

1 **A Spatio–Temporal Investigation of Risk Factors for Aflatoxin Contamination of Corn in**
2 **Southern Georgia, USA using Geostatistical Methods**

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11 **ABSTRACT**

12 Aflatoxin is a mycotoxin produced by the *Aspergillus flavus* fungi that can severely contaminate
13 corn grain. The U.S. Food and Drug Administration (FDA) have set a limit of 20 ppb, total
14 aflatoxin, for interstate commerce of food and feed as it can induce liver cancer in humans and
15 animals. Contamination is exacerbated by high temperatures, drought conditions and light-
16 textured soil which are all common in Georgia (GA). Lack of irrigation infrastructure can further
17 amplify drought stress and aflatoxin contamination. Accurate aflatoxin assessment requires the
18 collection of multiple corn samples, is expensive and conducted at harvest which does not allow
19 for the use of in-season mitigation strategies to reduce the risk. Given the expense of
20 measurement and the consequences of crop loss, an important goal for agricultural extension
21 services is the prediction and identification of years and counties at higher risk of aflatoxin
22 contamination. This would allow growers to deploy management tactics to reduce risk and to
23 reduce unnecessary expense on aflatoxin testing. In this research, aflatoxin levels were analysed

24 by Poisson kriging and used to validate a strategy for identifying high risk years and counties. It
25 is based on mapping risk factors (Maximum June temperatures, June rainfall, % corn planted
26 area and % soil drainage types) that are above key thresholds. The aflatoxin data used were
27 county level, collected unevenly in space and time from 1977 to 2004 in 53 counties in southern
28 GA. Averaging and typical geostatistical methods were unreliable for producing a temporal
29 summary of the spatial patterns because aflatoxin data were highly skewed and approached a
30 Poisson distribution, and averages for counties based on fewer observations are less reliable.
31 Poisson kriging down-weights the influence of these in variogram computation and the
32 estimation process. Comparison tests confirmed significant differences in aflatoxin levels
33 between counties and years that were identified as having different levels of risk using the risk
34 factors approach. Sensitivity analysis for Poisson kriged aflatoxin risk showed that the more
35 years of data are clearly better for this analysis, but fewer than 15 years of data were not
36 advisable.

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38 **Keywords: Aflatoxin, Corn, June Maximum Temperature, June Rainfall, Southern**
39 **Georgia, Geostatistics, Poisson Kriging, Soil type, Soil drainage**

40

41 **1. Introduction**

42

43 Aflatoxin is a mycotoxin produced by fungi (*Aspergillus flavus* or *Aspergillus*
44 *parasiticus*) which can contaminate several staple crops such as peanut, (Brenneman et al. 1993),
45 millet (Wang et al. 2010, Wilson et al. 1993), rice (Abbas et al 2005), sorghum (Adegoke et al.
46 1994), wheat (Patriarca et al. 2014) and corn (Payne, 1992). Aflatoxin can cause liver cancer in

47 humans and animals (Barrett, 2005 and FDA, 2012). The Food and Drug administration office
48 (FDA) of the USA have set a limit of 20 ppb, total aflatoxin, to restrict use of corn, peanut
49 products, cottonseed meal, and other animal feeds and feed ingredients intended for dairy
50 animals, for animal species or intended for immature animals. There is also a limit of 100 ppb
51 restricting use of corn and peanut products intended for breeding beef cattle, swine, or mature
52 poultry (FDA, 2015). Infection of corn with *A. flavus* or *A. parasiticus* is exacerbated by high
53 temperatures, drought and high net evaporation (Guo et al. 2008, Horn et al. 2014 and Payne,
54 1992) associated with particular climatic areas (Abbas et al. 2007, Patriarca et al. 2014), agro-
55 ecological zones (Setamou et al. 1997) and soil types (Palumbo et al. 2010). Statistically, there
56 are 16-31 times more deaths from liver cancer in less developed countries due at least in part to
57 aflatoxin contamination of food (Liu and Wu, 2010) and many of these countries are
58 predominantly hot and often drought prone (Wu and Klangwiset, 2010). Several studies have
59 examined possible increased contamination rates under climate change scenarios (Medina et al.
60 2014 and Medina et al. 2015) and suggest that aflatoxin contamination will increase in many
61 areas as temperatures rise.

62 In Georgia (GA) and throughout the southern USA, corn is planted as a summer crop and
63 is highly susceptible to aflatoxin contamination (Widstrom et al. 1996). Rainfall variability and
64 high temperatures in this region during summer, along with light textured soils that exacerbate
65 drought or water stress, all influence contamination. Also, lack of irrigation infrastructure in
66 some areas can further aggravate water stress (Brenneman et al. 1993). Salvacion et al. (2011)
67 found that June maximum temperatures and precipitation were key predictors of aflatoxin
68 contamination in southern Georgia (GA), USA. Damianidis et al. (2015) found that the risk of
69 aflatoxin contamination changes specifically with corn hybrid planted, soil type and the weather

70 conditions before and after the mid-silk growth stage, which usually occurs in June across the
71 Southeast US. Using the drought index, ARID, as an aflatoxin risk predictor, they also found that
72 a 0.1 increase of in-field drought, as quantified by ARID, during key weeks before and after mid-
73 silk, increased the probability of aflatoxin contamination over the FDA threshold of 20 ppb.

74 Accurate aflatoxin assessment usually requires the collection of multiple grain samples.
75 There are several methods available but most are time-consuming and expensive (Papadoyanis,
76 1990) and conducted at harvest which does not allow implementation of in-season management
77 practices to reduce risk. Given the expense of aflatoxin measurement, an important goal for
78 agricultural extension services and crop consultants would be the ability to identify those years
79 and counties most at risk of contamination to reduce unnecessary expense on testing in years and
80 areas when there is little risk of contamination. Identification and prediction of years and
81 counties at risk would allow the implementation of management strategies such as irrigation in
82 season to reduce contamination risk and the use of resistant varieties (Chen et al. 2002, Chen et
83 al. 2006, Guo et al. 2011 and Menkir et al. 2008). Another goal of agricultural extension services
84 could be to provide an easy to use, computationally efficient, online decision support tool to
85 assess aflatoxin contamination risk that could work for large datasets and crop consultants would
86 require a simple approach to determining risk that could be executed in commercially available,
87 user-friendly software.

88 The purpose of this research was to apply geostatistical methods to develop a predictive
89 tool using a risk factors approach for identifying problematic years and counties with a longer
90 term view to being able to implement the tool as part of an online decision support system. To
91 validate the risk factors approach, a space-time summary of aflatoxin risk is needed. Similar to
92 soil contaminants, aflatoxin data, as a crop toxin can be expected to be highly skewed. In soil

93 contamination studies, indicator kriging (Goovaerts, 2009) has been used to map the risk of
94 exceeding a particular contamination threshold (Goovaerts et al. 1997). Indicator kriging,
95 however, requires sufficient data to compute a reliable variogram for each year and would result
96 in a risk map for each year with no practical way to produce a space-time risk summary.
97 Aflatoxin data collected from regional sampling is often skewed and approaches a Poisson
98 distribution. Practitioners often analyze data that has been collected by third parties, but do not
99 consider potential geostatistical investigations. Many times, such data have also been collected
100 irregularly in space and time. The 27 year Georgia aflatoxin survey appears to fit these criteria,
101 and these data are perhaps better understood using Poisson kriging. Poisson kriging was first
102 developed by Monestiez et al. (2006) to investigate rare whale sightings, which tend to have a
103 Poisson distribution, and had been observed irregularly in space and time. Poisson kriging has
104 been further adapted for use with sightings of other rare animals (Kerry et al., 2013), used in
105 studies of mortality rates from rare diseases (Goovaerts 2005, 2006_{a,b}) and the investigation of
106 crime rates (Kerry et al., 2010). Poisson (Goovaerts 2006_{a,b}) and Binomial kriging (Oliver et al.
107 1998) have been used interchangeably in the literature for mapping rates of rare disease and
108 although superficially different, often lead to similar results (Flanders and Kleinbaum., 1995) .
109 Even though Binomial kriging may be more theoretically appropriate in certain cases where the
110 characteristics of the data are known *a priori*, it adds an extra layer of complexity requiring an
111 additional parameter to computations. Indeed, as the number of trials increases, the Binomial
112 distribution approaches the Poisson distribution (Haining et al. 2010) and its use can be justified
113 here since assumptions about the prevalence of aflatoxin are avoided. Furthermore
114 implementations are not available in user-friendly commercially available software packages.
115 Spatially irregular observations or the analysis of rate or proportion data can suffer from the

116 ‘small number problem’ (Haining et al., 2010) and be unreliable in areas that have received less
117 sampling effort or are sparsely populated. For example, if a given county was only sampled in a
118 particularly high risk year but other counties were sampled over several years, the county with
119 just one measurement would seem to have very high aflatoxin levels. Binomial or Poisson
120 kriging can be used to give a space-time summary of aflatoxin contamination data collected over
121 a 27 year period (1977-2004) in 53 counties in southern GA that takes account of the “small
122 number problem”, but here the latter will be used due to computational simplicity with a view to
123 the eventual implementation in an online decision support tool or use by agricultural consultants
124 using commercially available software. Due to irregular sampling in space and time there are
125 insufficient data to employ other geostatistical methods for individual years. The space-time
126 summary of aflatoxin contamination in southern GA produced by Poisson kriging will be used to
127 assess the viability of a risk factors approach for identifying the counties and years at greatest
128 risk of aflatoxin contamination. Based on existing literature, several key risk factors namely
129 Maximum June temperatures (June TMax) (Salvacion et al., 2011), June Rainfall (June RF)
130 (Salvacion et al., 2011; Damianidis et al., 2015; Windham et al., 2009)), amount of corn grown
131 and proportion of droughty soil types (Damianidis et al., 2015) are examined and key thresholds
132 related to aflatoxin contamination identified. Another secondary aim of this research is to
133 conduct a sensitivity analysis of the number of years of data used to create a Poisson kriged
134 space-time summary of risk.

135

136 **2. Methods**

137

138 *2.1. Data Collection*

139

140 Between 1977 and 2004, corn grain samples were collected at harvest to measure within
141 county aflatoxin content. Samples were collected using a grab sampling technique where 10 ears
142 were collected for each sampling and there was an average of 3 replications per county. The
143 study area was 53 counties in southern GA (Fig. 1A). Aflatoxin levels in ppb were measured by
144 the USDA-ARS Crop Protection and Management Research Unit and the University of Georgia,
145 Natural Products Laboratory in Tifton, GA. Aflatoxin levels were not measured in every county
146 in every year. Data were collected for a maximum of 45 counties in 1978 (Fig. 1C) and a
147 minimum of 23 counties in 1990 (Fig. 1D) with an average of 37 counties sampled each year that
148 measurements were made. Fig. 1A shows that aflatoxin was measured in the fewest years in the
149 north eastern counties of southern GA. Measurements were not made in any counties in 1979-
150 1980 and 1986-1989. For all years combined there was a total of 705 measurements and these
151 data approached a Poisson distribution (Fig. 2).

152 Monthly weather data were obtained for each year 1977-2004 from the Georgia Weather
153 Network (<http://georgiaweather.net>), with weather stations delineated as black points in Fig. 1A.
154 All counties do not have a weather station, some have more than one and the weather stations are
155 not located at the center of the county. There are 82 for the state as a whole, but the installation
156 date of stations varies so data are not available for all stations in all years. Monthly maximum
157 temperatures for June (June Tmax, °C) and June rainfall data (June RF, mm) (Salvacion et al.,
158 2011), were collected and summarized from the recording stations.
159 The area planted with corn per county was determined using The CropScape - Cropland data
160 layer produced by the National Agricultural Statistics Service (NASS,
161 <http://nassgeodata.gmu.edu/CropScape/>). Unfortunately this information was not available for

162 1977-2004 so the proportion of land in each county planted as corn had to be determined from
163 the 2008-2009 growing season which was the first growing season with full coverage in southern
164 GA. This assumes that the areas growing corn in southern GA have not changed markedly in the
165 study period. Non-spatial data relating to the area of corn grown in each county by year were
166 available using the quick stats tool of USDA-NASS
167 ([https://www.nass.usda.gov/Statistics_by_State/Georgia/Publications/County_Estimates/2016/G](https://www.nass.usda.gov/Statistics_by_State/Georgia/Publications/County_Estimates/2016/GACorn14_15.pdf)
168 [ACorn14_15.pdf](https://www.nass.usda.gov/Statistics_by_State/Georgia/Publications/County_Estimates/2016/GACorn14_15.pdf)) for correlation analysis. These showed strong, positive and significant
169 ($p=0.05$) correlations between the area of corn in each county for all years in the study and 2008.
170 This means that the highest corn producing counties are quite consistent in time and that our
171 assumption above about the CropScape data is reasonable. However, the larger the gap between
172 two years, the lower the correlation coefficient was showing that there will be most uncertainty
173 in the corn data for this study for 1977. This will also have an effect on the uncertainty of the soil
174 data mentioned below.

175 A geo-corrected 1:250,000 map of soil associations (NRCS, 2006) was simplified and used
176 to generate a map with 3 drainage classes: excessively, well and poorly drained soil. The
177 percentage of land areas with soil in each drainage class in the 2008/2009 corn growing area (as
178 identified using CropScape above) was calculated for each county.

179

180 *2.2. Statistical Methods*

181

182 As weather data and aflatoxin data were not available for every county each year,
183 geostatistical methods were applied to estimate missing values and fill these data gaps.

184 The first step in geostatistical analysis was to compute an experimental variogram using the
185 standard method of moments estimator (Matheron, 1965, Equation (1)) to characterize the spatial
186 structure of the variation. The formula estimates the semi-variances, γ , at a given lag distance, \mathbf{h} :
187

$$188 \quad \hat{\gamma}(\mathbf{h}) = \frac{1}{2m(\mathbf{h})} \sum_{i=1}^{m(\mathbf{h})} \{z(\mathbf{x}_i) - z(\mathbf{x}_i + \mathbf{h})\}^2, \quad (1)$$

189
190 where $z(\mathbf{x}_i)$ and $z(\mathbf{x}_i + \mathbf{h})$ are the observed values of z at \mathbf{x}_i and $\mathbf{x}_i + \mathbf{h}$ and $m(\mathbf{h})$ is the number of
191 pairs of data points separated by the lag \mathbf{h} (Webster and Oliver, 2007). In other words, counties
192 that are located close together show less variation between them than counties that are separated
193 by larger distances.

194 A continuous model is then fitted to the experimental variogram using weighted least
195 squares and then parameters of the variogram model are used in kriging, the geostatistical
196 interpolation process. As the standard method of moments variogram estimator is based on
197 variances (Equation 1) it is sensitive to large outliers or tails in a distribution (Kerry and Oliver,
198 2007_{a,b}). A typical variogram where the semi-variance increases as the separating distance
199 between points increases, up to a sill where semi-variances stay the same with increasing
200 separation distance, indicates that the data no longer show spatial autocorrelation once they are a
201 certain distance apart (Fig. 1B). Webster and Oliver (1992, 1993) found that variograms based
202 on fewer than 50 observations are of little worth and recommended that at least 100 data points
203 are needed to compute a reliable variogram for kriging. The number of samples needed to
204 compute a reliable variogram does however, depend on the form of variation (Webster and
205 Oliver, 1992) present, spatial configuration of the samples and the probability distribution of the

206 data (Kerry and Oliver, 2007_{a,b}). Weather data are derived from well-established, carefully
207 located stations and variation often displays quite predictable patterns in relation to latitude,
208 proximity to coasts and elevation. Variograms for June TMax and June RF in Georgia showed
209 good spatial structure (Fig. 5A,B) with markedly less than 100 data values for each year.
210 Because the data were approximately normally distributed, the variograms were used for
211 Ordinary Kriging of June TMax and June RF for each year to the centroid of each county in
212 southern GA to fill in data gaps for some counties and also to a 1 km grid. The kriging variance
213 maps for weather data in 1977 and 2001 (Fig. 1E,F) show that the spatial uncertainty in the
214 kriged weather data varies according to the number and location of weather stations with data for
215 a given year. Kriging data to a 1 km grid was used to see if there were areas smaller than the
216 county level that were at the greatest risk of contamination. Ordinary kriging, a well-documented
217 geostatistical interpolation method (Goovaerts, 1997; Isaaks and Srivastava, 1989; and Webster
218 and Oliver, 2007), was also used to estimate the % corn planted area and each soil drainage class
219 for the cells of the 1 km grid. Kriging of county level risk factors and aflatoxin data (areal
220 support) to a 1 km grid (point support) represents a change in support. When there is good reason
221 to believe that the size and shape of areal units (here counties) are somehow related to the
222 phenomena of interest, then the size and shape of the areal units should be taken into account
223 during variogram computation and kriging (Kerry et al. 2016). This could be achieved using
224 Area-to-Point Poisson kriging (Goovaerts 2006_b; Kerry et al. 2010) and Area-to-Point ordinary
225 kriging (Kyriakidis, 2004) for the aflatoxin and risk factor data, respectively. While natural
226 features like rivers sometimes partially delineate county boundaries, they are largely
227 administrative and not related to environmental phenomena that might influence aflatoxin
228 contamination or the risk factors investigated. Also Area-to-Point kriging is more

229 computationally intensive, an undesirable feature for developing an efficient online decision
 230 support tool, so the change in support was not taken into account here when producing the 1 km
 231 grid data.

232 Analysis and interpretation of the GA corn aflatoxin data with geostatistical methods is
 233 problematic. A variogram of aflatoxin contamination for each year for all counties in southern
 234 GA must be computed with an average of 37 data points and as few as 23 (Fig. 1D). Variograms
 235 for individual years were unreliable and showed little spatial structure. This is typical of highly
 236 skewed (Kerry and Oliver, 2007_{a,b}) and sparse data (Webster and Oliver, 1992). The aflatoxin
 237 data were highly positively skewed and approached a Poisson distribution (Fig. 2). Poisson
 238 kriging (Monestiez et al. 2006) is ideal for data with a Poisson distribution, which have been
 239 irregularly observed in space or time as these data have been. The proportion of years a county
 240 had aflatoxin levels > 20ppb and > 100 ppb were Poisson kriged to county centroids and also to a
 241 1 km grid. Ratios were calculated where, the numerator was the number of times aflatoxin levels
 242 were above one of the thresholds in a given county and the denominator was the number of years
 243 aflatoxin was measured in that county. The influence of ratios for counties with fewer
 244 observations was down-weighted in variogram computation and kriging. The influence of these
 245 unreliable proportions on the variogram was reduced by using the following weighted estimator:

$$246 \hat{\gamma}_{Rv}(\mathbf{h}) = \frac{1}{2 \sum_{\alpha, \beta} \frac{d(v_{\alpha})d(v_{\beta})}{d(v_{\alpha}) + d(v_{\beta})}} \sum_{\alpha, \beta}^{N(\mathbf{h})} \left\{ \frac{d(v_{\alpha})d(v_{\beta})}{d(v_{\alpha}) + d(v_{\beta})} [r(v_{\alpha}) - r(v_{\beta})]^2 - m^* \right\} \quad (2)$$

247 where $N(\mathbf{h})$ is the number of pairs of counties (v_{α}, v_{β}) whose centroids are weighted by the
 248 number of years with observations to homogenize their variance (Goovaerts, 2006_b) are
 249 separated by the vector \mathbf{h} , and m^* is the denominator-weighted mean (weighted by the number of
 250 years with observations) of the N area ratios. The usual squared differences, $[r(v_{\alpha}) - r(v_{\beta})]^2$, are

251 weighted by a function of their respective denominator sizes, $d(v_\alpha)d(v_\beta) / [d(v_\alpha)+d(v_\beta)]$, which
252 gives more importance to more reliable data pairs based on larger denominators (Monestiez *et al.*
253 2006, Goovaerts, 2005, 2006_{a,b}). Poisson kriging is a form of kriging with non-systematic errors
254 and is parametric, modelling the noise attached to each observation with a Poisson distribution.
255 Observations with small denominators receive less weight in kriging, the estimation process, by
256 adding an error variance term to the diagonal of the kriging system. For more details see
257 Monestiez *et al.* 2006 and Goovaerts, 2005, 2006_{a, b}. Geostatistical methods were carried out
258 using SpaceStat (Jacquez *et al.* 2014).

259

260 2.3. Risk Factors Approach

261

262 By applying kriging, risk factor (June TMax, June RF, % Corn planted area and Soil
263 drainage type) data were generated for each county and each node in a grid with 1 km spacing.
264 These data were then converted to indicators (0/1) depending on whether each variable exceeded
265 certain thresholds or not. Table 1 shows the thresholds chosen for each variable. The thresholds
266 for June TMax and June RF were selected on the basis of 30-year normals in southern GA;
267 values assigned a (1) show hotter or drier years than normal. The indicator thresholds for other
268 risk factors were determined based on examination of histograms of these variables in
269 association with natural marked breaks in the distribution or values associated with the tails of a
270 normal distribution. In each case the condition that would be expected to increase aflatoxin
271 contamination was assigned '1' and the condition that would constitute less risk of
272 contamination a '0'. An additive approach was then used with the indicator data to determine the
273 number of risk factors above/below the specified threshold for each county and year. The

274 relationship between these additive indicator data and the Poisson kriged aflatoxin data was
275 assessed. This suggested broad groupings of years and counties with different levels of aflatoxin
276 contamination risk. These broad groupings were used to define grouping variables (Table 2)
277 based on risk factors for Mann-Whitney U and Kruskal-Wallis H comparison tests (VSN
278 International, 2015) to determine if there were significant differences in aflatoxin levels based on
279 these thresholds identified by the risk factors approach.

280

281 *2.4. Sensitivity Analysis*

282

283 To determine the effect of the number of years of data used to create a space-time
284 summary a Poisson kriging sensitivity analysis was performed. All years with available aflatoxin
285 data (21 years) were sub-sampled to produce 10 random sub-samples with 5, 10 and 15 different
286 years of aflatoxin data. Each sub-sample was Poisson kriged and the patterns for individual sub-
287 samples of years and the mean patterns for a given sample size of years were compared visually
288 with the Poisson kriged data generated using all available years of measurements. The Poisson
289 kriged values for individual sub-samples and the mean for a given sample size of years were also
290 compared statistically using Mann-Whitney U tests based on high (≥ 3 risk factors) and low (< 3
291 risk factors) risk counties.

292

293 **3. Results and Discussion**

294

295 *3.1. Summary Statistics of Aflatoxin Data*

296

297 To summarize the risk of aflatoxin contamination in space and time, and to verify if the
298 risk factor approach is useful, summary statistics for the data were calculated. The summary
299 statistics showed that the mean, and to a lesser extent the median, are influenced by the
300 maximum value and this is particularly pronounced for the years where a smaller proportion of
301 the counties were observed (e.g. 1977, 1985, 1990, 1991 and 2004) (Fig. 3). This suggests that
302 ‘the small number problem’ (when proportions for a year are unreliable because they are based
303 on measurements in fewer counties) affects summaries of aflatoxin by county (Fig. 4). Counties
304 with the smallest number of sampling years (e.g. Candler, Clinch, Emmanuel, Laurens,
305 Montgomery, Pulaski and Treutlen) are some of those with the largest and smallest mean
306 aflatoxin levels. This indicates that when examined by county, the ‘small number problem’ is an
307 issue that should be addressed. Analysis and summary of the spatial and temporal variability of
308 mean aflatoxin levels by year and county does not provide a reliable indication of the years and
309 counties at greatest risk of contamination. The correlation coefficient between the mean and
310 median aflatoxin levels for years was $r=0.89$ ($p<0.001$) and was $r=0.35$ ($p=0.015$) for counties.
311 This clearly indicates that the ‘small number problem’ is a greater complication for spatial
312 analysis than for temporal analysis.

313

314 *3.2. Poisson kriging of Aflatoxin Data*

315

316 Two examples of variograms for aflatoxin data corresponding to individual years, 1978
317 and 1991, are shown in Fig. 5C,D. Both have a very erratic structure compared to a typical
318 variogram (Fig. 1B) due to the small sample size for individual years (23-45 counties, Webster
319 and Oliver, 1992) and the highly skewed distribution of the data (Kerry and Oliver, 2007), which

320 approaches a Poisson distribution (Fig. 2). Small sample size and skewed data cause the
321 variograms to have an erratic form or cause it to appear as if there is no spatial structure (ie
322 variogram is pure nugget, essentially a horizontal line indicating no spatial autocorrelation).
323 Variograms were computed for all years jointly by calculating a summary variable such as the
324 mean or median aflatoxin for 1977-2004 for each county (Fig. 5E,F) and there were more data
325 (705). Although these variograms are a little less like pure nugget variograms than the individual
326 years (Fig. 5C,D), they are erratic in form and not suitable for kriging. This was due to the mean
327 and median data exhibiting high skew values: 2.71 and 3.46, respectively, and the histograms
328 (not shown) approaching a Poisson distribution. In contrast, when the proportions of aflatoxin
329 values exceeding two critical thresholds (20 ppb and 100 ppb) were examined using the Poisson
330 variogram (Fig. 5G,H), variograms showed good spatial structure with approximate ranges of 54
331 km and 33 km, respectively. These ranges show that the areas with > 100 ppb aflatoxin are on
332 average smaller than those with >20 ppb.

333 The Poisson variograms (Fig. 5G,H) were used to Poisson kriging the proportion of years
334 that aflatoxin exceeded 20 ppb and 100 ppb and the maps produced for a 1 km grid are shown in
335 Fig. 9J and K, respectively and can be used to verify the risk factors approach for identifying the
336 highest risk counties outlined below.

337

338 *3.3. Analysis of Risk Data*

339

340 *3.3.1. Temporal Patterns*

341 Analysis of temporal patterns for the risk of aflatoxin contamination is primarily centered
342 on analyzing the risk associated with weather variables, specifically June TMax and June RF

343 (Salvacion et al. 2011, Damianidis et al., 2015), which help identify the specific years at greatest
344 risk of contamination. Weather data for June Tmax and June RF in 2001, were ordinary kriged to
345 county centroids and displayed on a county basis (Fig. 6A,B). Fig. 1F shows the kriging variance
346 associated with these data and where they are likely to be most reliable. Applying the thresholds
347 of June Tmax > 33°C and June RF < 50 mm (Table 1), which are based on 30 year normals for
348 the region, it was observed that in 2001 most counties were not hotter than normal (Fig. 6C) and
349 about one third of counties were drier than normal (Fig. 6D). A combined analysis of these two
350 weather factors, indicated that no counties are at a high (2) risk of aflatoxin contamination and
351 about one third of counties have a medium risk (1) (Fig. 6E). Fig. 6F shows the measured
352 aflatoxin values for 2001 and the majority of values are below 20 ppb showing this was a low
353 risk year for aflatoxin (Mean = 8.5 ppb and Median = 3 ppb, Fig. 3A,B). In contrast, Fig. 7A,B
354 show the Ordinary kriged June Tmax and June RF for 1977 on a 1 km grid. Fig. 1E shows the
355 kriging variance associated with these data and where they are likely to be most reliable and that
356 there is generally greater uncertainty in the 1977 weather data than that for 2001 (Fig. 1F) as data
357 were available at fewer weather stations. Fig. 7A,B indicate how transition zones between high
358 and low values may look and affect values within counties. Using the same thresholds (Table 1)
359 as for 2001, Fig. 7C shows that in 1977 all counties were hotter than normal (> 33°C) and Fig.
360 7D shows that the majority of counties were drier (<50 mm) than normal. Fig. 7E shows that
361 when the indicators for the two weather factors are combined the majority of southern GA is at
362 high risk (2) of aflatoxin contamination in 1977. This agrees with the majority of measured
363 aflatoxin levels being over 20 ppb and many being over 200 ppb (Fig. 7F) as well as the mean
364 and median being well over this threshold, 470 and 192 ppb, respectively (Fig. 3A,B).

365 The proportion of counties with aflatoxin levels > 20 ppb was calculated for each year
366 and correlated with the proportion of counties with a combined weather risk of two for each year
367 using a scattergraph (Fig. 8). This produced a correlation with a coefficient of $r=0.80$ which is
368 significant at $p<0.001$. Three distinct groups of years were identified in the scatter plot by visual
369 empirical grouping, but statistically-based clustering methods could be used in the future. The
370 groups identified years that had high (1977, 1981, 1998), medium (1978, 1990, 1992, 1993,
371 1995, 1996, 2000, 2002) and low (1991, 1994, 1997, 1999, 2001, 2003, 2004) risk of aflatoxin
372 contamination. This grouping clearly suggests the influence of weather conditions on aflatoxin
373 contamination.

374

375 *3.3.2. Spatial Patterns*

376

377 As with temporal patterns, analysis of spatial patterns of aflatoxin contamination to
378 identify the counties at greatest risk requires the analysis of weather variables and their patterns
379 of variability. However, patterns of the percentage of each county growing corn and the
380 proportions of each county in corn production with well- and excessively-drained soil become
381 relevant. These factors are relatively stable in time but vary considerable in space and should
382 help determine the counties most at risk of aflatoxin contamination in corn. Figs. 9A-C show the
383 patterns in percent corn, well- and excessively-drained soil and Figs. 9E-G show the indicators
384 produced from these data using the thresholds in Table 1. Based on % corn planted area, there is
385 greatest risk (1) in the south western part of the GA (Fig. 9E). For well-drained soil, risk is
386 greatest in the west (Fig. 9F) and for excessively-drained soil the north is the highest risk area
387 (Fig. 9G). Fig. 9D shows a map where the two weather risk factors (June TMax and June RF)

388 used to identify the years most at risk of aflatoxin contamination have been combined and the
389 proportion of years with two weather risk factors has been kriged to a 1km grid. This analysis
390 provides a space-time summary of the areas most prone to drought, in southern GA. When
391 converted to an indicator based on the threshold in Table 1, Fig. 9H exhibits the areas with a high
392 (1, >30% of years) risk of drought. Fig. 9I displays the percentages of years with three or more
393 risk factors for aflatoxin contamination where June Tmax and June RF are considered separate
394 risk factors. The map has similarities to Figs 9G,H suggesting that weather and excessively
395 drained soils are the greatest risk factors for aflatoxin contamination. Nevertheless, the crucial
396 importance of both weather factors is shown by the striking similarity in the patterns shown in
397 Fig. 9D,H which show drought summary and Fig. 9J,K which show the Poisson kriged summary
398 of aflatoxin levels in all years. Fig. 9L presents the relationship between the proportion of years
399 with 3 or more risk factors and proportion of years with aflatoxin levels >20ppb for each county.
400 The correlation coefficient for this relationship was $r=0.59$, which is significant at $p<0.001$.
401 Distinct groupings of counties are visible in the plot and have been delineated. Such groupings
402 were not very well defined when just weather factors were considered and the correlation
403 coefficient was lower, suggesting that the other risk factors (% corn planted area and soil
404 drainage types) help to distinguish the spatial differences between counties in addition to weather
405 patterns. The higher risk counties circled with solid black/red and dashed black/green lines (Fig.
406 9L) are the northern most counties in southern GA as well as those in the central area of the
407 northern half of the state.

408

409 *3.4. Confirmatory Analysis*

410

411 A range of comparison tests (Mann-Whitney U and Kruskal-Wallis H) were performed to
412 determine whether there were significant differences in aflatoxin levels based on the risk levels
413 identified with the risk factor approach. First, aflatoxin for all years was compared based on
414 whether a county was a high risk county as identified by the risk factors approach (ie ≥ 3 risk
415 factors in more than 20% of years) (Table 2A). When a Mann-Whitney U test was performed
416 using average aflatoxin levels for all years as the test variable, there was not a significant
417 difference ($p=0.569$) in aflatoxin levels between the counties identified by the risk factors
418 approach as high risk counties and those not. However, when the Poisson kriged summary data
419 for % years with > 20 ppb and 100 ppb aflatoxin were used as the test variables, the difference
420 between the counties identified as at risk and those not was significant, $p=0.002$ and $p=0.012$,
421 respectively. The order of class ranks also demonstrates that the lowest ranks were obtained for
422 counties with 0-20% years with >3 risk factors. This indicates that the average aflatoxin levels do
423 not give a good summary of the counties most and least at risk of aflatoxin contamination while
424 the Poisson kriged data which down-weight the influence of proportions based on low numbers
425 of observations, provided an acceptable summary.

426 A comparison of the raw measured aflatoxin levels for each year based on the number of
427 risk factors identified for each year (e.g. weather factors), county (based on soil drainage type
428 and % corn planted area) and years and counties together is reported in Table 2B. These results
429 indicate that the differences between years based on weather data is significant at $p<0.001$,
430 however, the differences between counties based on other risk factors is not significant
431 ($p=0.241$), but does not show the expected order of class ranks. When the risk from weather and
432 other factors is combined there is a significant difference ($p<0.001$) in the raw aflatoxin values
433 and the order of class ranks shows that aflatoxin levels for each class increase as the number of

434 risk factors identified increases as expected. This clearly demonstrates the importance of weather
435 in an individual season for determining if a county is at risk of aflatoxin contamination. The
436 temporal summaries of spatial patterns, further confirm the importance of weather in determining
437 risk. Fig. 9B,C show the very small proportions of well and excessively drained soils in southern,
438 particularly southeastern counties of the study area, yet there are high proportions of years with >
439 100ppb aflatoxin in south central areas (Fig. 9K) which coincide with high proportions of years
440 with two weather risk factors.

441 Finally, Table 2C compares aflatoxin levels based on the proportion of years there were
442 ≥ 3 risk factors per county split into the four grouping identified in Fig. 9L. When average
443 aflatoxin levels were used, a significant difference was found between counties that had different
444 proportions of years with ≥ 3 risk factors ($p=0.043$), however the order of class ranks was not as
445 expected because average aflatoxin levels were not greater for counties with a greater percentage
446 of years with aflatoxin levels > 20 or 100 ppb. When the comparison based on these groupings
447 was performed with the Poisson kriged data (proportion of years aflatoxin levels were >20 and
448 100 ppb) as the test variables, the difference between the groups was highly significant ($p<0.001$)
449 and showed the expected order of class ranks namely, the higher the proportion of years with ≥ 3
450 risk factors, the larger the proportion of years with > 20 and 100 ppb.

451

452

453 3.5. Sensitivity analysis

454

455 Maps of the Poisson kriged proportion of years exceeding 20 and 100 ppb aflatoxin
456 indicate the average patterns based on 10 random sub-samples of 5, 10 and 15 years of data (Fig.

457 10A-C, E-G) and those are plotted to the same scale as the Poisson kriged map for all years (Fig.
458 10D,H). It is clear from these maps that the proportion of years with aflatoxin over threshold
459 levels is underestimated for all sub-sample sizes. This degree of underestimation is also greatest
460 for the smallest sample of years (5, Fig. 10A,E). When the same data used in Fig. 10A-C and E-G
461 were plotted using quantiles (not shown) they showed similar patterns to one another in terms of
462 the highest and lowest risk counties. However, the largest percentages were found in the central
463 and western counties in slight contrast to the maps for all years (Fig. 10D,H). This shows
464 locational bias relating to which counties were sampled in more years (Fig. 1A) and were
465 therefore more likely to be included in more of the random samples of years. There appears to be
466 slightly less underestimation in the proportion of years that thresholds are exceeded and less
467 locational bias for the 100 ppb threshold (Fig. 10E-H) than for the 20 ppb threshold (Fig. 10A-
468 D). This is probably due to the fact that 100 ppb is a rarer occurrence than 20 ppb and Poisson
469 kriging was specifically designed for kriging rare occurrences.

470 In contrast to Table 2A, Mann Whitney U tests showed no significant difference ($p>0.05$)
471 between high (≥ 3 risk factors) and low risk counties (< 3 risk factors) for the mean PK > 20 ppb
472 and > 100 ppb values for all sub-sample sizes and 88% of the individual sub-samples
473 (predominantly sample size 5 and 10). This suggests that a sample size of at least 20 years of
474 aflatoxin data is needed to get a reliable space-time summary of aflatoxin risk.

475

476 **4. Conclusions**

477

478 This research demonstrates that when data have been irregularly sampled in space and
479 time and also approach a Poisson distribution, Poisson kriging is a useful way to generate a
480 temporal summary of spatial patterns. Simple averages were shown to be unreliable where fewer

481 observations were made and standard geostatistical methods do not work well when data have a
482 Poisson distribution or have few data for individual years. Comparison tests showed that counties
483 and years identified as having the greatest risk levels using the risk factors approach did have
484 significantly higher proportions of years with aflatoxin levels over threshold levels. Average
485 aflatoxin, however, was not significantly different between counties identified with different risk
486 levels due to unreliable averages because of irregular sampling. Despite the significant
487 differences between counties with different risk levels and the similarities in patterns, sensitivity
488 analysis suggested that at least 20 years of data are desirable to generate reliable space-time
489 summaries of aflatoxin risk. Sensitivity analysis also showed that Poisson kriged patterns are
490 more appropriate with smaller sample sizes when the threshold is a rarer occurrence (eg 100
491 ppb).

492 Identification and monitoring the weather conditions and counties associated with the
493 highest contamination risk will allow for in-season adaptation strategies such as irrigation to
494 avoid drought as temperatures and rainfall in June are carefully monitored in the key weeks
495 around the mid-silk period with respect to 30 year normals. Also, testing can be focused in the
496 highest risk counties and very little expensive aflatoxin testing will be needed in low risk years.
497 Also the highest risk counties should consider growing more drought resistant hybrids.

498 Future work could investigate developing an approach which takes into account the
499 spatial variation in uncertainty associated with weather data availability. Also including new
500 variables in the risk factors approach and fine tuning of the thresholds of the existing non-
501 weather risk factors which were less reliable/important in distinguishing between aflatoxin levels
502 should be considered. Fine-tuning of threshold values might improve the identification of the
503 counties at greatest risk. The data kriged to a 1 km grid shows the potential for defining the

504 smallest possible high risk areas which could reduce sampling cost but the potential value of
505 using Area-to-Point kriging to produce these data should be assessed. Thresholding the risk
506 factor data before kriging should also be investigated as a possible approach for pin-pointing the
507 smallest at risk areas. The next major step in analysis is to include in season corn NDVI and
508 thermal IR data in the risk factors approach to indicate in-season drought stress. This should
509 allow areas smaller than a county that are at high risk to be identified within a particular season
510 so that in-season adaptation strategies can be developed. An online interactive aflatoxin risk
511 assessment tool that uses the risk factors approach outlined here is currently being developed and
512 will include NDVI data. There is the potential that such an online tool could be adapted to other
513 crops, states and even farms so that aflatoxin levels may be better managed.

514

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516

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522

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664

Table 1. Threshold values used for Risk Factor Indicators

Risk Factor	Threshold for Indicator (1/0)
June monthly maximum temperature (°C)*	>33°C
June monthly Rainfall (mm)*	<50 mm
Percent of county area growing corn (%)	>1.75%
Percent of county with well-drained soils (classes 1-4) (%)	>90%
Percent of county with excessively drained soils (classes 1-2.5)	>2.5%
Percent of years with 2 weather risk factors	>30 %

*Thresholds for June Tmax and June RF were chosen with respect to 30-year normal Tmax and RF in the area to show hotter and drier years than normal

Table 2. Summary of comparison tests (Mann-Whitney U and Kruskal-Wallis H) for aflatoxin risk

Test variable	Grouping variable	Classes in grouping variable	Order of class ranks (lowest to highest)	<i>p</i> -value
(A) Average aflatoxin for all years	Identified as a low/high risk county	1: 0-20% years ≥ 3 risk factors 2: >20% years ≥ 3 risk factors	1, 2	0.569
PK % years aflatoxin >20ppb	Identified as a low/high risk county	1: 0-20% years ≥ 3 risk factors 2: >20% years ≥ 3 risk factors	1, 2	0.002
PK % years aflatoxin >100ppb	Identified as a low/high risk county	1: 0-20% years ≥ 3 risk factors 2: >20% years ≥ 3 risk factors	1, 2	0.012
(B) Aflatoxin measured for individual years	Combined risk (year and county)	Number of risk factors 1: 0, 2: 1, 3: 2, 4: 3, 5: >4	1, 2, 3, 4, 5	<0.001
Aflatoxin measured for individual years	County risk	Number of risk factors 1: 0, 2: 1, 3: > 2	2, 1, 3	0.241
Aflatoxin measured for individual years	Year risk	Number of risk factors 1: 0, 2: 1, 3: > 2	1, 2, 3	<0.001
(C) Average aflatoxin for all years	% years ≥ 3 risk factors	1: 0-20%, 2: 20-50%, 3: 50-85%, 4: >85%	3, 4, 2, 1	0.043
PK % years aflatoxin >20ppb	% years ≥ 3 risk factors	1: 0-20%, 2: 20-50%, 3: 50-85%, 4: >85%	1, 2, 3, 4	<0.001
PK % years aflatoxin >100ppb	% years ≥ 3 risk factors	1: 0-20%, 2: 20-50%, 3: 50-85%, 4: >85%	1, 2, 3, 4	<0.001

PK – Poisson kriged

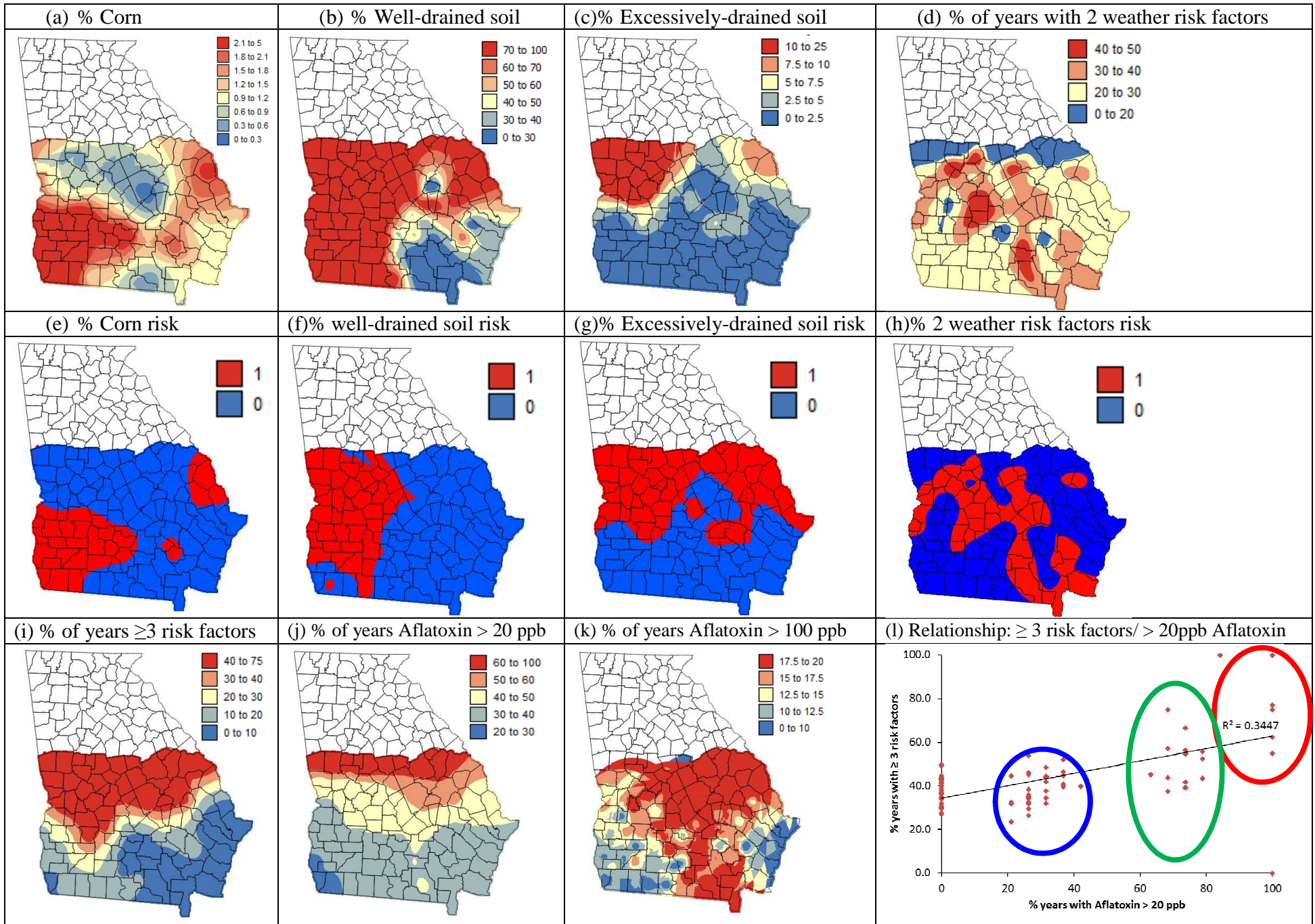


Fig. 9. Risk factors and their associated indicators plotted for each county kriged to a 1 km grid (a, e) percent of county area growing corn, (b, f) percent of county area with well-drained soils, (c, g) percent of county area with excessively drained soils and (d, h) percent of years each county has 2 weather risk factors and (i) percent of years with ≥ 3 risk factors, (j) percent of years with Aflatoxin > 20 ppb and (k) percent of years with aflatoxin > 100 ppb for each county. (l) Relationship between percent of years with ≥ 3 risk factors and percent of years with > 20 ppb Aflatoxin for each county. Ellipses show visual empirical groupings of years with low (blue/dotted), medium (green/dashed) and high risk (red/solid) of aflatoxin contamination

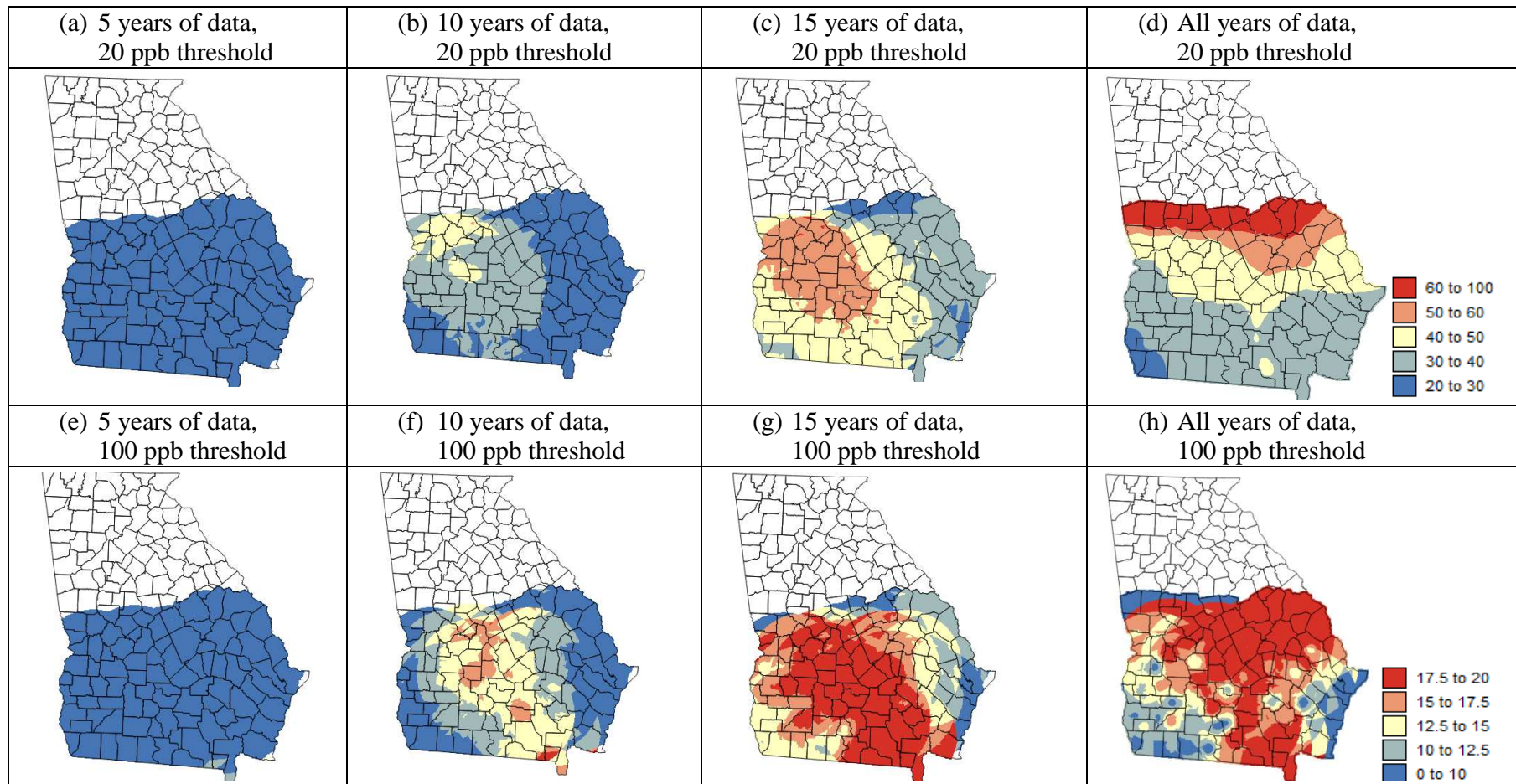


Figure 10. Poisson kriged maps of the proportion of years aflatoxin > 20ppb and 100ppb using (a, e) 5, (b, f) 10, (c, g) 15 and (d, h) all years of available data.

Poisson kriging gives a useful spatio-temporal summary of aflatoxin contamination risk

Risk factors approach identifies distinct groups of counties with different risk

More than 15 years data needed for a good Poisson kriged space-time summary