Version of Record: https://www.sciencedirect.com/science/article/pii/S0022407315303198 Manuscript_277f6f047d698096ec32ae14da062b5b

1	Multi-year ground-based observations of aerosol-cloud
2	interactions in the Mid-Atlantic of the United States
3	
4	Siwei Li ^{1*} , Everette Joseph ^{1,2} , Qilong Min ² and Bangsheng Yin ²
5	1. HOWARD UNIVERSITY
6	2355 6 TH STREET NW WASHINGTON, DC 20059
7	*E-MAIL: SIWEI.LI@HOWARD.EDU
8	PHONE: 202-865-8678
9	2. ATMOSPHERIC SCIENCES RESEARCH CENTER, STATE UNIVERSITY OF NEW YORK
10	AT ALBANY, ALBANY, NY 12203, USA
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	

Multi-year ground-based observations of aerosol-cloud interactions in the Mid-Atlantic of the United States 24

25

26 Key words

27 Aerosols; aerosol-cloud interaction; cloud droplet effective radius; cloud optical 28 depth; fine particles

Abstract 29

The U.S. Mid-Atlantic region experiences a wide variability of aerosol loading and 30 frequent episodes of elevated anthropogenic aerosol loading associated with urban 31 pollution conditions during summer months. In this study, multi-year ground-based 32 33 observations (2006 to 2010) of aerosol and cloud properties from passive, active and in situ measurements at an atmospheric measurement field station in the 34 35 Baltimore-Washington corridor operated by Howard University were analyzed to 36 examine aerosol indirect effect on single-layer warm clouds including cloud optical 37 depth (COD), liquid water path (LWP), cloud droplet effective radius (Re) and cloud 38 droplet number concentration (N_d) in this region. A greater occurrence of polluted episodes and cloud cases with smaller Re (<7 µm) were found during the polluted 39 40 year summers (2006, 2007 and 2008) than the clean year summers (2009 and 2010). 41 The measurements of aerosol particulate matter with aerodynamic diameter \leq 2.5 μ m (PM2.5) were used to represent the aerosol loading under cloudy conditions. 42 43 Significant negative relationships between cloud droplet Re and PM2.5 were observed. Cloud cases were separated into clean and polluted groups based on the 44 45 value of PM2.5. The cloud droplet Re was found proportional to LWP under clean conditions but weakly dependent on LWP under polluted conditions. The N_d was 46 proportional to LWP under polluted condition but weakly dependent on LWP under 47 48 clean conditions. Moreover, the effects of increasing fine aerosol particles on

49 modifying cloud microphysical properties were found more significant under large50 LWP than small LWP in this region.

51 **1. Introduction**

52

Investigation of the radiative forcing from aerosol-cloud interactions (RFaci) is crucial 53 to estimates and interpretations of the Earth's changing energy budget (IPCC, 2013). 54 55 The changes of cloud active aerosols can impact cloud microphysical properties, 56 precipitation and the meteorological and radiance responses of clouds. A growing list of studies with space- and ground-based observations provides convincing evidences 57 of RFaci. By using satellite remote sensing, Han et al. (1998) showed that 58 59 cloud-droplet concentrations correlate to the cloud condensation nuclei (CCN) at different regions. Feingold et al. (2001) defined the ratio of logarithmic Re and 60 61 aerosol optical depth (AOD) to represent the RFaci and Feingold et al. (2003, 2006) 62 reported the observed RFaci by using ground-based observations of cloud and 63 aerosol properties provided by the Atmospheric Radiation Measurement (ARM) at 64 the Southern Great Plains (SGP) site in Oklahoma. Also with ARM SGP observations Kim et al. (2003, 2008, 2012) demonstrated the positive relationship between COD 65 and LWP, an inverse relationship between Re and aerosol scattering coefficient and 66 the role of adiabaticity in RFaci. Nzeffe et al. (2008) showed that Re reduced under 67 polluted airmasses for given LWP based on the ground-based observations from 68 69 Howard University Beltsville Campus (HUBC) facility.

However untangling aerosol effects on clouds and precipitation is still challenging.
The extent to which aerosols impact clouds can be different or even opposite under
different cloud regimes. The RFaci can be buffered by compensation between
different cloud responses to aerosols (Rosenfeld et al., 2014, Stevens and Feingold,
2009). That explains why the statistical effects of aerosol on clouds and precipitation
are not agreed upon. But the RFaci is still evident in specific circumstance or regimes
(Stevens and Feingold, 2009, Huang et al., 2014 and Pan et al., 2015). The complexity

77 of the climate system and the inadequacy of measurements and methodologies have made it very challenging to obtain a more detailed understanding of aerosol-cloud 78 79 interactions and their effects on climate (McComiskey and Feingold, 2012; Stevens and Feingold, 2009). Part of the challenge is reducing the uncertainty in estimates of 80 81 RFaci, which as pointed out by McComiskey and Feingold (2012) requires small scale 82 studies. Though field experiments that produce "process scale" observations of 83 aerosol-cloud interaction continue to occur, historically they have been insufficient 84 in number, regional diversity and duration. Current satellite-based sensors can provide global coverage and long-term measurements of aerosols and clouds. 85 86 However detailed understanding of the RFaci is limited by the large scale, coarse time resolution and inherent limitations in the retrieval algorithms and sensors. 87 Ground-based sensors can provide long-term measurements which are more 88 89 accurate, stable, smaller scale and higher temporal resolution at specific region of 90 interest compared to satellite sensors. A number of ground-based observation facilities (e.g. ARM sites) have been developed to study aerosol, clouds, precipitation 91 92 and their influences on global climate change. Independent from ARM the HUBC station (39.054 ° N and 76.877 ° W) was established for atmospheric 93 measurements in the U.S. Mid-Atlantic region. This region experiences a wide 94 95 variability of aerosol loading and frequent episodes of elevated anthropogenic 96 aerosol loading associated with urban pollution conditions during summer months as seen in analyses of derived AOD from Aerosol Robotic Network (AERONET) and a 97 98 collocated air quality monitoring operated by the Maryland Department of the Environment (MDE) (Holben et al., 1998). Thus, the observations of aerosol and 99 100 cloud macro- and micro-physical properties in this region are valuable for 101 investigating aerosol-cloud interaction and its impacts on weather and climate. 102 Nzeffe et al. (2008) previously observed aerosol indirect effect based on six months 103 of observations at HUBC site in 2005. This study extends their work to analysis five 104 years (from 2006 to 2010) of ground-based observations of aerosol and cloud

properties for systematically investigating aerosol impacts on variability of cloud
 properties including COD, LWP, Re and Nd during summer in this region.

107

108 2. Measurements

109

The HUBC facility in Beltsville, MD is situated in a rural-suburban transition region between Washington, DC and Baltimore, MD urban centers. It has a wide range of sensors deployed to observe atmospheric radiation, surface fluxes, aerosol, cloud properties and other climate and weather processes (Nzeffe et al., 2008).

Among the sensor observations, LWP is determined from a dual frequency (23.8 and 114 31.4 GHz) Microwave radiometer (MWR) (Westwater et al., 2001). The error of the 115 MWR LWP retrieval consists of instrument error, errors associated with the 116 117 climatological profiles used for the retrieval (given day to day variability of atmospheric temperatures from this climatology), and errors from the absorption 118 model used to develop parameters for the retrieval algorithm (Turner et al., 2007). 119 120 The errors associated with instrument and climatological profiles used are 121 considered random errors and thus are minimized with increasing sample size of 122 data. The error associated with absorption model is considered a systematic bias and 123 represents the preponderance of the total error. Instrument uncertainty and retrieval errors associated with the climatological profiles and the microwave 124 125 absorption model results in a total uncertainty of retrieved LWP around 20 g/m² 126 (Turner et al., 2007) but the consequence of the systematic error is minimized while 127 relative differences are investigated (e.g cloud droplet Re vs. LWP).

AOD is measured with a MultiFilter Rotating Shadowband Radiometer (MFRSR) which is a sensor with a shading band that rotates, measuring global downwelling irradiance, diffuse irradiance and direct beam irradiance calculated from global and diffuse irradiance. More detail on the instrument design can be found in Harrison et al., (1994). The MFRSR is calibrated using data acquired on clear sky days via the Langley regression which is based on linear regressions of the log of direct beam

irradiance versus airmass and the calibration constant I_0 is used to compute transmittances during cloudy conditions (Harrison et al., 1994; Harrison and Michalsky, 1994). The AOD is retrieved based on the algorithm developed by Harrison and Michalsky (1994). For quality control, the retrieved AOD is compared with AERONET observation at NASA Goddard Space Flight Center (GSFC), around 5 miles southeast of the HUBC facility. High correlation coefficient (0.94) is found between them during the study period (from 2006 January to 2010 December).

141 However retrieval of AOD is not available from any passive remote sensor during cloud periods, so hourly in situ measurements of particulate matter with 142 143 aerodynamic diameter \leq 2.5 µm (PM2.5) are obtained from samplers operated by 144 the MDE at the HUBC site to estimate aerosol loading under cloudy conditions. Although surface PM2.5 is related more to small particles within boundary layer 145 146 while AOD presents the total column aerosol loading, surface PM2.5 had a good 147 correlation with AOD in the District of Columbia-Maryland area based on the study of PM2.5-AOD relationship in the United States (Liu et al., 2004). We also found that 148 149 the measured PM2.5 has a significant positive relationship with MFRSR retrieved AOD with correlation coefficient of 0.63 during clear-sky conditions in summer as 150 151 seen in Fig. 1.

152 In situ aerosol size distributions are measured by a Fast Mobility Particle Sizer (FMPS) which was developed based on electrical aerosol spectrometer technology from 153 Tartu University (Tammet et al. 2002; TSI 2006). The FMPS measures particle size 154 155 distributions in the range from the 6 nm to 560 nm with 16 channels per decade 156 every second. However the FMPS measurements at HUBC are only available during 157 the NASA DISCOVER-AQ (a field campaign for deriving information on surface 158 conditions from column and vertically resolved observations relevant to air quality) field campaign in the Baltimore-Washington, D.C., area, in July 2011. So two days of 159 160 FMPS observations under clear sky with different aerosol loading (polluted day, July 20th, 2011 and clean day, July 14th, 2011) are used to illustrate the differences of 161 detail aerosol size distributions between clean and polluted days in this study. 162

A family of retrieval algorithms has been developed for COD and cloud droplet Re 163 retrievals based on MFRSR and MWR (Min and Harrison, 1996; Min et al, 2001; Min 164 165 et al, 2004, Wang and Min, 2008, Wang and Huang, 2009). Cloud droplet optics is parameterized in terms of average Re and total LWP based on MIE theory (Slingo, 166 167 1989; Hu and Stamnes, 1993). COD is retrieved by a Nonlinear Least Squares Method 168 through iterative procedure (Min and Harrison, 1996; Min et al, 2004). Cloud droplet Re is simultaneously retrieved with total LWP observed from MWR while Re is 169 170 assumed equal to 8 µm when measurement of total LWP is not available. The retrieved Re is an equivalent vertically uniform parameter ("mean Re"). Compared 171 172 with eight aircraft in situ vertical profiles (obtained from measurements of Forward 173 Spectra Scattering Probe), the retrieved Re for single-layer warm water clouds agree well with in situ measurements, within 5.5% (Min et al., 2003). It was shown that a 174 13% (LWP, 20 g/m^2) uncertainty in observed liquid water path can result in 12.7% 175 176 difference in inferred cloud effective radius, on average but only 1.5% difference in 177 retrieved cloud optical depth. The uncertainty of the LWP measured by MWR is 178 mainly systematic biases (Turner et al., 2007). But the consequence of LWP bias is 179 minimized when considering that the relative difference of Re vs. LWP for clear and polluted conditions is our interest in this study for investigating the RFaci. With the 180 assumption that the clouds in question are adiabatic and N_d is vertically constant, 181 the N_d is obtained from a parameterization in terms of COD and Re (Boers et al., 182 2006; Bennartz, 2007; Min et al., 2012). Through comparing N_d calculated from 183 184 Moderate Resolution Imaging Spectroradiometer (MODIS) measured COD and Re to in-situ measured N_d Min et al. (2012) showed that there was a high correlation 185 186 between retrieved N_d and in situ measurements with a correlation coefficient of about 0.91. 187

Up to three layers cloud-base height were provided by a Vaisala CT25k ceilometer. The CT25k ceilometer is equipped with a pulsed near-infrared diode laser (905 nm) which is located at the site within the vicinity of the MFRSR. The measurement range of this CT25k ceilometer is from 0 to 7500 m and the vertical resolution is 30 m.

Retrieval of aerosol extinction coefficients from Mie scattering lidar is improved recently (Li et al., 2015). However the accuracy of aerosol extinction coefficient retrieval from low power lidar CT25K is still challenging and needs more investigation and validation. So in this paper we use surface measurements of PM2.5 instead of AOD.

197 This investigation is limited to summer months (June, July and August) because the 198 U.S. Mid-Atlantic region experiences the largest variation of aerosol loading due to 199 episodic summertime pollution events. Strong convection that occurs during summer results in more boundary layer clouds, which are tightly coupled with 200 201 surface aerosols. The inter-seasonal variation of aerosol loading is also large but so is 202 the variation of dynamical and thermodynamic conditions that dominate cloud micro- and macro- physical properties. The latter effect on cloud properties could 203 204 complicate the analysis of aerosol cloud interaction on this scale. For this reason the 205 study is confined to the summer months. Since the domain of the field of view of an upward looking MFRSR is about a couple of kilometers under lower level cloud 206 207 condition (Min et al., 2001), following Min et al. (2003) and Nzeffe et al. (2008), 208 cloud properties retrieved from MFRSR are 5 minutes averaged and only those cases of single-layer clouds continuously lasting longer than 30 minutes were used for this 209 210 study. To increase the likelihood that the aerosols at the cloud base can be represented by the surface measurements, we confine the observations to low 211 212 clouds with cloud base height is lower than 3 km. For optical thin clouds the radiative 213 flux is sensitive to the small changes of LWP (Min and Duan, 2005) and consequently the Re retrieved from combination of MWR LWP and MFRSR COD has relatively 214 215 larger uncertainties, mainly due to the uncertainty of MWR measurements (Min et al., 2003). So cases with LWP smaller than 40 g/m^2 are removed in the data analysis 216 for this study. Clear sky and broken clouds are also removed by using MFRSR 217 measured direct beam (derived total optical depth smaller than 5), MFRSR estimated 218 219 cloud-fraction (smaller than 90%) (Min et al., 2008) and ceilometer undetected 220 clouds. Possible precipitation are avoided by screening clouds with LWP>180 g/m²,

Re>15 µm andceilometer detected rain/cloud near surface (lower than 30 m). 221 Ceilometer derived cloud layers and cloud base height are used to screen out high 222 223 clouds (higher than 3km) and possible multi-layer clouds. In the summer of this region air temperature is usually around $0 \ ^{\circ}C$ at the height of 5 km and above 224 $-10 \ ^{\circ}C$ at the height of 6 km at daytime from radiosonde measurements. Cloud top 225 226 heights are seldom higher than 6 km when cloud base height is below 3 km except 227 for those deep convective clouds which are already removed in this study through 228 the threshold of LWP. So the ice particles contamination can be neglected.

229

230 **3. Results and discussion**

231 3.1 Comparison of aerosol, cloud properties in polluted and clean years

232 Based on the daily average AOD, the summer average AOD in years 2006-2010 were 233 0.50, 0.60, 0.40, 0.35, and 0.36, respectively, (Fig. 2a). The probability distribution of daily AOD shows that more frequent episodes of high aerosol loading (AOD>0.50) 234 occur during the summers of year 2006, 2007 and 2008 as compared to those in year 235 2009 and 2010 (figure 2b). The measurements of PM2.5 from MDE at HUBC site 236 237 show that the average PM2.5 values in the summer of year 2006, 2007 and 2008 are 20, 20.5 and 18 μ g/m³ respectively which are larger than that in the summers of year 238 2009 and 2010 (14 and 16µg/m³ respectively) (figure 2c). Figure 2d shows that a 239 greater occurrence of polluted cases (PM2.5 larger than 30 μ g/m³) occur in year 240 241 2006, 2007 and 2008 compared to that in year 2009 and 2010. Thereafter the year 242 2006, 2007 and 2008 are denoted as polluted years while the year 2009 and 2010 are denoted as clean years for convenience. 243

The retrievals of AOD are commonly based on measurements of spectral extinction of solar radiation due to aerosol scattering and absorption in the atmospheric column. Passive instrument, such as the MFRSR, cannot readily discern AOD from COD under cloudy conditions. So in this study the synchronous PM2.5 measurements are used to represent the aerosol situation under clouds given that AOD measurements are not available under cloudy condition. There are a total of 9

250 cloudy or partly cloudy days for which low single-layer clouds lasting longer than 30 minutes with PM2.5 larger than 20 μ g/m³ are observed and 11 similar days with 251 PM2.5 smaller than 20 μ g/m³ in polluted year summers. The 20 μ g/m³ is chosen 252 based on mean value and number of cases in polluted years. For similar 23 days in 253 clean year summers, PM2.5 are smaller than 20 μ g/m³ for all cloud cases. The 254 average PM2.5 under cloudy conditions in the polluted years is about 24.8 μ g/m³ 255 while it is only 11.1 μ g/m³ in the clean years. The probability distribution shows that 256 257 there are more than 60% cloud cases with surface PM2.5 value larger than 20 μ g/m³ in the polluted years while there is no cloud case with PM2.5 value larger than 20 258 $\mu g/m^3$ found in the clean years (figure 3a). The average LWP for clouds in the 259 polluted years (124.51 g/m²) is around 10% larger than that in clean years (114.53 260 g/m^2) and that is due to the more frequent cases of LWP larger than 150 g/m^2 (figure 261 3b). The average COD in the polluted years (25.37) is about 25% larger than that in 262 263 the clean years (20.39). There are about 25% cloud cases with COD larger than 30 in the polluted years while there are only 7% cloud cases with COD larger than 30 in 264 265 the clean years (figure 3b). In the polluted years the distribution of Re shifts to the 266 smaller value and the average Re (7.97 μ m) is about 13% smaller compared to that in 267 the clean years (9.12 μ m).

268 To assess the difference of microphysical properties of clouds with same LWP during the polluted and clean years, the COD and Re values are sorted into 7 bins of LWP 269 with 20 g/m² bin widths. The mean COD and Re are computed and plotted with the 270 271 arithmetic mean of each bin. Error bars represent the 95% confidence levels of the 272 mean value. Over the entire LWP range, the mean COD observed during the polluted 273 years is larger than that during the clean years by as much as 5 (Fig. 4a) and the 274 mean Re is less during the polluted years than that during the clean years by as much as 1.2 μ m(Fig. 4b). The mean COD and Re increase with increasing LWP during both 275 the polluted and clean years and the differences of the mean COD, Re during the 276 polluted and clean years (COD polluted – COD clean, Re clean - Re polluted) are also 277 increased with increasing of LWP. 278

280 3.2 Aerosol indirect effects

To investigate aerosol indirect effects on cloud microphysical properties, the 281 measurements of Re are plotted along with the value of PM2.5 in figure 5a. Figure 5a 282 283 shows a negative relationship between Re and PM2.5 with the correlation coefficient of -0.382 for the entire 5 year summer observations. Individually, the relationships of 284 285 Re and PM2.5 in polluted years and clean years are all negative with the correlation coefficient of -0.285 and -0.197 respectively (figure 5b, c). The correlations are all 286 statistical significant through T-test analysis (Wilks, 1995). The entire 5 year summer 287 observations are segregated into clean group (PM2.5 < 20 μ g/m³, number of data: 288 289 631) and polluted group (PM2.5 >= 20 μ g/m³, number of data: 367). The observed pairs of Re and LWP are shown in Figure 6a for clean and polluted groups. It is shown 290 that Re increases with increasing LWP under clean conditions while Re deceases with 291 increasing LWP under polluted condition. When LWP is large (>120 g/m^2) the Re 292 293 is much larger under clean conditions than under polluted conditions for most cases. When LWP is small (<100 g/m^2) the Re is similar or even smaller under clean 294 conditions than under polluted conditions. Cloud droplets compete with each 295 296 other as they grow through water diffusion. There is less competition among 297 droplets at lower cloud condensation nuclei (CCN) concentration and thus a wider spectrum of droplets is activated. This is in contrast to higher CCN concentration 298 299 where the increased competition limits the spectral range of droplet activation. Figure 6a shows that there is a significant positive relationship between N_d and 300 LWP with correlated coefficient of 0.612 under polluted conditions and a slightly 301 negative relationship with correlated coefficient of -0.15 under clean conditions. 302 303 Under clean conditions aerosol particle number concentration (N_a) is less, most aerosol particles are activated into cloud droplets with sufficient water supply which 304 means N_d is limited by N_a , so N_d is nearly independent of LWP and the droplet 305 growth process dominates in the development of cloud. On the other hand, under 306

polluted conditions with abundant N_a , there are more potential aerosol particles 307 that can be activated into cloud droplets with sufficient water supply and strong 308 updraft velocity. So the N_d is observed increasing with increasing LWP under 309 polluted conditions. Larger number of activated droplets increase cloud droplet 310 concentration but then cause relatively small Re due to the increased competition 311 for available water vapor. It is also noticed that the N_d under polluted conditions is 312 313 larger than under clean condition for most cases when LWP is large (larger than 120 g/m^2) but is similar or even smaller than that under clean conditions when LWP is 314 small (smaller than 100 g/m^2). Those are consistent with the aerosol-limited 315 regime and updraft-limited regime from the study by Reutter et al., (2009) which 316 simulated cloud droplet formation under different regimes based fine aerosol 317 318 particles. In the aerosol-limited regime that is characterized by a relative high updraft velocity and water vapor supersaturation which implies large LWP, N_{d} is 319 directly proportional to N_a . The high updraft velocity and water vapor 320 supersaturation can activate nearly all aerosol particles. In the updraft-limited 321 regime that is characterized by relative low updraft velocity and water vapor 322 supersaturation which implies small LWP, N_d is weakly dependent on N_a . Under 323 extreme conditions with very low updraft velocity but very large N_a , 324 supersaturation can be quenched by the cost of water taken by aerosol particles. So 325 the N_{d} could be smaller under polluted conditions than that under clean conditions 326 327 when LWP is small. Through cloud parcel model simulation, Reutter et al. (2009) indicated that the variability of N_a in the Aitken and accumulation mode mostly 328 329 dominates the variability of initial N_d except at low supersaturations in updraft limit regimes. 330

To know aerosol size under polluted condition in this region, the in situ observations of aerosol size distributions from a FMPS at HUBC during DISCOVER AQ field

campaign under clean and polluted conditions in this region are compared (Fig. 7). 333 On a polluted day (July 20th, 2011), the total number concentration of aerosol is 334 much higher than that on a clean day (July 14th, 2011) and it is almost entirely due to 335 the higher number concentration of aerosol particles in Aitken and accumulation 336 337 mode. Although the field campaign only last one month at HUBC the detailed aerosol 338 size distributions observation gave us a sense that the increase of aerosol particles in 339 this area may be mainly due to the increase of fine particles. Aerosol optical 340 properties are related to aerosol size distribution. As an aerosol size indicator, aerosol angstrom coefficient generally decreases with increasing aerosol size. The 341 342 long-term observations show that the observed hourly angstrom coefficients which are calculated from MFRSR measured AOD at 415nm and 860nm have significant 343 positive relationship (P<0.0001) with hourly PM2.5 (Fig. 8). This correlation implies 344 345 that an increase of PM2.5 value is associated with an increase of total column fine particles over this region given that angstrom coefficient is calculated from column 346 AOD at different wavelength. 347

In the updraft-limited regime with low supersaturations, supersaturation can be quenched by the cost of water taken by large amount of fine aerosol particles. So the N_d under polluted conditions for which fine aerosol particles dominate appears to be similar or even smaller than the N_d under clean conditions when LWP is small (smaller than 100 g/m² in this study). Within the aerosol-limited regime with large updraft velocity and supersaturation, N_d is larger under polluted condition because more aerosol particles can be activated to cloud droplets.

355

4. Conclusions

Long-term ground-based observations of aerosol and cloud optical properties from HUBC facility are employed to show aerosol impacts on cloud properties in the Mid-Atlantic in the United States. The retrieved AOD at the HUBC site agrees well

with that from a nearby AERONET site and closely correlates with PM2.5 measured 360 at the site. The distributions of daily mean AODs and PM2.5 show that there were a 361 362 greater occurrence of polluted episodes in year 2006, 2007 and 2008 as compared to year 2009 and 2010. The statistical analysis of collocated measurements of PM2.5 363 and cloud properties shows that in the polluted years there are more cloud cases 364 with larger PM2.5 values, smaller Re and larger COD. Within the same LWP bins, the 365 366 mean COD is evidently larger and the mean Re is smaller in the polluted years. The 367 Re is found inversely related to PM2.5 with correlated coefficient of -0.285 and -0.197 in polluted years and clean years respectively. For all cases, the correlation 368 369 coefficient between Re and PM2.5 is -0.382. The entire 5 year summer observations 370 of cloud properties are segregated into polluted and clean groups based on PM2.5 value (>= 20 μ g/m³, <20 μ g/m³ respectively). The variability of Re and N, with 371 increasing LWP are found different under polluted and clean conditions. Under 372 373 polluted conditions, Re slightly deceases with increasing LWP while N_a significantly 374 increases with increasing LWP. Under clean conditions, Re increases with increasing LWP while N_d is nearly independent of LWP. The N_d is larger under polluted 375 conditions than that under clean conditions when LWP is large (>120 g/m²) but 376 377 similar or even smaller than that under clean conditions when LWP is small (<100 g/m^2). Simulations done by Reutter et al., (2009) suggest this phenomenon is 378 379 consistent with that fine aerosol particles impacts on N_d in aerosol-limit regime with 380 high supersaturation and in updraft-limit regime with low supersaturation (Reutter et al., 2009). The measurements of aerosol size distribution and angstrom coefficient 381 382 show that the increase of aerosol particles in the summer of Baltimore-Washington, DC region is mainly due to the increase of fine particles. Under clean conditions, the 383 N_{d} is limited by N_{a} , so increasing LWP is mainly attributed to droplet growth. Under 384 polluted conditions, more new cloud droplets can be activated with high 385 supersaturation and the increase of N_d dominates over cloud droplets growth. But 386

387 with lower supersaturation, abundant aerosol particles would take up water and supersaturation could be reduced to a level which prevents activation of cloud 388 droplets from fine (Aitken and accumulation) mode aerosol particles. So the N_{d} and 389 Re are strongly impacted by N_a in fine mode under high supersaturation but 390 weakly impacted by N_a in fine mode under lower supersaturation. Analysis based on 391 the long-term observations including diverse dynamical and aerosol regimes at HUBC 392 393 site can provide climate assessment of RFaci in the mid-Atlantic corridor, where 394 frequent severe pollution episodes occur.

395

Acknowledgements: This work is supported by the National Oceanic and
 Atmospheric Administration, Educational Partnership Program, U.S. Department
 of Commerce, under Agreement No. NA11SEC4810003.

399

400

401 References

Ackerman AS, Kirkpatrick MP, Stevens DE, and Toon OB. 2004. The impact of
humidity above stratiform clouds on indirect aerosol climate forcing, *Nature*, 432,
1014–1017, doi:10.1038/nature03174.

405

Albrecht BA. 1989. Aerosol, cloud microphysics, and fractional cloudiness, *Science*,
245, 1227–1230, doi:10.1126/science.245.4923.1227.

408

Brioude J., et al. 2009. Effect of biomass burning on marine stratocumulus clouds off
the California coast, *Atmos. Chem. Phys.*, **9**, 8841 – 8856, doi:
10.5194/acp-9-8841-2009.

412

Bennartz R. 2007. Global assessment of marine boundary later cloud droplet number
concentration from satellite, *J. Geophys. Res.*, **112**, D02201, doi:
10.1029/2006JD007547.

416

Boers R, Acarreta JA, Gras JL. 2006. Satellite monitoring of the first indirect aerosol
effect: Retrieval of the droplet con-centration of water clouds, *J. Geophys. Res.*, 111,
D22208, doi:10.1029/2005JD006838.

420

421 Coakley JA, Walsh CD. 2002. Limits to the aerosol indirect radiative effect derived 422 from observations of ship tracks, *J. Atmos. Sci.*, **59**, 668 –680, doi: 423 http://dx.doi.org/10.1175/1520-0469(2002)059<0668:LTTAIR>2.0.CO;2

424

Feingold G, Remer LA, Ramaprasad J, Kaufman YJ. 2001. Analysis of smoke impact on
clouds in Brazilian biomass burning regions: An extension of Twomey's approach, J. *Geophys. Res.*, VOL. 106, NO. D19, PAGES 22,907–22,922

428

Feingold G, Eberhard W, Veron D, Previdi M. 2003. First measurements of the
Twomey indirect effect using ground-based remote sensors, *Geophys. Res. Lett.*, **30**(6), 1287, doi:10.1029/2002GL016633.

432

Feingold G, Furrer R, Pilewskie P, Remer LA, Min Q, Jonsson H. 2006. Aerosol indirect
effect studies at southern Great Plains during the May 2003 intensive operations
period, *J. Geophys. Res.*, **111**, D05S14, doi:10.1029/2004JD005648.

436

Han Q, Rossow WB, Lacis AA. 1994. Near global survey of effective droplet radii in
liquid water clouds using ISCCP data. *J. Climate*, **7**, 465–497.

439

440 Han Q, Rossow WB, Chou J, Welch RM. 1998. Global variation of cloud effective

droplet concentration of low-level clouds. *Geophys. Res. Lett.*, **25**, 1419–1422.

Harrison L, and Michalsky J. 1994. Objective algorithms for the retrieval of optical
depths from ground-based measurements, *Appl. Opt.*, **33**, 5126 – 5132.

445

Harrison L, Michalsky J, Berndt J. 1994. Automated multifilter rotating shadow-band
radiometer: An instrument for optical depth and radiation measurements, *Appl. Opt.*,
33, 5118 – 5125.

449

Holben BN, Eck TF, Slutsker I, Tanré D, Buis JP, Setzer A, Vermote E, Reagan JA,
Kaufman YJ, Nakajima T, Lavenu F, Jankowiak I, Smirnov A. 1998. AERONET-A
federated instrument network and data archive for aerosol characterization, *Remote Sens. Environ.*, 66, 1 – 16.

454

Hu, Y. X., and K. Stamnes, An accurate parameterization of the radiative properties of
water clouds suitable for use in climate models, *J. Clim.*, 6, 728–742, 1993.

457

Huang J., T. Wang, W. Wang, Z. Li, and H. Yan. 2014. Climate effects of dust aerosols
over East Asian arid and semiarid regions, J. *Geophys. Res. Atmos.*, 119, 11,398–
11,416, doi:10.1002/2014JD021796.

461

Kim BG, Schwartz SE, Miller MA, Min Q. 2003. Effective radius of cloud droplets by
ground-based remote sensing: Relationship to aerosol, *J. Geophys. Res.*, **108**(D23),
4740, doi:10.1029/2003JD003721.

465

Kim BG, Miller MA, Schwartz SE, Liu Y, Min Q. 2008. The role of adiabaticity in the
aerosol first indirect effect, *J. Geophys. Res.*, **113**, D05210,
doi:10.1029/2007JD008961.

469

Kim Y, Kim B, Miller M, Min Q, Song C. 2012. Enhanced aerosol-cloud relationships
in more stable and adiabatic clouds, *Asia-Pacific Journal of Atmospheric Sciences*, 48,
Issue 3, pp 283-293, doi: 10.1007/s13143-012-0028-0

473

Lebsock MD, Stephens GL, Kummerow C. 2008. Multisensor satellite observations of
aerosol effects on warm clouds, *J. Geophys. Res.*, **113**, D15205,
doi:10.1029/2008JD009876.

477

Lee SS, Penner JE, Saleeby SM. 2009. Aerosol effects on liquid-water path of thin
stratocumulus clouds, *J. Geophys. Res.*, **114**, D07204, doi:10.1029/2008JD010513.

480

Li C, Pan Z, Mao F, Gong W, Chen S, Min Q. 2015. De-noising and retrieving algorithm of Mie lidar data based on the particle filter and the Fernald method. *Opt Express*. 2015 Oct 5;23(20):26509-20, doi: 10.1364/OE.23.026509.

484

Liu, Y., Park, R. J., Jacob, D. J., Li, Q. B.; Kilaru, V., Sarnat, J. A. 2005. Mapping annual mean ground-level PM2.5 concentrations using Multiangle Imaging Spectroradiometer aerosol optical thickness over the contiguous United States. J. Geophys. Res, **109**, D22206, doi: 10.1029/2004JD005025.

489

McComiskey A., Feingold G. 2012. The scale problem in quantifying aerosol indirect
effects, *Atmos. Chem. Phys.*, **12**, 1031-1049, doi:10.5194/acp-12-1031-2012.

492

Min Q, Harrison L. 1996. Cloud properties derived from surface MFRSR
measurements and comparison with GOES results at the ARM SGP site, *Geophys. Res. Lett.*, 23, 1641 – 1644.

496

Min, Q., L. C. Harison, and E. Clothiaux (2001), Joint statistics of photon path length
and cloud optical depth: Case studies, J. Geophys. Res., 106, 7375–7386.

500 Min Q, Duan M, Marchand R. 2003. Validation of surface retrieved cloud optical 501 properties with in situ measurementsat the Atmospheric Radiation Measurement 502 Program (ARM) South Great Plains site, *J. Geophys. Res.*, **108**(D17), 503 4547,doi:10.1029/2003JD003385, 2003.

504

505 Min Q, Duan M. 2005. Simultaneously retrieving cloud optical depth and effective 506 radius for optically thin clouds, *J. Geophys. Res.*, **110**, D21201, 507 doi:10.1029/2005JD006136.

508

509 Min, Q., T. Wang, C. N. Long, and M. Duan. 2008, Estimating fractional sky cover 510 from spectral measurements, *J. Geophys. Res.*, 113, D20208, doi:10.1029/ 511 2008JD010278.

512

Min Q, Joseph E, Lin Y, Min L, Yin B, Daum PH, Kleinman LI, Wang J, Lee YN. 2012.
Comparison of MODIS cloud microphysical properties with in-situ measurements
over the Southeast Pacific, *Atmos. Chem. Phys.*, **12**, 11261–11273,
www.atmos-chem-phys.net /12/11261/2012/doi:10.5194/acp-12-11261-2012

517

Nzeffe F., Joseph E, Min Q. 2008. Surface-based observation of aerosol indirect
effect in the Mid-Atlantic region, *Geophys. Res. Lett.*, **35**, L22814, doi:
10.1029/2008GL036064.

521

Pan Z., W. Gong, F. Mao, J. Li, W. Wang, C. Li, and Q. Min. 2015, Macrophysical and
optical properties of clouds over East Asia measured by CALIPSO, *J. Geophys. Res.*Atmos., 120, 11,653–11,668, doi:10.1002/2015JD023735.

525

Rosenfeld D, Sherwood S, Wood R, Donner L. 2014. Climate effects of aerosol-cloud
interactions. *Science* 343:379–380.

529	Rogers RR, Yau, MK. 1989. A Short Course in Cloud Physics, Int. Ser. Nat. Philos, 113:
530	290.
531	
532	Slingo, A., A GCM parameterization for the shortwave radiative properties of water
533	clouds, J. Atoms. Sci., 46, 1419–1427, 1989.
534	
535	Stevens B, Feingold G. 2009. Untangling aerosol effects on clouds and precipitation
536	in a buffered system, Nature, 461 , doi:10.1038/nature08281.
537	
538	Storelvmo T, Kristjánsson JE, Myhre G, Johnsrud M, Stordal F. 2006. Combined
539	observational and modeling based study of the aerosol indirect effect, Atmos. Chem.
540	Phys., 6 , 3583-3601, doi:10.5194/acp-6-3583-2006.
541	
542	Tammet, H., Mirme, A., and Tamm, E. 2002. Electrical Aerosol Spectrometer
543	of Tartu University, Atmos. Res. 62:315–324.
544	
545	TSI. 2006. Fast Mobility Particle Sizer Spectrometer; Operation and Service
546	Manual, TSI Incorporated, Shoreview, MN, USA.
547	
548	Turner DD, Vogelmann AM, Johnson K, Miller M, Austin RT, Barnard JC, Flynn C, Long
549	C, McFarlane SA, Cady-Pereira K, Clough SA, Chiu JC, Khaiyer MM, Liljegren J, Lin B,
550	Minnis P, Marshak A, Matrosov SY, Min Q, O'Hirok W, Wang Z, Wiscombe W.
551	2007.Thin liquid water clouds: Their importance and our challenge. Bull. Am.
552	Meteorol. Soc., 88 (2), 177-190.
553	
554	Twohy CH, Petters MD, Snider JR, Stevens B, Tahnk W, Wetzel M, Russell L, Burnet F.
555	2005. Evaluation of the aerosol indirect effect in marine stratocumulus clouds:

Droplet number, size, liquid water path, and radiative impact, J. Geophys. Res., 110, D08203, doi:10.1029/2004JD005116. Twomey S. 1974. Pollution and the planetary albedo, Atmos. Environ., 8, 1251–1256. Twomey S. 1977. Influence of pollution on shortwave albedo of clouds. J. Atmos. Sci., , 1149–1152. Wang T and Q. Min. 2008. Retrieving optical depths of optically thin and mixed-phase clouds from MFRSR measurements, J. Geophys. Res. 113, D19203 Wang T and J. Huang . 2009. A method for estimating optical properties of dusty cloud, Chin. Opt. Lett., 7(5), 368-372, doi:10.3788/COL20090705.0368 Westwater ER, Han Y, Shupe MD, Matrosov SY. 2001. Analysis of integrated cloud liquid and precipitable water vapor retrievals from microwave radiometers during the Surface Heat Budget of the Arctic Ocean project, J. Geophys. Res., 106, 32,019 -32,030. Wilks DS. 1995. Statistical Methods in the Atmospheric Sciences, Academic, San Diego, Calif. Wood R, Hartmann DL. 2006. Spatial variability of liquid water path in marine boundary layer clouds: The importance of mesoscale cellular convection. J. Climate, , 1748–1764. Zheng X, Albrecht B, Minnis P, Ayers K, Jonson H. 2010. Observed aerosol and liquid water path relationships in marine stratocumulus, Geophys. Res. Lett., 37, L17803, doi:10.1029/2010GL044095.

588

589

- 590
- 591
- 592

593 Figure captions

594

598

Fig. 1. The relationship of hourly average AOD and PM2.5 based on 5 years (2006 to2010) summer time clear sky measurements.

- 597 Fig. 2. The aerosol properties for each year. (a)Summer averaged AOD with standard
- AOD is from 0 to 1.0 and the last bin is AOD >=1.0); (c) summer averaged PM2.5 with

deviation; (b) distribution of daily AOD measurements (bins: interval is 0.1 when

- 600 standard deviation and (d) distribution of hourly PM2.5 measurements (bins: interval
- is 5µm when PM2.5 is from 0 to $30 \mu g / m^3$ and the last bin is PM2.5 >= $30 \mu g / m^3$).

Fig. 3. The distributions of PM2.5 and cloud properties during polluted years and
clean years. (a) PM2.5; (b)LWP ; (c) COD and (d)Re.

Fig. 4. The comparison of mean COD, mean Re between during polluted and clean
years. (a) Mean COD VS. LWP, (b) mean Re VS. LWP. Error bars represent the 95%
confidence level.

Fig. 5. (a) The relationship between Re and PM2.5. (a)For entire five years; (b)for
polluted years and (c)for clean years.

Fig. 6. (a) The relationship between Re and LWP under different PM2.5 value , and (b)
the relationship between cloud droplets number concentration and liquid water
path under different PM2.5 value.

Fig. 7. FMPS measured aerosol size distribution on the polluted day (July 20th, 2011)
and clean day (July 14th , 2011) during DISCOVER AQ field campaign.

- **Fig. 8.** The relationship of 5 years summer time hourly average Angstrom coefficient
- 615 and PM2.5.
- 616
- 617
- 618 Figures
- 619 Fig. 1.



Fig. 1. The relationship of hourly average AOD and PM2.5 based on 5 years (2006 to

- 622 2010) summer time clear sky measurements.
- 623



Fig. 2. The aerosol properties for each year. (a)Summer averaged AOD with standard deviation; (b) distribution of daily AOD measurements (bins: interval is 0.1 when AOD is from 0 to 1.0 and the last bin is AOD >=1.0); (c) summer averaged PM2.5 with standard deviation and (d) distribution of hourly PM2.5 measurements (bins: interval is 5µm when PM2.5 is from 0 to $30 \mu g / m^3$ and the last bin is PM2.5 >= $30 \mu g / m^3$).



Fig. 3. The distributions of PM2.5 and cloud properties during polluted years and
clean years. (a) PM2.5; (b)LWP; (c) COD and (d)Re.



Fig. 4. The comparison of mean COD, mean Re between during polluted and clean
years. (a) Mean COD VS. LWP, (b) mean Re VS. LWP. Error bars represent the 95%
confidence level.



Fig. 5. The relationship between Re and PM2.5. (a)For entire five years; (b)forpolluted years and (c)for clean years.



Fig. 6. (a) The relationship between Re and LWP under different PM2.5 value , and (b)
the relationship between cloud droplets number concentration and liquid water
path under different PM2.5 value.



Fig. 7. FMPS measured aerosol size distribution on the polluted day (July 20th, 2011)
 and clean day (July 14th, 2011) during DISCOVER AQ field campaign.



Fig. 8. The relationship of 5 years summer time hourly average Angstrom coefficient

691 and PM2.5.