

1 **Remote detection of cyanobacteria blooms in an optically shallow subtropical lagoonal**
2 **estuary using MODIS data**

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17

18 **ABSTRACT**

19 Widespread and persistent Ecosystem Disruptive Algal Blooms dominated by marine
20 picocyanobacteria (*Synechococcus*) commonly occur in the subtropical lagoonal estuary of
21 Florida Bay (U.S.A). These blooms have been linked to a decline in natural sheet flow over the
22 past century from upstream Everglades National Park. Remote sensing algorithms for monitoring
23 cyanobacteria blooms are highly desired but have been mainly developed for freshwater and
24 coastal systems with minimal bottom reflectance contributions in the past. Examination of in situ
25 optical properties revealed that *Synechococcus* blooms in Florida Bay exhibit unique spectral
26 absorption and reflectance features that form the basis for algorithm development. Using a large,
27 multi-year match-up dataset (2002-2012; n=682) consisting of in situ pigment concentrations and
28 Moderate Resolution Imaging Spectroradiometer (MODIS) Rayleigh-corrected reflectance
29 ($R_{rc}(\lambda)$), classification criteria for detecting cyanobacteria blooms with chlorophyll-a
30 concentrations (Chl-a) $\sim 5\text{-}40\text{ mg m}^{-3}$ were determined based on a new approach to combine the
31 MODIS Cyanobacteria Index, CI_{MODIS} , and spectral shape around 488nm, $SS(488)$. The
32 inclusion of $SS(488)$ was required to prevent false positive classifications in seagrass-rich, non-
33 bloom waters with high bottom reflectance contributions. 75% of cyanobacteria blooms were
34 classified accurately based on this modified CI approach with <1% false positives. A strong
35 correlation observed between cyanobacteria bloom in situ Chl-a and CI_{MODIS} ($r^2=0.80$, $n=32$)
36 then allowed cyanobacterial chlorophyll-a concentrations (Chl_{CI}) to be estimated. Model
37 simulations and image-based analyses showed that this technique was insensitive to variable
38 aerosol properties and sensor viewing geometry. Application of the approach to the entire
39 MODIS time-series (2000 - present) may help identify factors controlling blooms and system
40 responses to ongoing management efforts aimed at restoring flow to pre-drainage conditions.

41 The method may also provide insights for algorithm development for other lagoonal estuaries
42 that experience similar blooms.

43

44 **Keywords:** Algal bloom; Ocean color; Remote sensing; MODIS; Chlorophyll;

45 Cyanobacteria; *Synechococcus*; Florida Bay

46

47 **1. Introduction**

48 Florida Bay (Florida, USA) is a shallow (<3m), subtropical lagoonal estuary located at
49 the southern tip of Everglades National Park (ENP). Once known for its pristine, oligotrophic
50 waters, this region experienced a cascade of ecological disturbances beginning in the late 1980's,
51 including seagrass die-offs, increased turbidity, and frequent and prolonged picocyanobacteria
52 blooms followed by declines in sponge and spiny lobster populations (Butler et al. 1995;
53 Fourqurean and Robblee 1999; Hall et al. 1999; Hall et al. 2016; Wall et al. 2012). Much of this
54 ecological damage has been linked to extensive canal construction in ENP beginning in the
55 1920's to alleviate flooding for South Florida agricultural lands (Light and Dineen 1994). The
56 resultant reduction in natural freshwater flow via Taylor Slough led to longer residence times and
57 occasional periods of intense hypersalinity (Fourqurean and Robblee 1999; Kelble et al. 2007;
58 Nuttle et al. 2000; Rudnick et al. 2005). Efforts to restore natural sheet flow are currently
59 underway as part of the federally mandated Comprehensive Everglades Restoration Plan (CERP;
60 <https://www.nps.gov/ever/learn/nature/cerp.htm>).

61 Picocyanobacteria blooms in Florida Bay are dominated by *Synechococcus*, a small
62 (~2µm), unicellular marine genus found ubiquitously throughout much of the world's oceans
63 (Waterbury et al. 1979). Genetic analysis revealed high similarities with coastal strains belonging

64 to Clade VIII that contain the light-harvesting pigment phycocyanin (PC) (Berry et al. 2015).
65 These blooms often persist from months to years, extend up to hundreds of square kilometres,
66 and exhibit chlorophyll-a concentrations (Chl-a) up to $\sim 40 \text{ mg m}^{-3}$ (Glibert et al. 2009; Phlips
67 and Badylak 1996; Phlips et al. 1999). Extreme bloom populations comprise >99% of total
68 phytoplankton biovolume and exhibit cell abundances $>10^6 \text{ cells ml}^{-1}$ (Phlips and Badylak 1996;
69 Phlips et al. 1999). Increased light attenuation, anoxic events, and reduced zooplankton grazing
70 are commonly associated with these Ecosystem Disruptive Algal Blooms (Goleski et al. 2010;
71 Phlips and Badylak 1996; Phlips et al. 1999).

72 Florida Bay picocyanobacteria blooms were first reported throughout much of the 1990's
73 (Boyer et al. 1999; Phlips et al. 1999) and have since occurred in 2002, 2003, and 2005-2008
74 (Berry et al. 2015; Brand et al. 2010; Evans et al. 2006; Gardner and McCarthy 2009; Glibert et
75 al. 2004; Glibert et al. 2009; Goleski et al. 2010). The 2005-2008 bloom was particularly
76 noteworthy given its wide expanse and extended duration. Also, bloom populations were
77 observed for the first time in the historically clear waters of northeastern Florida Bay. More
78 recently, major bloom events were reported in 2013 and 2016 (unpublished data, Florida Fish
79 and Wildlife Conservation Commission's Fish and Wildlife Research Institute (FWC-FWRI)).
80 Several hypotheses have been proposed regarding potential nutrient sources that may serve as
81 drivers for these blooms (Hitchcock et al. 2007). Linkages between bloom occurrence and
82 tropical storm frequency and global climatic indices have also been made (Briceño and Boyer
83 2009). However, despite increased water quality monitoring efforts over the past several decades
84 (Boyer et al. 1999; Boyer et al. 2009), nutrients sources responsible for maintaining these blooms
85 remain a topic of debate.

86 Field-based phytoplankton monitoring programs offer a high degree of specificity by
87 providing information on phytoplankton type (often to the genus or species level) and
88 abundance, but they are often costly, labour intensive, and fail to adequately report on fine-scale
89 spatial and temporal distribution patterns (Rantajärvi et al. 1998). Satellite remote sensing with
90 larger spatial coverage and higher temporal resolution can complement traditional water
91 sampling efforts by providing timely information on phytoplankton bloom dynamics. Global
92 oceanic bio-optical algorithms for retrieving Chl-a (used as a proxy for phytoplankton biomass)
93 often fail in optically complex coastal, estuarine, and inland environments and are incapable of
94 differentiating between different bloom types (Carder et al. 1991; Wozniak and Stramski 2004).
95 Hence, the development of alternative approaches for detecting specific bloom types has been
96 actively pursued over the past several decades (Blondeau-Patissier et al. 2014; Cullen et al.
97 1997). Of these, several algorithms for detecting PC-containing cyanobacteria blooms have been
98 developed, but mainly for freshwater bloom-forming genera (*Microcystis*, *Planktothrix*,
99 *Anabaena*, *Aphanizomenon*) (Dekker 1993; Hunter et al. 2009; Qi et al. 2014; Schalles and
100 Yacobi 2000; Simis et al. 2005; Wynne et al. 2013; Wynne et al. 2008).

101 The development of remote sensing algorithms requires knowledge of the inherent
102 optical properties (IOPs; or spectral absorption, $a(\lambda)$, and backscattering, $b_b(\lambda)$, coefficients) of
103 water column constituents that influence the color of the water as quantified by remote sensing
104 reflectance, $R_{rs}(\lambda)$. These optically active constituents mainly include phytoplankton, detrital
105 material, minerals, colored dissolved organic matter (CDOM), and pure water. Because
106 phytoplankton, detritus, and CDOM absorb blue light strongly and pure water and phytoplankton
107 absorb red light strongly, elevated reflectance often occurs at green wavelengths in coastal,
108 estuarine, and inland environments (Kirk 1994). Inorganic suspended sediments, especially those

109 with high mineral content, strongly scatter light, causing reflectance to increase at all
110 wavelengths. In shallow areas with relatively low light attenuation, radiance reflected by the
111 bottom can also impact $R_{rs}(\lambda)$ (Lee et al. 2001).

112 Florida Bay presents a particularly challenging case for remote sensing of cyanobacteria
113 blooms given its optical complexity compounded by its shallow nature and close proximity to
114 land. Compared to nearby river-dominated estuaries, Florida Bay is less heavily influenced by
115 direct terrestrial freshwater runoff, leading to lower CDOM loading (Maie et al. 2006). Light
116 attenuation is relatively low and mainly dominated by tripton (non-algal particulate material),
117 except for during blooms when phytoplankton can be important (Kelble et al. 2005; Phlips et al.
118 1995). Increased light penetration in weakly attenuating waters often leads to high bottom
119 reflectance contributions that can negatively impact accuracies of bio-optical algorithms (Blakey
120 et al. 2016; D'Sa et al. 2002). Chl-a algorithm retrieval accuracies for this region are further
121 susceptible to increased turbidity (Cannizzaro et al. 2013b) that may occur over short durations
122 (days to weeks) following storm events (Conmy et al. 2009) or longer durations (months to
123 years) following seagrass die-off events (Barnes et al. 2014; Stumpf et al. 1999). Algorithm
124 failure caused by atmospheric correction errors attributed to absorbing aerosols and straylight
125 contamination may also occur (Feng and Hu 2017; Mouw et al. 2015). Optical differentiation
126 between various phytoplankton bloom types is another challenge for Florida Bay where seasonal
127 diatom blooms also occur (Jurado et al. 2007; Phlips and Badylak 1996; Phlips et al. 1999;
128 Richardson 2009).

129 Given the lack of reliable remote sensing algorithms for quantifying cyanobacteria
130 blooms in Florida Bay, the objectives of this study were to (1) characterize the bio-optical
131 properties of this system, (2) develop and evaluate a remote sensing approach for classifying and

132 quantifying cyanobacteria blooms, and (3) apply this new method to examine the 2005-2008
133 bloom event. The fundamental reasons behind why the new approach works are examined, and
134 both strengths and weaknesses of the approach are presented. Finally, recommendations for
135 future work using alternative sensors aimed at improving bloom detection in Florida Bay and
136 other lagoonal estuaries where these blooms are known to occur are provided.

137

138 **2. Background on algorithm development**

139 Remote sensing algorithms for detecting freshwater cyanobacteria blooms commonly
140 target the dip in $R_{rs}(\lambda)$ at ~625nm caused by PC absorption (Dekker 1993; Hunter et al. 2009; Qi
141 et al. 2014; Schalles and Yacobi 2000; Simis et al. 2005; Wynne et al. 2013; Wynne et al. 2008).
142 The European Space Agency's Medium Resolution Imaging Spectrometer (MERIS) aboard the
143 ENVISAT mission (2002-2012) and Ocean and Land Colour Instrument (OLCI) aboard the
144 Sentinel 3A (2016-) and Sentinel 3B (2018-) missions included a 620nm waveband, permitting
145 detection of this feature at 300m spatial resolution. However, the four year gap between the end
146 of the MERIS mission and start of the Sentinel 3A mission interrupts the creation of a long-term
147 (multi-decadal) ocean color data record. The U.S. NASA Moderate Resolution Imaging
148 Spectroradiometers (MODIS) aboard the Terra (2000-) and Aqua (2002-) missions provide a
149 more continuous stream of ocean color data twice daily at 250-1000m spatial resolution, but
150 waveband placement for these sensors is inadequate for measuring the PC reflectance dip at
151 625nm (Kutser et al. 2006).

152 Alternative bloom detection algorithms use a baseline subtraction approach to target the
153 weak chlorophyll fluorescence efficiency and enhanced scattering exhibited by cyanobacteria
154 blooms at red and near-IR wavebands (Moore et al. 2017). For example, the MERIS

155 Cyanobacteria Index (CI_{MERIS}) utilizes a three-band spectral shape algorithm, $SS(\lambda)$, to quantify
156 the height of $R_{rs}(\lambda)$ at 681nm relative to a baseline formed linearly between two adjacent
157 wavebands (665nm and 709nm) (Wynne et al. 2010; Wynne et al. 2008). Baseline subtraction
158 algorithms have also been used for several other ocean color applications (Gower et al. 2004; Hu
159 2009; Letelier and Abbott 1996; Matthews et al. 2012; Qi et al. 2014). This is because they are
160 less impacted by atmospheric correction errors and changes in aerosols and observing conditions
161 compared to band-ratio methods (Hu 2009). Such errors are linearly proportional to wavelength
162 to first order and can therefore be subtracted.

163 Another example is the MERIS Maximum Peak Height (MPH) algorithm that quantifies
164 phytoplankton biomass based on the height above a spectral baseline formed linearly between
165 Rayleigh-corrected reflectance, $R_{rc}(\lambda)$, at 664nm and 885nm where maximal $R_{rc}(\lambda)$ at 681, 709,
166 or 753nm occurs (Matthews et al. 2012; Matthews and Odermatt 2015). High biomass
167 ($Chl_MPH > 20 \text{ mg m}^{-3}$) cyanobacteria-dominated blooms are then distinguished from
168 eukaryotic blooms using additional spectral shapes that target sun-induced chlorophyll
169 fluorescence (SICF; 664-681-709nm), sun-induced phycobilipigment (PC and allophycocyanin)
170 fluorescence (SIPF; 620-664-681nm), and backscatter and absorption induced reflectance
171 (BAIR; 665-709-885nm). The benefit of using $R_{rc}(\lambda)$, which includes a partial atmospheric
172 correction to correct for gaseous absorption and Rayleigh (molecular) scattering effects, instead
173 of the commonly used $R_{rs}(\lambda)$ is because 1) atmospheric correction schemes can add uncertainties
174 over coastal, estuarine, and inland regions due to incorrect assumptions (e.g., negligible water
175 signal in the near-infrared, relationship between different spectral bands used in the iterative
176 atmospheric correction) and 2) the standard atmospheric correction scheme used by NASA
177 SeaDAS data processing software often generates a data mask over shallow and/or turbid waters.

178 Although adaptation of the MERIS MPH algorithm for use with MODIS data is not
179 possible because of the requirements on extra bands at 620- and 709-nm, CI_{MERIS} was adapted
180 for use with MODIS $R_{rc}(\lambda)$ using the same baseline subtraction approach, providing continuity
181 among sensors following the end of the MERIS mission (Wynne et al. 2013). Wavebands for
182 deriving the MODIS Cyanobacteria Index, CI_{MODIS} , are the same as those used for determining
183 MODIS Fluorescence Line Height (FLH) (667, 678, and 748nm); and CI_{MODIS} is essentially the
184 negative of MODIS FLH. MODIS FLH is commonly used as an indicator of coastal and
185 estuarine eukaryotic algal blooms (Gower et al. 2004; Hu et al. 2005). Despite oversaturation of
186 CI_{MODIS} for extreme cyanobacteria blooms in freshwater systems where highly vacuolated cells
187 aggregate at the surface forming vast dense mats, CI_{MODIS} provided comparable results to
188 CI_{MERIS} (Wynne et al. 2013).

189 CI_{MODIS} has been successfully applied not only to freshwater *Microcystis* blooms (Zhang
190 et al. 2017), but also to blooms dominated by filamentous cyanobacteria (*Nodularia*) in brackish
191 waters of the Caspian Sea (Moradi 2014). However, the performance of the CI_{MODIS} algorithm
192 for blooms dominated by marine genera (*Synechococcus*) in optically complex, coastal lagoons
193 prone to high bottom reflectance contributions (Florida Bay) has been found to yield too many
194 false positives, making it necessary to modify this approach.

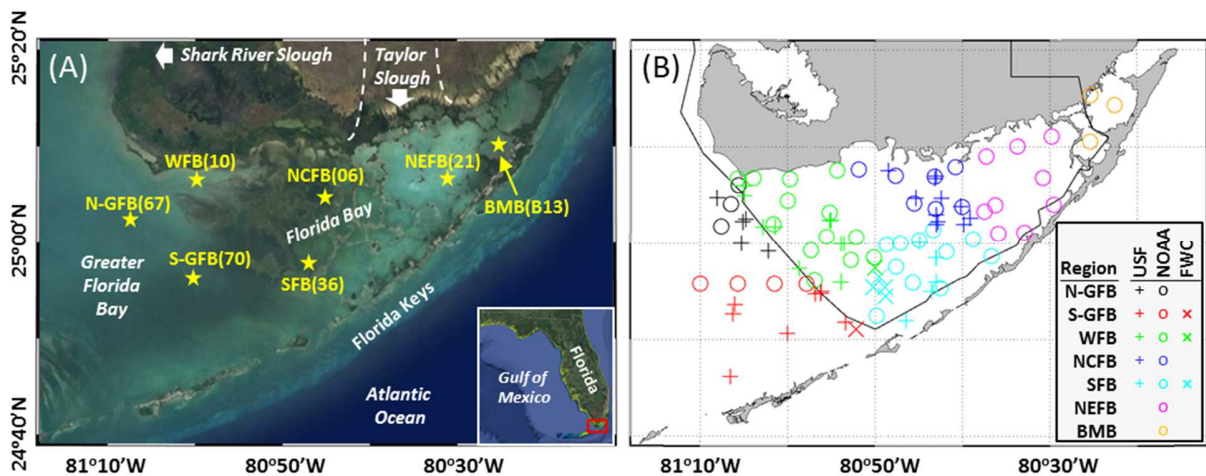
195

196 **3. Data and Methods**

197 **3.1 Study area**

198 Florida Bay (2,200 km²) is a triangular-shaped lagoonal estuary bounded to the north by
199 mainland Florida, to the south by the Florida Keys, and to the west by the western boundary of
200 ENP (Fig. 1). Coastal waters west of Florida Bay are considered part of Greater Florida Bay

201 (GFB). East of Florida Bay are several shallow (<3m), oligotrophic lagoons (Blackwater Sound,
 202 Manatee Bay, and Barnes Sound; or BMB). The geomorphology of Florida Bay consists of a
 203 complex series of sub-basins separated by shallow subtidal to intertidal carbonate mud banks (<1
 204 m). Wind forcing is the primary driver of water renewal for all regions within Florida Bay and
 205 flow among basins occurs through narrow tidal channels and across the shallow mud banks (Lee
 206 et al. 2006; Lee et al. 2008; Lee et al. 2016). Seagrass communities cover much of Florida Bay
 207 with increasing densities observed from the northeast to southwest (Zieman et al. 1989), and
 208 while coverage is generally stable, periodic localized and sometimes widespread mortality events
 209 have occurred (Hall et al. 2016).



210
 211 Figure 1. (A) Landsat image of Florida Bay and adjacent water bodies collected on 30 December
 212 2016. Most regions in Florida Bay are less than 2m deep with many areas less than 1m. Star
 213 symbols show locations of MODIS time-series stations. Abbreviations: N-GFB= Northern
 214 Greater Florida Bay; S-GFB = Southern Greater Florida Bay; WFB = West Florida Bay,
 215 NCFB=North Central Florida Bay, SFB = South Florida Bay, NEFB = Northeast Florida Bay,
 216 and BMB = Blackwater Sound, Manatee Bay, and Barnes Sound. NOAA station numbers are
 217 included in parenthesis. (B) Map of study area showing in situ station locations sorted by region
 218 for three independent field data sets: + = USF (1997-1998), O = NOAA (2002-2012, 2016), and
 219 X = FWC (late-2013). The solid black line represents the southern boundary for Everglades
 220 National Park.

221
 222 Florida Bay and adjacent water bodies can be divided into seven distinct subregions (Fig.
 223 1) with variable nutrient loading, circulation, tidal characteristics, and bottom substrates that lead

224 to variable optical properties and the dominance of different phytoplankton groups (Boyer et al.
225 1997; Boyer et al. 2009; Glibert et al. 2009; Hitchcock et al. 2007; Lee et al. 2006; Lee et al.
226 2008; Phlips et al. 1995; Phlips and Badylak 1996; Rudnick et al. 1999; Smith 1997).

227 West Florida Bay (WFB) and GFB are strongly influenced by mixing between bay waters
228 and marine inflows from the Gulf of Mexico. In Northern Greater Florida Bay (N-GFB) and
229 northern WFB, seagrass density is sparse or absent and waters are often turbid (Kelble et al.
230 2005; McPherson et al. 2011). Decadal trends in $R_{rs}(\lambda)$ obtained from the Advanced Very High
231 Resolution Radiometer (AVHRR) (Stumpf et al. 1999) and Landsat-5 Thematic Mapper (TM)
232 (Barnes et al. 2014) showed increased reflectance indicative of high sediment resuspension
233 following major seagrass die-off events. Here, diatoms often dominate phytoplankton
234 community composition with blooms commonly occurring from late-summer to winter (Jurado
235 et al. 2007; Phlips and Badylak 1996; Phlips et al. 1999; Richardson 2009).

236 Southern Greater Florida Bay (S-GFB) and southern portions of WFB include vast areas
237 of dense seagrass beds. Light attenuation is relatively low in these regions because seagrass beds
238 help to stabilize sediments by dampening tidal and wind energy (Kelble et al. 2005; McPherson
239 et al. 2011). MODIS $R_{rs}(\lambda)$ is often low as a result of increased light penetration and low bottom
240 albedos that are characteristic of seagrass beds (Gao et al. 2007; Lee et al. 2001).

241 North Central Florida Bay (NCFB) is the most geomorphologically isolated region with
242 influxes of marine and freshwater restricted by the configuration of mud banks. Residence times
243 here are on the order of 6-12 months (Lee et al. 2006; Lee et al. 2008). While median Chl-a in
244 NCFB and WFB are both relatively high (~ 1.2 - 1.3 mg m^{-3} , respectively) (Boyer et al. 2009),
245 bloom-forming phytoplankton communities differ greatly with picocyanobacteria
246 (*Synechococcus*) often dominating in NCFB compared to diatoms in northern WFB (Glibert et

247 al. 2009; Hitchcock et al. 2007; Philips and Badylak 1996; Philips et al. 1999). Nitrogen limitation
248 to the west and phosphorus limitation to the east gives way to a central convergence zone in this
249 region favouring the growth of picocyanobacteria (Brand 2002; Brand et al. 2010). During
250 summer, these blooms often originate in NCFB and then spread to South Florida Bay (SFB) in
251 fall and early-winter when passing cold fronts cause a shift in wind direction to the north, forcing
252 waters to the south (Lee et al. 2016; Philips et al. 1999). In the absence of blooms, low light
253 attenuation and median Chl-a (0.5 mg m^{-3}) are generally observed in SFB (Boyer et al. 2009;
254 Kelble et al. 2005).

255 Northeast Florida Bay (NEFB) is characterized by relatively large basins separated by
256 narrow banks. This region is more isolated from tidal and oceanic exchange processes compared
257 to the rest of Florida Bay (Smith 1997). Phosphorus limitation is the main factor limiting
258 phytoplankton growth in this region as reflected by relatively low median Chl-a (0.4 mg m^{-3})
259 (Boyer et al. 2009). Seasonal freshwater influxes from Taylor Slough lead to nitrogen-rich
260 waters that favour the growth of mixtures of diatoms, cyanobacteria, microflagellates, and
261 dinoflagellates (Hitchcock et al. 2007). Sparse seagrass coverage makes this area susceptible to
262 frequent sediment resuspension events from wind and wave action. This results in high
263 reflectance year round (Stumpf et al. 1999), leading to saturation problems for MODIS $R_{rs}(\lambda)$
264 when using standard atmospheric correction algorithms (Gao et al. 2007).

265 Mean Chl-a in BMB from 1995-2004 was also relatively low (0.4 mg m^{-3}) and
266 phytoplankton populations prior to the 1990's were dominated by diatoms and dinoflagellates
267 (Philips et al. 1999). In 2005-2008, a widespread picocyanobacteria bloom persisted for several
268 years attributed to hurricane activity and a local road widening project (Glibert et al. 2009). This

269 major bloom event led to a regime shift with higher mean Chl-a (0.8 mg m^{-3}) observed the five
 270 years following the bloom (Millette et al. 2018).

271

272 **3.2 Field measurements**

273 Three independent field data sets were used in this study (Fig. 1b):

274 (1) Chl-a, spectral absorption, and $R_{rs}(\lambda)$ measurements obtained by the University of
 275 South Florida (USF) in 1997-1998 were used to characterize the optical properties of Florida Bay
 276 and Greater Florida Bay. Field excursions lasting 1-3 days were conducted in January, May,
 277 October, and November 1997 and January 1998 (Table 1). Water column depths ranged from
 278 0.8m to 3.4m. Bottom types varied from dense seagrass to mud or sand and were often visible to
 279 the naked eye.

280

281 Table 1. Summary of Florida Bay field measurements collected in 1997-1998.

Date(s)	Regions	Stations	Chl-a	$a_p(\lambda)$	$a_d(\lambda)$	$a_{CDOM}(\lambda)$	$R_{rs}(\lambda)$
19,22 Jan 1997	S-GFB, WFB, NCFB, SFB	10	✓	✓	✓	-	✓
1-2 May 1997	S-GFB, NCFB	4	✓	✓	✓	-	✓
2-4 Oct 1997	N-GFB, S-GFB, WFB, NCFB, SFB	18	✓	✓	✓	✓	✓
4 Nov 1997	S-GFB, WFB	4	✓	✓	✓	✓	✓
29-31 Jan 1998	N-GFB, WFB, NCFB, SFB	12	✓	✓	✓	✓	✓

282

283 Surface grab samples were stored on ice until returned to shore and processed the same
 284 day. Absorption spectra of total particulate, $a_p(\lambda)$, and detrital, $a_d(\lambda)$, material was measured
 285 using the quantitative filter technique (Kiefer and SooHoo 1982; Yentsch 1962) with a custom-
 286 built, 512-channel spectroradiometer (Spectrix; ~330-880 nm). Pigments were extracted using
 287 hot methanol (Kishino et al. 1985) and Chl-a was determined fluorometrically on these pigment

288 extracts with a Turner-Designs 10-AU Field Fluorometer (Holm-Hansen and Riemann 1978).
289 Phytoplankton absorption spectra, $a_{ph}(\lambda)$, was calculated by subtracting $a_d(\lambda)$ from $a_p(\lambda)$.
290 Chlorophyll-specific phytoplankton absorption, $a_{ph}^*(\lambda)$, was determined by normalizing $a_{ph}(\lambda)$ to
291 Chl-a. Absorption spectra of CDOM, $a_{CDOM}(\lambda)$, was determined from 0.2 μ m seawater filtrates
292 with a Perkin-Elmer Lambda 18 spectrophotometer using a 10cm pathlength cell and purified
293 water as a reference. Total absorption spectra, $a_t(\lambda)$, was calculated as the sum of individually
294 measured absorption components ($a_{ph}(\lambda)$, $a_d(\lambda)$, $a_{CDOM}(\lambda)$) and pure water absorption, $a_w(\lambda)$ (Pope
295 and Fry 1997).

296 $R_{rs}(\lambda)$ was determined from above-water hyperspectral measurements of upwelled total
297 radiance, downwelled sky radiance, and the radiance reflected from a standard diffuse reflector
298 (Spectralon; 10%). These measurements were obtained using a custom spectroradiometer
299 (Spectrix). Data collection and processing methods are described further in Lee et al. (2010).

300 (2) A large, multi-year pigment dataset (2002-2012; n=3,362) collected as part of NOAA
301 Atlantic Oceanographic Meteorological Laboratory's (NOAA-AOML) South Florida Program
302 was used for algorithm development. Surface seawater samples were collected for Chl-a from
303 fifty stations located in GFB (n=7), Florida Bay (n=40), and BMB (n=3) at frequencies ranging
304 from biweekly to quarterly with increased sampling during major bloom events. PC
305 concentrations were measured in Florida Bay during the majority of these field excursions with
306 samples processed by the University of Miami. All pigment samples were filtered onto Whatman
307 GF/F filters and stored at -20°C until processed. Chlorophyll-a was extracted overnight using a
308 60:40 90% acetone to dimethyl sulfoxide mixture and determined fluorometrically (Shoaf and
309 Lium 1976). PC was extracted overnight at 5 °C with a phosphate buffer (0.05 M H_2KPO_4 , 0.05
310 M HK_2PO_4 ; pH 6.5; with 0.01% (v/v) mercaptoethanol added) and concentrations were

311 determined with a SPEX Fluorolog-3 spectrofluorometer using 580nm excitation and 640nm
312 emission.

313 Chl-a was also measured in Florida Bay by NOAA-AOML on 28-29 September 2016,
314 but PC concentrations were not determined. Instead, PC fluorescence (in relative fluorescence
315 units, RFU) was measured with a YSI EXO Total Algae PC Smart Sensor. This data was used to
316 evaluate the performance of the new algorithm.

317 (3) Pico- and nanocyanobacteria cell concentrations quantified using flow cytometry as
318 part of event response and monitoring efforts by FWC-FWRI were used for algorithm validation.
319 Weekly to biweekly seawater samples were collected in Florida Bay between October 3 and
320 November 21, 2013. Surface grab samples were pre-filtered through 64 μm mesh and 1.5 ml
321 aliquots were immediately preserved with glutaraldehyde (0.1% final concentration). Samples
322 were flash frozen with liquid nitrogen, stored at -80°C and processed within five months of
323 collection with an Accuri C6 flow cytometer (BD Biosciences, San Jose, CA) equipped with a
324 16 μm aperture and standard detectors and filters. Standard flow cytometric methods were used
325 for determination of cyanobacteria abundance (Marie et al. 1997; Marie et al. 2005). Seawater
326 samples were diluted with sterile filtered seawater as needed to ensure that sampling rates were
327 below the instrument's maximum event capacity (10,000 events second^{-1}). Sterile seawater
328 blanks and a replicate 1.5 ml aliquot for a subset of eight samples were also evaluated. The
329 abundance of pico- and nano-cyanobacteria was determined using FCS Express 4 flow cytometry
330 software (DeNovo Software, Glendale, CA) based on size (0.79-2 μm for picoplankton, 2-16 μm
331 for nanoplankton) and red and orange autofluorescence characteristics. Beads of standard sizes
332 (0.79 -16 μm) and size and fluorescence properties obtained from a Gulf of Mexico

333 *Synechococcus* sp. (CCMP836) isolate as well as prior studies (e.g., Gérikas Ribeiro et al. 2016;
334 Philips et al. 1999) informed gating of flow cytometric biplots from field samples.

335

336 **3.3 Satellite data**

337 Level-0 MODIS Terra and Aqua data were downloaded from the U.S. NASA Goddard
338 Space Flight Center (GSFC) and processed using SeaDAS (version 7.4, with calibration settings
339 matching GSFC reprocessing 2018.0) to generate $R_{rc}(\lambda)$ (dimensionless) at 11 wavebands as well
340 as Level-2 Processing Flags (l2_flags). The ocean bands centered at 412, 443, 488, 531, 547,
341 667, 678, and 748 nm have 10nm bandwidths and 1 km nadir resolutions. The land bands
342 centered at 469 (459–479) and 555 (545–565) nm have 500 m resolution, and the land band
343 centered at 645 (620–670) nm has 250 m resolution. MODIS data were mapped to a cylindrical
344 equidistant projection at 250m resolution for further analysis. $R_{rc}(\lambda)$ data at 645nm, 555nm, and
345 469nm were used to generate “true color” Red-Green-Blue (RGB) composite imagery for visual
346 inspection. Mud banks were masked in classified imagery based on the 1997 Florida Bay bottom
347 types map (Prager and Halley 1997).

348

349 **3.4 Bloom definitions**

350 A constant and conservative threshold of Chl-a was used to distinguish between bloom
351 ($>5 \text{ mg m}^{-3}$) and non-bloom ($<5 \text{ mg m}^{-3}$) conditions despite it being well established that Chl-a
352 bloom thresholds in Florida Bay change significantly over both space and time (Millette et al.
353 2018; Nelson et al. 2017). Cyanobacteria blooms were further separated from ‘other’ (non-PC
354 containing) blooms in a variety of ways depending on the in situ dataset. Although it would have
355 been ideal to utilize a single definition for what constitutes a cyanobacteria bloom in this study,

356 the different measurements collected by the different institutions/agencies required some
357 flexibility on this issue. For the 1997-1998 field data (USF), information on phytoplankton
358 taxonomy was not available, so microscopy measurements obtained near-concurrently as part of
359 an independent field study were used to identify the nature of bloom populations (Phlips et al.
360 1999). For the 2002-2012 and 2016 field data (NOAA-AOML), cyanobacteria blooms were
361 identified based on PC > 0 mg m⁻³ and PC fluorescence > 0.5 RFU, respectively. The slight
362 increase for the PC fluorescence threshold was because of known interference of PC
363 fluorescence probe readings caused by turbidity (Zamyadi 2011). For the 2013 field data (FWC-
364 FWRI), cyanobacteria blooms were defined based on total pico- and nano-cyanobacteria cellular
365 abundances that were binned as follows: 'high' bloom (>10⁷ cells ml⁻¹), 'medium' bloom (10⁶-
366 10⁷ cells ml⁻¹), 'low' bloom (0.45x10⁶-10⁶ cells ml⁻¹), and non-bloom (<0.45x10⁶ cells l⁻¹).

367

368 ***3.5 Match-up comparisons***

369 The following criteria were used to find co-located and near-concurrent in situ Chl-a and
370 MODIS R_{rc}(λ) data for algorithm development and validation. Both measurements had to be
371 collected on the same day. Reducing the temporal window to the standard +/- 3 hours was
372 considered unnecessary given the long residence times for this system and would have reduced
373 the number of match-up pairs, compromising the robustness of the dataset. Center pixels were
374 extracted only instead of a 3x3 or 5x5 means about the center because of close proximity to land
375 and mud banks for many of the stations. Pixels flagged for land, clouds, and high radiance were
376 discarded. A cloud mask was applied following the approach of Hu (2011) by using thresholds of
377 several MODIS bands.

378 For algorithm development using the 2002-2012 field data, valid MODIS $R_{rc}(\lambda)$ were
 379 found for ~20% (n=682) of available in situ Chl-a. Match-up success was greatest in N-GFB, S-
 380 GFB, WFB, and SFB (25-29%) compared to NCFB (17%) and NEFB and BMB (6-7%). High
 381 radiances and proximity to land rendered much of the latter satellite data invalid. A higher
 382 percentage of match-up pairs was also found in fall and winter (26-28%) compared to spring and
 383 summer (15-16%).

384 Out of the 682 valid match-up pairs, a total of 41 bloom (Chl-a > 5 mg m⁻³) and 641 non-
 385 bloom (Chl-a < 5 mg m⁻³) match-up pairs were found. These blooms spanned across seven
 386 different years (2002, 2003, 2005-2008, and 2010) and sixteen individual MODIS scenes. The
 387 majority of blooms were dominated by cyanobacteria (Chl-a > 5 mg m⁻³, PC > 0 mg m⁻³; n=32)
 388 and mainly occurred in NCFB and SFB. Match-up pairs for nine ‘other’ blooms (Chl-a > 5 mg
 389 m⁻³, PC = 0 mg m⁻³) were also identified with stations located in N-GFB and northern WFB.
 390 Although these latter blooms were likely dominated by diatoms based on previous studies
 391 (Jurado et al. 2007; Philips and Badylak 1996; Philips et al. 1999; Richardson 2009), taxonomic
 392 measurements to support this hypothesis were unavailable.

393

394 **3.6 Spectral shape algorithm**

395 Spectral shapes were determined by

$$396 \quad SS(\lambda) = \left[R(\lambda) - R(\lambda^-) - \{R(\lambda^+) - R(\lambda^-)\} \times \frac{(\lambda - \lambda^-)}{(\lambda^+ - \lambda^-)} \right] \quad (1)$$

397 where R was either in situ $R_{rs}(\lambda)$ (sr⁻¹) or MODIS $R_{rc}(\lambda)$ (dimensionless). In situ $R_{rs}(\lambda)$ were
 398 convolved to MODIS spectral bandwidths using the instrument- and band-specific relative
 399 spectral response functions prior to calculating spectral shapes. For CI_{MODIS} , $\lambda^- = 667\text{nm}$,
 400 $\lambda = 678\text{nm}$, and $\lambda^+ = 748\text{nm}$; and $CI_{MODIS} = -SS(678)$ (Wynne et al. 2013).

401

402 **3.7 Accuracy assessment**

403 Algorithm accuracy was assessed using a confusion matrix (Kohavi and Provost 1998),
404 whereby in situ reference data identified as either a cyanobacteria bloom (cb) or non-
405 cyanobacteria bloom (ncb) (including ‘other’ blooms and non-blooms) was classified as a
406 cyanobacteria bloom (CB) or non-cyanobacteria bloom (NCB). Based on this system, each
407 match-up pair was identified as either a (A) true positive (cb-CB), (B) false negative (cb-NCB),
408 (C) false positive (ncb-CB), or (D) true negative (ncb-NCB).

409 Precision was determined as the percentage of true blooms among the area identified as
410 blooms ($A/(A+C)$). Sensitivity was the percentage of true blooms that were correctly identified
411 ($A/(A+B)$). Overall algorithm performance was determined based on the F-measure coefficient
412 (FM), which is essentially the harmonic mean between precision and sensitivity, and is
413 calculated as follows:

$$414 \quad FM = \frac{(\beta^2 + 1) \times \text{precision} \times \text{sensitivity}}{\beta^2 \times \text{precision} + \text{sensitivity}} \quad (2)$$

415 For $\beta=1$, equal weight is given to precision and sensitivity. In this study, β was set to 0.5 to give
416 precision more weight than sensitivity.

417

418 **4. Results**

419 **4.1 Bio-optical properties of Florida Bay**

420 In situ Chl-a and spectral absorption properties were highly variable in Florida Bay
421 between 1997 and 1998, with Chl-a ranging from 0.3 to 17 mg m⁻³, $a_{ph}(443)$ ranging from 0.02-
422 0.88 m⁻¹, $a_d(443)$ ranging from 0.01-0.62 m⁻¹, and $a_{CDOM}(443)$ ranging from 0.14-0.75 m⁻¹ (Table
423 2, Fig. 2). Mean Chl-a was higher in N-GFB, WFB, and NCFB (>4 mg m⁻³) compared to S-GFB

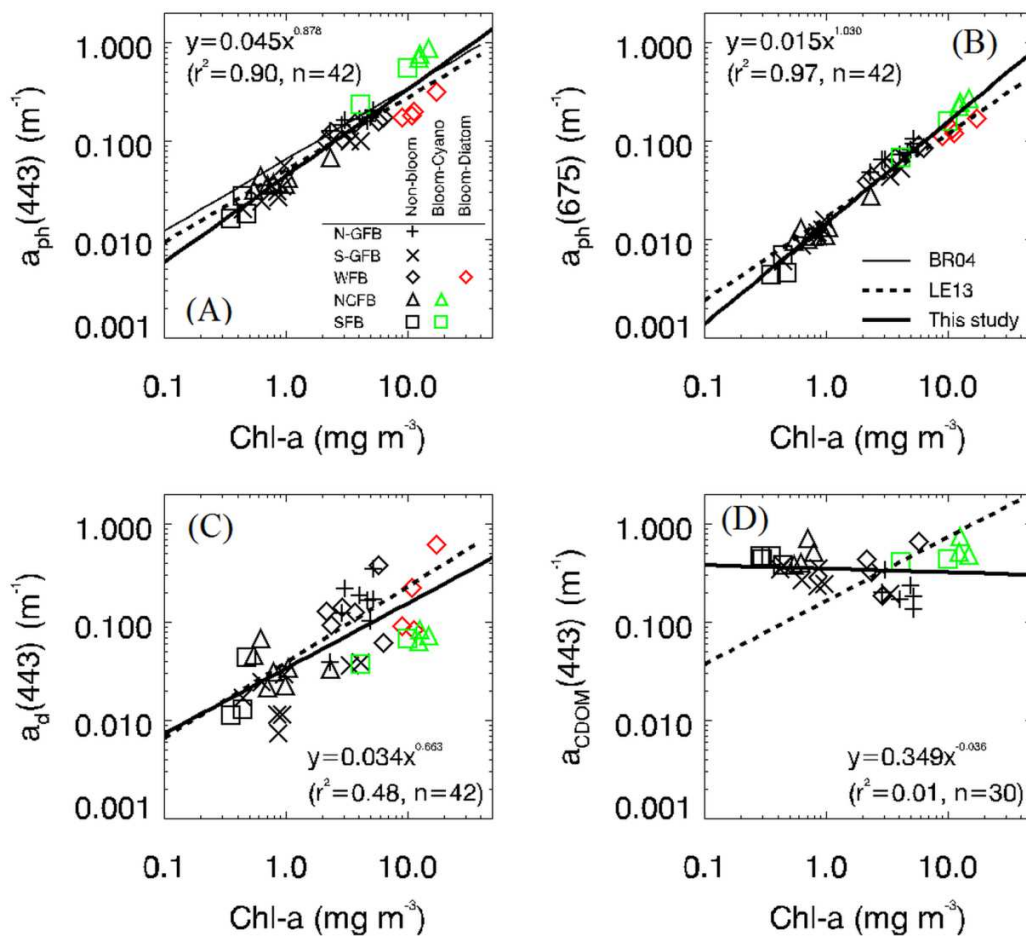
424 and SFB (<4 mg m⁻³) consistent with previous reports (Boyer et al. 1997; Boyer et al. 2009;
 425 McPherson et al. 2011; Nelson et al. 2017; Philips et al. 1995). Weak to moderate phytoplankton
 426 blooms with Chl-a ranging from ~5-17 mg m⁻³ were observed in WFB in January 1997 (n=4) and
 427 NCFB and SFB in October 1997 (n=5). Because phytoplankton taxonomy was not determined
 428 during these field surveys, microscopy results obtained near-concurrently as part of an
 429 independent field study were used to identify the nature of these bloom populations as being
 430 dominated by diatoms and cyanobacteria (*Synechococcus*), respectively (Philips et al. 1999).
 431 Each of these blooms persisted for several months, providing confidence regarding the identities
 432 of these blooms.

433 Table 2. Statistical summary (range, mean, and standard deviation) of in situ Chl-a and
 434 absorption properties for Florida Bay (1997-1998).

	Region					
	All data (n=30-48)	N-GFB (n=6-8)	S-GFB (n=7-10)	WFB (n=4-11)	NCFB (n=7-11)	SFB (n=5-8)
Chl-a (mg m ⁻³)	0.287-17.2, 3.87 ± 4.30	2.33-5.30, 4.08 ± 1.18	0.298-4.14, 1.29 ± 1.33	2.15-17.2, 6.86 ± 4.75	0.540-14.9, 4.38 ± 5.82	0.287-10.1, 2.10 ± 3.47
a _{ph} (443) (m ⁻¹)	0.017-0.881, 0.169 ± 0.199	0.130-0.205, 0.169 ± 0.025	0.020-0.101, 0.050 ± 0.033	0.102-0.312, 0.174 ± 0.061	0.032-0.881, 0.251 ± 0.347	0.017-0.559, 0.173 ± 0.236
a _{ph} (675) (m ⁻¹)	0.004-0.269, 0.068 ± 0.067	0.048-0.105, 0.076 ± 0.018	0.006-0.053, 0.020 ± 0.018	0.039-0.170, 0.096 ± 0.044	0.009-0.269, 0.077 ± 0.109	0.004-0.159, 0.049 ± 0.068
a _d (443) (m ⁻¹)	0.007-0.617, 0.111 ± 0.131	0.038-0.348, 0.171 ± 0.092	0.007-0.039, 0.022 ± 0.012	0.061-0.617, 0.222 ± 0.187	0.022-0.181, 0.060 ± 0.046	0.011-0.068, 0.035 ± 0.024
a _{CDOM} (443) (m ⁻¹)	0.136-0.745, 0.374 ± 0.158	0.136-0.337, 0.210 ± 0.070	0.194-0.381, 0.290 ± 0.071	0.186-0.658, 0.400 ± 0.200	0.386-0.745, 0.536 ± 0.142	0.384-0.472, 0.432 ± 0.034
a _{tot} (443) (m ⁻¹)	0.284-1.61, 0.642 ± 0.342	0.473-0.733, 0.587 ± 0.115	0.284-0.425, 0.357 ± 0.048	0.441-1.20, 0.716 ± 0.338	0.470-1.611, 0.957 ± 0.480	0.431-1.066, 0.613 ± 0.239
a _{ph} [*] (443) (m ² mg ⁻¹)	0.017-0.097, 0.044 ± 0.016	0.034-0.056, 0.043 ± 0.009	0.024-0.059, 0.038 ± 0.011	0.0017-0.052, 0.030 ± 0.013	0.030-0.097, 0.055 ± 0.018	0.039-0.062, 0.053 ± 0.009
a _{ph} [*] (675) (m ² mg ⁻¹)	0.010-0.022, 0.015 ± 0.003	0.016-0.022, 0.019 ± 0.002	0.012-0.016, 0.014 ± 0.001	0.010-0.019, 0.015 ± 0.003	0.011-0.020, 0.015 ± 0.003	0.010-0.016, 0.014 ± 0.003

435
 436 Strong correlations were observed between Chl-a and phytoplankton absorption at 443nm
 437 and 675nm (r²=0.90 and 0.97, respectively; n=42), and a moderate correlation was observed

438 between Chl-a and $a_d(443)$ ($r^2=0.48$, $n=42$) similar to relationships developed previously for
 439 global oceanic and other estuarine systems (Fig. 2 a-c) (Bricaud et al. 2004; Le et al. 2013). For
 440 bloom populations, the cyanobacteria bloom exhibited relatively high $a_{ph}(443)$ and low $a_d(443)$
 441 compared to the diatom bloom. No correlation was found between Chl-a and $a_{CDOM}(443)$
 442 ($r^2=0.01$, $n=30$) in contrast to a nearby river-dominated system (Bricaud et al. 2004; Le et al.
 443 2013) (Fig. 2d).

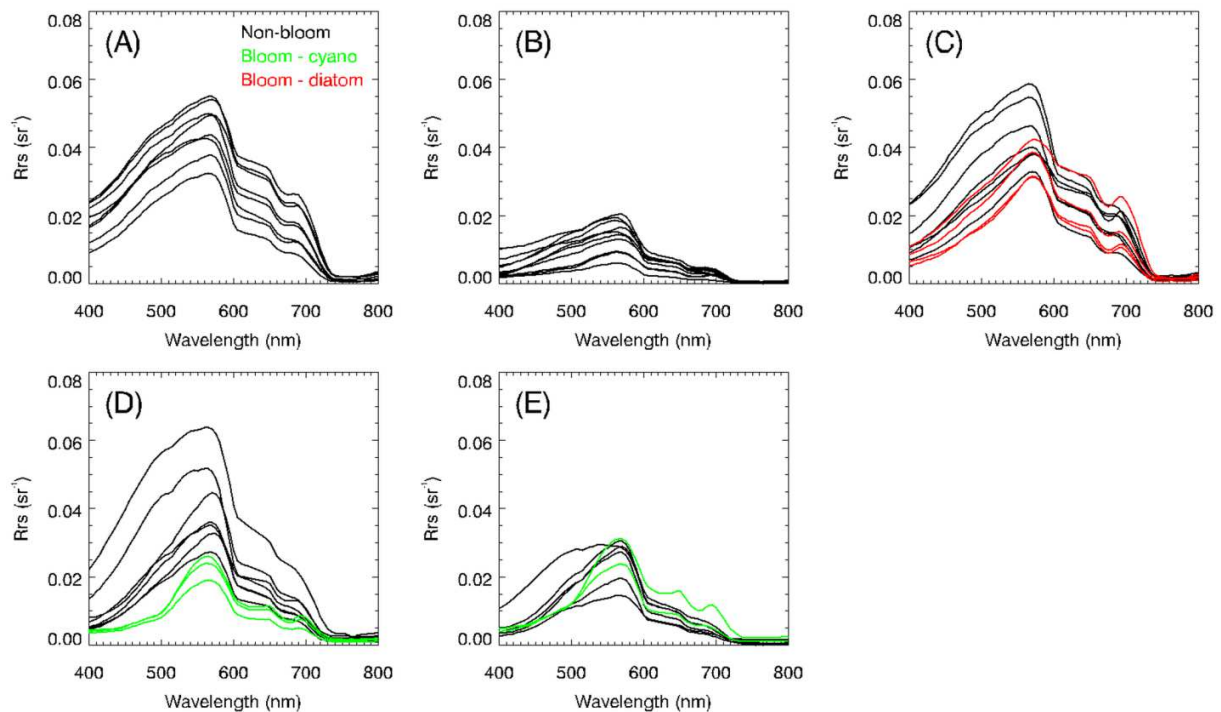


444

445 Figure 2. Relationships between in situ Chl-a and (A) $a_{ph}(443)$, (B) $a_{ph}(675)$, (C) $a_d(443)$, and (D)
 446 $a_{CDOM}(443)$ for Florida Bay and adjacent water bodies in 1997-1998. Symbols represent different
 447 regions: + = N-GFB, x = S-GFB, ◇ = WFB, △ = NCFB, and □ = SFB. Colors represent non-
 448 blooms (black) and blooms dominated by cyanobacteria (green) and diatoms (red). Thick solid
 449 lines represent best-fit power functions. Relationships developed previously for global oceanic
 450 (Bricaud et al., 2004) (BR04; thin solid line) and estuarine (Le et al., 2013) (LE13; dashed line)
 451 systems are shown for comparison.

452

453 In situ $R_{rs}(\lambda)$ showed large variability associated with changes in water column
 454 absorption and scattering properties and bottom reflectance characteristics (Fig. 3). Peak $R_{rs}(\lambda)$
 455 occurred at green wavelengths (555-570nm) with magnitudes ranging widely from 0.006-0.064
 456 sr^{-1} . Minor reflectance peaks at red wavelengths (670-680nm) caused by solar-stimulated
 457 chlorophyll-a fluorescence were highly pronounced mainly in diatom bloom populations (McKee
 458 et al. 2007; Morel and Prieur 1977). Relatively high $R_{rs}(555)$ ($>0.025 sr^{-1}$) was consistently
 459 observed in N-GFB and WFB where mean $a_d(443)$ was high ($> 0.17 m^{-1}$) and previous
 460 observations of increased scattering associated with high total suspended material (TSM) > 10
 461 $mg L^{-1}$ were reported (McPherson et al. 2011). Conversely, relatively low $R_{rs}(555)$ ($<0.025 sr^{-1}$)
 462 was found in seagrass-rich S-GFB where mean $a_d(443)$ was low ($<0.03 m^{-1}$) and previous
 463 observations of reduced scattering associated with low TSM ($<5 mg L^{-1}$) and increased bottom
 464 reflectance contributions were reported (Gao et al. 2007; McPherson et al. 2011).



465
 466 Figure 3. In situ remote sensing reflectance spectra (sr^{-1}) for Florida Bay and adjacent water
 467 bodies in 1997-1998 sorted by region: (A) N-GFB (B) S-GFB, (C) WFB, (D) NCFB, and (E)

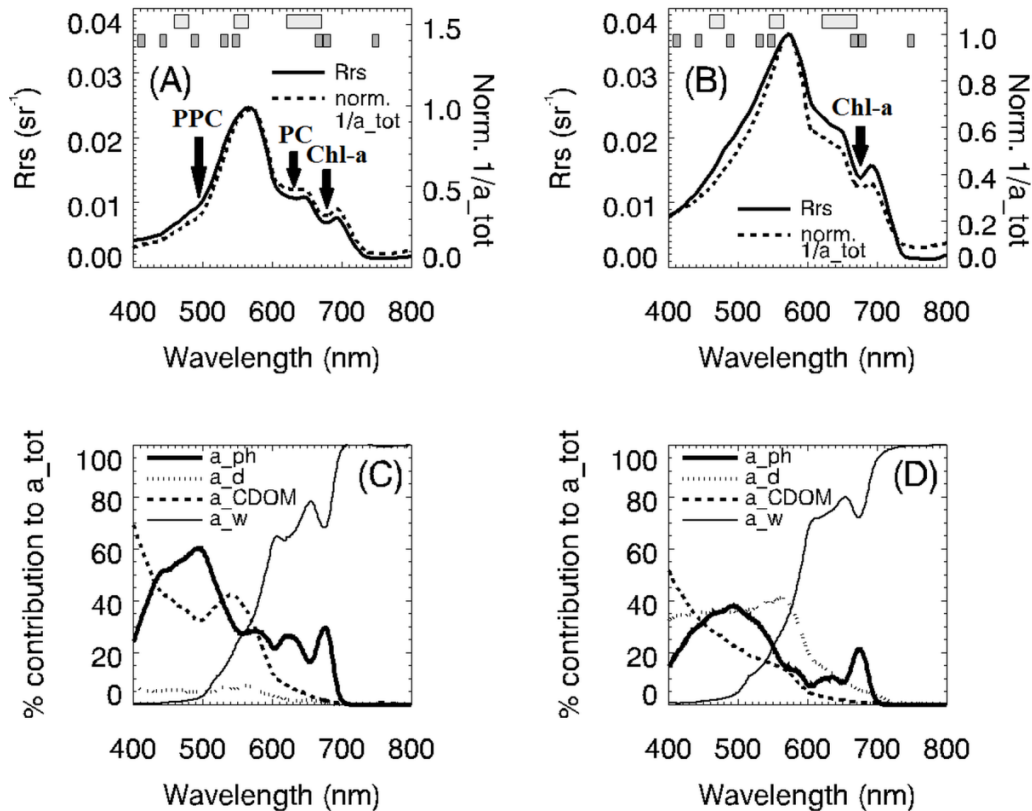
468 SFB. Colors represent non-blooms (black) and blooms dominated by cyanobacteria (green) and
469 diatoms (red).
470

471 $R_{rs}(\lambda)$ variability increased for NCFB and SFB, reflecting a wider range in absorption and
472 scattering properties and bottom reflectance contributions in these regions. The cyanobacteria
473 bloom exhibited moderate $R_{rs}(555)$ (~ 0.015 - 0.03 sr^{-1}) that were typically higher than seagrass-
474 rich, non-bloom waters in S-GFB and lower than diatom bloom and turbid, non-bloom waters in
475 N-GFB and WFB.

476

477 ***4.2 Spectral characteristics of *Synechococcus* blooms***

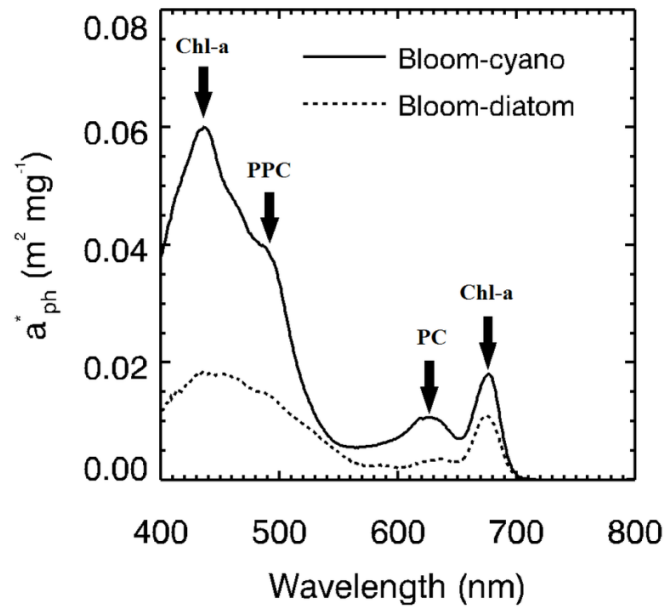
478 Strong spectral agreement between mean $R_{rs}(\lambda)$ and 555nm-normalized $1/a_{tot}(\lambda)$ was
479 observed for both the cyanobacteria (*Synechococcus*) and diatom bloom (Fig. 4a,b). This
480 indicated that the spectral variability of $R_{rs}(\lambda)$ was largely driven by changes in absorption with
481 the dominant absorbing constituent (phytoplankton, detritus, CDOM, or water) varying widely
482 by wavelength and bloom type (Fig. 4c,d). Cyanobacteria bloom mean $R_{rs}(\lambda)$ exhibited unique



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Figure 4. Mean in situ $R_{rs}(\lambda)$ (solid lines) and $1/a_{tot}(\lambda)$ normalized at 555nm (dashed lines) for the 1997 (A) cyanobacteria and (B) diatom blooms. Mean relative percent contribution (%) of absorbing constituents [phytoplankton (solid thick lines), detritus (dotted lines), CDOM (dashed lines), and water (solid thin lines)] to $a_{tot}(\lambda)$ for the 1997 (C) cyanobacteria and (D) diatom blooms. Shaded regions in (A,B) show the location of MODIS ocean (dark gray) and land (light gray) bands.

491 spectral features at around 490nm, 625nm, and 680nm (Fig. 4a) similar to freshwater *Microcystis*
492 blooms (Moore et al. 2017). These features occurred in spectral regions where enhanced $a_{ph}^*(\lambda)$
493 (Fig. 5) and low $a_d(\lambda)$ contributions (<5%) led to phytoplankton contributing strongly to $a_{tot}(\lambda)$
494 (~25-60%) (Fig. 4c).



495

496 Figure 5. Mean in situ chlorophyll-specific phytoplankton absorption spectra, $a_{ph}^*(\lambda)$, for the
 497 1997 cyanobacteria (solid line) and diatom (dashed line) blooms.
 498

499 The sharp negative inflection in reflectance at 490nm was caused by strong carotenoid
 500 absorption and weak pigment packaging based on the relatively high $a_{ph}^*(490)$ ($\sim 0.04 \text{ m}^2 \text{ mg}^{-1}$)
 501 (Fig. 5). Pigment packaging refers to the way pigments are “packaged” within cells and increases
 502 with increasing cell size and intracellular pigment concentration (Morel and Bricaud 1981). In
 503 contrast, diatom bloom mean $R_{rs}(\lambda)$ was nearly spectrally flat between 440-550nm (Fig. 4b)
 504 caused by higher $a_d(\lambda)$ contributions to $a_{tot}(\lambda)$ ($\sim 35\text{-}40\%$) (Fig. 4d) and lower ($< 0.02 \text{ m}^2 \text{ mg}^{-1}$)
 505 and spectrally featureless $a_{ph}^*(\lambda)$ (Fig. 5). $R_{rs}(\lambda)$ spectral curvature between 440-550nm for non-
 506 bloom waters was also mainly flat or convex for a large range of absorption and scattering
 507 properties and bottom reflectance contributions (Fig. 3).

508 The reflectance trough at 625nm observed in the cyanobacteria bloom mean $R_{rs}(\lambda)$ (Fig.
 509 4a) can be attributed to PC absorption (Fig. 5). The absence of this feature in $R_{rs}(\lambda)$ for the
 510 diatom bloom and non-bloom waters (Figs. 3, 4b) suggests that PC detection algorithms utilizing
 511 the MERIS and OLCI 620nm ocean bands (Qi et al. 2014; Schalles and Yacobi 2000; Simis et al.

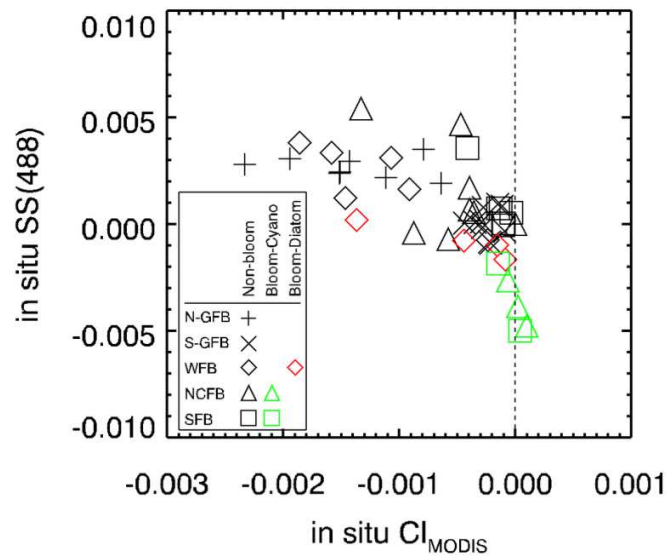
512 2005) may perform well in this region. Unfortunately, however, the performance of such
513 algorithms could not be tested here because PC concentrations were not available. The location
514 of the red reflectance trough/peak for the cyanobacteria bloom (680/695nm) was also unique as it
515 was red-shifted ~5nm compared to the diatom bloom (675/690nm) (Fig. 4a,b). It is this dip in
516 reflectance at ~680nm that forms the basis for the MERIS and MODIS CI algorithms (Wynne et
517 al. 2013; Wynne et al. 2008).

518 In summary, these field-based measurements indicate that positive CI_{MODIS} and a
519 negative spectral shape around 488nm, $SS(488)$, determined with Equation (1) using MODIS
520 bands ($\lambda^- = 443\text{nm}$, $\lambda = 488\text{nm}$, and $\lambda^+ = 547\text{nm}$) may be unique to cyanobacteria blooms
521 dominated by *Synechococcus* in Florida Bay. Figure 6 shows the relationship between CI_{MODIS}
522 and $SS(488)$ derived from in situ $R_{rs}(\lambda)$. Indeed, the cyanobacteria bloom exhibited mostly
523 positive CI_{MODIS} and negative $SS(488)$, allowing for this bloom to largely be differentiated from
524 diatom bloom and non-bloom waters. Where cyanobacteria bloom CI_{MODIS} was negative, Chl-a
525 was $<10 \text{ mg m}^{-3}$, indicating a weaker bloom with mixed phytoplankton assemblages (Phlips and
526 Badylak 1996; Phlips et al. 1999).

527

528 **4.3 Algorithm development and validation**

529 Following the spectral analysis results above, the relationship between CI_{MODIS} and
530 $SS(488)$ derived from MODIS $R_{rc}(\lambda)$ for the 2002-2012 match-up data set showed similar
531 patterns compared to the 1997-1998 in situ data (Fig. 7a). Cyanobacteria blooms exhibited

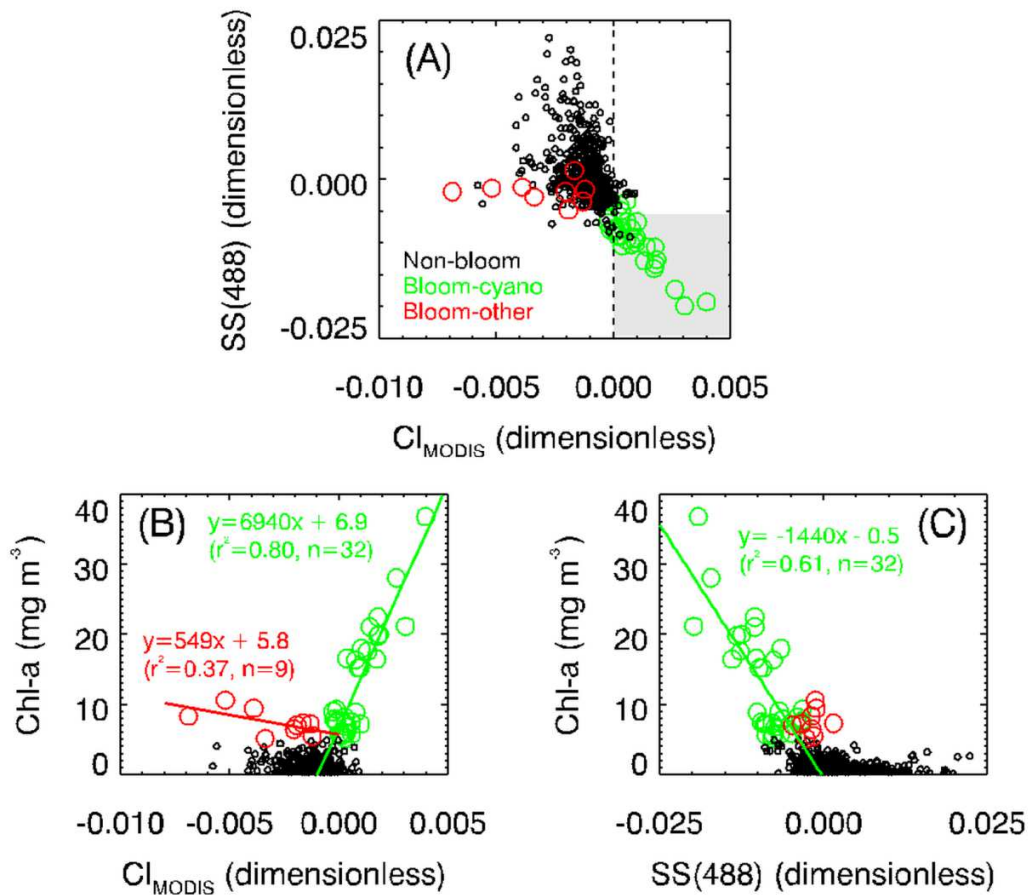


532

533 Figure 6. Relationship between CI_{MODIS} and SS(488) derived from in situ $R_{rs}(\lambda)$ for Florida Bay
 534 and adjacent water bodies in 1997-1998. Symbols represent different regions: + = N-GFB, x =
 535 S-GFB, ◇ = WFB, △ = NCFB, and □ = SFB. Colors represent non-blooms (black) and blooms
 536 dominated by cyanobacteria (green) and diatoms (red). The vertical dashed line represents where
 537 CI_{MODIS} = 0 (Wynne et al., 2013).
 538

539 mostly positive CI_{MODIS} and negative SS(488) compared to ‘other’ (non-PC containing) blooms
 540 and non-bloom waters. Using the original classification criteria developed for freshwater
 541 cyanobacteria blooms (CI_{MODIS} > 0) (or ‘original CI approach’) (Wynne et al. 2013), the FM was
 542 0.66 (Table 3). Although sensitivity was relatively high (0.84), precision was low (0.63) with
 543 2.5% false positives. Optimizing the CI_{MODIS} threshold whereby cyanobacteria blooms were
 544 positively classified when CI_{MODIS} > 0.0003 increased the FM to 0.75. Precision also increased
 545 to 0.78 and false positives decreased to 0.9%, but sensitivity decreased to 0.66 as the percentage
 546 of false negatives doubled (15.6% to 34.4%).

547 Implementing dual classification criteria whereby cyanobacteria blooms were flagged
 548 positive when CI_{MODIS} > 0 and SS(488) < -0.0055 led to maximal FM (0.88). Using this modified
 549 CI approach, 75% of cyanobacteria blooms were classified accurately and only two false positive
 550 classifications occurred for stations located at bloom edges during periods of confirmed



551

552 Figure 7. Relationships between (A) CI_{MODIS} and SS(488), (B) CI_{MODIS} and in situ Chl-a (mg m⁻³),
 553 and (C) SS(488) and in situ Chl-a (mg m⁻³). CI_{MODIS} and SS(488) were derived from
 554 MODIS/Aqua R_{rc}(λ) (dimensionless) for Florida Bay and adjacent waters (NOAA; 2002-2012).
 555 Colors represent non-blooms (black), cyanobacteria blooms (green), and ‘other’ blooms (red).
 556 The vertical dashed line in (A) represents where CI_{MODIS} = 0 (Wynne et al., 2013) and the gray
 557 shaded area represents where CI_{MODIS} > 0 and SS(488) < -0.0055.
 558

559 cyanobacteria blooms (Evans et al. 2006; Glibert et al. 2004). Also, 100% of ‘other’ blooms
 560 exhibited negative CI_{MODIS}, illustrating that this technique was capable of discriminating
 561 cyanobacteria blooms from other bloom types. For cyanobacteria blooms with Chl-a up to 37 mg
 562 m⁻³, a strong positive correlation was observed between in situ Chl-a and CI_{MODIS} (r²=0.80,
 563 n=32) compared to a slightly weaker negative correlation between in situ Chl-a and SS(488)

564 ($r^2=0.61$, $n=32$) (Fig. 7b,c). This indicated that cyanobacterial Chl-a derived from CI_{MODIS} , Chl_{CI} ,
 565 can accurately be determined for pixels positively flagged as cyanobacteria blooms.

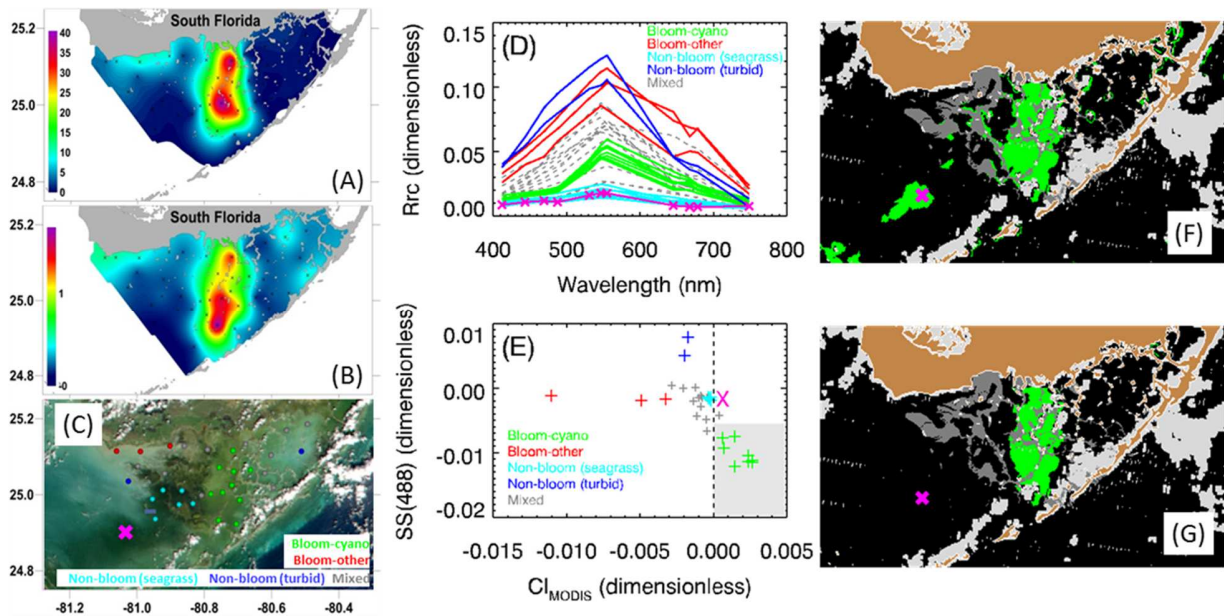
566
 567 Table 3. Pixel-based statistics determined using confusion matrixes for comparing various
 568 cyanobacteria bloom detection approaches.

Technique	Threshold(s)	A (cb- CB)	B (cb- NCB)	C (ncb- CB)	D (ncb- NCB)	FM	Sensitivity A/(A+B)	Precision A/(A+C)	False neg. % B/(A+B)	False pos. % C/(C+D)
Original CI	$CI_{MODIS} > 0$	27	5	16	634	0.66	0.84	0.63	15.6	2.5
Optimized CI	$CI_{MODIS} > 0.0003$	21	11	6	644	0.75	0.66	0.78	34.4	0.9
Modified CI	$CI_{MODIS} > 0$, $SS(488) < -0.0055$	24	8	2	648	0.88	0.75	0.92	25.0	0.3

569
 570 Performance of the original CI approach and new modified CI approach was evaluated
 571 next using imaged-based analysis to assess how these methods work in areas where in situ data
 572 for algorithm development was not available. Based on shipboard Chl-a and PC fluorescence
 573 collected on 28-29 September 2016 (Fig. 8a,b) and visual interpretation of a MODIS/Terra ‘true-
 574 color’ RGB-composite image from 28 September 2016 (Fig. 8c), four distinct optical water types
 575 were identified:

- 576 (1) A cyanobacteria bloom, located in NCFB and SFB, exhibited high Chl-a ($7-42 \text{ mg m}^{-3}$) and
 577 high PC fluorescence ($>0.5 \text{ RFU}$), appearing olive-green in the MODIS RGB image.
- 578 (2) A spatially separate ‘other’ bloom with moderately high Chl-a ($7-11 \text{ mg m}^{-3}$) and low PC
 579 fluorescence ($<0.5 \text{ RFU}$) occurred in northern WFB, appearing chalky tan in the MODIS
 580 RGB image.
- 581 (3) Seagrass-rich, non-bloom waters with low Chl-a ($<1 \text{ mg m}^{-3}$) were observed in southern
 582 WFB, appearing dark in the MODIS RGB image.

583 (4) Turbid, non-bloom waters in NEFB and northern WFB also exhibited relatively low Chl-a
 584 (0.5 and 4 mg m⁻³, respectively), but appeared chalky blue-green in the MODIS RGB image.
 585



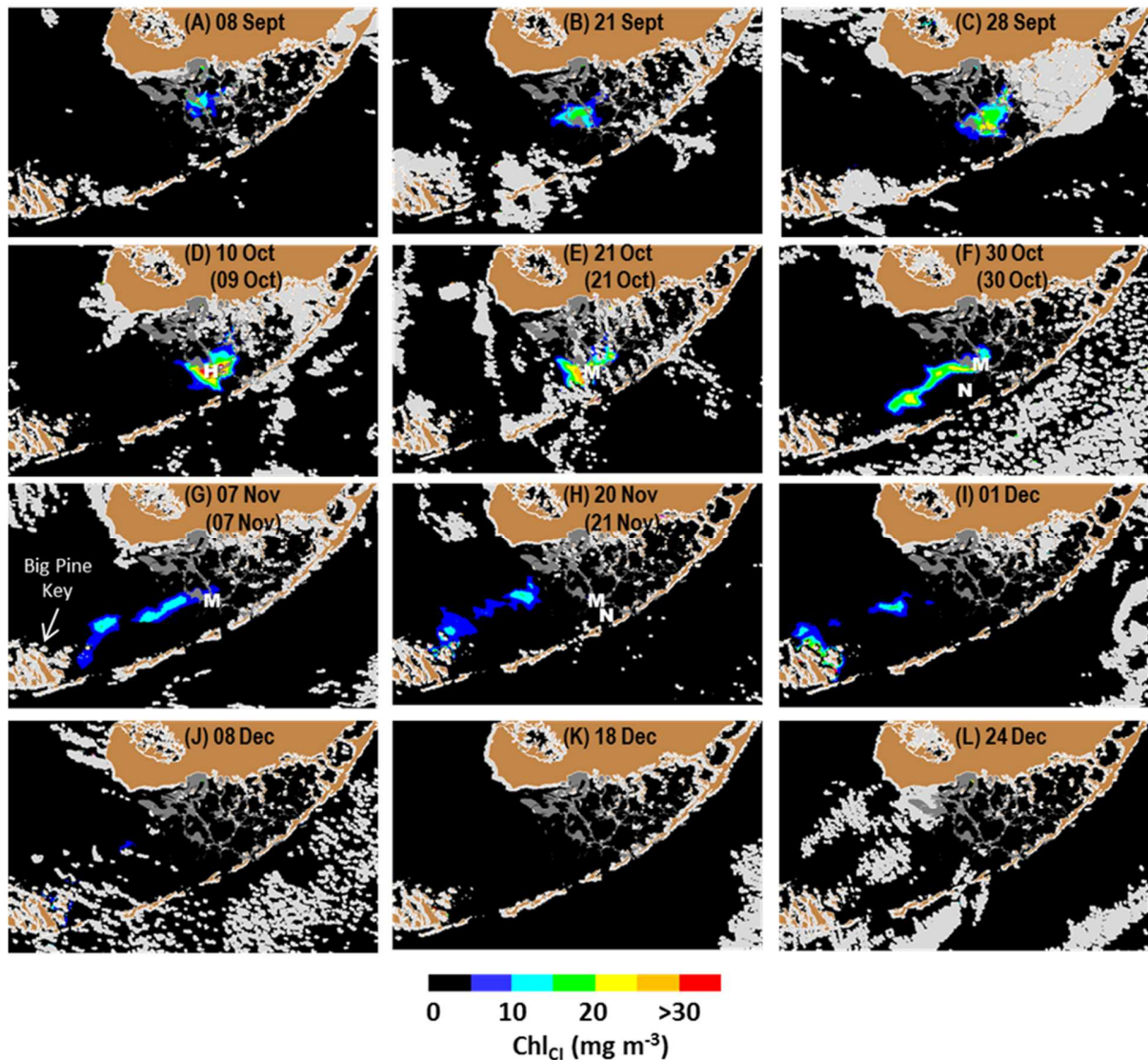
586
 587 Figure 8. In situ (A) Chl-a (mg m⁻³) and (B) phycocyanin fluorescence (relative fluorescence
 588 units) collected on 28-29 September 2016. (C) MODIS/Terra RGB-composite image (28
 589 September 2016) with station locations representing four distinct optical water types and mixed
 590 water types overlaid. The magenta 'X' marks a seagrass-rich, non-bloom location in S-GFB
 591 where false positive flagging of cyanobacteria blooms occurred when pixels were classified
 592 based on CI_{MODIS} > 0 (original CI approach; Wynne et al., 2013). (D) MODIS/Terra R_{rc}(λ)
 593 (dimensionless) and (E) relationship between CI_{MODIS} (dimensionless) and SS(488)
 594 (dimensionless) sorted by optical water type. The vertical dashed line in (E) represents where
 595 CI_{MODIS} = 0 and the gray shaded area represents where CI_{MODIS} > 0 and SS(488) < -0.0055. (F-G)
 596 Classified MODIS/Terra image (28 September 2016) showing positively flagged cyanobacteria
 597 bloom pixels (green) classified according to (F) CI_{MODIS} > 0 (original CI approach; Wynne et al.,
 598 2013) and (G) CI_{MODIS} > 0 and SS(488) < -0.0055 (modified CI approach; this study). Non-
 599 cyanobacteria bloom pixels are shaded black, clouds are light gray, mud banks are dark gray, and
 600 land is tan.
 601

602 The relative magnitudes and spectral shapes of MODIS R_{rc}(λ) for the 2016 cyanobacteria
 603 bloom (Fig. 8d) closely resembled the in situ R_{rs}(λ) for the 1997 cyanobacteria bloom (Fig. 3).
 604 MODIS R_{rc}(555) was higher compared to seagrass-rich, non-bloom waters and lower compared
 605 to 'other' bloom and turbid, non-bloom waters. A sharp negative inflection in reflectance at

606 490nm was clearly evident for the cyanobacteria bloom and absent for all other optical water
607 types. Also, red reflectance peaks attributed to solar-stimulated chlorophyll-a fluorescence were
608 highly prominent for the 'other' bloom, but largely absent for cyanobacteria bloom and non-
609 bloom waters.

610 The original CI approach only worked partially in this region. Although 100% of
611 cyanobacteria bloom stations exhibited $CI_{MODIS} > 0$ and therefore were accurately classified (Fig.
612 8e) and the areal extent of the cyanobacteria bloom was successfully captured by MODIS (Fig.
613 8f), several regions, mainly in seagrass-rich waters that appear dark in the MODIS RGB image,
614 were also positively flagged as cyanobacteria blooms. The magenta X in Figure 8c depicts a
615 shallow (<2 m) site in S-GFB overlying dense *Syringonium* beds where CI_{MODIS} was positive, yet
616 shipboard data collected a week prior confirmed the absence of any bloom (unpublished data,
617 NOAA-AOML). MODIS $R_{rc}(\lambda)$ at this site was low (< 0.02) and tailed up slightly at 748nm (Fig.
618 8d), explaining why CI_{MODIS} was positive. Application of the modified CI approach successfully
619 eliminated the false positive flags in this region and also in shallow (<1 m) seagrass-rich waters
620 adjacent to mud banks without impacting classification accuracies for the true cyanobacteria
621 bloom (Fig. 8g). Therefore, modifying the original CI approach to include SS(488) allowed
622 weaker cyanobacteria blooms with Chl-a < 10 mg m⁻³ to be differentiated from seagrass-rich,
623 non-bloom waters.

624 Algorithm performance was validated using cyanobacteria cell abundances measured
625 with flow cytometry. Figure 9 shows a series of MODIS/Aqua Chl_{CI} images for the late-2013
626 cyanobacteria bloom event. This bloom originated in NCFB in early-September and shifted
627 slowly southward and strengthened over the next month. On 10 October, Chl_{CI} peaked at 46 mg
628 m⁻³ in SFB where high total cyanobacteria abundances (~12-16 x 10⁶ cells ml⁻¹) measured a day



629
 630 Figure 9. MODIS/Aqua Chl_{C1} (mg m^{-3}) image series showing bloom transport in late-2013. Chl_{C1}
 631 was derived using the modified CI approach. The first date is when the image was collected. The
 632 second date (in parenthesis) indicates the in situ sampling date. Letters overlaid on the images
 633 are water sample analysis results from FWC-FWRI indicating different levels of total
 634 cyanobacteria cellular abundances (cells ml^{-1}): H= 'high' bloom ($>10^7$), M= 'medium' bloom
 635 (10^6 - 10^7), L= 'low' bloom (0.45×10^6 - 10^6), and N= 'non-bloom' ($<0.45 \times 10^6$). Non-
 636 cyanobacteria bloom pixels are shaded black, clouds are light gray, mud banks are dark gray, and
 637 land is tan.
 638

639 prior were observed. Following the passage of a strong cold front on October 24th, the bloom
 640 spread toward the southwest and into S-GFB. On 7 November, a weakened bloom with Chl_{C1}
 641 $<16 \text{ mg m}^{-3}$ appeared as a narrow ($\sim 4 \text{ km}$) filament extending $\sim 50 \text{ km}$ from WFB to Big Pine

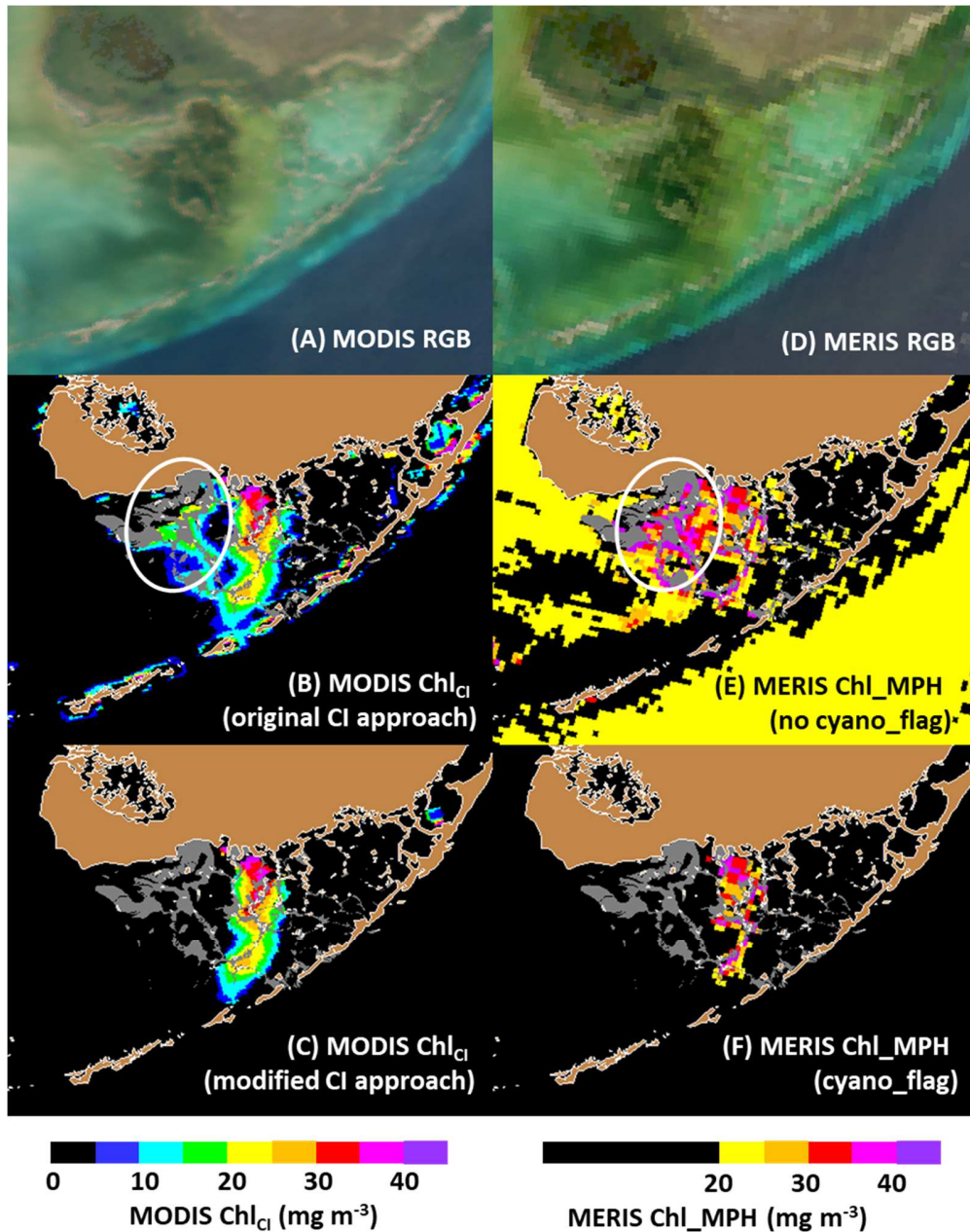
642 Key, FL. Medium cyanobacteria abundance ($\sim 5 \times 10^6$ cells ml^{-1}) measured that same day was
643 associated with this feature. This bloom persisted for approximately three months, terminating in
644 early-December.

645

646 ***4.4 MODIS and MERIS comparison***

647 A comparison of same-day MODIS and MERIS imagery collected on 23 November 2006
648 during a known *Synechococcus* bloom (Berry et al. 2015) revealed similarities in high biomass
649 ($>20 \text{ mg m}^{-3}$) bloom patterns for MODIS Chl_{CI} determined with the modified CI approach (Fig.
650 10c) and MERIS Chl_MPH for pixels flagged positive for cyanobacteria using SICF, SIPF, and
651 BAIR (Matthews et al. 2012; Matthews and Odermatt 2015) (Fig. 10f). This suggests that after
652 local tuning of the MPH algorithm parameterization to capture weaker blooms ($<20 \text{ mg m}^{-3}$),
653 MERIS (and therefore OLCI because these sensors basically have the same design) is likely to
654 yield consistent results as from MODIS.

655 This comparison also further highlights the need for inclusion of spectral shapes in
656 addition to those based on red and near-IR wavebands for classifying blooms in areas prone to
657 strong bottom reflectance contributions. False positive classifications were observed in shallow,
658 seagrass-rich, non-bloom waters west of the bloom (circled regions in Figure 10) for both
659 MODIS when using the original CI approach (Wynne et al. 2013) (Fig. 10b) and MERIS
660 Chl_MPH when the additional cyanobacteria flagging variables were not considered (Fig. 10e).
661 For MERIS Chl_MPH with no cyanobacteria flagging, false positive cyanobacteria detections
662 were also observed in traditionally low biomass oligotrophic and mesotrophic waters located
663 outside of Florida Bay. Testing for the presence of a 709nm peak position using the BAIR
664 variable effectively eliminated these false detections (Matthews and Odermatt 2015).



665
 666 Figure 10. Comparison of MODIS and MERIS cyanobacteria bloom detection techniques for
 667 imagery collected on the same day. MODIS/Aqua (A) RGB, (B) Chl_{Cl} (original CI approach),
 668 and (C) Chl_{Cl} (modified CI approach) for 23 November 2006 (18:10 UTC). MERIS (D) RGB,
 669 (E) Chl_{MPH} (no cyano_flag), and (F) Chl_{MPH} (cyano_flag) for 23 November 2006 (15:36
 670 UTC). MERIS Chl_{MPH} was determined according to Matthews et al. (2012; 2015). The
 671 cyano_flag provides a means for discriminating cyanobacteria blooms from eukaryotic-
 672 dominated blooms and employs various spectral shapes (SICF, SIPF, and BAIR). The circled
 673 areas depict seagrass-rich, non-bloom waters west of the bloom that are false positively flagged
 674 as cyanobacteria blooms for MODIS Chl_{Cl} when using the original CI approach (B) and MERIS
 675 Chl_{MPH} when the cyanobacteria flags are not applied (E).
 676

677 **4.5 Algorithm sensitivity to variable observing conditions**

678 Although it has been shown that baseline subtraction algorithms are mostly immune to
679 variable observing conditions when using $R_{rc}(\lambda)$ at red and NIR wavebands (e.g., CI_{MODIS}), the
680 influence of changing aerosols on SS(488), which involves blue-green wavebands (443nm,
681 488nm) where aerosol contributions may be more important, has yet to be determined. Hence,
682 following the approach of Hu (2009) for floating macroalgae and Qi et al. (2014) for floating
683 cyanobacteria, model simulations were conducted to evaluate whether there is a tight relationship
684 between SS(488) derived from in situ $R_{rs}(\lambda)$ and SS(488) derived from MODIS $R_{rc}(\lambda)$ for a
685 variety of conditions.

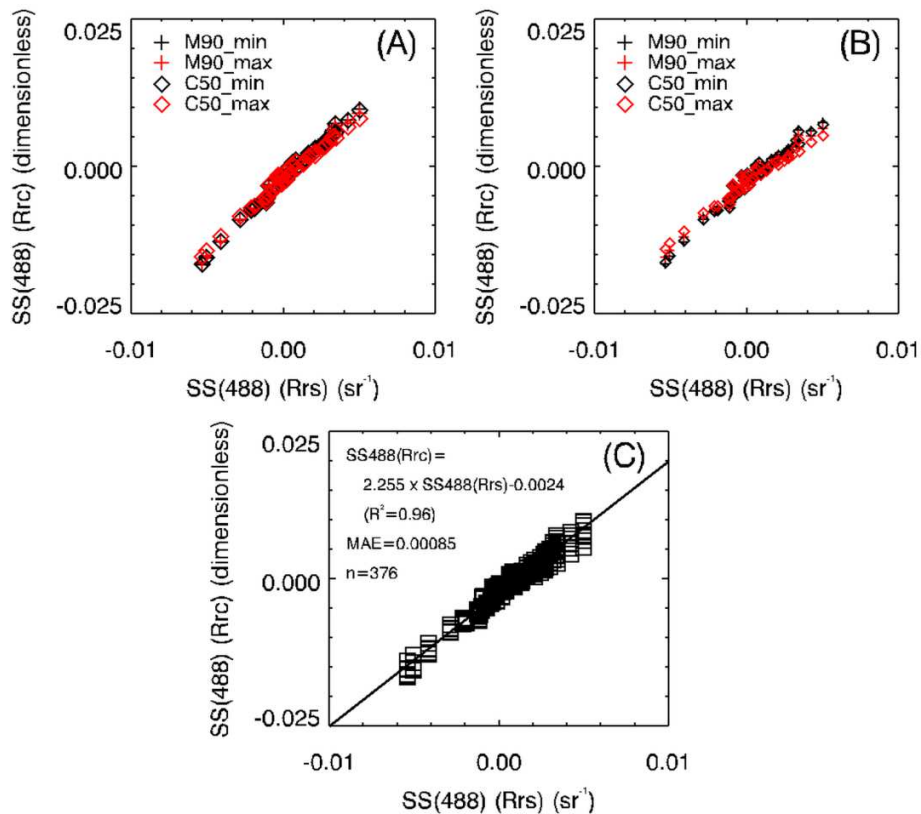
686 Based on radiative transfer theory and assuming a non-coupling ocean-atmosphere
687 system and the absence of whitecaps and sun glint, $R_{rc}(\lambda)$ can be expressed as (Hu, 2009)

$$688 \quad R_{rc}(\lambda) = \rho_t(\lambda) - \rho_r(\lambda) = \rho_a(\lambda) + \pi t(\lambda) t_0(\lambda) R_{rs}(\lambda) \quad (3)$$

689 where ρ_t is the top-of-atmosphere (TOA) reflectance, ρ_r is the reflectance due to Rayleigh
690 scattering, ρ_a is the reflectance due to aerosol scattering and aerosol-Rayleigh interactions, $t(\lambda)$ is
691 the diffuse transmittance from the ocean to the satellite, and $t_0(\lambda)$ is the diffuse transmittance
692 from the sun to the ocean. Two sensor viewing geometries were considered: “scene center” near
693 satellite nadir (satellite zenith $\theta = 4^\circ$) and “scene edge” near the satellite’s scan edge ($\theta = 57^\circ$).

694 For each viewing geometry, $\rho_a(\lambda)$ was estimated using aerosol lookup tables for MODIS
695 (available from SeaDAS data processing software) for two different aerosol types (M90 =
696 maritime aerosol with 90% relative humidity and C50 = coastal aerosol with 50% relative
697 humidity) and aerosol optical thicknesses ($\tau_{869} = 0.04$ (or “min”; representing a clear sky) and
698 0.3 (or “max”; representing a hazy atmosphere). Note that Level-1 MODIS data with $\tau_{869} > 0.3$
699 are masked with SeaDAS without being processed.

700 For the eight individual scenarios tested, relationships between SS(488) derived from in
 701 situ $R_{rs}(\lambda)$ and SS(488) derived from simulated MODIS $R_{rc}(\lambda)$ showed strong correlations
 702 ($r^2 > 0.98$, $n=47$) (Fig. 11a,b). Most importantly, the relationship between the two SS(488) (from
 703 $R_{rs}(\lambda)$ and $R_{rc}(\lambda)$, respectively) appeared to be stable. Indeed, combining all of these scenarios

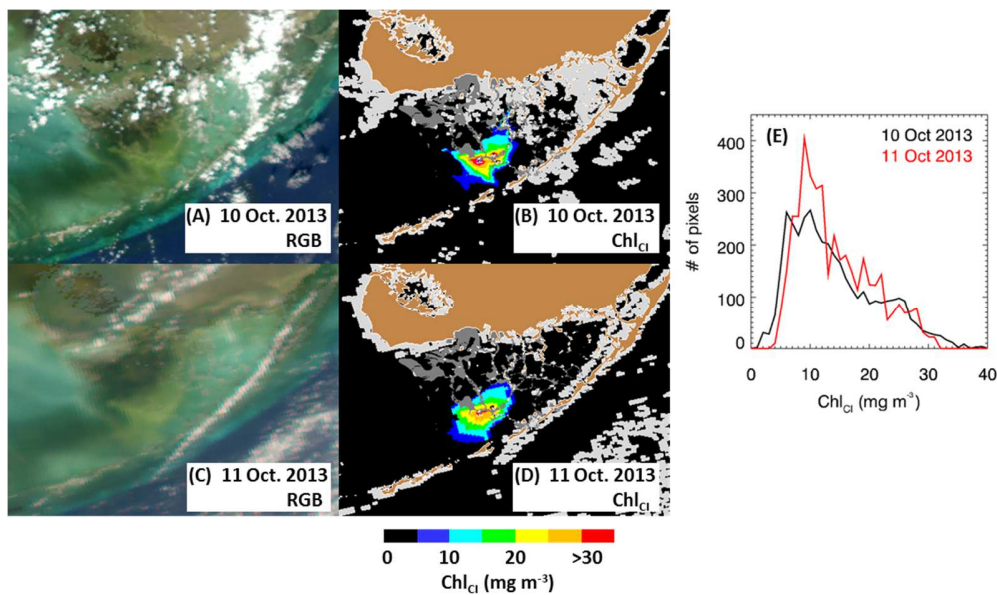


704
 705 Figure 11. Relationships between SS(488) derived from in situ $R_{rs}(\lambda)$ (sr^{-1}) and SS(488) derived
 706 from MODIS $R_{rc}(\lambda)$ (dimensionless) simulated based on radiative transfer theory (Eq. 3).
 707 Simulations were conducted for sensor viewing geometries at (A) scene center ($\theta=4^\circ$) and (B)
 708 scene edge ($\theta=57^\circ$) and for variable aerosol types (+: M90, \diamond : C50) and aerosol optical
 709 thicknesses (black='min' ($\tau_{869}=0.04$) and red='max' ($\tau_{869}=0.3$)). The results from all
 710 simulations combined are shown in (C). The solid line represents the best-fit linear function.
 711 Uncertainty based on the mean absolute error (MAE) is also provided.
 712

713 together continued to yield a strong correlation expressed as $SS(488) (R_{rc}) = 2.255 * SS(488) (R_{rs})$
 714 $- 0.0024$ ($r^2=0.96$, $n=376$) (Fig. 11c). The uncertainty in SS(488) (R_{rc}) as determined with the
 715 mean absolute error (MAE) was 8.5×10^{-4} . Given that the modified CI approach utilizes a

716 constant SS(488) threshold (-0.0055) for identifying cyanobacteria blooms (Fig. 7a) and the
717 estimated uncertainty of SS(488) (Rrc) was only 15% of this threshold, this indicated that this
718 uncertainty will minimally impact classification accuracies.

719 The tolerance of the modified CI approach to variable sensor viewing geometries was
720 further confirmed with image analysis. Figure 12 shows the MODIS/Aqua imagery collected on
721 10 October 2013 ($\theta=21.4^\circ$) and 11 October 2013 ($\theta=64.1^\circ$). Even though the viewing angle on 11
722 October exceeded the “large-angle” threshold of 60° from the SeaDAS processing (l2_flags), the
723 cyanobacterial bloom appeared to be the same on both dates in the Chl_{CI} imagery (Fig. 12b,d),
724 with their histograms showing very similar Chl_{CI} distributions (Fig. 12e).

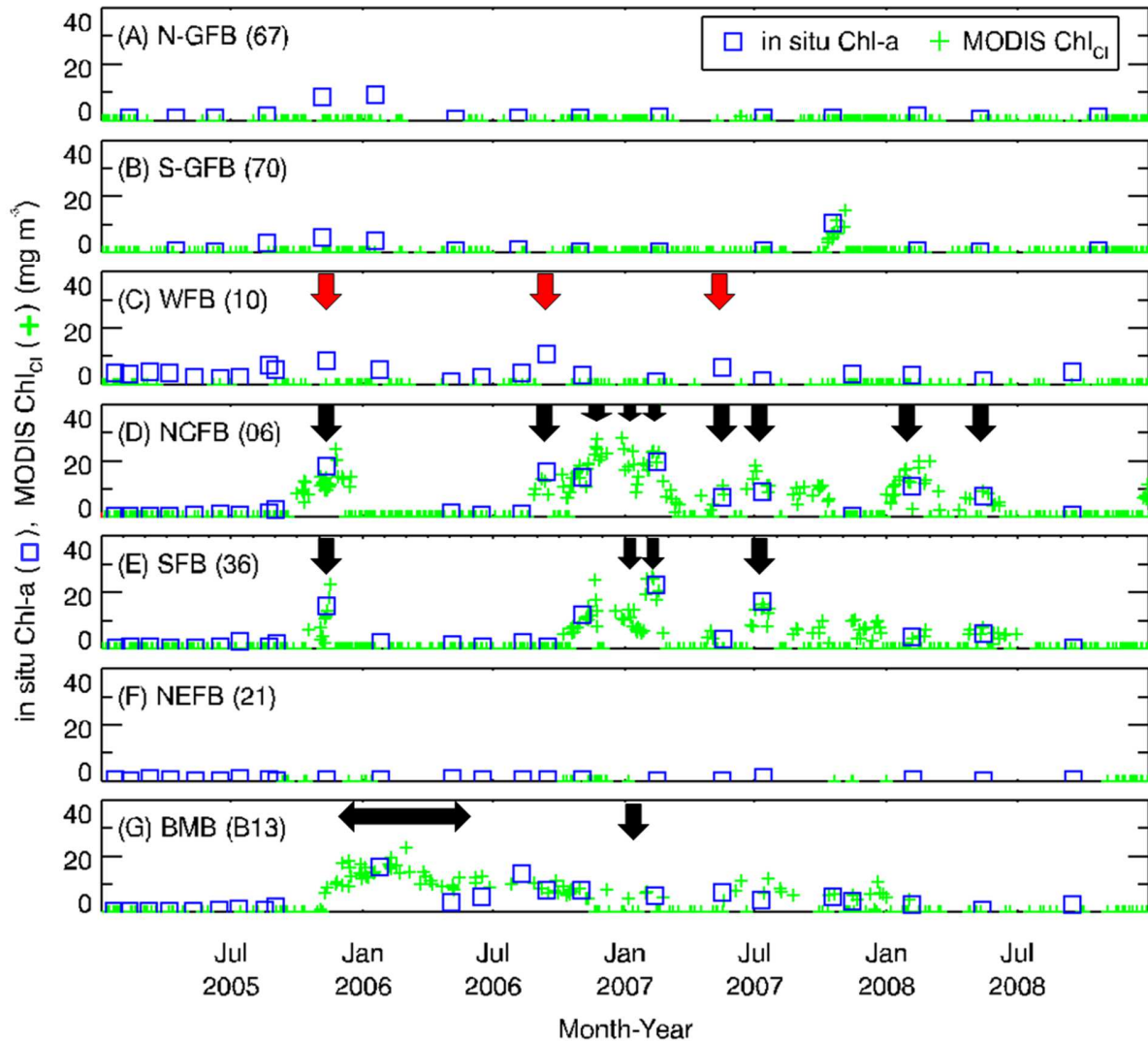


725
726 Figure 12. Example showing how the modified CI approach is insensitive to variable sensor
727 viewing geometry. (a) and (b) show MODIS/Aqua RGB-composite imagery and Chl_{CI} (mg m^{-3})
728 on 10 October 2013 ($\theta=21.4^\circ$). (c) and (d) show MODIS/Aqua RGB-composite imagery and
729 Chl_{CI} (mg m^{-3}) on 11 October 2013 ($\theta=64.1^\circ$). The histograms in (e) show Chl_{CI} distribution
730 statistics on 10 October 2013 (black) and 11 October 2013 (red) for areas positively classified as
731 cyanobacteria blooms.
732

733 **4.6 Application to the 2005-2008 bloom event**

734 Figure 13 shows a time-series of in situ Chl-a and daily MODIS/Aqua Chl_{CI} for select
 735 stations located throughout Florida Bay and adjacent water bodies during the widespread and
 736 persistent 2005-2008 cyanobacteria bloom event. Chl_{CI} was set equal to zero for pixels flagged

737



738

739 Figure 13. In situ Chl-a (mg m^{-3}) (☐) and daily MODIS/Aqua Chl_{CI} (mg m^{-3}) (+) in (A) N-GFB,
 740 (B) S-GFB, (C) WFB, (D) NCFB, (E) SFB, (F) NEFB, and (G) BMB for the 2005-2008
 741 cyanobacteria bloom event. NOAA station locations (in parenthesis) are shown in Figure 1a.
 742 Chl_{CI} was set equal to zero for non-cyanobacteria bloom pixels. Black and red arrows indicate
 743 confirmed cyanobacteria and ‘other’ blooms, respectively. Confirmation was based on field
 744 measurements presented in this study and from previous studies (Berry et al., 2015; Garner and
 745 McCarthy, 2009; Glibert et al., 2009).

746

747 as non-cyanobacteria blooms. Based on the new approach, cyanobacteria blooms were never
748 observed at the stations located in N-GFB, WFB, and NEFB and were only rarely observed in S-
749 GFB. Instead, 'other' blooms with in situ Chl-a > 5 mg m⁻³ and Chl_{CI} = 0 occurred periodically
750 in N-GFB and WFB consistent with historical patterns (Jurado et al. 2007).

751 Cyanobacteria blooms in NCFB and SFB were observed throughout much of 2005-2008
752 and exhibited maximal Chl_{CI} (41 mg m⁻³) that was nearly twice as high compared to a spatially
753 distinct bloom in BMB (23 mg m⁻³). Blooms in both regions were first observed in late-2005
754 during an active storm season when Hurricanes Katrina (August), Rita (September), and Wilma
755 (October) passed South Florida, causing nutrient concentrations to increase in South Florida
756 estuaries (Glibert et al. 2009). Additional nutrients released directly into BMB as part of a local
757 road-widening project likely contributed to the persistence of this bloom throughout much of
758 2006.

759

760 **5. Discussion**

761 ***5.1 Why the new approach works***

762 The original CI approach was developed for detecting high-biomass (Chl-a ~20-100 mg
763 m⁻³) freshwater cyanobacteria blooms that often form vast dense surface mats (Wynne et al.
764 2013; Wynne et al. 2008). Over the past decade, this approach has shown broad applicability
765 across multiple sensors (MERIS, MODIS), bloom-forming genera (*Microcystis*, *Planktothrix*,
766 *Nodularia*), and water bodies (freshwater, brackish) (Lunetta et al. 2015; Moradi 2014;
767 Tomlinson et al. 2016; Zhang et al. 2017). However, when applied to marine picocyanobacteria
768 blooms dominated by *Synechococcus* in an optically complex, lagoonal estuary prone to strong

769 bottom reflectance contributions (Florida Bay), false positive detections were commonly
770 observed in non-bloom areas overlying dense seagrass beds. Modification of this approach to
771 include SS(488) was shown to effectively remove these false positive detections, leading to
772 successful detection and quantification of blooms for $\text{Chl}_{\text{CI}} \sim 5\text{-}40 \text{ mg m}^{-3}$.

773 While CI is of empirical nature, it is based on fundamental optical properties of
774 cyanobacteria. Positive CI in cyanobacteria blooms has been attributed to strong chlorophyll-a
775 absorption at $\sim 680\text{nm}$, low chlorophyll-a fluorescence, and high scattering (Wynne et al. 2013;
776 Wynne et al. 2008). A major driving force for CI is that chlorophyll-a in cyanobacteria is mostly
777 contained within the non-fluorescing Photosystem I (Johnsen and Sakshaug 1996). This causes
778 the red absorption peak in cyanobacteria ($\sim 680\text{nm}$) to be red-shifted compared to eukaryotic
779 algae ($\sim 676\text{nm}$) (Hoepffner and Sathyendranath 1991; Wojtasiewicz and Stoń-Egiert 2016) and
780 chlorophyll fluorescence to be low (Seppälä et al. 2007). Florida Bay *Synechococcus* blooms
781 exhibited high $a_{\text{ph}}^*(675)$ ($\sim 0.018 \pm 0.001 \text{ m}^2 \text{ mg}^{-1}$) attributed to strong pigment absorption and
782 weak pigment packaging and low chlorophyll-a fluorescence based on strong spectral agreement
783 between $R_{\text{rs}}(\lambda)$ and $1/a_{\text{tot}}(\lambda)$, helping to explain why CI_{MODIS} was positive for these blooms.

784 High scattering in freshwater *Microcystis* blooms leads to increased reflectance at near-
785 IR wavelengths (Moore et al. 2017), also helping to explain why CI is positive. Less is known
786 regarding the scattering properties of natural *Synechococcus* bloom populations owing to a lack
787 of measurements. However, studies performed on cultures revealed high chlorophyll-specific
788 particulate scattering coefficients attributed to their small cell size that leads to enhanced
789 reflectance at red and near-IR wavelengths (Soja-Woźniak et al. 2017; Wojtasiewicz and Stoń-
790 Egiert 2016). Further inferences on the scattering properties of natural *Synechococcus* blooms
791 can be made based on knowledge of cellular structure and certain physiological adaptations.

792 TEM micrographs of natural *Synechococcus* bloom populations in Florida Bay revealed the
793 presence of gas vacuoles for buoyancy control (Phlips et al. 1999) that are known to strongly
794 scatter light (Ganf et al. 1989). Also, natural bloom populations of *Synechococcus* produce and
795 excrete a sticky, carbohydrate polymer (Phlips et al. 1989), which has been linked to increased
796 scattering in other phytoplankton types (Tassan 1993). This material may serve as a defence
797 mechanism against predation and protect cells from being damaged by high light (Berry et al.
798 2015).

799 Unlike most inland and coastal systems for which cyanobacteria bloom detection
800 algorithms have previously been developed, shallow coastal lagoons often contain vast areas of
801 dense seagrass or macrophyte beds with low overlying water column attenuation, resulting in
802 strong bottom reflectance contributions. In Florida Bay, these areas also often exhibit positive
803 CI_{MODIS} because the strong red-edge reflectance of submerged aquatic vegetation can propagate
804 to the surface even after water-column attenuation in waters < 1-2 m deep (Bostater et al. 2004).
805 This problem was especially prevalent on mud bank margins in WFB where seagrass beds are
806 especially dense (Prager and Halley 1999) and may be exacerbated during the dry season
807 (December - May) when sea level in Florida Bay is lower by ~30cm (Lee et al. 2016).

808 The highly negative $SS(488)$ exhibited by cyanobacteria blooms in Florida Bay was
809 shown to be unique compared to other optical water types, allowing for this feature to help
810 discriminate between weaker blooms with $Chl_{CI} < 10 \text{ mg m}^{-3}$ and shallow regions with dense
811 seagrass and high bottom reflectance contributions. Negative $SS(488)$ in cyanobacteria blooms
812 was attributed to high carotenoid absorption, low pigment packaging, and low detrital
813 absorption. Because these blooms typically occur in calm (non-turbid) shallow waters with long
814 residence times that are exposed to high photic flux, cells manufacture high concentrations of

815 photoprotective carotenoids (PPC; zeaxanthin and β -carotene) that strongly absorb light at
816 ~490nm. This helps to protect cellular machinery from the damaging effects of photo-oxidation.
817 The paucity of CDOM in this region, which acts as a natural sunscreen, may explain why
818 PPC/Chl-a was higher here than in nearby CDOM-rich, river-dominated estuaries (Louda 2007).

819 Negative SS(488) (or SS(490)) has also been observed in high-biomass red tide blooms
820 dominated by *Karenia brevis* (Tomlinson et al. 2009). These blooms are often transported
821 southward along the West Florida Shelf and into GFB during fall and winter, occasionally
822 forming so-called “black water” events (Neely et al. 2004; Zhao et al. 2013). However, because
823 red tides exhibit positive MODIS FLH (Hu et al. 2005), misclassification of red tides as
824 cyanobacteria blooms using the modified CI approach is unlikely because CI_{MODIS} would be
825 negative.

826

827 ***5.2 Strengths and weaknesses of the new approach***

828 The modified CI approach has several strengths, including its ability to discriminate
829 between different bloom types and perform accurately in optically shallow waters. Results
830 presented above from model simulations and image-based analysis indicated that this approach
831 will perform accurately for a wide range of aerosols and sensor viewing geometries.
832 Perturbations caused by CDOM and resuspended sediments are also not expected to have large
833 impacts on algorithm performance, as discussed below.

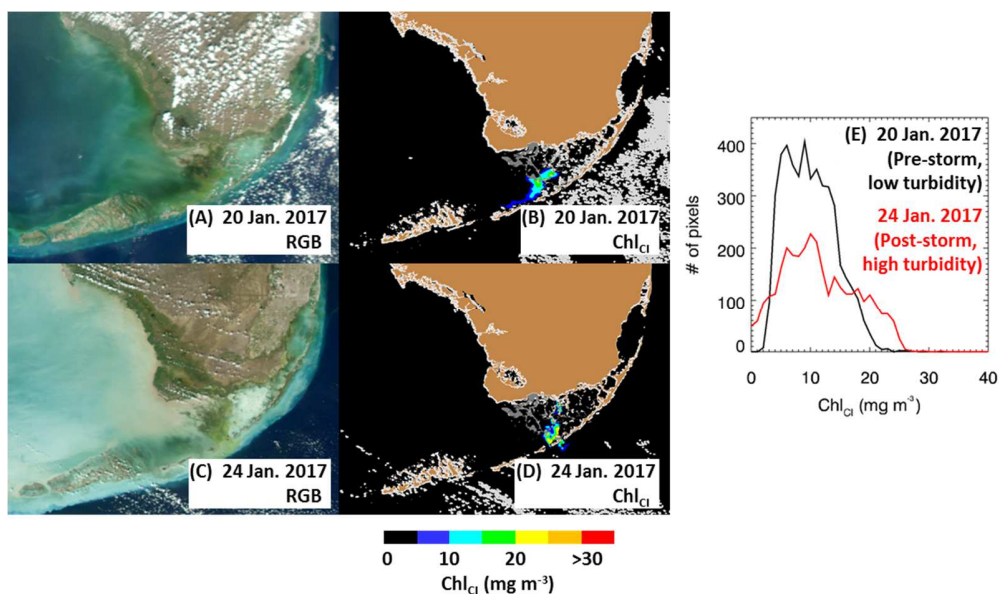
834 For perturbations by CDOM, model simulations by McKee et al. (2007) showed that a
835 20-fold increase in CDOM absorption only resulted in a 50% reduction in MODIS FLH
836 (equivalent to negative CI_{MODIS}). Although CDOM variability will likely have a greater impact
837 on SS(488) than on CI_{MODIS} , $a_{CDOM}(443)$ was relatively low ($<0.8 \text{ m}^{-1}$) and varied minimally (~5-

838 fold) in Florida Bay compared to nearby river-dominated estuaries (Cannizzaro et al. 2013a; Le
839 et al. 2013). This indicated the likelihood of minimal impacts of CDOM on algorithm
840 performance. Measurements presented here were consistent with previous reports that showed
841 relatively low CDOM absorption and fluorescence originating mainly from marine sources
842 (seagrasses and phytoplankton) that covered a small dynamic range (Maie et al. 2006;
843 McPherson et al. 2011).

844 For perturbations by resuspended sediments such as after extreme storm events (e.g.,
845 winter cold fronts and summer/fall tropical storms) (Conmy et al. 2009), false positive
846 classification of post-storm turbid waters as cyanobacteria blooms using the new approach is
847 highly unlikely because high sediment loads will cause a false “fluorescence” signal, leading to
848 positive MODIS FLH (equivalent to negative CI_{MODIS}) (Gilerson et al. 2007). Also, high
849 concentrations of resuspended sediments will not cause the concave spectral curvature in
850 reflectance between 440 and 550 nm (Fig. 3), and so both the use of CI_{MODIS} and $SS(488)$ would
851 rule out these waters as blooms.

852 The tolerance of the new approach to perturbations by post-storm sediment resuspension
853 is illustrated for south Florida coastal and estuarine waters in the example in Figure 14. In this
854 comparison between MODIS/Aqua RGB and Chl_{CI} imagery collected on 20 January 2017 (two
855 days prior to a winter storm event) and on 24 January 2017 (two days after a winter storm event),
856 significant sediment resuspension in the latter case did not significantly alter the bloom patterns
857 as shown in both the images and the histograms, although slight changes in bloom position and
858 strength might be a result of water movement both vertically and horizontally. High wind stress
859 also led to changes in the areal extent and magnitude of cyanobacteria blooms in the U.S. Great
860 Lakes caused by mixing of cells throughout the water column (Wynne et al. 2010).

861 The new approach does have several limitations, however. Perturbations in algorithm
 862 performance caused by high sun glint ($L_g > 0.005 \text{ sr}^{-1}$, threshold used by NASA to indicate “high
 863 glint” in SeaDAS processing) are expected during summer for this subtropical location. Sun glint
 864 is known to cause problems for many remote sensing algorithms because it not only leads to
 865 increased $R_{rc}(\lambda)$ but also modifies the spectral shape. However, because of the linear baseline
 866 subtraction design in both CI_{MODIS} and $SS(488)$, the approach appears to be tolerant to sun glint
 867 for $L_g < 0.005 \text{ sr}^{-1}$. This is similar to the tolerance of the 443-555-670 band difference approach
 868 used to estimate open-ocean Chl-a (Hu et al. 2012b). For $L_g > 0.01 \text{ sr}^{-1}$, the MODIS 678-nm
 869 band often saturates because of its high sensitivity and low saturation threshold (Hu et al. 2012a),
 870 leading to no retrievals.



871
 872 Figure 14. Example showing how the modified CI approach is affected by turbidity. (a) and (b)
 873 show MODIS/Aqua RGB-composite image and $Chl_{CI} \text{ (mg m}^{-3}\text{)}$ on 20 January 2017 (pre-storm
 874 with low turbidity). (c) and (d) show MODIS/Aqua RGB-composite image and $Chl_{CI} \text{ (mg m}^{-3}\text{)}$
 875 on 24 January 2017 (post-storm with high turbidity). The histograms in (e) show Chl_{CI}
 876 distribution statistics on 20 January 2017 (black) and 24 January 2017 (red) for areas positively
 877 classified as cyanobacteria blooms.
 878

879 Another limitation is that cyanobacterial blooms are known to exhibit extreme horizontal
880 patchiness (Kutser 2004). Consequently, the 1-km resolution MODIS bands may not be
881 sufficient to capture small bloom patches, making it difficult to detect bloom initiation. The 1-km
882 resolution of MODIS also makes it difficult to assess the spatial and temporal distributions of
883 cyanobacteria blooms that occur in the small (~1-10 km²) saline lakes and embayments located
884 along the mainland shore of northern Florida Bay (Frankovich et al. 2017). These areas are of
885 special interest because they're considered to be particularly sensitive to flow increases that
886 began in 2012 as part of the C-111 Spreader Canal Western Features project (South Florida
887 Water Management District), one of the first phases of CERP (Shangguan et al. 2017).

888

889 ***5.3 Applicability to other regions***

890 Coastal lagoons rank among the most biologically productive marine systems and often
891 exhibit high ecologic, recreation, and commercial value. Picocyanobacteria are especially well-
892 adapted to changing environmental conditions found in these systems given their small size,
893 euryhaline character, buoyancy, and tolerance to high light intensity (Phlips et al. 1999). Global
894 expansion of cyanobacteria blooms has been linked to eutrophication and climate change, posing
895 major threats to ecosystems worldwide (O'Neil et al. 2012; Paerl and Huisman 2009).

896 Marine picocyanobacteria blooms dominated by *Synechococcus* have occurred in several
897 other coastal lagoons and estuaries, causing widespread disruption to ecosystems and negative
898 socioeconomic effects. These regions include the Gippsland Lakes (Australia) (Cook and
899 Holland 2012), Mar Menor (Spain) (Pérez-Ruzafa et al. 2019), Laguna Madre (U.S.A) (Buskey
900 et al. 2001), and Guantánamo Bay (Cuba) (Hall et al. 2018). Similarly, picocyanobacteria blooms
901 dominated by freshwater/brackish genera have been reported in river-dominated coastal lagoons

902 and estuaries, including Patos Lagoon (Brazil) (De Souza et al. 2018), Pensacola Bay (U.S.A),
903 Swan River Estuary (Australia) (Robson and Hamilton 2003), and Curonian Lagoon (Baltic Sea)
904 (Belykh et al. 2013). These latter blooms typically occur during the summer following the
905 demise of spring phytoplankton blooms, which are dominated by larger-sized phytoplankton
906 (i.e., $>10\ \mu\text{m}$).

907 While algorithm tuning may be required for these other systems because each region may
908 exhibit its own unique optical complexity, the general approach to combine CI_{MODIS} and $SS(488)$
909 is expected to work, especially in CDOM-poor regions exposed to high photic flux where
910 PPC/Chl-a is high, causing $SS(488)$ to be low. Otherwise, the original CI approach may be
911 sufficient for detecting blooms in highly-attenuating, CDOM-rich regions with minimal bottom
912 reflectance contributions.

913

914 ***5.4 Future work***

915 In addition to using MODIS for detecting and quantifying cyanobacteria blooms in
916 Florida Bay, it is desirable to use other sensors, especially those with higher spectral or spatial
917 resolutions, to develop band-specific or sensor-specific methods. Alternative sensors may
918 include ENVISAT MERIS, Sentinel-3 OLCI, Landsat sensors, and Sentinel-2 MSI. While
919 MERIS and OLCI are equipped with more spectral bands than MODIS and include the 620-nm
920 band that is sensitive to PC, Landsat sensors and MSI have much higher spatial resolutions (30-
921 m and 10-m, respectively) for detecting small bloom patches, but at the price of lower spectral
922 resolution and less-frequent coverage. The use of Landsat series can also track historical bloom
923 events back to the 1980's. Altogether, these various satellite sensors may provide a seamless
924 long-term data record of cyanobacterial blooms after further algorithm development (e.g., Sun et

925 al. 2015; Vincent et al. 2004) and cross-sensor calibration (e.g., Ho et al. 2017), making it
926 possible to study these blooms when they first appeared in the 1990's (Boyer et al. 1999; Phlips
927 et al. 1999).

928 Once a long-term data record is established, the hypothesis that reductions to natural
929 sheet flow from ENP into Florida Bay is the main causative factor leading up to blooms can be
930 tested with other environmental data. Similarly, monitoring blooms with improved spatial and
931 temporal coverage, as enabled by this established approach, is critical toward determining the
932 response of phytoplankton populations to increased freshwater flow as part of federal/state
933 restoration efforts (Butler and Dolan 2017; Shangguan et al. 2017). Information on the spatial
934 and temporal distribution of blooms can also be embedded into models for assessing the impacts
935 of increased flow on lobster and sponge populations (Butler and Dolan 2017). Currently, such
936 models do not include cyanobacteria blooms in GFB because of a lack of field measurements in
937 this region, yet results presented in Figures 9 and 13 indicate that cyanobacteria blooms may
938 extend westward into S-GFB in fall and winter. Local management agencies may also use this
939 information for identifying suitable restoration sites for sponge and coral nurseries where
940 historical bloom activity is minimal and for modelling the submarine light environment for
941 seagrasses community assessments. Overall, the approach developed here is expected to lead to
942 improved monitoring and management strategies, allowing management agencies to better serve
943 and protect natural resources.

944

945 **6. Conclusions**

946 Based on analyses of bio-optical properties of Florida Bay waters, reflectance spectra of
947 various optical water types, including picocyanobacteria blooms dominated by *Synechococcus*,

948 are characterized. Unique spectral shapes are found for these blooms, which lead to the
949 development of a new cyanobacteria bloom detection and quantification method through the use
950 of two spectral shape indexes, one focused on red-NIR bands (CI_{MODIS} (Wynne et al. 2013)) and
951 the other on blue-green bands ($SS(488)$). Compared to the original CI approach, the method
952 shows improved performance, with 75% of cyanobacteria blooms successfully classified for
953 Chl_{CI} ranging between ~ 5 and 40 mg m^{-3} . The success of the new modified CI approach suggests
954 that its application to the long-term MODIS time-series may lead to the establishment of a
955 unique dataset for studying the causes of historical blooms in Florida Bay and monitoring
956 potential impacts of future increased flow from upstream waters in ENP associated with the
957 current federal restoration effort. Furthermore, it may be a potentially robust method for
958 detecting picocyanobacteria blooms in other optically complex lagoons and estuaries prone to
959 high bottom reflectance contributions where these blooms are known to occur.

960

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1326

1327 **List of Figure Captions**

1328 Figure 1. (A) Landsat image of Florida Bay and adjacent water bodies collected on 30 December
1329 2016. Most regions in Florida Bay are less than 2m deep with many areas less than 1 m. Star
1330 symbols show locations of MODIS time-series stations. Abbreviations: N-GFB= Northern
1331 Greater Florida Bay; S-GFB = Southern Greater Florida Bay; WFB = West Florida Bay,
1332 NCFB=North Central Florida Bay, SFB = South Florida Bay, NEFB = Northeast Florida Bay,
1333 and BMB = Blackwater Sound, Manatee Bay, and Barnes Sound. NOAA station numbers are
1334 included in parenthesis. (B) Map of study area showing in situ station locations sorted by region
1335 for three independent field data sets: += USF (1997-1998), O= NOAA (2002-2012, 2016), and
1336 ×= FWC (late-2013). The solid black line represents the southern boundary for Everglades
1337 National Park.

1338

1339 Figure 2. Relationships between in situ Chl-a and (A) $a_{ph}(443)$, (B) $a_{ph}(675)$, (C) $a_d(443)$, and (D)
1340 $a_{CDOM}(443)$ for Florida Bay and adjacent water bodies in 1997-1998. Symbols represent different
1341 regions: + = N-GFB, × = S-GFB, ◇ = WFB, △ = NCFB, and □ = SFB. Colors represent non-
1342 blooms (black) and blooms dominated by cyanobacteria (green) and diatoms (red). Thick solid
1343 lines represent best-fit power functions. Relationships developed previously for global oceanic
1344 (Bricaud et al., 2004) (BR04; thin solid line) and estuarine (Le et al., 2013) (LE13; dashed line)
1345 systems are shown for comparison.

1346

1347 Figure 3. In situ remote sensing reflectance spectra (sr^{-1}) for Florida Bay and adjacent water
1348 bodies in 1997-1998 sorted by region: (A) N-GFB (B) S-GFB, (C) WFB, (D) NCFB, and (E)

1349 SFB. Colors represent non-blooms (black) and blooms dominated by cyanobacteria (green) and
1350 diatoms (red).

1351

1352 Figure 4. Mean in situ $R_{rs}(\lambda)$ (solid lines) and $1/a_{tot}(\lambda)$ normalized at 555nm (dashed lines) for the
1353 1997 (A) cyanobacteria and (B) diatom blooms. Mean relative percent contribution (%) of
1354 absorbing constituents [phytoplankton (solid thick lines), detritus (dotted lines), CDOM (dashed
1355 lines), and water (solid thin lines)] to $a_{tot}(\lambda)$ for the 1997 (C) cyanobacteria and (D) diatom
1356 blooms. Shaded regions in (A,B) show the location of MODIS ocean (dark gray) and land (light
1357 gray) bands.

1358

1359 Figure 5. Mean in situ chlorophyll-specific phytoplankton absorption spectra, $a_{ph}^*(\lambda)$, for the
1360 1997 cyanobacteria (solid line) and diatom (dashed line) blooms.

1361

1362 Figure 6. Relationship between CI_{MODIS} and $SS(488)$ derived from in situ $R_{rs}(\lambda)$ for Florida Bay
1363 and adjacent water bodies in 1997-1998. Symbols represent different regions: + = N-GFB, × =
1364 S-GFB, ◇ = WFB, △ = NCFB, and □ = SFB. Colors represent non-blooms (black) and blooms
1365 dominated by cyanobacteria (green) and diatoms (red). The vertical dashed line represents where
1366 $CI_{MODIS} = 0$ (Wynne et al., 2013).

1367

1368 Figure 7. Relationships between (A) CI_{MODIS} and $SS(488)$, (B) CI_{MODIS} and in situ Chl-a ($mg\ m^{-3}$)
1369 3), and (C) $SS(488)$ and in situ Chl-a ($mg\ m^{-3}$). CI_{MODIS} and $SS(488)$ were derived from
1370 MODIS/Aqua $R_{rc}(\lambda)$ (dimensionless) for Florida Bay and adjacent waters (NOAA; 2002-2012).
1371 Colors represent non-blooms (black), cyanobacteria blooms (green), and 'other' blooms (red).

1372 The vertical dashed line in (A) represents where $CI_{MODIS} = 0$ (Wynne et al., 2013) and the gray
1373 shaded area represents where $CI_{MODIS} > 0$ and $SS(488) < -0.0055$.

1374

1375 Figure 8. In situ (A) Chl-a ($mg\ m^{-3}$) and (B) phycocyanin fluorescence (relative fluorescence
1376 units) collected on 28-29 September 2016. (C) MODIS/Terra RGB-composite image (28
1377 September 2016) with station locations representing four distinct optical water types and mixed
1378 water types overlaid. The magenta 'X' marks a seagrass-rich, non-bloom location in S-GFB
1379 where false positive flagging of cyanobacteria blooms occurred when pixels were classified
1380 based on $CI_{MODIS} > 0$ (original CI approach; Wynne et al., 2013). (D) MODIS/Terra $R_{rc}(\lambda)$
1381 (dimensionless) and (E) relationship between CI_{MODIS} (dimensionless) and $SS(488)$
1382 (dimensionless) sorted by optical water type. The vertical dashed line in (E) represents where
1383 $CI_{MODIS} = 0$ and the gray shaded area represents where $CI_{MODIS} > 0$ and $SS(488) < -0.0055$. (F-G)
1384 Classified MODIS/Terra image (28 September 2016) showing positively flagged cyanobacteria
1385 bloom pixels (green) classified according to (F) $CI_{MODIS} > 0$ (original CI approach; Wynne et al.,
1386 2013) and (G) $CI_{MODIS} > 0$ and $SS(488) < -0.0055$ (modified CI approach; this study). Non-
1387 cyanobacteria bloom pixels are shaded black, clouds are light gray, mud banks are dark gray, and
1388 land is tan.

1389

1390 Figure 9. MODIS/Aqua Chl_{CI} ($mg\ m^{-3}$) image series showing bloom transport in late-2013. Chl_{CI}
1391 was derived using the modified CI approach. The first date is when the image was collected. The
1392 second date (in parenthesis) indicates the in situ sampling date. Letters overlaid on the images
1393 are water sample analysis results from FWC-FWRI indicating different levels of total
1394 cyanobacteria cellular abundances ($cells\ ml^{-1}$): H= 'high' bloom ($>10^7$), M= 'medium' bloom

1395 (10^6 - 10^7), L= 'low' bloom (0.45×10^6 - 10^6), and N= 'non-bloom' ($<0.45 \times 10^6$). Non-
1396 cyanobacteria bloom pixels are shaded black, clouds are light gray, mud banks are dark gray, and
1397 land is tan.

1398

1399 Figure 10. Comparison of MODIS and MERIS cyanobacteria bloom detection techniques for
1400 imagery collected on the same day. MODIS/Aqua (A) RGB, (B) Chl_{CI} (original CI approach),
1401 and (C) Chl_{CI} (modified CI approach) for 23 November 2006 (18:10 UTC). MERIS (D) RGB,
1402 (E) Chl_MPH (no cyano_flag), and (F) Chl_MPH (cyano_flag) for 23 November 2006 (15:36
1403 UTC). MERIS Chl_MPH was determined according to Matthews et al. (2012; 2015). The
1404 cyano_flag provides a means for discriminating cyanobacteria blooms from eukaryotic-
1405 dominated blooms and employs various spectral shapes (SICF, SIPF, and BAIR). The circled
1406 areas depict seagrass-rich, non-bloom waters west of the bloom that are false positively flagged
1407 as cyanobacteria blooms for MODIS Chl_{CI} when using the original CI approach (B) and MERIS
1408 Chl_MPH when the cyanobacteria flags are not applied (E).

1409

1410 Figure 11. Relationships between $\text{SS}(488)$ derived from in situ $R_{rs}(\lambda)$ (sr^{-1}) and $\text{SS}(488)$ derived
1411 from MODIS $R_{rc}(\lambda)$ (dimensionless) simulated based on radiative transfer theory (Eq. 3).

1412 Simulations were conducted for sensor viewing geometries at (A) scene center ($\theta=4^\circ$) and (B)
1413 scene edge ($\theta=57^\circ$) and for variable aerosol types (+: M90, \diamond : C50) and aerosol optical
1414 thicknesses (black='min' ($\tau_{869}=0.04$) and red='max' ($\tau_{869}=0.3$)). The results from all
1415 simulations combined are shown in (C). The solid line represents the best-fit linear function.
1416 Uncertainty based on the mean absolute error (MAE) is also provided.

1417

1418 Figure 12. Example showing how the modified CI approach is insensitive to variable sensor
1419 viewing geometry. (a) and (b) show MODIS/Aqua RGB-composite imagery and Chl_{CI} (mg m^{-3})
1420 on 10 October 2013 ($\theta=21.4^\circ$). (c) and (d) show MODIS/Aqua RGB-composite imagery and
1421 Chl_{CI} (mg m^{-3}) on 11 October 2013 ($\theta=64.1^\circ$). The histograms in (e) show Chl_{CI} distribution
1422 statistics on 10 October 2013 (black) and 11 October 2013 (red) for areas positively classified as
1423 cyanobacteria blooms.

1424

1425 Figure 13. In situ Chl-a (mg m^{-3}) (€) and daily MODIS/Aqua Chl_{CI} (mg m^{-3}) (+) in (A) N-GFB,
1426 (B) S-GFB, (C) WFB, (D) NCFB, (E) SFB, (F) NEFB, and (G) BMB for the 2005-2008
1427 cyanobacteria bloom event. NOAA station locations (in parenthesis) are shown in Figure 1a.
1428 Chl_{CI} was set equal to zero for non-cyanobacteria bloom pixels. Black and red arrows indicate
1429 confirmed cyanobacteria and 'other' blooms, respectively. Confirmation was based on field
1430 measurements presented in this study and from previous studies (Berry et al., 2015; Garner and
1431 McCarthy, 2009; Glibert et al., 2009).

1432

1433 Figure 14. Example showing how the modified CI approach is affected by turbidity. (a) and (b)
1434 show MODIS/Aqua RGB-composite image and Chl_{CI} (mg m^{-3}) on 20 January 2017 (pre-storm
1435 with low turbidity). (c) and (d) show MODIS/Aqua RGB-composite image and Chl_{CI} (mg m^{-3})
1436 on 24 January 2017 (post-storm with high turbidity). The histograms in (e) show Chl_{CI}
1437 distribution statistics on 20 January 2017 (black) and 24 January 2017 (red) for areas positively
1438 classified as cyanobacteria blooms.