

1 **Running the Gauntlet: Connectivity between spawning and nursery areas**
2 **for arrowtooth flounder (*Atheresthes stomias*) in the Gulf of Alaska,**
3 **as inferred from a biophysical individual-based model**

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19 **ABSTRACT**

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21 Little is known regarding the early life transport and dispersion mechanisms, from offshore
22 spawning areas to inshore nursery habitats, that potentially underlie recruitment variability for
23 arrowtooth flounder in the Gulf of Alaska (GOA). We developed a biophysical individual-based
24 model (IBM) for arrowtooth flounder early life history and dispersal with simple representations
25 of swimming behavior, growth, and survival to explore the variability in connectivity between
26 spawning and recruitment sites that can arise due solely to interannual variability in
27 environmental forcing and its impact on transport. Results of our simulations for 1996-2011
28 show that, even in the absence of mortality, most (> 80%) individuals were unsuccessful in
29 dispersing from presumed spawning areas along the continental shelf break to inshore nurseries
30 in the GOA. For those that were successful, connectivity was directed in a counterclockwise
31 fashion (southeast to northwest) following prevailing current patterns, with typical dispersion
32 distances of 100s of km alongshore. The most productive spawning areas were in the

33 southeastern GOA (areas off Sitka and Cross Sound), while the most effective nursery areas
34 were in the central and western GOA (Prince William Sound and North Kodiak areas).
35 Arrowtooth flounder from spawning areas in the western GOA were exported from the system
36 and likely contribute little to the population in the GOA, but may provide recruits to populations
37 in the Aleutian Islands or eastern Bering Sea. We developed a suite of potential recruitment
38 indices based on the connectivity results; however, none of these appeared to reflect estimated
39 (age-1) recruitment to the population from a stock assessment model.

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41 Keywords (at least 4): USA, Alaska, Gulf of Alaska; *Atheresthes stomias*, arrowtooth flounder,
42 recruitment; modelling
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48 ***List of Abbreviations***

Abbreviation	Description
AICc	Akaike Information Criterion, corrected for small sample size
AO	Arctic Oscillation
CGOA	Coastal Gulf of Alaska (ROMS model grid)
CSF	cross-shelf flow
DisMELS	Dispersal Model for Early Life Stages
ENSO	El Nino/Southern Oscillation
EOF	empirical orthogonal function
FOCI	Fisheries-Oceanography Coordinated Investigations
GOA	Gulf of Alaska
GOAIERP	Gulf of Alaska Integrated Ecosystem Research Project
IBM	individual-based model
LFPr	large feeding reflexion (larvae)
LYS	large yolk sac (larvae)
MEI	Multivariate ENSO Index
NMFS	National Marine Fisheries Service
NOAA	National Oceanic and Atmospheric Administration
NPRB	North Pacific Research Board
PC	principal component
PDO	Pacific Decadal Oscillation
POP	Pacific ocean perch
PWI	Prince of Wales Island
PWS	Prince William Sound
ROMS	Regional Ocean Modeling System
SFPr	small feeding reflexion (larvae)
SL	standard length
SYS	small yolk sac (larvae)

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50 **1. Introduction**

51

52 The Gulf of Alaska Integrated Ecosystem Program (GOAIERP) is a vertically-integrated
53 study of the physics, fisheries and ecosystem of the Gulf of Alaska (GOA). A principal goal of
54 the GOAIERP is to identify how physical and biological variability affect recruitment of five
55 commercially-and ecologically-important groundfish species in the GOA: arrowtooth flounder
56 (*Atheresthes stomias*), Pacific ocean perch (*Sebastes alutus*), Pacific cod (*Gadus*
57 *macrocephalus*), walleye pollock (*Gadus chalcogramma*), and sablefish (*Anaplopoma fimbria*).
58 The working hypothesis adopted for the GOAIERP was that the survival of the earliest life
59 stages of groundfishes, during transport from offshore natal areas to nearshore nursery habitats,
60 is the principal influence affecting variability in subsequent recruitment to the population. As
61 such, successful recruitment may be dependent on many interrelated factors affecting young
62 groundfish along transport pathways from offshore natal areas to nearshore nursery habitats,
63 including those directly influencing survival (such as food supply, competition and predation), as
64 well as those influencing the physical environment and thus the pathways themselves (e.g.
65 freshwater runoff, mixing and stratification, water temperature, and wind speed and direction).
66 We refer to these biophysical processes occurring along, and influencing, the transport pathways
67 during the first year of life as “the gauntlet”.

68 The five focal groundfish species for the GOAIERP were chosen to provide a broad
69 range of life history strategies across which to assess the importance of the gauntlet to
70 understanding recruitment variability of groundfish stocks in the GOA. Arrowtooth flounder, the
71 focus of this paper, is a pleuronectid flatfish species found on soft, muddy bottom on the
72 continental shelf and slope and currently comprises the most abundant groundfish species in the

73 GOA (Matarese et al., 2003; Spies et al., 2015). They may live up to 34 years and range from
74 central California north to the eastern Bering Sea, east along the Aleutian Islands and toward
75 Cape Navarin, Russia, and along the east coast of Kamchatka and the Commander Islands
76 (Blood et al., 2007). Arrowtooth flounder are found at depths from 12-900 m. In annual summer
77 bottom trawl surveys conducted by the Alaska Fisheries Science Center (NOAA/NMFS),
78 arrowtooth flounder are most often found between 300-500 m (Spies et al., 2015).

79 Various studies have found arrowtooth flounder spawning along the continental slope at
80 depths between 100 and 500 m (Hirschberger and Smith, 1983), but most spawning occurs
81 between 400-500 m (Blood et al., 2007). Spawning in the GOA probably begins in December
82 and is substantially reduced by the end of February (Blood et al., 2007; Rickey, 1995). Females
83 can produce 250,000-2,400,000 eggs per spawning season (Bouwens et al., 1999). Eggs are
84 pelagic and the duration of the egg stage is temperature dependent. Most eggs and small larvae
85 have been collected at >400 m depth, where hatching occurs (Blood et al., 2007). Late-stage eggs
86 have been collected principally near troughs and canyons where downwelling relaxation and
87 cross-shelf flow typically occur in winter (Blood et al., 2007). Newly hatched larvae possess a
88 relatively large yolk sac; mean size at hatching is 4.4 mm standard length (SL; Blood et al.
89 2007). Yolk absorption is complete by 6.5-7 mm SL. Flexion occurs at 13.4 mm SL and
90 transformation occurs about 45 mm SL (Blood et al., 2007; Bouwens et al., 1999). Larvae begin
91 to ascend to shallower depths prior to complete yolk sac absorption. While most larvae are
92 located along the outer shelf and slope, larger larvae tend to be further inshore and are associated
93 with the deep-sea valleys and troughs that penetrate the shelf (Bailey and Picquelle, 2002). This
94 association may provide enhanced transport pathways to nearshore nursery grounds. Interannual
95 variation in size is small compared to intra-annual variation, suggesting that arrowtooth flounder

96 hatch over an extended time period (Bouwens et al., 1999). Settlement to juvenile benthic
97 nursery habitats starts at the beginning of August and finishes by the end of October.

98 Arrowtooth flounder play a key role in the GOA ecosystem as an important upper trophic
99 level predator on walleye pollock and other forage fishes, as well as euphausiids, shrimp and
100 cephalopods (Yang, 1993; Aydin et al., 2007). Estimated consumption of all forage fish (capelin,
101 sandlance, eulachon, etc.) by adult arrowtooth flounder ranges from 0.3 to 1.2 million metric
102 tons annually, while estimated consumption of pollock by adult arrowtooth ranges from 0.4 to
103 0.8 million metric tons. Annual consumption of euphausiids by adult arrowtooth is estimated to
104 range from 0.1 to 0.8 million metric tons annually, with another 0.06-0.49 million metric tons
105 consumed annually by juvenile arrowtooth flounder.

106 Historically, arrowtooth flounder in the GOA have not been targeted by a commercial
107 fishery because their flesh degrades rapidly after being caught due to a proteolytic enzyme
108 emitted from a myxosporean parasite that softens the flesh when heated (Spies et al., 2015).
109 Recently developed processing techniques have, however, allowed a moderate commercial
110 fishery to develop around Kodiak Island
111 (http://www.afsc.noaa.gov/species/Arrowtooth_flounder.php).

112 The Gulf of Alaska is a dynamic ecosystem. Circulation in the GOA is predominantly
113 east to west (counterclockwise). Along the continental shelf break of the northern GOA, the
114 Alaskan Stream is a westward flowing boundary current with flow rates up to 80-100 cm s⁻¹
115 (Reed, 1984). On the shelf, within about 50 km of the coast, the Alaska Coastal Current is a
116 westward-flowing buoyancy-driven current (Royer, 1998; Stabeno et al., 2004) with flow rate of
117 25 to 175 cm s⁻¹ (Johnson and Quinn, 1988; Stabeno et al., 2015a). In the eastern GOA, the wide

118 and variable Alaska Current flows northward along the shelf break, while the Alaska Coastal
119 Current flows northward along the shelf. The narrowness of the shelf in the eastern GOA results
120 in strong interaction between the shelf-break flow and the coastal current (Stabeno et al., 2015b).
121 Both the shelf-break currents and the coastal current can meander and shed eddies, affecting the
122 trajectories and mixing of water masses (Bailey et al., 1997; Janout et al., 2009; Ladd and
123 Stabeno, 2009; Ladd et al., 2005; Okkonen, 2003). Storms generated by the Aleutian Low
124 atmospheric pressure system promote onshore advection of surface water (Cooney, 1986) and
125 the coastal mountain range constrains these pressure systems and results in elevated precipitation
126 and runoff (Royer, 1982). Variation in the storms and runoff result in interannual variability in
127 the circulation and onshore advection.

128 To address the gauntlet hypothesis and elucidate mechanisms influencing recruitment
129 variability for arrowtooth flounder in the GOA, we developed a spatially-explicit individual-
130 based model (IBM) reflecting previously-known early life characteristics for arrowtooth flounder
131 as well as important forcing mechanisms influencing the physical environment in the GOA.
132 Spatially-explicit IBMs are biophysical models that have been used in studies of recruitment
133 (Hinckley et al., 1996; Stockhausen and Lipcius, 2003; Kim et al., 2015), marine reserves
134 (Stockhausen et al., 2000; Stockhausen and Lipcius, 2001; Paris et al., 2004; Stockhausen and
135 Hermann, 2007; Pelc et al., 2010), and connectivity (Cowen et al., 2006; Cowen et al., 2007;
136 Cooper et al., 2013), and for other applications in marine ecology and fisheries. Most commonly,
137 the models include several pelagic early life history stages, with biological processes that differ
138 among the stages. To simulate the environmental factors such as temperature and salinity and
139 currents that affect development and transport of each life stage, IBMs are typically coupled to
140 regional three-dimensional oceanographic models. IBMs used previously in recruitment and

141 connectivity studies have ranged from quite simple with minimal mechanisms and behavior to
142 relatively complex models that include a full suite of processes such as feeding, bioenergetics,
143 growth and movement (e.g. Hinckley et al., 1996; Hinckley et al., 2001; Megrey and Hinckley,
144 2001; Werner et al., 2001; North et al., 2009; Parada et al., 2010; Kim et al., 2015). The degree
145 of complexity often reflects the data available for a particular species as well as the research
146 question or focus.

147 We used this model-based approach to explore ways in which environmental variability
148 in the GOA may affect recruitment of arrowtooth flounder. We specifically addressed the
149 hypothesis that *'Recruitment variability of arrowtooth flounder is primarily influenced by*
150 *variability in the proportion of young fish transported from offshore spawning areas to*
151 *nearshore nursery areas (connectivity) due to interannual differences in the strengths of the*
152 *physical regimes that characterize the GOA environment'*. We quantified potential patterns,
153 strengths and interannual variability of connectivity between arrowtooth flounder spawning and
154 nursery areas over a 16-year time period (1996-2011) using a spatially-explicit IBM for
155 arrowtooth flounder. We also explored relationships between connectivity and a suite of
156 environmental factors to try to identify strong linkages and mechanisms driving model-derived
157 recruitment variability. Finally, we tested a suite of potential indices from the IBM as predictors
158 for recruitment to the population, as estimated by the 2015 stock assessment for arrowtooth
159 flounder in the GOA (Spies et al., 2015).

160

161 **2. Methods**

162

163 This article is a companion paper to several others in this issue that report results from
164 individual-based models for three of the other four focal species of the GOA IERP (i.e.
165 Stockhausen et al., this issue; Gibson et al., this issue; Hinckley et al., this issue). In particular,
166 the analysis undertaken in this article parallels that for Pacific ocean perch (POP) in Stockhausen
167 et al. (this issue). To avoid extensive duplication of material in this section, where appropriate
168 the reader will be referred to the relevant section in Stockhausen et al. (this issue) for details
169 regarding methodology.

170 *2.1. Modeling description*

171

172 To explore connectivity between offshore spawning areas and inshore nursery areas for
173 arrowtooth flounder in the Gulf of Alaska, we used a newly-developed, species-specific IBM
174 coupled to a hydrodynamic model for the region. The arrowtooth flounder IBM uses daily-
175 averaged output from a Regional Ocean Modeling System (ROMS; <https://www.myroms.org/>;
176 Haidvogel et al., 2008; Shchepetkin and McWilliams, 2005) model for the coastal GOA to
177 provide the time-varying, 3-dimensional environment for the IBM. The IBM was developed
178 within the Dispersal Model for Early Life Stages (DisMELS) framework, a platform for creating
179 and running IBMs based on marine fish and invertebrate species with early pelagic life stages.
180 The IBM integrates biological processes affecting simulated individuals, including advective and
181 diffusive movement using a Lagrangian particle tracking algorithm, as they develop in time
182 through multiple early life stages. The reader is referred to Sections 2.1.1 and 2.1.2 in
183 Stockhausen et al. (this issue) for general details regarding the ROMS model and the DisMELS

184 framework.

185

186 2.1.1. IBM details

187 The arrowtooth flounder model is a relatively simple IBM, reflecting the limited
188 knowledge we have for this species in its early life stages. Egg-stage development is
189 temperature-dependent, but otherwise model processes are similar to those in the sablefish and
190 POP IBMs (Gibson et al. and Stockhausen et al., this issue): growth rates in other life stages are
191 stage-dependent constants and movement is essentially passive and undirected, except that
192 individuals move vertically to remain within stage-specific “preferred” depth ranges.

193 The arrowtooth flounder IBM consists of eight sequential early life stages, reflecting the
194 conceptual model depicted in Fig. 1: egg, small yolk sac larva, large yolk sac larva, small feeding
195 preflexion larva, large feeding preflexion larva, postflexion larva, settlement-stage juvenile
196 (settler), and benthic juvenile. In the model runs for this study, life stage, age, size or egg
197 development stage, and location (latitude, longitude, depth) were integrated on a 20 minute
198 “biological” time step; values for *in situ* temperature and salinity were also interpolated for each
199 individual at this time step. Information reflecting these attributes was saved for each individual
200 at a daily time step for further analysis.

201 2.1.1.1. Egg stage

202 Blood et al. (2007) characterized 19 morphological sub-stages through which arrowtooth
203 flounder eggs develop prior to hatching. In rearing experiments, sub-stage durations were found
204 to be temperature dependent. These experiments were only carried out at two substantially
205 different temperatures (rearing temperatures were 3.1, 3.2 and 6.2°C), allowing the temperature
206 dependence to be described by functions of two parameters, at most. To incorporate this

207 temperature-dependence into the IBM, we modeled sub-stage-specific ln-scale development
208 rates, $r_s(T)$, as linear functions of temperature using

$$209 \quad r_s(T) = \alpha_s + \beta_s \cdot T \quad (1)$$

210 where s indicates sub-stage, T is temperature (in °C), and α_s and β_s are, respectively, the sub-
211 stage-specific intercept and slope of the temperature dependence. Individual development within
212 sub-stage s , $\Delta_s(t)$, was then given by the integral

$$213 \quad \Delta_s(t) = \int_0^t \exp(r_s(T(t'))) dt' \quad (2)$$

214 where t is the elapsed time since the start of the sub-stage and $T(t')$ is the *in situ* temperature
215 experienced by the individual at t' . At constant temperature T , the sub-stage duration t_s was thus
216 given by

$$217 \quad \ln\left(\frac{1}{t_s}\right) = r_s(T) \quad (3)$$

218 We assumed the intercept parameters, α_s , were different for all sub-stages, but that the slopes of
219 the temperature dependence were the same. Using the mean sub-stage durations from Blood et
220 al. (2007; Fig. 2a), we thus estimated intercept parameters, α_s , for all sub-stages but only one
221 slope parameter (i.e. $\beta_s \equiv \beta$ for every s). Based on our analysis, total egg stage duration is
222 approximately three times longer at 3°C than at 7°C (~600 hrs vs. ~200 hrs; Fig. 1b).

223 Blood et al. (2007) noted that arrowtooth flounder eggs were seldom collected at depths
224 less than 400 m in the GOA, and that collections of eggs at shallower depths were likely the
225 result of transport onto the shelf by strong onshore flow and tidal mixing in deep troughs or
226 canyons (Mordy et al., this issue). Eggs were thus assumed to be neutrally buoyant within the
227 300-600 m depth range (Table 1a). Simulated eggs underwent diffusive vertical random walks

228 while within this “preferred” depth range, but rose (if deeper) or sank (if shallower) at a fixed
229 mean (“buoyancy”) rate when outside this depth range. By adjusting the relative sizes of the
230 vertical random parameter and the buoyancy rate, it was possible to adjust how constrained
231 simulated eggs were to the “preferred” range. Simulated eggs were also subject to diffusive
232 horizontal random walks to incorporate sub-grid scale random motion.

233 2.1.2. Larval stages

234 Five larval stages were defined in the IBM to facilitate ontogenetic changes in
235 “preferred” depth ranges, growth rates, and movement parameters (Tables 1a, b; Fig. 2).
236 Simulated individuals that completed the egg stage “hatched” to become small yolk sac (SYS)
237 larvae, at 4.4 mm SL. SYS larvae grew at a constant intrinsic rate of 0.008 day^{-1} and completed
238 the SYS larval stage when they reached 6.1 mm SL (~41 days). The preferred depth range for
239 SYS larvae was assumed to be the same as that for eggs, so SYS larvae nominally remained at
240 depth (300-600 m). Outside of this preferred range, individuals would swim vertically at a mean
241 rate of 0.1 body lengths to re-enter the preferred range. At 6.1 mm, individuals became large
242 yolk sac (LYS) larvae and moved shallower in the water column to a preferred depth range of
243 150-300 m. Otherwise, LYS larvae were similar to SYS larvae. At 7.0 mm SL, after ~17 days,
244 LYS larvae became small feeding preflexion (SFPr) larvae. Intrinsic growth rates increased to
245 0.01 day^{-1} , and SFPr larvae moved shallower to a preferred depth range of 40-80 m. At 10.0 mm
246 SL (~ 36 days), SFPr larvae became large feeding preflexion (LFPr) larvae and continued their
247 ontogenetic vertical migration to a preferred depth range of 10-30 m. Other characteristics were
248 similar to SFPr larvae. At 13.4 mm SL (~29 days), individuals became postflexion larvae. As
249 postflexion larvae, their horizontal diffusivity increased from 0.001 to $0.01 \text{ m}^2/\text{s}$ (reflecting
250 assumed increased swimming ability, but no directionality); otherwise, they were similar to LFPr

251 larvae.

252 *2.1.3. Juvenile stages*

253 Two juvenile stages were defined in the IBM, the settlement-stage juvenile and the
254 benthic juvenile (Table 1b; Fig. 2). The latter stage, however, was merely an identifier for
255 individuals that successfully recruited to defined nursery areas: it had no dynamics. Upon
256 reaching 42 mm SL, postflexion larvae became settlement-stage juveniles that were competent to
257 settle to the benthos and end the sequence of early pelagic life stages. Preferred nurseries for
258 settlement-stage juveniles were defined as areas less than 50 m deep, while areas that were 50-
259 150 m deep were defined as alternative nurseries. Settlement-stage juveniles that reached a
260 preferred nursery area in less than 8 days following transition from the postflexion larval stage
261 settled to the benthos and became benthic juveniles in the nursery area; these individuals were
262 regarded as successful recruits. At 8 days, settlement-stage juveniles that were in an alternative
263 nursery area also settled and became benthic juveniles; these were also regarded as successful
264 recruits. All settlement-stage individuals that did not arrive at either type of nursery habitat by
265 the end of 8 days were considered to have died, were removed from the model, and were
266 characterized as unsuccessful. Any individuals that did not become benthic juveniles by the end
267 of a model run were also considered to have died and characterized as unsuccessful.

268

269 *2.1.4. Initial conditions*

270 Because the main groundfish surveys in the GOA occur in the summer on a biennial or triennial
271 basis, there is little information on the spatial (and interannual) patterns of spawning arrowtooth
272 flounder across the GOA to inform initial conditions in the IBM. For each model year, simulated
273 individuals were released as stage 1 eggs (“spawned”) in a series of separate “cohorts” each year

274 at 5 m above the bottom within hypothetical “spawning areas” along the continental shelf break
275 (see Fig. 1 in Stockhausen et al., this issue, or Fig. S1 in the Supplementary Material). Grid cells
276 along the continental shelf edge were classified as spawning areas if the bathymetric depth at the
277 center of the cell was between 300 and 600 m. In each cohort, individuals were released
278 simultaneously on a 1-km x 1-km grid across the spawning areas, with a total 16,453 individuals
279 in a cohort.

280 Observed patterns of arrowtooth flounder egg abundance in plankton sampling (Fig. 2a)
281 indicate that the bulk of spawning activity occurs in mid-to-late January and early February.
282 However, recent authors have hypothesized that spawning also occurs in late December/early
283 January (e.g. Blood et al., 2007; Doyle and Mier, submitted). Consequently, we released three
284 cohorts each year, starting on January 1 and subsequently at 15-day intervals (Fig. 2b). To
285 incorporate the observed temporal patterns of abundance when we calculated annual connectivity
286 for each year, we weighted individuals in the first two “cohorts” released each year by a factor of
287 600 (assuming the unobserved spawning in late December/early January was as intense as that
288 observed in mid-January). Individuals in the final cohort were weighted by a factor of 480 (Fig.
289 2b). Possible spawning in late February and early March was ignored. Consequently, we tracked
290 a total of 49,359 simulated individuals per model simulation.

291 *2.2. Connectivity*

292

293 As used here, “connectivity” is the probability of successful recruitment from a (offshore)
294 spawning area to a (inshore) nursery area, where successfully-recruiting individuals are those
295 that settle to the benthos in a nursery area and become benthic juveniles. Because we didn’t
296 include mortality processes along individual trajectories in the model runs, “connectivity”

297 represents “maximum potential” connectivity between the spawning and nursery areas. Nursery
298 areas that accounted for a substantial fraction of successful settlers originating from a given
299 spawning area were considered to be “highly-connected” to that spawning area, while nursery
300 areas that accounted for a small fraction of successful recruits were only “weakly-connected”.
301 Using the IBM results, we quantified annual connectivity between spawning and nursery areas
302 on alongshore scales of ~150 km using the same spatial zones and approach as used for POP (see
303 Fig. 1 and Sections 2.2.1-2.2.3 in Stockhausen et al., this issue

304 *2.3. Model validation and estimated recruitment*

305

306 Few data exist to validate the IBM. The only suitable dataset available to compare with
307 predictions from the IBM is the recruitment time series estimated as part of the stock assessment
308 conducted by NOAA Fisheries (Spies et al., 2015). The stock assessment uses a statistical catch-
309 at-age model for arrowtooth flounder in the GOA to fit fishery catch and discard information. As
310 well, several fishery-independent datasets are used to estimate recruitment of age-1 arrowtooth
311 flounder to the stock starting in 1961 (Fig. 23 in Spies et al., 2015) and the subsequent
312 abundance of older age classes and spawning stock biomass. The 2015 stock assessment
313 estimates of age-1 recruitment, lagged to the age-0 year class, are shown for 1996-2011 in Fig.
314 4a, along with the estimated associated spawning biomass (S). Making standard transformations
315 to the estimated recruitment time series, such as transforming to ln-scale ($\ln(R)$) or assuming a
316 stock-recruit relationship exists ($\ln(R/S)$), had little effect on the scale of variability in
317 recruitment after standardizing the time series (Fig. 4b). Thus, we only used the standardized
318 recruitment time series (R , Fig. 4b) in comparisons with results from the IBM.

319

320 *2.4. Analysis*

321

322 Paralleling the POP analysis (Stockhausen et al., this issue), we focused analysis of the
323 multi-year IBM results on: 1) elucidating predicted patterns of connectivity, and their variability,
324 between large-scale spawning and nursery zones and 2) testing whether variability in recruitment
325 to the GOA arrowtooth flounder stock (as estimated in its stock assessment) appeared to be
326 reflected in the connectivity indices derived from the IBM.

327

328 *2.4.1. Connectivity matrices*

329 We characterized long-term connectivity between the large-scale spawning and nursery
330 zones using the temporal median of the annual connectivity matrices, $\tilde{C}_{n,s}$, as well as overall
331 temporal variability in connectivity by calculating the temporal root median square deviation
332 (see Fig. 1 and Section 2.4.1.1 in Stockhausen et al., this issue). To better elucidate spatial and
333 temporal patterns of variability in connectivity, we decomposed the time series of annual
334 connectivity matrices, $C_{n,s}(y)$, into a set of orthogonal spatial loadings and temporal principal
335 component scores using empirical orthogonal function (EOF) analysis (Preisendorfer, 1988; see
336 Section 2.4.1.2 in Stockhausen et al., this issue, for details).

337

338 *2.4.2. Environmental indices potentially associated with aggregate connectivity indices*

339 Using a multivariate, multispecies hierarchical Bayesian approach and much longer time
340 series, Stachura et al. (2014) found that recruitment in the GOA for a “cross-shelf transport”
341 group (of which arrowtooth flounder was a member) was “strongly” related to the first two
342 principal components of sea surface height (SSH) variability in the GOA. The first PC was
343 related to onshore Ekman transport, coastal downwelling, and an accelerated Alaska Coastal

344 Current, as well as both the El Niño-Southern Oscillation (ENSO) and the Pacific Decadal
345 Oscillation (PDO). As such, we hypothesized that variability in large-scale environmental
346 indices such as the Arctic Oscillation (AO), the Multivariate ENSO Index (MEI), and the PDO,
347 or regional-scale indices for cross-shelf flow (developed directly from the ROMS model) might
348 be strongly reflected in variability in connectivity. Because arrowtooth flounder spawning occurs
349 during the winter, we added winter-averaged time series for the AO, MEI, PDO, and the ROMS-
350 derived cross-shelf flow to those used in the POP analysis (as described in Section 2.4.2 in
351 Stockhausen et al., this issue; see also Table 2 here and Fig. S2 in the Supplementary Material).

352 As with POP, we limited our analysis to the aggregate connectivity indices reflecting
353 total settlement success by spawning zone (i.e. the $C_s(y)$), as well as the time series of scores
354 from the first two principal components in the EOF analysis, and tested the environmental
355 indices as potential predictors of the aggregate connectivity indices using simple linear models
356 (for details, see Section 2.4.2 in Stockhausen et al., this issue).

357

358 2.4.3. Connectivity and recruitment

359 Finally, we tested the aggregate connectivity indices as potential predictors for
360 recruitment using simple linear models of the form $\tilde{R}(y) = \sum_i \beta_i \cdot \tilde{I}_i(y)$ where $\tilde{R}(y)$ denotes
361 the standardized (as z-scores) annual recruitment time series from the 2015 GOA arrowtooth
362 flounder stock assessment model (Spies et al., 2015), β_i is the i th regression parameter, and $\tilde{I}_i(y)$
363 denotes the standardized time series for the i th aggregate index. We tested all models with six
364 spawning zones or fewer using the R package “glmulti” (Calcagno and de Mazancourt, 2010; R
365 Core Team, 2015) and used AICc (Burnham and Anderson, 2002) to select the “best” one. The
366 estimated β_i s from the best model should reflect the spatial pattern of spawning if variability in

367 recruitment really is driven by variability in connectivity and if the spatial pattern of spawning
368 was relatively constant during 1996-2011.

369 **3. Results**

370

371 *3.1 IBM output*

372 As noted previously, individuals were released on a 1-km \times 1-km grid across spawning
373 areas defined as ROMS grid cells with bathymetric depths between 300 and 600 m, with a total
374 of 16,453 individuals per released cohort. Because actual release depths on the 1-km \times 1-km grid
375 were interpolated within each ROMS grid cell classified, individual release depths spanned a
376 somewhat wider range than 300-600 m (see Fig. S3 in the Supplementary Material). About 11%
377 of simulated eggs were released outside this nominal interval.

378 Most simulated individuals exhibited a general trend to move to the north and west
379 during the simulations, but individual trajectories were complex—indicating the influence of
380 mesoscale and larger eddies on their movement (e.g. Fig. 3). Most individuals remained off the
381 shelf in deeper water until reaching at least the small feeding preflexion (SFPr) larval stage, at
382 which point they moved up in the water column (nominally 40-80 m depth). Many individuals
383 that were not successful in recruiting to suitable nursery habitat during the allotted time frame
384 were transported farther off shelf (away from nursery habitats) into the deep ocean zone *vis-a-vis*
385 most “successful” individuals. Successful individuals tended to stay on the shelf, although a fair
386 number were also transported off the shelf only to return via eddies and gyres. While a few
387 individuals that were spawned in the southeast (zones 1-3) exited the model grid at its southeast
388 boundary, many more exited the grid at its western boundary due to the general counter-
389 clockwise nature of the mean circulation along the shelf.
390 Individuals that exited the grid were classified as unsuccessful. As a result of the general

391 circulation pattern, and because of its proximity to the western boundary of the model grid, no
392 individuals spawned in zone 12 (Shumagin Islands) were successful in any model year (Fig. 4a).
393 Simulated individuals spawned in the eastern half of the GOA (zones 1-5) were more likely
394 (~10-40%) to reach nurseries in the allotted time than those spawned in the western half (zones
395 7-12; 0-5%). Interannual variability in the fraction successful from any spawning zone was large
396 relative to the mean. Most successful individuals moved to nurseries north and west of the zone
397 in which they were spawned (Fig. 4b).

398

399 *3.2. Connectivity matrices*

400

401 *3.2.1. Long-term patterns*

402 The cell-by-cell median and root median square deviation of the annual connectivity
403 matrices (Fig. 5) indicate that the highest median connectivity between spawning and nursery
404 areas was between spawning in zones 2 and 3 (Sitka and Cross Sound) and nurseries in zone 6
405 (PWS). Median connectivity was directed in a counterclockwise fashion, with spawning in the
406 south and east (lower number alongshore zones) connected with nurseries to the north and west
407 (higher number alongshore zones). While some retention occurred for spawning in the east
408 (zones 1-6), the level was generally quite small (< 0.5%) although retention in zone 2 (Sitka)
409 reached 1.4%. For spawning in the west (zones 7-12), median retention was essentially zero.
410 Median connectivity from west to east (clockwise transport) was negligible, even for adjacent
411 zones, although it occurred in rare circumstances (Fig. S4 in the Supplementary Material).
412 Temporal variability was positively correlated with median connectivity, so the most highly-
413 connected cells also tended to display the highest variability (Fig. 7b).

414 The highest fraction of individuals recruiting from spawning in zone s to nurseries in
415 zone n was 18.0% in 2001 for 3→6 (Cross Sound to PWS; Fig. S4 in the Supplementary
416 Material). In fact, this pathway accounted for five of the six highest connectivity values over all
417 16 simulated years. The other pathway that accounted for the top value in more than one year
418 was 2→6 (Sitka to PWS; six years). PWS (zone 6) constituted the most highly connected nursery
419 zone in all but two years. Thus, the most highly connected spawning zones were separated
420 approximately 650 km (3: Cross Sound) and 800 km (2: Sitka) from the most highly-connected
421 nursery zone (6: PWS).

422

423 3.2.2. EOF analysis

424 The first two EOFs of the annual connectivity matrices accounted for ~63% of the total
425 variance, with much smaller contributions from additional components (Fig. S6 in the
426 Supplementary Material). Positive principal component scores on the first EOF (Fig. 8) were
427 associated with higher connectivity between spawning zones 3 and 4 (Cross Sound and Yakutat)
428 and nurseries in zone 6 (PWS) and between spawning zones 5 and 6 (Icy Bay and PWS) and
429 nurseries in zones 7 and 8 (Kenai and North Kodiak). Positive scores on the first EOF were also
430 associated with reduced connectivity between spawning in the far east (alongshore zones 1 and
431 2; PWI and Sitka) and nurseries west of Icy Bay (i.e. zones > 5). Positive principal component
432 scores on the second EOF primarily reflected higher connectivity between spawning zones 1, 2
433 and 3 (PWI, Sitka and Cross Sound) and nurseries in zone 6 (PWS).

434

435 *3.3. Aggregate connectivity indices*

436

437 *3.3.1. Time series*

438 Time series for the aggregate annual connectivity indices $C_s(y)$, the total fraction of
439 successful individuals spawned in alongshore zone s , indicated substantial temporal variation in
440 settlement success for individual zones, as well as regional differences (Fig. 9). Mean settlement
441 success, and variability, was highest for the eastern zones (1-6), while it was sporadic (at best)
442 for the western zones (7-12). 2007 stood out slightly among the zones in the eastern region as the
443 year in which the highest fraction of successful settlers occurred for any spawning zone (almost
444 50% from zone 3, Cross Sound), while in the western region 2009 stood out as the year in which
445 relatively high success (~15%) occurred for several spawning zones (7 and 8, Kenai and North
446 Kodiak).

447

448 *3.3.2. Linear model analysis for environmental indices potentially associated with connectivity*

449 We found relatively weak evidence (Table 3) for relationships between the aggregate
450 connectivity indices and any of the 24 large- (AO, MEI, PDO) or regional-scale (ROMS-derived
451 cross-shelf flow) environmental indices we considered as potentially-explanatory drivers. The
452 “best” models, using AICc as the selection criterion, for the $C_s(y)$ from six alongshore zones (1,
453 6, 7, 8, 9 and 10) yielded adjusted R^2 values of 50% or better. However, when adjusted for
454 multiple comparisons using a bootstrapping approach to evaluate model significance, the models
455 for zones 1, 6 and 7 were not significant and the remaining three were only marginally
456 significant with empirical p-values of 0.05-0.07. The combination of the spring MEI and spring
457 PDO indices was selected as the best 2-covariate model for three of the six zones (7, 8, and 9)

458 where the adjusted R^2 was greater than 0.5. For these models, the regression coefficient for the
459 spring MEI was always positive while that for the spring PDO was negative.

460

461 *3.4. Connectivity and recruitment*

462

463 *3.4.1. Time series*

464 Estimated recruitment from the 2015 GOA arrowtooth flounder stock assessment (Spies
465 et al., 2015) exhibited decadal scale fluctuations about a long-term mean of $\sim 10^9$ individuals
466 during years for which the IBM was run, with peaks in 1999 and 2011 and a low in 2009 (Fig.
467 4a). In contrast, estimated spawning biomass was relatively constant at $\sim 870,000$ t between 1996
468 and 2005, after which it slowly increased to $\sim 1,150,000$ t in 2011 (Fig. 4a).

469

470 *3.4.2. Linear model analysis for aggregate connectivity indices as predictors of recruitment*

471 Although we tested all linear models for recruitment containing aggregate connectivity
472 indices from up to six spawning zones as potential covariates (over 2,500 models), none of these
473 explained recruitment variability better than the mean (using AICc as the model selection
474 criterion).

475

476 **4. Discussion**

477

478 The guiding hypothesis of the GOA IERP program was that successful recruitment of
479 arrowtooth flounder (and the other four focal species) in the GOA is primarily determined by
480 processes which occur during the larval and early juvenile stages, in the “gauntlet” between
481 spawning in offshore natal areas and settlement in nearshore nurseries as young-of-the-year. Of
482 the many interrelated processes that can occur during this time period, this study focused on
483 whether or not variability in transport mechanisms, as reflected in variability in connectivity
484 between offshore natal zones and inshore nursery habitats, could account for subsequent
485 variability in recruitment of arrowtooth flounder as estimated by a stock assessment model
486 (Spies et al., 2015). We used linked models, specifically, a regional oceanographic model, and a
487 species-specific, Lagrangian IBM to address this issue.

488 The arrowtooth flounder IBM described here was an attempt to combine current
489 knowledge regarding early-life processes at the individual and population-level (e.g. seasonality
490 of spawning, temperature-dependent egg development, larval growth) with ecosystem-level
491 mechanisms (e.g. current patterns) in a synthetic fashion across spatiotemporal scales from
492 centimeters and minutes to 100s of kilometers and months-to-years in order to assess the extent
493 to which variability in passive physical transport from offshore spawning areas to nearshore
494 juvenile nurseries could account for subsequent variability in recruitment to the population.
495 Because we lacked information on variability in mortality processes along individual trajectories,
496 we focused our analysis of results from the IBM on estimating “maximum potential”
497 connectivity between potential offshore spawning areas and nearshore nursery habitats using
498 alongshore spatial scales on the order of 150 km. Although “maximum potential” connectivity

499 does not include mortality processes acting along individual pathways, it does incorporate
500 variability in transport processes and seasonality in spawning. Estimates of even such a
501 narrowly-defined version of connectivity may generate hypotheses regarding the fate of
502 individual fish spawned in particular regions, the potential importance of different spawning and
503 nursery areas, and the impacts of larger scale climate forcing on these patterns. Lagrangian IBMs
504 are one of the few available tools for predicting connectivity, because of their ability to follow
505 simulated individuals along transport pathways.

506

507 *4.1. Individual pathways*

508

509 Results from the IBM suggest that, as young arrowtooth flounder progress through early
510 pelagic life stages from egg to newly-settled, young-of-the-year benthic juvenile, there is a
511 predominant pattern of counter-clockwise (southeast to northwest) dispersal along the
512 continental shelf, as successfully-settling individuals are transported from deeper spawning areas
513 along the shelf break to shallower, inshore nursery areas (e.g. Figs. 5 and 6b). Individual
514 pathways are complex—indicating the influence of mesoscale and larger eddies on their
515 movement. While many individuals are transported away from the shelf, some of these are
516 eventually transported back onto the shelf (a few even after ending up several hundred km
517 offshore). Typical alongshore dispersal distances from spawning to nursery areas were on the
518 order of several hundred km, while the potential for retention or clockwise movement was quite
519 small. Most individuals (typically >80%) in the model runs were not successful in reaching
520 inshore nursery habitat from offshore spawning areas. Many of these “unsuccessful” individuals
521 were transported beyond the modeled area, particularly to the northwest, suggesting the western

522 GOA population may provide recruits to populations in the Aleutian Islands or the eastern
523 Bering Sea.

524 The envelopes of the “late stage” larval trajectories illustrated in Fig. 5 are fairly similar
525 to those obtained for POP (Fig. 5 in Stockhausen et al., this issue), which is not surprising given
526 that these stages are in the water column at roughly the same time for both species as well as the
527 similarity of modeled movement behavior for these stages in both models. As with POP, the
528 convoluted nature of many of the trajectories illustrated in Fig. 5 has strong implications for
529 larval surveys conducted in the GOA, particularly with reference to the inherent uncertainty
530 regarding the origin or destination of individuals collected during such cruises.

531

532 *4.2. Connectivity*

533

534 The highest fraction of individuals that successfully settled in inshore nursery areas
535 originated from areas in the eastern GOA, while the nursery areas to which those individuals
536 dispersed were in the central and western GOA. This east-to-west connection between putative
537 spawning and nursery areas reflects the general circulation patterns in the GOA, which are
538 dominated by the counter-clockwise circulation of the Alaska Gyre (Alaskan Stream/Alaska
539 Current system) over the shelf break (Reed, 1984) and the buoyancy-driven Alaska Coastal
540 Current on the shelf (Royer, 1998; Stabeno et al., 2004). The GOA has multiple hydrographic
541 fronts which can hinder on-shelf transport (Belkin et al., 2002; 2003). However, this region is
542 generally thought of as being a downwelling shelf because of the onshore Ekman transport that
543 results from storms generated by the Aleutian Low Pressure system (Weingartner et al., 2005).
544 Previous observations have implicated the wind generated Ekman transport in the advection of

545 oceanic zooplankton onto the shelf (Cooney, 1986). Here we have shown that there is sufficient
546 on-shelf advection to transport young arrowtooth flounder from off-shelf deep spawning sites to
547 shallow onshore nursery areas, without the inclusion of any directed horizontal swimming
548 behavior, e.g. towards shallower bathymetry, food, or a particular geographic location.
549 The east-west connection is also reflected in the spatial pattern of arrowtooth flounder biomass in
550 biennial fishery-independent trawl surveys conducted by the Alaska Fisheries Science Center. In
551 the 2013 summer bottom trawl survey, the southeastern Alaska and Yakutat survey strata
552 (alongshore zones 1-6, roughly speaking) accounted for ~63% of presumed arrowtooth flounder
553 spawning biomass (i.e. in depths greater than 300 m), whereas the Shumagin, Chirikof, and
554 Kodiak strata (roughly alongshore zones 7-12) accounted for ~72% of presumed juvenile
555 biomass (i.e. in depths shallower than 300 m). Our results, as well as the spatial pattern of
556 abundance from the trawl survey, suggest that juvenile arrowtooth flounder in the western GOA
557 must undergo a contranantant alongshore migration from west-to-east (as well as an offshore
558 movement to deeper water) to maintain spawning stock biomass in the eastern GOA if the stock
559 can be regarded as a closed population.

560

561 *4.3. Environmental indices potentially associated with connectivity*

562

563 As noted in Section 2.4.2, Stachura et al. (2014) found that recruitment in the GOA for a
564 “cross-shelf transport” group (of which arrowtooth flounder was a member) was “strongly”
565 related to the first two principal components of sea surface height (SSH) variability in the GOA.
566 The first PC was related to onshore Ekman transport, coastal downwelling, and an accelerated
567 Alaska Coastal Current, as well as both ENSO and the PDO. As such, we hypothesized that

568 variability in large-scale environmental indices such as the AO, MEI and PDO or regional-scale
569 indices for cross-shelf flow developed directly from the ROMS model might be strongly
570 reflected in variability in connectivity.

571 Instead, we found that variability in aggregate connectivity was generally poorly
572 predicted by the large- and regional-scale indices we tested (Table 3). Thus, it appears that
573 variability in IBM-derived connectivity is not simply related to spatiotemporal averages of
574 quantities reflecting physical forcing mechanisms. Considering the dynamic nature of current
575 patterns in the GOA and the resultant complexity of the some of the pathways individuals took in
576 the IBM, it is not surprising that indices based on an Eulerian perspective (the large-scale
577 environmental and ROMS cross-shelf flow indices) would not capture what are inherently
578 Lagrangian processes involving fairly large spatial (100s of km) and temporal (months) scales.

579 Somewhat intriguingly, though, we did find that using the springtime averages of the
580 MEI and PDO as covariates resulted in the linear models that best described variability in
581 aggregate connectivity for three of the alongshore zones (7, 8 and 9; Kenai, North Kodiak and
582 South Kodiak; Table 3). While the adjusted R^2 for these models ranged from 56% to 70%,
583 reflecting reasonably good fits, only one model (zone 9) was statistically significant after
584 adjusting for multiple comparisons (hence our conclusions above). Although the springtime MEI
585 and PDO were somewhat positively correlated during the 1996-2011 time period ($R^2=20\%$), the
586 regression coefficients for the two covariates were of opposite signs (MEI > 0, PDO < 0) in the
587 models for each zone. Because positive anomalies in the PDO are generally associated with
588 stronger downwelling and increased precipitation in the GOA, and consequently faster current
589 speeds in the Alaska Coastal Current (Mantua et al., 1997; Royer, 2005), this implies individuals
590 spawned in the western GOA may be more likely to be exported from the GOA to the Aleutian

591 Islands or the eastern Bering Sea during years when the springtime PDO is large. However,
592 without extending the model time frame to increase sample sizes, our results are merely
593 suggestive at best.

594

595 *4.4. Predicting recruitment*

596

597 Identifying indices that explain 50% or more of the variability in recruitment may
598 improve recruitment estimates from stock assessment models (De Olivera and Butterworth,
599 2005). Here, we tested combinations of the time series of the aggregated connectivity indices as
600 potential linear predictors for arrowtooth flounder recruitment variability (as estimated in the
601 most recent stock assessment, Spies et al., 2015). Of the ~2,500 possible models we evaluated,
602 the “best” model for recruitment was one in which none of the connectivity indices were
603 included.

604 Thus, variability in recruitment of age 1 arrowtooth flounder in the GOA appears to be
605 driven by more than just variability in “maximum potential” connectivity. This suggests that, like
606 POP (Stockhausen et al., this issue), environmentally-mediated changes in mortality and growth
607 along the trajectories of “successful” individuals substantially alter the patterns of “effective”
608 connectivity, obtained by including these biological processes, from those of “maximum
609 potential” connectivity obtained by considering only physical (transport) processes.

610

611 *4.5. Further considerations*

612

613 The failure to adequately predict recruitment does not negate the value of the IBM, nor of

614 this study. Although one can hope for more, models should generally be expected to be only as
615 good as the data and observations on which they are based. In this study, we hypothesized that
616 variability in maximum potential connectivity (i.e. transport) between offshore spawning and
617 inshore nursery areas was the main factor driving juvenile recruitment variability as estimated by
618 the stock assessment model (Spies et al., 2015). Our results suggest little predictive power, at
619 best, for age-1 recruitment to the population using the suite of IBM-related indices we tested.

620 However, it is premature to reject the hypothesis regarding the relative importance of
621 connectivity on recruitment. There are a number of obvious factors which could contribute to the
622 disconnect between the IBM connectivity-based results and recruitment. One factor is that
623 spawning may vary spatially on an interannual basis in a manner that is not currently captured in
624 the IBM, in which we have assumed that the density of egg production is uniform across the
625 GOA in the 300-600 m bathymetric depth range. The models we considered based on the
626 aggregate connectivity indices from the IBM presume that the relative weights among the
627 alongshore spawning areas are fixed across time, so that substantial interannual variability in the
628 relative importance of these areas would degrade any relationship between the indices and
629 recruitment.

630 Another factor is that we may not have correctly captured the real-world processes
631 affecting connectivity in the IBM. The ROMS model we used to provide the environment
632 experienced by simulated individuals in the IBM has been shown to capture important features of
633 the circulation in the GOA and to be a reasonably good representation of the variability of
634 oceanographic processes on seasonal time scales (Hermann et al., 2009a; Hermann et al., 2009b;
635 Hermann et al., 2016). However, because the CGOA ROMS model does not incorporate data
636 assimilation, features such as mesoscale eddies are well-represented in the model only in a

637 statistical sense, and individual eddies in the GOA at place x and time y are not necessarily
638 reproduced in the ROMS model output. The degree to which this mismatch affects the IBM
639 results is unclear, but given that the IBM is based on Lagrangian integration and “following the
640 flow”, even small differences between model and reality may easily lead to large effects on
641 individual trajectories.

642 Additionally, the biological processes captured in the IBM include only extremely simple
643 characterizations of behavior (e.g. undirected swimming) and larval growth (constant growth
644 rates), and we ignored mortality. Potential improvements to the IBM might include directed
645 swimming, environmentally-sensitive growth rates, and size-specific mortality. Directed
646 swimming behavior could substantially reduce alongshore dispersion or facilitate transport to
647 particular nursery areas. Environmentally-sensitive growth rates could reduce or prolong life
648 stage durations, altering both the timing when pelagic larvae become competent to settle to the
649 benthos and survival rates, thus altering connectivity.

650 The last possibility, of course, is that the hypothesis is wrong and that variability in
651 connectivity is not the major factor in determining recruitment strength at age 1. Even if this is
652 indeed the case, the IBM could still be used to address the importance of variability in transport
653 *per se* relative to environmental variability (e.g. temperature) affecting growth or survival along
654 individual trajectories. Unfortunately, we do not currently have data to inform more sophisticated
655 models, e.g. incorporating temperature-dependent growth or bioenergetics.

656

657 **5. Conclusions**

658

659 Our major findings in this study were that: 1) there is sufficient on-shelf advection to

660 transport young arrowtooth flounder from off-shelf spawning sites to on-shelf nursery areas
661 without active movement, 2) >80% of individuals were unsuccessful in dispersing from
662 presumed spawning areas along continental shelf break to inshore nurseries, 3) young arrowtooth
663 flounder settling in nursery areas throughout the GOA are likely spawned in the eastern to
664 central GOA, and 4) arrowtooth flounder spawning in the western GOA likely contribute little to
665 the population in the GOA (but may to populations in the Aleutian Islands or eastern Bering
666 Sea). We also found that IBM-derived connectivity indices were not simple functions of either
667 large-scale environmental or regional-scale cross shelf flow indices, and that the current IBM is
668 an inadequate predictor of variability in recruitment from the stock assessment model and
669 cannot, at this point, provide a suitable predictive index of recruitment to improve the assessment
670 model.

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672

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865

866 **TABLES**
867

868 Table 1a. Parameter values used in the arrowtooth flounder IBM (egg through small feeding
869 reflexion larva stages).

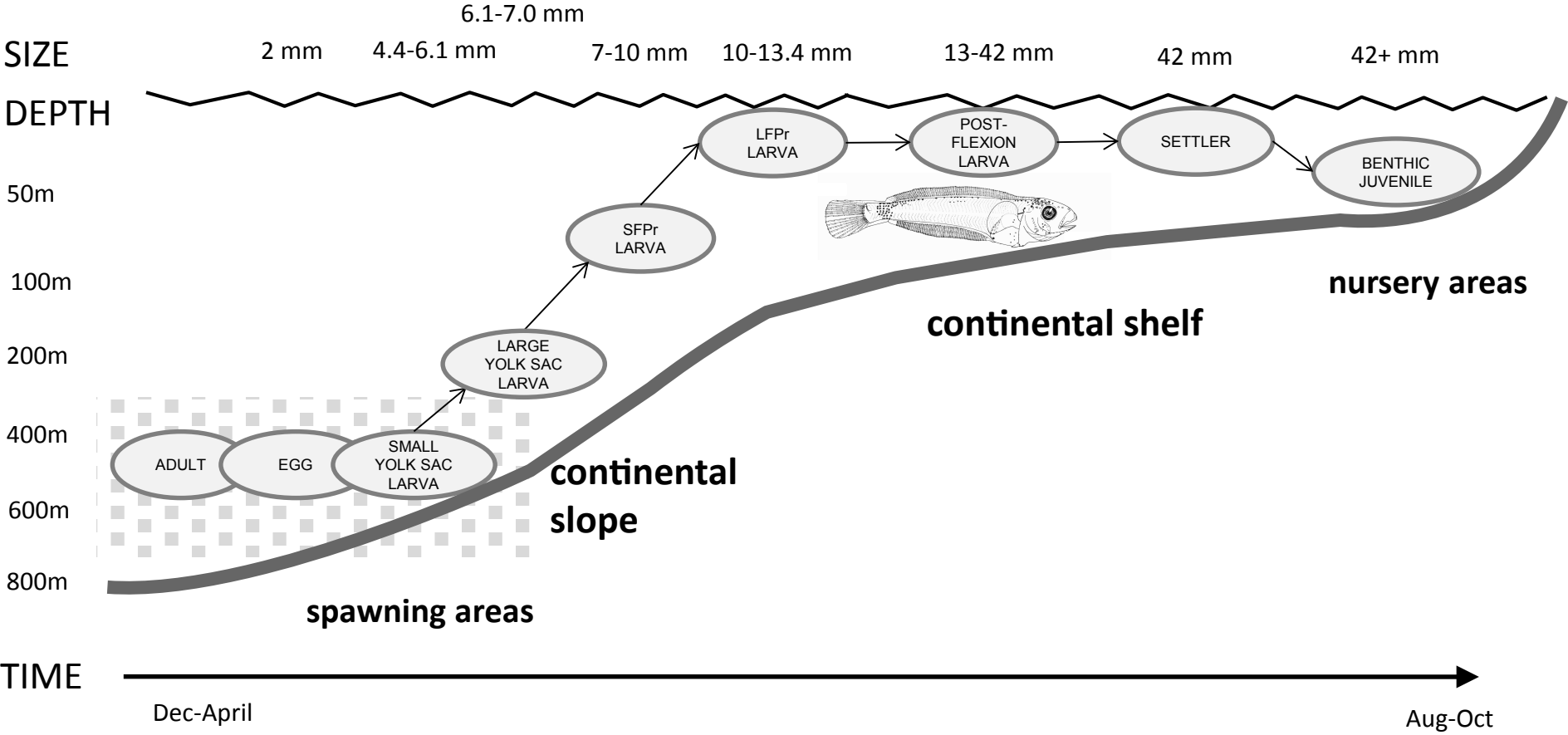
870 Table 1b. Parameter values used in the arrowtooth flounder IBM (large feeding reflexion larva
871 through benthic juvenile stages).

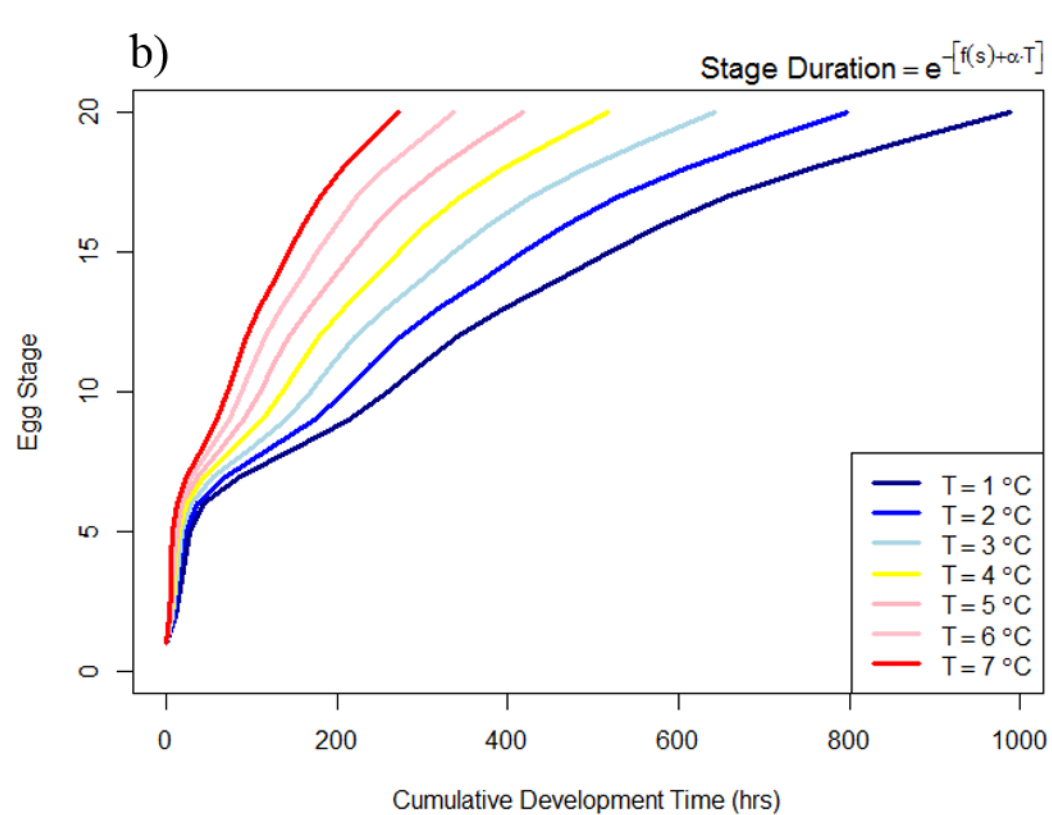
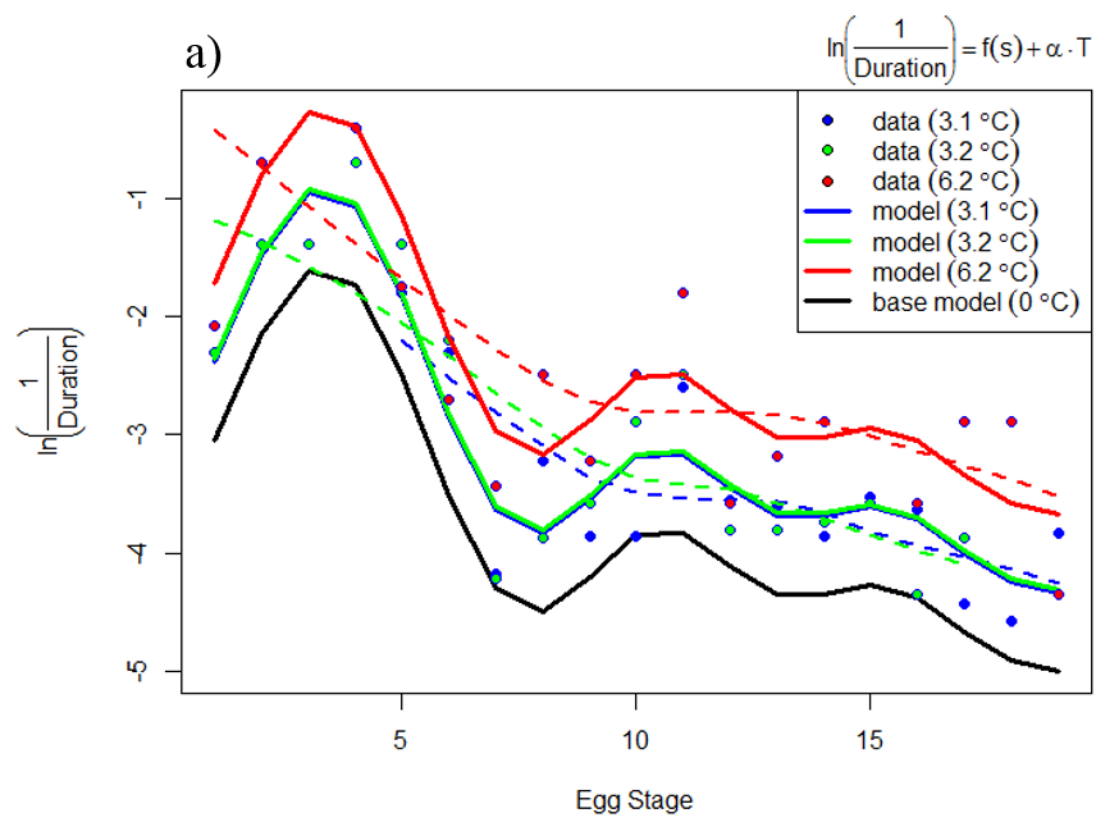
872 Table 2. Environmental indices considered as potential factors driving IBM-predicted successful
873 settlement.

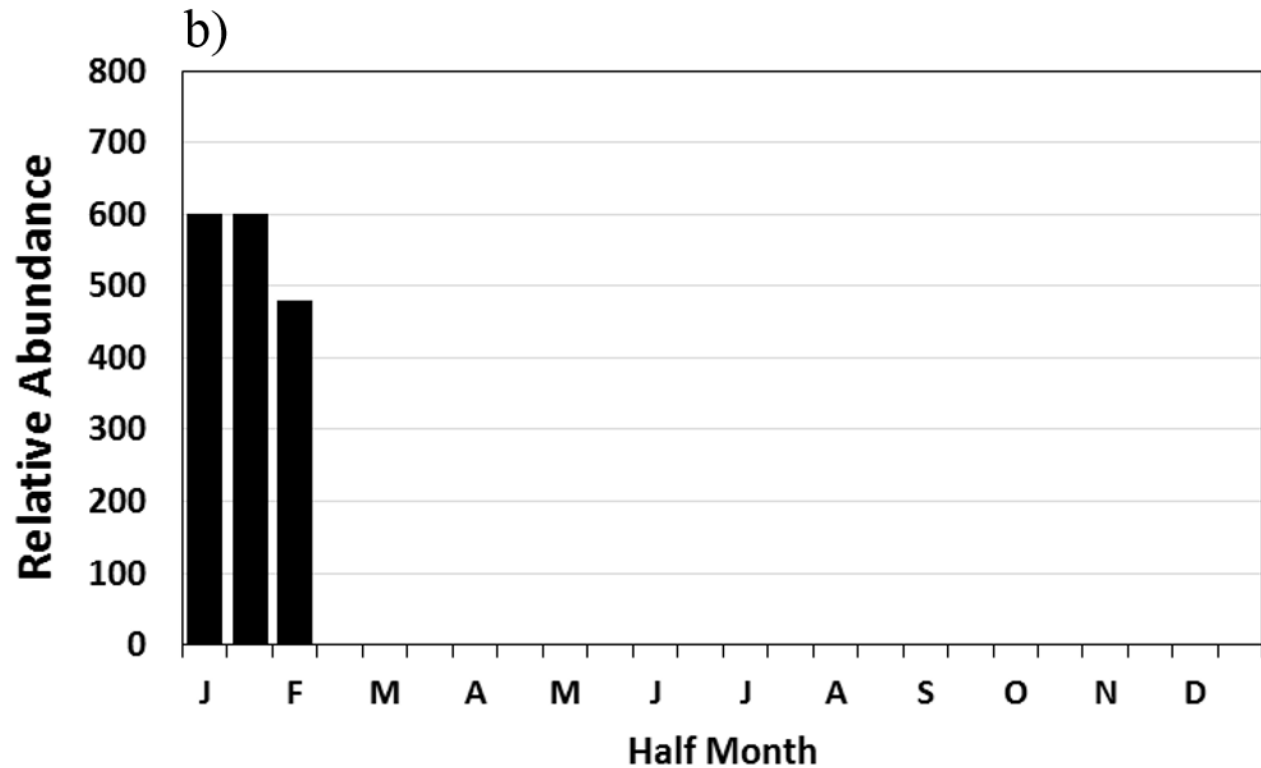
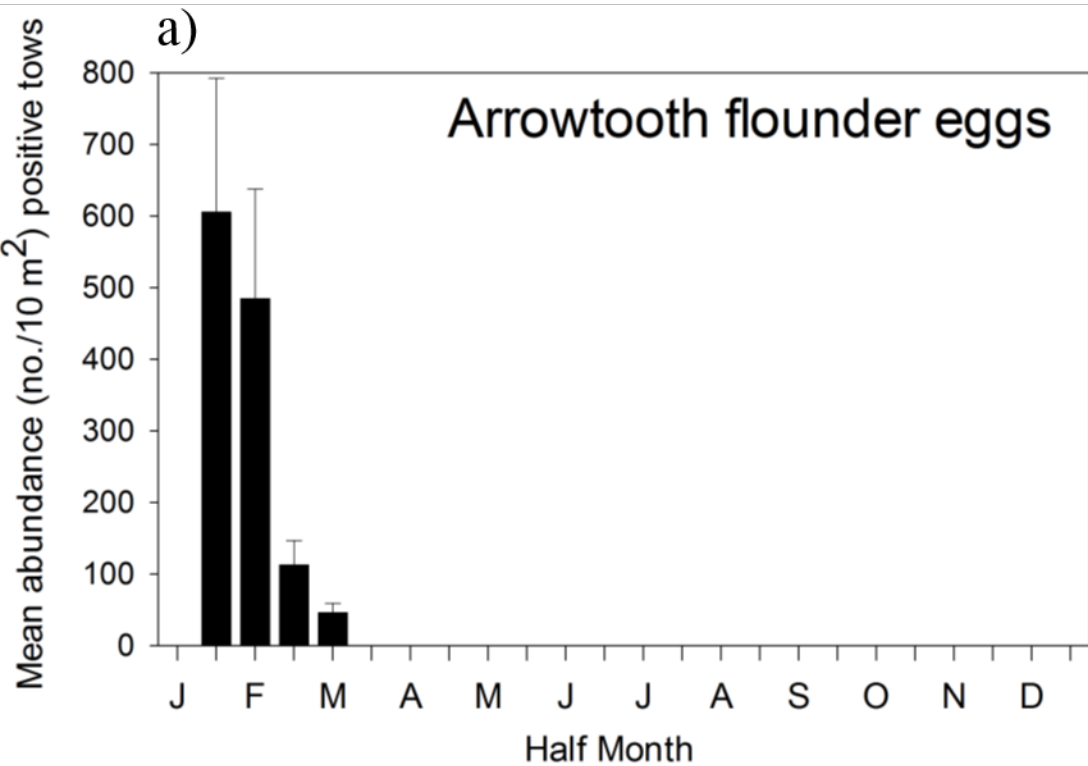
874 Table 3. Summary of the linear model analysis for the aggregate connectivity indices, as
875 potentially related to the large scale (AO, PDO, and MEI) and ROMS-derived (CSF:
876 cross-shelf flow) environmental indices. All models with 1 or 2 environmental indices as
877 factors were examined; AICc was used to select the “best” model. Only models with
878 adjusted $R^2 \geq 0.50$ are shown. $\Delta AICc$ is the change in AICc from the best 1-factor model
879 to the best 2-factor model. The p-value, $Pr(>F)$, for each model is an empirical family-
880 wise p-value based on the simulating the model fitting process 10,000 times with
881 normally-distributed random time series to obtain the cumulative distribution for the null
882 model.
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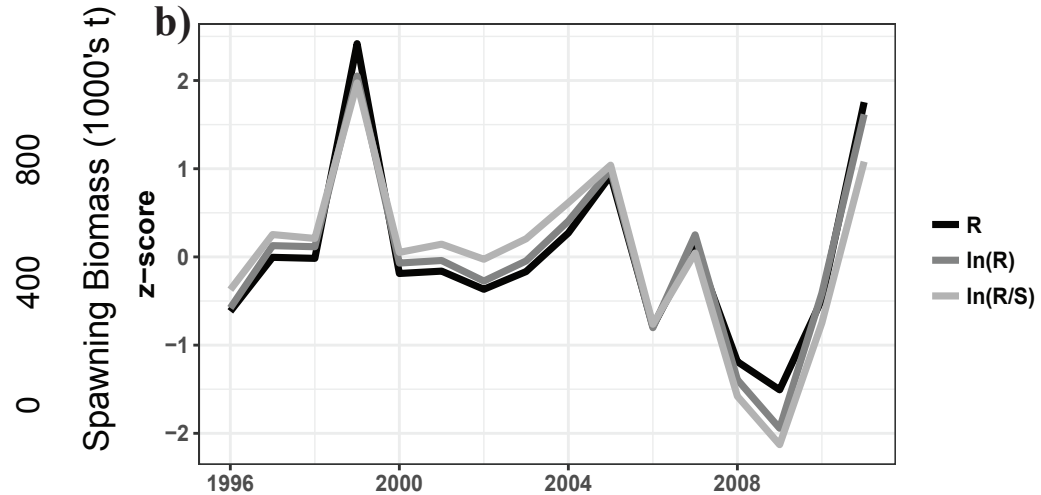
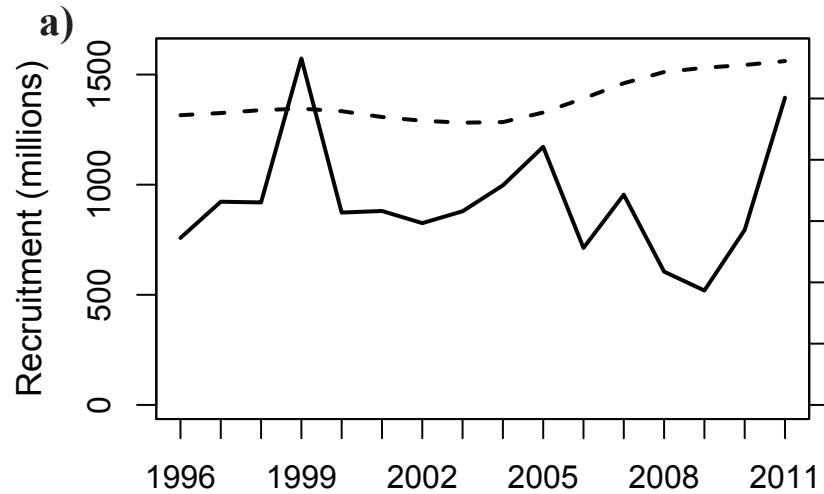
884 **FIGURES**
885

- 886 Fig. 1. Conceptual model for the arrowtooth flounder IBM. Life stages included in the IBM are:
887 egg, small yolk sac larva, large yolk sac larva, small feeding (SFPr) pre-flexion larva,
888 large feeding pre-flexion (LFPr) larva, postflexion larva, settlement-stage (settler)
889 juvenile, and benthic juvenile. Larval drawing based on Matarese et al., 1989.
- 890 Fig. 2. a) Fits to temperature-dependent mean duration of arrowtooth flounder egg (sub-) stages,
891 as characterized by Blood et al. (2007). b) Predicted development times, for different
892 constant temperatures. Eggs hatch when the end of sub-stage 19 is reached.
- 893 Fig. 3. a): Mean abundance from Fisheries-Oceanography Coordinated Investigations (FOCI)
894 ichthyoplankton tows that caught arrowtooth flounder eggs. b): Relative temporal pattern
895 and weights for release of simulated eggs in the IBM.
- 896 Fig. 4. a) Time series from the 2015 GOA arrowtooth flounder stock assessment (Spies et al.,
897 2015) for recruitment (lagged to spawning year; solid line) and spawning biomass (dotted
898 line). b) Time series of R , $\ln(R)$ and $\ln(R/S)$, standardized as z-scores.
- 899 Fig. 5. Trajectories for successful (dark grey) and unsuccessful (light grey) individuals released
900 in 2011 from spawning zones 2 (Sitka; upper row), 6 (Prince William Sound; center row),
901 and 10 (Chirikof; bottom row). Left column: trajectories during the egg stage. Right
902 column: trajectories during later stages.
- 903 Fig. 6. a) The fraction of individuals, by spawning area, successfully recruiting to nursery areas
904 anywhere in the model domain. b) The average alongshore zone, by spawning area, to
905 which successful individuals recruited (no individuals were successful from area 12).
- 906 Fig. 7. Median (lefthand plot) and root median square deviation (righthand plot) for the annual
907 (1996-2011) connectivity matrices. Nursery zones are plotted from west to east (i.e., in
908 descending order), except for Cook Inlet (alongshore zone 13).
- 909 Fig. 8. a) Principal component scores for each year associated with b) the first two EOFs of the
910 connectivity matrices for 1996-2011. The two EOFs together explain 63% of the annual
911 variance in connectivity.
- 912 Fig. 9. Time series for the fraction of individuals, by spawning area, successfully settling in
913 nursery areas anywhere in the model domain (i.e., the $C_s(y)$). a) spawning zones 1-6; b)
914 spawning zones 7-12. Note: y-axis scales are different.









egg stage

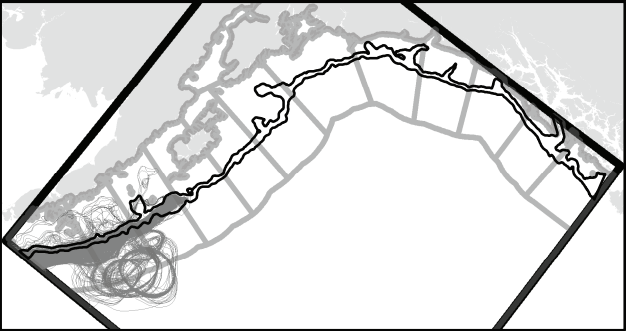
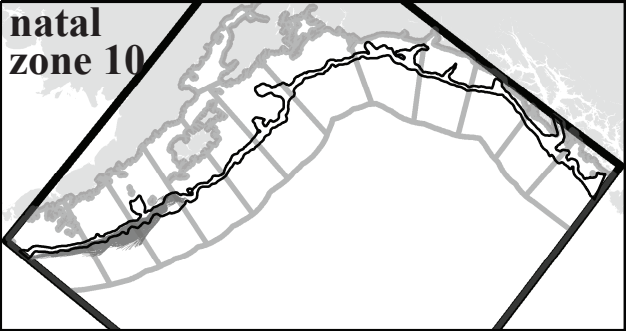
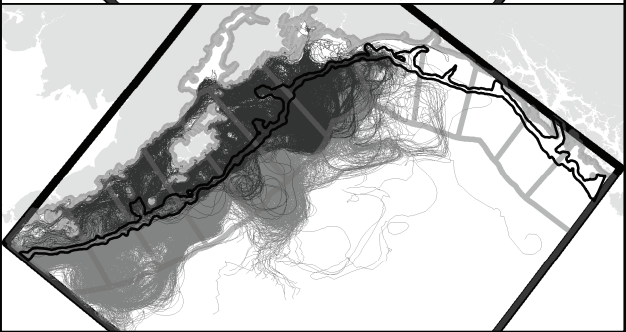
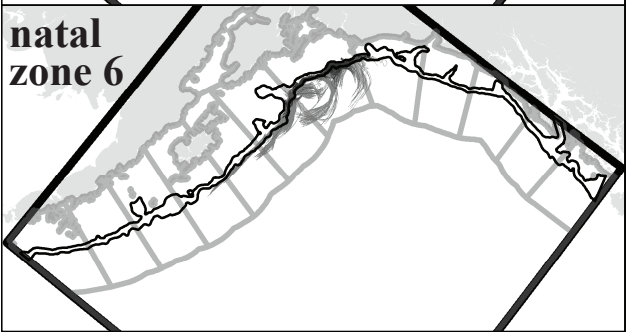
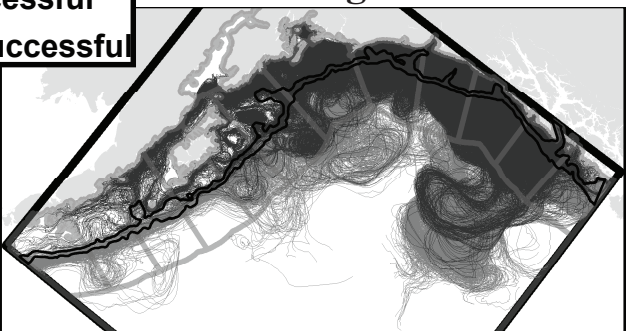
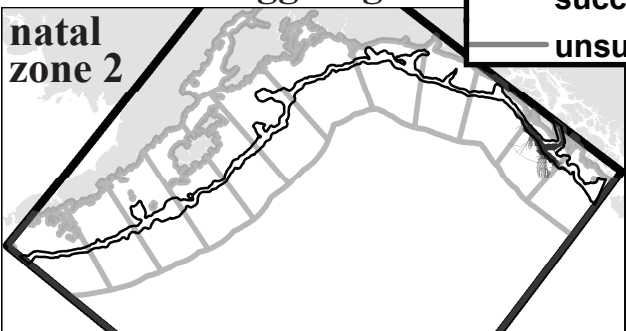
later stages

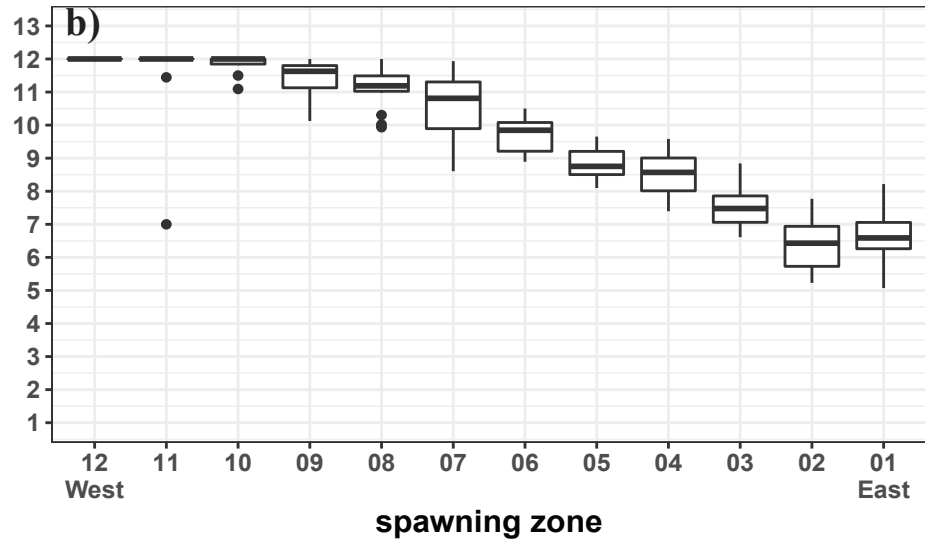
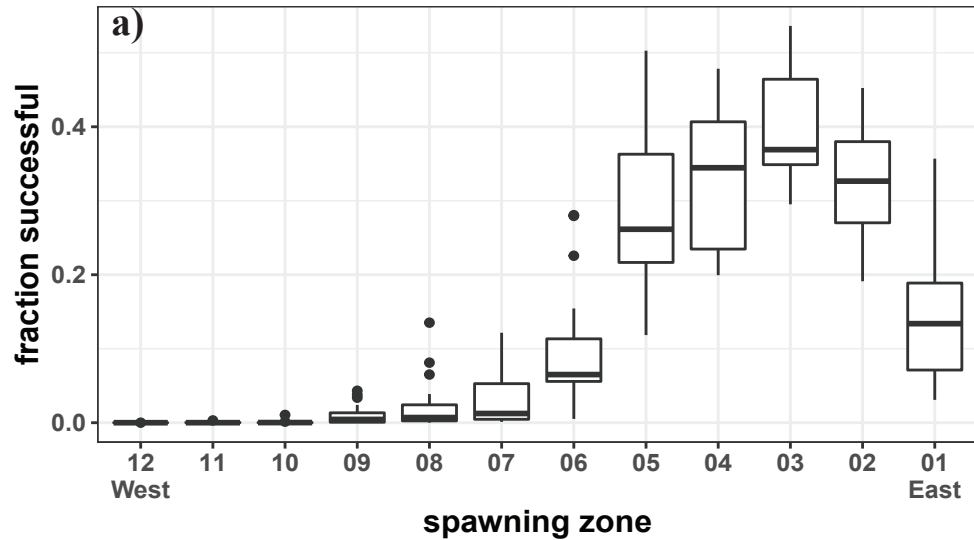
— successful
— unsuccessful

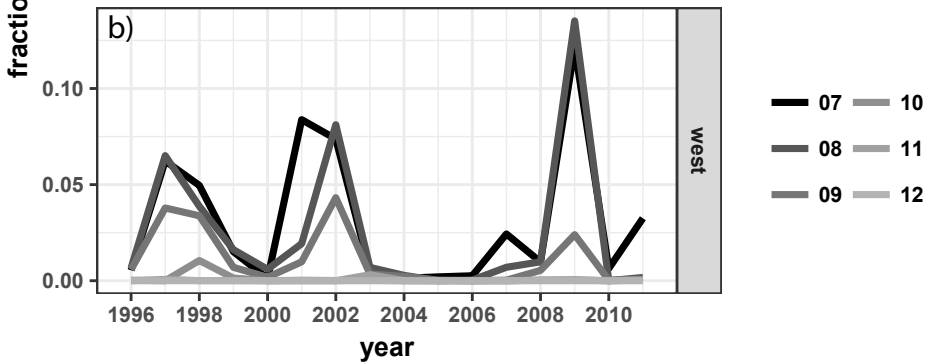
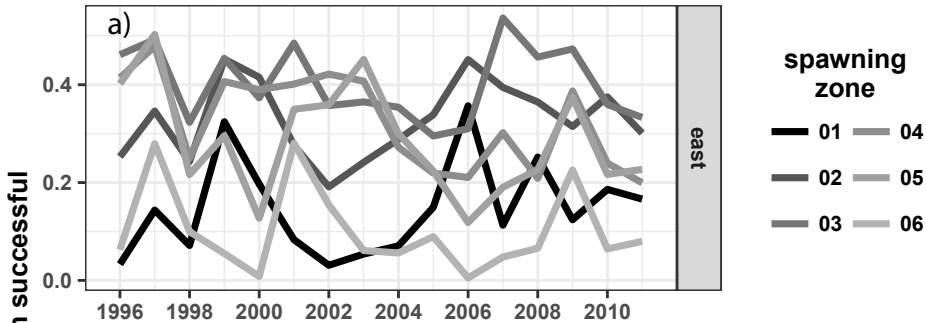
natal zone 2

natal zone 6

natal zone 10







1 Table 1a. Parameter values used in the arrowtooth flounder IBM (egg through small feeding
 2 preflexion larva stages).

Life Stage	Parameter	Value	Units	Description	Based on
Egg Stage	d_{min}	300	m	min depth in water column	[1]
	d_{max}	600	m	max depth in water column	[1]
	b	1	mm/s	buoyancy rate	
	D_v	0.0001	m ² /s	vertical diffusivity	
	D_h	0.001	m ² /s	horizontal diffusivity	
Small Yolk Sac Larva	z_i	4.4	mm	initial size	[1]
	z_f	6.1	mm	final size	[1]
	g	0.008	day ⁻¹	intrinsic growth rate	
	d_{min}	300	m	min depth in water column	[1]
	d_{max}	600	m	max depth in water column	[1]
	v_s	0.1	bl/s	vertical swimming speed	
	D_v	0.0001	m ² /s	vertical diffusivity	
	D_h	0.001	m ² /s	horizontal diffusivity	
Large Yolk Sac Larva	z_i	6.1	mm	initial size	[1]
	z_f	7	mm	final size	[1]
	g	0.008	day ⁻¹	intrinsic growth rate	
	d_{min}	150	m	min depth in water column	
	d_{max}	300	m	max depth in water column	
	v_s	0.1	bl/s	vertical swimming speed	
	D_v	0.0001	m ² /s	vertical diffusivity	
	D_h	0.001	m ² /s	horizontal diffusivity	
Small Feeding Preflexion Larva	z_i	7	mm	initial size	[1], [2]
	z_f	10	mm	final size	[3]
	g	0.01	day ⁻¹	intrinsic growth rate	[2]
	d_{min}	40	m	min depth in water column	[4]
	d_{max}	80	m	max depth in water column	[4]
	v_s	0.1	bl/s	vertical swimming speed	
	D_v	0.0001	m ² /s	vertical diffusivity	
	D_h	0.001	m ² /s	horizontal diffusivity	

3 ¹Blood et al., 2007; ²Bouwens et al., 1989; ³Matarese et al., 1989; ⁴Doyle and Mier, submitted.

1 Table 1b. Parameter values used in the arrowtooth flounder IBM (large feeding reflexion larva
 2 through benthic juvenile stages).

Life Stage	Parameter	Value	Units	Description	Based on
Large Feeding Reflexion Larva	z_i	10	mm	initial size	[3]
	z_f	13.4	mm	final size	[1]
	g	0.01	day ⁻¹	intrinsic growth rate	[2]
	d_{min}	10	m	min depth in water column	[4]
	d_{max}	30	m	max depth in water column	[4]
	v_s	0.1	bl/s	vertical swimming speed	
	D_v	0.0001	m ² /s	vertical diffusivity	
	D_h	0.001	m ² /s	horizontal diffusivity	
Postflexion Larva	z_i	13.4	mm	initial size	[1]
	z_f	42	mm	final size	[1], [2], [3]
	g	0.01	day ⁻¹	intrinsic growth rate	[2]
	d_{min}	10	m	min depth in water column	[4]
	d_{max}	30	m	max depth in water column	[4]
	v_s	0.1	bl/s	vertical swimming speed	
	D_v	0.0001	m ² /s	vertical diffusivity	
	D_h	0.01	m ² /s	horizontal diffusivity	
Settlement-stage Juvenile	z_i	42	mm	initial size	[2]
	z_f	--	mm	final size	
	g	0.01	day ⁻¹	intrinsic growth rate	[2]
	d_{min}	10	m	min depth in water column	[4]
	d_{max}	50	m	max depth in water column	[4]
	v_s	0.1	bl/s	vertical swimming speed	
	D_v	0.0001	m ² /s	vertical diffusivity	
	D_h	0.01	m ² /s	horizontal diffusivity	
	t_{max}	8	days	max. stage duration	
	d_{pna}	0-50	m	preferred nursery areas	[5]
	d_{ana}	50-150	m	alternative nursery areas	

3 ¹Blood et al., 2007; ²Bouwens et al., 1989; ³Matarese et al., 1989; ⁴Doyle and Mier, submitted; ⁵Abookire et al., 2001.

1 Table 2. Environmental indices considered as potential factors driving IBM-predicted successful
 2 settlement.

type	index	region	season	no. of indices
Large-scale indices	{ AO MEI PDO}	--	{ <i>winter</i> <i>spring</i> <i>summer</i> <i>fall</i> }	12
ROMS-derived indices	cross-shelf flow (CSF)	{ eastern GOA central GOA western GOA}	{ <i>winter</i> <i>spring</i> <i>summer</i> <i>fall</i> }	12

3

1 Table 3. Summary of the linear model analysis for the aggregate connectivity indices, as potentially related to the large scale (AO,
2 PDO, and MEI) and ROMS-derived (CSF: cross-shelf flow) environmental indices. All models with 1 or 2 environmental indices as
3 factors were examined; AICc was used to select the “best” model. Only models with adjusted $R^2 \geq 0.50$ are shown. $\Delta AICc$ is the
4 change in AICc from the best 1-factor model to the best 2-factor model. The p-value, $\text{Pr}(> F)$, for each model is an empirical family-
5 wise p-value based on the simulating the model fitting process 10,000 times with normally-distributed random time series to obtain the
6 cumulative distribution for the null model.
7

Connectivity Index Type	Zone/PC	Selected Covariate(s)	Coefficient	F value	$\text{Pr}(> F)$	R^2	Adjusted R^2	AICc	$\Delta AICc$
$C_s (y)$	Zone 01	CSF eastern GOA-summer	0.747	9.99	0.30	0.61	0.55	35.8	-5.1
		CSF western GOA-winter	-0.577						
	Zone 06	CSF western GOA-spring	0.489	9.03	0.37	0.58	0.52	36.65	-3.6
		MEI-summer	0.556						
	Zone 07	MEI-spring	0.840	10.38	0.27	0.61	0.56	35.40	-4.6
		PDO-spring	-0.875						
Zone 08	MEI-spring	0.938	16.29	0.07	0.71	0.67	30.90	-10.4	
	PDO-spring	-0.912							
Zone 09	MEI-spring	1.06	18.28	0.05	0.74	0.70	29.64	-6.7	
	PDO-spring	-0.61							
Zone 10	MEI-spring	0.98	16.78	0.06	0.72	0.68	30.58	-8.6	
	PDO-fall	-0.68							

8

9