1	An assessment of Landsat-8 atmospheric correction schemes and
2	remote sensing reflectance products in coral reefs and coastal turbid
3	waters
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14	Research highlights:
15	1). Landsat-8 reflectance data in shallow coral reefs and turbid waters are assessed;
16	2). Four atmospheric correction schemes are evaluated using in situ matchups;
17	3). Landsat-8 can provide high quality reflectance data in coral reefs.
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#### 20 Abstract

21 The Operational Land Imager (OLI) onboard Landsat-8 satellite can provide remote sensing 22 reflectance ( $R_{rs}$ ) of aquatic environments with high spatial resolution (30 m), allowing for 23 benthic habitat mapping and monitoring of bathymetry and water column optical properties. To 24 facilitate these applications, accurate sensor-derived  $R_{rs}$  is required. In this study, we assess 25 atmospheric correction schemes, including NASA's NIR-SWIR approach, Acolite's NIR and 26 SWIR approaches and the cloud-shadow approach. We provide the first comprehensive 27 evaluation for Landsat-8  $R_{rs}$  retrievals in optically shallow coral reefs, along with an 28 investigation of Landsat-8 R<sub>rs</sub> products in a temperate turbid embayment. The obtained Landsat-29 8  $R_{rs}$  data products are evaluated with concurrent in situ hyperspectral  $R_{rs}$  measurements. Our 30 analyses show that the NASA and the cloud-shadow approaches generated reliable  $R_{rs}$  products 31 across shallow coral reefs and optically deep waters. This evaluation suggests that high quality 32  $R_{rs}$  products are achievable from the Landsat-8 satellite in optically shallow environments, which 33 supports further application of Landsat-8 type measurements for coral reef studies.

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35 Keywords: Landsat-8; atmospheric correction; remote sensing reflectance; coral reefs; ocean
 36 color.

#### 37 **1. Introduction**

38 Aquatic biodiversity and environmental science have entered a new era with the availability 39 of advanced ocean color remote sensing imagers (Turner et al., 2015). Among many other 40 remote sensors, such as those operated by NASA, NOAA, USGS and ESA, Landsat-8 satellite is 41 the continuation mission to its predecessors with coverage of coastal ecosystems (Loveland and 42 Irons, 2016; Roy et al., 2014). The Operational Land Imager (OLI) onboard Landsat-8 can provide remote sensing reflectance  $(R_{rs}, sr^{-1})$  of aquatic environments with high spatial resolution 43 44 (30 m), allowing the monitoring of aquatic ecology and associated environmental parameters 45 (e.g., Andréfouët et al., 2001; Olmanson et al., 2008; Palandro et al., 2008). Currently, 46 quantitative evaluation of Landsat-8  $R_{rs}$  products in optically diverse aquatic environments, particularly of shallow waters including coral reefs, is rare. Non-validated Landsat-8 R<sub>rs</sub> products 47 limit their applicability and introduce unknown uncertainties in aquatic ecology and water 48 49 quality studies in coastal environments.

50 The OLI instrument is equipped with four visible bands (443, 482, 561 and 655 nm) and has improved signal-to-noise ratios (SNR) (Schott et al., 2016) and radiometric calibration 51 52 (Markham et al., 2014). Thus it has the potential to retrieve  $R_{rs}$  products with a higher quality 53 compared to its predecessors. Retrieval of  $R_{rs}$  products from ocean color satellites requires an 54 atmospheric correction (AC) algorithm (IOCCG, 2010). Existing operational AC schemes were primarily developed for clear oceanic waters (Gordon and Wang, 1994), where the assumption of 55 zero water-leaving radiance ( $L_w$ ,  $\mu$ W cm<sup>-2</sup> sr<sup>-1</sup> nm<sup>-1</sup>) at the near-infrared (NIR) bands is valid 56 57 (a.k.a. "black pixels"). For more turbid waters, a combination of NIR and shortwave-infrared (SWIR) bands are used to select the aerosol types (Wang and Shi, 2007), with any non-negligible 58

59  $L_w$  derived with an iterative approach (Bailey et al., 2010) through NASA's SeaDAS processing 60 software (Franz et al., 2015). Acolite is another radiative transfer (RT)-based AC system (Vanhellemont and Ruddick, 2014;2015). Both SeaDAS and Acolite systems can be used for 61 atmospheric correction of Landsat-8 Level-1 measurements. In addition, some ad hoc AC 62 approaches have been developed and applied that utilize radiative transfer-based codes such as 63 6S model (Giardino et al., 2014). Further, image-based models have also shown promise to aid 64 65 atmospheric correction for both optically shallow and deep environments (Amin et al., 2014; Lee 66 et al., 2007; Zhang et al., 2017). Despite the wide spectrum of available AC schemes, the performance of these algorithms in optically shallow waters is rarely evaluated. It remains 67 68 uncertain which AC scheme can deliver reliable  $R_{rs}$  products from Landsat-8 measurements in 69 various water bodies.

70 The  $R_{rs}$  products of operational ocean color satellites (e.g., MODIS Aqua and SNPP VIIRS) are usually validated through dedicated efforts with the use of radiometrically and spectrally 71 72 accurate in situ  $R_{rs}$  matchups retrieved within a short period of time from an overpass (± 3 h) 73 (Hlaing et al., 2014; Mélin et al., 2007; Zibordi et al., 2009b). However, the lack of in situ 74 matchup data hinders the validation of the Landsat-8  $R_{rs}$  products. Amongst the earlier efforts, Zheng et al. (2016) presented a dozen in situ and Landsat-8 R<sub>rs</sub> matchups in an extremely turbid 75 76 lake but with the matchup time relaxed to  $\pm 6$  hours; a large time window might contribute 77 significantly to the differences observed between field and satellite data. Pahlevan et al. (2016) provided some preliminary results of Landsat-8  $R_{rs}$  data in Boston Harbor but focused on the 78 79 Acolite scheme. With the Ocean Color Aerosol Robotic Network (AERONET-OC) (Zibordi et 80 al., 2006) data, Pahlevan et al. (2017) further evaluated the performance of the AC schemes 81 implemented in SeaDAS and reported that a combination of the 865 nm and 2201 nm bands 82 provided generally better  $R_{rs}$  products. Although the Landsat-8 products can be "cross-validated" 83 with other available ocean color satellite products (Qiu et al., 2017), the data quality of the 84 reference data used therein is often underdetermined. To date, Landsat-8  $R_{rs}$  products are rarely 85 evaluated in optically shallow environments, despite the important value of Landsat-8 imagery in shallow water remote sensing (Lymburner et al., 2016; Pacheco et al., 2015). The earlier 86 qualitative assessments of Landsat-8  $R_{rs}$  retrievals were limited by available matchup data 87 88 (Giardino et al., 2014; Yadav et al., 2017). Considering these existing issues and challenges with 89 data product validations, it is critical that the performance of Landsat-8 be thoroughly assessed 90 with accurate *in situ* matchups for a wide range of nearshore waters.

91 Our objective is to quantitatively assess the performance of existing AC schemes for 92 Landsat-8 in coral reefs and turbid water environments that include NASA's standard NIR-93 SWIR approach (Franz et al., 2015), the Acolite approach (Vanhellemont and Ruddick, 94 2014;2015), and the cloud-shadow approach (CSA) (Lee et al., 2007). To our best knowledge, 95 this is the first comprehensive evaluation of Landsat-8  $R_{rs}$  retrievals in optically shallow coral 96 reef waters. All R<sub>rs</sub> retrievals are validated with concurrent high-quality in situ measurements of 97 hyperspectral  $R_{rs}$  spectra (within ±1.5h of overpass). We demonstrate that the NASA and the 98 cloud-shadow approaches generate the most reliable  $R_{rs}$  retrievals across shallow coral reefs and 99 optically deep waters. It is confirmed that the Landsat-8 instrument can indeed provide high 100 quality  $R_{rs}$  measurements for optically shallow waters.

#### 102 **2. Data and methods**

#### 103 **2.1 Study areas**

104 The *in situ* radiometric measurements for this effort were conducted in a broad range of 105 aquatic environments. They include the optically shallow coral reef environments of La Parguera 106 Natural Reserve, Puerto Rico (Figure 1a), Maui, Hawaii (Figure 1b), and Florida Keys (Figure 107 1c). The La Parguera Natural Reserve has the most extensive coral reef ecosystem in Puerto Rico 108 as well as a coastal mangrove fringe, mangrove islands and seagrass meadows (Pittman et al., 109 2010). The patch reefs consist mostly of hard and soft corals (Figure 2a), with abundant 110 seagrasses on the shallow back-reef lagoons (Figure 2b). The water depths vary from ~1 m up to 20-30 m at the shelf edge. The chlorophyll a concentrations (CHL, mg m<sup>-3</sup>) at these sites are 111 ~0.2-0.3 mg m<sup>-3</sup> (Otero and Carbery, 2005). The southwest coasts of Maui have abundant fringe 112 113 corals with diverse species, which are under great environmental pressures (Prouty et al., 2017; 114 Rodgers et al., 2015). Our measurements in Maui were obtained from 15 sites distributed in 115 Kahekili, Launiupoko and Olowalu areas, where the natural coral formations provide a canopy of 116 hard corals (Figure 2c and Figure 2d) that are structurally complex with water depths varying 117 from ~1 m to 10 m. These Maui stations are characteristic of extremely clear waters, with CHL 118 as low as ~0.15 mg m<sup>-3</sup> (Wedding et al., 2018). Four stations were measured in the coral reefs of 119 Florida Keys with water depths ranging from 3 to 7 m, where the CHL varies around 0.3-0.6 mg m<sup>-3</sup>. 120

121 The waters of Massachusetts Bay (Figure 1d) are usually strongly stratified in summer and 122 autumn, but various factors, including tides, winds, and buoyancy gradients affect water 123 properties and their distributions. The chlorophyll *a* concentrations in these relatively turbid waters are on average ~1.5 mg m<sup>-3</sup>. Boston Harbor is a tide-dominated environment with
contributions from several major rivers that include the Charles River, Mystic River and
Neponset River. The waters have annual average concentrations of suspended particulate matter
(SPM) varying from 3 to 8 mg l<sup>-1</sup> and CHL from 2 to 5 mg m<sup>-3</sup> (Taylor, 2016).

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#### 129 **2.2.** *In situ* hyperspectral remote sensing reflectance and data reduction

130 A total of 13 field trips were conducted between July 2013 and October 2017, coinciding with 131 Landsat-8 satellite overpasses (Table 1). During each field campaign, a downward-looking 132 hyperspectral ocean color radiometer (HyperOCR, Satlantic Inc.) attached with a skylight-133 blocking apparatus (SBA) was used to directly measure the water-leaving radiance, while an 134 upward-looking hyperspectral radiometer (HyperOCR, Satlantic Inc.) was employed to measure the downwelling plane irradiance ( $E_d$ ,  $\mu$ W cm<sup>-2</sup> nm<sup>-1</sup>). The two radiometers were calibrated over 135 136 the spectral domain between ~350-800 nm, with a spectral interval of 3 nm (FWHM 10 nm) and 137 a radiometric calibration uncertainty of less than 2.5% for radiance and 1.5% for irradiance 138 (Voss et al., 2010). The SBA system measures  $L_w$  with small uncertainty (refer to Section 4.1) 139 and high accuracy by blocking the light from the sky reflected off the water surface (Lee et al., 140 2013). In addition, a depth sounder was integrated to simultaneously measure water depths. A 141 GPS sensor and an underwater high definition (HD) camera were also attached to provide 142 coordinates ( $\pm \sim 3$  m precision) and images of bottom substrates, respectively.

143 To reduce the  $R_{rs}$  measurement uncertainty, the following protocol was adopted. First, the 144 radiance and irradiance sensors were installed on two extended arms (30 cm long) so as to 145 minimize the disturbance of the buoy (Figure 2, Wei et al., 2015; 146 https://www.osapublishing.org/oe/abstract.cfm?uri=oe-23-9-11826). The instrument package 147 floated on the water's surface and simultaneously measured both  $E_d$  and  $L_w$  and depth for a 148 period of 3-5 minutes. The instrument was also kept at a distance >20 m from the small operation 149 boat to avoid boat disturbance to the measurements. The raw data were calibrated to absolute 150 radiometric units with the manufacturer's data processing software PROSOFT. The 151 hyperspectral  $E_d$  measurements were then interpolated so that both  $E_d$  and  $L_w$  have exactly the 152 same wavelengths. Both spectral  $E_d$  and  $L_w$  were further used to derive the instantaneous remote 153 sensing reflectance (Wei et al., 2015), as

154 
$$R_{rs}(\lambda,t) = \frac{L_w(\lambda,t)}{E_s(\lambda,t)}$$
(1)

155 with t for the observation time. The  $R_{rs}(\lambda,t)$  data with instrument inclination greater than 5° were filtered out. To identify and filter-out potentially contaminated data points due to the radiometric 156 157 system occasionally submerged in water or the SBA popped up in air, the following procedures 158 were further developed and employed. First, the probability density function (PDF) of the  $R_{rs}(\lambda, t)$ 159 data sequence at a red band (usually 698 nm) was calculated with the Matlab® normal kernel 160 smoothing function, ksdensity, at 100 equally spaced points that cover the range of the  $R_{rs}(698,t)$ 161 data. Then all  $R_{rs}(\lambda,t)$  spectra with  $R_{rs}(698,t)$  exceeding ±15% of its mode were removed. The 162 mean  $R_{rs}(\lambda)$  spectrum was then derived from the remaining  $R_{rs}(\lambda,t)$  spectra. For measurements 163 from Massachusetts Bay and Boston Harbor, the self-shading errors were corrected with the scheme specifically developed for the SBA system (Shang et al., 2017). No appropriate shade 164 165 correction algorithm is available for shallow water measurements; nonetheless, the self-shading 166 errors in coral reefs are small due to the strong contributions from bottom reflectance.

167 The Landsat-8 OLI imager has a wide bandpass of 15, 60, 57 and 37 nm for its four visible 168 bands, respectively. To account for the bandpass mismatch, the *in situ*  $R_{rs}$  spectra were 169 convoluted with the OLI's relative spectral response (RSR) to generate the corresponding  $R_{rs}$ 170 spectra at the four Landsat-8 bands:

171 
$$R_{sr}(\lambda_0) = \frac{\int R_{sr}(\lambda) RSR(\lambda_0) d\lambda}{\int RSR(\lambda_0) d\lambda}$$
(2)

where  $\lambda_0$  is used to represent an OLI band with a center wavelength of  $\lambda_0$ . The full spectral RSR of OLI can be accessed online.

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#### 175 **2.3 Atmospheric correction of Landsat-8 images**

The Landsat-8 Level-1 data processed by the Level-1 Product Generation System (LPGS) were downloaded from the USGS EarthExplorer gateway (http://earthexplorer.usgs.gov). A total of 7 bands at 443, 482, 561, 655, 865, 1609 and 2201 nm are included in this distribution. The OLI sensor quantizes data over a 12-bit dynamic range; the distributed products are, however, rescaled and delivered as 16-bit images (up to 55,000 gray levels). The Landsat-8 images used for this study are described in Table 1.

The total radiance at Top of Atmosphere (TOA),  $L_t$ , is calibrated on-orbit and has been relatively stable (Markham et al., 2014).  $L_t$  can be decomposed into contributions of the atmosphere, water surface reflection and  $L_w$  according to:

185 
$$L_{t}(\lambda) = L_{as}(\lambda) + T(\lambda)L_{w}(\lambda)$$
(3)

186 where  $L_{as}$  is the contribution from the atmosphere and sea surface reflectance, and T the

187 transmittance of  $L_w$  from sea surface to sensor altitude. To retrieve  $L_w$  and then  $R_{rs}$  from the 188 Level-1 products, two types of atmospheric correction schemes were adopted and assessed: 189 radiative transfer-based systems (SeaDAS and Acolite) and an image-based approach (CSA), 190 which are detailed in following texts.

191 NASA standard approach: The NASA atmospheric correction scheme was implemented by the SeaDAS data processing system (v7.4) (Franz et al., 2015). Specifically, a look-up table 192 193 (LUT) of Rayleigh reflectance is pre-computed (Ahmad et al., 2010). The contributions of 194 sunglint and whitecaps are modeled as a function of environmental conditions. Estimation of 195 aerosol radiance is based on the updated aerosol models which are further developed out of the 196 AERONET observations (Ahmad et al., 2010). To relax the limitation of the "black pixel" 197 assumption, an iterative scheme is used to estimate the aerosol radiance at the NIR and/or SWIR 198 bands (Bailey et al., 2010). For Landsat-8 image processing, the OLI bands 5 and 7 (865 and 2201 nm, respectively) were chosen in the present study. This NIR-SWIR band combination 199 200 yields the most robust  $R_{rs}$  in moderately turbid waters among all options implemented within 201 SeaDAS (Pahlevan et al., 2017). All the estimations were conducted on a per-pixel basis. The 202 residual glint correction was performed with the standard approach (Wang and Bailey, 2001). 203 The standard Level-2 quality flags including ATMFAIL (Atmospheric correction failure), 204 LAND (land pixel), CLDICE (Probable cloud or ice contamination), and HILT (very high or 205 saturated observed radiance) were masked. It is necessary to point out that because radiance is directionally dependent,  $L_w$  from Landsat-8 does not necessarily match the direction of  $L_w$ 206 207 measured *in situ*, even when measurements were made at the same time. To reduce the impact of 208 this angular mismatch in comparing the  $L_w$  (or  $R_{rs}$ ) value from a satellite sensor with that from in 209 situ measurement, it is necessary to employ a bidirectional reflectance distribution function 210 (BRDF) in order to correct for this angular effect. The BRDF scheme of Morel et al. (2002) is 211 included in SeaDAS, but is designed for oceanic Case-1 waters. In this effort, the BRDF 212 correction was turned off because of the nature of either turbid coastal waters of Massachusetts 213 Bay and Boston Harbor or the optically shallow waters of coral reefs. We acknowledge that not 214 accounting for BRDF effect may add some extra uncertainty in the validation of the AC schemes 215 considered in this study, but the impact of this factor is likely small compared to the other 216 sources in an AC scheme (refer to Section 4.1).

On-orbit vicarious calibration of satellites is an important step for accurate retrieval of remote sensing reflectance (Bailey et al., 2008; Eplee et al., 2001). There is a set of calibration gains derived for Landsat-8 based on the SeaDAS system (Franz et al., 2015). But there are no gains developed specifically for the Acolite system. As a result, no vicarious calibration gains were applied in our analysis. But the uncertainty associated with vicarious calibration will be discussed later (refer to Section 4.1).

*Acolite/NIR approach:* The Acolite module (v20160520.1) uses NIR bands for aerosol determination (Vanhellemont and Ruddick, 2014), while a LUT generated from 6SV (Vermote et al., 2006) is used for the Rayleigh correction. The aerosol reflectance ratio  $\varepsilon$  in bands 4 and 5 (655 and 865 nm) can be derived from clear-water pixels where the water reflectance is negligible and thus where only the aerosols contribute to the TOA signal. A standard  $\varepsilon = 1$  is assumed to be constant over the whole image. Another assumption for the aerosol correction is made that the ratio of marine reflectance in these two bands,  $\alpha$ , is constant (=8.7). Acolite/SWIR approach: This option (v20160520.1) uses two SWIR bands (1609 and 2201 nm) for aerosol determination (Vanhellemont and Ruddick, 2015), where the marine signals are assumed negligible. Unlike the Acolite/NIR approach, the aerosol type  $\varepsilon$  is now determined on a per-pixel basis. In addition, a moving-average filter (kernel size = 32) is included to reduce the noise. Note that the Acolite scheme has no BRDF correction option to its  $R_{rs}$  products.

*Cloud-shadow approach:* The cloud-shadow approach is an image-based atmospheric correction scheme that is appropriate for high-resolution imagery (Lee et al., 2007). It requires three radiance spectra to be determined from each image, including a bright pixel over clouds, a shadow pixel and an adjacent sunlit pixel. Specifically, we implemented this scheme with the following steps:

240 Step 1: The path radiance from the sea surface to the sensor,  $L_{as}(\lambda)$ , was estimated from a pair 241 of adjacent sunlit pixel and shadow pixel (Lee et al., 2007)

242 
$$L_{as}(\lambda) = L_t^{sun}(\lambda) - \frac{L_t^{sun}(\lambda) - L_t^{sdw}(\lambda)}{1 - E_d^{sky}(\lambda) / E_d(\lambda)}$$
(4)

where  $L_t^{sdw}$  and  $L_t^{sun}$  are the radiance from a shadow pixel and adjacent sunlit pixel, respectively. The pair is close to each other to ensure that their environmental properties are identical. In our study, they are given in the units of digital counts.  $E_d^{sky}$  is the downwelling irradiance above the water surface from the diffuse skylight. Both  $E_d$  and  $E_d^{sky}$  were estimated from the RADTRAN model (Gregg and Carder, 1990) with knowledge of the solar zenith angles at the time of the Landsat-8 overpass. Note that the impact of errors of  $E_d^{sky}/E_d$  estimation on the results of  $L_{as}$  is small (Lee et al., 2007). Step 2: The total radiance of clouds,  $L_t^{cld}(\lambda)$ , was extracted as the mean of the relatively brighter patch of clouds. It is cautioned that the cloud pixels selected should not make  $L_t^{cld}(\lambda)$ saturated.

253 Step 3: With known  $L_t^{cld}(\lambda)$  and derived  $L_{as}(\lambda)$ , the remote sensing reflectance for each pixel 254 was determined:

255 
$$R_{rs}(\lambda) = \rho \frac{L_t(\lambda) - L_{as}(\lambda)}{L_t^{cld}(\lambda) - L_{as}(\lambda)}$$
(5)

where  $L_t(\lambda)$  is the total radiance obtained from the Landsat-8 Level-1 GeoTIFF images, and  $\rho$  is the cloud reflectance (units: sr<sup>-1</sup>) corresponding to the cloud pixels selected.

258 The cloud reflectance is an image-dependent property and should be estimated independently. 259 According to Eq. (5),  $\rho$  can be determined with known  $R_{rs}$  and  $L_t$ . Here, we assumed a spectrally 260 flat cloud reflectance as in Lee et al. (2007). Then we used the following steps to determine  $\rho$ :

a) A deep-water pixel was located in a coincident SNPP VIIRS overpass using the Ocean 261 262 Color Viewer (OCView) (Mikelsons and Wang, 2018). The time difference between VIIRS and Landsat-8 overpasses was about 2 hours. The quality assurance (QA) scores (Wei et al., 2016) 263 264 were accessible from the OCView, which objectively quantify the quality of individual VIIRS  $R_{rs}$  spectra with the scores varying from 0 to 1 (0 = lowest quality, 1 = highest quality). We only 265 used  $R_{rs}$  spectra with QA scores greater than 0.8. In this study, the VIIRS remote sensing 266 267 reflectance at 551 nm,  $R_{rs}(551)$ , varies from 0.0017 to 0.0019 sr<sup>-1</sup> in the deep waters of Puerto Rico and Hawaii, and from 0.0021 to 0.0062 sr<sup>-1</sup> in Massachusetts Bay and Boston Harbor, and 268 is 0.0026 sr<sup>-1</sup> in Florida Keys (see Table 2). It is further assumed that  $R_{rs}(551)$  of VIIRS 269 270 approximates  $R_{rs}(561)$  of Landsat-8.

b) The coordinates of the VIIRS pixel in Step a) were used to identify the corresponding Landsat-8 Level-1 pixel. The total radiance  $L_t$  (561) (units: digital counts) of this Landsat-8 pixel was then extracted. Assuming negligible difference in the remote sensing reflectance between the deep-water pixels of Landsat-8 (30 m) and VIIRS (750 m), we derived  $\rho$  from a variant form of Eq. (5) with the determined  $R_{rs}$  (551) and  $L_t$  (561), as below:

276 
$$\rho = R_{rs}(551) \frac{L_t^{cld}(561) - L_{as}(561)}{L_t(561) - L_{as}(561)}$$
(6)

In this study it is found that the cloud reflectance varies between 0.032-0.187 sr<sup>-1</sup> for the various clouds selected, with a mean value of  $\sim 0.1$  sr<sup>-1</sup> (Table 2).

There was no explicit sunglint correction employed for the images; and we only observed
moderate sunglint in image LC80050482014124 from La Parguera, Puerto Rico.

281

# 282 **2.4** *In situ* and satellite matchups and metrics

283 The satellite pixels with heavy cloud contamination were identified and discarded from 284 subsequent analysis. Also, no *in situ* measurements within a short distance (<60 m) to shorelines 285 were used. A time constraint of  $\pm 1.5$  hours was followed to create *in situ* and satellite matchups. 286 It is noted that the satellite  $R_{rs}$  matchup spectra are often derived as the mean over a 3×3 pixel 287 neighborhood, where the coefficient of variation of  $R_{rs}$  measurements is small (Bailey and 288 Werdell, 2006; Hlaing et al., 2013; Jamet et al., 2011; Zibordi et al., 2009a). In this study, because our measurements were mostly from nearshore complex waters (Figure 1) where the 289 290 water depth and bottom benthic type may change drastically over a very short distance, the satellite  $R_{rs}$  from the center pixel (i.e., 1×1) of the Landsat-8 images closest to an *in situ* site was used for subsequent analysis, rather the conventional average of a 3×3 box.

Several metrics were adopted to evaluate the matchups, including the relative root-mean square deviation (rRMSD), bias, mean absolute percentage difference (MAPD) and unbiased or symmetric mean absolute percentage deviation (SMAPD), expressed as

296 
$$rRMSD = \sqrt{\frac{1}{N} \sum_{i=1}^{N} \left[\frac{S_{i,1} - S_{i,2}}{S_{i,2}}\right]^2} \times 100\%$$
(7)

297 
$$bias = \text{median}\left\{ \left( S_{i,1} - S_{i,2} \right) / S_{i,2} \times 100\% \right\}$$
(8)

298 
$$MAPD = \frac{1}{N} \sum_{i=1}^{N} \left| \left( S_{i,1} - S_{i,2} \right) / S_{i,2} \right| \times 100\%$$
(9)

299 
$$SMAPD = \frac{2}{N} \sum_{i=1}^{N} \left| \frac{S_{i,1} - S_{i,2}}{S_{i,1} + S_{i,2}} \right| \times 100\%$$
(10)

300 where  $S_{i,1}$  and  $S_{i,2}$  refer to the satellite products and *in situ* measurements under investigation, 301 respectively, and *N* the number of data pairs.

302 The cosine distance was derived to quantify the spectral similarity between satellite and *in* 303 *situ*  $R_{rs}$  spectra (e.g. Wei et al., 2016),

304 
$$\cos \alpha = \sum_{i=1}^{N} \left[ S_{i,1} \cdot S_{i,2} \right] / \sqrt{\sum_{i=1}^{N} \left[ S_{i,1} \right]^{2} \sum_{i=1}^{N} \left[ S_{i,2} \right]^{2}}$$
(11)

305 where  $\alpha$  is the angle formed between the spectra  $S_{i,1}$  and  $S_{i,2}$ .

306 In addition, the QA scores (Wei et al., 2016) were calculated to evaluate the data quality of 307 Landsat-8  $R_{rs}$  spectra. Here the original quality assurance system was adapted for the four

308 wavelengths and their wide bandpasses of the OLI instrument (accessible at 309 http://oceanoptics.umb.edu/score metric). The QA score system is designed specifically for 310 optically deep aquatic environments. Therefore, in the following analysis, it was only applied to 311 the satellite measurements in turbid waters of Massachusetts Bay and Boston Harbor. It is 312 emphasized that the QA system relies on the  $R_{rs}$  reference spectra to represent the spectral 313 similarity and the upper and lower constraining spectra to define the range of variability. There 314 are no gaps in the domain of coverage from purple-blue waters to yellow turbid waters. Some 315 exceptional cases do exist and may not be included in the current QA system, such as the waters 316 with blooms or oil slicks. However, such outliers was not observed at our study sites. Based on 317 the total number of available wavelengths with OLI instrument, five levels of quality scores (0, 318 0.25, 0.5, 0.75 and 1) were quantified and used in the analysis of  $R_{rs}$  quality.

319

# 320 **3. Results**

#### 321 **3.1** *In situ R*<sub>rs</sub> spectra in coral reefs and turbid coastal waters

322 The *in situ* hyperspectral  $R_{rs}$  spectra are plotted for these optically contrasting waters 323 separately in Figure 3. These spectra are representative of the light field with moderate solar 324 zenith angles, 25-45° and 40-60° for coral reefs and turbid waters, respectively. The coral reef waters are optically shallow, and the  $R_{rs}$  spectra are significantly impacted by bottom 325 326 contributions. As shown in Figure 3a, the  $R_{rs}$  spectra in such environments vary over a wide 327 range of magnitudes and spectral shapes. At the green bands, for instance,  $R_{rs}$  can be as high as 328 0.055 sr<sup>-1</sup> in sandy patches, while it can be as low as 0.005 sr<sup>-1</sup> over macroalgae- and/or coralsdominated substrates. The coral reef waters are generally very clear (refer to Section 2.1). 329

330 Depending on the water clarity, depth and bottom reflectance, the maxima of  $R_{rs}$  spectra vary 331 within a wide spectral domain between 475 and 575 nm. The turbid waters in Boston Harbor and 332 Massachusetts Bay are optically deep, where the contribution of bottom to  $R_{rs}$  is negligible. The 333  $R_{rs}$  spectra from these turbid waters generally peak in the green domain and also exhibit a typical 334 fluorescence peak around 685 nm (Figure 3b). Note that the magnitudes of  $R_{rs}$  spectra from the Harbor generally do not exceed 0.015 sr<sup>-1</sup>, while the  $R_{rs}$  spectra from the Bay are much lower in 335 magnitude (as low as 0.002 sr<sup>-1</sup> at 561 nm) due to elevated absorption-to-scattering ratios of 336 337 water constituents.

338

#### 339 **3.2 Landsat-8** *R<sub>rs</sub>* product quality in coral reef waters

340 As stated earlier, the satellite  $R_{rs}$  products in coral reef environments have rarely been evaluated due to the lack of appropriate *in situ* matchup data. Our extensive field measurements 341 342 allow a first comprehensive performance analysis for such shallow environments. Visual 343 observation indicates qualitative consistence between the Landsat-8  $R_{rs}$  spectra (Figure 4) and in 344 situ data (Figure 3). However, there exist a few questionable spectra, such as the negatively 345 biased spectra with Acolite/SWIR (Figure 4b) and Acolite/NIR (Figure 4c) and underestimated bright target spectra with CSA (Figure 4d). According to the  $\cos\alpha$  metric, the  $R_{rs}$  products from 346 347 NASA algorithm exhibit the highest spectral similarity (with high cosa values) to the in situ 348 matchup spectra (Table 3). It is noticeable that the NASA products have fewer available 349 matchups (N = 27) when compared to those of Acolite and CSA products (N = 34). This is 350 mostly due to the missing Thermal Infrared Sensor (TIRS) data in one Landsat-8 image 351 (LO80050482015063, in Table 1), which are required by SeaDAS (v7.4).

352 The scatter plots between *in situ* and satellite matchup  $R_{rs}$  of shallow coral reef environments are shown in Figure 5. Among the four comparisons, the NASA products exhibited the smallest 353 biases from the *in situ* data, with a linear slope close to 1:1 line and  $R^2 = 0.77$ . In contrast, the 354 355 CSA products have a much larger deviation from 1:1 with a smaller R<sup>2</sup>, partly due to the 356 significantly underestimated  $R_{rs}$  for a few brighter targets where the *in situ*  $R_{rs}$  at blue and green bands is greater than 0.02 sr<sup>-1</sup> (Figure 5d). In this regard, the Acolite products have exhibited 357 358 moderate performance (Figure 5b and Figure 5c). According to other criteria including bias, 359 MAPD and rRMSD, the best overall performance is achieved by the NASA approach, with 360 MAPD  $\approx 25\%$  and rRMSD  $\approx 33\%$  in the blue-green domain (Table 3). The Acolite/NIR and 361 CSA products have moderate performance in these  $R_{rs}$  products with MAPD of ~29% and ~33%, respectively, and rRMSD of 37% and 43%, respectively. The R<sub>rs</sub> products of Acolite/SWIR have 362 the largest deviations from in situ measurements with MAPD = 34% and rRMSD = 51%, 363 364 respectively. Also, the assessment indicates that the NIR approach is slightly advantageous over 365 the SWIR approach as implemented by Acolite, likely because of the low signal-to-noise ratios 366 at SWIR bands. Without exception, relatively larger differences are observed at the red band, mainly because the  $R_{rs}$  values at this band are usually small (with a median value 0.0013 sr<sup>-1</sup>) in 367 368 these waters (see Figure 3a).

It is noted that Acolite and NASA approaches have generated negative  $R_{rs}$  values at certain bands. The NASA negative products are only found at 655 nm band. For Acolite products, negative data could be at the blue (443 nm), green and red bands. Statistically, the NASA approach has the highest appearance of negative  $R_{rs}$ (655) products (26%, Figure 5a), while the Acolite products have slightly fewer negative values at the red band, 23% for Acolite/SWIR 374 (Figure 5b) and 18% for Acolite/NIR (Figure 5c). Such negative data products are likely a result 375 of inaccurate determination of the aerosol types and/or inherently low  $R_{rs}$  values at such red band.

376

# 377 **3.3 Landsat-8** *R*<sub>rs</sub> product quality in turbid waters

378 Besides the analyses in the coral reefs, we evaluated the  $R_{rs}$  products in the optically deep 379 waters of Massachusetts Bay and Boston Harbor. The Landsat-8  $R_{rs}$  spectra from the matchup 380 stations are displayed in Figure 6. Among all the products, the  $R_{rs}$  spectra from CSA show no 381 obvious sign of quality problems. According to the spectral similarity parameter cosa, the CSA 382 products have generated the best  $R_{rs}$  spectra (Table 3). The fewer matchup data for NASA 383 products were a result of the change to the Landsat-8 data inventory structure in April 2017, 384 which made SeaDAS (v7.4) unable to handle the new data structure. Besides which, no clouds 385 were found in two of the Landsat-8 images over Massachusetts Bay, leading to fewer matchups 386 for the CSA approach than Acolite products.

387 Figure 7 further illustrates relationships between these in situ and satellite matchup  $R_{rs}$  data and Table 3 provides the validation statistics. Based on these evaluations, strong agreement is 388 389 found for the data products from NASA and CSA approaches. They both exhibit fairly good 390 performance in blue-green domain with MAPD = 18-59% and 31-43%, respectively, and 391 rRMSD = 24-74% and 39-63\%, respectively. It is notable that such a performance is close to that 392 of the operational satellite ocean color sensors in complex coastal waters (Hlaing et al., 2013; 393 Zibordi et al., 2009a). It is also interesting to note that the NASA approach has resulted in 394 systematically underestimated  $R_{rs}$  values, echoing the results observed at AERONET-OC sites 395 (Pahlevan et al., 2017).

As with the observations in the coral reefs, the Acolite products in optically deep waters exhibit slightly larger differences and biases than the NASA and CSA products, but fewer negative data points compared to the shallow water matchups. Although the Acolite/SWIR and *in situ* matchups are closer to the 1:1 line, Acolite/NIR products have shown higher accuracy with smaller MAPD and rRMSD.

401 The average QA scores (with the standard deviations) are provided in Table 3. From this 402 independent criterion, the CSA products are the most reasonable with the highest QA score of 403 0.88, followed by NASA products with a QA score of 0.79. The Acolite/NIR products are 404 generally more reasonable with higher QA scores than the Acolite/SWIR products. These 405 scoring results are in concert with the  $R_{rs}$  matchup evaluations obtained in this study, supporting 406 that the QA scores can be used as an independent measure for quantitative evaluation of the 407 Landat-8  $R_{rs}$  product quality.

408

## 409 **3.4 Overall evaluation of Landsat-8** *R<sub>rs</sub>* data products

410 To characterize the performance of each atmospheric correction scheme, we combined all 411 available matchups from optically deep and shallow waters in previous sections and further 412 assessed the overall  $R_{rs}$  product quality. It is found that the NASA and *in situ* matchups are the closest to the 1:1 line with  $R^2 = 0.79$  (Figure 8a). Based on the validation metrics and spectral 413 414 similarity, the NASA standard approach has also shown the highest performance, immediately 415 followed by the CSA approach and Acolite/NIR across both deep and shallow waters (Table 4). Specifically, the MAPD's vary between 23-33% and 31-38% in blue-green domain for the 416 NASA and CSA products, respectively. The Acolite/SWIR products show slightly lower 417

418 performance, particularly at blue bands. The lower performance of Acolite/SWIR products, as 419 indicated by the present datasets, is probably because of the low signal-to-noise ratios of the 420 SWIR bands which were not specifically designed for the typical radiances encountered over 421 these water bodies and the biases associated with the aerosol determinations.

422

# 423 **4. Discussion**

#### 424 **4.1 Validation uncertainty**

425 Among the four atmospheric correction schemes, the SeaDAS and Acolite systems require 426 accurate knowledge of aerosol types to obtain high quality  $R_{rs}$  retrievals (Franz et al., 2015; 427 Vanhellemont and Ruddick, 2014;2015). The two systems employ different mechanisms for 428 aerosol determination (Section 2.3), which have played a role in their performance as manifested 429 in the matchup analyses (Section 3). Yet, the uncertainties associated with the aerosol 430 determinations are generally unknown. The CSA approach is image based and still requires user 431 decision during the data processing (Lee et al., 2007). It does not need profound radiative 432 transfer knowledge and absolute calibration of the sensor. Furthermore, it is easy to implement. However, this image-based procedure requires the radiance from cloud shadows over waters as 433 434 input, which may not always be present, thereby limiting to some degree its applicability. 435 Although the CSA retrievals are not significantly sensitive (<10%) to the random selection of 436 shadow, sunlit or cloud pixels (Lee et al., 2007; Zhang et al., 2017), the procedure proposed in this study relies on coincident measurements from the SNPP VIIRS satellite for the 437 438 determination of cloud reflectance. In fact, other remote sensors can also be used for this purpose, including the recently launched VIIRS onboard NOAA-20 satellite and the Ocean and Land 439

440 Color Instrument (OLCI) onboard Sentinel-3 satellite, which together allow for important441 overlap in observational coverage.

442 Besides the AC procedures, the on-orbit calibration of satellite ocean color sensors is critical 443 for accurate  $R_{rs}$  retrievals at the water's surface. As the water-leaving radiance is only about 10% 444 of the TOA radiance (Gordon and Wang, 1994), a small radiance measurement error at the TOA 445 can propagate to  $L_w$  and  $R_{rs}$  at the water surface as a much larger error. Pahlevan et al. (2014) and 446 Franz et al. (2015) derived vicarious calibration gains for OLI's seven bands (443, 482, 561, 655, 865, 1606 and 2201 nm). The former is based on MODTRAN® radiative transfer simulation, 447 448 while the latter is developed specifically for SeaDAS. The sensitivity of the Landsat-8  $R_{rs}$ 449 retrieval to the selection of vicarious gains was investigated for the SeaDAS and Acolite system. 450 Application of the vicarious calibration gains of Pahlevan et al. (2014) leads to slightly improved 451 agreement for the  $R_{rs}$  matchup data, with smaller MAPD's and rRMSD's at most of the bands 452 than those with Franz et al. (2015) (Table 5) and those without vicarious calibration (Table 4). 453 However, the gains of Pahlevan et al. (2014) also cause overly underestimated  $R_{rs}$  products at the 454 deep blue band for SeaDAS. In general, as indicated by the comparisons here, the NASA 455 approach has generated more reliable  $R_{rs}$  products.

The remotely sensed  $R_{rs}$  products in the vicinity of land environments can be biased due to the adjacency effect caused by complicated multiple scattering in the atmosphere-land system (Santer and Schmechtig, 2000). Correction of these biases requires accurate knowledge of land topography, surface albedo and aerosols over land, etc. It is operationally difficult to implement and so was not included in any of the AC schemes examined in this study. 461 BRDF effect partly contributes to the difference between satellite and *in situ*  $R_{rs}$  products. To 462 further understand the validation uncertainty, we reprocessed the deep water Landsat-8 images 463 with SeaDAS by turning on the BRDF correction. It is found that the BRDF-corrected  $R_{rs}$ 464 products differ by ~5% on average from the BRDF-uncorrected  $R_{rs}$  products, with SMAPD = 3%, 5%, 6% and 4% for the bands of 443, 482, 561 and 655 nm, respectively (refer to Eq.(10)). 465 These differences are quite small comparing with the MAPD's given in Figure 3, suggesting that 466 467 the current operational BRDF algorithm in SeaDAS does not improve the validation results 468 considerably, at least for these datasets. After all, the BRDF algorithm of Morel et al. (2002) is 469 optimized and most suitable for typical oceanic waters.

We further evaluated the uncertainty of in situ  $R_{rs}$  based on the coefficient of variation (CV), 470 471 which was derived as the ratio of the standard deviation to mean of all  $R_{rs}$  spectra measured over 472 the period of 3-5 minutes and after passing through the filtering procedures (see Section 2.2). In Massachusetts Bay and Boston Harbor, the CV's for  $R_{rs}$  measurements are generally less than 473 474 5%, specifically 4.5%, 4.1%, 3.6% and 5% at bands of 443, 482, 561 and 655 nm, respectively. 475 These statistics are comparable with earlier reports (Lee et al., 2013; Wei et al., 2015), 476 suggesting highly stable in situ  $R_{rs}$  measurements. In the coral reefs, the coefficient of variation 477 is slightly higher (7.9%, 8.4%, 7.8% and 7.5% at the same four bands), partly a result of the 478 bottom heterogeneity. For either situation, these measurement uncertainties are far below those 479 of matchup data as shown in this study (Table 3 and Table 4).

480 The satellite  $R_{rs}$  spectra are often averaged over a box of some number of pixels for matchup 481 analysis (Bailey and Werdell, 2006; Hlaing et al., 2013; Zibordi et al., 2009a). In practice, if the 482 CV of valid pixels within the defined box is less than 15%, the satellite  $R_{rs}$  retrievals will be

included for further analysis (Bailey and Werdell, 2006). The Landsat-8 R<sub>rs</sub> measurements in 483 484 coral reefs are, however, highly variable in the spatial domain. As a consequence of the spatial 485 heterogeneity, the CV of a box of 3×3 pixels can be much higher than 15% at all four 486 wavelengths (Table 6). For the turbid waters of Boston Harbor and Massachusetts Bay,  $R_{rs}(482)$ 487 and  $R_{rs}(561)$  measurements exhibit limited spatial variability, but large CV is still observable at 488 443 and 655 nm (Table 6). The large spatial variation revealed in our Landsat-8 measurements 489 does not support the conventional spatial averaging for matchup validation. Such large spatial 490 variability in Landsat-8  $R_{rs}$  retrievals also contributes to the observed matchup uncertainty in 491 Table 3 and Table 4.

492 Based on results from these analyses, reliable  $R_{rs}$  products can be achieved from Landsat-8 in 493 various waters (Table 3), despite the instrument's lower signal-to-noise ratios comparing to other 494 operational ocean color satellites. Considering all the challenges discussed above, the agreement 495 between matchups, particularly of those from CSA and NASA approach, are strong. The  $R_{rs}$ 496 product accuracy in blue-green bands (MAPD = 21-60% and 31-43%, respectively) are even 497 close to those obtained by operational ocean color sensors in coastal waters (Hlaing et al., 2013; 498 Jamet et al., 2011; Zibordi et al., 2009a). With high spatial resolution, the accurate Landsat-8  $R_{rs}$ 499 measurements can be used in a variety of aquatic applications.

500

#### 501 **4.2 Impacts on water optical property retrievals and reflectance band ratios**

The measurement uncertainties in the satellite  $R_{rs}$  products can impact the subsequent ocean color retrievals derived from analytical or semi-analytical algorithms (Goodman et al., 2008; Lee et al., 2010; Salama et al., 2011; Wei and Lee, 2015). We estimated the absorption coefficient 505  $(a_{pg})$  due to particles and colored dissolved organic material (CDOM) and the particle 506 backscattering coefficient  $(b_{bp})$  with Landsat-8 satellite  $R_{rs}$  data and *in situ*  $R_{rs}$  measurements, 507 respectively, using a semi-analytical algorithm developed for Landsat-8 for deep waters (Lee et 508 al., 2016). Comparisons of the SMAPD's between satellite and *in situ* retrievals indicate that the 509 CSA products allow more reliable estimation of  $a_{pg}$ , while the  $b_{bp}$  estimation from NASA 510 products is more accurate (Figure 9).

511 For some empirical algorithms using  $R_{rs}$  band ratios, the absolute accuracy of  $R_{rs}$  products 512 may not play a primary role in determining subsequent ocean color products. Rather, the ratios of 513 reflectance are important, as quantified by the metric,  $\cos \alpha$ . For instance, they can be used for the 514 estimation of chlorophyll a concentrations in optically deep waters (O'Reilly et al., 1998) or the 515 derivation of shallow-water bathymetry (Stumpf et al., 2003). We provided examples for such 516 band-ratio comparisons in Figure 10 between Landsat-8 and in situ data. The NASA products 517 have the smallest deviations in coral reefs, while the CSA products are more accurate in deep 518 waters – an observation that is consistent with the  $\cos \alpha$  metric in Table 3 and Table 4.

519

# 520 4.3 Independent assessment of Landsat-8 R<sub>rs</sub> data quality

As discussed in this study, it is difficult to obtain *in situ* matchups with Landsat-8 measurements, especially because of its 16-day overpass and relatively small spatial coverage (185 km swath). Yet, it is important to index the quality of each individual Landsat-8  $R_{rs}$ spectrum for various ocean color retrievals. Based on results in Table 3, the QA scores provide an independent quantification for the quality of  $R_{rs}$  spectra. When applied to Landsat-8 images, the QA scores may further provide insights into the overall quality of the satellite  $R_{rs}$  data as well 527 as potential spatial variability. To visualize the effectiveness of this metric, the QA scores were 528 derived for the  $R_{rs}$  products of one selected image generated from four atmospheric correction 529 schemes. According to the comparisons, the CSA and NASA products show generally higher 530 data quality, with an average QA score of 0.73 and 0.60, respectively (Figure 11d and Figure 531 11a), while the Acolite/SWIR and Acolite/NIR products have quality scores of 0.50 and 0.45, 532 respectively (Figure 11b and Figure 11c). Besides, the spatial variability of  $R_{rs}$  data quality is 533 clearly revealed in the QA score maps. For instance, the CSA product shows very high QA 534 scores in Massachusetts Bay (upper right of the image) while the three others suggest 535 problematic retrievals in that region. Such contrasts are likely a consequence of the presence of 536 absorbing aerosols in the air, which the NASA and Acolite algorithms cannot account for 537 sufficiently. It is cautioned that the current QA system does not necessarily cover every type of waters occurring in nature. Exceptional cases do exist, for instance, blooms and oil slicks. So a 538 539 valid  $R_{rs}$  spectrum might still be scored low if it happens to be an exceptional case and 540 insufficiently represented by present QA system.

541

### 542 **5. Conclusions**

To assess the performance of Landsat-8 OLI  $R_{rs}$  products in aquatic environment, in particular coral reef systems, we have examined  $R_{rs}$  data products with radiative transfer-based and imagebased atmospheric correction schemes. The  $R_{rs}$  products were validated with concurrent *in situ* measurements of hyperspectral  $R_{rs}$  data. Specifically, NASA's atmospheric correction scheme, the cloud-shadow approach and Acolite's NIR scheme generated  $R_{rs}$  products with strong agreement with *in situ* matchups in optically shallow waters. In the studied optically deep waters, 549 NASA's approach and the cloud-shadow approach were found with the highest performance. 550 According to all available matchups, the NASA and cloud-shadow approaches demonstrated 551 overall the highest performance across coral reef environments and turbid waters. It is confirmed 552 that high quality  $R_{rs}$  products can be achieved from the Landsat-8 satellite, supporting the 553 application of Landsat-8 measurements in a variety of aquatic studies including coral reefs. 554 Considering the complexity of natural waters and atmospheric conditions, validation of Landsat-555 8 OLI  $R_{rs}$  data over various waters is anticipated to be an ongoing task for the Landsat-8 science 556 community.

557

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749	Table 1. Landsat-8/OLI overpasses for comparison with in situ matchups. The symbols
750	"x" and "-" indicate the availability/unavailability of the $R_{rs}$ products from the
751	corresponding atmospheric corrections.

			Acquisition		Atmo	ospheric correc	tion
	Study areas	Landsat-8 images	time (UTC)	$\theta_s$	NASA	Acolite	Cloud
					standard	NIR/SWIR	Shadow
		LC80050482013329	14:52	43°	×	×	×
	La Parguera,	LC80050482014124	14:50	23°	×	×	×
Coral	Puerto Rico	LO80050482015063 <sup>†</sup>	14:50	36°	-	×	×
reefs		LC80050482015319	14:50	41°	×	×	×
	Maui, Hawaii	LC80640452017049	20:54	47°	×	×	×
	Florida Keys	LC80150432016088	15:50	32°	×	×	×
		LC80110312013211	15:22	40°	×	×	×
		LC80120312015240	15:26	38°	×	×	×
	Massachusetts	LC80120312015304	15:26	59°	×	×	-
Turbid	Bay and Boston	LC80120312016243	15:26	38°	×	×	×
waters	Harbor	LC80120312016259	15:26	44°	×	×	×
		LC80120312017213 <sup>†</sup>	15:27	$40^{\circ}$	-	×	-
		LC80120312017277 <sup>†</sup>	15:27	61°	-	×	×

<sup>†</sup> SeaDAS software (v7.4) is currently unable to process these images due to data compatibility problem.

774 Table 2. Optical properties used for the derivation of cloud reflectance for Landsat-8 images.

Study areas	Landsat-8 images	Lar (unit	ndsat-8 radi ts: digital co	ance ounts)	$R_{rs}(551)$	Lat & Lon	ρ
Study areas	Landsut o muges	$L_t(561)$	$L_{as}(561)$	$L_t^{cld}(561)$	(sr <sup>-1</sup> )	(deg)	(sr <sup>-1</sup> )
	LC80050482013329	6740	6486	20364	0.0019	17.7621, -67.3544	0.104
La Parguera,	LC80050482014124	8333	7394	24281	0.0018	17.9654, -67.4849	0.032
Puerto Rico	LO80050482015063	7371	6908	26360	0.0017	17.7505, -67.0145	0.093
	LC80050482015319	6792	6599	26658	0.0018	17.8802, -67.3943	0.187
Maui, Hawaii	LC80640452017049	6670	6404	25945	0.0019	20.9070, -157.323	0.140
Florida Keys	LC80150432016088	7792	6800	22994	0.0026	24.7343, -80.6781	0.042
	LC80110312013211	7639	6949	16460	0.0058	41.4761, -70.3606	0.080
	LC80120312015240	6903	6471	23543	0.0015	42.3495, -70.4128	0.059
Massachusetts	LC80120312015304	-	-	-	-	-	-
Bay and Boston	LC80120312016243	6774	6329	23729	0.0022	42.3495,-70.3290	0.086
Harbor	LC80120312016259	6810	6449	19325	0.0021	42.3592, -70.4100	0.075
	LC80120312017213	-	-	-	-	-	-
	LC80120312017277	6991	6195	19530	0.0062	41.4803, -70.2837	0.104

Table 3. Statistical results for the remote sensing reflectance matchup data derived from the NASA, Acolite/SWIR, Acolite/NIR and CSA methods in specific water types. For each band the best performance is rendered in bold face. The values within the parentheses refer to the standard deviations.

			Co	oral reefs			Turbid waters						
	λ	bias	MAPD	rRMSD	cosa	N	bias	MAPD	rRMSD	cosa	Mean QA scores	Ν	
	443	-2%	24%	34%		27	-25%	59%	74%		0.79 (0.30)		
NASA	482	1%	25%	33%	0.99		-2%	36%	48%	0.96		10	
standard method	561	-13%	25%	31%	(0.02)	21	-1%	18%	24%	(0.08)		18	
	655	-79%	87%	109%			-2%	41%	59%				
	443	-7%	34%	54%	0.83 (0.51)	34	116%	181%	246%	0.96 (0.04)	0.70 (0.31)	23	
Acolite/	482	-5%	32%	47%			65%	107%	142%				
SWIR	561	-17%	37%	53%			17%	42%	55%				
	655	-44%	149%	323%			51%	131%	191%				
	443	-10%	29%	38%			19%	102%	130%				
Acolite/	482	-11%	28%	35%	0.97	0.97	24	7%	55%	72%	0.93	0.78	
NIR	561	-19%	30%	38%	(0.08)	34	-15%	22%	26%	(0.11)	(0.29)	23	
	655	-51%	85%	138%			-18%	48%	83%				
	443	-15%	36%	46%			12%	43%	63%				
Cloud	482	-16%	33%	43%	0.98	34	3%	33%	45%	0.99 (0.01)	<b>0.88</b> (0.18)	. –	
shadow approach	561	-16%	31%	41%	(0.02)	34	-18%	31%	39%			17	
approach	655	32%	133%	220%			32%	95%	175%				

Table 4. Statistical results for the remote sensing reflectance matchup data derived from
the NASA, Acolite/SWIR, Acolite/NIR and CSA methods. For each band the best
performance is rendered in bold face. The values within the parentheses refer to the
standard deviations.

	ı		Coral ree	efs & Turbi	d waters	
	λ	bias	MAPD	rRMSD	cosa	Ν
	443	-8%	33%	43%		
NASA	482	-3%	30%	40%	0.98	45
method	561	~0%	23%	29%	(0.05)	
memou	655	-21%	42%	50%		
	443	4%	93%	162%		
Acolite/	482	12%	62%	97%	0.88	57
SWIR	561	-9%	39%	54%	(0.39)	
	655	-13%	142%	277%		
	443	-6%	59%	88%		
Acolite/	482	-2%	38%	53%	0.96	57
NIR	561	-19%	27%	34%	(0.10)	57
	655	-34%	70%	119%		
	443	-11%	38%	52%		
<b>GG</b> 1	482	-10%	33%	44%	0.98	
CSA	561	-17%	31%	41%	(0.10)	51
	655	32%	121%	206%		

Table 5. Statistical results for the remote sensing reflectance matchup data (coral reefs
& turbid waters) after applying the vicarious calibration gains to the TOA radiance.
Refer to Table 4 for CSA retrievals.

	1	Ga	ins of Fra	unz et al. (2	2015)	Gains	of Pahlev	an et al. (2	2014)
	λ	bias	MAPD	rRMSD	cosα	bias	MAPD	rRMSD	cosα
	443	8%	43%	61%		-49%	49%	59%	
NASA	482	26%	43%	65%	0.08	1%	25%	35%	0.97
method	561	1%	23%	30%	0.98	-3%	22%	28%	
method	655	-27%	60%	80%		-21%	57%	71%	
	443	33%	116%	196%		-2%	71%	123%	0.02
Acolite/	482	42%	87%	131%	0.05	19%	59%	92%	
SWIR	561	7%	35%	50%	0.95	-2%	33%	47%	0.92
	655	20%	116%	202%		14%	113%	200%	
	443	5%	63%	102%		-36%	59%	74%	0.02
Acolite/	482	18%	49%	73%	0.00	-13%	35%	47%	
NIR	561	-14%	26%	33%	0.96	-24%	29%	36%	0.93
	655	-24%	64%	111%		-40%	71%	118%	

800 Table 6. Coefficient of variation of Landsat-8  $R_{rs}$  measurements (processed by SeaDAS 801 v7.4) at the matchup sites (calculated over 3×3 pixel neighborhood) with the mean CV 802 given in parentheses.

	443	482	561	655
Coral reefs: Puerto Rico	4-210%	3-129%	3-164%	5-153%
	(31%)	(20%)	(23%)	(56%)
Coral reefs: Florida Keys	6-26%	6-25%	7-20%	43-135%
	(17%)	(17%)	(15%)	(63%)
Coral reefs: Maui	5-121%	5-85%	4-55%	1-159%
	(24%)	(19%)	(15%)	(75%)
Boston Harbor	7-49%	4-31%	2-14%	3-157%
	(23%)	(11%)	(5%)	(23%)
Massachusetts Bay	16-20%	7-11%	6-10%	20-52%
	(19%)	(10%)	(9%)	(36%)

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Figure 1. (a) Discrete sampling stations of in situ optical measurements in the La Parguera
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Figure 2. (a) Patchy hard corals and soft corals and (b) seagrass in the La Parguera Natural
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- 814 Figure 4. Landsat-8  $R_{rs}$  spectra in shallow coral reef environments derived from (a) NASA 815 approach, (b) Acolite/SWIR, (c) Acolite/NIR, and (d) cloud-shadow approach.
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- 838



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Landsat 8 Rrs products in coral reefs

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# Spatial variability of Rrs data quality (QA scores)

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