1	Analytical solution for nonlinear hydrologic routing with general power-law storage
2	function
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#### 11 **1. Introduction**

12 Hydraulic routing involves solving the governing equations of conservation of mass and 13 momentum, widely known as the Saint-Venant (S-V) equations (Chanson, 2004). Whereas 14 hydraulic routing models represent the most accurate method for flood routing in theory (Kim 15 and Georgakakos, 2014), they require large amounts of data to prescribe the fixed boundary 16 conditions of channel geometry and elaborate numerical integration to ensure stability and 17 accuracy(Szymkiewicz, 2010). Hydrologic routing models, on the other hand, are significantly 18 simpler and easier to use but can only provide predictions at a limited number of locations due to 19 their lumped nature (Kim and Georgakakos, 2014; Mazzoleni et al., 2018; McCuen, 2004; Noh 20 et al., 2018). Depending on the flow type, accuracy requirement, data availability, and computing 21 power, different hydrologic routing models may be used. Kim and Georgakakos (2014) provided 22 a historical review of hydrologic routing models, including the reservoir routing(Goodrich, 23 1931), Muskingum (McCarthy, 1938), Lag and K (Linsley et al., 1949), and Muskingum-Cunge 24 (Cunge, 1969), and introduced a new conceptual river routing method based on nonlinear 25 cascade of reservoirs. Storage-based routing models are among the oldest and most widely used 26 in hydrology, including engineering hydrology and operational flood forecasting (Fread and Hsu, 27 1993; Nourani et al., 2009). The recently launched National Water Model (NWS, 2021a) also 28 uses Muskingum-Cunge as one of the channel routing methods and level pool routing for 29 reservoirs and lakes. As such, advancing hydrologic routing continues to be a significant topic of 30 research. The purpose of this paper is to present new analytical exact and semi-analytical 31 approximate solutions and their applications for nonlinear reservoir routing with general power-32 law storage where the underlying level pool assumption is justified (see Ayalew et al., 2014; 33 Bentura and Michel, 1997; Chi et al., 2015; Gupta, 2004; Gupta and Waymire, 1998; Mandapaka

Venkata, 2009; Mantilla et al., 2006; Mantilla and Gupta, 2005; Menabde and Sivapalan, 2001;
Reggiani et al., 2001; Small et al., 2013 just to name several).

36 The concept of nonlinear storage in routing is well known. Though the wide channel 37 simplification of the Manning's equation (Orlandini and Rosso, 1998; Yu and Lim, 2003) and the level pool assumption remain controversial (Fread et al., 1978; Goodell and Wahlin, 2009), 38 39 nonlinear hydrologic routing has been used extensively in hydrologic modeling and prediction, 40 ranging from routing flow through a channel or a storage structure to simulating flow through 41 networks of channels as in CUENCAS (Mantilla and Gupta, 2005) and TOPKAPI (Todini and 42 Ciarapica, 2001). In what follows, a brief background on nonlinear routing with power-law 43 storage as it pertains to the development of this paper is presented. The continuity equation for 44 storage routing is given by (Carter and Godfrey, 1960):

$$\frac{dS}{dt} = I - Q \tag{1}$$

where *I*, *Q*, and *S* denote the inflow, outflow and storage at time *t*, respectively. In most realworld applications, the storage and outflow are not known jointly and hence an additional
equation is needed to solve Eq. (1). This closing equation relates storage with discharge and is
referred to as the storage-outflow relationship, or storage function (Chow et al., 1988; Sugiyama
et al., 1997). Numerous studies (Basha, 2000, 1995, 1994; Boyd et al., 1979; Sugiyama et al.,
1997; Tallaksen, 1995 to name a few) have postulated that the storage-outflow relationship may
be expressed as the following power-law function:

$$S = \kappa Q^{\varepsilon} \tag{2}$$

52 where  $\kappa$  and  $\varepsilon$  denote the storage coefficient and exponent, respectively. In Eq. (2), the

assumptions that the storage function is time-invariant or "uniformly nonlinear" (Dooge, 2005),

54 and that S is a proper function of Q are implicitly considered. In Eq. (2), the coefficient  $\kappa$ 

55 measures the ratio between the capacity of the reservoir and that of the discharge from the 56 reservoir. The coefficient  $\kappa$  is larger for a large storage capacity with small outflow and smaller 57 for a small reservoir with large outflow. If an outlet is so large that it is not restricting flow at all, 58  $\kappa$  is effectively zero (Mitchell, 1962). For  $\varepsilon \neq 1$ , Eq. (1) becomes nonlinear reservoir routing. 59 The value of  $\varepsilon$  determines the relative shape of the outflow hydrograph and generally ranges 60 between 0 and 1 with an average of 0.5 for unconfined aquifers (Hammond and Han, 2006). 61 Mitchell (1962) suggested that the lower bound of  $\varepsilon$  is about 0.67 which corresponds to the 62 critical depth of a reservoir with vertical side walls. However, the mathematical lower bound of  $\varepsilon$ 63 is 0. In general, reservoirs with flatter side slopes have larger  $\varepsilon$  (Mitchell, 1962). Sugiyama et al. 64 (1997) studied dozens of flood events in catchments of varying sizes and concluded that  $\varepsilon$  varies 65 between 0.3 and 1 with an average of 0.6. Smaller  $\varepsilon$  corresponds to concave recession curves 66 whereas  $\varepsilon$  larger than 1 corresponds to convex curves (Hammond and Han, 2006). Note that  $\varepsilon$  is dimensionless, and the dimension of parameter  $\kappa$  depends on  $\varepsilon$  and equals  $[L]^{3-3\varepsilon} [T]^{\varepsilon}$  where 67 68 [L] and [T] are length and time dimensions, respectively. 69 Many previous works have similarly analyzed the physical interpretation of the exponent  $\varepsilon$ 70 with a focus on the recession curves of nonlinear routing with no inflow (Botter et al., 2009; 71 Boyd et al., 1979). With inflow, the effect of  $\varepsilon$  on the shape of the outflow hydrograph is more 72 complex. If  $\varepsilon = 1$ , Eq. (2) becomes linear and, hence, Eq. (1) refers to linear reservoir routing. 73 Most real-world hydrological systems, however, do not behave as linear storages (Dooge, 2005; 74 Kim and Georgakakos, 2014). To address this limitation, many authors presented a variety of 75 modeling approaches, such the cascading linear reservoirs (Chow et al., 1988; Nash, 1957), 76 multilinear methods (Camacho and Lees, 1999; Perumal, 1992; Sahoo, 2013) and piecewise 77 linear approximation of the nonlinear storage processes (Ostrowski, 2010). Basha (1995) offered

78 an approximate solution via perturbation expansion around nominal  $\varepsilon$ . Glynn and Glynn (1996) 79 presented a diffusion approximation for a network of nonlinear reservoirs with power-law release 80 rules. To the best of the authors' knowledge, however, no exact general solutions have been 81 reported to date for Eqs. (1) and (2) with  $\varepsilon \neq 1$ . A number of studies, including Hughes and 82 Murrell (1986), Basha (1995), David (2009) and Del Giudice et al. (2014), suggested that no 83 analytical solution exists for non-zero inflows unless  $\varepsilon$  equals 1/2 or 1. They presented several 84 numerical solution methods and postulated that the accuracy of the solutions depends on the time 85 interval used in the numerical integration. In this paper, we present an exact implicit solution for 86 nonlinear routing of Eq. (1) with the general power-law storage function of Eq. (2) for constant 87 inflow. The solution may be parametrized either by using statistical methods similarly to the 88 Muskingum method (Linsley et al., 1949) or by using hydraulic properties of the channels 89 similarly to the Muskingum-Cunge method (Chow et al., 1988). In this work, we expressed the 90 power-law storage function parameters in terms of the hydraulic properties of the channels and 91 the geometry of the storage structure. Because any real-world inflow hydrograph may be 92 represented by a series of pulses of arbitrary widths, the exact solution is completely general. 93 The proposed solution may therefore be used in a wide range of applications including modeling, 94 design, forecasting and control of flow through single or cascades of reservoirs, and networks of 95 channels. This paper is organized as follows. Section 2 presents the exact implicit solution of Eqs. (1) and (2). Section 3 presents the general expressions for the parameters of the power-law 96 97 storage functions commonly encountered in reservoir and channel routing in the real world. 98 Sections 4 and 5 present approximate explicit solutions and simple applications of the proposed 99 solutions for different types of routing, respectively. Section 6 provides discussion. Section 7 100 presents the conclusions and future research recommendations.

## 101 **2.** Exact solution for nonlinear routing with power-law storage function

102 Using the chain rule, we may rewrite Eq. (1) as:

$$\frac{dQ}{dt} = \frac{I - Q}{dS/dQ} \tag{3}$$

103 If the storage function is of the power-law type of Eq. (2), there exist real-valued parameters *a*104 and *b* such that:

$$\frac{1}{dS/dQ} = aQ^b \tag{4}$$

105 where  $a = 1/(\kappa \epsilon)$  and  $b = 1 - \epsilon$ . Combining Eqs. (3) and (4), we have the following

106 nonhomogeneous nonlinear ordinary differential equation:

$$\frac{dQ}{dt} = aQ^b(I-Q) \tag{5}$$

107 where the parameter b is the dimensionless, and the parameter a has a dimension  $[L]^{-3b} [T]^{b-1}$ 

108 where [L] and [T] are length and time dimensions, respectively.

109 Note that Eq. (5) is identical to the Horton-Izzard model (Dooge, 1973; Ponce, 2014) commonly

110 used to model nonlinear overland flow. Moore and Bell (2001) described the application of Eq.

111 (5) in operational flood forecasting in the United Kingdom and show the analogy between the

112 nonlinear power-law storage function and the Horton-Izzard equation. They also postulated that

such nonlinear storages commonly occur in many physical elements in the rainfall-runoff

114 processes, and that it is reasonable to extend the nonlinear storage model to a wide range of

115 "input-storage-output system" such as a soil column, aquifer storage or surface storage at

116 catchment scale.

117 Various modeling efforts reported in the literature using the Horton-Izzard equation may be
118 replicated with Eq. (5) following simple mathematical manipulations. For example, Moore and

119 Bell (2001) used an inverse definition of  $Q = k S^m$  to describe specific discharge on a sloping plane following Dooge (1973) and Ponce et al. (1997). They also discussed how Horton 120 introduced the "index of turbulence",  $\frac{3(3-m)}{4} \left( = \frac{3(2-3b)}{4(1-b)} \right)$ , which ranges from 0 to 1, by 121 122 combining the power-law storage function with the Manning's equation of sheet flow under the wide channel assumption. An index of turbulence of 0 results from m = 3  $(b = \frac{2}{3})$  and 123 corresponds to laminar flow whereas an index of 1 results from  $m = \frac{5}{3}$  ( $b = \frac{2}{5}$ ) and indicates 124 125 turbulent flow. The analytical solution for the Horton-Izzard model exists only for rational values of *m* and closed-form solutions existed only for specific values of *m* (Gill, 1977, 1976; 126 127 Jolley and Wheater, 1997; Moore et al., 2005; Moore and Bell, 2002, 2001). For this reason, 128 researchers and practitioners have frequently been forced to approximate m and optimize k. For 129 instance, the Thames Catchment Model (Young, 1997) uses the analytical solution to the 130 quadratic storage model ( $\varepsilon = 1/2$ ). The PDM model (Young, 1997) uses an approximate 131 recursive solution based on a piecewise linear difference equation to solve the cubic storage 132 function ( $\varepsilon = 2/3$ ). Meert et al. (2016) also used a piecewise linearization approach. The 133 general solution removes such approximations and complications. The subsections below 134 provide the exact solutions for Eq. (5) and, by extension, the Horton-Izzard nonlinear storage 135 equation.

## 136 **2.1. Outflow smaller than non-zero inflow**

137 Introducing q = Q/I and using separation of variables, we may rewrite Eq. (5) as follows 138 for a sufficiently short time interval over which the inflow *I* may be assumed constant:

$$\frac{dq}{q^b(1-q)} = aI^b dt \tag{6}$$

To integrate the left-hand side (LHS) of Eq. (6), we note that the denominator therein is theintegrand of the incomplete beta function (IBF) (Dutka, 1981):

$$\beta_x(u,w) = \int_0^x \phi^{u-1} (1-\phi)^{w-1} \, d\phi, \qquad 0 \le x < 1 \tag{7}$$

141 where u and v are either positive real numbers or negative integers (Al-Sirehy and Fisher,

142 2013). Assigning u = 1 - b and w = 0 in Eq. (7), substituting Q/I for q in the LHS of Eq. (6)

143 and prescribing  $Q(t_0) = Q_0$  as the initial condition (IC) of Eq. (5), we obtain the following

144 exact implicit solution for *Q*:

$$\beta_{Q/I}(1-b,0) - \beta_{Q_0/I}(1-b,0) = aI^b(t-t_0), \qquad Q_0 < I$$
(8)

145 With  $b = 1 - \varepsilon$ , the above solution may be expressed in terms of the exponent  $\varepsilon$  in the storage-146 discharge relation. Note that the real-world domain of  $\varepsilon > 0$  matches the mathematical domain 147 of b < 1 as required by the IBF.

148 Eq. (8) may be used to obtain the outflow Q due to an arbitrary inflow hydrograph I

149 discretized into a series of constant pulses  $I_{t_i}$ . Solving for Q in Eq. (8) amounts to nonlinear root

150 finding for which one may use a combination of look-up tables for evaluation of the IBFs and

151 iterative numerical algorithms (Al-Sirehy and Fisher, 2013). Once the calculation for a pulse of

152 inflow is complete, one may continue to the next pulse,  $I_{t_{i+1}}$ , with  $Q_0$  and  $t_0$  in Eq. (8)

reinitialized. Because  $\beta_x(u, w)$  is real-valued for 0 < x < 1 only, Eq. (8) is applicable only if

154  $Q_0 < I_{t_i}$ . If the outflow exceeds the constant inflow, it is necessary to use a second solution,

155 which is described below.

# 156 **2.2. Outflow larger than non-zero inflow**

157 When the initial value of outflow,  $Q_0$ , is larger than the constant inflow *I*, a change of

158 variable of  $q^* = I/Q$  may be used to rewrite Eq. (5) in terms of  $q^*$  as follows:

$$\frac{dq^*}{q^{*1-b}(1-q^*)} = aI^b dt$$
(9)

- Assigning u = b and w = 0 in Eq. (7), substituting I/Q for  $q^*$  in the LHS of Eq. (9), and
- 160 prescribing  $Q(t_0) = Q_0$  as the IC, one arrives at the following exact implicit solution for Q > I:

$$\beta_{I/Q}(b,0) - \beta_{I/Q_0}(b,0) = a I^b(t-t_0), \qquad Q > I$$
<sup>(10)</sup>

## 161 **2.3. Zero inflow**

162 When there is no inflow, i.e. I = 0, the analytic solutions above are no longer applicable. 163 Instead, one may simplify Eq. (5) to:

$$\frac{dQ}{dt} = -aQ^{b+1} \tag{11}$$

Eq. (11) may be integrated via separation of variables to yield the following exact solution for *Q*which represents the recession curve for nonlinear reservoir with a general power-law storage
function:

$$Q(t) = \left(\frac{1}{Q_0^b} + ab(t - t_0)\right)^{-\frac{1}{b}}$$
(12)

167 Once Q is determined, the associated storage may also be evaluated by integrating Eq. (4):

$$S(Q) = S_0 + \frac{Q^{1-b} - Q_0^{1-b}}{a(1-b)}$$
(13)

168 where  $S_0$  denotes the initial storage. Eq. (13) is useful for checking for the full capacity

169 condition when there exists an upper bound to the physical storage space.

# 170 **2.4. Special case of integer b values**

- 171 For integer values of the exponent *b*, simpler solutions exist via the extension of the
- 172 conventional IBF (Özçağ et al., 2008):

$$\beta_x(\eta, 0) = -\ln(1-x) - \sum_{i=1}^{n-1} \frac{x^i}{i}$$
(14)

$$\beta_x(-\eta, 0) = \ln \frac{x}{1-x} - \sum_{i=1}^n \frac{x^{-i}}{i}$$
(15)

where  $\eta = 1, 2, \dots$ . When *b* is an integer, Eqs. (14) and (15) may be used to replace the LHS of Eqs. (8) and (10). The right-hand side of Eqs. (14) and (15) are a generalization of the previously known analytical solutions of Moore and Bell (2002). A commonly encountered but particularly interesting case is when b = -1 as in reservoirs with linear storage-elevation relationships and a single orifice or submerged sluice gate outlet. Using Eq. (15), one may show that the nonlinear routing with power-law storage function has a compact explicit analytical solution expressed in terms of the Lambert W function, W() (Corless et al., 1996):

$$Q(t) = I\left(1 + W\left(\frac{(Q_0 - I)e^{\frac{Q_0 - a(t - t_0) - I}{I}}}{I}\right)\right)$$
(16)

Known also as the Lambert–Euler omega function (Pudasaini, 2011), the Lambert W function appears in the solution of many real-world problems (Corless et al., 1996; P. et al., 2000; Scott et al., 2006). It is worth noting that the Lambert W function has also been used in the similarity solution of the Richards equation, explicit expressions for Green-Ampt infiltration rate (Barry et al., 1993; Li et al., 2015; Parlange et al., 2002 to name a few), exact solutions for debris and avalanche flows (Pudasaini, 2011) and shallow flow in sloping unconfined aquifers (Barnes, 2018).

#### **3. Storage function parameters**

To use the above solution, it is necessary to specify the parameters *a* and *b*. Except when simple geometries are involved, the best approach in most practical applications is to calibrate them using observed inflow and outflow (see Wittenberg, 1994). For those cases with known simple geometries or where calibration is not possible, theoretical expressions may be used. This section presents the theoretical parameters for widely used reservoir and channel routing applications.

## 194 **3.1. For reservoir routing**

195 The storage-elevation relationship is assumed to follow the power-law function below:

$$S = S_b + \sigma H^{\tau}, \qquad H \ge 0 \tag{17}$$

196 where  $S_b$  (m<sup>3</sup>) denotes the reservoir volume below the outlet, H(m) denotes the elevation above 197 the outlet, and  $\sigma$  and  $\tau$  denote the power-law parameters approximating the volume of the 198 reservoir above the outlet. In the following,  $S_b$  is assumed zero for the sake of simplicity. The 199 width of the reservoir above the outlet structure, B (m), is also assumed to follow a power-law 200 function:

$$B = \omega_0 H^{\omega_1}, \ \omega_0 > 0 \text{ and } \omega_1 \ge 0$$
(18)

201 The reservoir's cross-sectional area,  $A_y$  (m<sup>2</sup>), may be obtained by integrating B over H:

$$A_{y} = \frac{\omega_{0}}{1 + \omega_{1}} H^{1 + \omega_{1}}, \qquad H \ge 0$$
<sup>(19)</sup>

202 The storage-elevation relationship of Eq. (17) may now be written as:

$$S = A_y L_x = \frac{L_x \omega_0}{1 + \omega_1} H^{1 + \omega_1}, \quad H \ge 0$$
 (20)

where  $L_x$  (m) denotes the longitudinal dimension of the reservoir. To describe the outflow as a function of flow depth, a power-law rating curve is used:

$$Q = r_0 H^{r_1}, \qquad H \ge 0 \tag{21}$$

If the reservoir has an overflow spillway, its outflow follows the weir equation (Chanson, 2004) with  $r_0 = \frac{2}{3}C_dL_s\sqrt{2g}$  and  $r_1 = 1.5$  where  $C_d$  denotes the coefficient of discharge,  $L_s(m)$  denotes the length of spillway perpendicular to direction of flow, and *g* denotes the gravitational acceleration (~ 9.81  $m/s^2$ ). If the reservoir has an orifice spillway, its outflow follows the orifice equation (Chanson, 2004) with  $r_0 = C_d A_0 \sqrt{2g}$  and  $r_1 = 0.5$  where  $A_0$  (m<sup>2</sup>) denotes the area of the orifice. Substituting Eq. (21) in Eq. (4), differentiating the LHS with respect to *Q*, and equating with the RHS, one has for the storage function parameters *a* and *b*:

$$a = \frac{r_1 r_0^{\frac{\omega_1 + 1}{r_1}}}{L_x \omega_0}, \text{ and } b = 1 - \frac{\omega_1 + 1}{r_1}$$
(22)

Notice that the combination of  $\omega_1 = 0$  (i.e. linear storage-elevation relationship) and  $r_1 = 0.5$ (e.g. orifices or submerged openings in sluice gates) results in b = -1 for which the nonlinear routing problem with power-law function admits the explicit solution of Eq. (16) in terms of the Lambert W function.

# 216 **3.2. For channel routing**

The Horton-Izzard equation has been widely used to model overland flows (Moore and Bell, 2001). If the simplifying assumption of level pool routing is justified (see, e.g., Fread and Hsu, 1993 for criteria), the power-law storage function may be derived for channel routing using the hydraulic properties of channels as described below. The flow resistance equation for wide channels combines the geometric properties of the channel cross section and flow properties (Menabde and Sivapalan, 2001; Yen, 2002):

$$v = c_0 y^{c_1} S_0^{c_2} \tag{23}$$

where v (m/s) denotes the mean velocity, y (m) denotes the depth, and  $S_0$  (m/m) denotes the slope. In the above,  $c_0$ ,  $c_1$ , and  $c_2$  are the flow resistance equation coefficients given by  $c_0 =$ 1/n,  $c_1 = 2/3$ , and  $c_2 = 1/2$  for the Manning's equation,  $c_0 = C$ ,  $c_1 = 1/2$  and  $c_2 = 1/2$  for the Chezy equation, and  $c_0 = \sqrt{8g/f}$ ,  $c_1 = 1/2$  and  $c_2 = 1/2$  for the Darcy-Weisbach equation where n (s/ m<sup>1/3</sup>), C (m<sup>1/2</sup>/s), and f (dimensionless) denote the respective roughness coefficient. The cross-sectional area of the channel, A (m<sup>2</sup>), is approximated by a power-law function:

$$A = a_0 y^{a_1} \tag{24}$$

where  $a_0$  and  $a_1$  are the parameters of the power-law cross section. For example, for a rectangular channel,  $a_0$  is the width of the channel and  $a_1$  is unity. The discharge is given by the continuity equation:

$$Q = Av = a_0 c_0 y^{a_1 + c_1} S_0^{c_2}$$
(25)

233 Solving Eq. (25) for the depth *y* gives:

$$y = \left(\frac{Q}{a_0 c_0 S_0^{c_2}}\right)^{\frac{1}{a_1 + c_1}}$$
(26)

Assuming that the change in depth over the channel is not very large and the unsteadiness of flood wave has a wavelength larger than the channel length L (m), the storage, S (m<sup>3</sup>), in the channel is given by (McCuen, 2004):

$$S = AL = a_0 L y^{a_1} \tag{27}$$

237 Substituting Eq. (26) in Eq. (27) and rearranging, one has for S:

$$S = Lc_0 \frac{-a_1}{a_1 + c_1} a_0 \frac{c_1}{a_1 + c_1} S_0 \frac{-a_1 c_2}{a_1 + c_1} Q \frac{a_1}{a_1 + c_1}$$
(28)

238 Using Eq. (28), one may write the parameters a and b in Eq. (4) as:

$$a = \frac{a_1 + c_1}{a_1 L} c_0^{\frac{a_1}{a_1 + c_1}} a_0^{\frac{-c_1}{a_1 + c_1}} S_0^{\frac{a_1 c_2}{a_1 + c_1}}, and \ b = \frac{c_1}{a_1 + c_1}$$
(29)

In the above development, the parameters *a* and *b* are determined by the flow resistance equation of choice. In practice, they may be prescribed empirically based on actual observations. For hillslope flow, there may not exist the necessary physiographic information to prescribe the parameters. In such cases, one may use the fractal relationships if self-similarity holds for the hillslope networks (Menabde and Sivapalan, 2001).

### **4. Approximate explicit solutions**

The exact solution, Eq. (8), is in an implicit form. For practical applications, an explicit solution, which requires inversion of the IBF, is highly desirable. To the best of authors' knowledge, a compact explicit approximation does not exist for the inverse of the IBF. In this section, we offer symbolic approximations instead. The above inverse problem is equivalent to finding the function  $Y = \Psi(X, b)$  such that:

$$\beta_Y(1-b,0) = X$$
(30)

250 The nonlinear routing problem is thus transformed into evaluating the  $\Psi$  function in the 251 following expression:

$$\frac{Q(t)}{I} = \Psi \Big( \beta_{Q_0/I} (1 - b, 0) + a I^b (t - t_0), b \Big)$$
(31)

252 Below, we approximate  $\Psi()$  to solve nonlinear routing with power-law storage explicitly.

### **4.1. Compact expression for rising limb**

To the best of our knowledge, approximations of the inverse of the IBF have not been reported in the literature. In this work, we use the following approximate inverse function developed via symbolic regression analysis. When the initial outflow is smaller than the inflow, i.e.,  $0 < X = \frac{Q(t)}{I} < 1$ , we may approximate  $\Psi_r(X, b)$  with:

$$\Psi_r(X,b) = \operatorname{erf}\left(\frac{1}{p_0 + p_1 X^{p_2}}\right), \qquad 0 < X = \frac{Q(t)}{l} < 1$$
(32)

where erf() denotes the error function, and  $p_o$ ,  $p_1$ , and  $p_2$  denote the coefficients to be

259 prescribed. For -4 < b < 0.01, Eq. (32) may be evaluated with a maximum error of 0.004 260 using the following symbolic solutions for  $p_0$  to  $p_2$ :

$$p_0 = 0.00330406b^3 + 0.0385704b^2 + 0.246985b + 0.261149$$
(33)

$$p_1 = 0.00363074b^6 + 0.0494253b^5 + 0.270008b^4$$

$$+0.763024b^3 + 1.20429b^2 + 1.00517b + 1.29167$$
(34)

$$p_2 = -0.00130776b^6 - 0.0185418b^5 - 0.107914b^4$$
(35)

$$-0.339224 b^3 - 0.652824 b^2 - 0.869868 b - 0.9324260$$

261 For 0.01 < b < 0.7, Eq. (32) has a maximum error of 0.007 when  $p_0$  to  $p_2$  are given by:

$$p_0 = 0.101487b^2 + 0.251458b + 0.264601 \tag{36}$$

$$p_1 = \exp(38.27b^4 - 35.5156b^3 + 14.4538b^2 - 0.665017b + 0.313546)$$
(37)

$$p_2 = -\exp(1.60103b^3 - 0.372287b^2 + 1.15131b - 0.0738377)$$
(38)

262 Because the level pool assumption puts the peak outflow on the inflow hydrograph, Eq. (32) may

263 be used to approximate the peak outflow due to a constant inflow  $I_d$  (m<sup>3</sup>/s), with duration  $t_d$  (s).

For example, if the reservoir is initially empty, the peak outflow of  $Q_p(m^3/s)$  satisfies:

$$\frac{Q_p}{I_d} = \operatorname{erf}\left(\frac{1}{p_0 + p_1 (aI_d{}^b t_d)^{p_2}}\right)$$
(39)

265 The peak storage  $S_p$  (m<sup>3</sup>) may be approximated using Eq. (13) as:

$$S_p = S_0 + \frac{\left(\operatorname{erf}\left(\frac{1}{p_0 + p_1 \left(aI_d^{\ b}t_d\right)^{p_2}}\right)I_d\right)^{1-b} - Q_0^{1-b}}{a(1-b)}$$
(40)

## 266 **4.2. Series expansions for rising and falling limbs**

Following Dominici (2005), an approximate expression for the inverse of the IBF may be obtained by a series expansion in the neighborhood of valid  $X_0$ . This approach utilizes the nested derivative operator defined as:

$$\mathfrak{D}^{z}[f](x) = \frac{d}{dx}[f(x) \times \mathfrak{D}^{z-1}[f](x)]$$
(41)

with  $\mathfrak{D}^0[f](x) = 1$ . Accordingly, the inverse function values for the rising and falling portions of the solution,  $\Psi_r()$  and  $\Psi_f()$ , respectively, are given by:

$$\Psi_r(X,b) = X_0 + f_r(X_0) \sum_{z \ge 1} \mathfrak{D}^{z-1}[f_r](X_0) \frac{\left(X - \beta_{X_0}(1-b,0)\right)^z}{z!},\tag{42}$$

$$f_{\rm r}(X_0) = (1 - X_0) X_0^{\ b}$$

$$\Psi_f(X, b) = X_0 + f_p(X_0) \sum_{z \ge 1} \mathfrak{D}^{z-1} [f_p](X_0) \frac{\left(X - \beta_{X_0}(b, 0)\right)^z}{z!},$$

$$f_p(X_0) = (1 - X_0) X_0^{\ 1-b}$$
(43)

272 Care should be taken in choosing  $X_0$  to ensure  $f_r$  and  $f_p$  have non-zero real values at that point. 273 A faster but less accurate method is to choose a fixed  $X_0$  throughout the entire simulation. A 274 generally more accurate approach is to update  $X_0$  at each time step with the latest known value 275 from the previous time step. Another important factor in applying Eqs. (42) and (43) is the number of terms summed in the partial series. **Fig.** 1 shows the difference between the exact and approximated values of the inverse of the IBF for  $\Psi_r(X, b)$  with  $X_0 = 0.75$ . The figure indicates that even a small number of terms in the series sum yields accurate results if the time increments are chosen for *X* to remain relatively close to  $X_0$ .

### 280 **5.** Applications

This section presents four simple applications of the above solutions to different types of routing problems. The first and second show the ability of the proposed solution in simulating laboratory-observed flows in reservoir and channel, respectively. The third uses the proposed solution for real-world river flood routing and compares with the observed flow and the S-V solution. The last application uses the approximate explicit solution for detention pond design.

# 286 5.1. Reservoir routing with orifice spillway

287 The laboratory data from Kilduff (2002) are used to validate the proposed solution. In this 288 application, a constant inflow of 12.3 mL/s is applied to a cylindrical reservoir with a base area 289 of 71 cm<sup>2</sup>. The inflow is kept constant for a duration of 300 seconds and then shut off completely. The water surface elevation is observed above a 0.4 cm orifice with  $H = \frac{Q^2}{19,131}$ 290 where H denotes the elevation above the outlet in cm and Q denotes the discharge in  $cm^3/s$ . 291 Using Eqs. (21) and (22), one has a = 0.13473 ( $cm^3s^{-2}$ ) and b = -1. Solving Eq. (8) for H 292 with a time step of 5 seconds, we have the adjusted coefficient of determination  $\overline{R}^2$  of 0.993 with 293 294 the observed water surface elevation (see Fig. 2). In the figure, there exists an outlying observed 295 water level. Given that this case study is a simple laboratory experiment with well-known behavior, the outlier is likely to be an observational error. Since b = -1, Eq. (16) is applicable 296 297 in this example and the routed hydrograph can be obtained implicitly in terms of the Lambert W

function as  $Q(t) = 12.3(W(-0.36788e^{-0.01095t}) + 1)$  for t < 300. After the inflow is shut off, Eq. (12) gives Q(t) = 52.54739 - 0.13473t for  $300 \le t < 390$ . Note that in this application the explicit analytical solution of Eq. (16) results in peak water level of 74.86 cm which is very close to the observed value of 75.03 cm. For comparison, the compact approximate solution of Eq. (32) yields a peak water level of 76.32 cm.

# 303 **5.2.** Level pool channel routing with significant floodplain storage

304 De Martino et al. (2012) assessed the assumption of level pool routing in an experimental 305 channel. They confirmed via extensive experimental investigations that the level pool 306 assumption may be reliably applied for floodplain storage and concluded that vegetation and 307 channel bottom irregularities are larger sources of uncertainties in flood modeling than the level 308 pool assumption. They also deduce that simple storage-based methods such as the ones presented 309 in this paper are preferred for preliminary sizing of floodplain storages in flat areas. In the 310 following, we validate the proposed solutions using the data from the out-of-bank portion of one 311 of their experiments where the power-low equations hold true. In this test, the flow is out of bank during the period of 52 to 350 seconds. With the floodplain storage area of  $A = 29.12 m^2$ , the 312 storage-elevation relationship is linear with S = 29.12 H. The outlet is a sluice gate with a 0.05 313 m opening. Using Eqs. (18) through (22), one arrives at  $a = 6.98 \times 10^{-5} (m^3 s^{-2})$  and b = -1. 314 315 Based on these values, the explicit solution of Eq. (16) is used with a time step of 10 seconds to predict the observed flows with  $\overline{R}^2 = 0.951$  as shown in Fig. 3. Note that, from 52 to 212 316 317 seconds, the inflow is effectively constant for which the average value is 0.066 m<sup>3</sup>/s. Given the 318 initial outflow of 0.035 m<sup>3</sup>/s, the Lambert W function-based explicit solution of Eq. (16) is able 319 to predict the peak observed outflow of  $0.043 \text{ m}^3/\text{s}$  with only one calculation step.

### 320 **5.3.** Channel routing

In this example, a flash flooding event in a natural waterway was chosen from Akbari and 321 322 Barati (2012). The channel has width of 10 m, slope of 0.0012, length of 25 km, and Manning's 323 roughness of 0.035 s/  $m^{1/3}$ . For this event, the observed inflow and outflow hydrographs are 324 available as well as the S-V solution which is used here for additional comparison. Fig. 4 shows that the proposed solution with calibrated parameters of  $a = 4.25 \times 10^{-4} (m^{-0.5982} s^{-0.8006})$ 325 326 and b = 0.1994, and a time step of 1 min is able to simulate the observed flows extremely closely ( $\bar{R}^2 = 0.997$ ). Overall, the nonlinear storage routing compares well with the S-V 327 328 solution. Not surprisingly, however, the shape of the hydrograph and the peak flow are less 329 accurate than the S-V solution due to the level pool assumption which forces the peak outflow on 330 the inflow hydrograph.

# 331 **5.4. Detention pond design**

332 In this application, the approximate explicit solution of Eq. (39) is used to design a detention 333 pond. The pond has a 10 m-by-5 m rectangular base. It is desired to size an orifice outlet to 334 reduce peak outflow for a 0.25 m<sup>3</sup>/s inflow. The approximate solution of Eq. (39) may be used to 335 estimate the reduction in peak outflow. The peak storage curves (see **Fig. 5**) obtained from Eq. 336 (40) may be used to verify that the maximum available physical storage is not exceeded. 337 Alternatively, given the dimensions of the pond and the orifice outlet, one may approximate the 338 combined effect of changes in the magnitude and duration of inflow on the peak outflow. Fig. 6 339 shows the results with a 0.5 m-diameter outflow orifice.

#### 340 **6. Discussion**

341 The main purpose of this study is to provide new analytical solutions for level pool routing 342 with general power-law storage-discharge relationship, and to advance the theory of nonlinear hydrologic routing. A number of examples are presented to demonstrate the utility of the 343 344 analytical solutions in a wide range of practical applications. If accuracy is of primary concern, 345 the analytical solutions of Eqs. (8), (10), and (16) should be favored over numerical solutions. 346 The choice of the routing method, however, depends on many other factors such as the validity 347 of the underlying assumptions, nonlinearity of flow, availability of both real-time and historical 348 data, parsimony desired, ease of implementation, and computational requirements and resources 349 available. The relative importance of these factors is very often application-specific and hence 350 the choice of the solution approach may vary significantly. Below we elaborate on the 351 assumptions and limitations for the proposed solutions and offer computational considerations 352 for implementation to aid such decision making. For comparisons among different numerical 353 flood routing methods, the reader is referred to Strelkoff (1980), Ponce et al. (1997) and Ponce 354 (2014).

355 Power-law storage function is a fundamental assumption for the presented solutions. As 356 described in Section 3, these parameters represent the geometry and hydraulics in the storage-357 discharge relationship. In applying the solutions presented in this work, it is important that the 358 power-law definitions are consistent with the formulations in Section 3 as alternative 359 formulations are also possible. Note also that the solution presented is limited to the elevations 360 above spillway, i.e., the surcharge storage (Viessman and Lewis, 2008). Power-law storage 361 function is generally not consistent with multiple types of outlet structures but may still provide 362 acceptable approximation.

363 If the power-law storage-discharge relationship is not sufficiently well modeled by a single set 364 of coefficients, it may be necessary to employ multiple sets of the parameter estimates for local 365 approximation. Such approaches are routinely practiced in operational river routing in the form 366 of layered coefficient routing (Fread, 1985; NWS, 2021b). As such, the power-law assumption is 367 not as large a limiting factor as it may first appear. As is often the case in practice, one may 368 improve the accuracy by calibrating the two coefficients, or adjusting the a priori estimates 369 obtained from the geometric and hydraulic considerations, based on observed hydrographs when 370 and where available. It is also noted here that the IBF solution offers a potentially significant 371 advantage in gradient-based parameter optimization over other numerical routing techniques 372 because the derivatives can be evaluated very accurately.

373 The proposed solutions assume a level pool reservoir. It is widely accepted that level pool 374 routing is more appropriate for smaller reservoirs with rounder shape where backwater effects 375 are not significant (Chow et al., 1988; Ionescu and Nistoran, 2019). Level pool routing is also 376 widely used for channel routing for which a reach is subdivided into a series of level pools with 377 prescribed storage-discharge relationships (USACE, 2021). The degree of attenuation in the 378 routed flood wave may vary depending on the number of sub-reaches chosen, which is often 379 treated as a calibration parameter (Bonner, 1990; USACE, 1994). Level pool routing, and hence 380 the proposed solutions, are not recommended for streams with gradients less than  $\sim 0.0004$ 381 to.0006, reaches with time-varying boundary conditions such as tides or rapidly rising flood 382 hydrographs (Bonner, 1990; USACE, 1994).

383 The assumption of constant inflow is of little practical significance because the observed and 384 simulated inflow hydrographs are already discretized according to the sampling interval of the 385 instrument and the time step of the simulation, respectively. If the hydrograph is over-sampled

such that the discharge varies very little over a short time period, one may coarsen the
discretization to reduce the number of IBF evaluations. In such a case, the level of discretization
should be chosen such that the sampling frequency captures the variations and peakedness in the
inflow hydrograph.

390 The analytical solution requires evaluation of the IBF. If the IBF is not available as a built-in 391 or intrinsic function in the user's computing environment, one may use external mathematical 392 libraries (see for example http://www.meta-numerics.net/ and https://www.boost.org/). The main 393 computing requirement for the analytical solution comes from solving for Q in the implicit 394 expressions of Eqs. (8) and (10). The above nonlinear root finding problem may be solved using 395 a number of readily available techniques (Faires and Burden, 2012). A potential difficulty in the 396 above solution is slow convergence near the poles of the IBF but may be avoided by using 397 derivative-free techniques. For example, with the bisection method (Faires and Burden, 2012), a conservative estimate for the number of iterations required to determine Q is  $\log_2\left(\frac{|I-Q_0|}{TQL}\right) + 1$ 398 399 where TOL is the desired tolerance (Faires and Burden, 2012). Though there may exist more 400 efficient methods, the bisection method is very attractive for the IBF, a strictly monotonically 401 increasing function, owing to its simplicity and the availability of the a priori estimate for 402 convergence.

Because the proposed solution is analytical, one does not have to be concerned about
numerical errors or diffusion, and may hence expect to obtain extremely accurate solutions. To
illustrate, below we offer a comparison of the proposed solution with a number of numerical
integration schemes for an inflow hydrograph shown as a series of pulses in Fig 7. The example
hydrograph is based on Fenton (2010) in which a small reservoir with square base of 100 m by

408 100 m and a weir outlet with a width of 4 m is subjected to an inflow hydrograph of the409 following general form:

$$I(t) = I_0 + \left(I_p - I_0\right) \left(\frac{t}{T_p} e^{\left(1 - \frac{t}{T_p}\right)}\right)^5$$
(44)

410

where  $I_0$  is the initial inflow of 1 m<sup>3</sup>/s,  $I_p$  is the peak inflow of 20 m<sup>3</sup>/s, and  $T_p$  is the time to peak 411 412 of 30 min. It follows from Section 3.1 that a is 0.000554  $m^3s^{-2}$  and b is 0.31927. The above 413 hydrograph is resampled at an interval of 300 s to emulate a discrete observed hydrograph in the 414 real world. An accurate Method of Lines numerical solution with high-resolution adaptive mesh 415 (Hamdi et al., 2007; Wolfram, 2021) is used to obtain the reference 'true' outflow hydrograph 416 (see Fig 7). We then used the different solution methods shown in Table 1 to route the inflow 417 hydrograph at time steps of 10, 30 and 60 s. The small time steps were chosen to ensure that the 418 variations in the inflow hydrograph are captured for all solution techniques considered. 419 Fig. 7 shows the results for the analytical solution with  $\Delta t = 60$  s vs. the reference truth. As 420 expected, they are indistinguishably close. Table 1 shows the maximum errors in percent in the 421 proposed and numerical solutions for the duration of the hydrograph. Because all three time steps 422 are smaller than the sampling interval of the inflow hydrograph (i.e., 300 s), the analytical 423 solution results are effectively the same for all time steps. The maximum errors in the numerical 424 solutions, on the other hand, show significant sensitivity to the time step and vary significantly 425 among themselves. It is seen that the higher-order solutions tend to be more accurate among the 426 numerical solutions, and that the proposed solution is far more accurate than any of the 427 numerical solutions even at the smallest time step of 10 s.

Time Ste	ep	$\Delta t = 10 \text{ s}$	$\Delta t = 30 \text{ s}$	$\Delta t = 60 \text{ s}$
Incompl	ete Beta Function (Proposed Solution)	-0.000076%	-0.000076%	-0.000076%

Euler (Order=1)	-3.159%	-8.931%	-16.43%
Midpoint (Order=2)	-0.00469%	-0.04219%	-0.1687%
Runge-Kutta (Order=2)	-1.589%	-4.497%	-8.277%
Runge-Kutta (Order=3)	-0.5298%	-1.503%	-2.788%
Runge-Kutta (Order=4)	0.1123%	0.9001%	3.05%

428

Table 1- Maximum error of routing solution for the test inflow shown in Fig 7.

# 429 **7.** Conclusions and future research recommendations

430 An exact implicit solution for nonlinear reservoir routing with a general power-law storage 431 function is presented. Expressed in terms of the incomplete beta function (IBF), the solution is 432 valid for inflow hydrographs that may be represented by a series of pulses of arbitrary widths. 433 The solution thus extends the existing analytical solutions reported in the literature which are 434 valid only for specific exponents in the power-law storage function. For reservoirs with linear 435 storage-elevation relationship and a single orifice or submerged sluice gate outlet, an explicit 436 compact solution expressed in terms of the Lambert-W function is presented. To facilitate the 437 application of the new solution to reservoir and channel routing, the two power-law storage 438 function parameters are expressed in terms of the geometry of the reservoir, rating curve, and 439 flow resistance. The exact solution applies only for constant inflow and is in an implicit form for 440 the general case. For practical applications, several highly-accurate, approximate explicit 441 solutions are also presented. To demonstrate the accuracy and utility of the new solutions, four 442 simple applications are presented for reservoir routing, channel routing, and detention pond 443 design. Being exact, the new solution is not subject to numerical errors or instabilities. It is 444 therefore particularly useful in nonlinear routing applications when accuracy is of particular 445 importance. The solution may also be useful in network or system optimization as well as design 446 analysis that requires derivatives.

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### **List of Figure Captions**

**Fig.1.** An example of approximation error for various number of terms of Eq. (42) for X 0 = 0.75 and b = 0.67.

Fig.2. Calculated and observed water levels of Example 1.

Fig.3. Comparison of calculated outflows with observed values of Example 2.

Fig.4. Comparison of the proposed solution vs. S-V solution for Example 3.

Fig.5. Peak storage as a function of inflow duration and orifice diameter.

Fig.6. Peak flow as a function of inflow duration and inflow magnitude.

**Fig.7.** An example inflow function was produced by resampling Eq. (44) to emulate a discrete observed hydrograph in the real world. The results from the proposed analytical solution applied at  $\Delta t=60$  s intervals can closely simulate the accurate benchmark solution.















Time (hr)