

1 **Variations in the Influence of Diffuse Light on Gross Primary Productivity in Temperate**  
2 **Ecosystems**

3 Susan J. Cheng<sup>a,\*</sup>, Gil Bohrer<sup>b</sup>, Allison L. Steiner<sup>c</sup>, David Y. Hollinger<sup>d</sup>, Andrew Suyker<sup>e</sup>,  
4 Richard P. Phillips<sup>f</sup>, Knute J. Nadelhoffer<sup>a</sup>

5  
6 <sup>a</sup>Department of Ecology and Evolutionary Biology, University of Michigan, Ann Arbor, MI  
7 48109, USA

8 <sup>b</sup>Department of Civil, Environmental and Geodetic Engineering, The Ohio State University,  
9 Columbus, OH 43210, USA

10 <sup>c</sup>Department of Atmospheric, Oceanic and Space Sciences, University of Michigan, Ann Arbor,  
11 MI 48109, USA

12 <sup>d</sup>Northern Research Station, USDA Forest Service, Durham, NH 03824, USA

13 <sup>e</sup>School of Natural Resources, University of Nebraska-Lincoln, Lincoln, NE 68583, USA

14 <sup>f</sup>Department of Biology, Indiana University, Bloomington, IN 47405, USA

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16 \*Corresponding author: e-mail address: [chengs@umich.edu](mailto:chengs@umich.edu), tel: +1 734 647 3165

17 **Abstract**

18           The carbon storage potential of terrestrial ecosystems depends in part on how  
19 atmospheric conditions influence the type and amount of surface radiation available for  
20 photosynthesis. Diffuse light, resulting from interactions between incident solar radiation and  
21 atmospheric aerosols and clouds, has been postulated to increase carbon uptake in terrestrial  
22 ecosystems. However, the magnitude of the diffuse light effect is unclear because existing  
23 studies use different methods to derive above-canopy diffuse light conditions. We used site-  
24 based, above-canopy measurements of diffuse light and gross primary productivity (GPP) from  
25 ten temperate ecosystems (including mixed conifer forests, deciduous broadleaf forests, and  
26 croplands) to quantify the GPP variation explained by diffuse photosynthetically active radiation  
27 (PAR) and to calculate increases in GPP as a function of diffuse light. Our analyses show that  
28 diffuse PAR explained up to 41% of variation in GPP in croplands and up to 17% in forests,  
29 independent of direct light levels. Carbon enhancement rates in response to diffuse PAR  
30 (calculated after accounting for vapor pressure deficit and air temperature) were also higher in  
31 croplands (0.011-0.050  $\mu\text{mol CO}_2$  per  $\mu\text{mol photons}$  of diffuse PAR) than in forests (0.003-0.018  
32  $\mu\text{mol CO}_2$  per  $\mu\text{mol photons}$  of diffuse PAR). The amount of variation in GPP and carbon  
33 enhancement rate both differed with solar zenith angle and across sites for the same plant  
34 functional type. At crop sites, diffuse PAR had the strongest influence and the largest carbon  
35 enhancement rate during early mornings and late afternoons when zenith angles were large, with  
36 greater enhancement in the afternoons. In forests, diffuse PAR had the strongest influence at  
37 small zenith angles, but the largest carbon enhancement rate at large zenith angles, with a trend  
38 in ecosystem-specific responses. These results highlight the influence of zenith angle and the

39 role of plant community composition in modifying diffuse light enhancement in terrestrial  
40 ecosystems, which will be important in scaling this effect from individual sites to the globe.

41 **Keywords**

42 Net ecosystem exchange; diffuse PAR; carbon cycling; land-atmosphere interactions

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44 **Highlights**

- 45 • Impacts of diffuse light on gross primary productivity (GPP) were quantified.
- 46 • Diffuse PAR explains up to 17% (forests) and 41% (crops) of variation in GPP.
- 47 • The strength of the diffuse light effect varies with zenith angle and ecosystem.
- 48 • The largest increase in GPP occurs in maize croplands in the late afternoon.

49 **1. Introduction**

50 Forests are estimated to remove up to 27% of human-emitted CO<sub>2</sub> annually ( $2.6 \pm 0.8$  Gt  
51 C yr<sup>-1</sup>), with temperate forests responsible for about half of this uptake globally (Le Quéré et al.,  
52 2013; Sarmiento et al., 2010). It is uncertain how this amount of carbon uptake will change in  
53 the future because forest carbon processes are affected by complex interactions driven by  
54 changes in climate and natural- and human-caused shifts in plant species composition and  
55 canopy structure. Isolating and quantifying the impacts of individual drivers of land-atmosphere  
56 CO<sub>2</sub> exchange could improve these calculations of the future terrestrial carbon sink.

57 One important factor influencing photosynthesis and hence forest CO<sub>2</sub> uptake is light  
58 availability. Rates of leaf-level CO<sub>2</sub> uptake increase with solar radiation until leaves are light  
59 saturated (Mercado et al., 2009). This implies that forest CO<sub>2</sub> uptake is greater on sunny days  
60 when leaves are fully exposed to direct light. However, increases in diffuse light, which is  
61 produced when clouds and aerosols interact with and scatter incoming solar radiation, may be  
62 even more beneficial than equal increases in direct light. At the ecosystem level, key processes  
63 related to photosynthesis, including gross primary productivity (GPP), net ecosystem exchange  
64 (NEE), and light-use efficiency (LUE), can increase in magnitude when the proportion of light  
65 entering a forest canopy is more diffuse (Gu et al., 1999b; Hollinger et al., 1994; Jenkins et al.,  
66 2007; Oliphant et al., 2011; Urban et al., 2012; Zhang et al., 2011). In addition, global  
67 simulations from 1960-1999 indicate that increases in the proportion of diffuse light reaching  
68 plant canopy surfaces may have amplified the global land carbon sink by 24% (Mercado et al.,  
69 2009).

70 Several mechanisms have been proposed to explain how diffuse light increases  
71 ecosystem CO<sub>2</sub> uptake and LUE. First, diffuse light can penetrate deeper into a forest canopy

72 and reach lower canopy leaves that would normally be light-limited on clear days when light is  
73 mostly direct (Hollinger et al., 1994; Oliphant et al., 2011). Second, the same amount of light is  
74 distributed across more leaves when diffuse light is dominant, which can minimize light  
75 saturation and photo-inhibition of upper canopy leaves and increase canopy LUE or  
76 photosynthesis (Gu et al., 2002; Knohl and Baldocchi, 2008). Third, diffuse light can create  
77 conditions favorable for photosynthesis by reducing water and heat stress on plants (Steiner and  
78 Chameides, 2005; Urban et al., 2012). Finally, a fourth hypothesis suggests that diffuse light has  
79 a higher ratio of blue to red light, which may stimulate photochemical reactions and stomatal  
80 opening (Urban et al., 2012).

81         There is no consensus regarding the magnitude of effect that diffuse light has on  
82 ecosystem carbon processing. Studies using derived values of diffuse light suggest that LUE is  
83 higher when most incident light is diffuse and can result in maximum carbon uptake under  
84 moderate cloud cover (Gu et al., 2002; Min and Wang, 2008; Rocha et al., 2004). However,  
85 studies using a three-dimensional canopy model and a land surface scheme predict that diffuse  
86 radiation will not lead to significant increases in carbon uptake on cloudy days as compared to  
87 clear days because of reductions in total shortwave radiation (Alton et al., 2005; Alton et al.,  
88 2007). If clouds decrease surface radiation enough to lower total canopy photosynthetic activity,  
89 this could offset any potential GPP gain resulting from increased LUE under diffuse light  
90 conditions (Alton, 2008).

91         Several studies using measurements of diffuse light support the hypothesis that LUE is  
92 higher under diffuse light, consistent with studies using derived diffuse light data (Dengel and  
93 Grace, 2010; Jenkins et al., 2007). In addition, total carbon uptake can be greater under cloudy,  
94 diffuse light conditions compared to clear skies in three forest types (Hollinger et al., 1994; Law

95 et al., 2002). Aerosol-produced diffuse light also leads to an increase in the magnitude of NEE  
96 in forests and croplands (Niyogi et al., 2004). Additional observation-based analyses indicate  
97 that diffuse light increases carbon uptake when compared to the same level of direct light, but  
98 also when total light levels decrease (Hollinger et al., 1994; Urban et al., 2007; Urban et al.,  
99 2012).

100         The magnitude of the diffuse light effect on terrestrial carbon uptake may depend on  
101 ecosystem type or canopy structural characteristics. A regional modeling study suggests that  
102 diffuse light can increase net primary productivity (NPP) in mixed and broadleaf forests, but has  
103 a negligible effect on croplands (Matsui et al., 2008). Another study using derived diffuse light  
104 data suggests that LUE increases with diffuse light, and that differences among ecosystems are  
105 potentially dependent on vegetation canopy structure (Zhang et al., 2011). The influences of  
106 ecosystem type and vegetation structure are also supported by an observation-based study  
107 showing that under diffuse light, CO<sub>2</sub> flux into a grassland decreased, but increased by different  
108 amounts in croplands depending on the species of crop planted (Niyogi et al., 2004). However,  
109 another study using derived diffuse light data found no difference in the effect of patchy clouds  
110 on LUE among 23 grassland, prairie, cropland, and forest ecosystems in the Southern Great  
111 Plains (Wang et al., 2008). Inconsistencies among these studies may be due to differences in the  
112 methods and models used to obtain diffuse light or sky conditions and assess their impacts on  
113 ecosystem carbon processing (Gu et al., 2003).

114         Climate modelers have begun incorporating the influence of diffuse light on ecosystem  
115 carbon uptake into land surface schemes as more details of canopy structure are added to models  
116 (Bonan et al., 2012; Dai et al., 2004; Davin and Seneviratne, 2012). Our study provides insight  
117 into the importance of diffuse light on ecosystem carbon processing for improving projections of

118 the terrestrial carbon sink. We seek here to 1) quantify how much variation in ecosystem GPP is  
119 explained by diffuse light, independent of direct radiation levels, 2) compare the influence of  
120 diffuse light on GPP among temperate ecosystems differing in canopy structure and species  
121 composition, and 3) determine the strength of diffuse light enhancement of GPP while  
122 accounting for its correlation with zenith angle, vapor pressure deficit (VPD), and air  
123 temperature. Unlike many previous studies (Alton, 2008; Butt et al., 2010; Gu et al., 1999b; Min  
124 and Wang, 2008; Zhang et al., 2010), we drive our analyses only with direct field measurements  
125 of diffuse light, rather than with derived values from radiation partitioning models, which may be  
126 biased by incorrect representations of clouds and aerosols. Finally, our paper highlights the  
127 changes in the diffuse light effect across the diurnal cycle and the role of time of day on the  
128 diffuse light enhancement in terrestrial ecosystems, which will be important in scaling this effect  
129 from individual sites to the globe.

## 130 **2. Materials and Methods**

### 131 ***2.1 Data Sources***

132 All analyzed data were collected and processed by investigators participating in the  
133 AmeriFlux program (<http://ameriflux.lbl.gov/>), a network of meteorological towers in the United  
134 States (U.S.) that measures net fluxes of water vapor and CO<sub>2</sub> between the land surface and the  
135 atmosphere and corresponding meteorological, soil, and vegetation conditions (Baldocchi, 2003).  
136 Data collection, analysis, and metadata are standardized, reviewed, and quality controlled by  
137 AmeriFlux for all sites. GPP is calculated by subtracting the modeled ecosystem respiration  
138 from observed NEE. Respiration is modeled empirically based on NEE observations during the  
139 night, when GPP is assumed to be zero. We focus our study on GPP instead of another measure  
140 of carbon processing because it describes ecosystem CO<sub>2</sub> uptake, is affected directly by

141 radiation, and is the first step in processing atmospheric CO<sub>2</sub> into long-term storage in  
142 ecosystems.

## 143 *2.2 Site Selection*

144 We selected temperate AmeriFlux sites within the contiguous U.S. with at least three  
145 years of Level 2 (processed and quality controlled) NEE and GPP. Among these, we specifically  
146 selected sites that contain equipment to measure above-canopy total and diffuse  
147 photosynthetically active radiation (PAR, 400-700 nm) and report at least three years of diffuse  
148 PAR values to AmeriFlux. For the University of Michigan Biological Station (UMBS), we  
149 obtained updated total and diffuse PAR data from site coordinators that were not yet available on  
150 the AmeriFlux website at the time of our analyses. After separating sites with crop rotations by  
151 species, there were sufficient data for ten sites covering three ecosystem types, including mixed  
152 forest (Howland Logged, Howland N Fertilized, Howland Reference), deciduous broadleaf forest  
153 (Morgan Monroe and UMBS), and cropland (Mead Irrigated Maize, Mead Irrigated Rotation:  
154 Maize, Mead Irrigated Rotation: Soybean, Mead Rainfed Rotation: Maize, Mead Rainfed  
155 Rotation: Soybean). Site characteristics and data availability are listed in Table 1.



156 Table 1: AmeriFlux site information and ecosystem characteristics

Site (SiteID)	Lat, Lon (°)	Years of Data	Canopy Height (m)	Vegetation Community	Management	LAI (m <sup>2</sup> m <sup>-2</sup> )	Climatic Annual Precipitation (mm)	Mean Growing Season Temperature <sup>a</sup> (°C)	Mean Growing Season VPD <sup>a</sup> (kPa)
Howland Logged (US-Ho3)	45.207, -68.725	2006-2008	20 <sup>b</sup>	Dominated by red spruce ( <i>Picea rubens</i> ) and eastern hemlock ( <i>Tsuga canadensis</i> ). Also contains balsam fir ( <i>Abies balsamea</i> ), white pine ( <i>Pinus strobus</i> ), white cedar ( <i>Thuja occidentalis</i> ), red maple ( <i>Acer rubrum</i> ), and paper birch ( <i>Betula papyrifera</i> ) <sup>c</sup> .	Selected logging and harvest (2001) <sup>b</sup>	2.1 to ~4 <sup>e</sup>	1000 <sup>b</sup>	16.7	0.83
Howland Reference (US-Ho1)	45.204, -68.740	2006-2008	Minimal disturbance since 1900s <sup>d</sup>		~ 6 <sup>b</sup>	17.6		0.87	
Howland N Fertilized (US-Ho2)	45.209, -68.747	2006-2009	N addition (2001-2005) <sup>d,e</sup>		~ 6 <sup>b</sup>	16.5		0.82	
Mead Irrigated Maize (US-Ne1)	41.165, -96.476	2001-2012	2.9 <sup>f</sup>	Maize ( <i>Zea mays</i> )	Center-pivot irrigation <sup>f</sup>	5.7 <sup>e</sup>	887 <sup>f</sup>	27.0	1.33
Mead Irrigated Rotation: Maize (US-Ne2)	41.164, -96.470	2001, 2003, 2005, 2007, 2009-2012	2.9 <sup>e</sup>	Maize ( <i>Z. mays</i> )	Center-pivot irrigation <sup>f</sup>	5.3 <sup>e</sup>		26.2	1.14
Mead Irrigated Rotation: Soybean (US-Ne2)		2002, 2004, 2006, 2008	1.0 <sup>e</sup>	Soybean ( <i>Glycine max</i> )		4.9 <sup>e</sup>			
Mead Rainfed Rotation: Maize (US-Ne3)	41.179, -96.439	2001, 2003, 2005, 2007, 2009, 2011	2.6 <sup>e</sup>	Maize ( <i>Z. mays</i> )	Naturally rainfed <sup>g</sup>	4.2 <sup>e</sup>	26.7	1.39	
Mead Rainfed Rotation: Soybean (US-Ne3)		2002, 2004, 2006, 2008, 2010, 2012	0.9 <sup>e</sup>	Soybean ( <i>G. max</i> )		3.8 <sup>e</sup>			
Morgan Monroe (US-MMS)	39.323, -86.413	2007-2010	27 <sup>h</sup>	Dominated by sugar maple ( <i>A. saccharum</i> ), tulip poplar ( <i>Liriodendron tulipifera</i> ), sassafras ( <i>Sassafras albidum</i> ), white oak ( <i>Quercus alba</i> ),	None	5 <sup>i</sup>	1012 <sup>j</sup>	24.3	1.12

				and black oak ( <i>Q. nigra</i> ) <sup>h</sup> .					
UMBS (US-UMB)	45.559, -84.713	2007-2011	22 <sup>k</sup>	Dominated by bigtooth aspen ( <i>Populus grandidentata</i> ) with red oak ( <i>Q. rubra</i> ), red maple ( <i>A. rubrum</i> ), and white pine ( <i>P. strobus</i> ), as co-dominants. Also contains trembling aspen ( <i>P. tremuloides</i> ), white birch ( <i>B. papyrifera</i> ), sugar maple ( <i>A. saccharum</i> ), red pine ( <i>P. resinosa</i> ), and American beech ( <i>Fagus grandifolia</i> ). <sup>k</sup>	None	~3.5 <sup>k</sup>	817 <sup>k</sup>	21.2	1.05

157   <sup>a</sup>Values calculated from AmeriFlux data, <sup>b</sup>Scott et al., 2004, <sup>c</sup>Hollinger et al., 2004, <sup>d</sup>AmeriFlux website, <sup>e</sup>personal communication  
158   with site investigator, <sup>f</sup>Yan et al., 2012, <sup>g</sup>Verma et al., 2005, <sup>h</sup>Dragoni et al., 2011, <sup>i</sup>Oliphant et al., 2011, <sup>j</sup>Curtis et al., 2002, <sup>k</sup>Gough et  
159   al., 2013

### 160 *2.3 Definition of Analysis Period*

161 To determine the maximum effect of diffuse light on GPP, we limited our period of  
162 analysis to the portion of the year when ecosystems are most productive. We used a carbon-flux  
163 phenology approach, where NEE is the defining variable for phenological transitions and the  
164 peak-growing season is the time period when NEE is at its maximum magnitude (Garrity et al.,  
165 2011). To do this, we first calculated 5-day NEE means for each site and year. Climate,  
166 vegetation composition, and inter-annual weather variability lead to phenological variation  
167 among sites (Richardson et al., 2013). Therefore, we adjusted our definition for the beginning  
168 and end of the peak-growing season to uniformly capture a representative portion of the NEE  
169 peak across sites and years. We defined the start of the growing season as the first day when the  
170 5-day NEE average was within 90% of the year's fourth highest 5-day NEE average. The  
171 fourth-highest value was used to account for any extreme NEE values that may have occurred  
172 because of anomalous weather conditions. We set the end of season as the last day within 75%  
173 of the year's fourth-highest 5-day NEE average. The cutoff for the start of the peak-growing  
174 season is higher than the cutoff for the end of the season because canopy leaf-out and growth  
175 initiation typically occur quickly in seasonal sites, whereas canopy phenological changes are  
176 slower at the end of the season. While this approach cannot detect the exact beginning and end  
177 of the season, the criteria we used provide a uniform method for defining the period during  
178 which plants were at full seasonal growth and activity at our sites. We included only daytime  
179 values by excluding points with total PAR values  $< 20 \mu\text{mol m}^{-2} \text{s}^{-1}$ , assuming such low radiation  
180 levels are characteristic for nighttime.

## 181 *2.4 Data Analysis*

182 For each site, we combined all available peak-growing season daytime data and removed  
183 observations with negative measurements of diffuse PAR, direct PAR, or GPP, as these were  
184 likely sensor errors or marginal weather conditions (e.g., rain events). We also excluded data  
185 points with missing air temperature and VPD. We divided the remaining data into nine  
186 categorical groups based on solar zenith angle and the time of observation. We chose to bin by  
187 zenith angle to account for the effect of the sun's position on the amount of direct and diffuse  
188 PAR above a canopy, differences in radiation penetration through the canopy, and changes in  
189 plant hydraulics throughout the day. Zenith angle was calculated as the following:

$$190 \quad \cos \varphi = \sin \phi \sin \delta + \cos \phi \cos \delta \cos [15(t - t_0)] \quad (1)$$

191 where  $\varphi$  is the zenith angle,  $\phi$  is the latitude,  $\delta$  is the solar declination angle,  $t$  is time, and  $t_0$  is the  
192 time of solar noon (Campbell and Norman, 1998). Given the latitudes of the sites, we defined  
193 mornings to begin at zenith angles between 76-100°, noon to occur at the minimum calculated  
194 zenith angles of 16-30°, and the end of daylight to occur around 76-100°.

195 The effect of diffuse PAR on GPP may depend on total light conditions. For example,  
196 little scattering occurs under clear skies, which results in low diffuse and high direct PAR levels.  
197 As a result, small increases in diffuse PAR are unlikely to have a strong impact on canopy  
198 photosynthesis due to large amounts of direct PAR available for photosynthesis. If direct PAR  
199 levels are low, however, such as on cloudy days or during the morning and evening, the increase  
200 in diffuse PAR will have a larger effect because canopy leaves are below light-saturation. To  
201 calculate direct PAR, we subtracted the observed diffuse PAR from the observed total PAR.  
202 Because GPP and PAR are known to have a strong relationship that can be empirically described

203 by a rectangular hyperbola, we used the non-linear regression function in the R program (R  
204 Development Core Team, 2012) to fit the following relationship:

$$205 \quad GPP_{fitted} = (\alpha \gamma PAR_{dir}) / (\gamma + \alpha PAR_{dir}) \quad (2)$$

206 where  $GPP_{fitted}$  is the value of GPP predicted by total PAR using a rectangular hyperbola model  
207 (Eq. 2),  $\alpha$  is the canopy quantum efficiency,  $\gamma$  is the canopy photosynthetic potential, and  $PAR_{dir}$   
208 is direct PAR (Gu et al., 2002). The  $\alpha$  and  $\gamma$  are the fitted parameters and are solved iteratively.  
209 We used the initial conditions of 0.044  $\mu\text{mol CO}_2$  per  $\mu\text{mol photons}$  and 23.7  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$   
210 for  $\alpha$  and  $\gamma$ , respectively (Ruimy et al., 1995). The resulting empirical relationships for each site  
211 are presented in Appendix 1.

212 To remove the confounding effect of direct PAR, we first calculated the residuals  
213 between observed GPP and  $GPP_{fitted}$ . We then compared those residuals against diffuse PAR for  
214 ten sites and nine zenith angle bins. For each zenith angle category, we estimated the variation  
215 in GPP residuals that can be explained by diffuse PAR alone using the following simple linear  
216 regression:

$$217 \quad GPP_r = GPP - GPP_{fitted} = \beta_0 + \beta_1 PAR_{diff} + \varepsilon \quad (3)$$

218 and a combination of diffuse PAR, VPD, and air temperature using the following multiple linear  
219 regression:

$$220 \quad GPP_r = GPP - GPP_{fitted} = \beta_0 + \beta_1 PAR_{diff} + \beta_2 VPD + \beta_3 T_a + \varepsilon \quad (4)$$

221 where  $GPP_r$  represents the residuals between the observed GPP and  $GPP_{fitted}$  and  $PAR_{diff}$  is  
222 diffuse PAR.  $T_a$  is air temperature measured at the eddy covariance tower and  $\beta_0, \beta_1, \beta_2$  and  $\beta_3$   
223 are the fitted parameters estimating the model intercept and the linear slopes of the effects of  
224 diffuse PAR, VPD, and air temperature at each solar zenith bin, respectively. The  $\varepsilon$  is the error  
225 term.

226 ANOVA comparisons between the simple (diffuse PAR only) and multiple linear  
227 regressions (including VPD and air temperature) showed that the multiple linear regression  
228 model (Eq. 4) was significantly better ( $p < 0.05$ ) than the simple regression model, with the  
229 exception of nine site/bin combinations. We did not include interactions in the multiple linear  
230 regression because ANOVA tests indicated that the interaction terms did not improve the model  
231 consistently, and improvements to the residual sum of squares averaged only 3.5% in cases  
232 where interaction terms were significant. We also accounted for multiple testing over solar  
233 zenith angle bins and different sites by using the Bonferroni correction to calculate a new critical  
234 p-value. Light-response curves could not be fit to all scenarios, reducing the final number of  
235 comparisons to 83. Thus, for the simple and multiple linear regression comparisons, we consider  
236 a relationship significant if  $p < 6.02 \times 10^{-4}$  ( $= 0.05/83$ ).

### 237 **3. Results**

#### 238 ***3.1 Relationship between diffuse PAR and GPP***

239 We found significant positive relationships between diffuse PAR and  $GPP_r$  throughout  
240 the day, except in a few cases where diffuse PAR was not a significant predictor of  $GPP_r$  (Fig. 1,  
241 Fig. 2, black bars). Exceptions to these relationships occurred mainly at the Mead crop sites  
242 during mid-day and to a lesser extent at the UMBS forest during early mornings and late  
243 afternoons (Fig. 2, black bars). In addition, a rectangular hyperbola could not be fit to the direct  
244 PAR and GPP data in the afternoon at large zenith angles at the Mead sites and Morgan Monroe  
245 (Appendix 1). Overall, the linear fits between diffuse PAR and  $GPP_r$  indicate that across sites  
246 and zenith angles, diffuse PAR explains 3-22% of variation in  $GPP_r$  in the morning and 3-41%  
247 of variation in  $GPP_r$  in the afternoon (Fig. 2, black bars).

248           The amount of variance in  $GPP_r$  attributable to diffuse PAR varied considerably between  
249 forests and crop sites (Fig. 2, black bars). At the deciduous broadleaf and mixed conifer forests,  
250 diffuse PAR accounts for more of the variance in  $GPP_r$  at the smallest zenith angle bins (mid-  
251 day) and less at larger zenith angles in the early mornings and late afternoons (Fig. 2a-e, black  
252 bars). However, the opposite pattern occurs at the Mead crop sites, where more of the variance  
253 in  $GPP_r$  is associated with diffuse PAR at larger zenith angles (Fig. 2f-j, black bars). Diffuse  
254 PAR accounted for the largest portion of  $GPP_r$  variance at crop sites during afternoon zenith  
255 angles of 61-75°, corresponding to approximately 17:00-18:00 standard time.

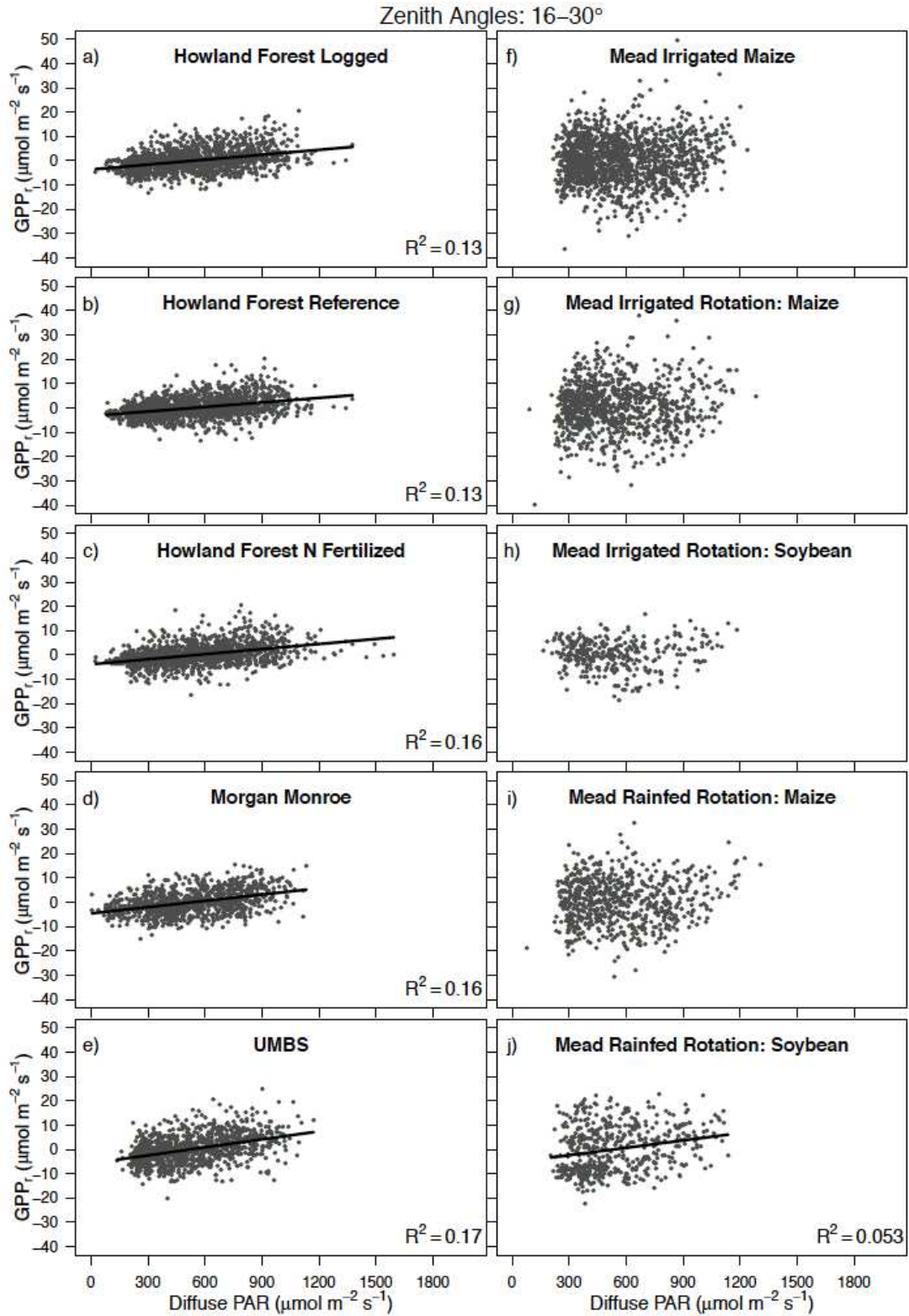


Fig 1. Simple linear regressions (Eq. 3) between diffuse PAR and  $GPP_r$  for observations around 10:00 – 14:00 standard time (zenith angles from 16-30°, other zenith angle bins not shown). Regression lines are only plotted for models with  $p < 6.02 \times 10^{-4}$  (Bonferroni-corrected critical value).



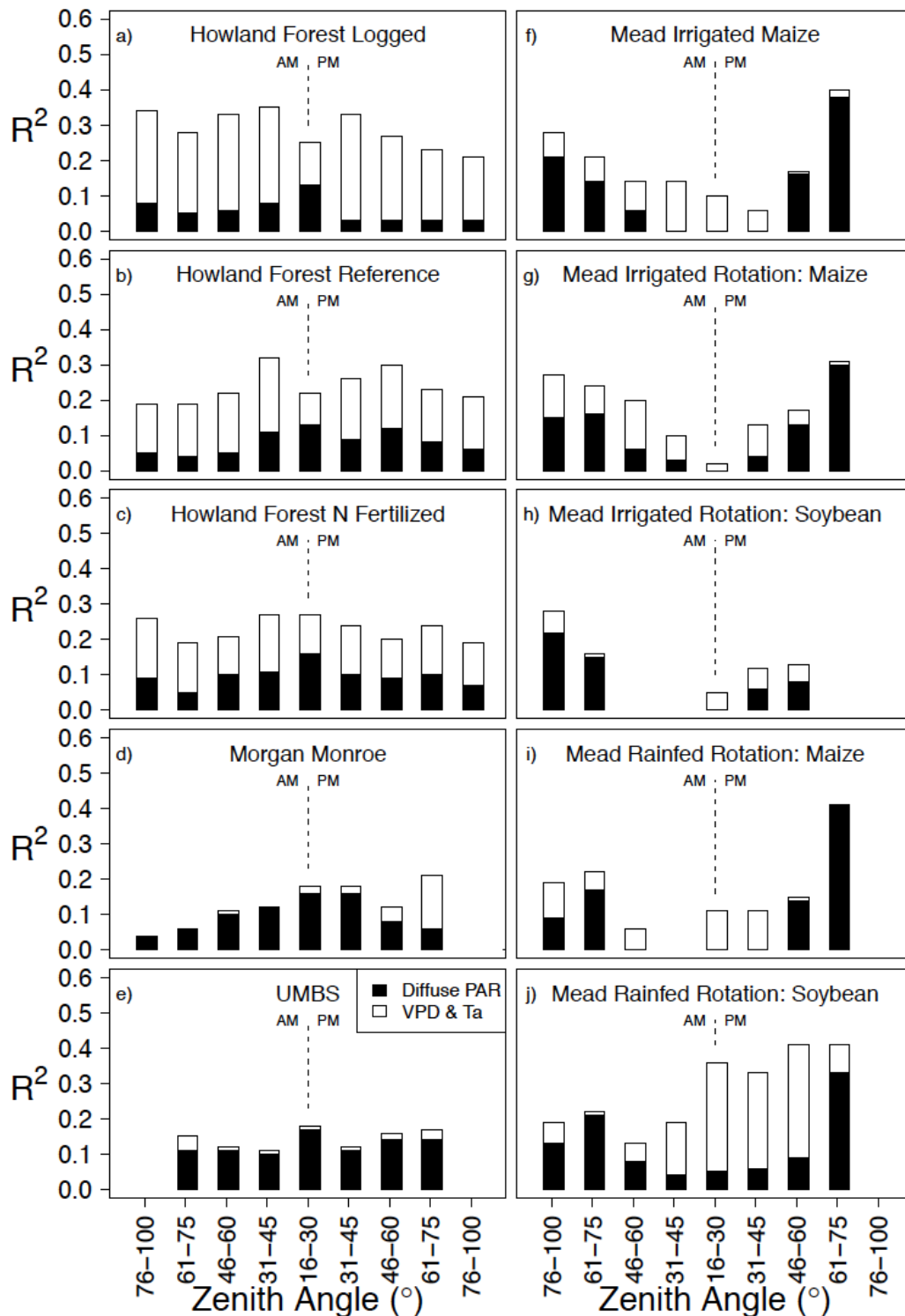


Fig 2: Proportions of variation in  $GPP_t$  explained by environmental variables. Solid bars represent  $R^2$  values from simple linear regressions that include only the effect of diffuse PAR (Eq. 3). The total height of the bars (solid and white together) represents the  $R^2$  from multiple linear regressions that include effects of air temperature ( $T_a$ ) and vapor pressure deficit (VPD) with diffuse PAR (Eq. 4). Only  $R^2$  values with  $p < 6.02 \times 10^{-4}$  (Bonferroni-corrected critical value) are plotted. The minimum calculated zenith angle for these sites was  $\sim 16^\circ$ .

256 **3.2 Diffuse PAR cross-correlation with VPD and air temperature**

257 Concomitant with changes in the partitioning of PAR into direct and diffuse streams,  
258 clouds and aerosols change surface VPD and air temperature. These two environmental factors  
259 influence stomatal conductance and photosynthesis, and thus affect rates of ecosystem GPP.  
260 When including the effects of these two variables on  $GPP_r$  with diffuse PAR (Eq. 4), the amount  
261 of variation in  $GPP_r$  explained increases up to an additional 31% during mornings and up to 32%  
262 during afternoons (Fig 2, white bars). This increase with VPD and air temperature is greatest  
263 across the most zenith angles at the Howland sites, where the multiple linear regression increases  
264 explanatory power of  $GPP_r$  by an additional 9-27% and 11-30% in the mornings and afternoons,  
265 respectively. VPD and air temperature also account for a relatively larger fraction of the  
266 variation of Mead Rainfed Rotation: Soybean  $GPP_r$  during the mid-day. Although we expected  
267 an increase in explanatory power with more variables in the regression, the increase in the  
268 explanation of  $GPP_r$  with the addition of these correlated environmental variables is small for the  
269 deciduous forests (Morgan Monroe and UMBS). This suggests that the effect of diffuse PAR at  
270 the deciduous forests is due to changes in light availability and not from indirect effects driven  
271 by the cross-correlation between diffuse PAR and other environmental conditions. Overall, the  
272 multiple linear regressions indicate that diffuse PAR is a significant predictor of  $GPP_r$  (except  
273 for the sites and zenith angle bins noted in Table 2). In addition, VPD and air temperature could  
274 not account for significant amounts of  $GPP_r$  variation under some conditions (Table 2).

275  
276

Table 2: Parameter estimate values from relationships between  $GPP_r$  and diffuse PAR, vapor pressure deficit (VPD), and air temperature ( $T_a$ ). All  $\beta_i$  estimate values (Eq. 4) have  $p < 6.02 \times 10^{-4}$  (Bonferroni-corrected critical value), except for those designated as NS.

Site	$\beta_i$	Zenith Angle (°)								
		AM					PM			
		76-100	61-75	46-60	31-45	16-30	31-45	46-60	61-75	76-100
Howland Logged	Diffuse PAR	0.014	0.007	0.004	0.004	0.005	0.003	0.004	0.008	0.009
	VPD	-3.629	-2.627	-2.234	-3.847	-3.271	-3.205	-2.605	-2.339	-1.307
	$T_a$	0.183	0.343	0.427	0.546	0.352	0.495	0.383	0.296	0.172
Howland Reference	Diffuse PAR	0.010	0.005	0.004	0.005	0.005	0.005	0.008	0.010	0.011
	VPD	-2.004	NS	-1.914	-3.290	-2.728	-2.768	-2.309	-1.715	-1.208
	$T_a$	0.125	0.266	0.358	0.432	0.260	0.311	0.237	0.152	0.131
Howland N Fertilized	Diffuse PAR	0.014	0.007	0.006	0.005	0.006	0.006	0.007	0.012	0.014
	VPD	-2.625	NS	-1.876	-3.266	-3.204	-2.735	-2.052	-2.072	-1.330
	$T_a$	0.150	0.270	0.287	0.380	0.252	0.254	0.156	0.145	0.143
Morgan Monroe	Diffuse PAR	NS	0.010	0.011	0.010	0.008	0.009	0.008	0.008	NS
	VPD	NS	NS	NS	NS	-1.611	-1.734	-1.917	-2.479	NS
	$T_a$	NS	NS	NS	NS	NS	NS	NS	0.224	NS
UMBS	Diffuse PAR	NS	0.018	0.015	0.010	0.011	0.009	0.012	0.018	NS
	VPD	NS	4.218	3.078	NS	NS	NS	NS	-1.156	NS
	$T_a$	NS	NS	NS	-0.298	NS	NS	NS	NS	NS
Mead Irrigated Maize	Diffuse PAR	0.021	0.022	0.013	NS	NS	NS	0.024	0.050	NS
	VPD	NS	NS	NS	NS	-5.650	-3.061	NS	-1.252	NS
	$T_a$	0.304	0.445	0.811	1.315	1.215	0.660	NS	0.245	NS
Mead Irrigated Rotation: Maize	Diffuse PAR	0.019	0.021	0.012	0.011	NS	NS	0.027	0.042	NS
	VPD	NS	NS	NS	NS	NS	NS	NS	NS	NS
	$T_a$	0.332	NS	1.115	0.950	NS	1.135	NS	NS	NS
Mead Irrigated Rotation: Soybean	Diffuse PAR	0.017	0.015	NS	NS	NS	NS	0.011	NS	NS
	VPD	NS	NS	NS	NS	NS	NS	NS	NS	NS
	$T_a$	0.213	NS	NS	NS	0.598	0.534	NS	NS	NS
Mead Rainfed Rotation: Maize	Diffuse PAR	0.011	0.021	NS	NS	NS	NS	0.021	0.045	NS
	VPD	NS	NS	NS	NS	-6.365	-4.205	NS	NS	NS
	$T_a$	0.281	NS	NS	NS	NS	NS	NS	NS	NS
Mead Rainfed Rotation: Soybean	Diffuse PAR	0.014	0.021	NS	NS	NS	NS	NS	0.028	NS
	VPD	-3.148	NS	-5.123	-8.292	-8.021	-6.898	-5.035	-1.971	NS
	$T_a$	0.277	NS	NS	0.812	0.524	0.582	0.479	NS	NS

277 **3.3 Magnitude of the effects of diffuse PAR on  $GPP_r$**

278 Howland Forest Reference, Morgan Monroe, and UMBS have not undergone any  
279 experimental manipulation (e.g., selective logging, N addition). At these sites, the sign of the  
280 significant parameter estimates indicate that in mornings and afternoons,  $GPP_r$  increased with  
281 diffuse PAR (Table 2). The predicted increases in  $GPP_r$  in the morning were calculated to be  
282 0.004-0.010, 0.008-0.011, and 0.010-0.018  $\mu\text{mol CO}_2$  per  $\mu\text{mol photons}$  of diffuse PAR at  
283 Howland Forest Reference, Morgan Monroe, and UMBS, respectively (Fig. 3). In the afternoon,  
284 the increases in  $GPP_r$  were similar in magnitude, and ranged from 0.005-0.011, 0.008-0.009, and  
285 0.009-0.018  $\mu\text{mol CO}_2$  per  $\mu\text{mol photons}$  of diffuse PAR at Howland Forest Reference, Morgan  
286 Monroe, and UMBS, respectively (Fig. 3).

287 The effect of diffuse PAR on rates of  $GPP_r$  varied among forest sites. UMBS had the  
288 largest increases in  $GPP_r$  with increases in diffuse PAR, and Howland Forest Reference had the  
289 smallest increases in  $GPP_r$ . In addition, the calculated increases in  $GPP_r$  with diffuse PAR  
290 appear to depend on zenith angle at two of the sites. At UMBS, the influence of diffuse PAR on  
291  $GPP_r$  is greatest in the early morning and late afternoon (zenith angles 61-75°) and decreases at  
292 mid-day (zenith angles 16-45°). At Howland Forest Reference, the response to zenith angle  
293 differs and the influence of diffuse PAR on  $GPP_r$  generally increases as the day continues and is  
294 highest in the late afternoon (zenith angles 76-100°). However, at Morgan Monroe, the influence  
295 of diffuse PAR on  $GPP_r$  did not vary with zenith angle. When we compare across these  
296 ecosystems, deciduous forests (UMBS, Morgan Monroe) appear to differ from the mixed conifer  
297 forest, particularly in the morning, with differences diminishing in the afternoon.

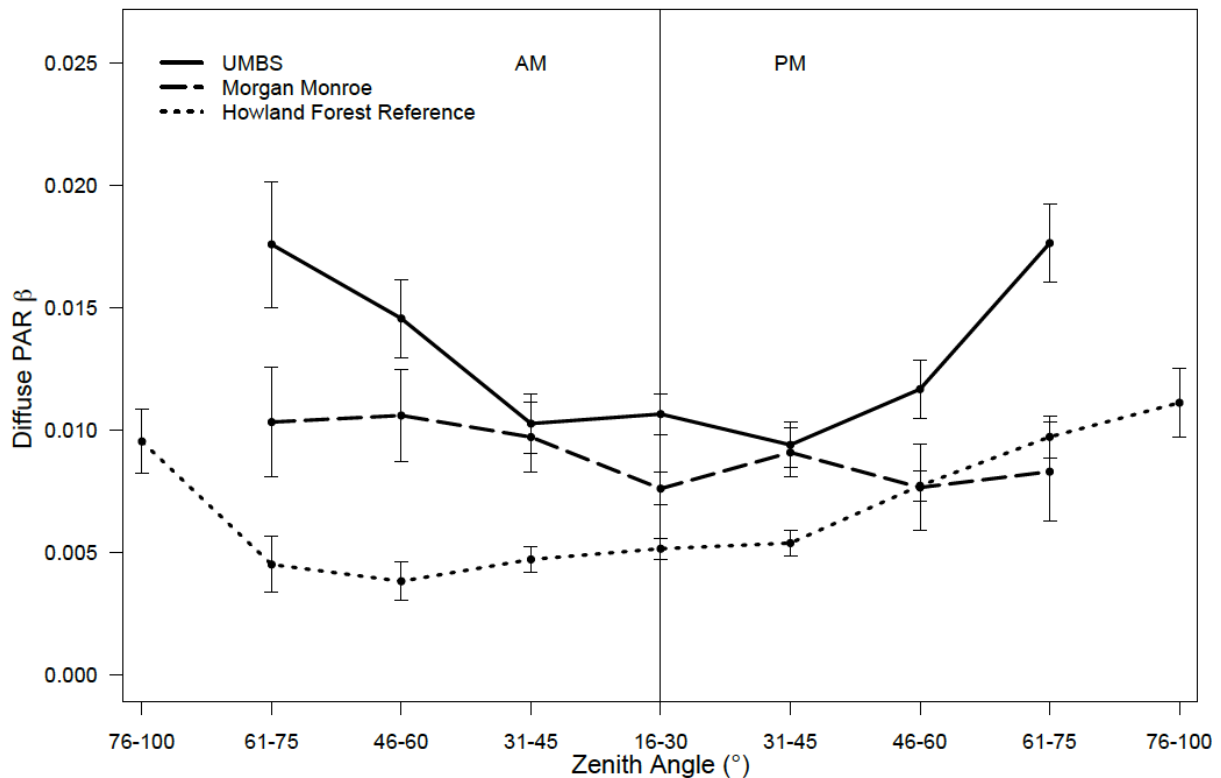


Fig 3. Diurnal patterns in diffuse PAR  $\beta$  estimates for unmanaged forests across zenith angles from a multiple linear regression that includes VPD and air temperature as covariates (Eq. 4). Error bars indicate one standard error. Only  $\beta$  estimates with  $p < 6.02 \times 10^{-4}$  (Bonferroni-corrected critical value) are plotted.

298

299

At Howland Forest, one site underwent selective logging while a second site was

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fertilized with 18 kg N/ha on a 21-hectare plot centered around the eddy covariance tower in five

301

to six applications per growing season from 2001-2005 (David Dail, personal communication,

302

2013). Analysis of data at these manipulated sites indicates that the magnitude of increase in

303

$GPP_r$  with diffuse PAR was similar to that of the un-manipulated Howland forest (Fig. 4).

304

Differences among forest treatments are not apparent in the morning. In the afternoon, however,

305

we observe a trend where diffuse PAR leads to the biggest  $GPP_r$  increase in the forest fertilized

306

with N and the smallest change in  $GPP_r$  in the forest that has been selectively logged.

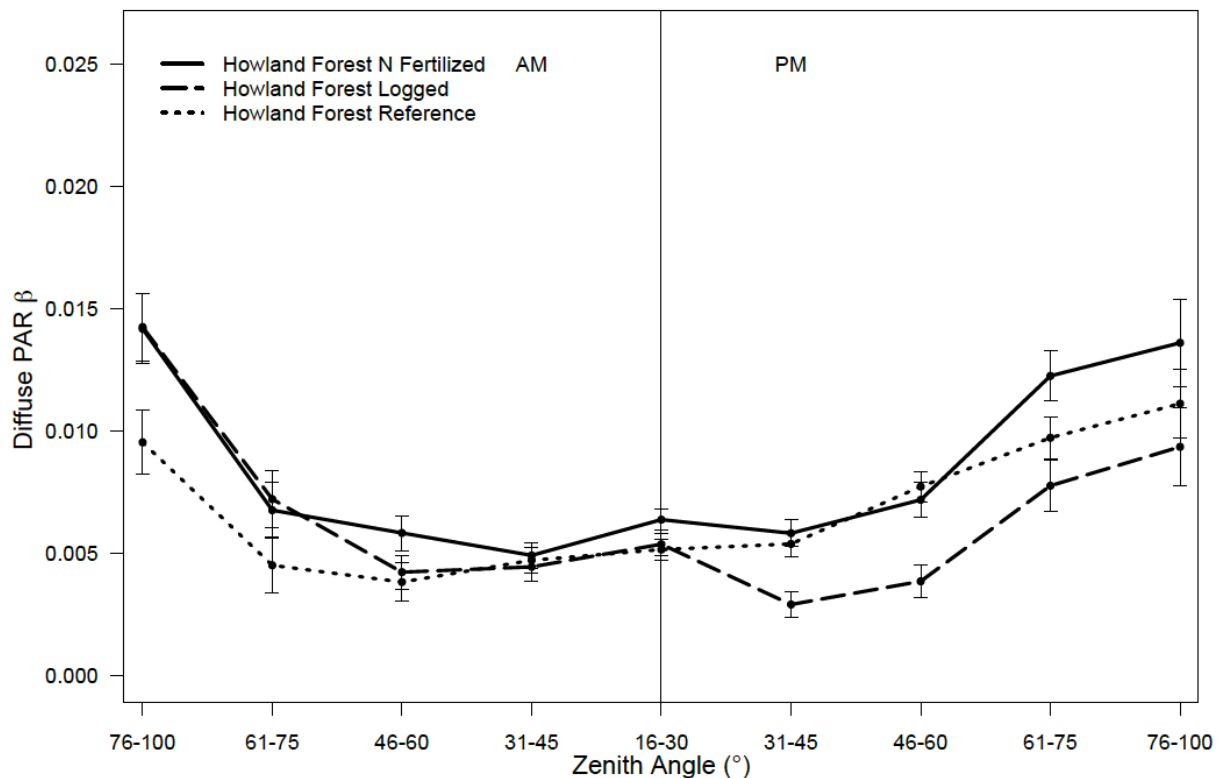


Fig 4: Diurnal patterns in diffuse PAR  $\beta$  estimate values for Howland Forest sites across zenith angles from a multiple linear regression that includes VPD and air temperature as covariates (Eq. 4). Error bars indicate one standard error. Only values with  $p < 6.02 \times 10^{-4}$  (Bonferroni-corrected critical value) are plotted.

307

308

At the Mead Irrigated Rotation and Mead Rainfed Rotation sites, soybean and maize are

309

planted in different years, allowing us to examine variations in the effect of diffuse PAR on

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$GPP_r$  between crop types (Fig. 5). The increases in  $GPP_r$  for maize were calculated to be 0.011-

311

0.022  $\mu\text{mol CO}_2$  per  $\mu\text{mol photons}$  in the morning and 0.021-0.050  $\mu\text{mol CO}_2$  per  $\mu\text{mol photons}$

312

in the afternoon. For soybean, the increases in  $GPP_r$  in the morning were 0.014-0.021  $\mu\text{mol CO}_2$

313

per  $\mu\text{mol photons}$  and in the afternoon were 0.011-0.028  $\mu\text{mol CO}_2$  per  $\mu\text{mol photons}$ . Diffuse

314

PAR led to increases in  $GPP_r$  at large zenith angles, but had no effect on  $GPP_r$  at small zenith

315

angles for both crop species (values are only plotted in Fig. 5 if they are significant). In addition,

316

we observed no difference in the magnitude of the effect of diffuse PAR on  $GPP_r$  between

317

soybean and maize in the morning. However, we did observe a greater effect of diffuse PAR on

318  $GPP_r$  for maize than soybean in the afternoon for zenith angles 46-75°. Irrigation did not appear  
 319 to influence the magnitude of the diffuse PAR effect on  $GPP_r$ .

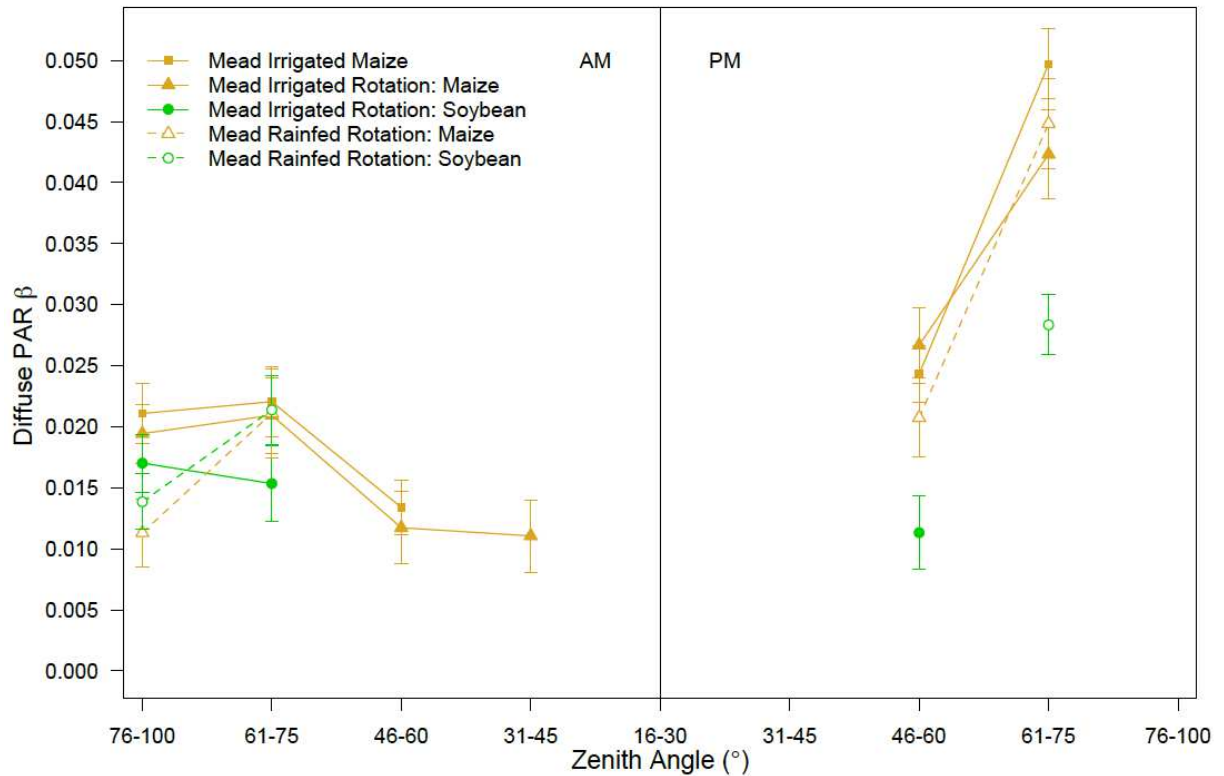


Fig 5: Diurnal patterns in diffuse PAR  $\beta$  estimate values for Mead crop sites across zenith angles from a multiple linear regression that includes VPD and air temperature as covariates (Eq. 4). Error bars indicate one standard error. Only  $\beta$  values with  $p < 6.02 \times 10^{-4}$  (Bonferroni-corrected critical value) are plotted.

320

#### 321 4. Discussion

322 Diffuse light influences Earth's climate by changing the amount and character of light  
 323 available for photosynthesis, and thus, indirectly controls atmospheric CO<sub>2</sub> (Mercado et al.,  
 324 2009). Depending on future anthropogenic emissions and their effects on atmospheric aerosols  
 325 and clouds, the influence of diffuse light on the terrestrial carbon sink may increase. A more  
 326 quantitative and mechanistic understanding of the link between diffuse light and land carbon  
 327 uptake in different ecosystems would allow us to model how changes in diffuse light influence

328 atmospheric and terrestrial carbon stocks, particularly as land-use change (e.g., deforestation,  
329 afforestation, and conversion of natural systems to cropland) continues (Arora and Boer, 2010).

330 Past research has identified a positive correlation between diffuse light and ecosystem  
331 carbon uptake. However, this result may be due to a cross-correlation with total light  
332 availability, where diffuse light could more strongly influence photosynthesis when total light  
333 levels are low on overcast days as compared to high light levels on clear days (Gu et al., 1999b;  
334 Oliphant et al., 2011; Zhang et al., 2010). The method we use in this paper addresses this  
335 confounding factor by removing the effect of direct light on ecosystem carbon uptake before  
336 calculating the rate of additional carbon uptake from diffuse light. Importantly, we tested for this  
337 potential independent effect using only direct field measurements of diffuse light, as opposed to  
338 deriving diffuse light levels with radiation partitioning models that make assumptions about  
339 aerosol and cloud conditions over terrestrial ecosystems. Our analysis of ten temperate  
340 ecosystems indicates that diffuse PAR correlates positively with  $GPP_r$  and this relationship is  
341 independent of direct PAR levels. Specifically, diffuse PAR independently explained up to 22%  
342 of the variation in  $GPP_r$  in mornings and up to 41% of the variation in  $GPP_r$  in afternoons.

343 Prior research shows that morning and afternoon responses to diffuse light can differ for  
344 the same zenith angles (Alton et al., 2005) and that in multiple ecosystems, rates of carbon  
345 enhancement vary across zenith angles (Bai et al., 2012; Zhang et al., 2010). However, to our  
346 knowledge, no other studies have investigated full diurnal patterns of diffuse light enhancement.  
347 We accomplished this by separating data according to zenith angle and time of day. Our results  
348 indicate that in forests, the proportion of variation in  $GPP_r$  explained by diffuse PAR (evaluated  
349 through  $R^2$ ) is greatest at mid-day, and decreases as the sun moves closer to the horizon. The  
350 opposite pattern occurs at crop sites, where diffuse PAR did not predict  $GPP_r$  at small zenith



351 angles (mid-day), but did correlate with variation in  $GPP_r$  at larger zenith angles (morning and  
352 afternoon). When we examined the magnitude of increase in  $GPP_r$  in response to diffuse PAR  
353 ( $\beta_I$ ), the greatest increases were at larger zenith angles in crop sites (0.028 - 0.050  $\mu\text{mol CO}_2$  per  
354  $\mu\text{mol photons}$  at 61-75° in the afternoon). In forests, however, diffuse PAR had the strongest  
355 influence ( $R^2$ ) on  $GPP_r$  at small zenith angles when the sun is overhead (mid-day), but the largest  
356 carbon enhancement rate ( $\beta_I$ ) at larger zenith angles (early mornings and late afternoons) when  
357 the sun is closer to the horizon.

358         In addition, some sites show a trend in an asymmetrical diurnal cycle of diffuse light  
359 enhancement, most notably in the crop sites. Although increases in  $GPP_r$  with diffuse PAR at  
360 forest sites appear to be similar in magnitude throughout the day, some of the zenith angle bins  
361 differed between the morning and afternoon. For example, the largest difference in carbon  
362 enhancement rates from a morning zenith angle bin to the same bin in the afternoon were 0.005  
363  $\mu\text{mol CO}_2$  per  $\mu\text{mol photons}$  for mixed conifer forests, 0.003  $\mu\text{mol CO}_2$  per  $\mu\text{mol photons}$  for  
364 deciduous forests, 0.017  $\mu\text{mol CO}_2$  per  $\mu\text{mol photons}$  for soy, and 0.028  $\mu\text{mol CO}_2$  per  $\mu\text{mol}$   
365  $\text{photons}$  for maize, though changes were usually within the standard error of the measurements.  
366 The response of  $GPP_r$  to diffuse light may differ in the morning and afternoon because  
367 environmental conditions influencing photosynthesis also vary during the day. For example,  
368 time lags between the effects of diurnal cycles of radiation and VPD on evapotranspiration  
369 (Zhang et al., 2014), stronger hydraulic stresses in the afternoon (Matheny et al., 2014), and  
370 morning and afternoon differences in leaf surface wetness that affect stomatal conductance  
371 (Misson et al., 2005) might explain the increased importance of diffuse light in the afternoon.  
372 These results can be used to evaluate ecosystem and global land surface models by testing if they  
373 capture the diurnal patterns we identified.

374 Our results indicate that there are ecosystem-specific responses of carbon uptake to  
375 diffuse light. The observed differences between crops and forests are consistent with Niyogi et  
376 al., 2004 who used measured diffuse shortwave data to show that a crop site with a corn and  
377 soybean rotation was more sensitive to increases in aerosol-produced diffuse light than broadleaf  
378 and mixed conifer forests. Previous studies have hypothesized that differences in canopy  
379 structure among forests, grasslands, and croplands are responsible for differential responses of  
380 these ecosystems to diffuse light (Gu et al., 1999a; Niyogi et al., 2004; Oliphant et al., 2011).  
381 However, they have not reported site-level canopy architectural measurements to test this  
382 potential modifier of land carbon uptake because they are difficult to collect and describe.

383 There are several hypotheses explaining why canopy structure may modify the effect of  
384 diffuse light on ecosystem carbon uptake. Canopy gaps, which interact with the angle of  
385 incident light, may influence how much light is distributed vertically through a canopy  
386 (Hutchison et al., 1980). For example, on clear days in a 30-m tall tulip poplar forest, the  
387 amount of radiation reaching the mid- and lower-parts of the canopy is lowest at large zenith  
388 angles (Hutchison et al., 1980). The authors attributed this to the low level of total radiation and  
389 reduced canopy gaps when the sun is near the horizon. Our analysis of UMBS gap fraction data  
390 derived from LAI-2000 measurements shows that as gap fraction decreases, carbon uptake with  
391 diffuse light increases (Fig. 6). Because gap fraction here is the ratio of below-canopy PAR to  
392 above-canopy PAR, this indicates greater light extinction at larger zenith angles. Greater light  
393 extinction in the canopy may increase light scattering, which could expose more leaves to diffuse  
394 light. Thus, the response of GPP to diffuse light may be greater at larger zenith angles because  
395 of more complete canopy participation in photosynthesis. However, more gap fraction data and  
396 canopy light profiles from across sites and collected with uniform methods are needed to test this

397 idea, particularly in crop ecosystems. This would allow us to identify why crops and forests  
398 respond differently to diffuse PAR.

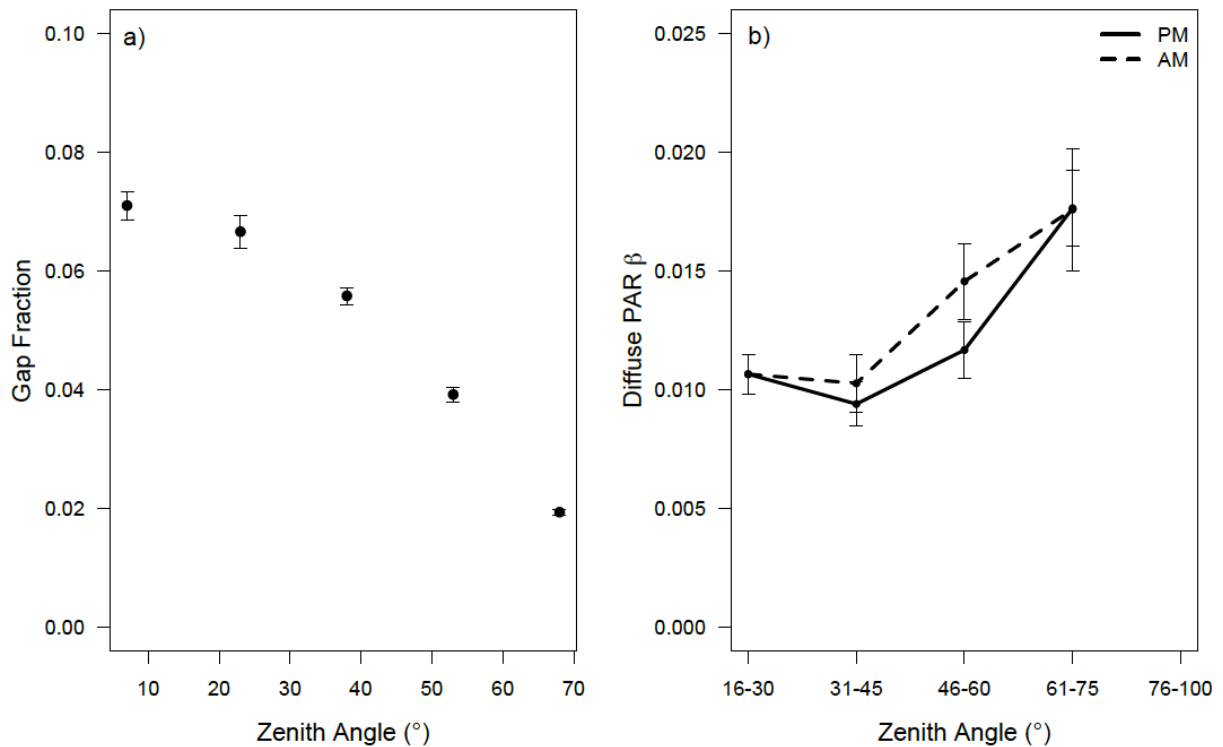


Fig. 6. The relationship at UMBS (data from 2007-2011) between a) gap fraction and zenith angle and b) diffuse PAR  $\beta$  (carbon enhancement rate) and zenith angles (same data as shown in Fig. 3). Error bars indicate one standard error.

399

400 Second, the distribution of photosynthetic tissues within a canopy depends on the plant  
401 community at each site and may contribute to observed differences between crops and forests.  
402 Forests have more stratified layers of vegetation and are much taller than crops. This means that  
403 leaf area index (LAI) in a forest is distributed over a larger volume than in crop sites. When the  
404 sun is overhead, forest canopies shade leaves at lower layers and diffuse light has a greater  
405 potential of reaching leaves near the bottom of the forest canopy as compared to direct light.  
406 Thus, the opportunity for diffuse light to reach more leaves in the canopy is greater when the sun  
407 is overhead (larger  $R^2$ ). This explanation is supported by a study in a Norway spruce forest,

408 which showed that needles deeper in the canopy contribute more to overall net ecosystem  
409 production on cloudy days than on sunny days (Urban et al., 2012). However, the relative  
410 increases in GPP ( $\beta_I$ ) may be smaller than those at crop sites because forest canopies are denser,  
411 which increases self-shading. On the other hand, crops are planted to minimize self-shading  
412 when the sun is overhead. In addition,  $\beta_I$  may be higher at crop sites than at forests because  
413 multi-directional diffuse light at large zenith angles may reach deeper into crop canopies more  
414 effectively than direct light and increase light availability for crop stems, which are more  
415 photosynthetic than tree trunks.

416 Modeling studies have shown that species-dependent canopy characteristics, such as leaf  
417 clumping, LAI, and leaf inclination angle can affect the influence of diffuse PAR on carbon  
418 processing in ecosystems (Alton, 2008; Gu et al., 2002; Knohl and Baldocchi, 2008). This could  
419 be due to the penumbral effect, which occurs when the position and types of leaves (e.g.,  
420 broadleaf and conifer) alter the amount and distribution of light to lower-level leaves (Denholm,  
421 1981; Way and Pearcy, 2012). Although the arrangement of leaves in tall canopies with small  
422 leaves (e.g., forests) can increase shading of lower canopy leaves, it also increases the probability  
423 that leaves and branches scatter light, resulting in more distribution of light in the canopy.  
424 However, in shorter canopies with larger leaves (e.g., maize), there is less plant material that can  
425 scatter light and these sites may be more dependent on incident diffuse light. This may explain  
426 the higher carbon enhancement rates observed at crop sites compared to forests.

427 A few studies have measured how the distribution of light through plant canopies  
428 changes under diffuse light, but they are limited in their ability to test the influence of canopy  
429 structure on carbon enhancement from diffuse light because they have been conducted in a single  
430 ecosystem (Urban et al., 2012; Williams et al., 2014). Because site-level measurements of

431 canopy structure are difficult to obtain, support for the mechanisms through which specific  
432 characteristics of canopy structure (e.g., leaf area distribution, leaf clumping) change ecosystem  
433 carbon uptake under diffuse light conditions has thus far depended on model assumptions (Alton  
434 et al., 2007; Knohl and Baldocchi, 2008). To test whether canopy structural differences in  
435 height, canopy gaps, or leaf distribution within a canopy facilitate a diffuse light enhancement, a  
436 uniform method of collecting canopy structural data is needed. Methods are available for  
437 capturing some of this information, including light detection and ranging (LIDAR) remote  
438 sensing (Hardiman et al., 2013). However, no standardized method of collecting data has been  
439 applied among sites to allow for inter-site comparisons of canopy structure. Future research  
440 should consider collecting data on canopy gaps, leaf distribution, and vertical light distribution to  
441 provide datasets that can be used to test whether gaps or leaf distribution within a canopy lead to  
442 an enhanced carbon uptake because of increased light distribution. Without this mechanistic  
443 connection, modelers cannot determine whether this missing biosphere-atmosphere connection  
444 results in a significant under- or over-prediction of the future terrestrial carbon sink. As  
445 scientists collect these canopy structural data, we suggest making these data publically available  
446 so they can be used to better interpret patterns seen using eddy covariance data.

447         We also observed differences in diffuse light effects among sites described as the same  
448 forest type (e.g., Morgan Monroe and UMBS). This argues for the consideration of site-specific  
449 responses to diffuse light because plant community composition of individual forest types (or  
450 ecosystems) determine unique canopy structures that can drive how strongly canopy gaps, leaf  
451 distribution, and penumbral qualities influence the effect of diffuse light on ecosystem carbon  
452 uptake. In particular, there were differences in afternoon carbon enhancement rates between the  
453 fertilized and formerly logged Howland Forest sites, which only differ in disturbance activity.

454 Differences in nutrient availability for plants may explain why the N fertilized site correlated  
455 more strongly with diffuse light than the logged site. After two years of fertilization, foliage was  
456 one of the most N-enriched ecosystem pools (Dail et al., 2009). Increased soil N availability  
457 could lead to an increase in leaf N, which correlates with higher concentrations of RuBisCO and  
458 chlorophyll (Evans, 1989), implying an interaction between diffuse light and nutrient levels.

459         The effect of diffuse light on carbon uptake between maize and soybean also differed.  
460 This may be due to species differences in canopy structure as discussed above, but could also be  
461 due to the different photosynthetic pathways soy ( $C_3$ ) and maize ( $C_4$ ) use. Maize had a greater  
462 increase in carbon uptake with diffuse light than soy did, potentially because  $C_4$  plants have a  
463 higher light saturation point (Greenwald et al., 2006). Because maize would be farther away  
464 from light saturation than soy, an increase in diffuse light (after accounting for cross-correlation  
465 with direct light) would bring maize closer to light saturation and thus, increase photosynthesis.  
466 In addition,  $C_4$  plants are better adapted to warmer environments, which may cause  
467 environmental conditions, such as temperature and water availability, to change crop responses  
468 to diffuse light.

469         Finally, our results show that other environmental drivers that co-vary with diffuse PAR  
470 also contribute to  $GPP_r$  at some sites. In mixed conifer forests (e.g., the Howland sites), VPD,  
471 air temperature, and diffuse PAR together account for substantially more variation in  $GPP_r$  than  
472 diffuse PAR itself does, implying a lesser role for radiation and a larger one for conditions that  
473 improve stomatal conductance under cloudy conditions at mixed conifer forests. In contrast,  
474 VPD and air temperature, within the ranges of values characteristic of measurement periods at  
475 the sites studied here, appear to have small effects on  $GPP_r$  in the broadleaf forests. This implies  
476 that the diffuse PAR effect at the broadleaf forests is due to the effect of scattered light itself. At

477 the mixed conifer forests, the peak growing season temperature ranges from 16.5-17.6°C while  
478 the temperature is 21.2-24.3°C in the broadleaf forests. Comparing these site temperatures to the  
479 optimum temperature range of temperate deciduous trees (20-25°C) and evergreen coniferous  
480 trees (10-25°C), broadleaf forests are closer to their optimum temperature range (Larcher, 2003).  
481 Considering that photosynthesis varies non-linearly with temperature, the same per unit change  
482 in temperature for a cooler site will lead to greater changes in GPP than in a warmer site.  
483 Increases in VPD in water-limited situations, on the other hand, should cause photosynthesis to  
484 drop because stomata will close to conserve water. However, VPD is actually lower in the  
485 mixed forests than in the deciduous broadleaf forests, implying that air temperature is a stronger  
486 driver of GPP than is VPD under our study's field conditions.

## 487 **5. Conclusions**

488 Field measurements show that diffuse PAR accounts for a substantial amount of variation  
489 in GPP once the quantity of direct PAR is removed. The observed changes in the diffuse PAR  
490 effect on  $GPP_r$  vary across zenith angles, ecosystem types, and plant functional groups,  
491 highlighting additional ways that ecosystem structural characteristics and the diurnal cycle  
492 influence ecosystem carbon cycling. In addition, observed site-level variation suggests that  
493 grouping forests together in regional or global models as the same plant functional type, without  
494 considering species composition or canopy structure, may lead to inaccuracies in assessing the  
495 impacts of radiation partitioning on modeled surface carbon fluxes.

496 To robustly extend these results, direct measurements of diffuse PAR and ecosystem flux  
497 data are needed from a wider range of ecosystems. Furthermore, research that can evaluate  
498 mechanisms (e.g, canopy gaps, leaf distribution, and species-specific characteristics) driving  
499 terrestrial carbon enhancement under diffuse light will remain stagnant without consistent field

500 measurements of canopy structure at sites with diffuse light and eddy covariance measurements.  
501 The incorporation of standard methods for measuring canopy structure and within-canopy light  
502 distribution and the availability of these data in common formats from across networks of eddy  
503 covariance towers (e.g., AmeriFlux, NEON) would enable the development of better predictive  
504 models of carbon exchange in relation to direct and diffuse solar radiation.

505         The interactions between diffuse light and ecosystem productivity may be of increasing  
506 importance as the community composition of our terrestrial ecosystems continues to change  
507 because of human land use change, natural ecological succession, and climate change. Thus, a  
508 more refined understanding of how diffuse PAR modifies atmosphere-land carbon cycling and  
509 subsequent representations of this relationship in models will likely advance our understanding  
510 of how human management of ecosystems will influence the land carbon sink as well as improve  
511 future calculations of atmospheric CO<sub>2</sub> concentrations for global climate projections.

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531

532 **Appendices**

533

534 Appendix 1: Values of  $\alpha$  and  $\gamma$  predicted by best-fit rectangular hyperbolas describing the response of  
 535 GPP to direct PAR. The  $\alpha$  represents the quantum yield and  $\gamma$  represents the maximum GPP value. All  $\alpha$   
 536 and  $\gamma$  values listed have  $p < 6.02 \times 10^{-4}$  (Bonferroni-corrected critical value), except for those in italics,  
 537 which have  $p < 0.01$  and those in **bold**, which were not significant because  $p > 0.05$ . NS indicates we  
 538 were unable to fit a light response curve.

Site		Zenith Angle (°)								
		AM					PM			
		76-100	61-75	46-60	31-45	16-30	31-45	46-60	61-75	76-100
Howland Forest Logged	$\alpha$	1.16	2.64	2.82	2.74	3.41	2.58	2.61	2.07	1.10
	$\gamma$	7.41	11.84	14.67	16.52	18.12	14.57	12.93	10.04	6.39
	R <sup>2</sup>	0.39	0.37	0.34	0.26	0.27	0.29	0.33	0.37	0.39
Howland Forest Reference	$\alpha$	1.28	2.15	2.41	2.30	2.27	2.21	2.13	1.89	1.91
	$\gamma$	4.74	9.89	14.15	16.16	17.15	13.93	11.64	8.35	4.53
	R <sup>2</sup>	0.23	0.34	0.34	0.34	0.35	0.33	0.38	0.39	0.21
Howland Forest N Fertilized	$\alpha$	1.81	2.85	2.93	2.38	2.82	3.28	3.79	2.85	2.01
	$\gamma$	5.27	10.32	14.20	17.14	17.77	14.71	12.05	8.82	5.04
	R <sup>2</sup>	0.25	0.32	0.35	0.37	0.29	0.24	0.21	0.27	0.18
Morgan Monroe	$\alpha$	<i>2.01</i>	1.43	1.59	1.50	2.03	1.99	2.09	2.58	NS
	$\gamma$	4.66	12.39	19.83	25.72	27.29	22.99	16.78	10.31	NS
	R <sup>2</sup>	0.06	0.13	0.23	0.32	0.29	0.31	0.22	0.25	NS
UMBS	$\alpha$	1.05	<i>3.57</i>	4.06	3.08	2.99	3.96	1.59	1.43	3.00
	$\gamma$	6.07	12.36	20.38	25.32	27.10	23.78	19.17	13.25	6.94
	R <sup>2</sup>	0.28	0.09	0.11	0.15	0.12	0.14	0.24	0.34	0.21
Mead Irrigated Maize	$\alpha$	0.73	3.95	4.49	3.35	2.98	3.51	1.61	2.07	NS
	$\gamma$	16.11	30.91	48.21	59.92	64.10	49.94	35.94	17.71	NS
	R <sup>2</sup>	0.49	0.21	0.22	0.31	0.23	0.29	0.20	0.13	NS
Mead Irrigated Rotation: Maize	$\alpha$	0.40	1.65	2.60	2.48	3.30	1.20	1.29	0.64	NS
	$\gamma$	17.61	32.62	48.64	58.70	65.20	50.15	38.13	21.68	NS
	R <sup>2</sup>	0.51	0.47	0.43	0.38	0.42	0.41	0.36	0.38	NS
Mead Irrigated Rotation: Soybean	$\alpha$	0.59	2.24	6.35	5.37	5.29	6.48	<i>3.51</i>	NS	NS
	$\gamma$	12.20	23.63	31.62	37.67	36.42	31.74	23.52	NS	NS
	R <sup>2</sup>	0.59	0.45	0.27	0.29	0.27	0.21	0.23	NS	NS
Mead Rainfed Rotation: Maize	$\alpha$	0.54	3.39	4.67	4.21	3.78	3.23	2.31	0.94	NS
	$\gamma$	17.47	31.51	44.79	55.53	56.19	46.60	33.49	18.65	NS
	R <sup>2</sup>	0.66	0.53	0.48	0.53	0.37	0.39	0.29	0.44	NS
Mead Rainfed Rotation: Soybean	$\alpha$	0.75	4.69	<i>16.67</i>	<i>9.43</i>	<b>15.38</b>	<b>31.88</b>	<b>5.89</b>	1.35	NS
	$\gamma$	13.11	23.44	29.27	34.98	30.74	29.40	20.29	13.47	NS
	R <sup>2</sup>	0.53	0.16	0.08	0.05	0.01	0.00	0.04	0.18	NS

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