

1 **Effects of sex-specific fishing mortality on sex ratio and population dynamics**  
2 **of Gulf of Mexico greater amberjack**

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8  
9 **Abstract**

10 The US Gulf of Mexico stock assessment of greater amberjack *Seriola dumerili* assumes a 1:1  
11 male:female sex ratio. However, the observed sex ratio in the landed catch is 1:1.8, and for fish  
12 >1 m fork length is 1:2.4. To theoretically explore whether this female-skewed sex ratio may  
13 arise due to differential fishing mortality between the sexes, we used a sex-specific age- and size-  
14 based model to investigate how different fishing mortality rates could create a female-skew in  
15 the landed catch as well as its subsequent effects on reproductive potential. When fishing  
16 mortality rates in the model were equal between the sexes, the sex ratio of the landed catch was  
17 approximately 1:1 for all legal-sized fish, and approximately 1:2.4 for fish >1 m FL. However,  
18 reproductive potential decreased in comparison to the corresponding scenario with equal fishing  
19 mortality rates when fishing mortality rates between the sexes were changed to create the 1:1.8  
20 sex ratio observed in the landed catch. This modeling study demonstrates one possible route that  
21 could explain the female-skewed sex ratios observed in the landed catch, and indicates that sex  
22 ratio values other than 1:1 should be considered in future stock assessments for Gulf of Mexico  
23 greater amberjack.

24  
25 **Keywords:** *Seriola*, greater amberjack, sex ratio, population dynamics

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## 35 **1. Introduction**

36 Traditionally, fisheries models tend to focus on growth, female reproductive output, and survival  
37 of a population, with little consideration of behavior, life history strategies, and reproductive  
38 patterns (e.g., sex change, skip spawning, sex ratios, and size, type, and location of spawning  
39 aggregations) (Ricker, 1950; Beverton and Holt, 1957; Schnute, 1987). However, effective  
40 management often requires an understanding of many of these factors (Alonzo and Mangel,  
41 2004, 2005; Calduch-Verdiell et al., 2014). Gonochoristic as well as sex-changing populations  
42 tend to have a reduced reproductive capacity as fishing increases due to a decrease in spawning  
43 stock biomass. This results in reduced egg production from a decrease in reproductive  
44 individuals (Huntsman and Schaaf, 1994; Calduch-Verdiell et al., 2014). Although there is still  
45 considerable uncertainty in the relationship between stock size and recruitment in many species  
46 (Maunder and Piner, 2015), information regarding reproduction and recruitment are often among  
47 the most common future research recommendations in stock assessments (NMFS, 2014A,  
48 2014B). In sex-changing species that undergo size-selective fishing there tends to be a large  
49 reduction in the individuals of the larger sex, especially species that form large spawning  
50 aggregations, such as gag *Mycteroperca microlepis* (Heppell et al., 2006). Non-aggregating  
51 spawners, such a red grouper *Epinephelus morio*, may not experience such reductions (NMFS,  
52 2017). However, in some species that do not form spawning aggregations, characteristics of the  
53 spawning behavior and fishery can still lead to reductions in the larger sex, as is the case with  
54 common hogfish *Lachnolaimus maximus* (Cooper et al., 2013) and California sheephead  
55 *Semicossyphus pulcher* (Alonzo et al., 2004). Such sex-specific fishing mortality can lead to an  
56 altered sex ratio and a theoretical reduction in reproductive potential either through egg  
57 (protandrous species) or sperm (protogynous species) limitation, which is often greater than that  
58 seen in gonochoristic species if there is no compensation mechanism (Huntsman and Schaaf,  
59 1994; Armsworth, 2001; Alonzo and Mangel, 2004, 2005; Heppell et al., 2006; Molloy et al.,  
60 2007; Alonzo et al., 2008; Brooks et al., 2008).

61 The need to understand the effect of sex-specific harvest rates on reproductive output is not  
62 limited to sex-changing species, as any species in which fishing imposes greater mortality on one  
63 sex compared to the other may result in potential sperm or egg limitation (Alonzo et al., 2008;  
64 Heupel et al., 2010; Kelly-Stormer et al., 2017; Williams et al. 2017). In the Gulf of Mexico  
65 (hereafter “Gulf”), there is evidence of potential sex-skewing in greater amberjack *Seriola*  
66 *dumerili*, which is not a sex-changing species. Individual spawning events in this species appear  
67 to occur in pairs, but relatively large aggregations form in association with spawning (Graham  
68 and Castellanos, 2005). Sex ratios of greater amberjack in the landed catch from commercial and  
69 recreational fisheries are female-skewed, with an annual mean male to female sex ratio of 1:1.8  
70 (Smith, 2011; Smith et al., 2014). This indicates that there is either a pre-existing female-skewed  
71 sex ratio (i.e., the sex ratio of the entire population from birth is female skewed) or that females  
72 are being selectively exploited by the fisheries. This latter scenario would ultimately lead to a  
73 male-skewed sex ratio in the remaining unharvested population.

74 Greater amberjack are gonochoristic but show sexual dimorphism in growth with females  
75 generally having a greater size at age (Harris et al., 2007; Murie and Parkyn, 2008), as well as  
76 dominating the largest size classes (Burch, 1979; Beasley, 1993; Thompson et al., 1999; Harris et  
77 al., 2007; Smith et al., 2014). The greater growth of females compared to males appears to be  
78 less significant in the Gulf stock (Murie and Parkyn, 2008) compared to the US South Atlantic  
79 stock (Harris et al., 2007), but may still play some role in creating a sex-selective fishery due to  
80 size regulations. A minimum size limit of 30 in (762 mm) fork length (FL), which was increased

81 to 34 in (864 mm) FL as of January 4, 2016, is enforced in the recreational fishery, and 36 in  
82 (914 mm) FL in the commercial fishery in the Gulf (NMFS, 2016). Larger fish are typically  
83 landed in the commercial fishery (Fig. 1). but this figure is aggregated across time from the early  
84 1980s to present and, in more recent years, the number of fish over 1 m FL landed in both the  
85 commercial and recreational fisheries has increased. The landing of these large individuals may  
86 result in selectivity towards females because landed fish greater than 1 m FL are comprised of  
87 approximately 70% females in both the Gulf and US South Atlantic stocks (Beasley, 1993;  
88 Thompson et al., 1999; Harris et al., 2007; Smith et al., 2014).

89 The most recent stock assessment of greater amberjack in the Gulf found it to be overfished  
90 and potentially undergoing overfishing, despite continued increases in regulations over the last  
91 two decades (i.e., higher minimum size limits, smaller bag limits/quotas, closed season) (NMFS,  
92 2014A). This stock assessment assumed that the sex ratio of the Gulf stock was 1:1. However,  
93 the sex ratio of the landed catch, and especially fish over 1 m, is known to be skewed towards  
94 females (Beasley, 1993; Thompson et al., 1999; Harris et al., 2007; Smith et al., 2014).

95 The goal of this study was to use simulation modelling to: 1) determine if theoretical sex-  
96 specific mortality rates could create sex ratios observed in the landed catch; 2) examine how  
97 these theoretical sex-specific mortality rates may influence the reproductive potential of the  
98 simulated population of Gulf greater amberjack; and 3) examine how the sex ratio of the  
99 modeled population (i.e., the sex ratio of unharvested fish) changes based on the fishing  
100 mortality scenario being modeled. This study is not meant to serve as a stock assessment for this  
101 species, but rather to highlight how sex-specific mortality and altered sex ratios could influence  
102 the population dynamics of greater amberjack.

## 103 2. Methods

### 104 2.1 Model structure and parameterization

105 An age-structured model, as outlined in Hilborn and Walters (1992) and Walters and Martell  
106 (2004), was constructed to examine the potential impacts of sex-specific fishing mortality rates  
107 on the sex ratios and reproductive potential of the Gulf greater amberjack stock. Age-structured  
108 models are forward-projection models based on estimates of initial unfished population numbers  
109 and annual recruitment. The number of fish from a particular cohort surviving to the following  
110 year is determined by the initial size of that cohort less any catch occurring during that year  
111 multiplied by a survival rate (number alive = survival x (initial number – catch). The catch  
112 applied to a particular cohort within a given year is based on a fishing mortality rate and  
113 selectivity of that cohort by a particular fishery based on size. Growth curves are used to  
114 determine the size of fish at a particular age, and length-weight relationships combined with  
115 maturity schedules are used to determine the reproductive output within a particular year. This  
116 reproductive output is used in a recruitment function to estimate the number of new recruits  
117 entering the population the following year. Additional parameters, such as discard mortality, can  
118 be added to age-structured models to provide greater detail, and to examine various model  
119 scenarios. This model incorporated sex, size, and age structure and examined several outputs. To  
120 ensure the model had reached equilibria, it was run for 50 years both prior to and after the onset  
121 of fishing.

122 The number of fish at age- $A$  and time- $t$  in the unfished condition for each sex was determined  
123 as:

$$124 N_{A,t,s} = N_{(A-1),(t-1),s}(e^{-M}) \quad (1)$$

125 where:  $N_{A,t,s}$  is number fish at age- $A$  and time- $t$  for each sex ( $s$ ),  $N_{(A-1),(t-1),s}$  is the number of fish  
 126 of the previous age in the previous year for each sex, and  $M$  is the instantaneous natural mortality  
 127 rate. A value of  $M$  equal to  $0.25 \text{ yr}^{-1}$  was used based on the baseline value used in the 2006 Gulf  
 128 Stock Assessment and its 2010 update (NMFS, 2006, 2011) (Table 1). The instantaneous natural  
 129 mortality rate used in this model was assumed to be the same between the sexes and over time,  
 130 as it was in these stock assessments.

131 The number of fish at age- $A$  and time- $t$  in the fished condition for each sex was calculated as:

$$132 \quad N_{A,t,s} = N_{(A-1),(t-1),s} (e^{-M}) \{ [1 - U_s \cdot CMHL_{(A-1)} \cdot [PCL_{(A-1),s} + (1 - PCL_{(A-1),s})D]] \cdot$$

$$133 \quad \{ [1 - U_s \cdot CMLL_{(A-1)} \cdot [PCL_{(A-1),s} + (1 - PCL_{(A-1),s})D]] \} \cdot \{ [1 - U_s \cdot HB_{(A-1)} \cdot$$

$$134 \quad [PRL_{(A-1),s} + (1 - PRL_{(A-1),s})D]] \} \cdot \{ [1 - U_s \cdot RCP_{(A-1)} \cdot [PRL_{(A-1),s} + (1 -$$

$$135 \quad PRL_{(A-1),s})D]] \} \quad (2)$$

136 where:  $U_s$  is the annual exploitation rate for each sex ( $U_s = [F_s (1 - e^{-Z})]/Z_s$ ), where  $F_s$  is the  
 137 instantaneous fishing mortality rate for each sex and  $Z$  is the instantaneous total mortality rate for  
 138 each sex, and  $Z_s = F_s + M$ ;  $CMHL$ ,  $CMLL$ ,  $HB$ , and  $RCP$  are the respective gear selectivities at  
 139 age (based on Diaz et al., 2005) for commercial handline gear ( $CMHL$ ), commercial longline  
 140 gear ( $CMLL$ ), recreational headboat fishery ( $HB$ ), and the combined charter and private boat  
 141 recreational fishery ( $RCP$ ) (Table 2).  $D$  is the discard mortality applied to both sexes across all  
 142 ages and fisheries (Table 1) and  $PCL$  and  $PRL$  are the proportions of fish at age that are of legal  
 143 size for the commercial and recreational (including headboat) fisheries, respectively, for each  
 144 sex. The proportion of legal sized fish at age for each sex was calculated as: ( $PCL_{A,s} = \{1 + e^{[-(L_{A,s} - LCL)/\sigma]}\}^{-1}$   
 145 and ( $PRL_{A,s} = \{1 + e^{[-(L_{A,s} - LRL)/\sigma]}\}^{-1}$ ), where  $L$  is the FL (mm) at age for each sex,  
 146  $LCL$  and  $LRL$  are the commercial and recreational size limits in place during the time of this  
 147 study, and  $\sigma$  is a parameter that incorporates the variability in length-at-age (Table 1). Discard  
 148 mortality was set at 0.2 based on the baseline value used on the 2014 stock assessment (NMFS,  
 149 2014A), and was applied across all ages for all fisheries because there are discards both above  
 150 and below the minimum size limits due to size and bag limits, closed seasons, trip limits, and  
 151 early closures due to quotas being met (GMFMC, 2013; Johnson, 2013; Sauls and Cernak,  
 152 2013). The value of  $\sigma$  is often set at 10% of a particular length of interest, such as a length limit  
 153 (Coggins et al., 2007; Pine et al., 2008; Tetzlaff et al., 2011). The ratios of the difference in the  
 154 upper and lower estimates of length-at-age estimates and mean length-at-age estimates for Gulf  
 155 of Mexico greater amberjack, which were calculated from mean values and standard errors of  
 156 von Bertalanffy growth parameters from Murie and Parkyn (2008), ranged from approximately  
 157 0.05 to 0.13. Based on this information,  $\sigma$  was set at 10% of  $LCL$  and  $LRL$ .

158 To incorporate the sex-specific growth rates of Gulf greater amberjack, the von Bertalanffy  
 159 growth parameters for each sex (Table 1) were used to determine length-at-age for each sex  
 160 ( $L_{A,s}$ ). The growth model was parameterized as:

$$161 \quad L_{A,s} = L_{\infty,s} [1 - e^{-k_s(A-t_{0s})}] \quad (3)$$

162 where  $L_{\infty}$  is the asymptotic FL (mm),  $k$  is the Brody growth coefficient, and  $t_0$  is the hypothetical  
 163 age at zero length, for each sex ( $s$ ).

164 The weight-at-age relationship for males and females was described by:

$$165 \quad W_{A,s} = aL_{A,s}^b \quad (4)$$

166 where  $W$  is the whole weight (kg), and  $a$  and  $b$  are constants in the length-weight relationship and  
 167  $L_{A,s}$  is the FL in mm for each sex at a particular age (Table 1).

168 The spawning stock biomass ( $SSB_t$ ) of each sex (s) for each year was calculated as:

$$169 \quad SSB_{t,s} = \sum_A N_{A,t,s} \cdot PM_{A,s} \cdot W_{A,s} \quad (5)$$

170 where  $PM_{A,s}$  is the proportion mature at age-A for each sex based on Table 3.

171 Batch fecundity at age-A ( $BF_A$ ) was calculated as:  $BF_A = af + (bf \cdot A)$ , where  $af$  and  $bf$  are  
 172 constants in the fecundity-age relationship (Table 1). Annual fecundity at age-A ( $AF_A$ ) was  
 173 calculated as  $AF_A = n(BF_A)$ , where  $n$  = number of batch spawns per season (Table 1). Batch  
 174 fecundity and number of batches per season are currently unknown for the Gulf of Mexico stock  
 175 and therefore were estimated using data from the Atlantic stock of greater amberjack from Harris  
 176 et al. (2007). The total number of eggs produced each year ( $E_t$ ) was determined by:

$$177 \quad E_t = \sum_A (N_{A,t,fem} AF_A) \quad (6)$$

178 where  $N_{A,t,fem}$  = number of females at age-A for each year.

179 The proportion of fertilized eggs ( $PFE_t$ ), a function of the fertilization rate and the proportion  
 180 of mature males in the spawning stock, was calculated as:

$$181 \quad PFE_t = f[1 - e^{(-\theta \cdot PMSS_t)}] \quad (\text{from Heppell et al., 2006}) \quad (7)$$

182 where:  $f$  is the maximum fertilization rate;  $\theta$  is a fertility parameter that determines the steepness  
 183 of the curve; and  $PMSS_t$  is the proportion of mature males in the spawning stock, calculated as:

$$184 \quad PMSS_t = \frac{(\sum_A (N_{A,t,male} \cdot PM_{A,male}))}{(\sum_A (N_{A,t,male} \cdot PM_{A,male}) + \sum_A (N_{A,t,female} \cdot PM_{A,female}))} \quad (8)$$

185 The maximum fertilization rate ( $f$ ) was set at 0.8 based on data from captive spawning  
 186 experiments with greater amberjack by Jerez et al. (2006). This was the highest average monthly  
 187 fertilization rate observed in their study. There is currently no empirical data on fertility  
 188 functions for greater amberjack, so a theoretical value for  $\theta$  was selected based on Heppell et al.  
 189 (2006). A value for  $\theta = 20$  was chosen for  $\theta$  to represent a “low fertility” function as described  
 190 by Heppell et al. (2006), which could produce at least minor changes in fertilization rate to  
 191 investigate potential sperm limitation when model parameters are changed. A “high fertility” ( $\theta$   
 192 = 80) function would show virtually no change in fertilization rate with the sex ratios observed in  
 193 greater amberjack. Total annual production of fertilized eggs ( $FE_t$ ) was calculated as:  $FE_t =$   
 194  $E_t(PFE_t)$ .

195 Recruitment ( $R_t$ ) was calculated using the compensation form of the Beverton and Holt  
 196 model (Walters and Martell, 2004), which was also used in the most recent stock assessment of  
 197 greater amberjack (NMFS, 2014A). This recruitment function was calculated as:

$$198 \quad R_t = \frac{\frac{K}{EPR_0} E_t}{1 + \left\{ \frac{(K-1)}{R_0 \cdot EPR_0} \right\} E_t} \quad (9)$$

199 where  $K$  is the recruitment compensation ratio, which represents the ratio of juvenile survival in  
 200 the unfished condition to juvenile survival in a state where egg have been fished down to near  
 201 zero,  $R_0$  is the average recruitment in an unfished condition (Table 1), and  $EPR_0$  is the average  
 202 unfished lifetime egg production per recruit. Because recruitment in this model was being  
 203 dictated by fertilized egg production to incorporate male and female contributions,  $FEPR_0$   
 204 (average unfished lifetime fertilized egg production per recruit) was used in place of  $EPR_0$ , and  
 205  $FE_t$  was used in place of  $E_t$ .  $FEPR_0$  was calculated as:

206 
$$FEPR_0 = \sum_A l_A(AF_A)(PM_{A,female})(FP) \quad (10)$$

207 where  $l_A$  is the unfished survivorship at age- $A$ , and  $FP$  is the proportion of females in the  
 208 population in the unfished condition. The unfished survivorship was calculated as the proportion  
 209 of fish surviving from the previous year (starting at 1 for the first age modeled) multiplied by the  
 210 unfished survival rate,  $S$ , where  $S = e^{-M}$ . The sex ratio of new recruits was assumed to be 1:1. To  
 211 incorporate uncertainty in recruitment, a lognormal deviation was applied to Equation 9 with a  
 212 mean of 1 and coefficient of variation of 0.4 (Turner et al., 2000). A  $K$  value of 10 was selected  
 213 based on values from species with similar life histories (Myers et al., 1999) and from Goodwin et  
 214 al. (2006) as:

215 
$$\log_e(K) = 4.69 + 0.32\log_e(W_\infty) + 0.72\log_e(TM) - 0.25\log_e(FM) \quad (11)$$

216 where  $W_\infty$  is the asymptotic total weight (calculated from Equation 4 for the maximum age  
 217 modeled, age-10),  $TM$  is the age where female maturity was 50% (calculated as 3.5 from Table  
 218 3), and  $FM$  is the fecundity at  $TM$  (estimated by  $AF_A$  at  $TM$ ).

219 Spawning potential ratio is the ratio of some measure of productivity on a per recruit basis in  
 220 the fished to the unfished condition (Goodyear, 1990). For this study SPR was measured as the  
 221 ratio of fertilized eggs per recruit in the fished condition to the number of fertilized eggs per  
 222 recruit in the unfished condition to incorporate both male and female contributions to the  
 223 productivity of the stock.

224 *2.2 Fishing mortality scenarios*

225 *2.2.1 Fishing mortality rate equal for both sexes*

226 Currently, stock assessments of Gulf of Mexico greater amberjack assume that the fishing  
 227 mortality rate is equivalent between the sexes and that the sex ratio of the landed catch is 1:1.  
 228 However, it is possible that fishing mortality may vary between sexes. Estimates of the  
 229 instantaneous fishing mortality rate ( $F$ ) for greater amberjack in the Gulf of Mexico have been  
 230 variable and cover a range of approximately 0.2-0.6 yr<sup>-1</sup> (NMFS, 2006, 2011, 2014A). To cover  
 231 this range of values without exceeding it, a base case scenario  $F$ -value of 0.4 yr<sup>-1</sup> was selected  
 232 and  $F$ -values 20% in either direction (0.2yr<sup>-1</sup> and 0.6 yr<sup>-1</sup>) were selected as alternative values.  
 233 Preliminary modeling exercises also showed that all of these  $F$ -values produced a male to female  
 234 sex ratio of 1:1 ( $\pm 0.1$ ) in the landed catch. The baseline model conditions thus consisted of a  
 235 single  $F$ -value of 0.4 yr<sup>-1</sup> for both sexes, which produced a sex ratio in the landed catch of  
 236 approximately 1:1.

237 *2.2.2 Fishing mortality rate varied by sex*

238 This scenario is based on evidence that the sex ratio of the landed catch is actually female-  
 239 skewed, with an annual mean ( $\pm$  SE) male to female sex ratio of 1:1.8 ( $\pm 0.14$ ) (Smith, 2011,  
 240 Smith et al., 2014). An even more female-skewed sex ratio has been noted by a number of  
 241 studies for fish  $\geq 1$  m FL (Beasley, 1993; Thompson et al., 1999, Smith et al., 2014). The annual  
 242 mean sex ratio ( $\pm$  SE) for landed fish  $\geq 1$  m FL was calculated to be 1:2.4  $\pm$  (0.74) (based on data  
 243 from Murie and Parkyn, 2008, Smith et al., 2014). A what-if analysis was performed to  
 244 determine what combinations of separate male and female fishing mortality rates (0.05 yr<sup>-1</sup>  
 245 increments from 0 to 1 yr<sup>-1</sup>) would produce these sex ratios in the landed catch. To perform the  
 246 what-if analysis, recruitment variability was set to 1. The target cell for the what-if analysis of  
 247 the sex ratio for the entire catch was the ratio of all males to all females harvested in the final  
 248 year of the model. The target cell for the what-if analysis of the sex ratio for the landed catch  $>1$

249 m FL was the ratio of males >1 m FL (determined to be age-6+ by Equation 3 for males) to the  
 250 ratio of females >1 m FL (determined to be age-5+ by Equation 3 for females) in the final year of  
 251 the model. The number of fish harvested for each sex in a particular year was calculated as:

$$\begin{aligned}
 &U_s(\sum_{A,t,s} N_{A,t,s} \cdot PCL_{A,s} \cdot HL_A) + U_s(\sum_{A,t,s} N_{A,t,s} \cdot PCL_{A,s} \cdot LL_A) + U_s(\sum_{A,t,s} N_{A,t,s} \cdot \\
 &PRL_{A,s} \cdot RCP_A) + U_s(\sum_{A,t,s} N_{A,t,s} \cdot PRL_{A,s} \cdot HB_A) \quad (12)
 \end{aligned}$$

254 Equation 12 was also used to calculate the number of fish >1 m FL for each sex in a  
 255 particular year with the ages being restricted to age-6+ for males and age-5+ for females. The  
 256 median value of all  $F$ -value combinations that produced a sex ratio within the desired ranges  
 257 (1:1.8  $\pm$  0.14 for all landed fish and 1:2.4  $\pm$  0.74 for landed fish >1 m FL) was selected for model  
 258 analysis. To incorporate the range of potential effects on reproductive output, the median value  
 259 of the lower and upper quartile of all  $F$ -value combinations were also selected as scenarios to  
 260 investigate.

### 261 2.3 Model outputs and data analysis

262 Several model outputs were assessed to determine the potential effects of varying the fishing  
 263 mortality rate between the sexes. All scenarios were run through 100 stochastic simulations to  
 264 incorporate recruitment variability (Equation 9). Effects of  $F$  between males and females on  
 265 reproductive potential were assessed by graphically comparing the mean values of female  
 266 spawning stock biomass ( $FSSB$ ),  $FE$ , and  $SPR$  from these 100 simulations.  $SPR$  values were  
 267 compared with reference values, including 0.2 and 0.3 (Mace and Sissenwine, 1993) and 0.4  
 268 (Clark, 2002) to determine if recruitment-overfishing was occurring. If the spawning potential  
 269 ratio was less than the reference value then the stock was considered to be recruitment-  
 270 overfished. The effect of varying fishing mortality rate between males and females on sex ratio  
 271 was assessed by graphically comparing the ratio of males to females in the landed catch for both  
 272 all landed fish and fish >1 m FL. In addition, the ratio of males to females remaining in the  
 273 unharvested model population (fish predicted to be above the recreational size limit (age-3+) and  
 274 fish >1 m FL (age-6+ for males and age-5+ for females) were graphically compared.

## 275 3. Results

### 276 3.1 Fishing mortality rate equal for both sexes

277 Scenarios in which fishing mortality was equivalent between the sexes generally produced the  
 278 expected model outputs; the reproductive potential of the stock declined as fishing mortality rate  
 279 increased (Fig. 2A and B) and the potential for recruitment overfishing increased (Fig. 2C). In  
 280 addition to the landed catch having a 1:1 sex ratio, the sex ratio of legal-sized fish (i.e.,  $\geq 3$  years  
 281 of age) remaining in the unharvested population also had a sex ratio of approximately 1:1 (Figs.  
 282 3A and B). There is a brief spike in both the number of females in the landed catch as well as  
 283 those remaining in the unharvested population. However, the male to female sex ratio of fish >1  
 284 m FL in the landed catch and the remaining unharvested population ranged from 1:1.8 to 1:3.3  
 285 after a brief spike in the number of females at the onset of fishing (Figs. 3C and D). In addition,  
 286 the sex ratio of fish >1 m FL, both in the landed catch and the modeled unharvested population  
 287 became more female-skewed as the fishing mortality rate increased

### 288 3.2 Fishing mortality rate varied by sex

289 A wide range of male and female fishing mortality rate ( $F$ ) combinations produced a male to  
 290 female sex ratio of 1:1.8 ( $\pm$ 0.14) (i.e., range of 1:1.66-1:1.94) in the landed catch (Fig. 4A). In all  
 291 cases, the female  $F$ -value was greater than that of males. The median combination of  $F$ -values

292 that produced a sex ratio within this range was  $F = 0.15 \text{ yr}^{-1}$  for males and  $F = 0.70 \text{ yr}^{-1}$  for  
293 females, and the median combinations of the lower and upper quartiles were  $F_{male} = 0.10 \text{ yr}^{-1}$   
294  $/F_{female} = 0.30 \text{ yr}^{-1}$ , and  $F_{male} = 0.15 \text{ yr}^{-1}/F_{female} = 0.95 \text{ yr}^{-1}$ , respectively.

295 Overall, reproductive output decreased as the female fishing mortality rate increased (Figs.  
296 5A and B). The mean value of the male and female  $F$ -values for all three of these scenarios  
297 corresponded ( $\pm 0.05 \text{ yr}^{-1}$ ) to one of the scenarios with an equivalent  $F$ -value for both sexes (e.g.,  
298 the mean  $F$ -value of the  $F_{male} = 0.10 \text{ yr}^{-1}/F_{female} = 0.30 \text{ yr}^{-1}$  is equal to  $0.20 \text{ yr}^{-1}$  and corresponds to  
299 the single  $F$ -value scenario of  $0.2 \text{ yr}^{-1}$ ). Unlike scenarios in which  $F$  was equal between the sexes  
300 (Figs. 2A and B), scenarios that varied  $F$  between the sexes did not produce sex ratios in the  
301 landed catch that were similar to the sex ratio of legal-sized fish remaining in the unharvested  
302 population (Figs. 6A and B). In all cases, the sex ratio of the modeled population became male-  
303 skewed after the onset of fishing, becoming more male-skewed as the female fishing mortality  
304 rate increased (Fig. 6B)). This occurred to an even greater degree in the scenarios with  $F$ -values  
305 varied to produce the sex ratio observed in landed fish  $>1 \text{ m FL}$  (Figs. 6C and D).

306 A different set of male and female  $F$ -value combinations produced a sex ratio of 1:2.4  
307 ( $\pm 0.74$ ) (i.e., range of 1:1.66-1:3.14) in the landed catch of fish  $>1 \text{ m FL}$  (Fig. 4B). These  
308 combinations generally had male and female fishing mortality rates equal or nearly equal  
309 ( $F_{male}=F_{female} \pm 0.1 \text{ yr}^{-1}$  for  $F_{male}<0.55 \text{ yr}^{-1}$ ;  $F_{male}=F_{female} \pm 0.25 \text{ yr}^{-1}$  for  $F_{male}\geq 0.55 \text{ yr}^{-1}$ ) (Fig. 4B).  
310 The median combination of  $F$ -values that produced a sex ratio in this range was  $F = 0.5$  for  
311 males and  $F = 0.6 \text{ yr}^{-1}$  for females, and the median combinations of the lower and upper quartiles  
312 were  $F_{male} = 0.25 \text{ yr}^{-1}/F_{female} = 0.2 \text{ yr}^{-1}$ , and  $F_{male} = 0.75 \text{ yr}^{-1}/F_{female} = 0.9 \text{ yr}^{-1}$ , respectively.  
313 Similar to the scenarios with a single  $F$ -value, these scenarios produced sex ratios in the landed  
314 catch that were similar to the sex ratio of legal-sized fish remaining in the modeled unharvested  
315 population (Figs. 3A, 3B, 7A, and 7B). This was also the case with fish  $> 1 \text{ m FL}$  (Figs. 3C, 3D,  
316 7C, and 7D). The main difference was that as the male and female fishing mortality rates  
317 increased, the sex ratio of the legal-sized fish in the population became slightly male skewed  
318 (Fig. 7B).

#### 319 4. Discussion

320 Varying fishing mortality rates between the sexes can theoretically have pronounced effects on  
321 the reproductive potential and sex ratios of the Gulf stock of greater amberjack. The main  
322 concern with such scenarios is potential egg limitation, as only minimal potential sperm  
323 limitation in greater amberjack has been noted (Smith, 2011). This is despite selecting a value of  
324  $\theta$  that would theoretically represent a low fertility scenario (Heppell et al., 2006). Unless the  
325 maximum fertilization rate is much lower than estimated based on captive studies, or the sex  
326 ratios of greater amberjack become highly female-skewed across all mature individuals, this  
327 parameter will have little bearing on the model outcome for various scenarios.

328 When  $F$ -values are equivalent between the sexes, as is assumed in current stock assessments  
329 (NMFS, 2014A), reproductive potential decreases as  $F$  increases, and the potential for  
330 recruitment overfishing increases. These scenarios produce an approximate 1:1 sex ratio in both  
331 the landed catch and legal-sized fish remaining in the unharvested population. This 1:1 sex ratio  
332 is also what is currently assumed to occur within the Gulf stock. However, actual male to female  
333 sex ratio estimates of the landed catch point towards a female-skew of approximately 1:1.8  
334 (Smith et al., 2014). Interestingly, these scenarios do produce the approximately 1:2.4 sex ratio  
335 in fish  $>1 \text{ m FL}$  that have been noted in several previous studies (Beasley, 1993; Thompson et  
336 al., 1999; Smith et al., 2014). Based on the model structure, the initial spike in the number of  
337 females (Figs. 3A-D) and the persistent female-skew in fish  $>1 \text{ m FL}$  (Figs. 3C and D) appears to



338 occur because the females grow faster than males, enter the fishery sooner, but also quickly grow  
339 out of the full selectivity of some fleets (e.g., recreation headboats). Landings data based on fleet  
340 and fish size generally supports this possibility (Fig. 1). Conversely, males take longer to grow  
341 into the fishery but are exposed to the full selectivity of all fleets for a greater period of time  
342 leading to fewer males reaching a meter in length.

343 Several male and female fishing mortality rates could produce either the approximate 1:1.8  
344 sex ratio observed in the landed catch or the approximate 1:2.4 sex ratio in the landed catch of  
345 fish >1 m FL (Figs. 4A and B). Male and female fishing mortality rates in the upper quartile of  
346 combinations that produced the observed sex ratios were often greater than the highest  $F$ -value  
347 that was equivalent for both sexes (i.e.,  $F= 0.6 \text{ yr}^{-1}$  for both males and females) that was  
348 modelled in this study, and represent more extreme scenarios. However, certain scenarios in  
349 previous assessments of this stock have pointed to fishing mortality rates as high as  $0.86 \text{ yr}^{-1}$   
350 (NMFS, 2006), which does not completely rule out the more extreme separate sex  $F$ -value  
351 scenarios.

352 Most of the combinations that produced the 1:2.4 sex ratio had equal or nearly equal male  
353 and female fishing mortality rates (Fig. 4B). This generally produced the same outputs as the  
354 closest scenario with a single fishing mortality rate for both sexes, namely decreased  
355 reproductive potential (Figs. 2A, 2B, 5D, and 5E) and greater potential for recruitment  
356 overfishing (Figs. 2C and 5F) as  $F$  increased. Conversely, to produce the 1:1.8 sex ratio in the  
357 landed catch, female fishing mortality rates were always considerably higher than male fishing  
358 mortality rates (Fig. 4A). This greater harvest intensity on females leads to male-skewed sex  
359 ratios within the remaining unharvested population of legal-sized fish, particularly for those over  
360 a meter in length, which leads to lower reproductive potential than the corresponding scenario  
361 with a single  $F$ -value for both sexes (Figs. 2A, 2B, 5A, and 5B). In all but one instance, these  
362 scenarios lead to situations that would be indicative of recruitment overfishing, even at the least  
363 conservative reference value (Fig. 5C). Despite the overall landed catch being female-skewed  
364 (Fig. 6A), the landed catch of fish >1 m FL quickly declines and becomes male-skewed in two of  
365 the scenarios, as nearly all of the large females are quickly fished out (Fig. 6C).

366 This study was not meant to serve as an assessment of the Gulf of Mexico greater amberjack  
367 stock. However, the equal sex  $F$ -value scenarios and the less extreme cases of differing fishing  
368 mortality rates by sex did produce similar results as recent assessments of this stock (NMFS,  
369 2011, 2014A). This in general indicated a low but stable or slowly declining (toward the end of  
370 the time series) stock that was indicative of being overfished and undergoing overfishing.  
371 Landings from this stock show that many fish >1 m are harvested (Fig. 1) and a large portion of  
372 greater amberjack over 1 m FL are skewed toward females (Burch, 1979; Beasley, 1993;  
373 Thompson et al., 1999; Harris et al., 2007; Smith et al., 2014). This information, as well as the  
374 simulations in this study, suggests that these large females could be experiencing a high degree  
375 of fishing mortality, which may be a contributing factor to the continued designation of being  
376 overfished, despite increasing size limits and reduced quotas.

377 It should be noted that none of the scenarios modeled produced both the 1:1.8 sex ratio in the  
378 landed catch and the 1:2.4 sex ratio in the landed catch of fish >1 m that were observed in Smith  
379 et al. 2014 (Fig. 5), and in fact none of the possible male and female fishing mortality rate  
380 combinations would produce both of these sex ratios at the same time (Fig. 4). There are a  
381 number of possible explanations why this may have occurred. It may simply be that the sexes  
382 only experience differential fishing mortality rates at certain ages or during certain times of the  
383 year. Site-specific sex ratios of greater amberjack can also be highly skewed to one sex or the

384 other (Smith, 2011), which could influence the differential fishing mortality between the sex and  
385 overall sex ratios. However, there is not enough consistent site-specific sex data to discern any  
386 clear trends in sex ratio based on geographic location, distance from shore, or season. It is also  
387 possible that the sex ratio of new recruits (i.e., the sex ratio at birth) is not actually 1:1 as is  
388 currently assumed. Although the data are limited, it has been demonstrated that fish below the  
389 current minimum size limits may have sex ratios differing from 1:1 in some regions of the Gulf  
390 (Smith et al., 2014). Fisheries-independent sampling, where the entire catch was either retained  
391 or non-lethally sexed (i.e., population sex ratio), also indicated that there was either a slight  
392 male- or slight female-skew depending on the dataset analyzed (Smith, 2011; Smith et al., 2014).  
393 The fisheries-independent estimates of sex ratio may be more representative of the actual  
394 population's sex ratio because the minimum size limits used in the fisheries were not applied.  
395 Additionally, the mechanism (genetic, environmental, etc.) controlling sex determination in this  
396 species also has not yet been determined, although some sex-linked genetic markers have been  
397 found in closely related species (Sola et al., 1997; Fugi et al., 2010). Another possibility is that  
398 males and females have different natural mortality rates, which could have a greater effect  
399 depending on how much natural mortality differs at size/age within this species, since females  
400 tend to grow faster (i.e., may have a lower natural mortality after a certain age). This model and  
401 earlier assessments of the Gulf stock of greater amberjack assumed natural mortality was equal  
402 across all sizes/ages. However, more recent assessments have begun to use size-based natural  
403 mortality estimates (NMFS, 2014A) and could potentially investigate how this parameter varied  
404 by age between the sexes and the subsequent influence on the stock status.

405 There are several factors to consider that could improve the model used in this study. The  
406 selectivities and fishing mortality rates were directly applied, but a gradually changing set of  
407 selectivities and  $F$ -values may more accurately simulate changes within the Gulf of Mexico  
408 stock over time. It was assumed that the number of spawnings per year was equivalent for all  
409 mature females. This may not be the case, and further research in this area is still needed. It was  
410 also assumed that all females spawn every year. However, personal observations of the authors  
411 suggest that skipped spawning may occur at least to some degree in this species. This model  
412 could, however, be adapted to simulate the effects of both varying spawning frequency based on  
413 fish size/age and varying the proportion of mature females that spawn each year. A prior  
414 sensitivity analysis showed that several parameters related to mortality and recruitment can cause  
415 substantial changes in the model's output, but the trends between different scenarios were  
416 maintained (Smith, 2011).

417 Ever increasing pressure on fisheries resources requires finer scale detail on biological  
418 information of fish species to build resiliency into management strategies. Understanding the  
419 influences of differential sex ratios and how they vary regionally and seasonally could be  
420 employed to impose geographic or temporal management, such as designated closures, as well as  
421 limitations on landings, aimed at protecting aggregations of female fish, particularly those in the  
422 largest size classes. Currently the Gulf stock is assumed to have a 1:1 sex ratio in the landed  
423 catch, although data sources document female-skewing in the landings, particularly in fish >1 m.  
424 Simulation modeling suggests that sex-specific harvest rates could potentially result in negative  
425 impacts on population dynamics of the stock. Therefore, consideration should be given to sex  
426 ratios other than 1:1, or over a range of possibilities, in future greater amberjack stock  
427 assessments.

428  
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589

**TABLE 1**

Input parameters for sex-, size-, and age-specific model for greater amberjack in the Gulf of Mexico.

Parameter	Value	Source
von Bertalanffy growth parameters		
$L_{\infty}$ (mm)		
Male	1196.6	Murie and Parkyn, 2008
Female	1279.6	Murie and Parkyn, 2008
Combined	1240.5	Murie and Parkyn, 2008
$K$ (yr <sup>-1</sup> )		
Male	0.29	Murie and Parkyn, 2008
Female	0.26	Murie and Parkyn, 2008
Combined	0.28	Murie and Parkyn, 2008
$t_0$ (yr)		
Male	-0.92	Murie and Parkyn, 2008
Female	-1.12	Murie and Parkyn, 2008
Combined	-1.01	Murie and Parkyn, 2008
Weight-length parameters		
$A$	$6.7 \times 10^{-8}$	Murie and Parkyn, 2008
$b$	2.765	Murie and Parkyn, 2008
Mortality		
$M$	0.25	NMFS, 2011
$D$	0.2	NMFS, 2014A
Proportion Legal		
LCL (mm)	762	GMFMC, 2013
RCL (mm)	914.4	GMFMC, 2013
$\sigma$	$LCL \cdot 0.1 / RCL \cdot 0.1$	Murie and Parkyn, 2008; Tetzlaff et al., 2011
Fecundity		
$af$	655746	Harris et al., 2007
$bf$	387.897	Harris et al., 2007
$N$	14	Harris et al., 2007
Fertility		
$f$	0.8	Jerez et al., 2006
$\theta$	20	Heppell et al., 2006
Recruitment		
$K$	10	Myers et al., 1999; Goodwin et al., 2006
$R_0$	$3.5 \times 10^5$	Diaz et al., 2005

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**TABLE 2**

Gear selectivities for Gulf of Mexico greater amberjack. CMHL = commercial handline, CMLL = commercial longline, HB = headboat, RCP = combined recreational charter and private fisheries. Values from Diaz et al. (2005).

Gear	Age									
	1	2	3	4	5	6	7	8	9	10+
CMHL	0.0	0.0	0.2	0.8	1.0	1.0	1.0	1.0	1.0	1.0
CMLL	0.0	0.0	0.0	0.5	0.9	1.0	1.0	1.0	1.0	1.0
HB	0.0	1.0	0.9	0.4	0.0	0.0	0.0	0.0	0.0	0.0
RCP	0.0	0.9	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0

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**TABLE 3**

Proportion of mature male and female Gulf of Mexico greater amberjack by age. Female values from Murie and Parkyn (2008), and male values from D. Murie and D. Parkyn (University of Florida, unpublished data).

Sex	Age									
	1	2	3	4	5	6	7	8	9	10+
Male	0.103	0.103	0.597	0.804	0.806	1.000	1.000	1.000	1.000	1.000
Female	0.029	0.067	0.225	0.844	0.857	0.900	1.000	1.000	1.000	1.000

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599 FIGURE 1: Proportion of numbers at length aggregated across time for Gulf of Mexico greater  
600 amberjack landings in the recreational and commercial fisheries: commercial handline gear,  
601 commercial longline gear, recreational charter and private fisheries, and the recreational  
602 headboat fishery. (Modified from data in NMFS 2014A).

603  
604 FIGURE 2. Reproductive potential outputs and SPR values for Gulf of Mexico greater  
605 amberjack produced by model scenarios where  $F$ -values were equivalent for males and females:  
606 A) female spawning stock biomass (FSSB); B) fertilized egg production (FE); and C) spawning  
607 potential ratio (SPR). Dashed lines represent SPR reference values of 0.2, 0.3, and 0.4. Note that  
608 all  $F$ -value combinations in the legend are plotted but may be stacked on output plots due to  
609 equivalent values.

610  
611 FIGURE 3. Number of female to male Gulf of Mexico greater amberjack produced by model  
612 scenarios where  $F$ -values were equivalent for males and females: A) male to female sex ratio of  
613 the landed catch; B) sex ratio of legal sized (age 3+) fish remaining in the modeled population;  
614 C) sex ratio of the landed catch of fish >1 m FL; D) sex ratio of fish >1 m FL remaining in the  
615 modeled population.

616  
617 FIGURE 4. Male to female sex ratio in the final year of the model with recruitment variability  
618 set to 1 across all possible combinations of male and female fishing mortality rates from 0 to 1  
619  $\text{yr}^{-1}$  (in  $0.05 \text{ yr}^{-1}$  increments): A) sex ratio of the landed catch, M indicates the median value of  $F$ -  
620 value combinations that produce a sex ratio of  $1:1.8 \pm 0.14$ , Q1 and Q3 indicates the median  
621 value of the upper and lower quartiles that produce a sex ratio of  $1:1.8 \pm 0.14$ ; and B) sex ratio of  
622 the landed catch of fish >1 m FL, M indicates the median value of  $F$ -value combinations that  
623 produce a sex ratio of  $1:2.4 \pm 0.74$ , Q1 and Q3 indicates the median value of the upper and lower  
624 quartiles that produce a sex ratio of  $1:2.4 \pm 0.74$ .

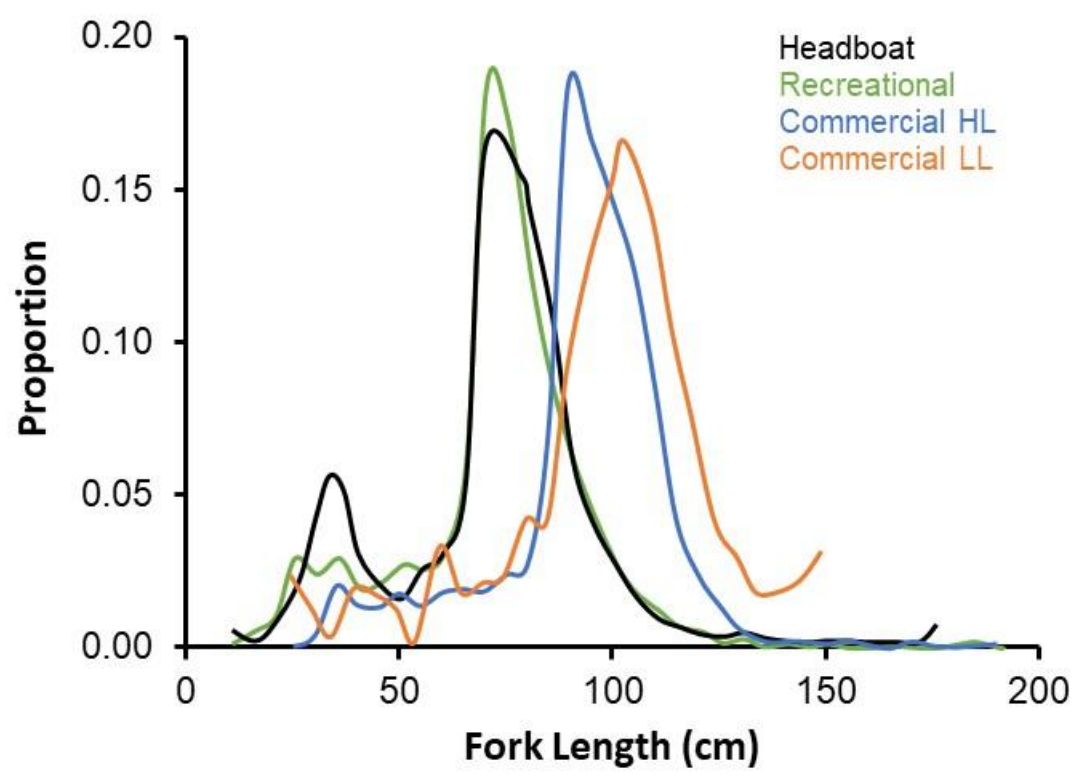
625  
626 FIGURE 5: Reproductive potential outputs and SPR values for Gulf of Mexico greater  
627 amberjack produced by model scenarios where  $F$ -values varied by sex. Scenarios include  
628 separate male and female  $F$ -values ( $F_{\text{male}}/F_{\text{female}}$ ) that produced a  $1:1.8 \pm 0.14$  male to female sex  
629 ratio in the landed catch (A-C), and separate male and female  $F$ -values ( $F_{\text{male}}/F_{\text{female}}$ ) that  
630 produced a  $1:2.4 \pm 0.74$  male to female sex ratio in the landed catch of fish >1m FL(D-F): A and  
631 D) female spawning stock biomass (FSSB); B and E) fertilized egg production (FE); and C and  
632 F) spawning potential ratio (SPR). Dashed lines represent SPR reference values of 0.2, 0.3, and  
633 0.4. Note that all  $F$ -value combinations in the legend are plotted but may be stacked on output  
634 plots due to equivalent values.

635  
636 FIGURE 6: Number of female to male Gulf of Mexico greater amberjack produced by model  
637 scenarios where  $F$ -values were varied by sex to produce a  $1:1.8 \pm 0.14$  male to female sex ratio  
638 in the landed catch; A) male to female sex ratio of the landed catch; B) sex ratio of legal sized  
639 (age 3+) fish remaining in the modeled population; C) sex ratio of the landed catch of fish >1 m  
640 FL; D) sex ratio of fish >1 m FL remaining in the modeled population.

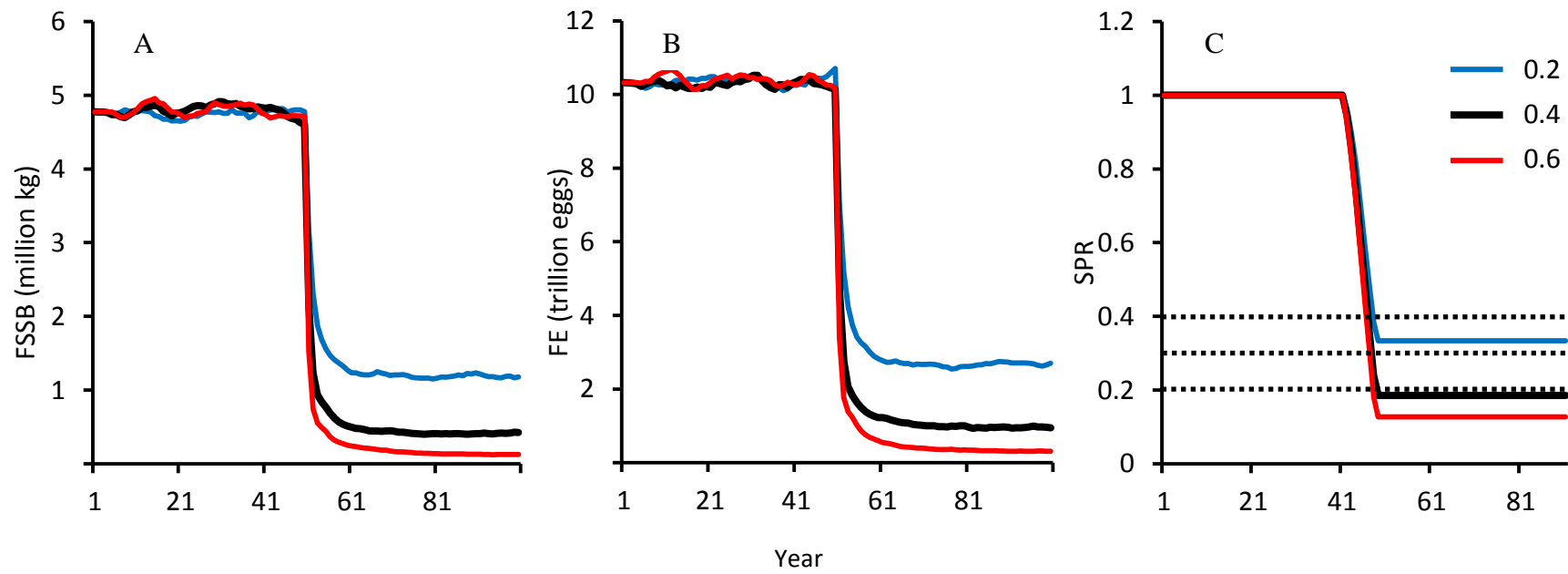
641  
642 FIGURE 7: Number of female to male Gulf of Mexico greater amberjack produced by model  
643 scenarios where  $F$ -values were varied by sex to produce a  $1:2.4 \pm 0.74$  male to female sex ratio  
644 in the landed catch; A) male to female sex ratio of the landed catch; B) sex ratio of legal sized

645 (age 3+) fish remaining in the modeled population; C) sex ratio of the landed catch of fish >1 m  
646 FL; D) sex ratio of fish >1 m FL remaining in the modeled population.

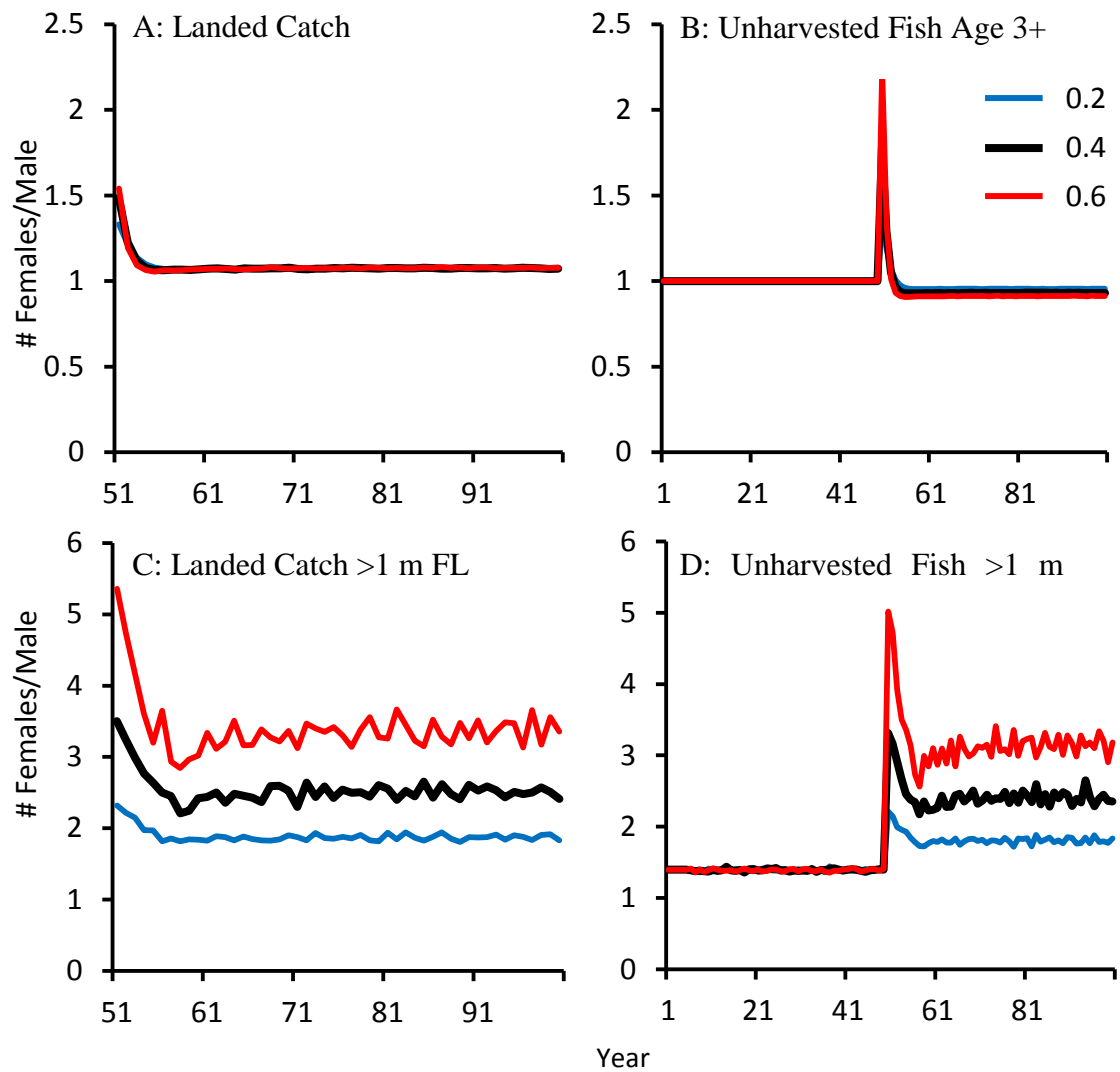
Figure

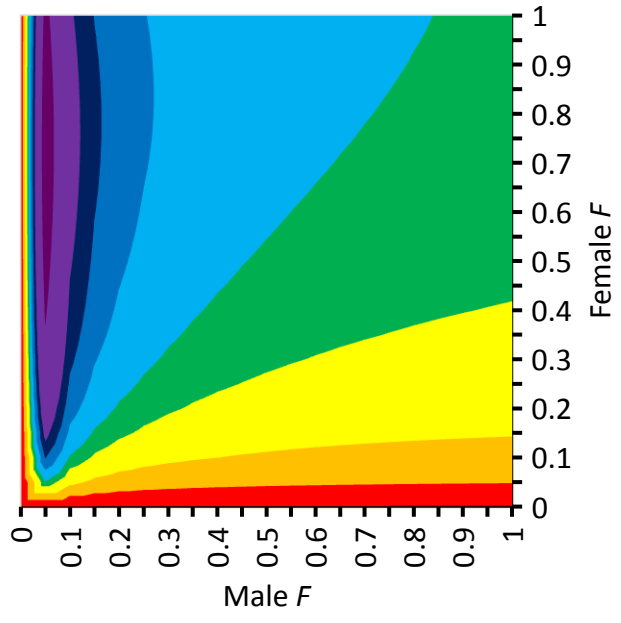


Figure

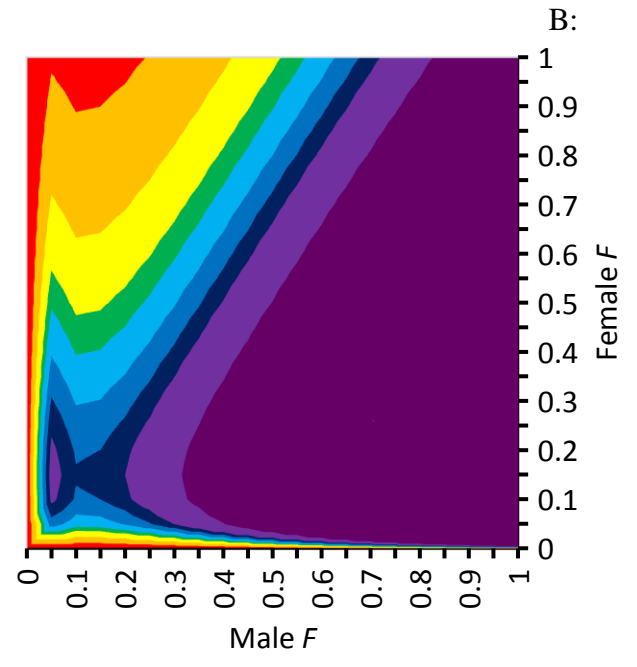


Figure



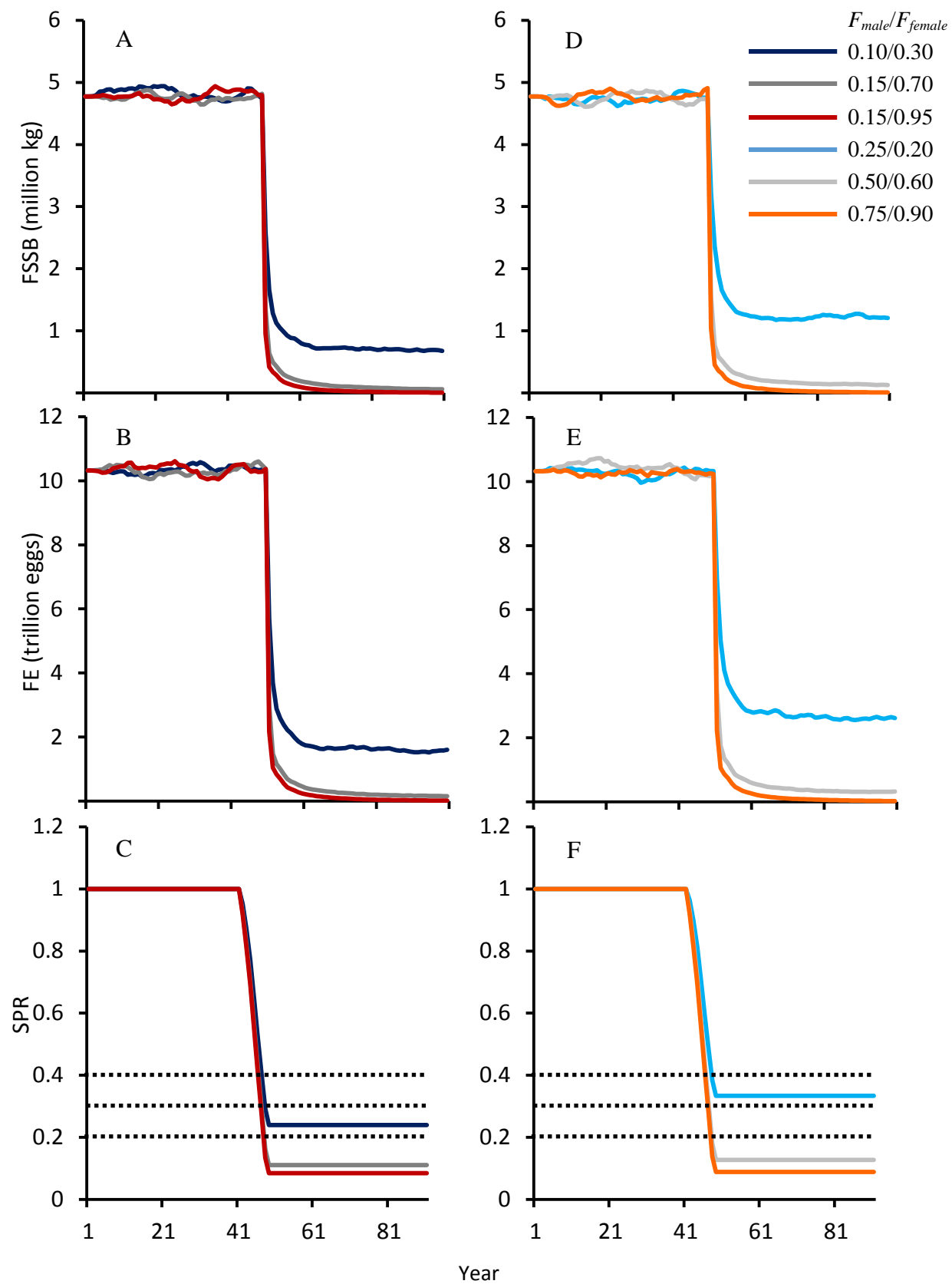


A:



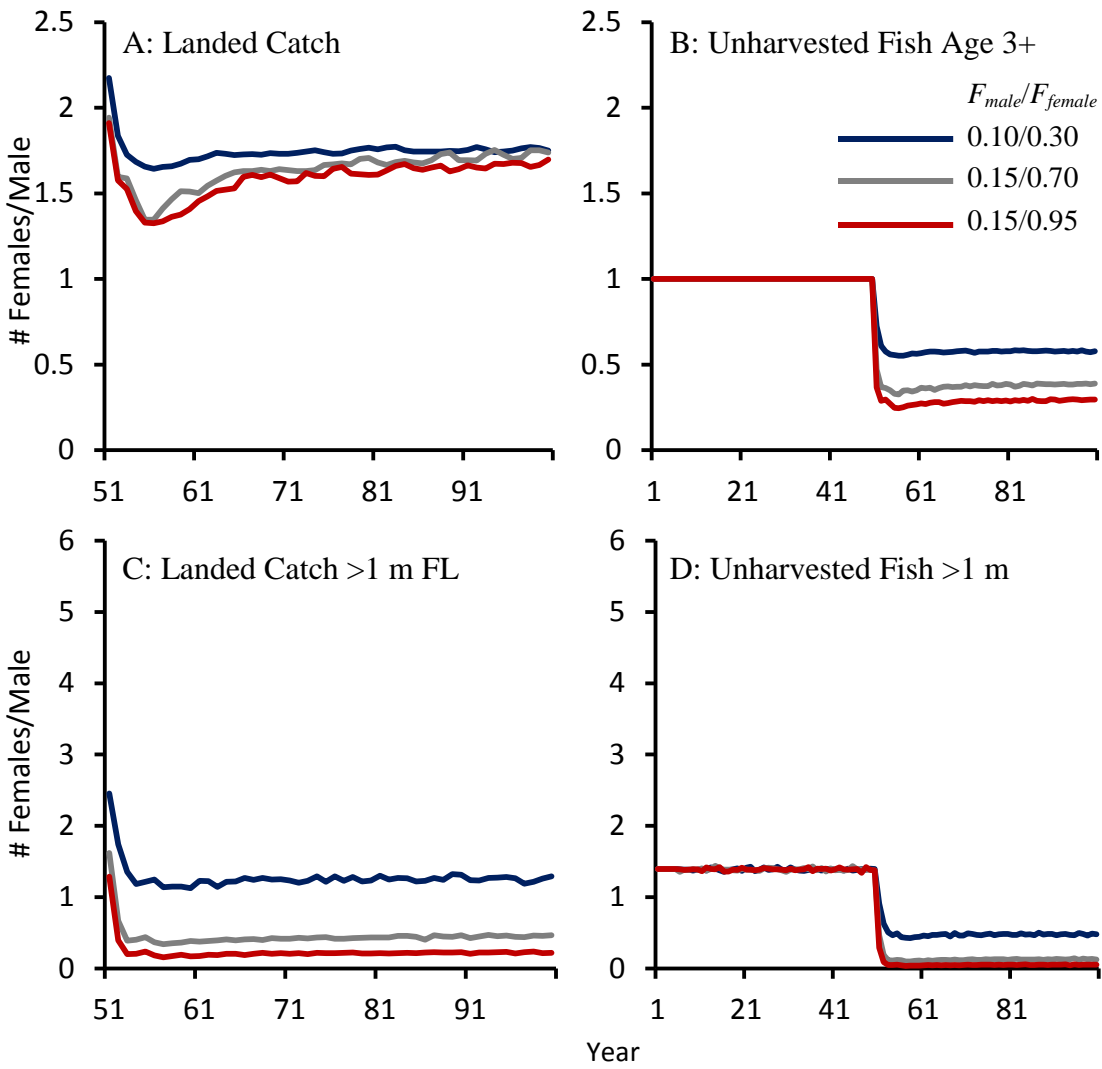
B:

Figure





Figure



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