

1 Assessing the global warming potential of human settlement expansion in a mesic  
2 temperate landscape from 2005 to 2050

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4 Andrew B. Reinmann\*<sup>1</sup>, Lucy R. Hutyra<sup>1</sup>, Andrew Trlica<sup>1</sup> and Pontus Olofsson<sup>1</sup>

5 <sup>1</sup>Department of Earth and Environment, Boston University, 685 Commonwealth Ave.,  
6 Boston, MA 02215.

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8 \*Corresponding Author:

9 Andrew B. Reinmann (T) 617-353-8828; (F) 617-353-8399; email: reinmann@bu.edu

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11 Co-author email addresses:

12 Lucy R. Hutyra: lrhutyra@bu.edu

13 Andrew Trlica: atrlica@bu.edu

14 Pontus Olofsson: olofsson@bu.edu

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20 **Abstract:**

21           Expansion of human settlements is an important driver of global environmental  
22 change that causes land use and land cover change (LULCC) and alters the biophysical  
23 nature of the landscape and climate. We use the state of Massachusetts, United States  
24 (U.S.) to present a novel approach to quantifying the effects of projected expansion of  
25 human settlements on the biophysical nature of the landscape. We integrate nationally  
26 available datasets with the U.S. Environmental Protection Agency's Integrated Climate  
27 and Land Use Scenarios model to model albedo and C storage and uptake by forests and  
28 vegetation within human settlements. Our results indicate a 4.4 to 14% decline in forest  
29 cover and a 35 to 40% increase in developed land between 2005 and 2050, with large  
30 spatial variability. LULCC is projected to reduce rates of forest C sequestration, but our  
31 results suggest that vegetation within human settlements has the potential to offset a  
32 substantial proportion of the decline in the forest C sink and may comprise up to 35% of  
33 the terrestrial C sink by 2050. Changes in albedo and terrestrial C fluxes are expected to  
34 result in a global warming potential (GWP) of +0.13 Mg CO<sub>2</sub>-C-equivalence ha<sup>-1</sup> yr<sup>-1</sup>  
35 under the baseline trajectory, which is equivalent to 17% of the projected increase in  
36 fossil fuel emissions. Changes in terrestrial C fluxes are generally the most important  
37 driver of the increase in GWP, but albedo change becomes an increasingly important  
38 component where housing densities are higher. Expansion of human settlements is the  
39 new face of LULCC and our results indicate that when quantifying the biophysical  
40 response it is essential to consider C uptake by vegetation within human settlements and  
41 the spatial variability in the influence of C fluxes and albedo on changes in GWP.

42 Keywords: albedo, carbon, climate change, forests, land use change land cover change,

43 urbanization

44

45 **1. Introduction:**

46 Human alterations to land use and land cover are important drivers of global  
47 environmental change by invoking large perturbations to the terrestrial carbon (C) cycle  
48 and surface energy dynamics (Arnfield, 2003; Georgescu et al. 2014; Houghton et al.,  
49 2012; Ramankutty & Foley, 1999). Fortunately, global rates of deforestation have  
50 stabilized or are declining (Food and Agriculture Organization, 2010), however, human  
51 settlements are rapidly expanding (Seto et al., 2012) and becoming the new face of land  
52 use and land cover change (LULCC). The spatial extent of many of the world's largest  
53 cities increased 16-fold during the 20<sup>th</sup> century (Angel et al., 2011) due to rapid  
54 population growth and migration of people from rural areas to cities (Grimm et al., 2008).  
55 Urban lands now cover ~3% of the global land area (Liu et al., 2014) and are expanding  
56 twice as fast as their populations (Angel et al., 2010; Angel et al., 2011). The extent of  
57 urban land cover is expected to triple between 2000 and 2030 (Seto et al., 2012), but  
58 declining densities of metropolitan areas may expedite growth rates (Angel et al., 2011).  
59 The United States (U.S.) has the largest urban extent of any country (112,000 km<sup>2</sup>; Angel  
60 et al., 2011) and developed land is its most rapidly expanding biome (Sleeter et al., 2013;  
61 USDA, 2013). While urban areas have more than doubled between 1950 and 2000, the  
62 extent of exurban development (i.e., just beyond the urban fringe) has increased five-fold  
63 (Brown et al., 2005). Following these trends, by 2025 developed land is projected to  
64 comprise 9.2% of the contiguous U.S., an area nearly the size of Texas (Alig et al., 2004).

65 Expansion of human settlements is of growing concern because it results in  
66 complex patterns of intermixed vegetated and impervious surface areas and ecosystem  
67 fragmentation that introduce large, and often permanent, shifts in the biophysical

68 composition of the global landscape. For example, human settlements can convert  
69 landscapes from a sink to source of C to the atmosphere by reducing biogenic C uptake  
70 and increasing fossil fuel combustion (Imhoff et al., 2004; Hutyra et al., 2011). Similarly,  
71 shifts in albedo following expansion of human settlements can alter the energy balance  
72 and climate at local, regional and even continental scales (Menon et al., 2010; Oke,  
73 1973).

74 Human settlements are increasingly being recognized as an important part of the  
75 terrestrial C cycle (Churkina et al., 2010; Hutyra et al., 2014; Pataki et al., 2006), but  
76 their effects can be difficult to quantify due to the heterogeneous nature of development  
77 and associated impacts on biogenic C fluxes. In mesic environments, expansion of human  
78 settlement tends to reduce vegetation biomass and C storage. For example, Raciti et al.  
79 (2014) found that biomass C in the City of Boston, Massachusetts was 75% lower than an  
80 intact forest, but there was considerable spatial variation within the city driven by  
81 variations in development intensity. Similar effects of development on C storage were  
82 observed in the Seattle, Washington where biomass declined over time and with  
83 proximity to the urban core (Hutyra et al., 2010). In contrast, expansion of human  
84 settlements in arid environments can increase C storage when native vegetation is  
85 replaced with trees and lawns (e.g., Golubiewski, 2006).

86 Growing conditions are also often altered as a landscape is developed. Cultural  
87 practices such as watering (Mini et al., 2014) and fertilization as well as increased  
88 nitrogen deposition (Rao et al., 2014), CO<sub>2</sub> fertilization (Idso et al., 1998) and a longer  
89 growing season associated with the urban heat island effect (Yang et al., 2013) can  
90 potentially increase productivity of vegetation in developed landscapes. In contrast,

91 elevated exposure to pollutants such as ozone can reduce productivity (Gregg et al.,  
92 2003). While little is known about how these factors interact to affect tree growth, recent  
93 work suggests that the productivity of trees can double when the surrounding land is  
94 developed (Briber et al., 2015). Across large geographic areas, vegetation biomass and C  
95 assimilation generally decrease with increasing development intensity (Zhao et al., 2012)  
96 and urbanization has been estimated to reduce U.S. national annual net primary  
97 productivity (NPP) by 1.6%, compared to the pre-urban era (Imhoff et al., 2004).

98         As human settlements expand, vegetation and other natural land covers are  
99 replaced with roads, sidewalks, buildings and parking lots. This process creates a mosaic  
100 of surfaces with differing albedo characteristics, which in aggregate, can change the  
101 surface energy dynamics of the landscape (e.g., Georgescu et al. 2014; Sleeter et al.,  
102 1995). For example, LULCC between 1973 and 2000 was estimated to reduce the albedo  
103 of the contiguous U.S. (Barnes & Roy, 2010). However, albedo values across the  
104 continuum of surfaces that exist within a developed landscape can vary by 50% (Barnes  
105 & Roy, 2010; Sailor et al., 1995). As a result, expansion of human settlements can warm  
106 or cool the local or regional climate depending on the relative abundance and distribution  
107 of different surfaces (Kong et al., 2014).

108         While the expansion of human settlements clearly affects the terrestrial C cycle  
109 and surface energy budgets at local to global scales, most of the developed land that will  
110 exist by 2050 has yet to be built. While this may mean that the largest impacts of  
111 development are yet to come, there is also the opportunity for scientists, policymakers  
112 and land managers to shape the form and magnitude of these impacts (Georgescu et al.  
113 2014; Lawler et al. 2014). In recent years, several studies have improved our

114 understanding of the potential impacts of future human settlement expansion on U.S. land  
115 covers across a range of development trajectories obtained from the IPCC Special Report  
116 on Emissions Scenarios (Bierwagen et al., 2010; Nakicenovic & Swart, 2000; Sohl et al.,  
117 2012; Sohl et al., 2014), econometric models (e.g., Radeloff et al., 2012; Strengers et al.,  
118 2004), projections of cropland demand (e.g., Lawler et al., 2014) and recent patterns of  
119 development (e.g., Thompson et al., 2011). However, these studies did not explicitly  
120 project changes in C fluxes, surface energy dynamics and global warming potential  
121 (GWP) associated with expansion of human settlements. Seto et al. (2012) projected  
122 changes in the global extent of urban areas, but primarily focused on the C implications  
123 of urbanization in tropical regions.

124         The objectives of this study are to a) present an approach to quantifying the  
125 effects of projected changes in human settlements on terrestrial C storage and fluxes, and  
126 surface albedo at a spatial resolution sufficient to aid in policy decision making at the  
127 municipal scale, and b) assess the GWP of these biophysical changes to the landscape.  
128 We integrate nationally available datasets on land cover and forest biometrics with the  
129 U.S. Environmental Protection Agency's (EPA) Integrated Climate and Land Use  
130 Scenarios (ICLUS) model (Bierwagen et al., 2010). The state of Massachusetts located in  
131 the northeastern U.S. is used as an initial case study to develop this approach because of  
132 the existence of high quality data sets, rapid rates of development in recent history and its  
133 high proportions of both forested and developed land covers, Massachusetts is  
134 simultaneously the eighth most forested and third most densely populated state in the  
135 U.S.

136

137 **2. Methods:**

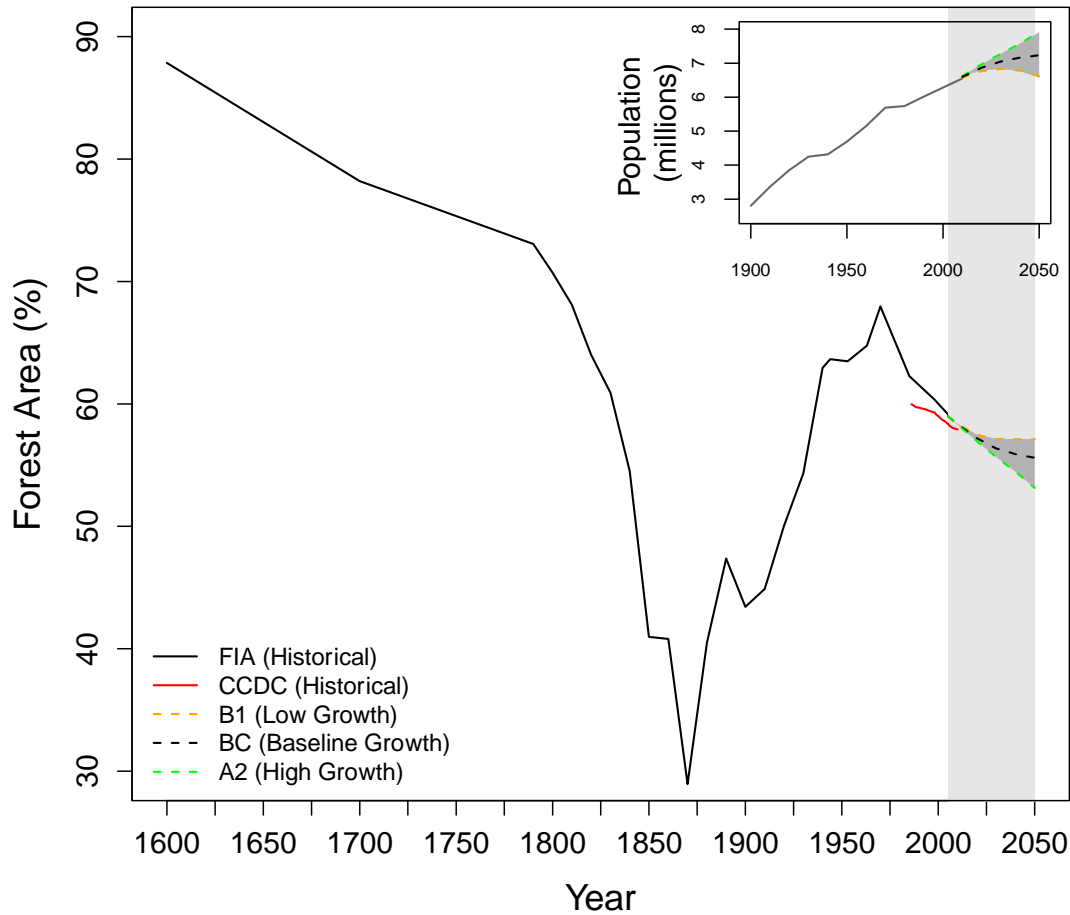
138 *2.1. Study Area and Land Use and Land Cover History*

139           Massachusetts has a population of 6.7 million people and five cities with more  
140 than 100,000 people with Boston being the most densely populated city (5,151 people  
141 km<sup>-2</sup>; U.S. Census Bureau). Massachusetts has a humid, continental climate characterized  
142 by warm summers and cold, snowy winters with seasonal temperature ranges generally  
143 increasing from east to west. The capital city, Boston is located on the east coast of the  
144 state has mean monthly temperatures of -1.7° C in January and 23.3° C in July and  
145 receives approximately 1,100 mm of precipitation, evenly distributed throughout the year  
146 (National Climatic Data Center, 2010). Mixed-deciduous temperate forest is the dominant  
147 natural land cover type.

148           Massachusetts, similar to most of the eastern U.S., was nearly entirely forest prior  
149 to European colonization (ca. 1600), but rapid agricultural expansion reduced forest  
150 cover to <30% of the land area by the middle of the 19<sup>th</sup> century (Foster & Aber, 2004;  
151 Jeon et al., 2014; Fig. 1). Agricultural abandonment allowed forest cover to increase  
152 during the latter half of the 19<sup>th</sup> century, in parallel with population growth, until it  
153 peaked at nearly 70% of the land area in 1970. A new wave of deforestation began  
154 around 1970 as expansion of human settlements began to directly compete with forests  
155 for land. Between 1971 and 1999 forest cover declined by ~4,000 ha yr<sup>-1</sup> (U.S. Forest  
156 Service Forest Inventory and Analysis Program; FIA), almost entirely for development of  
157 residential housing (Thompson et al., 2014). This trend continued in the 1990s and 2000s;  
158 Jeon et al. (2014) estimated the rate of forest loss driven by residential and commercial  
159 development to be 3,100 ha yr<sup>-1</sup> between 1990 and 2000, and 1,700 ha yr<sup>-1</sup> between 2000

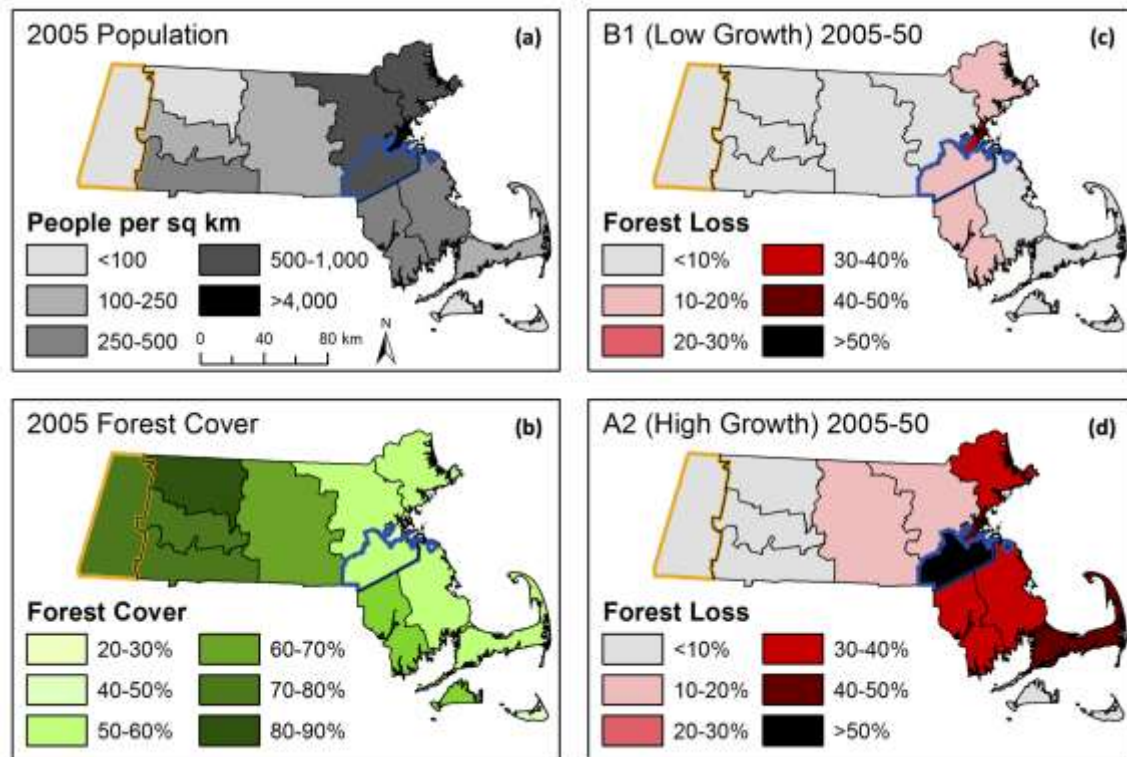


160 and 2005. Currently, the dominant land cover types (as a proportion of total land area  
161 excluding water) are forest (65%) and developed (26%), with forest cover declining from  
162 west to east, inversely related to population density (Fig. A.1; Fig. 2a,b). Agricultural  
163 land comprises 7% of total land area.



164  
165 Figure 1. Historic and projected future changes in forest cover and population (inset) in  
166 Massachusetts. Note, data presented here from the Continuous Change Detection and  
167 Classification (CCDC) algorithm represent the area of forest lost to development and not  
168 the net change in total forest area. Light grey shading highlights the time period modeled

169 and the dark grey shading spans the projected range in change in forest area and  
170 population across the development trajectories.  
171



172  
173 Figure 2. County-level 2005 population density (a), 2005 forest cover (b) and loss of  
174 forest area between 2005 and 2050 for the B1 (low growth; c) and A2 (high growth; d)  
175 development trajectories. Rural Berkshire County and rapidly developing Norfolk County  
176 are outlined in bold orange and blue lines, respectively.

177

## 178 2.2. Historical and Projected Changes in Human Settlements

179 We used rates of forest lost to development estimated from satellite data and  
180 reference observations as independent sources for comparison with the ICLUS-based  
181 projections in land cover change. Time series of Landsat data at 30 m resolution covering

182 Massachusetts were analyzed using the Continuous Change Detection and Classification  
183 (CCDC) algorithm (Zhu & Woodcock, 2014) to map the annual rate of forest loss and  
184 residential development between 1986 and 2012 (data through 2010 are used in the  
185 present study; Olofsson et al., submitted). The map was used to stratify a sample of  
186 reference observations from which rates of land cover change were estimated using  
187 stratified estimation (Olofsson et al., 2013; Olofsson et al., 2014).

188         Development through 2050 was modeled using the U.S. EPA's ICLUS; ICLUS is  
189 freely available online ([www.epa.gov/ncea/global/iclus](http://www.epa.gov/ncea/global/iclus)) and has been previously  
190 described by Bierwagen et al. (2010). This model uses county-level population growth  
191 projections from the U.S. Census Bureau, standard demographic approaches and the  
192 Spatially Explicit Regional Growth Model to develop five different scenarios to project  
193 changes in housing density in the U.S. at a 1 ha resolution. These scenarios follow the  
194 main storylines of the IPCC's Special Report on Emissions Scenarios (Nakicenovic &  
195 Swart, 2000). The model blocks out land that is considered undevelopable due to legal  
196 (e.g., parks and conservation land) or land cover (e.g., water) restrictions (area modeled  
197 by ICLUS covers 73% of Massachusetts and is hereafter referred to as 'ICLUS domain')  
198 and divides the rest of the country into 13 different housing density categories broadly  
199 designated as 'rural' (categories 1-4), 'exurban' (categories 5-8), 'suburban' (categories  
200 9-10) and 'urban' (categories 11-13). Using the ICLUS tools for ArcGIS (v.10.1; ESRI  
201 2012), we ran the model from 2005 to 2050 using the baseline growth (BC), high growth  
202 (A2) and low growth/sustainability focused (B1) development trajectories, which  
203 together encompass the range in housing density projections. The first projected year is  
204 2010 and we ran at 10-year time steps thereafter. All projected changes in land cover and

205 C pools/fluxes refer to only the land area within the Massachusetts ICLUS domain,  
206 unless otherwise noted.

207

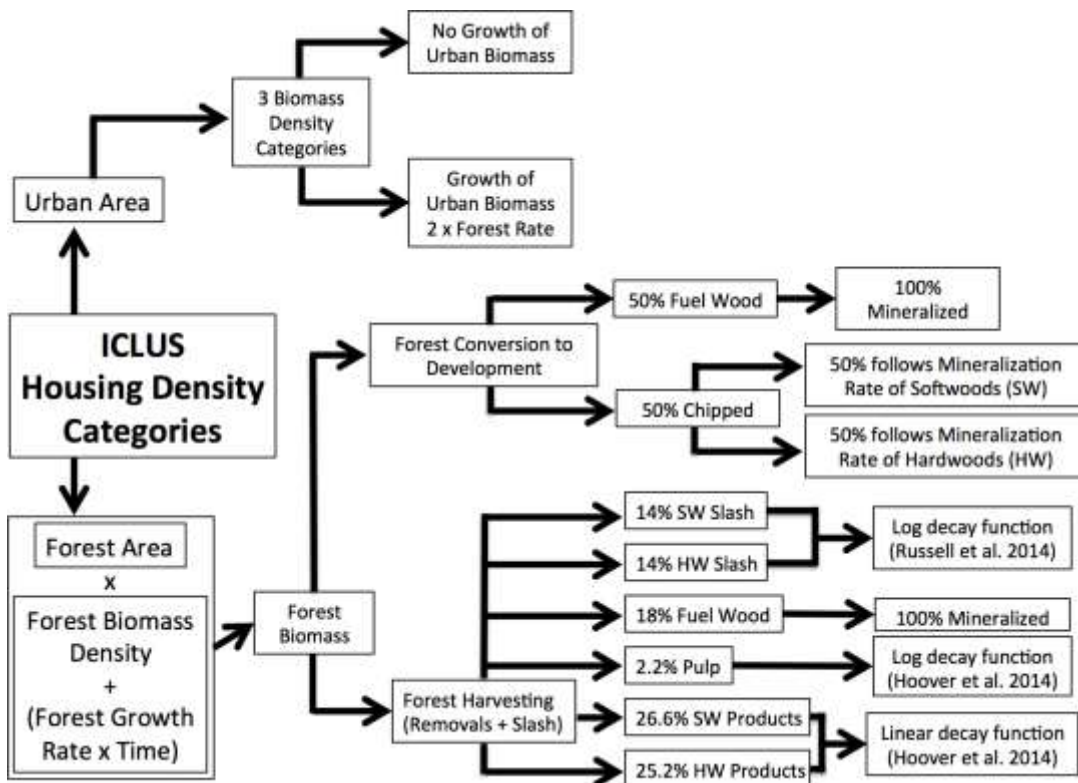
### 208 *2.3. Inferring Land Cover Change from ICLUS Housing Densities*

209       Using ArcGIS, we intersected the 2006 National Land Cover Database (NLCD;  
210 Jin et al. 2013) 30 m resolution land cover layer with the 2005 ICLUS housing density  
211 layer to empirically define land cover composition for each of the 13 different ICLUS  
212 housing density categories (Fig. A.2a,b). NLCD uses the Anderson Land Cover  
213 Classification System to define 20 land covers, which we consolidated into five  
214 categories: forest, agriculture (i.e., grassland, pasture and cropland), urban/developed,  
215 water and other. Land cover composition of each housing density category (i.e., coverage  
216 of each land cover as a proportion of land area, excluding water) was quantified  
217 separately for each of the 14 counties in Massachusetts. Land cover proportions within a  
218 given housing density were assumed to remain static over time and future changes in land  
219 cover were inferred by applying these land cover proportions to ICLUS projected  
220 changes in housing density. This approach tended to underestimate the annual rate of  
221 forest lost to development obtained from the NLCD 2001 and 2006 land cover layers. To  
222 adjust for this bias we multiplied the ICLUS-based projected changes in forest area using  
223 county-specific scalars (Table A.1) that were calculated by dividing the NLCD-derived  
224 rate of forest lost to development by the ICLUS 2005 to 2010 estimate.

225

### 226 *2.4. Terrestrial Carbon Pools and Fluxes*

227 We developed an empirically based bookkeeping approach, similar to those used  
 228 by Houghton et al. (1983; 1999), to model the effects of timber harvesting and projected  
 229 changes in land cover on aboveground terrestrial C storage and fluxes. The model tracks  
 230 10 different C pools including C accumulation in biomass of forests and human  
 231 settlements and C losses associated with timber harvesting and conversion of forests to  
 232 human settlements (Fig. 3). Conversion of agricultural land to human settlements  
 233 comprises a small proportion of the land developed and we assume no net decline in  
 234 aboveground C storage from this land conversion trajectory following Hutrya et al.  
 235 (2011).



236  
 237 Figure 3. Expansion of human settlements carbon bookkeeping model framework.  
 238

239 Forest aboveground live tree biomass density ( $\text{Mg C ha}^{-1}$ ) was obtained for each  
240 county using the Carbon OnLine Estimator tool (COLE v. 2.0;  
241 [www.ncasi2.org/GCOLE/gcole.shtml](http://www.ncasi2.org/GCOLE/gcole.shtml); Van Deusen and Heath 2015), which is a web  
242 suite of applications that uses FIA plot-level data to generate a range of user-defined  
243 forestry statistics and C estimates (Heath 2012; Proctor et al. 2005). The COLE output  
244 includes means, sample size, and standard error for each county. Rates of C accumulation  
245 in live aboveground forest biomass between 2005 and 2050 were projected using forest  
246 growth curves that were calculated using a space-for-time substitution approach and  
247 quantifying the relationship between stand age and aboveground biomass from data  
248 extracted with the COLE tool. To account for differences in forest type and area-  
249 weighted site-indices between the 11 counties comprising Mainland Massachusetts  
250 (hereafter ‘Mainland’) and the three counties comprising Cape Cod and adjacent islands  
251 (hereafter ‘Cape and Islands’) we developed a separate growth curve for each of these  
252 two regions. The FIA forest growth rates (Table A.2) were linear for both the Mainland  
253 and Cape and Islands for the projected ranges in forest age across Massachusetts. Site  
254 indices varied widely among the three counties comprising the Cape and Islands.  
255 Therefore, forest growth rates for each county were adjusted based on how that county’s  
256 site index deviated from the Cape and Islands area-weighted site index (Table A.2). For  
257 each county and time step, C density of forest aboveground live tree biomass and growth  
258 rates were multiplied by forest area to quantify changes in forest C storage and uptake,  
259 respectively. Forest biomass removed during expansion of human settlement was  
260 quantified by multiplying C density by the area of forest lost between time steps. In  
261 Massachusetts it is generally not economically viable to commercially harvest and

262 process timber from parcels being developed and biomass removed is generally burned or  
263 chipped (D. Kittredge, personal communication). For our bookkeeping model, it was  
264 assumed that half of the biomass removed during development was burned as firewood  
265 and half was left to decompose as slash and wood chips.

266 Timber harvesting annually affects a small percentage of the forested area in  
267 Massachusetts (<1.5%; McDonald et al., 2006), it was included for completeness and  
268 comparison with expansion of human settlements. We assume the area harvested,  
269 intensity of harvest, and composition and fate of removals follow the patterns reported by  
270 McDonald et al. (2006).

271 Carbon emissions associated with biomass removed during development and  
272 harvesting were quantified for each county and time step using unique C mineralization  
273 rates for each C pool. We assumed all C in burned biomass was emitted into the  
274 atmosphere within one year. Biomass that was left onsite to decompose (i.e., slash and  
275 wood chips) as well as softwood products, hardwood products and pulp (i.e., paper) were  
276 assumed to lose mass following decay functions described by Russell et al. (2014) and  
277 Hoover et al. (2014), respectively. For all C pools that were not burned, it was assumed  
278 that two-thirds of the C was emitted into the atmosphere while the remaining one-third  
279 was converted to a long-term turnover pool (Nakane et al., 1996) that was stable for the  
280 duration of the model run.

281 While the expansion of human settlements removes forest biomass, some biomass  
282 remains onsite as remnant trees and planted ornamentals (Raciti et al., 2012). We assume  
283 that the developed proportion of each housing density category within a county has a  
284 biomass C density proportional to that county's forest biomass C density that is

285 commensurate with those reported by Raciti et al. (2012) for a range in residential  
286 development intensities along an urban to rural gradient in Massachusetts. For example,  
287 the residential biomass density proportions that we applied to the 13 different ICLUS  
288 housing density categories are:  $0.44 \pm 0.01$  for rural and exurban residential (i.e.,  $< 0.5$   
289 housing units  $\text{ha}^{-1}$ ; ICLUS categories 1 to 8),  $0.36 \pm 0.01$  for suburban residential (i.e.,  
290  $0.5$  to  $4$  housing units  $\text{ha}^{-1}$ ; ICLUS categories 9 and 10) and  $0.16 \pm 0.04$  for urban  
291 residential (i.e.,  $> 4$  housing units  $\text{ha}^{-1}$ ; ICLUS categories 11 to 13). While the effects of  
292 human settlement on the growth rates of remnant trees is poorly understood, we explored  
293 the likely range in residential tree biomass growth scenarios: a) intensive management of  
294 trees (i.e., pruning and mortality) offsets growth and results in no net C accumulation in  
295 trees of residential areas (hereafter ‘No Urban Tree Growth’ scenario) and b) no intensive  
296 management coupled with enhanced growing conditions in residential areas (e.g.,  
297 increased light and nitrogen availability and a longer growing season) doubles the rate of  
298 net C accumulation in remnant trees relative to forest trees (hereafter ‘2x Urban Tree  
299 Growth’ scenario; following results from Briber et al., 2015).

300

### 301 *2.5. Fossil Fuel Emissions*

302 County-level emissions for off-road, residential and commercial sectors were  
303 calculated using data from the US EPA 2011 National Emissions Inventory version 1  
304 (U.S. EPA, 2013). Off-road  $\text{CO}_2$  emissions are estimated directly and residential and  
305 commercial CO emissions were converted to  $\text{CO}_2$  using emissions factors from the US  
306 EPA WEBFire database and the Vulcan 2.0 Methodology Documentation (Gurney et al.,  
307 2009). On-road emissions were obtained from Gately et al. (2015). Emissions from these



308 four sectors comprised 91% of the total 2010 fossil fuel emissions in Massachusetts and  
309 were combined into one per capita value for fossil fuel emissions for each county. Fossil  
310 fuel CO<sub>2</sub> emissions were then projected out to 2050 as a function of population growth.  
311 We include emissions from only these four sectors because we assume they scale with  
312 population at a county-scale while emissions from other sectors such as industrial, energy  
313 production and air/sea travel do not. The net result is likely a conservative estimate of  
314 emissions, although it assumes no changes in efficiency.

315

## 316 *2.6. Albedo*

317 The 500 m resolution MODIS albedo product (MCD43A3) was used to quantify  
318 growing season (June 1 to August 31) albedo of each housing density category. Because  
319 ICLUS (100 m) is at a higher resolution than the MODIS albedo product we only used  
320 MODIS pixels that had at least 66% coverage of a single ICLUS housing density  
321 category to calculate mean and standard error of the albedo value for each housing  
322 density category. Changes in albedo between 2005 and 2050 were converted to radiative  
323 forcing using incoming global solar radiation data measured from 1991 to 2005 at  
324 Harvard Forest in central Massachusetts (Fitjarrald & Sakai, 1999). We assumed no  
325 geographic variation in incoming solar radiation.

326

## 327 *2.7. Global Warming Potential*

328 We used the BC (baseline) trajectory to calculate a first approximation of the  
329 GWP from biophysical changes to the landscape associated with projected expansion of  
330 human settlements. Following the approach in Muñoz et al., (2010) we first calculated

331 top-of-atmosphere radiative forcing ( $RF_{TOA}$ ) based on the change in surface albedo from  
332 2005–2050 ( $\Delta\alpha$ ), the average solar radiation as measured at Harvard Forest (158.529 W  
333  $m^{-2}$  for whole-year average, 232.386 W  $m^{-2}$  for June-August), and assuming an average  
334 global atmospheric transmittance factor of 0.854. We then calculated the global  $CO_2$   
335 equivalent emissions based on the area of land affected ( $m^2$ ) and  $RF_{TOA}$ , assuming a  
336 global airborne fraction of 0.48 (100 year time horizon) and 0.908 W  $kg\ CO_2^{-1}$  marginal  
337 radiative forcing of  $CO_2$  emissions at current atmospheric concentrations. Emissions were  
338 normalized to the area of land affected ( $Mg\ CO_2\text{-C}\text{-eq.}\ ha^{-1}$ ) and to the time horizon of  
339 the land-use change ( $Mg\ CO_2\text{-C}\text{-eq.}\ ha^{-1}\ yr^{-1}$ ).

340

## 341 2.8. *Uncertainty*

342 The ICLUS model itself does not report uncertainty in projected changes in  
343 housing density, but rather provides a series of development trajectories. We quantified  
344 the uncertainty in our conversion of changes in housing density to changes in land cover,  
345 C fluxes and albedo associated with each development trajectory. Briefly, 95%  
346 confidence intervals for aboveground biomass C from the COLE tool and Raciti et al.  
347 (2012) were calculated using a normal distribution and the reported means and standard  
348 errors of these variables. Confidence intervals for land cover proportions and albedo  
349 values for each housing density category were obtained by bootstrapping 1000 times  
350 from the full sample of each housing density category. A root mean square approach was  
351 used to propagate uncertainty for each projected variable. All analysis was conducted in  
352 R version 3.0.2 (R Core Team). Unless noted otherwise, all reported errors represent 95%  
353 confidence intervals.

354

355 **3. Results:**

356 *3.1. Recent Trends in Expansion of Human Settlements*

357 Expansion of human settlements has resulted in a decline in forest cover in  
358 Massachusetts since the 1970s. The CCDC algorithm suggests that 3.4% ( $42,926 \pm 8,883$   
359 ha) of Massachusetts' forestland was converted to residential development between 1986  
360 and 2010 (Fig. 1). However, the rate of land conversion was not constant over time and  
361 more than doubled from  $1,180 (\pm 244) \text{ ha yr}^{-1}$ , between 1986-1998, to  $2,397 (\pm 496) \text{ ha}$   
362  $\text{yr}^{-1}$ , between 1998 and 2010. By comparison, our projections using the ICLUS model  
363 indicate slightly higher rates of forest conversion to development of 2,620 to 2,902  $\text{ha yr}^{-1}$   
364 between 2005 and 2010 (Fig. 1). Forest conversion to residential development generally  
365 increased from west to east. For example, between 1986 and 2010  $< 0.02 (\pm 0.004)\% \text{ yr}^{-1}$   
366 of the forest area was converted to residential development in sparsely populated  
367 Berkshire County in western Massachusetts, while  $0.31 (\pm 0.06)\% \text{ yr}^{-1}$  of the forest area  
368 was converted in densely populated Norfolk County in eastern Massachusetts.

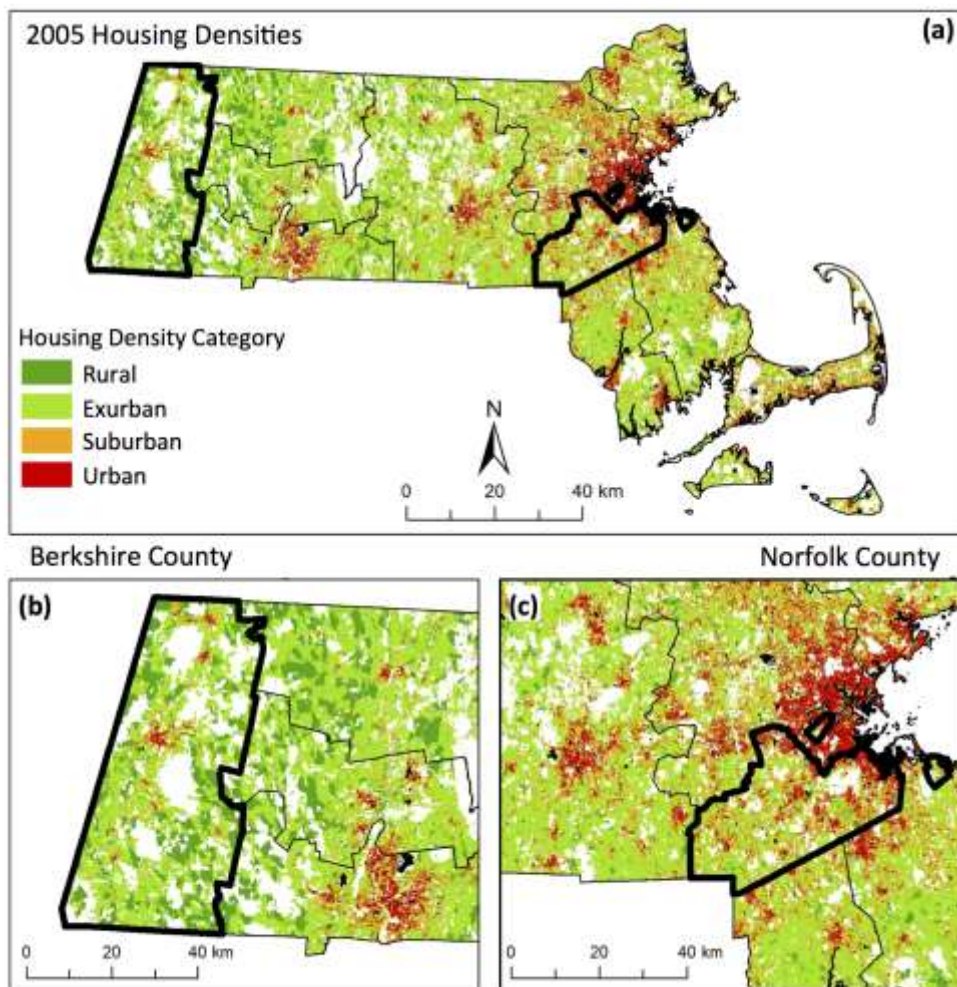
369

370 *3.2. Projected future changes in population, housing density and land cover*

371 Massachusetts population is projected to increase from 6.4 million people in 2005  
372 to 7.2 (0.3%  $\text{yr}^{-1}$  increase) and 7.9 (0.5%  $\text{yr}^{-1}$  increase) million people by 2050 under the  
373 BC (baseline) and A2 (high growth) trajectories, respectively (Fig. 1). However, under  
374 the B1 (low growth) trajectory, the population is projected to peak at 6.8 million people  
375 by 2030 before slowly declining to 6.6 million people by 2050. Within the state, the  
376 population of Berkshire County in western Massachusetts is projected to decrease by up

377 to 44% (54,000 people;  $-1\% \text{ yr}^{-1}$ ) while the population of Norfolk County in eastern  
378 Massachusetts is projected to increase by up to 10% (70,000 people;  $0.2\% \text{ yr}^{-1}$ ).

379 In 2005, most of the land area within the ICLUS domain of Massachusetts was  
380 comprised of exurban housing densities (61%) followed by suburban (20%), rural  
381 (12.8%) and urban (4.8%) housing density categories (Fig. 4a). The distribution of  
382 housing densities varies across the state and the rural and exurban categories are most  
383 prevalent in western Massachusetts (Fig. 4b), while suburban and urban categories  
384 dominate in eastern Massachusetts (Fig. 4c). Eastern Massachusetts is projected to  
385 develop more rapidly than western Massachusetts between 2005 and 2050.



386

387 Figure 4. Distribution of 2005 ICLUS housing densities in Massachusetts (a), Berkshire  
 388 County (b, outlined in bold) and Norfolk County (c, outlined in bold). Land excluded  
 389 from development projections in the ICLUS model are indicated in white.

390

391 *3.3. Projected changes in land cover*

392 Development associated with expansion of human settlements between 2005 and  
 393 2050 is expected to reduce forest cover within the Massachusetts ICLUS domain by 4 to  
 394 14% across the development trajectories (Fig. 1; Table 1). Rates of forest loss and  
 395 development for the B1 (low growth) and BC (baseline) trajectories are projected to be  
 396 highest between 2005 and 2030, but moderate thereafter. In contrast, rates of forest loss  
 397 under the high growth A2 trajectory are projected to be linear from 2005 to 2050.  
 398 Because we modeled forest loss only associated with expansion of human settlements,  
 399 the increase in developed land area (34% of 2005 ICLUS domain) was commensurate  
 400 with forest loss reported here.

		Change from 2005-2050						
	Scenario	Forest Cover (ha)		Forest Biomass (Tg C)		Urban Biomass (NG*, Tg C)		Urban Biomass (2x**, Tg C)
Massachusetts	B1	-39,118 ± 670	(-0.09% yr <sup>-1</sup> )	+31.1 ± 2.6	(+1.1% yr <sup>-1</sup> )	+1.21 ± 0.10	(+0.3% yr <sup>-1</sup> )	+13.3 ± 3.40 (+2.8% yr <sup>-1</sup> )
	BC	-70,955 ± 1,240	(-0.18% yr <sup>-1</sup> )	+27.8 ± 2.3	(+1.0% yr <sup>-1</sup> )	+2.13 ± 0.20	(+0.5% yr <sup>-1</sup> )	+13.9 ± 3.50 (+3.0% yr <sup>-1</sup> )
	A2	-122,630 ± 2,233	(-0.31% yr <sup>-1</sup> )	+22.4 ± 1.9	(+0.8% yr <sup>-1</sup> )	+3.48 ± 0.40	(+0.7% yr <sup>-1</sup> )	+14.7 ± 3.70 (+3.1% yr <sup>-1</sup> )
Berkshire County	B1	-297 ± 2.7	(-0.01% yr <sup>-1</sup> )	+4.9 ± 0.5	(+1.1% yr <sup>-1</sup> )	+0.01 ± 0.001	(+0.05% yr <sup>-1</sup> )	+0.54 ± 0.19 (+2.3% yr <sup>-1</sup> )
	BC	-551 ± 5.0	(-0.01% yr <sup>-1</sup> )	+4.9 ± 0.5	(+1.1% yr <sup>-1</sup> )	+0.01 ± 0.002	(+0.05% yr <sup>-1</sup> )	+0.54 ± 0.19 (+2.3% yr <sup>-1</sup> )
	A2	-530 ± 4.8	(-0.01% yr <sup>-1</sup> )	+4.9 ± 0.5	(+1.1% yr <sup>-1</sup> )	+0.01 ± 0.002	(+0.05% yr <sup>-1</sup> )	+0.54 ± 0.19 (+2.3% yr <sup>-1</sup> )
Norfolk County	B1	-3,860 ± 158	(-0.2% yr <sup>-1</sup> )	+0.8 ± 0.2	(+0.8% yr <sup>-1</sup> )	+0.12 ± 0.04	(+0.25% yr <sup>-1</sup> )	+1.3 ± 0.30 (+2.7% yr <sup>-1</sup> )
	BC	-7,259 ± 320	(-0.5% yr <sup>-1</sup> )	+0.4 ± 0.2	(+0.4% yr <sup>-1</sup> )	+0.23 ± 0.07	(+0.48% yr <sup>-1</sup> )	+1.4 ± 0.31 (+2.8% yr <sup>-1</sup> )
	A2	-16,958 ± 1,101	(-1.0% yr <sup>-1</sup> )	-0.7 ± 0.4	(-0.7% yr <sup>-1</sup> )	+0.51 ± 0.15	(+1.06% yr <sup>-1</sup> )	+1.5 ± 0.32 (+3.1% yr <sup>-1</sup> )

\*'NG' refers to the No Urban Tree Growth scenario

\*\*'2x' refers to the 2x Urban Tree Growth scenario

401

402 Table 1. Changes in forest cover and aboveground biomass within the ICLUS domain  
 403 between 2005 and 2050. Values are means ± 95% confidence intervals associated with  
 404 the conversion of projected changes in housing density to forest area, urban area and

405 biomass. Parenthetical values represent the mean annual rate of change between 2005 and  
406 2050.

407

408           Similar to patterns of changes in housing density, the highest rates of forest loss  
409 are projected to occur in eastern Massachusetts with little change in western

410 Massachusetts (Fig. 2c,d). Counties in eastern Massachusetts are projected have light to  
411 moderate forest loss (<10%) between 2005 and 2050 under the B1 trajectory, but high

412 rates of forest loss (>20%) under the A2 trajectory. In particular, Norfolk County is

413 projected to lose 13% ( $3,861 \pm 444$  ha) to 56% ( $16,959 \pm 1,952$  ha) of its forested land

414 (Fig. 2c,d). In contrast, forest area in Berkshire County is projected to decline by <0.5%

415 ( $530 \pm 41$  ha).

416

#### 417 *3.4. Effects of expansion of human settlements on terrestrial C cycle*

418           At the start of the model run in 2005, 86% of aboveground C storage within the

419 ICLUS domain in Massachusetts was in forest biomass ( $63.3 \pm 4.4$  Tg C) while the

420 remaining 14% was within human settlements ( $10.5 \pm 0.8$  Tg C). Aboveground biomass

421 within human settlements comprised a substantial proportion of aboveground C storage

422 in eastern Massachusetts, but played only a small role in aboveground C storage in rural

423 western Massachusetts. For example, in Norfolk County,  $32 \pm 1.3\%$  of the  $3.3 \pm 0.5$  Tg C

424 was stored in aboveground biomass of human settlements compared to  $5.1 \pm 0.1\%$  of the

425  $10.1 \pm 1.1$  Tg C in Berkshire County.

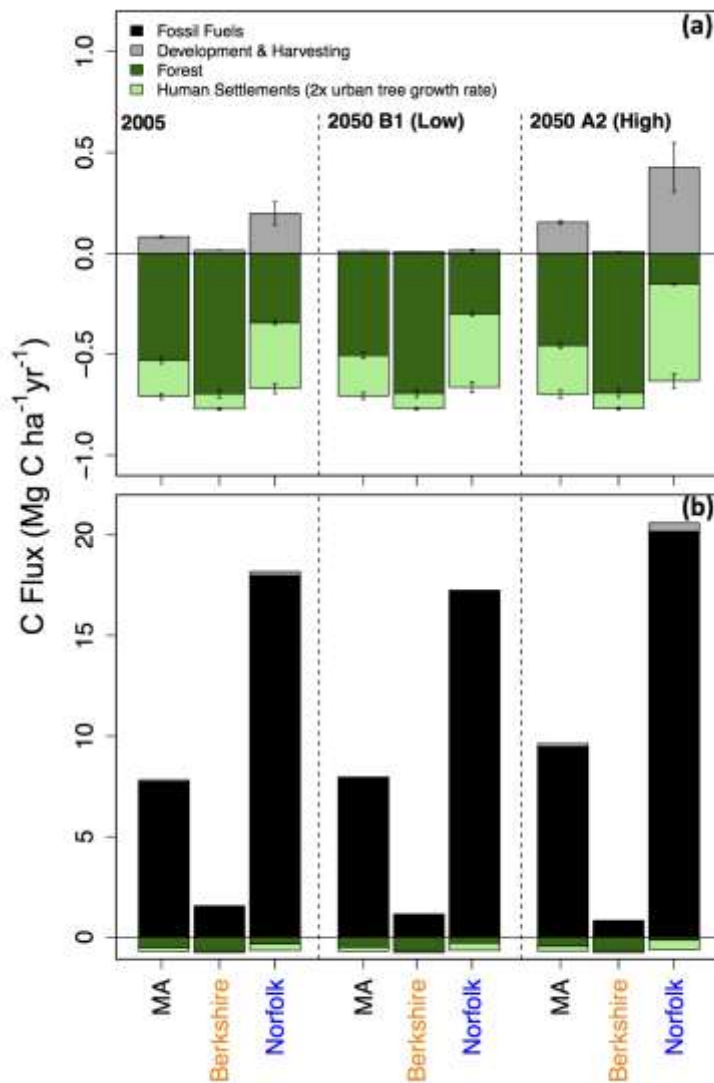
426           Our analysis indicates a net increase in forest aboveground biomass within the

427 ICLUS domain of  $35 \pm 0.9\%$  to  $49 \pm 2.9\%$  between 2005 and 2050 across all

428 development trajectories and that accumulation of forest biomass from tree growth will  
429 outpace losses in forest biomass from land conversion (Table 1). Rates of aboveground C  
430 sequestration by forest biomass are projected to decline from  $0.53 \pm 0.01 \text{ Mg C ha}^{-1} \text{ land}$   
431  $\text{yr}^{-1}$  in 2005 to between  $0.46 \pm 0.01$  (A2) and  $0.50 \pm 0.01$  (B1)  $\text{Mg C ha}^{-1} \text{ land yr}^{-1}$  in 2050  
432 (Fig. 5a). High forest cover and slow rates of forest lost to development in Berkshire  
433 County are projected to result in a strong C sink relative to the more rapidly developing  
434 Norfolk County (Fig. 5a).

435

436



437

438 Figure 5. 2005 and 2050 annual rates of C uptake by aboveground biomass in forests and  
 439 human settlements and C emissions from fossil fuel combustion and decomposition of  
 440 biomass removed during harvesting and development. Panel (a) includes only biogenic  
 441 fluxes to highlight changes associated with land cover change from development. Panel  
 442 (b) also includes C emissions from fossil fuel combustion, which are 1-2 orders of  
 443 magnitude larger than biogenic fluxes. Values are fluxes  $\pm$  95% confidence intervals  
 444 associated with the conversion of projected changes in housing density to C fluxes.



445

446 Aboveground biomass C storage within human settlements is projected to  
447 increase by  $11.5 \pm 0.01$  to  $140 \pm 35\%$  across development trajectories and urban tree  
448 growth scenarios (Table 1) with rates of biomass C sequestration within human  
449 settlements increasing from  $0.18 \pm 0.01 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$  in 2005 to up to  $0.24 \pm 0.02 \text{ Mg C}$   
450  $\text{ha}^{-1} \text{ yr}^{-1}$  for the 2x Urban Tree Growth scenario (Fig. 5a). There was considerable  
451 geographic variation within the state, which followed both spatial patterns of  
452 development and amount of aboveground biomass within human settlements at the start  
453 of the model run. Low rates of development and a small area of human settlements  
454 resulted in low rates of C sequestration by aboveground biomass in human settlements of  
455 Berkshire County compared to Norfolk County, which had both high area of human  
456 settlements and rates of development (Fig. 5a).

457 Between 2005 and 2050, forestland is projected to remain the largest C sink and  
458 reservoir of aboveground C under all development trajectories, but vegetation in human  
459 settlements is expected to comprise up to 35% of the annual aboveground terrestrial C  
460 sink under the 2x Urban Tree Growth scenario (Table 1; Fig. 5a). Across development  
461 trajectories, state C emissions associated with the burning and decomposition of  
462 aboveground biomass removed during development are projected to be equivalent to  $3 \pm$   
463  $0.001\%$  to  $34 \pm 0.02\%$  of the aboveground forest C sink (Fig. 5a). By 2050, C emissions  
464 associated with land cover change are projected to be negligible relative to the mean  
465 annual forest C sink in Berkshire County, but will be up to nearly three times the annual  
466 forest C sink in Norfolk County (Fig. 5a).

467           The net terrestrial biogenic C flux (i.e., sequestration in forest and human  
468 settlement aboveground biomass minus emissions from land cover change) in  
469 Massachusetts is projected to decline from  $0.63 \pm 0.03$  in 2005 to as low as  $0.54 \pm 0.04$   
470  $\text{Mg C ha}^{-1} \text{ yr}^{-1}$  under the 2x Urban Tree Growth scenario. Expansion of human  
471 settlements is projected to have little effect on terrestrial C sequestration in rural western  
472 Massachusetts, and Berkshire County is expected to continue to be a strong C sink  
473 between 2005 and 2050 (Fig. 5a). In contrast, under the A2 (high growth) development  
474 trajectory Norfolk County in eastern Massachusetts is projected to become a weak C sink.  
475 For comparison, fossil fuel  $\text{CO}_2$  emissions in Massachusetts are projected to increase  
476 from  $7.4 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$  in 2005 to up to  $8.7$  (A2)  $\text{Mg C ha}^{-1} \text{ yr}^{-1}$  by 2050 based on  
477 population growth trajectories (Fig. 5b). Geographic variability in projected fossil fuel  
478 emissions follows patterns in population density and growth (Fig. 5b).

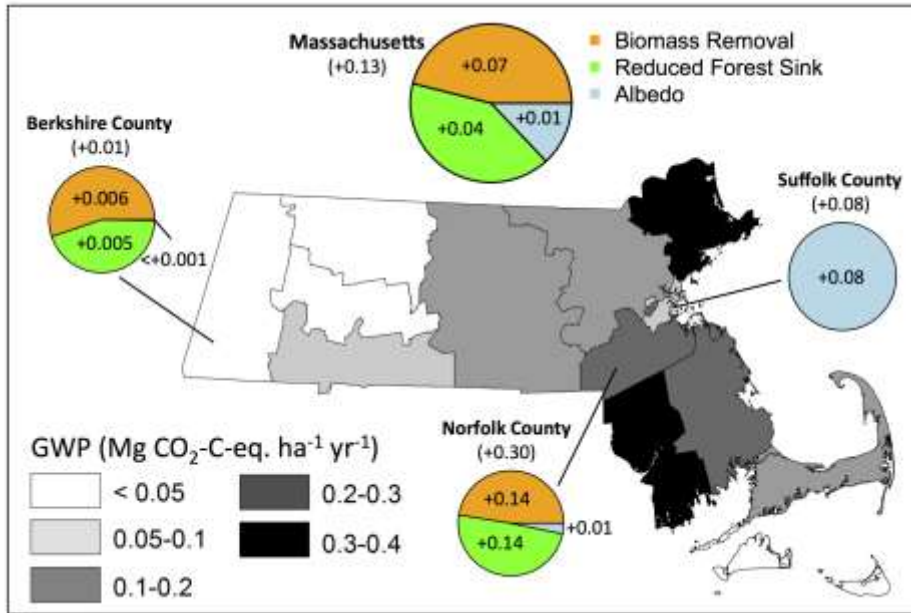
479

### 480 *3.5. Global warming potential of biophysical changes to the landscape*

481           The MODIS albedo data indicate a decline in growing season albedo from  $0.1471$   
482  $\pm 0.0008$  (housing density category 1) to  $0.1001 \pm 0.0018$  (housing density category 13;  
483 Table A.3) with increasing housing density. Massachusetts land surface albedo was  
484  $0.1389 \pm 0.0008$  in 2005 and is projected to decline by  $0.3 \pm 0.002$  to  $0.8 \pm 0.006\%$   
485 across development trajectories by 2050. The land surface albedo of Norfolk County in  
486 2005 was  $0.1302 \pm 0.0011$  and is projected to decline by  $0.21 \pm 0.003$  to  $0.97 \pm 0.01\%$  by  
487 2050 across development trajectories. In contrast, the land surface albedo of Berkshire  
488 County in 2005 was  $0.1428 \pm 0.0005$  and is projected to decline by less than  $0.001\%$  by  
489 2050.

490 The mean growing season incoming global solar radiation was  $206.3 \text{ W m}^{-2}$   
491 resulting in absorption of  $176 \pm 0.9$  to  $185.6 \pm 3.3 \text{ W m}^{-2}$  for housing density categories 1  
492 and 13, respectively. Massachusetts land surface absorption of incoming solar radiation  
493 during the growing season was  $177.6 \pm 1.0 \text{ W m}^{-2}$  in 2005 and is projected to increase by  
494  $0.14 \pm 0.001$  to  $0.23 \pm 0.002 \text{ W m}^{-2}$  by 2050. Absorption of incoming solar radiation in  
495 2005 was higher in Norfolk County ( $179.4 \pm 0.4 \text{ W m}^{-2}$ ) than Berkshire County ( $176.8 \pm$   
496  $0.5 \text{ W m}^{-2}$ ). Absorption in Norfolk County is projected to increase to up to  $0.26 \pm 0.003$   
497  $\text{W m}^{-2}$ .

498 Expansion of human settlements in Massachusetts reduced the strength of the  
499 forest C sink, provided a source of C emissions to the atmosphere from biomass removals  
500 and reduced land surface albedo. For the BC (baseline) trajectory, these biophysical  
501 changes to the landscape resulted in a GWP of  $+0.13 \text{ Mg CO}_2\text{-C-eq. ha}^{-1} \text{ yr}^{-1}$  between  
502 2005 and 2050 (Fig. 6). There was large geographic variability within the state ranging  
503 from negligible change in Berkshire County to a GWP of  $+0.3 \text{ Mg CO}_2\text{-C-eq. ha}^{-1} \text{ yr}^{-1}$  in  
504 Norfolk County (Fig. 6). These GWPs are equivalent to 17% and 70% of the GWP  
505 associated with the projected increases in fossil fuel emissions in Massachusetts and  
506 Norfolk County, respectively. While reductions in the forest C sink and C emissions  
507 associated with biomass removals often made the largest contributions to GWP, declines  
508 in albedo made significant contributions across much of the state and comprised the  
509 entire GWP associated with expansion of human settlements in Suffolk County (i.e.,  
510 Boston; Fig. 6).



511

512 Figure 6: Global warming potential associated with expansion of human settlements

513 between 2005 and 2050 in Massachusetts and each county for the BC (baseline)

514 development trajectory. Values reflect the changes in GWP relative to a scenario without

515 land use and land cover change between 2005 and 2050. Pie charts indicate the

516 composition of increased global warming potential.

517

#### 518 4. DISCUSSION:

519 Expansion of human settlements is a globally important driver of land cover

520 change that occurs at twice the rate of population growth (Angel et al., 2010; Angel et al.,

521 2011). During the 20<sup>th</sup> century these changes in land cover have reduced the strength of

522 the terrestrial C sink (Imoff, 2004) and increased absorption of incoming solar radiation

523 (Barnes & Roy, 2010). This study presents a novel approach to projecting the biophysical

524 changes in the landscape associated with population growth and expansion of human

525 settlements using nationally consistent and available data sets. Our results indicate strong

526 geographic variability in the projected changes to the biophysical nature of the landscape  
527 in response to development, even within a small state like Massachusetts. While rates of  
528 land cover change are projected to be most rapid in tropical regions (Seto et al., 2012),  
529 we show that even in temperate regions with modest projected rates of population and  
530 urban growth, expansion of human settlements can significantly weaken the forest C sink.  
531 However, our results also suggest that vegetation within human settlements can be an  
532 important C sink in developed and rapidly developing landscapes and mitigate declines in  
533 the terrestrial C sink associated with forest loss. Further, we demonstrate that expansion  
534 of human settlements can make a significant contribution to changes in the total GWP  
535 (biophysical + fossil fuel) associated with population growth.

536

#### 537 *4.1. Historical and projected patterns of land cover change*

538         During the latter half of the 20<sup>th</sup> century, the most important component of the  
539 terrestrial C sink in the conterminous United States was regrowth of eastern forests  
540 following agricultural abandonment (Birdsey & Heath, 1995; Goodale et al., 2002; Heath  
541 & Birdsey, 1993). Forest cover in Massachusetts has been declining since the 1970s and  
542 our results indicate that nearly all of the land that was developed between 1986 and 2010  
543 displaced forest. Further, rates of development have been increasing over time, which is  
544 similar to patterns observed throughout the eastern U.S. (Drummond & Loveland, 2010).  
545 Our estimate of forest loss between 1986 and 2010 is about 40% lower than the FIA  
546 estimate, but this is likely due to differences in methodologies and definitions of “forest”  
547 (see discussion by Drummond & Loveland, 2010).

548           The most rapidly expanding housing densities in Massachusetts are projected to  
549 be suburban followed by urban categories between 2005 and 2050, which parallels  
550 projections for the entire U.S. (Bierwagen et al., 2010). Throughout Massachusetts, forest  
551 cover comprises nearly all of the land that is currently undeveloped. Following recent  
552 trends in Massachusetts (Nowack et al., 2005) and the eastern U.S. as a whole  
553 (Drummond & Loveland, 2010), our results indicate that forests will continue to be the  
554 land cover most impacted by expansion of human settlements between 2005 and 2050.  
555 However, similar to Thompson et al. (2011), our projections suggest large geographic  
556 variability in the rate and extent of forest loss that follow patterns of population growth.  
557 Rates of forest lost to development projected here for Massachusetts are 3 to 11 times  
558 lower than rates projected by Nowak & Walton (2005), but differences between these  
559 estimates are due to different definitions of ‘forest loss’. We defined forest loss as  
560 forestland that is converted to a developed land cover, while Nowack & Walton (2005)  
561 broaden this definition to include forestland that becomes engulfed by an urban census  
562 block as defined by the US Census Bureau (i.e., >195 people km<sup>-2</sup>).

563

#### 564 *4.2. Biophysical implications of land cover change*

##### 565 *4.2.1. Carbon*

566           As the dominant land cover type, it was not surprising that forests comprised the  
567 largest pool of aboveground biomass in Massachusetts in 2005. Our estimate of  $63.3 \pm$   
568  $4.3$  Tg C for forests within the ICLUS domain is proportionally consistent with  
569 previously reported biomass estimates for the entire state (Thompson et al., 2011). While  
570 C stored in the aboveground biomass of human settlements is often omitted from

571 estimates of aboveground C pools, recent studies have found that these developed areas  
572 can make significant contributions to aboveground C storage (e.g., Raciti et al., 2012).  
573 Similarly, we found that omitting biomass within human settlements would have  
574 underestimated the terrestrial aboveground C pool by 14%.

575 All of the development trajectories presented here are expected to reduce the total  
576 forest area of Massachusetts, but forest aboveground biomass is projected to increase  
577 between 2005 and 2050 (this study; Thompson et al., 2011), indicating that tree growth in  
578 the remaining forest will outpace reductions from land conversion. However, forest  
579 aboveground biomass accumulation is expected to be nearly 50% higher under the B1  
580 (low growth) trajectory than the A2 (high growth) trajectory. Therefore, although the  
581 forests of Massachusetts may continue to be a net C sink through 2050, expansion of  
582 human settlements may reduce the strength of this sink by up to 12%, compared to the  
583 18% reduction projected by Thompson et al. (2011). Carbon emissions associated with  
584 forest lost to development are projected to result in important reductions in the net  
585 terrestrial C sink of Massachusetts, particularly under the A2 (high growth) trajectory.  
586 Reductions in the forest C sink between 2005 and 2050 are projected to be larger than the  
587 increase in fossil fuel emissions under the B1 (low growth) trajectory and may be  
588 equivalent to nearly one-third of the increase in fossil fuel emissions under the A2 (high  
589 growth) trajectory.

590 Few studies have considered the potential of biomass in residential areas to  
591 contribute to the terrestrial C sink (e.g., Briber et al., 2015; Imhoff et al., 2004). While  
592 landowner management is undoubtedly an important driver of aboveground biomass  
593 accumulation, our 2x Urban Tree Growth scenario, which provides an upper limit of the

594 C sequestration potential of human settlements, suggests that these areas comprise an  
595 important component of the Massachusetts terrestrial C sink. Similarly, trees in urban  
596 areas of Greater Boston have been shown to grow faster than forest trees (Briber et al.,  
597 2015) and Imhoff et al. (2004) found that NPP of urban areas in the northeastern United  
598 States ( $405 \text{ g m}^{-2}$ ) is only 20% lower than non-urban areas ( $500 \text{ g m}^{-2}$ ). Human  
599 settlements become an increasingly important component of the terrestrial C sink as their  
600 proportion of the landscape increases and we found that by 2050, vegetation within  
601 human settlements may comprise 75% of the terrestrial C sink in rapidly developing  
602 counties of eastern Massachusetts. Further, C sequestration by vegetation within human  
603 settlements can more than offset C emissions associated with losses of forest biomass  
604 during development under the B1 (low growth) trajectory. These results suggest that  
605 while deforestation associated with expansion of human settlements could substantially  
606 reduce the strength of the forest C sink, vegetation within human settlements can play an  
607 important role in mitigating overall reductions of the total terrestrial C sink.

608         Soil C pools comprise about half of the C stored in the forests of Massachusetts  
609 (Van Deusen and Heath 2015). Perturbations to soil C pools from human settlement  
610 expansion can have large implications for the terrestrial C balance, but characterizing the  
611 response of these pools is inherently complicated because of the heterogeneous nature of  
612 land use and land cover in urban ecosystems. Previous research indicates that soil C  
613 storage beneath impervious surfaces is 66% lower than adjacent open areas (Raciti et al.  
614 2012). However, physical soil disturbances, anthropogenic inputs of fill materials with  
615 varying C content, and land management practices (e.g., lawn mowing) also associated  
616 with human settlement expansion interact to create open area soils with highly variable C



617 storage that can be higher or lower than in forest soils (Pouyat et al. 2002). Currently,  
618 there is limited data to develop reliable models that characterize the response of soil C  
619 pools to human settlement expansion. Developing empirically derived constants defining  
620 the rate of change in these C pools in response to human settlement expansion would  
621 greatly advance the sophistication of urban C cycling models and should be the focus of  
622 future research.

#### 623 *4.2.2. Global warming potential of expansion of human settlements*

624       Urbanization and expansion of human settlements can alter climate by changing  
625 terrestrial C fluxes and land surface albedo. We show that the projected conversion of  
626 forested to developed land covers with a lower albedo could increase growing season  
627 radiative forcing in Massachusetts up to  $0.23 \pm 0.09 \text{ W m}^{-2}$  by 2050, a forcing 1.5 times  
628 as large as that associated with global  $\text{N}_2\text{O}$  emissions ( $0.15 \pm 0.10 \text{ W m}^{-2}$ ; IPCC, 2014).  
629 Similarly, land cover change between 1973 and 2000 in the ecoregion that includes most  
630 of Massachusetts has resulted in up to a  $0.004 \text{ W m}^{-2} \text{ yr}^{-1}$  increase in snow-free radiative  
631 forcing (Barnes & Roy, 2008), which is in the range of the development trajectories  
632 explored in this analysis, BC (baseline;  $0.003 \text{ W m}^{-2} \text{ yr}^{-1}$ ) and A2 (high growth;  $0.005 \text{ W}$   
633  $\text{m}^{-2} \text{ yr}^{-1}$ ).

634       Previous studies have treated radiative forcing due to changes in surface character  
635 as directly comparable to radiative forcing due to increased atmospheric greenhouse gas,  
636 with both expressed on the scale of C emissions (Akbari et al., 2009; Schwaab et al.,  
637 2015). However, climate modeling studies have shown that the climate impacts from  
638 surface-change radiative forcing can diverge unpredictably from similar sized forcing due  
639 to greenhouse gas emissions (Jones et al., 2013), potentially hampering their

640 comparison. As such, the estimated radiative forcing and CO<sub>2</sub> emissions equivalence  
641 calculated for the projected decreases in albedo in our study can be used as an indicator  
642 of the sign and relative scale of climate disturbance contributed by expansion of human  
643 settlements in the region, but are subject to uncertainty in their global and regional effects  
644 on climate in comparison to CO<sub>2</sub> emissions.

645 Numerous studies have quantified shifts in albedo or terrestrial C storage  
646 associated with LULCC (e.g., Barnes & Roy, 2010, Houghton et al., 2012, Jones et al.,  
647 2015). However, to our knowledge no studies have compared the relative contributions of  
648 these effects of LULCC to the GWP of human settlement expansion. Our projections  
649 indicate that reductions in forest aboveground biomass, the forest C sink and albedo from  
650 expansion of human settlements result in net warming and can make a substantial  
651 contribution to the change in total GWP (biophysical + fossil fuel) associated with  
652 population growth in Massachusetts between 2005 and 2050. These findings compliment  
653 those of Georgescu et al. (2014) who found that reduced vegetation cover and  
654 evapotranspiration associated with human settlement expansion can also impart warming  
655 that is a significant fraction of anticipated warming from fossil fuel emissions.

656 Interestingly, although changes in C fluxes associated with forest loss resulted in the  
657 largest increase in GWP associated with expansion of human settlements, we found  
658 strong spatial variability in the relative contribution made by the underlying drivers of  
659 GWP. Reductions in albedo are expected to be an important driver of GWP in densely  
660 developed regions such as Suffolk County (i.e., Boston) where shifts towards high  
661 housing densities are projected to be the dominant biophysical change to the landscape.  
662 Further, while we use GWP as a means to compare the climate perturbations associated

663 with shifts in C fluxes and albedo, the effects of changes in albedo on local climate are  
664 likely to be much more profound than their GWP in CO<sub>2</sub>-eq. might indicate. These results  
665 highlight the importance of accounting for the multiple facets of climate disturbance  
666 associated with expansion of human settlements, particularly when considering spatial  
667 scales of relevance to municipal policymakers.

668

## 669 **5. Conclusions**

670 Using Massachusetts as a case study, we provide a framework for integrating  
671 nationally consistent datasets to quantify spatially explicit biophysical implications of  
672 land cover change associated with projected expansion of human settlements. Our results  
673 indicate that expansion of human settlements can be an important driver of land cover  
674 change even in states with only moderate rates of population growth and result in positive  
675 GWP from significant changes in radiative forcing and the forest C sink. Further, by  
676 incorporating vegetation within human settlements into the modeling framework, this  
677 study imparts new insight into the role of urban vegetation in the terrestrial C cycle. In  
678 particular, our findings highlight the potential of vegetation within human settlements to  
679 mitigate declines in aboveground C storage and uptake associated with forest lost to  
680 development.

681 Developed land is the most rapidly expanding biome in the United States (Sleeter  
682 et al., 2013; USDA, 2013) and is projected to continue to displace large areas of  
683 forestland and other land covers throughout the 21<sup>st</sup> century (Bierwagen et al., 2010). By  
684 2025, the extent of developed land in the contiguous U.S. is projected to comprise an area  
685 equivalent to nearly half of the countries forestland (Alig et al., 2004). As such, our

686 results indicate that not only will expansion of human settlements likely reduce the  
687 strength of the forest C sink, but vegetation within developed areas will become an  
688 increasingly important component of the terrestrial C sink in the U.S. Further, our  
689 findings suggest that managing vegetation within human settlements as well as declines  
690 in the forest C sink and land surface albedo associated with expansion of human  
691 settlements can play an important role in the climate change mitigation strategies of states  
692 and municipalities experiencing even moderate rates of population growth.

693

694 **Appendices:**

695 Table A.1. County-level rates of forest loss obtained from NLCD (2001 to 2006) and the  
696 unadjusted ICLUS BC (baseline) development trajectory projection (2005 to 2010).

697 Scalars applied to county-level ICLUS estimates of forest lost to development were  
698 calculated by dividing the NLCD estimate by the ICLUS estimate. Note, the largest  
699 adjustments imposed by the scalars occur in counties with low rates of forest loss (< 25  
700 ha yr<sup>-1</sup>).

County	Forest Loss (ha yr <sup>-1</sup> )		
	NLCD	ICLUS	Scalar
Barnstable	84.3	20.9	4.0
Berkshire	23.9	0.1	224.3
Bristol	447.1	128.7	3.5
Dukes	0.1	0.4	0.1
Essex	276.0	106.2	2.6
Franklin	5.7	0.2	34.3
Hampden	81.8	157.7	0.5
Hampshire	19.3	63.3	0.3
Middlesex	480.5	125.2	3.8
Nantucket	0.1	6.4	0.0
Norfolk	351.1	36.4	9.6
Plymouth	525.5	81.7	6.4
Suffolk	3.7	4.1	0.9
Worcester	485.6	161.9	3.0
Massachusetts	2784.7	889.2	3.1

701

702 Table A.2. Forest biomass growth rates and 95% confidence intervals used to model  
 703 changes in forest biomass between 2005 and 2050 for the Mainland and Cape and Island  
 704 regions of Massachusetts. Note, growth rates used for each county comprising the Cape  
 705 and Islands were derived by adjusting the Cape and Islands growth rate up or down based  
 706 on the site index of each county relative to the area-weighted mean of the region.

Region	Growth Rate (MgC ha <sup>-1</sup> yr <sup>-1</sup> )	r <sup>2</sup>
Mainland	0.9 ± 0.03	0.96
Cape and Islands	0.6 ± 0.12	0.82
Barnstable County	0.7 ± 0.14	-
Dukes County	0.4 ± 0.08	-
Nantucket County	0.2 ± 0.03	-

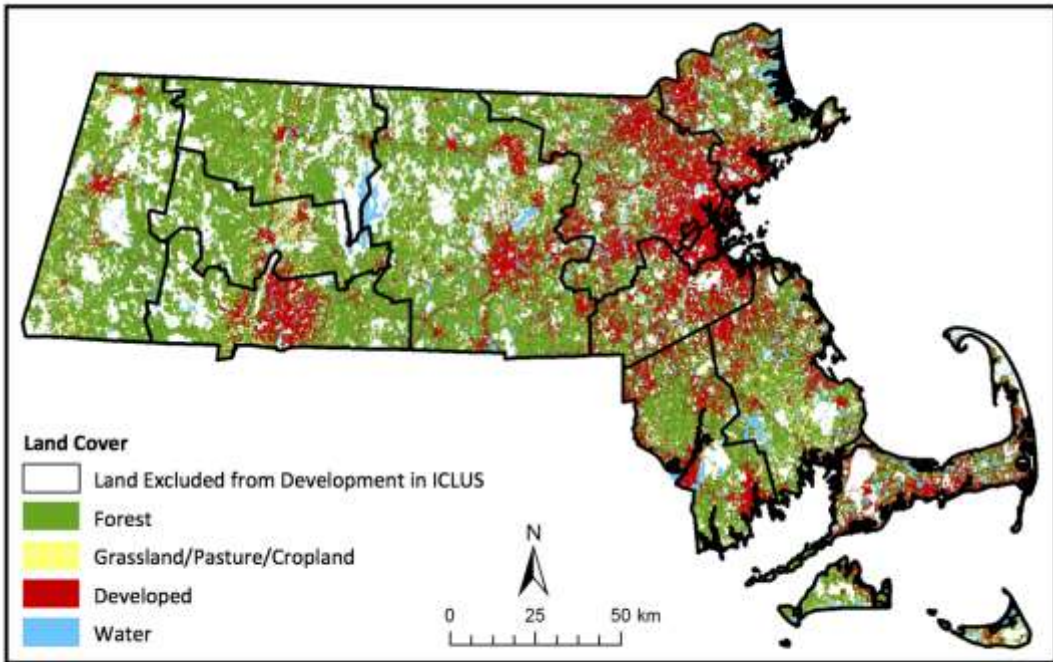
708 Table A.3. Albedo and absorption of incoming solar radiation for each housing density  
 709 category. Area-weighted values from 2005 for Massachusetts, Norfolk County and  
 710 Suffolk County are also included. Values are means  $\pm$  95% confidence intervals.

Housing Density or Spatial Extent	Albedo	Absorption of Incoming Solar Radiation ( $\text{W m}^{-2}$ )
1	0.1471 $\pm$ 0.0008	176.0 $\pm$ 0.9
2	0.1455 $\pm$ 0.0010	176.3 $\pm$ 1.2
3	0.1474 $\pm$ 0.0006	175.9 $\pm$ 0.7
4	0.1444 $\pm$ 0.0004	176.5 $\pm$ 0.5
5	0.1443 $\pm$ 0.0004	176.5 $\pm$ 0.5
6	0.1421 $\pm$ 0.0002	177.0 $\pm$ 0.2
7	0.1402 $\pm$ 0.0002	177.4 $\pm$ 0.2
8	0.1356 $\pm$ 0.0004	178.3 $\pm$ 0.5
9	0.1315 $\pm$ 0.0014	179.2 $\pm$ 1.9
10	0.1272 $\pm$ 0.0027	180.1 $\pm$ 3.9
11	0.1236 $\pm$ 0.0025	180.8 $\pm$ 3.7
12	0.1206 $\pm$ 0.0018	181.4 $\pm$ 2.7
13	0.1001 $\pm$ 0.0018	185.6 $\pm$ 3.3
Massachusetts	0.1389 $\pm$ 0.0008	177.6 $\pm$ 1.0
Norfolk County	0.1302 $\pm$ 0.0003	179.4 $\pm$ 0.4
Berkshire County	0.1428 $\pm$ 0.0004	176.8 $\pm$ 0.5

711

712

713 Figure A.1. Distribution of land covers within Massachusetts in 2006 (NLCD 2006).



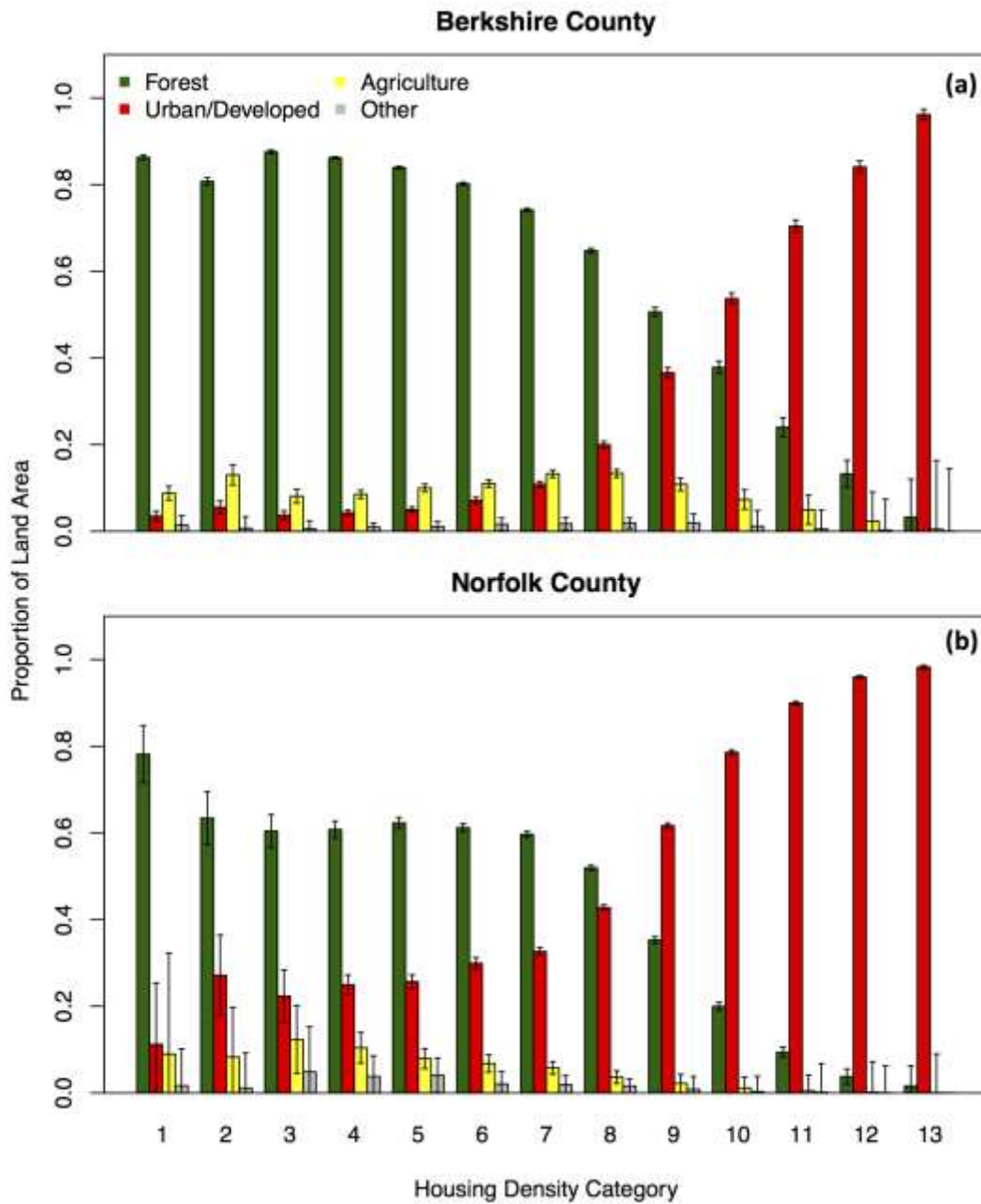
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718 Figure A.2. Land cover composition of each ICLUS housing density category. Values are  
 719 means  $\pm$  95% confidence intervals for Berkshire County (a) in rural western  
 720 Massachusetts and rapidly developing Norfolk County (b) in eastern Massachusetts.



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