

A full life history synthesis of Arrowtooth Flounder ecology in the Gulf of Alaska:

Exposure and sensitivity to potential ecosystem change.

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1 **Abstract**

2

3 Arrowtooth Flounder (*Atheresthes stomias*) is at present the most abundant groundfish in the Gulf of
4 Alaska and an apex predator with trophic links to many pelagic and benthic species. Its abundance and
5 trophic status implies that a small change in survival may result in substantial uncertainty in the
6 ecosystem, with potentially large effects across multiple species. A synthesis of Arrowtooth Flounder
7 ecology in the Gulf of Alaska was undertaken to determine exposure to the environment during
8 different life history stages, and to develop hypotheses regarding population response to environmental
9 forcing. Historical data sets were used to identify mechanisms of interaction with the pelagic
10 environment during the egg and larval phase, assess habitat utilization and trophic interactions from
11 early settlement through adult life, and evaluate sensitivity and potential response of the population to
12 climate-induced variability in the Gulf of Alaska ecosystem. Modeling approaches include Individual-
13 Based Modeling of the planktonic drift phase from spawning to settlement, Generalized Additive
14 Modeling to examine the effects of location, bottom temperature, and depth on the distribution and
15 density of different size categories of fish, and Habitat Suitability Modeling which integrates presence-
16 absence and environmental data to develop predictive maps of suitable habitat for early juveniles, late
17 juveniles, and adults. A strategy of high endurance characterizes the early ontogeny phase. Spawning
18 and hatching occur during winter in deep water where predation risk is relatively low, and cold
19 temperatures along with intrinsically low metabolic rates ensure extended availability of yolk reserves,
20 lowering the risk of larval starvation in a food-poor environment. Larval duration and drift is protracted,
21 contributing to widespread delivery of larvae to coastal, continental shelf and slope waters throughout
22 the Gulf of Alaska, as well as expected transportation into the Bering Sea through the Aleutian Island
23 Passes. Connectivity between spawning and settlement areas is less directed and juveniles are more
24 ubiquitous across depths than previously understood. Juvenile and adult Arrowtooth Flounder are
25 habitat and prey generalists, with some ontogenetic shifts apparent. Based on this comprehensive
26 ecological synthesis, a preliminary climate-related vulnerability assessment indicates low risk, high
27 resilience overall for this species in the Gulf of Alaska. However, some stage-specific sensitivity is
28 hypothesized primarily relating to the potential for exacerbated temporal mis-match between early
29 larvae and suitable zooplankton prey with increased temperatures. Density-dependent effects during
30 the juvenile to adult stage may constrain further increases in Arrowtooth Flounder biomass in the Gulf
31 of Alaska. This comprehensive ecological approach to assessing environmental sensitivities across life
32 history stages for a commercially and ecologically important fish species has substantial merit for
33 furthering the ecosystem approach to fisheries management, especially in marine ecosystems where
34 there are robust sampling programs across trophic levels.

35

36

37 **Keywords**

38 Arrowtooth Flounder; Gulf of Alaska; ecological synthesis; vulnerability assessment; resilience

39 **1. Introduction**

40

41 A critical aspect of marine fisheries ecosystem research is assessing the ecology of species that play a
42 major role in the structure and function of the ecosystem. Arrowtooth Flounder (*Atheresthes stomias*) is
43 at present the most abundant groundfish in the Gulf of Alaska (GOA), both numerically and by biomass,
44 and significantly higher densities are encountered there than in either the Eastern Bering Sea or the
45 Aleutian Islands Large Marine Ecosystems (Spies et al., 2017). It is considered a key component of the
46 GOA ecosystem with direct trophic links to a majority of species groups. The predation pressure exerted
47 by Arrowtooth Flounder (ATF) in the GOA is broad as it consumes a wide variety of prey including
48 zooplankton, shrimp, forage fish, other groundfish, and benthic invertebrates (Aydin et al., 2007).
49 Further, ATF competes with other top level predators including fish, birds and marine mammals for prey
50 resources. The present abundance, and trophic status and connections of ATF in the GOA indicate that a
51 small change in ATF survival may result in substantial uncertainty in the ecosystem, with potentially
52 large effects on multiple species (Aydin et al. 2007; Gaichas and Francis, 2008; Spies et al., 2017).

53

54 ATF have not always been as abundant in the GOA as documented in recent decades. Biomass increased
55 dramatically from the 1970s onwards with peak estimates documented in 2008-2010 (Fig. 1 a SM; Spies
56 et al., 2017). Estimates of Age-1 recruits to the population also increased dramatically from the 1970s
57 and have remained mostly above the long-term mean over the last three decades, but interannual
58 variation and an apparent decline after 2006 (Fig. 1 b SM)." The increases in ATF were concurrent with
59 increasing trends in recruitment and biomass of other groundfish species (Gadids and Pleuronectids),
60 and declining trends in Pandalid Shrimp and Capelin (*Mallotus villosus*), in association with a shift from a
61 cold to a warm oceanographic regime identified in the late 1970s (Hollowed and Wooster, 1992;
62 Anderson and Piatt, 1999). Ecological mechanisms leading to this community reorganization in the GOA
63 remain poorly understood. Such fluctuations, however, suggest multi-decadal instability for a variety of
64 species, especially in relation to climate forcing and changing ocean conditions. An important
65 consideration regarding continued dominance versus potential decline is that predation mortality on
66 both adult and juvenile ATF in the GOA is very low relative to other species, and fishing mortality is
67 limited because of the poor flesh quality. Consequently, ATF production in the GOA remains largely
68 unconsumed and unharvested, and predation impact on a variety of species remains very high (Gaichas
69 et al., 2011; Spies et al., 2017).

70

71 Recent trends in recruitment and biomass may indicate that ATF has reached some maximum
72 abundance threshold and that density-dependent effects may dominate population trends going
73 forward (Fig. 1 SM). Trophic modeling studies suggest that ATF biomass may be strongly influenced by
74 changes in bottom-up production in the plankton (Aydin et al., 2007). ATF adults and juveniles consume
75 mostly pelagic prey including zooplankton (e.g. Euphausiids) and planktivorous fish (e.g. Capelin and
76 juvenile Walleye Pollock [*Gadus chalcogrammus*]). Pelagic habitat resources are therefore likely
77 important as a density-dependent factor limiting population growth. Variability in plankton production
78 can also act as a density-independent factor contributing to fluctuations in survival of ATF as well as
79 other species during the larval and early juvenile phase, although we have no information to date on
80 prey preference or limitation during the planktonic phase for ATF. Climate and oceanographic processes

81 affecting distribution, abundance, and development of early life history stages are also important as are
82 intrinsic physiological rates of ATF at different ontogenetic stages (Doyle et al. 2009; Stachura et al.,
83 2014; Doyle and Mier, 2016; Mordy et al., accepted).

84

85 Given the expansion of the ATF population in the GOA, it seems that its juvenile and adult ecology as
86 well as reproductive and early life history strategies have conferred a high degree of resilience to
87 environmental forcing in this region. Many questions remain, however, regarding ecological
88 mechanisms that brought about population expansion and dominance in the GOA, and the potential
89 instabilities in mechanisms that could lead to a decline in the future. Here we evaluate the ecology of
90 ATF across all life history stages with respect to exposure, sensitivity, and potential response to physical
91 and biological environmental forcing in the GOA. Discerning stage-specific ecological links and
92 sensitivities to the environment is considered critical for determining the relative vulnerability of fish
93 stocks to a changing climate (Hare et al., 2016).

94

95 Ichthyoplankton, juvenile stage, and stock assessment survey data from NOAA's Alaska Fisheries Science
96 Center (AFSC) provide the foundation for this synthetic study, along with published literature pertaining
97 to ATF in Alaska marine ecosystems. Aspects of ATF biology, ecology, distribution, abundance and size
98 across ontogenetic stages, from spawning through juvenile settlement to recruitment are reviewed.
99 Subsequently, data from the stock assessment surveys are synthesized and presented to illustrate
100 habitat utilization from older juveniles through different size categories of adult fish. Food-habits data
101 are included to examine ontogenetic trends in prey consumption by, and predation on ATF. Additional
102 data are incorporated from the North Pacific Research Board-sponsored GOA Integrated Ecosystem
103 Research Program (GOA-IERP), including data from ichthyoplankton and juvenile collections. GOA-IERP
104 results and further synthesis from the biophysical Individual-Based Model developed for ATF early life
105 history (Stockhausen et al., accepted), and from the demersal life stage habitat modeling (Pirtle et al.,
106 2017) are also included.

107

108 The objectives of this synthesis study are to 1) decipher mechanisms of early life history interaction with
109 the pelagic environment, 2) assess habitat utilization and ecological patterns from settlement through
110 adult life, and 3) evaluate the synthesized patterns in terms of sensitivity and potential response of the
111 ATF population in the GOA to climate-induced variability in the ecosystem.

112

113

114 **2. Materials and Methods**

115

116 *2.1. Gulf of Alaska Data Sets and Survey Region*

117

118 NOAA AFSC survey data sets used in this study are identified in Table 1 with associated metadata and
119 links to relevant databases, research programs, reports and publications. The survey region is illustrated
120 to show bathymetry, topographic features, place names used in the text, and prevailing circulation
121 patterns (Fig. 1). We divide the eastern and western GOA at the 145°W meridian which reflects an
122 observed distinction in oceanographic and biological processes; the narrower shelf area in the east

123 results in more dynamic mesoscale circulation with greater exchange between shelf and deep water
124 (Stabeno et al., 2004, 2016), and apparent lower average seasonal amplitude in primary production
125 relative to shelf waters in the western GOA (Waite and Mueter, 2013). This lower average productivity
126 in the east may be related to higher levels of interannual variability in nutrient availability and
127 associated phytoplankton production in this region (Strom et al., 2016).

128

129 2.1.1. Ichthyoplankton Data

130 Ichthyoplankton surveys in the western GOA began in 1972 (no sampling 1973-1976), with annual
131 sampling from 1977-2011 and bi-annual surveys in following years (McClatchie et al., 2014). They were
132 carried out by the Recruitment Processes program and the Ecosystem and Fisheries-Oceanography
133 Coordinated Investigations program (EcoFOCI) at the AFSC. The full extent of sampling coverage in the
134 western GOA is from east of Prince William Sound to Umnak Island in the west, and the most intensively
135 sampled area extends along the continental shelf and slope from Kodiak Island to the Shumagin Islands
136 (Fig. 1). Full details of temporal and spatial coverage of ichthyoplankton sampling (60-cm bongo nets) as
137 well as sampling protocol, and processing of samples for ichthyoplankton data are given in AFSC's online
138 Ichthyoplankton Information System (IIS) <http://access.afsc.noaa.gov/ichthyo/>, and associated
139 ichthyoplankton cruise data base <http://access.afsc.noaa.gov/icc/index.php>. Sampling methods specific
140 to special studies of ATF early life history in the GOA are also included in Blood et al. (2007) and Doyle
141 and Mier (2016).

142

143 2.1.2. Transitioning Larvae and Juvenile Data

144 As part of the GOA-IERP program, sampling of the upper water column was carried out during summer
145 months 2010-2014 using a Cantrawl 400 model midwater rope trawl at a grid of stations in the western
146 and eastern GOA (Table 2; Moss et al., 2016). The trawl (198-m midwater rope trawl) was modified to
147 fish at the surface, and tows were 30 minutes in duration with an average tow speed of 3.1 knots.
148 Mouth openings averaged approximately 40 m wide x 35 m deep. ATF were counted and measured
149 (standard length mm), and catch per unit effort (CPUE) was calculated as number of fish km⁻² at each
150 station. Average weights at each station were recorded by dividing the total mass of ATF by the number
151 caught.

152

153 During October 3-14 2011, a special study was undertaken of flatfish habitat in the western GOA
154 (vicinity of Kodiak Island west to the Shumagin Islands) during a survey of neritic forage fishes and
155 zooplankton (Wilson et al., 2016). Juvenile flatfishes on or near the bottom were collected with a plumb-
156 staff beam trawl (3 m x 0.78 m opening) with a codend liner of 2 x 3 mm, and those in midwater were
157 sampled with a Stauffer trawl fitted with a 2 x 3 mm codend liner (Wilson et al., 2016). The beam trawl
158 was towed on bottom for approximately 15 minutes at 1 m sec⁻¹. The midwater trawl was fished over a
159 double-oblique tow path of 0-200 m over deep water or 7 m off bottom in shallower water. Both
160 bottom and midwater sampling were carried out at each station when bottom sampling was possible.
161 Flatfishes including ATF were sorted from these samples and size was measured as total length (mm).
162 Abundance at each site was estimated from beam-trawl sampling and expressed as number of fish 1000
163 m⁻². Midwater sampling was used only to indicate presence or absence of ATF in the water column.

164

165 2.1.3. Groundfish Survey Data

166 Data for this study were collected during the triennial (1984-1999) and biennial (2001-2015) GOA
167 bottom trawl surveys (Table 3) conducted by the AFSC Groundfish Assessment Program. The GOA
168 bottom trawl survey provides data on distribution, abundance, and biological characteristics of GOA
169 groundfish resources on the GOA shelf in waters between 20 m and depending on year from 500 m to
170 1,000 m. Stations in the survey are selected in a stratified random survey design and data are collected
171 using standardized bottom trawl gear (Stark and Clausen, 1995; Raring et al. 2011). Age data presented
172 here are from all NOAA AFSC GOA surveys (1984-2015) as well as previous surveys in the GOA from
173 which ATF length and age data were collected (Table 1 SM; Fig. 2 SM). In addition to biological
174 information on groundfish resources, oceanographic data such as temperature and salinity at depth are
175 also recorded concurrent with trawl hauls. All catch and oceanographic data are stored in the AFSC
176 Oracle database.

177

178 2.1.4. Food Habits Data

179 During summer GOA bottom trawl surveys, as well as special studies and fisheries operations in the
180 GOA, groundfish species were sampled for stomach content analyses (Livingston et al., 2017;
181 <http://www.afsc.noaa.gov/REFM/REEM/data/default.htm>). At opportunistically selected survey hauls
182 within a multispecies sampling scheme, stomachs were collected from a subsample of species in the
183 catch since 1990 (Table 1). The length and sex of each sampled individual, and the time and location of
184 the catch, were recorded. Stomachs were removed, and either analyzed at sea or fixed in a 10%
185 buffered formalin and seawater solution for return to the AFSC for laboratory analysis. Stomach
186 contents were removed, separated into lowest practical taxonomic prey categories, and the weight (g)
187 of each prey category was determined and recorded. The standard lengths (SL) of all intact prey fish
188 were measured and recorded.

189

190 2.2. *Data Synthesis and Analyses*

191

192 2.2.1. Ichthyoplankton Data

193 ATF egg and larval data from the historical GOA collections have been synthesized previously in an
194 investigation of spawning and development of eggs and larvae (Blood et al., 2007), and in the
195 determination of early life history strategies and ecology among Gulf of Alaska fish species (Doyle et al.,
196 2009; Doyle and Mier, 2012 and 2016). Temporal and spatial patterns in occurrence and abundance of
197 eggs and larvae throughout the western GOA, links with environmental variables, larval drift patterns,
198 and larval length frequency distributions have been evaluated in these studies, and are further
199 integrated here in an ecological profile of ATF early ontogeny.

200

201 2.2.2. Transitioning Larvae and Juvenile Data

202 A summary of metadata associated with the GOA-IERP program surface trawls targeting transitioning
203 larvae and juveniles carried out during 2010-2014 in the GOA is given in Table 2 and includes frequency
204 of occurrence of ATF in the samples as well as mean CPUE per survey. Given that only one and two ATF,
205 respectively, were caught during the 2011 and 2013 surveys in the western GOA these data were
206 excluded from further analysis. For the remaining six surveys, ATF abundance was plotted on the

207 sampling grids using ArcMap 10.3 mapping software (ESRI 2011), and length-frequency distributions
208 generated for each of these data sets. For the purpose of estimating growth rates, the GOA ATF length
209 data from the surface trawls (all years) were combined with the ATF larval length data from
210 ichthyoplankton samples collected during the GOA-IERP 2011 and 2013 spring ichthyoplankton surveys
211 (Siddon et al., in press). Catch weighted lengths were plotted against Julian Day and a LOESS smooth
212 curve was fitted to simulate growth.

213

214 For the October 2011 EcoFOCI survey in the western GOA, ATF patterns of distribution among sampling
215 stations were also plotted on maps generated with GIS software ArcMap (ESRI, 2011) for both the
216 bottom trawling and midwater sampling. Length-frequency distributions were generated for each of the
217 sampling gears. Plots of variation in CPUE of fish by time of sampling were also constructed to examine
218 patterns of diel variation in occurrence and abundance of fish in the water column versus on the
219 bottom.

220

221 2.2.3. Early Life History Individual-Based Model (IBM)

222 As part of the GOA-IERP program, a spatially-explicit, coupled biophysical Individual-Based Model (IBM)
223 for ATF early life history and dispersal was developed (Stockhausen et al., accepted). The purpose was to
224 explore ways in which environmental forcing in the GOA may affect survival of ATF early life stages to
225 recruitment, with an emphasis on transport variability and connectivity between deep water spawning
226 areas and presumed nearshore nursery areas (to 50 m depth), and integrating oceanographic processes
227 affecting individuals along these pathways. Egg stage duration was influenced by water temperature
228 (Blood et al., 2007), but it was not possible to incorporate variable growth rates of larvae relative to
229 suitable food availability because larval prey and consumption characteristics for ATF during early
230 ontogeny are unknown. In this study, we reevaluate the model parameterization and output in light of
231 the present ecological synthesis of historical and recent data. The IBM was re-run here with a newly
232 defined nursery settlement area of 0-150 m.

233

234 2.2.4. Groundfish Survey Data

235 The ATF stock assessment estimates population biomass, recruitment (age 1 fish), and several other
236 parameters, including selectivity for fishery and survey, fishing mortality, and recruitment deviations.
237 Instantaneous natural mortality, survey catchability, Von Bertalanffy growth parameters were fixed in
238 the model and are assessed based on an age-structured population dynamics model that includes
239 separate sexes and different natural mortality for males and females (male $M=0.35$, female $M=0.21$;
240 Spies et al., 2017).

241

242 For the investigation of spatial and temporal trends in ATF distribution and abundance all retrieval and
243 processing of data were done in R (R Core Team 2015). The ATF catch per unit effort by number
244 weighted means were calculated by length size category (set at 100 mm increments from 0 to 600 mm
245 and then > 600 mm) for longitude, latitude, bottom depth, and bottom temperature following the
246 methods described in Barbeaux (2017). Methods described in Spencer (2008) were used to calculate the
247 annual weighted mean GOA bottom trawl survey-wide bottom temperature (Table 3). For our analysis,
248 each year was identified as warm or cold as to whether it was above or below the overall weighted

249 mean bottom temperature for all years. Survey timing varied very little over the course of the years
250 sampled, and the annual bottom temperature was based on an area weighted mean with little influence
251 from the very limited extent of the deep water stations over the slope. The analysis was re-run without
252 the “deep stations” and it made no difference to the designation of so-called warm or cold years based
253 on the annual mean temperatures calculated.

254

255 Delta-log gamma (Punt et al., 2000) Generalized Additive Models (GAMs, Hastie and Tibshirani 1991)
256 were employed to examine the effects of location, bottom temperature, and depth on the distribution
257 and density or catch per unit effort (CPUE) of ATF by size category using the R package **mgcv** (Wood,
258 2006) and fit through generalized cross validation. For this two-step process, a binomial model with a
259 logit link function was first used to examine presence and absence data, and subsequently a gamma
260 error structure model with a log link function was used to examine CPUE (no. km⁻²) where ATF were
261 present. Thin plate regression splines were used for non-additive smoothers (Wood 2006) on location
262 (latitude and longitude), bottom depth (m), and bottom temperature (°C) for each length bin. The
263 default basis dimension (k) was employed for all splines. Year and bin size were both treated as
264 independent factors in both GAMs. Three sets of GAMs were employed; one with all data, one with
265 data from cold years, and one with data from warm years; warm and cold years are defined as above or
266 below the mean, respectively based on mean survey-wide bottom temperature for groundfish survey
267 years 1984-2015 (Table 3). The binomial model dataset had entries for each station and length bin;
268 therefore there were seven entries for each survey station for each year. Cells (size bin and station
269 combinations) which contained ATF were marked present. For the log gamma distribution models only
270 cells (bin and station combinations) with ATF present were included in the analysis. This effort resulted
271 in the probability of encountering an ATF of a certain size range given the location and conditions across
272 the survey area, and if encountered the expected density (no. km⁻²) for each length range bin.
273 Multiplying these two components together provided a predictive surface for each ATF length bin. The
274 Delta-log gamma and Delta-log normal approaches were compared and although results from both were
275 similar, the Delta-log gamma had a lower root mean square error (RMSE; 5,230 vs. 5,470 over 35,988
276 data points). For visualizations of the bottom temperature and bottom depth affects we chose 2009 and
277 56° N latitude 155° W longitude to predict ATF density for the general model and cold year models,
278 while 2001 and the same location for warm year models. These were chosen for demonstration
279 purposes as the year effect in the general model was approximately the same between years and the
280 location effect was near 0 in both models.

281

282 2.2.5. Habitat Suitability Modeling

283 As part of the GOA-IERP research, several groundfish survey data sources (Table 2 SM) were integrated
284 with environmental data in presence-only habitat suitability models to develop predictive maps of
285 suitable habitat for settlement stage juveniles of focal species in the GOA, including ATF (Pirtle *et al.*,
286 2017). These models were updated here with additional habitat predictor variables and new models
287 were developed for older juvenile and adult life stages as only early juvenile stages were assessed in
288 Pirtle et al. (2017). Maximum entropy modeling (MaxEnt) was used to develop the demersal stage
289 habitat suitability models using presence-only data (Phillips *et al.*, 2006). Focal life stages for the models
290 were: 1) an early juvenile stage from settlement through residency in nursery areas (40-160 mm FL); 2) a

291 late juvenile stage that may be considered immature fishery pre-recruits (161-350 mm FL); and 3) adults
292 (> 350 mm FL). Length-based life stage breaks were based on information from the literature and
293 available species catch data from demersal gear (Blackburn and Jackson, 1982; Zimmermann, 1997;
294 Norcross *et al.*, 1999). The occurrence data were assembled from groundfish stock-assessment surveys
295 and research programs that sampled marine habitats at inshore and offshore locations on the
296 continental shelf and slope, using a variety of gear types (Table 2 SM; Pirtle *et al.*, 2017).

297

298 Habitat predictor variables were applied to the models. A compiled bathymetry surface and associated
299 benthic terrain metrics described attributes of seafloor morphology, including slope, curvature, aspect
300 eastness and northness, and bathymetric position index (BPI) (Wilson *et al.*, 2016; Pirtle *et al.*, 2017).
301 Other habitat predictors included a substrate rockiness surface representing a continuous gradient from
302 areas with high to low occurrence of rocky substrate, modeled presence-absence for upright sponges,
303 corals, and sea whips, tidal current speed derived from a regional tidal model, and bottom current
304 speed, bottom temperature (May-September), and spring surface primary productivity derived from the
305 GOA ROMS 3 km model (1996-2011) (Rooper *et al.*, 2014; Pirtle *et al.*, 2017). Habitat predictor variables
306 were produced as 100 m² resolution rasters from shore to the 1000 m depth contour, and from the
307 Shumagin Islands at the westernmost portion to Dixon Entrance in the east. MaxEnt models were
308 implemented in R (R Core Team) using the *dismo* and *raster* packages (Hijmans *et al.*, 2014a, 2014b).
309 Two sets of models were produced because the spatial extent of the substrate data was less than the
310 other habitat variables. These included models produced using all habitat variables with the bathymetry
311 data extent (habitat suitability models; HSM) and models produced with a reduced spatial extent using
312 all habitat variables and substrate rockiness (HSM with substrate). Models were developed separately
313 for each ATF demersal life stage (Pirtle *et al.*, 2017). We developed an averaged mosaic of the mean
314 predicted probability of suitable habitat for overlapping areas from the final model replicates for the
315 two sets of model evaluation (HSM and HSM with substrate).

316

317 2.2.6. Food Habits Data

318 For this synthesis, we used groundfish food habits data from the GOA to describe the ATF diet and the
319 patterns in predation on ATF. Detailed, annual prey lists for ATF and many ATF predators in the GOA are
320 available (Yang, 1993; Yang and Nelson, 2000; Yang *et al.*, 2006;
321 <http://access.afsc.noaa.gov/REEM/WebDietData/DietTableIntro.php>). To provide a general description
322 of the ATF diet, prey were consolidated into the following major prey categories: Zooplankton (including
323 larval phases of benthic invertebrates), Decapods (Shrimp and Crabs), Ammodytids (Sand Lance),
324 Osmerids (Smelts), Clupeids (Herring and Sardine), Walleye Pollock, Other Gadids (Cods; including Pacific
325 Cod [*Gadus macrocephalus*] and some unidentifiable gadids that may be Walleye Pollock), Pleuronectids
326 (Flatfishes), Other fish (including some unidentifiable fish that may be from the fish groups listed), and
327 Other prey (including some unidentifiable stomach contents that may be from the identified prey groups
328 listed).

329

330 Ontogenetic shifts in the diet of ATF in the GOA are well documented (Yang, 1993; 1995; Yang and
331 Nelson, 2000; Yang *et al.*, 2006; Knoth and Foy, 2008), and provide a means to consider stage-specific
332 ecological links to the environment. Over the years, stomach samples were collected from a wide

333 length-range of ATF, 8-85 cm fork length (FL) by the AFSC, and we illustrate changes in the weight
334 composition of the diet across this size range. Weight composition, where the stomach contents of each
335 fish are weighted proportionally to their mass, is appropriate for estimating the energetic reliance on
336 prey populations (Chipps and Garvey, 2007; Ahlbeck et al., 2012). However, this can overemphasize the
337 prey consumed by larger fish within a group due to their larger stomach capacity (Buckley et al., 2015).
338 To show size-related shifts in diet we chose narrower size categories than were used in previous studies:
339 8-19, 20-29, 30-39, 40-49, 50-59, 60-69, and 70-85 cm FL. The weight composition (%W) of the diet was
340 calculated for each size category in each survey year. Then the average diet composition for each size
341 category was calculated as the average of each annual diet composition.

342
343 Information about groundfish predators of ATF has not previously been compiled. We examine patterns
344 in the species that prey on ATF at various sizes to illustrate stage-specific ecological links to the
345 environment. Most ATF less than 100 mm are less than a year old, and ATF of this size were divided into
346 three size categories based on physiological or behavioral changes occurring at 30 mm (beginning
347 transformation) and 60 mm (strong association with the bottom). Prey ATF larger than 99 mm were
348 divided into 100 mm (or 10 cm) increments except for the largest category. All occurrences of prey ATF
349 in the Groundfish Food Habits Database (Livingston et al., in revision;
350 <http://www.afsc.noaa.gov/REFM/REEM/data/default.htm>) from each size category were located and
351 the predator species was recorded. The percentage of predation events attributed to each predator
352 species was calculated for each size category. To minimize misinterpretation of the results due to the
353 unevenness of the sampling effort among groundfish species and the unevenness of the frequency of
354 predation on ATF by each groundfish species, the total number of specimens examined and the percent
355 frequency of occurrence of prey ATF was presented for each predator. In addition, the diets of many
356 groundfish species in the GOA have been examined without detecting predation of ATF
357 (<http://www.afsc.noaa.gov/REFM/REEM/data/default.htm>). We also investigated monthly trends in the
358 size of small ATF (< 100 mm) found in the stomach contents of groundfish species. The distribution of
359 prey ATF among the three larval and juvenile phases of age-0 ATF described above (<30, 30-59, and 60-
360 99 mm) was presented for the months of June through September and the remaining months were
361 aggregated into two 4-month periods (October-January, February-May) due to much lower sampling
362 intensity during the non-summer (non-survey) months.

363 364 2.2.7. Climate-related Vulnerability Assessment

365 Based on the ecological synthesis results presented here, a vulnerability assessment of ATF in the GOA is
366 developed according to 12 “sensitivity attributes” recommended by NOAA for assessing the sensitivity
367 of fish stocks to potential climate change and associated ecosystem shifts (Morrison et al., 2015; Hare et
368 al., 2016). The sensitivity attributes represent a comprehensive overview of Arrowtooth Flounder’s
369 population status, life history attributes, and ecological characteristics in the GOA in the context of
370 environmental exposure and adaptive capacity of the species in this ecosystem. The individual attributes
371 are identified (Results section 3.7.) along with the goal of each attribute, and a score is assigned
372 representing low to high levels of vulnerability to potential climate-driven shifts in the environment of
373 the GOA. As recommended in Morrison et al. (2015) and Hare et al. (2016), the attribute scores are

374 assigned based on the “expert opinion” of this manuscript’s authors, and their evaluation of published
375 information and historical data pertaining to ATF in the Gulf of Alaska.

376

377

378 **3. Results**

379

380 *3.1. Population Trends*

381

382 Gulf of Alaska ATF age data from 1977-2013 indicate a decrease in length-at-age over time in several of
383 the younger year classes (Table 4). Decreases in length-at-age were observed at similar ages in males
384 and females, and only prior to age five. During these life stages males and females grow at similar rates
385 (Fig. 2 SM b). There is an increasing age of older fish in the population, which reflects a signature of
386 increasing population size and decreasing mortality (Fig. 2 SM c). Females generally represent the oldest
387 individuals. However, more females than males are sampled due to a skewed sex ratio with higher
388 numbers of females than males (Spies et al. 2017). Coincidentally, the oldest fish observed was a male,
389 age 34, in 2010.

390

391 *3.2. Spawning and egg stage*

392

393 Based on historical AFSC data, ATF are batch spawners and in the GOA release their eggs deep in the
394 water column over the continental slope (≥ 400 m) from December through March, but primarily during
395 January to February (Zimmerman, 1997; Matarese et al., 2003; Turnock et al., 2005). Eggs are
396 mesopelagic and range in size from 1.58 to 1.98 mm. Incubation time varies with water temperature
397 and for an observed range of temperatures (4.3 – 5.4 °C) where spawning ATF have been found,
398 laboratory studies indicate that time to hatching takes approximately 15-20 days, but can be as fast as
399 13 days at 6.2 °C (Blood et al., 2007). Eggs seem to remain neutrally buoyant deep in the water column
400 until hatching, and occurrence of eggs in ichthyoplankton samples is restricted to slope waters (Blood et
401 al., 2007; Doyle and Mier, 2016).

402

403 *3.3. Larval stage from hatching to metamorphosis and settlement*

404

405 Yolk-sac larvae hatch from eggs at a standard length (SL) of 3.7 to 4.8 mm and newly hatched larvae
406 possess a relatively large yolk mass measuring approximately 30% (length) x 24 % (depth) of larval
407 length (Blood et al., 2007). Absorption of yolk is completed by 6.5–7 mm SL and the mouth appears
408 functional by that size. Flexion of the notochord begins at approximately 10-12 mm, and growth of fin
409 rays is initiated with a fin development sequence of caudal, dorsal and anal, pelvics and pectorals
410 (Matarese et al., 2003; IIS). The relationship between ATF sub-ontogenetic intervals and size is
411 illustrated in Figure 2, and is also aligned with length frequency distributions of ATF larvae by month
412 based on all GOA historical ichthyoplankton samples through 2010 (Doyle and Mier, 2016). The length-
413 frequency distributions indicate that larval growth is slow from January through April with the vast
414 majority of larvae remaining less than 10 mm SL. Through March, it seems that most larvae remain less
415 than 7 mm and are therefore likely to retain yolk reserves. Even during April, many larvae are less than 7

416 mm SL. In May, growth rates increase significantly as illustrated by a significant jump in median and
417 maximum larval size (Fig. 2). Doyle and Mier (2016) document a change in mode for these length data
418 from 7 mm in April to 14 mm in May. By July, all larvae caught in the 60-cm bongo nets are > 25 mm SL.
419 Although transformation may begin when larvae are < 30 mm long, transitioning larvae up to 45 mm SL
420 are caught in plankton nets and small-mesh pelagic trawls through summer months (IIS; Debenham
421 pers. comm.). The 60-cm bongo sampler is not, however, efficient at catching these large, late-stage
422 transitioning ATF larvae and they are rare in historical GOA ichthyoplankton collections.

423
424 During peak abundance (January to mid-March), larvae are distributed almost exclusively in slope waters
425 with limited occurrence on the outer shelf (Blood et al., 2007; Doyle and Mier, 2016). From April through
426 June, ingress of larvae on to the shelf is apparent especially in association with troughs and gullies
427 intersecting the continental slope such as Amatuli Trough and Outer Shelikof Sea Valley (Bailey and
428 Picquelle, 2002; Bailey et al., 2008; Doyle and Mier, 2016). Abundance of larvae over the slope and outer
429 shelf still remain high through mid-June. By late June and early July, ATF larvae are scarce in bongo net
430 samples from both shelf and slope waters. Vertical distributions illustrate an ontogenetic migration of
431 larvae from depths (\geq 400 m) where they hatched from mesopelagic eggs, with most large larvae (> 10
432 mm SL) occurring in the upper 50 m of the water column (Doyle and Mier, 2016). It is also apparent that
433 larvae < 10 mm may take weeks to migrate through the water column as small larvae were frequently
434 captured in nets deployed below 100 m during spring months.

435
436 The trend in abundance of late-stage ATF larvae from a 1981-2013 late spring time series is
437 characterized by a period of anomalous high levels of abundance from 1992 through 1997 (Doyle and
438 Mier., 2016; Siddon et al., in press), previously attributed to enhanced wind-driven cross-shelf and
439 alongshore transport (Doyle et al., 2009). The amplitude of variation in mean abundance for all other
440 years is more limited, and during the GOA-IERP field years of 2011 and 2013 values were similar and
441 slightly negative relative to the long term mean (Rogers and Mier, 2016). At this time of year, ATF larvae
442 can range in size from 4 to 35 mm SL but most are 8–17 mm (Fig. 2). There is no significant relationship
443 between mean larval size and mean larval abundance for the time series, but a positive although weak
444 correlation has been established between size and water temperature (Doyle and Mier, 2016). Length-
445 frequency distributions for ATF larvae across the time series reflect this correlation; distributions tended
446 to be more contracted towards the smaller size range in colder years and extended to the upper size
447 ranges in warmer years (Doyle and Mier, 2016).

448
449 The summer surface trawl sampling in 2010-2014 (Table 2) provided new information on distribution
450 patterns of late stage ATF larvae in the GOA (Fig. 3). Levels of abundance were variable with highest
451 concentrations encountered during July 2010 and 2012 on the eastern sampling grid over the shelf and
452 in adjacent slope and deep water (Fig. 3 a and d). Frequency of occurrence at stations and
453 concentrations of larvae were lowest during 2011, 2013 and 2014 (Fig. 3 b, e and f), and although
454 sampling was more limited in the west in 2011 and 2013, only 1 and 2 ATF larvae were caught
455 respectively in those years for that region (Table 2). Although levels of abundance during 2012 were
456 similar in the vicinity of Kodiak Island at stations where larvae occurred, fewer stations had catches of
457 larvae (Fig. 3 c). However, sampling occurred a month later here relative to the east. Most larvae in the

458 surface trawls were in the size range associated with transformation to the juvenile stage; i.e. 30-45 mm
459 (Fig. 2, Fig. 4). For 2010 and 2012 in the east when abundance was greatest, length frequency
460 distributions for the larvae were similar. The larger larvae in the west during 2012 (Fig. 4 c) likely reflect
461 the later sampling in that region. Although larvae encountered were in the transitioning size range, no
462 metamorphosing larvae were observed; i.e. there was no indication of eye migration among the
463 specimens encountered (Debenham, unpublished data). The LOESS smooth curve fitted to the combined
464 spring (2010, 2011 and 2013) and summer (2010-2014) larval data describes the relationship between
465 larval sizes and Julian day, and simulates the growth of larvae over these months (Fig. 5). Throughout
466 April (Julian Day < 120) the slow growth of larvae is apparent, and in May growth rates seem to increase
467 significantly as also observed in the historical larval lengths between April and May (Fig. 2). The
468 accelerated growth rate seems to continue through the summer months with perhaps some leveling off
469 by late August. It was expected that the GOA-IERP summer and autumn sampling in selected nearshore
470 area and bays of the GOA during 2011 and 2013 would have provided plentiful data on newly-settled
471 and Age 1+ juveniles of ATF. However, only three ATF specimens were recorded during these surveys
472 implying limited utilization of these nearshore habitats, or sampling methodology that did not favor the
473 collection of newly settled or older juveniles.

474

475 The biophysical IBM model of ATF early life history and dispersal was run for the years 1996-2011, with
476 simulated individuals released as eggs through early winter in presumed spawning and hatching areas
477 along the shelf break (Fig. 3 SM) and tracked through time until they reached a larval size of 42 mm SL
478 and were regarded as competent to settle in benthic nursery areas on the shelf (Stockhausen et al.,
479 accepted). For the initial model run, preferred nursery habitat was defined as areas < 50 m deep (Fig. 3
480 SM), based on previous assumptions that nearshore settlement areas are favored (Norcross et al., 1995;
481 Bailey et al., 2008). Settlement-stage individuals that arrived above a preferred nursery area before the
482 end of an 8-day “window of opportunity” were considered to have successfully recruited to juvenile
483 nursery habitat. Dispersal patterns of “successful” (settling in areas < 50 m depth) and “unsuccessful”
484 individuals onto the continental shelf and into the deep basin are illustrated at the completion of
485 subsequent early life stages (Fig. 4 SM) for a typical simulated year (2011). Based on the twelve
486 alongshore zones for spawning and settlement (Fig. 3 SM; Stockhausen et al., accepted), the model
487 simulations indicate that most (> 80%) individuals were unsuccessful in dispersing from presumed
488 spawning areas along the continental slope to inshore “preferred” nursery grounds (Fig. 5 a SM). For
489 those that were successful, most originated in the eastern GOA and settled in the central and western
490 GOA such that connectivity was primarily directed from southeast to northwest following prevailing
491 current patterns. Typical dispersion distances for successful individuals were on the order of 100s of km
492 alongshore. Individual trajectories were complex (illustrated in Stockhausen et al., accepted), and
493 although most “successful” individuals stayed on or near the shelf, some were also transported off the
494 shelf and then returned from deep water via eddies and gyres. According to this dispersive model the
495 most effective spawning areas were in the southeast off Sitka and Cross Sound, while the most effective
496 nursery areas were in the central and western GOA including Prince William Sound and North Kodiak
497 areas (Fig. 5 b SM). Interannual variability in the model-generated connectivity patterns was fairly large
498 but did not correlate well with estimated recruitment to the population three years later (Stockhausen
499 et al., accepted).

500

501 It is likely that ATF utilize a much wider range of shelf habitat as nursery areas than was originally
502 considered in Stockhausen et al. (accepted) as young of the year and older juveniles can occur in a wide
503 range of depths (Spies et al., 2017). Rerunning the IBM with an expanded definition of suitable nursery
504 habitat to include on-shelf areas < 150 m deep (Fig. 3 SM, Fig. 6) increased the overall chance of an
505 individual successfully reaching suitable nursery habitat (by a factor of 2, approximately; Fig. 7 a), but
506 did not substantially alter the qualitative picture of counterclockwise connectivity along the shelf or the
507 patterns of temporal variability in the connectivity patterns (Fig. 6, Fig. 7 b).

508

509 3.4. *Settled juveniles and adult fish*

510

511 3.4.1. Juveniles caught during October 2011 small-mesh trawl survey

512 Catches of ATF were low in these collections, with juveniles at about a third of the stations sampled and
513 distributed from near shore to outer shelf, seaward of Kodiak as well as to the southwest of Shelikof Sea
514 Valley (Fig. 8 a and b). They occurred in both the bottom and mid-water samples. Length-frequency
515 distributions indicate Age-0 fish (Age 1 fish tend to be > 100 mm SL) with size ranges between 50 and 95
516 mm. Most of the bottom trawl fish were between 60 and 80 mm (Fig. 8 c) whereas the majority of those
517 caught in the midwater trawl were < 70mm (Fig. 8 d). Diel variation in catches did not suggest a day-
518 night difference for the bottom occurring fish (Fig. 9 a) whereas occurrence and abundance of fish in the
519 water column were highest during hours of darkness; 20 hrs to 04 hrs Alaska local time (Fig. 9 b).
520 Although avoidance of pelagic sampling gear may be enhanced during daylight hours, the diel pattern in
521 catches suggests that excursions from the benthos into the water column may be confined primarily to
522 night time.

523

524 3.4.2. Juvenile and adult data from AFSC groundfish surveys

525 Analysis of AFSC groundfish catch data for the summer assessment surveys (1984-2015) shows a very
526 high (> 80%) proportion of trawl stations with ATF present (Table 3) indicating widespread distribution
527 throughout the shelf. The proportions were lowest for 1984 and 1987, the earliest years in the time
528 series, and may reflect the lower biomass levels for ATF that were documented prior to 1990 (Fig. 1 SM,
529 Table 3). For the GAM models that included all data for the full time series the binomial GAM explained
530 40.3% of the deviance in the presence and absence data, and the log gamma GAM explained 38.8% of
531 the deviance in density where ATF were encountered. Location (Lat., Long.), year and fish size (seven
532 size bins) effects show ATF concentrated in the middle of the shelf (Fig. 10), with annually variable
533 ubiquity and density (Fig. 11 a). The size effect shows a dome shape indicating differences in survey
534 selectivity for different sizes of fish, availability in the surveyed area, and abundance at size (Fig. 11 b).
535 The smallest fish (< 100 mm in particular) of course are not sampled well by the large mesh nets, and
536 the largest and oldest fish are less abundant.

537

538 Combined, the models show that although ATF are ubiquitous in the survey area occupying between
539 82% and 92% of the survey stations (Table 3, Fig. 10), they are more often encountered at higher
540 densities in waters between 100 m and 200 m bottom depth on the continental shelf (Fig. 12). The
541 GAMs also show an ontogenetic expansion in distribution of fish to include deep water over the

542 continental slope. At larger sizes (> 300 mm) fish occurred in deeper waters extending down to below
543 800 m albeit at low densities (predicted at < 30 km⁻²) at depths > 400 m (Fig. 12). Bottom temperature
544 was also a factor in ATF distribution and density. Fish in the 100-400 mm size range occurred in highest
545 concentrations in colder waters (< 4°C), while larger fish were observed more often and in higher
546 densities in warmer waters (4-8°C). Even though juvenile ATF in the < 100 mm size category are not
547 sampled efficiently by the bottom trawl surveys, their signal in these data indicate widespread
548 distribution at all bottom depths and temperatures sampled on the shelf (Fig. 12, Panel 1). At
549 temperatures < 5°C, their distribution also extends down to depths of approximately 400 m indicating
550 that newly settled juveniles can occur beyond the shelf edge. Distributions for the 100-200 mm size
551 category (likely age 1-2 fish, Fig. 12, Panel 2) extend almost to the full depth range at which older fish
552 are encountered which suggests a high level of ubiquity for pre-recruit, immature fish.

553
554 When the data are split between warm and cold years (as defined in Table 3) the effect of temperature
555 on distributions is apparent among all size categories of ATF (Fig. 13). The models suggest that fish < 300
556 mm tend to occur at depths primarily < 400 m in warm years (Fig. 13 a), but expand their distribution to
557 deeper water in the cold years (Fig. 13 b). For the youngest fish encountered (< 100 mm) occurrence
558 beyond the shelf edge (> 200 m) seems to be confined to cooler years. In the warm years, the full extent
559 of occurrence of ATF in the 300-600 mm size bins is broadest and includes the deepest survey stations at
560 800 m and slightly deeper (Fig. 13 a). In contrast, however, high densities of fish (> 3800 km⁻²) are more
561 extensive in the 200-400 m depth range in cold (Fig. 13 b) relative to warm years (Fig. 13 a). For the
562 largest and oldest fish (> 600 mm), the highest densities are encountered exclusively over the slope in
563 cold years.

564
565 It should be noted that changes in sampling methodology accounts for some of the interannual
566 variability in distribution patterns presented here. Over the survey time series there have been changes
567 that may have affected availability and catchability of ATF in the bottom trawl survey. In 1993 the survey
568 switched from 30 minute to 15 minute duration tows. This may have reduced the catchability of larger
569 fish. Incremental improvements to trawl technology allowed the bottom trawl survey net to better tend
570 to the bottom, improving net performance and leading to more precise on bottom and off bottom time
571 estimates. Improvements in fish identification in the early 1990s likely lead to some changes in survey
572 estimates (Stevenson and Hoff, 2009). This interannual variability was captured in the annual effects
573 (Fig. 11 a) in the GAMs making the interpretation of the annual effect problematic except as an overall
574 repository for all annual variability, not just trends in population abundance.

575 576 3.4.3. Habitat suitability models

577 Results of the habitat modeling provided new synthetic information on ATF habitat utilization, and
578 geographic and physical habitat characteristics associated with each of the three demersal life stages.
579 Further, the model output yielded a ranking of such physical features enabling their evaluation as
580 habitat predictors.

581
582 Early juvenile stages of ATF (40-160 mm) were captured in nearshore areas and bays around Kodiak
583 Island and the Alaska Peninsula primarily by the ADFG small-mesh bottom-trawl survey. Several early

584 juvenile stage ATF were captured by hauls made with larger mesh trawl gear by the AFSC surveys on the
585 continental shelf and slope within 22-558 m depth (mean \pm SD; 116 ± 50 m) (Table 2 SM, Fig. 6 a SM).
586 Final habitat models produced from k-fold cross-validation of model replicates demonstrated the top
587 contributing habitat predictors were depth, broad-scale terrain features (BPI 3.3 and 6.5 km), bottom
588 temperature, and tidal current speed (Table 5). Contribution of other habitat metrics was minimal (<
589 5%) except for coral (5.6%) and rocky substrate (5.1%) presence. The models characterized early juvenile
590 stage habitat as bathymetrically low-lying areas, such as bays and channels, and flats offshore of the
591 main rivers and glaciated bays around the GOA. Highly suitable habitat includes areas with relatively low
592 tidal current speed and reduced presence of corals and rocky substrate in nearshore and continental
593 shelf areas. The top 25th percentile of predicted suitable habitat was within 35-200 m depth and the top
594 10th percentile within 70-140 m depth. Model averaging performed with the final surface from each set
595 of replicated models produced a mosaic of the mean probability of suitable habitat on a continuous
596 scale (0-1), where habitat of high suitability is represented by values close to 1 and habitat of low
597 suitability by values close to 0 (Fig. 14 a). Habitat of high predicted suitability occurred on the
598 continental shelf west of Cross Sound and offshore of Yakutat Bay, Icy Bay, the Copper River, Kodiak
599 Island, and the Shumagin Islands west of Shelikof Strait. Suitable habitat in the vicinity of northeast
600 Kodiak Island is highlighted by the map inset, demonstrating a preference by these young stages for
601 entrances and deeper channels of bays and not along the shoreline.

602
603 Late juvenile stage ATF (161-350 mm) were the most abundant of the three demersal life stages
604 examined and nearly ubiquitous in the bottom-trawl survey area on the continental shelf and upper
605 slope (Table 2 SM, Fig. 6 b SM). Sample locations were also available from inside waters in southeast
606 Alaska and Prince William Sound, and by the ADFG small-mesh bottom-trawl survey nearshore around
607 Kodiak Island and the Alaska Peninsula. The final models demonstrated the top contributing habitat
608 predictors were depth, broad-scale terrain features (BPI 3.3 and 6.5 km), tidal current speed, and
609 bottom temperature (Table 5). The contribution of other habitat predictors was minimal (< 3%) with the
610 exception of substrate rockiness (4.4%). The models described ATF late juvenile stage habitat as
611 bathymetrically low-lying areas such as channels and gullies, and flats offshore of the main rivers and
612 glaciated bays. Highly suitable habitat includes areas with relatively low tidal current speed and reduced
613 presence of rocky substrate. Although late juvenile ATF were widely distributed, the top 25th percentile
614 of predicted suitable habitat was within 80-230 m depth and the top 10th percentile within 120-180 m
615 depth. ATF older juvenile habitat shifts to deeper depths and occurs extensively from nearshore across
616 the continental shelf and over the slope with less terrain specificity than the younger juvenile stage (Fig.
617 14 b).

618
619 Adult ATF (> 350 mm) catch locations were ubiquitous in the NOAA AFSC RACE bottom-trawl survey
620 sampling extent on the continental shelf and slope, similar to older juveniles (Table 2 SM, Fig. 6 c SM).
621 Adults were also captured in nearshore areas by the ADFG small-mesh bottom-trawl survey. NOAA AFSC
622 underwater visual surveys of groundfish and fish habitat provided additional sample locations for adults.
623 The final models demonstrated the top contributing habitat predictors; depth, bottom temperature,
624 broad-scale terrain features (BPI 3.3 and 6.5 km), and tidal current speed (Table 5). The contribution of
625 other habitat metrics was minimal (< 3%). As for the juvenile stages, the models described adult ATF

626 habitat as bathymetrically low-lying areas such as channels and gullies with relatively low tidal current
627 speed, and flats offshore of the main rivers and glaciated bays. Adult habitat extends to deeper depths
628 than immature fish. The top 25th percentile of predicted suitable habitat was within 100-470 m and the
629 top 10th percentile 150-470 m depth. Adult ATF habitat is distributed broadly over the continental shelf
630 and slope, occurring deeper than immature fish captured by the ADFG and AFSC summer bottom-trawl
631 surveys (Fig. 14 c).

632

633 3.5. Feeding and predation

634

635 The diet of late-stage larval ATF is dominated by large Calanoid copepods by percent weight of stomach
636 contents (73%) followed by small Calanoid copepods (14%) and Decapod larvae (7.7%) (Debenham et al.,
637 unpublished data). Some settled ATF juveniles from the benthic environment have also been analyzed
638 for gut contents and a distinct shift in prey from copepods to the dominance of shrimp and fish is
639 apparent (Debenham et al., unpublished data). No larval diet data are available for ATF winter first-
640 feeding stages through spring months.

641

642 An ontogenetic shift in diet of ATF is also apparent from food habit data based on AFSC summer
643 groundfish assessment surveys (Fig. 15). Fish smaller than 200 mm consume mostly zooplankton
644 (primarily Euphausiids) and Osmeriid fish (primarily Capelin), with approximately 30% of remaining diet
645 by weight consisting of “other fish”, Sand Lance (*Ammodytes hexapterus*), Shrimp and Crabs.
646 Subsequently, as the fish grow through 10 cm increments, zooplankton and Capelin diminish in
647 importance, and Walleye Pollock becomes dominant. The largest ATF (> 600 mm) also have increasing
648 amounts of Pleuronectid fish in their diet, probably because their larger mouth size facilitates the
649 capacity to swallow whole flatfish.

650

651 Predation on different size categories of ATF by fish predators also reveals a progression with age from
652 pelagic to benthic habitat utilization by ATF (Fig. 16). With increasing ATF size, relative amounts of
653 predation by pelagic, zooplanktivorous predators such as Walleye Pollock, Northern Rockfish (*Sebastes*
654 *polyspinis*) and Pacific Ocean Perch (*Sebastes alutus*) decreased rapidly, whereas relative amounts of
655 predation by primarily benthic predators increased. The heavily sampled Pacific Cod and Pacific Halibut
656 (*Hippoglossus stenolepis*) represent the highest proportion of predation on 30-399 mm ATF.
657 Cannibalism by ATF on the 30-299 mm prey size also occurs. Only very large fish can consume ATF > 400
658 mm, such as Pacific Sleeper Sharks (*Somniosus pacificus*) and a few Pacific Halibut and Skates.
659 Consumption of different size groups of the young of the year (< 100 mm) ATF by total predators
660 indicates heaviest predation during summer months on the 30-59 mm size group (Fig. 17). At this size
661 ATF are undergoing metamorphosis (including eye migration and lateral compression of the body) and
662 transition from a pelagic drift phase to benthic settlement.

663

664 3.6. New conceptual model of habitat utilization from spawning to settlement

665

666 Occupation and utilization of pelagic and benthic habitat by ATF from spawning through settlement
667 appears to be more extensive than previously thought based on the synthesis of historical and recent

668 GOA ichthyoplankton data, IBM results, and habitat modeling (Fig. 18). This represents a change from
669 previous paradigms of ATF early life history ecology which described a continuous larval transport
670 trajectory from deep water spawning areas along the slope to nearshore nursery areas (Bailey et al.,
671 2008; Fig. 2 in Stockhausen et al., accepted). In this new schematic, we illustrate the observed
672 distributions of larvae from winter through summer with seasonal expansion across the shelf to
673 nearshore as well as retention over the outer shelf and slope. In addition, summer to autumn
674 distribution of recently settled juveniles is shown to be broader than previously reported with
675 occupation of nearshore to slope habitat. Concurrent seasonal progression in ATF larval sizes (upper
676 panel, Fig. 18) shows that feeding larvae start to grow rapidly from May onwards as they become more
677 abundant on the continental shelf, subsequent to very slow winter growth through the yolk-sac stage (<
678 7 mm).

679
680
681

3.7. *Climate-related vulnerability assessment for Arrowtooth Flounder in the GOA*

682 Utilizing the ecological synthesis across life stages, a climate-related vulnerability assessment for
683 evaluating the sensitivity of individual fish stocks or populations to potential climate change (Morrison
684 et al., 2015) is applied to ATF here. Assessment scores are assigned, and associated resilience or
685 vulnerability factors are identified for the 12 sensitivity attributes recommended (Table 6). Large ATF
686 stock size and high productivity in combination with very low levels of predation and fishing mortality
687 render low scores in terms of vulnerability of the existing ATF adult population in the GOA to climate
688 change impacts. Further, the lack of specificity in both habitat utilization and prey organisms consumed
689 by ATF throughout the juvenile and adult stages yields low vulnerability scores for these attributes.
690 There is no present indication that ATF would be highly sensitive to even moderate increases in ocean
691 acidity. Calcifying organisms known to be sensitive to acidification such as bivalve shellfish do not seem
692 critical in ATF diets across juvenile to adult stages, although decapod crustaceans can represent up to
693 approximately 14% by weight of prey consumed by ATF < 40 cm in length (Fig. 15). The direct impact of
694 water pH changes on zooplankton crustaceans such as copepods and euphausiids, important especially
695 as prey for larval and juvenile stages respectively, has not been studied in the GOA. Their response to
696 acidification remains largely unknown in this region, but based on negative responses evaluated for
697 temperate mesozooplankton species generally (Busch and McElhany, 2016), a low to moderate
698 sensitivity score for ATF seems appropriate in this regard (Table 6). Aspects of reproductive and early
699 life history strategies that yield low vulnerability scores include spawning of mesopelagic eggs in cold,
700 deep water during winter over the slope, and an extended larval drift period that results in high
701 dispersal with potential for colonization of new habitat. The utilization of cold, deep water for
702 deposition of eggs ensures a stable environment with low predation risk, and low metabolic demand
703 during early ontogeny when yolk-sac reserves are being utilized. The so-called larval “endurance”
704 strategy, or physiological “holding pattern” observed with ATF larvae (Doyle and Mier, 2016) is
705 considered a factor of resilience in a winter, food-poor environment. Doyle and Mier (2016) also
706 propose that the observed synchrony in peak abundance of ATF larvae with the winter release of eggs
707 and nauplii of *Neocalanus* copepod species in deep water may be a potential food source for first-
708 feeding larvae in the GOA and would add to this endurance strategy.

709

710 Although climate-related resilience seems to be the dominant feature of ATF life history and ecology in
711 the GOA, three low-moderate (1.5) and one moderate to high (2.5) vulnerability scores are assigned
712 (Table 6). Wind plays a significant role in both alongshore and cross-shelf transport of larvae in the GOA,
713 and delivery of ATF larvae from deep water spawning areas on to the shelf (Doyle et al., 2009). Climate-
714 induced variability in such larval transport could be a point of vulnerability in the early life ecology of
715 ATF. This could potentially compromise the larval “endurance” strategy described above and so a low-
716 moderate score overall is assigned for the “Early Life History Survival and Settlement Requirements”
717 sensitivity attribute. The moderate-high vulnerability score is also associated with early ontogeny and is
718 attributed on the basis of potential temperature-induced variability in larval developmental rates that
719 could influence the relationship between timing of larval feeding and prey availability. Finally, a negative
720 affect from warming bottom temperatures is also suggested by the GAM modeling of ATF abundance in
721 relation to temperature and depth presented earlier (Fig. 13). The contraction in depth distribution
722 apparent in warm relative to cold years, especially for fish < 40 cm, is considered a moderate level of
723 vulnerability. Because ATF are encountered in the full range of bottom temperatures measured during
724 groundfish surveys in the GOA from shallow to deep water, this level of risk is on balance considered low
725 to moderate with population distribution being affected primarily at the edges. With a minimum
726 possible score of 12 and a maximum of 36 (Table 6 rubric), a total vulnerability score of 15 is calculated
727 for ATF in the GOA.

728

729

730 **4. Discussion**

731

732 It is important to consider the detailed ecological patterns and environmental links across life history
733 stages for an apex predator species such as ATF in the GOA. Its continued population growth since the
734 1970s, and status of persistent numerical dominance associated with limited fishing and predation
735 pressure (Spies et al., 2015) seems to confer a higher level of resilience for this species, relative to most,
736 in terms of its sensitivity to environmental fluctuations. The trajectory of the ATF population in the GOA
737 in the next decade and beyond is unclear. However, some decline in biomass has been observed (Spies
738 et al., 2017), and a decline in the size of younger ATF indicate that the species may be approaching or at
739 carrying capacity in this ecosystem. The comprehensive ecological synthesis presented here certainly
740 supports a general outlook of environmental resilience. However, some sensitivities and potential
741 vulnerabilities have been identified, especially during early life, and their consideration with respect to
742 possible fluctuations in the ecosystem contributes to the development of hypotheses regarding future
743 variability in the status of this species in the GOA. Interaction with the ocean environment is variable at
744 different life history stages, even at sub-intervals of early ontogeny for marine fish species, which likely
745 drives stage-specific responses and survival outcomes (Doyle and Mier, 2012, 2016). Understanding
746 stage-specific ecological patterns and environmental affects is critical to gauging the influence of
747 ecosystem dynamics and shifts for a given fish species, and for successful ecosystem-based management
748 of fish stocks (Bailey, 2000; Hollowed et al., 2009; Rijnsdorp et al., 2009).

749

750 *4.1. Mechanisms of early ontogeny interaction with the pelagic environment and associated sensitivities*

751

752 ATF is at the earliest end-point of a phenology gradient for GOA fish species that represents a broad
753 range in timing of spawning and occurrence of eggs and larvae in the pelagic environment (Doyle and
754 Mier, 2012). This winter phenology is associated with deep water, continental slope habitat in which
755 eggs and newly hatched larvae develop (Blood et al., 2007). This environment may confer stability to the
756 timing of spawning of ATF from year-to-year as the amplitude of variability in physical conditions is more
757 limited at depth than near the surface. Cold water temperatures are advantageous for slow
758 development of the mesopelagic eggs, and minimizing predation from planktonic predators that would
759 be more abundant in the upper water column, and on the continental shelf especially later in the year.
760 Cold conditions also favor slow larval development. Slow growth is crucial if first-feeding larvae occur in
761 association with low availability of potential prey organisms during early winter, but still need to
762 encounter and feed on high levels of prey on the shelf in spring (e.g. copepod nauplii) to develop and
763 settle out of the plankton. Prolonged ontogeny is also an advantage for extended larval duration and
764 drift. ATF larvae are transported from deep water onto the shelf very gradually during winter and spring.
765 Doyle and Mier (2016) attribute the term “holding pattern” to this slow development and gradual
766 transport of larvae, and consider it an early ontogeny strategy of endurance. Further, intrinsic
767 physiological rates seem to result in very low metabolic demand by larvae such that from January
768 through March most remain < 7 mm, the size at which yolk absorption is usually complete (Blood et al.,
769 2007). The high proportion of 6-8 mm larvae encountered through April suggests some availability of
770 lipid reserves even in spring. This extended utilization of intrinsic nourishment, and slow growth,
771 contrasts with that observed for Pacific Halibut whose early ontogeny occurs in the same deep water
772 habitat and at the same time as ATF in the GOA (Doyle et al., 2012). Halibut larvae hatch out at a much
773 larger size than ATF and grow much more quickly, even in the same cold and food-poor environment,
774 emphasizing the importance of intrinsic rates as well as extrinsic factors in terms of progression through
775 sub-intervals of early ontogeny.

776
777 Another potential environmental buffering effect that could mitigate the risk factor of minimal larval
778 food availability is the reproduction of oceanic species of copepods in deep water during early winter,
779 following a period of diapause. Doyle and Mier (2016) note spatial and temporal synchrony between
780 ATF early ontogeny and the reproduction of *Neocalanus* species of copepods (considered primarily to be
781 *N. plumchrus* and *N. flemingeri*; Miller and Clemons, 1988; Coyle et al., 2013), and argue that their lipid-
782 rich eggs and nauplii are a potential key food source for first-feeding larvae. During late winter and early
783 spring months the ATF larvae and *Neocalanus* spp. early life stages utilize the same cross-shelf transport
784 processes to gain access to continental shelf habitat (Coyle et al., 2013; Mordy et al., accepted). It is also
785 probable that populations of small-sized copepods such as *Pseudocalanus* spp. and *Oithona similis* that
786 numerically dominate the shelf assemblage may provide some prey for ATF larvae in late winter as well
787 as during spring. They have multiple generations per year, and even though levels of abundance are
788 relatively low in winter months (Coyle and Pinchuk, 2003), copepodite and naupliar abundance levels on
789 the outer shelf are several orders of magnitude higher (Coyle and Pinchuk, 2005) than those
790 documented for ATF larvae (Doyle and Mier, 2016) implying availability as larval prey.

791
792 Despite such adaptive features and potential environmental buffering effects, the early ontogeny
793 planktonic phase of ATF is still assigned a medium to high vulnerability score with respect to potential

794 for climate-induced disruption (Table 6). The early life history characteristic of “endurance” is a robust
795 strategy that likely results in a broader range of optimal conditions for early survival relative to most fish
796 species in the GOA. Nevertheless, the increased exposure to the pelagic environment from winter to
797 summer months, along with the early spatial and temporal mis-match with peak availability of
798 zooplankton prey resources confers a level of complexity to this early life strategy that implies enhanced
799 sensitivity to environmental variability. Doyle and Mier (2016) document a positive shift in sizes relative
800 to temperature for a variety of larval species, including ATF, in the GOA late spring ichthyoplankton time
801 series, suggesting increased metabolic rates and enhanced growth with warming. Increased
802 development rates for eggs and larvae have also been observed with small increases in water
803 temperature in laboratory rearing studies of ATF (Blood et al., 2007). Under warming conditions, it is
804 likely that ATF eggs and larvae will develop more quickly, and larvae may use up their yolk-sac lipid
805 reserves earlier than normal. There is potential, therefore, for an exacerbation of the mis-match
806 between peak larval abundance and spring plankton production on the GOA shelf that could result in
807 fewer ATF larvae surviving long enough to encounter a plentiful supply of copepod nauplii. Further, if as
808 hypothesized there is a critical trophic link with *Neocalanus* spp. eggs and nauplii for survival of first
809 feeding larvae in late winter, such prey specificity implies extra sensitivity and perhaps vulnerability.
810

811 Effects of warming on different groups of zooplankton organisms can be variable and complex, and
812 different sensitivities and responses are observed (Mackas et al., 2012). Synchronicity in response to
813 environmental disruption is therefore unlikely between larval fish and their zooplankton prey. The
814 dynamics are not simple, and a great deal of uncertainty prevails in terms of potential for shifting, and
815 the temporal direction and amplitude of such shifts from favorable to unfavorable trophic conditions for
816 larval fish growth and survival (Batten and Mackas, 2009). However, if *Neocalanus* spp. is a critical food
817 source for ATF larvae, during late winter as eggs and nauplii over deep water and in spring to early
818 summer as later copepodite stages on the shelf, perhaps this copepod taxon could be an important
819 biological indicator of survival. For settled ATF juveniles that continue to feed on large Calanoid
820 copepods, the lipid-rich *Neocalanus* adults are also likely to be an important food source on the shelf
821 during spring to early summer prior to their migration into deep water diapause. Significant temporal
822 shifts in annual biomass peaks have been documented for *Neocalanus* spp. in the Gulf of Alaska basin
823 (Mackas et al., 1998; Batten et al., 2003). Anderson and Piatt (1999) hypothesize that an earlier biomass
824 peak for *Neocalanus* copepods associated with a switch to a warmer oceanographic regime at the end of
825 the 1970s (Mackas et al., 1998) favored early spawning fish species whose larvae could take advantage
826 of that peak, and contributed to the rise of ATF, along with other groundfish species in the GOA. An
827 earlier peak abundance of adult stage *Neocalanus* copepods could be an advantage to well-developed
828 late stage larvae, such as ATF in May-June that are mostly greater than 10 mm at that time. It would be
829 difficult for smaller larvae to eat the later copepodite stages that are 3-4 mm in body length for *N.*
830 *flemingeri* and *N. plumchrus* (Kobari et al., 2003). Further, Anderson and Piatt (1999) propose that the
831 concurrent decline of shrimp populations in the GOA (larval stages negatively affected by an earlier
832 zooplankton biomass peak) resulted in a significant reduction in predation on *Neocalanus* copepods
833 freeing up that food source for fish populations that feed on plankton such as young Walleye Pollock
834 and ATF. Further research is necessary to verify the existence of such an important trophic link by
835 conducting gut content analysis on larval and juvenile ATF in the GOA, during winter and spring months,

836 and over years with variable conditions so as to evaluate possible climate-induced changes in production
837 and consumption of such prey.

838
839 Variability in larval transport may also influence survival of ATF to juvenile settlement. The association of
840 hot spots in abundance of eggs and larvae with deep-sea valleys and troughs intersecting the slope has
841 been well established and seasonal progression in distribution of larvae onto the shelf has been
842 described (Blood et al., 2007; Bailey et al., 2008; Doyle and Mier, 2016). Cross-shelf transport is
843 enhanced at Amatuli, Chiniak, and Barnabus Troughs, as well as Shelikof Sea Valley with an estuarine
844 type flow observed (Stabeno et al., 2004; Mordy et al., accepted) offering clear evidence for a previously
845 proposed mechanism of onshore transport of larvae in association with these features (Bailey and
846 Picquelle, 2002). Elevated levels of abundance of ATF larvae on the western GOA shelf have been linked
847 previously with enhanced onshore advection during ENSO (El Niño Southern Oscillation) conditions
848 (Bailey and Picquelle, 2002), and with increased alongshore and cross-shelf winds (Doyle et al., 2009).
849 Recruitment of ATF along with other deep water spawning flatfish in the GOA has been positively
850 although weakly associated with basin-wide sea surface height which is presumed to be connected to
851 enhanced onshore transport of larvae (Stachura et al., 2014). Investigating the relationship between
852 transport processes in the canyons intersecting the GOA continental slope, at spatial and temporal
853 scales relevant to the early ontogenetic stages of ATF, would likely provide a better predictor of early life
854 survival and recruitment than basin-scale climate-ocean variables which integrate across spatial scales
855 that are too coarse.

856
857 Further insight into larval transport dynamics is provided by the IBM (Stockhausen et al., accepted).
858 Whereas the IBM-generated connectivity indices did not correlate well with age-3 recruitment to the
859 population, Stockhausen et al. propose a variety of explanations for this disconnect. Predominant
860 alongshore transport to the west although real was probably overemphasized by the regional scale of
861 the ROMS oceanographic circulation model, especially given the dominance of the Alaskan Stream that
862 flows in a counterclockwise direction parallel to the continental slope. The IBM is based on ATF-specific
863 Lagrangian integration with this model so even small differences between the model and reality can lead
864 to large effects on individual larval drift trajectories. The IBM weighted the spawning areas equally and
865 considered egg and larval production to be uniform across the GOA in the 300-600 m bathymetric depth
866 range. Ichthyoplankton data do not support such uniformity as hot spots of abundance are associated
867 with the mouths of the troughs and canyons intersecting the slope (Doyle and Mier, 2016). Variability in
868 the relative importance of the different spawning areas, especially on an interannual scale, would
869 degrade any relationship between the model-generated connectivity indices and recruitment. Biological
870 processes captured in the IBM include extremely simple characterizations of behavior (e.g., undirected
871 swimming) and larval growth (constant growth rates). Directed swimming behavior could substantially
872 reduce alongshore dispersion or facilitate onshore transport, especially via the canyons and troughs.
873 Environmentally-sensitive growth rates could reduce or prolong life stage durations, altering the timing
874 when pelagic larvae become competent to settle to the benthos and thus altering connectivity. Clearly
875 the predictive capacity of the IBM could be improved significantly by fine-tuning spatial and temporal
876 variability in egg and larval distribution and abundance, accounting for availability and consumption of

877 zooplankton prey, and an improved understanding of intrinsic physiological rates and their response to
878 extrinsic factors.

879

880 A successful feature of the IBM results is the comprehensive insight gained into potential larval drift
881 patterns across the entire GOA. High dispersion distances and complexity of such trajectories, including
882 on-shelf and off-shelf transport and entrainment in features such as eddies and meanders are expected
883 characteristics of the extended larval duration (Stockhausen et al., accepted). In fact the model-
884 generated dynamic interplay of on-shelf and off-shelf larval transport, particularly in the eastern GOA
885 where the shelf is narrower, is reflected in the observed distribution patterns of late stage larvae from
886 the summer surface-trawl collections; larvae were either equally or more abundant over the slope and
887 deep water as on the shelf in the eastern GOA. The total planktonic drift period for ATF encompasses
888 weeks to months of very small and likely behaviorally incompetent yolk-sac and preflexion larvae that
889 occur primarily in association with slope waters and the westerly flowing Alaskan Stream. This phase is
890 followed by weeks to months of larger post-flexion to transforming stage larvae that may be able to
891 have some influence on directed transport. The prevailing pattern of transport in a counterclockwise
892 direction over the slope and outer shelf, particularly of preflexion larvae, likely contributes to poor
893 settlement success overall in coastal habitats. The IBM indicates that this is especially true for larvae
894 that originate in deep water spawning areas to the west of Kodiak Island. Although these larvae may be
895 "lost" to the GOA system, they could have a second chance at settlement on the southeast Bering Sea
896 shelf. Using an IBM modelling approach, Parada et al. (2016) evaluated connectivity of Walleye Pollock
897 nursery habitat with spawning areas in the GOA and identified a prominent connection between the
898 western GOA shelf in the vicinity of the Shumagin Islands and the outer domain of the southeast Bering
899 Sea shelf. The proposed process for connection is larval transport through the Aleutian Island passes,
900 and this is very likely applicable to ATF as a mechanism for colonization of new habitat in the eastern
901 Bering Sea. The longevity of the larval stage and the low metabolic demand during early ontogeny is a
902 strategy that would favor ultimate settlement success on the Bering Sea shelf for this species. Colder
903 temperatures in the Bering Sea may also diminish already slow growth rates, further enhancing survival
904 of pre-feeding larvae along their extensive drift path.

905

906 *4.2. Habitat utilization and associated environmental sensitivities from metamorphosis and settlement* 907 *through adult life*

908

909 The period of transition from pelagic larva to settled juvenile, which occurs during summer and early
910 autumn months in the GOA, is still the least documented phase of ATF early life. Metamorphosing larvae
911 with eye migration at an intermediate stage or completed are rarely caught in plankton nets. The
912 surface small-mesh trawl used to sample the upper 30 m of the water column during the GOA-IERP
913 program was effective at capturing late stage pelagic and symmetrical larvae. Average energy density for
914 these fish have been measured and show no change with size (even with the inclusion of settled
915 juveniles for comparison), although some low amplitude interannual variation has been attributed to
916 changes in temperature and diet composition with a slightly negative effect documented in warmer
917 years (Debenham et al., unpublished data). De Forest et al. (2014) also report little change in energy
918 allocation between the late larval and juvenile stages of ATF in the southeast Bering Sea. It was

919 surprising that no metamorphosing larvae were caught in the summer pelagic trawl samples, especially
920 given that larvae were in the size range for transformation to juvenile settlement. Once eye migration
921 begins, larvae may start to migrate downwards through the water column so as to take up residence on
922 the bottom once transformation is complete. This would explain their absence from the upper 30 m of
923 the water column and their susceptibility to predation by benthic predators such as Pacific Cod, Skates,
924 Roughey Rockfish (*Sebastes aleutianus*), and larger ATF. Eye migration, 90° rotation in body posture,
925 and asymmetrical development of pigmentation on the “new” dorsal side is a complex ontogenetic
926 transformation needed for settlement in flatfishes. It is considered an interval of immense physiological
927 demand and structural change that likely compromises the behavioral abilities of metamorphosing
928 larvae to detect and avoid predators (Fuiman, 1997; Osse and Van den Boogaart, 1997). Occurring
929 deeper in the water column, intermediate between the upper high visibility euphotic zone and the lower
930 portion close to the benthos, would likely reduce the predation risk associated with this developmental
931 phase. Once ATF larvae settle out of the plankton as fully metamorphosed early juvenile flatfish, results
932 presented here and in Wilson et al. (2016) suggest that they still partly occupy pelagic habitat especially
933 for feeding on plankton, and that this pelagic foraging may occur primarily at night. It is also appropriate
934 to acknowledge that an alternate reason for the absence of juveniles in the pelagic trawl during hours of
935 darkness could be that the fish were unable to sense the trawl in the dark, and were perhaps not herded
936 towards the fine mesh of the codend (Reyer, 2008).

937
938 Historically, nursery habitat for ATF was presumed to encompass nearshore and inner shelf regions. This
939 can be primarily attributed to limited sampling and assessment of age-0 and juvenile stages, and the
940 nearshore bias of previous survey work for juvenile flatfish in the GOA (Norcross et al., 1995; Bouwens
941 et al., 1999; Anderson et al., 1999; Mueter and Norcross, 2000; Abookire et al., 2001). Although ATF
942 settled juvenile stages are frequent in such collections, they tend to be less abundant than other flatfish
943 species and these and more recent studies have found them to be less abundant at the shallowest
944 stations (Hurst, 2016). When sampling is extensive across the shelf, depth does not factor as a predictive
945 variable (Wilson et al., 2016). ATF were notably absent from the GOA-IERP summer and autumn
946 nearshore surveys, and they are not listed in a recent field guide to the nearshore marine fishes of
947 Alaska although juvenile stages of other flatfish species like Pacific Halibut feature prominently (Johnson
948 et al., 2015). It is now apparent that ATF nursery habitat extends from coastal areas throughout shelf
949 waters. Even the smallest specimens (< 100 mm) are picked up in groundfish trawl survey stations over
950 the full extent of depths sampled, including deep water locations beyond the shelf edge. Clearly, ATF
951 juveniles are habitat generalists and utilize benthic habitat extensively throughout the GOA, from east to
952 west. It is noteworthy that the assessment of connectivity patterns by the IBM between spawning and
953 settlement areas was improved significantly by expanding the definition of nursery habitat to include
954 bottom depths down to 150 m. This is relevant for future application of the IBM in understanding ATF
955 recruitment processes.

956
957 The GAM models also support the characterization of newly settled juveniles as habitat generalists.
958 Distribution of small ATF (≤ 100 mm) throughout the shelf is indicated, and some extension even into
959 deep water over the slope. Older juveniles and all size categories of adults are also shown to be
960 ubiquitous, but the models indicate an association between highest densities and the middle and outer

961 shelf domain (approximately 100 – 200 m), with expansion into slope water for fish larger than 300 mm.
962 The significant effect of bottom temperature on densities implies some sensitivity to warming in terms
963 of habitat utilization. Both the edges of the full distribution extent, as well as the core highest density
964 areas, seem to contract into slightly shallower water overall during relatively warmer years, but mostly
965 for the youngest (< 300 mm) and oldest (> 600 mm) fish. Given the overall ubiquity of multiple size
966 groups of ATF across depth and temperature ranges, it is unlikely that most age groups in the GOA are
967 highly sensitive to temperature. Some sensitivity is apparent but it is likely that bottom temperatures
968 within the GOA would have to increase substantially in order to cause a significant latitudinal shift
969 overall. Less clear, however, is the indirect effect of temperature on the population via potential
970 changes in ecosystem productivity and food availability. Beyond the potential effects on distribution and
971 survival of juveniles and adults, direct and indirect temperature effects on the larval phase are likely to
972 be more immediate and drastic especially on early ontogeny survival as outlined above.

973

974 The habitat modelling work further refines our understanding of habitat utilization (this study, and Pirtle
975 et al., 2017). The early juvenile stage models had the best fit, likely due to increased ubiquity across the
976 shelf and over the slope for older fish. The effectiveness of the adult stage model in determining the
977 most suitable habitat is reduced because older ATF are essentially everywhere. Despite such ubiquity,
978 the top habitat predictor variable for all ATF demersal life stage models was depth. This was in contrast
979 to the absence of depth from the Wilson et al. (2016) predictive models for juvenile ATF habitat, but the
980 latter study was dealing with a much more geographically limited sampling area which did not include
981 the nearshore zone. Habitat occurrence for all stages including newly settled fish is limited in the
982 shallow areas, especially in the interior of bays or along the shore within the intertidal zone, although
983 fish can be abundant in the deepest channels and at bay entrances. Further, as indicated by the habitat
984 suitability maps, early juvenile stage habitat is also less likely to occur in the deepest areas of the shelf,
985 such as Shelikof Strait, or over the continental slope. A clear ontogenetic shift in depth distribution
986 occurs for ATF between younger and older juvenile fish, presumably due to an expansion in distribution
987 from areas of initial settlement. There is also a decrease in the relative importance of fine-scale habitat
988 features (e.g., substrate type, rocks or not, presence of invertebrate structure or not) with age. The
989 presence or location of large-scale seafloor terrain features as described by bathymetric position index,
990 like the gullies, flats, and to some extent the banks were important habitat predictors in all of the
991 demersal life stage models. It also seems that fine-scale characteristics may have some moderating
992 effect on habitat suitability. For instance, results suggest that nursery habitat suitability may be
993 enhanced by substrate characteristics offshore of the glacial bays (Icy, Yakutat) and large rivers
994 (Copper), including presence of unconsolidated, fine-grainsize sediments. Nutrient enrichment in
995 association with freshwater runoff may contribute to increased prey resources in these areas, at least in
996 the benthos.

997

998 The flexible, opportunistic trophic ecology of ATF is consistent with its characterization as a habitat
999 generalist. Even though they occupy benthic habitat from the juvenile stage through maturity and
1000 senescence, most of their diet by weight is from the pelagic component of the ecosystem. Newly settled
1001 and early juveniles feed primarily on zooplankton, with Euphausiids and copepods being a major
1002 component. Throughout its range, the food habits of juvenile and adult ATF indicate that it is an

1003 opportunistic predator of locally abundant schooling fishes, Pandalid shrimp, and Euphausiids. Although
1004 species may differ among regions, studies in the California Current system (Gotshall, 1969; Buckley et
1005 al., 1999), British Columbia (Kabata and Forrester, 1974), the Aleutian Islands (Yang, 1996) and eastern
1006 Bering Sea (Yang and Livingston, 1986; Yang 1991; Livingston et al., 1993; Lang et al., 2005) indicate the
1007 importance of these general prey groups. The ontogenetic diet pattern shown here, aggregating over
1008 two decades of stomach contents data from the GOA, indicates a shift from Euphausiids (zooplankton),
1009 small fishes (Capelin and other Osmerids) and Pandalid shrimp (Decapods) to subsequently larger fishes
1010 like Pacific Herring (Clupeids), Walleye Pollock, and flatfishes (Pleuronectids). Similar patterns are
1011 evident in other areas where ATF diets have been studied (Buckley et al., 1999; Yang 1991; Livingston et
1012 al., 1993; Lang et al., 2005), and the sizes of each prey species may increase with ATF size as it does for
1013 Pacific Herring and Walleye Pollock (Yang et al., 2006). The pattern of increasing prey size and piscivory
1014 with increasing predator size is common among fishes due to the increase in swimming speed and/or in
1015 gape size of the predator (Mittelbach and Persson, 1998). ATF exhibit flexibility in their feeding behavior
1016 in the GOA and consume species that are characterized by relatively high temporal and spatial variability
1017 in abundance (Yang and Nelson, 2000; Knoth and Foy, 2008). Many of the schooling fish that comprise
1018 the majority of the ATF diet are pelagic zooplanktivores, conferring the dominance of pelagic energy
1019 sources to ATF of all sizes. Although the proportion of benthic Decapods in the diet decreases with
1020 increasing ATF size, Pleuronectids and other fish species are important benthic energy sources of larger
1021 ATF. Thus, both pelagic and benthic energy inputs have been factored into the trophic modelling of ATF
1022 (Aydin et al., 2007), and stable isotope analysis confirms the reliance of ATF on energy from both pelagic
1023 and benthic sources (Marsh et al., 2012). The omnivorous and opportunistic feeding ecology of ATF
1024 likely confers a significant advantage in terms of achieving and maintaining a dominant status in the
1025 GOA ecosystem, as well as resilience to ecosystem shifts.

1026
1027 Ontogenetic variability in habitat utilization by ATF is also reflected in predation patterns on this species
1028 in the GOA. Walleye Pollock is the dominant predator on ATF < 30 mm with diminishing predation on
1029 subsequent size groups, and Pacific Ocean Perch also features as a predator on juveniles. When benthic
1030 settlement is more fully established, juveniles larger than 30 mm through adults less than 400 mm seem
1031 to be consumed predominantly by Pacific Cod with Pacific Halibut also increasing in importance. As
1032 predation mortality is low overall for ATF in the GOA, it's interesting to speculate regarding the potential
1033 for such mortality to increase significantly if populations of Pacific Cod or Pacific Halibut should increase
1034 to a point where they would have a negative influence on ATF production. However, the frequency at
1035 which ATF are found in their stomachs is low; 0.8% and 1.1%, respectively. A consistent pattern of
1036 consumption by ATF itself across all size groups except fish > 400 mm implies that cannibalism may be a
1037 density-dependent control mechanism, but again the overall frequency is low (0.5%). Nevertheless, the
1038 prevalence of ATF juveniles and immature fish throughout a wide variety of benthic habitat in this
1039 region makes them a more abundant potential food source than the young of many other groundfish
1040 species.

1041
1042 *4.3. Gauging sensitivities and potential response of Arrowtooth Flounder populations in the Gulf of*
1043 *Alaska to ecosystem change*

1044

1045 The climate vulnerability assessment applied here yields a very low score for ATF, implying a high level
1046 of population resilience overall to climate-induced ecosystem perturbations in the GOA. Some
1047 vulnerability is hypothesized, however, primarily relating to the potential for exacerbated temporal mis-
1048 match between first-feeding larvae and availability of prey. The larval phase although ecologically robust
1049 relative to many other species (“endurance” strategy), could theoretically represent a recruitment
1050 “bottleneck” under certain environmental scenarios. For instance, variability in production and
1051 availability of suitable larval prey resources in the zooplankton, as influenced by water temperature or
1052 other environmental factors could significantly disrupt ATF larval survival, especially during the winter
1053 first-feeding stage. Expected variability in larval growth rates in response to water temperature is also
1054 relevant to such match/mis-match trophic dynamics. A moderate to high vulnerability score seems
1055 appropriate in representing this complexity in the early life history strategy of ATF. As mentioned above,
1056 the *Neocalanus* species of copepods may play some critical trophic role in this regard, and it is worth
1057 investigating further (Doyle and Mier, 2016). Susceptibility to variable larval transport onto the shelf
1058 (Stachura et al., 2014), and presumed sensitivity to ocean acidification via crustacean zooplankton prey
1059 (Busch and McElhany, 2016) are considered low to moderate vulnerability factors for ATF larvae. The
1060 apparent sensitivity to temperature observed among early juvenile (<49 cm) fish suggests the possibility
1061 for some limited distribution contraction with warming in the GOA. ATF juveniles and adults are
1062 observed to be prey generalists with highly adaptable feeding behavior which also presumably confers
1063 ecological resilience.

1064
1065 Expansive availability of nursery habitat to ATF in the GOA has likely contributed to its numerical
1066 dominance, but there are likely upper limits to this availability. Unlike other deep water spawning
1067 flatfish such as Pacific Halibut, whose larvae must reach nearshore nursery grounds to settle out of the
1068 plankton, ATF it seems may settle to the benthos across broad areas of the GOA from the coastal zone
1069 and across the entire shelf to deep water. The nursery size hypothesis for flatfish proposes that mean
1070 recruitment is related to, and can be constrained by, the areal extent of suitable seafloor habitat for
1071 growth and survival of juveniles with density-dependent population control at play (Rijnsdorp et al.,
1072 1992; Van der Veer et al., 2000). The extensive utilization of seafloor across depths by ATF seems to be
1073 somewhat of an anomaly among pleuronectid flatfish in general. Most flatfish species are characterized
1074 by a more narrow spatial and bathymetric extent of suitable nursery habitat, and the concentration of
1075 juveniles in benthic habitat post settlement is thought to constrain (density-dependent effect) the
1076 amplitude of variation in recruitment relative to other non-flatfish species (Gibson et al., 2015). Wilson
1077 et al. (2016) found a positive relationship between mean recruitment at age 3 for four flatfish species
1078 and percent occurrence of their age-0 juveniles on the western GOA shelf during autumn 2011, with ATF
1079 recruitment and station occupation higher by several orders of magnitude than for the other species.
1080 They imply support for the nursery-size hypothesis in the GOA. As biomass increased constantly in the
1081 GOA over recent decades, it seems that nursery habitat for ATF was not likely to be a constraining
1082 factor. Broad geographic availability of suitable areas for settlement and growth, and a combination of
1083 plentiful pelagic and benthic prey resources and low predation mortality likely contributed to the
1084 continued expansion of the population. However, there must be some upper limit to this expansion, and
1085 especially if levels of juvenile survival push utilization of benthic habitat to some maximum threshold.
1086 Perhaps recent years of more limited recruitment and diminishing biomass could signal proximity to

1087 such a threshold? Further, as indicated by diet data, cannibalism of juvenile ATF by older ATF could
1088 provide some density-dependent constraints on survival rates of pre-recruit fish. Parasite infestation is
1089 another biological stressor that could potentially contribute to density-dependent control of the
1090 population. A common parasite of ATF, particularly at the southern end of its range is the eye parasite
1091 *Phrioxcephalus cinninnatus* (Copepoda Pennilidae). It seems to have grown in prevalence in the GOA in
1092 recent years in association with increased abundance of ATF (Buckley, pers. comm.). Such infestations
1093 could also be exacerbated if, as expected, the geographic range and transmission rates of such parasites
1094 increase with warming trends (Marcogliese, 2008).

1095
1096 The ecological mechanisms and stage-specific environmental sensitivities proposed here for the ATF
1097 population in the GOA can be evaluated in future modeling efforts that relate recruitment trends to
1098 ecosystem variability. They can also contribute to fisheries and ecosystem assessments and
1099 management efforts. This type of ecological evaluation is critical for the development of climate-based
1100 projections of fish stocks under different environmental scenarios, and has been recommended for
1101 accomplishing an ecosystem approach to fisheries management in U.S. fisheries ecosystems (Hare et al.,
1102 2016; Shotwell et al., 2017). The climate vulnerability assessment along with several other U.S. national
1103 programs are designed to set the stage for establishing research priorities for integrating ecosystem and
1104 habitat information within stock assessment. The initial evaluations for Alaska groundfish from these
1105 programs are an integral part of what is being termed a baseline Ecosystem and Socioeconomic Profile
1106 or EPS for assessed species (Shotwell et al., 2017). An ATF baseline EPS is currently in development for
1107 future use within the GOA ATF stock assessment and results from this synthesis are being used to
1108 enhance that baseline. Although ATF may be considered an endurance stock, the proposed vulnerable
1109 period in the early larval phase highlights potential prey and transport indicators that could be related to
1110 fluctuations in recruitment. These indicators are collected in a report card which is part of the EPS
1111 process and evaluated for future integration within the ATF stock assessment model. Given the
1112 ecological importance of ATF in the GOA, the broad synthesis presented here can also contribute to the
1113 development of an effective Integrated Ecosystem Assessment (Levin et al., 2014) for this marine
1114 ecosystem. Finally, this comprehensive ecological approach to assessing environmental sensitivities
1115 across life history stages for commercially and ecologically important fish species has substantial merit
1116 for furthering the ecosystem approach to fisheries management globally, especially where there are
1117 robust sampling programs across trophic levels in marine ecosystems.

1118 1119 **Acknowledgments**

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1406 Zimmermann, M. 1997. Maturity and fecundity of arrowtooth flounder, *Atheresthes stomias*, from the
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Figure Captions

Figure 1. Gulf of Alaska showing coastal geography, bathymetry, features of interest, and prevailing surface currents as described in Stabeno et al. (2004).

Figure 2. Arrowtooth Flounder sub-ontogenetic intervals by size and month during the early life history planktonic phase, based on Gulf of Alaska historical ichthyoplankton data, and Blood et al. (2007).

Figure 3. Age-0 pelagic Arrowtooth Flounder distributions from small-mesh surface trawls by the Alaska Fisheries Science Center (GOA-IERP surveys 2010, 2011, and 2013).

Figure 4. Length-frequency distributions for Age-0 Arrowtooth Flounder from GOA caught during summer surface trawls; panels a. to f. correspond to panels in Figure 4.

Figure 5. Simulated growth curve fitted to larval length (SL) by Julian Day; data from GOA-IERP spring ichthyoplankton and summer small-mesh surface trawl surveys.

Figure 6. Selected outcome from the ATF early life history IBM (Stockhausen et al., accepted) run for the year 2011 showing locations of “successful” (yellow circles) and “unsuccessful” (red circles) simulated individuals at the end of four early life stages when “successful” is defined by ultimate settlement in nursery habitat defined by 0-150 m depth

Figure 7. Selected outcome from the ATF early life history IBM (Stockhausen et al., accepted) run for the year 2011 showing a. the fraction of individuals for each spawning area of origin (Fig. 2 SM) successfully settling in 0-150 m nursery areas anywhere in the model domain, and b. the average alongshore zone, by spawning area, to which successful individuals settled.

Figure 8. Distribution and size composition of juvenile (<100 mm TL) Arrowtooth Flounder during October 2011 in the vicinity of Kodiak Island, sampled on the bottom with beam trawl (a and c), and in the water column with the anchovy trawl (b and d).

Figure 9. Diel variation in catches of Arrowtooth Flounder juveniles during the October 2011 survey in the western GOA in a. the bottom trawl, and b. the pelagic trawl.

Figure 10. Isolines of deviations from the mean a. probability of occurrence ($\ln [p/(1-p)]$) from the binomial model and b. log density (tons nm^{-2}) from the log gamma model, due to location $[s(\text{LAT}, \text{LON})]$ for Arrowtooth Flounder, based on groundfish surveys 1984-2015.

Figure 11. Generalized Additive Modeling results showing: a. Year effect, and b. Size Bin (mm) effect on Arrowtooth Flounder distribution from the binomial models (i) and the log gamma models (ii). Changes in the Year effect values indicate both changes in distribution and changes in sampling methodology from year to year and can't be interpreted directly as annual ecological effects. Changes in the Size Bin

effect values indicate changes in survey selectivity, Arrowtooth Flounder availability, and population abundance at length. Size Bins are: 0 = 0<100 mm, 100 = 100<200 mm etc., and 600 = >600 mm.

Figure 12. Prediction of 2009 Arrowtooth Flounder density (no. km⁻²) at 56° N latitude and 155° W longitude from the Delta-log gamma model for all GOA bottom trawl survey data 1983-2015 for seven length bins by bottom depth (m) and bottom temperature (°C).

Figure 13. Prediction of Arrowtooth Flounder density (no. km⁻²) at 56° N latitude and 155° W longitude from the Delta-log gamma model for GOA bottom trawl survey data; a. 2001 density using warm years (1984, 1987, 1990, 1993, 2001, 2003, 2005, and 2015), and b. 2009 density using cold years (1996, 1999, 2007, 2009, 2011, and 2013) for seven length bins by bottom depth (m) and bottom temperature (°C).

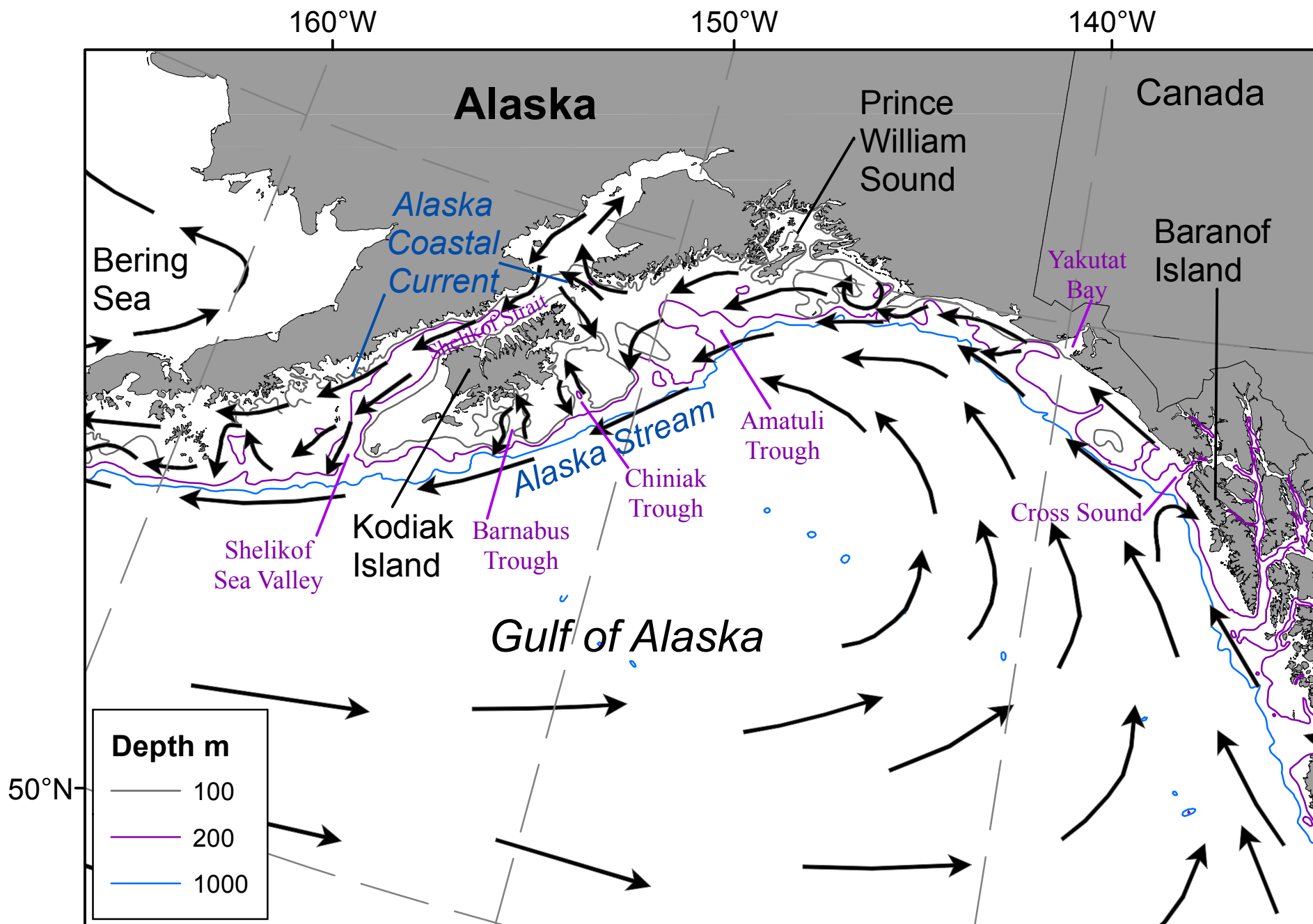
Figure 14. Arrowtooth Flounder mean habitat suitability from the mosaic models (MaxEnt HSM; Pirtle et al., in press), based on presence locations for different size groups of fish: a. Demersal settlement through early stage juveniles (40-160 mm) resident in presumed nursery areas; b. Late juvenile stage (161-350 mm), and c. Adults (>350 mm). Predicted probability of suitable habitat is shown on a continuous scale where highest suitability is yellow and lowest suitability is blue. Insets show detail for the continental shelf and bays on the northeast of Kodiak Island.

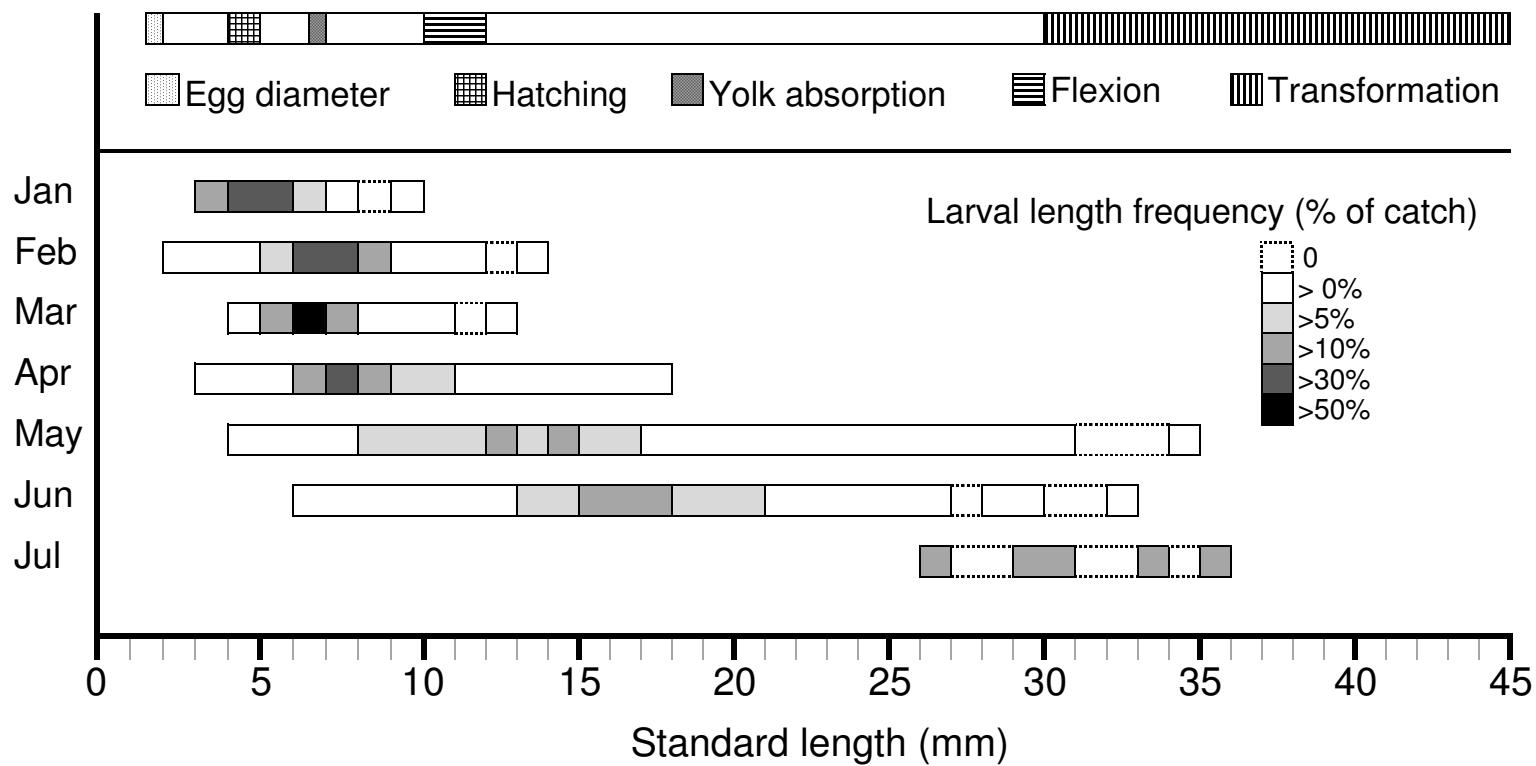
Figure 15. Composition of Arrowtooth Flounder diet weight for different size categories of fish, based on stomach content analysis of specimens from groundfish surveys in the Gulf of Alaska. *See Materials and Methods section 2.2.6. for explanation of these prey categories.

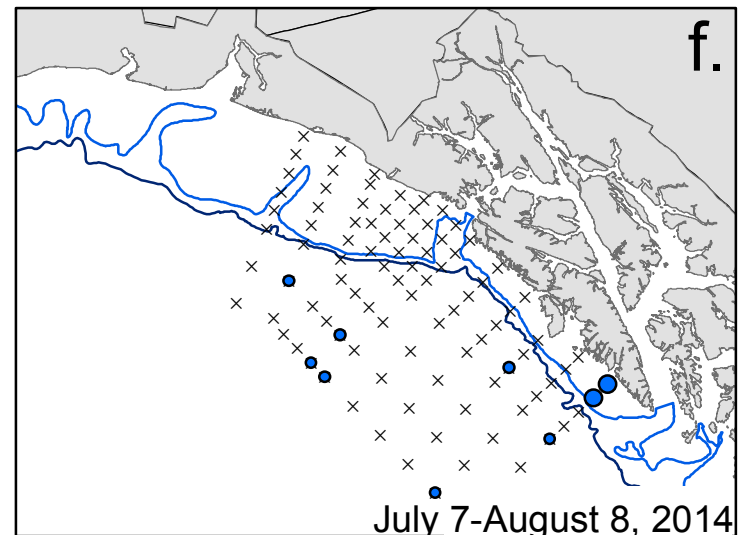
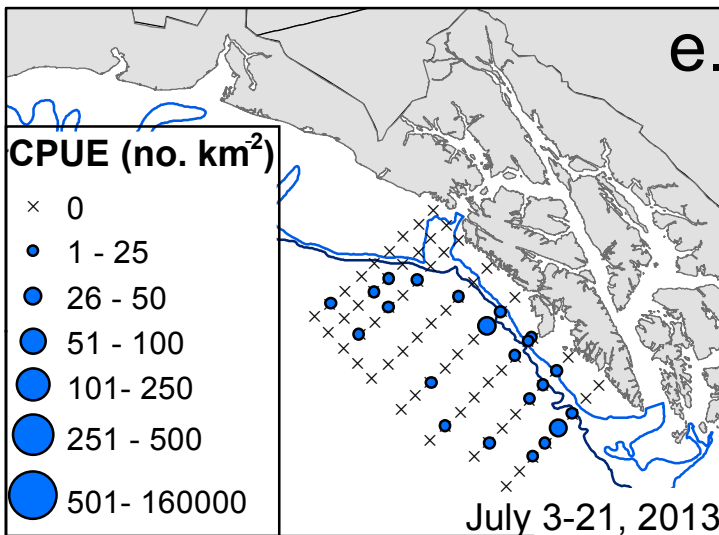
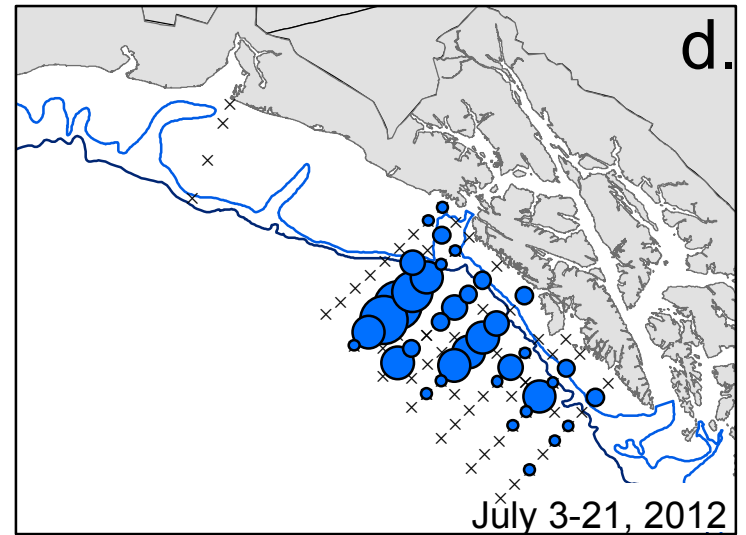
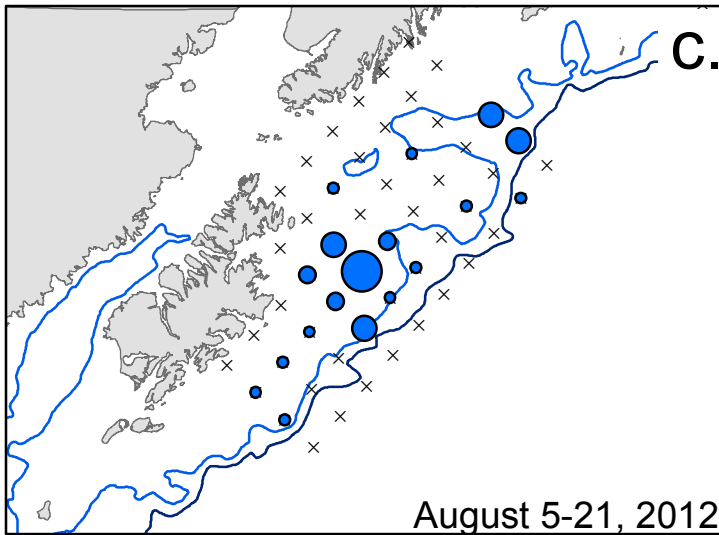
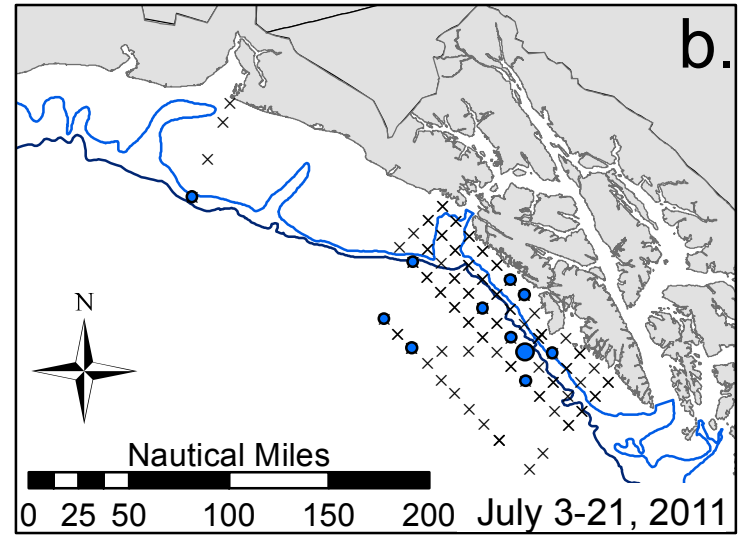
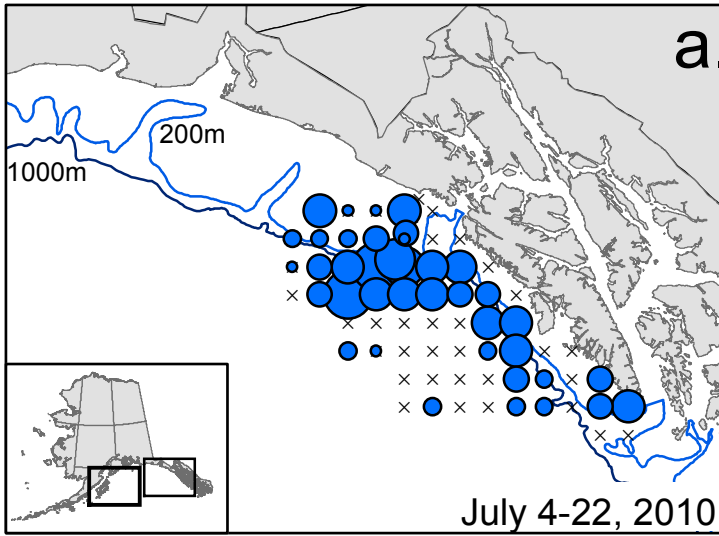
Figure 16. Predation on different size categories of Arrowtooth Flounder by fish predators in the Gulf of Alaska, based on stomach content analysis of fish from groundfish surveys. The number of Arrowtooth Flounder identified from stomach contents is shown at the top of each column. Sample sizes for each predator, and percent frequency of occurrence of Arrowtooth Flounder prey is shown in parentheses for each predator.

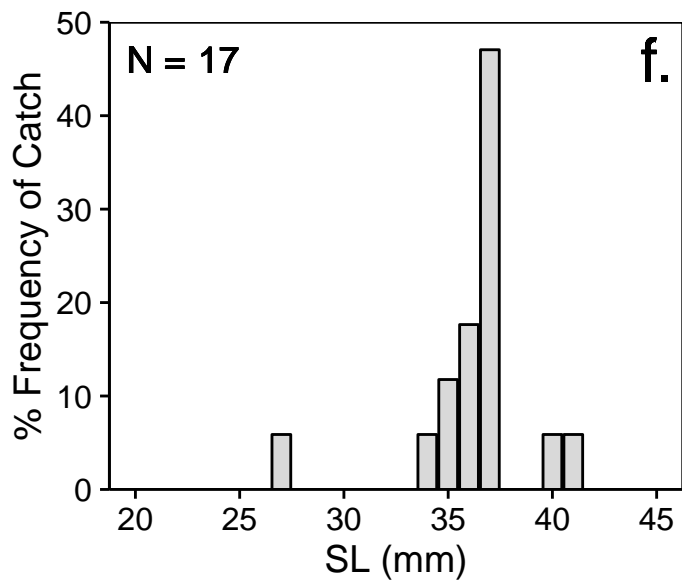
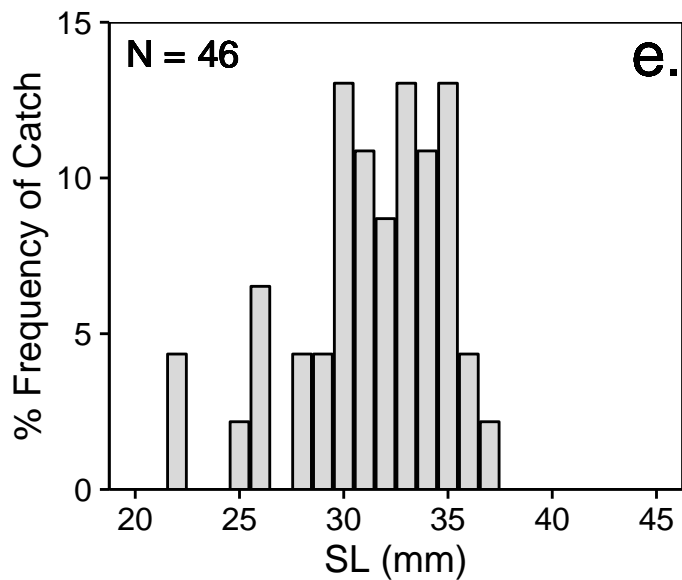
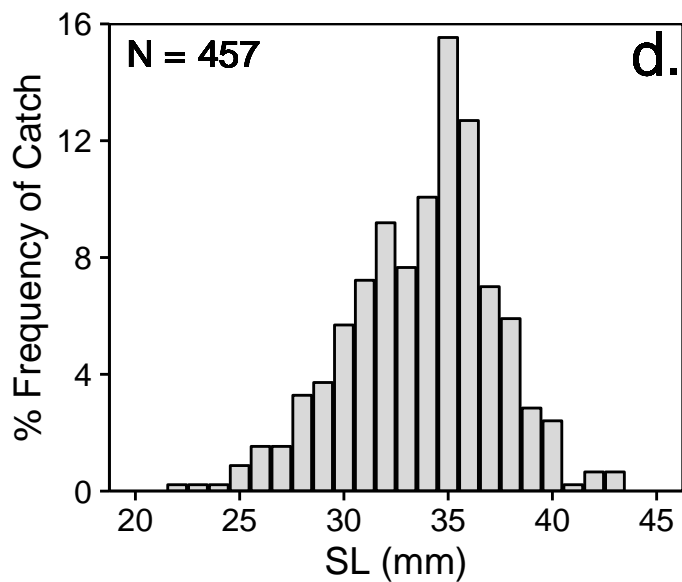
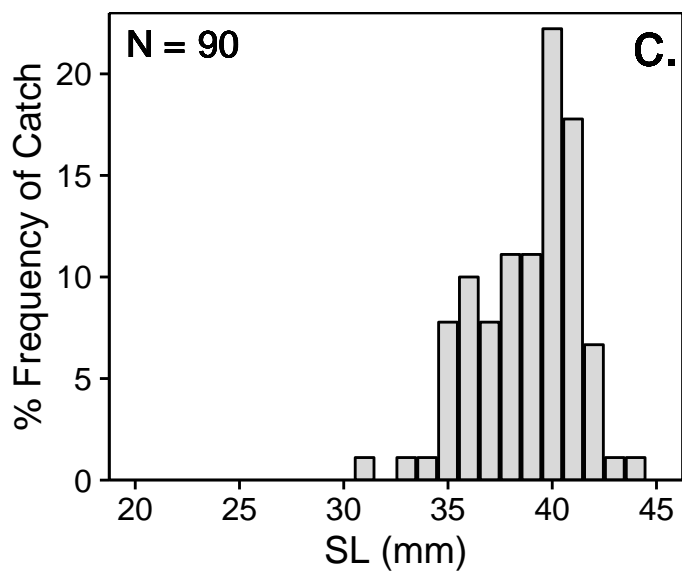
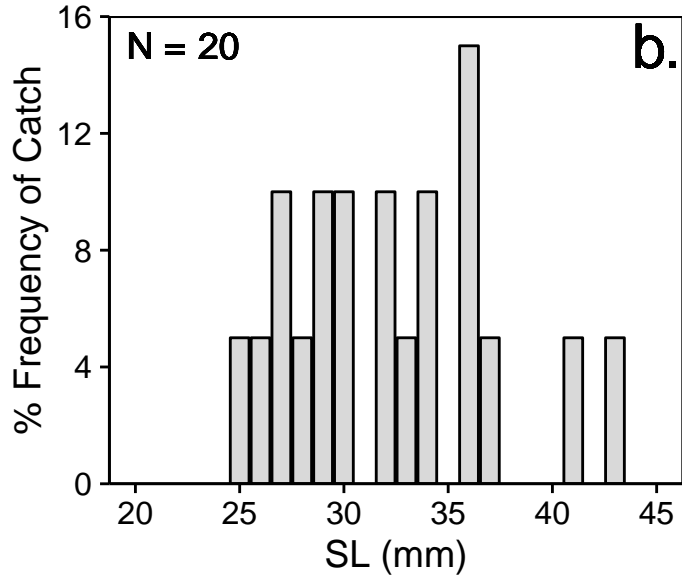
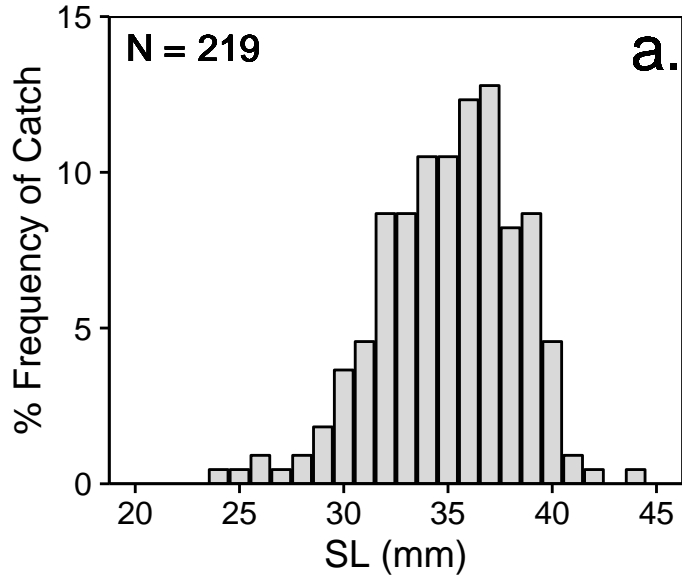
Figure 17. Total predation by groundfish predators on small Arrowtooth Flounder (< 100 mm) by month. Sample size (in parentheses) for September through May are low because groundfish surveys are conducted primarily during summer months.

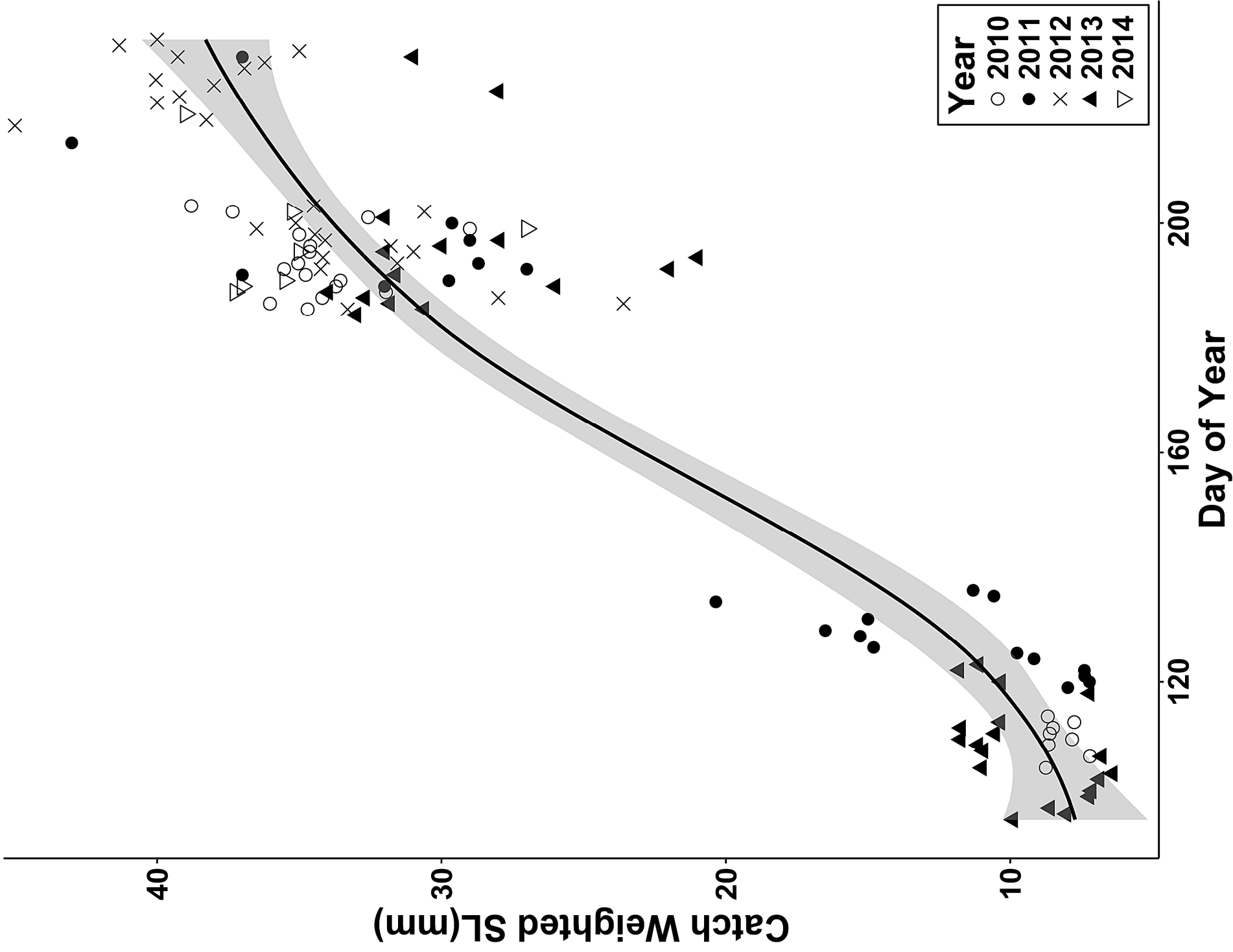
Figure 18. Conceptual model of Arrowtooth Flounder ontogeny and habitat utilization in the Gulf of Alaska from the egg stage through metamorphosis and juvenile settlement.

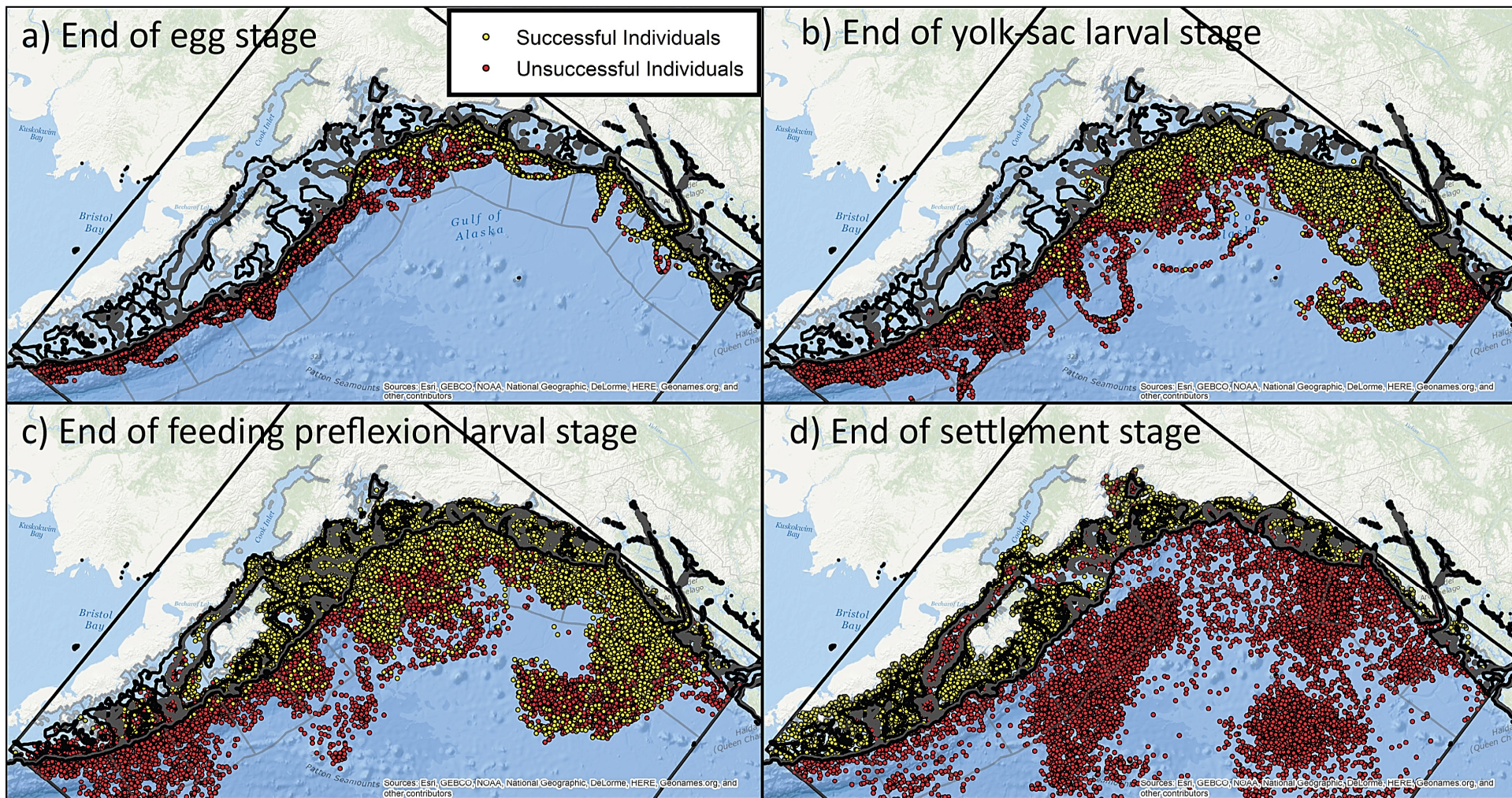


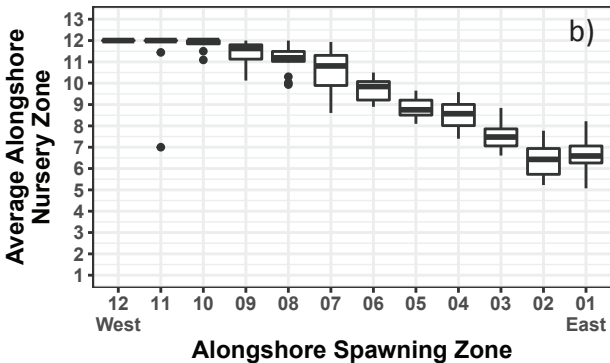
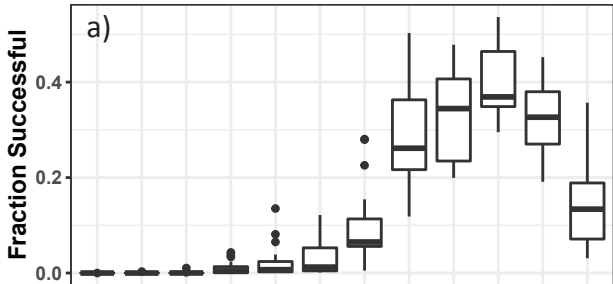


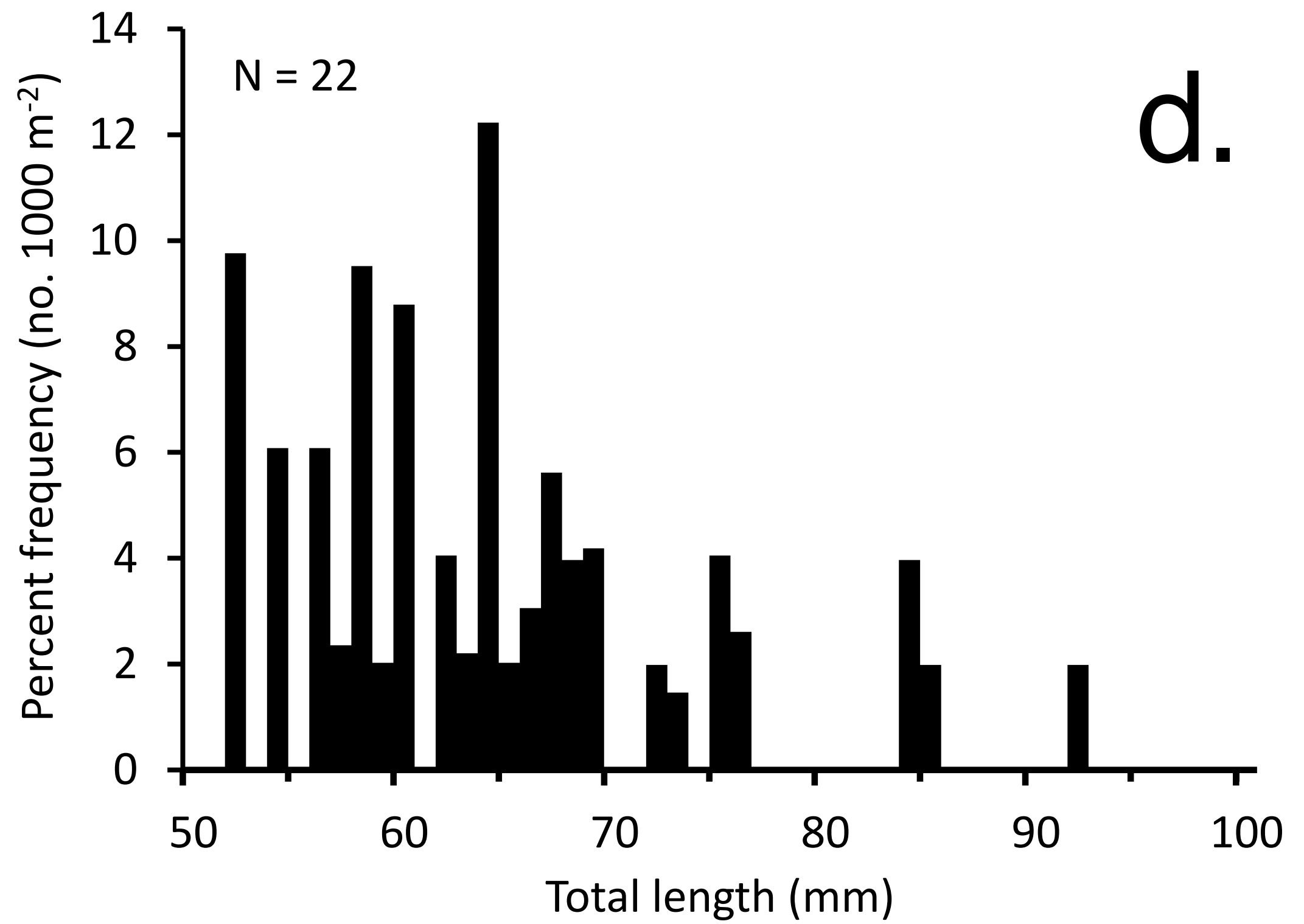
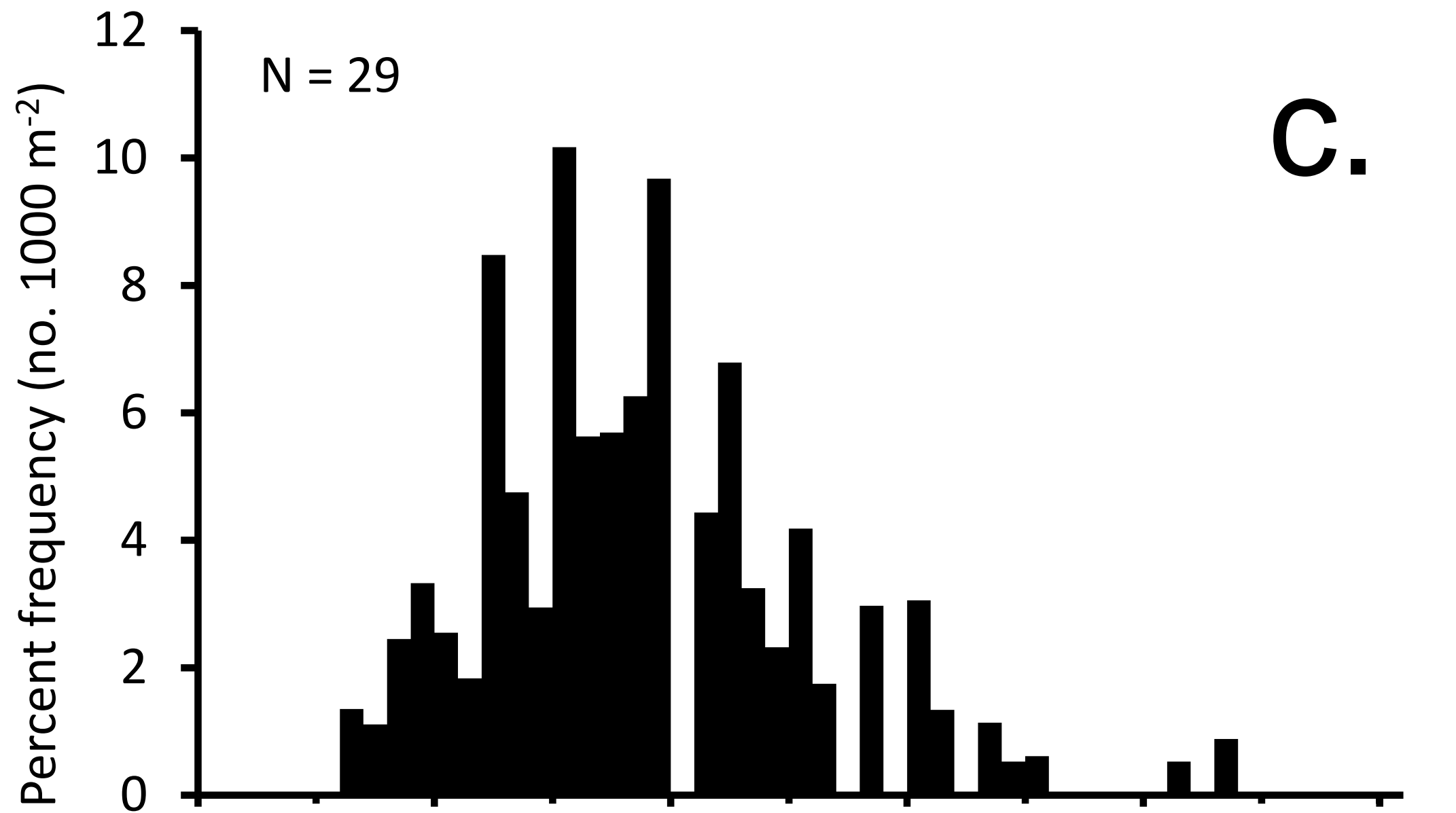
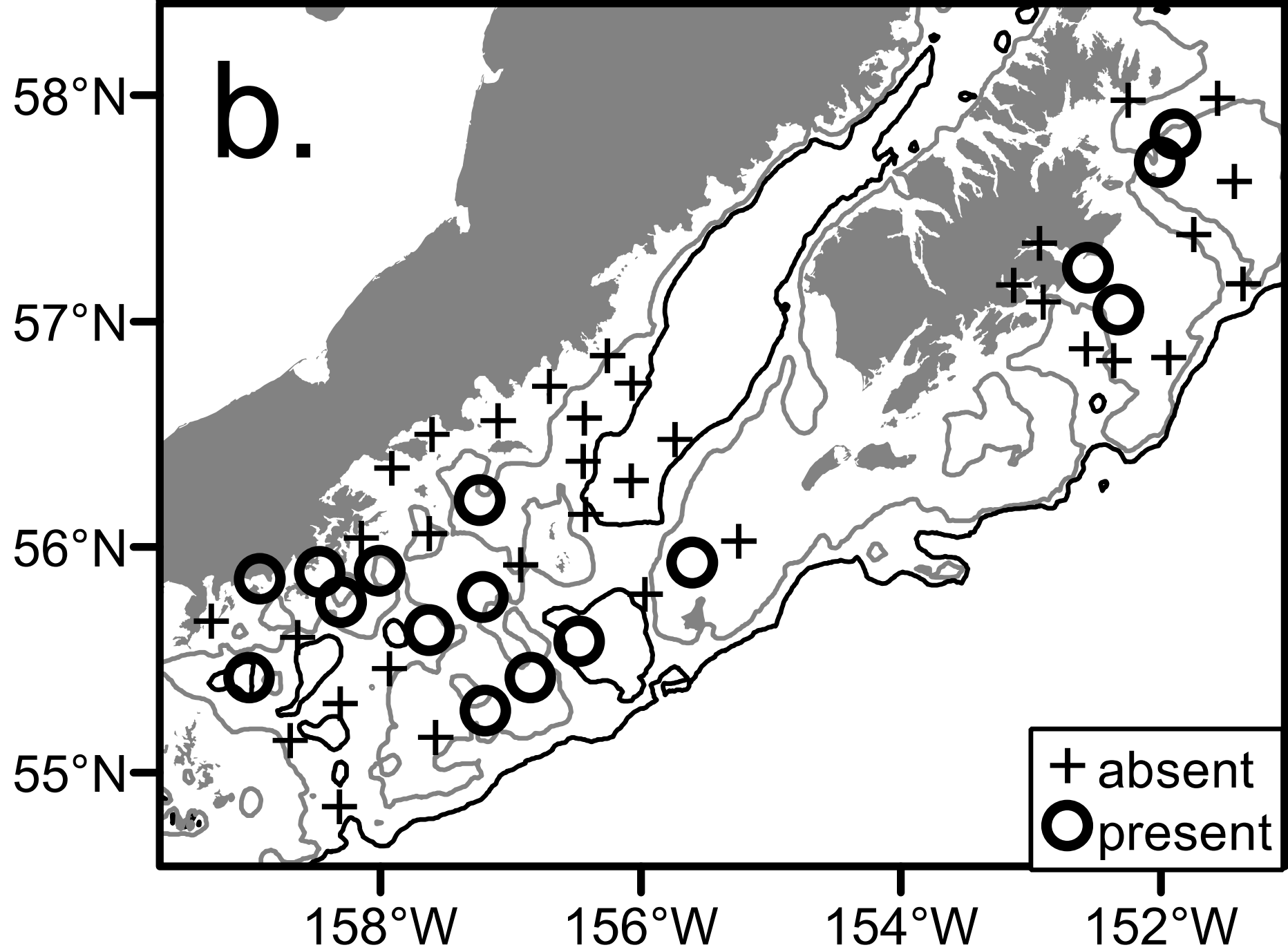
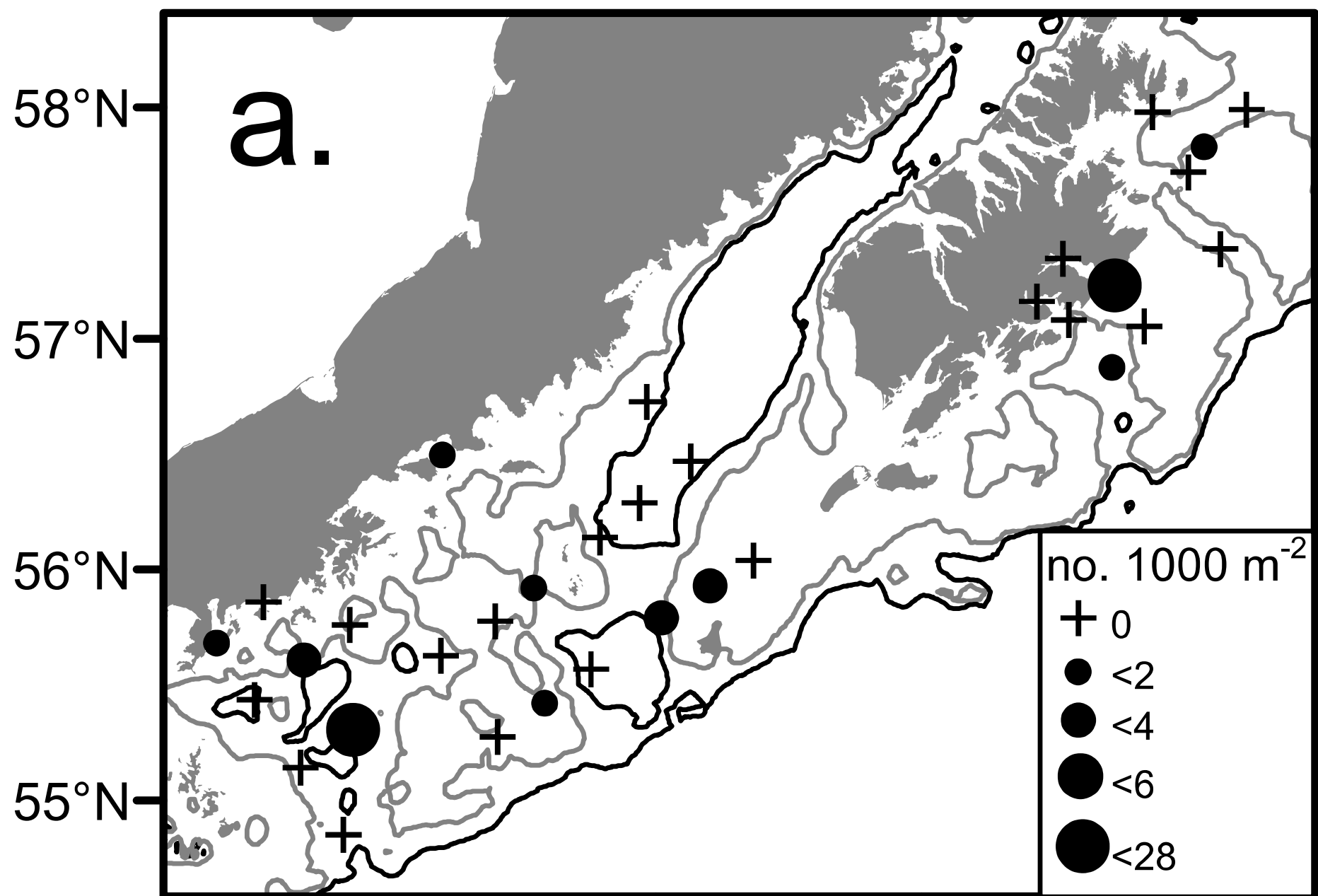


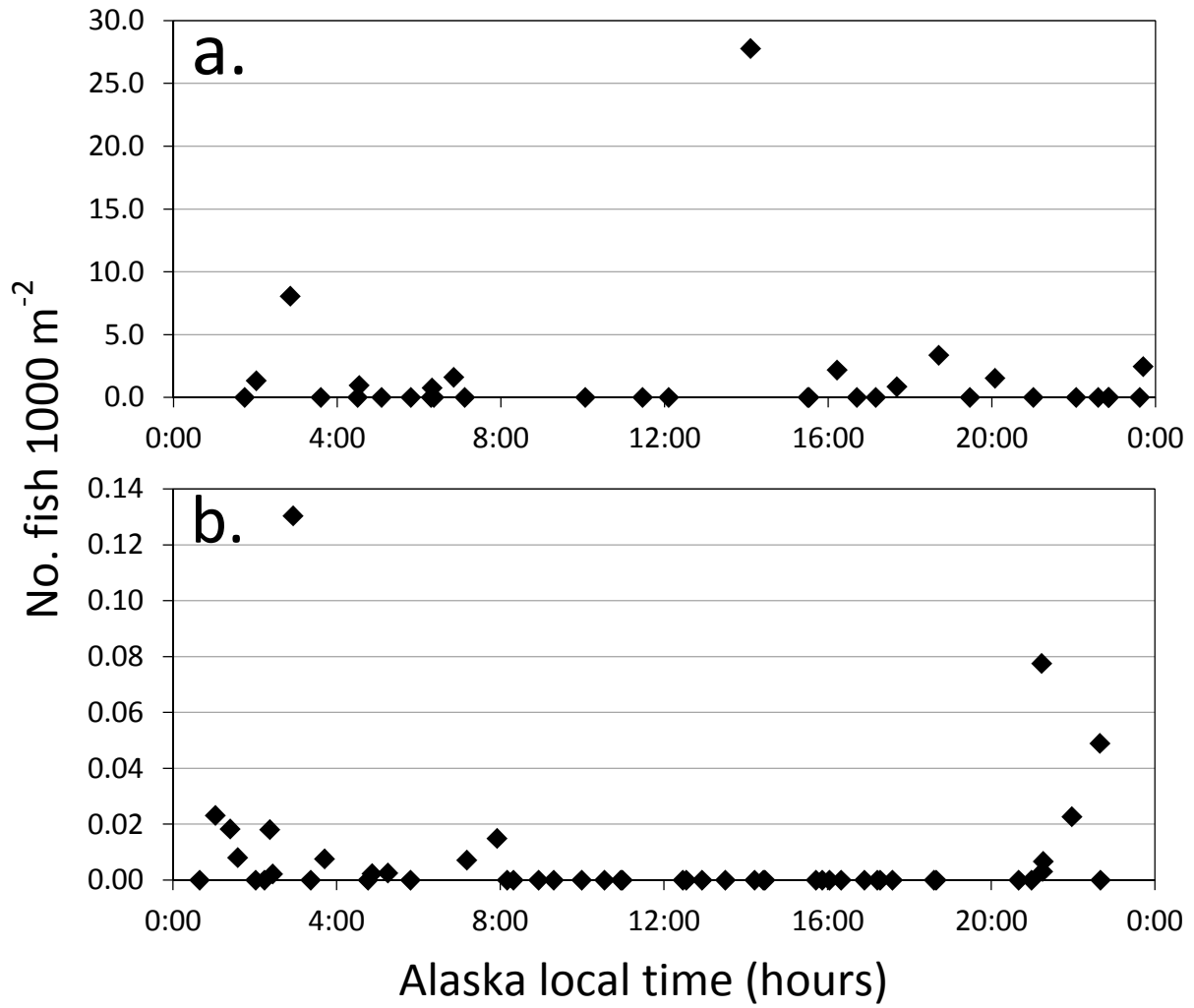


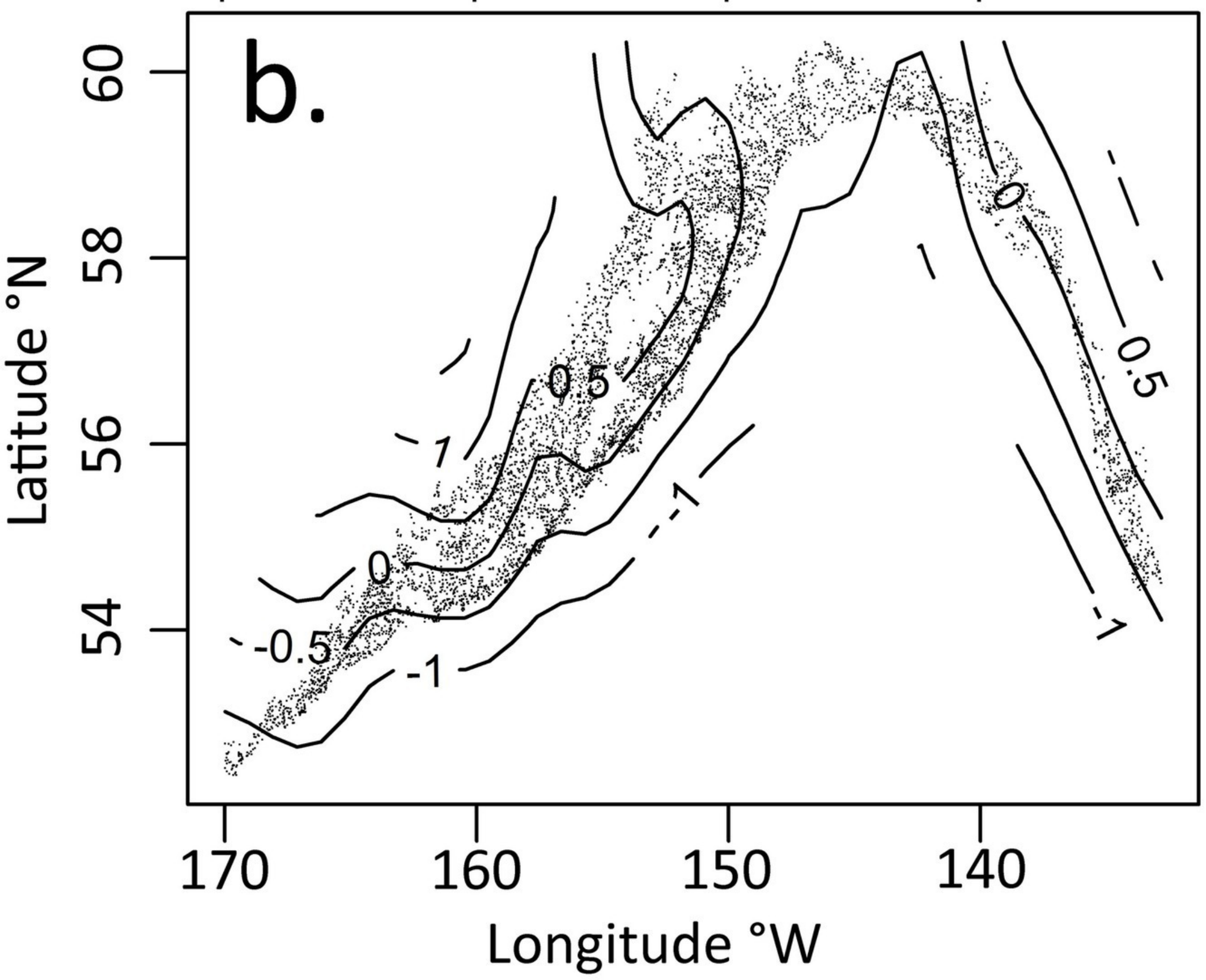
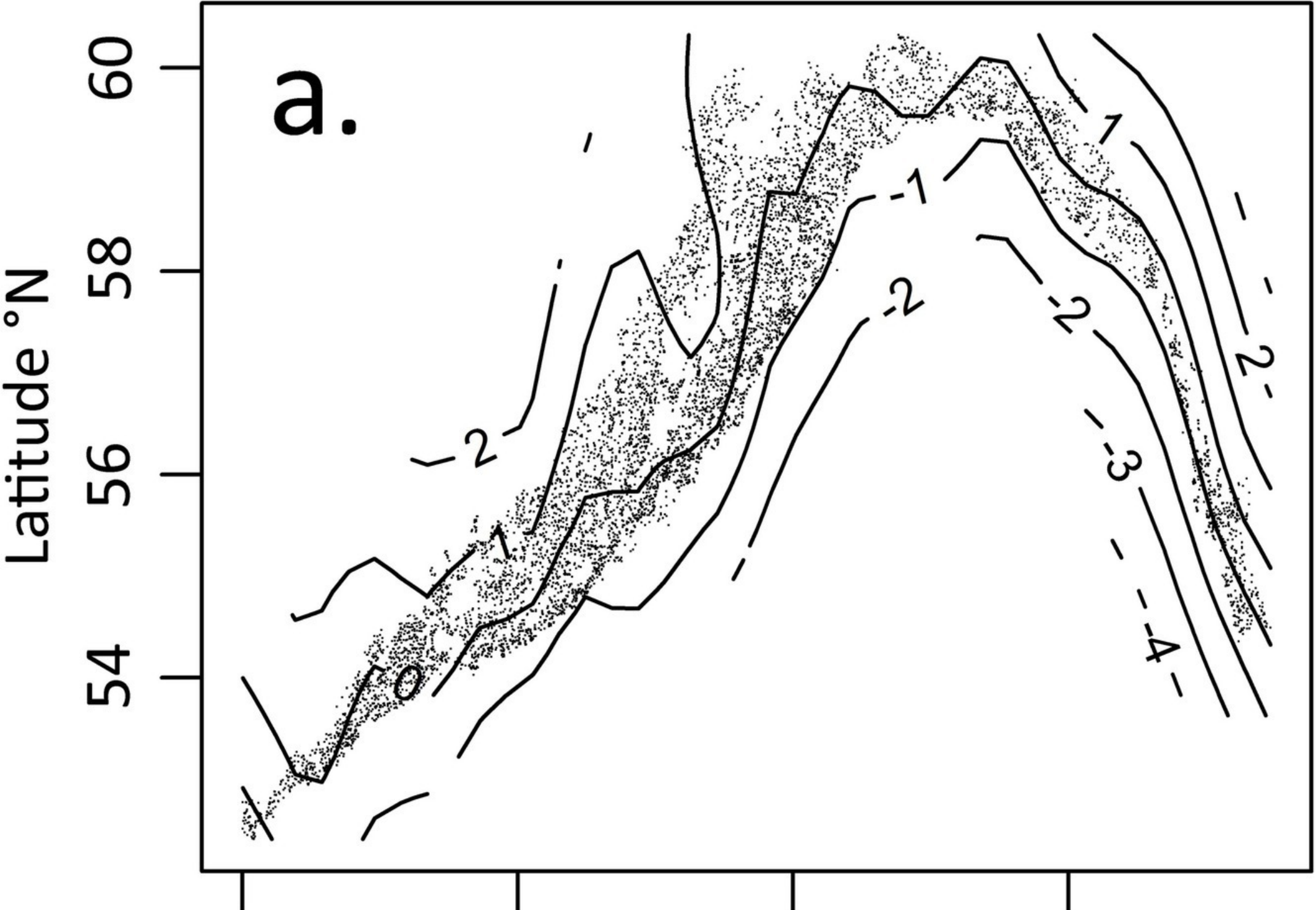


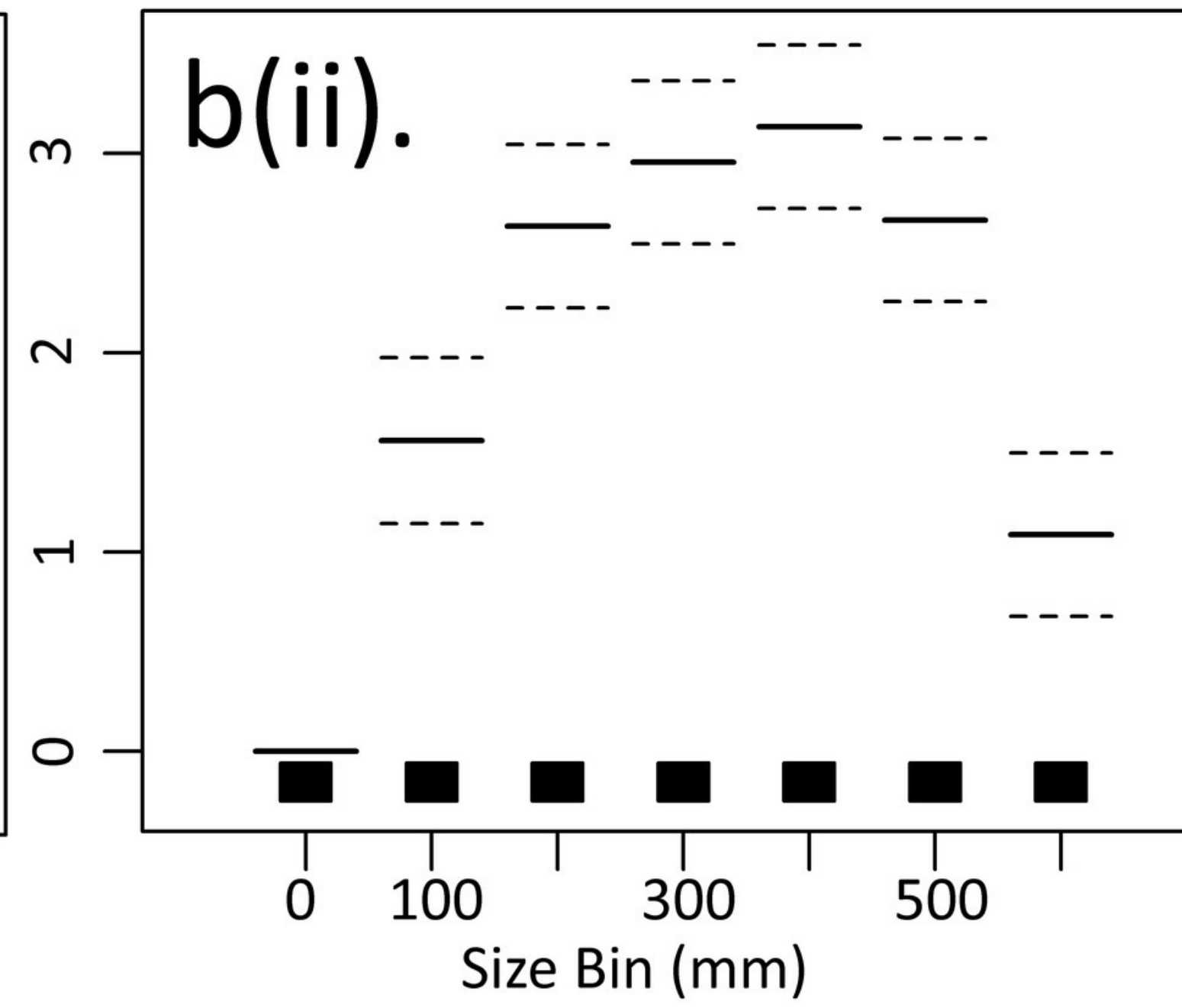
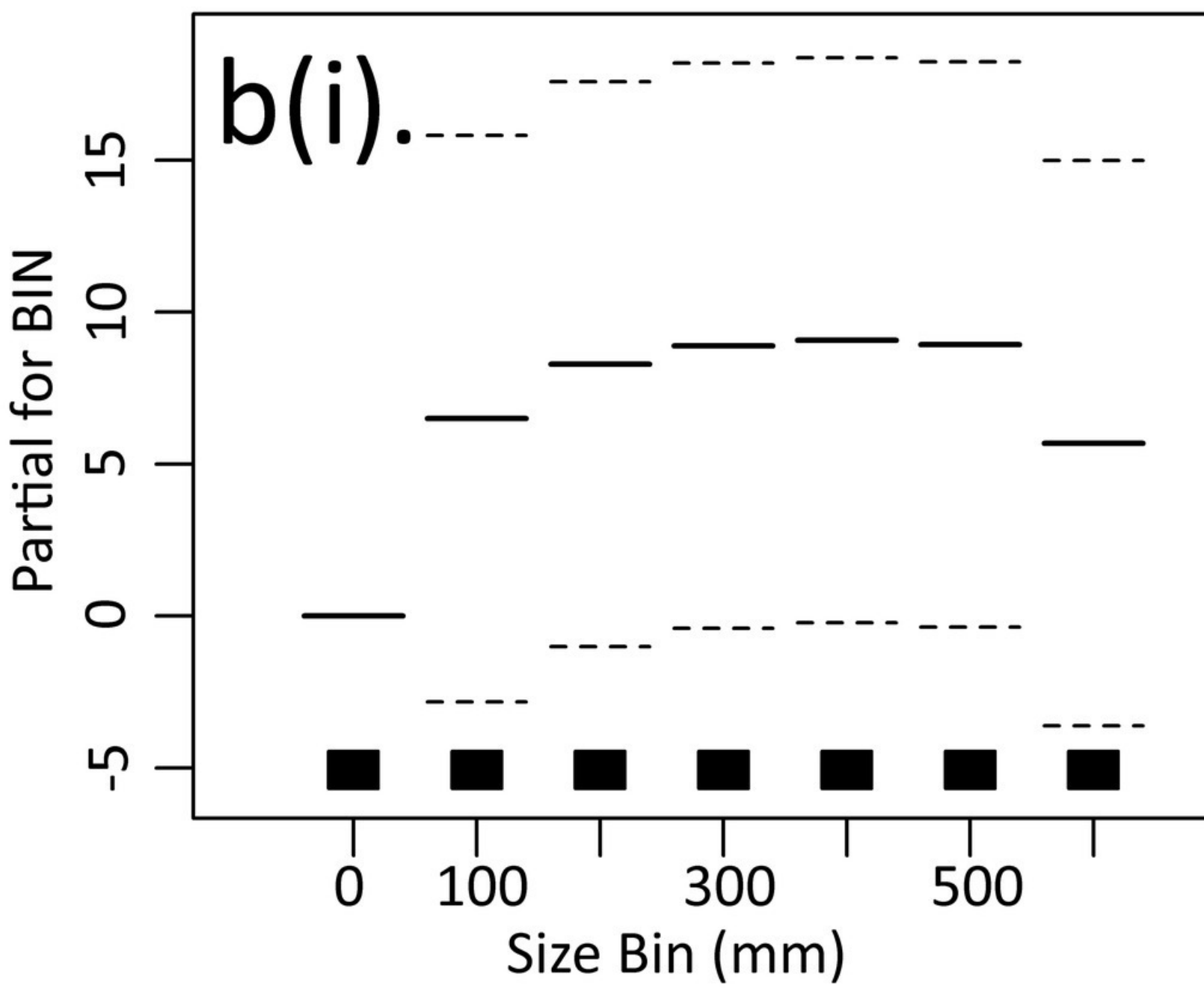
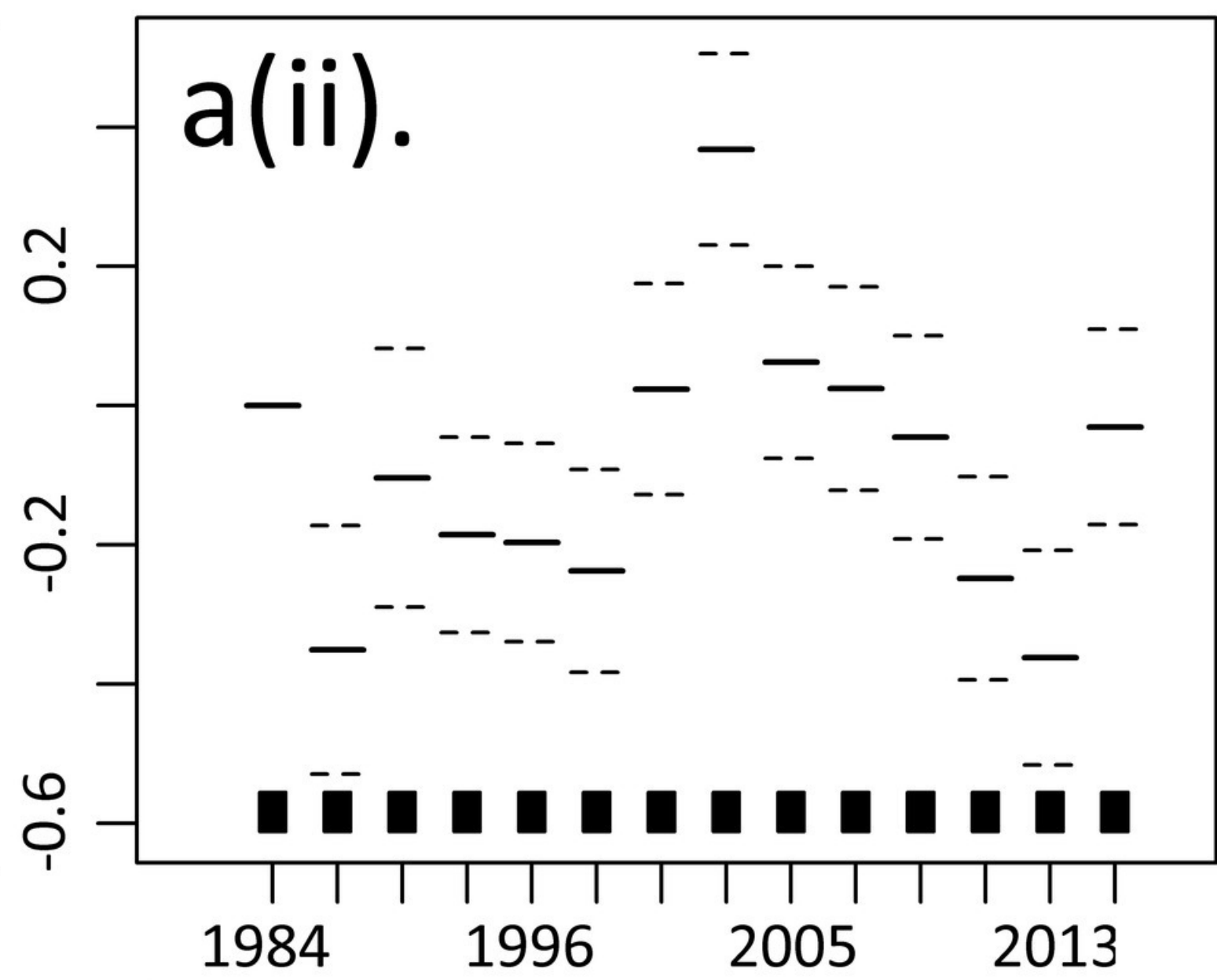
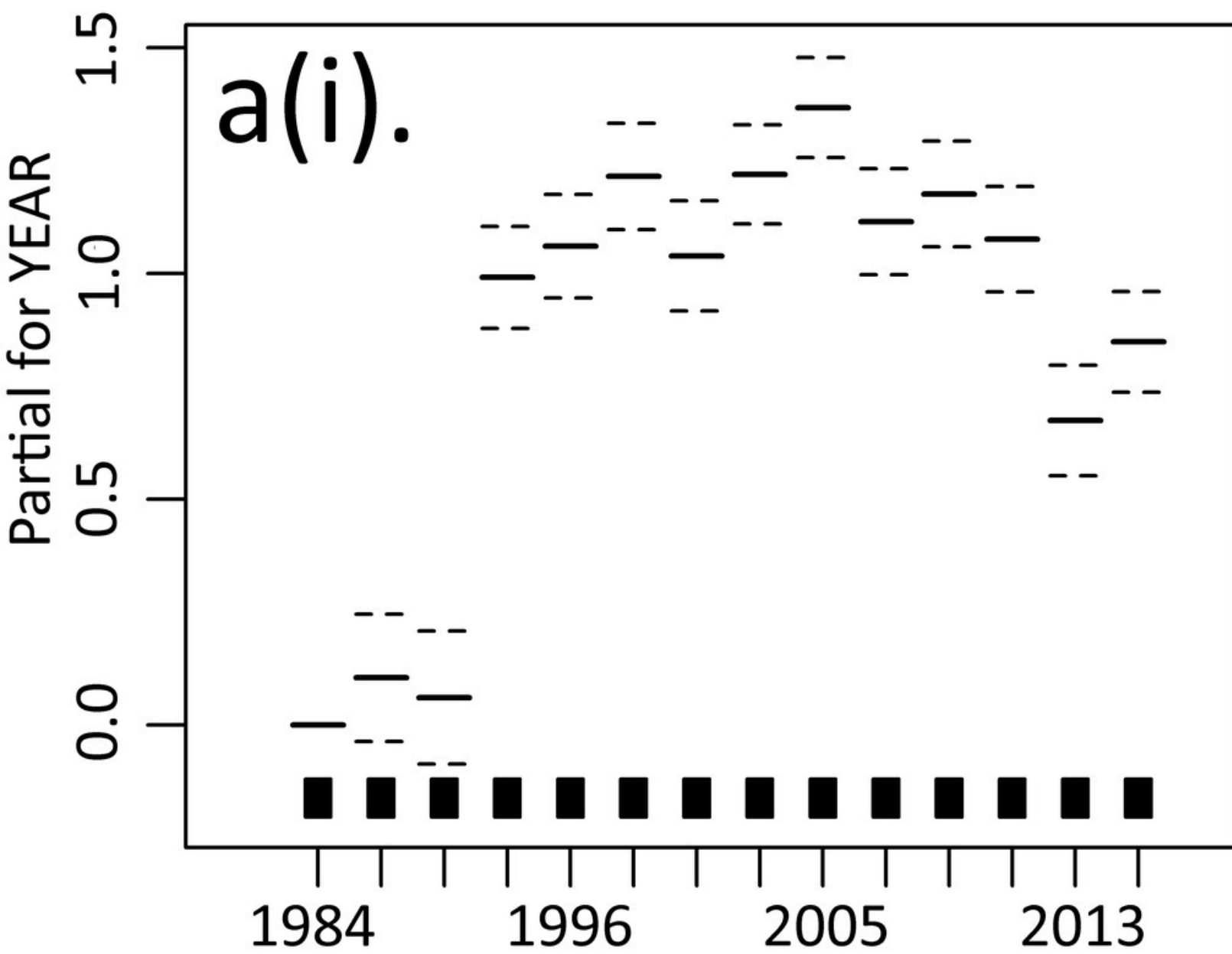












0-100 mm

100-200 mm

200-300 mm

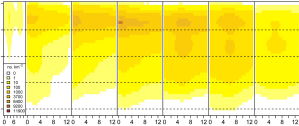
300-400 mm

400-500 mm

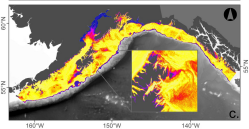
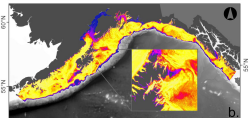
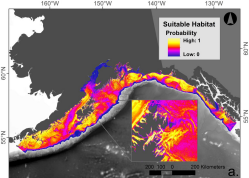
500-600 mm

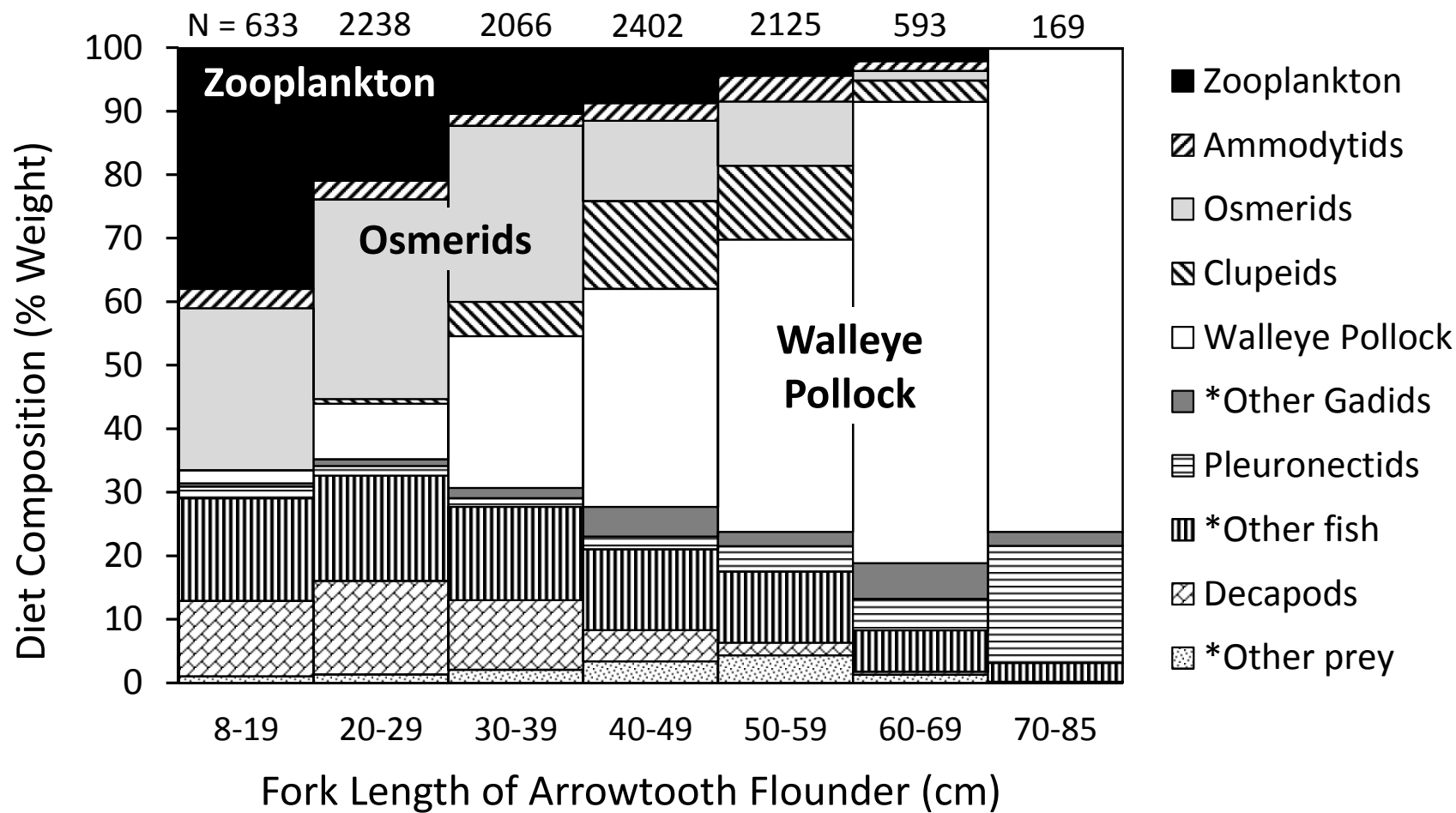
>600 mm

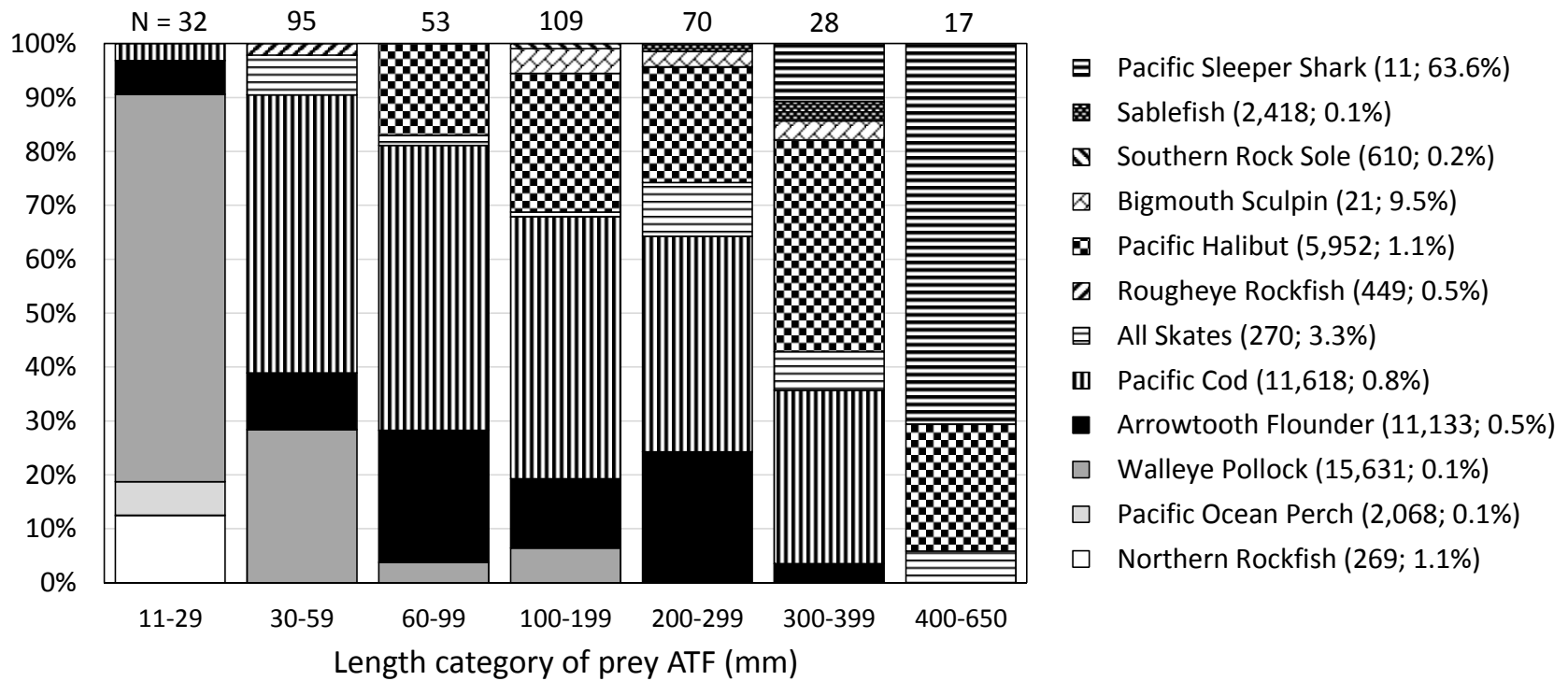
Depth (m)

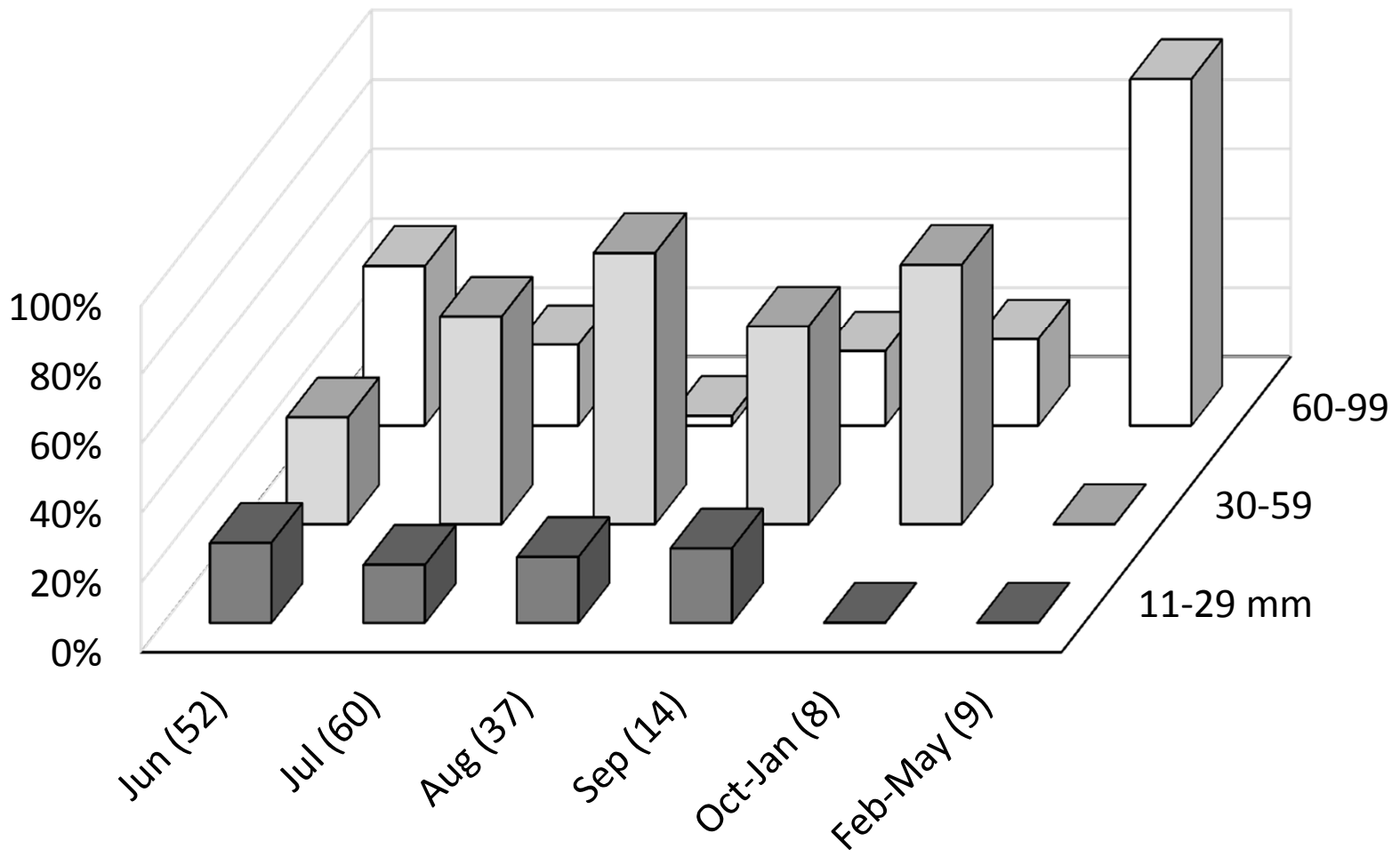


Temp. °C









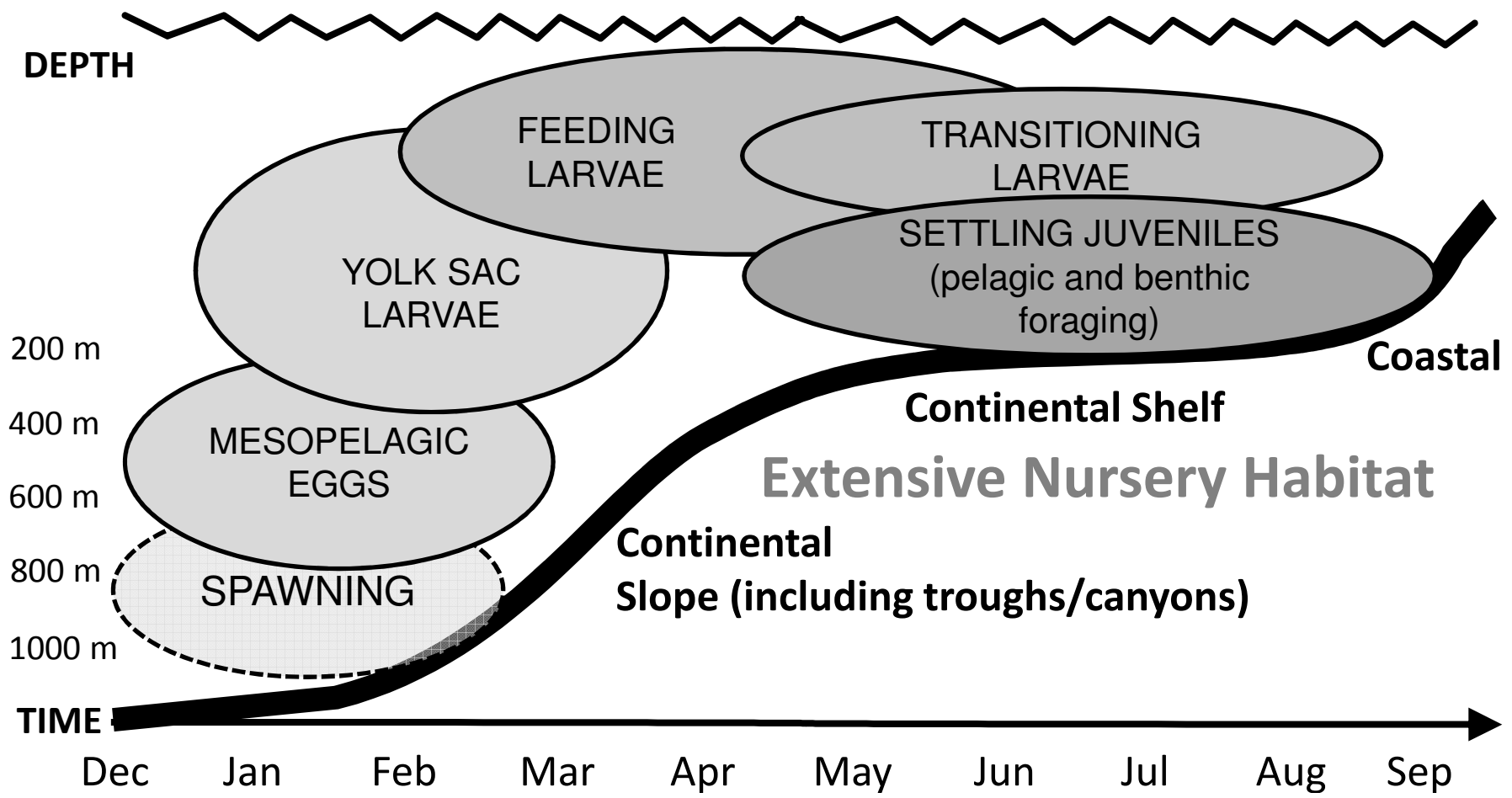
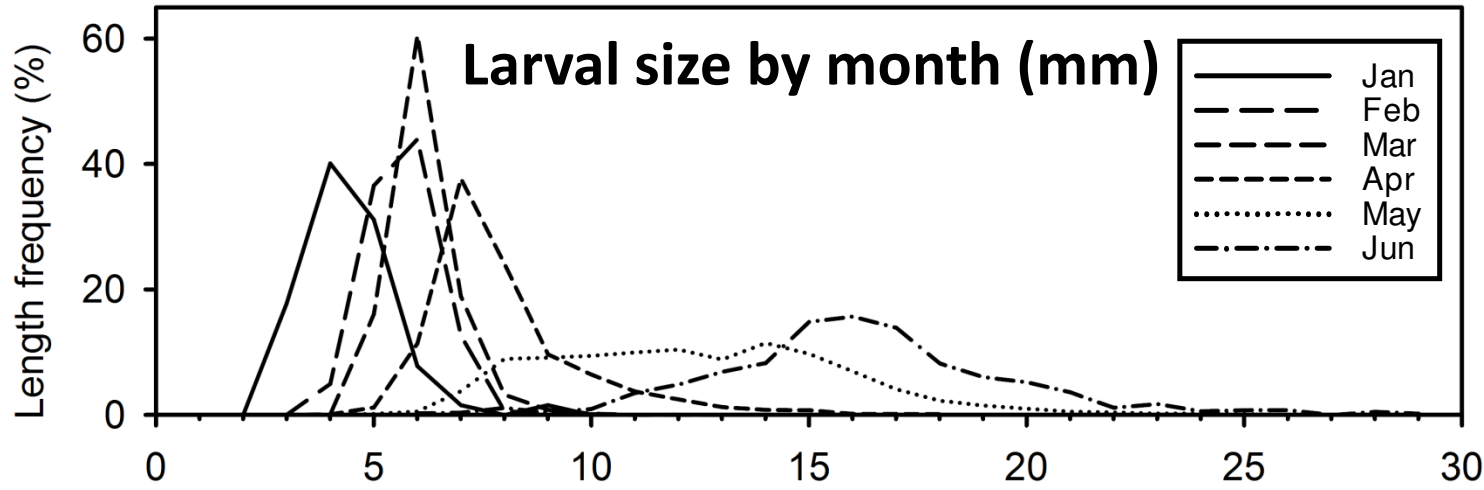


Table 1. NOAA, Alaska Fisheries Science Center (AFSC) data sets used in this study for assessment of ecological patterns across life history stages of Arrowtooth Flounder in the Gulf of Alaska. For the purposes of this study, the division between eastern and western Gulf of Alaska is given as 145°W longitude.

Data Type	AFSC Surveys and areas	Seasonal Coverage	Years Sampled	AFSC Databases and Links to Program Research, Reports and Publications
Ichthyoplankton	EcoFOCI Ichthyoplankton surveys; 60 cm Bongo nets, shelf and slope waters; western GOA	Winter, Summer and Autumn, occasional years. Spring, all years.	1972, 1977-2011, 2013	Ichthyoplankton Information System (IIS): https://access.afsc.noaa.gov/ichthyo/index.php EcoFOCI website: http://www.ecofoci.noaa.gov/
Juvenile Fish	EcoFOCI Juvenile surveys; Small-mesh Midwater and Bottom trawls, shelf and slope waters; eastern and western GOA	Summer or Autumn	2010-2014	EcoDAAT data base, EcoFOCI website as above Ecosystem Monitoring and Assessment (EMA) website: http://www.afsc.noaa.gov/ABL/EMA/EMA_default.php NPRB-sponsored GOA-IERP website: http://www.nprb.org/gulf-of-alaska-project
Adult Fish	Groundfish Assessment Surveys; Bottom trawls, shelf and slope waters; eastern and western GOA	Summer	1984, 1987, 1990, 1993, 1996, 1999, 2001, 2003, 2005, 2007, 2009, 2011, 2013, 2015	Groundfish survey data base: http://www.afsc.noaa.gov/RACE/groundfish/survey_data/ Stock assessments: http://www.afsc.noaa.gov/REFM/Stocks/
Predator/Prey	As above	As above	As above	Fish Food Habits Database: http://www.afsc.noaa.gov/REFM/REEM/Data/

Table 2. GOA-IERP surface trawl summary data for each summer survey 2010-2014 in the eastern and western GOA including sampling date, number of grid stations sampled (No. stations), total number of Arrowtooth Flounder caught (N), frequency of occurrence (% frequency) at all stations sampled, and mean catch per unit effort (CPUE) of ATF for each survey.

Year and Region	Sampling Dates	No. Stations	N	% Frequency	Mean CPUE (no. km ⁻²)
2010 East	July 4-22	68	308	57.35	89.87
2011 East	July 3-21	59	17	15.25	2.27
2012 East	July 3-21	74	389	54.05	46.47
2013 East	July 3-21	79	34	27.85	4.98
2014 East	July 7- August 8	91	18	9.89	1.52
2011 West	August 5-20	56	1	2.13	0.16
2012 West	August 5-21	54	90	33.33	14.45
2013 West	August 3-21	47	2	4.26	1.15

Table 3. GOA bottom trawl summary data. No. stations is the number of survey stations sampled. Mean temp. (°C) is the mean survey-wide bottom temperature; bolded values are warmer and regular font values colder than the 1984-2015 average of 5.72°C. Biomass in tons ($t \times 10^3$) is the estimated biomass from each survey from Spies et al. (2017). Frequency is the percentage of survey stations at which ATF were caught. Mean CPUE is the mean number of ATF km^{-2} at stations where ATF were encountered.

Year	No. stations	Mean temp. (°C)	Biomass ($t \times 10^3$)	Frequency (% stations)	Mean CPUE (No. km^{-2})
1984	797	6.46	1,112	82.3	5,872
1987	782	6.30	932	87.0	5,152
1990	708	6.02	1,907	92.4	9,359
1993	774	6.02	1,552	92.2	7,616
1996	807	5.65	1,640	92.2	6,966
1999	788	5.07	1,262	88.5	5,636
2001	489	5.98	1,622	87.1	9,060
2003	809	6.17	2,819	90.2	13,339
2005	837	5.83	1,900	90.9	10,145
2007	816	5.06	1,939	87.6	9,605
2009	823	5.00	1,772	91.7	8,166
2011	670	5.54	1,747	92.1	7,428
2013	548	5.42	1,291	89.2	6,171
2015	772	6.24	1,659	89.4	9,511

Table 4. Statistical test for significance in decreasing length-at-age observed over time (1977-2013) for different age-groups of Arrowtooth Flounder in the Gulf of Alaska. The number of age observations is shown for each sex, followed by the p-value of the test and the slope. Test results in bold are significant after a sequential goodness of fit test alpha correction for multiple tests (Carvajal-Rodriguez 2009).

Age (Years)	Males			Females		
	No.	p-value	slope	No.	p-value	slope
1	17	0.5757	-0.038	16	0.0056	-0.295
2	62	0.0014	-0.142	67	0.0006	-0.132
3	79	0.0007	-0.148	77	0.0023	-0.116
4	82	0.0154	-0.090	83	0.0916	-0.072
5	62	0.0524	-0.080	74	0.3483	-0.048
6	51	0.0659	-0.053	66	0.0979	-0.060
7	35	0.9584	0.002	58	0.5600	0.024
8	25	0.3502	0.030	46	0.6885	-0.014
9	13	0.8216	-0.008	39	0.7133	0.019
10	8	0.0697	-0.128	34	0.4016	-0.041

Table 5. Maximum Entropy model results for Arrowtooth Flounder demersal life stages, including early juveniles (40-160 mm), late juveniles (161-350 mm), and adults (> 350 mm) for habitat suitability models using habitat metrics (HSM) and models using habitat metrics and substrate rockiness (HSM with Substrate), including sample size of presence locations, value of the regularization multiplier β with all possible feature types (Pirtle et al., in press), AUC (Area Under the receiver operating characteristic Curve) value for test locations, mean \pm SD of k-fold replicates (k = 5), and percent individual contribution (in parentheses) of the top four habitat predictor variables from jackknife analysis (V1-4). BPI = bathymetric position index (Pirtle et al., in press).

Life Stage and Model Type	Sample Size	β	AUC Test Mean \pm SD	V1 (%)	V2 (%)	V3 (%)	V4 (%)
<i>Early Juveniles:</i>							
HSM	1,536	2.5	0.7 \pm < 0.1	Depth (39.8)	BPI-3.3 km (18.8)	Temperature (13.6)	Tidal Current (11.6)
HSM with Substrate	1,001	2.5	0.8 \pm < 0.1	Depth (35.0)	BPI-6.5 km (18.1)	Temperature (14.5)	Tidal Current (9.2)
<i>Late Juveniles:</i>							
HSM	5,781	1.5	0.6 \pm < 0.1	Depth (55.5)	Temperature (12.6)	BPI-3.3 km (12.3)	Tidal Current (12.0)
HSM with Substrate	3,615	1.5	0.7 \pm < 0.1	Depth (43.6)	BPI-6.5 km (15.6)	Tidal Current (15.1)	Temperature (11.7)
<i>Adults:</i>							
HSM	4,121	1.5	0.6 \pm < 0.1	Depth (54.3)	Temperature (20.2)	BPI-3.3 km (9.5)	Tidal Current (7.5)
HSM with Substrate	2,983	1.0	0.7 \pm < 0.1	Depth (43.9)	BPI-6.5 km (16.1)	Temperature (15.0)	Tidal Current (7.6)

Table 6. A climate-related vulnerability assessment proposed for Arrowtooth Flounder in the Gulf of Alaska (GOA) that uses the ecological synthesis from this study to evaluate scores according to 12 “sensitivity attributes” identified in Morrison et al. (2015). A low/medium/high score indicates a low/medium/high level of vulnerability for this aspect of ATF population status, life history, or ecology to climate-induced change in the GOA. When two scores are indicated, an intermediate score is suggested overall, e.g. low to medium (1.5) or medium to high (2.5). Overall ATF score here is 15 out of a possible range of 12-36.

Sensitivity Attribute	Goal	Assigned Assessment Scores and Associated Resilience/Vulnerability Factors		
		Low (1)	Medium (2)	High (3)
Stock Size/Status	To determine if the stock's resilience is compromised due to low abundance	High biomass and numerical dominance in the GOA		
Other Stressors	To account for other factors that could limit population responses to climate change	Predation mortality and fishing mortality very low		
Population Growth Rate	Estimate the productivity of a stock	Consistently high productivity and growth since the 1970s		
Complexity in Reproductive Strategy	Identify reproductive strategy that may be disrupted by climate change		The high spatial and temporal mis-match between larvae and nauplii is, however, mitigated by seemingly extended yolk-sac phase with slow utilization of lipid reserves (see “endurance” strategy below)	High spatial and temporal mis-match between winter peak in larval abundance and spring peak in shelf production of copepod nauplii; may be exacerbated by warmer temperatures (increased egg/larval development rates) or shift in larval prey production
Spawning Cycle	Identify spawning strategies that are more sensitive to changes	Spawn in deep water over the slope during winter months in stable, cold conditions; high fecundity; mesopelagic eggs in predator poor environment		

Early Life History Survival and Settlement Requirements	Determine the relative importance of early life history requirements for a stock	Larval "endurance" strategy; low metabolic demand, slow growth, extended yolk-sac phase, first-feeding synchrony with winter production of <i>Neocalanus</i> spp. (copepod) nauplii. Extensive availability of juvenile settlement habitat and food resources	Diminished transport of larvae on to shelf can have a negative impact on early survival	
Sensitivity to Ocean Acidification	Determine the stock's relationship to "sensitive taxa"	Sensitive taxa (e.g. Pteropods, Decapods) not a major source of food	Sensitivity of important zooplankton prey (copepods, euphausiids) unknown but potential for negative response with impact on ATF feeding	
Habitat Specificity	Determine the relative dependence a stock has on habitat and the abundance of the habitat	Habitat generalist from coastal to deep water and nursery habitat extends throughout shelf to slope		
Prey Specificity	Determine if the stock is a prey generalist or a prey specialist	Prey generalist, and feeding behavior considered highly adaptable		
Sensitivity to Temperature	Known temperature of occurrence or distribution as a proxy for sensitivity to temperature	Juveniles and adults found in broad temperature range and distributed across wide latitudinal range and depths	Fish <40 cm most abundant in colder water (<4°C); some distribution contraction with warmer bottom temperatures	<i>[Larval phase may be most sensitive to temperature – see above]</i>
Adult Mobility	Determine the ability of the stock to move if their current location becomes unsuitable	Adult mobility moderate but extensive larval drift and available settlement habitat		
Dispersal of Early Life Stages	Estimate ability of the stock to colonize new habitats	Extended larval planktonic phase with high dispersal		
Total Score by Sensitivity Attribute		8	4.5 (1.5 x 3)	2.5