particle counter at Laramie ( $41^\circ\text{N}$ ,  $105^\circ\text{W}$ ) averaged from June 1991 to May 1993 is shown by the blue dashed line. Observations by SAGE II near Laramie averaged between June 1991 and May 1993 are denoted by the black dots with error bars. Simulated SAD near Laramie averaged between June 1991 and May 1993 is denoted by the red line. Simulated SAD in the non-volcanic conditions is shown by the gray dashed line. Error bars and shading in this panel denote  $0.5\sigma$ . (c) The red line denotes the simulated ozone anomaly averaged between  $30^\circ\text{S}$  and  $30^\circ\text{N}$  from June 1991 to May 1993 relative to the simulated mean ozone concentrations between 1990 and 1995. The black dashed line shows the ozone anomaly in Stratospheric Water and Ozone Satellite Homogenized. The shading in this panel denotes  $0.5\sigma$  of this period.

$B'$ ), (b) the eddy transport anomaly ( $E'$ ) and (c) the chemical term anomaly ( $S'_{\text{chem}}$ ). In this study, we focus mainly on the anomalies of the chemical term ( $S'_{\text{chem}}$ ). We further separate  $S'_{\text{chem}}$  into two processes: (a) the heterogeneous chemical term due to increased SAD ( $S'_{\text{het}}$ ) and (b) the gas-phase chemical term in response to radiative-catalytic effect ( $S'_{\text{hom}}$ ). Note that ozone radiative feedback is included in both  $S'_{\text{het}}$  and  $S'_{\text{hom}}$  terms. The perturbation in photochemical rates due to actinic flux reduction is included in term  $S'_{\text{hom}}$ . Note that the non-linearity relationship does exist between  $S'_{\text{hom}}$  and  $S'_{\text{het}}$ , but it's less than 1% above 30 mb in the tropics (Figure S2 in Supporting Information S1). In this study, we focus on the tropical stratosphere above 30 mb, where the eddy ozone transport term is smaller than BDC and chemical terms in tropics above 30 mb (Miyazaki et al., 2005). The anomalies of the chemical and BDC terms are diagnosed by various simulation scenarios shown in Equations 1–4.

$$B' = B_{\text{full}} - B_{\text{novolc}} \quad (1)$$

$$S'_{\text{chem}} = S_{\text{full}} - S_{\text{novolc}} \quad (2)$$

$$S'_{\text{hom}} = S_{\text{full}} - S_{\text{norad}} - (S_{\text{novolc}} - S_{\text{BG}_\text{norad}}) \quad (3)$$

$$S'_{\text{het}} = S_{\text{full}} - S_{\text{nohet}} - (S_{\text{novolc}} - S_{\text{BG}_\text{nohet}}) \quad (4)$$

### 3. Results

As shown in Figure 1, the simulated vertical profiles of  $\text{SO}_2$ , aerosol SAD, and ozone are compared with satellite observations. Figure 1a shows that the altitudes of the maximum  $\text{SO}_2$  concentrations between  $10^\circ\text{S}$  and the equator on 21 September 1991 were around 24–25 km, which agrees with the Microwave Limb Sound observations. Figure 1b shows that the stratospheric aerosol SAD was elevated by one order of magnitude in both observations and simulations. Satellite observations by Stratospheric Aerosol and Gas Experiment II (SAGE II) and balloon-borne optical particle counters at Laramie ( $41^\circ\text{N}$ ,  $105^\circ\text{W}$ , Kovilakam & Deshler, 2015) show that the aerosol SAD averaged from June 1991 to May 1993 was about  $10 \mu\text{m}^2/\text{cm}^3$  between 12 and 20 km. The observed SAD decreased by over one order of magnitude from 20 to 30 km. Modeled stratospheric SAD was within the observed variability (0.5 times standard deviation) above 15 km. The model underestimated the observed SAD below 15 km by  $\sim 20\%$ . Compared with the simulation without Pinatubo, the stratospheric SAD near Laramie

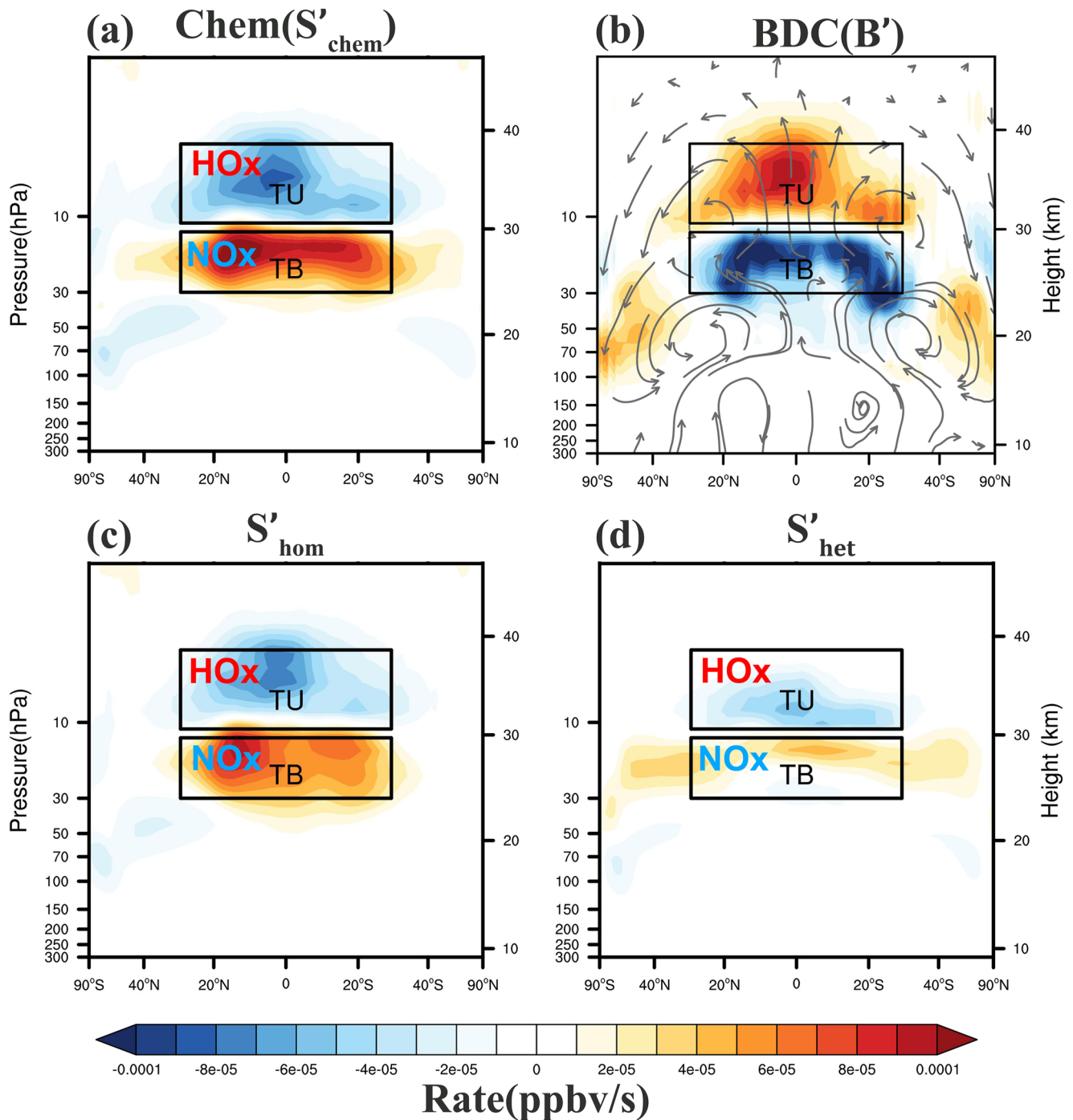
averaged from June 1991 to May 1993 was elevated by 1–2 orders of magnitude. As shown in Figure S3 of the Supporting Information S1, the poleward transport of Pinatubo aerosol optical depth in the mid-visible range was observed by the AVHRR satellite and simulated by the model.

Consistent with the Stratospheric Water and Ozone Satellite Homogenized (SWOOSH) data set (Davis et al., 2016), the simulated ozone anomalies averaged between 30°S and 30°N and from June 1991 to May 1993 exhibit a dipole distribution with positive ozone anomalies near 10 mb and negative anomalies near 30 mb (Figure 1c). Similar dipole distribution of ozone anomalies after 1991 Pinatubo eruption were observed by electrochemical concentration cell ozonesondes at Brazzaville, Congo (4°S, 15°E) (Grant et al., 1992); Boulder, Colorado (40°N) and Wallops Island, Virginia (38°N) (Hofmann et al., 1994). The observed tropical TCO and its temporal and spatial variabilities can be reproduced in our simulation (Figure S4 in Supporting Information S1). Shown in Figure S5 of the Supporting Information S1, similar TCO anomalies was also simulated by Telford et al. (2009). A temperature dipole distribution is also simulated by WACCM and captured in the MERRA-2 reanalysis data set (Figure S6 in Supporting Information S1). In addition, the NO<sub>x</sub> was depleted significantly via the hydrolysis of N<sub>2</sub>O<sub>5</sub> on the increased aerosol SAD (Aquila et al., 2013; Fahey et al., 1993; Solomon et al., 1996). Shown in Figure S7 of the Supporting Information S1, the simulated percentage anomalies of stratospheric NO<sub>2</sub> column density are within the observed variability (one standard deviation) of UV/Vis spectrophotometer observation at Lauder (Johnston & McKenzie, 1984) with the maximum depletion of about 40%–50% from October 1991 to June 1992. In summary, the simulation scenario “full” with 12 Tg SO<sub>2</sub> injection can capture the characteristics of aerosols, O<sub>3</sub>, NO<sub>2</sub>, and SO<sub>2</sub> after Pinatubo eruption.

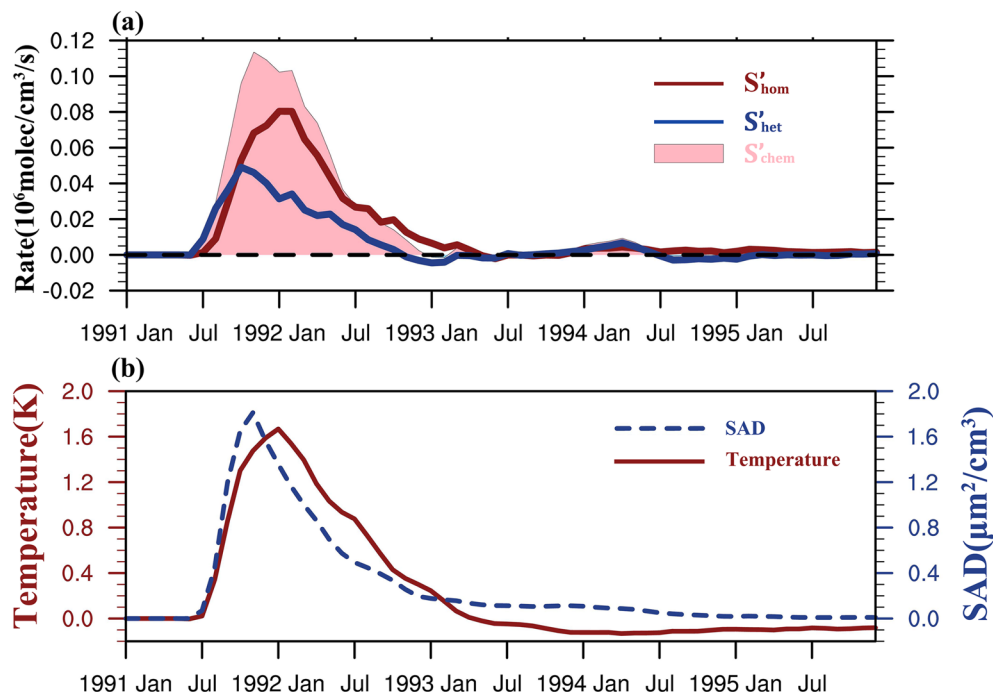
As shown in Figure 2, the simulated largest change in the ozone production rate (anomalies of chemical tendency  $S'_{\text{chem}}$  in the mixing ratio, with a unit of ppbv per second) due to Pinatubo aerosols occurred in the tropical stratosphere. A dipole of  $S'_{\text{chem}}$  is simulated, with positive  $S'_{\text{chem}}$  in the TB box (30–12.5 mb, 30°S–30°N) and negative  $S'_{\text{chem}}$  in the TU box (11–3.5 mb, 30°S–30°N). The positive ozone tendency in TB box is mainly due to NO<sub>x</sub> depletion, while the negative tendency in TU box is mostly resulted from HO<sub>x</sub> increase, respectively (Tilmes et al., 2018). The simulated anomaly in the midlatitude and polar regions are more visually obvious (Figure S8 in Supporting Information S1) when the rate anomaly expressed in concentration units (i.e., molecules/cm<sup>3</sup>/s). Consistent with previous studies (Tilmes et al., 2018), negative anomalies of ozone production rates are simulated in the Antarctic lower stratosphere, attributed mainly to heterogeneous chemistry, which releases reactive halogen species (Fahey et al., 1993; Solomon, 1999; Tilmes et al., 2008). In the tropical stratosphere (the TU and TB boxes in Figure 2), the ozone net production rate is dominated by catalytic cycles, including the reactive nitrogen cycle (NO<sub>x</sub>-cycle) in the TB box (Meul et al., 2014; Tilmes et al., 2009, 2018), while SO<sub>2</sub>/SO<sub>4</sub> effects are more important when the injection amount well exceeds that of Pinatubo (Osipov et al., 2021). An ozone chemical net production rate anomaly dipole is simulated, with a positive anomaly (i.e., net production) in the TB box and negative anomalies (i.e., net chemical loss) in the TU box (Figure 2a). We decompose the simulated chemical anomaly into two terms, one from the change in the catalytic cycle rate through radiative feedback ( $S'_{\text{hom}}$ ), shown in Figure 2c, and one from the change through heterogeneous reactions ( $S'_{\text{het}}$ ), shown in Figure 2d. Both of these terms display a dipole distribution with the same phase, similar to the total chemical term ( $S'_{\text{chem}}$ ). The simulated  $S'_{\text{hom}}$  is overall larger by a factor of 440% than  $S'_{\text{het}}$  and dominates the chemical production anomaly of ozone in the tropical stratosphere.

The warmed stratosphere due to Pinatubo volcanic heating can change the transport of chemical tracers (Lin & Fu, 2013; Revell et al., 2012) as well as the catalytic cycle reaction rates, which are temperature dependent (Lippmann et al., 1980; Michael et al., 1981). The NO<sub>x</sub> production rate slows down in the TB box through N<sub>2</sub>O + O<sup>1</sup>D as a result of the combined effect of a smaller rate constant ( $K_{\text{N}_2\text{O}+\text{O}^1\text{D}}$ ) in warmer conditions and decreased NO<sub>y</sub> due to accelerated BDC. The negative tendency of NO<sub>x</sub> slows the ozone loss reaction via the NO<sub>x</sub> catalytic cycle with the simulated positive  $S'_{\text{hom}}$ . In addition, volcanic heating slows the ozone production rate by decreasing  $K_{\text{O}+\text{O}_2}$  in warmer conditions. The anomaly of the chemical tendency via gas-phase chemistry ( $S'_{\text{hom}}$ ) is negative in the TU zone due to the faster HO<sub>x</sub> catalytic ozone loss cycle and the resultant slower ozone production rate.

For the  $S'_{\text{het}}$  term, the loss of NO<sub>x</sub> through the hydrolysis of N<sub>2</sub>O<sub>5</sub> suppresses the catalytic NO<sub>x</sub> ozone loss cycle, and consequently there is a positive ozone net chemical production rate in the TB box. In the TU box, the active hydrogen cycle (HO<sub>x</sub>-cycle) and active chlorine and bromine cycle (ClO<sub>x</sub> + BrO<sub>x</sub>) dominate (Lary, 1997; Tilmes et al., 2018). NO<sub>x</sub> is a sink for active hydrogen (HO<sub>x</sub>) and chlorine (ClO<sub>x</sub>) (Fahey et al., 1993; Rodriguez et al., 1994; Tie & Brasseur, 1995), and the loss of NO<sub>x</sub> via the heterogeneous reaction increases HO<sub>x</sub> and ClO<sub>x</sub>.



**Figure 2.** The simulated ozone tendency (ppbv/s) anomaly averaged from June 1991 to May 1993 due to various terms including gas-phase chemistry ( $S'_{\text{chem}}$ ), heterogeneous chemistry ( $S'_{\text{het}}$ ), and Brewer-Dobson circulation (BDC) transport ( $B'$ ). (a) The latitudinal (cosine weighted) and vertical distribution of the chemical process and the change in the ozone production rate (simulation scenario “full” minus “novolc”). (b) Same as (a) but for the BDC effect diagnosed by the Transformed Eulerian-Mean continuity equation (simulation scenario “full” minus “novolc”); the residual circulation anomalies are denoted by the streamlines. (c) Same as (a) but for the gas-phase chemistry (simulation scenario difference between “full” and “norad” minus the difference between “novolc” and “BG\_norad”). (d) Same as (a) but for the heterogeneous chemistry (simulation scenario difference between “full” and “nohet” minus the difference between “novolc” and “BG\_nohet”). Simulations for calculations in all panels are from the scenarios with and without 12 Tg  $\text{SO}_2$  injected. The black rectangular boxes denote the zones of interest in this study. The tropical bottom box (TB) covers the region of 30–12.5 hPa, 30°S–30°N; the tropical upper box (TU) covers the region of 11–3.5 hPa, 30°S–30°N.

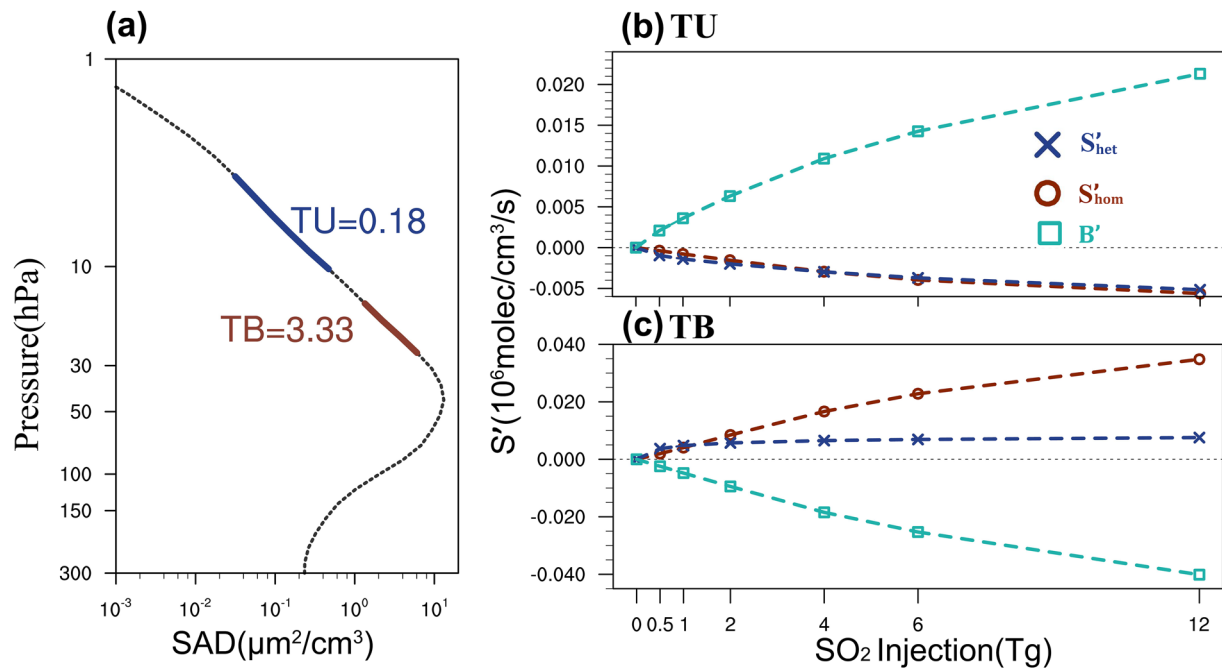


**Figure 3.** (a) Simulated monthly ozone production rate anomalies ( $\text{molec}/\text{cm}^3/\text{s}$ ) at 15 hPa over  $30^\circ\text{S}$ – $30^\circ\text{N}$  due to the gas-phase chemistry (simulation scenario difference between “full” and “norad” minus the difference between “novolc” and “BG\_norad”) is shown by the red line; The anomaly due to the heterogeneous chemistry (simulation scenario difference between “full” and “nohet” minus the difference between “novolc” and “BG\_nohet”) is denoted by the blue line; The anomaly due to the total chemical effect (simulation scenario “full” minus “novolc”) is denoted by the shadow; (b) Simulated temperature anomalies (K) at 15 hPa (simulation scenario “nohet” minus “BG\_nohet”) are denoted by red solid line; the aerosol surface area density anomalies ( $\mu\text{m}^2 \cdot \text{cm}^{-3}$ ) at 15 hPa (simulation scenario “norad” minus “BG\_norad”) are denoted by the blue dash line. Simulations for calculation in all panels are from the scenarios with and without 12 Tg  $\text{SO}_2$  injected.

ozone loss cycles. Similar ozone anomalies were found using simulations with and without Pinatubo SAD (Kilian et al., 2020; Muthers et al., 2015).

In Equation S9 in Supporting Information S1, the net ozone tendency is governed by the chemical term ( $S$ ), the BDC transport term ( $B$ ), and the eddy transport term ( $E$ ). BDC transports tropical ozone to higher altitudes and latitudes (Brewer, 1949; Dobson, 1956). As shown in Figure S6 of the Supporting Information S1, the stratosphere is heated globally, with a tropical lower stratosphere temperature anomaly of about 2 K averaged from June 1991 to May 1993. Similar to Aquila et al. (2013), Figure 2b shows that the simulated BDC is accelerated in response to volcanic heating. Anomalies of residual circulation are denoted by the streamlines showing the tropical particles upwelling and then moving poleward. The climatological ozone shows that the TB box is sort of ozone peak, and is greater compared with the TU box. The accelerated BDC transports richer ozone upward in the tropical stratosphere, with a negative ozone tendency in the TB box and a positive ozone tendency in the TU box. The simulated BDC-induced ozone tendency anomaly is of the same magnitude as the simulated chemical tendency shown in Figure 2a, but with the opposite phase.

Figure 3a compares the temporal distributions between  $S'_{\text{hom}}$  and  $S'_{\text{het}}$  in the TB box at 15 mb from January 1991 to December 1995. Consistent with the simulated temperature anomaly shown in Figure 3b,  $S'_{\text{hom}}$  reaches a maximum in late 1991 and early 1992 and decays to negligible levels 2 years after the Pinatubo eruption. The chemical tendency due to heterogeneous chemistry ( $S'_{\text{het}}$ ) grows rapidly in the first few months after Pinatubo erupts and decays to a negligible level by July 1993, when the volcanic sulfate aerosol SAD decays below  $0.1 \mu\text{m}^2/\text{cm}^3$ , as shown in Figure 3b. Shown in Figure 3a, about two thirds of the chemical change in ozone concentration at 15 mb (tendency integrated over time) is attributed by the change in gas-phase chemistry ( $S'_{\text{hom}}$ ); while the remaining one third is attributed by the change in the heterogeneous chemistry ( $S'_{\text{het}}$ ).



**Figure 4.** (a) The vertical distribution of surface area density (SAD) averaged from June 1991 to May 1993 over  $30^\circ\text{S}$ – $30^\circ\text{N}$ . The thick blue line and text denote the aerosol SAD in TU zone; the thick red line and text denote the aerosol SAD in TB zone. (b) The sensitivity of ozone production rate ( $\text{molec}/\text{cm}^3/\text{s}$ ) over TB box averaged from June 1991 to May 1993 to the amount of  $\text{SO}_2$  injected. The red circle denotes the change of ozone production rate due to gas-phase chemistry (simulation scenario difference between “full” and “norad” minus the difference between “novolc” and “BG\_norad”) with equivalent mass of  $\text{SO}_2$  injected; the change of heterogeneous chemistry (simulation scenario difference between “full” and “nohet” minus the difference between “novolc” and “BG\_nohet”) is shown by the blue cross; the ozone tendencies due to Brewer-Dobson circulation is shown by green squares. (c) Same as (b) but over TU box.

#### 4. Sensitivity Tests

Sensitivity simulations are conducted with various injected amounts of  $\text{SO}_2$  (i.e., 6.0, 4.0, 2.0, 1.0, and 0.5 Tg) to diagnose the dependence of  $S'_{\text{hom}}$  and  $S'_{\text{het}}$  on the simulated volcanic aerosols. As shown in Figure 4a, 98% of the 2-year averaged tropical SAD anomaly is located below 15 mb. In the TB box, the increasing rate of  $S'_{\text{het}}$  with the injection amount decreases quickly with injected amounts above 1 Tg (Figure 4b). The saturation of  $S'_{\text{het}}$  is because under high load of aerosol,  $\text{N}_2\text{O}_5$  is converted to  $\text{HNO}_3$  as fast as it can be formed. The net conversion rate of  $\text{NO}_x$  to  $\text{HNO}_3$  becomes independent of the aerosol loading (Fahey et al., 1993; Prather, 1992; Rodriguez et al., 1991; Solomon, 1999). A similar saturation threshold was reported by Berthet et al. (2017), in which changes to  $\text{NO}_x$  and  $\text{HNO}_3$  induced by the Sarychev eruption (0.9 Tg) were comparable to those of Pinatubo due to saturation. In contrast,  $S'_{\text{hom}}$  increase near linearly with injection amount. Our study suggests that in the TB box, both terms contribute to the net ozone chemical production rate anomaly nearly equally when the amount of injected  $\text{SO}_2$  is less than 2 Tg.  $S'_{\text{hom}}$  becomes increasingly dominant when the injected amount exceeds 2 Tg. The negative anomaly of the ozone tendency due to accelerated BDC ( $B'$ ) increases with injection amount and offsets the positive ozone tendency anomaly due to  $S'_{\text{hom}}$ . Shown in Figure 4b, in the TU box, where the  $\text{HO}_x$  cycle dominates, limited aerosol SAD anomalies are modeled (not saturated) compared with TB box in the Pinatubo scenario (Figure 4a). Both  $S'_{\text{het}}$  and  $S'_{\text{hom}}$  are negative and contribute nearly equally to the net ozone chemical production rate with various injection scenarios. The transport impact from the accelerated BDC ( $B'$ ) dominates the ozone tendency in TU box.

#### 5. Conclusions

The Pinatubo eruption in 1991 injected 10–20 Tg  $\text{SO}_2$  into the stratosphere and perturbed the stratospheric chemistry. The WACCM-MAM3 is used to study the contributions from gas-phase and heterogeneous chemistry to the anomalies of the stratospheric ozone tendency. Simulated anomalies of aerosols,  $\text{SO}_2$ ,  $\text{NO}_2$ , and ozone following the Pinatubo eruption are compared and validated against satellite and balloon-borne in situ measurements.

Model simulations with volcanic heating and heterogeneous chemistry turned on and off suggest that the largest ozone production rate anomalies occurred in the tropical stratosphere, with positive ozone production centered at  $\sim 10$  mb, and negative ozone production centered at  $\sim 20$  mb. The simulated dipole in the ozone production rate anomaly in the tropics is due to changes in both gas-phase chemistry (e.g.,  $\text{NO}_x$  and  $\text{HO}_x$  catalysis cycles) and heterogeneous chemistry (e.g.,  $\text{N}_2\text{O}_5$  hydrolysis). Previous studies show that heating from volcanic sulfate aerosols causes tropical upwelling and extratropical downwelling (Aquila et al., 2012, 2013). The accelerated tropical upwelling redistributes the stratospheric ozone, with a positive tendency at  $\sim 10$  mb and a negative tendency at  $\sim 20$  mb. The simulated chemical tendency of the ozone is of the same magnitude as the dynamical tendency, but opposite in sign. In the tropics, the ozone change due to enhanced BDC is dominant, and it can offset the chemical tendencies, showing ozone net loss in the TB box and ozone net gain in the TU box.

Our study finds that 3–5 months after the Pinatubo eruption, the tropical ozone chemical tendency due to volcanic heating is more important than that caused by heterogeneous reactions on the surfaces of sulfate. Sensitivity studies further suggest that the ozone tendency due to heterogeneous chemistry is saturated when the injected mass is greater than 2 Tg, while the ozone tendency due to the gas-phase chemistry as well as the BDC transport is not limited by saturation effect.

### Data Availability Statement

The publicly available data can be downloaded via the following URLs: The SWOOSH data can be downloaded via <https://csl.noaa.gov/groups/csl8/swoosh/>; SAGE II can be downloaded via <https://figshare.com/s/c48bba-68f5eafbbd7903>; the NDACC Measurements at the station in Lauder, New Zealand, can be downloaded via <https://figshare.com/s/c48bba68f5eafbbd7903>; the MERRA-2 forcing data can be downloaded via <https://rda.ucar.edu/datasets/ds313.3/>; the in situ aerosol measurements at Laramie can be downloaded via [http://www-das.uwyo.edu/~deshler/Data/Aer\\_Meas\\_Wy\\_read\\_me.htm](http://www-das.uwyo.edu/~deshler/Data/Aer_Meas_Wy_read_me.htm); the AVHRR satellite data can be downloaded via <https://www.ncei.noaa.gov/products/climate-data-records/avhrr-aerosol-optical-thickness>. Model simulations can be downloaded via <https://figshare.com/s/c48bba68f5eafbbd7903>.

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