

1 Quantifying the Space – Time Variability of Water Balance Components in 2 an Agricultural Basin using a Process-Based Hydrologic Model and the 3 Budyko Framework

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9 Abstract

10 Process-based distributed hydrologic models (PBHMs), which link watershed
11 characteristics with process representations, are useful tools to evaluate both the distributed
12 and ensemble hydrologic responses of a basin to climate inputs. However, complexities
13 associated with parameter interactions and their spatial heterogeneities may produce high
14 uncertainties in the parameterization of a PBHM. The Budyko curve framework presented in
15 this work offers an effective approach for evaluating variabilities in the water balance
16 components using a PBHM and explores the link between model performance with parameter
17 heterogeneities and the Budyko curve characteristics. The PBHM was calibrated using a multi-
18 site calibration strategy (MLT), which was built upon a step-wise calibration algorithm
19 combined with multiple calibration targets including river discharges, evapotranspiration and
20 ground water heads to reduce the compensation errors caused by component interactions. This
21 strategy was tested for the Kalamazoo River watershed in Michigan, USA, with obvious
22 physiographic and land surface heterogeneities. The Budyko framework characterized the
23 water balance variability at the sub-watershed scale; two empirical methods are employed to
24 evaluate calibrated parameters using Budyko-estimated values and to assess the physical
25 relevance of parameters. The relative infiltration capacity is found to play an important role in
26 affecting the spatial variability of the annual water balances of this watershed. This work brings
27 out the importance of optimizing calibration strategies by linking catchment heterogeneities
28 with processes reasoning in order to understand the underlying hydrologic controls.

29 *Keywords: hydrologic models, model calibration, water budgets, Budyko curve*

30 1. Introduction

31 Water resources management in an era of global change requires hydrologists to provide
32 reliable predictions of water fluxes and to analyze and interpret their distributed and evolving

33 roles (Wagener et al., 2010; McDonnell and Beven, 2014). At the catchment scale, it is of great
 34 interest to link the structure of a watershed to its response to climate variability and to evaluate
 35 water budget components and their variability (Sivapalan, 2006). Two approaches have been
 36 used in the past decades to study annual water budgets and their inter-annual variability: (a)
 37 the empirical approach and (b) process-based modeling (Sivapalan et al., 2011). One classic
 38 example of an empirical approach is Budyko's work (Budyko, 1974), which assumes that the
 39 partition of precipitation into evaporation and runoff could be determined from available water
 40 measured with precipitation (P) and available energy measured with potential
 41 evapotranspiration (PET , E_p). Based on the Budyko hypothesis, the ratio of actual
 42 evapotranspiration over precipitation (ET/P), i.e., the evaporation ratio, is fundamentally
 43 related to the ratio of potential evapotranspiration over precipitation (E_p/P), i.e., the climate
 44 dryness index (Budyko, 1974):

$$\frac{ET}{P} = \left[\frac{E_p}{P} \tanh\left(\frac{E_p}{P}\right) \left\{ 1 - \cosh\left(\frac{P}{E_p}\right) + \sinh\left(\frac{P}{E_p}\right) \right\} \right]^{0.5} \quad (1)$$

46 The Budyko framework was used to evaluate the inter-annual variability of annual water
 47 balances as well as water balances at seasonal time scales. For example, Yang et al. (2007)
 48 evaluated long time series of climate data and discharge in 108 non-humid catchments of China
 49 using the Budyko framework and found that the inter-annual variability of water-energy
 50 balance can be expressed with infiltration capacity, soil water storage capacity and the average
 51 ground surface slope. Wang (2012) studied effects of annual water storage changes on the inter-

52 annual water balances of 12 watersheds in Illinois based on long-term soil moisture and
53 groundwater level observations using the Budyko framework. The observed deviations of
54 Budyko-type curves for different watersheds can reflect the ensemble effects of the climate
55 fluctuations (Milly, 1994) and watershed characteristics such as storage (Fang et al., 2016) and
56 soil moisture capacity, topography and soil properties (Yokoo et al., 2008), vegetation type and
57 dynamics (Zhang et al., 2001; Oudin et al., 2008; Domohue et al., 2012) on the mean annual
58 water balances. However, as with most empirical approaches, the derivation of Budyko-type
59 curves lacks the explicit representations of interactions of climate inputs and various
60 hydrologic processes. It is difficult for empirical approaches to distinguish the effects of
61 different hydrologic processes, especially when considering the intra-annual (e.g. daily)
62 variability of water balances (Chen et al., 2013; Fang et al., 2016).

63 PBHMs, on the other hand, describe the hydrologic processes explicitly and provide a
64 direct link between catchment structures and response behaviors. Simulations based on PBHMs
65 are suitable for distinguishing the distributed flow pathways (Beven, 2002), quantifying the
66 water storage changes in hydrologic systems (Niu et al., 2014) and understanding the physical
67 processes in controlling the hydrologic responses (Shen et al., 2013). They require, however, a
68 large amount of input data in representing the physical processes and abundant observed data
69 to calibrate the parameters (Beven and Binley, 1992; Ragettli and Pellicciotti, 2012). Co-
70 evolution of water budget components in a PBHM with various parameter suits may contribute
71 to similar ensemble predictions; this phenomenon has been famously generalized by (Beven,
72 1993; and Beven and Freer 2001) as the equifinality problem. Calibration against a single target

73 (e.g., stream discharge) does not guarantee that internal processes are all correctly simulated;
74 compensation errors could be produced with discrepancies coexisting in more than one
75 hydrologic component representing the processes. For example, ungauged infiltration and
76 lateral groundwater flow processes may cause underestimated (overestimated) groundwater
77 supply while compensated by overestimated (underestimated) surface runoff to achieve
78 comparable stream discharge results (Ragetti and Pellicciotti, 2012). An effective method for
79 reducing uncertainty in parameter identification in PBHMs might be through evaluations
80 against a number of responses representing different hydrological components (Anderton et al.,
81 2002). Multistep, multi-site, and multivariable calibration methods are being widely applied by
82 calibrating different internal processes to improve both the overall and distributed model
83 performances (Ragetti and Pellicciotti, 2012; Stahl et al., 2008; Sutanudjaja et al., 2014; Choi
84 et al., 2015), e.g., step-wise optimization using stream discharge, groundwater heads, and
85 remotely-sensed soil moisture data as the optimization objectives; and calibration to data from
86 multiple gauging stations in different sub-watersheds.

87 The objectives of this paper are to: (1) quantify the spatial and temporal variability of
88 annual water balances in a semi-humid watershed in Michigan, USA using a PBHM; (2) use a
89 multi-site calibration strategy combined with multiple criteria evaluations to understand how
90 parameters, process representations and water budget results change across scales; and (3)
91 evaluate calibrated parameters with Budyko-estimated values to assess the physical relevance
92 of parameters and to identify deficiencies in each methodology. Briefly, we addressed the

93 question of how different empirical equations for the parameter ω in the Budyko curve
94 formulation compare with simulated results based on a PBHM in a Great Lakes watershed.

95 To address these questions, we use a PBHM, PAWS (Process-based Adaptive Watershed
96 Simulator, *Shen and Phanikumar (2010)*). The model can simulate different hydrologic
97 components and states including surface runoff, channel flow, groundwater, ET, soil moisture,
98 soil temperature and changes in storage. Vegetation growth dynamics are also simulated by
99 coupling PAWS with the land surface model CLM 4.0 as described in Shen et al. (2013; 2014).
100 We first establish a calibration procedure to ensure that stream discharge and other important
101 hydrologic components such as ET and groundwater are correctly simulated. A stepwise
102 calibration method was applied to reduce the compensation errors. For reducing uncertainty in
103 scaled heterogeneity, a multi-site calibration (MLT) method is employed and combined with
104 multi-criteria evaluations. For the second effort, instead of attempting to elaborate on the
105 heterogeneity within each process, we focus on inter-annual water balances at sub-watershed
106 scale and study the ensemble catchment performances using the Budyko framework.

107 **2. Methods**

108 **2.1 The Model**

109 Governing equations and numerical details of the PAWS model have been explained in
110 Shen and Phanikumar (2010) and presented in Table 2 of Niu et al. (2014). The coupling details
111 of PAWS with CLM 4.0 have been extensively discussed and evaluated earlier (Shen et al.,
112 2013; Riley and Shen, 2014; Niu et al., 2014; Niu and Phanikumar, 2015; Qiu et al., 2019).

113 Briefly, PAWS includes key hydrologic processes in the domains of surface flow, surface
114 ponding, channel flow, unsaturated vadose zone and saturated groundwater flow. PAWS uses
115 a structured grid and the finite-volume method to solve the governing partial differential
116 equations in different hydrologic units. The overland flow governed by diffusive wave equation
117 occurs in the surface flow domain while infiltration and evaporation happen in the ponding
118 domain. Runoff occurs when the water depth of ponding domain is in excess of the interception
119 depth. Water may also backfill into the ponding domain during flood conditions. The overland
120 flow in the surface flow domain interacts and exchanges water with river segments. Channel
121 flow is simulated using the diffusive wave equation and its exchange with groundwater is
122 modeled based on the leakance concept (Gunduz and Aral, 2005). The vadose zone is simulated
123 in 1-D columns connected to land surface cells at the top and saturated groundwater flow cells
124 at the bottom. PAWS conceptualizes the unsaturated vadose zone as an array of vertical soil
125 columns on the assumption that lateral fluxes in this domain are negligible. The saturated -
126 unsaturated soil water flow is governed by the Richards equation with the vegetation uptake as
127 a sink term. PAWS uses the concept of root water efficiency (Lai and Katul, 2000) to adjust
128 the vegetation root water uptake fluxes along the soil column. The van Genuchten formulation
129 is employed for soil water retention calculations. Field capacity, saturated water content and
130 wilting point are set in correspondence to the soil properties. Phase change is also considered
131 by applying the freezing-point depression formula in (Niu and Yang, 2006) to reduce hydraulic
132 conductivities in freezing soils. The last computational cell of the soil column, whose thickness
133 changes as the water table fluctuates, serves as the linkage between vadose zone and

134 groundwater. Quasi- 3D Groundwater equation derived from Darcy's law is employed for
135 solving groundwater flow. The vegetation dynamics, energy cycling, and carbon/nutrient
136 cycling are incorporated through CLM (Oleson et al., 2010).

137 **2.2 Sites and Data Sources**

138 **2.2.1 Site descriptions**

139 The Kalamazoo River Watershed (KRW) is located in the southwest portion of the lower
140 peninsula of Michigan (Figure 1). This watershed has a drainage area of approximately 2,020
141 square miles (5,200 km²) and it drains portions of nine counties in Michigan. The Kalamazoo
142 River stretches 130 miles (210 km) from the junction of its north and south branches to its
143 outlet at Lake Michigan. Based on the 10-digit Hydrologic Unit Code (HUC), the KRW is
144 divided into 9 sub-watersheds (Figure. 1). Substrates in the headwaters and upstream segment
145 consist of mostly sand, gravel and some cobble. The substrates in the streams of the middle
146 segment are dominated by gravel and cobble. In contrast, the substrates in the mouth segment
147 streams are mostly composed of sand and silt. Annual mean precipitation in this area averages
148 ~970 mm and there is an increase of annual snowfall from the head waters to the mouth area
149 due to the lake effect (Wesley, 2005). Average growing season increases from ~150 days at the
150 eastern end of the watershed to ~180 days near Lake Michigan. The land surface elevation
151 ranges from 175 to 380 meters above the sea level and varies distinctly in different sub-basins.
152 Seasonal ET demands vary throughout the year as the solar radiation and air temperature
153 change. The bedrock is mainly cold-water shale, overlain by the glacial deposits composed of
154 outwash sand and gravel, which form the unconfined aquifer. Dominance of the soil types in

155 the watershed includes clay, silt, sand, and organic materials. The land use and land cover
156 (LULC) types for this watershed are occupied by approximately 47% agriculture (dominated
157 by corn and soybeans), 21% forest, 9% open land, and 7% urban (Figure 2). Varied topography
158 and heterogeneous subsurface properties, diverse vegetation and land use types render the
159 watershed well suited for our study. We use a relatively fine grid resolution of 400m×400m for
160 horizontal discretization which produces a 247×366 mesh for the whole watershed and 20
161 vertical layers to simulate the vadose zone dynamics and 2 layers for the groundwater domain
162 (unconfined and confined aquifers).

163 **2.2.2 Data sources**

164 Details of data assimilation and data integration algorithms of PAWS are available in
165 (Shen et al., 2014) thus we simply introduce the basic data input and processing information in
166 this section. For river network simulation, National Hydrography Dataset (NHD)
167 (<https://nationalmap.gov/hydro.html>) from U.S. Geological Survey (USGS) is used and
168 reorganized as ‘river segments’ with a length of 400m in correspondence to our grid resolution.
169 The 30 m resolution National Elevation Dataset (NED) from USGS serves as the Digital
170 Elevation Model (DEM) for topographic calculations (e.g. surface slope and overland flow).
171 To avoid possible compensation errors from other hydrologic components resulting from
172 reduced channel density (Wang and Wu, 2013), we keep a relatively high river network density
173 and include up to level-5 rivers (Figure. 1). NHD is overlaid on the NED model to extract a
174 profile of elevations simulated as the riverbank elevations. A 30 m resolution raster data
175 provided by the Michigan Department of Natural Resources (MDNR), i.e., the Integrated

176 Forest Monitoring Assessment and Prescription (IFMAP) data set (*MDTMB: Michigan*
177 *Department of Technology, Management & Budget*, 2016) is employed as the Land use and
178 Land cover layout. PAWS regroups the land use and land cover using a hierarchical stochastic
179 selection method to do reclassifications while preserving the proportionality between the land
180 use types of the original dataset (Shen et al., 2014). Soil type and properties information are
181 obtained from Soil Survey Geographic (SSURGO) (Soil Survey Staff) database from the U.S.
182 Department of Agriculture, Natural Resources Conservation Services (NRCS). Spatially
183 distributed soil parameters are processed by the pedotransfer functions provided in Rosetta
184 (Schaap et al., 2001) with an Artificial Neural Network method to provide information of van
185 Genuchten parameters and to calculate soil water retention properties and unsaturated
186 conductivities. Climate data (e.g., precipitation, snowfall, daily maximum temperature and
187 minimum temperature, and wind speed) are acquired from National Climatic Data Center
188 (NCDC, 2010) of the National Oceanic and Atmospheric Administration (NOAA) and
189 Michigan Automated Weather Station Network (MAWN) (Enviro-weather, 2016). The nearest
190 neighbor interpolation scheme is used for spatial interpolation of climate data sets. Locations
191 of meteorological stations are shown on Figure 1, marked as NCDC and MAWN stations
192 respectively. We downloaded the evapotranspiration data from Moderate Resolution Imaging
193 Spectroradiometer (MODIS) Global Evapotranspiration Project (MOD16)
194 (<http://www.ntsg.umd.edu/project/mod16>), which is part of a NASA/EOS project to estimate
195 global terrestrial ET from earth land surface using remote sensing.

196 **2.3 Step-wise calibration**

197 Instead of calibrating to river discharge data exclusively, the calibration procedure
198 employed in this work uses several state variables involved in the quantification of major
199 hydrologic fluxes. Besides the parameters of the PAWS model described in Shen et al. (2013),
200 we estimate several additional parameters that are listed in Table S1 in Supporting Information
201 (SI). To estimate spatially varied parameters such as hydraulic conductivity and to honor
202 geology and the raw data, we use a linear transformation of the form $y = a x + b$ to adjust the
203 parameters where x represents the original parameters which vary spatially, y is the
204 transformed variable and a and b are constants. Based on the physical meaning and scale effect
205 of each parameter, the parameters are adjusted as shown in Table 1 using operators which are
206 either pure multipliers noted with a \times (non-zero value of a and $b = 0$ in the above equation) or
207 purely additive constants added to the original value noted with a $+$ (that is, $a = 1$ and a non-
208 zero value of b). The parameters are separated into three groups according to their relevance to
209 certain processes in controlling the water fluxes, following similar approaches used by Stahl et
210 al. (2008), Huss et al. (2008), and Ragettli and Pellicciotti (2012).

211 This procedure starts with adjusting the annual ET outputs in correspondence to MOD16
212 products. The aim of this step is to constrain the largest water flux in the model first, as the
213 annual ET is approximately 55% to 75% of the total annual precipitation in this region
214 (Kalamazoo River Watershed Council, 2011). Since we do not have spatially distributed soil
215 moisture observations to constrain our vadose zone simulations, we also employ the annual
216 average ET for controlling land surface processes (e.g., interception depth) and the plant soil-
217 uptake processes using a tunable parameter γ in root water uptake efficiency (Lai and Katul,

218 2000) and other soil properties. Since the data integration schemes of PAWS have already
219 incorporated the heterogeneity of vegetation types and soil properties, the van Genuchten soil
220 parameters are slightly adjusted based on the initial parameters generated by Rosetta (A newer
221 version Rosetta 3 is also available, Zhang and Schaap, 2017). In the second step, we focus on
222 improving the comparisons of stream discharge calibrated to the USGS gauging observations
223 at the outlet for each sub-watershed by adjusting the values of river bed conductivity, length of
224 flow paths for runoff contribution to overland flow domain, and river bed elevation, all of
225 which have uncertainties associated with. The river bed conductivity parameter (K_r) is spatially
226 heterogeneous, and is initially estimated as $K_r = \sqrt{K_1 K_s}$ for each river segment grid (Shen et
227 al., 2016), where K_1 is the first layer groundwater hydraulic conductivity from the well logic
228 database, K_s is the soil vertical saturated hydraulic conductivity derived from SSURGO
229 database. The final step is to calibrate the model for the steady state groundwater heads by
230 adjusting the groundwater hydraulic conductivities. The differential evolution algorithm (Price
231 et al., 2005) is finally employed to optimize the parameters by minimizing the objective
232 function $f(x)$, which represents model errors relative to observed values (Eqs. (2) – (6)). Details
233 of the calibration procedure are illustrated in Figure 3. The upper and lower limits of the
234 parameters were constrained within the scope of reasonable physical reasoning and were
235 gradually adjusted during the calibration. The model performance was evaluated using the
236 Nash-Sutcliffe efficiency metric (NASH) (Eq. 3), the absolute bias (APB) (Eq. 7), and the root
237 mean squared error (RMSE) (Eq. 8). The RNASH metric is used for calibrating stream

238 discharge to emphasize the baseflow contribution (Shen and Phanikumar, 2010), as shown in
 239 Eq. (4). For ET and groundwater heads, NASH is used to calculate f_i , as shown in Eq. (3).

$$240 \quad f(x) = \sum_{i=1}^N w_i f_i \quad (2)$$

$$241 \quad NASH = 1 - \frac{\sum_{j=1}^n (O_j - P_j)^2}{\sum_{j=1}^n (O_j - \bar{O}_j)^2} \quad (3)$$

$$242 \quad RNASH = 1 - \frac{\sum_{j=1}^n (\sqrt{O_j} - \sqrt{P_j})^2}{\sum_{j=1}^n (\sqrt{O_j} - \sqrt{\bar{O}_j})^2} \quad (4)$$

$$243 \quad f_i = 1 - NASH_i \quad (5)$$

$$244 \quad \text{or, alternatively } f_i = 1 - \left[\frac{NASH_i + RNASH_i}{2} \right] \quad (6)$$

$$245 \quad APB = \frac{\sum_{j=1}^n (O_j - P_j) \times 100}{\sum_{j=1}^n O_j} \quad (7)$$

$$246 \quad RMSE = \sqrt{\sum_{j=1}^n \frac{1}{n} (O_j - P_j)^2} \quad (8)$$

247 Here x denotes the vector of parameters; f_i denotes the objective functions and w_i denotes the
 248 weights; i equals unity for ET and steady groundwater head or denotes the i -th stream gauging
 249 stations ($i = 1, 2, \dots, N$) for the stream discharge. O_j and P_j denote observations and simulations
 250 respectively. j is the j -th year for ET and groundwater heads or the j -th simulated day for stream
 251 discharge.

252 2.4 Multi-site calibration

253 To further examine the effects of local heterogeneity on the overall model performance,
254 we divided the whole KRW into 4 sub-areas (Figure 1) and regionalized the parameter groups
255 accordingly. This division was based not on the drainage areas corresponding to each of the
256 gauging stations but on the distinct geologic and hydrologic characteristics of the watershed
257 described in section 2.2.1. Each of the four sub-areas is loosely referred to as sub-watershed
258 (SW) in this paper since they were formed by combining different 10-digit Hydrologic Unit
259 Code (HUC) sub-watersheds. North Branch and South Branch KRWs are grouped into one
260 region (SW1). Battle Creek watershed formed SW2 while Morrow Lake and Spring Brook
261 watersheds are grouped into SW3. Gun river watershed, Rabbit River watershed and small
262 KRW are grouped as SW4. Each SW is marked with a distinct color in Figure 1. The MLT
263 calibration for all of the four SWs followed the step-wise calibration procedure described above.
264 In particular, the stream discharge of each SW was calibrated to the observations from the
265 stream gauging stations within the SW domain with equal weights assigned to all gauges within
266 the SW.

267 **2.5 Empirical equation for ω**

268 Different mathematical formulations based on climate and catchment characteristics have
269 been developed for the Budyko framework in the past (Budyko, 1974; Fu, 1981; Choudhury,
270 1999; Wang et al., 2009; Donohue et al., 2012; Xu et al., 2013; Liang et al., 2015). *Fu's*
271 equation is used in this work, which provides a relation between the dryness index and the
272 evaporation ratio with an adjustable parameter ω ($1 \leq \omega \leq \infty$) that represents catchment
273 characteristics (Fu, 1981):

$$\frac{ET}{P} = 1 + \frac{E_p}{P} - \left[1 + \left(\frac{E_p}{P} \right)^\omega \right]^{1/\omega} \quad (9)$$

275 Yang et al. (2007) found that the parameter ω in Fu's equation is closely correlated with
 276 three dimensionless landscape characteristics, i.e., the relative infiltration capacity (Berger and
 277 Entekhabi, 2001), the relative soil water storage and the average ground surface slope.
 278 Similarly, Xu et al. (2013) proposed an equation for ω based on data for 224 MOPEX (Model
 279 Parameter Estimation Experiment) watersheds.

280 In order to identify the controlling factors contributing to differences in the Budyko curves
 281 for the four SWs, we used empirical equations proposed by Yang et al. (2007), i.e. Eqs. (11)
 282 and (12) and Xu et al. (2013), i.e. Eq. (13), to estimate the ω values in Eq. (9). Three
 283 dimensionless variables were evaluated by Yang et al. (2007) as the key descriptors of a
 284 catchment to estimate the parameter ω , i.e., the relative infiltration capacity (Berger and
 285 Entekhabi, 2001), the relative soil water storage and the average ground surface slope. The
 286 relative infiltration capacity used in the Eqs. (11) and (12) in Yang et al. (2007) is defined as
 287 the ratio of saturated hydraulic conductivity K_s (mm hour⁻¹) to the mean precipitation intensity
 288 \bar{i}_r (mm hour⁻¹) and represents infiltration excess. The mean precipitation intensity \bar{i}_r is
 289 averaged over the rainy hours of the simulation period. To represent the effect of vegetation
 290 and soils on the annual water balance, the plant extractable water capacity, S_{max} (Dunne and
 291 Willmott, 1996) is employed and scaled by the mean annual potential evapotranspiration ($\overline{E_p}$)
 292 in a dimensionless form, i.e., the relative soil water storage ($S_{max} / \overline{E_p}$). S_{max} is calculated as:

$$S_{max} = (\theta_f - \theta_w) \times d_{root} \quad (10)$$

294 where θ_f and θ_w are the soil moisture contents at field capacity and wilting point respectively;
 295 $d_{\text{root}} = \min(d_{\text{Top}}, d_{\text{max}})$, where d_{Top} is the top soil depth and d_{max} is the maximum root depth
 296 of each vegetation type. d_{root} is also the most direct parameter representing the vegetation type
 297 affecting the ω value. Another dimensionless parameter is the average ground surface slope
 298 ($\tan \beta$). They used an empirical non-linear functional form as Eqs. (11) and (12), considering
 299 the correlations of the parameter ω with the three descriptors. A stepwise regression analysis
 300 of the data from 108 non-humid catchments in China was used to estimate the functional form
 301 and was generalized as Eq. (12).

$$302 \quad \omega = 1 + f_1\left(\frac{K_s}{i_r}\right) f_2\left(\frac{S_{\text{max}}}{E_0}\right) f_3(\tan \beta) \quad (11)$$

$$303 \quad \omega = 1 + 8.652\left(\frac{K_s}{i_r}\right)^{-0.368} \left(\frac{S_{\text{max}}}{E_0}\right)^{0.436} \exp(-4.464 \tan \beta) \quad (12)$$

304 Xu et al. (2013) proposed the following equation for ω for basins with area 100 - 10,000 km²:

$$305 \quad \left. \begin{aligned} \omega = & 5.05722 - 0.09322(\text{lat}) + 0.13085(\text{CTI}) \\ & + 1.31697(\text{NDVI}) + 0.00003(A) - 0.00018(\text{elev}) \end{aligned} \right\} \quad (13)$$

306 Where lat is the basin center latitude, $\text{CTI} = \ln[A_s / \tan \beta]$ is the compound topographic index,
 307 also called the topographic wetness index (Sørensen et al., 2006; Gessler et al., 1993), A_s is the
 308 specific catchment area (m²) per unit width orthogonal to the flow direction and β is the
 309 slope angle in radians. NDVI is the normalized difference vegetation index, A is the catchment
 310 area (km²) and elev is the elevation (m).

311 We used Eqs. (12) and (13) to estimate the ω values for the four SWs and the whole
 312 KRW. In addition, we used our simulated results to calculate the fitted values of ω following

313 the Budyko framework in Eq. (9). The sets of ω values are then compared to assess the physical
314 relevance of parameters to identify any deficiencies in each methodology. The values of θ_f and
315 θ_w are directly obtained from the SSURGO database; for K_s we used the calibrated data sets.
316 d_{Top} values are obtained from a 5 min resolution data set (Food and Agricultural Organization,
317 2003), following the same approach of Yang et al., (2007); d_{rmax} values are obtained for each
318 vegetation as described in Zeng (2001) and weighted with the percentage of the corresponding
319 vegetation. $NDVI$ values are obtained from the NASA Earthdata website
320 (<https://earthdata.nasa.gov/>).

321 **3. Results and Discussion**

322 In this section, we present the results for major hydrologic fluxes following the same order
323 we used for the calibration procedures. Inter-annual water balances of a watershed can be
324 described using the equation:

$$325 \quad \Delta S = P - Q - E \quad (14)$$

326 where P is precipitation, Q is runoff and E is evapotranspiration. ΔS denotes the change of
327 storage over the simulation period (i.e., the difference between the amount of water storage
328 over the simulation period). All variables are annual average fluxes (mm yr^{-1}). The calibrated
329 parameters are tabulated in Table 1. The parameters here are the multipliers or the additive or
330 multiplicative constants used to change the initial model parameters. The real parameter values
331 after the calibrations are tabulated in Table 2. For spatially heterogeneous parameters, we list
332 the minimum, the maximum, the mean and the median values of the optimized parameters for
333 each (sub-) watershed. For spatially homogeneous parameters, we simply list the values. All

334 water balance components are expressed in mm yr^{-1} while conductivity values are expressed in
335 mm hr^{-1} . The riverbed conductivity (K_r) values are low compared to the aquifer hydraulic
336 conductivity values. This observation and the ranges of K_r values are supported by data based
337 on geophysical surveys and temperature modeling reported for sites along the Great Miami
338 River in Ohio (Wojnar et al., 2013).

339 **3.1 The spatial and temporal ET results**

340 Figures 4 (a) and (b) show the annual-average spatial maps of ET based on the simulations
341 and MODIS16 data respectively. The spatial maps of ET from simulations and MODIS data
342 generally follow a similar pattern. The results of the linear correlation analysis for the spatially
343 distributed ET simulated values against the LULC types and soil types are summarized in
344 section S1 and Table S2. The PAWS model outputs resolved the ET heterogeneity better than
345 did the remotely sensed MODIS data. The major land cover in northwestern KRW is forest and
346 there are many lakes and reservoirs located in the middle of the watershed. Therefore, we
347 expect high ET values within this area as shown in simulated ET maps. The south-central areas
348 of Kalamazoo (where the MODIS data are blank) are urban areas, which correspond to the low
349 ET values in PAWS output (colored blue). Details related to the spatial variability of ET are
350 further studied in the analysis based on Budyko framework in a later section.

351 Annual average ET of the 7-year simulation period is $583.43 \text{ mm yr}^{-1}$, which is comparable
352 to the MODIS value, $559.89 \text{ mm yr}^{-1}$. To further evaluate simulated ET, the monthly ET time
353 series (averaged over the entire watershed) is compared with MODIS16 data in Figure 5. The
354 simulated monthly ET is similar compared with MODIS 16 data. The most obvious deviations

355 are during winter months when the model underestimates, whereas in most summer months the
356 model overestimates MODIS ET data. The mismatch between MODIS and simulation is
357 probably due to the different algorithms used. PAWS (via CLM) uses a resistance approach to
358 describe ET based on the two-big leaf model (Dai et al., 2004), while the MODIS product is
359 based on the Penman-Monteith formulation (Mu et al., 2011).

360 **3.2 Stream flow comparisons**

361 Figure 6 shows the 7-year stream flow comparisons between simulations and observations
362 from 6 different USGS gauging stations in the KRW. The NASH values range from 0.57 to
363 0.87, as tabulated in Table S3, which showed fairly well performance. At Gauging station
364 04105000, the optimized river bed conductivity values for all (sub-)watersheds are not
365 significantly different compared with the first set of values used in (*Shen et al.* 2016), which
366 has a log mean of 0.12 m/day in another watershed in Michigan. While *Hoaglund et al.* (2002)
367 assigned a uniform value of 0.086 m/day to the riverbed conductivity for all rivers in a regional
368 groundwater modeling study of Michigan. At the outlet gauging station 04108660 of the whole
369 KRW, outputs show almost similar performance as the heaviest weight of the optimization
370 strategy is laid on the outlet gauging station. Thus, the multi-site strategy helped in quantifying
371 the overall stream flow values by preventing simulation compensation errors from other
372 processes.

373 **3.3 Steady state groundwater head comparisons**

374 The plots of simulated versus observed depths to groundwater table from the Michigan
375 Wellogic database (State of Michigan, 2016) for each computational grid cell are shown in
376 Figure 7. The overall NASH values, as tabulated in Table 3, are over 0.91 showing a spatially
377 good match. These values are comparable to or better than the reported NASH values for water
378 table comparisons (e.g., Niu et al., 2014, Shen et al., 2013). The simulated annual groundwater
379 recharge values are within the range of 176 - 351 mm yr⁻¹ which was estimated with a tritium
380 interface method by *Delcore and Larson* (1987) in the same watershed.

381 **3.4 Soil Moisture and Soil Temperature Comparisons**

382 Figures 8 and 9 show the 10 cm soil moisture and soil temperature comparisons at two
383 MAWN stations. It should be noted that the observed data represent a point measurement as
384 data were collected using a Campbell Scientific CS616 water content reflectometer (WCR)
385 whereas our simulated results represent an average of a grid cell domain with area of 400×400
386 m². At station Albion (Figure 8 (a)), simulated soil moistures show almost the same trend
387 comparing with observations but generally lower in winter and higher in summer. For example,
388 around February 2004, the simulated soil moisture values are below 0.1 while the observed soil
389 moisture values are between 0.2 and 0.25. Around July 2005, the simulated soil moistures are
390 above 0.1 while the observations are slightly lower. At Michigan State University Kellogg
391 Biological station (MSUKBS) (Figure 8 (b)), the relatively higher soil moistures could not be
392 simulated accurately in February 2009, which may due to the underestimated rainfall intensity
393 during this period. For the soil temperature simulations (Figure 9), the simulated results
394 generally show a good performance at both stations compared with observations except that

395 more fluctuations in simulated results are noted when temperature is below 0 °C during winter.
396 The static temperatures around 0 °C measured by the WCRs during winter time are possibly
397 because of the frozen soil. It was found by several researchers that the responses of WCRs such
398 as the CS616 are sensitive to temperature and soil type (Benson et al., 2006; Saravanathiiban,
399 2014). Considering these differences, the comparisons of soil moisture and soil temperature
400 are considered acceptable for vadose zone simulations.

401 **3.5 Analysis based on the Budyko framework**

402 Annual water budgets based on Eq. (14) for the whole KRW and four SWs are listed in
403 Table 4. The plots of annual E/P versus E_p/P (termed Budyko pairs) in the Budyko framework
404 for the four SWs and the whole KRW are shown in Figure 10 for the 7- year simulation period
405 (2003 - 2009). All the Budyko curves in the section refer to the Budyko-type curves are
406 generated by Eq. (9) using simulated results. For the whole KRW, the annual ET value is
407 calculated using three methods (Chen et al., 2013; Condon and Maxwell, 2017): 1) direct ET,
408 simulated ET values are used; 2) inferred ET, assuming the annual storage change is negligible,
409 ET is computed as: $ET = P - Q$ in Eq. (9) and 3) effective precipitation, P is replaced by $P - \Delta$
410 S in Eq. (9). The alfalfa (mature, 40cm canopy height) reference ET values are calculated as
411 the E_p values, using the Penman - Monteith equation (Dingman, 2008). In this figure, the
412 horizontal straight line indicates the arid or water-limited conditions, while the 1 to 1 line
413 indicates the humid or energy-limited upper bound. The fitted ω values and the R^2 values for
414 the curve fitting using Eq. (9) are tabulated in Table 5. While the ω values using the three
415 different methods are slightly changed due to the partitions of water storage (Istanbulluoglu et

416 al. 2012; Wang, 2012), the ranks of ω values among the four SWs and whole KRW are the
417 same. Therefore, the rest part of Budyko curve analyses are based on results of effective
418 precipitation to simplify our discussion.

419 The average Mahalanobis distance D (*Mahalanobis*, 1936) of each SW was calculated
420 using the whole KRW results as a reference sample (see Table 6) to represent the dissimilarity
421 of each SW from the averaged pattern. The Mahalanobis distance is a measure of distance in a
422 multidimensional parameter space, which is similar to the Euclidean distance but takes into
423 account the covariance among dimensions of the reference sample. Large D indicates more
424 dissimilarity. SW4 shows the most obvious dissimilarities from the KRW, and this is also
425 apparent from Figure 10 - SW4 has consistently higher E/P values compared to other sub-
426 basins, given similar E_p/P .

427 The ω values of the four SWs and the whole KRW calculated by Eqs. (12) and (13) and
428 the parameters involved are tabulated in Table 6. All the parameters are calculated within each
429 grid cell and are averaged across the watershed. The ω values calculated by Yang's method for
430 the 4 SWs and whole KRW are generally lower compared with the fitted ω values in Budyko's
431 framework for the 7-year simulation period, with an average deviation around 8.5%. Five major
432 factors could be identified as the reasons for the uncertainties of calculating ω using *Yang's*
433 method here. First, there are errors in calculating the parameters (for example, there are
434 uncertainties in calculating the average ground surface slope). Second, although *Yang's* method
435 has considered relative infiltration capacity and relative soil water storage, it overlooked the
436 effects of groundwater flow which also play an important role in water storage and stream

437 discharge contribution (Wang, 2012; Shen et al., 2013; Condon and Maxwell, 2017). Third,
438 the climate conditions of 108 catchments used to generate the empirical equation of *Yang's*
439 method are different from the climate of KRM; there are uncertainties in the coefficients
440 considering the climate variations. Fourth, there may be parameter inversion errors during the
441 parameter generation processes of *Yang's* and *Xu's* methods. Fifth, open water ET plays an
442 important role in SW4, however, this factor is not included in the three indicators suggested by
443 *Yang's* method. This is also an important reason ω is underestimated using *Yang's* method for
444 SW4. *Xu's* method, however, overestimate the ω values with an average deviation around
445 10.5%, especially for the whole KRW. *Xu's* method utilizes the catchment area as an indicator
446 which is positively correlated with ω . This may create a discrepancy to differentiate the ω
447 values between whole watershed and SWs. Since the area for the whole watershed is larger
448 than each SW, which indicates larger ω value for the whole watershed, whereas its ω values
449 should fall between the ranges of those of all SWs based on water budgets. In addition, the ω
450 values obtained using *Xu's* method for the four SWs are not as different as ω values obtained
451 from using *Yang's* method and the simulated data. This could be due to the climate conditions,
452 i.e. the rainfall intensities, are not explicitly expressed in *Xu's* method. Besides the possible
453 uncertainties in estimating the values of ω in *Yang's* and *Xu's* methods, any errors in the model
454 outputs of the ET and the estimation errors of E_p could also shift the Budyko pairs to some
455 extent compared with the two empirical methods.

456 The ω values of the four sub-watersheds do not change much and generally fall within
457 the range of 2 ~ 3, and one major reason is that the vegetation types and percentages do not

458 vary significantly among the four SWs. This information is represented as the indicators of root
459 depth in *Yang's* method and *NDVI* in *Xu's* method. Although the ω values produced by the
460 three methods (two empirical equations and numerical simulation based on the PAWS model)
461 are different, SW4 has the highest value of ω among all four sub-watersheds., and the most
462 significant influencing factor is the mean precipitation intensity in *Yang's* method and the
463 watershed elevation in *Xu's* method. Compared to the other SWs, SW4 had a relative higher
464 precipitation intensity, which decreases the relative infiltration capacity accordingly.
465 Relatively less infiltration capacity translates to more surface ponding, which produces higher
466 actual ET. Some previous studies (e.g., Schenk and Jackson, 2002) suggested that rooting depth
467 increases with precipitation (at least in water-limited ecosystems). Results shown in Table 6
468 suggest that SW 4 has the highest precipitation and root depth values, although the differences
469 of root depth values are not significant. The lake effect may be responsible for the higher
470 precipitation intensity at SW4, which is also implicitly reflected in the elevation indicator of
471 *Xu's* method. In contrast, SW3 shows the lowest ω value, and higher averaged soil saturated
472 hydraulic conductivity increases the relative infiltration capacity, which tends to generate lower
473 actual ET. In addition, SW3 has higher ground surface slope and accordingly lower CTI, which
474 indicates higher potential to produce surface runoff with less water retained for
475 evapotranspiration (Hjerdt et al., 2004, Yang et al., 2019). Another factor influencing the ET
476 of SW3 could be its largest urban area ratio among all the SWs; this information was
477 incorporated in the data integration algorithm during the model construction (Shen et al., 2013).
478 These calculated ω values are also in correspondence to *D* values discussed above when

479 considering the deviations of ω values of the four SWs from that of the whole KRW.
480 Considering all the possible errors in estimating the values of ω , the dominant heterogeneous
481 characteristics controlling the water budgets are effectively identified within the indicators of
482 the two empirical methods at SW scale, which indicates the usefulness of the two empirical
483 methods in predicting the interannual variability of regional water balances over a long period.

484 The advantage of the Budyko approach lies in its ability to predict changes in long term
485 ET or water yields due to changes in vegetation (e.g. replacing traditional crops with biofuel
486 crops) based on multiple observations. The Budyko approach could efficiently generate the
487 general functional patterns of catchments and inform controlling hydrologic parameters based
488 on empirical relationships. These results reveal the possibility of using Budyko approach to
489 guide the calibration of PBHM models, to recognize the controlling processes, and to constrain
490 individual processes in the integrated system.

491 **4. Conclusions**

492 In order to accurately quantify the spatial and temporal inter-annual water balances for a
493 heterogeneous catchment, in this work, we used a step-wised calibration method combined
494 with a multisite calibration strategy to optimize a PBHM. The calibration objectives are not
495 limited to stream discharge exclusively, while also include ET and groundwater heads to
496 resolve the equifinality issue. This calibration strategy successfully converged, and the
497 calibrated results showed good comparisons with the observed data for different SWs. The
498 Budyko curves based on the simulated water balance components and two empirical equations
499 (Yang et al. (2007) and Xu et al, (2013)) are employed to quantify the variabilities of inter-

500 annual water balances for different SWs. Although Yang's method (Yang et al., 2007) is more
501 suitable for the KRW, the dimensionless landscape characteristics used in both empirical
502 relations are found to be useful in characterizing the integrated hydrologic performances based
503 on the Budyko framework.

504 Given its simplicity, the Budyko approach could efficiently generate the general functional
505 patterns of hydrologic system at SW scale. The consistency of presenting the spatial variability
506 of water budgets between PBHM and Budyko approach reveal the possibility of synthesizing
507 Darwinian and Newtonian approaches, to deepen understanding of the hydrologic system
508 (Harman and Troch, 2014).

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730 **Figures**

731 **Figure 1.** Map of the Kalamazoo River watershed. Elevation is shown as the color gradient.
732 National Hydrography Dataset (NHD) rivers, U.S. Geological Survey (USGS) gauges,
733 National Climatic Data Center (NCDC) weather stations and Michigan Automatic Weather
734 Network (MAWN) stations are shown.

735 **Figure 2.** Land Use and Land Cover map for the Kalamazoo River Watershed.

736 **Figure 3.** Flow chart of the calibration procedure. See Table S1 for an explanation of
737 variables and their meaning.

738 **Figure 4.** Spatial map of yearly averaged evapotranspiration for the Kalamazoo River
739 watershed for the 7-year period (2003–2009) of (a) simulated output and (b) MODIS data.

740 **Figure 5.** Monthly ET comparisons of simulated outputs with MODIS data for the 7-year
741 simulation period (2003–2009). NASH is the Nash-Sutcliffe efficiency metric; APB is the
742 absolute bias; RMSE is the root mean squared error.

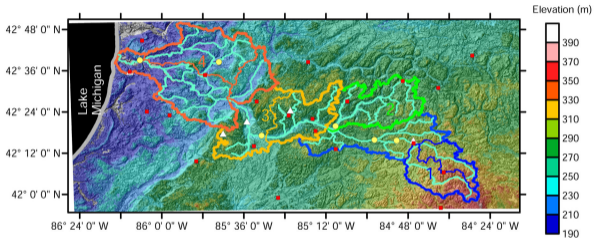
743 **Figure 6.** River discharge comparisons of simulated outputs with observations at different
744 U.S. Geological Survey (USGS) gauge stations. Sim is the simulated; Obs is the USGS
745 observations. NASH is the Nash-Sutcliffe efficiency metric. The model performance for each
746 gauge is summarized in Table S3.

747 **Figure 7.** Plots of simulated versus observed depth to groundwater table (from Wellogig
748 data set) for each computation grid cell. SW1, SW2, SW3, SW4 are the simulated results
749 within each SW.

750 **Figure 8.** 10 cm Soil Moisture comparisons of simulated outputs with MAWN (Michigan
751 Automatic Weather Network) station observations at (a) Albion and (b) MSUKBS. Sim is the
752 simulated outputs; Obs is the MAWN station observations.

753 **Figure 9.** 10 cm Soil Temperature comparisons of GLB and MLT simulated outputs with
754 MAWN (Michigan Automatic Weather Network) station observations at (a) Albion and (b)
755 MSUKBS. Sim is the simulated outputs; Obs is the MAWN station observations.

756 **Figure 10.** Budyko Curve Analysis for the 4 SWs and the whole Kalamazoo River
757 watershed for a 7-year simulation period from 2003 to 2009 using a) direct ET, b) inferred
758 ET and (c) effective precipitation.



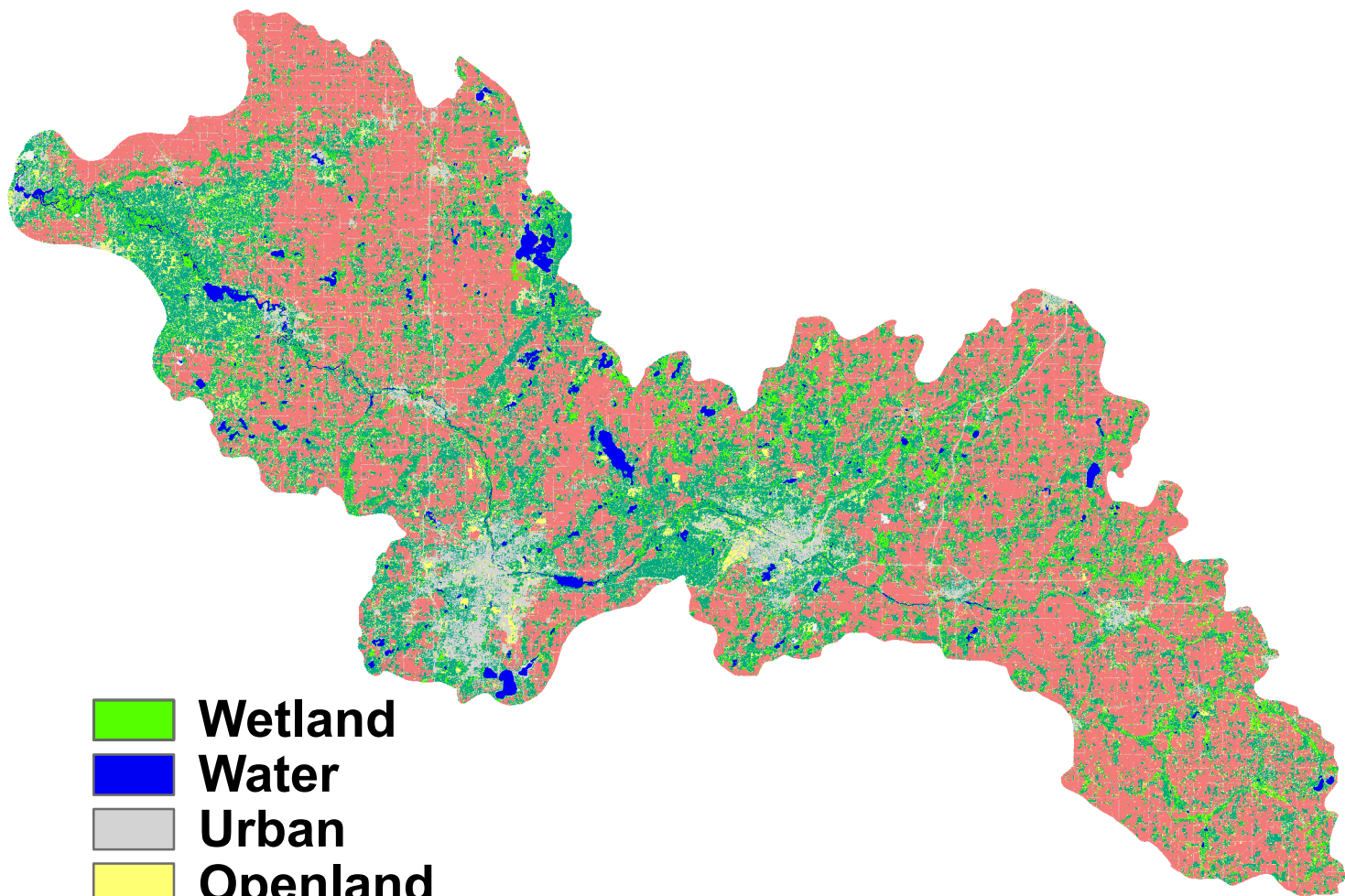
Legend








● USGS Gauging Stations

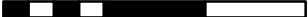
■ NCDC Weather Stations

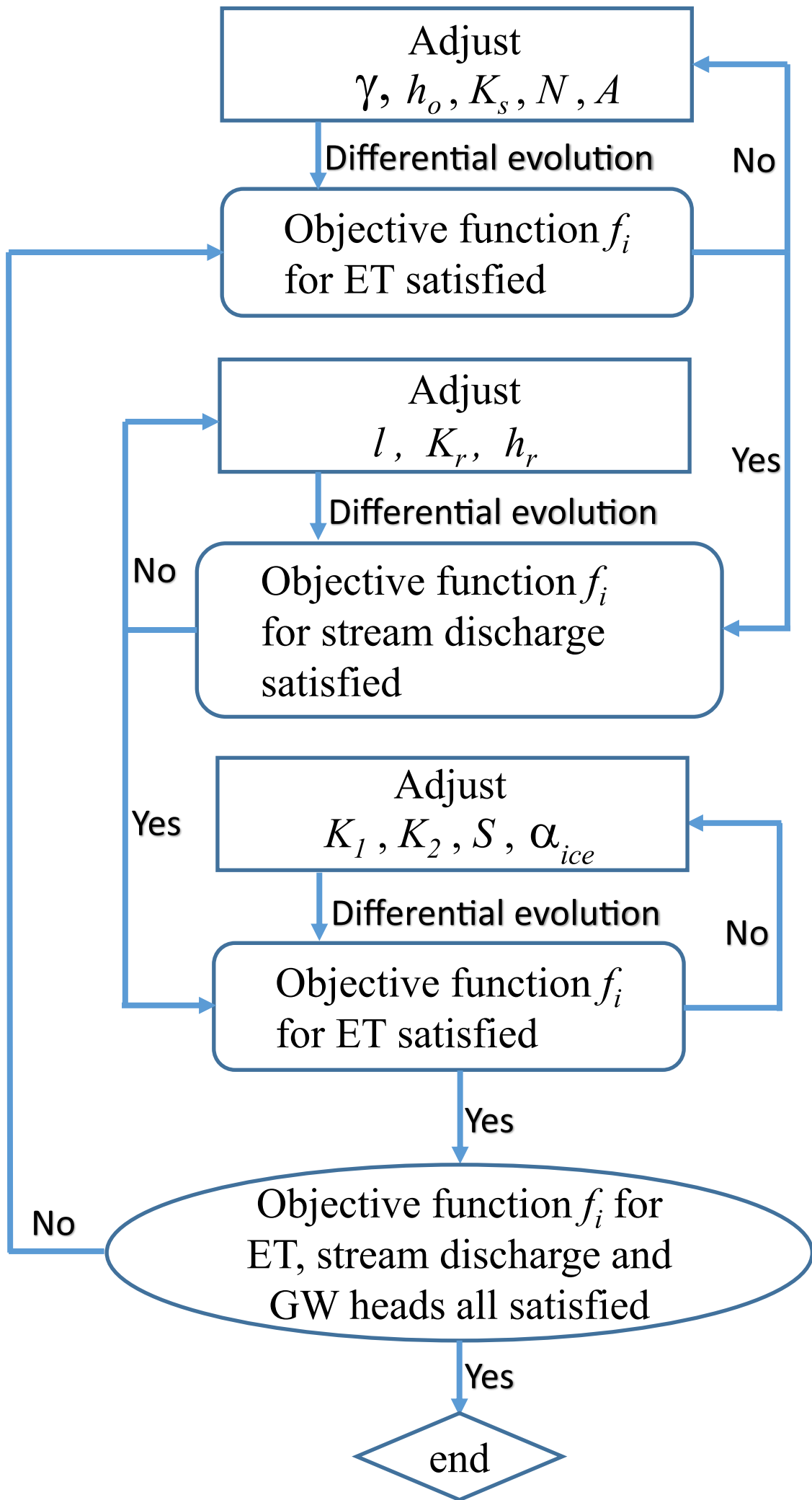
△ MAWN Stations

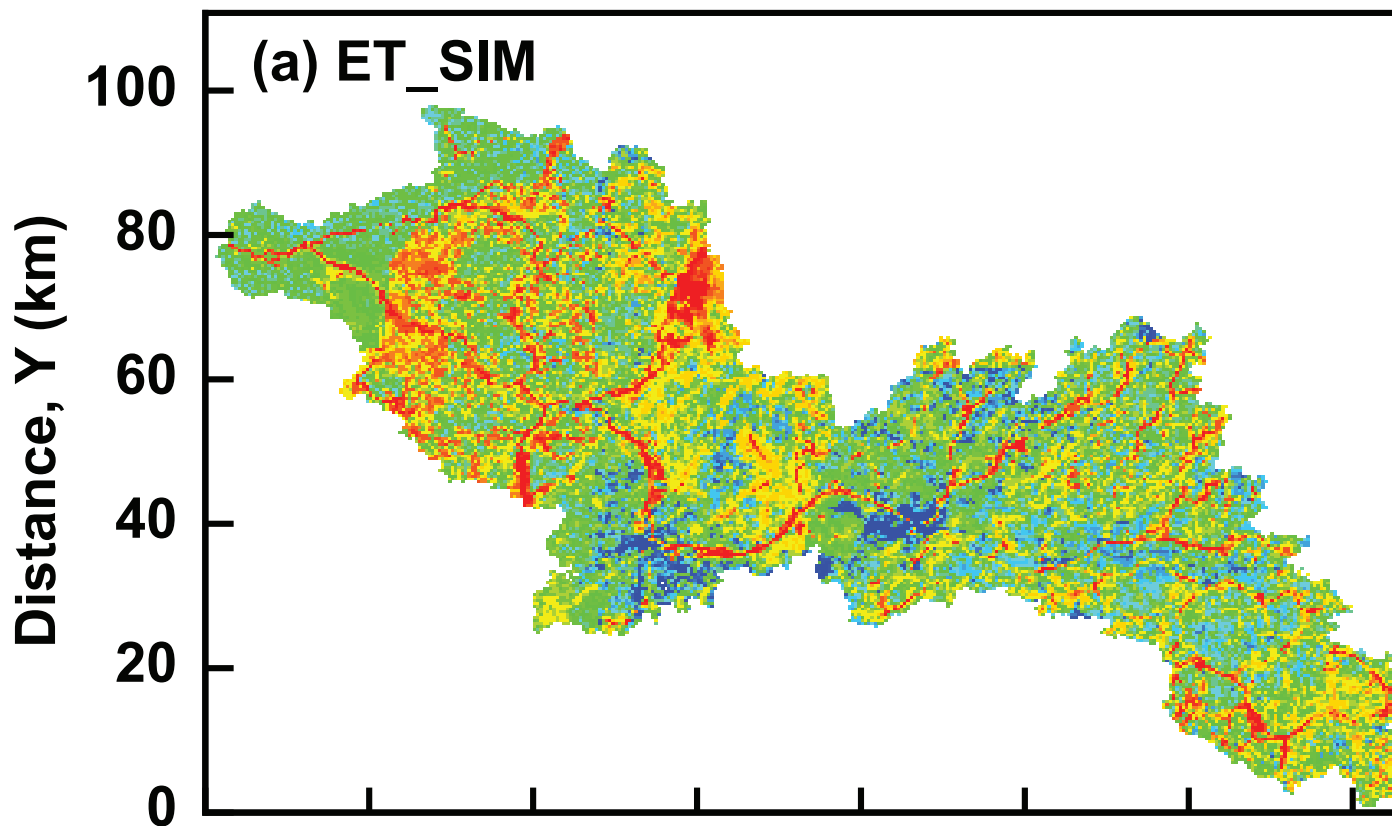
— River Segments



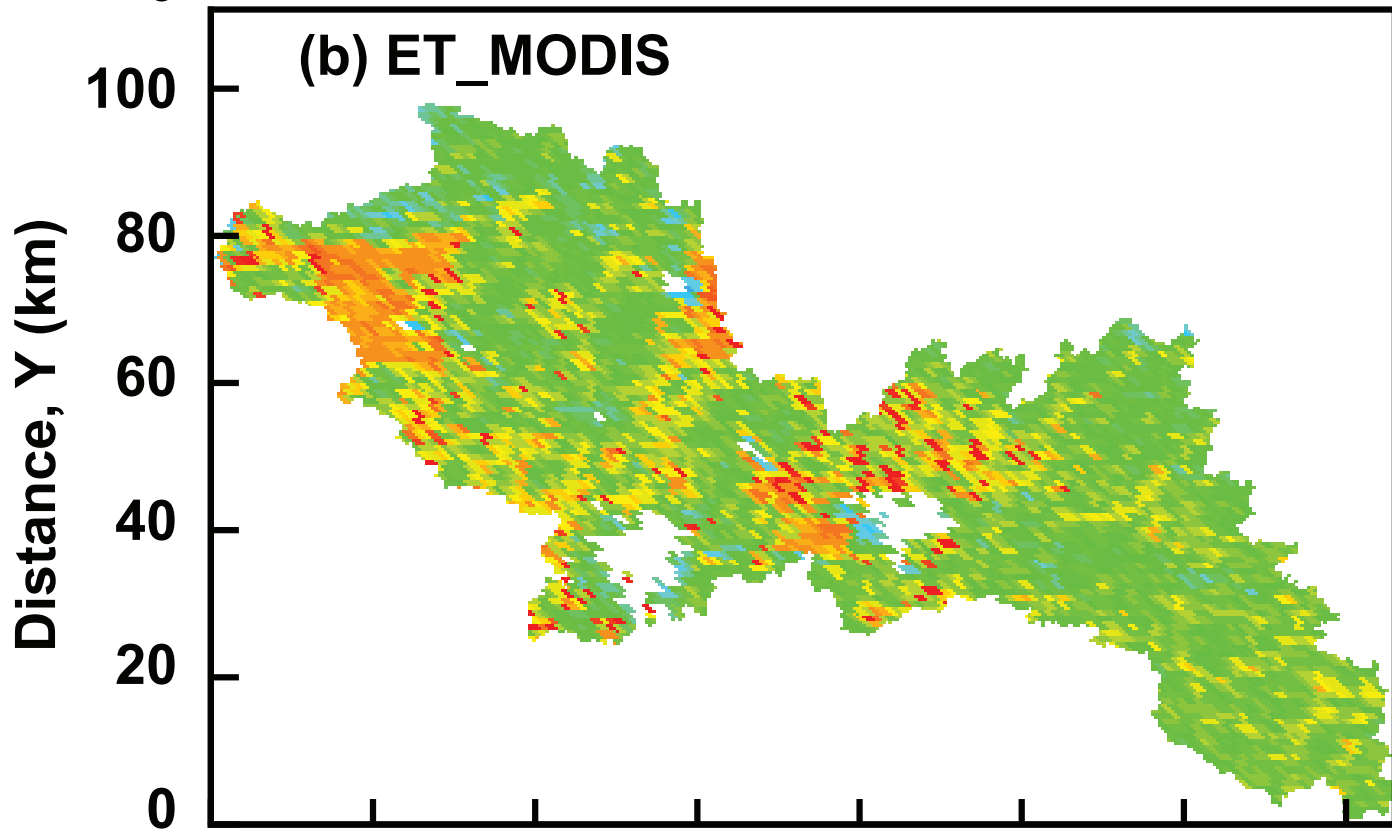
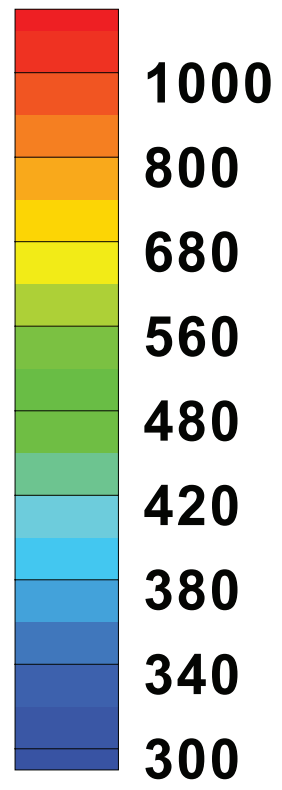
-  **Wetland**
-  **Water**
-  **Urban**
-  **Openland**
-  **Forest**
-  **Bare**
-  **Agriculture**

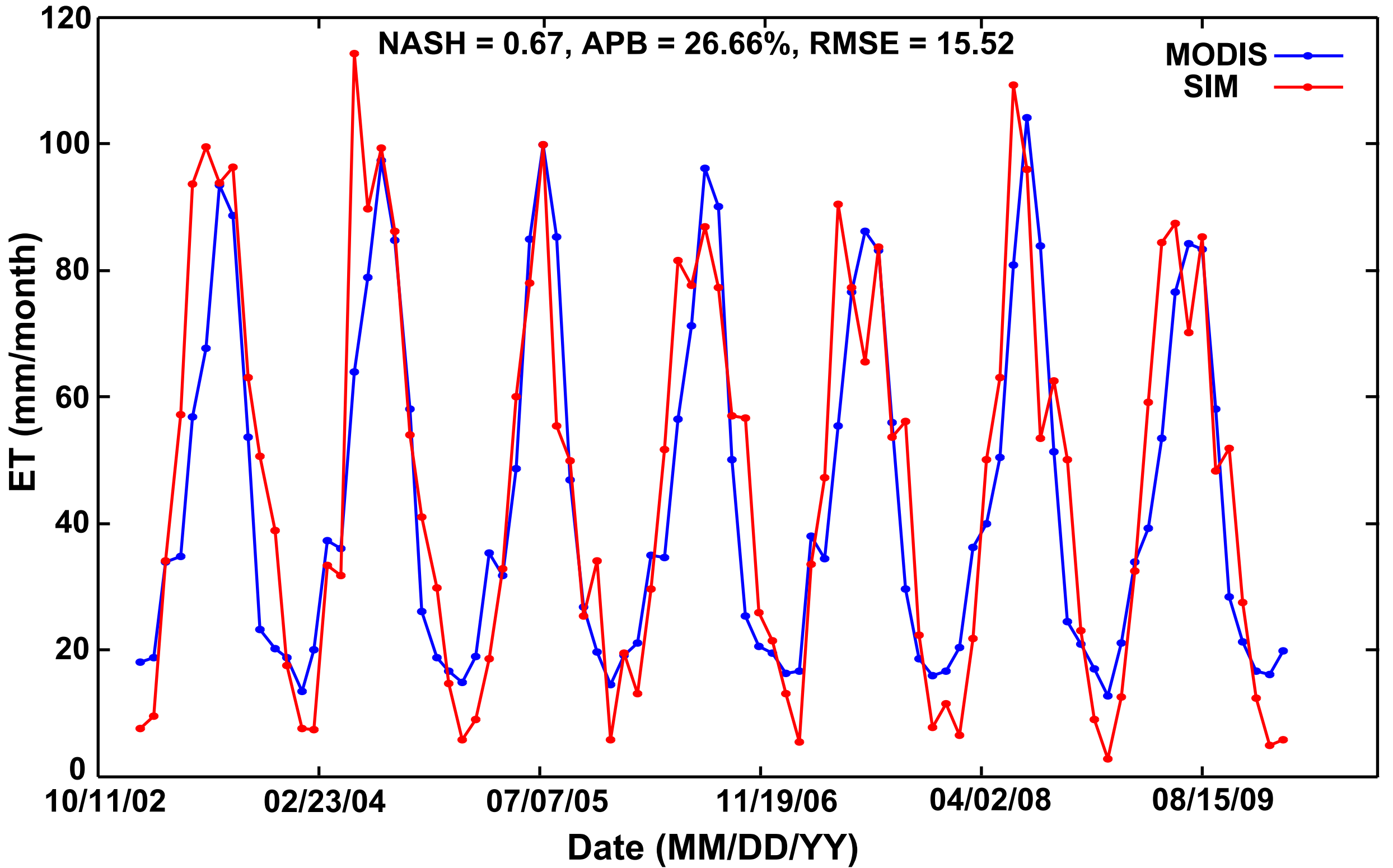

0 10 20 30 km

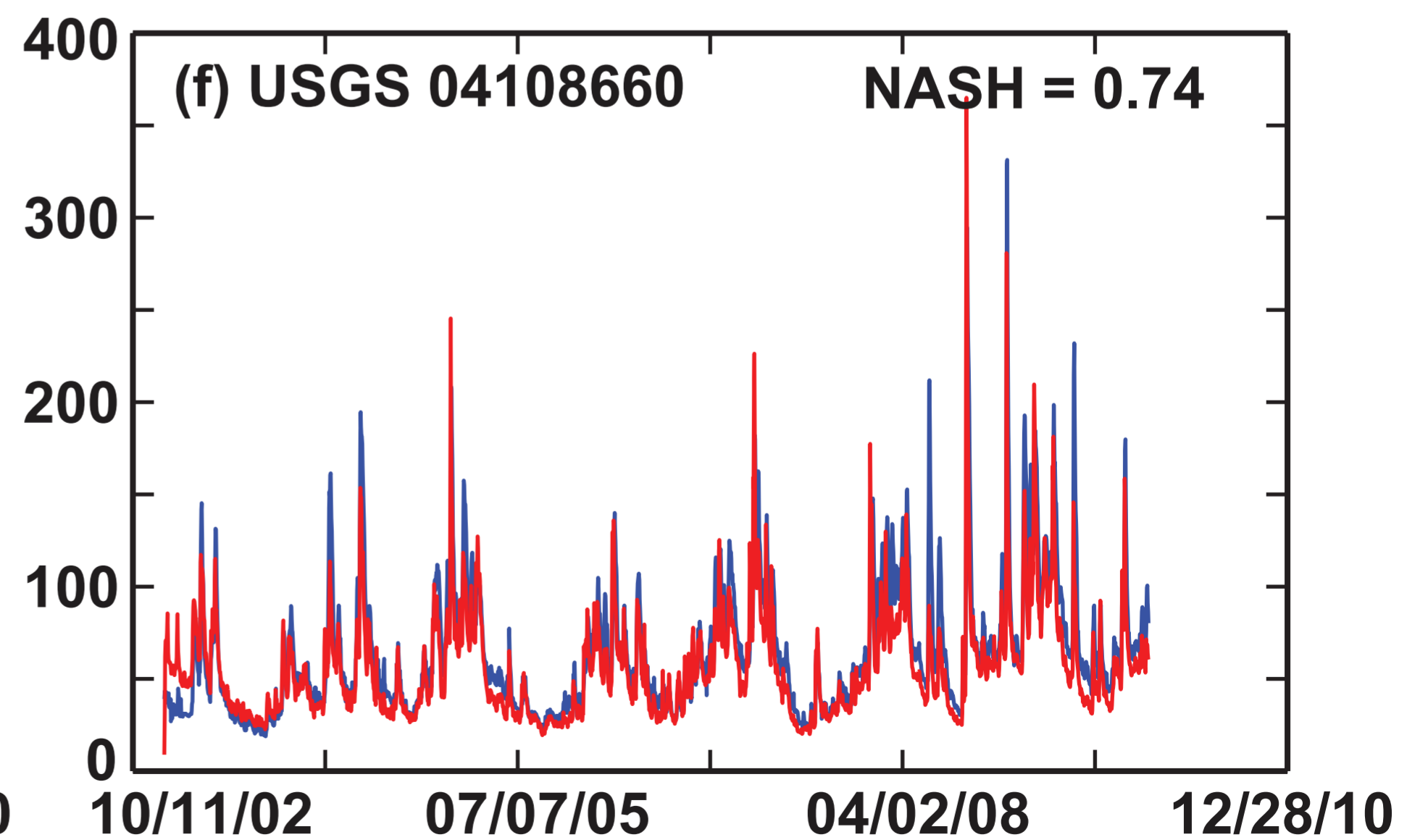
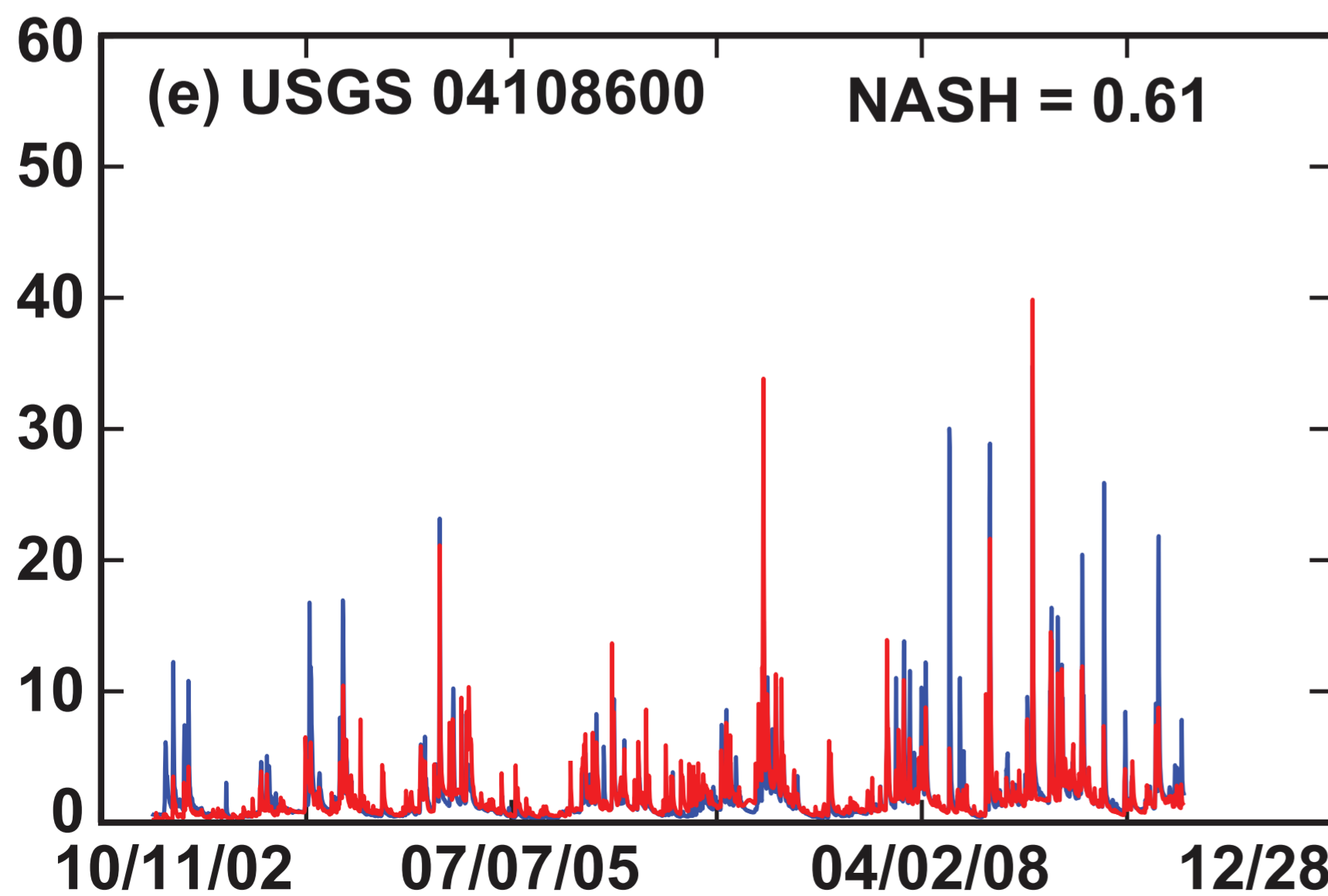
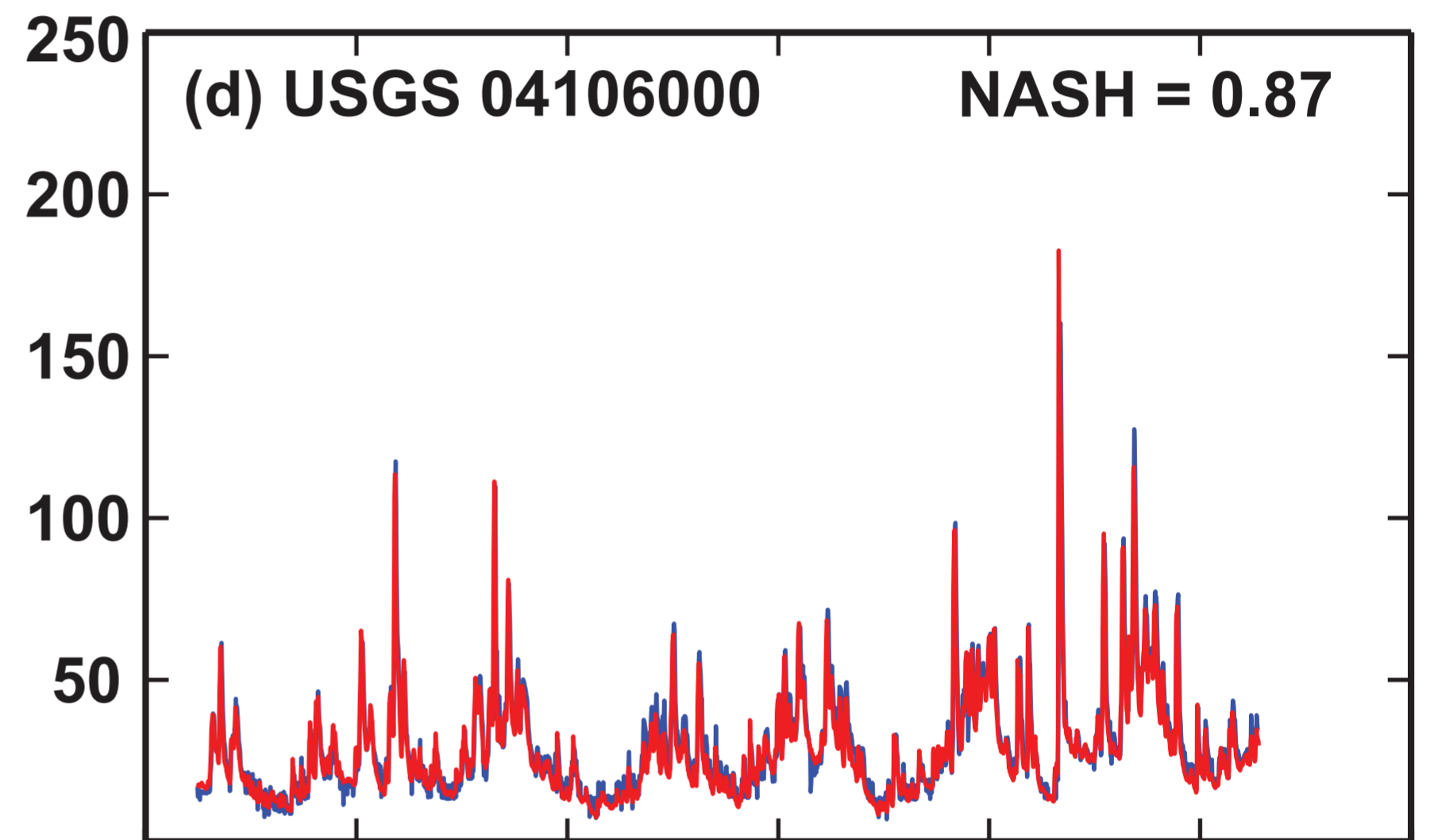
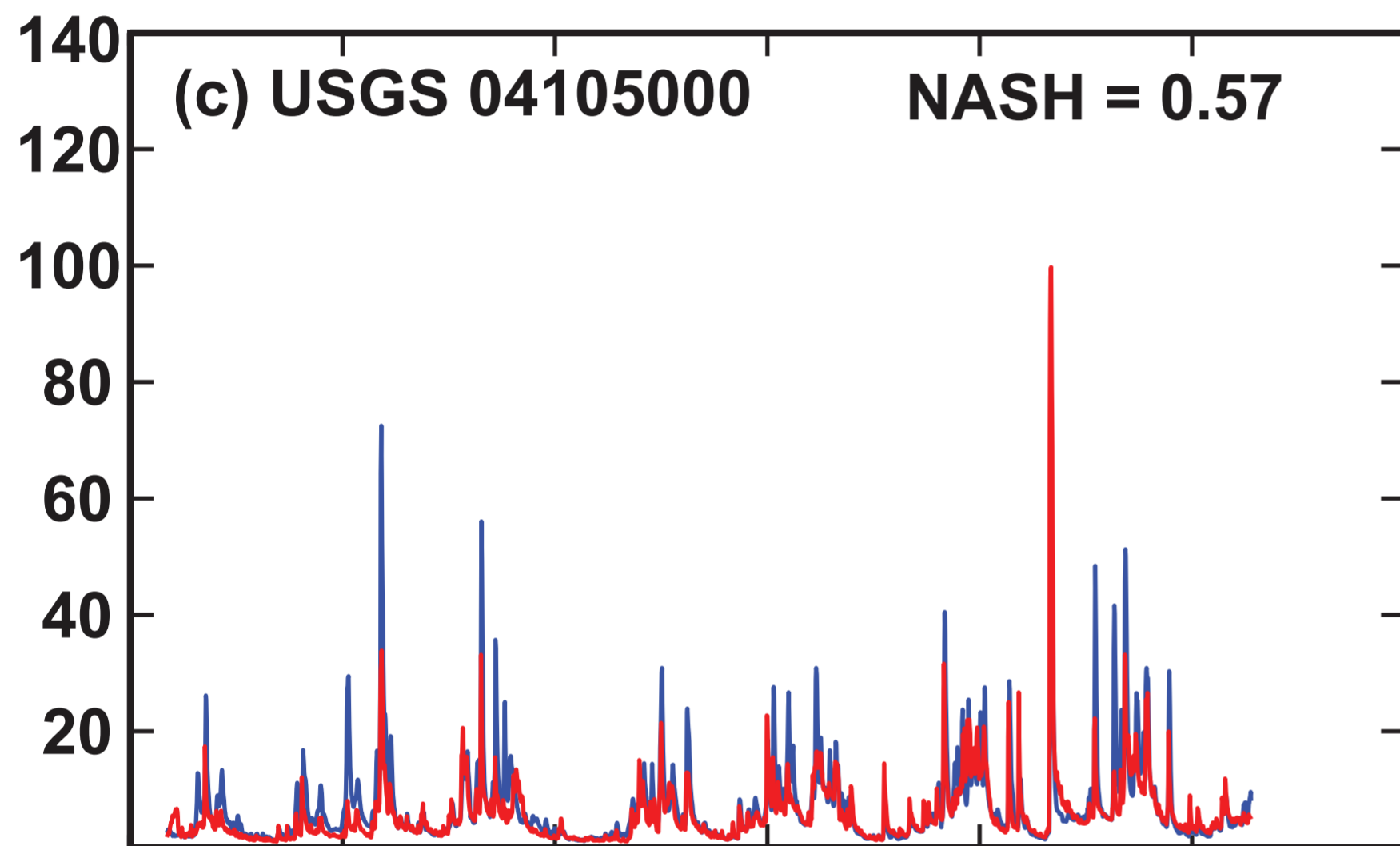
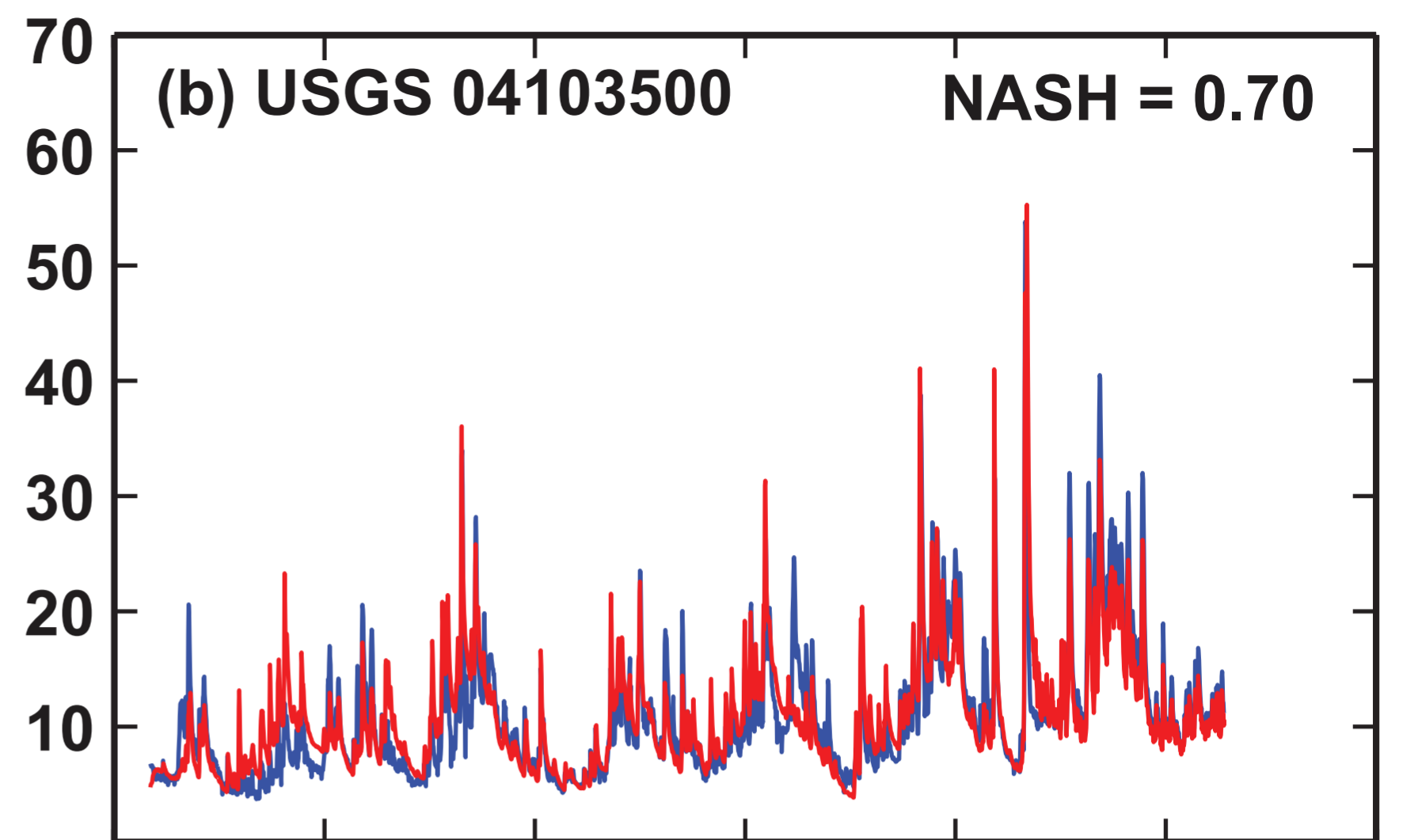
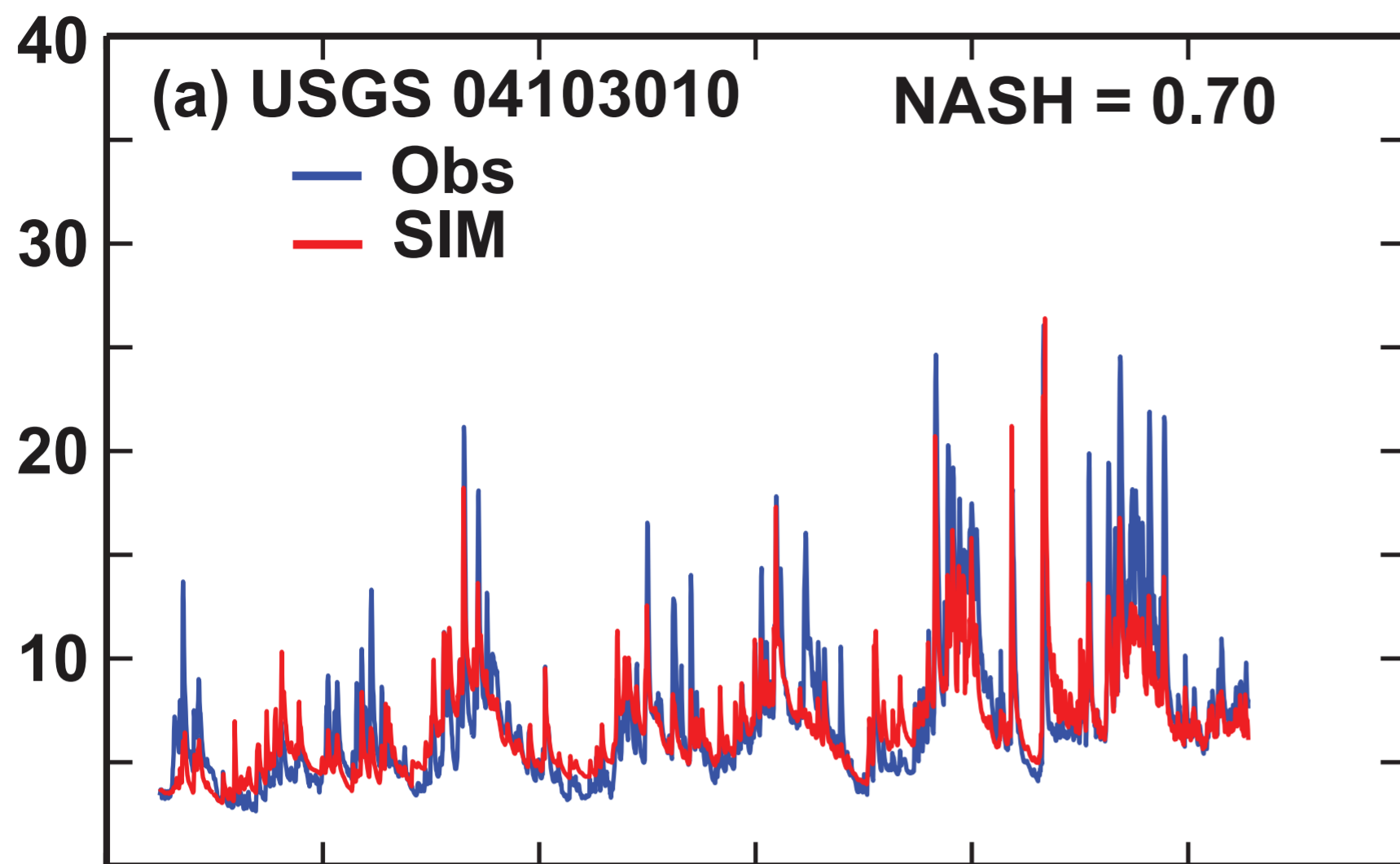




ET (mm/year)

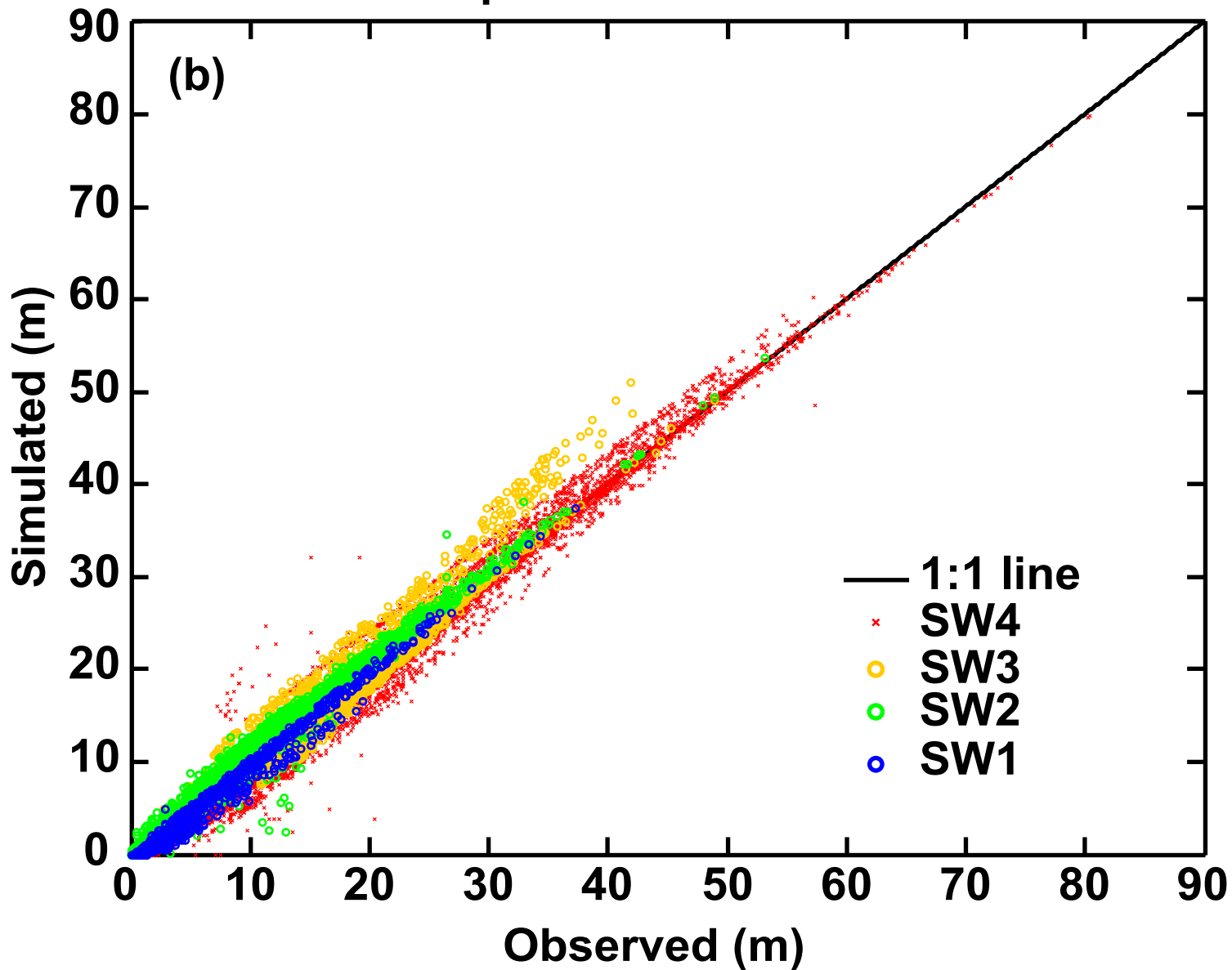


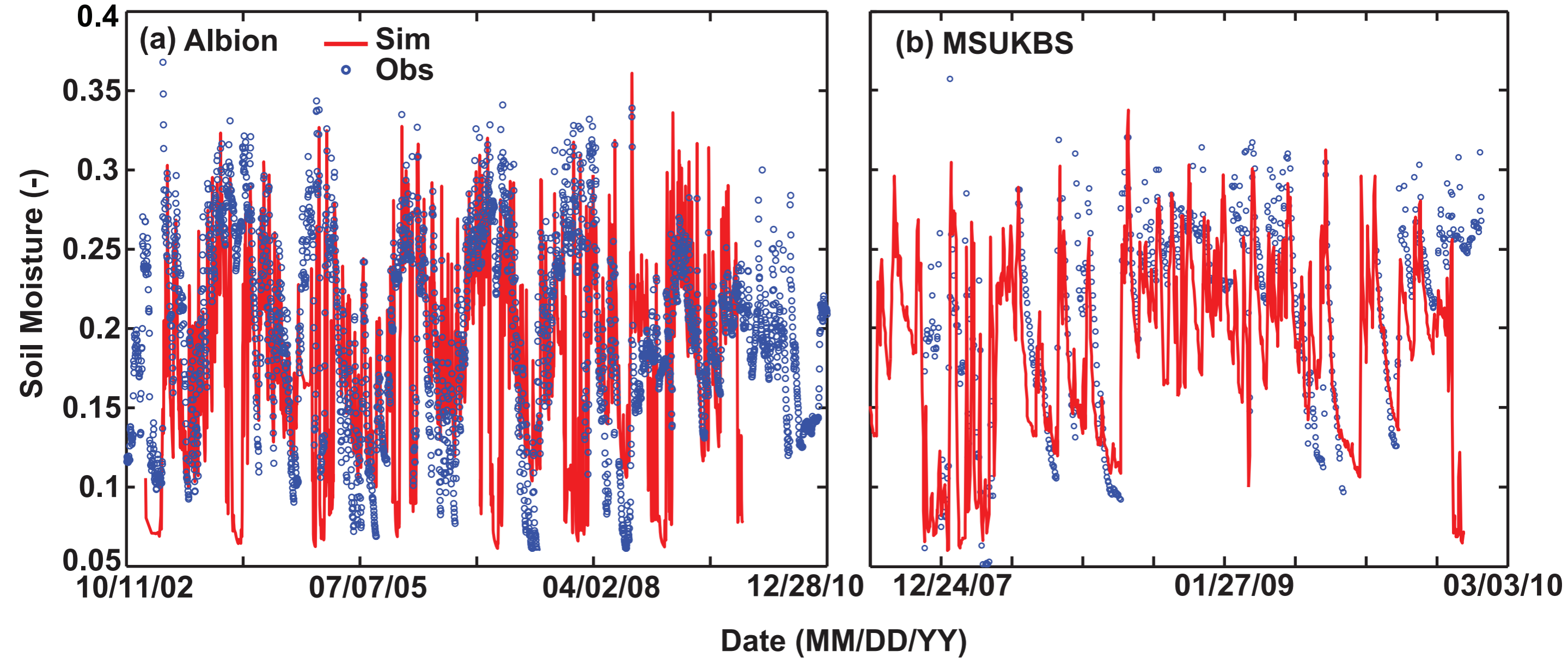


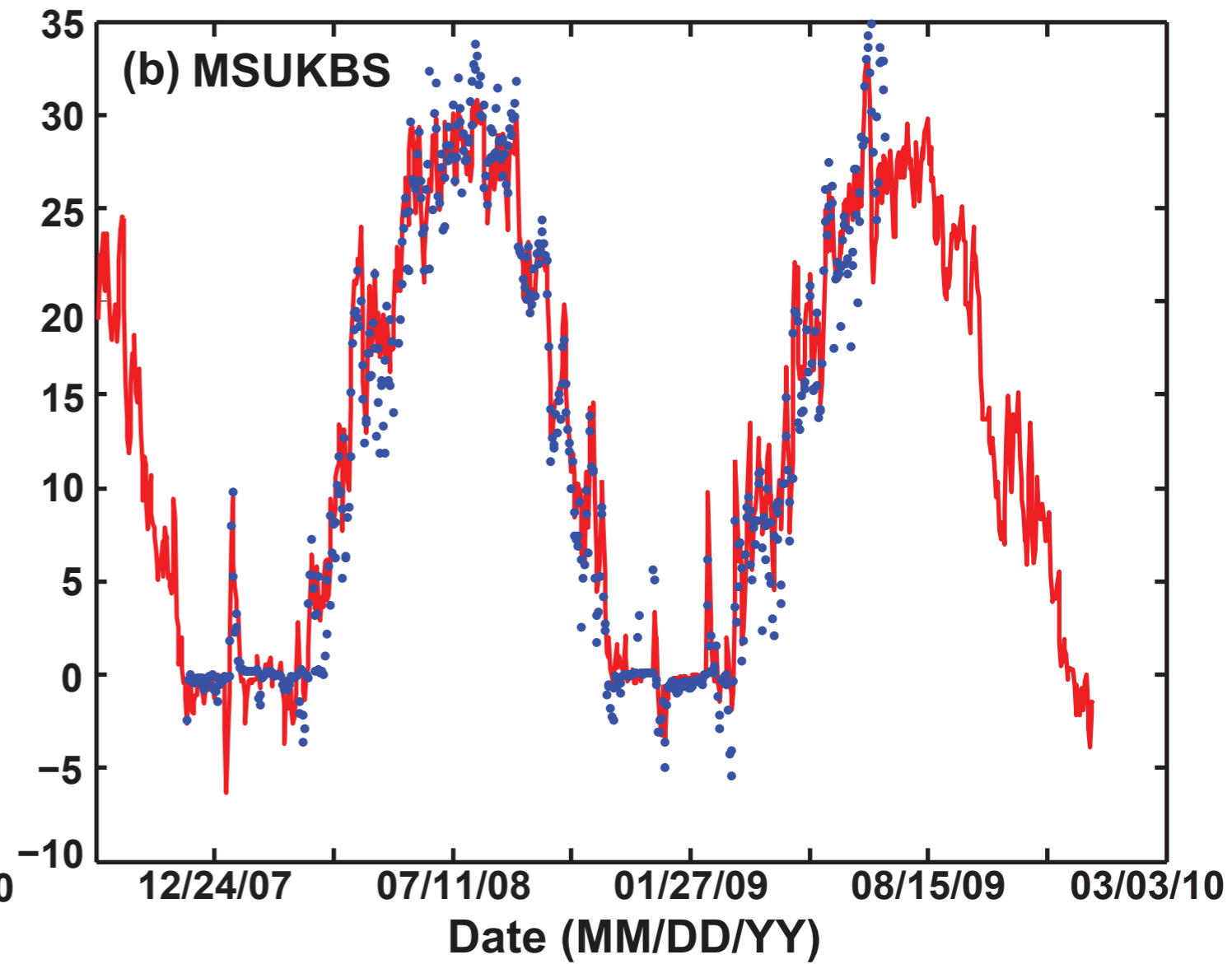
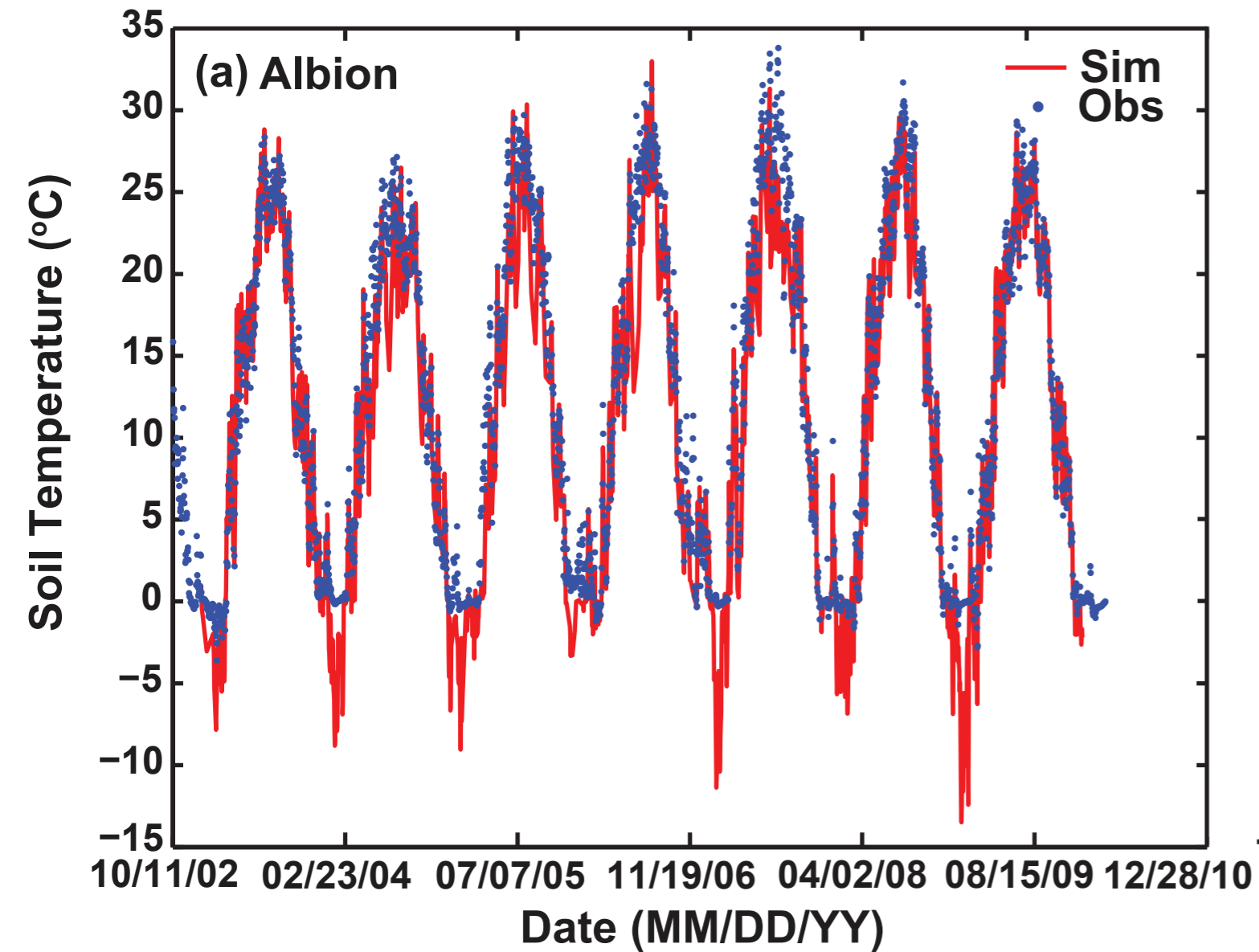


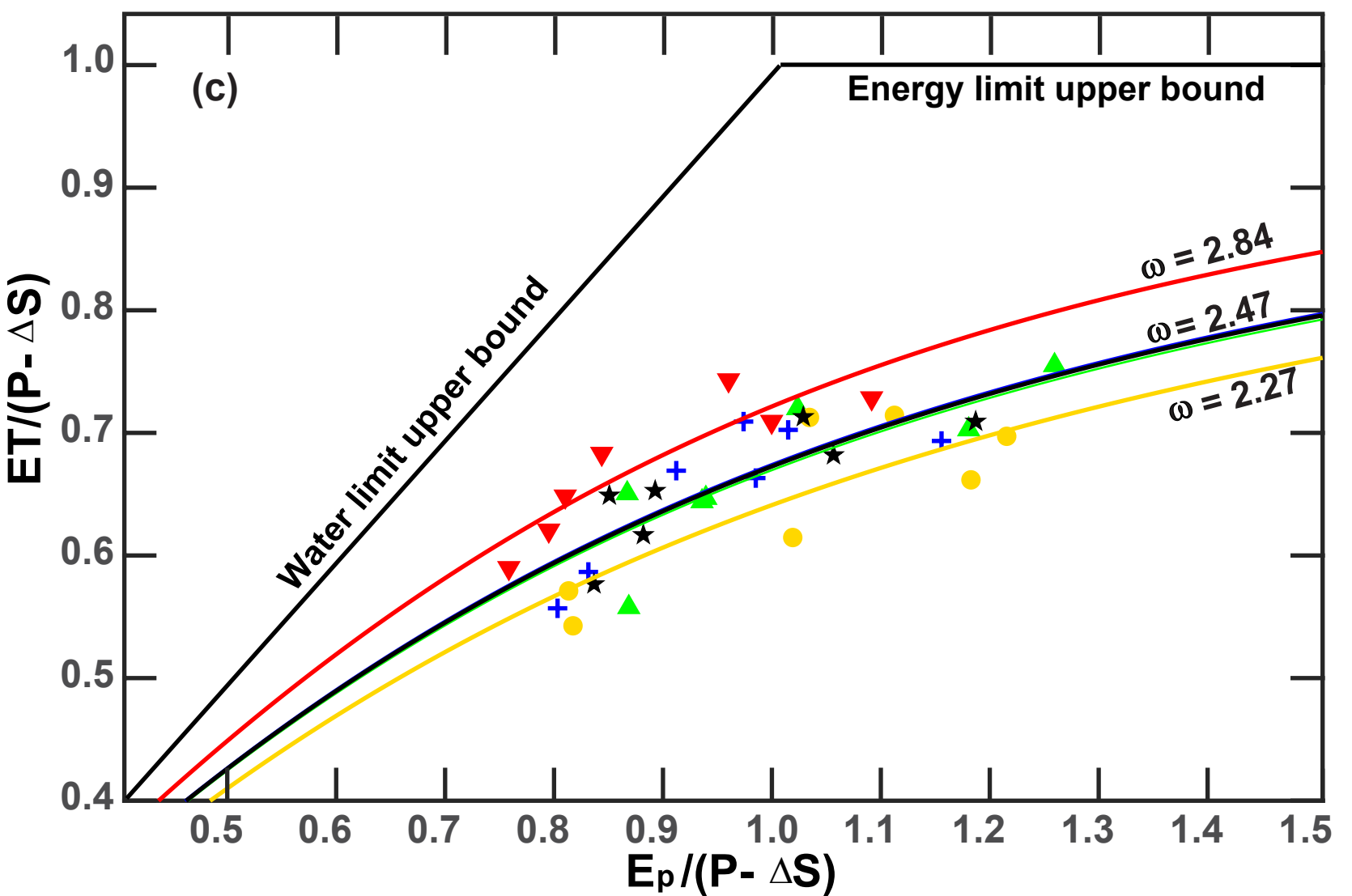
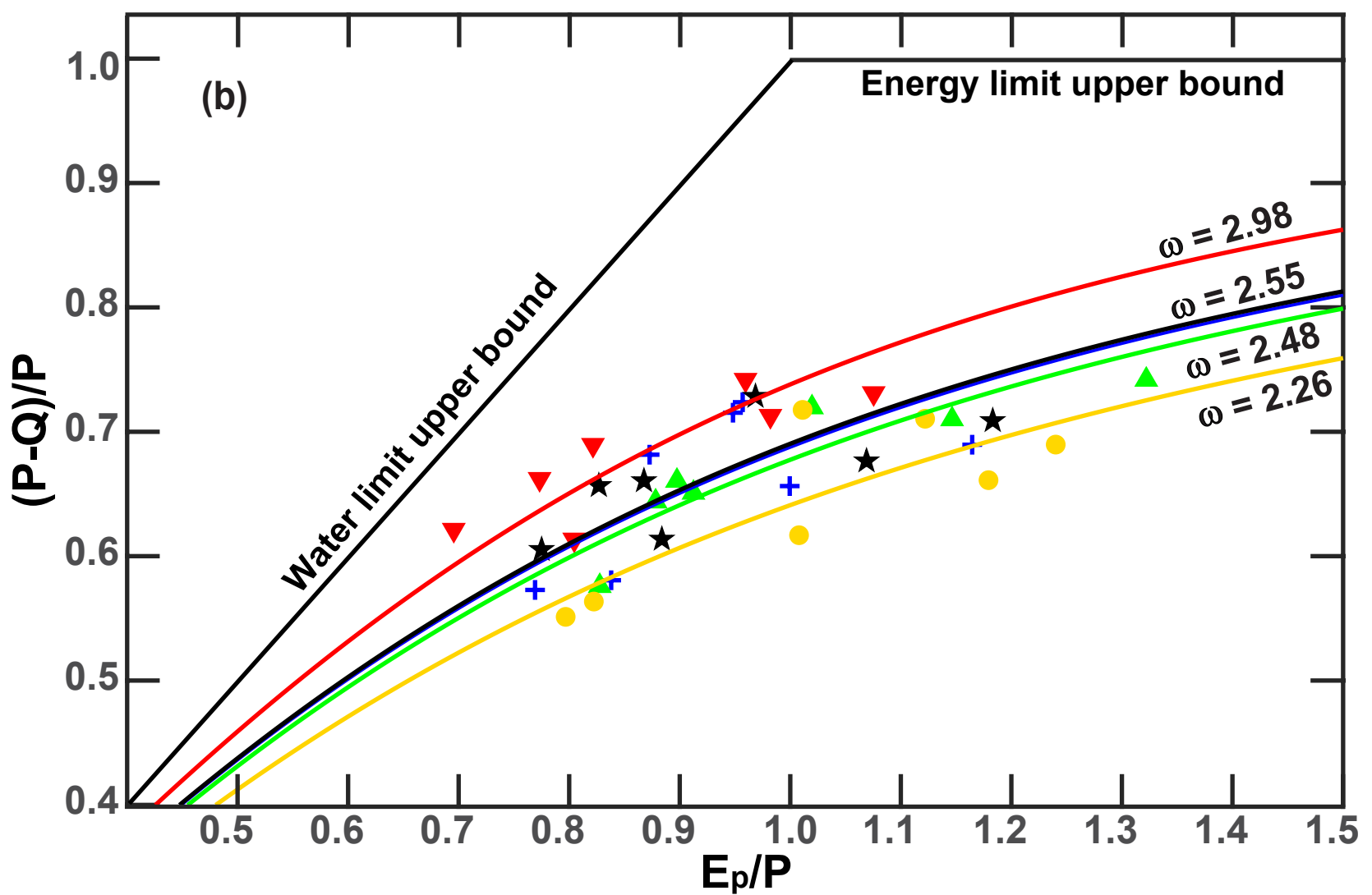
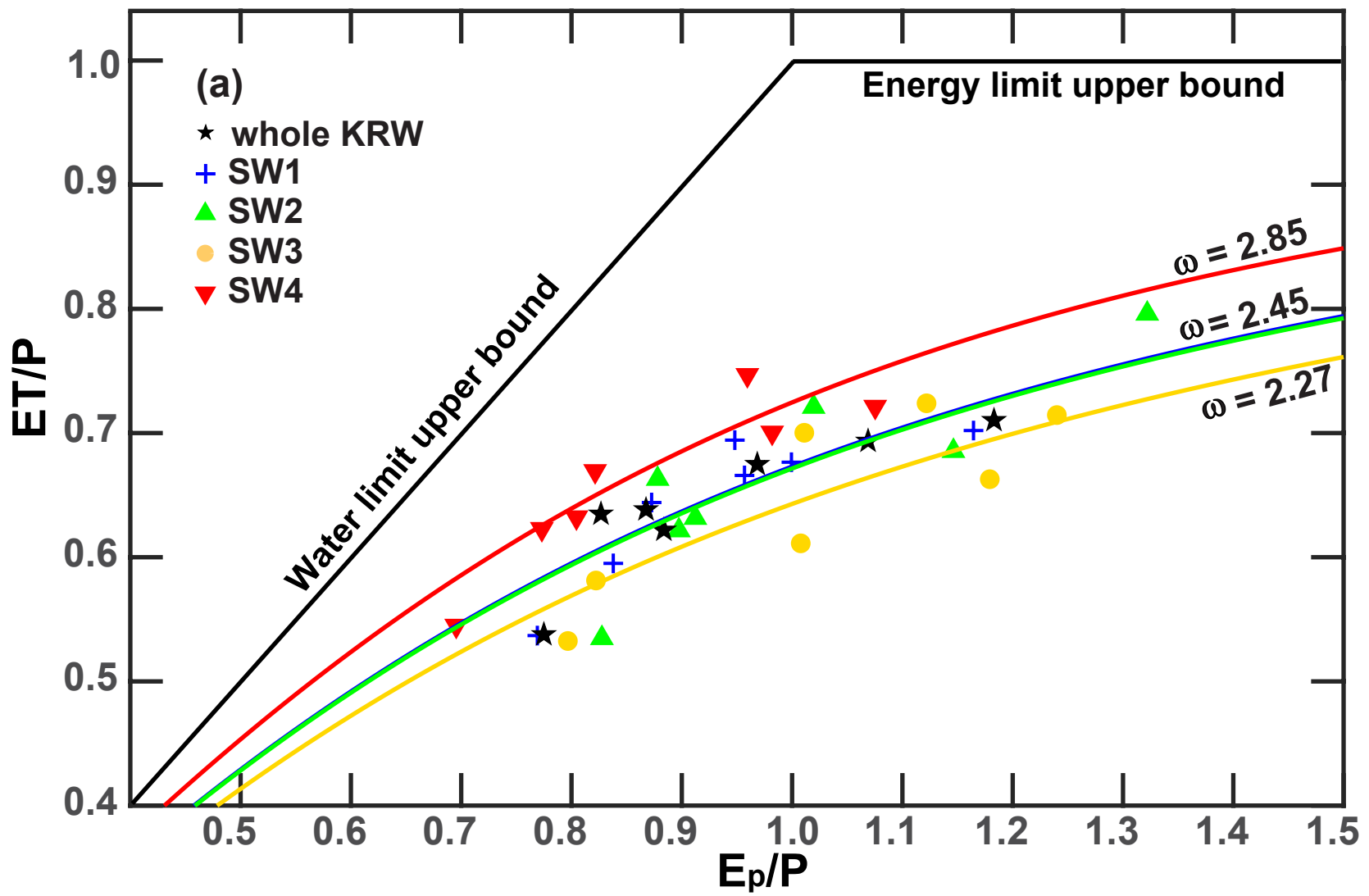
Date (MM/DD/YY)

Depth to water table









1 **Table 1.** Calibrated parameter operator values and the optimization types

| Symbol(Unit) | optimization type | optimized operator values | | | |
|-------------------------------|-------------------|---------------------------|-----------------------|-----------------------|-----------------------|
| | | SW1 | SW2 | SW3 | SW4 |
| γ | × | 4.10×10^{-2} | 4.30×10^{-2} | 3.68×10^{-2} | 1.68×10^{-2} |
| α_{ice} | + | 0.45 | -0.20 | 0.64 | 0.53 |
| K_l | × | 1.27 | 0.78 | 1.39 | 0.99 |
| K_2 | × | 1.07 | 1.2 | 2.19 | 1.86 |
| K_s | × | 1.46 | 0.96 | 0.81 | 1.26 |
| N | + | -0.14 | -0.26 | -0.15 | 0.08 |
| A (1 m^{-1}) | × | 0.86 | 0.88 | 0.81 | 1.43 |
| L (m) | + | -35 | -6 | -54 | -44 |
| h_o (m) | + | 4.24×10^{-2} | 4.45×10^{-2} | 4.38×10^{-2} | 3.98×10^{-2} |
| K_r (m day^{-1}) | × | 1.10×10^{-2} | 7.39×10^{-3} | 2.15×10^{-2} | 1.01×10^{-1} |
| h_r (m) | + | 0.14 | 0.21 | 0 | 0 |

2

3 **Table 2.** Calibrated parameter values for MLT methods. For spatially heterogeneous parameters we listed the minimum (min), the maximum
4 (max), the mean and the median values within the specific simulated domain.

| Parameter (Unit) | SW1 | SW2 | SW3 | SW4_ |
|------------------|-----------------------------------|---|----------------------------------|----------------------------------|
| | min-max(mean, median) | min-max(mean, median) | min-max(mean, median) | min-max(mean, median) |
| γ | 4.1×10^{-2} | 4.3×10^{-2} | 3.68×10^{-2} | 1.68×10^{-2} |
| α_{ice} | 3.45 | 2.90 | 3.64 | 3.53 |
| K_l (m/day) | 0.305-105.07(26.96,34.99) | 0.249-62.86(17.41,13.58) | 4.660-80.34(24.3,24.84) | 0.097-75.05(19.15,18.27) |
| K_2 (m/day) | 0.019-5.05(1.61,1.38) | 0.017-6.18(0.947,1.30) | 0.018-6.07(1.86,3.74) | 0.004-1.96(0.10,0.16) |
| K_s (mm/hour) | 1.25-263.72(73.18,78.83) | 0.58-205.84(54.26,24.59) | 0.38-275.15(113.53,98.64) | 0.69-246.02(67.73,70.49) |
| N | 1.05-2.26(1.30,1.31) | 0.99-2.13(1.19,1.16) | 1.06-1.93(1.31,1.31) | 1.25-2.81(1.51,1.53) |
| A (1/m) | 0.032-6.48(4.06,4.21) | 0.038-6.37(3.01,3.22) | 0.041-6.22(4.35,4.90) | 0.051-6.99(4.68,5.06) |
| l (m) | 365 | 394 | 346 | 356 |
| h_o (m) | 4.24×10^{-2} | 4.45×10^{-2} | 4.38×10^{-2} | 3.98×10^{-2} |
| K_r (m/day) | 0.011-0.206(0.109,0.110) | 7.1×10^{-3} -0.113(0.059, 0.058) | 0.077-0.318(0.174,0.177) | 0.014-0.385(0.190,0.190) |
| h_r (m) | 246.23-348.54 (289.84, 287.56) | 247.12-287.05 (267.06,266.69) | 232.71-285.50 (232.71,258.65) | 172.56-285.44 (211.90,212.56) |

5

Table 3. Comparison of observed (Wellogic data) steady state depth to groundwater table with simulation results based on the GLB and MLT methods.

| | NASH | APB (%) | RMSE |
|-----|------|---------|------|
| SW1 | 0.97 | 8.19 | 1.09 |
| SW2 | 0.91 | 6.07 | 1.68 |
| SW3 | 0.98 | 0.42 | 1.64 |
| SW4 | 0.98 | 0.53 | 1.70 |

Table 4. Water Budgets.

| Water balance components (unit: mm yr-1) | SW1 | SW2 | SW3 | SW4 | whole KRW |
|---|--------|--------|--------|---------|-----------|
| Precipitation | 868.54 | 860.67 | 876.29 | 1000.20 | 913.62 |
| Percent % | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 |
| Infiltration | 448.67 | 463.37 | 485.43 | 484.52 | 471.16 |
| Percent % | 51.66 | 53.84 | 55.39 | 48.44 | 51.57 |
| Recharge | 237.29 | 205.54 | 198.37 | 252.00 | 228.76 |
| Percent % | 27.32 | 23.88 | 22.64 | 25.19 | 25.04 |
| Overland Flow | 219.02 | 207.36 | 249.60 | 235.01 | 233.46 |
| Percent % | 25.22 | 24.09 | 28.62 | 22.90 | 25.55 |
| Groundwater contribution to streams | 77.59 | 79.89 | 81.61 | 89.08 | 85.08 |
| Percent % | 8.93 | 9.28 | 81.61 | 9.01 | 9.31 |
| Net Stream discharge | 296.61 | 286.25 | 331.21 | 324.09 | 318.54 |
| Percent % | 34.15 | 33.26 | 37.93 | 31.91 | 34.87 |
| Evapotranspiration | 556.89 | 566.08 | 552.78 | 654.69 | 584.43 |
| Percent % | 64.12 | 65.77 | 62.73 | 65.14 | 63.97 |
| Storage change | 15.04 | 8.34 | -7.70 | 21.42 | 10.65 |
| Percent % | 1.73 | 0.97 | -0.66 | 2.95 | 1.16 |

Table 5. The fitted ω values and the R^2 for the curve fitting using Equation (9)

| | direct ET | | inferred ET | | effective precipitation | |
|-----------|-----------|-------|-------------|-------|-------------------------|-------|
| | ω | R^2 | ω | R^2 | ω | R^2 |
| SW1 | 2.45 | 0.76 | 2.55 | 0.45 | 2.47 | 0.73 |
| SW2 | 2.44 | 0.73 | 2.48 | 0.81 | 2.44 | 0.70 |
| SW3 | 2.27 | 0.74 | 2.26 | 0.68 | 2.27 | 0.72 |
| SW4 | 2.85 | 0.8 | 2.98 | 0.63 | 2.84 | 0.80 |
| whole KRW | 2.44 | 0.8 | 2.54 | 0.47 | 2.46 | 0.72 |

Table 6. Paramters invloved in plotting the Budyko pairs and for calculating the ω value in Equations (12) and (13)

| | SW1 | SW2 | SW3 | SW4 | whole KRW |
|--|---------|--------|---------|---------|-----------|
| Area (km ²) | 1395.81 | 726.41 | 1154.08 | 1983.92 | 5260.22 |
| Elevation (m) | 303.46 | 278.39 | 272.12 | 225.59 | 266.15 |
| Average slope (%) | 0.92 | 0.96 | 1.30 | 1.09 | 1.06 |
| Basin Center Latitude | 42.20 | 42.43 | 42.36 | 42.59 | 42.39 |
| Compound Topographic Index (CTI) | 9.18 | 9.09 | 8.82 | 9.19 | 9.14 |
| Average NDVI | 0.39 | 0.41 | 0.40 | 0.42 | 0.41 |
| Forest (%) | 21.32 | 20.93 | 22.46 | 22.82 | 21.47 |
| Agricultural (%) | 53.32 | 54.80 | 43.08 | 47.38 | 47.32 |
| Urban area (%) | 4.97 | 4.72 | 10.41 | 4.58 | 6.60 |
| Mahalanobis distance | 2.23 | 3.47 | 4.37 | 5.49 | ---- |
| PET, E_p (mm/year) | 807.19 | 848.71 | 887.35 | 857.81 | 846.52 |
| Average K_s (mm hr ⁻¹) | 73.18 | 54.26 | 113.53 | 67.73 | 80.83 |
| Mean precip intensity i_r (mm hr ⁻¹) | 12.69 | 9.3 | 13.83 | 19.17 | 11.25 |
| S_{max} (mm) | 48.56 | 48.46 | 51.77 | 50.98 | 50.09 |
| $\theta_f - \theta_w$ | 0.35 | 0.34 | 0.36 | 0.35 | 0.35 |
| d_{root} (mm) | 140.34 | 142.10 | 144.74 | 147.38 | 144.73 |
| ω based on simulation | 2.47 | 2.44 | 2.27 | 2.84 | 2.46 |
| ω calculated with Eq.(12) | 2.30 | 2.26 | 2.12 | 2.51 | 2.16 |
| ω calculated with Eq.(13) | 2.81 | 2.8 | 2.78 | 2.86 | 2.95 |

