

1 Effect of Temperature on Flathead Sole (*Hippoglossoides elassodon*) Spawning in the  
2 Southeastern Bering Sea During Warm and Cold Years

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19 Abstract

20 Between 2001 and 2013 the southeastern Bering Sea alternated between multi-year periods of  
21 relatively warm (2001-2005) and relatively cold (2007-2013) years. Adult Flathead Sole  
22 *Hippoglossoides elassodon* in the southeastern Bering Sea shift their spatial distribution pattern  
23 in relation to bottom water temperature indicating the importance of the thermal environment in  
24 determining fish habitat. The objective of this study was to infer Flathead Sole spawning area in  
25 the southeastern Bering Sea in May from ichthyoplankton surveys of their eggs using  
26 generalized additive models to assess how warm (2002, 2003, and 2005) and cold (2008, 2009,  
27 2010, and 2012) years affected the location of their spawning site. Three mechanisms through  
28 which temperature could affect egg distribution were investigated: 1) additively, assuming a  
29 similar effect of temperature across the spatial domain, 2) as a spatially variable term, assuming  
30 that the effect of temperature changes across locations, and 3) as a threshold effect on the spatial  
31 distribution of eggs, assuming the distribution of eggs changes abruptly in relation to the annual  
32 average temperature. The model including temperature as a spatially variable effect had the  
33 smallest AIC score and therefore was chosen as the best fit. This model showed that the effect of  
34 temperature on egg density varied across the study area and indicated a northeastward expansion  
35 of the spawning site as temperature increased. The area of expansion may correspond with the  
36 outer reach of a cold-water mass (called the cold pool) that extends in the Bering Sea middle  
37 domain during late spring and summer, and forms as a result of the previous winter ice coverage.  
38 Flathead Sole are known to avoid temperatures colder than 2°C that is also used as the boundary  
39 for the cold pool, thus spawning fish may have moved relative to the spatial extent of the cold  
40 pool.

41

42 Keywords  
43 Flathead Sole  
44 Hippoglossoides elassodon  
45 Bering Sea  
46 Spawning  
47 Temperature  
48

49 **1. Introduction**

50 Temperature affects many aspects of fish biology including spatial distribution of species,  
51 indicating the importance of the thermal environment in determining fish habitat (Mueter and  
52 Litzow, 2008; Spencer, 2008; Kotwicki and Lauth, 2013; González-Irusta and Wright, 2016a).  
53 Northward movements of bottom fish species in relation to warmer bottom water temperatures  
54 and southward movement due to colder temperatures have been shown for multiple species in the  
55 eastern Bering Sea (Mueter and Litzow, 2008, e.g., Flathead Sole, *Hippoglossoides elassodon*).  
56 Temperature can also alter location of spawning (Petrik et al., 2015; Ottosen et al. 2018). A  
57 biological-physical modeling study of the transport of eggs and larvae of Walleye Pollock  
58 (*Gadus chalcogrammus*) in the southeastern Bering Sea showed that differences in the spatial  
59 distribution of eggs and larvae between warm and cold years could be attributed to water  
60 temperature influencing spawning location (Petrik, 2015). There was a northeastward shift in the  
61 spawning location of Cod (*Gadus morhua*) in the northern spawning area of the Faroe Plateau in  
62 relation to increasing temperature (Ottosen et al. 2018). Additionally, Cod prefer areas where the  
63 temperature is between 5° to 7°C for spawning and may avoid temperatures  $\geq 8^\circ\text{C}$  (González-  
64 Irusta and Wright, 2016b).

65 The southeastern Bering Sea continental shelf is used by many flatfish species as spawning  
66 habitat during the spring (e.g., Northern Rock Sole *Lepidopsetta polyxystra*, Yellowfin Sole  
67 *Limanda aspera*, Flathead Sole; Fig. 1). Flathead Sole are a commercially exploited species that  
68 inhabit the southeastern Bering Sea shelf at depths between approximately 50 to 200 m (Lauth  
69 and Nichol, 2013), and the continental slope to 500 m depth (Hoff, 2013). They over-winter on  
70 the upper continental slope (Rose, 1982) and move to the shallower waters of the shelf during the  
71 spring (20-180 m; Witherell, 2000). There is little seasonal variation in temperature off shelf

72 (Ortiz et al., 2016), so it is likely that during the winter Flathead Sole on the slope experience  
73 similar temperatures from year to year. Flathead Sole spawning in the Gulf of Alaska begins  
74 during April and peaks in May based on the occurrence of postovulatory follicles in June (Stark  
75 2004), and they have a similar spawning season in the eastern Bering Sea as well (Stark 2004).  
76 The depth range for spawning near Kodiak Island in the Gulf of Alaska was 42 to 196 m (Stark,  
77 2004), and that corresponds to the approximate depths where spawning occurs in the Bering Sea.  
78 Studies based on ovary maturity indicate that Flathead Sole spawning occurs centrally over the  
79 southeastern Bering Sea shelf between 50 and 200 m depth, and near Unimak Island and the  
80 Alaska Peninsula (Stark, 2004; TenBrink and Wilderbuer, 2015). Ichthyoplankton surveys  
81 conducted during the spring had largest catches of Flathead Sole eggs in the vicinity of Unimak  
82 Island and the Alaska Peninsula (Grigorev and Fadeev, 1995) suggesting that spawning was  
83 occurring in that area. Eggs are pelagic and in the Gulf of Alaska they are found throughout the  
84 water column (near surface to greater than 200 m depth) depending on their developmental stage  
85 (Porter, 2005), and may be as deep as 261 m but low density (S. Porter unpublished data). Larvae  
86 hatch about 15 days after fertilization at 5.5°C (Alderdice and Forrester, 1974).

87 The broad continental shelf region of the southeastern Bering Sea is divided into three  
88 hydrographic domains bounded by fronts and defined by bathymetry: coastal (< 50 m depth),  
89 middle (50 to 100 m depth), and outer domains (100 to 200 m depth; Fig. 1; Coachman, 1986).  
90 Annual mean near surface current flow at a mooring located near the center of the shelf was  
91 westward and weak (1.5 cm/s), and flow near Unimak Island and the peninsula was also weak  
92 (3.5 cm/s) and to the northeast, parallel to the coast (Stabeno et al., 2016). Depth-averaged  
93 temperature measured at the mooring is used to classify water temperature conditions for the  
94 spring, summer, and fall (warmer than average, colder than average, or average, here after

95 referred to as warm, cold or average; Stabeno et al., 2012). A positive depth-averaged  
96 temperature anomaly indicates warm years and a negative anomaly is associated with cold years  
97 relative to the mean annual temperature from 1995 to 2009 (Stabeno et al. 2012). Between 2001  
98 and 2013 the southeastern Bering Sea shelf alternated between multi-year periods of warm  
99 (2001-2005) and cold (2007-2013) conditions during the spring, summer and fall, and 2006 was  
100 an average year between the two periods (Stabeno et al., in review).

101 Flathead Sole are at the northern most extent of their range in the Bering Sea (Mecklenberg et  
102 al., 2002) and so are most likely to be strongly affected by changes in marine climate  
103 (temperature) in that area (Myers, 1998), more so than in centrally located parts of their range.  
104 Adults avoid temperatures colder than 2°C (Rooper et al., 2005) and those temperatures are  
105 likely to be present during the spring in cold years in the southeastern Bering Sea, thus spawning  
106 site may change due to fish avoiding areas with unfavorable temperatures. The objective of this  
107 study was to infer Flathead Sole spawning area in the southeastern Bering Sea from  
108 ichthyoplankton surveys of their eggs and use generalized additive models to assess how warm  
109 and cold years affected spawning location, a method similar to that used in other studies to  
110 explain the spawning distribution of fishes (e.g., Fox et al., 2000; Bacheler et al., 2009). Location  
111 and timing of spawning are thought to be connected to environmental conditions beneficial for  
112 early life survival such as dispersal of larvae into favorable habitats, and overlap of larvae and  
113 their prey (Leggett, 1985, Ciannelli et al., 2015), so any changes in timing or location can  
114 potentially have consequences on early-life survival and recruitment.

115

## 116 **2. Material and methods**

### 117 *2.1 Egg collections*

118 Flathead Sole egg density (number/10m<sup>2</sup>) was determined from ichthyoplankton surveys  
119 conducted yearly during the spring in the southeastern Bering Sea by the NOAA, Alaska  
120 Fisheries Science Center (Seattle, WA). Eggs were sampled from a systematic grid design of  
121 fixed stations and areal coverage was not consistent among years. Eggs were collected using a  
122 60-cm bongo frame fitted with 333 or 505- $\mu$ m mesh nets towed obliquely to a maximum depth  
123 of 300 m or 10 m off bottom, whichever was shallower. The depth range for Flathead Sole eggs  
124 in the Bering Sea is unknown, but mature females have been caught in Bering Canyon (depth >  
125 200m; TenBrink and Wilderbuer, 2015). If eggs have a vertical distribution similar as to those in  
126 the Gulf of Alaska, then an oblique tow to depth of 300 m should have collected most of the eggs  
127 in the water column. Water temperature was measured at maximum gear depth using a Sea-Bird  
128 SBE 19 SeaCat or SBE 39 FastCat CTD profiler attached to the towing wire. Ichthyoplankton  
129 samples were preserved in 5% formalin, and then eggs were sorted and identified to species at  
130 the Plankton Sorting and Identification Center in Szczecin, Poland. The number of eggs caught  
131 was standardized to number/10 m<sup>2</sup> based on net mouth area, tow depth, and duration (here after  
132 referred to as egg density; Matarese et al., 2003). We classified years used in our study as warm  
133 or cold from warm and cold years designated in Stabeno et al. (2012). Data were limited spatially  
134 to an area of the southeastern Bering Sea along the Alaska Peninsula and the vicinity of Unimak  
135 Island because this is where the greatest number of net tows occurred among years, and past  
136 studies have indicated that Flathead Sole may spawn in that area (Grigorev and Fadeev, 1995;  
137 TenBrink and Wilderbuer, 2015; Fig. 2). Temporal coverage varied by year so we limited the  
138 analysis to May observations because this was the only month in common to all years that eggs  
139 were present. May is also thought to be when peak Flathead Sole spawning occurs in the Bering  
140 Sea (Stark, 2004). There were no net tows conducted within the study area during May 2001 or

141 2004. 2006 was classified as an average year (Stabeno et al., 2012) so it was not included in our  
142 study. Years 2007, 2011, and 2013 were also excluded due to poor spatial coverage within our  
143 study area (less than 16 stations sampled each year). Eggs from another possible spawning area  
144 located north of our study area were not included because only 4 warm-period tows occurred  
145 there in May (Fig. 2). Three warm years (2002, 2003, and 2005; total number of tows = 180) and  
146 four cold years (2008, 2009, 2010, and 2012; total number of tows = 291) were used for  
147 comparing egg density and distribution among years (Table 1). The number of days sampled  
148 during May varied among years, ranging from eight (2003) to 24 (2012; Table 1).

## 149 *2.2 Generalized additive models*

150 We used generalized additive models (GAM) to analyze Flathead Sole spawning in relation to  
151 local (i.e., changing across space and time) and global (i.e., changing only over time) covariates.  
152 GAMs are non-parametric regression techniques, in which the effect of a covariate is estimated  
153 with a smooth function, typically a natural cubic spline, and therefore may not necessarily be  
154 linear (Wood, 2006). Given the zero inflated and overdispersed nature of the egg data set (42%  
155 of the tows did not catch Flathead Sole eggs; see Table 1 for variability in egg density by year) a  
156 two-step approach to investigate and predict the spawning distribution of fish based on egg  
157 density was used (Fox et al., 2000). Both a binomial presence-absence model with a logit link  
158 function and a positive abundance model with lognormal distribution family (i.e., a model using  
159 only tows with egg density  $> 0$  as the response variable) were formulated. The predicted egg  
160 density for each tow was the product of the predictions from the two models. An advantage of  
161 the two-step approach is that it takes into account the possibility that there are different processes  
162 affecting the presence and density of eggs (Potts and Elith, 2006); that is, there can be different  
163 covariate effects in each model.



164 Global covariates included were day of year ( $d$ ) to account for differences in egg density due  
 165 to survey date, and estimated female spawning stock biomass ( $SSB$ ) in the Bering Sea and  
 166 Aleutian Islands regions to account for different spawning output (98% of the female Flathead  
 167 Sole spawning stock biomass is located on the eastern Bering Sea shelf; Stockhausen et al.,  
 168 2012). In the presence/absence GAM model  $SSB$  is included as a factor, therefore affecting the  
 169 model intercept. As  $SSB$  varies annually, this equates to estimating a different intercept for each  
 170 year. In the positive abundance models  $SSB$  is included either as a factor or continuous variable.  
 171 Local covariates included location of a net tow (latitude ( $\phi$ ) and longitude ( $\lambda$ )), and near bottom  
 172 water temperature ( $t$ ). Location (latitude and longitude) and depth on the southeastern Bering  
 173 Sea shelf are highly correlated because shelf bathymetry is relatively flat with maximum depth at  
 174 the shelf break (Stabeno et al. 2016), so any depth effect on egg density was accounted for in our  
 175 models by latitude and longitude. For the binomial presence-absence model all covariates were  
 176 included additively, as follows:

$$\begin{aligned}
 177 & E(p_i) = \mu_i \\
 178 & \\
 179 & p_i \sim B(1, \mu_i) \quad var(p_i) = \mu_i (1-\mu_i) \\
 180 & logit(\mu_i) = a_y + g_1(d) + g_2(t_{\phi,\lambda,y}) + g_3(\phi,\lambda)
 \end{aligned}$$

181  
 182 Where  $p_i$  is the probability of Flathead Sole eggs being present in the  $i^{th}$  sample, conditional on  
 183 the covariate  $\phi$ ,  $\lambda$ ,  $d$ , and  $t$ ,  $a$  is the model intercept, which varies annually (subscript  $y$ )  
 184 according to the female spawning stock biomass, the  $g$ s are nonparametric smoothing functions.

185 For the positive abundance model, the covariates were the same as those of the binomial  
 186 presence-absence model, but the effect of water temperature was modeled in three ways,  
 187 reflecting three mechanisms through which temperature could affect egg distribution. Namely, i)  
 188 additively, assuming a similar effect of temperature across the spatial domain, ii) as a spatially

189 variable term, assuming that the effect of temperature changes across locations, and iii) as a  
 190 threshold effect on the spatial distribution of eggs. Female SSB was included either as a factor,  
 191 which allows for an unconstrained change of egg density in relation to biomass by estimating a  
 192 different intercept for each year, or as a smooth term (standardized values used), which only  
 193 allows smooth changes of egg density in relation to biomass. Standardized natural log anomalies  
 194 were used as values for SSB when this covariate was included as a smooth term (Bacheler et al.,  
 195 2009). Thus, we formulated six abundance models from pairwise combinations of three  
 196 temperature and two female spawning biomass terms. The exact formulation of these positive  
 197 abundance models is shown in equations 2-7.

198 The additive abundance model is similar to the presence-absence model, namely:

$$199 \ln(x_{y,d,t,\phi,\lambda}) = a_y + g_1(d) + g_2(t_{\phi,\lambda,y}) + g_3(\phi, \lambda) + \mathcal{E}_{y,d,t,\phi,\lambda} \quad (2)$$

200 or

$$201 \ln(x_{y,d,t,\phi,\lambda}) = s(SSB_y) + g_1(d) + g_2(t_{\phi,\lambda,y}) + g_3(\phi, \lambda) + \mathcal{E}_{y,d,t,\phi,\lambda} \quad (3)$$

202 Where  $x$  is the local Flathead Sole egg density (number/10m<sup>2</sup>), and SSB is modeled either as an  
 203 annually variable intercept ( $a_y$ , Eq. 2) or a smooth ( $s(SSB_y)$ , Eq. 3)) effect.

204 The model that assumes a spatially variable effect of temperature was formulated as a  
 205 variable-coefficient generalized additive model (VCGAM) (Bacheler et al., 2009). Namely,

$$206 \ln(x_{y,d,t,\phi,\lambda}) = a_y + g_1(d) + \alpha_{\phi,\lambda}(t_{\phi,\lambda,y}) + g_3(\phi, \lambda) + \mathcal{E}_{y,d,t,\phi,\lambda} \quad (4)$$

207 or

$$208 \ln(x_{y,d,t,\phi,\lambda}) = s(SSB_y) + g_1(d) + \alpha_{\phi,\lambda}(t_{\phi,\lambda,y}) + g_3(\phi, \lambda) + \mathcal{E}_{y,d,t,\phi,\lambda} \quad (5)$$

209 Where  $x$  is the eggs density, and  $\alpha$  is a slope parameter for the effect of temperature, which  
 210 changes smoothly over space ( $\alpha_{\phi,\lambda}(t_{\phi,\lambda,y})$ ). Thus, in the variable coefficient model formulation, the

211 effect of water temperature on egg abundance is linear, but spatially variable (Bacheler et al.,  
212 2009, Ciannelli et al., 2012).

213 Lastly, the framework of the threshold formulation was used to test whether there were  
214 changes in egg spatial distributions associated with warm versus cold years (Ciannelli et al.,  
215 2004). Namely,

$$216 \ln(x_{y,d,t,\phi,\lambda}) = a_y + g_j(d) + g_2(t_{\phi,\lambda,y}) + I_{w,y} g_3(\phi, \lambda) + I_{c,y} g_3(\phi, \lambda) + \mathcal{E}_{y,d,t,\phi,\lambda} \quad (6)$$

217 or

$$218 \ln(x_{y,d,t,\phi,\lambda}) = s(SSB_y) + g_j(d) + g_2(t_{\phi,\lambda,y}) + I_{w,y} g_3(\phi, \lambda) + I_{c,y} g_3(\phi, \lambda) + \mathcal{E}_{y,d,t,\phi,\lambda} \quad (7)$$

219 Where  $I_w$  and  $I_c$  are indicator variables for warm or cold years, which are a-priori determined  
220 based on the thermal regime of each examined year (Stabeno et al. 2012). In our application,  
221 years 2002, 2003 and 2005 are designated as warm and years 2008-2010 and 2012 are designated  
222 as cold. This designation is consistent with the average observed temperature in each year (Table  
223 1).

224 All six model formulations (Eqs. 2-7), are consistent with a change in distribution in relation  
225 to temperature, albeit for different reasons. In 2-3, the change of distribution between cold and  
226 warm years can only occur if temperature changes at different rates over different locations. This  
227 contrasts with the scenario represented by the 4-5 formulations, where the change of distribution  
228 is driven by the spatially variable and linear effect of temperature on local abundance. This  
229 scenario could result in a change of egg distribution, even when temperature increases/decreases  
230 by a similar amount throughout the study region. Lastly, the scenario represented by  
231 formulations 6-7 assumes that the distribution of eggs changes abruptly as a result of a global  
232 change in temperature (i.e., annual average temperature), resulting in two different egg

233 distribution patterns – one in the warm years of the 2002-2005 period, and another in the cold  
234 years of 2008-2012 period.

235 These three ways in which we have modeled the effect of temperature on egg distribution  
236 (i.e., additive, spatially variable effects, and threshold effect) are not comprehensive of all the  
237 possible ways in which temperature can affect egg distribution. For example, it is possible that  
238 egg distribution abruptly changes depending on annual average water temperature (case 3), and  
239 that within each of these regimes, the local temperature effect could also change with space (case  
240 2). However, this case would require an extensive data set, larger than the one available for  
241 analyses, and therefore was not pursued. There is also the possibility that temperature has a non-  
242 linear effect on egg density and that such effect changes over space. However, when a spatially  
243 variable quadratic term was in model 4 the Akaike Information Criterion (AIC) score increased,  
244 so we did not pursue this option. It is also important to note that the range of water temperature  
245 at any given location sampled is smaller than that observed globally, and therefore its effect is  
246 sufficiently captured by a linear model.

247 Variable selection for each model was based on AIC using a backward stepwise process. The  
248 AIC is a measure of the model goodness of fit (negative log-likelihood) penalized by the model  
249 number of parameters. A covariate with a p-value  $> 0.05$  was removed from the model and the  
250 model was rerun. The covariate was not included in the final model formulation if the AIC  
251 decreased, and if AIC increased the covariate was included. AIC score was also used to select  
252 which of the final formulations of the six abundance models was most supported by the data.  
253 Residuals for each model were visually assessed to check for normality and independence. All  
254 models were run in R version 3.3.1 (R Core Team, 2016) using the mgcv package (version 1.8-  
255 12; Wood, 2006). Contour plots of predicted egg density and observed temperature were made

256 using the kriging option in ArcMap 10.4 (ESRI Inc., 2015). The spatial resolution of the GAM  
257 predictions used as data for kriging are at the original sampled stations.

258

### 259 **3. Results**

#### 260 *3.1 Temperature*

261 Near bottom water temperature was warmest in 2003 and 2012 was the coldest year (Table 1).  
262 Temperature across the study area during the warm period varied the most in 2002, and the  
263 northern most area sampled this year was colder than 2°C (Fig. 3). Temperatures in 2003 and  
264 2005 were more homogenous and > 3° C (Fig. 3). During the cold period years (2008, 2009,  
265 2010, and 2012) temperatures varied greatly from east to west. Sub-zero temperatures were  
266 located on the eastern side of the study area and temperature increased westward to near 4° C on  
267 the outer domain and continental slope (Fig. 4).

268

#### 269 *3.2 Egg density and distribution*

270 Covariates included in the presence/absence model were female spawning stock biomass, day  
271 of year, temperature, and location, and  $R^2 = 0.46$  (Table 2, equation 1). The probability of eggs  
272 being present generally decreased as spawning stock biomass became smaller (Fig. 5a). Day of  
273 year appeared to have a weak effect on the presence of eggs because zero was included within  
274 the 95% confidence interval for all days of this covariate (Fig. 5b). The probability of eggs being  
275 present declined with temperatures colder than 2°C (Fig. 5c). The effect of location showed that  
276 the highest predicted probability of egg occurrence in May was near the center of the study area  
277 and decreased toward the peninsula and to the northeast and southwest (Fig. 5d).

278 The positive abundance model including female spawning stock biomass as a factor, day of  
279 year, spatially variable temperature effect, and a location effect (Table 2, equation 4) had the  
280 smallest AIC score and therefore was chosen as the best fit model of the six models tested (Table  
281 2). This model explained 59% of the variance and indicated that the effect of temperature on  
282 predicted positive egg abundance varied across the study area and changes in abundance due to  
283 temperature were gradual among years. The model with temperature as an additive effect (Table  
284 2, equation 2) had the second lowest AIC score, while the models with changes in egg abundance  
285 and distribution over thermally contrasting years were the least supported by the data (Table 2,  
286 equations 6 and 7). The effect of spawning stock biomass on positive egg abundance was similar  
287 to the presence/absence model, in that egg abundance generally decreased as biomass became  
288 smaller (Fig. 6a). Error associated with day of year was large and included zero in the 95%  
289 confidence interval so the effect of this covariate on egg abundance was most likely weak, and  
290 the trend of the relationship was for abundance to decrease after midmonth (Fig. 6b). The partial  
291 effect of location showed that predicted positive egg abundance in May was greatest near the  
292 center of the study area and decreased toward the peninsula and toward the shelf break (Fig. 6c).  
293 The inshore areas of the peninsula, and the shelf break are areas where Flathead Sole spawning  
294 would not be expected to occur based on water depth there. Predicted egg density taken as the  
295 product of the predicted probability of occurrence and predicted positive egg abundance from  
296 equation 4 showed a distribution pattern similar to the observed data indicating that the model  
297 chosen was appropriate (Figs. 7, 8). Egg density was over estimated at locations where the  
298 observed data were zero, but most predictions at those locations indicated very low density and  
299 were smaller than the lowest observed positive density so they could be considered as being zero  
300 (Figs. 7, 8). The variable coefficient term of temperature showed that predicted egg density in

301 May increased over the middle and outer domains as temperature increased as indicated by  
302 significantly increasing slope coefficients for temperature in that area (Fig. 9). Near the Alaska  
303 Peninsula egg density decreased with increasing temperature, this may be inconsequential  
304 because for most years egg densities in that area were zero or low, except for 2002 (Figs. 7, 8, 9).

305 Spawning stock biomass was included additively as a factor in both the presence/absence  
306 model and the best fit positive abundance model (Table 2, equations 1, 4). As such, spawning  
307 stock biomass will only have an effect on the average egg density for each year, but will not  
308 change the predicted spatial distribution of eggs. To reduce the confounding factor of differences  
309 in spawning stock biomass among years affecting egg density, predicted egg density in May was  
310 calculated (the product of the predicted probability of occurrence and predicted positive  
311 abundance from equation 4) with spawning stock biomass held constant (value = 3) for all years  
312 (Table 1, Fig 9). The highest predicted egg densities occurred near the center of the study area  
313 over the western portion of the middle domain and the eastern side of the outer domain, an area  
314 where spawning Flathead Sole have been found (Fig. 9; TenBrink and Wilderbuer, 2015). The  
315 spatial distribution pattern of eggs was similar to the partial effect of location for the positive  
316 abundance model (Fig. 6c). The slope coefficients of the temperature covariate in that area  
317 indicated that egg density increased as temperature increased, suggesting a greater occupancy in  
318 the core of the spawning distribution and a limited northeastward expansion of the spawning site  
319 as temperature increases (Fig. 9).

320

#### 321 **4. Discussion**

322 Predicted egg density was greatest over the middle and outer domains in an area where  
323 spawning Flathead Sole have been found (TenBrink and Wilderbuer, 2015) and was within the

324 depth range expected for spawning Flathead Sole (42 to 196 m; Stark, 2004), so the highest  
325 predicted egg densities most likely indicated an area where Flathead Sole spawning occurred in  
326 May. The variable coefficient GAM showed that egg density over the middle and outer domains  
327 was positively affected by temperature, and suggests spawning location in May slightly  
328 expanded northeasterly due to warmer temperatures. Additionally, the presence/absence model  
329 showed that cold temperatures can negatively affect the presence of eggs. The expansion and  
330 contraction of spawning area due to changes in temperature between years has also been shown  
331 for Walleye Pollock in the Bering Sea (Petrik et al., 2015). The full extent of the increase in size  
332 of the spawning area of Flathead Sole is unknown and could have continued outside of our study  
333 area. The expansion of the spawning area within our study area may be related to changes in the  
334 spatial extent of a cold-water mass called the cold pool. Water from melting sea ice on the  
335 southeastern Bering Sea shelf mixes in the water column to create a bottom layer of cold water  
336 ( $< 2^{\circ}\text{C}$ ) 40 to 50 m thick that persists in the late spring and summer (Stabeno et al., 2001). The  
337 spatial extent of the cold pool varies interannually depending on the coverage of sea ice. In warm  
338 years the extent of the cold pool is limited further north than in cold years, when it can extend  
339 southward down the middle domain as far as the Alaska Peninsula (Kotwicki and Lauth, 2013).  
340 Shifts in the spatial distribution of many groundfish species are related to the location of the cold  
341 pool (Ciannelli and Bailey, 2005, Pacific Cod, *Gadus macrocephalus*; Ciannelli et al. 2012,  
342 Arrowtooth Flounder, *Atheresthes stomias*; Kotwicki and Lauth, 2013, e.g. Rock Sole,  
343 *Lepidopsetta* spp.; Bering Flounder *Hippoglossoides robustus*; Pacific Halibut, *Hippoglossus*  
344 *stenolepis*), including Flathead Sole that showed a significant positive relationship between their  
345 movement and location of the cold pool (Mueter and Litzow, 2008; Spencer, 2008). The  $2^{\circ}\text{C}$   
346 isotherm is used as the boundary for the cold pool (Stabeno et al., 2001) and water colder than



347 2°C was present in the northeastern areas sampled during all the cold years of our study (Fig. 4).  
348 Adult Flathead Sole avoid temperatures colder than 2°C (Rooper et al., 2005) so cold  
349 temperatures may have prevented fish from moving northeastward during cold years. Flathead  
350 Sole spawning in the southeastern Bering Sea has been reported in water ranging from 1.7° to  
351 6.1°C (Stark, 2011). For the most part, water temperatures were greater than 2°C at the locations  
352 sampled during the warm years (Fig. 3). Flathead Sole spawning site during that time was  
353 probably not limited by temperature, so that allowed the spawning area to expand. We  
354 acknowledge that higher spawning stock biomass during the warm years could also be a factor  
355 contributing to the expansion of the spawning area. However, in our analyses spawning stock  
356 biomass is included additively and as such can only affect the magnitude of egg density and not  
357 the distribution. We could not include a spatially variant effect of spawning stock biomass  
358 because that would have conflicted with the effect of water temperature. As we gather more  
359 observations and contrasts between spawning stock biomass and water temperature, it will be of  
360 interest to disentangle the effect of these two covariates.

361 Both satellite-tracked drifters with drogue centered at ~40 m depth and water current  
362 measurements from moorings located on the southeastern Bering Sea shelf have shown that  
363 mean current flow over much of the shelf is relatively weak (< 5 cm/s, Stabeno et al., 2016), thus  
364 advection probably had a minimal effect on the overall density and distribution pattern of  
365 Flathead Sole eggs during the approximate two week time interval examined in our study.  
366 Delayed spawning could have affected egg density during cold years, and a small number of  
367 samples taken in June during 2008, 2009, and 2010 (19 samples taken; no samples taken in  
368 2012) indicated that for those years mean egg density in June was less than in May suggesting  
369 that spawning was not delayed (S. Porter unpublished data). There were no surveys conducted in

370 June during warm years for comparison. Another factor that could affect egg density among  
371 years is egg transport through Unimak Pass from the Gulf of Alaska. We observed Flathead Sole  
372 eggs in the proximity of Unimak Pass during both warm and cold years indicating that the pass  
373 could be a conduit for Gulf of Alaska eggs into the Bering Sea. Fish larvae from the Gulf of  
374 Alaska are transported through Unimak Pass (Lanksbury et al., 2007; Siddon et al., 2011) but  
375 there have been no studies of Flathead Sole egg transport through the pass so we did not include  
376 a measure of transport in our analyses. Alternatively or in addition to transport, eggs observed in  
377 the pass may have been spawned there due to a relatively warm water temperature during all  
378 years ( $\geq 2^{\circ}\text{C}$ ) that our analyses predicted should not negatively affect spawning.

379 Ocean temperature, circulation and wind patterns, and biotic factors in the southeastern  
380 Bering Sea vary between warm and cold years (Stabeno et al., 2001; Stabeno et al., 2012), and  
381 those differences can potentially affect Flathead Sole early-life survival and recruitment.  
382 Flathead Sole are at the northern most limit of their range in the Bering Sea so they may be more  
383 susceptible to the effects of temperature and other abiotic factors there than near the center of  
384 their geographical range (Meyers, 1998). For marine fish species near the northern geographical  
385 limit of their range there is a positive correlation between temperature and recruitment (e.g.,  
386 Pacific Herring *Clupea harengus*, Cod *Gadus morhua*, Meyers, 1998; Sprat, *Sprattus sprattus*,  
387 MacKenzie and Köster, 2004 ). Thermal conditions altering Flathead Sole spawning location  
388 may subsequently affect their recruitment by changing the advection of larvae or causing a  
389 mismatch of larvae with their prey. Stronger Flathead Sole recruitment years are associated with  
390 eastward on-shelf springtime winds that may advect larvae toward nursery areas (Wilderbuer et  
391 al., 2013). Those winds are present during the late spring, summer and fall in warm years  
392 (Stabeno et al., 2012). Additionally, age-3 recruitment estimates for larvae that hatched during

393 the years of our study indicate that Flathead Sole recruitment was in most cases higher during  
394 warm years than during cold years (McGilliard et al., 2016). The largest recruitment year class  
395 during the years of our study was from larvae that hatched in 2003 and lowest recruitment was  
396 2012, corresponding with the warmest and coldest years respectively. Warm conditions may be  
397 beneficial for the recruitment of Flathead Sole but it remains to be determined conclusively  
398 which mechanisms are most favorable for their recruitment in the Bering Sea.

399

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411 names does not imply endorsement by the National Marine Fisheries Service, NOAA.

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## Figure Captions

**Fig. 1.** The southeastern Bering Sea shelf region. The shelf is divided into three domains, coastal (< 50 m depth), middle (50 to 100 m depth), and outer (100 to 200 m depth). The location of a mooring where water temperature and current measurements are taken is also shown.

**Fig. 2.** Observed Flathead Sole (*Hippoglossoides elassodon*) egg densities in the southeastern Bering Sea. Egg densities (number/10 m<sup>2</sup>) collected throughout the year for 2001 to 2005 (warm period), and 2007 to 2013 (cold period). Study area is enclosed in the box.

**Fig. 3.** Ocean temperatures in the southeastern Bering Sea study area in May for warm period years. Near bottom water temperatures (°C) for 2002, 2003, and 2005. Plots were made using the kriging option in ArcMap 10.4 (ESRI Inc., 2015).

**Fig. 4.** Ocean temperatures in the southeastern Bering Sea study area in May for cold period years. Near bottom water temperatures (°C) for 2008, 2009, 2010, and 2012. Plots were made using the kriging option in ArcMap 10.4 (ESRI Inc., 2015).

**Fig. 5.** Presence/absence model for Flathead Sole eggs in the southeastern Bering Sea study area in May. Partial effects of female spawning stock biomass used as a factor (a). Largest spawning stock biomass is 1 and smallest biomass is 7. Also shown are partial effects of day of year (b), near bottom water temperatures (c), and location (d). 95% confidence interval is shown by dashed lines (a, b, c). Tick marks on the x-axis show sampling intensity (b,c). Predicted occurrence of eggs increases from purple to orange (d).

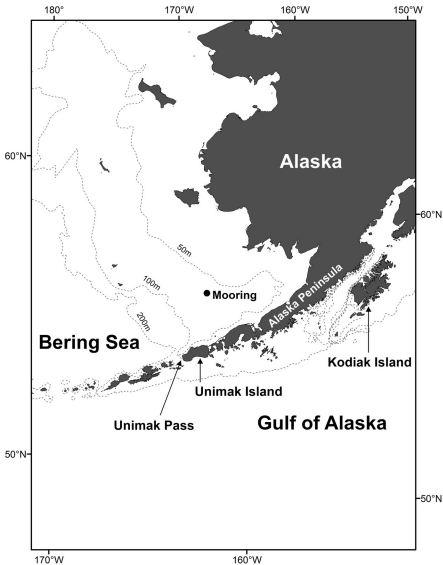
**Fig. 6.** Positive abundance model for Flathead Sole egg density ( $\ln(\text{number } 10/\text{m}^2)$ ) in the southeastern Bering Sea study area in May that includes temperature as a spatially variable covariate. Partial effects of female spawning stock biomass used as a factor (a). Largest spawning stock biomass is 1 and smallest biomass is 7. Also shown are the partial effects of day of year (b), and location (c). 95% confidence interval is shown by dashed lines (a, b). Tick marks on the x-axis show sampling intensity (b). Predicted positive egg abundance increases from purple to orange (c).

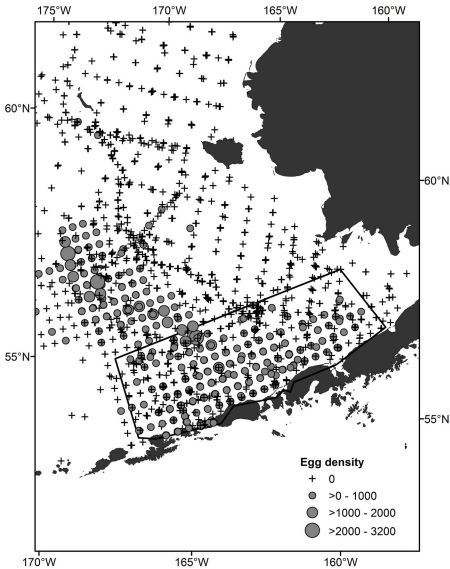
**Fig. 7.** Observed and predicted Flathead Sole egg densities in the southeastern Bering Sea study area in May for warm period years. Natural log transformed egg densities ( $\ln(\text{number}/10 \text{ m}^2)$ ) for 2002, 2003, and 2005. Size of bubbles is of the same scale for both observed and predicted egg densities. Predicted egg densities are the product of the predicted probabilities of occurrence and predicted positive egg abundance from equation 4 (see text).

**Fig. 8.** Observed and predicted Flathead Sole egg densities in the southeastern Bering Sea study area in May for cold period years. Natural log transformed egg densities ( $\ln(\text{number}/10 \text{ m}^2)$ ) for 2008, 2009, 2010, and 2012. Size of bubbles is of the same scale for both observed and predicted egg densities. Predicted egg densities are the product of the predicted probabilities of occurrence and predicted egg positive abundance from equation 4 (see text).

**Fig. 9.** Predicted Flathead Sole egg density ( $\ln(\text{number of eggs}/10\text{m}^2)$ ) in the southeastern Bering Sea study area in May with female spawning stock biomass kept constant for all years (grey

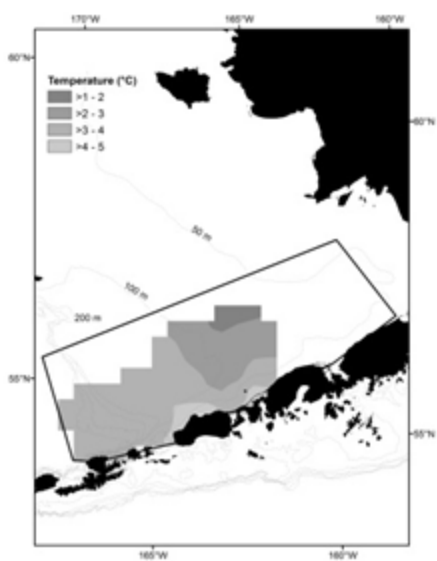
scale). Predicted egg densities are the product of the predicted probabilities of occurrence and predicted positive egg abundance from equation 4 (see text). Red bubbles indicate an increase in egg density with a 1-unit increase in temperature (slope coefficient for temperature). Blue bubbles indicate a decrease in egg density with temperature. Slope coefficients significantly different from 0 are shown. Plot of predicted egg density was made using the kriging option in ArcMap 10.4 (ESRI Inc., 2015) that smoothed the predicted densities.







2002



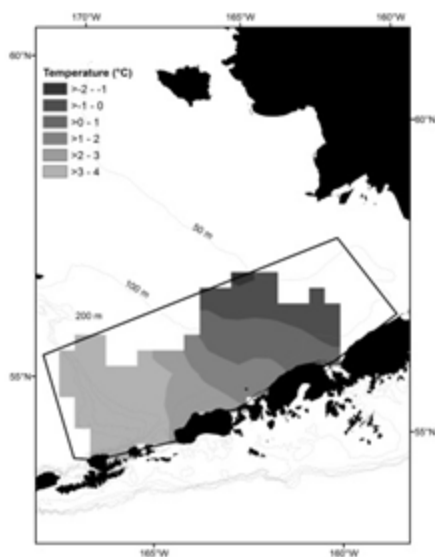
2003



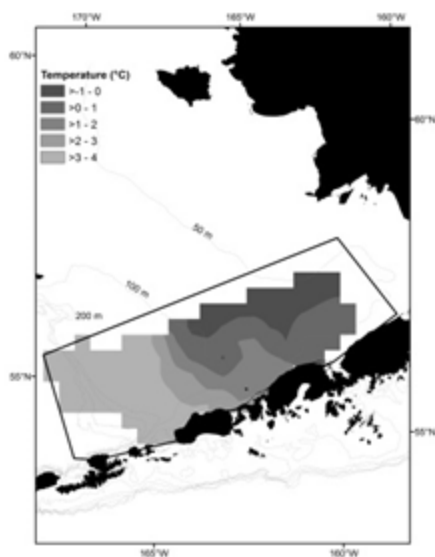
2005



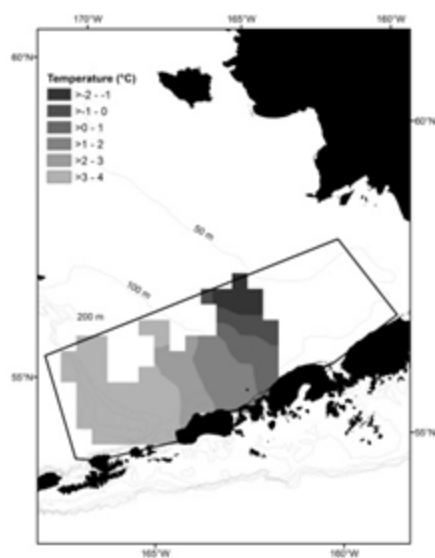
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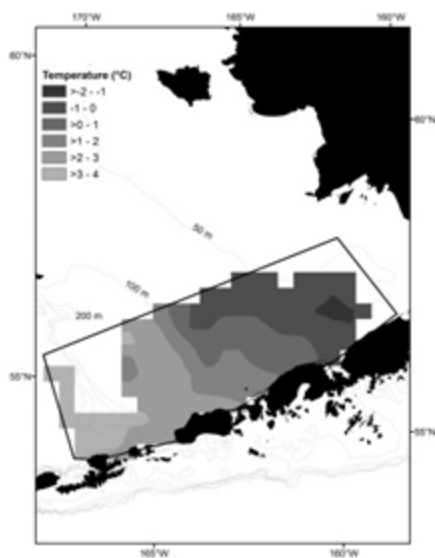
2009

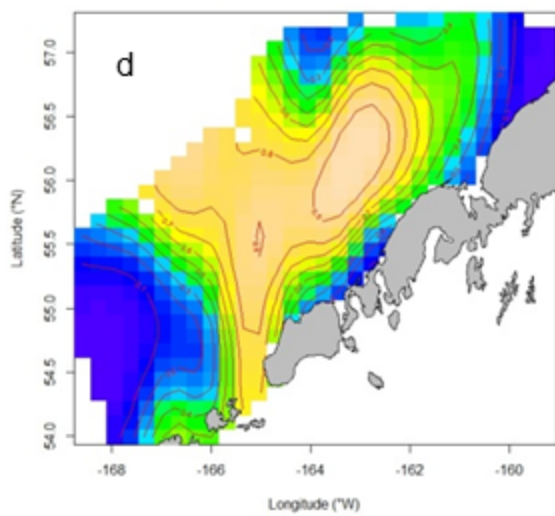
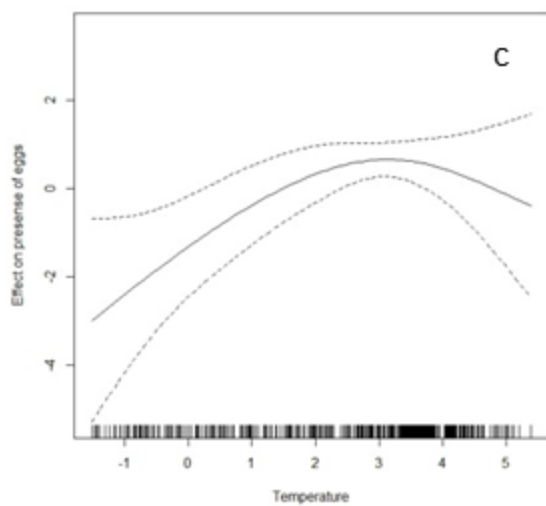
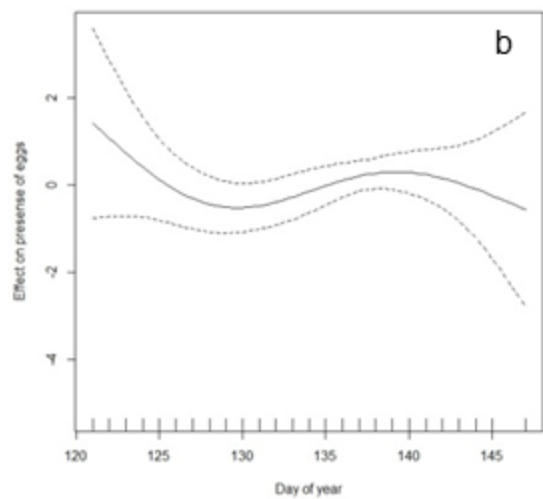
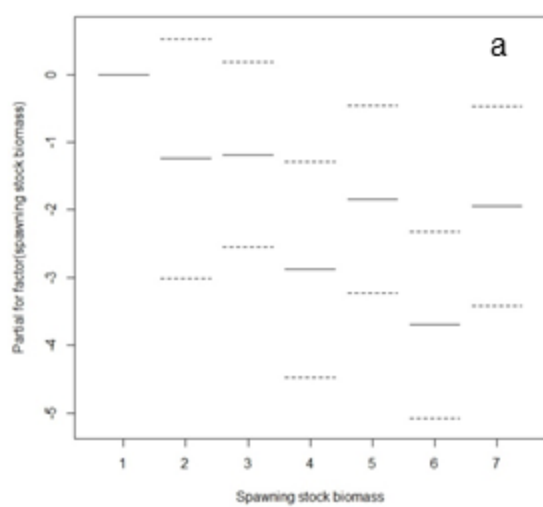


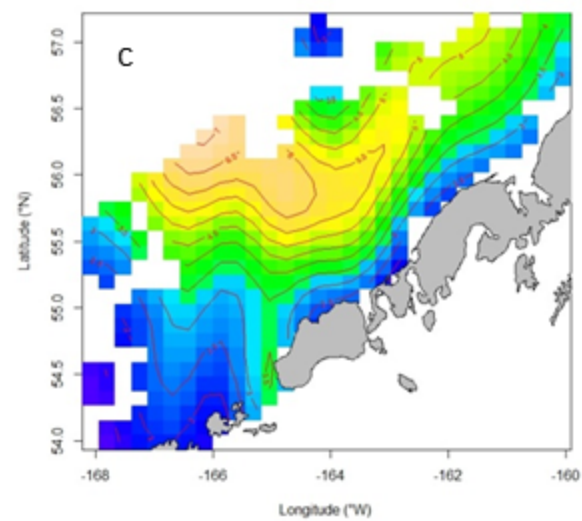
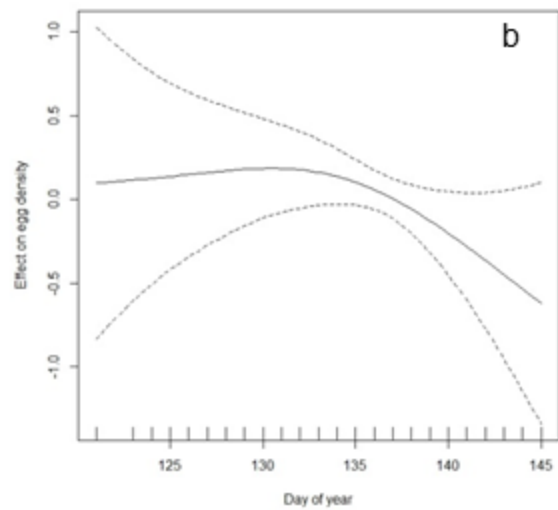
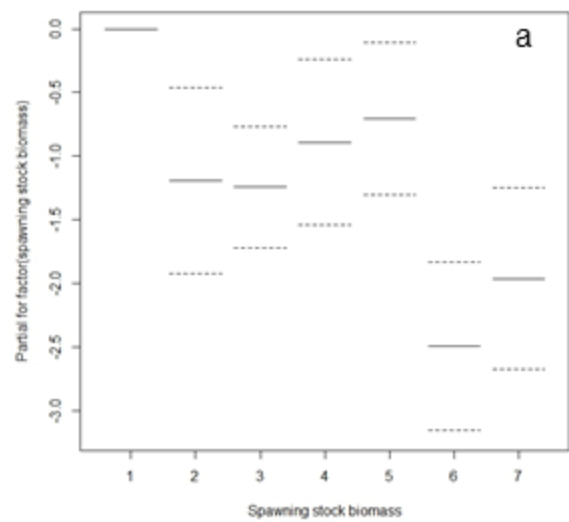
2010



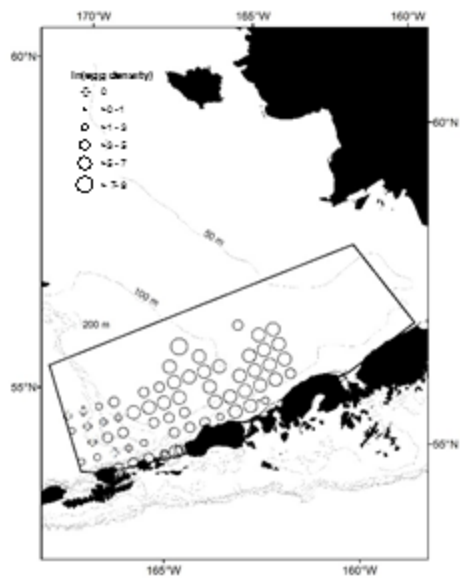
2012







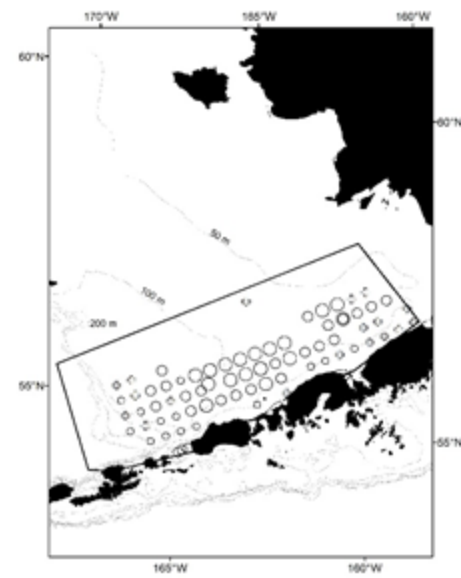
2002 observed



2003 observed



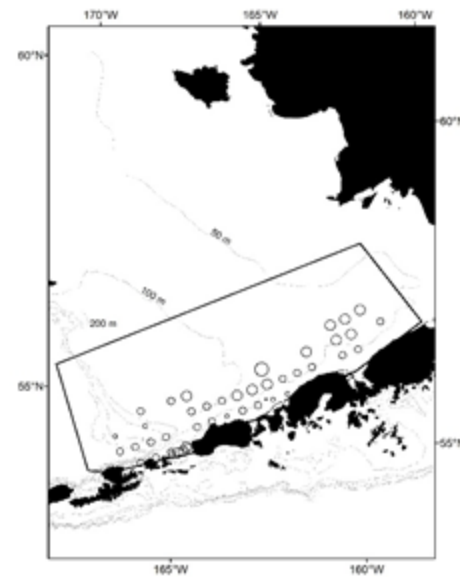
2005 observed



2002 predicted



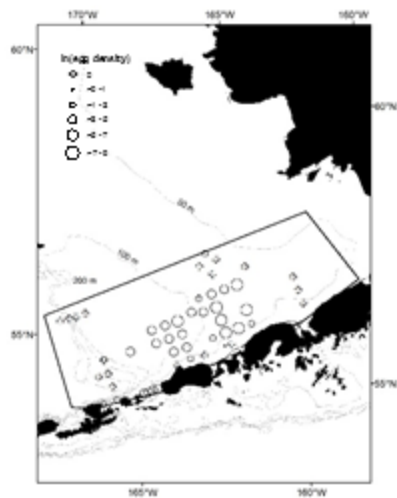
2003 predicted



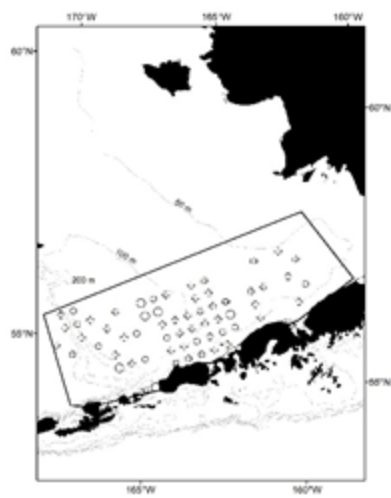
2005 predicted



2008 observed



2009 observed



2010 observed



2012 observed



2008 predicted



2009 predicted

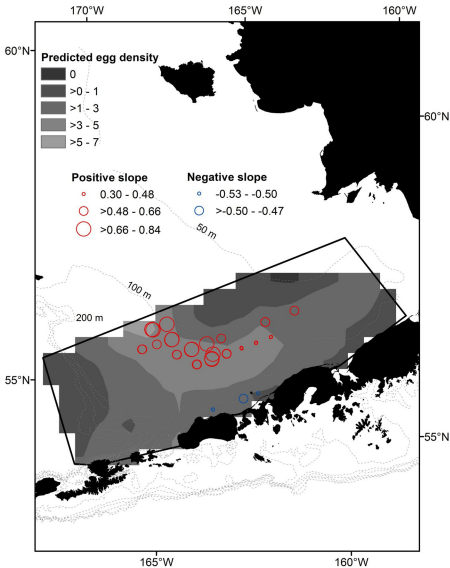


2010 predicted



2012 predicted





**Table 1**

Survey years of Flathead Sole eggs in the southeastern Bering Sea used to model the effect of water temperature on spawning location.

Year	Sampling Dates	No. Tows <sup>a</sup>	SSB <sup>b</sup>	SSB Anomaly <sup>c</sup>	Mean Near Bottom Temp. <sup>d</sup>	Mean Egg Density <sup>e</sup>
Warm						
2002	12 – 21 May	64	299.5	1.925	3.41	231.62 ± 269.45
2003	17 – 24 May	43	288.7	1.388	4.50	32.66 ± 44.56
2005	10 – 27 May	73	268.1	0.313	3.86	105.88 ± 170.40
Cold						
2008	7 – 20 May	50	251.6	-0.612	1.89	86.94 ± 183.47
2009	8 – 17 May	63	246.6	-0.901	1.89	6.80 ± 18.93
2010	1 – 17 May	70	244.9	-1.005	2.29	48.99 ± 137.49
2012	1 – 24 May	108	252.1	-0.581	1.15	119.58 ± 390.03

<sup>a</sup>Number of bongo net tows conducted within the study area.

<sup>b</sup>Estimated female spawning biomass (1000 tons) in the Bering Sea and Aleutian Islands regions (McGilliard et al., 2016).

<sup>c</sup> Standardized anomalies of natural log transformed spawning stock biomass.

<sup>d</sup>Mean water temperature (°C) at maximum gear depth (for most net tows this was 10 m above bottom) across all stations sampled within the study area.

<sup>e</sup>Mean egg density (number/10m<sup>2</sup>) ± standard deviation within the study area.



**Table 2**

Generalized additive models tested to examine the effect of warm and cold years on presence/absence and density of Flathead Sole eggs in the southeastern Bering Sea study area in May. The terms *additive*, *local* and *threshold* refer to the three model formulations used to assess the effect of water temperature on egg density. In the *additive* model, the temperature effect is assumed to be equally applying to all locations sampled and can be non-linear. In the *local* model, the effect of temperature is locally linear, but smoothly changing over space. In the *threshold* model the distribution of eggs changes abruptly in relation to the annual average temperature, resulting in two different egg distribution patterns – one in the warm years of 2002-2005, and another in the cold years of 2008-2012.

Model	Equation	SSB <sup>a</sup>	d <sup>b</sup>	t <sup>c</sup>	Location <sup>d</sup>	edf <sup>e</sup>	AIC <sup>f</sup>	R <sup>2</sup>
Presence/Absence	1	factor	additive	additive	additive	33.15	433.7	0.46
Abundance	2	factor	additive	additive	additive	32.00	814.4	0.57
Abundance	3	smooth	- <sup>g</sup>	additive	additive	27.22	821.5	0.55
Abundance	4	factor	additive	local	additive	40.12	807.3	0.59
Abundance	5	smooth	- <sup>g</sup>	local	additive	34.96	814.6	0.58
Abundance	6	factor	additive	threshold	nonadditive	46.26	836.0	0.56
Abundance	7	smooth	additive	threshold	nonadditive	42.44	841.2	0.54

<sup>a</sup>female spawning stock biomass. Standardized natural log anomalies were used as values when SSB was included as a smooth term.

<sup>b</sup>day of year

<sup>c</sup>water temperature (°C) at maximum gear depth (for most net tows this was 10 m above bottom)

<sup>d</sup>latitude and longitude

<sup>e</sup>estimated total degrees of freedom

<sup>f</sup>Akaike's information criterion value

<sup>g</sup>- covariate not included in final model