# **1** Toxicity screening of 13 *Gambierdiscus* strains

## <sup>2</sup> using neuro-2a and erythrocyte lysis bioassays

Francesco Pisapia<sup>a,\*</sup>, William C. Holland<sup>b</sup>, D. Ransom Hardison<sup>b</sup>, R. Wayne
Litaker<sup>b</sup>, Santiago Fraga<sup>c</sup>, Tomohiro Nishimura<sup>d</sup>, Masao Adachi<sup>d</sup>, Lam NguyenNgoc<sup>e</sup>, Véronique Séchet<sup>a</sup>, Zouher Amzil<sup>a</sup>, Christine Herrenknecht<sup>f</sup>, Philipp
Hess<sup>a</sup>

<sup>a</sup> Ifremer, Phycotoxins Laboratory, rue de l'Ile d'Yeu, BP 21105, F-44311 Nantes, France

8 <sup>b</sup> National Oceanic and Atmospheric Administration, National Ocean Service, National

9 Centers for Coastal Ocean Science, Center for Coastal Fisheries and Habitat Research

10 (CCFHR), 101 Pivers Island Road, Beaufort, NC 28516, USA

- <sup>c</sup> Instituto Español de Oceanografía (IEO), Centro Oceanográfico de Vigo, Subida a Radio
   Faro 50, 36390 Vigo, Spain
- 13 <sup>d</sup> LAQUES (Laboratory of Aquatic Environmental Science), Faculty of Agriculture, Kochi

14 University, 200 Otsu, Monobe, Nankoku, Kochi, 783-8502, Japan

<sup>e</sup> Institute of Oceanography, VAST, Cauda 01, Vinh Nguyen, Nha Trang, Viet Nam

- <sup>f</sup> LUNAM, University of Nantes, MMS EA2160, Pharmacy Faculty, 9 rue Bias, F-44035
   Nantes, France
- \* Corresponding author. Tel.: +33782774528; *E-mail address:* francesco.pisapia@ifremer.fr
  (F. Pisapia).
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### 22 Abstract

Species in the epi-benthic dinoflagellate genus *Gambierdiscus* produce ciguatoxins (CTXs) 23 and maitotoxins (MTXs), which are among the most potent marine toxins known. 24 Consumption of fish contaminated with sufficient quantities of CTXs causes Ciguatera Fish 25 Poisoning (CFP), the largest cause of non-bacterial food poisoning worldwide. Maitotoxins, 26 which can be found in the digestive system of fish, could also contribute to CFP if such 27 tissues are consumed. Recently, an increasing number of Gambierdiscus species have been 28 29 identified; yet, little is known about the variation in toxicity among Gambierdiscus strains or 30 species.

31 This study is the first assessment of relative CTX- and MTX-toxicity of Gambierdiscus species from areas as widespread as the North-Eastern Atlantic Ocean, Pacific Ocean and the 32 Mediterranean Sea. A total of 13 strains were screened: (i) seven Pacific strains of G. 33 australes, G. balechii, G. caribaeus, G. carpenteri, G. pacificus, G. scabrosus and one strain 34 of an undetermined species (Gambierdiscus sp. Viet Nam), (ii) five strains from the North-35 Eastern Atlantic Ocean (two G. australes, a single G. excentricus and two G. silvae strains), 36 37 and (iii) one G. carolinianus strain from the Mediterranean Sea. Cell pellets of Gambierdiscus were extracted with methanol and the crude extracts partitioned into a CTX-38 containing dichloromethane fraction and a MTX-containing aqueous methanol fraction. 39 CTX-toxicity was estimated using the neuro-2a cytoxicity assay, and MTX-toxicity via a 40 41 human erythrocyte lysis assay.

42 Different species were grouped into different ratios of CTX- and MTX-toxicity, however, the 43 ratio was not related to the geographical origin of species (Atlantic, Mediterranean, Pacific). All strains showed MTX-toxicity, ranging from 1.5 to 86 pg MTX equivalents (eq) cell<sup>-1</sup>. All 44 but one of the strains showed relative low CTX-toxicity ranging from 0.6 to 50 fg CTX3C 45 eq cell<sup>-1</sup>. The exception was the highly toxic G. excentricus strain from the Canary Islands, 46 which produced 1,426 fg CTX3C eq cell<sup>-1</sup>. As was true for CTX, the highest MTX-toxicity 47 was also found in G. excentricus. Thus, the present study confirmed that at least one species 48 from the Atlantic Ocean demonstrates similar toxicity as the most toxic strains from the 49 50 Pacific, even if the metabolites in fish have so far been shown to be more toxic in the Pacific Ocean. 51

- 52 Keywords: Ciguatera Fish Poisoning, Gambierdiscus, ciguatoxins, maitotoxins, neuro-2a
- 53 assay, erythrocyte lysis assay.

## 54 **1.** Introduction

55 Dinoflagellates in the genera *Gambierdiscus* and *Fukuyoa* produce ciguatoxins (CTXs) and 56 maitotoxins (MTXs), cyclic polyether neurotoxins that rank in the top five most potent 57 natural toxins isolated to date (Fusetani and Kem, 2009).

Ciguatoxins, like brevetoxins, bind voltage-gated sodium channels (VGSCs) at site 5 on the 58 alpha-subunit causing an influx of Na<sup>+</sup> into affected cells that disrupts cellular function, 59 especially in nerve cells (Benoit et al., 1986; Legrand et al., 1982; Lombet et al., 1987). 60 61 Ciguatoxins are lipophilic and they could readily accumulate in the marine food chain reaching their highest concentration in fish, as hypothesized by Randall (1958), albeit with 62 considerable lag-time between the bloom of Gambierdiscus sp. and CTX-related CFP 63 outbreaks (Chateau-Degat et al., 2005). The genera Gambierdiscus and Fukuyoa are epi-64 benthic and are found on many substrates including macro-algae, algal turfs, sea grasses and 65 coral rubble (Parsons and Preskitt, 2007; Rains and Parsons, 2015) but they can also be found 66 in near bottom plankton as shown using moored screens (Tester et al., 2014). Algal turfs 67 appear to be very suitable substrates as support for *Gambierdiscus*, even when compared to 68 macrophytes (Leaw et al., 2016). It is commonly assumed that the primary flux occurs from 69 70 herbivorous grazers of such macro-algae to carnivorous fish (Ledreux et al., 2014), though 71 other vectors such as crustaceans, echinoderms, and bivalves have been implicated (Kelly et 72 al., 1992; Laurent et al., 2008; Roué et al., 2016; Silva et al., 2015). During this accumulation 73 process CTXs are biotransformed, frequently resulting in metabolites of greater toxicities 74 than the algal parent compounds (Lehane and Lewis, 2000). Certain Gambierdiscus species also produce other bioactive polyether compounds, such as gambierol (Cuypers et al., 2008; 75 Satake et al., 1993a), gambieric acids (Nagai et al., 1993; Nagai et al., 1992) and gambierone 76 77 (Rodríguez et al., 2015). The biological activity of gambierone is known to mimic that of 78 CTX3C, although much lower in intensity, whereas the overall toxicity of gambierol and 79 gambieric acids has yet to be characterized. The role, if any, of these three classes of 80 compounds in causing CFP is unknown.

Maitotoxins are amphiphilic molecules that bind non-selective ion channels, causing an influx of  $Ca^{2+}$  that significantly raises intracellular  $Ca^{2+}$  levels. This is important since  $Ca^{2+}$  is one of the major signaling ions in the cell. The increased influx of the ion abnormally activates numerous biochemical pathways, including apoptosis, which disrupt the function of neuronal, muscular and red blood cells (Gusovsky and Daly, 1990; Ogura et al., 1984; 86 Ohizumi and Kobayashi, 1990). Even though MTXs are more toxic than CTXs when injected intraperitoneally into mice, MTXs are less likely to be involved in causing Ciguatera Fish 87 Poisoning (CFP) because of their low capacity to accumulate in fish flesh and their low oral 88 potency as assessed in mice (Yasumoto et al., 1976). Still, a recent study by Kohli et al. 89 90 (2014) suggests that MTX could accumulate in carnivorous fish (fed in controlled conditions with Gambierdiscus-inoculated herbivorous fish), particularly in their digestive tract and 91 92 liver, and thus MTXs may potentially contribute to CFP. Also, the large diversity of symptoms of CFP observed in different oceans has been suggested to be related to different 93 CTX profiles (Lewis, 2001) but may also potentially be related to differences in consumer 94 habits, e.g. the consumption of the intestinal parts of fish (Gatti et al., 2008; Hamilton et al., 95 2010). Consequently, the role of MTXs in contributing to CFP still remains to be clarified, in 96 particular whether such contribution may derive from contamination of fish fillets during 97 dissection of ciguateric fish or only from the consumption of visceral tissues of ciguateric 98 fish. 99

In addition to uncertainties regarding different toxin profiles and the routes of accumulation
little is known about the degree to which toxicity varies among species. One reason this has
proven challenging is that the taxonomy has only recently been sufficiently resolved to
examine species-specific toxicity (Fraga and Rodríguez, 2014; Fraga et al., 2011; Fraga et al.,
2016; Kretzschmar et al., 2016; Litaker et al., 2009; Nishimura et al., 2014; Smith et al.,
2016). This taxonomic work includes the separation of the globular *Gambierdiscus* species
into the genus *Fukuyoa* (Gómez et al., 2015).

107 The goal of this study was to characterize the relative toxicity of *Gambierdiscus* strains from 108 the Pacific Ocean, the North-Eastern Atlantic Ocean and the Mediterranean Sea. A total of 13 109 strains were examined, representing ten known species and one strain for which species 110 annotation is not yet complete (Table 1, section 2.2). Except two strains (CCMP1653 and the 111 strain from Viet Nam), none of the strains studied had previously been shown to produce any 112 known CTXs or MTXs. Hence, this study examined the strains with a targeted cellular 113 bioassay approach to detect activity of hitherto undescribed analogs of CTXs and MTXs.

## 114 2. <u>Materials and Methods</u>

#### 115 **2.1. Reference toxins and chemicals**

116 CTX3C was kindly provided by Mireille Chinain (Institut Louis Malardé, Tahiti) and used as 117 the reference standard for the neuroblastoma neuro-2a (N2a) cytotoxicity assay. MTX was 118 purchased from Wako Chemicals USA, Inc. (Richmond, Virginia, USA) and used as the 119 reference standard for the erythrocyte lysis assay (ELA). CTX3C was dissolved and stored in 120 pure MeOH prior to utilization in the N2a assay. MTX was stored in MeOH:H<sub>2</sub>O (1:1, v/v), 121 dried and re-dissolved in ELA buffer prior to utilization in the ELA. HPLC grade methanol 122 and dichloromethane were purchased from Sigma Aldrich (St. Louis, Missouri, USA).

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Eagle's Minimum Essential Medium (EMEM, ATCC® 30-2003) for culture of N2a cells was
purchased from the American Type Culture Collection. The following additives to the N2a
medium were purchased from Sigma Aldrich (St. Louis, Missouri, USA): sodium pyruvate,
streptomycin, penicillin and fetal bovine serum. N2a assay reagents were also purchased from
Sigma Aldrich: trypsin-(ethylenediaminetetraacetic acid) (trypsin-EDTA) and 3-(4,5dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT). Saponin for the ELA was
purchased from Sigma Aldrich (St. Louis, Missouri, USA).

#### 131 2.2. Laboratory cultures of *Gambierdiscus* spp.

The strains of *Gambierdiscus* which were examined in this study and their location of origin are listed in Table 1. Strains of *G. scabrosus* (Nishimura et al., 2014), *G. excentricus* (Fraga et al., 2011), *G. silvae* (Fraga and Rodríguez, 2014) and *G. balechii* (Fraga et al., 2016) all belong to recently described species. Molecular analysis of *Gambierdiscus* sp. Viet Nam, previously reported as *G. toxicus* Vietnam by Roeder et al. (2010), still needs to be completed, and hence it was not assigned to this species.

Culture experiments were conducted using a semi-continuous batch method at both the Phycotoxins laboratory at the French Research Institute for Exploitation of the Sea (IFREMER), Nantes, France and at the National Oceanic and Atmospheric Administration, Center for Coastal Fisheries and Habitat Research (CCFHR), Beaufort, NC, USA. Cell densities were maintained at levels to ensure the absence of nutrient or  $CO_2$  limitation. At pH > 8.4 cells become progressively more  $CO_2$  limited. Cells of *Gambierdiscus* were harvested in log phase growth. Slight differences in the experimental protocols necessitated by differences in the equipment available at each location are noted below (sections 2.2.1 and 2.2.2). As a control, *G. pacificus* CCMP1650 was grown in both laboratories to determine if growth rate and toxin values obtained in each laboratory were comparable.

#### 148 2.2.1. NOAA CCFHR laboratory (Beaufort, NC, USA)

Four strains of Gambierdiscus (G. caribaeus Bill Hi Gam8, G. carolinianus Greece Gam2, G. 149 carpenteri Pat Hi Jar7 Gam11 and G. pacificus CCMP1650) (Table 1) were grown in 75 cm<sup>2</sup> 150 tissue culture flasks with vented caps (Falcon®, BD Biosciences, Bedford, MA, USA). 151 Media consisted of 0.2 µm filtered Gulf Stream seawater (at a salinity of 33), vitamins and 152 nutrients were added according to a modified K-medium protocol (Keller and Guillard, 1985; 153 Keller et al., 1987). Phosphate was added in the form of Na<sub>2</sub>  $\beta$ -Glycerophosphoric Acid, 5-154 Hydrate at twice the concentration used for K-medium preparation. An EDTA-trace metal 155 buffer system was used with the omission of copper as described by Hardison et al. (2012). 156 157 The media was sterilized via microwave treatment as described in Keller et al. (1988). The culture pH was monitored (Thermo Orion 3 star pH meter, Ross ultra-combination pH 158 electrode) to ensure pH range throughout experiments were between 8.1 and 8.4. This 159 ensured the cells were not CO<sub>2</sub>-limited. Cultures were maintained in a Percival Scientific 160 incubator (Boone, IA, USA) at 27°C, under full spectrum lights (Blue Max F20-T12, Full 161 Spectrum Solutions, Mississippi, USA) with an incident photon flux density at 162 100  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> and a daily light-dark cycle of 12h:12h light:dark (LD). Full 163 spectrum light source was placed in illumination cassettes above the culture flasks. Flasks 164 were randomly placed and the position was changed once a day in order to ensure a 165 homogeneous exposure to light. When the culture reached ~1000-2000 cells mL<sup>-1</sup>, cells were 166 first retained on a 20 µm sieve, washed with sterile seawater and collected by centrifugation 167 (10 min, 1800 g, 20°C) in 50 mL Falcon tubes. Cell pellets were stored at -20°C until further 168 extraction for toxicity screening. 169

#### 170 2.2.2. IFREMER laboratory (Nantes, France)

Ten strains of *Gambierdiscus* were cultured in 75 cm<sup>2</sup> culture flasks (Corning® CellBIND®,
Grosseron SAS, Coueron, France): *G. australes* CCMP1653, VGO1178 and VGO1181,

173 G. excentricus VGO791, G. pacificus CCMP1650, G. scabrosus KW070922\_1, G. silvae

174 VGO1167 and VGO1180, G. balechii VGO917 and Gambierdiscus sp. Viet Nam (Table 1).

175 Media consisted of filtered  $(0.2 \,\mu\text{m})$  natural Mediterranean seawater (at a salinity of 33) 176 enriched with L1 nutrients with the exception of silica (Guillard and Hargraves, 1993). 177 Cultures were maintained in a growth chamber incubator (Binder KBW240, Binder GmbH, 178 Tuttlingen, Germany) at 25°C, under the same light conditions described above. After three 179 weeks of semi-continuous culture, cells were harvested by centrifugation (20 min, 3000 *g*, 180 4°C) in 50 mL Falcon tubes and cell pellets were stored at -20°C until further extraction for 181 toxicity screening.

#### 182 **2.3. Maximum growth rate determination**

In order to determine the maximum growth rates during the exponential growth phase, 183 Gambierdiscus cells were grown in semi-continuous batch cultures as previously described 184 by Hardison et al. (2012). Briefly, all the cultures were acclimated to the culture conditions 185 specific to each of the two laboratories (sections 2.2.1 and 2.2.2) for several months prior to 186 experimentation. Cultures of Gambierdiscus cells were inoculated in 200 mL of culture 187 medium at an initial concentration of  $\sim 100-200$  cells mL<sup>-1</sup> and incubated at randomly 188 determined sites in the incubator which were rotated daily. Cells were kept in the exponential 189 growth phase as follows: cultures were transferred to new medium (1 to 10 dilution) when 190 cell concentration reached ~1000-2000 cells mL<sup>-1</sup> and, thus, they never experienced nutrient 191 or CO<sub>2</sub> limitation. An aliquot of culture was taken every 3-4 days during a period of 53-78 192 days (n = 13-15 samplings, at least three generations) and analyzed for cell concentration 193 (cells mL<sup>-1</sup>) and mean cellular biovolume (Estimated Spherical Volumes, ESV,  $\mu$ m<sup>3</sup> cell<sup>-1</sup>) 194 using a Multisizer<sup>TM</sup> 3 Coulter Counter® (Beckman Coulter, Georgia, USA) particle counter 195 equipped with a 280 µm aperture tube and a 1 mL sample volume. The total volume of cells 196 per liter of culture media (biovolume) was then calculated. Maximum growth rate ( $\mu_{max}$ , d<sup>-1</sup>) 197 was the slope calculated by the linear regression of the natural logarithm of the biovolume 198 versus time, after correcting for serial culture dilutions (Sunda and Hardison, 2007). 199 200 SigmaPlot software (version 12.5) was used to calculate regression slopes and associated relative standard error and  $R^2$  values. Maximum growth rate ( $\mu_{max}$ , divisions day<sup>-1</sup>) was then 201 calculated as follows:  $\mu_{max}$  (divisions day<sup>-1</sup>) =  $\mu_{max}$  (d<sup>-1</sup>) ln(2)<sup>-1</sup>. 202

#### 203 **2.4. Toxin extraction and liquid-liquid partitioning**

Cultures of each strain have been grown in three separate flasks. After the cells had been harvested in log phase growth, they were suspended in MeOH (30 mL per 1 million cells) 206 and disrupted using sonication (CCFHR laboratory) or bead beating (IFREMER laboratory). Sonication was conducted twice for 1 min at 50% of total power (500 W) using a 3 mm 207 diameter probe sonicator (Q-Sonica, Q700, Newtown, Connecticut USA). Grinding with the 208 bead-mill was conducted twice for 30 min at a vibration frequency of 30 Hz using a mixer 209 mill (Retsch MM400, Germany) with glass beads (0.25 g, diameter 250-500 µm) (Serive et 210 al., 2012). Completeness of cell disruption was verified using light microscopy. Crude 211 212 extracts (CEs) were blown dry under N2 gas at 40°C. The residue was suspended in MeOH:H<sub>2</sub>O (3:2, v/v) (25 mL per 1 million cells) and partitioned twice with dichloromethane 213 214 (DCM) (50 mL per 1 million cells) as previously described by Satake et al. (1993b). The lipophilic CTXs were partitioned into the DCM soluble fraction (DSF) while the amphiphilic 215 MTXs were partitioned into the aqueous methanol (aq. MeOH) soluble fraction (MSF). Once 216 the DSF and MSF fractions were isolated, they were blown dry under N<sub>2</sub> gas at 40°C and 217 stored at -20°C. Just prior to the bioassays, the dried DCM and aq. MeOH residues were re-218 dissolved in MeOH or MeOH:H<sub>2</sub>O (1:1, v/v), respectively. An aliquot of the hydrophilic 219 fraction was then evaporated (N<sub>2</sub> gas at 40°C) and stored at -20°C until use in the human 220 erythrocyte lysis assay (ELA). Just prior to running the ELA the dried residue from the MSF 221 fraction was dissolved in ELA buffer. 222

#### 223 **2.5. Neuroblastoma neuro-2a assay**

The neuroblastoma neuro-2a (N2a) cell line is frequently used to estimate levels of CTXs in 224 225 fish, shellfish or phytoplankton extracts (Pawlowiez et al., 2013). The N2a cytotoxicity assay developed by Manger et al. (1993) and modified by Dickey et al. (1999) was performed at 226 CCFHR laboratory (Beaufort, NC, USA), with some modification (Hardison et al., 2016). 227 Ciguatoxins do not induce N2a cell death, however, when N2a cells are pre-incubated with 228 ouabain (O) and veratridine (V) they become highly sensitive to sodium channel activator 229 toxins. Assays were set up so that the N2a cells are exposed to partially purified cell extracts 230 with and without O and V. If cell death occurs in the samples without O and V it indicates the 231 presence of a non-specific toxic compound other than a sodium channel activator. The details 232 of the assay were as follows. 233

The N2a cell line was obtained from the American Type Culture Collection (ATCC, CCL 131). Neuro-2a cells were grown and maintained as described by Hardison et al. (2016). The assay was carried out in 96-well flat-bottom CELLCOAT® tissue culture plates with Poly-D-

Lysine coating (Greiner Bio-One, Kremsmünster, Austria). Plates were seeded with 30,000 237 N2a cells per well and were incubated for 24 h until they were >90% confluent at the bottom 238 of each well. The CTX3C standard, controls and Gambierdiscus samples were added next 239 and incubated for 24 h. The standard curve was added in presence of O/V (250 µM and 25 240 µM, respectively) at 50% cell viability to increase sensitivity and specificity to CTXs. The 241 CTX3C standard curve for this assay ranged from 0.001 to 2,000 pg mL<sup>-1</sup>. A sigmoidal dose-242 response curve was plotted and an EC<sub>50</sub> of  $1.66 \pm 0.16$  (SD, n=12) pg CTX3C mL<sup>-1</sup> was 243 calculated using GraphPad Prism 6.0 (Fig. S1) (Hardison et al., 2016). Controls included 244 buffer wells to provide maximum survival estimates and wells with the addition of 1% 245 MeOH (final concentration in well) to identify any cell mortality caused by the presence of 246 MeOH used to dissolve the dried extracts. Half of the sample aliquots (1 µL additions) from 247 each assay were processed in the presence of O/V ( $O/V^+$ ) so they were directly comparable to 248 the CTX3C standard curve. The other half was incubated without O/V (O/V<sup>-</sup>) to identify non-249 specific mortality caused by other compounds in the sample. Total well volume was 100 µL. 250 No more than 500 Gambierdiscus cell equivalents were added to each well to avoid matrix 251 effects or non-specific N2a cell death. Each of the three replicate samples was run in 252 duplicate in the N2a assay. Cell viability was assessed after 24 h incubation using the 253 254 quantitative colorimetric 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT) assay (Mosmann, 1983) using a FLUOstar® Omega microplate reader (BMG 255 256 Labtech, Germany) at 544 nm. As the cells treated with O/V showed 50% of cell viability relative to control cells in solvent vehicle (1% MeOH in N2a medium), the viability of cells 257 258 treated with CTX3C standard or algal extracts was estimated relative to O/V<sup>+</sup> wells. Quantitation of CTX3C eq in the samples using the N2a assay was operated within a range 259 260 from 20% to 80% N2a cell viability with respect to the O/V<sup>+</sup> wells. The limit of quantification (80% N2a cell viability) was  $0.197 \pm 0.005$  (SD, n=12) ng CTX3C mL<sup>-1</sup>. When 261 262 N2a cell viability was lower than 20%, a ten-fold dilution of the sample (in MeOH) was made. Extracts or strains showing activity with this assay will be referred to as "containing 263 CTXs" or showing "CTX-toxicity", even though this is a simplification as other compounds 264 may also show sodium specific activity using this model. 265

#### 266 **2.6.** Erythrocyte lysis assay

The erythrocyte lysis assay (ELA), developed by Eschbach et al. (2001) was performed at the CCFHR laboratory (Beaufort, NC, USA), with some modifications (Holland et al., 2013) to estimate the hemolytic activity of *Gambierdiscus* strains. This assay is based on lysis of erythrocytes due to hemolytic compounds and subsequent photometrical determination of the released hemoglobin. In the context of marine dinoflagellates, the ELA has broadly been used on different red blood cell lines to detect hemolytic compounds from different microalgae such as *Alexandrium* (Tatters et al., 2012), *Karenia* (Tatters et al., 2010), *Ostreopsis* cf. *ovata* 

(Nascimento et al., 2012a) and *Gambierdiscus* (Holland et al., 2013).

Human red blood cells (hRBCs) were obtained from the Red Cross (Durham, North Carolina, 275 USA). The hRBCs treated with saponin provided the maximal hemolysis (100% lysis) used 276 to normalize the hemolytic activity of MTX standard or the diluted algal extracts. The hRBCs 277 incubated solely in ELA buffer served as negative control (0% lysis). Details of how the 278 279 assay was performed can be found in Holland et al. (2013). The 8-point MTX standard curve for this assay ranged from 0.0002 to 2,000 ng mL<sup>-1</sup> using purified MTX from Wako 280 Chemicals USA, Inc. (Richmond Virginia, USA). Four replicate wells were used for each of 281 the eight concentrations. A sigmoidal dose-response curve was plotted and an EC<sub>50</sub> of 14.2  $\pm$ 282 3.3 (SD, n=4) ng MTX mL<sup>-1</sup> was calculated using GraphPad Prism 6.0 (Fig. S2). The 283 minimum hemolytic activity observed was  $1.51 \pm 0.39$  (SD, n=4) % hemolysis when hRCBs 284 were exposed to 1.0 ng MTX mL<sup>-1</sup>. For each *Gambierdiscus* MSF sample, six dilutions were 285 tested and three replicate wells for each dilution were run. Sigmoidal dose-response curves 286 were plotted and  $EC_{50}$  values (cell eq mL<sup>-1</sup>) were calculated for each strain using GraphPad 287 Prism 6.0. Quantitation of MTX eq in the samples using the ELA was operated converting 288  $EC_{50}$  values (cell eq mL<sup>-1</sup>) for each strain into toxin equivalent per cell (pg MTX eq cell<sup>-1</sup>) 289 taking into account the  $EC_{50}$  value obtained from the MTX standard curve. Erythrocyte lysis 290 was assessed after 24h incubation at 4°C using a FLUOstar® Omega microplate reader 291 (BMG Labtech, Germany) at 415 nm. Extracts or strains showing activity with this assay will 292 be referred to as "containing MTXs" or showing "MTX-toxicity", even though this is a 293 simplification as other compounds may also show hemolytic activity using this model. 294

#### 295 **2.7. Statistical analysis**

Statistical analysis was performed using RStudio (Version 0.99.903)
(http://www.rstudio.com) utilizing the R statistical language version 3.3.1 (https://www.R-project.org).

Multiple and linear regression models were obtained using basic functions in R on a data matrix including all qualitative information and quantitative values measured for each replicate on all strains. This corresponded to 42 observations of 7 variables [origin, laboratory, species,  $\mu_{max}$  (divisions day<sup>-1</sup>), biovolume (ESV,  $\mu m^3$  cell<sup>-1</sup>), fg CTX3C eq cell<sup>-1</sup> (DSF) and pg MTX eq cell<sup>-1</sup> (MSF)]. For comparison purpose, the same analyses were performed on the same data matrix with the outlier strain (*G. excentricus* VGO791) excluded, which allowed for showing consistent correlations.

Unsupervised clustering of the strains based on the mean centered and normalized values of biovolume (ESV,  $\mu$ m<sup>3</sup> cell<sup>-1</sup>), fg CTX3C eq cell<sup>-1</sup> (DSF) and pg MTX eq cell<sup>-1</sup> (MSF) was performed by 1) calculating the distance matrix between each observation (strain) using the Euclidean distance 2) executing a hierarchical cluster analysis using the Ward's minimum variance method. The result was displayed as a cluster dendrogram, one replicate of *Gambierdiscus* sp. Viet Nam being excluded for this analysis as it presented very dissimilar results to other two replicates.

## 313 **3.** <u>**Results**</u>

#### **314 3.1. Maximum growth rates and cellular biovolumes**

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Maximum growth rates ( $\mu_{max}$ ) of *Gambierdiscus* in culture ranged from 0.099 to 0.244 divisions day<sup>-1</sup>, depending on the strain (Table 2). The slowest growing strains were *G. excentricus* VGO791 and *G. balechii* VGO917 (IFREMER laboratory conditions), while the fastest growth was observed for *G. pacificus* CCMP1650 (CCFHR laboratory conditions).

Interestingly, G. excentricus VGO791, which was the slowest growing strain, had the largest 321 cellular biovolume (Table 2). G. pacificus (CCMP1650), the species with the smallest 322 biovolume (3.8-fold  $\leq$  G. excentricus) was the fastest growing species (Table 2). 323 Notwithstanding, overall the correlation was poor between growth rate and cellular volume, 324 e.g. G. balechii had a similar growth rate as G. excentricus but a substantially smaller cellular 325 biovolume. The overall low growth rates (< 0.5 divisions day<sup>-1</sup>) observed in this study are 326 consistent with those previously reported in the literature (Kibler et al., 2012; Litaker et al., 327 submitted to PLoS One on Feb 2017; Xu et al., 2016; Yoshimatsu et al., 2014). If a 328 comparison could be made, it can be concluded that, in the present study, Gambierdiscus 329

strains behaved as slow-growers when cultured under CCHFR and IFREMER laboratory conditions, with  $\mu_{max} < 0.25$  divisions day<sup>-1</sup>, which appeared similarly low or somewhat lower than those reported in other studies.

#### 333 **3.2.** Screening of DSF toxicity using the neuro-2a assay

Figure S1 shows the sigmoidal dose-response curve of CTX3C standard on the neuro-2aassay (Hardison et al., 2016).

For each strain, both dichloromethane soluble fraction (DSF, a fraction expected to contain 336 337 CTXs) and the corresponding crude extract (CE) were tested on the neuro-2a assay. All DSFs tested were found to enhance the ouabain/veratridine (O/V) mediated cell mortalities 338 consistent with CTX activation of voltage-gated sodium channels (VGSCs). There was no 339 enhanced mortality without addition of O/V, indicating absence of non-specific toxicity after 340 the initial purification step. In contrast, CEs showed substantial non-specific mortality (cell 341 342 death in absence of O/V), indicating the presence of bioactive compounds other than VGSC activators such as MTXs or other toxic algal compounds. Thus, the quantitative estimation of 343 344 CTXs was only possible in DSFs. Results were expressed in CTX3C equivalents (eq) per cell (Fig. 1). 345

Only the one *G. excentricus* (VGO791) strain examined from the Canary Islands exhibited a high level of CTX-type toxicity, i.e. 1,426 fg CTX3C eq cell<sup>-1</sup>. The CTX content for all the other strains examined fell into the range of 0.6-40.8 fg CTX3C cell<sup>-1</sup> (Fig. 1).

Among the Pacific strains examined in this study, the Vietnamese strain, representing an as of 349 yet undescribed species, showed the highest N2a cytotoxicity followed by the Japanese 350 G. scabrosus strain. The two G. silvae strains showed low CTX-type toxicity equivalent to 351 that of G. pacificus, i.e. around 10 fg CTX3C eq cell<sup>-1</sup>. The Mediterranean strain of 352 G. carolinianus showed background levels of CTX-type activity (< 4 fg CTX3C eq cell<sup>-1</sup>) 353 similar to the Pacific G. caribaeus, G. carpenteri and G. australes strains. Interestingly, G. 354 australes strains originating either from the Pacific or the North-Eastern Atlantic Oceans, 355 showed similar CTX-type activity (Fig. 1). 356

The strain of *G. pacificus* (CCMP1650) from French Polynesia showed similar levels of CTX-toxicity in cultures from both IFREMER and CCFHR, despite the differences in culture conditions and extraction procedure (Table 2). Thus, the differences in culture and extractiontechniques between the two laboratories appear to not have affected the results.

#### **361 3.3.** Screening of MSF toxicity using the erythrocyte lysis assay

Figure S2 shows the sigmoidal dose-response curve of MTX standard on the humanerythrocyte lysis assay (ELA).

Figure 2 shows the MTX-type activity of the 13 strains of *Gambierdiscus* evaluated using the 364 ELA. The strain of G. excentricus exhibited the highest hemolytic activity, followed by the 365 366 Vietnamese strain. The Mediterranean strain of G. carolinianus was intermediate and about 5-fold more toxic than the two Atlantic strains of G. silvae. The Japanese strain of G. 367 scabrosus showed the lowest hemolytic activity among all the strains tested in this study. 368 369 Also, G. australes, G. caribaeus, and G. carpenteri species showed low MTX-type activity. Interestingly, among the latter ones, G. australes strains, originating either from Pacific or the 370 North-Eastern Atlantic Oceans, showed the same MTX-type activity. Overall, though 371 variable, all strains tested showed measurable MTX-toxicity. 372

- As in the evaluation of CTX3C equivalent toxicity, *G. pacificus* (CCMP1650) showed similar
- 374 levels of MTX-toxicity in cultures from both IFREMER and CCFHR, despite the differences
- in culture conditions and extraction procedure (Table 2).

#### 376 **3.4 Relationship between CTX and MTX toxicity**

The CTX toxin content per unit biovolume varied over four orders of magnitude compared to a 10-fold variation in MTX toxicity per unit biovolume (Fig. 3). A similar pattern was observed when the data were normalized on a per cell basis. The relationship between CTX and MTX for the various species fell into three groups (Fig. 4) with strains of from a given species classifying into the same group.

382

## 383 **4. Discussion**

384 **CTX-toxicity.** The present study showed that *Gambierdiscus* species examined from the 385 Pacific Ocean, North-Eastern Atlantic Ocean and Mediterranean Sea exhibited marked

differences in toxicity ranging from 0.6 fg to > 1400 fg CTX3C equivalents (eq) cell<sup>-1</sup> 386 (Fig. 1). The greatest toxicity was exhibited by G. excentricus (VGO791) from the Canary 387 Islands. This result is consistent with a previous study reporting G. excentricus strains 388 exhibiting between 0.37 - 1.10 pg CTX1B eq cell<sup>-1</sup> (Fraga et al., 2011), which would be 389 equivalent to 1.17 - 3.49 pg CTX3C eq cell<sup>-1</sup> according to Bottein Dechraoui et al. (2007). 390 The Canary Islands, where these strains were obtained, are a temperate region (North-Eastern 391 392 Atlantic Ocean) from which Ciguatera Fish Poisoning (CFP) has recently been reported (Boada et al., 2010; Pérez-Arellano et al., 2005). More recently, G. excentricus has been 393 found in Brazil, its contribution to CFP in the region has yet to be evaluated (Nascimento et 394 al., 2012b; Nascimento et al., 2015). The observation of CFP in the Canary Islands is 395 important because CFP is typically considered a tropical disease (Lewis, 2001). Thus, G. 396 polynesiensis and G. excentricus could be considered as primary toxin producing species in 397 the South Pacific and the Eastern Atlantic Oceans, respectively (Chinain et al., 2010; Rhodes 398 et al., 2014; Rhodes et al., 2016). 399

400 In contrast, G. australes, G. balechii, G. carolinianus, G. carpenteri, G. pacificus and G. silvae all had toxicities below 50 fg CTX3C eq cell<sup>-1</sup> (Fig. 1). In a separate study on 401 402 Caribbean strains, G. belizeanus, G. caribaeus, G. carolinianus, G. carpenteri, Gambierdiscus ribotype II, G. silvae and F. ruetzleri have similarly low toxicities (< 20 fg 403 CTX3C eq cell<sup>-1</sup>) (Litaker et al., submitted to PLoS One on Feb 2017). Further, G. 404 carolinianus and G. carpenteri strains from the Caribbean, Mediterranean and Pacific, as 405 well as G. silvae and G. australes strains originating either from the Caribbean, Pacific or the 406 North-Eastern Atlantic Oceans showed similar CTX-type activity, suggesting that each 407 species produce comparable levels of toxin worldwide. The data presented here also indicate 408 that most Gambierdiscus species produce relatively low levels of CTXs. This low level of 409 410 toxin production raises an important question concerning the degree to which these low toxicity species contribute to the overall toxin flux into the food chain relative to the high 411 toxicity species G. excentricus and G. polynesiensis (Litaker et al., submitted to PLoS One on 412 Feb 2017). As these low toxicity species can only be of public health importance if they are 413 able to bloom, it will be important to map abundances of the different Gambierdiscus species 414 415 in the field.

The CTX-toxicity results from this study were consistent with other studies on individual species. The strain of *Gambierdiscus* sp. Viet Nam (previously reported as *G. toxicus* based 418 on morphology), for example, showed the highest toxicity among all the Pacific strains 419 examined (Fig. 1) and has been shown to produce several CTX analogs (Roeder et al., 2010). 420 Nishimura et al. (2013) similarly detected the CTX-like toxicity of the DSF fraction from the 421 Japanese strain of *G. scabrosus* (species previously reported as *Gambierdiscus* sp. type 1), 422 KW070922\_1, using the mouse bioassay (MBA), i.e. 20 x  $10^{-4}$  MU/1,000 cells. These 423 toxicities are relatively high compared to most other species, but still low compared to *G.* 424 *excentricus* or *G. polynesiensis*, consistent with the findings in this study.

The three G. australes strains in this study were in the same low range of toxicity, 425 independently of their origin (Canary Islands and Hawaii). Of these strains, comparable 426 toxicity data are only available for the Pacific CCMP1653 strain, previously reported as T39. 427 428 For this particular strain, Babinchak et al. (1986) reported high toxicity of the crude extract using the MBA. Later on, a more specific assay described by Van Dolah et al. (1994) (radio-429 430 labelled brevetoxin ([<sup>3</sup>H]BTX-3) displacement assay) was conducted on CCMP1653, showing from no detectable to low Na<sup>+</sup> ion channel activity indicating low toxicity (Sperr and 431 432 Doucette, 1996). More recently, LC-MS studies conducted by Roeder et al. (2010) on CCMP1653 showed the presence of one CTX analog. Chinain et al. (2010) reported 433 434 comparatively low toxicity for six G. australes strains originating from French Polynesia, ranging from < 0.016 (LOD) to 0.030 pg CTX3C eq cell<sup>-1</sup>. Rhodes et al. (2010) found 435 intermediate N2a cytotoxicity at sub-pg range (0.13 pg CTX3C eq cell<sup>-1</sup>) for the G. australes 436 CAWD149 strain (Cook Islands). Nishimura et al. (2013) reported DSF-toxicity (MBA) for a 437 Japanese G. australes strain (S080911\_1) of 670 x 10<sup>-4</sup> MU/1,000 cells, comparable to the 438 highly toxic G. polynesiensis species, i.e. 800-1500 x 10<sup>-4</sup> MU/1,000 cells (Chinain et al., 439 1999). Such difference in toxicity between strains suggests that a larger number of strains are 440 needed to assess intraspecific variations in CTX toxicity. 441

442

Chinain et al. (2010) observed that the slow growing species examined in their study, 443 G. polynesiensis exhibited the highest level of toxicity and hypothesized that slower growing 444 species were more toxic. In this study, G. excentricus was the slowest growing species and it 445 also showed by far the highest CTX and MTX toxicity (1.4 pg CTX3C eq cell<sup>-1</sup> and 86 pg 446 447 MTX eq cell<sup>-1</sup>) (Figs. 1 and 2, Table 2). A study of *Gambierdiscus* species found in the Caribbean showed an inverse exponential relationship between CTX toxicity on a per-cell 448 449 and per-biovolume basis consistent with the Chinain et al. (2010) hypothesis (Litaker et al., submitted to PLoS One on Feb 2017). The species G. balechii however appears an exception 450

to this rule as it had a comparable growth rate to *G. excentricus* but was substantially less
toxic (Figs. 1-3).

MTX-toxicity. The MTX-toxicity of Gambierdiscus strains varied more than 50-fold (1.5 -453 86 pg MTX eq cell<sup>-1</sup>). The most maitotoxic species was G. excentricus (VGO791; ~80 pg 454 MTX eq cell<sup>-1</sup>) followed by the Vietnamese strain (*Gambierdiscus* sp. Viet Nam; ~70 pg 455 MTX eq cell<sup>-1</sup>). The variability was highest for the Vietnamese strain (64% RSD) with one 456 replicate giving a much higher result that the other two, followed by variability for 457 G. excentricus (48% RSD). Additional trials with more replication are needed before 458 concluding the toxicity of these species is comparable. The measured MTX-toxicity for G. 459 excentricus was lower than the ~600 pg MTX eq cell<sup>-1</sup> estimated for the strains VGO790, 460 461 VGO791 and VGO792 (Fraga et al. 2011) using a modified neuro-2a assay (Caillaud et al., 2010). The MTX content however was estimated using a crude extract whereas MTX-toxicity 462 in the present study was estimated in the aqueous methanol extracts, i.e. after liquid-liquid 463 partitioning. Possible explanations of this discrepancy include differences in the assay applied 464 465 (N2a vs. ELA) and sources of standard (MTX from different sources). Similarly, the G10DC strain of G. pacificus, isolated from Malaysia, was estimated to have a toxicity of 50.2 pg 466 467 MTX eq cell<sup>-1</sup> (Caillaud et al., 2011), 2.5 times more toxic than results from the present study for CCMP1650 strain. The three G. australes strains assayed in this study showed low MTX-468 toxicity (< 5 pg MTX eq cell<sup>-1</sup>) consistent with the low toxicity of G. australes RAV-92 469 strain of from Raivavae Island (Australes Archipelago) measured by MBA (Chinain et al., 470 1999). G. scabrosus strain (KW070922\_1) showed a similarly low hemolytic activity (1.5 pg 471 MTX eq cell<sup>-1</sup>) consistent with the observed MSF fraction toxicity of 67 x 10<sup>-4</sup> MU/1,000 472 cells (Nishimura et al. 2013). 473

474 Ratio CTX/MTX-toxicity. It should be noted that all the strains examined in this study 475 produced measureable quantities of both CTXs and MTXs (Figs. 1 and 2). The variation 476 among species, however, was not consistent for the two groups of toxins. CTX-toxicity per 477 unit biovolume varied over three orders of magnitude among species whereas the MTX-478 toxicity varied over one order of magnitude (Fig. 3).

Based on a hierarchical cluster analysis (section 2.7), strains could be classified into three
different groups: group I (*G. excentricus* VGO791), group II (*G. australes* CCMP1653,
VGO1178, VGO1181, *G. caribaeus* Bill Hi Gam8, *G. carolinianus* Greece Gam2 and *G. carpenteri* Pat Hi Jar7 Gam11), and group III (*G. balechii* VGO917, *G. pacificus*

CCMP1650, G. silvae VGO1167, VGO1180, G. scabrosus KW070922\_1 and Gambierdiscus 483 sp. Viet Nam) (Fig. 4). As visually suggested in Figure 3, there is a correlation between CTX 484 and MTX contents (on a per cell basis, in DSF and MSF fractions, respectively): the Pearson 485 correlation coefficient for linear regression was  $Rr^2=0.45$  (P < 0.001) when including G. 486 excentricus, and  $Rr^2=0.23$  (P < 0.01) when excluding G. excentricus. Though the data were 487 limited, different strains of the same species fell into the same grouping indicating that the 488 489 relationship between CTX and MTX toxicity appears constant for a given species. More data are needed to fully test this hypothesis. It should also be noted that toxicity in this study was 490 491 assessed using functional assays. Those assays cannot distinguish between production of large amounts of low toxicity CTX or MTX congeners relative to smaller production of high 492 toxicity congeners. Gambierdiscus cell extracts that were fractionated and assayed for 493 toxicity using a calcium flux assay indicated that despite strains of a species possessing 494 similar CTX and MTX toxicity, the actual congeners being produced in a given species 495 probably vary (Lewis et al., 2016). How these relative profiles might affect grazing pressure 496 or deter bacterial or fungal infections remains unknown. 497

Also unidentified is whether the different patterns of CTX and MTX can offer insights into biosynthetic pathways for these studies. Ongoing studies are focusing on growing large-scale cultures of the most toxic strains of *Gambierdiscus* for the purification of the toxic compounds through fractionation and screening using the cellular bioassays.

In summary, it should be noted that only one of the thirteen strains examined has been 502 shown to contain pg amounts of CTX-type toxicity per cell, and this strain of G. excentricus 503 needs to be examined in detail for its toxin contents to identify the algal precursor(s) of toxins 504 505 involved in CFP in the Atlantic Ocean. As this strain of G. excentricus exhibits CTX-type toxicity in the same order of magnitude as G. polynesiensis from the South Pacific, 506 507 Gambierdiscus species from both oceans should be considered to be a similar potential threat to fish consumers. All strains displayed MTX-toxicity in the pg range (1.5 - 86 pg cell<sup>-1</sup>). 508 509 Also, the variability of CTX and MTX-type toxicities between species and strains appeared to 510 be similar to those previously reported in literature and was equivalent between Atlantic and Pacific strains of Gambierdiscus. Several of the findings, including correlation between 511 growth rate and toxicity or variability within and between species, are only indicative of 512 513 possible trends and more strains should be examined to corroborate the findings.

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## 527 **Conflict of interest statement**

528 The authors declare that there is no conflict of interest.

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## 740 **Figure captions**

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Figure 1. Neuro-2a (N2a) cytotoxicity of dichloromethane soluble fractions (DSFs, n=3) of 13
 *Gambierdiscus* strains. Results are expressed in fg CTX3C eq cell<sup>-1</sup>.

Figure 2. Hemolytic activity of aq. MeOH soluble fractions (MSFs, n=3) of 13 *Gambierdiscus* strains
 evaluated by means of a human erythrocyte lysis assay (ELA). Results are expressed in pg MTX eq
 cell<sup>-1</sup>.

**Figure 3.** Plot of log CTX toxicity (fg CTX3C eq  $\mu$ m<sup>-3</sup>) versus MTX toxicity (pg MTX eq  $\mu$ m<sup>-3</sup>).

**Figure 4.** Dendrogram of a hierarchical cluster analysis of 13 *Gambierdiscus* strains based on the following three variables: CTX-toxicity in the DSF (fg CTX3C eq cell<sup>-1</sup>), MTX-toxicity in the MSF (pg MTX eq cell<sup>-1</sup>) and cell biovolume ( $\mu$ m<sup>3</sup> cell<sup>-1</sup>).

Figure S1. Sigmoidal dose-response curve of CTX3C and CTX1B standards on the neuro-2a (N2a)
assay plotted using GraphPad Prism 6.0 (Hardison et al., 2016). Error bars represent the standard
deviation (SD, n=12 for CTX3C, n=14 for CTX1B). The CTX standard used in this study was
CTX3C only.

Figure S2. Sigmoidal dose-response curve of MTX standard on the human erythrocyte lysis assay
(ELA) plotted using GraphPad Prism 6.0. Error bars represent the standard deviation (SD) of four
replicates.

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## 759 **Table captions**

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**Table 1**. Denomination and origin of *Gambierdiscus* strains examined in this study.

762 763 **Table 2.** Maximum specific growth rates ( $\mu_{max}$ , divisions day<sup>-1</sup>) and per-cell CTX- and MTX-toxicity 764 of the *Gambierdiscus* strains cultivated in this study.

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