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5 **Flow Patterns in the Chukchi Sea based on an Ocean Reanalysis, June**

6 **through October 1979-2014**

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19

20 **ABSTRACT**

21

22 The oceanography of the Arctic is changing, with the potential to restructure the function  
23 and production of its ecosystems. The physical oceanographic conditions that have  
24 occurred on the Chukchi Sea shelf during June through October of the years 1979–2014  
25 were investigated using the ORAS4 ocean reanalysis product. Time series of vertically  
26 integrated temperatures (especially during September and October) indicate greater  
27 warming in the first half of the 36-year record. This change in the long-term temperature  
28 trend may be in part due to trends in the mean currents, which in Herald Canyon and off  
29 the coast of Northwest Alaska tended to be slightly less poleward after 2000. A k-means  
30 cluster analysis of monthly mean sea-surface height anomaly distributions was used to  
31 describe five distinct patterns of flow. Two of the five patterns (clusters 2 and 4) relate to  
32 the strength of the Alaskan Coastal Current (ACC). Another pair of patterns (clusters 3  
33 and 5) had their strongest expressions in the northwest Chukchi Sea and relate to periods  
34 of weak southeastward versus strong northwestward flow in this region associated with  
35 the presence or absence of the Siberian Coastal Current. The fifth pattern (cluster 1) was  
36 defined by weak southeastward flow anomalies in the western Chukchi and a slightly  
37 suppressed ACC relative to the mean in the eastern Chukchi Sea. The composite sea-  
38 surface height anomaly patterns of the five cluster types correspond closely with the  
39 mean sea level pressure anomaly distributions during the months constituting each cluster  
40 type. Our findings for the Chukchi Sea region provide a long-term context for previous  
41 field observations and may be useful for interpretation of past ecosystem variations.

42 **Keywords:** Chukchi Sea, temperature, long-term change, currents, sea surface height,  
43 ecosystem

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## 46 **1. Introduction**

47

48 This paper provides a physical oceanographic perspective on the Chukchi Sea for this  
49 special issue, the second volume of the Synthesis Of Arctic Research (SOAR) program.  
50 Our objective is to describe the mean and variability in the regional scale flow during the  
51 months of June through October of 1979-2014, building upon previous studies for the  
52 area (e.g. Weingartner et al., 2005, 2013; Gong and Pickart, 2016). The mean flow  
53 through Bering Strait is northward, driven by difference in sea surface height (SSH)  
54 between the Pacific and Arctic Oceans (Aagaard et al., 2006). The average rate of  
55 transport through Bering Strait is approximately  $1 \times 10^6 \text{ m}^3 \text{ s}^{-1}$  (1 Sv) with considerable  
56 interannual variability (Woodgate et al., 2012; Woodgate et al., 2015). The general  
57 circulation on the Chukchi Sea shelf is also northward. The Chukchi flow divides  
58 principally into three branches associated with the bathymetry: Herald Canyon, Central  
59 Channel, and along the Alaskan coast (see Fig. 1 for the locations of these and other  
60 bathymetric features). Much of the Central Channel flow joins the coastal flow to exit the  
61 Chukchi Sea shelf through Barrow Canyon (e.g. Wang et al., 2014; Gong and Pickart,  
62 2016). The total transport exiting via Barrow Canyon is  $\sim 0.4$  Sv (5-year average, 2010–  
63 2015; Stabeno et al., 2017). The heat associated with this water source ultimately impacts  
64 sea-ice coverage in the Beaufort Sea (e.g. Shimada et al. 2006; Itoh et al. 2015). Along

65 with the overall northward flow, at times a southeastward flow termed the Siberian  
66 Coastal Current (SCC) develops along the Siberian coast, eventually turning northward  
67 and joining the flow toward Herald Canyon (Weingartner et al., 1999). The SCC is  
68 ephemeral, with fluctuations on sub-seasonal to interannual time scales.

69 The currents throughout the Chukchi Sea vary on time scales ranging from days to  
70 years. The sub-seasonal variations in the currents through Bering Strait are primarily  
71 wind forced, with the strongest and weakest fluctuations typically in the months of winter  
72 and summer, respectively. The flow farther north on the Chukchi Sea shelf at Icy Cape is  
73 of a similar nature, with maximum flow in the summer and maximum variability in the  
74 winter months (Stabeno et al., 2016). Reversals of flow can occur throughout the year,  
75 with the strongest reversals typically in winter. Reversals from the usual northeastward  
76 flow in Barrow Canyon can result in the transport of Atlantic water and plankton onto the  
77 shelf at least as far south as Icy Cape (Ladd et al., 2016; Pinchuk et al., 2017). Direct  
78 measurements of these kinds of variations, however, are limited to a few locations and  
79 mostly of relatively short (a few days) duration.

80 Danielson et al. (2014) addressed the limited scope of direct long-term measurements  
81 by using a combination of atmospheric reanalyses, oceanographic observations, and  
82 numerical ocean model experiments to investigate the circulation of the northern Bering  
83 Sea shelf and Chukchi Sea shelf. They found that the transport in Bering Strait was  
84 influenced not just by local winds, but also by larger-scale atmospheric patterns,  
85 particularly the longitudinal position of the Aleutian Low. Variations on synoptic time  
86 scales of a few days can also be related to coastally-trapped waves that are remotely  
87 generated. They also found that large-scale atmospheric patterns influence the ocean in

88 both the Pacific and the Arctic, ultimately impacting the strength of the pressure head  
89 between the Pacific and Arctic Oceans. Danielson et al. (2014), and references therein,  
90 represent a good resource for readers interested in delving into the progress that has been  
91 made in understanding the physical oceanography of the Chukchi Sea and its controls.

92 The biology of the Chukchi Sea has also received prior attention. Most recently,  
93 several special issues are of note: collections of papers on the ecology of the northeastern  
94 Chukchi Sea (Hopcroft and Day, 2013; Dunton et al., 2014), the Russian-American  
95 Long-term Census of the Arctic (Crane and Ostrovskiy, 2015) and the first SOAR special  
96 issue (Moore and Stabeno, 2015). As discussed by Day et al. (2013), the Chukchi Sea  
97 features contrasting ecosystems in close proximity that are related to water masses of  
98 different origin. Three water masses enter the Chukchi from the Bering Sea: salty,  
99 nutrient-rich Anadyr Water; the slightly fresher, nutrient-rich Bering Shelf Water; and the  
100 warmer and fresher still Alaskan Coastal Water. Anadyr Water and Bering Shelf Water  
101 tend to include higher zooplankton biomasses (Springer et al. 1989) and are particularly  
102 important in structuring the largest area of the Chukchi Sea shelf. As indicated in  
103 Pisareva et al. (2015), among others, the lateral distributions of the water masses of the  
104 region vary with the winds. Physical oceanographic fluctuations on interannual time  
105 scales have been found to be prominent forcing functions within the ecosystem from  
106 lower-trophic levels (e.g. Questel et al., 2013; Busby et al., 2015; Ershova et al., 2015;  
107 Pinchuk and Eisner, 2017) to intermediate levels (Sigler et al., 2017) and on to top  
108 predators (e.g., Aerts et al., 2013; Gall et al., 2016). The overall warming of the region  
109 and the associated longer ice-free season (Grebmeier et al., 2015; Overland and Wang,  
110 2010; Wood et al., 2015) should be having a tangible effect on the ecosystem of the

111 Chukchi Sea. This raises a question: Are systematic and long-term changes in the  
112 physical oceanography of the Chukchi Sea emerging above the year-to-year variations?

113 This paper describes the mean and variability in the physical oceanography of the  
114 Chukchi Sea during the months of June through October across a 36-year period. We  
115 focus on the warm months with limited (or no) ice cover, because it is a critical period in  
116 this arctic marine ecosystem. It is a time of year when the poleward advection through  
117 Bering Strait is enhanced and there is increasing production at lower-trophic levels,  
118 which provides critical nutrition for marine mammals and seabirds (e.g. Grebmeier et al.,  
119 2015; Kuletz et al., 2015; Citta et al., 2015). Our analysis is based on monthly mean  
120 gridded data from the operational ocean analysis system 4 (Ocean-S4) developed by the  
121 European Centre for Medium-Range Weather Forecasts (ECMWF). Specifically, we use  
122 this system's reanalysis product (ORAS4) for the years of 1979 through 2014, with a  
123 focus on vertically-integrated currents and heat contents. Our findings include  
124 descriptions of the seasonal evolution of the mean flow and heat content, and of the long-  
125 term trends in heat content. We characterize the variability in the flow in terms of five  
126 patterns determined from a cluster analysis of anomalous SSH distributions. We  
127 conclude with a summary and discussion of possible connections between our physical  
128 oceanographic results and the marine ecosystem. Our overarching goal is to provide  
129 context for future marine research focused on the Chukchi Sea region, in particular how  
130 fluctuations in the flow described here may be useful in the further development of the  
131 Arctic Marine Pulses (AMP) model (Moore et al., 2017, this issue).

132

133 **2. Data sources and methods**

134

135       Our analysis is based on monthly mean oceanographic data from the ORAS4  
136 operational ocean reanalysis that is produced by the European Centre for Medium-Range  
137 Weather Forecasts (ECMWF). This product is based on output from Nucleus for  
138 European Modeling of the Ocean (NEMO) numerical ocean model simulations  
139 constrained by observations via the NEMOVAR ocean data assimilation system. The  
140 observations assimilated include sea surface temperature (SST) and sea-ice products,  
141 temperature and salinity profiles, and SSHs estimated from altimeters (the latter from  
142 October 1992 onward). The atmospheric forcing, i.e. the surface fluxes at the air-sea  
143 interface, is derived from atmospheric reanalyses, specifically ECMWF's ERA-40, ERA-  
144 Interim, and operational archives. The surface fluxes are adjusted by nudging to  
145 observed SST and sea-ice concentration fields. Errors that are potentially introduced by  
146 this procedure of a compensating nature in the region of interest are unknown. Balmaseda  
147 et al. (2013) provide an overview of ORAS4, including an evaluation of some of its  
148 output with independent observations. The ORAS4 data we used were downloaded from  
149 a web site maintained by the Asia-Pacific Data-Research Center of the University of  
150 Hawaii at the following address: <http://apdrc.soest.hawaii.edu/data/data.php>. We note that  
151 the ORAS4 product was just recently extended back to 1958, and forward through 2015;  
152 users are advised that the first two decades of data in the new version include large  
153 uncertainties and should be used with caution.

154       We recognize that the introduction of SSH data in 1992 could compromise the ORAS4  
155 product through the creation of artificial trends or biases. We addressed this concern in  
156 two ways: (1) by comparing ORAS4 output with that from the Simple Ocean Data

157 Assimilation (SODA) version 2.2.4 (Giese and Ray, 2011), an ocean reanalysis that does  
158 not include SSH data in its assimilation procedure; and (2) by repeating a portion of our  
159 analysis for just the years of 1993 through 2014, and comparing the results with those  
160 from the years of 1979 through 2014 of the full data set.

161 We emphasize that our analysis focuses on the broad-scale aspects of the flow in the  
162 Chukchi Sea during June through October. The backbone of ORAS4 is the NEMO  
163 numerical ocean model, which has horizontal resolution of 1 degree outside of the  
164 tropics. An important implication is that this product is not appropriate for specifying  
165 details in the flow in the immediate vicinity of the coast or prominent bathymetric  
166 features. Our objective is to use it to describe the seasonal mean evolution of the physical  
167 oceanography of the Chukchi Sea on 100+ km length scales and in terms of vertically-  
168 integrated currents and heat content during the June through October period, and to  
169 explore the variability in these conditions from 1979 to 2014. For these purposes, we  
170 expect oceanographic fields from ORAS4 are especially valuable for the western part of  
171 the Chukchi Sea in Russian waters, where publicly available oceanographic observations  
172 (aside from SST) are limited.

173 The variability in physical oceanographic conditions during the period of 1979–2014  
174 is examined here using distributions of SSH as the discriminatory variable. The  
175 distribution of SSH reflects the barotropic component of the flow, which dominates  
176 monthly mean transports in the Chukchi Sea, because it is relatively shallow (generally <  
177 60 m). Our method employs cluster analysis (e.g. Gordon, 1981) to identify basic patterns  
178 in SSH and the temporal variability in these patterns over the period considered.  
179 Specifically, we use the non-hierarchical and relatively simple technique of k-means



180 clustering. In a nutshell, this technique separates the individual realizations, in our case  
181 monthly mean SSH anomaly distributions, into a specified number of clusters, with each  
182 member belonging to the cluster that minimizes the distance of the data points from their  
183 mean counterparts for that cluster.

184 Our method is not designed to yield necessarily the most dynamically relevant modes,  
185 but rather a reduced set of common patterns amenable to further investigation. Principal  
186 component analysis (PCA) has also been used for this kind of purpose. We are not  
187 claiming that k-means clustering is superior in all aspects, but in contrast to PCA, it does  
188 not require that the modes be orthogonal to one another, nor that field distributions occur  
189 in spatial patterns of opposite polarity. A paper by Robertson and Ghil (1999) represents  
190 a previous example of the use of k-means clustering to characterize weather regimes.

191 The steps used in forming the clusters are as follows. Monthly mean values of SSH  
192 were determined for each of the 74 grid points within our Chukchi Sea shelf region of  
193 interest (see Fig. 1 for areal boundary). Mean SSH anomalies for each of these grid points  
194 were then computed for the months of June through October for each of the years of 1979  
195 through 2014: a total of 180 realizations. A series of experiments were conducted with  
196 different values for k, the number of clusters. We examined the spatial patterns in the  
197 composite SSH anomaly distributions for each cluster category. Similar (i.e. recurring)  
198 patterns emerged using k values greater than four, and the particular months that were  
199 included in a single cluster category were generally consistent. With the twin goals of  
200 simplicity and completeness in characterizing the fluctuations, we settled on a value of k  
201 = 5. It should be noted that the ordering of the clusters depends on initial (seed) values for  
202 the centers of each cluster that are chosen randomly, and then corrected by an iterative

203 procedure. Hence, the ordering of the clusters does not relate to the proportion of  
204 variance explained, unlike the modes from PCA. Again, we are only contending that our  
205 method serves as one way to characterize the variability in the monthly mean flow in the  
206 region of interest, but it does appear to yield reasonable and informative results, as will  
207 be shown below.

208

### 209 **3. Results and Discussion**

210

#### 211 *3.1 Mean flow and heat content*

212

213 We begin by summarizing the mean flow from June through October. A map of the  
214 mean distribution of SSH, and the vertically integrated flow in vector form, from ORAS4  
215 for the years 1979–2014 is shown in Fig. 2. As found in previous studies, the mean flow  
216 on the Chukchi Shelf is northward, with stronger east-west gradients in SSH and, hence,  
217 higher velocities in the eastern portion of the domain. There is a weak signature of the  
218 northeast flow of the Alaskan Coastal Current (ACC) described by Paquette and Bourke  
219 (1974), and enhanced northward flows through Herald Valley and the Central Channel  
220 (Weingartner et al., 2005). Nevertheless, the horizontal resolution of the reanalysis is too  
221 coarse to resolve the known details in the flow (Weingartner et al., 2013) in the vicinity  
222 of mesoscale bathymetric features of the northeastern Chukchi Sea shelf. The ORAS4  
223 better serves to describe the variability in the broad-scale (100+ km) flow, which is the  
224 primary objective of this study. For example, it is appropriate for examining fluctuations  
225 in the overall flow in the western Chukchi Sea along the Siberian coast. This region has

226 relatively weak northwestward currents in the mean (Fig. 2), but as will be shown below,  
227 this mean is composed of months of strong northwestward flow, relatively quiescent  
228 conditions, and occasional sustained southeastward flow, i.e., a prominent SCC.

229 Bering Strait represents the primary source of water for the Chukchi Sea, and the mean  
230 and variability in this source from the ORAS4 product was considered. Mean values of  
231 the northward currents at 66.5°N averaged from 168.5 to 167°W were  $\sim 25 \text{ cm s}^{-1}$  in early  
232 summer and  $\sim 15 \text{ cm s}^{-1}$  in winter, with substantially greater year-to-year variability in  
233 winter months. These mean values are comparable, but smaller, than those reported by  
234 Danielson et al. (2014) for the A3 mooring at 66.3°N, 169.0°W. This discrepancy may  
235 be related in part to the coarse spatial resolution of the ORAS4 reanalysis and hence its  
236 misrepresentation of the details in the flow immediately to the north of Bering Strait. In  
237 terms of interannual variations, Woodgate et al. (2015) show that relatively weak Bering  
238 Strait transports occurred in 2001, 2005 and 2012 and that strong transports occurred in  
239 2004, 2007, 2011 and 2013. In accordance with this finding, the mean northward  
240 currents from ORAS4 were below the mean in 2001, 2005 and 2012, and above the mean  
241 in 2004, 2007 and 2011, with deviations from the mean of about 20-35%. An exception  
242 is represented by 2013, which featured a relatively high mean transport in the  
243 observations but had near normal mean currents in ORAS4. Overall, it appears that  
244 ORAS4 represents the mass transport of water through Bering Strait into the Chukchi Sea  
245 reasonably well.

246 Next we consider the evolution of oceanographic conditions in the Chukchi Sea from  
247 June through October by examining monthly mean vertically integrated temperatures and  
248 currents for the years 1979-2014 (Fig. 3). The strength of the poleward flow is generally

249 greater in the earlier months (June and July) than in the later months (September and  
250 October). Similar results are found in the observations for Bering Strait (Woodgate et al.,  
251 2005) and at Icy Cape (Stabeno et al., 2017). On the other hand, the basic structure of the  
252 mean flow is similar in all five months, which allows them to be treated as a single group  
253 in the cluster analysis. With regard to the temperature distribution, the meridional  
254 gradients are particularly strong from July through September, with the zone of peak  
255 gradients moving from south to north (with the melting of sea ice). The eastern portion  
256 of the Chukchi Sea is generally warmer than the western portion, reflecting the enhanced  
257 flow of relatively warm water associated with the ACC.

258 In more quantitative terms, what controls the seasonal evolution of heat content in the  
259 Chukchi Sea? To address that question, we evaluated the mean contributions of  
260 horizontal advection ( $UT_x + VT_y$ ) and net surface heat fluxes to the vertically integrated  
261 heat content during the months of June through October (Fig. 4). Our analysis domain  
262 here does not extend as far south toward Bering Strait as in our earlier analysis. The  
263 strong flow in the vicinity of Bering Strait combined with small errors in specification of  
264 the direction of the current relative to the orientation of the isotherms lead to  
265 unrealistically large values for the advective term. This limitation of the one-degree grid  
266 spacing for the temperature and velocity fields used in the present analysis appears to be  
267 minimal for the portion of the Chukchi Sea north of about  $67^\circ\text{N}$ . Both the advective and  
268 surface flux terms are generally stronger in the southern than in the northern portion of  
269 the Chukchi during June and July. Horizontal advection is largely responsible for the  
270 continued heating from August into September. The surface heat flux changed sign from  
271 heating the water column during August to cooling it during September. Because of the

272 continuous (in the mean) poleward transport of relatively warm water, the surface and  
273 advective fluxes are counteracting each other late into the warm season. The surface and  
274 advective fluxes are both warming terms in the heat budget early in the warm season,  
275 with the melting of sea ice cooling the water column at rates of roughly 1-2 °C per month  
276 in June (relatively little ice is present afterwards).

277

### 278 *3.2 Temporal variability and trends*

279

280 Next we focus on the temporal variability in heat content in various parts of the  
281 Chukchi Sea. For this purpose we considered time series of spatially averaged  
282 temperatures in three different sub-regions (Fig. 1), given the potential for meaningful  
283 spatial variations in trends. The three regions selected are: (1) a southern area (67–68°N,  
284 172–165°W) at the southern end of the Hope Sea Valley, which is expected to be most  
285 sensitive to flow through Bering Strait; (2) a northeastern area (70–71.5°N, 168–162°W)  
286 between Herald Shoal and Hanna Shoal, which is likely to primarily reflect the variability  
287 in ACC (Stabeno et al., 2018); and (3) a northwestern area (69.5–71°N, 177–171°W)  
288 including a region of relatively deep (>50 m) water south of Herald Canyon, which  
289 should serve as an indicator of thermal conditions in the western Chukchi Sea. Averages  
290 were computed separately for June–July (Fig. 5a) and for September–October (Fig. 5b) to  
291 assess possible differences between the early and late portions of the warm season. As  
292 expected, on average the south is warmer than either of the northern regions, especially  
293 early in the warm season. For the period of 1979–2014, the greatest overall warming was  
294 in the northeastern area (~1.8 °C) and the least overall warming was in the southern area

295 (~0.9 °C). Considering both times of year, and the three locations as a group, the  
296 warming from 1979 through 1996 was about five times stronger than that from 1997  
297 through 2014. Positive trends significantly different from zero at the 90% confidence  
298 level were found for June-July at the southern location, and for September-October at the  
299 northeastern and southern locations. The second half of the record lacked trends that  
300 were significantly different from zero ( $p < 0.10$ ). There were greater positive correlations  
301 in the interannual variations between early (June–July) and late (September–October)  
302 temperatures in the northeastern and northwestern areas ( $r \sim 0.7$ ) than in the southern area  
303 ( $r \sim 0.55$ ). The latter result probably reflects the greater ventilation rate in the south  
304 related to its strong mean currents.

305 The lack of prominent warming in the second half of the record was not anticipated.  
306 Due to the concern mentioned in the methods section about the possibility of artifacts  
307 introduced by the assimilation of SSH data beginning partway through the record, we  
308 also examined the heat content in the SODA v2.2.4, which is not subject to this step  
309 change in data availability in 1992. Specifically, we examined the heat content at the end  
310 of the warm season (September-October averages) in the northeastern Chukchi Sea.  
311 There is a close correspondence between the two different reanalysis products in terms of  
312 their identification of individual warm and cold years. Both products indicate a warming  
313 of about 2°C from the early 1980s to late 1990s, and a lack of statistically significant  
314 trends afterwards.

315 Next we consider the temporal variability in the currents, focusing on the along-shore  
316 component of the current at two coastal locations, and the meridional currents in Herald  
317 Canyon to the east of Wrangel Island (measurement locations indicated as asterisks in

318 Fig. 1). The along-coast component of the flow at a northeast location off the coast of  
319 Alaska near Icy Cape (Fig. 6a) indicates exclusively northeastward-directed flow early in  
320 the warm season (June–August). More variable flow is found in September and  
321 especially October. There are negative trends in this flow for each of the months  
322 considered, with the magnitudes for June and August being significant at the 90%  
323 confidence level. Negative values, which signify reversals to southwestward flow, occur  
324 intermittently through the entire record, with reversals of larger magnitude occurring in  
325 the second half. The net weakening of the ACC found here (with considerable sub-  
326 seasonal and interannual variability) is consistent with the lack of warming in the second  
327 half of the record. A southwest location at the Siberian coast (Fig. 6b) experienced a  
328 preponderance of negative (implying west-northwestward) along-shore currents with  
329 positive values, i.e. strong manifestations of the SCC, occurring mostly during the latter  
330 portion of the warm season. More specifically, 13 of the 18 Octobers during the second  
331 half of the record had flow toward the east-southeast, while only 6 out of 18 Octobers  
332 during the first half of the record had an along-coast component of the flow in this  
333 direction. The overall trend in the flow for October is marginally significant ( $p \sim 0.17$ ).  
334 Net changes of a similar sense, but with weaker magnitudes, were found for the months  
335 of July through September. The meridional flow at the northwest location in Herald  
336 Canyon is shown in Figure 6c. There is a tendency for less poleward flow in each month.  
337 The trends for June, September and October are significantly different from zero at the  
338 90% confidence level, with greater net changes during September and October. The only  
339 two months with net southward flow were August 2011 and September 2012. More  
340 information on how the nature of the flow has changed over the period of record is

341 provided in the following section.

342

### 343 *3.3 Flow patterns identified by cluster analysis*

344

345 Here we depict the flow patterns characteristic of each cluster type, and the temporal  
346 variability of occurrence for each cluster type. We begin by presenting the distributions  
347 of the composite SSH anomaly and mean vertically-averaged currents (not anomalies)  
348 with each of the five cluster types. As mentioned in Section 2, the ordering of the clusters  
349 is arbitrary.

350 Cluster 1 (Fig. 7a) represents a relatively low amplitude pattern with weak  
351 southeastward flow anomalies in the western Chukchi (due to anomalously higher SSH  
352 along the Siberian coast) and a slightly suppressed ACC relative to the mean (Fig. 2) in  
353 the eastern Chukchi Sea. Cluster 2 (Fig. 7b) features a stronger than normal ACC  
354 concentrated near the coast and weak northwestward flow anomalies in western Chukchi  
355 Sea. The primary signatures of Cluster 3 (Fig. 7c) are strong anomalous flow from west  
356 to east across the northern Chukchi Sea, a weak southeastward SCC in the mean, and a  
357 weaker than normal flow to the north out of Bering Strait. Cluster 4 (Fig. 7d) is  
358 distinguished by a strongly suppressed ACC with almost zero mean currents near Icy  
359 Cape; its pattern is close to a mirror image of the pattern with Cluster 2. The attributes of  
360 Cluster 5 (Fig. 7e) include moderate flow anomalies from east to west across the northern  
361 Chukchi Sea, strong northwestward flow along the Siberian coast, poleward transport  
362 anomalies through Bering Strait, and a broad flow instead of a coastally confined ACC.  
363 This pattern resembles that of Cluster 3 for the entire Chukchi Sea, but of opposite sign.



364 Clusters 1 and 2 occur most often (25% and 24% of the months, respectively) and Cluster  
365 3 occurs least often (12% of the months). Information about the timing of the realizations  
366 of the individual cluster members will be presented later in this section.

367 Some basic statistics were applied to determine how well the SSH anomaly  
368 distributions for the months comprising each cluster type correspond with the composite  
369 pattern for that type. The average spatial correlation coefficient between the SSH  
370 anomaly distribution for an individual month with the composite for its type was ~0.66.  
371 Lower spatial correlations were typical for the months in Cluster 1, which is consistent  
372 with it being a low amplitude pattern. The root mean square difference between the SSH  
373 anomaly values during an individual month and the composite SSH anomaly, averaged  
374 across all the grid points, ranged from about 0.035 to 0.040 m, which is about one-half of  
375 the average standard deviation in SSH. By this measure, Clusters 3 and 5 had greater  
376 deviations among the individual cluster members, which is expected since they are  
377 relatively high amplitude patterns.

378 We explored whether the discontinuity in the altimetry data for assimilation may have  
379 impacted the characteristic patterns in SSH variability. Our approach was to carry out an  
380 additional cluster analysis for just the period of 1993-2014 that included altimetry data  
381 for ORAS4 input, and compare the results with that from the full data set of 1979-2014.  
382 For the overlapping 22 years (110 separate months), there were five months that were  
383 assigned to different cluster categories. The primary change was that Cluster 3 lost four  
384 of its lower-amplitude members to Cluster 1 in the cluster analysis using the shorter  
385 (1993-2014) data set. The implication is that the step change in the altimetry data used  
386 for the ORAS4 reanalysis appears to have had minimal influence on the categorization of

387 the characteristic patterns of SSH variability.

388 A close correspondence was found between the regional atmospheric forcing during  
389 the months comprising each cluster type and the composite SSH anomaly distributions  
390 described above. Here we summarize the atmospheric forcing with composite sea level  
391 pressure (SLP) anomaly maps; such maps can be used to deduce the barotropic forcing of  
392 the ocean. The SLP pattern for Cluster 1 (Fig. 8a) implies anomalous winds from west to  
393 southwest and associated Ekman transports to the southeast in the western Chukchi, and  
394 little net effect on the flow in the eastern Chukchi Sea. The anomalous SLP for Cluster 2  
395 (Fig. 8b) implies southerly wind anomalies and, hence, a set-up favorable for an  
396 enhanced ACC as indicated in the corresponding SSH field. The enhanced north-south  
397 SLP gradient for Cluster 3 (Fig. 8c) indicates strong westerly wind anomalies and  
398 southward Ekman transports. The distribution of anomalous SLP during the months in  
399 Cluster 4 (Fig. 8d) is consistent with a suppressed ACC and only modest effects on the  
400 flow in the western Chukchi Sea. The SLP pattern for Cluster 5 (Fig. 8e) is almost  
401 exactly opposite to that for Cluster 3, as also found for the SSH distributions for the two  
402 clusters. The SLP distribution for Cluster 5 indicates anomalous winds from the east-  
403 northeast; the accompanying northwestward flow anomalies in the western Chukchi for  
404 this cluster are consistent with the results from a numerical model in conditions of  
405 prescribed east winds (Winsor and Chapman, 2004)

406 Summary statistics on the occurrence of each cluster type by month are presented in  
407 Table 1, with separate totals for the first and second halves of the 36-year record. Figure  
408 9 affords a complementary perspective on the cluster type for each of the five months  
409 during the years 1979 through 2014. The SSH pattern associated with Cluster 1 occurs

410 more frequently in June, July, and August as compared to September and October (Table  
411 1). Cluster types 2 and 4 tend to occur more often from July through September than in  
412 June or October, but only to a marginal extent. Cluster 3 flow tends to occur more often  
413 in June and October than the other months. Only Clusters 1 and 4 had a notably different  
414 frequency of occurrence in the first half versus the second half of the record. Cluster 1-  
415 type flow occurred more often during the first half of the record; after 2000, it did not  
416 occur at all during the months of September and October. Cluster 4-type flow was more  
417 frequent in the second half of the 36-year record during the months of August through  
418 October. Since Cluster 4 is associated with relatively weak poleward transports in the  
419 southern Chukchi Sea and a suppressed ACC, the greater frequency of its occurrence is  
420 consistent with a lack of warming in the second half of the record, especially for the  
421 months of September and October.

422 Our cluster analysis provides a means for assessing the persistence of various flow  
423 regimes in the Chukchi Sea within each June-October period. There were 27 cases of 2  
424 months in a row in the same SSH cluster type, and 4 cases of 3 consecutive months of a  
425 particular cluster type; hence, 66 of the 180 months considered are within a multi-month  
426 regime. This is close to what would be expected from chance, given that there are 4 (3)  
427 opportunities each year for 2 (3) months in a row in a particular flow category. These  
428 results imply the dominance of month-to-month variations in the flow versus seasonal  
429 anomalies in the Chukchi Sea. We note that our analysis does not address the character of  
430 the interannual variability, but our inspection of the time series of the occurrences of each  
431 cluster type, as illustrated in Fig. 9, suggest a lack of sustained flow regimes.

432 Currents have been measured by moorings along a cross-shore oriented transect at Icy

433 Cape since August 2010 (Stabeno et al., 2017), providing the opportunity to compare the  
434 present results with direct data. To begin with, these observations have revealed that the  
435 flow at Icy Cape typically includes a branch of eastward flowing Central Channel water  
436 joining the northeastward flowing ACC, which exits the shelf via Barrow Canyon. This  
437 onshelf-directed flow is evident in the SSH patterns of Cluster types 1, 3, and 4 (Fig. 7).  
438 Along-coast transports were estimated at Icy Cape for each month that moorings were  
439 deployed. The measured monthly transports anomalies associated with Clusters 1–3 are  
440 positive (northeastward), with an average magnitude of 0.2 Sv. The six months of the  
441 data set designated here in Cluster 4 had the weakest measured ACC on average. The  
442 monthly mean transport anomalies during these months were all negative (southwestward  
443 flow) with a mean of -0.2 Sv. The composite SSH anomaly pattern with Cluster 5  
444 indicates westward flow anomalies offshore of Icy Cape, and the months of this type  
445 should tend to include reduced contributions of Central Channel water to the ACC. Thus,  
446 it is not surprising the monthly mean observed transports associated with Cluster 5 are  
447 also negative (~0.1 Sv), with considerable variability among the five months of this type.  
448 The strongest negative transport (-0.5 Sv) measured at Icy Cape occurred in October  
449 2010, which is consistent with the large negative anomaly for that month from ORAS4  
450 shown in Figure 6a. In general, the Icy Cape mooring observations are consistent with the  
451 currents from ORAS4 and the results from our cluster analysis.

452 Danielson et al. (2016) represents another opportunity to compare our results with  
453 observations. They analyzed physical oceanographic conditions and nutrient and  
454 phytoplankton concentrations in the eastern Chukchi Sea from August 7 – September 24  
455 in 2012 and 2013. During 2012, there was a change from a relatively strong ACC in

456 August to weaker mean flow in September. This is consistent with our cluster analysis,  
457 which indicates that September 2012 is in Cluster 4, which has a composite SSH pattern  
458 featuring a suppressed ACC. For 2013, Danielson et al. (2016) report a weak ACC  
459 during August, and northwestward flow during September. Again, this compares  
460 favorably with our results, which indicate the months of August and September in the  
461 Cluster 4 and 5 categories, respectively.

462 We close our review of the results from the cluster analysis with material on the mean  
463 upper-ocean (surface to a depth of 20 m) horizontal divergence associated with each  
464 cluster type, in terms of anomaly maps (deviations from the distribution of divergence in  
465 the mean). It bears reiterating that the relatively coarse resolution ORAS4 reanalysis is  
466 ill-suited for specifying smaller-scale features such as in the immediate vicinity of the  
467 coast or in the southern portion of the region of interest near Bering Strait. On the other  
468 hand, we posit that the broad (100+ km) patterns in anomalous divergence over the  
469 central Chukchi Sea may be reasonably reliable. If so, they are relevant, from a biological  
470 point of view, in that divergence implies upwelling, which can be important for the  
471 supply of nutrients from below to the euphotic zone especially late in the summer when  
472 there is less sunlight, and convergence may serve to concentrate zooplankton and other  
473 prey for planktivorous fishes, seabirds and marine mammals. Concerning the magnitudes  
474 of this effect, the contour interval of  $2 \times 10^{-8} \text{ s}^{-1}$  in the anomaly maps of Figs. 10a-e is  
475 equivalent to a vertical velocity at a depth of 20 m of about 1 m per month. In general,  
476 the anomalies in horizontal divergence for each cluster type are weak relative to the  
477 divergence in the mean, which is illustrated in the lower-right corner of Fig. 10.  
478 Presumably, the mean divergence pattern primarily reflects the effects of the bathymetry,

479 while the anomaly patterns (Figs. 10a-e) show how and when the perturbations in the  
480 flow with each cluster type are manifested.

481 The mean divergence during the months in Cluster 1 (Fig. 10a) is a relatively low-  
482 amplitude anomaly pattern with small areas of moderate convergence south of Wrangel  
483 Island and north of Barrow, and mostly weak divergence across much of the central  
484 Chukchi Sea. The divergence signal in Cluster 2 situations (Fig. 10b) is concentrated in  
485 the eastern part of the Chukchi Sea, with convergence along the coast in the vicinity of  
486 Cape Lisburne and divergence offshore. The months in Cluster 3 tend to feature relatively  
487 strong convergence along the Siberian coast and divergence along the western coast of  
488 Alaska (Fig. 10c). This distribution is consistent with the Ekman transports toward the  
489 Siberian coast mentioned above, and a bifurcation in the anomalous flow approaching the  
490 Alaskan coast, namely a suppression of the northward flow in the southern portion of the  
491 ACC (Fig. 6c). The divergence anomaly pattern for Cluster 4 (Fig. 10d) is essentially  
492 opposite to that of Cluster 2, with mostly convergence in the central and northern  
493 Chukchi Sea. This is consistent with the anomalous SSH pattern for Cluster 4, which is  
494 basically the reverse of that for Cluster 2 in the central and eastern part of the domain.  
495 Similarly, the anomaly pattern with Cluster 5 (Fig. 10e) is mostly opposite to that of  
496 Cluster 3, with divergence off the Siberian coast and mostly convergence off the coast of  
497 Alaska. An exception is just north of Cape Lisburne, where there is divergence in the  
498 composites for both Cluster 3 and Cluster 5.

499

#### 500 **4. Summary and Ecosystem Implications**

501

502 The objective of this study was to document physical oceanographic conditions that  
503 have occurred on the Chukchi Sea shelf during the June through October period for the  
504 years of 1979–2014. The ORAS4 ocean reanalysis, which consists of output from  
505 simulations using a numerical ocean model constrained by observations, was used for this  
506 purpose. Since this information is limited to monthly means on a one-degree grid, our  
507 focus has been on broad-scale aspects of the flow. Our findings represent a long-term  
508 physical context for the biological studies included in this special issue and for future  
509 arctic ecosystem research on biophysical relationships.

510 The record of vertically integrated temperatures on the Chukchi shelf revealed a great  
511 deal of year-to-year variability, as expected, but also an unanticipated result in terms of  
512 longer-term trends. In particular, minimal warming occurred over the last half of the 36-  
513 year period of analysis, especially in September and October. This was unanticipated in  
514 light of the overall warming of the Arctic and decline in sea-ice extent at the end of the  
515 melt season. During this same time period, spring arctic sea-ice extent over the entire  
516 Arctic Ocean and marginal seas has decreased by an average of 2.6% per decade  
517 (<http://nsidc.org/arcticseaicenews/>). The lack of warming on the Chukchi shelf during this  
518 time of year may be related to changes in the flow, which tended to be less poleward near  
519 Herald Canyon and along the northwest coast of Alaska near Icy Cape, as compared with  
520 the first half of the analysis period. In other words, there appears to have been a slight  
521 decrease in the advection of warm Bering Sea waters since about the turn of the century.  
522 The monthly mean currents also appear slightly more variable in September and October  
523 during the second half of the record.

524 The gridded data set from ORAS4 is amenable to diagnosis of the patterns of

525 variability and for this purpose, we implemented a k-means cluster analysis of SSH  
526 anomaly distributions. The 180 months were separated into five cluster categories; we  
527 focused on composites formed from the months comprising each category. The first  
528 category, termed Cluster type 1, is a lower-amplitude pattern. It occurred during 31% of  
529 the months in the first half, but only 19% of the months in the second half of the record.  
530 It did not occur at all in September or October after 2000, which is consistent with the  
531 slightly greater variability seen in the currents mentioned above.

532 Cluster types 2 and 4 reflect SSH distributions associated with enhanced and  
533 suppressed poleward flow with the ACC, respectively. The emergence of SSH anomaly  
534 patterns of this character was expected since previous observational studies have found  
535 sizable fluctuations, and even reversals, in the ACC. The cluster analysis categorized 44  
536 months in Cluster type 2 and 33 months in Cluster type 4, suggesting the potential for  
537 skewness in the temporal variability of the ACC during the warm months. There did not  
538 appear to be a difference in the occurrence of these patterns between the first and second  
539 halves of this time series.

540 Cluster types 3 and 5 are virtually mirror images of one another and suggest a mode  
541 of variability for the Chukchi Sea shelf that may not have been previously appreciated.  
542 These two cluster types have strong expressions in the northwestern portion of the  
543 Chukchi Sea, where the mean flow is weak, but the variability is substantial. Cluster 3  
544 type flow, which occurs less often than the rest of the cluster types, features  
545 southeastward flow over a broad region extending from the Siberian coast to past  
546 Wrangel Island. The composite pattern associated with Cluster 5 includes stronger than  
547 normal flow through Bering Strait, with a disproportionate portion of this flow not



548 joining the ACC but rather flowing northwest toward and beyond Wrangel Island, which  
549 is the region that experiences southeastward flow during Cluster 3 months. This  
550 difference may have important biological consequences. Planktonic organisms  
551 originating in Hope Valley are apt to undergo considerably different trajectories in  
552 Cluster 3 versus Cluster 5 flow regimes, with life history implications. Similarly, Cluster  
553 3 may indicate a mode that transports Eastern Siberian Sea plankton into the eastern  
554 Chukchi Sea. The high month-to-month variability observed in cluster type helps to  
555 explain why short-term field studies indicate a range of outcomes, even in the same year.

556 The long-term and broad-scale oceanography described in this paper provides  
557 background information for related physical and biological oceanography studies, and  
558 potentially aids in the interpretation of ecosystem observations. For example, Hill et al.  
559 (this issue) explore decadal trends in regional primary production, including the onset of  
560 under-ice phytoplankton blooms. Results from the cluster analysis described here may  
561 shed additional light on their interpretations or promote avenues for further research.  
562 Support for this contention is provided by the consistency between the northward  
563 transports through Bering Strait found by Danielson et al. (2016) and the results from our  
564 cluster analysis for 2012 and 2013, and ultimately the differences in nutrient  
565 concentrations and chlorophyll standing stocks between the two years. Similarly, results  
566 from our cluster analysis may provide additional context for the formation and transport  
567 of corrosive water (Cross et al., this issue) and with regards to the deposition patterns of  
568 surface sediments on the Chukchi shelf (Cooper and Grebmeier, this issue).

569 At a much broader scale our paper supports the further development of the Arctic  
570 Marine Pulses (AMP) model, linking regional biological processes with seasonal and

571 annual variability in the physical environment (Moore et al., this issue). Specifically, the  
572 AMP model aims to encourage integrated research to track seasonal sea-ice and current-  
573 flow dynamics, coincident with variability in nutrients, benthic and pelagic production.  
574 For example, Hope Valley and the northeast Chukchi Sea feature comparatively high  
575 lower-trophic level production (based on sampling at Distributed Biological Observatory  
576 stations), and perhaps fluctuations in the flow— as revealed in the type of analysis used  
577 here— are key aspects of their ecosystems.

578 Beyond this special issue, our work extends the frame of past physical oceanographic  
579 studies of the Chukchi Sea, and can supplement the interpretation of arctic biological and  
580 ecosystem studies across time and space. For example, the review and analysis of long-  
581 term changes in the zooplankton community of the Chukchi Sea by Ershova et al. (2015)  
582 documents both interannual variability and long-term trends, and explores links to  
583 contrasting water masses. Other works also demonstrate the connection between plankton  
584 community composition and currents for zooplankton as well as larval fish (e.g. Norcross  
585 et al., 2010; Pinchuk and Eisner, 2017). Gall et al. (2016) connects changes in available  
586 prey to trends in Chukchi Sea marine bird populations, within a context of variable flow  
587 through the Bering Strait and changes in the Chukchi Sea physical environment. From a  
588 holistic perspective, changes in oceanographic conditions can lead to species  
589 replacements or distributional shifts that disrupt entire food webs. For example, many  
590 arctic species prey on arctic cod (*Boreogadus saida*) (e.g. Whitehouse et al., 2014), and  
591 benefit from the high lipid content of that fish and the efficiency at which it converts its  
592 prey to fish biomass at very low temperatures (Copeman et al., 2016; Laurel et al., 2016).  
593 Saffron cod (*Eleginus gracilis*) have the potential to replace arctic cod in the warming

594 coastal areas of the Beaufort and Chukchi Seas and this could impact the nutrition  
595 available to higher trophic levels (Copeman et al., 2016; Laurel et al., 2016).  
596 Oceanographic conditions from reanalyses, such as presented here, provide a means for  
597 better understanding past biological observations, especially their potential linkages to  
598 the physical environment. The type of analysis we conducted may also be useful in  
599 comparing regions such as the Chukchi and Barents Seas (Hunt et al., 2013; Logerwell et  
600 al., 2015).

601 Finally, it would be interesting to determine whether the characteristics of the  
602 temporal and spatial variability diagnosed here are replicated by global climate models.  
603 Historical simulations for recent decades in forecast mode, i.e., not constrained by  
604 observations, would be appropriate for this purpose. It would also be worthwhile to  
605 explore how the nature and frequency of patterns in present-day simulations compare  
606 with their counterparts for later in the 21<sup>st</sup> century.

607

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831  
832 **Table 1.** Cluster member occurrence by period showing the number (percent) of months  
833 associated with each cluster. The bottom two lines are the number months (percent)  
834 associated with first half of the record (1979-1996) and the second half of the record  
835 (1997-2014).

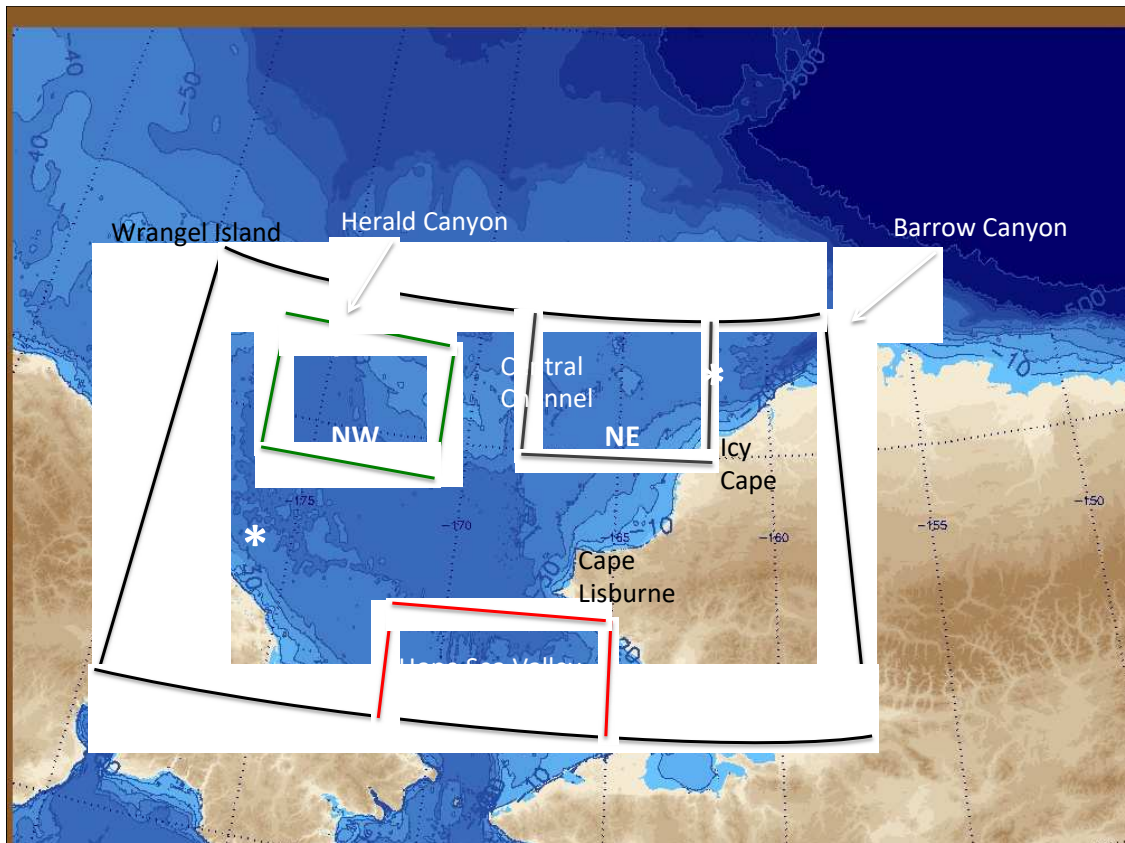
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<b>Cluster</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>
<b>June</b>	12 (33%)	7 (19%)	5 (14%)	4 (11%)	8 (22%)
<b>July</b>	9 (25%)	10 (28%)	3 (8%)	8 (22%)	6 (17%)
<b>August</b>	11 (31%)	9 (25%)	3 (8%)	6 (17%)	7 (19%)
<b>September</b>	5 (14%)	11 (31%)	4 (11%)	9 (25%)	7 (19%)
<b>October</b>	8 (22%)	7 (19%)	7 (19%)	6 (17%)	8 (22%)
<b>1979-1996</b>	28 (31%)	23 (26%)	11 (12%)	12 (13%)	16 (18%)
<b>1997-2014</b>	17 (19%)	21 (23%)	11 (12%)	21 (23%)	20 (22%)

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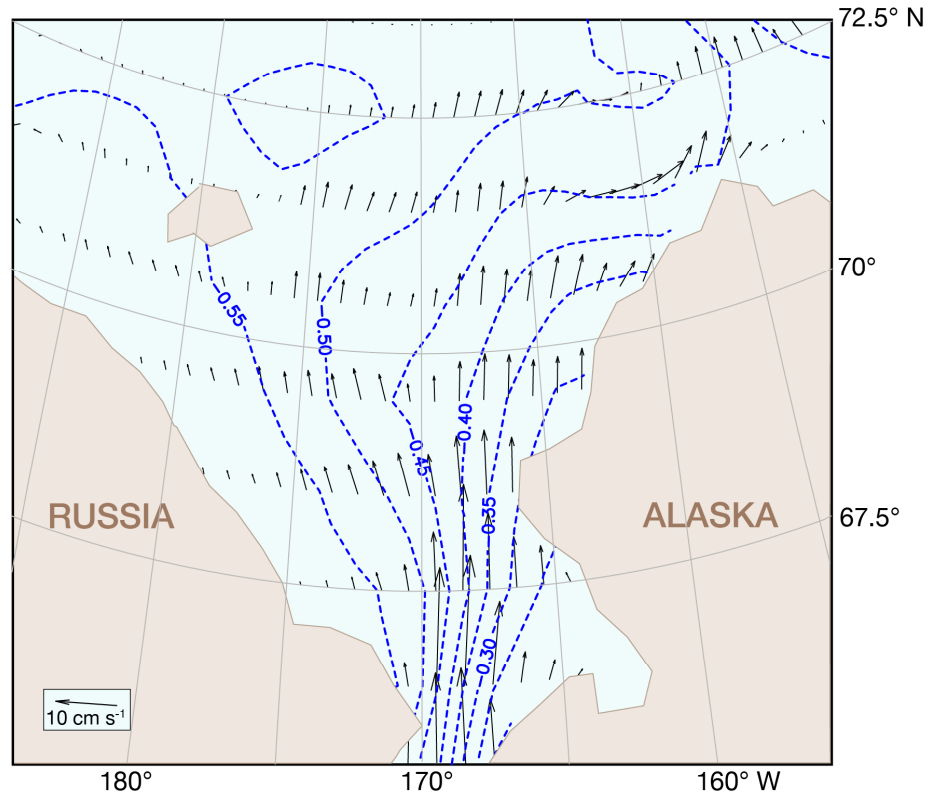
839 **Figures**

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841

842 Fig. 1. Chukchi Sea base map (depth contours at 10-m intervals) and region used for  
843 cluster analysis (enclosed by solid black lines). The sub-regions used to evaluate  
844 temporal variability in heat content are enclosed by red, gray and green boxes and labeled  
845 with sub-region name (S, NE, NW). Asterisks (\*) show the locations where vertically-  
846 integrated currents are evaluated.



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848 Fig. 2. Mean sea surface height (m, negative values indicated with dashed contours)  
 849 during June through October 1979–2014. Arrows indicate the mean vertically integrated  
 850 currents (scale at lower left).

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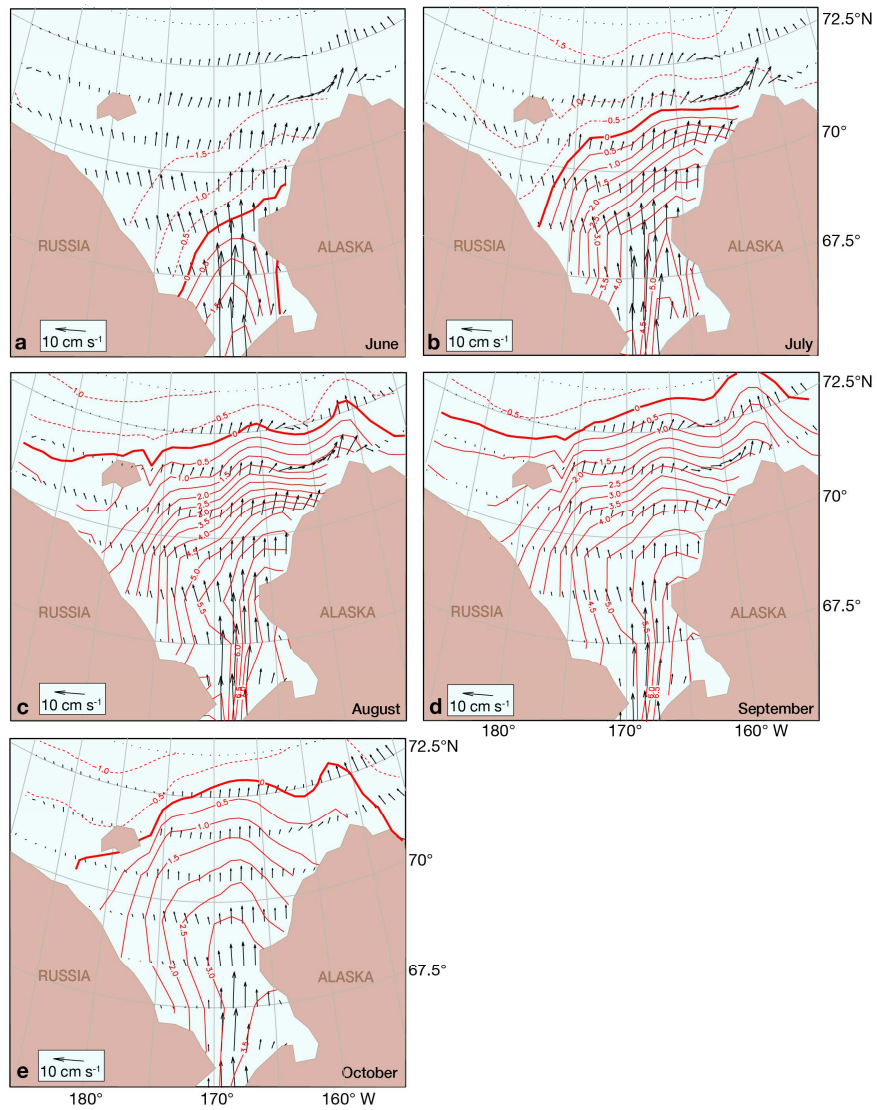


Fig. 3. Mean vertically integrated temperature (contour interval 0.5 °C, negative values indicated by dashed contours) and current vectors (scale at lower left) for the years of 1979 through 2014 during the months of (a) June, (b) July, (c) August, (d) September, and (e) October.

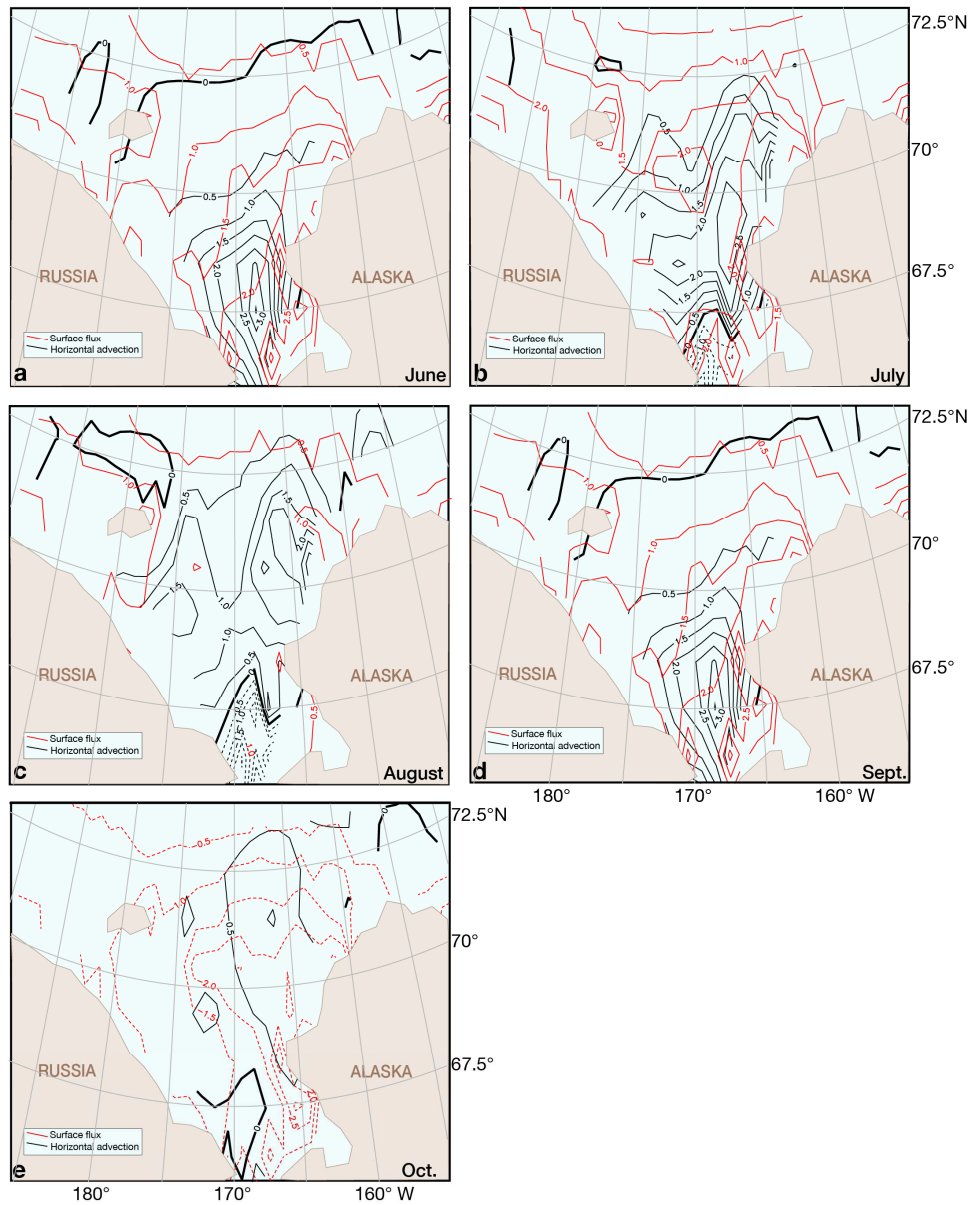


Fig. 4. Mean heating rate (contour interval 0.5 °C per month; negative values indicated by dashed contours) due to the net surface heat fluxes (red) and horizontal advection (black) for the years of 1979 through 2014 during the months of: (a) June, (b) July, (c) August, (d) September, and (e) October.



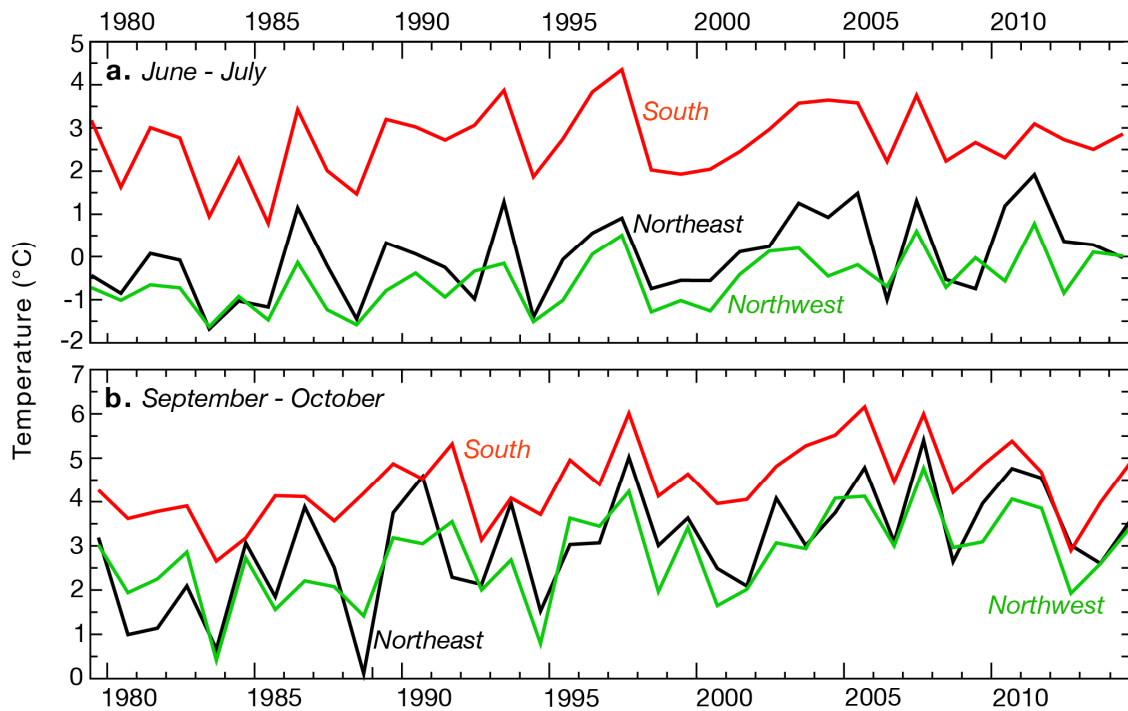


Fig. 5. Time series of vertically averaged temperatures during (a) June–July and (b) September–October from 1979 to 2014 for regions in the southern (red), northeastern (black), and northwestern (green) areas of the Chukchi Sea (shown in Fig. 1). These regions are indicated in Fig. 1 by the boxes labeled S, NE, and NW, respectively.

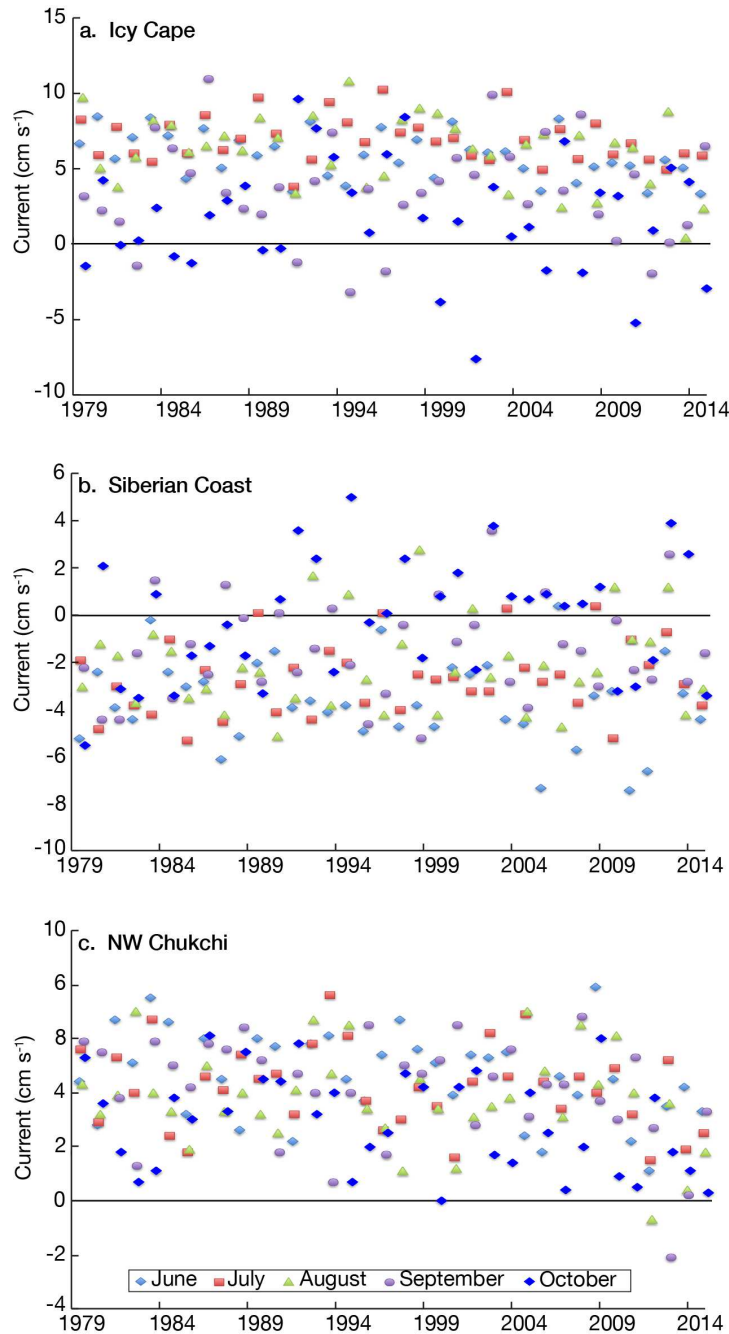


Fig. 6. Monthly values of vertically integrated along-coast current at: (a) 71°N, 162°W near Icy Cape, where positive (negative) values indicate flow toward the northeast (southwest); (b) at 68.5°N, 176°W near the Siberian coast where positive (negative) values indicate flow toward the east-southeast (west-northwest); and (c) meridional flow at 71°N, 175°W in the NW Chukchi Sea. Locations of measurements are indicated with a \* in Fig. 1. Symbols for the months of June through October are shown in the legend at the bottom of (c). Note the different vertical scales.

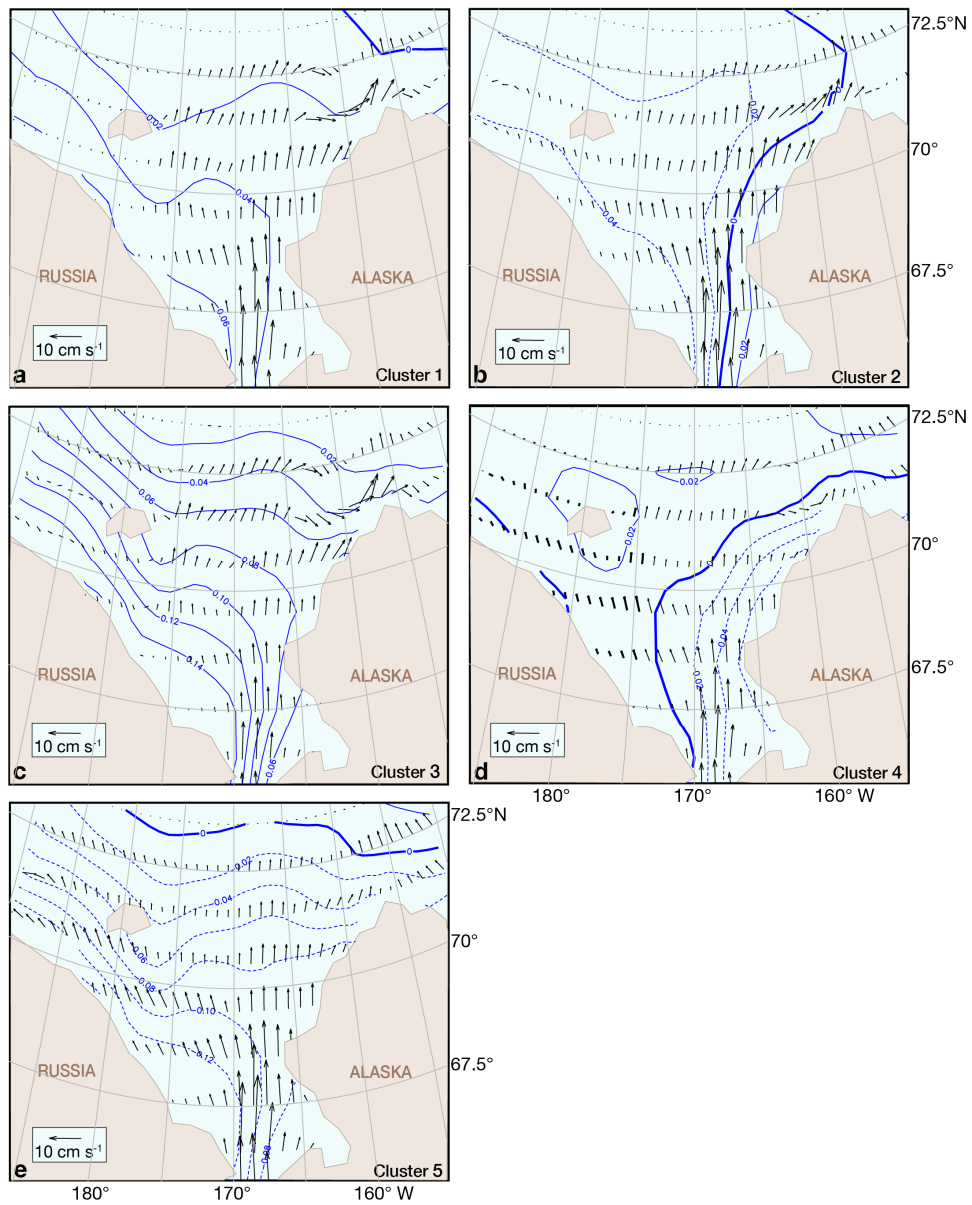


Fig. 7. Composite SSH anomaly (contour interval 0.02 m, negative values indicated by dashed contours) and mean vertically integrated current vectors (scale at lower left) during months designated in (a) Cluster 1, (b) Cluster 2, (c) Cluster 3, (d) Cluster 4, and (e) Cluster 5.

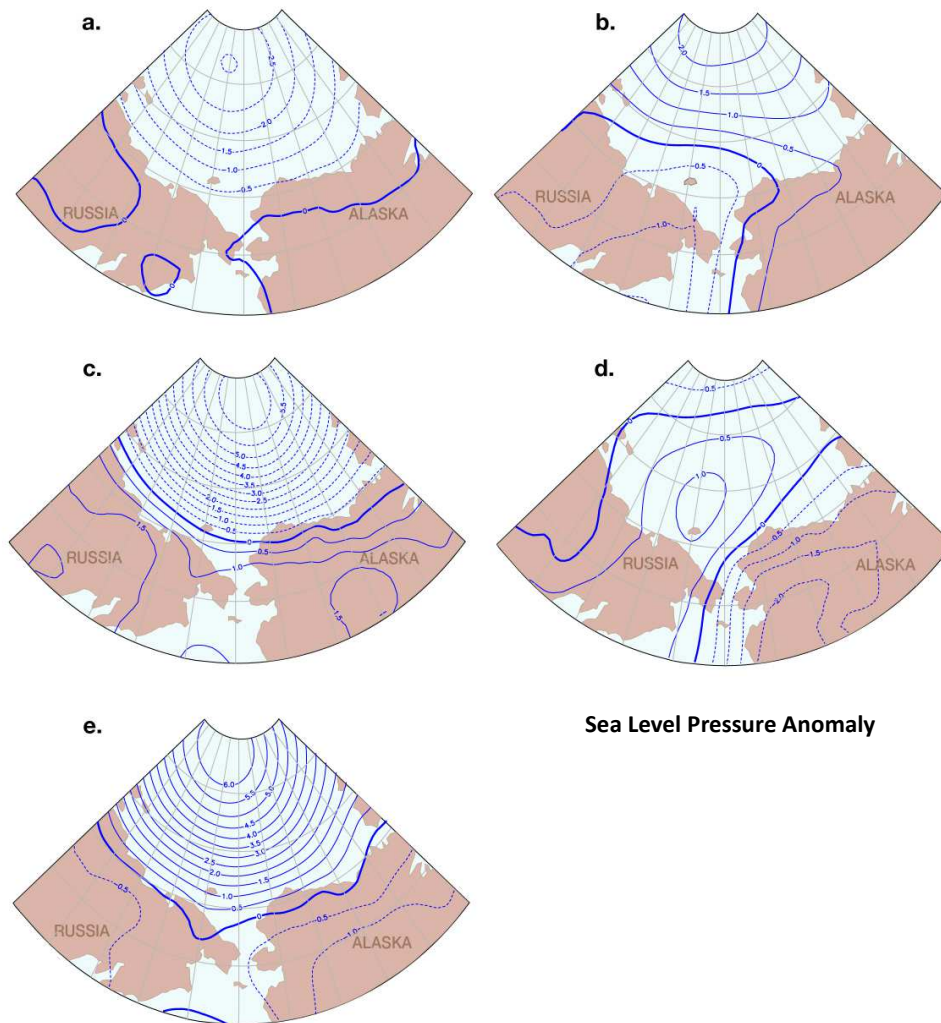


Fig. 8. Composite sea level pressure anomaly (contour interval: 0.5 hPa, negative values indicated by dashed contours) during months designated in (a) Cluster 1, (b) Cluster 2, (c) Cluster 3, (d) Cluster 4, and (e) Cluster 5.

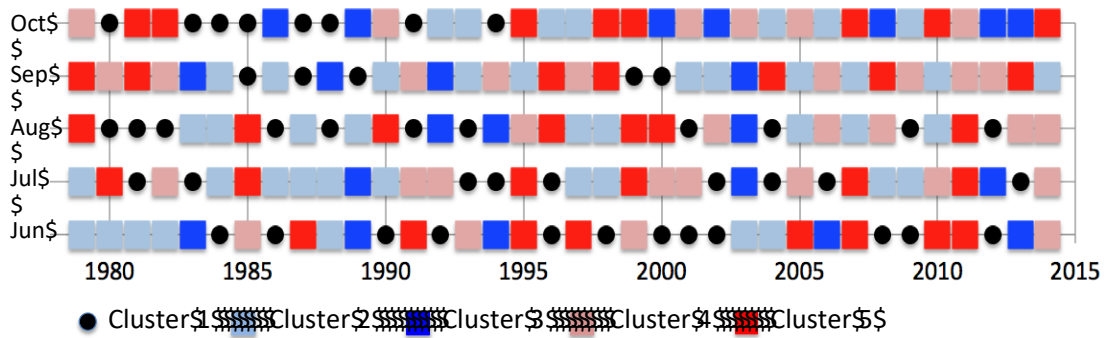


Fig. 9. Temporal distribution by year (horizontal axis) and month (vertical axis) of cluster members 1 (black dots), 2 (light blue squares), 3 (blue squares), 4 (pink squares), and 5 (red squares).

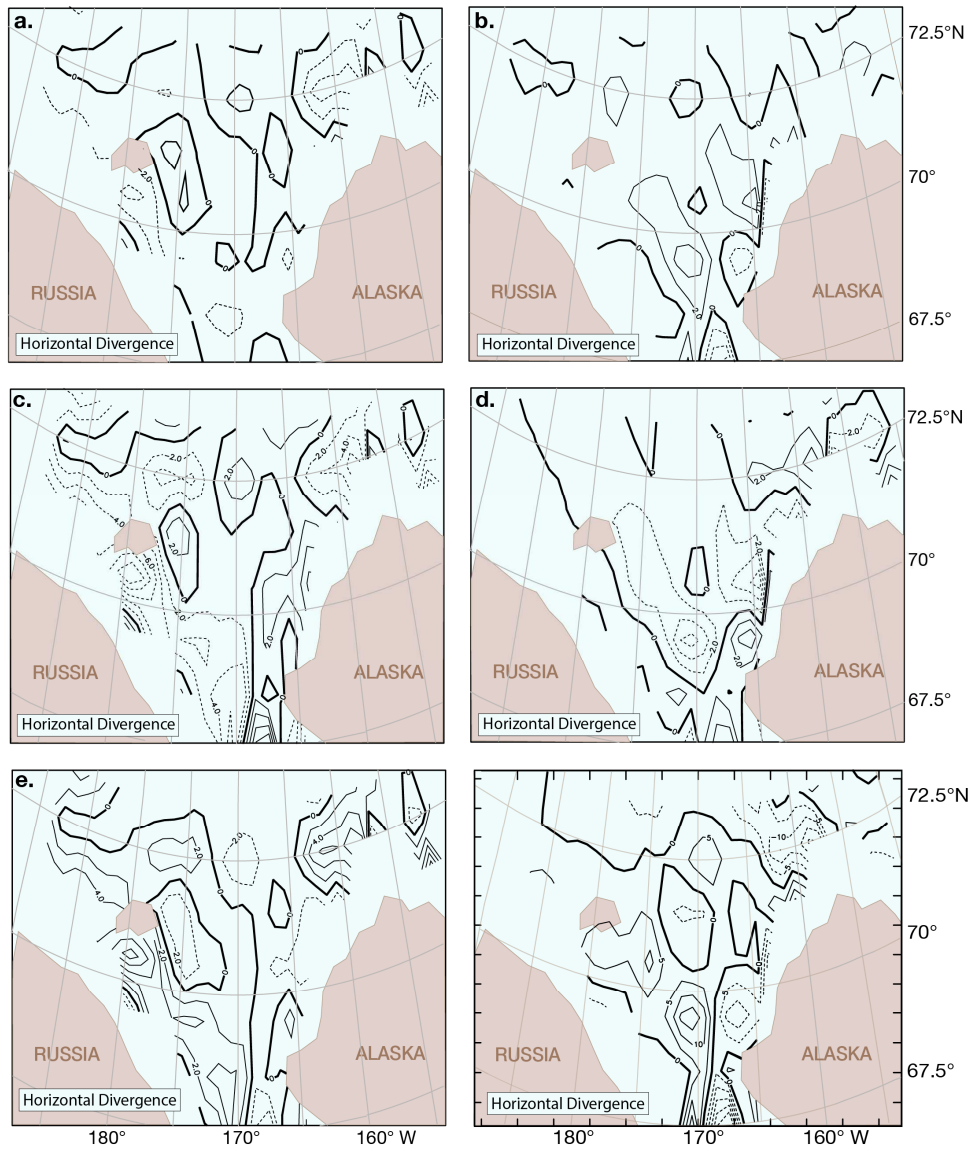


Fig. 10. Composite horizontal divergence anomaly in the upper 20 m of the water column (contour interval  $2 \times 10^{-8} \text{ s}^{-1}$ , negative values indicated by dashed contours) during months designated in (a) Cluster 1, (b) Cluster 2, (c) Cluster 3, (d) Cluster 4, and (e) Cluster 5. The mean horizontal divergence in the upper 20 m of the water column (contour interval  $5 \times 10^{-8} \text{ s}^{-1}$ , negative values indicated by dashed contours) is shown for comparison in the lower-right panel.